





- A chironomid-based mean July temperature inference model from the 1 south-east margin of the Tibetan Plateau, China 2
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Abstract: Chironomid based calibration training sets comprised of 100 lakes from 16 17 southwestern China and a subset of 47 lakes from Yunnan Province were established. Multivariate ordination analyses were used to investigate the relationship between the 18 19 distribution of chironomid species and 15 environmental variables from these lakes. 20 Canonical correspondence analyses (CCAs) and partial CCAs showed that mean July 21 temperature is the sole independent and significant (p < 0.05) variable that explains 22 16% of the variance in the chironomid data from the 47 Yunnan lakes. Mean July temperature remains one of the independent and significant variables explaining the 23 second largest amount of variance after potassium ions (K⁺) in the 100 south-western 24 25 Chinese lakes. Quantitative transfer functions were created using the chironomid assemblages for both calibration data sets. The first component of the weighted 26 27 average partial least square (WA-PLS) model based on the 47 lakes training set 28 produced a coefficient of determination (r²_{iack}) of 0.83, maximum bias (jackknifed) of 3.15 and root mean squared error of prediction (RMSEP) of 1.72 °C. The 29 two-component WA-PLS model for the 100 lakes training set produced an r²_{bootstrap} of 30 31 0.63, maximum bias (bootstrapped) of 5.16 and RMSEP of 2.31 °C. We applied both transfer functions to a 150-year chironomid record from Tiancai Lake (26°38'3.8 N, 32 33 99°43'E, 3898 m a.s.l), Yunnan, China to obtain mean July temperature inferences. The reconstructed results based on both models showed remarkable similarity to 34 each other in terms of pattern. We validated these results by applying several 35 reconstruction diagnostics and comparing them to a 50-year instrumental record from 36 the nearest weather station (26°51'29.22"N, 100°14'2.34"E, 2390 m a.s.l). Both 37 transfer functions perform well in this comparison. We argue that the large training set 38 39 is also suitable for reconstruction work despite the low explanatory power of MJT because it contains a more complete range of modern temperature and 40 41 environmental data for the chironomid taxa observed and is therefore more robust. 42 43 44





- 45 1 Introduction
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47 South-western (SW) China is an important region for examining changes in low and mid-latitudes atmospheric circulation in the Northern Hemisphere (NH). It lies at the 48 intersection of the influence of the NH westerlies and two tropical monsoon systems, 49 50 namely the Indian Ocean South-west Monsoon (IOSM) and the East Asian Monsoon (EAM) and should be able to inform us about changes in both the latitude and 51 52 longitude of the influence of these respective systems through time. Reconstructing 53 changes in circulation requires information about several climatic parameters, including past precipitation and temperature. While there are reasonable records of 54 55 precipitation from this region (e.g. Wang et al., 2001, 2008; Dykoskia et al., 2005; Xiao et al., 2014), there is a paucity of information about temperature changes. In order to 56 understand the extent and intensity of penetration of monsoonal air masses, robust 57 58 summer temperature estimates are vital as this is the season that the monsoon 59 penetrates SW China.

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61 Chironomid larvae are frequently the most abundant insects in freshwater ecosystems (Cranston, 1995) and subfossil chironomids are widely employed for 62 palaeoenvironmental studies due to their sensitivity to environmental changes and 63 64 ability of the head capsules to preserve well in lake sediments (Walker, 2001). A 65 strong relationship between chironomid species assemblages and mean summer air 66 temperature have been reported from many regions around the world and transfer functions were subsequently developed (e.g. Brooks and Birks, 2001; Larocque et al., 67 2001; Heiri et al., 2003; Gajewski et al., 2005; Barley et al., 2006; Woodward and 68 69 Shulmeister, 2006; Langdon et al., 2008; Rees et al., 2008; Eggermont et al., 2010; 70 Luoto, 2009; Holmes et al., 2011; Heiri et al., 2011; Chang et al., 2015a). The 71 application of these transfer functions has provided quantitative temperature data since the last glacial period in many regions of the world (e.g. Woodward and 72 Shulmeister, 2007; Rees and Cwynar, 2010; Samartin et al., 2012; Chang et al., 73 2015b; Muschitiello et al., 2015; Brooks et al., 2016). Consequently, subfossil 74 75 chironomids have been the most widely applied proxy for past summer temperature reconstructions. 76

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Merged regional chironomid training sets and combined inference models have been 78 79 developed in Europe (Lotter et al., 1999; Holmes et al., 2011; Heiri et al., 2011; Luoto 80 et al., 2014). These large datasets and models provide much more robust 81 reconstructions than smaller local temperature inference models (Heiri et al., 2011; Luoto et al., 2014). However, the distribution of large regional inference models is 82 83 limited to Europe and northern North America (e.g. Fortin et al., 2015). There is a need to build large training sets for other parts of the world where chironomids will 84 85 likely be sensitive to temperature changes. Subfossil chironomids have been 86 successfully used as paleoenvironmental indicators in China for over a decade. These included salinity studies on the Tibetan Plateau (Zhang et al, 2007) and the 87 88 development of a nutrient based inference model for eastern China and parts of





Yunnan (Zhang et al., 2006, 2010, 2011, 2012). A large database of relatively undisturbed lakes, in which nutrient changes are minimal while temperature gradients are suitably large, is now available from south-western China and this provides the opportunity to develop a summer temperature inference model for this broad region.

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94 In this study, a chironomid species assemblage training set and a chironomid-based mean July air temperature (MJT) inference model from 100 lakes on south-east 95 96 margin of the Tibetan Plateau is developed. We also present a 47 lake subset of the 97 training set to provide a local model for Yunnan Province. We compare the output of 98 the two models and evaluate which model is more robust and more suitable for temperature reconstructions in Yunnan. Finally, we test both models by comparing a 99 reconstruction of temperature from Tiancai Lake (26°38'3.8 N, 99°43'E, 3898 m a.s.l) 100 (Fig. 1) in Yunnan Province, SW China for the last 120 years against an instrumental 101 record from Lijiang weather station (26°51'29.22"N, 100°14'2.34"E, 2390 m a.s.l) (Fig. 102 1), which is the closest meteorological station with a long record. 103

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105 2 Regional setting

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The study area lies in the south-east margin of the Tibetan Plateau including the south-west part of Qinghai Province, the western part of Sichuan Province and the north-west part of Yunnan Province (Fig. 1). It is situated between 26 – 34° N, 99 – 104° E with elevations ranging from about 1000 m to above 5000 m a.s.l.. The 47 lake subset is confined to the north-west part of Yunnan province (Fig. 1) and includes the area around Tiancai Lake.

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The study area is characterized by many north-south aligned high mountain ranges (e.g. Hengduan Mountains, Daxue Mountains, Gongga Mountains etc.) that are fault controlled and fall away rapidly into adjacent tectonic basins. The mountain ranges have been deeply dissected by major rivers including the Nujiang, Lancangjiang, Jinshajiang, Yalongjiang and Dadu rivers. Local relief in many places exceeds 3000 m a.s.l.

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121 The climate of the study area is dominated by the westerlies in winter and by the IOSM in Yunnan and Tibet, but some of the easternmost lakes are affected by the 122 EAM. There is a wet season that extends from May (June) to October accounting for 123 85-90% of total rainfall and a dry season from November to April. Annual precipitation 124 varies greatly according to altitude and latitude. Most of the precipitation is derived 125 from a strong south-west summer monsoonal flow that emanates from the Bay of 126 Bengal (Fig. 1). Precipitation declines from south-east to north-west. Mean summer 127 temperatures vary between about 6 to 22 °C from the north-west to the south-east 128 129 (Institute of Geography, Chinese Academy of Sciences, 1990). Vegetation across the 130 study area changes from warm temperate to subtropical rainforest at lower elevations in the south-west to alpine grasslands and herb meadows at high altitude. 131 132





133 2.1 Description of model validation site

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Tiancai Lake (26°38'3.8 N, 99°43'E, 3898 m a.s.l) (Fig. 1) is in Yunnan Province, on 135 the south-east margin of Tibet Plateau. It is a small alpine lake and has a maximum 136 depth of 7 m, with a water surface area of ~ 2.1 ha and a drainage area of ~3 km². 137 Tiancai Lake is dominated in summer by the IOSM, and most likely retains a tropical 138 airflow in winter as the climate is remarkably temperate for this altitude. The mean 139 annual air temperature is approximately 2.5 °C, and the annual precipitation is 140 141 modelled as 910 mm (Xiao et al. 2014). The lake is charged by 3 streams and directly from precipitation and drains into a lower alpine lake via a stream. The most common 142 rock type in the catchment is a quartz poor granitoid (syenite). Terrestrial vegetation in 143 the catchment consists mainly of conifer forest comprising Abies sp. and Picea sp. 144 with an understory of Rhododendron spp. Above the tree-line, at about 4100 m a.s.l, 145 Ericaceae shrubland (rhododendrons) gives way to alpine herb meadow and rock 146 147 screes.

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149 3 Methodology

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- 151 3.1 Field and laboratory analysis
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Surface sediment samples were collected from 100 lakes in the south-east margin of the Tibet Plateau via six field campaigns during the autumn of each year between 2006 and 2012. The lakes in this area are mainly distributed at the top or upper slopes of the mountains and are primarily glacial in origin. Most lakes were reached by hiking or with horses and the lake investigation spanned several seasons. Small lakes (surface area c. ~1 km²) were the primary target for sampling but some larger lakes were also included.

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Surface sediments (0-1 cm) were collected from the deepest point in each lake after a survey of the bathymetry using a portable echo-sounder. Surface sediment samples were taken using a Kajak gravity corer (Renberg, 1991). The samples were stored in plastic bags and kept in the refrigerators at 4 °C before analysis. A 30 cm short core was extracted from the centre of Tiancai Lake at a water depth of 6.8 m using UWITEC gravity corer in 2008. The sediment core was sub-sampled at 0.5 cm contiguous intervals and refrigerated at 4°C prior to analysis.

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Water samples were collected for chemical analysis from 0.5 m below the lake 169 surface immediately before the sediment samples were obtained. Water samples for 170 chemical analysis were stored in acid-washed polythene bottles and kept at 4 °C until 171 analyses. Secchi depth was measured using a standard transparency disc. 172 Conductivity, pH and dissolved oxygen (DO) were measured in the field using a 173 174 HI-214 conductivity meter, Hanna EC-214 pH meter and JPB-607 portable DO meter. Chemical variables for the water samples including total phosphorus (TP), total 175 176 nitrogen (TN), chlorophyll-a (chl a), K⁺, Na⁺, Mg²⁺, Ca²⁺, Cl⁻, SO₄²⁻, NO₃⁻ were





177 determined at the Nanjing Institute of Geography and Limnology, Chinese Academy of Sciences. The surface sediments were also analysed for percentage loss-on-ignition (% 178 LOI) following standard methods (Dean 1974). Site-specific values for the mean July 179 air temperature (MJT) and mean annual precipitation (MAP) were estimated using 180 climate layers that were created using statistical downscaling of General Circulation 181 Model (GCM) outputs and terrain parameterization methods in a regular grid network 182 with a grid-cell spacing of 1 km² (Böhner 2004, 2006; Böhner and Lehmkuhl, 2005). 183 MJT is used to represent summer temperatures because July is the warmest month in 184 185 south-western China.

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- 187 3.2 Chironomid analyses
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189 100 surface sediment samples from lakes of south-western China and 55 190 sub-samples from the Tiancai Lake short core were analysed for chironomids following standard methods (Brooks et al, 2007). The sediment was deflocculated in 191 10% potassium hydroxide (KOH) in a water bath at 75 °C for 15 minutes. The 192 samples were then sieved at 212 µm and 90 µm and the residue was examined under 193 a stereo-zoom microscope at x 25. Chironomid head capsules were hand-picked 194 195 using fine forceps. All the head capsules found were mounted on microscope slides in 196 a solution of Hydromatrix®. Samples produced less than 50 head capsules were not included in the subsequent analyses (Quinlan and Smol, 2001). The chironomid head 197 198 capsules were identified mainly following Wiederholm (1984), Oliver and Roussel (1982), Rieradevall and Brooks (2001), Brooks et al. (2007) and a photographic guide 199 provided in Tang (2006). 200

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- 202 3.3 Numerical analysis
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204 A range of numerical methods were used to determine the relative influence of the measured environmental parameters on the distribution of chironomids in the surface 205 206 sediments within the training set. A total of fifteen environmental variables were 207 considered in the initial statistical analyses (Table 1). These measurements were normalized using a log₁₀ transformation prior to ordinations following a normality 208 209 assessment of each data set. Chironomid species were used in the form of square root transformed percentage data in all statistical analyses. The ordinations were 210 performed using CANOCO version 4.5 (ter Braak and Šmilauer, 2002). A detrended 211 correspondence analysis (DCA; Hill and Gauch, 1980) with detrending by segments 212 and nonlinear rescaling was used to explore the chironomid distribution pattern. The 213 DCA was also used to identify the gradient length within the chironomid data and 214 215 hence whether unimodal analyses were appropriate (ter Braak, 1987). Canonical correspondence analysis (CCA) down-weighted for rare taxa (with a maximum 216 217 abundance of less than 2% and/or occurred in fewer than two lakes, N < 2, N₂ < 2), 218 with forward selection and Monte Carlo permutation tests (999 unrestricted permutations) was then used to identify the statistically significant (p < 0.05) variables 219 220 influencing chironomid distribution (ter Braak and Smilauer, 2002). A preliminary CCA





221 with all fifteen variables was used to identify redundant variables, reducing excessive co-linearity among variables (Hall and Smol, 1992), i.e. the environmental variable 222 with highest variance inflation factor (VIF) was removed after each CCA and the CCA 223 was repeated until all VIFs were less than 20 (ter Braak and Šmilauer, 2002). Only the 224 remaining significant (p < 0.05) variables were included in the final CCA ordination. 225 The relationship between significant environmental variables and ordination axes was 226 assessed with canonical coefficients and the associated t-values of the environmental 227 variables with the respective axes. CCA bi-plots of sample and species scores were 228 229 generated using CanoDraw (ter Braak and Šmilauer, 2002). Partial canonical 230 correspondence analyses (pCCAs) were applied to test the direct and indirect effects of each of the significant variables in relation to the chironomid species data. These 231 were performed for each of the significant variable with and without the remaining 232 233 significant variables included as co-variables. Environmental variables that retained their significance after all pCCAs were selected for use in the analyses as they are the 234 independent variables. 235

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237 Chironomid based transfer functions were developed for MJT using C2, version 1.5. (Juggins, 2005). Inference models were developed for the subset of 47 lakes located 238 239 in Yunnan Province close to or above 4000 m a.s.l. and the full calibration data set of 240 100 lakes, respectively. The models were constructed using algorithms based on weighted-averaging (WA) and weighted-averaging partial-least-squares (WA-PLS) 241 242 (Birks, 1995). Jackknifing was applied for the Yunnan calibration data set of 47 lakes 243 as this technique is more robust for data sets with fewer than 80 sites (Kim and Han, 244 1997). Bootstrap cross-validation technique was tested for the full calibration dataset of 100 lakes as previously demonstrated that it is more suitable for large datasets 245 (Heiri et al., 2011). Transfer function models were evaluated based on the 246 performance of the coefficient of determination (r^2) , average bias of predictions, 247 maximum bias of predictions and root mean square error of prediction (RMSEP). The 248 number of components included in the final model was selected based on reducing 249 250 the RMSEP by at least 5% (Birks, 1998).

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The transfer function models based on the 100 full calibration data set and the subset 252 253 of 47 lakes were then applied to the fossil chironomid data from Tiancai Lake, respectively. MJTs were reconstructed from the site and three types of reconstruction 254 diagnostics suggested in Birks (1995) were applied to assess the reliability of the 255 results. These include goodness-of-fit, modern analogue technique (MAT) and the 256 percentage (%) analysis of modern rare taxa in the fossil samples. For the 257 goodness-of-fit analysis, the squared residual length (SqRL) was calculated by 258 259 passively fitting fossil samples to the CCA ordination axis of the modern training set data constrained to MJT in CANOCO version 4.5 (ter Braak and Smilauer, 2002). 260 Fossil samples with a SqRL to axis 1 higher than the extreme 10 and 5% of all 261 residual distances in the modern calibration dataset were considered to have a 'poor' 262 and 'very poor' fit with MJT respectively. The chi-square distance to the closest 263 264 modern assemblage data for each fossil sample was calculated in C2 (Juggins, 2005)





using the MAT. Fossil samples with a chi-square distance to the closest modern 265 sample larger than the 5th percentile of all chi-square distances in the modern 266 assemblage data were identified as samples with 'no good' analogue. The percentage 267 of rare taxa in the fossil samples was also calculated in C2 (Juggins, 2005), where a 268 rare taxon has a Hill's N₂ < 2 in the modern data set (Hill, 1973). Fossil samples that 269 270 contain > 10% of these rare taxa were likely to be poorly estimated (Brooks and Birks, 2001). Finally, the chironomid transfer functions inferred MJT patterns were compared 271 to the instrumental recorded data from Lijiang weather station between the years of 272 273 1951 and 2014.

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275 3.4. Chronology for Tiancai Lake core

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The top 28 cm of the sediment core recovered from Tiancai Lake were used for ²¹⁰Pb 277 dating. Sediment samples were dated using ²¹⁰Pb and ¹³⁷Cs by non-destructive 278 gamma spectrometry (Appleby and Oldfield, 1992). Samples were counted on an 279 Ortec HPGe GWL series well-type coaxial low background intrinsic germanium 280 detector to determine the activities of ²¹⁰Pb, ²²⁶Ra and ¹³⁷Cs. A total of 58 samples at 281 an interval of every 0.5 cm were prepared and analysed at the Nanjing Institute of 282 283 Geography and Limnology, Chinese Academy of Sciences. Sediment chronologies 284 were calculated using a composite model (Appleby, 2001). ¹³⁷Cs was used to identify the 1963 nuclear weapons peak, which was then used as part of a constant rate of 285 286 supply (CRS) model to calculate a ²¹⁰Pb chronology for the core.

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288 4 Results

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290 4.1 Distribution of chironomid taxa along the temperature gradient

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292 A total of 53 non-rare taxa (N > 2 and N₂ > 2) (Brooks and Birks, 2001) chironomid taxa were identified from the 47 Yunnan lakes and a total of 95 non-rare taxa were 293 294 identified from 100 south-western Chinese lakes (Fig. 2a). Only these non-rare taxa 295 were included in the final transfer function models developed based on the Yunnan subset and full calibration data set respectively. Common cold stenotherms, here 296 297 defined as taxa with a preference for < 12°C MJT include Heterotrissocladius gracilentus-type, 298 marcidus-type, Tanytarsus Paracladius, Micropsectra 299 insignilobus-type, Micropsectra radialis-type, Tanytarsus lugens-type, Thienemanniella clavicornis-type, Α, Pseudodiamesa, 300 Micropsectra Type Micropsectra atrofasciata-type and Corynoneura lobata-type (Fig. 2a). Taxa 301 characterizing warmer temperatures (> 12°C) include Polypedilum nubeculosum-type, 302 303 Eukiefferiella gracei-type, Microtendipes pedellus-type and Tanytarsus lactescens-type (Fig. 2a). Many of the remaining taxa reflect more cosmopolitan 304 305 distributions, these include Procladius, Chironomus anthracinus-type, Chironomus plumosus-type, Corynoneura scutellata-type, Tanytarsus pallidicornis-type, 306 Tanytarsus mendax-type and Paratanytarsus austriacus-type (Fig. 2a). 307 308





309 4.2 Chironomid taxa in Tiancai Lake

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311 A total of 55 sub-samples were analysed for chironomid taxa throughout the top 28 cm 312 of the core recovered from Tiancai Lake. There were 41 non-rare (N > 2, N₂ > 2) taxa present (Fig. 2b). The general assemblages of these 55 sub-samples include 313 Heterotrissocladius marcidus-type, Tvetenia tamafalva-type, Micropsectra 314 insignilobus-type, Corynoneura lobata-type, Paramerina divisa-type, Micropsectra 315 radialis-type, Paratanytarsus austriacus-type, Thienemanniella clavicornis-type, 316 317 Eukiefferiella claripennis-type, Rheocricotopus effusus-type, Macropelopia, 318 Pseudodiamesa and Procladius (Fig. 2b). All the taxa identified from this record were well represented, and most of them were recognized as cold stenotherms, in the 319 modern calibration training sets (Fig. 2a). 320

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322 4.3 Ordination analyses and model development

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Detrended canonical analyses (DCAs) performed on the 47 lakes from Yunnan 324 325 showed the gradient length of axis 1 was 3.328, indicating a direct unimodal method was appropriate to model the chironomid species response (Birks 1998). CCAs were 326 then performed on the 47 Yunnan lakes, 53 non-rare taxa and 15 environmental 327 328 variables. The initial CCA showed total dissolved solids (TDS) had the highest VIF 329 and was removed from further analyses. Among the remaining 14 variables, eight 330 explained a significant (p < 0.05) proportion of variance in the chironomid species data (Table 2a, Fig. 3a, b). These were MJT (16%), conductivity (10.7%), K⁺ (10.7%), 331 Ca²⁺ (9.9%), TP (5.7%), Cl⁻ (5.5%), depth (4.4%) and LOI (3.7%). A total of 30.2% 332 333 variance was explained by the first four CCA axes using the eight significant variables with the first CCA axis explaining nearly half of the total variance. Among these 334 variables, MJT, K⁺, depth and Ca²⁺ showed a significant correlation (p < 0.01) with 335 CCA axis 1 and Cl⁻, MJT, LOI, Ca²⁺ showed a significant correlation (p < 0.01) with 336 CCA axis 2 (Table 2a, Fig. 3a, b). MJT explained the largest amount of variance (16%) 337 338 in the chironomid species data and showed the strongest correlation with CCA axis 1 339 (Table 2a). The pCCAs results indicated that within the eight significant variables, only MJT retained its significance (p < 0.01) after partialling out using pCCAs (Table 3a). 340 341

A bi-plot of the CCA species scores indicating the percent of variance explained by the 342 CCA axes in each chironomid taxon with respect to the environmental variables (Fig. 343 3a). Microtendipes pedellus-type, Einfeldia natchitocheae-type, Paratanytarsus 344 penicillatus-type, Tanytarsus medax-type, Chironomus anthracinus-type, Cladopelma 345 Dicrotendipes 346 edwardsi-type, nervosus-type, Ablabesmyia, Tanytarsus 347 pallidicornis-type, Procladius, Chironomus plumosus-type, Cricotopus sylvestris-type, Polypedilum nubeculosum-type, Tanytarsus lactenscens-type displayed a substantial 348 349 amount of variance with the first two CCA axes and were positively correlated with 350 CCA axis 1. These taxa were associated with warm temperatures. Heterotrissocladius marcidus-type, Tanytarsus lugens-type, Parametriocnemus, Eukiefferiella gracei-type, 351 352 Paramerina divisa-type and Micropsectra type A, showed a negative correlation with





353 CCA axis 1 and these taxa were inferred as cold temperature indicators. A bi-plot of 354 the CCA sample scores revealed that a large number of sites are closely distributed 355 around depth and LOI, respectively, despite of the low explanatory power of these two 356 variables in the 47 lakes training set (Fig. 3b).

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The DCAs performed on the full calibration training set of 100 lakes and 95 non-rare 358 chironomid taxa had an axis 1 gradient length of 3.033 indicating a CCA approach 359 was appropriate for modelling the chironomid taxa response (Birks, 1998). The same 360 15 environmental variables were tested as in the initial CCA and the results showed 361 that TDS had the highest VIF. It was then removed from the following CCAs. Seven of 362 the remaining 14 variables had significant explanatory power with respect to the 363 chironomid species data. These were K⁺ (4.8%), MJT (4.4%), cond (4.4%), Cl⁻ (3.4%), 364 LOI (3.1%), Na⁺ (2.7%) and depth (2%) (Table 2b). A total of 14.6% of variance was 365 explained by the four CCA axes with the 7 significant variables included and the first 366 two axes explained 10% of the total variance. Of these variables, cond and K⁺ were 367 significantly correlated (p < 0.01) with CCA axis 1 and cond, depth, Cl⁻, MJT showed a 368 significant correlation (p < 0.01) with CCA axis 2 (Table 2b, Fig. 3a, b). Potassium ions 369 (K⁺) explained the largest variance in the chironomid species data and showed the 370 371 strongest correlation with CCA axis 1. MJT and cond explained equally the second 372 largest amount of variance (4.4%) where MJT was significantly correlated with CCA axis 2 and cond was significantly correlated with both axis 1 and 2 (Table 2b). The 373 374 pCCAs (Table 3b) demonstrated that within the 7 significant variables K⁺, MJT, Cl⁻, 375 LOI and depth retained their significance (p < 0.01) when the other variables were 376 included as co-variables. Potassium ions (K⁺) is the independent variable dominates the first CCA axis. MJT and Cl are the independent variables dominating the second 377 CCA axis but MJT has an overall higher explanatory power (Table 2b). 378 379

A bi-plot of the CCA species scores indicated that taxa such as Heterotrissocladius 380 marcidus-type and Tanytarsus lugens-type had a significant amount of variance 381 382 explained by the first two CCA axes and were negatively correlated with CCA axis 1. Taxa including Polypedilum nubeculosum-type, Chironomus plumosus-type were 383 384 positively correlated with CCA axis 1 with a significant amount of variance explained 385 by the CCA axis 1 and 2. A bi-plot of the CCA sample scores showed that a major 386 proportion of sites distributed concentrating around depth (Fig. 3b) whereas depth only explains 2% of the total variance in the 100 lakes calibration dataset. 387

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The transfer functions were developed for mean July temperature (MJT) based on the 389 subset with 47 Yunnan lakes and the full 100 lakes calibration datasets, respectively. 390 391 We acknowledge that MJT is not the sole independent variable on CCA axis 2 in the 100 lake dataset but transfer functions based on this large regional dataset are 392 created and applied to reconstruct MJT for the purpose of comparing the performance 393 394 with the more localized Yunnan transfer function models. Both weighted averaging (WA) and weighted averaging partial least squares (WA-PLS) models were tested for 395 396 MJT in the respective modern calibration sets. Summary statistics of inference models





397 based on these two different numerical methods are listed in Table 4. The WA with inverse deshrinking (WAinv) and WA-PLS models generated similar statistical results 398 for both calibration training sets. For the subset of 47 Yunnan lakes, the WAinv model 399 produced a strong jackknifed coefficient of determination (r²_{jack}) of 0.83, average bias 400 (AveBiasjack) of 0.113, maximum bias (MaxBiasjack) of 2.83 and root mean squared 401 error of prediction (RMSEP) of 1.67 °C (Table 4a). The first component of WA-PLS 402 model was selected and it produced the same r²_{jack} of 0.83, AveBiasjack of 0.109, a 403 slightly higher MaxBiasjack of 3.15 and RMSEP of 1.72 °C (Table 4a). Fig. 4a and 4b 404 405 show the chironomid-inferred versus observed MJT and the distribution of prediction residuals for the transfer function models based on the subset of 47 lakes from 406 Yunnan. 407

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For the full calibration set of 100 south-western Chinese lakes, bootstrap 409 cross-validation techniques was applied for both the WAinv and WA-PLS models 410 (Table 4). Similar to the Yunnan subset, the WAinv and WA-PLS model produced 411 comparable statistical results. The WAinv model produced an r²_{boot} of 0.61, 412 AveBiasboot of 0.06, MaxBiasboot of 5.30 and RMSEP (s1 + s2) of 2.30 °C (RMSEs1 413 = 0.69 °C and RMSEs2 = 2.19 °C) (Table 4a). We selected the second component of 414 415 WA-PLS bootstrap model as it is the most robust and reduced the RMSEP by more 416 than 5%. It produced an r²boot of 0.63, AveBiasboot of 0.101, a lower MaxBiasboot of 5.16 and RMSEP (s1 + s2) of 2.31 °C (RMSEs1 = 0.89 °C and RMSEs2 = 2.14 °C). 417 418 Fig. 4c and 4d show the chironomid-inferred versus observed MJT and the distribution of prediction residuals for the transfer function models based on the full calibration 419 420 training set of 100 lakes.

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422 4.4 Reconstructions from Tiancai Lake

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The ²¹⁰Pb dating results demonstrated that the top 28 cm of the short core recovered 424 from Tiancai Lake represent the last c. ~150 years (Fig 5). We applied all four new 425 transfer function models (WA-47 lakes, WA-100 lakes, WAPLS-47 lakes, WAPLS-100 426 427 lakes) to reconstruct the MJT changes between 1860 AD and 2008 (Fig. 6a). The WA and WA-PLS models constructed based on the subset of Yunnan lakes and the full 428 429 calibration dataset 100 lakes showed identical trends in the MJT reconstructions over the last c. ~150 years (Fig. 6a). There were small deviations in terms of absolute 430 values but the variations in the reconstructed MJT among the four models were within 431 0-0.5 °C for each sample (Fig. 6a). Goodness-of-fit analysis on the reconstruction 432 results based on the 100 lake dataset showed that out of the 55 fossil samples, eight 433 samples from the years between 2000 and 2007 AD have 'poor' and 'very poor' fit to 434 MJT (Fig. 6b). The modern analogue analysis showed that only four fossil samples 435 have 'no good' analogues in the 100 lake dataset (Fig. 6c). All 55 fossil samples 436 contain less than 10% of the taxa that were rare in the modern 100 lake training set 437 (Fig. 6d). Finally, the reconstructed results also showed a comparable MJT trend with 438 the instrumental measured data between 1951 and 2007 AD from Lijiang weather 439 440 station (Fig. 6e).





442 5 Discussion

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444 5.1 Reliability of the environmental and chironomid data

Obtaining reliable estimates of the modern climate data has been challenging in 446 south-western China. There are very few meteorological stations and climate 447 monitoring in the high mountains of our study area is virtually non-existent. Climate 448 449 parameters including MJT and mean annual precipitation used in this study are interpolated from climate surfaces derived from a mathematical climate surface model 450 based on the limited meteorological data and a digital terrain model (DTM) applied to 451 the whole of the wider Tibetan region (4000 x 3000 km) (Böhner, 2006). We 452 acknowledge that there are limitations in these data due to the sparse distribution of 453 observations from meteorological stations. Modelling precipitation in topographically 454 complex parts of this region such as the Yunnan is problematic due to the orographic 455 interception (or non-interception) of monsoonal air masses upwind of the sites, but the 456 scale of the DTM means that mean temperature data should be reasonably robust, 457 except in the most topographically complex areas. Further meteorological 458 observations are required to refine this and other studies. 459

460

We examined the chironomid taxa that appeared as temperature indicators in the 47 461 462 and 100 lake datasets respectively. A number of taxa, namely Diamesa, Parametriocnemus and Tvetenia tamafalva-type emerge as cold stenotherms in the 463 464 47 lake dataset but not in the 100 lake dataset. Diamesa, Parametriocnemus and Tvetenia tamafalva-type displayed a more cosmopolitan distribution in the larger 465 training set. We further examined these taxa and we identified that Diamesa, 466 Parametriocnemus and Tvetenia tamafalva-type are all lotic (Cranston, 2010). These 467 taxa would likely have washed in to the lakes from streams and therefore it is not 468 appropriate to make temperature inferences based on them. While they appeared as 469 470 cold stenotherms in the 47 lakes dataset, it is mainly because this training set had 471 lakes with limited in flows except in the alpine lakes. This created the impression of these taxa being cold stenotherms whereas the inclusion of additional lowland lakes 472 473 that had stream inflows in the larger data set allowed the identification of this misrepresentation. In summary, the 100 lake training set has allowed better 474 identification of environmental tolerance of chironomid taxa in the south-western 475 China data sets. 476

477

5.2 Comparison of environmental gradients between the 47 and 100 lakes datasets

The training set, comprising 47 lakes in Yunnan covers MJTs between 5.6 °C and 18.8 °C and yields a MJT gradient of 13.2 °C. The ordination analyses (CCAs and pCCAs) of this dataset showed that MJT is the only independent variable on CCA axis 1 and explained the largest amount of the total variance (16%) in the chironomid data. Based on these statistical results, the 47 Yunnan lake training set initially appeared





485 more appropriate for developing a MJT chironomid-based transfer function (Juggins,486 2013).

487

The 100 lake training set covers a longer temperature gradient ranging from 4.2 °C to 488 20.8 °C (MJT gradient of 16.6 °C). Based on the CCAs, we observed that the MJT 489 490 signal in this larger training set is partially masked by a salinity gradient. This is represented by potassium ions (K⁺) and conductivity (Fig. 3c, d). CCA axis 1 is 491 dominated by K⁺ and this may be related to weak weathering. This is because (1) the 492 493 first CCA axis is driven by lakes that have low precipitation but intermediate level of evaporation, examples of these sites include Lake Xiniuhaijiuzhai, Lake Muchenghai 494 and Lake Kashacuo, from the north margin of Sichuan Province. These lakes indicate 495 cool, dry and low windiness conditions that lead to a weak weathering environment. 496 We highlight that this area is different from the high Tibetan Plateau where aridity and 497 salinity dominates. (2) In chemical weathering sequences, K⁺ is an early stage 498 499 weathering product (Meunier and Velde, 2013) and K⁺ is often associated with primary minerals, such as feldspars and micas in the bedrock (Hinkley, 1996). Salinity is 500 501 responding to both temperature and aridity but further pCCAs indicate that both K⁺ and MJT are independent variables in this training set. 502

503

504 The second CCA axis is co-dominated by MJT and CI with very similar gradient 505 lengths. Lakes distributed along the warmer end of the MJT gradient include Lake 506 Longtan, Lake Lutu, Lake Luoguopingdahaizi and Lake Jianhu. Most of these sites 507 are lower to intermediate altitude sites in the dataset (below 2700 m a.s.l) because 508 elevation is correlated with temperature. Sodium ions (Na⁺) largely follow the same 509 axis as MJT as evaporation is related in part to temperature. In summary, MJT and Clare both independent variables that drive the second CCA axis and Cl⁻, and Na⁺ 510 partially reflect evaporation effects because, on average, lakes in warmer climates 511 512 evaporate more than those in colder ones. In addition, Cl⁻ concentration may also relate to the characteristics of the bedrock geology of the region. We highlight that 513 514 there are very few lakes on the CI⁻ gradient and these lakes are from the border of 515 Sichuan and Yunnan Provinces, where geothermal springs are widespread. We argue that developing a MJT transfer function is appropriate for the 100 lake training set 516 517 because MJT is independent of other variables (e.g. Rees et al., 2008; Chang et al., 2015a). Although Cl⁻ is also independent and co-dominates CCA axis 2, the overall 518 explanatory power is lower (Table 2b) and also the lambda ratio ($\lambda 1/\lambda 2$) is less than 519 MJT (Table 3b). We retained all 100 lakes from the region without removing sites to 520 artificially enhance the MJT gradient in the ordination analyses and model 521 development because this 100 lake dataset is a more accurate reflection of the 522 natural environment of SW China. 523

524

525 We re-highlight that some chironomid taxa appeared as stenotherms in the 47 lake 526 dataset only because the dataset does not cover the full environmental range. For 527 example, the CCA bi-plot for the 47 lake training set indicating that *Tanytarsus* 528 gracilentus-type, *Tvetenia tamafalva*-type and *Micropsectra* follow the MJT gradient





closely (Fig 3a). In the 100 lake training set, we observed that Tanytarsus 529 gracilentus-type is more closely related to lake depth, while both Tvetenia 530 tamafalva-type and Micropsectra show closer correlation with LOI and CI⁻ instead of 531 MJT. The latter observations match with the ecological recognition and interpretation 532 of these taxa in literature where Tanytarsus gracilentus-type was identified as a 533 benthic species in the arctic (Einarsson et al., 2004; Ives et al., 2008); Tvetenia 534 tamafalva-type was often found in streams and this is likely related to the organic 535 content (LOI) of the substrates as they are detritus feeders (Brennan and McLachlan, 536 537 1979); while Micropsectra was found in thermal springs and pools (Hayford et al., 1995; Batzer and Boix, 2016) and this is reflected in this dataset with having a close 538 relationship with Cl⁻. It presents in lakes such as Lake Tengchongqinghai, Qicai Lake 539 and Lake Haizibian that have high levels of Cl⁻ ions. These sites are located in 540 geothermal spring region of Sichuan and Yunnan Provinces. 541

542

Well-known warm stenotherms that are distributed along the MJT gradient of the CCA 543 species bi-plot (Fig. 3c) for the 100 dataset include Dicrotendipes, Microchironomus, 544 Polypedilum and Microtendipes. Many studies (e.g. Walker et al. 1991; Larocque et al. 545 2001; Rosenberg et al., 2004; Brodersen and Quinlan, 2006; Woodward and 546 547 Shulmeister, 2006) show that these taxa are warm temperature indicators worldwide. 548 We therefore further argue that while MJT explained a higher total variance in the chironomid data in the 47 Yunnan lake training set, the 100 lake training set has a 549 550 clear advantage in that it contains a more complete range of temperatures and 551 environments expected to have been experienced by lakes and their chironomid fauna in the past (Brooks and Birks, 2001). This will be particularly useful when 552 applying the models to reconstruct changes in the late Pleistocene and Holocene 553 when climates were different (Heiri et al., 2011). 554

555

556 5.3 Comparisons of the transfer function statistics

557

558 We compared the statistical results of the transfer functions generated from the 47 and 100 lakes training sets. We selected the WA-PLS based models over the WAinv 559 based approach for both training sets because the addition of PLS components can 560 561 reduce the prediction error in datasets with moderate to large noise (ter Braak and Juggins, 1993). The 47 lake dataset WA-PLS model yields a strong r_{iack}^2 (0.83) and a 562 comparably lower RMSEP_{jack} (1.7 °C, represents 12.8% of scalar length of the MJT 563 gradient). The performance of the model is highly comparable to models of a similar 564 kind worldwide such as from eastern North America with 136 lakes ($r^2 = 0.82$, Barley 565 et al., 2006) and Finland with 77 lakes ($r^2 = 0.78$, Luoto, 2009) where the RMSEPs 566 represent 11.7% and 12.5% of their respective temperature gradient length. However, 567 there are apparent caveats in the distribution of the model predicted MJTs and error 568 residuals along the temperature gradient (Fig.4a-d). These include: (1) there is a gap 569 in sites between the MJTs of 12 and 15 °C; (2) there is a wide scatter of error residuals 570 for sites located in an intermediate temperature range (between 10 and 12 °C) and at 571 572 the warmer end (> 18 °C). These indicate there are limitations for the model to





573 accurately reconstruct temperatures in warmer conditions (e.g. the Holocene) and during relatively minor cooling events (e.g. the Little Ice Age). The 47 lakes training 574 set covers a MJT gradient of 13.2 °C and this should be capable of detecting glacial to 575 interglacial changes. The problem in the smaller data set is that some taxa are likely 576 to have their climate tolerances and optima significantly underestimated (Heiri et al., 577 2011). For example, Diamesa, is present up to a MJT of 10 °C in the 47 lake dataset, 578 whereas in the 100 lake dataset, it is present in samples with a MJT of 17 °C. The 579 consequence of this is that Diamesa appears as a cold stenotherm in the 47 lakes 580 581 dataset but it is actually cosmopolitan. This finding is in line with Heiri et al. (2011) and Brooks and Birks (2001), who demonstrated from Europe that broader datasets give a 582 more accurate view of the chironomid distribution data. 583

584

The 100 lakes training set extends the MJT gradient by 3.4 °C to 16.6 °C and the 585 RMSEP represents 13.8% of the scalar length of the MJT gradient. This is still 586 comparable with most chironomid transfer function models including transfer function 587 models developed from Northern Sweden with 100 lakes ($r^2 = 0.65$, Larocque et al., 588 2001) and western Ireland with 50 lakes ($r^2 = 0.60$, Potito et al., 2014), representing 589 14.7% and 15% of the scaler length of the temperature gradient respectively. Despite 590 591 of the relatively lower model coefficient ($r_{boot} = 0.63$), we observe that by increasing the 592 number of lakes in the calibration set, the distribution of the sites along the MJT 593 gradient is evened out (Fig. 4d). The distribution of the error residuals generates a 594 smoother curve (Fig. 4d) than the 47 lakes training set. The model leads to overestimation of low and underestimation of high temperature values which is typical 595 of the WA models (ter Braak and Juggins, 1993). We acknowledge that the lower 596 model coefficient (rboot) may relate to the lowered explanatory power of MJT in the 597 chironomid species data and increased number of independent and significant 598 variables in the 100 lake training set when a wider range of lakes were included. 599 600 However, increasing the length of the temperature gradient allowed the incorporation of full potential abundance and distributional ranges for each of the chironomid taxa. 601

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603 5.4 Tiancai Lake reconstructions

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605 The 47 lakes training set displays an apparently stronger statistical correlation to the temperature record. We argue that the increased robustness of applying the transfer 606 function model based on the larger dataset outweigh the modest reduction in 607 statistical performance. All three types of diagnostic techniques applied (Fig 6 b-d) 608 suggest that a reliable MJT reconstruction was provided by the WAPLS model based 609 on the 100 lake dataset overall. We also predict that the model based on the larger 610 611 dataset may amplify both cool and warm events because it covers a more complete environmental range, allowing taxon responses to be fully observed. In order to test 612 613 this and also to test whether either reconstruction matches reality, we applied both of the WAPLS models to the Tiancai Lake chironomid data, for the period between 1860 614 615 AD and the present.





We plot the trends of MJT reconstruction results from both the WAPLS models against 617 the ~50-year long instrumental record from Lijiang station (Fig. 6e). We do not expect 618 the absolute MJT values to be identical because Lijiang is located ~55 km 619 east-northeast (ENE) and ~1600 m lower in altitude than Tiancai Lake. We applied a 620 621 typical environmental lapse rate of temperature (change with altitude) for Alpine regions, which is 0.58 °C per 100 metres (Rolland, 2003) to estimate the equivalent 622 MJT values from Lijiang station. If the chironomid based transfer functions are able to 623 provide reliable estimates for MJTs, we expect the records demonstrate a similar 624 625 trend with the instrumental data (Fig. 6e).

626

The reconstruction results are well matched with the expected outcomes: (1) It is 627 reassuring that the transfer function model based on 100 lakes dataset for a broader 628 629 area of SW China reconstructs mean July temperatures (MJTs) with a similar pattern to the 47 Yunnan lakes dataset in terms of the trend; (2) as expected, the WAPLS 630 631 model based on the 100 dataset amplifies both cool and warm periods; (3) both chironomid based reconstructions broadly match the trend recorded by the instrument. 632 By applying the environmental lapse rate, we observe a temperature depression from 633 Lijiang to Tiancai Lake of about 9.3 °C (giving an inferred MJT at Tiancai Lake of 634 635 8.4°C). This magnitude of change is consistent with the reconstructions from Tiancai Lake, where the difference in mean is 0.67 °C (equivalent to a MJT of 7.7 °C) when 636 compared to the results derived from the 100 lake based WAPLS model and 0.86 °C 637 638 (equivalent MJT of 7.5 °C) for the 47 lake model. The implication is that the 100 lake based model may be able to reconstruct the MJTs that better reflect the actual climate 639 record, though the difference between the models is small. We observe there are 640 minor out of phase patterns and this may reflect the uncertainties of applying the ²¹⁰Pb 641 chronology to very recent lake sediments (Binford, 1990). Furthermore, we note that 642 sediment samples reflect more than one season and consequently the total range of 643 the temperature reconstructions from the chironomid samples is likely to be slightly 644 less than the meteorological data because of the smearing out of extreme years. 645 646 While we expect overall trends between Lijiang and Tiancai Lake to be similar, the 647 sites are not closely co-located and some natural variability between the sites is expected. A significant correlation (p < 0.05, r = 0.45, n = 31) was still obtained 648 649 between the instrumental data and the 100 lake WAPLS model inferred MJT data for the last ~ 50 years. In summary, the WAPLS model based on the 100 lake chironomid 650 training set has produced reliable summer temperature records and can realistically 651 also be applied to reconstructing past climate in SW China. 652

653

654 6 Conclusions

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Two chironomid based summer temperature transfer functions have been constructed and applied to Yunnan region in SW China. These include transfer functions based on a 47 lakes training set confined to Yunnan and a 100 lakes training set from a broader region of south-western China. The first component of WA-PLS model based on the 47 lakes training set produced an r_{jack}^2 of 0.83, AveBiasjack of 0.11, a MaxBiasjack of





3.15 and RMSEP of 1.72 °C. The second component of WA-PLS bootstrap model for the 100 lakes training set is the most robust for those data and produced an r_{boot}^2 of 0.63, AveBiasboot of 0.10, a MaxBiasboot of 5.16 and RMSEP (s1 + s2) of 2.31 °C (whereas RMSEs1 = 0.88 °C and RMSEs2 = 2.14 °C). Both the ordination and transfer function statistics show that the 47 lakes training set has a stronger correlation with MJT, but in practice, we demonstrated that the reconstruction results based on the 100 lakes training set are also reliable. The larger dataset may potentially provide a better representation of the environmental preferences of the chironomid taxa. The 100 lakes training set allowed insight into chironomid distribution despite having many more independent environmental gradients. The test of the two transfer function models against the modern data suggest that the WA-PLS models provided near identical reconstructions that match the trend of the local instrumental record for the last 50 years. As also demonstrated from pan-European chironomid based transfer functions (e.g. Brooks and Birks, 2001; Heiri et al., 2011), the broadly based 100 SW Chinese lakes is likely more robust and is equally appropriate for use reconstructing long-term summer temperature changes of SW China.

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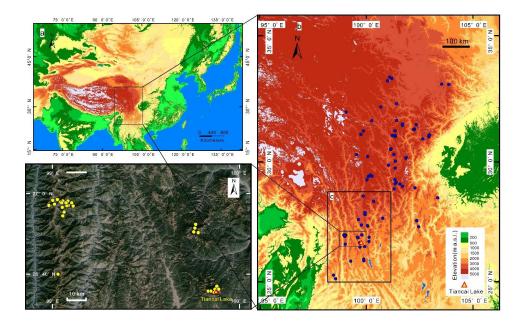




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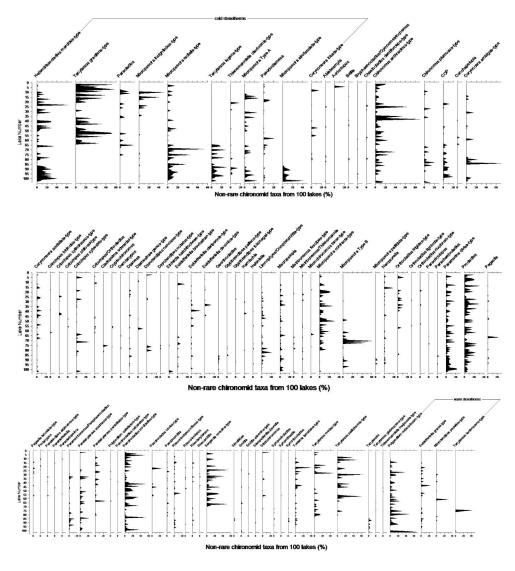




- Fig. 1 Map of south-west China (a) showing the location of 100 lakes included in full
- 927 calibration training set (square box). The subset of 47 lakes from Yunnan province is
- shown in the square box (b). The triangle (Δ) indicates the location of Tiancai Lake in
- 929 (c).



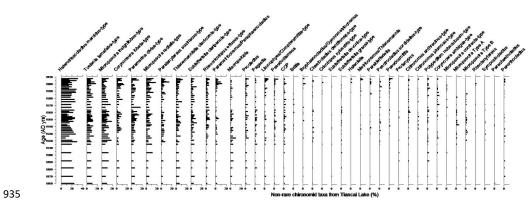




- 931 Fig 2a. Chironomid species percent diagram of 95 non-rare taxa with N and N2 > 2.
- 932 Lake number from 1 to 100 is on the y-axis. Warm and cold stenotherms were
- 933 identified and grouped based on optical observation and the canonical
- 934 correspondence analysis (CCA) species scores.







- 936 Fig 2b. Forty-one (41) non-rare chironomid species present in the short core (28 cm)
- 937 from Tiancai Lake.





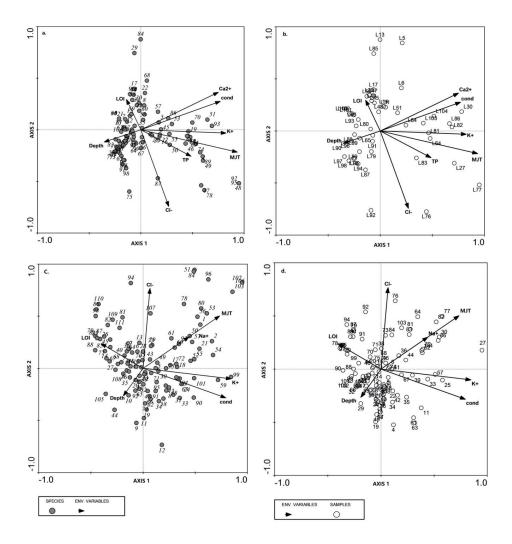


Fig 3 CCA bip-lots of sample and species scores constrained to environmental variables that individually explain a significant (p < 0.05) proportion of the chironomid species data. (a) species and (b) sample scores constrained to eight significant environmental variables in the 47 Yunnan lakes training set. (c) species and (d) sample scores constrained to seven significant variables in the 100 lakes of southwestern China. The species codes are correspondent to the taxa names shown in Fig. 2a.





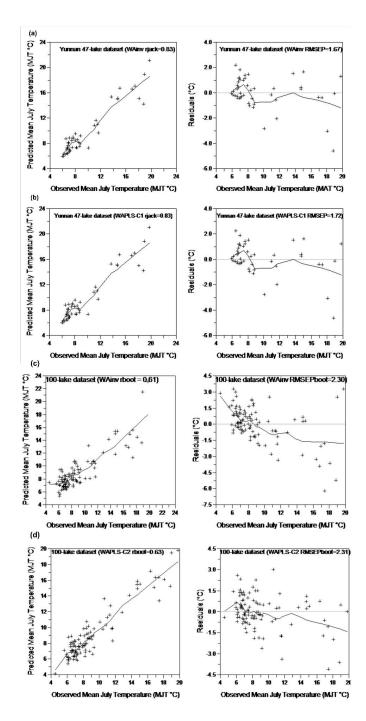




Fig 4 Performance of the weighted average models with inverse deshrinking (WAinv)
and partial least square (WA-PLS) models using the 47 lakes and 100 lakes
calibration data sets: (a) WAinv jackknifed model with 47 lakes (b) WA-PLS jackknifed

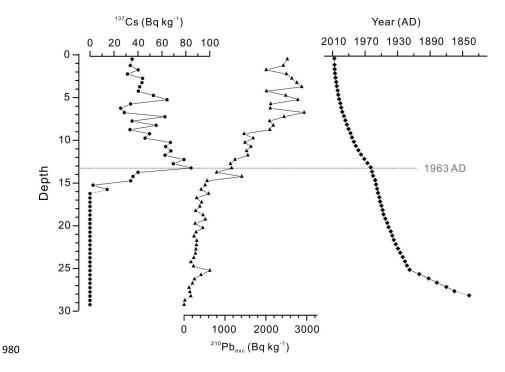




950 951 952 953 954 955 956	model with 47 lakes (c) WAinv bootstrapped model with 100 lakes and (d) WA-PLS bootstrapped model with 100 lakes. Diagrams on the left show the predicted versus observed mean July temperature (MJT) and diagrams on the right display residuals of the predicted versus observed mean July temperature. Note that all the models have a tendency to over-predict temperatures from the cold end of the gradient and underestimate temperatures at the warm end. This is typical for the WA based models.
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981 Fig 5 The age and depth model for ²¹⁰Pb dating results of the short core (30 cm) from

982 Tiancai Lake. The concentration of ¹³⁷Cs (circle), excess ²¹⁰Pb (triangle) and the

983 calibrated age (AD years) (square) were plotted against core sample depth,

984 respectively.





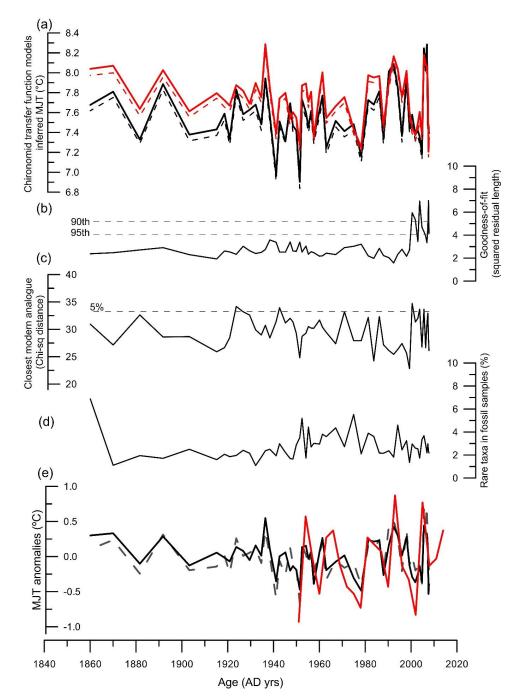


Fig 6 (a) Chironomid-based mean July temperature reconstruction results from Tiancai Lake based on 4 transfer function models: solid red line is the reconstruction based on the weighted average partial least square (WAPLS) bootstrapped model with 2 components using 100 lakes calibration set, solid black line is the





reconstruction based on the WAPLS jackknifed model with 1 component using 47
lakes in Yunnan, dashed black line is based on the weighted average with inverse
deshrinking (WAinv) jackknifed model using 47 lakes in Yunnan and dashed red line is
based on WAinv jackknifed model using 100 lakes in southwestern China.

Reconstruction of diagnostic statistics for the 100 lake dataset where (b) displays the 994 goodness-of-fit statistics of the fossil samples with mean July temperature (MJT). 995 Dashed lines are used to identify samples with 'poor fit' (> 95th percentile) and 'very 996 poor fit' (> 90th percentile) with temperature (c) Nearest modern analogues for the 997 fossil samples in the calibration data set, where dashed line is used to show fossil 998 samples with 'no good' (5%) modern analogues. (d) Percentage of chironomid taxa in 999 1000 fossil samples that are rare in the modern calibration data set (N < 2 and N2 < 2). (e) Comparison between the chironomid-based transfer function reconstructed trends 1001 with the instrumental data from Lijiang weather station (in red solid line, with 1002 1003 three-sample moving average). Black solid line represents the reconstruction based on the WAPLS bootstrapped model with 2 components using 100 lakes calibration set 1004 and grey dashed line represents the reconstruction based on the WAPLS 1005 bootstrapped model with 1 component using 47 lakes calibration set from Yunnan in 1006 this diagram. 1007

1011





- 1014 Table 1. List of all the 18 environmental and climate variables measured from
- 1015 southwestern Chinese lakes, with mean, minimum and maximum values cited for the

1016 47 lakes calibration set from Yunnan and the full 100 lakes, respectively.

Variable	Unit	Symbol	Mean	Min	Max	Mean	Min	Мах
			(47	(47	(47	(100	(100	(100
			lakes)	lakes)	lakes)	lakes)	lakes)	lakes)
Altitude	m	alt	3534	1769	4506	3785	1769	4608
Mean July precipitation	mm	MJP	500	174	721	392	104	1055
Mean annual	mm	MAP	2171	731	3156	1820	505	5228
precipitation								
Mean July temperature	°C	MJT	9.7	6	19.8	9.1	4.2	19.8
Secchi depth	m	SD	2.7	0.4	11	3.5	0.2	12.5
Conductivity	µm cm ⁻¹	Cond	40.6	5	234	55.8	5	336
Total dissolved solids	mg L ⁻¹	TDS	15.8	2.5	70.3	18.4	1.9	79.7
рН	-	pН	8.3	7.23	10	8.5	7.23	10
Depth	m	Depth	8.2	0.5	40	10.7	0.25	52
Total Nitrogen	mg L ⁻¹	TN	0.4	0.01	3.4	0.3	0.01	3.4
Total Phosphorus	mg L ⁻¹	TP	0.07	0	1.6	0.05	0	1.6
Sodium	mg L ⁻¹	Na+	2.9	0.23	37.2	2.7	0.22	37.2
Potassium	mg L ⁻¹	K+	0.5	0	4.5	0.5	0	4.5
Magnesium	mg L ⁻¹	Mg ²⁺	2.2	0.2	20	2.2	0	20
Calcium	mg L ⁻¹	Ca ²⁺	5.4	0.8	28.7	7.3	0.8	34.6
Chlorine	mg L ⁻¹	CI-	2.4	0	9	1.7	0	9
Sulfate	mg L ⁻¹	SO42-	2.3	0.1	8.7	3.9	0.1	31.6
Loss-on-ignition	%	LOI	32.1	1.92	77.1	24.3	1.92	77.1





1035	Table 2 CCA summar	y of the eight significant variables	(p < 0.05) including canonical
1033		y of the eight eighteet	(p · 0.00) moldaling cartomoa

1036 co-efficients and t-values of the environmental variables with the ordination axes

1038 species

	Axis 1	Axis 2	Axis 3	Axis 4
Eigenvalues	0.43	0.19	0.15	0.12
Cum % var. spp.	14.6	21.1	26.1	30.2
Cum% var. spp. – env. relation	37.7	54.5	67.3	77.8

Variable	Total variance explained	Regression/canonical coefficients		t-values of regr coefficients	ession
		Axis 1	Axis 2	Axis 1	Axis 2
cond	10.7%	-0.03	0.06	-0.24	0.19
depth	4.4%	-0.18	-0.02	-3.62	-0.14
TP	4.7%	0.09	-0.01	1.76	-0.04
K+	10.7%	0.32	0.25	4.36	1.32
Ca2+	9.9%	0.32	0.84	2.71	2.72
CI-	5.5%	0.01	-0.54	0.16	-3.81
MJT	16.0%	0.44	-0.75	4.95	-3.19
LOI	3.7%	0.06	0.34 1.11		2.84

1039

2b								
		Axis 1	Axis 2	Axis 3	Axis 4			
Eigenvalue	S	0.24	0.17	0.10	0.08			
Cum % var	. spp.	5.90	10.0	12.5				
Cum% var. spp env. relation 33.5				71.2	82.7			
Variable	Total variance explained	Regression/canonical t-values of re coefficeints coefficients			-	-		
		Axis 1	Axis 2		Axis 1	Axis 2		
cond	4.4%	0.44	-0.27		3.99	-2.65		
depth	2.0%	-0.15	-0.21		-1.90	-2.82		
Na+	2.7%	0.10	0.02		0.91	-0.17		
K+	4.8%	0.49	-0.07		4.67	-0.65		
CI-	3.4%	-0.21	0.65		-2.18	6.94		
MJT	4.4%	0.14	0.62		1.49	6.90		
LOI	3.1%	-0.09	0.04		-1.02	0.48		

¹⁰³⁷ including (a) 47 lakes and 53 non-rare species and (b) 100 lakes and 95 non-rare





1044Table 3. Partial Canonical Correspondence Analysis (pCCA) result with environmental1045variables that showed a significant correlation (p < 0.05) in CCAs with chironomid1046species data included, where (a) is based on the 47 lakes training set, where mean1047July temperature (MJT) (bold) is the only variable retained its significance level (p <10480.01) after each pCCAs and (b) is based on the 100 lakes training set in which depth,1049K+, Cl-, LOI and MJT (bold) retained their significance (p < 0.01) after each step of the1050pCCAs.

1051

Variable	Covariable	% var. axis 1	% var. axis 2	p-value	λ1	λ2	λ1/λ2
cond	none	10.7	11.5	0.001	0.317	0.340	0.927
	TP	10.4	11.5	0.001	0.290	0.320	0.898
	K+	6.30	11.7	0.001	0.168	0.310	0.540
	MJT	6.00	17.7	0.001	0.154	0.300	0.508
	CI-	11.0	10.4	0.001	0.308	0.290	1.055
	LOI	11.3	11.7	0.001	0.322	0.340	0.961
	Depth	9.80	12.0	0.001	0.278	0.340	0.820
	Ca2+	4.40	12.8	0.010	0.119	0.340	0.348
	ALL	6.40	10.3	0.001	0.125	0.200	0.628
depth	none	4.40	14.4	0.004	0.131	0.430	0.307
deptil	cond	3.50	12.8	0.032	0.092	0.340	0.271
	TP	4.30	13.4	0.005	0.092	0.370	0.324
	MJT	3.70	13.1	0.003	0.096	0.340	0.286
	CI-	4.80	14.7	0.002	0.134	0.410	0.324
	LOI	4.60	14.8	0.005	0.133	0.420	0.316
	K+	4.20	12.6	0.005	0.111	0.340	0.331
	Ca2+	3.80	12.8	0.011	0.101	0.340	0.295
	ALL	4.70	10.4	0.006	0.089	0.200	0.447
14.		10.7		0.004	0.040	0.040	0.000
K+	none	10.7	11.4	0.001	0.316	0.340	0.932
	Ca2+	6.50	11.4	0.001	0.175	0.300	0.576
	cond	6.30	11.7	0.001	0.167	0.310	0.537
	MJT	3.90	13.1	0.013	0.099	0.340	0.292
	CI-	10.4	10.2	0.001	0.292	0.290	1.025
	LOI	10.8	11.4	0.001	0.309	0.330	0.948
	Depth	10.4	11.8	0.001	0.296	0.340	0.884
	TP	9.20	12.1	0.001	0.258	0.340	0.761
	ALL	6.50	10.2	0.001	0.127	0.200	0.638
TP	none	5.70	13.8	0.004	0.170	0.410	0.414
	K+	4.20	12.8	0.011	0.112	0.340	0.330





	Ca2+	8.90	10.8	0.001	0.239	0.288	0.830
	K+	6.70	12.7	0.001	0.177	0.339	0.522
	COND	8.70	11.4	0.001	0.231	0.303	0.762
MJT	none TP	16.0 11.3	8.70 12.1	0.001	0.394	0.340	1.159 0.929
міт	nono	16.0	9 70	0.001	0.204	0.240	1 150
	ALL	5.90	10.3	0.003	0.115	0.200	0.578
	Depth	9.30	12.0	0.001	0.264	0.340	0.772
	LOI	10.4	11.8	0.001	0.297	0.340	0.879
	CI-	10.3	9.90	0.001	0.289	0.280	1.036
	MJT	5.30	11.2	0.001	0.138	0.290	0.479
	K+	5.70	11.5	0.001	0.152	0.300	0.500
	COND	3.60	12.9	0.040	0.095	0.340	0.278
	TP	9.90	11.5	0.001	0.276	0.320	0.854
Ca2+	none	9.90	11.6	0.001	0.293	0.350	0.847
			10.4	0.000	0.031	0.200	0.401
	ALL	4.80	10.4	0.004	0.091	0.200	0.337
	Ca2+	4.20	12.7	0.004	0.114	0.340	0.337
	Depth	3.90	14.9	0.002	0.111	0.400	0.100
	CI-	3.20	16.6	0.082	0.091	0.460	0.196
	MJT	4.00	12.8	0.008	0.103	0.330	0.312
	K+	3.90	12.3	0.019	0.103	0.330	0.316
	cond	4.30	12.7	0.008	0.115	0.340	0.343
	TP	3.90	14.6	0.022	0.109	0.410	0.267
LOI	none	3.70	15.9	0.036	0.11	0.470	0.234
				0.014	0.002	0.200	0.112
	ALL	4.30	10.5	0.002	0.082	0.200	0.412
	TP	5.40	14.6	0.001	0.151	0.410	0.368
	Depth	5.90	14.5	0.003	0.144	0.400	0.310
	LOI	5.00	16.3	0.001	0.134	0.250	0.310
	MJT	5.20	9.80	0.001	0.138	0.290	0.484
	K+	5.20	10.8	0.001	0.134	0.290	0.327
	Ca2+ cond	5.90 5.80	10.5 11.0	0.001	0.158 0.154	0.280	0.566
CI-	none	5.50	15.6	0.001	0.163	0.470	0.351
		5 50	45.0	0.001	0.400	0.470	0.054
	ALL	5.10	10.4	0.014	0.097	0.200	0.487
	MJT	3.60	13.1	0.071	0.091	0.340	0.267
	Depth	5.60	13.2	0.008	0.159	0.370	0.425
	LOI	5.90	14.3	0.004	0.169	0.410	0.413
	CI-	5.60	14.6	0.008	0.157	0.410	0.383
	Ca2+	5.70	12.1	0.001	0.152	0.320	0.471
	cond	5.40	12.2	0.008	0.143	0.320	0.443





CI-	13.0	9.00	0.001	0.365	0.253	1.443
LOI	13.5	25.1	0.001	0.387	0.330	1.173
Depth	12.7	11.8	0.001	0.359	0.336	1.068
ALL	5.40	10.4	0.001	0.104	0.199	0.523

Variable	Covariable	% var.	% var.	p-value	λ1	λ2	λ1/λ2
		axis 1	axis 2				
cond	none	4.40	7.90	0.001	0.179	0.317	0.560
	depth	4.60	7.90	0.001	0.181	0.315	0.570
	Na+	4.10	7.70	0.001	0.159	0.305	0.520
	K+	1.80	8.20	0.004	0.069	0.316	0.220
	CI-	4.60	7.50	0.001	0.179	0.293	0.610
	MJT	3.60	8.10	0.001	0.140	0.313	0.450
	LOI	3.60	7.90	0.001	0.140	0.310	0.450
	ALL	1.70	7.60	0.016	0.057	0.259	0.220
depth	none	2.00	9.80	0.001	0.082	0.397	0.210
acpui	cond	2.20	8.10	0.001	0.083	0.315	0.260
	Na+	2.20	9.90	0.002	0.083	0.313	0.200
	K+	2.20	8.30	0.001	0.083	0.321	0.260
	CI-	2.00	10.0	0.002	0.079	0.390	0.200
	MJT	2.00	9.60	0.001	0.077	0.371	0.210
	LOI	2.10	9.50	0.001	0.082	0.372	0.220
	ALL	2.20	7.60	0.001	0.074	0.259	0.290
Na+	none	2.70	9.60	0.001	0.111	0.388	0.290
Πάτ	Cond	2.40	7.80	0.001	0.091	0.305	0.200
	depth	2.40	9.80	0.001	0.091	0.303	0.290
	K+	2.30	7.70	0.001	0.089	0.296	0.230
	Cl-	2.70	8.90	0.001	0.106	0.230	0.310
	MJT	1.90	9.60	0.001	0.100	0.347	0.310
	LOI	2.40	9.60	0.008	0.072	0.371	0.190
	ALL	1.70	7.70	0.001	0.093	0.375	0.230
K+	none	4.80	7.90	0.001	0.192	0.322	0.600
	cond	2.10	8.20	0.002	0.082	0.316	0.260
	Na+	4.30	7.60	0.001	0.171	0.296	0.580
	CI-	5.00	7.40	0.001	0.195	0.290	0.670
	LOI	4.10	8.20	0.001	0.160	0.320	0.500
	Depth	4.90	8.10	0.001	0.193	0.321	0.600
	MJT	3.30	8.20	0.001	0.129	0.314	0.410
	ALL	2.00	7.70	0.003	0.069	0.259	0.270





CI-	none	3.40	9.70	0.001	0.137	0.393	0.350
	cond	3.50	7.60	0.001	0.137	0.293	0.470
	K+	3.60	7.60	0.001	0.140	0.290	0.480
	MJT	3.20	8.60	0.001	0.125	0.332	0.380
	LOI	3.50	9.40	0.001	0.137	0.366	0.370
	Depth	3.40	9.90	0.001	0.134	0.390	0.340
	Na+	3.40	8.80	0.001	0.132	0.347	0.380
	ALL	2.80	7.60	0.001	0.098	0.259	0.380
LOI	none	3.10	9.30	0.001	0.124	0.377	0.330
	Na+	2.70	9.60	0.001	0.107	0.375	0.290
	cond	2.20	8.00	0.001	0.086	0.310	0.280
	K+	2.40	8.30	0.001	0.092	0.320	0.290
	MJT	3.00	9.30	0.001	0.116	0.361	0.320
	CI-	3.20	9.40	0.001	0.124	0.366	0.340
	Depth	3.10	9.40	0.001	0.124	0.372	0.330
	ALL	2.20	7.60	0.001	0.074	0.259	0.290
MJT	none	4.40	9.10	0.001	0.176	0.371	0.470
	Na+	3.50	9.40	0.001	0.137	0.371	0.370
	cond	3.50	8.10	0.001	0.137	0.313	0.440
	K+	2.90	8.20	0.001	0.113	0.314	0.360
	LOI	4.30	9.20	0.001	0.168	0.361	0.470
	CI-	4.20	8.50	0.001	0.164	0.332	0.490
	Depth	4.30	9.40	0.001	0.171	0.371	0.460
	ALL	2.70	7.50	0.001	0.091	0.259	0.350





Table 4. Results of the transfer function development where (a) shows the 1072 performance of the weighted average model with inverse and classical deshrinking 1073 1074 (WAinv, WAcla), weighted average partial least squares (WA-PLS) models for reconstructing mean July temperature using (a) 47 lakes from Yunnan and 53 1075 1076 non-rare chironomid species and (b) for using 100 lakes from south-western China and 95 non-rare chironomid species. The bold indicates the models that are tested for 1077 reconstructing the mean July temperatures from Tiancai Lake. 1078

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a	ì.								
	Model	RMSE	R2	Ave_Bias	Max_B	Jack_	Jack_Ave_	Jack_Max	RMSEP
	type				ias	R2	Bias	_Bias	
1	WA_Inv	1.27	0.90	1.61E-15	1.73	0.83	0.113	2.83	1.67
2	WA_Cla	1.34	0.90	1.25E-15	2.14	0.83	0.119	2.60	1.65
C1	WAPLS	1.27	0.90	-0.039	1.75	0.83	0.109	3.15	1.72
C2	WAPLS	0.82	0.96	0.035	1.73	0.81	0.194	3.57	1.78
C3	WAPLS	0.62	0.98	-0.028	0.59	0.79	0.163	3.54	1.87

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30	32	b.										
ſ	#	Model	RMSE	R2	Ave_Bias	Max_	Boot	Boot_A	Boot_M	RMSE	RMSE	RMSEP
		type				Bias	_R2	ve_Bias	ax_Bias	_s1	_s2	
ſ	1	WA_Inv	1.69	0.76	-6.07E-15	3.52	0.61	0.06	5.30	0.69	2.19	2.30
	2	WA_Cla	1.93	0.76	-9.43E-15	3.37	0.61	0.07	4.78	0.86	2.20	2.36
	c1	WAPLS	1.69	0.76	-0.064	3.47	0.60	0.023	5.28	0.71	2.22	2.33
	c2	WAPLS	1.23	0.88	0.052	1.91	0.63	0.101	5.16	0.89	2.14	2.31
	c3	WAPLS	1.05	0.91	-0.026	1.56	0.60	0.065	5.08	1.03	2.19	2.41