

We would like to thank the two anonymous referees and Sebastian Luening for their constructive comments, their time and effort which helped to improve the quality of the manuscript. The main issues, as expressed by both anonymous referees, is concerning the age model and significance of the data presented in our study. We are aware of these points and added a more critical discussion about the age model and significance of our data in the revised version of the manuscript. Below is a point-by-point statement of the reviewer comments and our responses, as well as a marked-up version of the revised manuscript.

Authors response to referee #1

1) There are two age reversals within the sediment core. It is okay, if you can fit a smooth spline model to it which results into continuous depositions rates. However, to my opinion, considering the sample resolution of about 19 years and the observation of the Gleissberg cycle this needs some more discussions.

> We thank the reviewer for this comment and added a more critical discussion of potential artefacts from the age model. The offset of the reversals is relatively low (<70 and <40 years) and we therefore hypothesize that either changing upwelling intensities or changes in intermediate water mass, as it was shown from the Peruvian upwelling (Fontugne et al., 2004), or bioturbation processes ages could be responsible for the slight offset of the radiocarbon dates (see p.8, l. 3-8). However, as the two age reversals are no clear outliers from the smooth spline fit and we did not find evidence for interruptions of the sedimentary succession (see response to comment below), we are confident that our age model represents a continuous deposition. Further, we are aware of the overall error of the reservoir correction factor of ± 31 years being close to the observed frequencies (75-95 years and 80-90 years) of the supposed Gleissberg cycle. However, we also found a 110-130 year cyclicity in the Mg/Ca-SST record, which is close to another prominent sunspot cycle of ~ 132 years, probably a subharmonics of the Hale cycle (Attolini et al. 1990). We added this to the discussion on p. 11.

2) Many studies in the past recent years have demonstrated the impact of solar forcing on paleoceanographic and climatic records (e.g Moffa-Sanchez et al. 2014; Knudsen et al. 2011). Total solar irradiance (TSI) is controlled by different cycles such as the shorter Gleissberg (87 ys) and the longer de Vries (210 ys) cycle. The latter is not dominant in the present records. I wonder why the spectral analyses reveals the shorter Gleissberg and not also the de Vries cycle as this was clearly shown by other studies (e.g Steinhilber et al., 2012; Moffa-Sanchez et al. 2014 etc). This may give a hint that this is a statistical artefact as discussed by Turner et al. (2015), especially for cycles ranging between 120-140 years.

> The apparent absence of a ~ 200 -year cyclicity is a common finding also known from other studies of Asian monsoon records. Studies using stable isotopes off Japan (Sagawa et al., 2014) and in the South China Sea (Wang et al., 1999), found cycles in their data matching cycles of the TSI record, except the 210-year De Vries cycle, although being very prominent in the TSI record. Duan et al. (2014) showed with a speleothem record from Dongge cave (China), that coherency with the Gleissberg cycle is persistent over the last 4 ka, whereas the De Vries cycle occurred only over a short 1 ka long period. We therefore hypothesize that the De Vries cycle is not detectable in our record, due to a transient link of the solar-monsoon relationship, potentially suppressed by changes in the ocean-atmosphere system in the ENSO domain (Berkelhammer et al., 2010). We added this to the discussion on p. 11, l. 5-14. However, our Mg/Ca-based SST record shows strongest coherency with the SSN record of

Solanki et al. (2004) on both, the ~88-year Gleissberg and the ~190-230 year De Vries frequency, as shown in Fig. 7e. See p. 11, l. 18

3) The authors conclude that atmospheric forcing (solar forcing) is the origin for OMZ dynamics rather than intermediate water mass dynamics. Also modelling results reveal a response of intermediate water masses to solar forcing (Seidenglanz et al. 2012). However, to state something like this the authors should compare their record to other paleoceanographic records (if available at this resolution) and not only to stalagmite records.

> We thank the reviewer for the constructive suggestion. We added a comparison with other high-resolution records from the Arabian Sea (Staubwasser et al., 2003 and Deplazes et al., 2013) to the revised version of the manuscript (see Fig. 7 and p. 10, l. 18)

Other comments on the manuscript:

Page 2 Line 18: There are studies revealing SST variations probably forced by changes in total solar radiation in the North Atlantic (Moffa-Sanchez et al. 2014).

> We thank the reviewer for pointing out this ambiguity. Of course we refer here to SST records from the Arabian Sea, which are, to our knowledge, not available at a decadal-scale resolution. We clarified this in the text.

Page 3 Line 10: What are the oxygen concentrations? Line 14: What are the salinities?

> We added oxygen (0.07-0.52 ml/l) and salinity (35.5-36.8 psu) values of the intermediate waters from the literature (Emery and Meincke, 1986; You, 1997) on p. 3, l. 19 and 21.

Page 4 Line 10: It is not appropriate to cite only the website you should refer here to the original study.

> We thank the reviewer for this comment and refer now directly to Southon et al. (2002) and von Rad et al. (1999).

Page 4 Line 7-13: I am a bit worried about the error of the δR as the authors claim to see the Gleissberg cycle of about 87 years, which is nearly the same compared to the overall error of the δR .

> Please see the response to first major comment.

Page 5 Line 24: I think the ECRM 752-1 should read 3.761 (Greaves et al. 2008). As the authors discuss Mg/Ca based SST variability of less than 2 degC could the authors provide an error for the temperature reconstruction?

> The ECRM value of 3.75 is correct, 3.761 was a value after removal of one result with a lower value, but without any indication that it was a wrong measurement (Table 4 in Greaves et al. 2008). We also added the error estimation using error propagation (Mohtadi et al., 2014)

to the methods section, which was previously mentioned in caption of Fig. 6. The overall average of the propagating 1-sigma error is 0.89 degC.

Line 32: What do the correlations say between the individual elemental/Ca ratios against Mg/Ca? What about Al/Ca and Fe/Mg ratios? As the authors discuss later Mn/Al ratios from bulk analyses it would be nice to know the variability of the Al/Ca ratios.

> We added the correlations of other element/Ca vs. Mg/Ca values to page 6, l. 5. Al/Ca ($r=0.06$) and Fe/Ca ($r=0.16$) are not correlated to Mg/Ca, Mn/Ca has weak correlations ($r=0.36$).

Page 6 Line 2: A fragmentation index not only tells us something about dissolution, but also about changing bottom water current strength. If there are strong currents at 600m water depth these might transport lighter particles, which in turn would indicate less dissolution. I do not believe this study has to tackle severe dissolution problems, but I think a fragmentation index is not an appropriate proxy for that.

> We agree with the reviewer and assume that dissolution did not have any effect on the samples, which is also supported by the presence of pteropods. Undercurrents related to the upwelling process, however, are probably shallower (<200m). We therefore removed the fragmentation index from the text.

Page 7 Line 10: Instability or strong bottom currents? Similar as off the Peruvian margin (Erdem et al 2016)?

> We did not find any evidence for interruptions of the stratigraphical record in the form of slumps, turbidites, erosional surfaces or phosphorites, below the unconformity at 56 cm core depth. We therefore assume that the record we present here is homogeneous and undisturbed. The diatomaceous ooze above the unconformity at 56 cm core depth of SL163 showed an excursive increase of the sedimentary water content, relative to section below 56 cm, of more than 17%. We therefore assume this might have caused a decrease of gravitational stability of the sediment. However, we feel the assessment of a potential mechanism for the observed hiatus above the interval we are focusing on is beyond the scope of this study and will be presented elsewhere.

Line 5: How do the pteropods look like? I think a better indication is the in situ carbonate saturation. There is data available to calculate the in situ CO_3^{2-} ! Interestingly, there is a sharp increase in alkalinity at 1000m water depth, can this be explained by the dissolution of the pteropods? (Jansen et al. 2002).

> The paper by Jansen et al. (2002) is dealing about the North Pacific. The authors state, that carbonate/aragonite saturation is much lower there compared to the Atlantic and Indian Ocean. Thus aragonite dissolution can occur in the water column, as the depth where the in-situ carbonate saturation becomes <1 is shallower. However, as pteropods are always present in our record, except for 11 samples where they were probably diluted due to high concentrations of foraminiferal shells, there was no aragonite dissolution in the water column, nor in the sediment. We therefore expect that foraminifera are not affected by dissolution, as

they build calcite shells, the more dissolution resistant polymorph of calcium carbonate compared to aragonite.

Page 10 Line 4: Can this statement be proven by a comparison to the cosmogenic nuclides record of Steinhilber et al. (2012)? Moreover, modelling results for the North Atlantic suggest that the phase shift of TSI on SST is about 40 years (Seidenglanz et al. 2012). What would you expect for your location? Can the authors comment on that?

> The study by Seidenglanz et al. (2012) revealed a response of Arabian Sea surface temperatures to an idealized TSI forcing within less than 20 years for both idealized cycles, 90 and 200 years, which is probably not resolvable by our 19-year record.

Line 10: The authors should cite here earlier studies that made similar observations.

> We agree with the reviewer and cited previous studies using speleothem (Neff et al, 2001; Burns et al., 2002; Fleitmann et al., 2003; Dykoski et al., 2005; Wang et al., 2005; Duan et al. 2014) and marine records (von Rad et al., 1999; Wang et al., 1999; Gupta et al., 2005).

Page 11: Line 3: Mn has been introduced, but what about Al? How does the Mn/Al downcore record look like? What is the variability of Al? Can the authors please clarify.

> We used the enrichment factor relative to the detrital background ("average shale" as reported by Wedepohl, 1971, 1991) to decipher a possible secondary alteration of the geochemical composition. The enrichment factor is calculated as the element/Al ratio of the sample divided by the element/Al ratio of average shale. Hence, element/Al ratio and enrichment factor are covarying. We added this clarification to the methods section.

Figures and tables

Figure 9: This does not really look convincing to me. Maybe the authors should consider to show also a simple evolutionary spectra revealing the intensities of the present cyclicity through time.

> We added a wavelet power spectrum of both SST time series to demonstrate the evolution of the dominant signals through time.

Table 1.

The error should read \pm

> We added the correct sign to the column header.

Authors response to referee #2

The authors themselves mention that a section of the top of the core is missing (section 4.1) alluding to slope instability as a possible cause. If so, is it possible that the sections with age reversals represent other periods of sediment instability (turbidites)? This should be discussed in more detail.

> We thank the reviewer for this comment and discuss possible disturbances of the stratigraphical record in more detail. Please see the answer to referee #1 comments.

The authors state that the overall time resolution across the entire section presented in this manuscript is around 20 years. Whilst undoubtedly true for the section younger than 7.5 KaBP, it is not true for the section covering the time period between 8.5 and 7.5 KaBP. The sample resolution in this section seems much closer to 50 years. This should be clarified.

> We agree with the reviewer that the sample spacing is larger in the lower part of the record. The lowermost 15 cm of the core (six samples) span 287 years of deposition, in fact close to a spacing of 50 years. We added this for clarification to the text (p. 7, l. 22). However, the overall average sample spacing is 19.9 years for the Mg/Ca-based SST time series, 15.3 years in the upper half and 28.9 years in the lower half of the record. The assemblage-based SST record has a slightly higher average resolution of 18.4 years, as there were no samples removed due to potential contamination.

Statements related to highlighting differences in the spectral analyses results for different time periods should be double checked as well (see for example page 11 lines 16-18). To me, the fact that higher frequencies have not been recorded between 8.5 and 7.5 KaBP is likely a result of the insufficient time resolution in this section.

> We included a continuous wavelet transform of both SST time series, which shows significant periodicities of the Mg/Ca-SST record on the ~80- to ~130-year bandwidth within the lowermost part of the record (see Fig. 10).

There is a little bit of confusion related to a statement made on figure 8 (page 10 lines 4-6). Based on the text a rather strict relation between solar insolation and SST change has been found, i.e. solar insolation leading SST change by roughly 200 years. I may read figure 8 incorrectly, but does this figure not partly show the opposite relation. Between 7.7 and 6.7 KaBP for example my read of the figure implies that amplitude variation in SST (certainly for the assemblage based data) leads the respective change in solar insolation. Other sections of the record also do not show the alleged relation. This part of the manuscript should be revised. This should also have ramifications with regard to the relation of solar forcing and the response in the climate system.

> We agree with the reviewer that the statements made about Fig. 8 are misleading and that this section has to be revised. Our statements are mistakenly based on another version of the figure, which compares filter outputs of both SST time series with filter outputs of the reconstructed total solar irradiance based on cosmogenic nuclides (Steinhilber et al., 2012). The version of Fig. 8 in the manuscript, shows solar energy based solely on orbital solutions. Our findings from spectral analysis and the following interpretation regarding solar forcing of the monsoon system is, however, focusing on the solar cycle and sunspot activity, which are expressed in the TSI record. We corrected and clarified this in the revised manuscript (p. 11, l. 26-33) and changed Fig. 8 accordingly (now numbered Fig. 9). Although there is a slight offset in some parts of the record, the new figure shows that the amplitude modulation of both SST time series filtered on the 88-year frequency shows a maximum centered at ~7.4 ka B.P., which is co-varying with a modulation maximum of the 88-year band-pass filtered time series of TSI. On the 132-year bandwidth, however, the Mg/Ca-based SST time series and band-

pass filtered TSI are offset by ~400 years. Modelling results indicate a response of surface temperatures to solar forcing within less than 50 years (Seidenglanz et al., 2012). We therefore assume no direct forcing of Arabian Sea upwelling intensity from the ~132-year solar cycle.

The authors spend quite a bit of text on the technical details related to SST analysis. It would be useful to know what the error bar is with regard to both SST estimates (I might have overlooked a statement on this). My best guess is that it is in the ballpark of 1-2 degC. Using such an uncertainty, quite a bit of the SST variability would not represent statistically significant change. With regard to the Mg/Ca based SST estimates the main thrust of this paper might change to work assessing specific short term events with significant changes in temperature (e.g. around 8.2 KaBP).

> Error bars for both SST time series are given in Fig. 6a/b. Error assessment for the Mg/Ca-based SST is based on error propagation (Mohtadi et al., 2014). It yields an average propagating 1-sigma error of 0.89 degC. We clarified this and added error estimation methods to the text (p. 6, l. 9 and p. 9, l. 5; previously only found in the caption of Fig. 6). Error estimates for the assemblage-based SST reconstructions are given in Table 3 (RMSEP=0.92-0.95). We expanded the discussion towards the point whether the most noticeable features of the Mg/Ca-SST record centered at ~5.9, ~7.4 and ~8.2 ka B.P. could be related to North Atlantic ice rafting events, i.e., Bond events 4 and 5 (Bond et al., 2001), which were shown to affect Arabian Sea upwelling intensity (Gupta et al., 2003). See discussion on p. 10, l. 24-31.

Please note that the statement on page 9 line 8 is misleading. There is a maximum change in temperatures of roughly 6 degC, the majority of the change is, however, much smaller.

> We clarified this in the text and state that the range of the Mg/Ca-SST is 6.16 degC and the mean absolute deviation is 0.72 degC (p. 10, l. 7).

Minor issues:

Title is too long: (suggestion) Solar forced decadal-scale upwelling in the Arabian Sea during the early Holocene.

> We agree with the reviewer and shortened the title to "Decadal resolution record of Oman upwelling indicates solar forcing of the Indian summer monsoon (9-6 ka)"

Abstract: The first four lines are misleading. The abstract should introduce solar driven climate change and not the socio-political implications thereof.

> We moved this to the Introduction (p. 1, l. 17)

Introduction: There are some detailed statements related to monsoon circulation. in the Arabian Sea (page 2 lines 2-8) that would be better placed at the start of chapter 2. In the introduction more generic wording could be used.

> These statements introducing the general development of the monsoonal winds are leading to the establishment of the oxygen minimum zone. We agree with the reviewer that some of these statements are specific and could be placed in Chap. 2, but still think the upwelling process has to be introduced prior to introducing OMZ.

Importance of AAIW in the Arabian Sea (page 3 lines 11-18). Whilst Boening and Bard indeed suggest that there is a strong influence of AAIW in the Arabian Sea, most of the available work seems to suggest that AAIW in the modern ocean does not reach the Arabian Sea (at least not with near pristine properties).

> We thank the reviewer for pointing this out and clarified it in the text (p. 3, l. 17).

Figure 1: labeling partly too small.

> Labeling of Fig. 1, which was larger in a previous version, was increased. Thank you.

Figure 6: Labeling on the right side should have the same orientation.

> We thank the reviewer for indicating this and changed the orientation of the labeling.

Authors response to short comment #1

It would be good if the authors can add a paragraph on the longer-term Holocene context of their time series. The described natural variability and cyclicity forms part of so-called millennial-scale cycles which have been first described in more detail by Bond et al. 2001 in their pioneer paper in Science. Meanwhile, similar Holocene millennial-scale cycles have been reported from many other places around the world, including the Arabian Sea. See a literature overview in our recent paper on this subject, pages 289-299:

https://www.researchgate.net/publication/308928345_The_Sun%27s_Role_in_Climate

Interestingly, most of these studies link the millennial-scale cycles to solar activity changes. It would be good to see a comparison of your 2500 year long time series with the millennial-scale cycles of Bond et al. 2001 which form an important reference for Holocene climate evolution.

In this context, you might also consider a comparison with Menzel et al. 2014 who documented millennial-scale climate cycles with repeated dry/wet shifts from a central Indian lake.

<http://www.sciencedirect.com/science/article/pii/S0031018214003009>

> We thank Sebastian Luening for his suggestion and added a comparison with the North Atlantic drift ice record to the manuscript. In fact, the most noticeable changes of the Mg/Ca-SST record of *G. bulloides* occur at ~5.9, ~7.4 and ~8.2 ka B.P., which are partly in concordance with the North Atlantic Bond events 4 (5.9 ka) and 5 (8.2 ka), as well as a less pronounced event at 7.4 ka. However, to significantly assess millennial-scale cycles in our record, the time span covered of ~2.5 k years is likely too short (see discussion p. 10, l. 24-31).

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Decadal resolution record of Oman ~~margin~~ upwelling indicates ~~persistent~~ solar forcing of the Indian summer monsoon ~~after the early Holocene summer insolation maximum~~ (9-6 ka)

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Abstract. The Indian summer monsoon (ISM) ~~brings most of the annual precipitation to the densely populated region in southern Asia. For the agricultural development and economic prosperity of the region, it is therefore vital to assess the variability of the monsoon system on societal relevant decadal- to centennial time scales. This might help to better understand how potential driving forces might be controlling ISM variability and how it might develop under future climate scenarios.~~ is

5 an important conveyor in the ocean-atmosphere coupled system on a transregional scale. Here we present a study of a sediment core from the northern Oman margin, revealing early- to mid Holocene ISM conditions on a near 20-year resolution. We assess multiple independent proxies indicative of sea surface temperatures (SST) during the upwelling season together with bottom water conditions. We use geochemical parameters, transfer functions of planktic foraminiferal assemblages and Mg/Ca paleothermometry and find evidence corroborating previous studies that upwelling intensity varies significantly in coherence
10 to solar sunspot cycles. The dominant ~80–90-year Gleissberg cycle was apparently also affecting bottom water oxygen conditions. Although the interval from 8.4 to 5.8 ka B.P. is relatively short, the gradually decreasing trend of summer monsoon conditions was interrupted by short events of intensified ISM conditions. Results from both independent SST proxies are linked to phases of weaker OMZ conditions and enhanced carbonate preservation. This indicates that atmospheric forcing was intimately linked to bottom water properties and state of the OMZ on decadal time scales.

15 1 Introduction

The Indian summer monsoon (ISM) is the dominant driver for intraseasonal changes of wind directions and precipitation patterns in one of the world's most densely populated regions ~~-in southern Asia. For the agricultural development and economic prosperity of the region, it is therefore vital to assess the variability of the monsoon system on societal relevant decadal- to~~ -in southern Asia. For the agricultural development and economic prosperity of the region, it is therefore vital to assess the variability of the monsoon system on societal relevant decadal- to

centennial time scales. This might help to better understand how potential driving forces might be controlling ISM variability and how it might develop under future climate scenarios.

To precisely determine possible changes under climatic conditions in response to anthropogenic impact, it is vital to understand how ISM variability is modulated on societal relevant decadal- to centennial time scales. In comparison to modern times, the early- to mid Holocene is marked by different orbital configurations, affecting Northern Hemisphere insolation (Berger, 1978a) and monsoon circulations (Kutzbach and Street-Perrott, 1985). This period was marked by a steep decline of solar insolation changes whilst climatic conditions were largely unaffected by human induced greenhouse gas emissions. Furthermore, climatic conditions during the early- to mid-Holocene have been attributed to cultural and societal turnover in Africa (Kuper and Kröpelin, 2006) and Mesopotamia (Kennett and Kennett, 2007).

Monsoonal winds develop when summer (July to September) heating over the continent forms a low-pressure zone over continental Asia, which then interacts with the high-pressure zone over the southern Indian Ocean and drives strong, moisture-laden southwesterly winds. Along the eastern coast off Somalia and Oman, the alongshore winds induce coastal upwelling of cold and nutrient-rich deeper water layers, which cool surface water temperatures and fuel biological productivity within the euphotic zone (Findlater, 1969; Wyrski, 1973). Below the photic zone, remineralisation of sinking organic matter consumes oxygen, which leads in combination with the lateral supply of low-oxygenated intermediate water masses (You and Tomczak, 1993), to the formation of a pronounced mid-depth oxygen minimum zone (OMZ). Upwelling and OMZ intensity, together with the biological uptake/release of carbon dioxide (CO₂), nitrous oxide (N₂O) and other greenhouse gases from/into the atmosphere (Farías et al., 2009; Paulmier et al., 2011; Ward et al., 2009), play a critical role for the global climate system.

Quantitative reconstructions of upwelling intensity have the advantage of being directly related to wind strength and thus ISM intensity (Murtugudde et al., 2007). Numerous studies attempted to quantitatively reconstruct sea surface temperatures (SST) in the northwestern Arabian Sea to study changes in upwelling and thus ISM intensity (Emeis et al., 1995; Clemens et al., 1991; Naidu and Malmgren, 1996; Dahl and Oppo, 2006; Hugué et al., 2006; Anand et al., 2008; Godad et al., 2011). Accordingly, ISM variations are modulated by Northern Hemisphere summer insolation changes controlled by orbital parameters of the earth's precessional cycle. However, previous reconstructions of Arabian Sea SST did not resolve SST-variations on societal relevant decadal- to centennial timescales. Here, we present a high-resolution study of early- to mid Holocene ISM variability based on a multi-proxy study comprising of SST fluctuations and OMZ intensity. Reconstructions are based on a comparison of Mg/Ca measurements of the upwelling-related species *Globigerina bulloides* and on transfer functions based on planktic foraminiferal (PF) census counts. To this end we first evaluate species response to potential ecological driving parameters and convert fossil assemblage counts to summer SST using a regionally validated transfer function (Munz et al., 2015). We test the ecological importance and statistical significance of the reconstructions and evaluate summer SST variability in comparison to other proxy records. We further assess the influence of surface parameters, upwelling SST and primary productivity, on variations of OMZ intensity and deep-water carbonate preservation.

2 Modern oceanographic setting

Modern hydrographic conditions in the Arabian Sea are strongly dominated by the seasonal monsoon cycle. During boreal summer (June–September), strong southwestern monsoonal winds drive surface currents in the western Arabian Sea (Figure 1), namely the Somali Current (SC) and as its northward extension the East Arabian Current (EAC), promoting an overall anti-cyclonic circulation pattern (Shetye et al., 1994; Tomczak and Godfrey, 1994). The alongshore surface currents induce an offshore-directed deflection of surface waters and upwelling of deeper, cold and nutrient-rich subsurface waters through the process of Ekman-pumping. During boreal winter (December–March), weaker, dry and cold northeasterly winds (IWM; Indian winter monsoon) lead to increased surface water cooling and evaporation, especially in the northeastern part of the basin, where it enables deep convective mixing and a second productivity peak during winter (Madhupratap et al., 1996; Schulz et al., 2002; Munz et al., 2015, 2016).

Enhanced nutrient availability during summer upwelling nourishes primary productivity within the photic zone near the Somali- and Omani coast. This promotes OMZ conditions at intermediate water levels (~100–1000 m; Figure 1). The water masses in the Arabian Sea are derived from several major sources. High evaporation leads to highly saline surface water, which is therefore named Arabian Sea High Salinity Water — ASHSW (Shetye et al., 1994; Prasanna Kumar and Prasad, 1999). Thermocline waters are mainly sourced by an intermediate water mass (500–1500 m water depth) that originates in the central Indian Ocean (Indian Ocean Central Water, IOCW) as a mixture of Antarctic Intermediate Water (AAIW) and Indonesian Intermediate Water (IIW) (Emery and Meincke, 1986; You, 1998). Although ~~these intermediate~~ waters have a relatively low pre-formed oxygen saturation when they arrive in the Arabian Sea ~~; the Subantarctic Mode Water (SAMW) and AAIW (0.07–0.52 ml l⁻¹), they~~ exert a strong influence on the OMZ of the Arabian Sea (Böning and Bard, 2009). Additionally, intermediate waters are sourced by the inflow of highly saline waters forming through excess evaporation over precipitation in the Persian Gulf and Red Sea. This leads to the formation of high salinity subsurface waters (35.5–36.8 psu) and subduction under less saline waters after entering the Arabian Sea. The Persian Gulf Water (PGW) shows a pronounced salinity maximum at 200–400 m water depth, whereas the Red Sea Water (RSW) has an equilibrium depth of 500 m at its maximum northward extent at ~18°N (Shetye et al., 1994). Although the PGW is a relatively young water mass and originates from a closer source region compared to the other intermediate water masses, the high-salinity tongue of modern PGW increases the oxygen concentration at mid-depth by less than 0.5 ml l⁻¹ (Figure 1).

3 Material and methods

3.1 Samples and chronology

During RV *METEOR* cruise M74 on Leg 1b in September 2007 (Bohrmann et al., 2010), piston core SL163 recovered the uppermost 955 cm of the sedimentary sequence from 650 m water depth within the central part of the present-day OMZ (Figure 1) at the northern Oman Margin (21°55.97'N; 059°48.15'E). Multicorer MC681 recovered the undisturbed uppermost 53 cm of near-surface sediments at the same position. The sedimentary sequence from the intervals 1–56 cm of core SL163

and 1–53 cm of MC681 is considerably different from the topmost 1 cm and the deeper intervals of SL163. From smear slide analysis and scanning electron microscopy this deposition can be described as faintly bioturbated, olive-brown organic-rich diatomaceous nannofossil silty clay. The uppermost 1 cm from both cores as well as the deposition below 56 cm of SL163 is described as olive foraminiferal nannofossil ooze. ~~Core SL163 was sampled in continuous 1–2.5 cm intervals and~~
5 ~~for this study only~~ We did not find any evidence for interruptions of the stratigraphical record in the form of slumps, turbidites, erosional surfaces or phosphorites, below the unconformity at 56 cm core depth. In this study, we focus only on samples from the foraminiferal nannofossil ooze between 56 cm and 400 cm of SL163 and the core top (0–1 cm) of MC681 ~~were investigated~~ and therefore assume that the record we present here is deposited homogeneously and undisturbed.

Core SL163 was sampled in continuous 1–2.5 cm intervals The age model of core SL163 and the core top sample of MC681
10 is based on fourteen AMS ^{14}C datings (Table 1), measured at Beta Analytics, Miami/USA and the Leibniz Laboratory in Kiel/Germany. Datings of core SL163 were mostly based on a monospecific analysis of handpicked individuals of *Neoglobobulimina dutertrei* (Table 1). This species is commonly known to thrive at thermocline depths (e.g., Fairbanks et al., 1982), but in the western Arabian Sea it shows a relatively shallow habitat within the upper 35 m of the water column (Peeters and Brummer, 2002). For two samples (52.5 cm and 58.5 cm) with insufficient foraminiferal carbonate content, the bulk organic fraction
15 was dated. To avoid a potential bias introduced by dating different organic compounds that might have been produced during different seasons or within different water masses, the core top sample of MC681 was sampled twice using mixed planktic foraminifera and bulk organic fraction. Both analyses yielded comparable results (Table 1), indicating a similar synthesis and general comparability of both dating methods.

Radiocarbon dates were calibrated to calendar years using the MARINE13 calibration curve (Reimer et al., 2013) within
20 the program clam ver. 2.2 (Blaauw, 2010) for the statistical software environment R ver. 3.2.1 (R Core Team, 2015). We assume a regional reservoir correction of $\Delta R = 231 \pm 31$ years, which is the weighted mean of the four closest (max. distance ~700 km) ΔR determination points (Southon et al., 2002; von Rad et al., 1999) from the 14CHRONO Marine Reservoir Database (<http://calib.qub.ac.uk/marine/>). Added to the global marine reservoir age of 400 years, this correction factor is consistent with the recent age of our undisturbed core top sample of MC681 (Table 1). An age-depth model was then built for
25 SL163 using a smooth spline regression with a mixed effect model (10,000 iterations) within clam.

3.2 Planktic foraminiferal faunal analyses and transfer function approach

Samples were freeze-dried, washed with tap water over a 63 μm screen, oven-dried at 40°C and dry-sieved into the size fractions 150–250 μm , 250–315 μm and >315 μm . Planktic foraminifera (PF) were identified according to the taxonomic framework of Hemleben et al. (1989); Bé (1967); Bé and Hutson (1977). Following the procedure of Pflaumann et al. (1996),
30 PF counts were conducted on a sub-split of each size fraction that contained a minimum of 100 PF individuals, yielding a summed fraction (>150 μm) to contain >300 identified PF individuals. Relative species abundances were calculated on the summed fraction >150 μm after multiplying each size fraction with the respective split factor. To evaluate the secondary influence of calcite dissolution we calculated fragmentation indices following Le and Shackleton (1992).

Quantitative reconstructions from PF faunal abundances were based on a modern calibration dataset of $n = 603$ surface samples spanning the Indian Ocean from 30°S to 25°N and 33°E to 119°E recently compiled by Munz et al. (2015). To enhance the signal-to-noise ratio, rare species $<0.5\%$ average abundance were removed from the calibration dataset prior to further analyses (Kucera et al., 2005), which resulted in the exclusion of 16 rare species out of 33 taxa. The dataset was then further subsampled to contain only samples most similar with the fossil assemblages of core SL163. This removes noisy effects introduced by modern samples covering ecological conditions outside the range of the fossil samples (Kucera et al., 2005). We followed the approach presented in Munz et al. (2015) and restricted the calibration dataset to samples that had the same range along the first and second axis of a joint principal component analysis together with the fossil dataset (using square-root transformed species abundances to dampen the effect of a few dominant taxa). The resulting subsampled dataset used for further analyses contained $n = 96$ modern surface samples (Figure 2).

Environmental gradient analysis was then carried out on the 96 subsampled calibration samples using the package *vegan* ver 2.3-1 (Oksanen et al., 2015) for *R*. An initial detrended correspondence analysis (DCA) revealed a short gradient length (0.75 for the longest gradient on DCA axis 1), suggesting an ordination method based on linear species response (Birks, 1998). Redundancy analysis (RDA) was therefore chosen for the construction of an ordination model, to examine species response to several potential controlling environmental gradients. Because of the extreme seasonality of surface water productivity owed to the monsoonal circulation, four different approaches were used to assess modern productivity values. Net primary productivity estimates from the Vertically Generalized Production Model (VGPM), the Eppley-VGPM and the Carbon-based Productivity Model (CbPM) were accessed through the Ocean Productivity Home Page (<http://www.science.oregonstate.edu/ocean.productivity/>). Satellite-derived chlorophyll α measurements of SeaWiFS and Aqua MODIS sensors averaged over the period 1998–2010 were obtained from NASA Ocean Biology (<http://oceancolor.gsfc.nasa.gov/cms/>). Sea surface salinity (SSS) and sea surface temperature (SST) were extracted from the 10 m depth level of the World Ocean Atlas 1998 (Conkright et al., 1998).

Transfer function analysis and statistical significance tests of the reconstructions were performed with the *R* packages *rioja* ver 0.9-5 (Juggins, 2015) and *palaeoSig* ver. 1.1-3 (Telford, 2015). We used two common techniques for paleoenvironmental reconstructions, the Imbrie-and-Kipp method — IK (Imbrie and Kipp, 1971) and weighted averaging partial least squares regression — WA-PLS (ter Braak and Juggins, 1993) to reconstruct SST from the fossil samples. The number of factors to extract for IK was based on the Kaiser-Guttman criterion, the Parallel Analysis after Horn (1965) and the analysis of optimal coordinates (reviewed by Courtney and Gordon, 2013). WA-PLS model complexity was evaluated from the model of lowest root mean squared error of prediction (RMSEP) using bootstrapping cross-validation ($n = 999$ cycles).

3.3 Mg/Ca analyses and paleothermometry of *G. bulloides*

For Mg/Ca analyses approximately 30 individuals of *G. bulloides* were picked from the size fraction 250–315 μm , gently crushed between two glass plates under the microscope to open the chambers and cleaned following the protocol of Barker et al. (2003). Trace elemental measurements were performed with an inductively coupled plasma optical emission spectrometer (ICP-OES) using an Agilent Technologies 700 Series with autosampler ASX-520 Cetac and micro-nebulizer at the MARUM-Center for Marine Environmental Sciences, University of Bremen/Germany. Precision of ICP-OES measurements was deter-

mined using an in-house standard with a Mg/Ca ratio of 2.93 mmol mol⁻¹ after every five samples. The average relative standard deviation from $n = 55$ measurements was 0.155 % ($1\sigma = 0.005$). As a standard reference material, we analysed the international limestone standard ECRM752-1 with an Mg/Ca ratio of 3.75 mmol mol⁻¹ (Greaves et al., 2008) prior to every batch of 50 samples. Average Mg/Ca values over all ECRM752-1 measurements was 3.714 ($n = 8$; $1\sigma = 0.041$). Three replicate measurements of every sample were used to estimate analytical precision and yielded an average relative standard deviation of 0.068 % ($n = 234$ samples, $1\sigma = 0.004$). To estimate SST from Mg/Ca we used the species-specific calibration equation $T = 1/0.102 \times LN(\text{Mg/Ca}/0.528)$ published by Elderfield and Ganssen (2000) for *G. bulloides*. This Mg/Ca-temperature relationship was previously used for calibrating Mg/Ca measurements of *G. bulloides* in the Arabian Sea (Anand et al., 2008; Ganssen et al., 2011). Reconstruction errors were estimated using the error propagation approach of Mohtadi et al. (2014).

10 Samples were screened for a potential contamination by iron-manganese coatings and clay minerals not successfully removed by the cleaning technique (Barker et al., 2003). Ten out of 142 samples showed Fe/Ca or Mn/Ca values of more than 0.1 mmol mol⁻¹ and were excluded prior to further analyses. No correlations exist between Mg/Ca and element/Al ratios of Al/Ca ($r = 0.06$) and Fe/Ca ($r = 0.16$) and only weak correlations for Mn/Ca ($r = 0.36$). Paleotemperature estimates based on trace elemental concentrations in foraminiferal calcite can potentially suffer from post-depositional dissolution and preferential removal of more solution susceptible Mg-rich calcite (e.g., Brown and Elderfield, 1996; Dekens et al., 2002). ~~We therefore tested a systematic dissolution bias of samples from core SL163 using a cross-correlation of Mg/Ca ratios and the fragmentation index of Le and Shackleton (1992). If Mg/Ca measurements were affected by carbonate dissolution, a strong negative relationship between Mg/Ca ratios and fragmentation indices would be expected, which is not the case for our samples ($r = -0.07$, $p = 0.43$). Furthermore, the presence of pteropods~~ Pteropod concentration was always >0, except for 11 samples where they were likely diluted by a high concentration of foraminiferal shells. The persistent presence of aragonite indicates that selective dissolution ~~did~~ could not affect Mg/Ca-ratios, as planktic foraminifera build their shells from calcite, the more dissolution resistant polymorph of calcium carbonate compared to aragonite.

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3.4 Bulk geochemical analyses

Bulk geochemical analyses of the sediment were performed on average every 5 cm with X-ray fluorescence (XRF). Concentrations of manganese (Mn) and vanadium (V) were quantitatively analysed as an indicator for bottom water redox conditions and state of the OMZ. After fusion of the samples with lithium metaborate at 1200 °C for 20 minutes (sample/LiBO₂ = 1/5) samples were measured using Philips PW 2400 and PW 1480 wavelength dispersive spectrometers at the Federal Institute for Geosciences and Natural Resources, Hannover/Germany. Instrumental precision of the results was controlled with certified reference materials (CRM) (i.e., BCR, Community Bureau of Reference, Brussels). The precision for major elements was generally better than ± 0.5 % and better than 5 % for trace elements. To decipher a possible secondary alteration of the geochemical composition, the values were expressed as enrichment relative to the detrital background, or average shale, as expressed by Wedepohl (1971); Wedepohl et al. (1991). Accordingly, it is calculated as the element/Al ratio of the sample divided by the element/Al ratio of average shale. Hence, element/Al ratio and enrichment factor are covarying, with a factor > 1 indicating enrichment and < 1 indicating depletion relative to the detrital background.

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Biogenic opal was determined photometrically after wet alkaline extraction of biogenic silica (BSi) using a modification of the DeMaster method (DeMaster, 1981). About 30 g dry sediment per sample was digested in 40 mL of 1 % sodium carbonate solution (Na_2CO_3) in a shaking bath at 85 °C. After treatment with 0.021 M HCl, the neutralized supernatant was analyzed after 3, 4 and 5 hours and the amount of BSi was estimated from the linear intercept through the time course aliquots. Slope correction was used to prevent an overestimation of BSi by dissolution of clay minerals at low BSi concentrations (Conley, 1998). Biogenic opal was then determined by multiplying the BSi concentrations with a factor of 2.4. Duplicate measurements revealed a mean standard deviation of 0.13 %.

3.5 Spectral analyses

Spectral analyses on the proxy records from planktic foraminiferal transfer functions, Mg/Ca-SST and OMZ intensity with the multi-taper method (MTM; Mann and Lees, 1996) were computed with the SpectraWorks software kSpectra©ver. 3.4.5 and a red noise null hypothesis (Ghil et al., 2002) using the default setting of $p = 2$ and $K = 3$ tapers. A Gaussian band-pass filter was used to reveal the signature of the dominant cycles in the data. After resampling the time series to the average sampling rate, filtering was carried out using the software program AnalySeries ver. 2.0.8 (Paillard et al., 1996). A cross wavelet transform (XWT; Grindsted et al., 2004) of both temperature proxy time series was calculated with the biwavelet package ver. 0.17.10 for R . MTM and XWT analyses were conducted on trend-removed time series interpolated to regular average sample spacings using piecewise cubic polynomial interpolation (function 'pchip' of the signal package ver. 0.7-6 for R). To estimate a linear relationship of the low-frequency signals between the differently spaced time series, a new common time axis was produced where signals were consecutively averaged into 60-year long bins with a 20-year overlap.

4 Results

4.1 Age control

The 1–2.5 cm sample spacing yielded an average temporal sampling distance of ~19 years over the entire interval. ~~We observed two samples where the age-depth relationship is reversed within the lower half~~ The sample spacing is fluctuating between < 10 years in the upper 50 cm of the core (Figure 3). However, the maximum age deviation is lower than the 2σ probability of both dating points, enabling to fit a smooth spline model with continuous deposition rates and continuously increasing ages and close to 50 years in the lowermost 15 cm of the core. The sharp lithofacies change above the studied interval at 56 cm core depth is marked by a sedimentation hiatus of ~3600 years (Table 1). Based on the accumulation rates above and below the unconformity, this corresponds to a thickness of the missing sedimentary sequence of ~1.5 m. The diatomaceous ooze above the unconformity at 56 cm core depth of SL163 showed an excursive increase of the sedimentary water content of < 17 %. One possible reason for ~~this might be that the sedimentation hiatus might thus be gravitational instability due to the~~ high water content of the organic-rich diatomaceous ~~nannofossil-silty clay deposited above the foraminiferal nannofossil ooze led~~

~~to-gravitational instability~~ at the steeply inclined northern Oman margin. ~~Further~~ ~~However,~~ ~~further~~ discussion of this issue ~~is beyond the scope of this study and~~ will be presented elsewhere.

We observed two samples where the age-depth relationship is reversed within the lower half of the core (Figure 3). However, the maximum age deviation is lower than the 2σ probability of both dating points, enabling to fit a smooth spline model with continuous deposition rates and continuously increasing ages. As we did not find any indications of interruptions or other inconsistencies of the sedimentation within the studied interval, we are confident that our age model represents a continuous deposition. Instead, we assume the offset being either the result of changing water mass ages due to changing upwelling intensities, as it was shown for the Peruvian upwelling (Fontugne et al., 2004), or due to mixing by bioturbation.

4.2 PF faunal analyses of core SL163 and paleothermometry

A total of 29 PF morphospecies were identified in core SL163, whereof six species showed a total average abundance of >5% (Figure 4). The overall PF fauna is dominated by *Globigerina bulloides* (39.2%), followed by *Globigerinita glutinata* (11.5%), *Globigerinoides ruber* (11.2%), *Globigerina falconensis* (9.2%), *Globigerinoides sacculifer* (8.3%) and *Globigerinella si-phonifera* (7.4%). Core top studies (Bé and Hutson, 1977; Hutson and Prell, 1980; Prell and Curry, 1981), plankton tow casts (Peeters and Brummer, 2002) and sediment trap studies (Curry et al., 1992; Conan et al., 2002; Conan and Brummer, 2000; Mohan et al., 2006) in the Arabian Sea indicate that *G. bulloides* is the dominant species during upwelling season. Relative abundances of *G. bulloides* were used in a number of studies to express upwelling and ISM intensity (Naidu and Malmgren, 1996; Anderson et al., 2002; Gupta et al., 2005). The high numbers of *G. bulloides* throughout the studied interval of core SL163 suggests highly elevated primary productivity during the early- to mid Holocene summer upwelling at this station.

Modern surface water properties and plankton productivity at the northern Oman margin are dominated by the seasonal upwelling during boreal summer. Because PF assemblages are dominated by species produced during the upwelling season (Curry et al., 1992), we investigated the environmental control on the PF fauna during summer (July to September; J-A-S). RDA results indicate, that summer SST correlates best with the first RDA axis and is the strongest determinant in the explanation of PF assemblages among the investigated parameters (Table 2). Transfer functions were therefore calibrated to summer SST.

The three methods used for determining the factor numbers to retain for the IK transfer function approach suggested a number of $n = 4$ factors. For WA-PLS, a two component model showed best model performance ($r^2 = 0.65$) and lowest cross-validated error estimates (RMSEP = 0.95°C). Performance estimates for both methods are given in Table 3. Statistical significance of the reconstructions was tested using a novel method of random forest reconstructions (Telford and Birks, 2011). The analysis shows that summer SST can be reconstructed from fossil PF assemblages of core SL163 with a high statistical significance (Table 3; Figure 5). Reconstructed summer SST from both techniques (IK and WA-PLS) show a high linearity ($r = 0.82$; $p < 2.2\text{E} - 16$), indicating a low model-specific bias (Kucera et al., 2005). The resulting consensus of reconstructed summer SST from the transfer function techniques ranges between 23.3°C and 25.8°C (Figure 6b). Compared to modern summer (J-A-S) SST (10 m depth interval), which is 25.8°C at this station (Conkright et al., 1998), reconstructed early- to mid Holocene summer SST are thus $<2.5^\circ\text{C}$ colder.

Measured Mg/Ca values range between 3.9 mmol mol⁻¹ and 7.3 mmol mol⁻¹ (Figure 4), corresponding to water temperatures ranging from 19.6°C to 25.7°C. The Mg/Ca value of 6.66 mmol mol⁻¹ of the modern core top sample at this station (MC681) yields SST estimates ($T = 24.85^\circ\text{C}$) close to modern summer SST of the upper 50 m of the water column (WOA1998 = 24.81°C), indicating that the calibration equation of Elderfield and Ganssen (2000) is applicable to the Mg/Ca-temperature dependence of *G. bulloides* at the northern Oman margin. [Error propagation \(Mohtadi et al., 2014\) yields an average propagating 1σ error of 0.89°C.](#) We are aware of a potential bias introduced by a genotype-specific fractionation of Mg/Ca ratios, which was recently shown to occur between a warm-water (type-I) and cool-water (type-II) preferring species of *G. bulloides* in the Arabian Sea (Sadekov et al., 2016). However, the high numbers of *G. bulloides* observed throughout the studied interval of core SL163 suggests intense upwelling conditions and we therefore assume that we mainly sampled specimens of the cool water genotype, Type Iif, that is restricted to upwelling stations of the northern Oman margin (Sadekov et al., 2016).

4.3 Proxies of OMZ conditions and carbonate preservation

Trace-element distributions of manganese (Mn) and vanadium (V) were used as an indicator for bottom water redox conditions and state of the OMZ, following (Tribovillard et al., 2006). ~~The values were expressed as enrichment to average shale (Wedepohl, 1971).~~ V is enriched throughout the studied interval and enrichment relative to average shale ranges from 1.0 to 1.7. With average values of 0.92, Mn is mostly depleted except for four short phases centered at 6.0, 6.3, 7.2 and 8.4 ka B.P. (Figure 6g). The concentration of pteropod fragments ranges from 0 (pteropod-barren) to 5.2×10^3 numbers per gram dry weight and PF fragmentation ranges between 0.1 % and 12.2 % (Le and Shackleton, 1992).

5 Discussion

5.1 Interpretation of the two independent planktic foraminiferal SST proxies

A direct comparison of the Mg/Ca-SST of *G. bulloides* and assemblage-based (consensus of IK and WA-PLS) summer SST reveals no linear relationship ($r = 0.02$, $p = 0.91$), although the binned time series show a weak positive linearity ($r = 0.24$, $p < 0.06$). This indicates that the low frequency signals of both time series are linearly related. Furthermore, the time series of both proxy records reveal a common trend of increasing temperatures over the record (Figure 6a-b) and are coherent on a wide range of frequencies. Significant (>95% confidence) coherence was found on ~1300, ~110 and ~60 year periods, as well as on ~150, ~90 and ~40 year periods (>99% confidence). Both SST records are thus in good general agreement, but Mg/Ca SST are on average 2.3°C colder. This relative offset of the SST reconstructions might be attributed to timing differences during the recording of the respective proxy signal. *G. bulloides* is interpreted to carry the upwelling signal during peak production of this species (Peeters et al., 2002; Anand et al., 2008). Highest flux rates of *G. bulloides* are found from May to October, but with a clear maximum from late July to September (Curry et al., 1992; Conan and Brummer, 2000; Peeters et al., 2002). Furthermore, several studies in the Arabian Sea (Peeters et al., 2002; Schiebel et al., 2004; Friedrich et al., 2012) and in the Java

upwelling region (Mohtadi et al., 2011) indicate that *G. bulloides* thrives in coastal upwelling areas mostly within the mixed-layer and upper thermocline waters in the uppermost 50–60 m of the water column. Assemblage-based SST reconstructions are calibrated to the 10 m depth level of summer temperatures. We therefore interpret Mg/Ca values of *G. bulloides* to represent calcification temperatures of mixed-layer and upper thermocline waters during peak production of the late summer upwelling and assemblage-based SST reconstructions to represent a shallower SST average from July to September.

5.2 Evidence for early- to mid Holocene monsoon variability

The range of reconstructed water temperatures of ~~6.1°C~~ 6.16°C and a mean absolute deviation of 0.72°C from Mg/Ca measurements of *G. bulloides* (upwelling SST) suggests that upwelling temperatures at the northern Oman Margin fluctuated strongly and rapidly during the relatively short interval of the early- to mid Holocene covered by SL163. The amplitude of temperature fluctuations recorded by *G. bulloides* is approximately twice as high compared to oxygen isotope temperatures of the same species at the Somali Margin during the early Holocene (Jung et al., 2002). Records from the Arabian Sea revealed, that strongest ISM conditions occurred during the early Holocene around 8–11 ka B.P. (Staubwasser et al., 2002; Gupta et al., 2003; Thamban et al., 2007), followed by a gradual weakening around 7 ka B.P., which is concomitant with the time period of relatively warm assemblage-based SST (Figure 7). However, the overall trend in our record is not consistent and rather indicates that gradual weakening of ISM conditions established as early as 8 ka B.P. Consistently low SST suggest upwelling and ISM intensity was strongest during the intervals ~7.5–8.1 ka, followed by events of strong ISM at ~7.0–7.3 and 6.1 ka B.P. (Figure 6a). Weaker ISM conditions are reflected by warmer-than-average SST values at 6, 6.5–6.9, 7.4 and 8.2 ka B.P. ~~Stalagmite~~ Other paleoceanographic records from the Arabian Sea (Figure 7c-d) and stalagmite records from the nearby Hoti and Qunf caves (Figure 6i-j) indicate low monsoonal precipitation at 6.3, 7.4 and 8.3 ka BP (Fleitmann et al., 2007; Neff et al., 2001), suggesting that summer monsoonal winds (reflected by low summer/upwelling SST) and amount of precipitation are in-phase during the early- to mid-Holocene. This is an interesting finding, as Fleitmann et al. (2004) show an apparent decoupling of ISM precipitation amount and wind intensity (represented by percentage of *G. bulloides*) since the year ~1900 AD, indicating that higher upwelling intensities do not necessarily involve increasing precipitation. Moreover, the most noticeable events of weak ISM conditions are in concordance with advection of North Atlantic drift ice from Bond et al. (2001) (Figure 7e), centered at ~5.9 (Bond-event 4), ~7.4 (Bond-event 5) and ~8.2 ka B.P. The observation of a contemporaneous occurrence of North Atlantic cold spells and weak Asian monsoon was previously found in speleothem (Dykoski et al., 2005; Fleitmann et al., 2007; Liu et al., 2013), lake (Menzel et al., 2014) and other marine records (Gupta et al., 2003). Thus, our finding is further evidence corroborating the presence of an atmospheric teleconnection linking North Atlantic climate to summer monsoonal conditions in tropical Asia during the early- to mid Holocene. However, in order to significantly assess millennial-scale cycles in the upwelling SST off the Oman margin, further studies are needed, as the time span ~2.5 ka covered by our record is likely too short.

MTM analysis revealed statistically significant periodicities of assemblage-based summer SST at ~1300 and 75–95 years per cycle (Figure 8a). Mg/Ca-based upwelling SST are modulated on frequencies at 110–130, 80–90 and ~40 years (Figure 8b). The longer ~110–130 year cycle was previously found in a number of records from the Asian monsoon realm (Berger

and von Rad, 2002; Dykoski et al., 2005; Gupta et al., 2005) and is close to the 132-year ~~sunspot-cycle-sub-harmonic of the Hale cycle (Attolini et al., 1990)~~ previously identified to be modulating the Oman upwelling system during the Holocene (Gupta et al., 2005). The ~80–90-year cycle has been observed in several studies of ISM variability (Neff et al., 2001; Fleitmann et al., 2003; Dykoski et al., 2005; Gupta et al., 2005) and was interpreted to be most likely influenced by the 88-
5 year solar Gleissberg cycle. ~~We~~ Turner et al. (2016) found, however, that periodicities within the range of the Gleissberg cycle are also common in random-walk simulations and could be a statistical artefact from the sampling resolution and the age model applied. In fact, the overall error of the reservoir correction of ± 31 years is close to the observed periodicities, which might give an indication why we found the Gleissberg cycle but not the longer-period (~200–210 years) de Vries solar cycle. However, Duan et al. (2014) showed with a speleothem record from Dongge cave (China), that coherency of East Asian
10 monsoon precipitation and the solar Gleissberg cycle is persistent over the last 4 ka, whereas coherency with the de Vries cycle was only observed over a short 1 ka long period. Other Holocene Asian monsoon records also showed periodicities similar to the Gleissberg cycle, while lacking the longer de Vries cycle (e.g., Wang et al., 1999; Sagawa et al., 2014). It could thus be hypothesized that the de Vries cycle is not detectable in our record, due to a transient link of the solar-monsoon relationship, potentially suppressed by changes in the ocean-atmosphere system in the ENSO domain (Berkelhammer et al., 2010). We
15 therefore tested a possible solar component on the decadal-scale forcing of our SST records by evaluating the coherence of both time series with the record of reconstructed sunspot numbers (Solanki et al., 2004). The coherence pattern reveals, that both SST records and sunspot numbers are coherent on a wide range of periodicities (630, 190–230, 160, 110–130, 80–90, ~70, ~50 and ~40- years per cycle, ~~Fig. 6d~~ Figure 8d and e). The Mg/Ca-based SST record shows strongest coherency with cycles of the sunspot record on both, the ~88-year Gleissberg and the ~190–230-year de Vries periodicities. This observation
20 further strengthens the hypothesis, that ISM variability is not only controlled by orbital-scale insolation forcing, indicated by the long-term trend of warming temperatures and decreasing ISM intensity, but also by solar forcing.

To illustrate the signature of the observed cycles in the data, band-pass filter outputs of both SST records are shown in Figure 9. Apparently, amplitude modulations of the filter outputs of both SST time series run largely synchronous. ~~Comparison of the amplitude variations of the band-pass filtered assemblage-based summer SST time series with mean summer irradiance at 30°N indicates that phases of largest amplitude fluctuations of summer SST are apparently lagging solar irradiance by ~200 years~~ On the ~132-year bandwidth, amplitude modulations of the Mg/Ca-based upwelling SST and TSI are offset by a lag of ~400 years. A direct forcing on this bandwidth seems unlikely, as modelling studies indicate a response of surface temperatures to solar forcing within less than 50 years (Seidenglanz et al., 2012). However, ~~regardless of the exact correlation of both signals, amplitude modulation of upwelling SST in the ~85- and ~120~~ within the limits of our age model, comparison of the amplitude
30 variations of the filter outputs from both SST time series and the total solar irradiance (TSI) record of Steinhilber et al. (2012), filtered on the ~85-year bandwidth are in-phase with amplitude modulation of solar irradiance. Gleissberg bandwidth, apparently indicates synchrony of our SST records and TSI with maximum amplitude modulations of all three records centered at ~7.4 ka B.P. Our new high-resolution record of summer/upwelling SST therefore provides further strong evidence that solar forcing was persistently modulating ISM variability during the early- to mid Holocene after the summer insolation maximum.

[previously found from speleothem \(Neff et al., 2001; Burns et al., 2002; Fleitmann et al., 2003; Dykoski et al., 2005; Wang et al., 2005; D](#)
[marine records \(von Rad et al., 1999; Wang et al., 1999; Gupta et al., 2005\).](#)

5.3 Carbonate preservation and bottom water redox conditions

In order to study the interplay of ISM intensity and OMZ fluctuations, we compared our new multi-proxy reconstruction of
5 summer SST to OMZ reconstructions of Böll (2014). This study previously evaluated ISM intensity and OMZ conditions
during the deposition of SL163 in a spatial context using alkenone-derived annual mean SST, stable nitrogen isotopes and
published OMZ reconstructions, concluding that changes of OMZ intensity were linked to variability of intermediate water
ventilation and monsoon strength. Our new multi-proxy records primarily responding to summer upwelling conditions enables
to study the interplay of ISM intensity and OMZ fluctuations during the early- to mid Holocene in more detail. Under oxic
10 bottom-water conditions, Mn is precipitated as Mn oxy-hydroxides. Under suboxic or hypoxic bottom-water conditions, MnO
can be reduced to soluble Mn^{2+} and escape into the water column (Calvert and Pedersen, 1993; Calvert et al., 1996; Schnetger
et al., 2000; Böning et al., 2004). Mn is mostly depleted in core SL163, indicating Mn-loss through the OMZ, except for three
short intervals of Mn enrichment centered at ~6.0, 6.3 and 7.2 ka B.P., as well as from 8.3 ka B.P. to the end of the record
(Figure 6g). However, precipitation and fixation of Mn^{2+} in the sediment as $MnCO_3$ can occur when bottom-waters become
15 completely anoxic (Tribovillard et al., 2006; McKay et al., 2015). This finding corroborates the study of Böll (2014), who found
increased denitrification indicating more intense OMZ conditions during the intervals 5.9, 6.1, 6.4 and 7.2 ka B.P. (based on
the same age model). V is precipitated under anoxic conditions (Emerson and Husted, 1991) and constant enrichment values
between 1.0 and 1.7 relative to average shale also indicate permanent anoxic bottom water conditions during the deposition of
core SL163.

20 Carbonate preservation was assessed by PF fragmentation indices and the abundance of pteropod fragments in the sieve
fraction >150 μ m. Pteropod shells primarily consist of aragonite, the less stable polymorph of calcium carbonate as compared
to calcite (Morse et al., 1980). In the northern Arabian Sea, aragonite has a modern compensation depth (ACD) of ~500 m
(Berger, 1978b; Böning and Bard, 2009) and a lysocline at ~1 km water depth (Böning and Bard, 2009), thus approximately
350 m below the station of core SL163. However, in high-productivity environments supra-lysocline dissolution can occur well
25 above this depth, induced by respiration of organic matter and metabolic release of CO_2 (e.g., Milliman et al., 1999). Thus,
enhanced pteropod preservation indicates either ACD deepening (Reichart et al., 2002) or less supra-lysocline dissolution
due to decreased production of organic matter. Phases of increased concentrations of pteropod fragments are linked to the
short intervals of slightly enriched Mn values (Figure 6f-g). This indicates short phases of less corrosive bottom waters due to
potentially weaker OMZ conditions. Furthermore, the variability of Mn/Al values (expressed as Mn enrichment) is modulated
30 on dominant ~170- and ~310-year cycles (Figure 8c), which is approximately half (170) and one fourth (340) of the ~80–90-
year frequency of the Gleissberg cycle. This suggests that bottom water oxygenation state is modulated on the same frequencies
as SST variability and upwelling intensity.

The intervals of increased pteropod concentration and lower OMZ intensity at ~6, 6.3, 7.2 and 8.3–8.5 ka B.P. are linked to
intervals of low biogenic opal and thus occurred when surface water productivity was diminished. The former three of these

intervals also correspond to low abundances of the upwelling indicator species *G. bulloides* (Figure 6d), but during the latter interval at 8.3–8.5 ka B.P. *G. bulloides* abundances are high (>45%). In addition, Mg/Ca measurements of *G. bulloides* at 8.3 ka B.P. are >7 mmol mol⁻¹ and inferred SST are >25.5°C. A similar feature of a very short warm excursion of Mg/Ca temperatures from *G. bulloides* with concomitantly high abundances of this species around 8 ka B.P. was observed by Anand et al. (2008) in their record from the Somali upwelling system. It may be speculated here whether a potential intrusion of the warm water genotype of *G. bulloides* (Sadekov et al., 2016) during the short-lived period around 8.3 ka B.P. had occurred, leading to erroneously warm Mg/Ca temperature estimates during this period.

Regardless of the apparent difference of absolute temperatures, [wavelet analysis and](#) cross wavelet transform (XWT) reveals three distinct intervals, two in the ~40–90-year band (6–6.2, 7.2–7.6 ka B.P.) and one in the ~110–130-year band (8.1–8.4 ka B.P.), where both records show significant common power (Figure 10). The intervals correspond well to the phases of enhanced pteropod preservation and lower OMZ intensity. The cross wavelet phase angle of XWT further indicates that both SST records are phase-shifted during these intervals. The arrows in Figure 10 within the significant areas are pointing mostly upwards, which indicates either that assemblage-based SST are leading Mg/Ca-SST by $\pi/2$, i.e. 90°, or that assemblage-based SST are lagging Mg/Ca-SST by $3\pi/2$, i.e. 270°. Although these phases are relatively short-lived and both scenarios seem reasonable from the visual comparison of the two SST time series, a systematic lead of faunal SST reconstructions compared to alkenone-derived SST was previously found by Cayre and Bard (1999) in a study from the eastern Arabian Sea. However, their study observed a delay of several ka, which was potentially produced by strong productivity changes (Bard, 2001). It should be noted that the phase relationships at the longer wavelength could be erroneous, as the behaviour is not consistent and partly truncated by edge effects below the cone of influence.

Several studies in the Arabian Sea indicate an alternating influence of different water masses during the deglacial and early Holocene (Zahn and Pedersen, 1991; Jung et al., 2001; Schmiedl and Leuschner, 2005; Gupta et al., 2008; Jung et al., 2009). The site of core SL163 is within the modern range of intermediate water masses, that form a mixture of RSW, PGW and IOCW down to ~1500 m water depth (Emery and Meincke, 1986; Shetye et al., 1994). During the last glacial stage, the outflow from the two mediterranean basins connected to the Arabian Sea (Red Sea and Persian Gulf) was highly suppressed due to lowering of the global sea level potentially close to or even below the respective sill depths (Rohling and Zachariasse, 1996). Gupta et al. (2008) discussed that ventilation changes at the northern Oman Margin during the early Holocene are distant to RSW and more likely attributed to an alternating contribution of NADW to the deeper water masses. In comparison to their study site, our core site is further north, close to the modern Ras-al-Hadd frontal zone, where PGW enters the Arabian Sea. At the station of SL163, modern PGW reaches down to 400 m (Figure 1). During phases of more restricted water exchange and increased evaporation, subsurface salinities in the Gulf might have been higher, which could have enabled PGW to reach the core site at deeper depth. However, the modern oxygen input of PGW is very low and OMZ conditions at this depth are still fully hypoxic. Instead, the concomitant occurrence of high common cross wavelet power of the SST records (Figure 10) with less corrosive bottom waters indicates that deep water conditions are linked to SST variations and atmospheric forcing was intimately linked to the deep water properties.

6 Conclusions

Among the environmental variables investigated, summer SST is primarily controlling the underlying variability of PF assemblages from the NW Arabian Sea. Statistically significant reconstructions of summer SST from PF assemblages and Mg/Ca-SST from *G. bulloides* are colder than present summer temperatures, indicating vigorous monsoonal winds and upwelling intensity during the early- to mid Holocene. ISM conditions were generally strongest around 8 ka B.P. and gradually decreased towards 5.8 ka B.P. The trend of weakening monsoon conditions was interrupted by decadal-scale episodes of intensified ISM around ~7 and 6.2 ka B.P., which is concomitant to pluvial episodes indicated by the Oman speleothem records. Summer SST fluctuations furthermore reveal, that upwelling intensity was coupled to decadal- to centennial-scale sunspot cycles.

Low monsoonal conditions, indicated by warm upwelling and summer SST, as well as low surface water productivity, are associated with enhanced pteropod preservation and weaker OMZ conditions. Enrichment of manganese as a proxy for bottom-water oxygenation varies on multiples of the dominant ~80–90-year Gleissberg cycle, suggesting that upwelling intensity and OMZ conditions are modulated by solar activity. We therefore conclude that, instead of intermediate water mass changes, atmospheric forcing is the main driver of OMZ conditions.

7 Data availability

The data presented in this manuscript will be made available electronically at the PANGAEA Data Publisher for Earth & Environmental Science (www.pangaea.de).

Competing interests. The authors declare that they have no conflict of interest.

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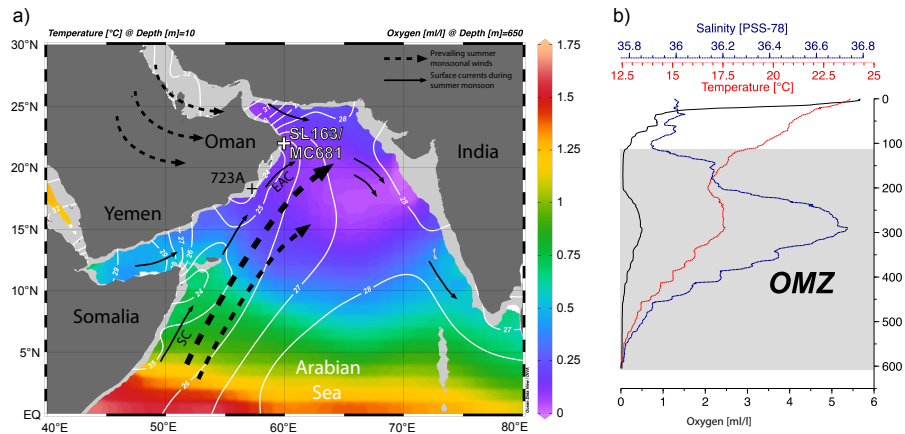


Figure 1. Map of the Arabian Sea, showing the location of gravity core SL163 and multicorer MC681 (white cross) at the northern Oman Margin ($21^{\circ}55.97'N$; $059^{\circ}48.15'E$) in 650 m water depth, as well as ODP core 723A (black cross) studied by Gupta et al. (2005). Black arrows show the schematic pathways of major currents (solid lines; SC is Somali Current, EAC is East Arabian Current) and near-surface winds (stippled lines) during summer monsoon. White contour lines refer to the summer (July to September) sea surface temperature (SST) in 10 m water depth. Color shading indicates oxygen concentration (ml/l) in 650 m water depth, indicating a strong oxygen deficiency in the modern depth of the sampled sediment core. SST (Locarnini et al., 2010) and oxygen conc. (Garcia et al., 2010) are from World Ocean Atlas 2009. Inset figure shows the depth profile of the CTD data measured during cruise M74/1b at the station of core SL163. Grey shading refers to the location of the modern oxygen minimum zone (OMZ). A subsurface salinity maximum in 200–400 m water depth indicates the location of Persian Gulf Water (PGW). Map was created using the software ODV ver. 4.7.4 (Schlitzer, 2015).

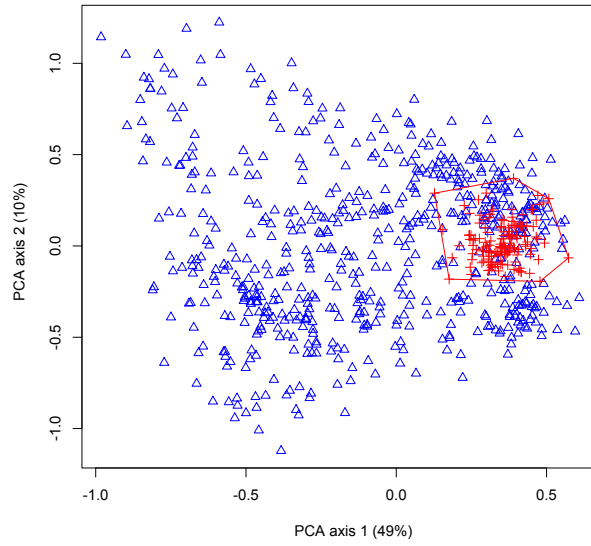


Figure 2. Scatterplot of the joint principal component analysis (PCA) of $n = 603$ modern calibration samples (blue triangles) and fossil downcore samples of SL163 (red crosses). The variance of the first and second PCA axis is indicated. $N = 96$ modern samples within the red polygon are most similar to the fossil samples along the first and second PCA axis, and were used for the calibration of transfer functions.

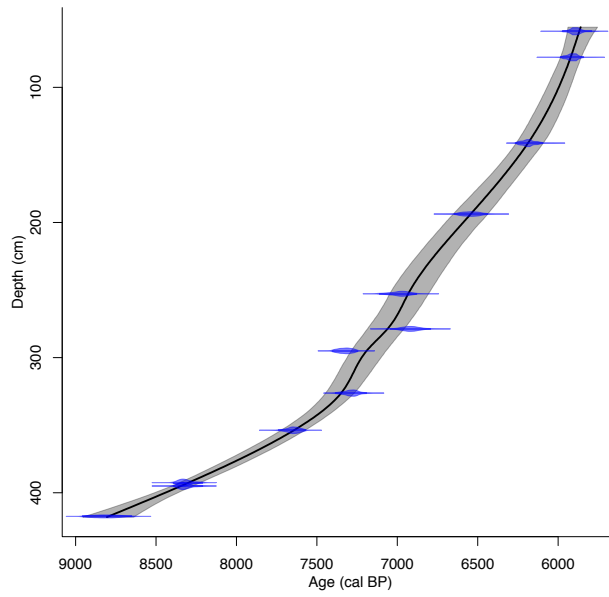


Figure 3. Age-depth relationship of the early- to mid-Holocene section of core SL163 (55–400 cm). Blue areas indicate the conventional ^{14}C calibrated ages, the black line indicates the interpolation between the dated samples using a smooth spline fit and the 95 % confidence level (grey shading).

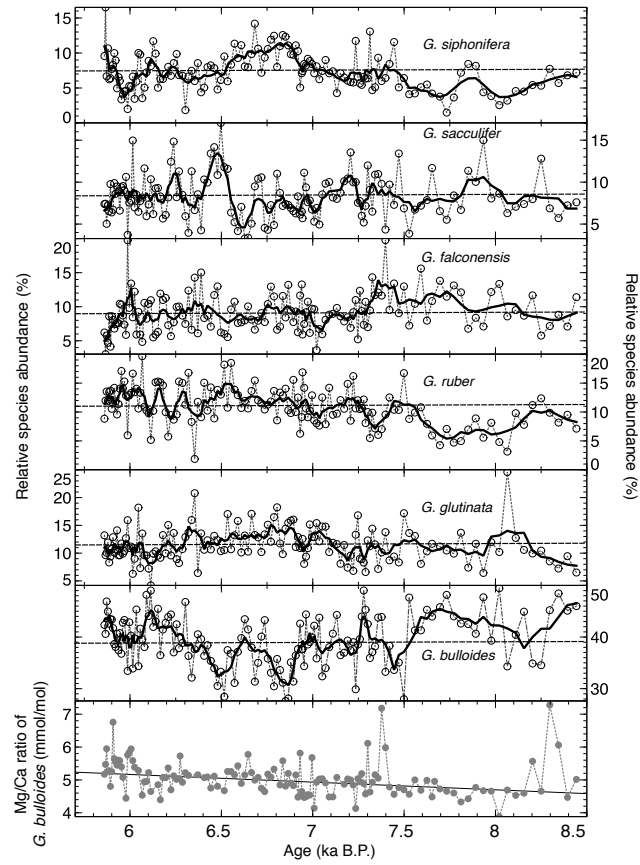


Figure 4. Time series of the six most common planktic foraminiferal species (>5% average abundance) and Mg/Ca measurements of *G. bulloides* from the studied interval in core SL163. Dashed lines are the real data, thick solid lines give the 3-pt running average.

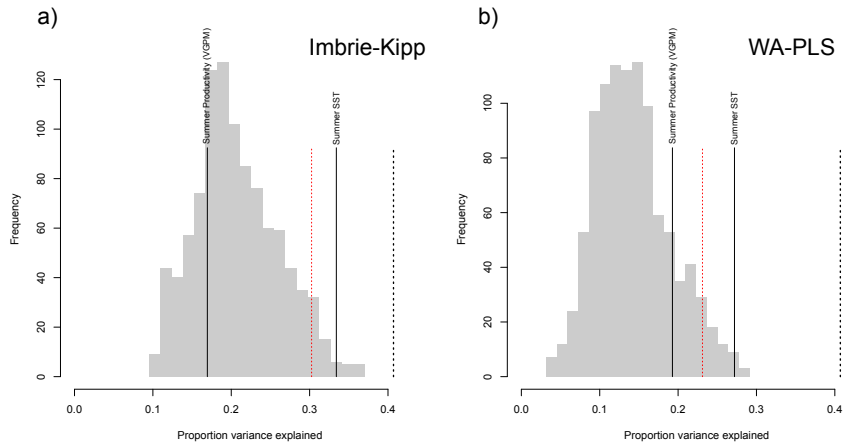


Figure 5. Results of the significance test of assemblage-based paleoenvironmental reconstructions using the R package *palaeoSig* (Telford, 2015). The null distribution is produced by generating 999 random environmental variables and reconstruct these variables from PF assemblages of core SL163 using (a) the Imbrie-and-Kipp method (IK) and (b) the weighted averaging partial least squares (WA-PLS) method. Grey shaded histograms indicate the amount of variance of the fossil data explained by the 999 random environmental variables, whereas the black vertical lines indicate the variance explained by the reconstruction of summer productivity (VGPM) and summer SST with the respective method. The red dashed line represents the 95th percentile of the null distribution, the black dashed line the variance explained by the first RDA axis. Thus, the proportion of variance explained by the IK method for the reconstruction of summer SST from the fossil dataset is higher than 95 % ($p = 0.015$) of reconstructions of 999 random environmental variables. The summer SST reconstruction from the WA-PLS method is higher than 99 % of the random reconstructions ($p = 0.007$). The VGPM-based reconstruction of summer surface primary productivity is not significant (IK: $p = 0.76$; WA-PLS: $p = 0.16$).

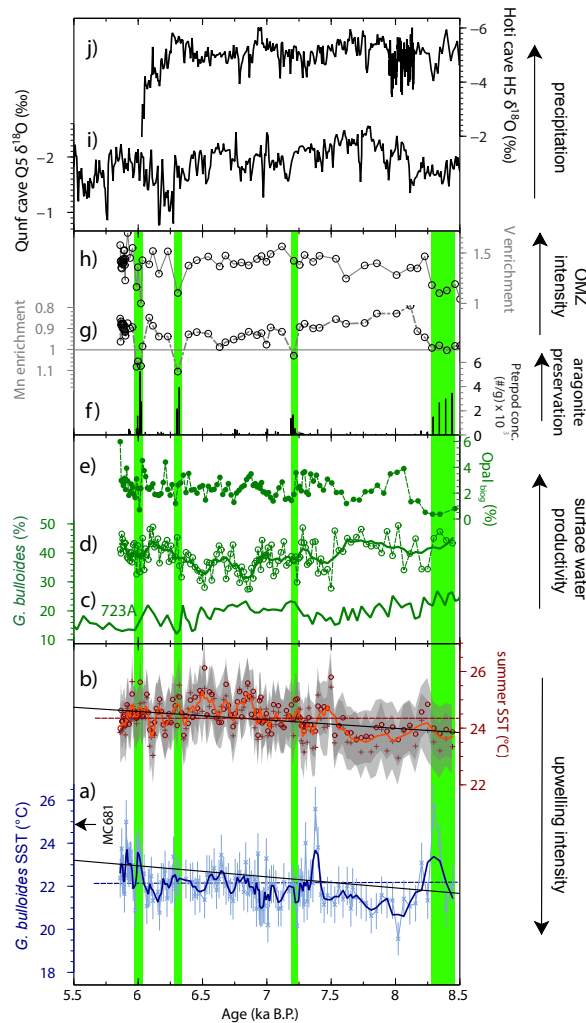


Figure 6. Time series of reconstructed SST from **(a)** the Mg/Ca ratio of *G. bulloides* (blue) indicating upwelling SST and **(b)** the consensus from the assemblage-based transfer function methods, IK and WA-PLS (red) indicating average summer SST (July–September). Thin lines represent real data, thick dashed lines the 3-pt running averages. Relative abundance of *G. bulloides* from ODP site 723A from Gupta et al. (2005) **(c)** and SL163 **(d)**, together with biogenic opal content **(e)**, indicates phases of increased surface water productivity. Aragonite preservation is indicated by the concentration of pteropod fragments **(f)**, bottom-water oxygen conditions and state of the oxygen minimum zone (OMZ) is shown with relative enrichment or depletion of manganese **(g)** and vanadium **(h)**. Note the inverse relationship of both elements, as explained in the text. Stable oxygen isotopes of **(i)** Quinf cave (Fleitmann et al., 2007) and **(j)** Hoti cave stalagmites (Neff et al., 2001) expressing precipitation in Oman. Vertical solid green bars indicate periods of low biogenic opal content, concomitant with decreased OMZ intensity and increased aragonite preservation. Error bars for **(a)** were calculated by propagating the errors introduced by the Mg/Ca measurements and the Mg/Ca-temperature calibration (Gaussian error propagation, see Mohtadi et al. (2014)). Grey shading gives

the uncertainty estimates for the transfer functions in (b), based on the sample specific root mean square error of prediction (RMSEP) using bootstrapping cross-validation.

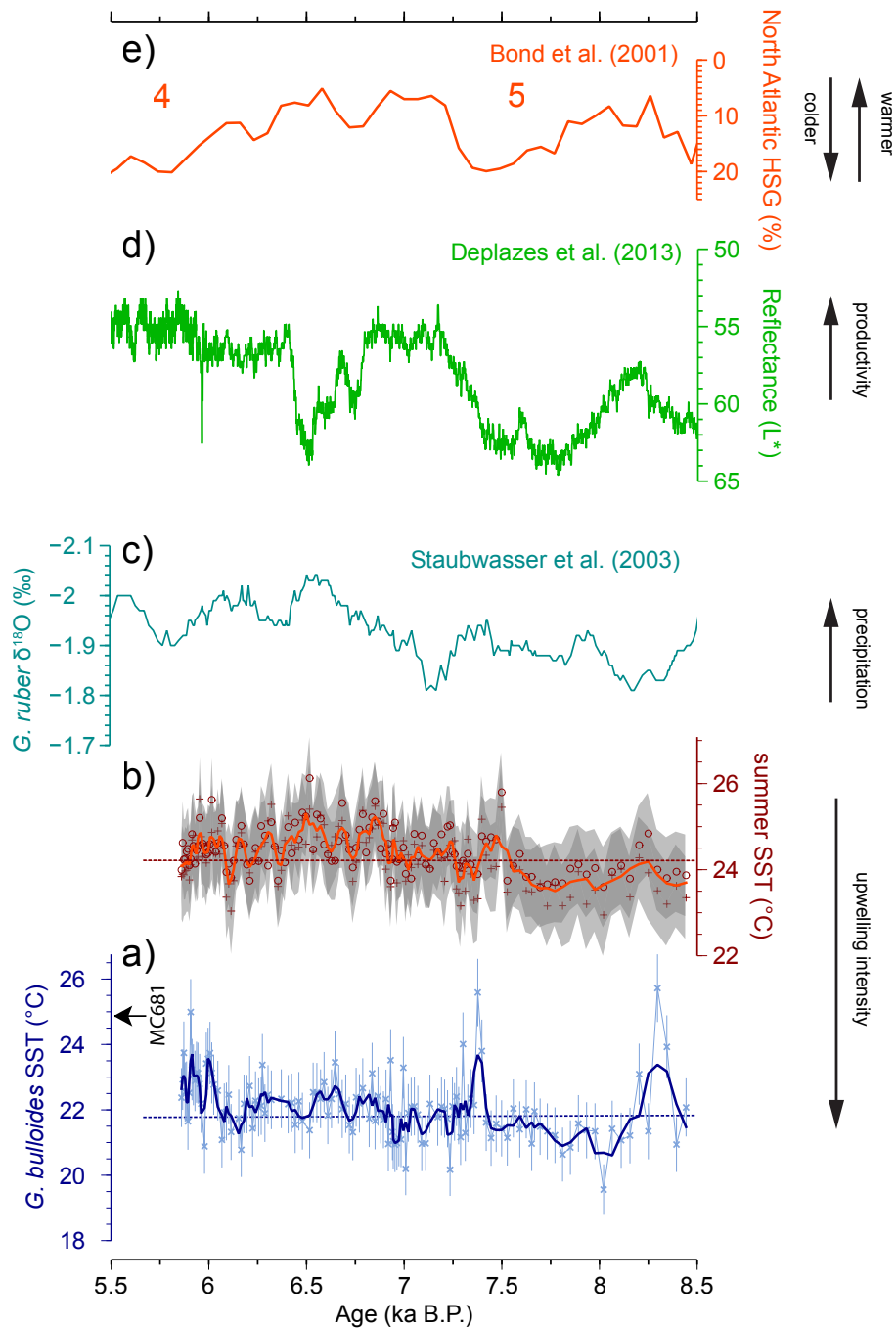


Figure 7. Comparison of SST time series from (a) the Mg/Ca ratio of *G. bulloides* and (b) assemblage-based transfer functions with (c) $\delta^{18}O$ ratio (‰) of *G. ruber* from core 63KA (Staubwasser et al., 2003) and (d) the colour reflectance (L*) of core SO130-289KL (Deplazes et al., 2013) from the Pakistan margin indicative of precipitation and productivity associated with ISM intensity. (e) Hematite stained grains (HSG %) indicate ice rafting events and cold spells in the North Atlantic (Bond et al., 2001). Bond events 4 (~5.9 ka B.P.) and 5 (~7.4 ka B.P.) are indicated.

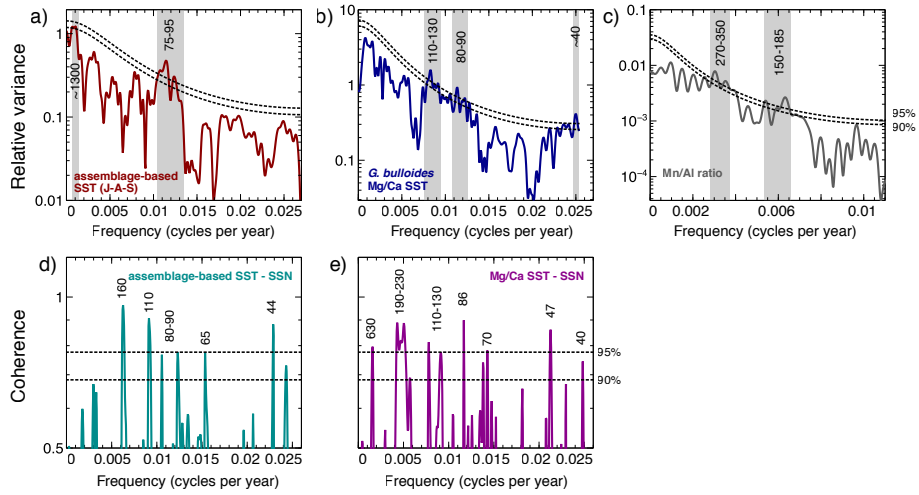


Figure 8. Results of the MTM spectral analysis show the frequency distribution and identified significant periodicities of the (a) assemblage-based SST record, (b) the SST record using Mg/Ca ratios of *G. bulloides* and (c) the Mn/Al ratio as a proxy for OMZ conditions. Black lines indicate 90 % and 95 % significance levels against red noise. Cross-spectral coherence of both SST records (d and e) with the record of sunspot numbers (Solanki et al., 2004) indicates that both records significantly covary on a wide range of frequencies. All time series were trend-removed prior to the analyses.

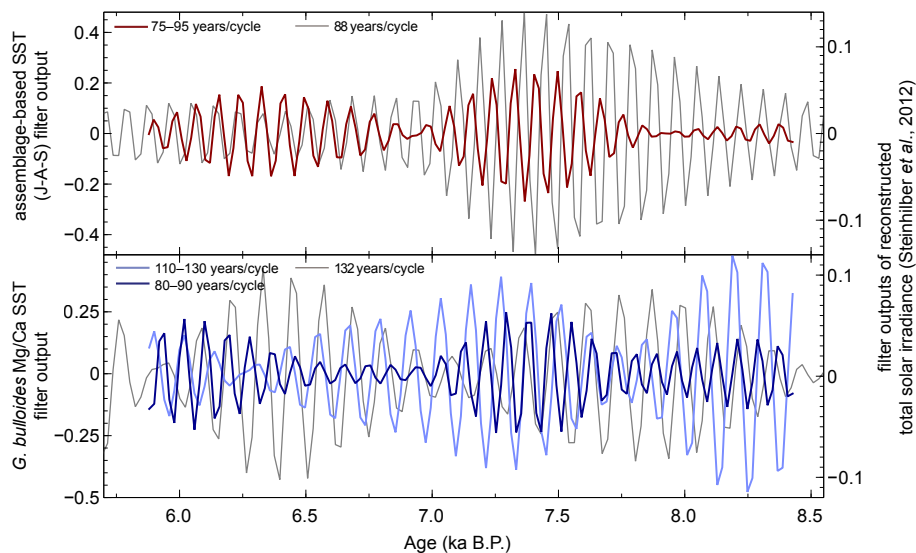


Figure 9. Comparison of filtered assemblage-based SST (red line, upper panel) and *G. bulloides* Mg/Ca SST (blue lines, lower panel) with ~~the mean summer~~ filtered reconstructed total solar irradiance (TSI) from Steinhilber et al. (2012) (grey lines), 88 years per cycle in the upper panel and 132 years per cycle in the lower panel. Filtered components were extracted using a Gaussian band-pass filter with a central frequency of $0.0118 \text{ cycles year}^{-1}$ ($\sim 85 \text{ years cycle}$) and $0.0083 \text{ cycles year}^{-1}$ ($\sim 120\text{-years cycle}$), respectively, and a bandwidth of $0.001 \text{ cycles year}^{-1}$. ~~Solar irradiance was computed using the Laskar 2004 solution and a solar constant of 1365 W m^{-2} with the program AnalySeries 2.0.8 (Paillard et al., 1996).~~

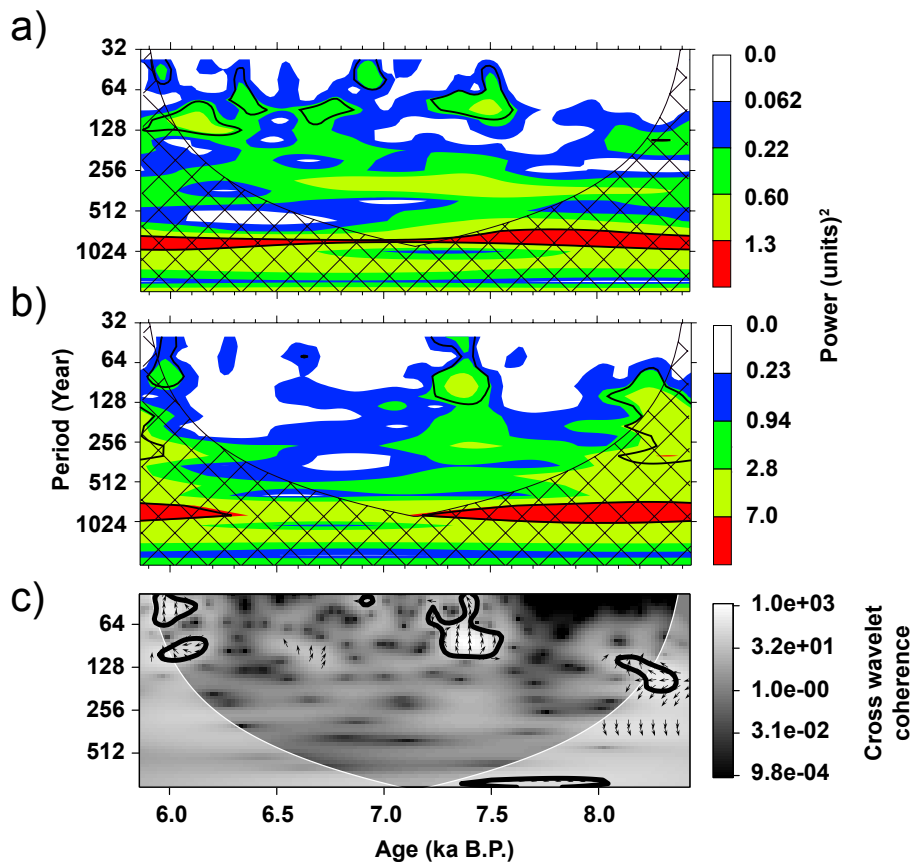


Figure 10. Continuous wavelet transform of (a) assemblage-based summer SST and (b) Mg/Ca-based upwelling SST. The 10 % significance level against red noise is shown as black contour lines. (c) Cross wavelet transform (XWT) of the trend-removed Mg/Ca-based and assemblage-based SST time series. The 5 % significance level is shown as thick contours. Relative phasing of both records is indicated by arrows. Arrows within the significant intervals pointing mostly upwards, which indicates a consistent phase-shift of both records by 90° or 270° , respectively, of assemblage-based SST relative to Mg/Ca-based SST. The cone of influence, where edge artefacts might be introduced, is indicated by hatching and white shading, respectively.

Table 1. Samples for radiocarbon dating with the organic compound used, dating results and calibrated ages using the MARINE13 calibration curve (Reimer et al., 2013) and a reservoir correction of $\Delta R = 231 \pm 31$ years. Analyses were conducted at Beta Analytics in Miami/FL, U.S.A. (Beta) and the Leibniz Laboratory in Kiel, Germany (KIA).

Lab Code	Core	Sample Type	Depth (cm)	Conventional ¹⁴ C age (years)	Error \pm	$\delta^{13}\text{C}$ (‰)	cal. min (95%)	cal. max (95%)
Beta342813	MC681	mixed PF	1	650	30	-2.4		
Beta342812	MC681	bulk org.	1	630	30	-20.4		
Beta346603	SL163	bulk org.	52.5	2100	30	-19.4	1329	1505
Beta346604	SL163	bulk org.	58.5	5740	30	-19.3	5788	5973
KIA47119	SL163	<i>N. dutertrei</i>	77.75	5760	30	+0.24	5841	5987
Beta319751	SL163	<i>N. dutertrei</i>	141.25	5990	30	-0.5	6095	6266
Beta319752	SL163	<i>N. dutertrei</i>	193.75	6350	40	+0.5	6436	6646
KIA47120	SL163	<i>N. dutertrei</i>	252.75	6715	35	+0.48	6878	7114
Beta319753	SL163	<i>N. dutertrei</i>	278.75	6670	40	+0.8	6790	7043
Beta319754	SL163	<i>N. dutertrei</i>	295.0	7030	40	+0.3	7246	7407
Beta319755	SL163	<i>N. dutertrei</i>	326.25	6990	40	+0.4	7193	7386
KIA47121	SL163	<i>N. dutertrei</i>	353.75	7420	40	+1.59	7568	7737
KIA47122	SL163	<i>N. dutertrei</i>	392.5	8090	40	+1.42	8211	8396
Beta319756	SL163	<i>N. dutertrei</i>	395.0	8090	40	+0.8	8211	8396
Beta342816	SL163	<i>N. dutertrei</i>	417.5	8500	40	-0.4	8647	8957

mixed PF=mixed planktic foraminifera; bulk org.=bulk organic fraction

Table 2. Results of the redundancy analysis of the adjusted calibration dataset. Highest correlation with RDA1 axis and highest explanation of the PF assemblage variance is shown by temperature (bold numbers).

	RDA1	RDA2	RDA3		
Eigenvalue	1.763	0.185	0.097		
Cumulative proportion of variance explained (%)	35.9	39.6	41.6	Captured variance of species data	Proportion (%)
Correlation					
Temperature	-0.93	-0.07	0.15	1.55	31.5
Salinity	-0.87	0.47	-0.05	0.24	5.0
Eppley-VGPM	0.33	0.75	0.26	0.19	4.0
uCbPM	-0.02	-0.65	0.32	0.07	1.5
Chlorophyll α	0.39	0.36	-0.18	0.05	1.0

VGPM=Vertical Generalized Production Models; uCbPM=updated Carbon-based Production Model.

Table 3. Cross-validated root mean squared error of prediction (RMSEP) as absolute values and relative to the range of the target variable, as well as the coefficient of determination. The results of the significance analysis after Telford and Birks (2011) from both transfer function methods are given, p indicates the statistical significance against the null distribution of 999 random reconstructions.

	RMSEP	RMSEP (% of target range)	R^2	SST $p =$	Eppley-VGPM $p =$
Imbrie-Kipp	0.92	15.72	0.67	0.015	0.758
WA-PLS	0.95	16.20	0.65	0.007	0.160

WA-PLS=weighted averaging partial least squares method.