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2 **Holocene climatic evolution at the Chinese Loess Plateau: testing sensitivity to**  
3 **the global warming-cooling events**

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18 Climate of the Past

19



20 **Abstract**

21

22 A high resolution petromagnetic and sedimentary grain size analyses demonstrate that pedogenic  
23 alterations in the Holocene loess sequences from the region of the Guanzhong Basin and the Mu  
24 Us Desert of the Chinese Loess Plateau were affected by the climatic variations in temperature  
25 and precipitation, but not by the climatic variations of wind intensity. Three warm-humid  
26 intervals (~8.4–3.7 ka, ~2.4–1.2 ka, and ~0.81–0.48 ka), associated with the soil formation and  
27 relatively high values of petromagnetic parameters, occurred during the Holocene. A significant  
28 paleosol development from ~8.4 to 3.7 ka, along with the higher values of proxy parameters,  
29 indicates a generally strong warm-humid phase in the mid-Holocene which can be attributed as  
30 the Holocene optimum in the studied regions. The study demonstrates that the Holocene climate  
31 in China is sensitive to the large warming and cooling events and insensitive to millennial scale  
32 climate changes. A complete Holocene climate record is constructed, and that correlates well  
33 with the other regional climate records along the south-to-north of eastern Chinese loess plateau,  
34 suggesting that similar climatic pattern of changes occurred in the eastern monsoonal China  
35 during the Holocene. Results are supported by the other evidence of climate record in different  
36 regions of the world, implying the Holocene climatic optimum took place at the same time  
37 interval all over the northern hemisphere, and thus, our results correspond to global climate  
38 records as well.

39

40 **Keywords:** climate change; Chinese loess-paleosol sequence; environmental changes; Holocene;  
41 magnetic susceptibility; petromagnetism; soil

42



## 43 1. Introduction

44

45 Many paleoclimate studies have underlined the climate fluctuations in the Holocene interval in  
46 many places (Steig, 1999, Bianchi and McCave, 1999; Wurster and Patterson, 2001; Baker et al.,  
47 2001; McDermott et al., 2001 and others). Studies have explored six such fluctuations across the  
48 globe with an indication of polar cooling, tropical aridity, and significant atmospheric deviations  
49 (Mayewski et al., 2004). Although the development of the current human civilization has been  
50 nurtured by the Holocene climate, there is quite a limited knowledge on climate variability  
51 during this period. However, this limitation can be addressed through the approach of  
52 comprehensive paleoclimate data collecting from different locations of the globe, particularly  
53 from the climate sensitive ones. The arid and semi-arid China provides a highly sensitive and  
54 profound area for large-scale climatic variations (Thompson et al., 1989; Feng et al., 1993;  
55 D'Arrigo et al., 2000; Jacoby et al., 2000).

56

57 Scientists and researchers have been investigating the Holocene paleoclimates and  
58 paleoenvironments of the Chinese arid zone for quite a long time (Zhu et al., 1982; Liu, 1985;  
59 An et al., 2000; Xiao et al., 2004; Feng et al., 2006; Zhou et al., 2010 and others). For this,  
60 various records and archives including pollen and loess stratigraphy, variations in level of sea  
61 and lake, lacustrine sediments and ice cores with steady isotopes have been being studied and  
62 correlated to reconstruct the climatic variation in the Holocene. Particularly, pollen data, fossil  
63 fauna, paleosol, lake level, glacial remains, and archaeological data in China considered the mid  
64 Holocene (ca. 9.4–3.1 ka) to be the Holocene optimum (Shi et al., 1992; Li, 1996). In Inner  
65 Mongolia, strong monsoon fluctuations have been recorded as glacial advance and cessation of



66 paleosol development (Zhou et al., 1991). Based on the analyses of various records of  
67 paleoclimatic imprints or proxies, He et al. (2004) suggested that the Holocene optimum  
68 occurred at ca. 6.5–5.5 ka in the eastern China. For each area in China, the Holocene climate had  
69 three distinct phases, and the middle Holocene optimum (8–5 ka) occurred in arid to semi-arid  
70 areas (Feng et al., 2006). Studying independent proxies including contemporary pollen data,  
71 Herzsuh (2006) explored that the event of the Holocene optimum with high precipitation  
72 happened in a different time period in the Indian monsoon and the East Asian monsoon region; it  
73 is the early Holocene and the mid-Holocene respectively for these regions. In the northwest  
74 China, multi-proxy analyses indicate that a dry climate with high variation occurred from 7.8 to  
75 1 ka (Zhao et al., 2010). As there has been a discourse among the Quaternary scientists on the  
76 climatic variations in China in different intervals of the Holocene, it requires more clarification  
77 and better understanding of this climate change through the detailed records from various  
78 sources.

79

80 Selecting proper proxies and developing reliable chronologies is the key problem in  
81 reconstructing the variations in climate and environment during the Holocene. In arid and semi-  
82 arid regions, loess-paleosol sequences react to climatic variations, indicating that these areas are  
83 suitable for investigating the evolutions of paleoclimate and paleoenvironment (Rutter, 1992;  
84 Ding et al., 1993; Maher, 2011). These sequences can be instrumental to reconstruct climatic  
85 history of neighboring regions of the Loess Plateau through the last glacial cycle (e.g.,  
86 Vandenberghe et al., 1997; Sun et al., 1999; Lu et al., 1999, 2000). It is clear that more complex  
87 Holocene loess-paleosol sequences exist, and these are attributable to fluctuations in the  
88 monsoonal climate (Zhou and An, 1994; Huang et al., 2000). The loess-paleosol records with



89 reliable chronology are critical to understand the overall pattern of climate variations in the  
90 monsoonal China during the Holocene.

91

92 The analysis of petromagnetic properties of loess-paleosol deposits is instrumental for the  
93 interpretation of paleoclimatic conditions during the time of their accumulation. In this study,  
94 these properties, along with sedimentary grain size, are analyzed to investigate the Holocene  
95 climatic variations focusing on the loess-paleosols profiles from the region of the Guanzhong  
96 Basin and the Mu Us Desert in the East Asian monsoonal zone. The Guanzhong Basin is  
97 located at the southern edge of the Loess Plateau whereas the Mu Us Desert is situated at the  
98 northern part of the Plateau. Here, efforts have been made to reconstruct a regional climate and  
99 environmental changes in the Holocene recorded in the Chinese Loess; to explore the influence  
100 of temperature, precipitation, and wind strength on regional climate changes; to understand the  
101 responses of regional Holocene climate along the south-to-north eastern Chinese Loess Plateau;  
102 and to investigate whether the world and China exhibit common climate dynamics or climate  
103 change differs from region to region in the Holocene.

104

## 105 **2. The Study Area**

106

107 In this study, five aeolian sections located in two different areas, the Yaozhou in the Guanzhong  
108 Basin and the Jinjie in the Mu Us Desert, were sampled. The Yaozhou (34°53'N, 108°58'E) is  
109 situated at the Guanzhong Basin, about 60-70 km east of Xi'an city (YZ in Figure 1). At middle  
110 zone of the Yellow River valley, the Guanzhong Basin is located while having the Loess Plateau  
111 to the north and the Qinling Mountains to the south (Figure 1). The land surface in the



112 Guanzhong Basin has been quite settled because of less erosion, and eventually, it has made the  
 113 aeolian dust deposits and soil surface well-preserved during the entire Holocene period (Huang  
 114 et al., 2000). In the Guanzhong Basin, numerous Holocene loess-paleosol have been studied to  
 115 examine changes in vegetation at the Yaoxian (Li et al., 2003), variations in climate at the  
 116 Yaoxian (Zhao et al., 2007), and cultural effect at the Qingquicun (Huang et al., 2000).  
 117 Analyzing the stratigraphy and the proxy data, such sequences can provide critical information  
 118 regarding the fluctuations in climate, and also, they can explore major events occurred since 11  
 119 ka BP to date (Shi et al., 1992). The present mean annual temperature shows to be 13°C while  
 120 mean rainfall is around 554 mm, and these are associated with a semi-humid climate that  
 121 displays a significant seasonal variations in temperature and precipitation which becomes intense  
 122 in summer. Three sections were investigated from this area: one at an outcrop (YZ1), the second  
 123 one at 100 m further south (YZ2), and the third one at 300 m west (YZ3) from the first one. YZ2  
 124 is at the same pit of YZ1, whereas YZ3 is at a different pit. The sequence of 5 m YZ1, 3.3 m  
 125 YZ2 and 4 m YZ3 are composed of three paleosol units of Holocene age ( $S_0S_1$ ,  $S_0S_2$  and  $S_0S_3$ ),  
 126 interbedded with two layers of loess. The stratigraphic unit was identified through the  
 127 examination of colour, texture and structure of the sediment. However, the buried soils in these  
 128 sections cannot be identified very well visually, and thus, the soil layers can be confirmed  
 129 through the magnetic measurements.

130

131 The Jinjie (38°44'N, 110°91'E) is located at the southeastern margin of the Mu Us Desert (JJ in  
 132 Figure 1). The Mu Us Desert, being situated at the northern-central China and having sand  
 133 dunes, belongs to the peripheral region of the East Asian monsoon. Currently, almost two-thirds  
 134 of this desert are covered by these sand dunes (Sun, 2000). The ecosystem, in the semi-arid Mu



135 Us Desert, exhibits high sensitivity towards climate change since external climatic forces can  
 136 easily affect the vegetation, soil, and aeolian sand (Sun et al., 2006). The local mean annual  
 137 temperature, currently, varies from 6.0° to 9.0°C, and it is 200-400 mm in case of the mean  
 138 rainfall. 70% of the rainfall concentrates from July to September, with a warm and humid  
 139 summer as well as autumn. In winter, it is cold and dry with the prevailing cold winds being  
 140 northwesterly. Two sections from this area, JJ1 and JJ3 (along the road and about 1 km southeast  
 141 from JJ1), were studied. The 7m deep JJ1 and 8m deep JJ3 aeolian sequences contain three  
 142 distinctive dark brown sandy loam soil layers ( $S_0S_1$ ,  $S_0S_2$  and  $S_0S_3$ ) separated by sand beds. The  
 143 stratigraphic subdivision was made by the field observation of colour, texture, and structure of  
 144 the sediment. For JJ3 section, there are mixture of sand and soils in between two soil layers. All  
 145 of these sections are situated above the Malan loess (L1).

146

147 The Yaozhou and the Jinjie loess paleosol sequences are both dated using optically stimulated  
 148 luminescence (OSL) dating technique (Zhao et al., 2007; Ma et al., 2011). In the Yaozhou, the  
 149 boundary between the lowest paleosol ( $S_0S_3$ ) and the Malan Loess was OSL dated  $8.44 \pm 0.59$  ka  
 150 (Zhao et al., 2007). At the Jinjie, the lowest paleosol ( $S_0S_3$ ) was bracketed by two OSL dates—  
 151  $7.07 \pm 0.42$  ka at the bottom and  $3.91 \pm 0.18$  ka at the top (Ma et al., 2011). Ages of each soil  
 152 section are assigned based on the OSL dating of Zhao et al. (2007) for the Yaozhou area and Ma  
 153 et al. (2011) for the Jinjie area.

154



### 155 3. Methods

#### 156 3.1 Sampling

157

158 A total of 573 non-oriented bulk samples were collected from the 5 sections (YZ1: 100, YZ2: 80,  
159 YZ3: 85, JJ1: 150 and JJ3: 158 samples) for petromagnetic and sedimentary grain size analyses.  
160 Samples were taken continuously at 5 cm intervals (2.5 cm intervals only for the thin soils) from  
161 all sections. Sampling was started from the top that contains present day soil i.e. the cultivated  
162 layer.

163

#### 164 3.2 Thermomagnetic and hysteresis data

165

166 Temperature dependent magnetic susceptibility (MS) was measured on several samples from  
167 each section to investigate the magnetic mineralogy. The measurement was performed using a  
168 Bartington susceptibility meter in the Laboratory of Paleomagnetism and Petromagnetism of the  
169 Physics Department at the University of Alberta. The sample was heated up to 700°C and then  
170 allowed to cool back to room temperature in air. During heating and cooling, magnetic  
171 susceptibility measurement of the sample was taken at every 2°C. The magnetic grain size of the  
172 samples was investigated by hysteresis measurements at room temperature with a maximum field  
173 of  $\pm 1$ T using a VFTB in the Environmental Magnetism Laboratory, Geophysics Institute in  
174 Beijing, China. Saturation magnetization ( $M_s$ ), remanent saturation magnetization ( $M_{rs}$ ),  
175 coercive force ( $H_c$ ), and the coercivity of remanence ( $H_{cr}$ ) values were evaluated from the  
176 hysteresis loops.

177





### 178 3.3 Petromagnetic parameters

179 A number of petromagnetic parameters such as low and high frequency magnetic susceptibility,  
180 anhysteretic remanent magnetization (ARM), saturation isothermal remanent magnetization  
181 (SIRM), and back field isothermal remanent magnetization (bIRM) were measured to identify  
182 variations in the concentration, grain size and mineralogy of magnetic material in the samples.  
183 These were conducted in the paleomagnetism and petromagnetism laboratory of the University  
184 of Alberta. These parameters (low field mass specific magnetic susceptibility  $\chi_{lf}$  and SIRM) and  
185 the ratios derived from them (frequency dependence of magnetic susceptibility FD and  
186 normalized to the steady field anhysteretic remanent magnetization ( $\chi_{ARM}$ )) were used to interpret  
187 the paleoclimatic conditions during deposition of the studied loess-paleosol sections.

188

189 In the laboratory, 8 cm<sup>3</sup> plastic non-magnetic boxes were used to host the sediments for  
190 petromagnetic measurements. The low-frequency (0.43 kHz) and high-frequency (4.3 kHz)  
191 magnetic susceptibility of each sample were measured using a Bartington Instruments MS2B  
192 dual frequency meter. To reduce the level of considerably high noise from the Bartington  
193 instrument, special precaution was taken during measurements. Each sample was measured three  
194 times in different positions, and the average MS value was calculated for both low and high  
195 frequency measurements. All the values were checked before getting the average, and found  
196 consistent without high errors. Air measurements were taken in between two samples'  
197 measurement each time to monitor and eliminate the instrumental drift. The FD value was  
198 calculated for each sample using its averaged low and high frequency MS values. ARM was  
199 acquired in the samples subjecting to a peak AF field of 100 mT and a steady DC field of 0.1 mT  
200 by a 2G cryogenic magnetometer demagnetizer. This ARM was normalized to the steady field to



201 yield  $\chi_{ARM}$ . SIRM was acquired in the samples by subjecting them to a field of 0.6 T through a  
202 2G IRM stand-alone magnet. bIRM was induced to the samples by using a reversed field  
203 of 0.3 T and the acquired remanences were measured on the cryogenic magnetometer.  
204 Parameters ( $\chi_{ARM}/\chi_{lf}$  and  $\chi_{ARM}/SIRM$ ) were also evaluated for each sample.

205

### 206 **3.4 Sedimentary grain size**

207

208 Sedimentary grain size analysis was performed in order to determine relative wind strengths  
209 during loess deposition of the studied sections. Sedimentary grain size was measured on a  
210 Mastersizer 2000 laser particle analyzer at the Northwest University in Xian, China. The grain  
211 size samples were subjected to standard chemical pretreatment. To eliminate the organic  
212 material, samples of 0.3–0.4 g were fully dissolved in 10 ml of 10% boiling hydrogen peroxide  
213 ( $H_2O_2$ ) solution in a 200 ml beaker. The carbonates were also removed by boiling with 10 ml of  
214 10% hydrochloric acid (HCl). Distilled water was added during the chemical treatment to avoid  
215 drying of the solution. After standing overnight, the clear water was decanted from the sample.  
216 Through a combination of an addition of 10 ml of 10% sodium hexametaphosphate [ $(NaPO_3)_6$ ]  
217 solution and an oscillation for around 10 minutes ultrasonically, dispersion was created for the  
218 components.

219



## 220 4. Results

### 221 4.1 Thermomagnetic and hysteresis

222

223 Typical examples of temperature dependent magnetic susceptibility curves and hysteresis loops  
 224 are presented in Figure 2. The MS shows decrease in the signal and reaches minimum value at  
 225 approximately 590°C, indicating the presence of magnetite (Figure 2). The MS values start to  
 226 increase above 590°C suggesting that hematite is produced by the oxidation of magnetite, as  
 227 expected in such experiments while conducting in air. The shape of the hysteresis loops indicates  
 228 samples contain pseudo-single domain (PSD) particles (Figure 2). The remanence ratio ( $M_{rs}/M_s$ )  
 229 versus coercivity ratio ( $H_{cr}/H_c$ ) is shown on a Day plot (Dunlop, 2002) in Figure 3. The Day  
 230 plot represents that magnetic grain size of samples mainly clusters within the pseudo-single  
 231 domain (PSD) region (Figure 3).

232

### 233 4.2 Petromagnetic parameters

234

235 The measured parameters of five sections (YZ1, YZ2, YZ3, JJ1, and JJ3) have been plotted  
 236 against depth of the sections in Figure 4-8. Magnetic susceptibility has been widely used as a  
 237 proxy indicator to investigate Quaternary climate change by loess-paleosol sequences on the  
 238 Chinese Loess Plateau (Heller and Liu 1984; Balsam et al., 2004). The MS record demonstrates  
 239 intensity variations of the pedogenesis, caused by precipitation changes related to summer  
 240 monsoon climatic fluctuations (An et al., 1991; An and Xiao, 1990).  $\chi_{lf}$  measures the magnetic  
 241 response caused by magnetic remanences as well as non-remanent components present in the  
 242 samples (Robinson, 1986; Thompson and Oldfield, 1986; Evans and Heller, 2003).  $\chi_{lf}$  values



(average  $0.13 \times 10^{-6} \text{ m}^3 \text{ kg}^{-1}$ ) for the Jinjie area (JJ1 and JJ3 sections) are relatively lower than that (average  $1.05 \times 10^{-6} \text{ m}^3 \text{ kg}^{-1}$ ) of the Yaozhou area (YZ1, YZ2 and YZ3 sections), suggesting that the latter area has higher concentration of magnetic particles. The loess and paleosol layers are all clearly identifiable in the  $\chi_{lf}$  profiles from all sections (Figure 4-8). In this study, the susceptibility curves ( $\chi_{lf}$ ) of all the sections show that the soils have higher susceptibility compared to the loess/sand beds (Figure 4-8), indicating warm-wet climate conditions during the formation of these accretionary soils. On the other hand, lower  $\chi_{lf}$  values in the loess/sand layers exhibit a cool-dry climate and intensified aeolian dust deposition as well as weak pedogenic processes during loess deposition. The upper layer of the soils ( $S_0S_1$ ), formed thinner in a shorter period, shows weak  $\chi_{lf}$  values almost as same as the values of adjacent aeolian loess/sands, whereas the lower layers of soils represent stronger signals for the sections YZ2, YZ3, JJ1, and JJ3 (Figure 5-8). For YZ1 section,  $S_0S_1$  shows high peak with disturbance, probably due to the close proximity of  $S_0S_1$  to the modern soil or the cultivated layer (Figure 4).

256

The FD parameter appears to be higher in soil horizons compared to the loess as it is related to the distribution of ferromagnetic minerals, commonly superparamagnetic magnetite produced during soil formation (Thompson and Oldfield, 1986; Evans and Heller, 2003). All soil horizons exhibit higher FD values (ranging around 8-10%) compared to their respective parent loess horizons, and these are in agreement with the  $\chi_{lf}$  values (Figure 4-7). These higher FD values of studied soil horizons confirm the continuous production of superparamagnetic particles during the pedogenesis in warmer interval. However, for the JJ3 section, the FD parameter does not show variations to corresponding sands and soils (Figure 8), probably due to the sandiness of the soils for this section.



266

267  $\chi_{ARM}$  and SIRM indicate variations in magnetic mineral concentration, and values get higher with  
 268 increasing concentration of minerals having a high magnetization such as magnetite (Thompson  
 269 and Oldfield, 1986; Yu and Oldfield, 1989; King and Channell, 1991; Evans and Heller, 2003).  
 270 Figure 4-8 indicate that the paleosol horizons have higher  $\chi_{ARM}$  and SIRM values compared to  
 271 the loess/sand horizons. The higher  $\chi_{ARM}$  and SIRM values represent higher concentration of  
 272 magnetic particles within the soil layers, and indicate warmer-wetter conditions and active  
 273 pedogenic processes during the time of soil formation. Whereas lower values, found in the  
 274 loess/sand layers, indicate cooler-drier conditions and weak pedogenic intensity during the  
 275 periods of intensified dust deposition. For all the sections,  $\chi_{ARM}$  and SIRM curves indicate the  
 276 presence of  $\chi_{lf}$  and FD peaks, corresponding to the soil horizons (Figure 4-8).

277

#### 278 **4.3 Sedimentary grain size**

279

280 The grain size variations of loess deposits have commonly been used to monitor past wind  
 281 intensity changes (Pye and Zhou, 1989; Rea, 1994). Stronger winds are associated with more  
 282 dust storms, coarser particle size and larger dust input to the Loess Plateau (Ding et al., 1994).  
 283 The average median grain size values are larger for the Jinjie area ( ~ 220  $\mu\text{m}$ ) than the Yaozhou  
 284 area ( ~ 13.9  $\mu\text{m}$ ), representing that the grain size records of the Holocene loess deposits  
 285 decrease from north to south over the Chinese Loess Plateau. The grain size of the last glacial  
 286 loess deposits also displays an overall southward decrease (Yang and Ding, 2004) as the loess  
 287 was created primarily in the sandy Gobi deserts in northwestern China and was carried away by  
 288 the near-surface northwesterly wind (Liu 1985; An et al., 1991). The median grain size of the



289 studied sections does not demonstrate well the general characteristic of the smaller values for the  
 290 soil horizons (Figure 9-13), indicating that the wind intensity did not vary much for these areas  
 291 during the Holocene. Moreover, the median grain size of the loess and soil horizons of the  
 292 Yaozhou area (YZ1, YZ2 and YZ3 sections) shows a little variability (Figure 9-11) compared to  
 293 the loess and soil layers of the Jinjie area (JJ1 and JJ3 sections) (Figure 12-13), suggesting that  
 294 the wind intensity fluctuation was higher in the north loess plateau (Jinjie area) in contrast with  
 295 the south loess plateau (Yaozhou area).

296

297 The ratios  $\chi_{ARM}/\chi_{lf}$  and  $\chi_{ARM}/SIRM$  indicate variations in magnetic grain size and the values  
 298 decrease with increasing magnetic grain size (Thompson and Oldfield, 1986; King et al., 1982;  
 299 Maher, 1988; Evans and Heller, 2003). For all the sections, magnetic grain size ( $\chi_{ARM}/\chi_{lf}$  and  
 300  $\chi_{ARM}/SIRM$ ) varies in the same manner as the sedimentary grain size does (Figure 9-13). Both  
 301 the ratios reflect a little variability for loess and soil horizons indicating smaller relative changes  
 302 in magnetic grain sizes.

303

## 304 5. Discussion

### 305 5.1 Variations in the Holocene climate

306

307 Three soil layers ( $S_0S_1$ ,  $S_0S_2$  and  $S_0S_3$ ) are identified for all the sections not only in the field but  
 308 also in the laboratory by higher magnetic concentration parameters ( $\chi_{lf}$ ,  $\chi_{ARM}$ , SIRM) and FD  
 309 parameter. Therefore,  $\chi_{lf}$ , FD,  $\chi_{ARM}$  and SIRM are higher for soil and lower for loess/sand  
 310 horizons, indicating warmer and colder assemblage respectively. The sedimentary and magnetic  
 311 grain size variations do not correspond to the soil intervals entirely. Furthermore, the magnetic



concentration parameters and FD parameter show a larger variation for the loess and soil layers compared to the sedimentary and magnetic grain sizes for these layers. It demonstrates that humidity fluctuation, which is related to the vegetation and soil formation, was stronger than the wind intensity variation for the studied sections during the Holocene.

316

Petromagnetic analysis of five loess sections in the Yaozhou and the Jinjie areas shows clear changes in regional climate, and provides paleoenvironmental information over the Holocene. Changes of parameters with soil formation in five studied sections, at the Yaozhou (Jinjie), suggests three distinct warm-humid time periods during the Holocene: the oldest warmer interval was between 8.4–3.7 ka (7.0–3.9 ka), the middle one occurred between 2.4–1.2 ka (2.9–1.7 ka), and the youngest started at 0.81 ka (1.1 ka) (Figure 4-8). Furthermore, based on the data, two cold-dry intervals associated with loess deposition can be considered at the Yaozhou (Jinjie): 3.7–2.4 ka (3.9–2.9 ka) and 1.2–0.81 ka (1.7–1.1 ka). However, at these areas, the onset and termination of warming-cooling intervals during the Holocene were almost similar with a slight difference. A subsequent warm-humid phase took place between ~8.4 ka and ~3.7 ka, indicated by the development of strong soil ( $S_0S_3$ ) in all five sites. Combined with high values of all petromagnetic parameters in the studied regions (Figure 4-8), this period is attributed to the Holocene optimum, a warm period (generally warmer than today) in the middle of the Holocene. Soil  $S_0S_3$  formation terminated around ~3.7 ka, suggesting a cold-arid period. This resulted in an active period for the loess/sand during ~3.7–2.4 ka. The soil  $S_0S_2$  developed between ~2.4 and ~1.2 ka, and at that time, the values of the petromagnetic parameters indicate a warm-humid period in this region (Figure 4-8). The climate became colder and drier between ~1.2 and ~0.81



ka as the sand/loess was deposited, illustrated by low values of petromagnetic parameters. Soil  
 $S_0S_1$  formed in the interval of ~0.81–0.48 ka (Figure 4-8), suggesting a warm-humid period.

## 5.2 Comparison of regional paleoclimatic records

Changes in climate in the studied sections can be compared with the other reported paleoclimatic  
 records from the neighboring monsoonal region of semi-arid China. In this study, we used tree  
 pollen records from peatlands or lakes, located along the south-to-north regional transect on the  
 eastern Loess Plateau, to make comparison with our results. In order to compare, low frequency  
 magnetic susceptibility ( $\chi_{lf}$ ) of YZ3 section from the Yaozhou and JJ3 section from the Jinjie  
 have been selected as reference curve since these identify soil intervals better than the others.  
 The sites from south to north include the Hongyuan peatland (Zhou et al., 2010), the Yaozhou  
 (YZ3), the Jinjie (JJ3), the Daihai Lake (Xiao et al., 2004), and the Hulun Lake (Wen et al.,  
 2010) (Figure 1 and 14). Summer temperature and precipitation are two dominant climatic  
 factors controlling soil formation as well as pollen assemblages (Shen et al., 2006). Thus, high  
 magnetic parameters and high tree pollen should reflect warm-wet climates. Three warmer  
 intervals of the studied region visually correlate well with the higher pollen data (Figure 14).

Pollen records from the Hongyuan peatland (Zhou et al., 2010), the Daihai Lake (Xiao et al.,  
 2004), and the Hulun Lake (Wen et al., 2010) show peak tree pollen abundance in the mid-  
 Holocene between ~8.4 and ~3.7 ka (Figure 14), suggesting a warmer-wetter climate. There is an  
 agreement in the mid-Holocene maximum or climate optimum as documented at our studied  
 sections and other sites (Figure 14). In the Lake Daihai which is situated at the northeast from the





357 Mu Us Desert, high and stable lake level also occurred at ~8–3 ka (Sun et al., 2009). An ancient  
 358 wetland existed continuously from ~7.8 to 4 ka at valleys, southeast of the Lanzhou, which is  
 359 located further west from the Yaozhou (An et al., 2005). A humid mid-Holocene corresponds  
 360 well with a more recent reconstruction of monsoonal precipitation through various imprints from  
 361 the Chinese Loess Plateau (Lu et al., 2013). Zhao and Yu (2012) studied most of the sites of the  
 362 temporary zone, located between forest and temperate steppe vegetation in the northeastern  
 363 China, and confirmed the presence of the wettest climate occurred between ~8 and ~4 ka. The  
 364 high level of the Lake Huangqihai during 8–4 ka (Shen, 2013), situated in the monsoonal region,  
 365 indicates a strong East Asian summer monsoon happened in the mid-Holocene. In the Horqin  
 366 dunefield, the greater density of vegetation coverage occurred between ~8 and ~3.2 ka,  
 367 suggesting a warm and humid climate (Mu et al., 2016). Even though the termination of the  
 368 warm-humid Holocene optimum slightly vary in different sections, this is possibly due to the age  
 369 model imperfections and assumptions of the close to constant sedimentation rate, the  
 370 inconsistencies of various of different dating methods or irregularity of the Holocene optimum  
 371 (e.g., An et al., 2000; He et al., 2004).

372

373 From ~3.7 to ~2.4 ka, the decreasing susceptibility of the studied sections suggests a drying and  
 374 cooling climate trend that correlates with the tree pollen data (Figure 14). The pollen sequence  
 375 collected from the Taishizhuang peat site, located at the southeastern edge of the Mongolian  
 376 Plateau, confirms a significant climatic variation taken place at around ~3.4 ka, and during that  
 377 time, the tree component almost disappeared entirely (Jin and Liu, 2002; Tarasov et al., 2006).  
 378 Both in the south-central and the southeastern Inner Mongolia region, a major cultural shift  
 379 occurred at ~3.5 ka (Liu and Feng 2012). After ~3.7 ka, aeolian sand transportation took place



380 more frequently and the East Asian summer monsoon strength decayed significantly, as  
 381 perceived from the higher probability density values (Wang et al., 2014). A drying and cooling  
 382 climatic shift also found in two cave speleothem sequences in the southern China from the  
 383 Linhua Cave at ~3.3–3.0 ka (Cosford et al., 2008), and from the Heshang Cave at ~3.6–3.1 ka  
 384 (Hu et al., 2008).

385

386 For the interval of ~2.4–1.2 ka, the magnetic climate data of this study coincides well with the  
 387 tree pollen data of the Hongyuan peatland (Zhou et al., 2010), the Daihai Lake (Xiao et al.,  
 388 2004), and the Hulun Lake (Wen et al., 2010) (Figure 14). This period can be confirmed by the  
 389 moist grassland at the Guanzhong Basin (Li et al., 2003). Furthermore, in Figure 14, the  
 390 correlation analysis of magnetic susceptibility and tree pollen data shows good agreement for the  
 391 cold-dry interval of ~1.2–0.81 ka. Although the warmer interval of ~0.81–0.48 ka, recorded by  
 392 the magnetic proxies in this study, does not correlate well with the tree pollen data of the  
 393 Hongyuan peatland (Zhou et al., 2010) and the Hulun Lake (Wen et al., 2010), however, it shows  
 394 a good agreement with the tree pollen data of the Daihai Lake (Xiao et al., 2004) (Figure 14).  
 395 Our results are in broad agreement with pollen records, and demonstrate that same climatic  
 396 variation occurred along the south-to-north eastern Chinese Loess Plateau during the Holocene.

397

### 398 **5.3 Comparison of global paleoclimatic records**

399

400 Our results of Holocene climate changes in China can be compared with the global records. We  
 401 compare our low frequency magnetic susceptibility ( $\chi_{lf}$ ) records of YZ3 and JJ3 sections with  
 402 the Lake Baikal  $\delta^{18}\text{O}$  values from diatom silica (Mackay et al., 2011), FD records of the



403 Burdukovo loess section in Siberia (Kravchinsky et al., 2013), temperature variations in the  
 404 northern hemisphere (McMichael, 2012), and Drift Ice Indices Stack from the North Atlantic  
 405 (Bond et al., 2001) (Figure 15). Temperature variations in the northern hemisphere during the  
 406 Holocene have been reconstructed through the average of various published data (McMichael,  
 407 2012). The studied major episodes correspond visually to the other global records (Figure 15).  
 408

409 For ~8.4–3.7 ka, our data show high susceptibility and indicate warm-humid period for the  
 410 whole interval. Whereas,  $\delta^{18}\text{O}$  values of the Lake Baikal (Mackay et al., 2011), FD values of the  
 411 Burdukovo loess section (Kravchinsky et al., 2013), temperature variations in the northern  
 412 hemisphere (McMichael, 2012), and Drift Ice Indices Stack from the North Atlantic (Bond et al.,  
 413 2001) show two peaks during that interval (Figure 15). The higher latitude section Burdukovo  
 414 resolves short-term climate variations. The Lake Baikal record sampling resolution is quite low,  
 415 but it also registers the cooling interval between ~5 and 6 ka very well. There exists no clear  
 416 indication of such cooling interval in the studied Chinese loess sections. It may be due to the  
 417 reason that the high latitudes are more sensitive to the millennial scale changes in the orbital  
 418 parameters than the southern latitudes as demonstrated by the analysis in Loutre et al. (1992).  
 419 Although a couple of studies indicate millennial scale Holocene climate variations in northwest  
 420 China (Yu et al., 2006; Zhao et al., 2010; Yu et al., 2012), we find that the Holocene climate is  
 421 insensitive to these variations in our studied regions. Usoskin et al. (2007) suggested the  
 422 probability of the effect of the orbital parameters of the Earth's climate being insignificant in  
 423 clarifying the direct influence of solar variability on climate change. Beer et al. (2006) examined  
 424 the probable feedback mechanisms for the amplification of the solar heating effect. Nevertheless,  
 425 the whole interval of ~8.4–3.7 ka in China can be considered warm and humid period. The



period between ~7 and 4.2 ka BP was demonstrated as high summer temperature in the mid and high latitude areas of the northern hemisphere (Klimenko et al., 1996; Alverson et al., 2003). Furthermore, an extensive paleosol, developed on the eastern belt of the Badain Jaran Desert, indicates a climate optimum in the mid Holocene (Yang et al., 2011). This humid episode between ~8.4 ka and ~3.7 ka is also found in the North Africa (Guo et al., 2000). Therefore, the interval of ~8.4–3.7 ka can be considered a globally registered Holocene optimum period.

432

A cool and dry climate from ~3.7 to ~2.4 ka caused the lowest  $\chi_{lf}$  and well-preserved loess/sand in the studied area, also indicated by other global data (Figure 15). A cold and arid period from ~3.5 to ~2.5 ka in the northern hemisphere was determined by Mayewski et al. (2004), and this interval is almost the same arid period as found in this study. In the northern hemisphere, the 3.5–2.5 ka shows rapid climate change intervals including the North Atlantic ice-rafting events (Bond et al., 1997), and strengthened westerlies over the North Atlantic and Siberia (Meeker and Mayewski, 2002). The interval, at 3.5–2.5 ka, also presents a strong aridity in the regions like the East Africa, the Amazon Basin, Ecuador, and the Caribbean/Bermuda region (Haug et al., 2001). Wanner et al. (2011) reviewed that the global cooling event between ~3.3 and ~2.5 ka coincided with a considerably low solar activity forcing.

443

In Figure 15, warmer interval of ~2.4–1.2 ka and colder interval of ~1.2–0.81 ka in the studied area correlate well with the  $\delta^{18}\text{O}$  values of the Lake Baikal (Mackay et al., 2011), FD values of the Burdukovo loess section (Kravchinsky et al., 2013), temperature variations in the northern hemisphere (McMichael, 2012), and Drift Ice Indices Stack from the North Atlantic (Bond et al., 2001). This event (~1.2 to 1.0 ka) corresponds to the maxima in the  $\delta^{14}\text{C}$  and  $^{10}\text{Be}$  records,



449 indicating a weakening in solar output at this interval (Mayewski et al., 2004). At low latitudes,  
 450 ~1.2–1.0 ka usually shows dry conditions in the tropical Africa and the monsoonal Pakistan  
 451 (Gasse, 2000; 2001). During ~1.2 to 1.0 ka, atmospheric CO<sub>2</sub> surged moderately and caused  
 452 variations in solar output resulting in drought in the Yucatan (Hodell et al., 1991, 2001). The  
 453 other warmer interval of ~0.81–0.48 ka also corresponds to FD parameter in the Burdukovo  
 454 (Kravchinsky et al., 2013), temperature variations in the northern hemisphere (McMichael,  
 455 2012), and Drift Ice Indices Stack from the North Atlantic (Bond et al., 2001). However, the  
 456 resolution of the  $\delta^{18}\text{O}$  data from the Holocene sediments of the Lake Baikal is not very high  
 457 (Mackay et al., 2011), and does not allow to evaluate this interval in the Lake Baikal.

458

459 Our results demonstrate that changes in petromagnetic parameters of the loess-paleosol  
 460 sequences in the studied area correlate closely with variations in climate documented separately,  
 461 as explored by other proxies. Such correspondence demonstrates the global connections among  
 462 the continental climate in Asia and the central Eurasia, temperature variations in the northern  
 463 hemisphere, and the oceanic climate of the North Atlantic. Furthermore, the Holocene optimum  
 464 period (~8.4 to 3.7 ka) in the studied regions, indicating a stronger warm-wet phase, appears to  
 465 be a globally registered warming period.

466

## 467 **6. Conclusions**

468

469 (1) Petromagnetic and grain size analyses provide evidence for pedogenic alteration in the  
 470 Holocene loess sequences of the Chinese Loess Plateau, affected by the climatic variation in  
 471 temperature and precipitation but not by the climatic variation of wind intensity.



472 (2) Results indicate that subsequent warm-humid phase occurred in the studied regions during  
473 ~8.4–3.7 ka, ~2.4–1.2 ka, and ~0.81–0.48 ka, evidenced by the development of paleosols as  
474 well as high values of petromagnetic parameters in all sections.

475 (3) The Holocene climatic optimum period, in the studied regions, occurred between ~8.4 and  
476 ~3.7 ka. This climate shows sensitivity to the large warming and cooling events while being  
477 insensitive to millennial scale climate changes.

478 (4) The Holocene climate record of the studied regions is consistent with the reported climate  
479 records from the tree pollen analysis along the south-to-north eastern Chinese Loess Plateau  
480 at that time, suggesting that that same climatic variation occurred in the eastern monsoonal  
481 China.

482 (5) Our results correspond to the record of climate changes on regional and/or global scales,  
483 implying that similar climatic pattern of changes occurred in different regions of the world  
484 during the Holocene and the Holocene climatic optimum took place at the same time interval  
485 all over the northern hemisphere.

486

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488

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493



494 **Data availability**

495 We release the data presented here to the public domain at <https://www.pangaea.de/>.

496

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## 799 **Figure captions**

800

801 **Figure 1.** Top: satellite image map showing the location of the studied areas (red star) and the  
 802 other sites discussed in the text: 1– Hongyuan peatland; 2– Yaozhou; 3– Jinjie; 4– Daihai Lake;  
 803 5– Hulun Lake; 6– Lake Baikal; 7– Burdukovo. Bottom: geographic location of the Yaozhou  
 804 (YZ) and Jinjie (JJ) studied areas in the Chinese Loess Plateau.

805

806 **Figure 2.** Top: examples of temperature dependent magnetic susceptibility for the samples from  
 807 YZ3 section (loess; sample 205 and soil; sample 150). Arrows represent heating (red line) and  
 808 cooling (blue line) directions. Magnetic susceptibility is measured in the air; therefore increasing  
 809 values due to formation of new magnetic minerals above 600°C is not shown. Bottom:  
 810 representative hysteresis loops of the samples from YZ3 section (loess; sample 50 and soil;  
 811 sample 250).

812

813 **Figure 3.** Day plot of the hysteresis parameters (based on Dunlop, 2002) for YZ3 (triangles), JJ1  
 814 (diamonds), and JJ3 (circles) sections. SD– single domain; PSD– pseudo-single domain; and  
 815 MD– multidomain.

816

817 **Figure 4.** Stratigraphy and magnetic concentration parameters of the YZ1 section.  $\chi_{lf}$ – low  
 818 frequency magnetic susceptibility ( $10^{-6} \text{ m}^3 \text{ kg}^{-1}$ ); FD (%) – frequency dependence parameter;  
 819  $\chi_{ARM}$ – anhysteretic remanent magnetization ( $10^{-6} \text{ m}^3 \text{ kg}^{-1}$ ); and SIRM– saturation isothermal  
 820 remanent magnetization ( $10^{-6} \text{ Am}^2 \text{ kg}^{-1}$ ). Horizontal grey bars denote soil horizons, interpreted  
 821 as relatively warm-wet intervals.



822

823 **Figure 5.** Stratigraphy and magnetic concentration parameters of the YZ2 section. Same  
 824 abbreviations as in Figure 4.

825

826 **Figure 6.** Stratigraphy and magnetic concentration parameters of the YZ3 section. Same  
 827 abbreviations as in Figure 4.

828

829 **Figure 7.** Stratigraphy and magnetic concentration parameters of the JJ1 section. Same  
 830 abbreviations as in Figure 4.

831

832 **Figure 8.** Stratigraphy and magnetic concentration parameters of the JJ3 section. Same  
 833 abbreviations as in Figure 4.

834

835 **Figure 9.** Stratigraphy and analytic data for the YZ1 section.  $\chi_{lf}$ – low frequency magnetic  
 836 susceptibility ( $10^{-6} \text{ m}^3 \text{ kg}^{-1}$ ); MD– median sedimentary grain size ( $\mu\text{m}$ );  $\chi_{ARM}/\chi_{lf}$ – magnetic  
 837 grain size parameter (unitless); and  $\chi_{ARM}/SIRM$ – magnetic grain size parameter ( $10^{-4} \text{ mA}^{-1}$ ).  
 838 Horizontal grey bars denote soil horizons, interpreted as relatively warm-wet intervals.

839

840 **Figure 10.** Stratigraphy and analytic data for the YZ2 section. Same abbreviations as in Figure 9.

841

842 **Figure 11.** Stratigraphy and analytic data for the YZ3 section. Same abbreviations as in Figure 9.

843

844 **Figure 12.** Stratigraphy and analytic data for the JJ1 section. Same abbreviations as in Figure 9.



845

846 **Figure 13.** Stratigraphy and analytic data for the JJ3 section. Same abbreviations as in Figure 9.

847

848 **Figure 14.** Comparison of Holocene paleoclimate records in China (from south to north): total  
 849 tree pollen percentage at Hongyuan peatland (Zhou et al., 2010);  $\chi_{lf}$ – low frequency magnetic  
 850 susceptibility ( $10^{-6} \text{ m}^3 \text{ kg}^{-1}$ ) for YZ3 section (this study);  $\chi_{lf}$  ( $10^{-6} \text{ m}^3 \text{ kg}^{-1}$ ) for JJ3 section (this  
 851 study); total tree pollen percentage at Daihai Lake (Xiao et al., 2004); and total tree pollen  
 852 percentage at Hulun Lake (Wen et al., 2010). Locations of these areas are shown in Figure 1.  
 853 Grey horizontal bars represent the warm-wet climatic intervals based on the record of this study.

854

855 **Figure 15.** Regional and global correlations (from south to north):  $\chi_{lf}$ – low frequency magnetic  
 856 susceptibility ( $10^{-6} \text{ m}^3 \text{ kg}^{-1}$ ) for YZ3 section (this study);  $\chi_{lf}$  ( $10^{-6} \text{ m}^3 \text{ kg}^{-1}$ ) for JJ3 section (this  
 857 study); Lake Baikal  $\delta\text{O}^{18}$  profile linked to mass-balancing isotope measurements in per mil  
 858 deviations from VSMOW (Vienna Standard Mean Ocean Water) (Mackay et al., 2011);  
 859 frequency dependence (FD) parameter from loess section of Burdukovo in Siberia (Kravchinsky  
 860 et al., 2013); temperature variations ( $^{\circ}\text{C}$ ) in the northern hemisphere (relative to mean  
 861 temperature during 1960–1980) averaged from multiple published sources (McMichael, 2012);  
 862 and Drift Ice Indices Stack from North Atlantic (Bond et al., 2001). See Figure 1 for the  
 863 locations. Grey horizontal bars indicate the warm-wet climatic intervals based on the record of  
 864 this study.

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