

Paper: The climate of the Mediterranean basin during the Holocene from terrestrial and marine pollen records: A model/data comparison

By Odile Peyron et al, Clim. Past Discuss: cp-2016-65

First reviewer

- 1) It is not easy to identify what are the new conclusions, or what new information the data or the analysis is providing beyond of what is already new.

To be more precise, some sentences have been added in the introduction to clarify the goals: “The first originality of our approach is that we estimate the magnitude of precipitation changes and reconstruct climatic trends across the Mediterranean using both terrestrial and marine high-resolution pollen records. The signal reconstructed is then more regional than in the studies based on terrestrial records alone. Moreover, this study aims to reconstruct precipitations patterns for the Mediterranean basin over two key periods in the Holocene, while the existing large-scale quantitative paleoclimate reconstructions for the Holocene are often limited to the mid-Holocene - 6000 yrs BP- (Cheddadi et al., 1997; Bartlein et al., 2011; Mauri et al., 2014), except the climate reconstruction for Europe proposed by the study of Mauri et al. (2015). The second originality of our approach is that we propose a data/model comparison based on: (1) two time-slices and not only the mid-Holocene, a standard benchmark time period for this kind of data-model comparison; (2) a high resolution regional model (RCM) which provides a better representation of local/regional processes and helps to better simulate the localized, “patchy”, impacts of Holocene climate change, when compared to coarser global GCMs (e.g. Mauri et al., 2014); (3) changes in seasonality, particularly changes in summer atmospheric circulation which have not been widely investigated (Brayshaw et al., 2011).”

Some sentences have also been added in the abstract to clarify what is new in terms of results: With regard to the existence of a west-east precipitation dipole during the Holocene, our pollen-based climate data show that the strength of this dipole is strongly linked to the seasonal parameter reconstructed; early Holocene summers show a clear east-west division, with summer precipitation having been highest in Greece and the eastern Mediterranean and lowest over the Italy and the western Mediterranean. Summer precipitation in the east remained above modern values, even during the late Holocene interval.

In contrast, winter precipitation signals are less spatially coherent during the early Holocene but low precipitation is evidenced during the early and late Holocene.

- 2) In the model set-up used by the authors there are some open questions. For instance, they use a slab ocean that ignores the ocean dynamics, but are the simulated sea-surface temperatures comparable to the temperatures simulated in global couple simulations for the mid-Holocene? what could be the role of the dynamics of the North Atlantic in determining the precipitation patterns in Europe? I am aware that a full coupled simulation over the Holocene could be out of the scope of the present study in terms of computer resources, but some type of validation or discussion of the possible shortcoming of the simulation set-up should be addressed. More importantly, I think, would be to identify which aspects of the regional modelling provide an added value relative to the global model results presented in of Mauri et al. . The manuscript includes just a comment in passing about the heterogeneity of simulated precipitation changes in the Balkans, but

this is not really followed through. For instance, one of the mechanisms that may explain the pattern or precipitation changes are shifts in the North Atlantic storm tracks. Is the regional model able to represent the storm tracks more realistically than the global models? Is the representation of present-day precipitation better in the regional model than in the ensemble of CMIP5 global models? I would assume the answer is yes, but it would be nice to see it discussed in the manuscript as well. On the other hand, the slab ocean is likely not able to realistically represent the meridional sea-surface temperatures in the Atlantic. This may affect the intensity and extent of the African Monsoon and its changes over the Holocene. Could this limitation influence the simulation of summer precipitation changes in the Mediterranean?

This comment raises several important issues, which we attempt to disentangle as follows.

We agree with the reviewer that there are indeed limitations in the climate modeling approach used here. These were discussed at length in previous publications, as cited from the present article. Brayshaw et al., 2010 (Phil Trans A), in particular, goes into detail on (A) evaluating the relative merits and difficulties of the modeling approach compared to others (such as PMIP), including the role of embedded high-resolution regional modelling and (B) discussing the physical atmospheric drivers of winter-time change such as storm tracks, Hadley cell expansion/contraction and teleconnections from the Indian Ocean. Brayshaw et al., 2011 (Holocene) provides a wider review (both summer and winter), discussing of the impact of the GCM's simulation of tropical Atlantic SSTs (on, e.g., the summer expansion of the African monsoon) as suggested by the reviewer.

It is beyond the scope of the present paper to revisit and significantly expand this dynamical/modelling discussion: the project from which the climate model simulations are taken finished about five years ago. The opportunity in the present work is simply to re-use these GCM/RCM simulations – acknowledging their well-documented behaviours and limitations – to compare against a new regional synthesis of palaeo-observations (the paper should therefore be seen as ‘paleo-data led’ rather than ‘modelling led’ in terms of the conclusions it reaches). We believe this to be a reasonable approach to take as, in the absence of the resources to conduct new climate model experiments, the climate simulations used here remain the only published attempt at producing a high-resolution regional simulation of the Mediterranean with time-slices across the whole Holocene period. Insofar as the impact of specific local climate features is important (e.g., complex topography and coastlines), they remain the only dataset available for doing this level of detailed model-data inter-comparison in the region.

We also note that the Brayshaw et al. (2010) paper compares the GCM results to other modelling work (PMIP) and palaeo-climate reconstructions available at the time (e.g., Brewer, Rimbu, etc) and, on balance of evidence, cautiously suggests an NAO-negative like state in the mid-Holocene (we would actually prefer to refer to a southerly shift in the North Atlantic storm track rather than the NAO). This stands in contrast to the more recent Mauri et al. publication (which makes no reference to these earlier publications).

We therefore seek to take on board the reviewer's concerns about the framing of the paper and its contextualization principally by improving the text:

- **Making it clearer that this is a ‘re-use’ of an existing model dataset;**
- **Explicitly stating that the ocean dynamics are assumed to be invariant over time (strictly we ‘fix’ the oceanic fluxes of heat, as already noted in the paper, though we recognize that the implications of this may not be immediately recognized by all readers);**

- Refer more explicitly to the detailed analysis provided in previous work on this dataset (e.g. for changes in atmospheric circulation and comparison/justification of the modelling approach, e.g. compared to PMIP);
- Emphasises the nature of the 'added value' of regional downscaling (i.e. the resolution of local impacts such as complex topography).

3) Conclusions: The conclusions could be presented in a more clear way. The last paragraph in the conclusions looks also quite convoluted, and some of the conclusions are not really based on the results presented here. For instance, the authors conclude that the regional model represents better the atmospheric dynamics, and therefore precipitation. This can be somehow expected, but it has not been shown in this study, and in particular, it has not been shown that the particular model set-up used here is indeed better.

We agree with the reviewer that this paragraph was unclear and perhaps a 'too general' conclusion to be drawn from the evidence presented. We have therefore clarified the text.

The key issue we wish to highlight is that the RCM output provides a better representation of local/regional processes. Notwithstanding the difficulties of correctly modeling large-scale climate change over the Holocene (with GCMs), we believe that regional downscaling may still be valuable in facilitating model-data comparison in regions/locations known to be strongly influenced by local effects (e.g., complex topography).

4) The title is a bit misleading, as the study is basically about precipitation changes and not 'climate' in general.

OK, corrected as follows: Precipitation changes in the Mediterranean basin during the Holocene from terrestrial and marine pollen records: a model/data comparison

5) Abstract has many elements of introduction, including recommendations, like the use of transient simulations, which turn out to be correct but that they are not really substantiated by the results described in this manuscript. My criticisms is to some extent a matter of taste, but I think that the abstract should be succinct and mainly describing the methods, results and conclusions. Introductory remarks should go in the introduction, and final speculations or recommendations, in the main text

OK, corrected.

6) Lines 125-132 This is a repetition of a previous paragraph on the same page

OK, corrected.

7) It may be interesting to know the time resolution of the proxy records

Yes, I have added the time resolution in table 1 for the two periods selected and the entire sequence.

8) line 239 I think that the reference to Mauri et al (2015) is not correct.

The reference Mauri, et al. (2015) is correct.

9) line 242 Mauri et al used a reconstruction method based on plant functional types. Should the reader expect differences to the reconstruction method used here ? Could some of the differences to the present results due to the different methodology ?

Mauri et al. use the MAT with the plant functional type scores instead of the pollen assemblages; we use the MAT with the pollen assemblages; so yes, it can produce different results because different methods can produce different results (Brewer et al., 2008, Peyron et al., 2013).

- 10) line 266 'Mediterranean, and dry conditions above 45_N during the early Holocene, while the opposite' North of 45N
I do not understand what you mean exactly; therefore I have corrected the sentence as follows: Our reconstructions are in agreement with Mauri et al. (2015), with dry summer conditions above 45°N during the early Holocene and wet summer conditions over much of the south-central Mediterranean south of 45°N.
- 11) Caption Figure 3. which is the reference period to calculate the simulated precipitation anomalies?
Anomalies are taken with respect to present-day control run. Caption updated.

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Second reviewer

An important point came out in Review 2 (point 15), concerning the use of the preindustrial baseline. In the model simulations, we have always used PREIND as the baseline because the climate forcing before then, over the Holocene, is mostly orbital; in contrast to the industrial period where it is mostly-greenhouse gas. It does, however, have some impact on the precipitation signals we are discussing here (new figure 4).

Therefore, in this revised version, we have changed our model-data synthesis (Fig. 3) and have taken present day in the control run instead of preindustrial to be in better agreement with the pollen data (the pollen data precipitation is best seen as 'anomalies relative to present day 1960-1990').

This changed our results, particularly the winter precipitation output which suggest now dry conditions in the Early Holocene compared to the previous version.

- 1) I feel that there is a missed opportunity in using the model output to understand the atmospheric drivers of the changes in spatial pattern.
As noted in the response to reviewer 1, it is beyond the scope of the present paper to discuss the atmospheric drivers at length beyond that presented in Brayshaw et al. 2010, 2011a, and 2011b.
I would like to see the goals more clearly stated, and clearly referred to throughout the paper.
We did it in the abstract, introduction and conclusion: see the reply to reviewer 1, point 1. The primary novelty in this work is the new paleo-observations synthesis and its comparison - at regional/local level - with the climate model data. The text has, however, been clarified to direct interested readers to those works.
- 2) I would like to see more discussion about the choices of spatial pattern and of time period; For the time period, I don't really understand why the authors did not look across the entire Holocene, but instead focused on two, quite long time periods. Are these gradients only a feature of the time periods chosen? What was the variation outside (or even within) these periods? Given that one of the papers they cite has already completed full Holocene reconstructions (Mauri et al., 2015), and that there is interest in full Holocene/Glacial transient GCM simulations, this snapshot approach appears to be somewhat limited. At the very least, it would be good to have a better justification for the choices than "to aid interpretability"
I have also looked at it in a continuous way for the Holocene. The results are not provided here because it will be the topic of another paper.
Here we focus on spatial patterns, and we have chosen these periods because they are different enough to be simulated by the regional model (which is not transient). From a climate-modelling perspective, the rationale for the grouping of the time-slices is a practical one (as noted above, we are unable to perform additional experiments to extend the dataset at this time). As outlined, the change in 'forcing' between adjacent time-slices is small and, as such, changes are difficult to detect

robustly given the data available. Grouping the time-slices together into 'mid-Holocene' and 'late-Holocene' experiments therefore makes best use of the data available. The text in Section 2 (model description, ~line 243) has been modified to emphasize the rationale for this decision.

The text has also been changed as follows: This study aims to reconstruct and evaluate N-S and W-E climate conditions for the Mediterranean basin, over two key periods in the Holocene, 8000-6000 cal yrs BP, corresponding to the "Holocene climate optimum" and 4000-2000 cal yrs BP corresponding to a trend toward more dry conditions.

- 3) The choice of precipitation as a variable for comparison also needs better justification. The authors state (line 416) that using precipitation instead of moisture indices may be why there is a model/data mismatch, and some form of moisture index has been proposed as a better quantity for pollen reconstructions elsewhere (Bartlein et al., 2011).

Please note that the Bartlein et al. (2011) paper is a synthesis at a world scale of "old" pollen inferred climate reconstructions done for different regions (Europe...). No new reconstruction has been done in the Bartlein et al. (2011) paper. Sorry to insist, but these old results are still used in a lot of recent model-data comparison to check model outputs (eg Harrison et al., 2014), and but my feeling is that more work are needed to do more in depth including new data/proxies/methods.

Given this, and that alpha is routinely reconstructed from pollen, why not use this instead? **We have calculated the moisture index from pollen data but we made the choice to use precipitation instead of alpha because our aim was to compare with the model outputs. Most often, GCM-data comparison are based on annual precipitation (Braconnot et al., 2012, Mauri et al., 2014, Harrison et al., 2015) and not on alpha (Harrison et al., 2014). Here too, the reconstruction of the moisture index with the RCM was unfortunately not available; it will not be available for this study because we don't have financial resources to conduct new climate model experiments. We agree with the reviewer that it's an important point to test in future experiments.**

And if not, please justify the use of precipitation, given its limitation as a reconstructed variable.

The use of precipitation parameters (annual and seasonal) seems robust for the Mediterranean area (Mauri et al., 2015; Peyron et al., 2011, 2013, Magny et al., 2013); precipitation reconstructions are particularly important for the Mediterranean region given that precipitation rather than temperature represents the dominant controlling factor on Mediterranean environmental system during the early to mid-Holocene (Renssen et al., 2012).

Text has been modified as follows: "precipitation reconstructions are particularly important for the Mediterranean region given that precipitation rather than temperature represents the dominant controlling factor on Mediterranean environmental system during the early to mid-Holocene (Renssen et al., 2012)". The use of precipitation parameters (annual and seasonal) seems robust for the Mediterranean area (Mauri et al., 2015; Peyron et al., 2011, 2013, Magny et al., 2013)."

- 4) Line 28. The abstract could be shortened and made more concise – there is some repetition (e.g. lines 39-40 and lines 60-61)
OK, corrected, cf reviewer1.
- 5) Line 34 (and elsewhere). Is the pattern a gradient or dipole? These are not to my understanding the same thing, as one represents a trend, and the other represents a pattern of two opposing centers. Please either use one or the other, or state more clearly which is being referred to at any time.
OK, checked and corrected.

- 6) Line 38-40. What is the aim of the comparison?
Changed as follows: "For the same time intervals, site-based pollen-inferred precipitation estimates were compared with an existing database from a regional-scale downscaling of a set of global climate-model simulations. The high-resolution detail achieved through the downscaling is found to assist with comparing 'site-based' paleo-observations with gridded model data, and the climate model outputs and pollen-inferred precipitation estimates show remarkably good overall correspondence (although many simulated patterns are of marginal statistical significance)."
- 7) Lines 47-51. This section needs some rewriting to make it clear when the authors are referring to conditions being drier in one region than another, or that the anomalies are drier compared to another time period.
Corrected as: "During the early Holocene, relatively wet conditions occurred in the south-central and eastern Mediterranean region, while drier conditions prevailed from 45°N northwards. Then these patterns appear to reverse during the late Holocene, with similar to present day or slightly drier than present day conditions in the south-central, but more sites from the northern part of the Mediterranean basin are needed to further substantiate these observations."
- 8) Line 61. In what sense is HadSM3 dynamic? (and what is HadSM3 as opposed to the other models shown here)
This was an error. It has now been removed.
- 9) Lines 90-92. Needs a citation
Magny et al. (2013) has been added.
- 10) Line 93. Which sites in N. Italy? Citation, please.
Peyron et al. (2011, 2013) has been added.
- 11) Lines 126-127. Why these periods? Why are they 'key'? Why not do this in a continuous way?
Cf point 2, reviewer2.
- 12) Lines 133-134. "To critically assess the potential of the model setup: : ." This is a little fuzzy, but I assume that the goal is to discuss the regional climate model output, and the model parameters. However, I don't really feel that this was addressed in the discussion. There is some discussion of findings in other papers (e.g. Bosmans et al) but nothing about the setup used here.
We agree that the text was unclear at this point. The limit to the scope of this paper is such that our main concern here is to compare the climate simulated by the models to that reconstructed from the observations. The text is therefore changed to: "... critically assess the consistency of the climate reconstructions revealed by these two complimentary routes."
- 13) Line 169. Arguably, pine is overrepresented in all sites. Why only exclude it for the marine sites? How big an impact does this have?
The pollen signal recorded in marine cores reflects the regional vegetation across an area of several hundred square kilometers and pine pollen is particularly overrepresented (Heusser and Balsam, 1977; Dupont and Wyputta, 2003; Hooghiemstra et al., 1992, 2006). The reliability of the quantitative climate reconstruction from marine pollen spectra (with and without *Pinus*) has been

tested using marine core-top samples from the Mediterranean in Combourieu-Nebout et al., 2009. Results shows that an adequate consistency between the present day observed and MAT estimations is shown for Psum and Pann values. In terrestrial pollen records, the signal is more local (depending of the size of the lake). *Pinus* is of course also overrepresented, but excluding it from the terrestrial assemblages doesn't make sense for the Holocene because pine can grow close to each site. We can exclude *Pinus* during glacial times, where we are sure it was exclusively long-distance transport.

Text has been modified as: **The reliability of quantitative climate reconstructions from marine pollen records has been tested using marine core-top samples from the Mediterranean in Combourieu-Nebout et al. (2009), which shows an adequate consistency between the present day observed and MAT estimations for Pann and Psum values.**

- 14) Line 187. I think I get what the authors are saying here, but given the increasing interest in transient simulations (e.g. Liu et al) and reconstructions (e.g. Marcotte et al), I'd like to see this choice justified a little better
As noted above, the modelling work is taking advantage of an existing model-output database (to our knowledge the only attempt that has been made thus far to simulate the regional climate of the Mediterranean across the whole period). This has now been clarified and readers are directed to appropriate previous publications for further discussion of the modelling framework.
- 15) Line 190. The model uses the pre-industrial period as a baseline for anomalies whereas I assume the pollen reconstructions use the late 20th century, although this is not specified. **It includes both long-term averages (1961-90) and time series for rainfall.** How much will this affect the offset between model and data. How big are the reconstructed anomalies relative to any change between these periods?
We agree that it's an important point; changes in climate are now expressed as differences with respect to the present day control run.
Text has been changed as follows: "In contrast to existing model simulations, changes in climate are expressed here as differences with respect to the present day (1960-1990) and not with respect to pre-industrial. We suggest it may be better to use 'present day' to be in closer agreement with the pollen data (modern samples) which use the late 20th century long-term averages (1961-1990). However, there are some quite substantial differences between model runs under 'present day' and 'preindustrial' forcings (Fig. 4)."
- 16) Line 206. The results are more single points in time, rather than climate trends
Changed 'trends' to 'patterns'.
- 17) Lines 217-219. This seems like it would be more appropriate in the introduction
OK, corrected.
- 18) Line 231. What scaling issues?
Wu et al. undertook a reconstruction at a world scale: it's difficult to distinguish in their figures what happened exactly in the central Mediterranean to depict a possible north-south pattern.
- 19) Line 247. It is difficult to see visually how there is good corroboration between these results and Mauri et al. Would it be possible to carry out a one-to-one comparison of values, and test the differences? **To carry out a one-to-one comparison of values, we**

need to have access to Mauri's data, which is not the case, therefore it was not possible to test the differences (furthermore this was not the topic/focus of this paper). Further – as both Mauri et al, and this study present statistical climate reconstructions from pollen data, it is hard to see how the agreement between them supports the robustness of the results.

In contrast to Mauri et al., our study also performed climate reconstruction from marine pollen cores. Because the scale of our figures and those of Mauri et al. were different, we finally decided to remove the Mauri et al. reconstruction in the figure 2.

- 20) Line 351. How can you tell that the data-model agreement is good? Again, some point-by-point comparison would help (and help highlight where the main differences are)
It is only visual. We agree that it is not the top, however we did not have time to produce metrics to compare simulations and reconstructions.
- 21) Line 435. How would the snowpack affect different methods? I can see that it might affect proxies differently, but not methods.
Yes, we agree and it is corrected.
- 22) Line 486. It is hard to disagree with a call for higher resolution in climate models, but how exactly will this help? What processes will be better represented, allowing for better climate simulation?
This text has been amended to better reflect the scope and content of the paper. In particular, while the authors do believe that high-resolution global models are likely to be part of the solution (e.g. improved representation of processes such as blocking, storms, teleconnections etc), this is not directly the subject of the paper. The revised discussion therefore now focuses on the potential role for regional high-resolution models in providing a better representation of complex terrain to reconcile site-specific model vs palaeo-obs discrepancies.

1 **Precipitation changes in the Mediterranean basin during**
2 **the Holocene from terrestrial and marine pollen records: A**
3 **model/data comparison**

4
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28 Abstract

29 Climate evolution of the Mediterranean region during the Holocene exhibits strong spatial and
30 temporal variability. The spatial differentiation and temporal variability, as evident from
31 different climate proxy datasets, has remained notoriously difficult for models to reproduce. In
32 light of this complexity, we propose here a new paleo-observations synthesis and its comparison
33 – at regional/local level – with a climate model data to examine (i) opposing northern and
34 southern precipitation regimes during the Holocene across the Mediterranean basin, and (ii) an
35 east-to-west precipitation dipole during the early Holocene, from a wet eastern Mediterranean
36 to dry western Mediterranean. Using precipitation estimates inferred from marine and terrestrial
37 pollen archives, we focus on two key time intervals, the early to mid-Holocene (8000 to 6000
38 cal yrs BP) and the late Holocene (4000 to 2000 yrs BP), in order to test the above mentioned
39 hypotheses on a Mediterranean-wide scale, and we compare the results with model outputs from
40 a high-resolution regional climate model. Spatially, we focus on transects across the
41 Mediterranean basin from north to south and from west to east. Because seasonality represents
42 a key parameter in Mediterranean climates, special attention was given to the reconstruction of
43 season-specific climate information, notably summer and winter precipitation. The
44 reconstructed climatic trends corroborate a previously described north-south partition of
45 precipitation regimes during the Holocene, but more sites from the northern part of the
46 Mediterranean basin are needed to further substantiate these observations. During the early
47 Holocene, relatively wet conditions occurred in the south-central and eastern Mediterranean
48 region, while drier conditions prevailed from 45°N northwards. These patterns then appear to
49 reverse during the late Holocene, with similar to present day or slightly drier than present day
50 conditions in the south-central region. With regard to the existence of a west-east precipitation
51 dipole during the Holocene, our pollen-based climate data show that the strength of this dipole
52 is strongly linked to the seasonal parameter reconstructed; early Holocene summers show a
53 clear east-west division, with summer precipitation having been highest in Greece and the
54 eastern Mediterranean and lowest over the Italy and the western Mediterranean. Summer
55 precipitation in the east remained above modern values, even during the late Holocene interval.
56 In contrast, winter precipitation signals are less spatially coherent during the early Holocene
57 but low precipitation is evidenced during the late Holocene. A general drying trend occurred
58 from the early to the late Holocene, particularly in the central and eastern Mediterranean.
59 For the same time intervals, site-based pollen-inferred precipitation estimates were compared
60 with model outputs, more specifically with an existing database from a regional-scale

61 downscaling (HadRM3) of a set of global climate-model simulations (HadAM3). The high-
62 resolution detail achieved through the downscaling is intended to enable a better comparison
63 between ‘site-based’ paleo-reconstructions and gridded model data in the complex terrain of
64 the Mediterranean; the climate model outputs and pollen-inferred precipitation estimates show
65 some overall correspondence, though modeled changes are extremely small and at the absolute
66 margins of statistical significance. There are suggestions that the eastern Mediterranean
67 experienced wetter than present summer conditions during the early and late Holocene; the
68 drying trend in winter from the early to the late Holocene also appears to be simulated. Although
69 some simulated patterns are of marginal statistical significance at the large scale, the use of this
70 high-resolution regional climate model highlights how the inherently “patchy” nature of climate
71 signals and palaeo-records in the Mediterranean basin may lead to local signals much stronger
72 than the large-scale pattern would suggest. Nevertheless, the east to west division in summer
73 precipitation seems more marked in the pollen reconstruction than in the model outputs. The
74 footprint of the anomalies (like today or dry winters, wet summers) has some similarities to
75 modern analogue atmospheric circulation patterns associated with a strong westerly circulation
76 in winter (positive AO/NAO) and a weak westerly circulation in summer associated with anti-
77 cyclonic blocking; although there also remain important differences between the palaeo-
78 simulations and these analogues. The regional climate model, consistent with other global
79 models, does not suggest an extension of the African summer monsoon into the Mediterranean;
80 so the extent to which summer monsoonal precipitation may have existed in the southern and
81 eastern Mediterranean during the mid-Holocene remains an outstanding question.

82
83

84 1 Introduction

85 The Mediterranean region is particularly sensitive to climate change due to its position within
86 the confluence of arid North African (i.e. subtropically influenced) and temperate/humid
87 European (i.e. mid-latitude) climates (Lionello, 2012). Palaeoclimatic proxies, including
88 stable isotopes, lipid biomarkers, palynological data and lake-levels, have shown that the
89 Mediterranean region experienced climatic conditions that varied spatially and temporally
90 throughout the Holocene (e.g. Bar-Matthews and Ayalon, 2011; Luterbacher et al., 2012;
91 Lionello, 2012; Triantaphyllou et al., 2014, 2016; Mauri et al., 2015; De Santis and Caldara
92 2015; Sadori et al., 2016a) and well before (eg. Sadori et al., 2016b). Clear spatial climate
93 patterns have been identified from east to west and from north to south within the basin (e.g.
94 Zanchetta et al., 2007; Magny et al., 2009b, 2011, 2013; Zhornyak et al., 2011; Sadori et al.,
95 2013; Fletcher et al., 2013). Lake-level reconstructions from Italy thus suggest contrasting
96 patterns of palaeohydrological changes for the central Mediterranean during the Holocene
97 (Magny et al., 2012, 2013). Specifically, lake level maxima occurred south of approximately
98 40°N in the early to mid-Holocene, while lakes north of 40°N recorded minima. This pattern
99 was reversed at around 4500 cal yrs BP (Magny et al., 2013). Quantitative pollen-based
100 precipitation reconstructions from sites in northern Italy indicate humid winters and dry
101 summers during the early to mid-Holocene, whereas southern Italy was characterised by humid
102 winters and summers; the N-S pattern reverses in the late Holocene, with drier conditions at
103 southern sites and wet conditions at northern sites (Peyron et al., 2011, 2013). These findings
104 support a north–south partition for the central Mediterranean with regards to precipitation, and
105 also confirm that precipitation seasonality is a key parameter in the evolution of Mediterranean
106 climates. The pattern of shifting N-S precipitation regimes has also been identified for the
107 Aegean Sea (Peyron et al., 2013). Taken together, the evidence from pollen data and from other
108 proxies covering the Mediterranean region suggest a climate response that can be linked to a
109 combination of orbital, ice-sheet and solar forcings (Magny et al., 2013).

110 An east-west pattern of climatic change during the Holocene is also suggested in the
111 Mediterranean region (e.g. Combourieu Nebout et al., 1998; Geraga et al., 2010; Colmenero-
112 Hildago et al., 2002; Kotthoff et al., 2008; Dormoy et al., 2009; Finne et al., 2011; Roberts et
113 al., 2011, 2012; Luterbacher et al., 2012; Guiot and Kaniewski, 2015). An east-west division
114 during the Holocene is observed from marine and terrestrial pollen records (Dormoy et al.,
115 2009; Guiot and Kaniewski, 2015), lake-level reconstructions (Magny et al., 2013) and
116 speleothem isotopes (Roberts et al. 2011).

117 This study aims to reconstruct and evaluate N-S and E-W precipitations patterns for the
118 Mediterranean basin, over two key periods in the Holocene, the early Holocene 8000-6000 cal
119 yrs BP, corresponding to the “Holocene climate optimum” and the late Holocene 4000-2000
120 cal yrs BP corresponding to a trend towards drier conditions. Precipitation reconstructions are
121 particularly important for the Mediterranean region given that precipitation rather than
122 temperature represents the dominant controlling factor on the Mediterranean environmental
123 system during the early to mid-Holocene (Renssen et al., 2012). Moreover, the reconstruction
124 of precipitation parameters seems robust for the Mediterranean area (Combourieu-Nebout et
125 al., 2009; Mauri et al., 2015; Peyron et al., 2011, 2013; Magny et al., 2013).

126 Precipitation is estimated for five pollen records from Greece, Italy and Malta, and for eight
127 marine pollen records along a longitudinal gradient from the Alboran Sea to the Aegean Sea.
128 Because precipitation seasonality is a key parameter of change during the Holocene in the
129 Mediterranean (Rohling et al., 2002; Peyron et al., 2011; Mauri et al., 2015), the quantitative
130 climate estimates focus on reconstructing changes in summer and winter precipitation.

131 Paleoclimate proxy data are essential benchmarks for model intercomparison and validation
132 (e.g. Morrill et al., 2012; Heiri et al., 2014). This holds particularly true considering that
133 previous model-data intercomparisons have revealed substantial difficulties for GCMs in
134 simulating key aspects of mid-Holocene climate (Hargreaves et al., 2013) for Europe (Mauri et
135 al., 2014), and notably for southern Europe (Davis and Brewer, 2009; Mauri et al., 2015). We
136 also aim to identify and quantify the spatio-temporal climate patterns in the Mediterranean basin
137 for the two key intervals of the Holocene (8000–6000 and 4000–2000 cal yrs BP) based on
138 regional-scale climate model simulations (Brayshaw et al., 2011a). Finally, we compare our
139 pollen-inferred climate patterns with regional-scale climate model simulations in order to
140 critically assess the consistency of the climate reconstructions revealed by these two
141 complimentary routes.

142 The first originality of our approach is that we estimate the magnitude of precipitation changes
143 and reconstruct climatic trends across the Mediterranean using both terrestrial and marine high-
144 resolution pollen records. The signal reconstructed is then more regional than in the studies
145 based on terrestrial records alone. Moreover, this study aims to reconstruct precipitations
146 patterns for the Mediterranean basin over two key periods in the Holocene while the existing
147 large-scale quantitative paleoclimate reconstructions for the Holocene are often limited to the
148 mid-Holocene - 6000 yrs BP- (Cheddadi et al., 1997; Bartlein et al., 2011; Mauri et al., 2014),
149 except the climate reconstruction for Europe proposed by the study of Mauri et al. (2015).

150 The second originality of our approach is that we propose a data/model comparison based on
151 (1) two time-slices and not only the mid-Holocene, a standard benchmark time period for this
152 kind of data–model comparison; (2) a high resolution regional model (RCM) which provides a
153 better representation of local/regional processes and helps to better simulate the localized,
154 “patchy”, impacts of Holocene climate change, when compared to coarser global GCMs (e.g.
155 Mauri et al., 2014); (3) changes in seasonality, particularly changes in summer atmospheric
156 circulation which have not been widely investigated (Brayshaw et al., 2011).

158 **2 Sites, pollen records, and models**

159 The Mediterranean region is at the confluence of continental and tropical air masses.
160 Specifically, the central and eastern Mediterranean is influenced by monsoonal systems, while
161 the north-western Mediterranean is under stronger influence from mid-latitude climate regimes
162 (Lionello et al., 2006). Mediterranean winter climates are strongly affected by storm systems
163 originating over the Atlantic. In the western Mediterranean, precipitation is predominantly
164 affected by the North Atlantic Oscillation (NAO), while several systems interact to control
165 precipitation over the northern and eastern Mediterranean (Giorgi and Lionello, 2008).
166 Mediterranean summer climates are dominated by descending high pressure systems that lead
167 to dry/hot conditions, particularly over the southern Mediterranean where climate variability is
168 strongly influenced by African and Asian monsoons (Alpert et al., 2006) with strong
169 geopotential blocking anomalies over central Europe (Giorgi and Lionello, 2008; Trigo et al.,
170 2006).

171 The palynological component of our study combines results from five terrestrial and eight
172 marine pollen records to provide broad coverage of the Mediterranean basin (Fig. 1, Table 1).
173 The terrestrial sequences comprise pollen records from lakes along a latitudinal gradient from
174 northern Italy (Lakes Ledro and Accesa) to Sicily (Lake Pergusa), one pollen record from Malta
175 (Burmarrad) and one pollen record from Greece (Tenaghi Philippon). The marine pollen
176 sequences are situated along a longitudinal gradient across the Mediterranean Sea; from the
177 Alboran Sea (ODP Site 976 and core MD95-2043), Siculo-Tunisian strait (core MD04-2797),
178 Adriatic Sea (core MD90-917), and Aegean Sea (cores SL152, MNB-3, NS14, HCM2/22). For
179 each record we used the chronologies as reported in the original publications (see Table 1 for
180 references).

181 Climate reconstructions for summer and winter precipitation (Figs. 2 [and](#) 3) inferred from the
182 terrestrial sequences and marine pollen records were performed using the Modern Analogue
183 Technique (MAT; Guiot, 1990). The MAT compares fossil pollen assemblages to modern
184 pollen assemblages with known climate parameters. The MAT is calibrated using an expanded
185 surface pollen dataset with more than 3600 surface pollen samples from various European
186 ecosystems (Peyron et al., 2013). In this dataset, 2200 samples are from the Mediterranean
187 region, and the results shows that the analogues selected here are limited to the Mediterranean
188 basin. Since the MAT uses the distance structure of the data and essentially performs local
189 fitting of the climate parameter (as the mean of n -closest sites), it may be less susceptible to
190 increased noise in the data set, and less likely to report spurious values than others methods (for
191 more details on the method, see Peyron et al., 2011). *Pinus* is overrepresented in marine pollen
192 samples (Heusser and Balsam, 1977; Naughton et al., 2007), and as such *Pinus* pollen was
193 removed from the assemblages ([both modern and fossil](#)) for the calibration of marine records
194 using MAT. [The reliability of quantitative climate reconstructions from marine pollen records
195 has been tested using marine core-top samples from the Mediterranean in Combourieu-Nebout
196 et al. \(2009\), which shows an adequate consistency between the present day observed and MAT
197 estimations for annual and summer precipitations values.](#)

198 [The climate model simulations used in the model-data comparison are taken from Brayshaw et
199 al. \(2010, 2011a, 2011b\).](#) The HadAM3 global atmospheric model (resolution 2.5° latitude x
200 3.75° longitude, 19 vertical levels; Pope et al., 2000) is coupled to a slab ocean (Hewitt et al.,
201 2001) and used to perform a series of time slice experiments. Each time-slice simulation
202 corresponds to 20 model years after spin up (40 model years for pre-industrial). The time slices
203 correspond to “[present-day](#)” (1960-1990), 2000 cal BP, 4000 cal BP, 6000 cal BP and 8000 cal
204 BP conditions, and are forced with appropriate insolation (associated with changes in the
205 Earth’s orbit), and atmospheric CO₂ and CH₄ concentrations. The heat fluxes in the ocean are
206 held fixed [using values taken from a pre-industrial control run \(i.e., the ocean ‘circulation’ is
207 assumed to be invariant over the time-slices\)](#) and there is no sea-level change, but sea-surface
208 temperatures are allowed to evolve freely. The coarse global output from the model for each
209 time slice is downscaled over the Mediterranean region using HadRM3 (i.e. a limited area
210 version of the same atmospheric model; resolution 0.44° x 0.44°, with 19 vertical levels). Unlike
211 the global model, HadRM3 is not coupled to an ocean model; instead, sea-surface temperatures
212 are derived directly from the HadSM3 output.

213 Following Brayshaw et al. (2011a), time slice experiments are grouped into “mid Holocene”
214 (8000 BP and 6000 cal yrs BP) and “late Holocene” (4000 BP and 2000 cal yrs BP) experiments
215 because (1) these two periods are sufficiently distant in the past to be substantially different
216 from the present but close enough that the model boundary conditions are well known; (2) these
217 two periods are rich in high resolution and well-dated palaeoecological sequences, providing a
218 good spatial coverage suitable for large-scale model-data comparison. These two experiments
219 aid interpretability and increase the signal-to-noise ratio (the change in forcing between
220 adjacent time-slices is relatively small, making it difficult to detect). To aid comparison with
221 proxies, changes in climate are expressed as differences with respect to the present day (roughly
222 1960-1990) rather than the pre-industrial control run: therefore the climate anomalies shown
223 thus include a component which is attributable to anthropogenic increases in greenhouse gases
224 in the industrial period, as well as longer term ‘natural’ changes (e.g., orbital forcing). We
225 suggest it may be better to use ‘present day’ to be in closer agreement with the pollen data
226 (modern samples) which use the late 20th century long-term averages (1961-1990). However,
227 there are some quite substantial differences between model runs under ‘present day’ and
228 ‘preindustrial’ forcings (Figure 4). Statistical significance is assessed with the Wilcoxon-Mann-
229 Whitney significance test (Wilks, 1995).

230 The details of the climate model simulations are discussed at length in Brayshaw et al (2010,
231 2011a, 2011b). These includes a detailed discussion of verification under present climate, the
232 model’s physical/dynamical climate responses to Holocene period ‘forcings’, and comparison
233 to other palaeoclimate modelling approaches (e.g., PMIP projects) and palaeo-climate
234 syntheses. The GCM used (HadAM3 with a slab ocean) is comparable to the climate models in
235 PMIP2, but a key advantages of the present dataset is: (a) the inclusion of multiple time-slices
236 across the Holocene period; and (b) the additional high-resolution regional climate model
237 downscaling enables the impact of local climatic effects within larger-scale patterns of change
238 to be distinguished (e.g., the impact of complex topography or coastlines; see Brayshaw et al
239 2011a), potentially allowing clearer comparisons between site-based proxy-data and model
240 output.

242 **3 Results and Discussion**

244 *A North-South precipitation pattern?*

245 Pollen evidence shows contrasting patterns of palaeohydrological changes in the central
246 Mediterranean. The early- to mid-Holocene was characterized by precipitation maxima south
247 of around 40°N while at the same time, northern Italy experienced precipitation minima; this
248 pattern reverses after 4500 cal yrs BP (Magny et al., 2012b; Peyron et al., 2013). Other proxies
249 suggest contrasting north-south hydrological patterns not only in central Mediterranean but also
250 across the Mediterranean (Magny et al., 2013), suggesting a more regional climate signal. We
251 focus here on two time periods (early to mid-Holocene and late Holocene), in order to test this
252 hypothesis across the Mediterranean, and to compare the results with regional climate
253 simulations for the same time periods.

254 Early to mid-Holocene (8000 to 6000 cal yrs BP)

255 Climatic patterns reconstructed from both marine and terrestrial pollen records seem to
256 corroborate the hypothesis of a north-south division in precipitation regimes during the
257 Holocene (Fig 2a). Our results confirm that northern Italy was characterized by drier conditions
258 (relative to modern) while the south-central Mediterranean experienced more annual, winter
259 and summer precipitation during the early to mid-Holocene (Fig. 2a). Only Burmarrad (Malta)
260 shows drier conditions in the early to mid-Holocene (Fig 2a), although summer precipitation
261 reconstructions are marginally higher than modern at the site. Wetter summer conditions in the
262 Aegean Sea suggest a regional, wetter, climate signal over the central and eastern
263 Mediterranean. Winter precipitation in the Aegean Sea is less spatially coherent than summer
264 signal, with dry conditions in the North Aegean Sea and or near-modern conditions in the
265 Southern Aegean Sea (Figs. 2a and 3).

266 Non-pollen proxies, including marine and terrestrial biomarkers (terrestrial n-alkanes), indicate
267 humid mid-Holocene conditions in the Aegean Sea (Triantaphyllou et al., 2014, 2016). Results
268 within the Aegean support the pollen-based reconstructions, but non-pollen proxy data are still
269 lacking at the basin scale in the Mediterranean, limiting our ability to undertake independent
270 evaluation of precipitation reconstructions.

271 Very few large-scale climate reconstruction of precipitation exist for the whole Holocene
272 (Bartlein et al., 2011; Mauri et al., 2014; Guiot and Kaniewski, 2015; Tarroso et al., 2016) and,
273 even at local scales, pollen-inferred reconstructions of seasonal precipitation are very rare (Wu
274 et al., 2007; Peyron et al., 2011, 2013; Combourieu-Nebout et al., 2013; Nourelbait et al., 2016).
275 Several studies focused on the 6000 cal years BP period; Wu et al. (2007) reconstruct regional
276 seasonal and annual precipitation and suggest that precipitation did not differ significantly from

277 modern conditions across the Mediterranean; however, scaling issues render it difficult to
278 compare their results with the reconstructions presented here. Cheddadi et al. (1997) reconstruct
279 wetter-than-modern conditions at 6000 yrs cal BP in southern Europe; however, their study uses
280 only one record from Italy and measures the moisture availability index, which is not directly
281 comparable to precipitation *sensu stricto*, since it integrates temperature and precipitation. At
282 6000 yrs cal BP, Bartlein et al. (2011) reconstruct Mediterranean precipitation at values between
283 100 and 500 mm higher than modern. Mauri et al. (2015), in an updated version of Davis et al.
284 (2003), provide a quantitative climate reconstructions comparable to the seasonal precipitation
285 reconstructions presented here. Compared to Davis et al. (2003), which focused on reconstruction
286 of temperatures, Mauri et al. (2015) reconstructed seasonal precipitation for Europe and analyse
287 their evolution throughout the Holocene. Mauri et al. (2015) results differ from the current study
288 in using MAT with plant functional type scores and in producing gridded climate maps. Mauri
289 et al. (2015) show wet summers in southern Europe (Greece and Italy) with a precipitation
290 maximum between 8000 and 6000 cal yrs BP, where precipitation was ~20 mm/month higher
291 than modern. As in our reconstruction, precipitation changes in the winter were small and not
292 significantly different from present-day conditions. Our reconstructions are in agreement with
293 Mauri et al. (2015), with similar to present day summer conditions above 45°N during the early
294 Holocene and wetter than today summer conditions over much of the south-central
295 Mediterranean south of 45°N, while winter conditions appear to be similar to modern values.
296 Mauri et al. (2015) results inferred from terrestrial pollen records and the climatic trends
297 reconstructed here from marine and terrestrial pollen records seem to corroborate the hypothesis
298 of a north-south division in precipitation regimes during the early to mid-Holocene in central
299 Mediterranean. However, more high-resolution above 45°N are still needed to validate this
300 hypothesis.

301 Late Holocene (4000 to 2000 cal yrs BP)

302 Late Holocene reconstructions of winter and summer precipitation indicate that the pattern
303 established during the early Holocene was reversed by 4000 cal yrs BP, with similar to present
304 day or lower than present day precipitation in southern Italy, Malta and Siculo-Tunisian strait
305 (Figs. 2b and 3). Annual precipitation reconstructions suggest drying relative to the early
306 Holocene, with modern conditions in northern Italy, and modern conditions or drier than
307 modern conditions in central and southern Italy during most of the late Holocene.
308 Reconstructions for the Aegean Sea still indicate higher than modern summer and annual
309 precipitation (Fig. 2b). Winter conditions reverse the early to mid-Holocene trend, with modern

310 conditions in the northern Aegean Sea and wetter than modern conditions in the southern
311 Aegean Sea (Fig. 3). Our reconstructions from all sites show a good fit with Mauri et al. (2015),
312 except for the Alboran Sea where we reconstruct relatively high annual precipitations, whereas
313 Mauri et al. (2015) reconstruct dry conditions, but here too, more sites are needed to confirm
314 or refute this pattern in Spain. Our reconstruction of summer precipitation for the eastern
315 Mediterranean is very similar to Mauri et al. (2015) where wet conditions are reported for
316 Greece and the Aegean Sea.

317

318 *An East-West precipitation pattern?*

319 A precipitation gradient, or an east-west division during the Holocene has been suggested for
320 the Mediterranean from pollen data and lakes isotopes (e.g. Dormoy et al., 2009; Roberts et al.,
321 2011; Guiot and Kaniewski, 2015). However, lake-levels and other hydrological proxies around
322 the Mediterranean Basin do not clearly support this hypothesis and rather show contrasting
323 hydrological patterns south and north of 40°N particularly during the Holocene climatic
324 optimum (Magny et al., 2013).

325 Early to mid-Holocene (8000 to 6000 cal yrs BP)

326 The pollen-inferred annual precipitation indicates unambiguously wetter than today conditions
327 south of 42°N in the western, central and eastern Mediterranean, except for Malta (Fig. 3). A
328 prominent feature of the summer precipitation signal is an east-west dipole with increasing
329 precipitation in the eastern Mediterranean (as for annual precipitation). In contrast, winter
330 conditions show less spatial coherence, although the western basin, Sicily and the Siculo-
331 Tunisian strait appear to have experienced higher precipitation than modern, while drier
332 conditions exist in the east and in north Italy (Fig. 2a).

333 Our reconstruction shows a good match to Guiot and Kaniewski (2015) who have also discussed
334 a possible east-to-west division in the Mediterranean with regard to precipitation (summer and
335 annual) during the Holocene. They report wet centennial-scale spells in the eastern
336 Mediterranean during the early Holocene (until 6000 years BP), with dry spells in the western
337 Mediterranean. Mid-Holocene reconstructions show continued wet conditions, with drying
338 through the late Holocene (Guiot and Kaniewski, 2015). This pattern indicates a see-saw effect
339 over the last 10,000 years, particularly during dry episodes in the Near and Middle East. Similar
340 to in our findings, Mauri et al. (2015) also reconstruct high annual precipitation values over
341 much of the southern Mediterranean, and a weak winter precipitation signal. Mauri et al. (2015)
342 confirm an east-west dipole for summer precipitation, with conditions drier or close to present

343 in south-western Europe and wetter in the central and eastern Mediterranean (Fig 2b). These
344 studies corroborate the hypothesis of an east-to-west division in precipitation during the early
345 to mid-Holocene in the Mediterranean as proposed by Roberts et al. (2011). Roberts et al.
346 (2011) suggest the eastern Mediterranean (mainly Turkey and more eastern regions)
347 experienced higher winter precipitation during the early Holocene, followed by an oscillatory
348 decline after 6000 yrs BP. Our findings reveal wetter annual and summer conditions in the
349 eastern Mediterranean, although the winter precipitation signal is less clear. However, the
350 highest precipitation values reported by Roberts et al. (2011) were from sites located in western-
351 central Turkey; these sites are absent in the current study. Climate variability in the eastern
352 Mediterranean during the last 6000 years is also documented in a number of studies based on
353 multiple proxies (Finné et al., 2011). Most palaeoclimate proxies indicate wet mid-Holocene
354 conditions (Bar-Matthews et al., 2003; Stevens et al., 2006; Eastwood et al., 2007; Kuhnt et al.,
355 2008; Verheyden et al., 2008) which agree well with our results; however most of these proxies
356 are not seasonally resolved.

357 Roberts et al. (2011) and Guiot and Kaniewski (2015) suggest that changes in precipitation in
358 the western Mediterranean were smaller in magnitude during the early Holocene, while the
359 largest increases occurred during the mid-Holocene, around 6000-3000 cal BP, before declining
360 to modern values. Speleothems from southern Iberia suggest a humid early Holocene (9000-
361 7300 cal BP) in southern Iberia, with equitable rainfall throughout the year (Walczak et al.,
362 2015) whereas our reconstructions for the Alboran Sea clearly show an amplified precipitation
363 seasonality (with higher annual/winter and similar to modern summer rainfall) for the Alboran
364 sites. It is likely that seasonal patterns defining the Mediterranean climate must have been even
365 stronger in the early Holocene to support the wider development of sclerophyll forests than
366 present in south Spain (Fletcher et al., 2013).

367 Late Holocene (4000 to 2000 cal yrs BP)

368 Annual precipitation reconstructions suggest drier or near-modern conditions in central Italy,
369 Adriatic Sea, Siculo-Tunisian strait and Malta (Figs. 2b and 3). In contrast, the Alboran and
370 Aegean Seas remain wetter. Winter and summer precipitation produce opposing patterns; a
371 clear east-west division still exists for summer precipitation, with a maximum in the eastern
372 and a minimum over the western and central Mediterranean (Fig. 2b). Winter precipitation
373 shows the opposite trend, with a minimum in the central Mediterranean (Sicily, Siculo-Tunisian
374 strait and Malta) and eastern Mediterranean, and a maximum in the western Mediterranean
375 (Figs. 2b and 3). Our results are also in agreement with lakes and speleothem isotope records

376 over the Mediterranean for the late Holocene (Roberts et al., 2011), and the Finné et al. (2011)
377 palaeoclimate synthesis for the eastern Mediterranean. There is a good overall correspondence
378 between trends and patterns in our reconstruction and that of Mauri et al. (2015), except for the
379 Alboran Sea. High-resolution speleothem data from southern Iberia show Mediterranean
380 climate conditions in southern Iberia between 4800 and 3000 cal BP (Walczak et al., 2015)
381 which is in agreement with our reconstruction. The Mediterranean climate conditions
382 reconstructed here for the Alboran Sea during the late Holocene is consistent with a climate
383 reconstruction available from the Middle Atlas (Morocco), which show a trend over the last
384 6000 years towards arid conditions as well as higher precipitation seasonality between 4000
385 and 2000 cal yrs BP (Nourelbait et al., 2016). There is also good evidence from many records
386 to support late Holocene aridification in southern Iberia. Paleoclimatic studies document a
387 progressive aridification trend since ~7000 cal yr BP (e.g. Carrion et al., 2010; Jimenez-Moreno
388 et al., 2015; Ramos-Roman et al., 2016), although a reconstruction of the annual precipitation
389 inferred from pollen data with the Probability Density Function method indicate stable and dry
390 conditions in the south of the Iberian Peninsula between 9000 and 3000 cal BP (Tarroso et al.,
391 2016).

392 The current study shows that a prominent feature of late Holocene climate is the east-west
393 division in summer precipitation: summers were overall dry or near-modern in the central and
394 western Mediterranean and clearly wetter in the eastern Mediterranean. In contrast, winters
395 were drier or near-modern in the central and eastern Mediterranean (Fig. 3) while they were
396 wetter only in the Alboran Sea.

397

398 *Data-model comparison*

399 Figure 3 shows the data-model comparisons for the early to mid-Holocene (a) and late Holocene
400 (b) compared to present values (in anomalies). Encouragingly, there is a good overall
401 correspondence between patterns and trends in pollen-inferred precipitation and model outputs.
402 Caution is required when interpreting climate model results, however, as many of the changes
403 depicted in Fig. 3 are very small and of marginal statistical significance, suggesting a high
404 degree of uncertainty around their robustness.

405 For the early to mid-Holocene, both model and data indicate wet annual and summer conditions
406 in Greece and in the eastern Mediterranean, and drier than today conditions in north Italy. There
407 are indications of an east to west division in summer precipitation simulated by the climate

408 model (e.g., between the ocean to the south of Italy and over Greece/Turkey), although the
409 changes are extremely small with a level of significance of 70%. Furthermore, in the Aegean
410 Sea, the model shows a good match with pollen-based reconstructions, suggesting that the
411 increased spatial resolution of the regional climate model may help to simulate the localized,
412 “patchy”, impacts of Holocene climate change, when compared to coarser global GCMs (Fig.
413 3). In Italy, the model shows a good match with pollen-based reconstructions with regards to
414 the contrasting north-south precipitation regimes, but there is little agreement between model
415 output and climate reconstruction with regard to winter and annual precipitation in southern
416 Italy. The climate model suggests wetter winter and annual conditions in the far western
417 Mediterranean (i.e. France, western Iberia and the NW coast of Africa) – similar to pollen-
418 based reconstructions – and near-modern summer conditions during summers (except in France
419 and northern Africa). A prominent feature of winter precipitation simulated by the model and
420 partly supported by the pollen estimates is the reduced early Holocene precipitation everywhere
421 in the Mediterranean basin except in the south east.

422 Model and pollen-based reconstructions for the late Holocene indicate declining winter
423 precipitation in the eastern Mediterranean and southern Italy (Sicily and Malta) relative to the
424 early Holocene. In contrast, late Holocene summer precipitation is higher than today in Greece
425 and in the eastern Mediterranean and near-modern in the central and western Mediterranean,
426 and relatively lower than today in south Spain and north Africa. The east-west division in
427 summer precipitation is strongest during the late Holocene in the proxy data and there are
428 suggestions that it appears to be consistently simulated in the climate model; the signal is
429 reasonably clear in the eastern Mediterranean (Greece and Turkey) but non-significant in
430 central and western Mediterranean (Fig. 3).

431 Our findings can be compared with previous data-model comparisons based on the same set of
432 climate model experiments; although here we take our reference period as ‘present-day’ (1960-
433 1990) rather than preindustrial and thus include an additional ‘signal’ from recent
434 anthropogenic greenhouse gas emissions. Previous comparisons nevertheless suggested that the
435 winter precipitation signal was strongest in the northeastern Mediterranean (near Turkey)
436 during the early Holocene and that there was a drying trend in the Mediterranean from the early
437 Holocene to the late Holocene, particularly in the east (Brayshaw et al., 2011a; Roberts et al.,
438 2011). This is coupled with a gradually weakening seasonal cycle of surface air temperatures
439 towards the present.

440 It is clear that most global climate models (PMIP2, PMIP3) simulate only very small changes
441 in summer precipitation in the Mediterranean during the Holocene (Braconnot et al., 2007a,b,
442 2012; Mauri et al., 2014). The lack of a summer precipitation signal is consistent with the failure
443 of the northeastern extension of the West African monsoon to reach the southeastern
444 Mediterranean, even in the early _to-mid-Holocene (Brayshaw et al., 2011a). The regional
445 climate model simulates a small change in precipitation compared to the proxy results, and it
446 can be robustly identified as statistically significant. This is to some extent unsurprising, insofar
447 as the regional climate simulations presented here are themselves “driven” by data derived from
448 a coarse global model (which, like its PMIP2/3 peers, does not simulate an extension of the
449 African monsoon into the Mediterranean during this time period). Therefore, questions remain
450 about summer precipitation in the eastern Mediterranean during the Holocene. The underlying
451 climate dynamics therefore need to be better understood in order to confidently reconcile proxy
452 data (which suggest increased summer precipitation during the early Holocene in the Eastern
453 Mediterranean) with climate model results (Mauri et al., 2014). Based on the high-resolution
454 coupled climate model EC-Earth, Bosmans et al. (2015) show how the seasonality of
455 Mediterranean precipitation should vary from minimum to maximum precession, indicating a
456 reduction in precipitation seasonality, due to changes in storm tracks and local cyclogenesis
457 (i.e. no direct monsoon required). Such high-resolution climate modeling studies (both global
458 and regional) may prove a key ingredient in simulating the relevant atmospheric processes (both
459 local and remote) and providing fine-grain spatial detail necessary to compare results to palaeo-
460 proxy observations.

461 Another explanation proposed by Mauri et al. (2014) is linked to the changes in atmospheric
462 circulation. Our reconstructed climate characterized by dry winters and wet summers shows a
463 spatial pattern that is somewhat consistent with modern day variability in atmospheric
464 circulation rather than simple direct radiative forcing by insolation. In particular, the gross NW-
465 SE dipole of reconstructed winter precipitation anomalies is perhaps similar to that associated
466 with a modern-day positive AO/NAO. The west coast of Spain is, however, also wetter in our
467 early Holocene simulations which would seem to somewhat confound this simple picture of a
468 shift to an NAO+ like state compared to present. In summer, an anti-cyclonic blocking close to
469 Scandinavia may have caused a more meridional circulation, which brought dry conditions to
470 northern Europe, but relatively cooler and somewhat wetter conditions to many parts of
471 southern Europe. It is of note that some climate models which have been used for studying
472 palaeoclimate have difficulty reproducing this aspect of modern climate (Mauri et al., 2014).

473 Future work based on transient Holocene model simulations are important, nevertheless,
474 transient-model simulations have also shown mid-Holocene data-model discrepancies (Fischer
475 and Jungclauss, 2011; Renssen et al., 2012). It is, however, suggested that further work is
476 required to fully understand changes in winter and summer circulation patterns over the
477 Mediterranean (Bosmans et al., 2015).

478

479 *Data limitations*

480 Classic ecological works for the Mediterranean (e.g. Ozenda 1975) highlight how precipitation
481 limits vegetation type in plains and lowland areas, but temperature gradients take primary
482 importance in mountain systems. Also, temperature and precipitation changes are not
483 independent, but interact through bioclimatic moisture availability and growing season length
484 (Prentice et al., 1996). This may be one reason why certain sites may diverge from model
485 outputs; the Alboran sites, for example, integrate pollen from the coastal plains through to
486 mountain (+1500m) elevations. At high elevations within the source area, temperature effects
487 become be more important than precipitation in determining the forest cover type. Therefore, it
488 is not possible to fully isolate precipitation signals from temperature changes. Particularly for
489 the semiarid areas of the Mediterranean, the reconstruction approach probably cannot
490 distinguish between a reduction in precipitation and an increase in temperature and PET, or vice
491 versa.

492 Along similar lines, while the concept of reconstructing winter and summer precipitation
493 separately is very attractive, it may be highlighting commenting on some limitations. Although
494 different levels of the severity or length of summer drought are an important ecological
495 limitation for vegetation, reconstructing absolute summer precipitation can be difficult because
496 the severity/length of bioclimatic drought is determined by both temperature and precipitation.
497 We are dealing with a season that has, by definition, small amounts of precipitation that drop
498 below the requirements for vegetation growth. Elevation is also of concern, as lowland systems
499 tend to be recharged by winter rainfall, but high mountain systems may receive a significant
500 part of precipitation as snowfall, which is not directly available to plant life. This may be
501 important in the long run for improving the interpretation of long-term Holocene changes and
502 contrasts between different proxies, such as lake-levels and speleothems. All of these points
503 may seem very picky on the ecology side, but they may have a real influence leading to
504 problems and mismatches between different proxies (e.g. Davis et al., 2003; Mauri et al., 2015).

505 Another important point is the question of human impact on the Mediterranean vegetation
506 during the Holocene. Since human activity has influenced natural vegetation, distinguishing
507 between vegetation change induced by humans and climatic change in the Mediterranean is a
508 challenge requiring independent proxies and approaches. Therefore links and processes behind
509 societal change and climate change in the Mediterranean region are increasingly being
510 investigated (e.g. Holmgren et al., 2016; Gogou et al., 2016; Sadori et al., 2016a). Here, the
511 behavior of the reconstructed climatic variables between 4000 and 2000 cal yrs BP is likely
512 to be influenced by non-natural ecosystem changes due to human activities such as the forest
513 degradation that began in lowlands, progressing to mountainous areas (Carrión et al., 2010).
514 These human impacts add confounding effects for fossil pollen records and may lead to slightly
515 biased temperature reconstructions during the late Holocene, likely biased towards warmer
516 temperatures and lower precipitation. However, if human activities become more marked at
517 3000 cal yrs BP, they increase significantly over the last millennia (Sadori et al., 2016) which
518 is not within the time scale studied here. Moreover there is strong agreement between summer
519 precipitation and independently reconstructed lake-level curves (Magny et al., 2013). For the
520 marine pollen cores, human influence is much more difficult to interpret given that the source
521 area is so large, and that, in general, anthropic taxa are not found in marine pollen assemblages.

522

523 **Conclusions**

524 The Mediterranean is particularly sensitive to climate change but the extent of future change
525 relative to changes during the Holocene remains uncertain. Here, we present a reconstruction
526 of Holocene precipitation in the Mediterranean using an approach based on both terrestrial and
527 marine pollen records, along with a model-data comparison based on a high resolution regional
528 model. We investigate climatic trends across the Mediterranean during the Holocene to test the
529 hypothesis of an alternating north-south precipitation regime, and/or an east-west precipitation
530 dipole. We give particular emphasis to the reconstruction of seasonal precipitation considering
531 the important role it plays in this system.

532 Climatic trends reconstructed in this study seem to corroborate the north-south division of
533 precipitation regimes during the Holocene, with wet conditions in the south-central and eastern
534 Mediterranean, and dry conditions above 45°N during the early Holocene, while the opposite
535 pattern dominates during the late Holocene. This study also shows that a prominent feature of
536 Holocene climate in the Mediterranean is the east-to-west division in precipitation, strongly
537 linked to the seasonal parameter reconstructed. During the early Holocene, we observe an east-

538 to-west division with high summer precipitation in Greece and the eastern Mediterranean and
539 a minimum over the Italy and the western Mediterranean. There was a drying trend in the
540 Mediterranean from the early Holocene to the late Holocene, particularly in central and eastern
541 regions but summers in the east remained wetter than today. In contrast, the signal for winter
542 precipitation is less spatially consistent during the early Holocene, but it clearly shows similar
543 to present day or drier conditions everywhere in the Mediterranean except in the western basin
544 during the late Holocene.

545 The regional climate model outputs show a remarkable qualitative agreement with our pollen-
546 based reconstructions, although it must be emphasised that the changes simulated are typically
547 very small or of questionable statistical significance. Nevertheless, there are indications that the
548 east to west division in summer precipitation reconstructed from the pollen records do appear
549 to be simulated by the climate model. The model results also suggest that parts of the eastern
550 Mediterranean experienced similar to present day or drier conditions in winter during the early
551 and late Holocene and wetter conditions in annual and summer during the early and late
552 Holocene (both consistent with the paleo-records).

553 Although this study has used regional climate model data, it must always be recalled that the
554 regional model's high-resolution output is strongly constrained by a coarser-resolution global
555 climate model, and the ability of global models to correctly reproduce large-scale patterns of
556 change in the Mediterranean over the Holocene remains unclear (e.g. Mauri et al 2015). The
557 generally positive comparison between model and data presented here may therefore simply be
558 fortuitous and not necessarily replicated if the output from other global climate model
559 simulations was downscaled in a similar way. However, it is noted that the use of higher-
560 resolution regional climate models can offer significant advantages for data-model comparison
561 insofar as they assist in resolving the inherently "patchy" nature of climate signals and palaeo-
562 records. Notwithstanding the difficulties of correctly modeling large-scale climate change over
563 the Holocene (with GCMs), we believe that regional downscaling may still be valuable in
564 facilitating model-data comparison in regions/locations known to be strongly influenced by
565 local effects (e.g., complex topography).

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572

573 **Figure captions**

574 Figure 1: Locations of terrestrial and marine pollen records along a longitudinal gradient from
575 west to east and along a latitudinal gradient from northern Italy to Malta.

576 Ombrothermic diagrams are shown for each site, calculated with the NewLoclim
577 software program and database, which provides estimates of average climatic
578 conditions at locations for which no observations are available (ex.: marine pollen
579 cores).

580 Figure 2: Pollen-inferred climate estimates as performed with the Modern Analogues
581 Technique (MAT): annual precipitation, winter precipitation (winter = sum of
582 December, January and February precipitation) and summer precipitation (summer =
583 sum of June, July and August precipitation). Changes in climate are expressed as
584 differences with respect to the modern values (anomalies, mm/day). The modern
585 values are derived from the ombrothermic diagrams (cf [Fig. 1](#)). Two key intervals of
586 the Holocene corresponding to the two time slice experiments ([Fig. 3](#)) have been
587 chosen: 8000–6000 [cal yrs BP \(a\)](#) and 4000–2000 [\(b\)](#) cal yrs BP. The climate values
588 available during these periods have been averaged (stars).

589 Figure 3: Data-model comparison for mid and late Holocene precipitation, expressed in
590 anomaly [compared to present-day](#) (mm/day). Simulations are based on a regional
591 model (Brayshaw et al., 2010): standard model HadAM3 coupled to HadSM3
592 (dynamical model) and HadRM3 (high-resolution regional model. [The hatched areas](#)
593 [indicate areas where the changes are not significant \(70% rank-significance test\)](#).
594 Pollen-inferred climate estimates (stars) are the same as in [Fig. 2](#): annual precipitation,
595 winter precipitation (winter = sum of December, January and February precipitation)
596 and summer precipitation (summer = sum of June, July and August precipitation).

597 [Figure 4: Model simulation showing Present day minus Preindustrial precipitation anomalies](#)
598 [\(hatching at 70%/statistical significance over the insignificant regions\)](#)

599 Table 1: Metadata for the terrestrial and marine pollen records evaluated.

600

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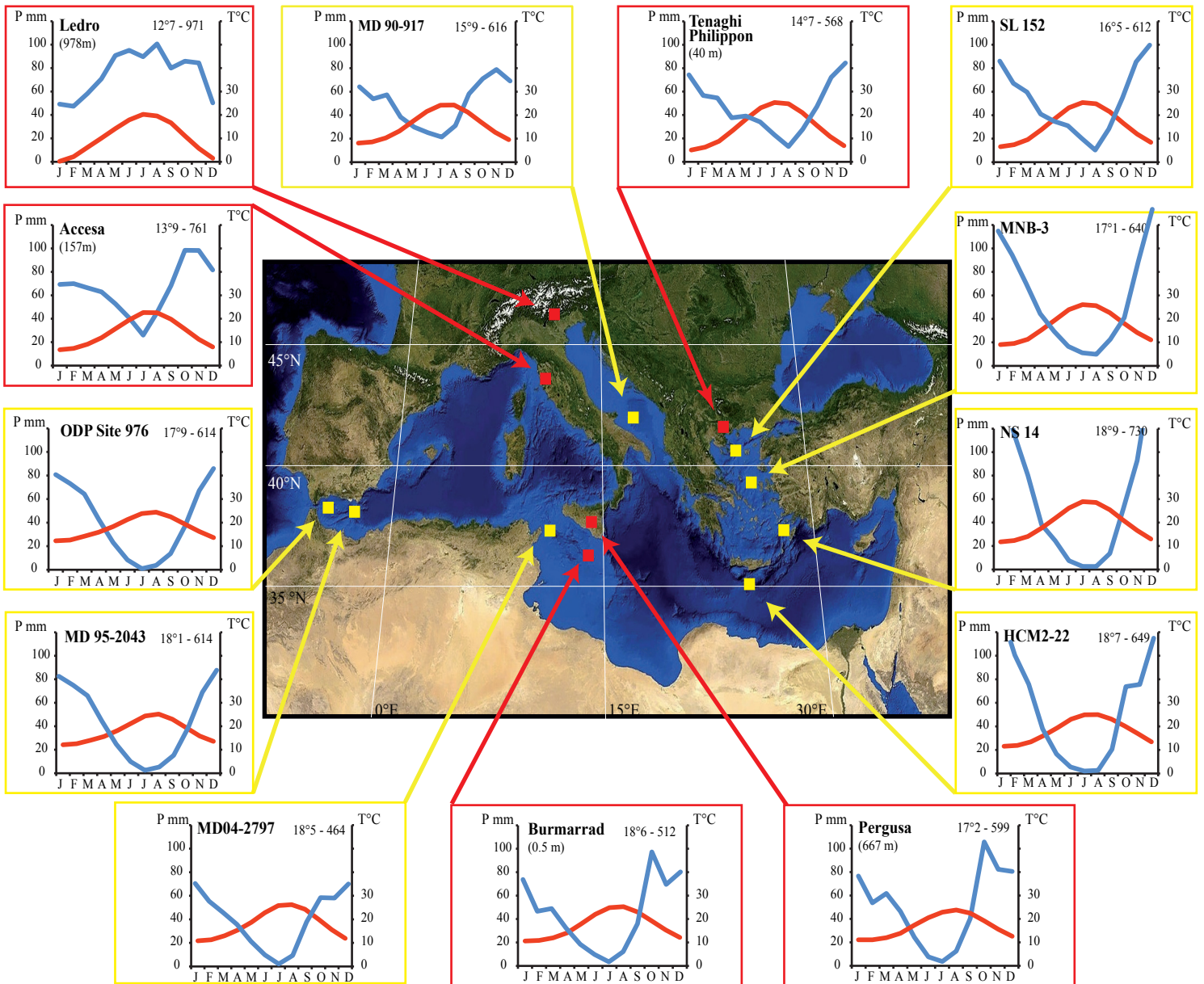
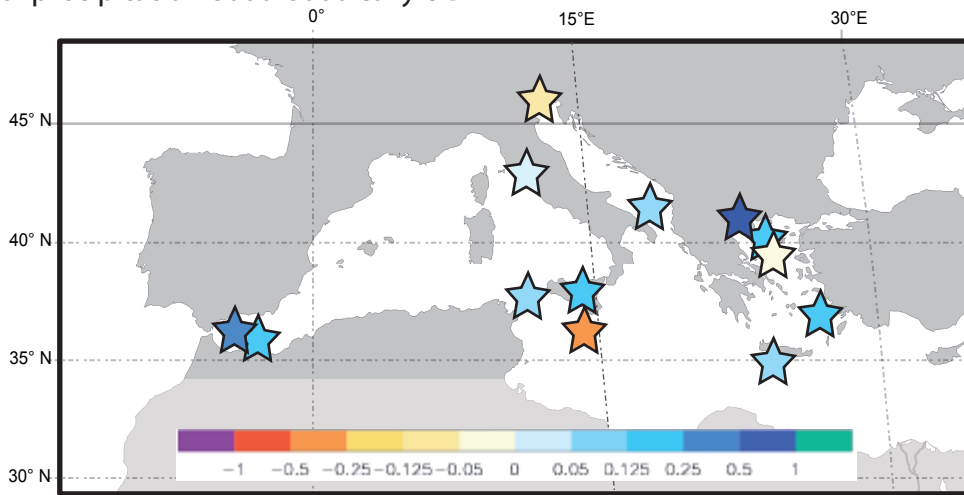


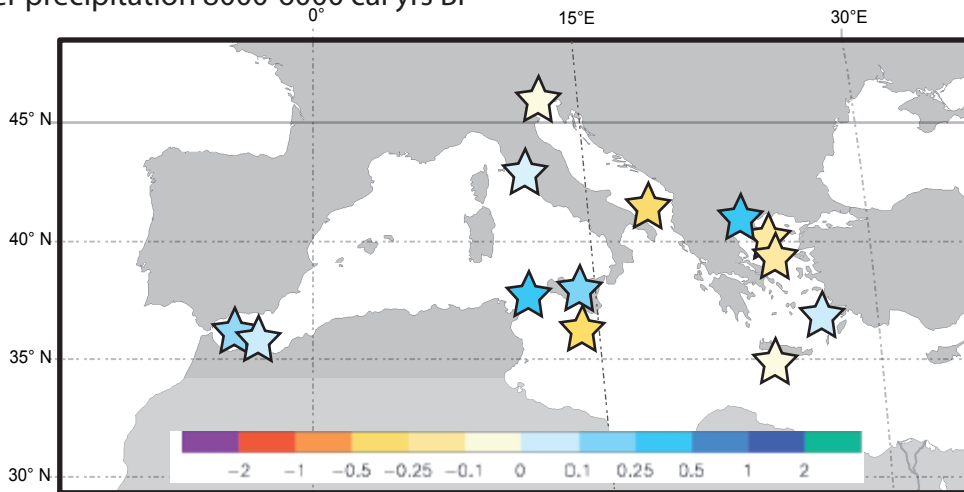
Figure 1: Locations of terrestrial (red) and marine (yellow) pollen records.

Ombrothermic diagrams are calculated with the NewLoclim software, which provides estimates of average climatic conditions at locations for which no observations are available (ex.: marine pollen cores).

Annual precipitation 8000-6000 cal yrs BP



Winter precipitation 8000-6000 cal yrs BP



Summer precipitation 8000-6000 cal yrs BP

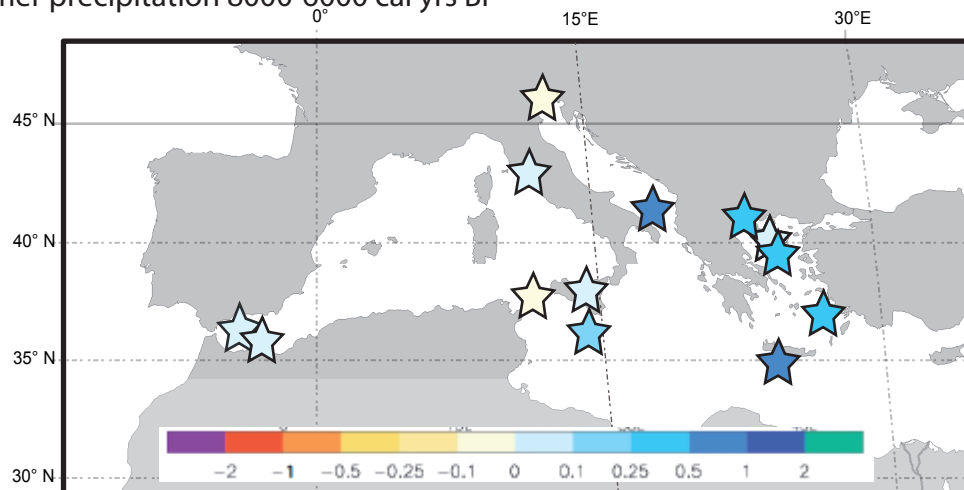
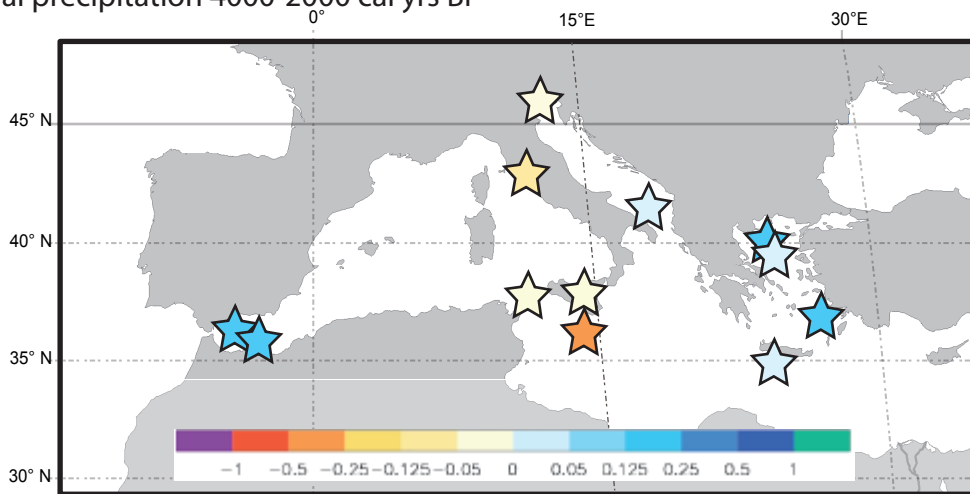


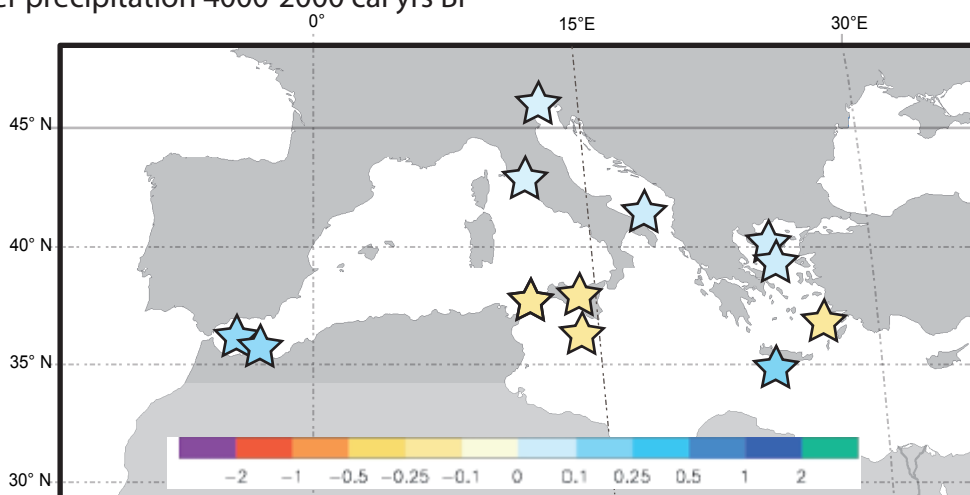
Figure 2a: 8000-6000 cal years BP

Pollen-inferred climate estimates as performed with the Modern Analogues Technique: annual precipitation, winter precipitation (winter = sum of December, January and February precipitation) and summer precipitation (summer = sum of June, July and August precipitation). Changes in climate are expressed as differences with respect to the modern values (anomalies, mm/day), which are derived from the ombrothermic diagrams (cf Fig. 1). Climate values reconstructed during the 8000-6000 cal yrs BP have been averaged (stars).

Annual precipitation 4000-2000 cal yrs BP



Winter precipitation 4000-2000 cal yrs BP



Summer precipitation 4000-2000 cal yrs BP

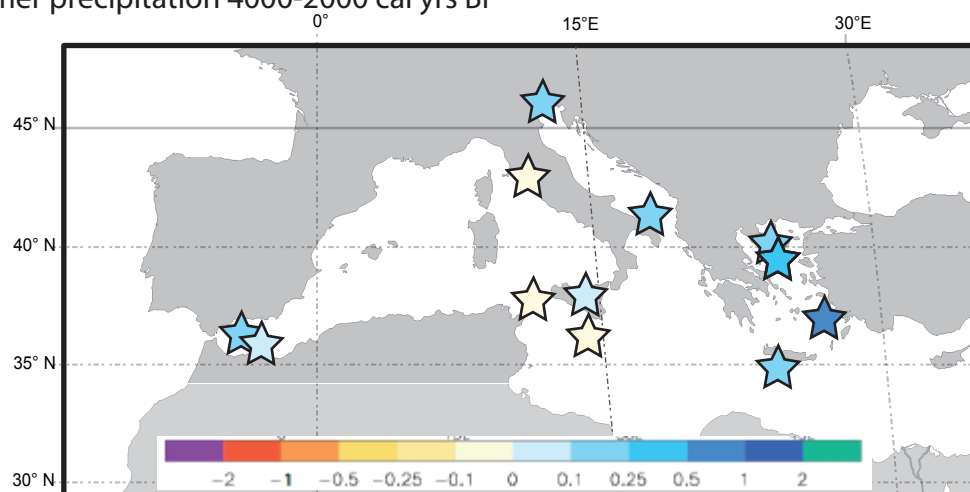
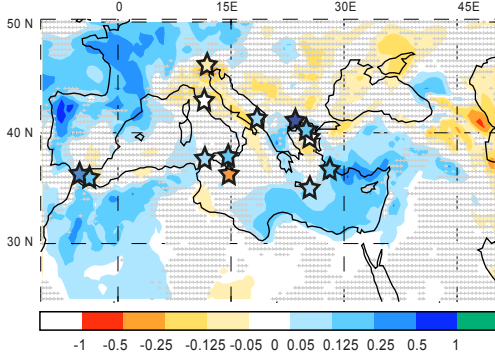


Figure 2b: 4000-2000 cal yrs BP

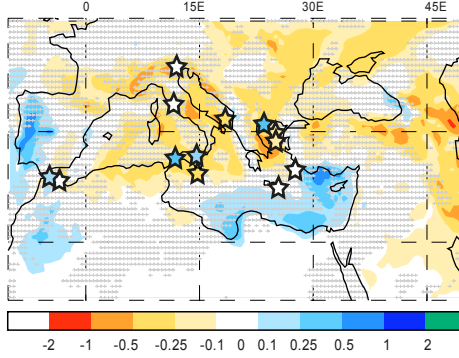
Pollen-inferred climate estimates as performed with the Modern Analogues Technique: annual precipitation, winter precipitation (winter = sum of December, January and February precipitation) and summer precipitation (summer = sum of June, July and August precipitation). Changes in climate are expressed as differences with respect to the modern values (anomalies, mm/day), which are derived from the ombrothermic diagrams (cf Fig. 1). Climate values reconstructed during the 4000-2000 cal yrs BP have been averaged (stars).

Mid-Holocene: 8000 to 6000 cal BP

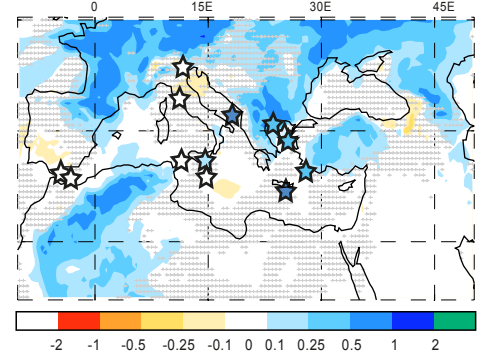
(a) Annual precipitation (anomalie mm/day)



(b) winter precipitation (anomalie mm/day)



(c) summer precipitation (anomalie mm/day)



Late Holocene: 4000 to 2000 cal BP

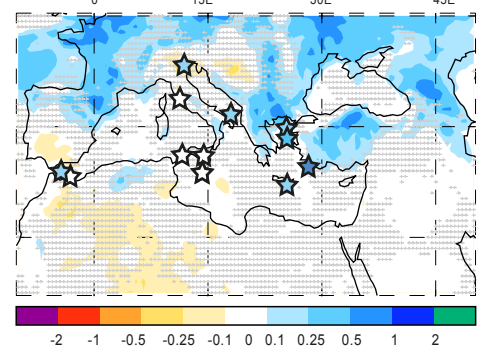
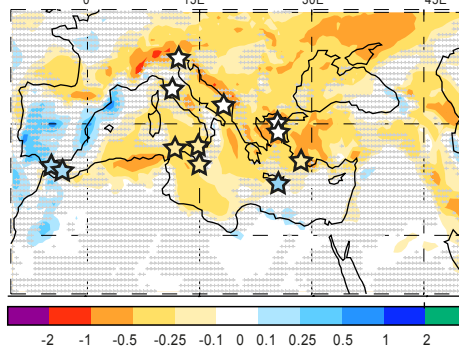
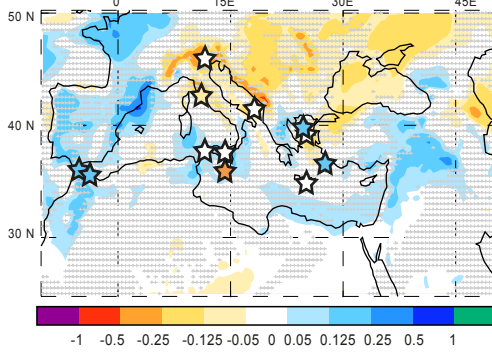


Figure 3: Data-model comparison for mid and late Holocene precipitation, expressed in anomaly (mm/day)

Simulations are based on a regional model (Brayshaw et al., 2010): standard model HadAM3 coupled to HadSM3 and HadRM3 (high-resolution regional model). The hatched areas indicate areas where the changes are not significant (threshold used here 70%). Pollen-inferred climate estimates (stars) are the same as in Fig.2: annual precipitation, winter precipitation and summer precipitation .

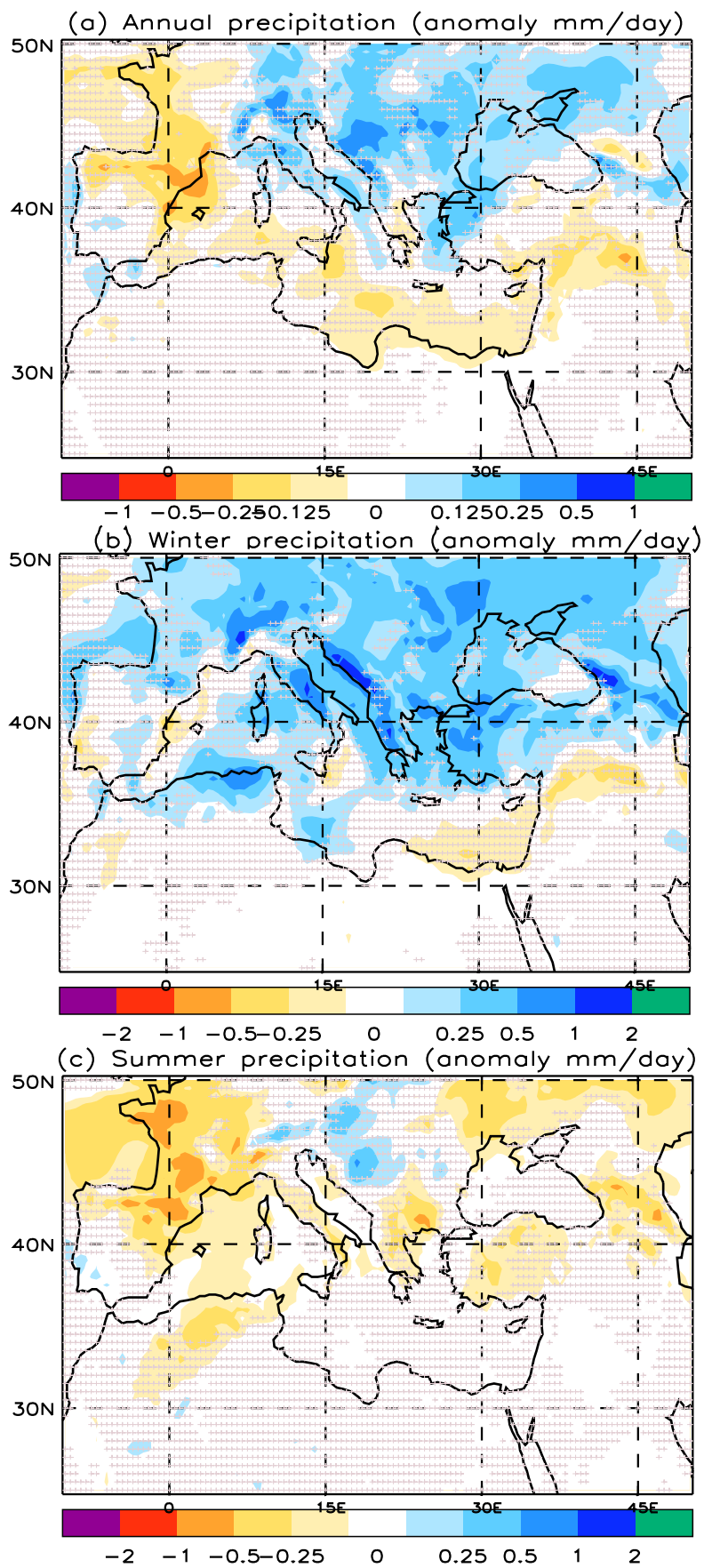


Figure 4: Model simulation showing Present day minus Preindustrial precipitation anomalies
(hatching at 70%/statistical significance over the insignificant regions)

| Terrestrial pollen records | | | | | |
|---|----------------|-----------------|----------------------------|---|--|
| | Longit. | Latitude | Elev. (m a.s.l) | Temporal resolution | References (non- exhaustive) |
| Ledro (North Italy) | 10°76'E | 45°87'N | 652 | 8000-6000: 71 4000-2000: 60 10966-10: 66 | Joannin et al. (2013), Magny et al. (2009, 2012a), Vanni re et al. (2013), Peyron et al. (2013) |
| Accesa (Central Italy) | 10°53'E | 42°59'N | 157 | 8000-6000: 90 4000-2000 : 133 11029-100: 97 | Drescher-Schneider et al. (2007), Magny et al. (2007, 2013), Colombaroli et al. (2008), Sadori et al. (2011), Vanni re et al. (2011), Peyron et al. (2011, 2013) |
| Trifoglietti (Southern Italy) | 16°01'E | 39°33'N | 1048 | 8000-6000: 95 4000-2000: 86 9967-14: 73 | Joannin et al. (2012), Peyron et al. (2013) |
| Pergusa (Sicily) | 14°18'E | 37°31'N | 667 | 8000-6000: 166 4000-2000: 90 12749-53: 154 | Sadori and Narcisi (2001); Sadori et al. (2008, 2011, 2013, 2016b); Magny et al. (2011, 2013) |
| Tenaghi Philippon (Greece) | 24°13.4'E | 40°58.4'N | 40 | 8000-6000: 64 4000-2000: no 10369-6371:53 | Pross et al. (2009, 2015), Peyron et al. (2011), Schemmel et al., (2016) |
| Burmarrad (Malta) | 14°25'E | 35°56'N | 0.5 | 8000-6000: 400 4000-2000: 285 6904-1730: 110 | Djamali et al. (2013), Gambin et al., (2016) |
| Marine pollen records | | | | | |
| | Longit. | Latitude | Water- depth | Temporal resolution | References |
| ODP 976 (Alboran Sea) | 4°18'W | 36°12' N | 1108 | 8000-6000: 142 4000-2000: 181 10903-132: 129 | Combourieu-Nebout et al. (1999, 2002, 2009) ; Dormoy et al., (2009) |
| MD95-2043 (Alboran Sea) | 2°37'W | 36°9'N | 1841 | 8000-6000: 111 4000-2000: 142 10952-1279: 106 | Fletcher and S nchez Go i (2008); Fletcher et al., (2010) |
| MD90-917 (Adriatic Sea) | 17°37'E | 41°97'N | 845 | 8000-6000: 90 4000-2000: 333 10495-2641: 122 | Combourieu-Nebout et al. (2013) |
| MD04-2797 (Siculo-Tunisian strait) | 11°40'E | 36°57'N | 771 | 8000-6000: 111 4000-2000: 666 10985-2215: 127 | Desprat et al. (2013) |
| SL152 (North Aegean Sea) | 24°36' E | 40°19' N | 978 | 8000-6000: 60 4000-2000: 95 9999-0: 76 | Kotthoff et al. (2008, 2011), Dormoy et al. (2009). |
| NS14 (South Aegean Sea) | 27°02'E | 36°38'N | 505 | 8000-6000: 80 4000-2000: 333 9988-2570: 107 | Kouli et al. (2012) ; Gogou et al. (2007); Triantaphyllou et al. (2009a, b) |
| HCM2/22 (South Crete) | 24°53'E | 34°34 N | 2211 | 8000-6000: 181 4000-2000: 333 8091-2390: 247 | Ioakim et.al. (2009) ; Kouli et al, (2012) ; Triantaphyllou et al. (2014) |

| | | | | | |
|---------------------------------|---------|---------|-----|--|--|
| MNB-3 (North Aegean Sea) | 25°00'E | 39°15'N | 800 | 8000-6000: 153 4000-2000: 166 8209-2273: 138 | Geraga et al. (2010) ; Kouli et al., (2012) ; Triantaphyllou et al, (2014) |
|---------------------------------|---------|---------|-----|--|--|

Table 1: Metadata for the terrestrial and marine pollen records evaluated. The temporal resolution is calculated for the two periods (8000-6000 and 4000-2000) and for the entire record.