



1 **Summer precipitation reconstructed quantitatively using a Mid**
2 **Holocene $\delta^{13}\text{C}$ common millet record from Guanzhong Basin, China**

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11

12 **Abstract**

13 In order to produce quantitative Holocene precipitation reconstructions for particular
14 geographical areas, explicit proxies and accurate dating controls are required. The
15 fossilized seeds of common millet (*Panicum miliaceum*) are found throughout the
16 sedimentary strata of northern China, and are highly suited to the production of
17 accurate quantitative Holocene precipitation reconstructions: their isotopic carbon
18 composition ($\delta^{13}\text{C}$) gives a measure of the precipitation required during the growing
19 season, and allows these seeds to be dated. We therefore used a robust regression
20 function, as part of a systematic study of the $\delta^{13}\text{C}$ of common millet, to produce a
21 quantitative reconstruction of Mid Holocene summer precipitation in the Guanzhong
22 Basin. Our results showed that summer precipitation from 7.7-3.4 ka BP was 240-477
23 mm, with a mean of 354 mm, *i.e.* ~ 50 mm or 17% higher than present levels.
24 Maximal mean summer precipitation peaked at 414 mm, ~ 109 mm (or 36%) higher
25 than today, occurring during 6.4-5.5 ka BP; this is when the East Asian Summer
26 Monsoon (EASM) was at its peak. As the $\delta^{13}\text{C}$ -based precipitation record can reliably
27 indicate EASM intensity during the Holocene, this work can provide a reliable proxy
28 for further research into the detailed processes, and precise mechanisms, of the
29 EASM.

30 **Keywords:** summer precipitation; quantitative reconstruction; Holocene; Chinese
31 Loess Plateau; common millet; stable carbon isotope

32

33 **Sect.**

34 **1 Introduction**

35 The reconstruction of global climate changes through history is an important part
36 of the Past Global Changes (PAGES) project. Such reconstructions provide an attempt
37 to understand the evolution of the earth's climate over time, and any temporal, spatial
38 and/or regional differences. Quantitatively reconstructing climatic factors such as
39 temperature and precipitation provides an understanding of agricultural development
40 and the human impact upon the landscape and environment. However, modern
41 records are insufficient for the accurate documenting of the drivers of climate change,
42 since they cover only the past century or so (De Menocal, 2001). The quantitative



43 reconstruction of temperature and precipitation using high resolution climatic proxy
44 records has therefore become the principal thrust of PAGES research. To this end, we
45 chose an area key to the warm period of the Holocene to produce a quantitative
46 precipitation reconstruction for that geological time.

47 The Holocene, as the most recent geological period, has witnessed the
48 emergence and rapid development of primitive farming, and therefore has the closest
49 relation to human survival and development. The Holocene has experienced three
50 distinct climatic phases: a rapid warming during the Early Holocene; a warm and
51 humid Mid Holocene phase; and a gradual cooling during the Late Holocene (An *et al.*,
52 2000; Wang *et al.*, 2005a). The Holocene has also seen climatic fluctuations
53 caused by rapid climate change and other catastrophic events such as flooding,
54 drought and intense tropical cyclones (Bond *et al.*, 1997; Mayewski *et al.*, 2004;
55 Kleiven *et al.*, 2008). The megathermal phase of the Mid Holocene is similar to a
56 scenario whereby global average temperatures rise by 1~2°C (Prentice and Webb,
57 1998), and can therefore be regarded as a paleoanalog for future climate prediction
58 and the evaluation of potential environmental impacts.

59 Centennial scale quantitative paleoclimatic reconstructions are needed if we are
60 to understand more completely and accurately climate change processes and controls.
61 To date, most continental paleoclimate studies have focused on temperature (Porter
62 and An, 1995; Guo *et al.*, 1996; Genty *et al.*, 2003; Wang *et al.*, 2008; Sun *et al.*,
63 2012). Reconstructions of regional and global temperatures have shown that the warm
64 Early Holocene (10-5 ka BP) was followed by a 0.7°C cooling through the Mid to
65 Late Holocene (<5 ka BP), reaching a minimum ~200 years ago, during the ‘Little Ice
66 Age’. The recent rapid warming of the climate is unprecedented, based on surface
67 temperature reconstructions for the past 1,500 years (Marcott *et al.*, 2013). However,
68 the increase in global surface temperatures has tended to cause changes in
69 precipitation and atmospheric moisture through changes in atmospheric circulation, a
70 more active hydrological cycle and an increasing water holding capacity throughout
71 the atmosphere (Dore, 2005). The availability of water, one of the major challenges
72 for the future, cannot be ignored, due to its significant role in the hydrological cycle
73 (Hetté and Guiot, 2005).

74 The EASM, an important component of the Asian Summer Monsoon (ASM),
75 plays an indispensable role in the hydrological cycle over southern China. Various
76 proxies have been adopted in studies of the EASM during the Holocene. Due to their
77 reliable chronology and relatively easy dating, oxygen isotopes ($\delta^{18}\text{O}$) in speleothems
78 from Chinese caves have been taken as a robust measure of summer monsoon
79 precipitation values (Wang *et al.*, 2005a; Cheng *et al.*, 2009). However, the
80 interpretation of these changes in the $\delta^{18}\text{O}$ values of precipitation remains highly
81 controversial; some scientists have contended that the stalagmite $\delta^{18}\text{O}$ record from the
82 EASM region may not record EASM variability (Le Grande and Schmidt, 2009;
83 Maher and Thompson, 2012; Tan, 2012; Caley *et al.*, 2014; Liu *et al.*, 2015).

84 The Chinese Loess Plateau (CLP), located in a transition zone between a semi-
85 arid and semi-humid climate, and being deeply impacted by the EASM, is, and has
86 been, highly sensitive to changes in precipitation and has thus long been a key area for



87 EASM research. The quantitative precipitation reconstruction results obtained have
88 been based exclusively on climatic proxies derived from the CLP's geological and
89 biological records. In the western CLP, fossil charcoal records in the Tianshui Basin
90 have demonstrated that the mean annual precipitation (MAP) was 688-778 mm
91 between 5.2-4.3 ka BP (Sun and Li, 2012). In the CLP's hinterland, magnetic
92 susceptibility records from the Luochuan profile have provided estimates of Holocene
93 MAP varying between 600 and 750 mm, with a mean value of 701 ± 74 mm (Lu *et al.*,
94 1994). In the southern CLP, Guanzhong Basin MAP, as revealed by plant phytolith
95 assemblies, was 700–800 mm during the Holocene, indicating a much more humid
96 climate than today's (Lu *et al.*, 1996). Further evidence from the transfer functions of
97 geological records and the intensity of pedogenesis has shown that Guanzhong Basin
98 MAP was >700 mm during the Holocene Optimum (Sun *et al.*, 1999; Zhao, 2003),
99 supporting the aforementioned results. However, due to their intrinsic limitations,
100 such as discontinuity and an indefinite response mechanism between the proxies and
101 climate change, these tentative proxies have not been extensively applied. This has led
102 to either short-term or/and unverifiable quantitative results. Selecting an effective
103 proxy which evinces a continuous distribution, reliable dating and an unambiguous
104 implication is crucial for the quantitative reconstruction of paleoprecipitation. High-
105 resolution pollen-based quantitative precipitation results indicating EASM evolution
106 have recently been obtained from an alpine lake in northern China (Chen *et al.*, 2015).
107 However, because these are attributable solely to this unique environment, a regional
108 quantitative precipitation reconstruction, and therefore a new proxy, is still required.

109 Common millet (*Panicum miliaceum*), as the most representative agricultural
110 rain-fed crop of northern China, contains $\delta^{13}\text{C}$; this is sensitive to precipitation and
111 can thus effectively record precipitation during the growing season (Yang and Li,
112 2015). Rain-fed agriculture originated in the CLP, giving rise to the first
113 recognizably Chinese civilization. Many archeological relics from an unbroken
114 historical continuum are therefore found throughout the region (An, 1988).
115 Quantities of the fossilized seeds of common millet are well-preserved in the cultural
116 layers of these archeological sites (Zhao and Xu, 2004; Liu *et al.*, 2008; Lu *et al.*,
117 2009). Their stable $\delta^{13}\text{C}$ compositions, which remain little change because of the low
118 temperatures associated with carbonization, contain valuable information about
119 paleoclimate change and early agricultural activities (Yang *et al.*, 2011a, 2011b).
120 Common millet remains are therefore perfect for quantitatively reconstructing
121 Holocene precipitation in the CLP.

122 The Guanzhong Basin (Figure 1), in the southern CLP, was the cradle of
123 Neolithic culture and China's ancient civilization, and fostered the Laoguantai (~7.8-
124 6.9 ka BP), Yangshao (~6.9-5.0 ka BP) and Longshan (~5.0-4.0 ka BP) cultures (Ren
125 and Wu, 2010), the pre-Zhou culture (~3.5-3.0 ka BP) (Lei, 2010), and the Zhou
126 dynasties. Due to the intensity of early agricultural activity, huge quantities of
127 common millet remains have been preserved in numerous, continuously-occupied
128 cultural sites. Carbonized common millet seeds are the most abundant resource found
129 in the samples collected in this study from these cultural layers.

130 In this study, common millet remains, from five sections characterized by



131 continuous and well-developed sedimentation at typical archeological sites, including
132 the Baijiacun (BJC), Huiduipo (HDP), Manan (MN), Beiniu (BN) and Nansha (NS)
133 sites (Figure 1), were sampled as part of a systematic study of $\delta^{13}\text{C}$ records;
134 quantitative precipitation reconstructions for the Holocene were then based upon a
135 robust transfer function between the $\delta^{13}\text{C}$ of modern common millet and precipitation,
136 providing a scientific basis for predicting future climate change and its possible
137 impact.

138

139 2 Methods

140 2.1 Sampling

141 All the ancient common millet remains used in this study were found at five
142 archeological sites in the Guanzhong Basin, *i.e.* the BJC, HDP, MN, BN and NS sites
143 (State Cultural Relics Bureau, 1998). Five sections characterized by continuous and
144 well-developed sedimentation were selected for sampling at the BJC, BN, HDP, MN
145 and NS sites. The sampling interval was 10 cm for the BJC and NS sections, and 20
146 cm for the BN, HDP and MN sections. Forty litre sample bags were filled with
147 sufficient quantities of sedimentary material to screen through a 50-mesh sieve to
148 obtain samples using flotation (Tsuyuzaki, 1994). Different archeological remains
149 were separated in the laboratory after air-drying. Agricultural seeds were identified
150 and picked out under the stereomicroscope, then marked in order according to
151 sampling depth. The numbers of remnant common millet seeds derived from all five
152 sections are listed in Table 1.

153

154 2.3 Stable $\delta^{13}\text{C}$ analysis

155 Stable $\delta^{13}\text{C}$ composition analyses were carried out on all 67 serial and bulk
156 common millet samples from the five sections, each composed of three to five grains,
157 without lemma. Each sample portion was placed in a beaker and covered with a 1%
158 hydrochloric acid solution to remove any carbonates. The samples were then washed
159 with distilled water to pH >5 and oven dried at 40°C for 24 h. The dried samples were
160 ground in an agate mortar and homogenized, then vacuum-sealed in a quartz tube with
161 copper oxide and silver foil and combusted for at least 4 h at 850°C. The CO_2 gas
162 from the combustion tube was extracted and cryogenically purified. The isotopic ratio
163 of the extracted CO_2 gas was determined using a MAT-251 gas source mass
164 spectrometer with a dual inlet system at the Institute of Earth Environment, Chinese
165 Academy of Sciences.

166 All isotope ratios were expressed using the following δ notation:

$$167 \quad \delta^{13}\text{C}(\text{‰}) = [(\text{R}_{\text{sample}} - \text{R}_{\text{std}}) / \text{R}_{\text{std}}] \times 1000 \quad \text{Eq. (1)}$$

168 The isotopic standard used was Vienna Pee Dee Belemnite (VPDB); analytical
169 precision at the 1 σ level was reported as 0.2‰.

170

171 2.3 ^{14}C dating and age model

172 AMS ^{14}C dating was conducted on one charcoal fragment and one charred seed of
173 common millet from the BJC section, five charred seeds each from the HDP and BN
174 sections, one charred seed from the MN section, and three charred seeds from the NS



175 section. AMS ^{14}C dating was carried out in the AMS chemistry laboratories at the
176 Australian Nuclear Science and Technology Organisation (ANSTO) using a STAR
177 Accelerator. AMS ^{14}C dates were calibrated using Calib Rev 7.0.4 software and the
178 INTCAL13 dataset (Reimer *et al.*, 2013). The AMS ^{14}C dating results (Table 2) show
179 that the ages of the sampled sections' cultural layers were usually correspondent with
180 archeological periodization.

181 On the basis that the depth-based linear interpolation method was not fit for the
182 dating of cultural layers because of potential disturbance, all common millet remnant
183 samples were divided into several groups to guarantee at least one dating dataset for
184 each group, as follows: samples from adjacent depths with close $\delta^{13}\text{C}$ values were
185 placed in the same group, allowing a greater difference between each group (One-
186 factor Analysis of Variance (one-way ANOVA), $P < 0.05$).

187

188 2.4 Quantitative modeling method and data analysis

189 The results for $\delta^{13}\text{C}$ values in the seeds of modern millet grown on the CLP (ref.
190 Yang and Li, 2015), demonstrated that the $\delta^{13}\text{C}$ of common millet has a significant
191 positive correlation with precipitation. In this study, standard major axis regression
192 analysis (SMA) was applied to establish a regression model between the $\delta^{13}\text{C}$ of
193 modern common millet and precipitation during growing seasons. SMA is
194 appropriate for random samples because, in data statistics analysis, it can take
195 account of standard deviations in the fit of both independent variables and dependent
196 variables. Moreover, any implication drawn from the dataset is both intuitionistic
197 and perspicuous, and therefore superior to ordinary least squares (OLS). Any
198 gradient acquired by SMA can more scientifically reflect the scaled relation between
199 two sets of observations; the regression coefficient of data samples can then be
200 solved by optimizing statistical responses in accordance with logical optimization
201 criteria. Statistical analyses were conducted using SMATR software (Version 2.0)
202 (Falster *et al.*, 2006). Other statistical analyses used SPSS 15.0 for Windows and
203 OriginPro 8.0 software. Unless otherwise stated, differences were considered
204 statistically significant when $P < 0.05$.

205

206 3 Results

207 Carbonized seed remains, sampled from Neolithic cultural layers, have $\delta^{13}\text{C}$
208 values ranging from -11.11‰ to -9.26‰ (Figure 2a), with a mean of $-10.23 \pm 0.36\text{‰}$ (n
209 $= 66$, $SD = \pm 1 \sigma$), eliminating the anomaly value of -8.82‰ analyzed by Boxplot using
210 SPSS statistical software (Figure 2b). The $\delta^{13}\text{C}$ composition of modern common
211 millet from the central and western CLP measured in 2008 ranged from -13.93‰ to
212 -12.46‰ , with a mean of $-13.15 \pm 0.50\text{‰}$ ($n = 15$, $SD = \pm 1 \sigma$) (Yang and Li, 2015). It can
213 thus be seen that the $\delta^{13}\text{C}$ values of common millet remains are more positive than
214 those of modern seeds by $\sim 2.92\text{‰}$.

215

216 The ^{13}C composition of plants results from a combination of carbon isotope
217 fractionation and source carbon isotope composition. Therefore, $\delta^{13}\text{C}$ changes in the
218 atmosphere, as a part of total CO_2 , are an important factor impacting upon the $\delta^{13}\text{C}$
219 values in plants (Araus and Buxo, 1993). The $\delta^{13}\text{C}$ values of atmospheric CO_2 in the



219 Holocene, from 11 ka BP to the pre-industrial age, show only a slight change, usually
 220 ranging between -6.1‰ and -6.6‰, with a mean value of $-6.4 \pm 0.15\text{‰}$ (Marino *et al.*,
 221 1992; Leuenberger *et al.*, 1992), $\sim 1.8\text{‰}$ higher than the present-day atmospheric
 222 CO₂ $\delta^{13}\text{C}$ values of -8.2‰ (Farquhar *et al.*, 1989; Keeling and Whorf, 1992; Cuntz,
 223 2011). After correcting for the change in atmospheric CO₂ $\delta^{13}\text{C}$ (1.8‰), the seed $\delta^{13}\text{C}$
 224 values for Holocene millet from the Guanzhong Basin are equivalent to modern plant
 225 values of -12.01‰, and are therefore $\sim 1.12\text{‰}$ less depleted in $\delta^{13}\text{C}$ than modern
 226 seeds (for the t test, $t=21.39$).

227 The regression function between $\delta^{13}\text{C}$ and precipitation for the common millet
 228 growing season was established using SMA as follows (Figure 3):

$$229 \quad \delta^{13}\text{C} (\text{‰}) = 0.0077P_{\text{gp}} - 14.76, \quad r^2 = 0.56, \quad P < 0.001 \quad \text{Eq.(2)}$$

230 The function's gradient indicated that the precipitation coefficient was
 231 0.77‰/100 mm, implying that, within physiological adaptation parameters, there
 232 would be a $\sim 0.77\text{‰}$ increase in $\delta^{13}\text{C}$ with a 100 mm increase in precipitation. The
 233 $\delta^{13}\text{C}$ values yielded by ancient common millets are slightly higher than those of
 234 modern common millet seeds, suggesting that these ancient plants grew in a much
 235 more humid environment than today's.

236 Common millet remains from archeological sites were divided into a total of 11
 237 groups (Table 3). Mean $\delta^{13}\text{C}$ values for common millet remains were calculated for
 238 each group. Results showed that the minimum value was $-10.55 \pm 0.16\text{‰}$, and the
 239 maximum value $-9.56 \pm 0.09\text{‰}$, for common millet growing between 7.7 ka BP and 3.4
 240 ka BP. After correcting for the change in the atmospheric CO₂ $\delta^{13}\text{C}$ (1.8‰), the range
 241 of mean $\delta^{13}\text{C}$ values for ancient millet *vis-à-vis* modern plants was between -12.35‰
 242 and -11.36‰. By applying the regression model based on the $\delta^{13}\text{C}$ and precipitation
 243 values for modern common millet during its growing season, we were able to extract
 244 paleoprecipitation values for the growing seasons of ancient crops for certain time
 245 periods.

246 These paleoprecipitation values were reconstructed by applying the $\delta^{13}\text{C}_{\text{re}}$ values
 247 for the ancient millet to a regression equation (Eq. 2) which expresses the relation
 248 between the $\delta^{13}\text{C}$ of common millet and precipitation. The results showed that the
 249 precipitation for the growing seasons (P_{gs}) of ancient millet during the period 7.7-3.4
 250 ka BP varied from 240 mm to 477 mm, with a mean of 354 mm (Table 3).

251

252 4 Discussion

253 4.1 The rationale behind using common millet $\delta^{13}\text{C}$ for precipitation 254 reconstruction

255 Carbon isotope composition of fossilized plant remains is a useful proxy for the
 256 reconstruction of local paleoclimatic changes, especially when using $\delta^{13}\text{C}$ values from
 257 plants which experience a single mode of photosynthesis. Common millet grains have
 258 been widely and continuously preserved throughout the Holocene in northern China.
 259 Fossilized millet seeds were generally formed at low temperatures ($\sim 250^\circ\text{C}$) by
 260 baking (Yang *et al.*, 2011a), and deposited in strata over long time periods with
 261 limited interaction with the buried environment. The observed $\delta^{13}\text{C}$ values of charred
 262 common millet formed at $\sim 250^\circ\text{C}$ were 0.2‰ lower than those of the source samples,



263 and much less than the natural variation typically found in wood (Yang *et al.*, 2011b).
264 The $\delta^{13}\text{C}$ signatures conserved in carbonized common millet are thus more reflective
265 of the true environment, without artificial correction.

266 The Carbon isotope composition of plants ($\delta^{13}\text{C}_p$) is affected by both
267 physiological characteristics and environmental factors. The $\delta^{13}\text{C}$ of C_3 plants
268 responds to environmental factors, such as atmospheric CO_2 pressure, O_2 partial
269 pressure, temperature, light and precipitation, by dominating the ratio of the
270 intercellular and ambient partial pressure of CO_2 (c_i/c_a) with the opening and closing
271 of leaf stomata (Körner and Diemer, 1987; Körner and Larcher, 1988; Körner *et al.*,
272 1989; Farquhar *et al.*, 1989; Dawson *et al.*, 2002). However, the $\delta^{13}\text{C}$ of C_4 plants

273 depends not only on c_i/c_a but also on how much CO_2 and HCO_3^- in bundle sheath
274 cells leaks into the mesophyll cells (called leakiness ϕ), which is determined by its
275 physiological characteristics (Hubick *et al.*, 1990). When ϕ is larger/smaller than 0.37,
276 there is a positive/negative correlation between $\delta^{13}\text{C}_p$ and c_i/c_a (Ubierna *et al.*, 2011).
277 Under water stress, the ϕ of the common millet, belonging to the NADP-ME
278 subgroup of C_4 plants, is likely larger than 0.37 (Schulze *et al.*, 1996; Yang and Li,
279 2015). This may account for the relation between the $\delta^{13}\text{C}$ of common millet and
280 precipitation being significantly positive (Yang and Li, 2015).

281 Limited precipitation and soil humidity are the most important environmental
282 factors affecting the growth of plants in arid and semi-arid areas (IPCC, 2007). For C_4
283 species in the arid regions of northwestern China, $\delta^{13}\text{C}_p$ tends to decrease with
284 decreasing soil water availability (Wang *et al.*, 2005b). For common millet, although
285 altitude, precipitation and water availability have a significant correlation with $\delta^{13}\text{C}$
286 according to correlation analysis, precipitation was the principal control of $\delta^{13}\text{C}$,
287 based on functional mechanism analysis (Yang and Li, 2015). The plants'
288 physiological characteristics and morphological adaptability showed that the stomatal,
289 and some non-stamatal, factors of common millet are sensitive to water status,
290 causing the $\delta^{13}\text{C}$ of the organic material to change with precipitation. This rationale
291 establishes an important theoretical foundation whereby the $\delta^{13}\text{C}$ of common millet
292 can serve as an effective indicator of paleoprecipitation.

293

294 4.2 Comparison between the mid-Holocene and modern precipitation

295 Ancient equivalent-seed $\delta^{13}\text{C}$ values, ranging from -12.35‰ to -11.36‰, are \sim
296 1–2‰ higher than those for modern millet seeds in the area. However, these analyses
297 reveal a small but significant shift to lower $\delta^{13}\text{C}$ values in modern seeds. Based on the
298 positive relation between the $\delta^{13}\text{C}$ of modern common millet and precipitation in the
299 CLP, the observed increases in the $\delta^{13}\text{C}$ values of ancient millet seeds could have been
300 caused by increased precipitation. Calculations using the regression equation provide
301 conservative estimates of the magnitude of this $\delta^{13}\text{C}$ shift in precipitation.

302 The $\delta^{13}\text{C}$ values of common millet seeds reflect the ^{13}C of photosynthetic
303 materials during not only their formative and mature stages, but also their vegetative
304 stage. The growing season of modern common millet in the Guanzhong Basin lasts
305 from June to September. The seed kernel's formative and mature stages occur soon



306 after pollination of the blossom. With an increase in kernel size, photosynthetic
307 material as well as pre-accumulated organic material is transferred to kernels from
308 stems, leaves and spikes (Chai, 1999). Therefore, millet $\delta^{13}\text{C}$ reflects the
309 environmental conditions extant during the growing season from mid-June to the end
310 of September, or 110 days in total.

311 Precipitation data from the Guanzhong Basin for the period 1951-2011 were
312 analyzed. The results showed that the precipitation for mid-June to September was
313 between 110-526 mm, with a mean of 305 mm (Figure 4). The 95% confidence
314 interval for this mean is between 279-332 mm, ruling out the extreme values of
315 abnormal years. Paleoprecipitation reconstructed from the regression function shows
316 that growing season precipitation for millet from 7.7-3.4 ka BP was between 240 and
317 477 mm, with a mean of 354 mm. Summer paleoprecipitation values show that the
318 climate was much more humid than it is today, with mean precipitation ~ 50 mm, or
319 17%, higher. A peak mean summer precipitation of 442 mm was reached at ~ 5.7 ka
320 BP; even the lowest value of 313 mm ~ 6.5 ka BP was higher than today's mean value.
321 Summer precipitation during the Mid Holocene (7.7-3.4 ka BP) in the Guanzhong
322 Basin exhibited a systemic increase.

323 The reconstructed summer precipitation also fluctuates significantly.
324 Accordingly, the 7.7-3.4 ka BP period can be divided into four distinct stages (Figure
325 4). During the 7.7-6.4 ka period, summer precipitation was 332 mm, which is 9%, or
326 27 mm, higher than today. For 6.4-5.5 ka BP, summer precipitation was 414 mm, *i.e.*
327 109 mm, or 36%, higher than today. During 5.5-4.4 ka BP, summer precipitation was
328 338 mm, higher than today's value by 33 mm, or 11%. During the period 4.4-3.4 ka
329 BP, summer precipitation was 361 mm, *i.e.* 18%, or 56 mm, higher than today.

330 On the basis of the above analysis, the period 6.4-5.5 ka BP, having the most
331 abundant precipitation and being the most markedly humid period, probably marks
332 the Holocene Climate Optimum in the Guanzhong Basin; this was also when the
333 Yangshao Culture flourished, with archeological finds indicating that there were as
334 many villages in the area as there are today. It is worth noting that the relatively high
335 precipitation during 4.4-3.4 ka BP was mainly caused by an anomalous high value of
336 397 ± 11 mm at ~ 4.1 ka BP, when precipitation was 92 mm, or 30% higher, than at
337 present. This may indicate a rapidly-developing climatic event, correspondent with
338 other global records.

339

340 4.3 Validating the reliability of quantitative precipitation reconstructions

341 The instrumental data for the last 60 years (1961-2011) indicate that precipitation
342 in the Guanzhong Basin occurs mainly in the summer (Figure 5). The current inland
343 flow of warm/humid air dominated by the EASM during the summer (June through
344 September) delivers $\sim 58\%$ of the total annual precipitation. The area is a typical
345 monsoon precipitation area, and summer precipitation here is therefore sensitive to
346 variations in the EASM.

347 Previous studies of various climatic proxies including stalagmite $\delta^{18}\text{O}$, lacustrine
348 sediments and loess-paleosols all indicate that the CLP had plenty of rain in the
349 Holocene and was much more humid during the Mid Holocene (Shen *et al.*, 2005;



350 Wang *et al.*, 2005a; Wang *et al.*, 2008; Wang *et al.*, 2014; Chen *et al.*, 2015). The
351 frequency of paleosol development increased during ~8.6-3.2 ka in the CLP (Wang *et al.*
352 *et al.*, 2014). The eolian-sand activities in the sandlands located to the north of the CLP
353 decreased from ~8.6-3.2 ka BP (Wang *et al.*, 2014; Yang *et al.*, 2012), whilst the
354 vegetation coverage of the desert/loess transitional zone increased in this interval
355 (Yang *et al.*, 2015). These various proxy records infer that the EASM was stronger
356 during the Mid Holocene, but the amplitude of any variations in the EASM remains
357 difficult to assess. Fortunately, summer precipitation in northern China provides an
358 effective approach to determining EASM intensity (Liu *et al.*, 2015).

359 Our quantitative reconstructions of summer precipitation based on millet $\delta^{13}\text{C}$
360 indicate that EASM intensity peaked during 6.4-5.5 ka BP. The strongest summer
361 monsoon brought the wettest climate, with 36% higher precipitation than today's.
362 More evidence supporting our contention comes from the tree pollen records from
363 lake sediments around the CLP, which respond more directly to changes in the EASM
364 than the other records because trees on the margins of monsoonal regions are sensitive
365 to variations in monsoonal precipitation. Pollen records from Qinghai Lake, located to
366 the west of the Guanzhong Basin and on the modern monsoon margins, indicate a wet
367 interval during 7.4-4.5 ka BP, culminating in a peak at 6.5 ka BP (Figure 6a) (Shen *et al.*,
368 2005). Although the increase in precipitation cannot be assessed, the general trend
369 is comparable with our $\delta^{13}\text{C}$ -based precipitation reconstruction results. The percentage
370 of broadleaf trees from pollen record in the Gonghai Lake (on the northeastern
371 margins of the CLP; Figure 6b), indicate that the peak monsoonal period occurred
372 during ~7.8-5.3 ka BP, with an average annual precipitation of 574 mm (Figure 6c),
373 ~30% higher than the modern value (Chen *et al.*, 2015). The increase in precipitation
374 is highly consistent with our reconstruction results. More evidence from PMIP2 (the
375 second phase of the Paleoclimate Modeling Intercomparison Project) coupled with
376 Mid Holocene simulations showed that the summer precipitation associated with the
377 EASM increased throughout most of China ~6 ka BP. The increase in precipitation in
378 the Guanzhong Basin was lower than 255.5 mm/yr at that time, as inferred from the
379 greatest increases in precipitation seen in the region, *i.e.* the southern margins of the
380 Tibetan Plateau, and southeastern coastal area of China, which experienced
381 precipitation increases of >1.5 mm/day and 0.7 mm/day (or 547.5 mm/yr and 255.5
382 mm/yr), respectively (Zhang and Liu, 2009). These multiple lines of evidence
383 corroborate our reconstructions, not only *vis-à-vis* changes in precipitation during the
384 Holocene, but also their quantitative accuracy.

385

386 5 Conclusions

387 Summer precipitation from 7.7 to 3.4 ka BP reconstructed using the $\delta^{13}\text{C}$ values
388 of common millet was 240-477 mm, with a mean of 354 mm, ~50 mm, or 17%,
389 higher than at present. Maximum mean summer precipitation peaked at 414 mm,
390 ~109 mm (or 36%) higher than today; this occurred during the period 6.4-5.5 ka BP,
391 indicating that the EASM peaked at this time.

392 Although the $\delta^{13}\text{C}$ -based precipitation record in this study has a low-resolution,
393 the work provides a convincing method and proxy for establishing the



394 paleoprecipitation record. Carbonized common millet remains from the Neolithic Age
 395 onward can provide a reliable dating framework and aid the reconstruction of
 396 continuous paleoprecipitation sequences. This, in turn, can allow regional
 397 comparisons, providing a scientific foundation for promoting further research into the
 398 quantitative reconstruction of regional paleoclimates, and helping to understand the
 399 detailed processes and precise mechanisms of the EASM, as well as the relation
 400 between early human activity and environmental change.

401

402 **Authorial contributions**

403 X. Q. L.: overall coordination of writing, sampling, ^{14}C dating and paleoprecipitation
 404 reconstruction; Q. Y.: writing, sampling, data processing and paleoprecipitation
 405 reconstruction; X. Y. Z. and K. L. Z.: sampling and data processing; N. S.: sampling and ^{14}C
 406 dating. All authors reviewed the manuscript.

407

408

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574
575

576 **Tables**577 **Table 1 Sampling sites and numbers of remnant common millet samples**

Sites	Cultural types	Source	No.
BJC	Early Laoguantai Culture	12	
HDP	Banpo type, Yangshao Culture	Cultural layers	9
MN	Miaodigou type, Yangshao Culture	Cultural layers	11
BN	Longshan Culture	Cultural layers	15
NS	Erlitou Culture, Shang Dynasty	Cultural layers	20

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Table 2 AMS¹⁴C dating data

Sample code	Depth (cm)	Sample type	AMS ¹⁴ C age (cal yr BP)	Calibrated age range (cal yr BP, 2σ)	Lab code
BJC-1	180-190	Common millet	6,705±40	7,580±76	OZM447
BJC-2	50-60	Charcoal	6,675±40	7,543±68	OZM446
HDP-1	235-250	Common millet	5,720±50	6,523±115	OZM473
HDP-2	160-180	Rice seed	5,015±45	5,775±120	OZM472
HDP-3	80-100	Rice seed	5,120±35	5,790±40	OZM471
HDP-4	40-60	Foxtail millet	5,185±40	5,948±59	OZM470
MN-1	140-160	Foxtail millet	4,550±35	5,121±70	OZM452
BN-1	280-300	Foxtail millet	5,450±70	6,286±113	OZM481
BN-2	220-240	Foxtail millet	3,820±45	4,191±109	OZM480
BN-3	180-200	Rice seed	3,770±35	4,158±85	OZM479
BN-4	120-140	Foxtail millet	4,540±50	5,181±141	OZM478
BN-5	40-60	Common millet	4,110±40	4,625±104	OZM477
NS-1	230-240	Wheat seed	3,300±30	3,521±70	OZM460
NS-2	200-210	Wheat seed	3,280±35	3,514±73	OZM459
NS-3	140-150	Wheat seed	3,300±30	3,521±70	OZM458

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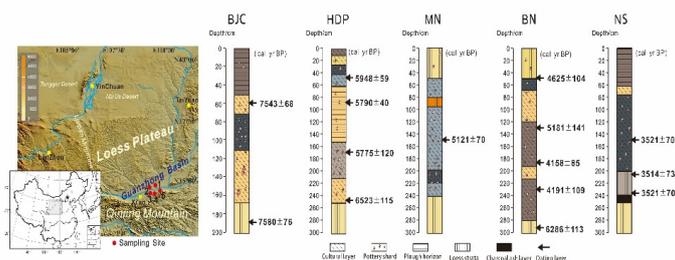
Table 3 Information for grouped common millet remains, by section

Section	Cultural age	Depth (cm)	N	¹⁴ C Age (cal yr BP)	Mean $\delta^{13}\text{C}$ (‰)	$\delta^{13}\text{C}_{\text{re}}$ (‰)	P _s (mm)
BJC	Laoguantai Culture	50-190	12	7,475-7,656	-10.36±0.23	-12.16	337±30
HDP	Banpo type, Yangshao Culture	200-250	3	6,407-6,638	-10.55±0.29	-12.35	313±37
	Banpo type, Yangshao Culture	120-200	2	5,654-5,895	-9.56±0.12	-11.36	442±16
	Banpo type, Yangshao Culture	0-120	3	5,749-6,008	-9.87±0.09	-11.67	401±12
MN	Miaodigou type, Yangshao Culture	0-260	11	5,052-5,190	-10.37±0.36	-12.17	336±47
BN	Yangshao Culture	260-300	2	6,171-6,399	-9.84	-11.64	405
	Longshan Culture	200-260	3	4,137-4,359	-10.23±0.04	-12.03	354±5
	Longshan Culture	140-200	3	4,072-4,243	-9.9±0.09	-11.70	397±11
	Longshan Culture	60-140	4	5,039-5,322	-10.28±0.21	-12.08	348±27
	Longshan Culture	40-60	2	4,520-4,729	-10.42±0.07	-12.22	330±9
NS	Erlitou Culture, Shang Dynasty	50-300	20	3,444-3,592	-10.23±0.36	-12.02	356±54

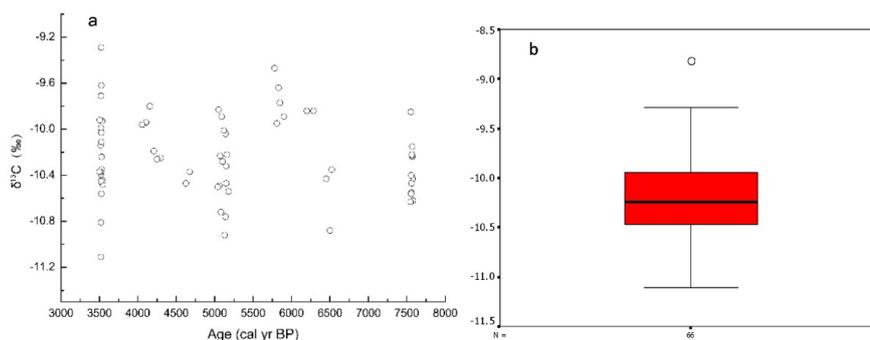
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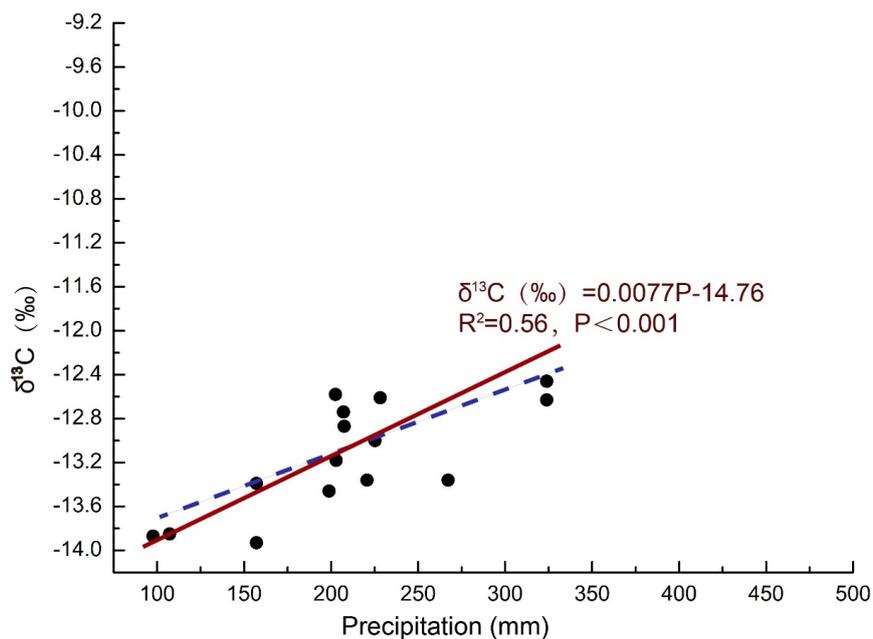
585 **Figures**



586
 587 **Figure 1.** Location of sampling sites and description of all sampling sections. Red solid circles
 588 indicate sampling sites. 1, Baijiacun site (BJC) (34°33'7.53"N, 109°24'38.6"E); 2, Huiduipo site
 589 (HDP) (34°34'4.1"N, 109°01'41.8"E); 3, Manan site (MN) (34°28'23.7"N, 109°05'17.5"E); 4,
 590 Beiniu site (BN) (34°28'14.5"N, 109°19'2.6"E); 5, Nansha site (NS)(34°29'30.1"N,
 591 109°42'47.9"E). The map was created using Global Mapper 14.0 software
 592 (<http://www.skycn.com/soft/appid/11312.html>) and NASA STRM data with a resolution of 90 m;
 593 profiles were then drawn and combined with the map using CoreIDRAW 12 software
 594 (<http://www.xp85.com/html/CoreIDRAW12.html>).
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 598 **Figure 2.** $\delta^{13}\text{C}$ of common millet from archeological sites, Guanzhong Basin
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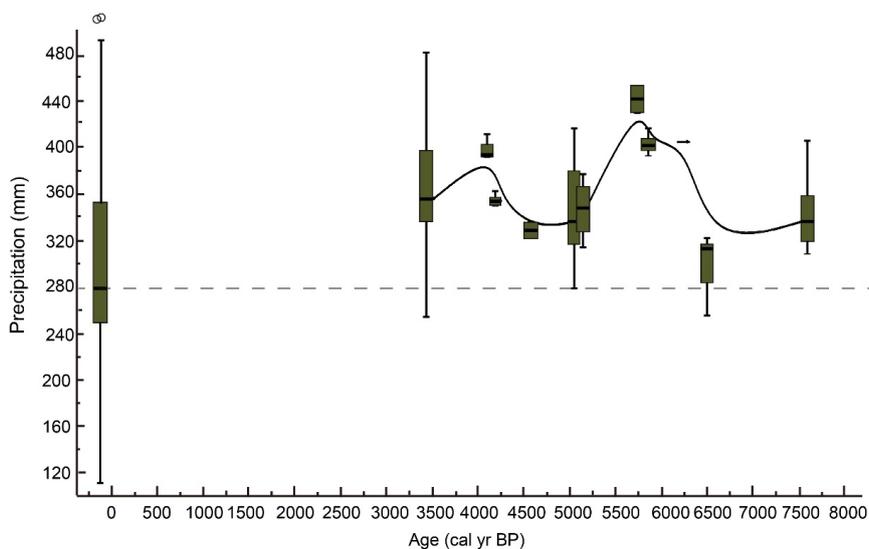


601

602 **Figure 3.** The regression model of the $\delta^{13}\text{C}$ of modern common millet and summer precipitation.

603 Dark red line denotes the line of best fit established using SMA; the blue dotted line denotes the

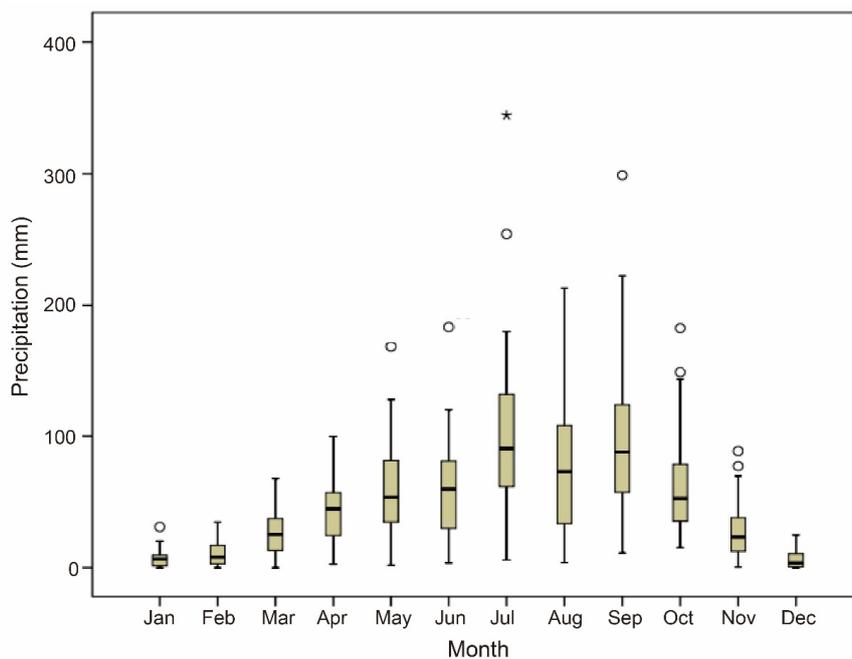
604 line of best fit established using OLS.



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606 **Figure 4.** Precipitation for mid-June to September for a modern period (original data for 1951–
607 2011, from the China Meteorological Administration) and 7.7-3.4 ka BP, Guanzhong Basin

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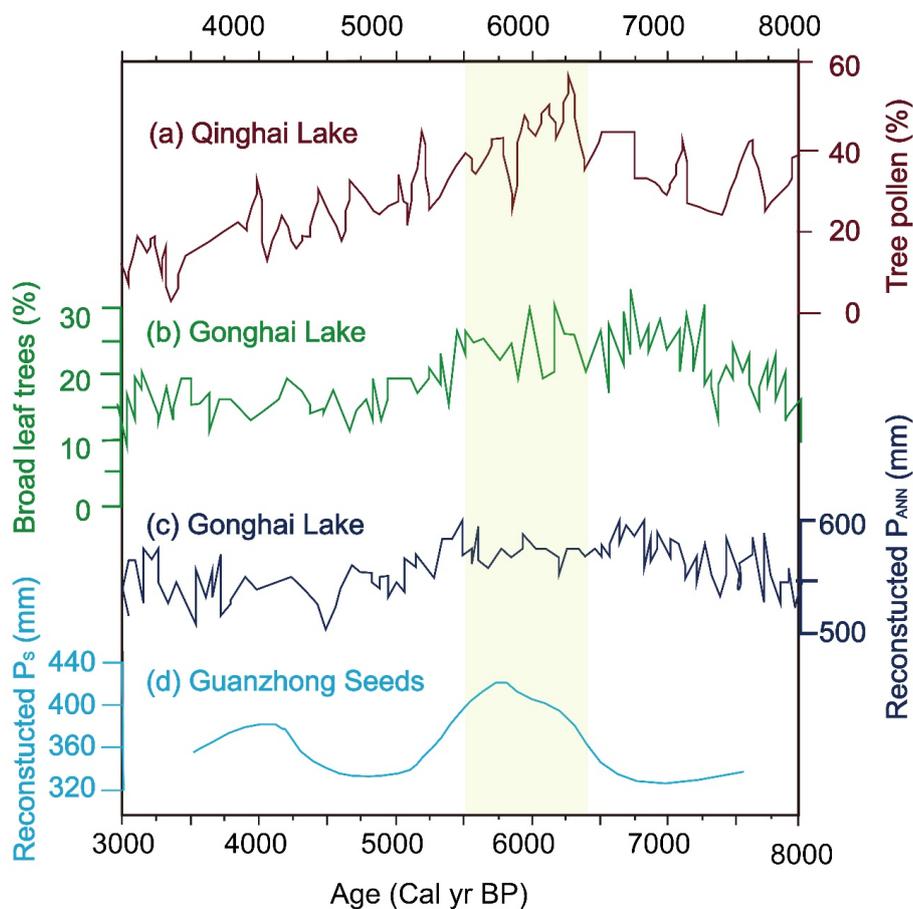


609

610 **Figure 5.** Instrumental precipitation data for 1951-2011 from Xi'an Station, Sha'anxi, China
611 (original data, Data Sharing Platform, China Meteorological Administration). The empty circle (o)

612 indicates an abnormal value; the asterisk (*) indicates an extremely abnormal value.

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614
615 **Figure 6.** Comparison of reconstructed summer precipitation for 7.7-3.4 ka BP, Guanzhong Basin,
616 with the pollen records of lakes sediments from around the CLP. (a) Tree pollen percentages from
617 Qinghai Lake (Shen *et al.*, 2005). (b) Broadleaf tree pollen percentages from Gonghai Lake (Chen
618 *et al.*, 2015). (c) Reconstructed annual precipitation from the pollen records of Gonghai Lake
619 (Chen *et al.*, 2015). (d) Reconstructed summer precipitation from the $\delta^{13}\text{C}$ values of common
620 millet, Guanzhong Basin.
621
622