- 1 Interactions between climate change and human activities during the Early to Mid Holocene in
- 2 the East Mediterranean basins
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- 12 Anatolia, geomorphic impact, mobility, adaptation.

13 Abstract

This paper focuses on Early Holocene Rapid Climate Change (RCC) records in the Mediterranean 14 15 zone, which are under-represented in continental archives (9.2 to 8.2 ka events) and on their impact on 16 prehistoric societies. This lack of data handicaps indeed assumptions about climate impact on human societies which flourished in recent years. Key questions remain about the impact of Early Holocene 17 cooling events on the Mediterranean climate, ecosystems and human societies. In this paper, we 18 19 discuss some examples from river and lake systems from the eastern to central Mediterranean area (Central Anatolia, Cyprus, NE and NW Greece) that illustrate some paleohydrological and erosion 20 21 variations that modified the sustainability of the first Neolithic populations in this region. Results 22 allow us to present direct land-sea correlations, and to reconstruct regional long-term trends as well as 23 millennial to centennial-scaled climatic changes. In this context, we question the socio-economic and 24 geographical adaptation capacities of these societies (mobility, technology, economic practices, social 25 organisation) during the "Early Holocene" interval (11.7 to 8.2 ka) which corresponds partly to the Sapropel 1 deposition in the Eastern Mediterranean sea. 26

28 Introduction

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29 30 Expected to have had a large impact on past societies, Rapid Climate Changes (RCCs), that start 31 abruptly within one decade or two at the most (in polar records) and concerns most often a period of 150 to 400 years, are often considered as one of the main environmental factors causing socio-32 economic and cultural changes, migrations, and even collapses (Weiss et al., 1993, Cullen et al. 2000, 33 34 Staubwasser and Weiss, 2006, Weninger et al., 2006). According to this climatic determinism, a RCC would be much harder (if not impossible), for a human society to adapt to, thus leading to radical 35 societal transformations. In the course of this debate, recent and ongoing researches on Neolithic 36 37 societies point to the necessity to focus simultaneously on (i) the economic, socio-cultural, technological and cognitive transformations of the human group living on site(s), (ii) the sharpening of 38 39 old and new chronological series within the site(s), (iii) the development of contextual analyses associated with geoarchaeological researches, and (iv) investigating, with a high resolution and multi-40 proxy approach, the palaeoenvironmental records available in the vicinity of Neolithic sites and their 41 connections with the sites, an approach yet poorly used. Such approach and methodology are indeed 42 the most appropriate for reconstructing and interpreting the relationships between environmental and 43 44 societal event records which have accompanied (or not) a rapid climate change and to better estimate 45 adaptability to changing environments. As a matter of fact, a lack of a RCC signature in the climatic and environmental proxies studied in any sediment record may have several meanings: an incorrect 46 47 assessment of a signal, an insufficient chronological control, a disconnection between the locus 48 studied and neighbouring areas where sedimentary archives would be more favourable for recording a 49 rapid climate change, etc. These are the reasons why it is often suspected that the absence of signature of a RCC event in continental archives, is more often due to the low temporal resolution of the 50 available records rather than to the absence of the climatic signal on the local scale. This problematic 51 52 situation is now increasingly addressed by new results focusing on high-resolution analyses and

chronologies, as well as on records associating both the archaeological sites and their surrounding 53 54 geomorphologic/environmental archives. In this paper, the goal is to highlight the variety of 55 occurrences of Early Holocene RCC records using (i) interconnected water-related systems (rivers and wetlands) associated with Neolithic sites in contrasted areas of the Eastern Mediterranean basin, and 56 57 (ii) the characteristics of the main morphogenic and hydrosedimentary responses to RCC on the catchment or lacustro-palustrine scales. We present below four recently-investigated continental 58 59 fieldwork areas, where new data have been acquired concerning the 9.5 to 7 ka timespan. These data are discussed in the context of their proximity with excavated archaeological sites or with regional 60 61 cultural trends on the regional scale (Central Anatolia, Cyprus, Eastern Macedonia, Corfu Island). Using different spatial scales, from the site to the region and from the eastern to the central 62 Mediterranean, the hydrogeomorphic and ecological impacts of these EH RCCs are evaluated, along 63 64 with their potential impacts on the first Neolithic societies.

6566 **1. State of the Art**

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68 During the first half of the Holocene, the Eastern Mediterranean regions experienced a climate regime 69 significantly wetter than today, coherently indicated by regional marine and terrestrial isotopes (Bar-Matthews et al. 1997, Roberts et al. 2008, Robinson et al. 2006), the Dead Sea level maximum 70 71 (Migowski et al. 2006) and the sapropel S1 formation period in the Eastern Mediterranean sea 72 favoured by freshwater high runoff of tropical monsoonal origin (Rossignol-Strick 1999, Rohling et al. 73 2015). During this period, changes in Mediterranean cyclogenesis would have been potentially 74 influenced by lower Sea Surface Temperature (SST) and evaporation (Brayshaw et al. 2011, Rohling 75 et al. 2015). The general trend toward climate amelioration after the Younger Dryas, favored the development and diffusion of agriculture from nuclear areas in the Near-East (Willcox et al. 2009), the 76 77 Levant (Bar Yosef, Belfer-Cohen 1989) and Anatolia (Özdoğan 2011, Kuzucuoğlu 2014). This Early 78 Holocene phase was nevertheless interspersed by several pluricentennial wetter/drier climatic pulsations. Compared to today, the climate was then much more sensitive to freshwater forcing than to 79 80 solar activity (Teller and Livingston 2002, Fletcher et al. 2013). For example, in the Greenland ice cores, three "rapid events" (RCC) caused by meltwater pulses (MWP) are recorded ca 10.2, 9.2 and 81 82 8.2 ka ago, together with at least 11 other similar events documented for the entire Early Holocene (Teller et al. 2002; Fleitmann et al. 2008). In the eastern Mediterranean, extension of the Siberian 83 anticyclone to the Eastern Mediterranean (regular influx of cold air masses) also played a major role 84 during the Holocene period. For example, cold air from the Siberian High (SH) extension created a 85 rapid sea surface (SST) cooling (Rohling et al. 2002) (fig01). The multi-centennial variability of the 86 GISP2 terrestrial potassium (K+), a proxy recording the strength and temporality of the SH (Mayewski 87 et al., 1997), shows a stronger SH during some Holocene cold periods in the Eastern Mediterranean, 88 with repetitive impacts on the Anatolian/Aegean areas (Rohling et al., 2002, Weninger et al., 2006, 89 90 2014). These latter authors identify a 'RCC-corridor', which runs from the Ukraine, through southeastern Europe, into the Aegean and large parts of Anatolia and the Levant, as well as onto the islands 91 92 of Cyprus and Crete. Rogers (1997) linked cyclogenesis in the Mediterranean with positive (strong) SH anomalies, while eastern Mediterranean flood activity shows periodically a positive relationship 93 with an increasing trend in the K+ proxy (Benito et al. 2015). 94

95 The potential impact of the 9.2 ka abrupt climatic event on human societies during the Neolithic "revolution" has rarely been explored (Borrell, 2007; Flohr et al., 2015), in any case much less so than 96 the 8.2 ka event. In this debate, the effects of the worldwide "8.2" climatic event on the Mesolithic and 97 Neolithic societies have been under discussion for a decade, with interpretations varying from 98 abandonment of sites to collapse, from large-scale migration to sustainability of occupation and social 99 100 adaptation (for a complete overview see Gehlen and Schön, 2005, Staubwasser and Weiss, 2006, Weninger et al., 2006, 2014, Berger and Guilaine, 2009, Flohr et al. 2015). Climatic records show that 101 the 8.2 ka event resulted in some of the most extreme environmental perturbations of the Holocene. 102 103 For this reason, it has been subject to an abundant literature since being first discussed (Alley et al. 1997). Extended over a time-span of 100-150 years in GISP2-GRIP polar archives (Thomas et al. 104 105 2007), its duration has been found longer in numerous marine and continental proxies (fig01). In the eastern Mediterranean and other regions, the RCC interval between 8.6 and 8.0 ka spans a longer time 106 107 period than in the ice record, supporting the idea of an enhanced Siberian high-pressure anticyclone over Asia (Rohling and Pälike, 2005, Weninger et al. 2014) controlling a global intensification of
atmospheric circulation with cooler temperatures in polar regions (Mayewski et al. 2004) and drier and
cooler conditions in the Mediterranean basin (Rohling et al. 2002; Bar-Matthews et al. 2003, Fletcher
and Zielhofer 2013, Gómez-Paccard et al. in press). Meanwhile, pollen and SST data have been
increasingly studied in marine mediterranean archives for 15 years.

113 In parallel to these ice and marine records, Mediterranean basin scaled continental records reveal a paucity of evidence of Early Holocene RCC. For examples, Berger (2015) and Berger et al. (2016) 114 115 underline episodes of lateral mobility/erosion of rivers and successive entrenchments of active beds 116 although the period is dominated by a multi-millennial-long predominance of pedogenic processes. Although Early Holocene earth-surface processes are rarely documented in clear geomorphological 117 and chronological frameworks from the Southern Levant, there is some evidence for abrupt 118 119 geomorphological responses in the most fragile (semi-arid) regions during Holocene RCCs (Cohen-Seffera et al. 2005). But there is a general lack of very precise geomorphological studies for this 120 period (Berger and Guilaine 2009, Zielhoffer et al. 2008, 2012). 121

Divergent information from different proxy records and chronological uncertainties are often major 122 limitations to our understanding of abrupt climatic changes and their impact on continental 123 124 environment (Desprat et al. 2013). Early Holocene palaeoenvironmental data derive first from inferred changes in lake hydrology (isotopes and salinity changes, water level variations; Magny 2004, 125 Eastwood et al. 2007, Roberts et al. 2008 and 2011, Kuzucuoğlu et al. 2011), quantitative pollen 126 studies (Eastwood et al. 1999; Roberts et al. 2001; Pross et al. 2009, Peyron et al. 2011, Bordon et al. 127 2009), fire analysis (Vanniere et al. 2011), and also from cave speleothems records (Bar-Matthews et 128 al. 1997, Frisia et al. 2006, Verheyden et al. 2008, Göktürk et al. 2011) and marine cores (Kothoff et 129 al. 2008, Combourieu-Nebout et al. 2013, Desprat et al. 2013, Fletcher et al. 2012, etc.) (fig02). Multi-130 proxy comparisons (pollen-inferred changes in plant functional types vs modern analogues), help 131 132 identifying a strong connectivity with the Mediterranean watersheds, in particular when deciduous woodland switches to sclerophyllous woodland and scrub, or when mountainous assemblages increase 133 during colder events (Peyron et al. 2011, Combourieu-Nebout et al. 2013). 134

Despite these many recent paleoclimate studies, it is still difficult to imagine the relationships between 135 climate and hydrogeomorphology in the eastern part of the Mediterranean basin at the centennial scale 136 during the Early Holocene. For example, is it possible to consider a synchronous and similar 137 hydroclimatic and geomorphic functioning all through the area from the Ionian-Aegean basin to the 138 Levant regions? Is there a latitudinal climatic barrier between a north part and a south part of the 139 eastern Mediterranean, as there is between the central and western Mediterranean (Magny et al., 140 2013)? How much seasonal or annual water is available for soil and vegetation, notably during the 141 main RCCs ? What links can be found between changes in practices or in population movements that 142 143 may be connected to past hydrological changes in the continental areas?

An archaeological laboratory dedicated to vulnerability research in prehistoric periods is ongoing (e.g. 144 145 Clare and Weninger 2008, Bocquet-Appel et al. 2014, Borrell et al. 2015, Flohr et al. 2015, "2010-2020" Paléomex project), looking for the widest possible field of alternative societal modes and 146 147 responses to environmental changes/versus natural hazards. The RCCs-mechanism and their millennial cycles during the Holocene give opportunities to study the impact of rapid events on 148 cultural transitions and/or migrations/mobility, and to explore the societal adaptibility modes in stress 149 150 conditions through time and in specific contexts. The current main hypotheses are based on regional chronocultural patterns defined by Cumulative Probability Density Function (CPDF) techniques on the 151 152 one hand, and on the time parallelism between a decrease in Radiocarbon date clusters and the assertion of a RCC on the other hand. As proposed by Flohr et al. (2015), a more critical approach is 153 now clearly needed to better characterise socioenvironmental relations with climate and environmental 154 changes during RCC, an approach that would be more trustful than the use of regional ¹⁴C-dates series 155 which may be neither rigorously quality-checked nor solidly correlated in space and time. In order to 156 face the need for highly constrained dating strategies in archaeological contexts, the intra-site scale is 157 now being applied to sites such as Catalhöyük (Clare and Weninger 2008, Marciniak et al. 2015), 158 Aşıklı Höyük (Stiner et al., 2014), Tell Sabi Abyad in the Upper Euphrates (van der Plicht et al. 2011, 159 160 Akkermans et al. 2014). Extensively applied in stratigraphy as well as in space at the site, this approach aims to establish continuity/discontinuity in occupation and cultural changes within a 161 sensitive timing. For example, Clare and Weninger (2008) and van der Plicht et al. (2011) 162

demonstrated that a multiplication of ¹⁴C dates by CPDF at a single site, can fill or confirm the 163 suspicion of a hiatus. A critical analysis of the state of regional radiocarbon databases is therefore 164 essential, not only, as recently applied by Flohr et al. (2015), with a selection of radiocarbon dates, but 165 by a systematised intra-site stratigraphic and taphonomic evaluation such as that recently conducted on 166 the Dikili Tash and Sidari sites (Greece) (Lespez et al., 2013, 2016, Berger et al. 2014). We consider 167 that this approach is the most reliable way to observe the degree of continuity of human occupation 168 and thus to establish its possible links to local hydrogeomorphological dynamics during RCCs. But 169 170 such archives are rare, and primarily dependant on the site position in the catchment area, on the 171 proximity of the site with favourable sedimentary archive areas (like floodplains, swamps, foot slopes...) and on the type of site (tells being less favourable to hydrosedimentary records as soon as 172 they emerge from the floodplains). In addition to a lack of ¹⁴C dates on site, the lack of archaeological 173 data corresponding to the same timing as a rapid and short-lived event, may have other causes than the 174 absence of a link: a prevailing theoretical bias, old wood effects (while dates on charcoal have long 175 been privileged, seeds and other short-lived organic matter are preferred), restricted excavation of site 176 177 surfaces and periods...

178 Not only many palaeoclimate and environmental records have neither sufficient temporal resolution 179 nor chronological precision, but the sensitivity of a continental record to detect a decadal-scaled climatic anomaly is also rarely assessed. For this latter factor, more detailed geographical and 180 bioclimatic local frameworks within regional assessments are needed. The availability of such 181 assessments is necessary for discussing not only the local impacts of climate events on the resources 182 and landscapes (Clare and Weninger 2010), the societal impact or non-impact of a RCC (Roberts et al. 183 2011, Kuzucuoğlu 2015), and our knowledge of past adaptation strategies (Berger 2006, Berger and 184 Guilaine 2009, Lespez et al. 2014, 2016; Flohr et al. 2015). As far as the study of early farming 185 societies is concerned, data about micro-regional and local effects of RCCs will usefully replace or 186 187 complete, the information delivered by the key regional - and remote - climate references which are regularly called for in research papers: glacial, marine, continental dendrochronological series, 188 speleothems, etc. (Weninger et al. 2006, 2009, Kuzucuoğlu, 2009). Local detection of RCC impacts 189 are still too rarely attested to on archaeological sites or in continental river archives close to sites 190 occupied by the first farmers or the last hunter-gatherers (Berger and Guilaine 2009, Zielhoffer et al. 191 2012, Lespez et al. 2013, Berger et al. 2016). Prehistorians discussed the impact of the 8.2 event in the 192 193 Balkans, Their discussion still lacking a solid socio-environmental field documentation, interpretation and implications remain too often theoretical (Bonsall 2007, Budja 2007, Nikolova 2007). We thus 194 propose here a "bottom-up approach" of the impact of climate changes on the Early Neolithic 195 societies. We intend to demonstrate that precise geoarchaeological investigations in Neolithic sites, 196 when based on systematic stratigraphy studies, rigorous radiocarbon series and on a contextual 197 198 archaeological approach, end up proposing new socioenvironmental schemes on the local scale. 199 Meanwhile, we explore new hypotheses about the impacts of the Early Holocene RCCs on the 200 environments as well as the responses of Neolithic societies.

202 2. Material and methods: new continental data with high chronological resolution in the centre 203 and east of the Mediterranean basin

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205 <u>2.1. Central Anatolian and Cyprus cultural contexts</u>

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207 The wide and endorheic plains of central Anatolia (Fig02 and 03) open in steppic plateaus ca. 1200 to 1300 m altitude. The altitudes of the three main plains are ca 920 m a.s.l. (Tuz Gölü, to the north), 208 1000 m a.s.l. (Konya and Ereğli, to the south), and 1050 m a.s.l. (Bor, to the east). In these plains, the 209 210 current climate is semi-arid with mean annual precipitation ranging from 280 to 340 mm/yr 211 (respectively Konya and Tuz Gölü plain, southern Cappadocia lowlands). This semi-aridity contrasts with the fact that, from ca 10.5 ka on, the most ancient Neolithic sites of Anatolia East of the Taurus 212 213 range are founded in the Cappadocian highlands on one hand (Özbaşaran, 2011) and in the Konya plain (Baird 2012, Özdoğan et al. 2012), in a timeframe similar to that of the PPN (Pre-Pottery 214 215 Neolithic) in the other side of the Taurus range (south-eastern Anatolia: Özdoğan 2011). Indeed, after the Epipalaeolithic (Pınarbaşı-Karaman: 11.2-9.8 ka), two PPN (excavated) sites develop in central 216 Anatolia, starting short after the Holocene onset and until 9.4 ka: Asıklı (10.5-9.4 ka, in Cappadocia) 217

and Boncuklu (10.4-9.4 ka, in the Konya plain). Before and after the dismise of these sites, three pre-PN sites appear: Musular in Cappadocia (9.6-9.0 ka) which is inhabited only a few centuries, while the occupation of the two other sites continues well during the 9th mill. (Can Hasan III: 9.6 to 8.6 ka) and the 8th mill. (Catelhäuük Fast and West (9.5 to 7.5 km) Waring on at al. 2014. Marrillich et al. 2015)

the 8th mill. (Çatalhöyük East and West (9.5 to 7.5 ka: Weninger et al., 2014, Marciliak et al., 2015).

Other cultural changes occur in both regions during the 8.6-8.0 ka timespan. In Cappadocia two sites overrun the 8.2 ka event (Tepecik: 9-7.5 ka; Kösk: 8.5-7.5 ka). In the Konya plain, two sites follow

overrun the 8.2 ka event (Tepecik: 9-7.5 ka; Kösk: 8.5-7.5 ka). In the Konya plain, two sites follow one another around the ca 8.0 ka date: Pinarbaşi-Karaman (8.5 to 8.0 ka) and the 2^{nd} phase at Can

Hasan (8.0-7.6 ka). In spite of the small number of excavated sites in both Cappadocia and the Konya

plain, this list does not show any clear cultural "rupture" neither c 9.2 ka nor c 8.2 ka. However, a

change may have happenned c 9.4 ka at the end of the Pre-Pottery Neolithic B (PPNB) which is

possibly ending with a PPNA phase at Musular (?). Clearly 8.6 ka, 8.0 ka and, especially 7.6 ka seem

- 229 pivotal dates:
- 8.6 ka marks the end of the first occupation phase of Can Hasan III, and start of that of Köşk and
 Pınarbaşı however, Tepecik and Çatalhöyük are continuously occupied.

- 8.0 ka marks the end of Pinarbaşi and start of Can Hasan second phase

- 7.5 ka marks the end of occupation of Çatalhöyük (West), Köşk, Tepecik, Can Hasan phase II.

234 Central Anatolia neighbours the nuclear areas of the PPNA (11.7-10.5 ka) in the Levant and of SE

Turkey (middle and upper Tigris and Euphrates valleys). Where identified (in the Levant, SE Turkey, 235 236 Iran, Cyprus, central Anatolia), the "Pre-Pottery Neolithic" (PPN) corresponds to a "Neolithisation" period during which packages composed of several or all characteristics of the Neolithic are identified 237 in excavated settlements: sedentism, housing, pre-domestication (followed possibly by domestication) 238 of sets of plants and/or animals (Fuller et al. 2011, Zeder 2011; Stiner et al. 2014), symbolism, art, 239 social organisation and ritual behavior (Cauvin 2002; Simmons 2011). Increased sedentism and plant 240 and animal domestication practices are asserted during the period of relative climate stability that 241 242 follows rapidly the turmoil of the Holocene onset warming up and its consequences on the vegetation and water resources. This has greatly contributed to conceiving the Neolithisation processes in the 243 Near East as an incremental continuum (including several and distinct successful and unsuccessful 244 attempts: Willcox et al. 2012) in disconnected "cores" spread over the region, with relatively minor 245 disruptions (Borrell et al. 2015). Recently, a major cultural discontinuity has been observed in the 246 247 archaeological PPN records of the northern Levant, which lasted from 10.2 to 9.8 ka and was followed by a substantial cultural transformation indicating a break in the Neolithisation process (Weninger et 248 249 al. 2009, Borrell et al. 2015). This early discontinuity corresponds to a hiatus in settlements, which covers almost the totality of the time span traditionally attributed to the Early PPNB in the Levant 250 (10.2 – 9.6 ka) (Borrell et al. 2015). 251

In central Anatolia, after the abandonment ca 9.5 ka of early PPNB sites in the Konya plain 252 253 (Boncuklu, Can Hasan III) and Cappadocia (Aşıklı), younger PPNB sites appear at other locations: ca 9.6/9.5 ka in Cappadocia (Musular site), and 9.4/9.3 ka in the Konya plain (Catalhöyük East). 254 255 Musular, a butchering-specialized site, is abandoned ca 9.0 ka before the apparition of the pottery. From the west of the Konya plain to the Lake district where sites are founded ca 9.2 ka without pottery 256 257 (PPN) as in Bademağacı, and to the Aegean Anatolia (Ulucak), Neolithic occupation continues with no hiatus onto and during the Early Neolithic period which starts quickly, ca 9.0/8.9 ka, with 258 appearance of pottery. Pottery appears also within a similar timing in many other sites in Cappadocia 259 260 (eg. Tepecik-Ciftlik; Köşk Höyük) to the Mediterranean (eg. Yumuktepe) and the Aegean (eg. Yeşilova, Ulucak etc.) (Fig02, and references herein, especially in Özdoğan et al. 2012a and 2012b). 261 262 New results (eg. Özdoğan et al. 2012a 2012b, Stiner et al. 2015) and from on-going syntheses (eg. Özdoğan 2011; Kuzucuoğlu 2014) suggest that a long-distance neolithisation dynamics originated out 263 of a core located in Konya plain and Cappadocia. This diffusion arrived in the Aegean region ca. 9.1-264 265 9.0 ka (Özdoğan 2011). In the Near-East as well as in central Anatolia, Flohr et al. (2015) show that ¹⁴C dates-based spatio-temporal reconstructions of sites distributions, do not provide evidence for 266 widespread migrations ca. 9.2/9.0 ka. As a matter of fact, in Anatolia the apparent westward-267 progressing cultural influences do not mean automatically "departure" or "migration" from the large 268 plains ca 9.2/9.0 ka, but rather "diffusion" (Kuzucuoğlu 2014). For example, the typical "highly-269 populated and densely-built large PPN "villages" of Cappadocia (Aşıklı) and Konya plain 270 (Çatalhöyük-East) do not exist anywhere else nor afterwards. In addition, the earliest Pottery Neolithic 271 272 layers (continuing PPN) in the Lake District are culturally distinct from the contemporaneous ones in 273 the Konya Plain located east (Duru, in Özdoğan et al. 2012b). Even with Late PPN/Early PN starting early in the Konya Plain and Cappadocia, archaeological records do not evidence any cultural 274 275 connection between these two regions and the Early Neolithic in the Lake Districts (Fig 02). In addition, in western Anatolia, Early Neolithic cultural material from sites occupied at the beginning of 276 the 9th mill. records the mixing of local traditions with other cultures from the Near East (diffused 277 along the sea shores?) as well as from the Lake District (diffused westward?) with, again, no influence 278 from the "core area" in central Anatolia (Konya Plain, Cappadocia). Consequently, any approach 279 280 which aims to understand the relationships between climate and human societies during the time of the 281 Neolithic development and expansion in Anatolia (Kuzucuoğlu 2014) must take into account the regional dimension of the economic, technological and social characteristics of the Anatolian 282 Neolithic, especially in the plains and plateaus of central Anatolia (Özbaşaran 2011). 283

284 In Cyprus, a cultural change is initiated ca. 9.6/9.5 ka (emergence of the Khirokitia culture: Le Brun et al. 2009). In the Shillourokambos site (fig02), the change occurs in the early C phase, initiating a 285 different cultural package which lasted the 2nd half of the 10th mill. cal BP. The cultural change is 286 visible in the quick decline of the beautiful lamellar tools obtained in the previous phase by bipolar 287 knapping (a strong PPNB marker in the Levant), replaced by productions directed towards robust 288 pieces (thick and irregular blades, pikes, sickles with parallel hafting to the edges) (Briois, 2011). 289 Meantime, there is a decrease in grinding instruments around 9.2 ka, after an agricultural development 290 291 had lasted during the previous three centuries (Perrin 2003). Imports of Cappadocian obsidian collapse, and Cyprus takes leave of cultures in Anatolia and the Near East. The habitat reduces in size, 292 293 concentrating in the southern part of the site. Building materials evolve with the abandonment of the proto-brick for mud-building techniques. From 9.2 ka on, sheep husbandry plays an important part, 294 perhaps in association with the development of pastoralism (Vigne et al. 2011). These cultural and 295 economic changes have never been confronted with climato-environmental evolutions, in spite of their 296 297 quasi-synchronicity with a first global signal (fig01). Discussions about the relationships between cultural changes identified in the Cypriot PPNB sites and the 9.2 ka event, is not yet possible because 298 there is still no clear temporal synchronization between the two sets of data. Nevertheless, the question 299 of climate control raises in Cyprus during the economic transition toward pastoralism during this 300 301 period (Vignes et al., 2011). Such an assumption for example, has been recently proposed for the Near 302 East by Flohr et al. (2015). We use Khirokitia site, a Cypriot Late Pre-Pottery Neolithic village dated 8.6-7.5 ka (Le Brun et al. 1987; Le Brun and Daune-Le Brun, 2009) to improve the discussion on 303 304 RCCs impact and human occupation in the island. This site is located on the southern foothills of the Troodos Mountains, at about 6 km from the Mediterranean shoreline (fig04a). It occupies the flanks of 305 306 a limestone rocky mound (around 216m above sea level), bounded to the north and east by the Maroni River bed (Fig04b). At the present time the river channel is ephemeral and forms a rather deep and 307 308 narrow valley cut down through a terrace series of Quaternary conglomerates and older fluvio-marine deposits. The stratigraphic sequence of the site comprises two major series of occupational levels. The 309 310 articulation between both levels is dated to nearly the end of the seventh millennium BC (around 8.2 ka). This transition period is marked by a spatial redistribution within the village where a areal shift 311 312 and a habitat contraction occur, while a change in the botanical and zoological records is noticeable (Le Brun and Daune-Le Brun, 2010; Le Brun et al., in press). Detailed geoarchaeological 313 investigations have been performed, mainly at the foot of the eastern slope of the site, where the 314 315 archaeological remains meet the river, and on the surrounding river deposits (Hourani 2008) (Fig05).

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- 317 <u>2.2. Northern Greece: cultural and archaeological contexts</u>
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The tell of Dikili Tash is located in the south-eastern part of the Drama plain, in eastern Macedonia, 319 320 northern Greece (fig02). It is one of the largest tells in northern Greece, covering an area of ca 4.5 ha, 321 with its highest point standing at ca 15m above current ground surface. A freshwater spring lies immediately to the north-east of the tell, and it opens on a large swamp to the south (Tenaghi-322 Philippon) about which many environmental studies have been published (Fig06). Ongoing 323 excavations have provided a good insight into the long stratigraphic sequence of this settlement from 324 325 the bottom of the plain, completed by coring surveys in the deeper humid zones at the southern periphery of the site (Lespez et al. 2013; 2016; Glais et al., 2016). The deepest archaeological l level, 326 327 very close to the natural soil (a brown leached soil), has been dated 8.54–8.38 ka, ie Early Neolithic.

The prehistoric site of Sidari, located in a small coastal valley dug in marine Pliocene detrital 328 formations in NW Corfu Island (figs 02 and 07a), is a crucial milestone to explain the modalities of 329 330 the Neolithisation phase in the Adriatic zone. It represents the oldest Neolithic site known in the Central Mediterranean (8.3 ka) (Sordinas, 2003, Berger et al., 2014). Deep in the fill of a small valley, 331 the archaeological excavation revealed an early Neolithic phase with red monochrome ceramics, 332 domestic fauna, cereals and mud houses, whose economic status will be specified by the ongoing 333 monographic publication of the French-Greek team (fig07c). Together with Odmut (Bosnia and 334 Herzegovina) and Konispol cave (Albania) (Sordinas 2003, Kozlowski et al. 2004, Forenbaher, 335 336 Miracle 2005), Sidari was originally considered as one of three sole sites in NW Greece and southern Adriatic area with an apparent Mesolithic/Early Neolithic stratigraphic continuity. On the basis of our 337 338 new contextual geoarchaeological study (Berger et al. 2014), we recently discussed this aspect, 339 refuting the original interpretation made by Sordinas (1966, 1973). This coastal sector is part of a vast 340 Tertiary sedimentary basin presenting a hilly morphology that displays vast and thick Holocene 341 alluvial formations. Rainfall is today extremely significant with an average of 1000mm/year; it is thus 342 the most humid region in Eurasia at this latitude (39°N). This humidity is mainly related to the orographic impact of the Balkan mountain barrier along the east of the Adriatic zone. Two valleys 343 344 have been studied (Sid. 1 and 2). These are tributaries of the small coastal Peroulades River (fig07a), which provides sustainable water resources, a rich wetland habitat and deep alluvial soils to its 345 occupants. The geoarchaeological study compares the two low-rank watersheds (close to 400m a.s.l.). 346 In both the open, stratified, archaeological site (Sid.1) and in its neighbouring small valley 347 348 (Sid.2), a similar sedimentary sequence presents a thick dilatation (5 to 7m). The study of these 349 sequence evidences a succession of Holocene paleosols and a highly favourable hydromorphological context (interlocking channels). Sidari 1 is associated with a dense archaeological occupation and 350 351 Sidari 2 with a much less anthropised and deeper archive (fig07cd).

In between, this two sites, we need also to insert the recent discovery of Mavropigi-Filotsairi site on the Kitrini Limni Lake Riverbank in western Macedonian (Karamitrou-Mentessidi et al. 2013). The radiocarbon chronology of this site is based on 17 dates on seeds, bones, charcoal and confirms the establishment of a monochrome Neolithic around 8.5 ka (fig. 12).

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357 <u>2.3. Field and laboratory methods, chronostratigraphic buildings</u>

358

359 Intra-site soil and geomorphological studies performed in Sidari, Dikili Tash and Khirokitia allow 360 discussing the settling of these pioneer Neolithic dwellings in active sedimentary areas (valley floors, small thalwegs). Such a location gives the opportunity to identify and measure impacts of sedimentary 361 and hydrogeomorphological processes. Our researches are based on a classical field approach, mainly 362 contextual, which uses *in-situ* cultural horizons and series of stratified radiocarbon dates to build local 363 364 chronostratigraphic patterns and to discuss the syn- and post-depositional impacts. The multi-proxy analyses (grain size distribution, geochemistry, geophysics, micromorphology) being still in progress, 365 366 are not discussed in detail in this paper which focuses priorly on chronostratigraphic contexts. In Sidari, a CPDF analysis is used to better specify and compare the chronology of hydrosedimentary and 367 pedological activity in the two sites. A local database integrating Sidari 1 and 2 sites has been 368 compiled. It integrates 33 radiocarbon dates from 3 main geomorphological contexts: channel fills, 369 floodplain overbank deposits, and palaeosol. ¹⁴C dates have been performed using guidelines set out 370 by Johnstone et al. (2006). BP calendar ages, including 1s error range, were summed using a macro 371 excel software. 372

Regarding the site of Dikili Tash, geomorphological studies have focused on the tell and its 373 surroundings (Lespez et al. 2013, 2016) (Fig06). The proximity of a swamp (Tenaghi-Philippon) gave 374 375 us the opportunity to follow a strategy of complementary paleoenvironmental analyzes, centered on the study of pollen, Npps and fire signal in order to reconstruct the history of the local and regional 376 377 vegetation and of fires. Meantime, these proxies also allowed the dating of the emergenceof agro-378 pastoral practices and of the temporal fluctuations of the human influence on the environment. Pollen and Non-Pollen Palynomorphs (NPP) analyses have been performed on sediments from core Dik12 379 380 retrived from the site bottom, and from Dik4 which was located 2km southwest of the site, on the edge of the Tenaghi-Philippon marsh (Glais et al. 2016). Sediments of these 3m long core consist mainly of 381 382 grey to black organic clay. These two cores were collected in PVC tubes (diameter 60 mm, length 1

m), protected in plastic guttering and stored under cold conditions (5°C) prior to laboratory description, subsampling (every centimeter) and analyses. The organic sequence in these cores did not evidence any pedological disturbance. On the contrary, others cores collected elsewhere on the field with open-gouges contained sediments more compressed and much less favorable for multi-proxy analyzes. In the Neolithic sites of Sidari and Khirokitia, the lack of pollen preservation kept us from acquiring similar data. On these latter sites, vegetation was documented by charcoal analyzes on firewood assemblages or by peripheral fire horizons.

In central Anatolia, regional-scaled climate records are scarce. The only record published is from 390 391 Adabağ marshes in the Konya plain. It is however insufficiently dated (only one date concerns the 392 Early Holocene: Bottema and Woldring 1984) (Fig03). At higher altitudes in Cappadocia, two multiproxy records have been studied: Eski Acıgöl (Roberts et al. 2001) and Nar Lake (Dean et al. 2015). 393 394 Chronology of these two latter records presents however uncertainties either because of CO₂ degasing (Eski Acigöl) or because of floating sections (Nar). The climatic reconstruction presented in Fig08, is 395 based on chronological comparisons of successions of sediment facies and content (organic matter, 396 397 shells, grain origin and size), studied in cores from marshes and lakes (Konya plain and Bor plain), 398 and in sections of coastal marshes and slope deposits (Konya plain, Tuz Gölü). This approach produces a discontinuous record which enlightens the geographic variability of the micro-regional and 399 local environments in the Konya, Bor and Tuz closed plains at the foot of Cappadocian highlands. The 400 401 discontinuity is caused by the sensitivity of the three plains with regard to two signals: (1) the changes in yearly humidity (precipitation vs evaporation), and (2) the changes in the origin of the water, ie 402 403 exogenous runoff from the Taurus range to the south vs local rain in the plains and local runoff from south-Cappadocian highlands. Since the sensitivity to local and regional water budget is high in these 404 dry endorheic plateaus and plains, both the occurrences and interruptions of records provide 405 significant information for positioning alternations and changes through time, and at micro-regional 406 407 geographic positions.

The chronology of the Sidari site 1 (Sordinas, 1967, 1973) was fully reconsidered at the same time that
 its chronostratigraphic context after the rescue excavations started in 2004 (Berger et al. 2014). Dates

410 performed in the 1970's (on charcoals) presented standard deviations overly broad when compared to 411 current international standards. Consequently, fifteen AMS dates were performed on charcoals from

the new excavation, including a dozen samples from the horizons of Early Neolithic I and II (Tabl.01).

412 Ten dates were performed on deciduous oak charcoal pieces, a species which is hyper-dominant in the

414 charcoal assemblages (Thiébault pers. com.). Three ¹⁴C AMS dates were performed on charred seeds

in the Neolithic I horizons (Poaceae *vs* Cerealiae and *Prunus* sp.). One date was performed on a cereal seed in the Impressa Neolithic horizon. The comparison of AMS dates shows that certain dates conducted on oak charcoals are aged by the order of a century (*old wood effect*). At least three ¹⁴C dates from samples collected in alluvial layers were associated with a significant aging; because of probable sedimentary destocking; they have been rejected. On site Sidari 2, fifteen AMS dates was obtained exclusively from micro-charcoal, partly identified. They were sampled in horizons rich of

421 charcoals particulates produced by paleo-fires.

422 The dates of the Khirokitia PPNB site were collected in archaeological structures which were 423 interbedded in the Maroni alluvium at the margins of the village. They mainly concern charcoals from

424 ashy lenses or *in situ* earthplaces in built structures that provide a reliable environment for dating 425 (Tabl.01).

In Macedonia, the chronology of DIK 4 core is based on 11 AMS radiocarbon dates (Tabl.01 *in* Glais
et al, 2016). The chronology fot the Dik 12 core is based on 3 AMS radiocarbon datings on charcoals

428 and organic sediment (Glais et al., submitted). Intra-site ${}^{14}C$ dates of the Dikili Tash tell and its 429 surroundings are available in Lespez et al. (2013, 2016).

430 Regarding the central Anatolian chronology, dates are provided by several researches and places 431 (Fig08). At Adabağ (Konya plain) one 14 C date pre-dates a hiatus (9.1-8.7 ka) interrupting a humid

432 phase (Bottema and Woldring 1984). The rest of the chronology in the Konya plain (Kuzucuoğlu et al.

- 433 1997, 1999, Fontugne et al. 1999, Boyer et al. 2006), Tuz Gölü plain (Naruse et al. 1997, Kashima,
- 434 2002) and Bor plain (Gürel and Lermi 2010, Kuzucuoglu et al., in prep.) stands for a total of 27 ¹⁴C
- 435 ages (7 dates from Bor plain are yet unpublished). ¹⁴C dated samples are peat layers, palaeosol,
- 436 charcoal dust from marshy environments, and calice for one sample in the Bor plain (Gürel and Lermi, 427 2010) A 28^{th} are been abtained by OSL on sample forms a family dury (Kurraya in tail 1008)
- 437 2010). A 28th age has been obtained by OSL on sand from a fossil dune (Kuzucuoğlu et al. 1998).

438

439 **3. Results**

440 The results of the local investigation in the four selected studied are presented from east to west441 following the Neolithic expansion.

442

443 <u>3.1. Central Anatolia</u>

444

445 Questioning the role of climate on the Neolithic dynamics in central Anatolia from PPN to PN and 446 during the Early PN during the 1st half of the 9th millennium cal BP, means that we have to define the 447 climatic context and evolution from 9.5/9.4 ka to 9.2/9.0 ka. A similar question concerns the transition phase between PN and Chalcolithic ca 8.2-8.0 ka in central Anatolia (Baird, 2012). A few sites are 448 449 occupied during this period in Cappadocia (eg. Tepecik-Çiftlik and Köşk Höyük) and in the Konya Plain (Catalhöyük East-West: eg. Marciniak et al. 2015). This transition is however not well known 450 mainly because the Middle Chalcolithic period (7.5-6 ka) remains under-investigated in Turkey 451 452 (Düring, 2011). Instead, the cultural turning-break that occurs through Neolithic Anatolia ca 8.6 ka, seems more distinct than changes happening ca 8.2/7.8 ka (Düring 2011; charts in Özdoğan 2012a, 453 2012b). Nevertheless, the parallelism between cultural changes and the timing of the "9.2" and "8.2" 454 ka RCCs suggest that there may have been a relationship between climate and cultural changes during 455 456 the events.

457 Results from geomorphologic, geoarchaeologic and palaeoenvironmental researches during the 1990s in the Konya plain (Kuzucuoğlu et al. 1997, 1998, 1999, Fontugne et al. 1999, Roberts et al. 1999), in 458 the Tuz Gölü plain (Naruse et al. 1997, Kashima 2002), and more recently in the Bor plain (Gürel and 459 Lermi 2010, Kuzucuoğlu 2015; Matessi et al. in press) today allows us to propose a chronological 460 synthesis of the environmental context of the cultural dynamics between the 10th and the 7th 461 462 millennium cal BP. The palaeoenvironmental records in the three closed plains of central Anatolia (Figs 03 and 08) show evidence of alternations of humid and dry phases during the Holocene. The 463 464 chronological comparison between these phases and the global climatic record shows that, (a) there is a high variability of records in the humid areas sensitive to even slight changes in humidity; (b) some 465 RCC have no correspondence in the environmental records; (c) when a signal occurs in parallel with 466 467 one of the RCC, the signal varies in nature and magnitude (soil signaled by roots and vegetation, emersion out of wetlands, drying-off, drought, etc). The comparison between the locations of the 468 469 sediment archives in such an evaporation-sensitive context as that of the central Anatolian endorheic plains shows that the geomorphologic settings of the records (cores and sections) control the signal, ie 470 the type and sensitivity of the drying/wetting wetlands: sub-surficial water in alluvial fans, marshes 471 fed by springs at the external edges of alluvial fans, springs along faults, karstic outflows, ice and 472 473 snow-melt from highlands, rivers etc. (Fig08). Both the topographic specifities of the ecosystems, and 474 the spatial variability of the air masses transporting humidity in the area contribute to the importance of the regional and local scales in the palaeoenvironmental records. 475

According to these records, the general environmental evolution in the region during the EarlyHolocene is the following (Fig08):

- After the onset of the Holocene ca 11.4 until 9.5-9.0 ka, springs and rivers in the Konya plain collect
water originating in precipitation and snow/ice melt in the Taurus. This water is also discharged by the
karstic network of the range. This water accumulates into shallow depressions stretching at the foot of
the Taurus along the Konya-Ereğli-Bor plains. For example, the expansion of the Akgöl backswamps
at the southern border of the Ereğli plain (Bottema and Woldring 1984) is such a signal of a humidity
rise triggered from the Taurus highlands.

- Towards 9.5 ka, alluvial fans start to expand over the LGM marls forming the Konya plain bottom 484 485 (Carsamba and Karaman rivers: Boyer et al. 2006), as well as in the Ciftlik plain up in the Cappadocian volcanoes (Kuzucuoğlu et al. 2013). This river dynamics-related change is the only 486 possible signal of a climatic change contemporaneous with the 9.2 ka RCC. This signal is produced by 487 a change in run-off indicating a rise in spring water and a possible increase in seasonal temperature 488 contrast. Such a change would have produced enough snow and ice meltwater to initiate the growth of 489 490 Holocene alluvial fans over the plain bottoms. During this period, the Adabağ pollen record is marked by the expansion of an arboreal vegetation dominated by deciduous Quercus (Bottema and Woldring 491 492 1984). This alluvial fan initiation corresponds to the abandonment of PPN sites in Cappadocia (Asıklı) and Konya (Boncuklu, Can Hasan III). One or several centuries later, Late PPN sites (Çatalhöyük-East
 in Konya; Tepecik-Çiftlik in Cappadocia) are founded at locations close to the expanding alluvial fans.

- The soil dated 9.0-8.9 ka in the Adabağ core possibly marks the end of the period of change which

496 started ca 9.5 ka. With the exception of the Çarsamba fan which continues to grow until 8.6 ka, the 497 absence of sediment record dated first half of the 9^{th} millennium cal BP suggest that the plains were

498 dry, with little or no water input from the central Anatolian highlands (Cappadocian volcanoes).

The second half of the 9th millennium cal BP is characterised in Konya plain by the interruption of the torrential dynamics in the Çarsamba fan between 8.6-8.2 ka. During this period, the marshes along the edges of the Altunhisar fan in the Bor plain seem to have dried off too, although not for as long since they are well watered (lakes and backswamps) before 8.2 ka when they dry up again. In a generally dry 9th millennium cal BP in central Anatolia, this dry/wet/dry alternation in the northern shores of the Bor plain (Bayat and Kayı cores), as also the continuing record at Adabağ (fed by Taurus karstic waters), correspond to local signals.

The 8.2 ka RCC is present in central Anatolian records as a one century-long dry signal interrupting
 backswamps and lakes around the Altunhisar fan between 8.1 and 7.9 ka.

The most humid climatic phase in central Anatolia starts ca 7.9 ka, and will last until ca 6.5 ka which
marks the beginning of the mid-Holocene dry phase (Kuzucuoğlu 2015; Matessi et al. in press).

- 510
- 511 <u>3.2. Khirokitia (Cyprus)</u>

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513 Results from the foot of the eastern slope of the site, next to the Maroni river channel, allowed 514 recognition of at least two major sedimentary events that occurred during the occupation of the site.

The first of these events is a major channel incision concurrent with torrential stream discharges 515 (fig05). It is marked at the foot of the eastern slope by the deposition of a 3.5m thick layer of densely 516 517 packed, non-sorted, rolled stones and gravel at the base and more stratified but relatively fine-grained gravel and sand near the top. Deposits here underlie the archaeological remains in this sector and 518 unconformably overlie Miocene fluvio-marine sediments. One feature of note is the presence of 519 Neolithic stone tools as well as charcoal lenses, ash and fine fragments of burnt bones and mud brick 520 within the alluvial discharge near the top. A radiocarbon date obtained on ash specks from this unit 521 522 indicates an age of 8.518 ± 55 year BP (Tabl.01).

523 The second and more prominent sedimentary event is a substantial erosional episode. It is particularly 524 visible in the middle of the archaeological sequence overlying deposits of the first sedimentary event at the foot of the eastern slope (fig05). A 0.6 to 0.8m thick stratum of angular limestone gravel and 525 other archaeological debris divide the 4 meter-high archaeological sequence in this area into two parts. 526 Archaeological structures of the lower part are deeply gullied and appear to be less preserved than in 527 528 the upper one. Two radiocarbon dates, obtained on charcoal lenses from the debris of two 529 superimposed houses sited on top of the erosional layer, propose respectively the ages of 8.276 ± 55 530 and 8.248 ± 53 year BP (Tabl.01). To this later episode of erosion and surface flows might also be attributed a 3m thick sequence of intersected clusters of alluvial discharges and of side gully debris 531 532 observed on the river section, slightly upstream of the studied archaeological sequence and opposed to it (fig04b). Here alluvial deposits are composed of loosely packed and unsorted stones, gravel and 533 coarse sand. Gully debris, triggered from the surrounding slopes, and are more represented near the 534 535 base of the sequence where they consist of compacted whitish to dark grey loam, mixed with small white angular and black rounded stones along with flakes of flint, bone fragments and lenses of 536 537 charcoal. This gully debris was radiocarbon dated to 8.105 ± 55 year BP (Tabl.01). The top of the sequence is capped by alluvial dark grey sandy silt, 0.8-1.2m thick, and then by grey-brown loam 538 indicating subsequent decrease in the energy of flows. However, incision during the Late Holocene led 539 540 to the lowering of the river channel bed, producing a suite of at least two younger river terraces in the 541 area.

542 The two sedimentary events described above indicate that the region of Khirokitia experienced strong

543 modifications in the hydro-geomorphological configuration around 8.5 and more particularly 8.1 ka.

544 The morphological distinction between these two events, and what could have been the situation

before, is difficult to establish adequately in such a dissected area as older terraces are obscured by

546 younger sedimentation and erosion. However, the nature and the extent of the events observed indicate

548 scale. Not far from Khirokitia, down cutting by 6m was followed by a period of aggradation and 549 alluviation between 8.3 to 7.9 ka in the Vasilikos Valley near Kalavasos (Gomez, 1987)(fig04a). A 550 similar sequence was also observed in the Middle Jordan Valley (Jordan), where marshy deposits 551 corresponding to the beginning of the Holocene were deeply truncated and then recovered after by the 552 red soils associated with the first settlers of the Late Neolithic period (Hourani and Courty, 1997; 553 Hourani 2005; 2010) (fig02).

554 Notwithstanding man's role in the weakening of the soil cover, neither tectonic activities that may also 555 have facilitated the incision of the riverbed and (or) changes in the direction of the stream runoff as 556 well as lowering of the riverbed both indicate that the Neolithic landscape at Khirokitia resulted predominantly from climatic factors. At Khirokitia, if this period of surface erosion and torrential 557 discharges were to be integrated into a wider regional or global scale, it might then be seen as a 558 559 regional expression of the worldwide-identified 8.2 ka event. Here, the first cultural implication that 560 can be drawn from this erosional event is the shift and contraction in the village space along with the major changes observed in the botanical and zoological records towards the end of the seventh 561 562 millennium cal. BC. The attribution of the end of the PPN occupation at Khirokitia to the 8.2 event (Weninger et al. 2006) thus cannot be sustained. 563

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565 <u>3.3. Eastern Macedonia</u>

566

567 In Eastern Macedonia, investigations have been developed on the edges of Tenaghi-Philippon marsh. This large marsh located in Northern Greece has been subjected to numerous paleoenvironmental 568 studies (Wijmstra et al., 1969; Greig and Turner, 1974; Tzedakis et al., 2006; Pross et al., 2009, 569 Peyron et al., 2011) which constitute reference records for the environmental history of the Eastern 570 Mediterranean area (fig06). The results of these studies have been focused mainly on climate impact 571 572 on vegetation cover. In order to track the climatic changes but also the impact of the Neolithisation process, which is here dated from 8.5 ka onwards (Lespez et al., 2013), palaeoenvironmental 573 574 investigations have been developed from the archeological site to the marsh.

The pollen records (fig09) indicate a general decrease in steppe taxa (Artemisia and Chenopodiaceae) 575 and the steady increase of other herbaceous plants such as Cichorioideae, and other ruderal taxa 576 577 suggesting a return to more humid conditions at the end of the Younger Dryas (ca. 11.7 - 10.2 ka). This is also supported by the recorded appearance of lime trees, an increase of NPPs indicative of eu-578 mesotrophic conditions and a slight but continuous deciduous oak expansion. These observations are 579 consistent with the regional climatic model (Kotthoff et al. 2008, Peyron et al 2011). Around 10.2 ka 580 the pollen indicate a gradual and long-term change with great development of arboreal vegetation and 581 the decline of open vegetation cover (AP/NAP ratio increases from 20% to more than 50%). Wetter 582 583 and warmer conditions have favoured the expansion of all broad-leaved trees, such as oaks, alders and subsequently the appearance of mesophilous taxa such as ostryas, birches, ulmus and evergreen oaks. 584 After a delay in comparison with western Greece (Lawson et al. 2004), it indicates the onset of 585 interglacial conditions. In this context, the first macrocharcoal peak extended (10.6-9.3 ka) 586 587 corresponds to the biomass development in a still incomplete wooded landscape. Forest expansion was punctuated by a short-term centennial-scale dryer climatic events (9.6-9.3 ka) distinguishable at 588 regional (Kotthoff et al. 2008) and local scale by the increase of xerothermophilous taxa and evergreen 589 590 Quercus (Glais et al., 2016).

After 9.3-8.7 ka, the vegetation cover is marked by a peak of deciduous oaks, the appearance of fir on 591 592 the top of surrounding mountains, the decrease of Poaceae, Aster type and Cichiorioideae taxa and the 593 retreat or even disappearance of woody species limited to Mediterranean contexts. This spread of forest cover was interrupted around 8.7-8.3 ka. The decrease of trees and increase of herbs could 594 595 indicate the impact of the 8.2 ka RCC but this period also shows the first signs of human impact in the Early Neolithic. They are certainly due to the Early Neolithic settlement implantation in Dikili Tash 596 (Lespez et al. 2013, Glais et al. 2016) benefitting from pristine forested environment with multiple 597 available resources. This is attested to in the NPP record, by a first coprophilous species peak, but also 598 by a decrease of deciduous forest species and increase of herbaceous taxa on the edge of the marsh. 599 600 Furthermore, at the bottom of the site (Dik 12), high-percentage cereal pollen (around 9% at 8.4 ka) and the increase in ruderal taxa make it clear the anthropogenic impact on vegetation cover associated 601 602 with agropastoral activities.

603 Nevertheless, the conjunction with the 8.2 ka event well established at the regional scale a few decades after makes the interpretation more complex and other causes can be evoked to explain the pollen and 604 605 NPP records. The high percentage of hydro-hygrophytic taxa on the edge of the marsh suggest a contemporaneous rise in the water table level in a drier period well assessed at the regional scale 606 (Pross et al., 2009). Furthermore, marshy deposits or oncolytic sands layer are interstratified within the 607 anthropogenic layers of the first levels of occupation on several cores (Lespez et al. 2013). It indicates 608 609 a rise of the water table of the little pond located at the bottom of the site, which is feed by an exsurgence in the marble slopes which dominate the site (fig10). On C3, it corresponds to 2 high-610 611 stands. The first one is dated on C3 after 8.38/8.17 ka while the second is dated on C2 and C3 around 8.0-7.9 ka. Additionally, the geomorphological observations in the Dikili Tash small valley which runs 612 to the marsh show development of detrital carbonate sedimentation. On Dik4 core, it correspond to a 613 614 carbonate silty layers which interrupted the organic sedimentation. It suggests an increase of flood flows from the small stream which runs from the Dikili Tash pond during the period 8.2-7.8 ka. These 615 observations are close to the results obtained at Lake Doirani (130 km WNW) (fig02) which show a 616 relatively high lake level during this period (Zhang et al. 2014). From the beginning of the 9th 617 millennium cal BP, the vegetation cover shows the return of some pioneers or mesophilous taxa 618 619 (hazel, elderberry and black haw trees), or their appearance (ash and broom) shortly before a closing landscape phase. Locally, the riparian vegetation increases considerably in relation to a drier 620 environment due to previous detritic sedimentation input which fills the edge of the marsh, to the 621 water level decrease which begins from ca 7.5 ka, and to the forest cover expanse in the region 622 because of climatic amelioration (Pross et al. 2009). 623

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625 <u>3.4. NW Grece-Corfu Island</u>

A precise field geomorphological and palaeopedological approach, favoured by the presence of interbedded archaeological levels and charcoal beds which are systematically radiocarbon dated, allowed the construction of a solid micro-regional chronostratigraphic framework. The CPDF analysis provides a probabilistic assessment of centennial-length sedimentary aggradationnal episodes interrupting Early Holocene active pedogenic and landscape stability development favoured by a more humid Mediterranean climate within 2 individual catchments.

632 Sid.1 archive presents a 5m pedosedimentary sequence depth. The rescue archaeological excavation operated in the mid-2000s had uncovered 8 main archaeological layers from the Mesolithic to the 633 Helladic periods that are interbedded in a complex polyphased sequence, with 16 main phases of river 634 and colluvial activity and pedogenesis in 5 millennia (Berger et al. 2014) (fig07c). Sidari 2 is a natural 635 transversal trench of a small dry valley, 80m wide and 7m deep, entrenched in cemented Pleistocene 636 formations. The deposits are actively eroded by the current sea level change that allows a full 637 observation of the Holocene filling to be performed. A first chronostratigraphical view of the sequence 638 identified 2 abrupt limits at the Early-Mid Holocene (around 8.2 ka) and Mid-Late Holocene periods 639 640 (around 4.0 ka) (fig10d) which refer to the recent tripartition of Holocene period (Wanner et al. 2008). In this paper we focus only on the lower half of the filling, consisting of a thick cumulic soil complex 641 642 and the beginning of the mid-Holocene period marked by a a rapid breakdown of pedosedimentary conditions, driving to a very erosive and detrital activity in the small marly basins during 1 643 644 millennium.

645 The Sid.2 local chronostratigraphy building clearly presents a stairway age depth model with three phases of high acceleration of sedimentation rate (fig11b): from 10.4 to 10.0 ka, from 9.5 to 9.0 ka and 646 647 after 8.4 ka. This environmental temporality clearly represents millennial pedogenesis/incisionaggradation rythmicities, particularly well illustrated in the Sid.2D profile (fig11a) which represents a 648 morphopedological synthesis of the events succession. A systematic sedimentological and 649 geochemical multi-proxy approach that describes pedoclimatic conditions, hydrosedimentary 650 environments, detrital fluxes and some ecological factors (fires) is still forthcoming. 651 Hydrosedimentary and paleopedological interpretations presented in this paper should be viewed as 652 preliminary. 653

The biostability phases that develop between erosive phases discussed are expressed in geological records of catchment heads by a black deep soil development (phases I, III et V, fig11a), often decarbonated and leached, as observed at the microscopic scale in Sid.1 (Berger et al. 2014). These kinds of pedogenesis and associated pedofeatures (hyaline cutans) illustrate a dense forest cover 658 highly protective for soils (Macphail et al. 1987, Kühn 2003). Local charcoal assemblages (Delhon and Thiebault forthcoming) and the regional pollen spectra (Bordon et al. 2009, Triantaphyllou et al. 659 660 2009, Combourieu-Nebout et al. 2013, Glais et al., 2016) reveal vegetation dominated by mesophile deciduous oakforest. Following a first broadly stable and humid Holocene, favourable to the 661 development of a thick leached and humic cumulic palaeosol (Berger et al. 2014), the second half of 662 the Early Holocene is punctuated by a succession of abrupt breaks in the hydromorphological 663 functioning of the marly valleys, of centuries-terms, and of quasi-millennial cyclicity. They are 664 characterised in the field by a sudden stop of soil formation processes, synchronous of deep gullies 665 666 which fit into each other during the three EH climate events (fig11a). These gully activities (phases II, IV, VI) are followed by a rapid-filling phase of lighter tone alluvio-colluviation often still 667 decarbonated (association of inherited soil material and marls) which palaeodynamic can be 668 669 characterised by analysis of the sedimentary fill mode: (1) The slick or lenses sand and gravel deposits, rich in small well-rounded nodules of clay soil are associated with concentrated runoff 670 causing gullying and sapping upstream soil formations (fig11cd-IVb1-VIb) and (2) finer well-sorted 671 672 deposits, often micro-laminated, associated with finer and regular rainfall generating diffuse runoff (fig11e-VIg). So we explain the formation of these two facies by the expression of different rainfall on 673 largely bare surfaces by fire (regular charcoal beds presence). The transition between RCC events and 674 the pedological stabilisation of the valley is generally dominated by more regular rainfall (fine 675 granularity, diffuse laminations), as in the 8.2 ka event. 676

The 10.4-9.75, 9.5-9.1 and 8.35-7.9 ka active periods are individualised using cumulative probability density functions (CPDF) plots (fig11f). We interpret these morphological and hydrosedimentary signatures, regularly recorded in alluvio-colluvial archives at Sidari, as the manifestation of rapid climatic changes (RCC), which seem to form the rhythm of the evolution of Holocene north Mediterranean valleys.

682 It especially allows hypotheses to be proposed about the potential climate impacts on continental hydrology, soils, and vegetation dynamics in relation to the development of human societies on the 683 micro-regional level. These new data establish the necessity of always reasoning from contextualised 684 data, not to be taken hostage by temporal CPDF-type constructions, sometimes too schematic and 685 occasionally disrupted by bias related to the organic material used for the ¹⁴C. Indeed, we observe a 686 687 constant time lag between chronocultural and morphological data (from 100/150 yrs) whose origin is probably to be found in the old wood effect (almost a predominance of oak in charcoal assemblages). 688 The Sid.2 Mesolithic occupation centred on the 9.2 ka event is associated with a short intermediate 689 RCC pedogenic episode. The new Sidari chronostratigraphical context does not identify one 690 Mesolithic horizon, but probably 3 successive ones. Cultural continuity proposed by Sordinas (1969, 691 2003) is only apparent, as produced by geomorphological impacts of the 8.2 ka event (Berger et al. 692 2014). The Early Neolithic I "monochrome" occupation sets up on the paleosol (S3) before being 693 694 partially eroded (fig11e), and the last diffuse occupation levels of EN.I then interbedded in the first 695 aggradation levels of the 8.2 ka event (AP5). Finally, the Early "Impressa" Neolithic II level is clearly associated with the intra-8.2 ka episode of soil stabilisation in SID-1, then covered by the second stage 696 697 of alluvial aggradation (AP6). If we think in radiocarbon time, the gap initially mentioned by Sordinas (1969) between the two horizons of Early Neolithic (Monochrome and Impressa) is very brief (a few 698 decades at most). It is much more marked in the sedimentary archives studied, as amplified by the 699 700 very rapid aggradation process of the 8.2 event. This second peak of 8.2 hydrosedimentary activity (AP6) seems to correspond to a durable site abandonment (until Late Neolithic) (cf. Berger et al. 701 702 2014).

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4. Discussion about Early to Mid-Holocene RCC impacts on terrestrial hydrosystems and human societies at the North-Eastern Mediterranean scale

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The results obtained on the 4 sites studied assess the local environmental changes which can be linked to the RCC changes. In particular, they underline the sensitivity of hydrosystems and vegetation to climatic changes at a centennial scale. We show that the SH cooling event, correlated with glacial outburst in the Northern Atlantic, low values of total solar irradiance and K+ records in Greenland ice cores, have a major impact on the functioning of central to eastern Mediterranean continental 712 hydrosystems (fig12a).

The 9.2 ka event matches one of the early Holocene meltwater pulses at 9.17 ± 0.11 ka B.P. (Teller et

al. 2002) which probably triggered a slowdown of thermohaline circulation. In the Asian monsoon

domain (Qunf and Dongge caves) stalagmites shows a positive anomaly in d^{18} O calcite at 9.2 ka reflecting lower monsoon precipitation (fig01). The duration of the event is less than 150-200 years in

all records discussed by Fleitmann et al. (2008). A recent metadata analysis of Holocene European

river activity highlights the current lack of well-dated records for the Early Holocene with only two

719 Iberian flood clusters (9.5–9.2 and ca 9.0-8.8 ka : Benito et al. 2015), in-phase with high lake levels in 720 the Jura Mountains and the northern French Pre-Alps (9.55-9.15 ka : Magny, 2004). Both records likely reflect their high sensitivity to North Atlantic circulation. In Sidari 2 valley, a large signal of 721 gully erosion and vertical aggradation is synchronous to the European lakes and Iberian rivers record, 722 723 with two activity peaks between 9.5 and 9.1 ka (fig01). Comparable signals before 9.0/8.9 ka do not occur in the hydrosystems of the central Anatolian plateaus (fig08) which respond to a high humidity 724 in the Taurus range that feeds the high water levels in lakes and marshes located at the foot of the 725 726 Taurus. But the strong drying signal from 9.0/8.9 ka is well registered by the hydrosystems in Sidari

and central Anatolia as well as by the vegetation cover on the Aegean and SE Balkans areas.

The 8.2 ka Hudson event is recorded, at all the sites presented here. In the area, it occurs during a long cool interval beginning ca 8.6 ka (Rohling, Pälike 2005). Like the Northern Aegean and Ionian terrestrial archives discussed by Weninger et al. (2014) and Flohr et al. (2015), we discuss below the bi-partition of the event in an earlier phase (a cold phase from 8.5-8.4 to 8.2 ka amplified during a later phase (a RCC 8.2-8.05 ka) by the Hudson Bay outburst, followed by a third sub-phase between 8.05-7.9 ka in the northern Greek and central Anatolian archives that we call C (fig12a).

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735 4.1. Sidari/Dikili Tash and the EH northern Greece/southern Balkan regional pattern

The increase of erosion and fluvial activity observed on both archaeological sites around 8.2 ka has 737 738 also been observed elsewhere in northern Greece as in the Lake Prespa (Panagiotopoulos et al. 2013) and Lake Doirani (Zhang et al. 2014) (figs 02, 12a) areas. It confirms the trends of increase of soil 739 erosion and sediment transfer to the wetland around 40-41° N during the 8.2 ka event. At the regional 740 741 scale, these continental results seem consistent with the Adriatic climate data from NW Greece to the Po Valley in northern Italy. The confrontation with the nearest multi-proxy marine records (MD 90-742 743 917 in the central Adriatic sea) and northern Aegean Sea consolidates the regional climateenvironmental mechanisms previously described (Rohling et al. 2002; Khotthoff et al. 2008, 744 Combourieu-Nebout et al. 2013 ; Berger et al. 2014) (figs 02,12a). The pollen of deciduous oak forests 745 (reflecting tree cover peri-Adriatic mountain) sharply decrease to each hydromorphological failover 746 observed in Sidari, in synchrony with the RCC, around 10.1, 9.2 et 8.3 ka (Combourieu-Nebout et al. 747 748 2013). This functioning coincides with the dominance of coniferous forest (mainly firs) at high 749 altitudes at ca. 8.5-7.8 ka (Lakes Ribno and Trilistnika, southwestern Bulgaria) (Tonkov et al. 2013) 750 and with the replacement of *Quercus* dominated forests with mixed deciduous forests at around 8.3 ka. 751 These regional evolutions underline the role of climate change and cooling more than the consequences of the onset of agropastoral activities during this period. 752

753 Nevertheless, the observations made on the edge of the Tenaghi-Philippon marsh evoke questions. In

fact, from 8.4 to 8.1 ka, a general cooling has been recorded by recent Holocene palaeoclimatic studies
 in the Tenaghi-Philippon marsh (Pross et al. 2009) and northern marine Aegean region (Kotthoff et al.,

2008) with an interruption in Sapropel 1 formation (fig02). They propose a scenario of deteriorated

757 winter climate conditions with temperatures lowered by more than 4°C in winter, less than 2°C in

summer (Pross et al. 2009). Sea surface temperature from the core MD 90-917 in the central Adriatic

759 Sea (fig02) also indicates a decrease of at least 2° C between 8.3-8.1 ka (Combourieu-Nebout et al.

2013). Davies et al. (2003) identified a strong decrease of summer temperatures at the same time at thescale of Southern Europe (8.3-7.8 ka). This is explained by an increase of outbreaks of cold and dry air

from higher latitude (SH) (Rohling et al. 2002, Marrino et al. 2009). The climate was drier and

rion inglief latitude (SFI) (rouning et al. 2002, Mathino et al. 2007). The enhance was after andcharacterised by a decrease of annual rainfall by 800 to 600mm due mainly to a decrease of winter

764 precipitation. To explain the apparent contradiction between the local pollen and geomorphological

765 data and the regional climate reconstruction from pollen data, we suggest that the cooling was

favourable to the development of snow cover and associated spring-flood flows and to reduction of

revapotranspiration (Lespez et al. 2013) or Tenaghi Philipon sampling is not precise enough to describe

- the internal structure and moister episodes of the 8.2 ka event. Moreover, it appears that the summerrains increased during this period (Peyron et al. 2011) limiting summer evapotranspiration and
- 70 probably the decrease of the water table as observed for Late Quaternary cold periods in Anatolia for
- example (Jones et al. 2007). Thus local water balance can be different of the regional trend which is,
- moreover, not indicative of the flood flows energy and frequency. It appears that cold air SH extension
- mixed with the warmer air over the Mediterranean, may have created a surplus of potential energy
- resulting in regional cyclogenesis (Makorgiannis et al. 1981) from spring to fall triggering significant
- flood flows in the studied areas. Increase of climatic instability and summer rains may explain the
- hydrogeomorphological signals of Sidari 1 and 2 valleys. The repeated succession of gullies and
- torrential discharges between 8.4 and 7.9 ka (figs11acd, 12a) could be associated with concentrated
- summer rains and increase of climatic instability. an increase in southerly winds (D enriched moisture) with a strong Mediterranean component
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- 781 <u>4.2. The potential impact of the 8.2 event on Societies</u>
- 783 <u>4.2.1. An impact primarily focused on readability of archaeological archives</u>

We know almost nothing of the Late Mesolithic (blade and trapeze assemblages) in the Balkan 785 Peninsula (7th mil. BC). Our new data will however not solve this riddle. Only very restricted regions 786 like the Iron Gates are documented; but these are far from the Aegean coast. A similar observation 787 788 seems true in western Turkey (Özdogan, 2007). The hiatus seems to be partly bridged by systematic surveys such as in the mountains of Pindus between Macedonia and Epirus (Efstratiou et al. 2006), or 789 790 by geoarchaeological explorations further in floodplains and the vast sedimentary basins of the 791 Aegean and Balkan world (Berger in press). Our data show that the 8.2 ka event played a significant 792 role in the archaeological records. Indeed truncature and hiatuses correspond to erosional events or riverscape changes more than abandonment of inhabited areas. It explains, for example, the 793 archeological continuity which led the first archaeologists of the site to suggest the hypothesis of a 794 795 "Sidarian" Neolithic inherited from an existing local Mesolithic. Alluvial truncations moved 796 sedimentary horizons of these 2 cultural periods (by sediment ablation) and may even have associated 797 them within alluvial formations where we found reworked Mesolithic and early Neolithic material and charcoal (Berger et al. 2014). New data and reinterpretation of old archaeological data illustrate a 798 799 strong erosion phase at the Mesolithic-Early Neolithic transition in the Central Mediterranean area (Mlekuz et al. 2008, Berger, Guilaine 2009, Berger et al. 2014). A similar process is observed in the 800 Eastern Mediterranean area in the Khirokitia sites (Cyprus) where at least 2 episodes of fluvial 801 discharges, flash flood types, strongly impact the Neolithic village. The same dynamic is observed in 802 803 Ain Ghazal, Wadi Shu'eib and Abu Thawwab in the Levant where densely-packed layers of cobble deposits are observed between late PPNB and PN archaeological horizons (Simmons and Mandel 804 1988), with a permanent uncertainty about the absolute chronology of these events after the 805 remobilisation of ¹⁴C dated old bones (Zielhoffer et al. 2012). Even in protected contexts such as 806 807 Western Albanian mountains caves in front of Corfu Island, geoarchaeological studies identified a 808 long slope instability period responsible for a partial erosion of the archaeological deposits (8.2 event 809 effects?) (Schuldenrein 1998) (figs 07a, 13) synchronous of Sidari valleys geomorphic changes. In some floodplains, even if the fluvial activity did not imply high energy event, as in Dikili Tash, the 810 increase of water level may change the location of the inhabited areas. There, the vegetation cover and 811 hydrosedimentary changes were the result of change in climatic conditions and the development of 812 813 anthropisation. The marshy and fluvial sedimentation interrupts the archaeological sedimentation on C3 and C2 and reaches 53-54m above sea level. However C10 and C1 located slightly higher on the 814 815 former alluvial fan, 54m above sea level, show the continuation of the settlement during the 8.15-7.8 816 ka period (figs 10, 12). So, it is noticeable that the climatic change and its geomorphological consequences do not infer a notable hiatus in human occupation, but probably merely a local 817 displacement and relocation of the settlement on the tell (Lespez et al. 2013, 2016). At the same time 818 deep explorations of Macedonian floodplains attest to the presence of Neolithic levels under several 819 820 metres of alluvial sediments (Lespez et al. 2014), that raise questions about the extent of the stillhidden archaeological reserve. In this context, the Middle Neolithic lake-side site of Dispilio 821

822 (Thessaly) offers a strong potential for future research about Mid-Holocene palaeohydrological changes in northern Greece (Karkannas et al. 2011, Kouli and Dermitzakis 2008). Such new 823 documentation will concern the relationships between environmental fluctuations and the end of the 824 Neolithic of the region. Obviously the few examples discussed clearly illustrate that 8.2 event 825 geomorphological evolution plays a major role in the distortion of the first Neolithic signal, in the NE 826 827 to Central Mediterranean zone where Neolithisation occurs and advances just before the 8.2. event. The strong rainfall irregularity that seems to characterise the period around the 8.2 event, could be the 828 cause of these repeated impacts on Neolithic river sites (fig13). The greatest contribution of the 829 830 summer rains (Peyron et al. 2013) may be an explanation for the observed hydrogeomorphological functioning between Cyprus and the Balkans and the difficulty to link environmental changes and 831 settlement history as in the 3 sites evoked. Post-depositional processes from anthropogenic origin are 832 difficult to assess. Excavations in caves and rockshelter sites in the Tristine karst and in Istria, also 833 record a temporal gap between the latest Mesolithic and the earliest Neolithic occupations (Mlekuz 834 2005, Forenbaher and Miracle 2005). However, in a context where inversions in radiocarbon dates 835 occur and where Castelnovian microliths "pollute" Neolithic deposits, the gap could be caused by 836 837 insufficient radiocarbon evidence, occurrence of erosional surfaces due to anthropogenic action, 838 sedimentary hiatuses (Mlekuz et al. 2008).

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840 <u>4.2.2. 8.2 Event and "Neolithic go to West" onset?</u>

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The question that now arises is, in the case of western Anatolia, why and how the diffusion of Neolithic practices occurred from the central plateaus towards the Aegean region, and at what such a speed. The Early Neolithic is rooted in local PPN cultures at Catalhöyük-East ca 9.4/9.3 ka cal BP, in the Lake District ca 9.2/9.1 ka cal BP, and possibly in the Aegean region (Ulucak) although early dates ca 9.0 ka cal BP are awaiting multiplication for being representative (fig14). In these specifically local contexts, pottery appears about the same timing in excavated sites between 9.0 and 8.8 ka cal BP.

848 From 8.6 to 8.0 ka, the cultures of Yarmoukian (Southern Levant), Khirokitian (Cyprus), 849 Monochrome (Western Anatolia, Aegean world) (fig02) are directly confronted by the climate change. 850 There is also manifold evidence for population movements in coastal and low-lying locations in the Northern and Southern Levant, and finally with the abrupt appearance of Neolithic communities in the 851 852 Aegean/Ionian zone, where Dikili Tash and Sidari are located (Weninger et al. 2014). Weninger et al. (2006, 2014) suggest that climate-induced crises may have forced early farming communities to 853 854 fission and move in order to escape new conditions and possible related conflicts (scalar stress). In the first phase of the 8.2 RCC (8.6-8.3 ka : phase A), there is evidence of a push/pull to coastal and lower-855 lying locations in the Southern Levant and Anatolia after Clare (2013), but this trend hypothesis seems 856 857 questionable from Flohr et al. (2015) and from the anatolian data discussed in this paper. As coastal and lower-lying areas would have been less affected by typical RCC-impacts (drought and severe 858 859 winters) (Weninger et al. 2014), the related abandonment of sites in Jordan, in the northern Levant, 860 Eastern Anatolia and Cyprus is referred to as 'Late Yarmoukian Crisis'. This cultural event coincides for the authors with a further wave of Neolithic expansion into Southeast Europe in the second phase 861 of RCC (8.3-8.0 ka: phase B). But in the light of 3 new radiocarbon data series (with charcoals and 862 863 shortlived species) on the early Neolithic from northern Greece and of new clear geoarchaeological contexts, we propose a different temporal timing for Northern Greece colonisation than Weninger et 864 865 al. (2014) by demonstrating the anteriority of Neolithic migration from western Anatolia (Dikili Tash, Sidari, Mavropigi-Filotsairi and Nea Nikomedia) to the second phase (B) of 8.2 ka events, sometimes 866 far to the West. This assertion is also based on local chronostratigraphic and geomorphic contextes in 867 Sidari and Dikili Tash, which illustrate the posteriority of hydrogeomorphological and erosion 868 signatures to Neolithic implantations (figs12a, 13). The chronology of this northern Greece Neolithic 869 package implantation would no longer be synchronous with the strictly speaking 8.2 event (glacial 870 outburst derived effects), whose minimum time is estimated between 8.2 and 8.05 ka in the more 871 872 precise glacial and speleothem proxy data (fig01) but could be in adequation with the more general aridification/cooling from 8.6/8.5 to 8.0 (Rohling and Pälike 2005, Gökturk et al. 2011). The earliest 873 spread of Neolithic packages to Western and Northwestern Anatolia occurred almost a thousand years 874 875 before the 8.2 ka event as illustrated by recently-published robust chronological studies (Özdogan et al. 2012a, 2012b, During 2013, Clare 2013, Brami 2014, Kuzucuoglu 2014, Stiner et al. 2014, 876

877 Weninger et al. 2014, Flohr et al. 2015) (figs 12a, 14). The question that now arises is whether the diffusion of Neolithic practices which began in the Central Anatolian highlands around 8.7 ka did not 878 879 include at the same time and in a same cultural stream the northern Aegean area to the southern Balkan borders (Thracia, Macedonia, Thessalia), but by taking the recent pattern of Weninger et al. 880 (2014) from the middle of phase A (fig01, 12b) and not during phase B, in a rapid colonisation 881 movement that fits in continuity from the highlands of central Anatolia (median speed of Neolithic 882 wave of advance from 4 to 6 km/yr). We have not to forget in the general Neolithic mobility trend 883 from Anatolia that Franchthi cave (Argolid) was occupied by farmers around 8.6 ka (new dates on 884 885 seeds) (Perlès et al. 2013), not much later than the earliest occupation of Knossos in Crete (Efstratiou et al. 2004). These data out of doubt support a southern route and a model of multiple origins for the 886 introduction of the Neolithic in Europe. To temporally have hemispheric aridification identified in the 887 various marine and terrestrial climate-environmental proxies coincide with the Neolithic population 888 movement from Central Anatolia, should be according to the latest CPDF treatments proposed by 889 Flohr et al. (2015) that aridification begins at least at 8.7 ka (by reasoning with either the total 890 891 radiocarbon or "shortlived" dates available for western Anatolia. However, the overview of the current multi-Proxies data identifies a real general trend from 8.6-8.5 ka (fig12b) and real continental 892 hydrogeomorphological evolutions seem to occur only from 8.4 ka (fig13). Can this lag be attributed 893 to the age models used in the environmental series? The reservoir effects cannot be challenged here 894 895 since western Anatolia chronocultural series are based on a robust set of shortlived dates. Furthermore, 896 the results obtained in central Anatolia underline the contrasted response, in time and in space, of the 897 local environment to RCC (fig14). Alluvial fans of the Taurus piemonts stops to aggrade from 8.5 ka 898 to 8.0 and paleosols are recorded between 8.2/8.1 and 8.0/7.9 ka, illustrating a dryer period which 899 seems to have begun earlier in other Central Anatolian highlands (Bor Plain, Tuz Gölü, Akgöl marsh) around 8.9 ka and, in the Bor plain, a humid period is recorded from 8.5 to 8.1 ka, before a fast, sharp 900 drop in the aquifer. The hypothesis of a trigger foremost cultural shall also be considered; the ball is 901 now in the culturalist's camp. 902

903 The second "European" step took Neolithic lifestyles away from the Aegean coastline all the way to 904 continental Bulgaria and Serbia by the main river axis (Struma, Vardar, Maritsa) and could be associated to the Dfuljunica (Raiko Krauß et al. 2014), Anzabegovo (Gimbutas 1976) and Kovacevo 905 906 (Lichardus-Itten in press) pre-Karanovo sites just after the Hudson Bay event (around 8.1 ka), i.e. almost 200/250 years after the first European Neolithic wave. We must now integrate into the coming 907 908 socioenvironmental discussions on the steps of the Neolithic diffusion through the Balkans and the Adriatic a last shudder of 8.2 event between 8.05 and 7.9 ka (fig01-green, i.e Lake Maliq, Qunf cave, 909 Sofular, Steregiou, marine core SL 21, Sidari). This episode is clearly in step with a peak of [K +] on 910 GISP2 and a small bond event. We enter here in a temporality of the 8.2 event that was little 911 912 discussed, that of a possible tripartition of the event we are trying to argue based on Sidari (Berger et 913 al. in progress) and Dikili Tash records. A two-stage cooling around the time of the 8.2 ka event has been identified in speleothems of Ireland (Baldini et al., 2002), in pollen diagrams from Central 914 Europe (Lotter and Tinner, 2001), lacustrine records in Norway (Nesje and Dahl, 2001), and a two-915 916 step release of Lake Agassiz waters has been modelled by Clarke et al. (2004). The marine data of LC 917 21, SL21E and MD952043 also show two colder peaks separated by a temperate rise, while Dongge Cave δ^{18} O (Wang et al., 2005) and Qunf cave isotopic data illustrate two hyper-arid episodes separated 918 by a wetter episode. More recently, observed changes in ΔD wax from Tenaghi Philippon during 2 919 isotope events reflect changes in ΔD of precipitation during the 8.2 kyr B.P. climatic event according 920 921 to a close tempo. They are interpreted as primarily caused by changes in the relative contributions of different air masses to local precipitation (higher amounts of precipitation originating in the 922 Mediterranean sea) (Schemmel et al. 2016). We find here the most complex structure of the 8.2 event 923 discussed by Thomas et al. (2007) based on isotopic data of GISP2 and GRIP. We must now integrate 924 925 this new climatic and environmental temporality to the classical Neolithic wave of advance hypothesis, if they are linked. The challenge is open. 926

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- 928 <u>4.2.3. Climatic event and social impact</u>
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930 More fundamentally, the impacts of climatic changes or natural extreme events have to be evaluated in terms of biophysical and social vulnerabilities. Burton et al. (1993, p35) refer to the seven dimensions 931 of hazardous events: magnitude, frequency, duration, speed of onset, geographical extent, spatial 932 dispersion, and temporal spacing. However, as underlined by Clare and Weninger (2008), impacts 933 upon the resources of a society are primordial (availability of natural resources), and responses in 934 935 terms of resources addressed (variety), of land use (management), technology (tool production, equipment progress, variety), housing quality and residence location adaptability have to be 936 937 considered. Social vulnerability studies must consider the societal perception of the causes of 938 environmental change (Blaikie et al. 1994) and the efficiency of social communication processing 939 (Van der Leeuw et al. 2009). There is also a need for more site-specific detailed studies focusing on ecological bases and strategies (Flohr et al. 2015). Only such new trajectories, closely interlinked with 940 941 the intra-archaeological sites multidisciplinary analyses will optimise our perception of forms of socioenvironmental resilience. Concretely, for the period and the studied areas, the abrupt global cold 942 events might have affected the vegetative season time, growth of wild plants and predictability of food 943 944 resources. Loss of soil cover potential (by erosion), dryness or wetness effects on soil productivity 945 could be directly or indirectly documented by quantitative climate reconstructions from pollen 946 diagrams (Pevron et al. 2011) to discussion of the agrarian constraints during RCC events. Recent fire signal studies in eastern Mediterranean (Van Lake in Wick et al. 2003, Dikili Tash, this study, Sidari 947 948 in progress) document dryness, available fuel and variations in vegetation cover and have to be systematised in future research to better discuss their link with climate changes and human impact on 949 950 vegetation. Nevertheless, we must keep in mind that the geographical setting of the eastern 951 Mediterranean results in physically very contrasting environments in which it is often sufficient to move over very short distances to find different environmental conditions (Willcox 2005, Lespez et al. 952 2016). In fact, a dry period could imply a move closer to water resources or, on the contrary, as 953 954 observed in Dikili Tash, a rise of water table and flood hazards might imply leaving the floodplain to settle higher on the alluvial fans or lower slopes in the surrounding areas. The uneven exploratory and 955 excavation practices on sites and around sites are to question: the lack of extensive archaeological 956 excavations on most reference Neolithic sites (and our uncomplete knowledge of the other ones too, 957 958 an information crudely lacking when discussing occupation dates and periods) strongly hampers 959 interpretations on the continuity of Neolithic occupations and therefore and does not always decide on climate impacts on societies. Furthermore, Neolithic communities rely on diverse subsistence 960 961 strategies including wild resources (Asouti, Fuller 2013) even during more recent periods (Valamoti, 2015). Finally, the resilience of the early farming societies should not be underestimated (Flohr et al. 962 2015). 963

964 Conclusion

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Our paper discusses examples from river and lake systems, from the eastern to the central 966 Mediterranean areas (Central Anatolia, Cyprus, NE and NW Greece) which represent continental 967 archives where Early Holocene RCC events and their local impact on prehistoric societies can be or is 968 recorded. This study demonstrates the reality of hydrogeomorphological responses to early Holocene 969 RCCs derived from glacial outburst in valleys and alluvial fans and lake-marsh systems. It highlights 970 the importance of Holocene sedimentation and post-depositional disturbances on reading the 971 972 Mesolithic-Early Neolithic transition and attestation of the first true levels of Neolithic occupation in 973 South East Europe. Terrestrial records still reflect heterogeneities in paleoclimatic restitution across 974 the north- eastern Mediterranean during RCC events (from central Anatolia to southern Balkans). This 975 signal heterogeneity shall now be discussed in terms of quality of exploited archives, of 976 sampling/measuring time resolution and of regional climatic pattern variations. The widespread use of Core scanner geochemical analysis will promote the identification of the finest Holocene variations. 977 978 The issues are important to better assess climate impact on the functioning of coastal and continental 979 environments, in major societal disruptions such as the Neolithisation of the Mediterranean. Research on the effects and impacts of 10.2 and 9.2 RCCs are still in their infancy. They are potentially present 980 in continental sedimentary archives and shall be better understood in a socio-environmental 981 perspective. The probable triparted timing of the 9.2 and 8.2 ka events, complicates our view of the 982 983 Neolithic development and colonization of Europe. Our hypothesis of an early Neolithic colonisation 984 of the North Aegean (around 8.4 ka), prior to the assertion of the second and more marked part of the 8.2 RCC event should be supported by new data in the coming years thanks to the increasing number 985 986 of deep trenches and core drilling in regional river and marshy areas, including the immediate vicinity of the main Neolithic tells whose first sedimentary archives are still often unknown (fig12). The 987 simultaneous achievement of pollen studies with very high time resolution will complete the approach 988 989 to attest to the first early agricultural practices. These data must be compared to precise archaeological 990 data in order to assess the impact of the climatic changes on the environment and the farming societies 991 at the local scale. Rather than collecting radiocarbon dates in order to propose modelisation of 992 Neolithic expansion, we need to have more case studies at the regional and the Eastern Mediterranean 993 scale if we want to discuss reasonably the role of climatic changes in cultural transformation. 994 Archaeological data still hidden under alluvium, hinder our understanding of land use and historical 995 dynamics, still reserving many surprises.

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1423 Figures

Fig01. Northern Hemisphere Palaeoclimate/pedosedimentary records illustrating Holocene Rapid 1424 1425 Climate Changes (RCCs); 1. Greenland GRIP ice-core $\delta 18$ O (Grootes et al. 1993); 2. High-Resolution GISP2 nss [K+] as proxy for the Siberian High (Mayewski et al. 1997), 3. Ice rafted debris 1426 1427 in Northern Atlantic (Bond et al., 2001), 4. Eastern Aegean SL21 (Sea Surface Temperature, SST) 1428 fauna (Marino et al. 2009), 5. MD952043 SST, 6. (C) Eastern Mediterranean core LC21 (Sea Surface 1429 Temperature, SST) fauna (Rohling et al. 2002); 7. Steregiou (Romania) Pollen-based temperature of peat pollen (Feurdean et al. 2008), 8. Sufular Cave δ^{13} C (Northern Turkey, Fleitmann et al. 2009), 9. 1430 Lake Maliq Pollen-based temperature of the coldest month (Bordon et al. 2009), 10. Qunf cave-Q5, 1431 1432 ¹⁸O (%0 VPDB) (Fleitmann et al. 2003), 11. Sidari valleys 1 and 2 (Corfu island) Gully erosion/fluviocolluvial aggradation (CPDF this study), 12. Sidari valleys 1 and 2 Soil formation phases (Corfu 1433 1434 island) (this study), 13. Tenaghi-Philippon N-Greece Tree-Pollen (%) (Pross et al. 2009). Yellow 1435 vertical bars underline the 9.2 and 8.2 ka events phases. The yellow, orange and green bars (associated 1436 to A, B, C letters) represent a possible tripartite temporal structure of the 8.2 ka event (discussed in the 1437 text).

Fig02. Map of main sites cited in the text. 1.Lake Accesa, 2.CM-92-43, 3.MD90-917, 4.MD 04-2797,
5.D fuljunica, 6.Anzabegovo, 7.Lake Trilistnika, 8.Lake Ribno, 9.Kovacevo, 10.Lake Dojran, 11.Dikili
Tash, 12. Tenaghi-Philippon marsh, 13.Nea Nikomedia, 14.Mavropigi-Filotsairi, 15.Paliambela,
16.Lake Prespa, 17. Lake Maliq, 18.Sidari 1/2, 19.Konispol cave, 20.SL-152, 21.KL-71, 22. Ulucak,
23. Sofular cave, 24. NS-14, 25.LC-21, 26.Hacilar, 27.Lake Golishar, 28.Çatalhöyük, 29.Can Hasan,

1443 30.Musular, 31.Aşıklı, 32.Khirokitia-Maroni River, 33.Vasilikos Valley, 34.Shillourokambos, 35.Tell

Sabi Abyad, 36.Soreq cave, 37.Wadi Shu'eib, 38.Ain Ghazal, 39.Dead sea., 40. Franchthi cave, 41.
Knossos. Main Neolithic cultures of the 9th millenium cal. BP are in blue.

Fig03. The main large plains of endorheic central Anatolia and location of sites cited in the text and in 1446 1447 fig.7. Main cities: K: Konya; E: Ereğli; B: Bor; A: Aksaray. Palaeoenvironmental sites: 1: Yarma 1448 (Kuzucuoğlu et al., 1999); 2: Çarsamba fan (Boyer et al., 2006); 3: Sultaniye (Kuzucuoğlu et al., 1997); 4: Karapınar sand dunes (Kuzucuoğlu et al., 1998); 5: Düden (Fontugne et al., 1999; 1449 Kuzucuoğlu et al., 1999); 6: Adabağ (Bottema and Woldring, 1984); 7: Zengen; 8: Bayat; 9: Kayı 1450 1451 (KKK); 10: Pınarbaşı; 11: Bahçeli; 12: Sazlıca; 13: Melendiz-Çiftlik (Kuzucuoğlu et al., 1993); 14: Alluvial fans (Naruse et al., 1997; Kashima et al., 2002). Sources for 7 to 12: Kuzucuoğlu et al., in 1452 1453 prep. Excavated Neolithic sites cited in text: a: Boncuklu; b: Aşıklı; c: Can Hasan III; d: Çatalhöyük 1454 East; e: Tepecik-Çiftlik; f: Pınarbaşı-Karadağ; g: Pınarbaşı-Bor; h: Köşk Höyük; i: Çatalhöyük West.

- Fig04. A/General location of the Pre-Pottery Neolithic site of Khirokitia and of the Vasilikos Valley,
 mentioned in the text; B/Topographical map of Khirokitia illustrating the position of the site
 comparing to the River Maroni and the location of the different studied areas.
- Fig05. A/Synthetic cross section of the Maroni Valley at the foot of the eastern slope of the site showing the depositional environments of the river and the situation of the studied archaeological sequence. The location of the section is shown in figure 5b; B/North-South section through the occupation levels at the river border (operation 2) with the stratigraphic position of the major erosional event 2.
- Fig06. The Tenaghi-Philippon (former) marsh, Dikili Tash archaeological sites and sample cores
 obtained from the marsh deposits mentioned in the references. Image from Google Earth (40°58'0N,
 24°15'0E).
- Fig07. A/Map of the Corfu island with location of the site of Sidari on the northern coast, B/ Location of the Sidari 1 and 2 trenches in 2 small marlous valleys, tributaries of the Peroulades river, C/ Pedoand chronostratigraphical contextes of the Sidari 1 sequence with the main Neolithic levels (after Berger et al. 2014), D/ Pedo- and chronostratigraphical contextes of the Sidari 2 sequence with the main Holocene lithostratigraphic disconnexions.
- Fig08. Dated palaeoenvironmental records in the three main endorheic plains of central Anatolia: a
 synthesis between 12.5 to 6.0 ka cal BP. Environmental records in sediment archives: 1. Deep lake; 2.
 Backswamps; 3. Vegetated shallow marshes; 4. Palaeosol; 5. Alluvial fan (coarse sediment). Humidity
 intensity (synthesis): 6. Dry to very dry; 7. Emersion of watered ecosystems and soil formation; 8.
 Semi-arid and/or contrasted seasonal climate (high seasonal run-off); 9. Humid (marshes); 10. Very
 humid (lakes, backswamps).
- 1477 Fig09. Diagram from the Dik4 core with its Age depth model. LOI and Carbonate content of the sediment expressed in % of the total sediment. Charcoal influx expressed cm⁻².yr⁻¹. Selected pollen and 1478 1479 NPP groups expressed in % (see Glais et al. 2016) : 1) xerothermophilous taxa (*Ephedra fragilis* type, 1480 Erica arborea type); 2) ruderal taxa (Asphodelus albus type, Asphodelus fistolosus type, 1481 Boraginaceae, Cannabis/humulus type, Cardueae, Centaurea nigra type, Fumaria officinalis, Malva sylvestris type, Rubiaceae, Rumex acetosa type); 3) anthropozoogenous taxa (Plantago lanceolata 1482 type, Plantago coronopus type, Polygonum aviculare type, Urtica dioica type, Vicia type); perennial 1483 pasture plants (Apiaceae, Brassicaceae, Caryophyllaceae, Fabaceae undiff, Gentianella campestris 1484 1485 type, Helleborus foetidus type, Jasione type, Primulaceae); coprophilous, NPPs (Cercophora sp. Type 1486 112, Podospora sp type 368, Sordaria sp. Type 55A, Sporormiella sp. type 113, Coniochaeta cf.

lignaria type, *Ustulina deusta* Type 44); eu-mesotrophic NPPs (*Ceratophyllum* sp. Type 137, *Botryococcus* Type, *Gloetrichia* type 146, *Spirogyra* Type, *Neorhabdocoela* undiff., Type 128A, Type
18 Type 151, *Zygnema* Type); meso-oligotrophic NPPs (*Anabaena* sp. Type 601, *Rivularia* Type
170); NPPs indicative of erosive processes (*Glomus cf. fascilicatum* type 207 and *Pseudoschizaea circula* type); NPPs indicative of fire events or dry conditions (*Chaetonium* sp Type 7A, *Neurospora*sp. Type 55c, *Pleospora* sp. Type 3B, Type 200).

Fig10. Map of the core drillings around Dikili Tash site and interpretation of the settlement dynamicsduring the early stages of the Neolithic.

1495 Fig11. A/ Mid-lower pedosedimentary sequence of Sidari 2 with early Holocene paleosols (P1-P4), aggradation and gully phases (IIa-VIc). Yellow stars : AMS radiocarbone dates, B/ stairway Age depth 1496 model with three phases of high acceleration of sedimentation rate (phase II : 10.4-9.9 ka, phase IV : 1497 9.5-8.9 ka, phase VI : 8.4-8.1 ka), C/Field photo of gravel and sand filling of the 9.2 ka event gullying, 1498 D/ Field photo of the 9.2 ka event gully filling with numerous rounded clay aggregates eroded in the 1499 upper catchment, E/ Field photo of the upper part of the 8.2 ka event filling with a regular alternation 1500 between silty and sandy beds, F/CPDF of Sidari 1 and 2 sites (33 AMS dates). Paleosols are located 1501 between main active peaks. Archaeological layers are represented as temporal coloured segments to 1502 1503 distinguish their cultural attribution.

1504 Fig12. A/ Comparison of regional hydroclimatic pattern for Anatolia and Northern Aegean areas with 1505 micro-regional and main sites cumulative probability density : 1. Endorheic plains of central Anatolia 1506 (Kuzucuoglu, this paper), 2. Gully erosion/fluvio-colluvial aggradation in Sidari 1/2 (Berger this paper), 3. Soil formation in Sidari 1/2 (Berger this paper), 4.Lake Maliq Pollen-based temperature of 1507 the coldest month (Albania, Bordon et al. 2009), 5. Oncoliths deposits in Dikili Tash swamp 1508 (Macedonia, Lespez et al. this paper), 6. Detritism in Lake Dojran (Macedonia) (Zhang et al. 2014), 7. 1509 1510 Tenaghi-Philippon Tree-Pollen (%) (Macedonia, Pross et al. 2011), 8.Central Anatolia Late Neolithic 1511 sites (Shortlived dates, n=123), 9. N.W. Turkey (shortlived dates, n=83), 10. Nea Nikomedia (Macedonia)(12 shortlived dates) Pyke and Yiouni 1996, 11. Sidari (Corfu island) (12 charcoal, 3 1512 1513 shortlived dates) Berger et al. 2014 and in progress (RM: red monochrome ware, IP: Impressa ware), 1514 12. Dikili Tash (11 charcoal dates) (Macedonia, Lespez et al. 2013). B/ Comparison of time dynamic 1515 of Neolitisation from Central Anatolia to Corfu Island. 1. Central Anatolia, All n=285, Shortlived 1516 n=123 (after Flohr et al. 2015), 2. Western Anatolia All n = 64, Shortlived n=31 (after Flohr et al. 2015), 3. NW Turkey, all n =136, shortlived n=83 (after Flohr et al. 2015), 4. Strong decline of site 1517 1518 occupation in Tell Sabi Abyad (North Syria) (from Weninger et al. 2014), 5. Paliambala (5 dates, after Karamitrou-Mentessidi et al. 2013), 6. Nea Nikomedia, Thessalia (16 dates, Weninger et al. 2006) (12 1519 1520 dates, "shortlived", Pyke and Yiouni 1996), 7. Mavropigi-Filotsairi, Macedonia (12 dates, after 1521 Karamitrou-Mentessidi et al. 2013), 8. Sidari, Corfu island (15 dates) Berger et al. 2014 and in progress (RM: red monochrome, IP: Impressa ware), 9. Dikili Tash, Macedonia (11 dates) (Lespez et 1522 1523 al. 2013), 10. Achilleion, Thessalia (44 dates) (B. Weninger et al. 2006).

fig13. Morpho- and pedosedimentary contextes of 4 Central to Eastern Mediterranean Early Neolithic
sites (Konispol cave, Sidari, Dikili Tash and Khirokitia) illustrating the 8.2 ka event effects on the
archaeological occupations. Geomorphological change applies on pure anthropogenic horizons or
paleosols, revealing an abrupt change of the local pedosedimentary functioning. 1. Gravels layer, 2.
sandy layer, 3. silty layer, 4. ashy layer, 5.oncolithic sands, 6.paleosols, 7. In-situ Neolithic layers, 8.
Slighty reworked Neolithic layer, 9.strongly reworked Neolithic layer, 10. Red silty clay colluvial
deposit (from Terra Rossa), 11. flints/ceramics, 12. Earth. Radiocarbone dates are in ka cal. BP.

- 1531 fig14. Neolithic dynamic and Early Holocene RCC in Anatolia. Note: Sites are selected on the basis of
- 1532 being the oldest ones excavated in their region (ie, sites founded after 8.0 ka cal BP are not shown).
- 1533 Sources: Fontugne et al. 1999, Kuzucuoğlu et al. 1997, 1998, 1999, Düring 2002, 2011, Boyer et al.
- 1534 2006, Gürel and Lermi 2010, Özbaşaran 2011, Baird 2012, several articles in Özdoğan et al. 2012a,
- 1535 2012b, Kuzucuoğlu 2013, 2014, Stiner et al. 2014.
- 1536 Tabl.1. (Supplt material) Radiocarbone dates of Sidari and Khirokitia sites and Dikili Tash cores