

Reply to Editor:

We have looked at the individual data points. Please see the new discussion in Section 3.3 of the manuscript and the response to point 8 in Dan Lunt's review. Thanks for reminding us of the Prescott et al work which provides a useful indication of the limitation of the "time slab" approach, and further supports the use of large scale averages (since the temperature variation is reduced at larger spatial scales).

Please find the manuscript diff file below the responses to the reviewers.

Dowsett:

Reference now included (Sect 3.3). We have looked at the pointwise data but can say little more than that they are incompatible with the models.

Ref#1:

(1,2,3) The choices made in the regression model are discussed more fully in Section 2, and we now also include the regression with zero constant term, which is shown in Fig 3. Now we are using SST, the agreement between models and data is slightly improved.

(4) We agree the data are problematic. We are not in a position to derive uncertainties ourselves as part of this research, but hope that this work will provide additional motivation for progress towards this in the future.

We have attended to all the minor comments and a number of changes have been made to the text:

13 Charney sensitivity is quite widely used in the literature (often in contrast to Earth System sensitivity) and we think it's worth clarifying that this is what we are using exclusively in the paper. We have changed to ECS in multiple places.

24,30,33,52 text amended.

91 Possibly true, but as this does not influence our analysis, no change made.

98 Responded to in author's comment. No change made.

255 (109) Text in Section 4.3 has been changed to reflect this concern.

124 (112,200) comment and reference added.

133 correlation values more fully stated.

139 Tropics is now stated as 30s-30N.

217-223 this was a bit garbled in the original and has been rewritten.

256. Responded to in author's comment. No change made.

257. Assuming I have found the correct papers, they seem to relate to the forcing only, and do not include the paleoclimate context (and one is actually a later reference). No change made.

Lunt:

(main comment and specific comment 1) We have changed the abstract to emphasise the uncertainties more clearly, and also to briefly introduce the methodology

(2,3) done

(5) discussed more clearly in the text.

(6) additional discussion and explanation, and reference added

(7) CMIP6 has rejected the idea of long equilibrium 2xCO₂, so PlioMIP is probably best advised to be compatible with them, though this isn't really our business.

(8) We've looked at the data and added a discussion of our analysis to section 3.3. The position of the points where we have an estimate of the annual mean temperature anomaly from the data have been added to the map in Figure 1. Taking the data on a point by point basis we can't really form any conclusions at all (other than that the data is incompatible with the models and not infrequently with itself). However, our previous research emphasises the benefits - and even necessity - of using large scale averaging for useful model data comparison, as even if the data are good, it's been repeatedly shown that models cannot represent small scale patterns accurately.

You stated, "The gridded data used in this paper for evaluation was completely made-up in locations which are far from the raw data (no offence to PRISM!)." However, from reading the description in Haywood et al. (GMD, Expt1, 2010) and also Dowsett et al it seems to me that the PRISM SST anomaly is close to being a scaling of the present day temperature pattern. While clearly not perfect, this is not a wholly unreasonable first-order estimate.

(9) We have redone all the calculations with SST, which hardly changes anything other than perceptibly improving model-data compatibility.

(10) We use a range of small and large values to indicate how sensitive the results are to this factor. Perhaps 0.4C would be best regarded as a hope for the future than a current estimate.

(11-13) Minor adjustments made to text

(14) As stated in the caption, the values for sensitivity estimates are from Haywood et al.

A: stronger!

B: wording change here

Could the Pliocene constrain the Equilibrium Climate Sensitivity?

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Abstract. The mid-Pliocene Warm Period (mPWP) is the most recent interval in which atmospheric carbon dioxide was substantially higher than in modern pre-industrial times. It is, therefore, a potentially valuable target for testing the ability of climate models to simulate climates warmer than the pre-industrial state. The recent Pliocene model inter-comparison Project (PlioMIP) presented boundary conditions for the mPWP, and a protocol for climate model experiments. Here we analyse results from the PlioMIP and, for the first time, discuss the potential for this interval to usefully constrain the equilibrium climate sensitivity. We ~~present an estimate of 1.8~~observe a correlation in the ensemble between their tropical temperature anomalies at the mPWP, and their equilibrium sensitivities. If the real world is assumed to also obey this relationship, then the reconstructed tropical temperature anomaly at the mPWP can in principle generate a constraint on the true sensitivity. Directly applying this methodology using available data yields a range for the equilibrium sensitivity of 1.9–3.6.7°C, but there are considerable additional uncertainties surrounding the analysis which are not included in this estimate. We consider the extent to which these uncertainties may be better quantified and perhaps lessened in the next few years.

15 1 Introduction

One important motivation for the study of paleoclimates is that they may provide information as to how the climate will change in the future. The temperature response to changes in radiative forcing provides one simple way to summarise this through the equilibrium or Charney climate sensitivity, S . This is defined as the equilibrium response of the globally averaged surface air temperature (SAT) to a doubling of atmospheric CO_2 concentration. As a key measure of climate changes, this is one of the principal parameters by which we understand and interpret climate system behaviours.

There is evidence of both warmer and colder climates in the past. As we look increasingly further back in time, the evidence available in the paleorecord generally becomes both more sparse and less certain, and for this reason it is usually advantageous to focus research on the more recent past where possible. The most recent periods with climates that are substantially different to the present on the global scale have typically been colder than present with large ice sheets over northern continents

(i.e., the ice ages). While the Last Glacial Maximum (LGM, 21ka BP) has been extensively studied, it is challenging to draw inferences from colder climates regarding our warmer future, in part because of the ice sheets that strongly affect the climate system over large areas of the Northern Hemisphere
30 and which may combine nonlinearly with other forcings. Thus increased attention has recently been given to warmer periods (Lunt et al., 2013). These are generally more distant in time, and data are less certain, but the inference from past to future is potentially more robust as the past climate is warmer than present and more similar to what we expect to see in the future, with for example changes in ice sheets ~~are relatively smaller~~being relatively small. It is this inference that the current
35 paper explores. We focus on the mid-Pliocene warm period (mPWP), 2.97–3.29 million years before the present, as this represents the most recent time that the atmospheric CO₂ level was substantially higher than in pre-industrial times and ~~data from the interval also suggest~~ substantial effort has been made to collect data from this interval (Dowsett et al., 2009), which also suggests that the mPWP climate was warmer than the pre-industrial.

40 Researchers have previously explored the mPWP as a constraint on the Earth System Sensitivity (ESS), a broader concept than Equilibrium Climate Sensitivity S which also considers the longer-term feedbacks involved in the evolution of the ice sheets, and also changes in vegetation (Lunt et al., 2010). The aim of this paper is to explore the possibility that the mPWP may inform directly on the equilibrium climate sensitivity in which only the physical feedbacks of ocean, atmosphere and sea
45 ice are considered. The methodology adopted is similar to that of Hargreaves et al. (2012) who used simulations of the LGM. The underlying hypothesis is that the models with ~~higher~~stronger response to past radiative forcing changes, will also have a ~~higher~~stronger response to current and future radiative forcing changes. If this hypothesis is correct, it should be evident as a relationship (most simply, a linear correlation) between past and future warming across the ensemble. If a correlation is
50 indeed observed, then data relating to the past warming should, in principle, be able to help constrain the future (Schmidt et al., 2014a).

In the next section we consider some technical aspects of the method employed in the context of previous work on the LGM. Then in the Analysis section we introduce the models, the results from the correlation, the data, and then the estimate of equilibrium climate sensitivity. In the following
55 section we test the sensitivity of the result to uncertainties inherent in the calculation. Finally we discuss the results and the prospect for decreasing some uncertainties in the future.

2 Methodology

The basic ~~idea~~premise underlying the analysis is that, if ~~the past behaviour of the models is indicative of their future behaviour in some relevant manner, then it should be possible in principle to use there~~
60 is a relationship between past and future behaviour across an ensemble of models, then (under the assumption that reality also obeys this relationship) observations of the past ~~to deduce which models~~

can be used to determine which of the models, and thus which future outcomes, are more reliable ~~and hence generate a constrained forecast of the future.~~ Boé et al. (2009) provides an example of this idea (which is sometimes referred to as an “emergent constraint”), using recent changes in sea ice extent to predict the future decline.

In principle it is possible to exhaustively explore an ensemble of climate model simulations for all possible relationships between past and future climate changes in variables of interest. For any cases where such a relationship is found (and for which we can also estimate the past change through some observation or climate reconstruction) we could in theory generate a forecast of the future change. However, there is a strong risk that this data mining process will generate spurious results that will not be borne out in reality (Caldwell et al., 2014). More immediately, the relationship may not be supported by the next generation of climate models (Fasullo and Trenberth, 2012; Grise et al., 2015). Thus, it is also important to ensure that the relationship is a physically meaningful one that represents our understanding of the climate system, ~~and is not which reduces the likelihood that it is~~ merely a spurious correlation arising through chance.

The methodology employed here is essentially the same as that used in Hargreaves et al. (2012). ~~They~~ In that work, the authors found a significant correlation in the ensemble from PMIP2 (the second phase of the Paleoclimate Modelling Inter-comparison Project Braconnot et al. (2007)) between the modelled cooling in the tropical ocean during the LGM, and the equilibrium climate sensitivity. This is a physically plausible result, as the temperature anomaly in the tropical region at the LGM is expected to be strongly dominated by greenhouse gas (GHG) forcing, and the tropical region (representing 50% of the globe) contributes substantially to global mean temperature changes. Furthermore, the response to CO₂ forcing is, at least in models, close to linear over this range of positive and negative forcing changes. Based on the correlation that Hargreaves et al. (2012) obtained, they created a simple linear regression model which used the LGM tropical temperature anomaly to predict the equilibrium sensitivity, and applied this to estimate the Earth’s equilibrium sensitivity from a reconstruction of the actual LGM tropical temperature anomaly. However, it must also be noted that the correlation for the LGM, although statistically significant, was not overwhelmingly strong. Moreover, the PMIP3 ensemble gave much more equivocal results (Harrison et al., 2015; Hopcroft and Valdes, 2015). Thus, it remains challenging to use the LGM to quantitatively constrain S .

One issue that Hargreaves et al. (2012) did not discuss, was whether the relationship should be considered in terms of S regressed on the tropical paleoclimate temperature anomaly, or vice versa. The two approaches rest on different assumptions regarding the regression residuals, and therefore can be expected to generate different results (Draper et al., 1966). An intermediate method ~~like~~ which allows for residuals in both variables, such as total least squares, could also in principle be applied, which will give intermediate results depending on the relative weighting on the residuals on the two axes. The data as used are essentially the results of deterministic calculations and do not contain significant ‘errors’ as such, and although experimental protocols lead to some uncertainties

100 in the calculated values, we do not believe that these are responsible for the residuals in the linear fit. Therefore, the question is one of whether we can consider the residuals to be independent of one or other of the data sets.

The implicit assumption for the choice made in Hargreaves et al. (2012), of regressing S on LGM tropical temperature, is that the deviations in sensitivity value from the regression line are predominantly due to factors which are can be considered independent of the LGM tropical response. 105 Further consideration supports this choice for both the LGM and mPWP according to the following argument. Uncertainty in the equilibrium sensitivity S can be ~~considered as being~~ decomposed into various physical processes and feedbacks, including most significantly the response of clouds at both low and high latitudes, snow and ice albedo feedbacks which both act mainly at high latitudes, and ~~various other factors. Therefore, other smaller factors.~~ It is, therefore, not surprising that looking 110 at the response in the tropics alone ~~is unlikely to cannot~~ give a precise indication of S , as it does not inform on the high-latitude feedbacks. This would remain the case even if we were to analyse the tropical response of a doubled CO₂ integration, and can be equivalently understood as different models having different degrees of polar amplification of warming. The uncertainties arising from ~~the these~~ additional factors at higher latitudes are conceptually independent of the tropical response, 115 as they arise from fundamentally different physical processes, and thus we can reasonably try to ~~use~~ apply the linear model

$$S = \alpha T_{trop} + C + \epsilon$$

where T_{trop} is here the tropical temperature response, α and C are *a priori* unknown constants and the error term ϵ includes the uncertainties due to factors such as the uncertainties in the high 120 latitude feedbacks discussed above.

In the inverse regression, where we would try to use the equilibrium sensitivity to predict tropical temperature changes, the uncertainties over and above the underlying linear relationship would have to be assumed independent of S , which does not seem so ~~appropriate. conceptually appropriate.~~ That is, in applying the inverse regression

125 $T_{trop} = \alpha S + C + \epsilon$

we would have to consider the residuals ϵ here to be independent of the sensitivity S , even though the sensitivity is by definition the overall effect of all feedbacks in all regions.

3 Analysis

3.1 The models

130 The Pliocene Model Inter-comparison Project (PlioMIP, Haywood et al. (2010, 2011)) has presented
boundary conditions in order for climate models to simulate the mPWP. This was not a true “time
slice” experiment such as the LGM simulations, which represented the climatic average over an inter-
val of 19–23ka BP. The much longer mid-Pliocene interval contained multiple ice age cycles, and
the mPWP experiments were designed to represent a typical or average interglacial within this pe-
135 riod. There were two experiments conducted in PlioMIP. Experiment 1 (Haywood et al., 2010) used
atmosphere-only climate models, with the sea surface temperature boundary condition prescribed
from a reconstruction which is discussed further in the next section. For these simulations, we ex-
pect the SAT anomaly to be tightly constrained by the imposed boundary conditions (especially over
the ocean) and therefore to bear little relationship with the model’s sensitivity. The model results
140 bear this out, and thus we do not consider these simulations further. There were 10 models that per-
formed Experiment 2, in which coupled atmosphere-ocean general circulation models were forced
with a suite of boundary conditions including a land-sea mask, topography, ice-sheet, vegetation,
and green house gas concentration (see Haywood et al. (2011) for details). For these models, we
expect their mPWP simulations (and in particular their SAT response) to be related to their climate
145 sensitivities, since the greenhouse gas boundary condition forms a large part of the total forcing. In
order to relate past to future, however, we can only use models for which both the mPWP simulation
results and an estimate of the model’s sensitivity is available. The GENISIS model is mentioned in
Haywood et al. (2013) but results are not available in the PlioMIP database, so this condition re-
duces the ensemble to the 9 models which are listed in Table 1. The ensemble size, while smaller
150 than might be hoped for given that more than 20 models contributed to the Climate Model Inter-
comparison Project, CMIP5, is of very similar size to that available for the LGM, where there are 8
models in PMIP2 and 9 models in PMIP3 satisfying equivalent criteria. For most models, the values
of [equilibrium](#) climate sensitivity are taken from the estimates published in Table 1 of Haywood
et al. (2013). The relevant sensitivity value for the FGOALS model was not included in that paper,
155 but has since been published elsewhere (Zheng et al., 2013).

Raised atmospheric CO₂ is one of the more significant changes in boundary conditions for the
mPWP, [with other forcings contributing less than half as much again \(Lunt et al., 2010\)](#), so it seems
a priori reasonable to hope for a correlation in the climate model ensemble between their equilibrium
sensitivities and their SAT changes at the mPWP. However, the other boundary condition changes
160 are not negligible and if the models respond very differently to these (or nonlinearly to combinations
of forcings) then a correlation between global SAT anomaly at mPWP and equilibrium sensitivity
may not be observed.

Model	Reference	S (K)
COSMOS	? Stepanek and Lohmann (2012)	4.1
CCSM4	Rosenbloom et al. (2013)	3.2
FGOALS-g2	Zheng et al. (2013)	3.7 ¹
GISS ModelE2-R	Chandler et al. (2013)	2.8
HadCM3	Bragg et al. (2012)	3.1
IPSLCM5A	Contoux et al. (2012)	3.4
MIROC4m	Chan et al. (2011)	4.05
MRI-CGCM2.3	Kamae and Ueda (2012)	3.2
NorESM-L	Zhang et al. (2012)	3.1

Table 1. Model data used in the analysis. ¹ (Zheng et al., 2013), all other values taken from Haywood et al. (2013)

3.2 Correlation Analysis

As a first investigation, we tested for a correlation between global SAT anomaly in the mPWP simulations, vs S . As the left plot of Figure 1 shows, there is ~~perhaps a very weak relationship a weak correlation~~ between these two variables ~~, but it is not statistically significant of 0.59, but this does not reach the 95% significance threshold of 0.67.~~ As in Hargreaves et al. (2007) and Hargreaves et al. (2012), we anticipate that the relationship between S and paleoclimate changes is likely to be stronger if we focus on the tropics for the paleosimulations, since this will reduce the influence of ice sheet and vegetation changes. This is borne out by the right hand panels of Figure 1 which show both the correlations for both pointwise (on a 10 degree grid), and zonally-averaged paleosimulations versus S . The model ensemble exhibits a strong correlation between mPWP tropical SAT anomaly and S . Integrating over the entire tropical region ~~30S–30N~~, the correlation between tropical mPWP SAT anomaly and climate sensitivity is 0.73, ~~significant at the 97.5% level under a one-sided t-test.~~

175 3.3 The data

While the small ensemble gives us cause for concern (compare Hargreaves et al. (2012) with Schmidt et al. (2014a) and Hopcroft and Valdes (2015)) we proceed under the assumption that it is informative regarding the real climate system. In order to test the potential for constraining the climate system using information from the mPWP, we need an estimate of typical tropical temperatures during this period. As our reconstruction of mPWP temperatures we use the ~~PlioMIP Experiment 1-SST boundary conditions as described in Haywood et al. (2010)~~ ~~PRISM3 SST anomaly field which was presented by Dowsett et al. (2009)~~. This is based on the PRISM3D data set, firstly processed into warm peak averages (to represent typical interglacial conditions within the “time slab” of interest) for both February and August, then converted to anomalies relative to modern conditions and finally

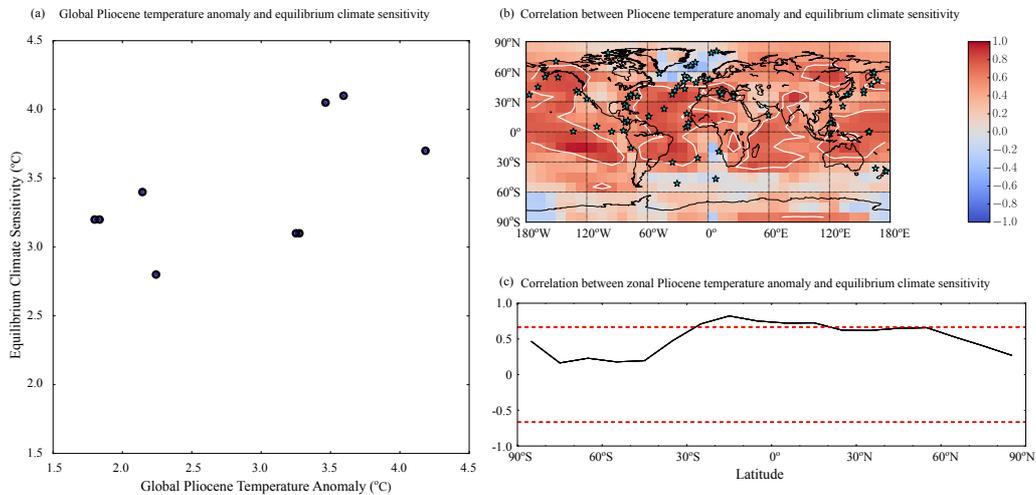


Figure 1. Correlations between the PlioMIP anomalies and climate sensitivity. For the Pliocene, the annual SAT anomalies were obtained from averaging the monthly climatology files on the PlioMIP database. For CCSM a 500 year time series is available, so the average over the last 100 years was used. (a) Globally averaged PlioMIP anomaly vs. the estimated equilibrium climate sensitivity from Table 1. (b) The Pliocene temperature anomalies were averaged onto a 10 degree grid and correlated with the global equilibrium sensitivity in each grid box. Cyan stars indicate locations of data points. (c) ~~Shows the zonally~~ Zonally averaged results. The dashed lines in plot (c) indicate the 95% significance threshold for a ~~one-sided~~ two-sided t-test.

185 smoothed and interpolated in both time and space into complete SST anomaly fields ~~for use as~~
~~boundary conditions for the Experiment 1 simulations,~~ under the assumption that ~~the spatial pattern~~
~~of anomalies is the same as for the present day climate.~~

SST patterns were similar to the present. More sophisticated methods could in principle be used
for the SST reconstruction, such as were presented by Zammit-Mangion et al. (2014) and Bragg (2014),
190 but this is outside the scope of this paper. We use the average of these data fields for our analysis
(equivalently, the annual average of the monthly fields that were generated for PlioMIP Experiment
1). ~~More sophisticated methods could in principle be used for the SST reconstruction, such as were~~
~~presented by Zammit-Mangion et al. (2014) and Bragg (2014), but this is outside the scope of this~~
~~paper.~~

195 We have also directly investigated the data points, in the form of the annual mean temperature
anomaly estimates which were provided by Dowsett et al. (2009). The locations of these data can
be seen in Figure 1. The simple mean of the anomalies of the 17 data points which lie within the
tropical ocean region of all models is rather low at 0.15°C , which is far outside the full ensemble
range (taking model values at the same grid points) of $0.9 - -2.4^{\circ}\text{C}$. The spread of data values is
200 also many times greater than the models, at 2.3°C compared to $0.15-0.6^{\circ}\text{C}$ across the models (all

values 1 standard deviation). In fact, a large majority of the data points lie outside the full range of the model ensemble, in many cases by a substantial margin. Although it is likely that the models do underestimate spatial variation to some extent, it seems reasonable to conclude that much of the model-data discrepancy here is due to uncertainties in the analysis of the data points. Furthermore, we do not expect models to be able to reliably simulate spatial anomaly patterns skilfully at the mPWP, since they fail to do this for other time periods of paleoclimatic interest where sufficient data have been assembled to test this rigorously (Hargreaves et al., 2013). We therefore do not think it is meaningful to constrain the models in this case by a small number of irregularly sampled points, but prefer to focus on averages over larger spatial scales where we can reasonably expect the models to have some skill (Hargreaves and Annan, 2014).

3.4 Climate sensitivity estimate

To calculate an estimate for equilibrium climate sensitivity, we combine the model estimates for climate sensitivity and the warming at the mPWP, together with the PRISM3 estimate of tropical ocean temperature change, using the approach described in Hargreaves et al. (2012). In climate models, SAT over the open ocean are very close to sea surface temperatures so here we simply mask the air temperatures from the models used to produce Figure 1 (b), with the PRISM3 land-ocean mask interpolated to the same 10 degree grid, to produce a temperature over the ocean that may be directly compared to the reconstruction. For consistency with the data, we use sea surface temperature from the climate models, which are of course very close to SAT at the same locations. The interpolated PRISM3 data indicate a warming of 0.708°C for the ocean data from 30°S to 30°N . The calculation of climate sensitivity involves sampling from the uncertain temperature distribution, and for each sample, generating a prediction of the associated sensitivity taking account of the uncertainty in the linear relationship. The PRISM3 reconstruction does not include an estimate of uncertainty in the reconstruction. Initially we take a value of 0.4°C (at one standard deviation), based both on the hope that the signal was at least as large as than the noise, and that it might come close to matching the value of 0.7°C (at two standard deviations) which was obtained for a recent reconstruction of the LGM tropics (Annan and Hargreaves, 2013). It is of course essential to test the sensitivity of our result to this assumed uncertainty and we discuss this further below. Figure 2 shows the result. The regression model generates an estimate for the equilibrium climate sensitivity of $1.819\text{--}3.67^{\circ}\text{C}$. Only the models with weaker tropical warming are consistent with the data, and as these tend to be low sensitivity models, the resulting estimate for S is at the low end of (and extending to values outside) the full range of models that contributed to PlioMIP.

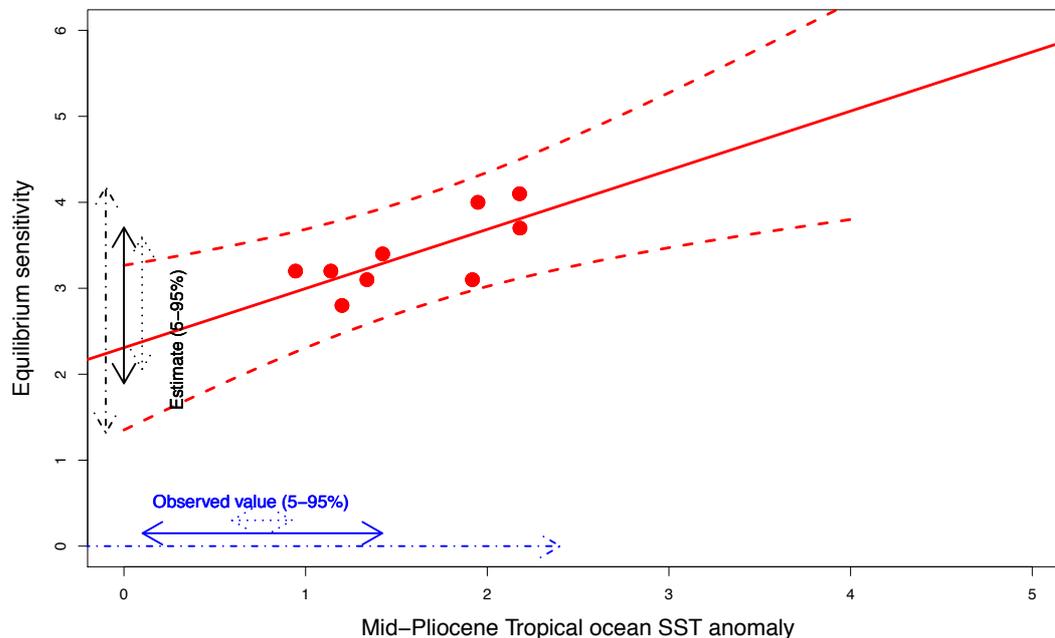


Figure 2. Estimating equilibrium climate sensitivity using the mPWP. Red dots represent model values, solid and dashed red lines indicate regression relationship and its uncertainty respectively. Blue arrows show proxy-based reconstruction of tropical temperature change over ocean, together with uncertainty of 0.1 (dashed) 0.4 (solid) and 1.0 (dot-dashed). Black arrows of the corresponding type show the resulting sensitivity estimates.

4 Uncertainties

4.1 Data uncertainty

235 Proxy-based reconstructions of past climates are, of course, uncertain. As mentioned above, however,
the size of the uncertainty in the PlioMIP Experiment 1 SST field has not been objectively estimated
~~-. Instead we made a first-order estimate and merely assumed the value to be similar to that obtained~~
~~in a recent analysis of~~ and our initial value of 0.4°C is simply an assumption based in part on
previous work focussing on the LGM. It would be reasonable to assume that the Pliocene temperature
240 ~~estimates are estimate is~~ in fact more uncertain, so we tested the sensitivity of our result to this.
The dashed and dot-dashed blue and black lines in Figure 2 show the effect on the estimate of
replacing the original estimate of 0.4°C with values of 0.1°C and 1°C (all at one standard deviation)
respectively. It is apparent that reducing the uncertainty even to an extremely low value has relatively
little effect on the resulting sensitivity estimate (which only narrows marginally to 2.0-2.1-3.5-3.6°C),
245 as in this case the spread around the regression line makes a dominant contribution to the total

uncertainty. However, none of the models are consistent with this temperature estimate, as all warm ~~more than 0.7~~ by more than 0.8°C in the region, many by a substantial margin. If we increase the SST uncertainty estimate substantially to 1°C, then the uncertainty of the overall result does increase more noticeably to 1.3–4.0. ~~0.2~~°C. At this point, even the models with the strongest warming are ~~just~~ about consistent with the data and thus the estimated sensitivity range covers the full range of model values (~~albeit marginally at the top end~~) with an extension also to lower values. Note that, at this level of uncertainty, ~~we the data~~ would no longer ~~be confident~~ give us confidence even that the mPWP was warmer than the pre-industrial, at least in the tropics. It would be very useful to have more complete understanding of the uncertainties of temperature reconstructions for the mPWP.

255 4.2 Forcing uncertainty

A major issue in simulating the mPWP is that the atmospheric CO₂ level corresponding to interglacial peaks is not precisely known. Furthermore, there is hypothesised to be additional forcing due to methane which cannot be directly inferred from proxy data but which has instead been assumed to be proportional to the CO₂ forcing. This was implemented within PlioMIP via an increased ~~CO₂ concentration~~. That is, the imposed CO₂ forcing was selected to represent not only CO₂ but the additional effect of methane. Therefore, we have tested the sensitivity of our result to uncertainty in total GHG forcing. Our approach is rather simplistic, and makes the assumption that for each model, the tropical temperature anomaly will change in direct proportion to the net CO₂ forcing (relative to the pre-industrial control). While we do not expect this approximation to be precise, it at least allows ~~us to perform an initial investigation into the sensitivity of our results to changes in the boundary conditions~~. The PlioMIP protocol imposes a value of 405ppm CO₂, but a value as low as 350ppm is possible, being at the low end of the average range considered consistent with the data proxies for CO₂ (given as “~360-380ppmv” in Haywood et al. (2010)). When we modify the model results accordingly, we obtain the results shown in Figure 3. By ~~downscaling~~ scaling the modelled results ~~downwards~~, many more of them are brought into line with the tropical SST estimate derived from the PRISM3 data set, and the resulting sensitivity estimate increases to 2.0–4.0°C. It seems that the value of 350ppm is more consistent with the ensemble as a whole than PlioMIP’s own estimate of 405ppm, though of course this cannot be taken to imply that the true value was actually this low.

4.3 Modelling uncertainties

275 The model results are dependent on the experimental protocols, both for the mPWP simulation, and the calculation of S . For the calculation of S , it is now commonplace to use a regression from ~~a transient 1% pa an instantaneous 4xCO₂ enrichment~~ scenario, with this being used in the IPCC AR5 for their model sensitivity values. However, it is increasingly recognised that this regression-based estimate can significantly underestimate the true equilibrium sensitivity. One of the more ~~extreme examples of this is the GISS model, with the sensitivity reported as 2.1°C in the IPCC~~

280

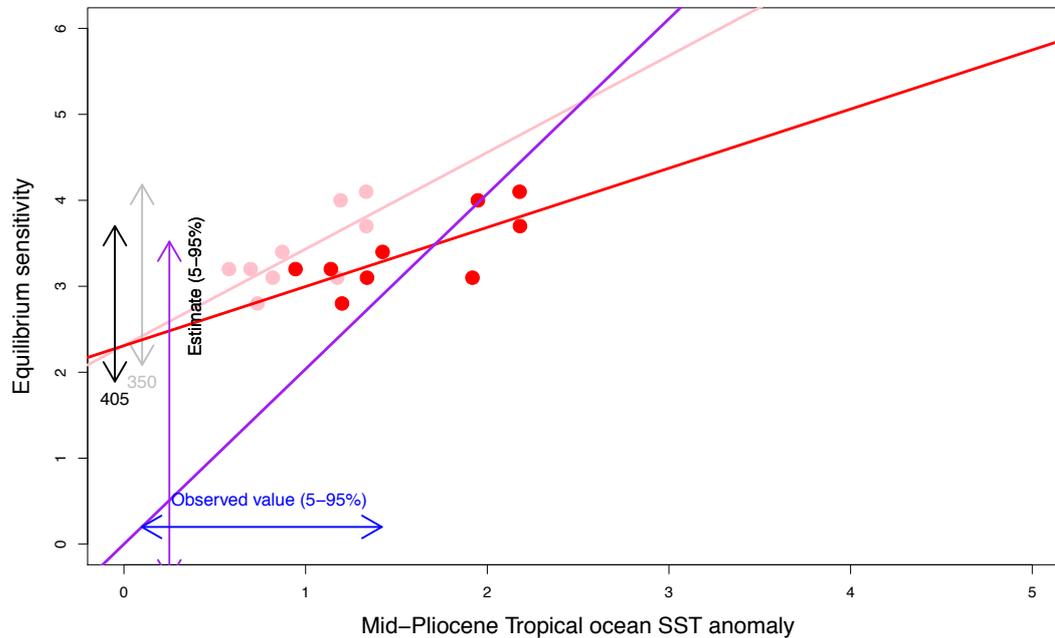


Figure 3. Investigating the sensitivity to ~~forcing uncertainty~~structural uncertainties. Bold colours show original result, pink and grey show ~~estimate~~estimated result if 350ppm CO₂ were used. Purple shows regression result with zero constant term.

AR5 but actually estimated as 2.7–2.9°C by the PlioMIP contributors, based on a long simulation (Schmidt et al., 2014b). For most other models that have done this comparison, the discrepancy is somewhat smaller (Andrews et al., 2015). For the Pliocene experiments, the computational cost of long integrations may mean that some model simulations are not fully equilibrated, which could lead to ~~small~~some errors in their estimates of past and present climates. Internal variability is ~~a potential further issue.~~an additional concern, if the climatology is generated from a short time series. While global temperature is unlikely to be seriously affected by this factor, regional variabilities can be larger. For PlioMIP the intention is that all simulations should be run for at least 500 years, which should produce a reasonably well equilibrated climate, apart from in the deep ocean. ~~The length of the integration that is averaged into the climatology files is not stated in PlioMIP~~However, there may be significant drifts in some regions beyond that time.

4.4 Methodological uncertainties

A notable point that is apparent ~~from the figures in Figure 2~~ is that the regression lines do not pass through the origin, but instead indicate that zero tropical warming at the mPWP corresponds to an

295 equilibrium sensitivity of about ~~1.72~~ °C. This may seem a little odd, although it could be argued
that even if the response in the tropics was zero, we would still expect a positive response at higher
latitudes and thus also in the global average. Additionally, CO₂ is not the only forcing in the mPWP
experiments (ice sheets and sea level have changed, and vegetation can also change in some if not
all models), which does complicate things somewhat. In the LGM analysis, Hargreaves et al. (2012)
300 found that the regression line derived from the PMIP2 ensemble naturally passed close to the origin,
so the issue was not apparent concern there. The purple colour in Figure 3 shows the results if we
do not include a constant term in the regression. The sensitivity estimate is both lower in its mean
value, and much more uncertain, with the 5—95% range reaching from -0.4 to 3.5°C. The increase in
uncertainty is due to a combination of there being larger residuals (implying a larger plausible range
305 around the regression line) and also the increased slope of the regression line which means that
uncertainty in the true SST anomaly translates into increased uncertainty in the related sensitivity.
However, it is worth noting that while the constraint is much weaker, high sensitivity values are still
excluded by this approach.

4.5 Time slab uncertainties

310 As mentioned previously, the mPWP ~~model-simulation-and~~ data collation is based on averaging the
warm peaks within the mPWP interval. However, different locations may encounter peak warmth
at different times, and thus the warmest peaks may not represent ~~a historical climate state at all~~
any historical equilibrium climate state. Moreover, the boundary conditions for the different warm peaks
would also have been somewhat different in reality, with differences in orbital forcing potentially
315 leading to regional variation exceeding 1°C (Prescott et al., 2014) although the variation is lower at
larger spatial scales. The comparison between data collected over a wide range of times, and a model
snapshot with a specific set of boundary conditions, is ~~only valid to the extent that the interglacials
were in fact the same~~thus challenging, though averaging over broad spatial scales should help to
isolate any warming signal most clearly. The next iteration of PlioMIP (Haywood et al., 2015) plans
320 to address this issue by focussing on a single interglacial for which sufficient proxy data can be
obtained.

4.6 Robustness

Robustness of results is a major concern which we have discussed above and summarise here. Cald-
well et al. (2014) has highlighted the risk of mining for correlations that are not robust, and there
325 are some examples of plausible correlations in the CMIP3 ensemble which disappeared in CMIP5.
Thus we focus on relationships that may be reasonably argued to represent our uncertainties in a re-
alistic manner. In particular, it does not seem at all unreasonable to expect that a greater equilibrium
response to increased CO₂ in the modern era would also imply a greater response to forcing in the
past, and vice-versa, this being a simple expression of the principle of uniformitarianism. Of course

330 in reality the sensitivity depends on underlying climate state and the nature of the forcing (Yoshimori et al., 2011) so the past is not expected to be a perfect analogue of the future, but rather a useful guide. We regard the main result presented here to be a reasonable hypothesis worthy of further investigation, rather than a confident prediction.

5 Discussion

335 The paleoclimate record provides the only observational evidence of large climate changes of comparable magnitude to those anticipated in the coming century. The principle of uniformitarianism implies that the past should be a useful guide to the future. Thus, paleoclimate research forms an important resource of relevance to future climate change. It is, however, not *a priori* clear that any particular paleoclimatic change is immediately informative regarding the future, as the nature of
340 forcings and background climate state may affect the climatic response. Exploration of climate model ensembles provides one route to investigating to what extent a particular past change is in fact informative. The LGM has long been popular as the most recent period in which the climate was substantially different to the present, but as it was colder, large ice sheets were present which complicates the response.

345 Our results have shown that the mPWP also appears to have some potential for generating useful results. We show there is a strong correlation in the PlioMIP ensemble between tropical temperatures and equilibrium climate sensitivity. Our main result is an estimate for S of 1.81.9–3.6.7°C. ~~Major~~, but major uncertainties in the experimental design and analysis cast substantial doubts over the robustness of this estimate. However, with the evolution of PlioMIP, now moving into phase 2
350 (Haywood et al., 2015), it seems likely that significant progress can be made on this question in the near future. For example, the data from the mPWP used here are from a number of different warm periods in the Pliocene, ~~and in the next version of PlioMIP, this is being improved to~~ which may well represent different climate states (Prescott et al., 2014), and this will be replaced with a more traditional snap-shot of a few thousand years in the next version of PlioMIP. As well as making ~~the~~
355 ~~data more consistent with a~~ data more representative of the model simulation, this may also help in establishing an accurate and reliable set of boundary conditions, ~~such as increased~~ especially confidence in the level of atmospheric CO₂. An improved climate reconstruction would also be helpful; the technology to produce this does exist (Annan and Hargreaves, 2013; Bragg, 2014) ~~but has not been and should be~~ applied to the ~~specific case of the mPWP~~ new data set. The small size of the
360 ensemble is clearly a major concern, for which there does not seem to be an easy solution. However, PlioMIP experiments are being included as optional experiments in CMIP6, and the setup is reasonably straightforward even for non-paleoclimate experts to implement, so there are ground for optimism that the ensemble size may increase.

6 Data Availability

365 The PRISM3 SST reconstruction was taken from "Experiment 1 · AGCM version 1.0, Preferred Data", files PRISM3_SST_v1.1.nc and PRISM3_modern_SST.nc, available at the PRISM/PlioMIP webpage, presently located at: http://geology.er.usgs.gov/egpsc/prism/prism_1.23/prism_pliomip_data.html. The PlioMIP model output database was downloaded via sftp from holocene.ggy.bris.ac.uk. Email Alan Haywood (A.M.Haywood@leeds.ac.uk) for username and password.

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