Impacts of Tibetan Plateau uplift on atmospheric dynamics and associated precipitation δ¹⁸O

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4 S. Botsyun¹, P. Sepulchre¹, C. Risi², and Y. Donnadieu¹

5 [1]{Laboratoire des Sciences du Climat et de l'Environnement, LSCE/IPSL, CEA-

6 CNRS-UVSQ, Université Paris-Saclay, Gif-sur-Yvette, France}

7 [2] {Laboratoire de Météorologie Dynamique, LMD/IPSL, UPMC, CNRS, Paris, France}

8 Correspondence to: S. Botsyun (svetlana.botsyun@lsce.ipsl.fr)

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10 Abstract

11 Paleoelevation reconstructions of mountain belts have become a focus of modern science 12 since surface elevation provides crucial information for understanding both geodynamic 13 mechanisms of Earth's interior and influence of mountains growth on climate. Stable 14 oxygen isotopes paleoaltimetry is one of the most popular techniques nowadays, and relies on the difference between δ^{18} O of paleo-precipitation reconstructed using the 15 natural archives, and modern measured values for the point of interest. Our goal is to 16 17 understand where and how complex climatic changes linked with the growth of mountains affect δ^{18} O in precipitation. For this purpose, we develop a theoretical 18 19 expression for the precipitation composition based on the Rayleigh distillation and the 20 isotope-equipped atmospheric general circulation model LMDZ-iso outputs. Experiments 21 with reduced height over the Tibetan Plateau and the Himalayas have been designed. Our 22 results show that the isotopic composition of precipitation is very sensitive to climate 23 changes related with the growth of the Himalayas and Tibetan Plateau. Specifically our 24 simulations suggest that only 40% of sampled sites for paleoaltimetry depict a full 25 topographic signal, and that uplift-related changes in relative humidity (northern region) 26 and precipitation amount (southern region) could explain absolute deviations of up to 2.5% of the isotopic signal, thereby creating biases in paleoelevation reconstructions. 27

28

1 **1 Introduction**

Despite ongoing debates regarding the thermal and mechanical nature of mechanisms 2 involved (Boos, 2015; Chen et al., 2014), the Himalayas and the Tibetan Plateau 3 (hereafter TP) have long been considered to exert major influences on Asian atmospheric 4 dynamics, notably by reinforcing South Asian monsoon and driving subsidence 5 6 ultimately leading to onsets of deserts over Central Asia (Rodwell and Hoskins, 2001; Broccoli and Manabe, 1992). Thus, reconstructing the history of Himalayas and TP uplift 7 8 appears crucial to understand long-term climate evolution of Asia. In addition, 9 understanding the timing and scale of surface elevation growth are crucial for 10 reconstructing the rate and style of this tectonic plates convergence (eg. Royden et al., 11 2008; Tapponnier et al., 2001).

12 Elevation reconstructions for the Tibetan Plateau and Himalayas are based on fossil-leaf morphology (eg. Antal, 1993; Forest et al., 1999; Khan et al., 2014; (Sun et al., 2015), 13 14 pollen (Dupont-Nivet et al., 2008), correlation between stomatal density and the decrease 15 in CO₂ partial pressure with altitude (McElwain, 2004), and carbonate oxygen isotopic 16 compositions (Currie et al., 2005; DeCelles et al., 2007; Garzione et al., 2000a; Li et al., 17 2015; Rowley and Currie, 2006; Saylor et al., 2009; Xu et al., 2013). In contrast to paleobotanical methods, oxygen isotope paleoaltimetry has been widely applied to the 18 Cenozoic. Carbonate δ^{18} O is related to topography change using δ^{18} O-elevation 19 relationship. These relationships have been calibrated both empirically (Garzione et al., 20 21 2000b; Gonfiantini et al., 2001; Poage and Chamberlain, 2001) and theoretically, using 22 basic thermodynamic principles, including Rayleigh distillation, that govern isotopic fractionation processes (Rowley and Garzione, 2007; Rowley et al., 2001). 23

The difference between paleoprecipitation δ^{18} O detected from natural archives and 24 25 modern values of the site of interest has been used to identify the effect of the surface 26 uplift in numerous recent studies (Currie et al., 2005; Cvr et al., 2005; Ding et al., 2014; 27 Hoke et al., 2014; Mulch, 2016; Rowley and Currie, 2006; Rowley et al., 2001; Xu et al., 2013). In the absence of direct measurements of "paleo" altitude- δ^{18} O relationship *in situ*, 28 stable-isotope paleoaltimetry is potentially hampered by the fact that the presumed 29 constancy of altitude- δ^{18} O relationships through time might not be valid. For instance for 30 31 the Andes, not considering the impact of uplift on climate dynamics and related δ^{18} O 32 values has been shown to produce errors in paleoelevation reconstruction reaching up to

1 \pm 50% (Ehlers and Poulsen, 2009; Poulsen et al., 2010). Regional climate variables and 2 associated isotopic signal in precipitation can also be affected by global climate change 3 (Battisti et al., 2014; Jeffery et al., 2012; Poulsen and Jeffery, 2011). Moreover, it has been suggested that climate-driven changes in surface ocean δ^{18} O through the Cenozoic 4 5 can also influence recorded values of precipitation δ^{18} O over the continent and 6 corrections has been applied in some studies (Ding et al., 2014). Over TP, mismatches 7 between paleoelevation estimations from palynological and stable isotope data (eg. Sun et 8 al., 2014) could be related to complex climatic changes and associated variations of altitude- δ^{18} O relationship linked to the uplift, but still a detailed assessment of the 9 consequences of topographic changes on precipitation δ^{18} O is lacking. 10

Spatial distribution of isotopes in precipitation was described using various types of 11 12 models, from one-dimensional to three-dimensional general circulation (Craig, 1961; 13 Dansgaard, 1964; Gedzelman and Arnold, 1994; Risi et al., 2010; Stowhas and Moyano, 14 1993). Such modelling studies show how large-scale Asian monsoon circulation influence precipitation δ^{18} O (He et al., 2015; LeGrande and Schmidt, 2009; Pausata et al., 15 2011: Vuille et al., 2005). At the global scale, precipitation δ^{18} O has been shown to be 16 17 affected by several factors other than elevation, including mixing between air masses (Ehlers and Poulsen, 2009; Gat, 1996), large-scale subsidence (e.g. Frankenberg et al., 18 19 2009), continental recycling (Lee et al., 2012; Risi et al., 2013), deep convection (Risi et al., 2008), and enrichments linked to global warming (Poulsen and Jeffery, 2011). 20 21 Numerous studies have investigated the impact of Asian topography on climate change, 22 including the monsoon intensification (ex. An et al., 2015; Harris, 2006a; Kutzbach et al., 23 1989; Ramstein et al., 1997; Raymo and Ruddiman, 1992; Zhang et al., 2015) and Asian interior aridification onset (Broccoli and Manabe, 1992; Liu et al., 2015). Nonetheless the 24 linkage between these "climatic parameters" altered by the growth of TP and their 25 26 influence on the isotopic signal remain unclear. In this article we use numerical 27 modelling to provide some insights.

28

29 2 Methods

30 2.1 Model simulations

31 We use an Atmospheric General Circulation model (GCM) developed at Laboratoire de

1 Météorologie Dynamique, Paris, France with isotopes-tracking implement, called LMDZ-2 iso (Risi et al., 2010). LMDZ-iso is derived from the LMDz model (Hourdin et al., 2006) 3 that has been used for numerous future and paleoclimate studies (Ladant et al., 2014; 4 Pohl et al., 2014; Sepulchre et al., 2006). Water in a condensed form and its vapour are 5 advected by the Van Leer advection scheme (Van Leer, 1977). Isotopic processes in LMDZ-iso are documented in (Risi et al., 2010). Evaporation over land is assumed not to 6 fractionate, given the simplicity of the model surface parameterisation (Risi et al., 2010). 7 8 Yao et al. (2013) have provided a precise description of rainfall patterns over the TP, and 9 showed LMDZ-iso ability to simulate atmospheric dynamics and reproduce rainfall and δ^{18} O patterns consistent with data over this region. 10

LMDZ-iso is also equipped with water tagging capabilities, allowing us to quantify different moisture contributions from continental and oceanic evaporation sources. The advantage of this technique compared to typical back-trajectories methods is that it tracks the water rather than air masses, thus taking into account effects of phase changes. In our simulations five potential moisture sources are considered: (1) continental sources, (2) Indian Ocean, (3) Atlantic Ocean, (4) Mediterranean Sea, and (5) Pacific Ocean.

We use a model configuration with 96 grid points in longitude, 72 in latitude and 19 vertical layers, with the first four layers in the first kilometer above the surface. LMDZiso has a stretchable grid that allows increased spatial resolution over a defined region. In our case, it gives an averaged resolution of ~100 km over central Asia, which is a good trade-off between a reasonable computing time and a spatial resolution that adequately represents main features of TP topography.

Here we report results from three experiments designed to isolate the influence of Asian 23 24 topography on climate and isotopic composition of precipitation. Topography is derived 25 from a 10-minute US Navy dataset and interpolated to the model grid. The control run (MOD) is a pre-industrial run, i.e. initialized with boundary conditions (insolation, 26 greenhouse gases, sea surface temperatures (SSTs), topography) kept at pre-industrial 27 values. For the two other experiments, we keep all boundary conditions (including 28 29 albedo, rugosity, and vegetation distribution) similar to those in MOD run, except for the 30 topography. We reduce the altitude over the area covering the Tibetan Plateau, Himalayas 31 and a part of surrounding mountains: Tian Shan, Pamir, Kunlun and Hindu Kush to 50% 32 of modern elevations (intermediate, INT case) and to 250-m elevation (low, LOW case)

(Fig. 1). SSTs for all runs come from the AMIP dataset (monthly SSTs averaged from
1979 to 1996; Taylor et al., 2000). Each experiment has been run for 20 years. We
analyse seasonal means over the last 18 years, as the two first years are extracted for spinup.

5 **2.2** Theoretical framework for the precipitation composition

6 Our goal is to understand to what extent topography changes explain the precipitation 7 δ^{18} O signal over TP (i.e. the direct topography effect) and what part of this signal 8 depends on other climate processes. To do so, we develop a theoretical expression for the 9 precipitation composition.

10 To the first order, the δ^{18} O composition of the precipitation R_p follows that of the vapour 11 R_{ν} . Deviations from the vapour composition, $\varepsilon = R_p - R_{\nu}$, are associated with local 12 condensational or post condensational process.

$$13 \qquad R_p = R_v + \varepsilon \tag{1}$$

In an idealized framework of an isolated air parcel transported from an initial site at low altitude to the site of interest (Fig. 2), the vapour composition can be predicted by Rayleigh distillation:

$$17 \qquad R_v = Rv_0 \cdot f^{\alpha - 1} + dR_v \tag{2}$$

18 where Rv_0 is the initial composition of the vapour at the initial site, α is the fractionation 19 coefficient, that depends on temperature and on the water phase (Majzoube, 1971; 20 Merlivat and Nief, 1967), and f is the residual fraction of the vapour at the site of interest 21 relatively to the initial site of an air mass ascent. We take the initial site as characterised 22 by a temperature and humidity T_0 and q_0 . Under these conditions, $R_{\nu 0}$ is the theoretical 23 isotopic composition of vapour that it would have if all the vapour originated from the 24 local evaporation over quiescent oceanic conditions. Depending on the atmospheric 25 circulation, on deep convective and mixing processes and on the source region of water 26 vapour, the isotopic composition of vapour may deviate from the Rayleigh distillation by 27 $dR_{v_{\star}}$

28 The residual fraction f depends on the specific humidity q at the site of interest:

$$29 \quad f = q/q_0 \tag{3}$$

30 The air is not always saturated near the surface, therefore:

$$1 \qquad q = h \cdot q_s(T_s) \tag{4}$$

where *h* and T_s are the relative humidity and air temperature near the surface of the site of interest. The air can be under-saturated because it can be considered as air that has been transported adiabatically from the area of minimum condensation temperature, T^* (Galewsky and Hurley, 2010; Galewsky et al., 2005; Sherwood, 1996): $q=q_s(T^*)$

6 The surface temperature can be predicted to first order by the adiabatic lapse rate, Γ , and 7 is modulated by the non-adiabatic component dT_s that represents processes such as large-8 scale circulation or radiation:

9
$$T_s = T_0 + \Gamma \cdot (z - z_0) + dT_s$$
(5)

10 where z and z_0 are the altitudes at the site of interest and at the initial site. We use an 11 adiabatic lapse rate equal to -5° km⁻¹ based on the measurements of modern observed 12 mean temperature lapse rate on the southern slope of the central Himalayas, that ranges 13 from -4.7 to -6.1° km⁻¹ for the monsoon season and from -4.3 to -5.5° km⁻¹ for the rest of 14 the year (Kattel et al., 2015).

15 If we combine Eq. (1) to Eq. (5), we get that R_v is a function of ε , dR_v , h, dT_s and z:

16
$$\mathbf{R}_{\mathrm{p}} = R v_0 \cdot \left[h \cdot q_s (T_0 + \Gamma \cdot (z - z_o) + dT_s) / q_0 \right]^{\alpha - 1} + dR_v + \varepsilon$$
(6a)

17 Or in a simpler form:

18
$$R_p = R_p(\varepsilon, dR_v, h, dT_s, z)$$
(6b)

19 Parameters z_0 , q_0 , T_0 are reference values that are common to all sites of interest, all 20 climates and geographies. Even if initial conditions for the Rayleigh distillation vary 21 depending on the atmosphere circulation, on deep convective processes and on the site of 22 interest, we keep the same reference values and we consider all variations in initial 23 conditions are accommodated by dR_{ν} .

This model is equivalent to that of Rowley et al. (2001) for $dR_v = 0$ (i.e. neglecting the effects of mixing and deep convection on the initial water vapour), $\varepsilon = (\alpha - 1) \cdot R_v$ (i.e. neglecting post-condensational effects), and h = 1 (i.e. assuming the site of interest is inside the precipitating cloud).

28 **2.3** Decomposing precipitation composition differences

29 Our goal is to understand why R_p varies from one climatic state to another. We refer to

1 these climatic states using subscript 1 and 2 and to their difference using the Δ notation. 2 Differences between INT and LOW and between MOD and INT climatic states 3 corresponds to the initial and the terminate stages of the TP uplift respectively. We 4 decompose $\Delta R_p = R_{p2} - R_{p1}$ into contribution from ΔdR_v , $\Delta \varepsilon$, Δh , ΔdT_s , and Δz :

5
$$\Delta R_p = \Delta R_{p,\Delta\varepsilon} + \Delta R_{p,\Delta dRv} + \Delta R_{p,\Delta h} + \Delta R_{p,\Delta dT} + \Delta R_{p,\Delta z} + N$$
(7)

6 Where $\Delta R_{p,\Delta\varepsilon}$, $\Delta R_{p,\Delta dRv}$, $\Delta R_{p,\Delta dT}$, and $\Delta R_{p,\Delta z}$ are respectively the contributions of

7 ΔdR_v , $\Delta \varepsilon$, Δh , ΔdT_s , and Δz to ΔR_p . Non linear terms of decomposition are gathered into

8 the residual term *N*. Contributions are estimated using Eq. 6b (see also Table 1):

9
$$R_{p,\Delta\varepsilon} = R_p(\varepsilon_2, dR_{\nu'}, h', dT_{s'}, z') - R_p(\varepsilon_1, dR_{\nu'}, h', dT_{s'}, z')$$
(8)

10
$$R_{p,\Delta dRv} = R_p(\varepsilon', dR_{v2}, h', dT_s', z') - R_p(\varepsilon', dR_{v1}, h', dT_s', z')$$
 (9)

11
$$R_{p,\Delta h} = R_p(\varepsilon', dR_v', h_2, dT_s', z') - R_p(\varepsilon', dR_v', h_1, dT_s', z')$$
 (10)

12
$$R_{p,\Delta dTs} = R_p(\varepsilon', dR_{\nu'}, h', dT_{s2}, z') - R_p(\varepsilon', dR_{\nu'}, h', dT_{s1}, z')$$
 (11)

13
$$R_{p,\Delta z} = R_p(\varepsilon', dR_v', h', dT_s', z_2) - R_p(\varepsilon', dR_v', h', dT_s', z_1)$$
 (12)

In order to decrease the sensitivity of the decomposition to the state at which it has been calculated we take z', $dT_{s'}$, h', $dR_{v'}$, and ε' as centred differences:

$$16 z' = (z_2 + z_1)/2 (13)$$

17
$$dT_{s'} = (dT_{s2} + dT_{s1})/2$$
 (14)

18
$$h' = (h_2 + h_1)/2$$
 (15)

19
$$dR_{\nu}' = (dR_{\nu 2} + dR_{\nu l})/2$$
 (16)

$$20 \quad \varepsilon' = (\varepsilon_2 + \varepsilon_1)/2 \tag{17}$$

21 Note that ε' in Equations 9 to 12 and dR_{ν}' in Equations 8 and 10 to 12 can be replaced by 0 without changing the result. Parameters z, dT_s , h, dR_v , and ε are diagnosed for the 22 23 climatic states 1 and 2 from LMDZ-iso simulations (ex. for pairs of experiments, MOD 24 and INT cases). Parameter ε is estimated as $\varepsilon = R_p - R_v$, where R_p and R_v are isotopic ratios 25 simulated by LMDZ-iso. Parameter h is the relative humidity simulated by LMDZ-iso. 26 Altitude z is a prescribed boundary condition of the simulations. Parameter dR_v is 27 estimated by calculating the difference between the water vapour isotopic ratio simulated 28 by LMDZ-iso ($R_{v,LMDZ}$) and that predicted by Rayleigh distillation if the initial water 29 vapour isotopic ratio is $R_{\nu\theta}$:

1
$$dR_v = R_{v,LMDZ} - R_{v0} \cdot (q/q_0)^{\alpha - 1}$$
 (18)

where *q* is the specific humidity simulated by LMDZ-iso and α is the isotopic fractionation as a function of the near-surface air temperature T_s simulated by LMDZ-iso. Parameter dT_s is estimated from equation (5) by calculating the difference between the near-surface air temperature simulated by LMDZ-iso and that predicted by the adiabatic lapse rate:

$$7 dT_s = Ts - T_0 - \Gamma \cdot (z - z_0) (19)$$

8 All the isotopic decomposition terms computed are weighted by the precipitation amount.

9 2.4 Robustness of the decomposition

10 First, to check whether the linear decomposition is a good approximation of the total 11 R_p change, we estimate the non-linear term N as a residual, i.e. for each pair of states, we 12 calculate the deviation of $\Delta R_p = R_p(\varepsilon_2, dR_{v2}, h_2, dT_{s2}, z_2) - R_p(\varepsilon_1, dR_{v1}, h_1, dT_{s1}, z_1)$ from 13 LMDZ-simulated isotopic differences between the two experiments. N represents less 14 than 17% of the total R_p change for both stages of TP uplift.

15 Our method to estimate the terms in Eq. (7) is equivalent to first order approximation of partial derivatives, i.e. we neglect the sensitivity of the partial derivatives to the state at 16 17 which they are calculated. We tested this sensitivity by using Eq. (8) to Eq. (12) changing 18 z' to z_1 or z_2 , dT_s' to dT_{s2} or dT_{s1} and so on. For example, in Eq. (12), replacing of h' by 19 h1 changes the resulting $R_{p,\Delta z}$ by 0.03‰, replacing of h' by h2 has an impact of 0.09‰. In 20 the same equation, replacing of dT_s' by dT_{s1} and by dT_{s2} contributes to $R_{p,\Delta z}$ by 0.005‰ 21 and 0.039‰ respectively. As it was highlighted earlier, replacing of ε' and dT_s' by ε_1 or ε_2 22 and dR_{v1} or dR_{v2} respectively has no impact to the resulting $R_{p,\Delta z..}$ Thus, our method shows 23 low sensitivity to the state.

Second, to check the influence of initial conditions R_{v0} , T_0 and q_0 on the decomposition, we estimate the sensitivity of the different contributions to changes in R_{v0} , T_0 , and q_0 , of 1%, 1K and 10% respectively (Table 2). R_{v0} is the parameter that influences the most the decomposition terms, with a maximal sensitivity obtained of 0.9% for $\Delta R_{p,\Delta z}$ for a change of 1‰ in R_{v0} . Sensitivity to temperature and humidity are lower, ranging from 0 to 0.6‰. Overall, all the decomposition terms show a sensitivity <1% with most (82%) of them <0.5%, making our decomposition method robust.

1 3 Results

2 **3.1** Model validation in terms of simulated climate variables

LMDZ has been used for numerous present-day climate and paleoclimate studies 3 4 (Kageyama et al., 2005; Ladant et al., 2014; Sepulchre et al., 2006), including studies of 5 monsoon region (e.g. Lee et al., 2012; Licht et al., 2014). Yao et al., (2013) showed that 6 LMDZ-iso has the best representation of the altitudinal effect compared to similar GCM 7 and RCM models. These authors also have provided a detailed description of rainfall 8 patterns over the Tibetan Plateau, and showed LMDZ-iso ability to simulate atmospheric dynamics and reproduce rainfall and δ^{18} O patterns consistent with data over this region. 9 10 For the purpose of our experiments validation, we compare MOD experiment outputs 11 with rainfall data from the Climate Research Unit (CRU) (New et al., 2002) (Fig. 3 A B 12 C). When compared to CRU dataset, MOD annual rainfalls depict an overestimation over 13 the high topography of the Himalayas and the southern edge of the Plateau, with a rainy 14 season that starts too early and ends too late in the year. Over central Tibet (30-35°N), the seasonal cycle is well captured by LMDz-iso, although monthly rainfall is always slightly 15 16 overestimated (+0.5 mm/day). CRU data shows that the northern TP (35-40°N) is dryer with no marked rainfall season and a mean rainfall rate of 0.5 mm/day. In MOD 17 18 experiment, this rate is overestimated (1.5 mm/day on annual average). Despite these 19 model-data mismatches, the ability of LMDZ-iso to represent the seasonal cycle in the 20 south and the rainfall latitudinal gradient over the TP allows its use for the purpose of this 21 study.

Our MOD simulation is pre-industrial, consequently a comparison with modern data is expected to provide differences driven by the pre-industrial boundary conditions. Still comparing LMDZ-iso outputs with mean annual temperatures from CRU dataset (New et al., 2002) (Fig. 3 D E F) and relative humidity from NCEP-DOE Reanalysis (Kanamitsu et al., 2002) (Fig. S1) shows that LMDZ-iso model captures these variables reasonably well.

28

29 **3.2** Impact of TP uplift on Asian climate

30 Theoretically, the Tibetan Plateau has both mechanical and thermal effects on 31 atmospheric dynamics that induce increased monsoon activity to the south and drive arid climate to the north (Broccoli and Manabe, 1992; Sato and Kimura, 2005). Thus
 modifying TP height is expected to alter these large-scale atmospheric dynamics and
 associated climate variables (namely temperature, precipitation, relative humidity
 (hereafter RH), cloud cover), and in turn to affect the isotopic signature of rainfall.

5 In LOW experiment, strong summer heating leads to the onset of a "Thermal Low" at the latitude of maximal insolation (ca. 32°N), similar to the present-day structure existing 6 over the Sahara desert (Fig. S2). This structure is superimposed by large-scale subsidence 7 8 linked to the descending branch of the Hadley cell, and both factors act to drive 9 widespread aridity over TP area between ca. 30°N and 40°N, associated with very low 10 (<40%) RH values (Fig. S2). Subsidence also prevents the development of South Asian monsoon over the north Indian plane and favours aridity over this region. In winter, 11 12 large-scale subsidence induces high surface pressures and creates an anticyclonic cell that 13 prevents convection and humidity advection, resulting in low RH and annual rainfall 14 amount ranging from 50 to 500 mm over TP area (Fig. 4 F).

15 Uplifting TP from 250m above sea-level (ASL) to half of its present-day altitude (INT 16 case) initiates convection in the first tropospheric layers, restricting large-scale 17 subsidence to the upper levels (Fig. 4 C E). In turn, south Asian monsoon is strengthened 18 and associated northward moisture transport and precipitation increase south of TP (Fig. 19 5, 6). As a consequence the hydrological cycle over TP is more active, with higher 20 evaporation rates (Fig. 7 D). Together with colder temperatures linked to higher altitude 21 (adiabatic effect) (Fig. 7 B), the stronger hydrological cycle drives an increase in RH 22 (Fig. 7 A) and cloud cover (Fig. S3). Another consequence of increased altitude is higher 23 snowfall rates in winter and associated rise of surface albedo (Fig. S4). When added to 24 the increased cloud cover effect, this last process contributes to an extra cooling of air 25 masses over the Plateau. To the north of TP, the initial stage of uplift results in increased aridity (i.e. lower RH and rainfall) over the Tarim Basin region (Fig. 6). This pattern can 26 27 be explained both by a barrier effect of southern topography and by stationary waves 28 strengthening, that results in subsidence to the north of TP. This latter mechanism is 29 consistent with pioneer studies which showed that mountain-related activation of 30 stationary waves prevented cyclonic activity over Central Asia and induced aridity over 31 this region (Broccoli and Manabe, 1992).

1 The impact of the terminal stage of TP uplift also drives an increase in RH over the 2 Plateau, especially during summer time, when a very active continental recycling (Fig. 3 S6) makes RH rise from 40% (INT) to 70% (MOD). Precipitation amount also increases 4 significantly (Fig. 6), driven both by increased evaporation and water recycling during 5 summer, and intense snowfall during winter. The latter contributes to increase the surface 6 albedo and associated surface cooling during winter. Conversely, the uplift to a modernlike Plateau reduces RH (down to 30%) north of the Plateau, and allows the onset of large 7 8 arid areas. We infer that this aridification is linked to a mechanical blocking of moisture 9 transport, both by Tian Shan topography for the winter westerlies, and the eastern flanks of TP for summer fluxes, since despite changes in stationary waves structure and sensible 10 heat (not shown), no marked shift in subsidence between INT and MOD experiments is 11 12 simulated. This result is consistent with recent studies (Miao et al., 2012; Sun et al., 13 2009) that have suggested the potential contribution of Pamir and Tian Shan rainshadow 14 effect to aridification in Qaidam Bassin and creation of Taklamakan Desert.

15

16 **3.3** Response of precipitation δ^{18} O to TP uplift

17 3.3.1 Model validation in terms of simulated precipitation δ^{18} O

The modern mean annual isotopic distribution is characterised by very depleted values of 18 δ^{18} O over the Himalayas and the southern Tibet (down to -18‰) and a shift to more 19 positive values (ranges from -11 to -13‰) over northern TP and Kunlun from 30°N to 20 35°N. Precipitation δ^{18} O over Tarim Basin experiences an abrupt decrease compared to 21 northern TP, with values down to -16‰. (Fig. 8 A). Overall, simulated annual mean 22 $\delta^{18}O_n$ are consistent with sparse observations from the International Atomic Energy 23 Agency (IAEA) Global Network of Isotopes in Precipitation and δ^{18} O in precipitation 24 25 measurements compiled from Quade et al. (2011), Bershaw et al. (2012), Hren et al. 26 (2009), Caves et al. (2015) (Fig. 8 A B). In general, the model shows a good agreement with precipitation and VSMOW-weighted modern surface waters δ^{18} O, including stream, 27 lake and spring waters (data from Bershaw et al., 2012; Hren et al., 2009; Quade et al., 28 2011), as testified by a Pearson coefficient of 0.86 between modelled and observed 29 precipitation δ^{18} O (Fig 8 C). This comparison shows the ability of LMDZ-iso to 30 reproduce the decrease in δ^{18} O from India subcontinent to Himalayas foothills and with 31 minimum values over the Himalayas. Simulated increase in $\delta^{18}O$ over the TP with the 32

1 distance from the Himalayas is also consistent with data sampled along a southwestnortheast transect across the Plateau (Bershaw et al., 2012). However over the northern 2 margins of the TP, LMDZ-iso underestimates simulated δ^{18} O in precipitation (Fig. 8 A). 3 This model-data mismatch may result from two types of uncertainties. First despite the 4 5 high resolution obtained with a zoomed grid, restricted topographic features could be not 6 well-captured over some parts of the TP, which could lead our simulations to miss local processes affecting δ^{18} O in rainfall. Second, overestimating the westerlies fluxes (see the 7 comparison with the ERA moisture transport on Fig. 5 A) could lead to underestimate 8 δ^{18} O over the northern part of the TP, through advection of depleted air masses. 9 10 Nevertheless, despite our model does not capture well the absolute maximal values, the regional latitudinal gradient is correctly represented, and most observed values are within 11 the range of simulated δ^{18} O (Fig. 8B). We consider that the ability of LMDZ-iso to 12 represent this gradient makes it reliable to carry out this study, which is focusing on 13 14 sensitivity experiments with large changes in topography and associated anomalies in δ^{18} O. 15

16

17 **3.3.2** Simulated isotopic changes and signal decomposition

To first order, increasing topography over TP leads to more negative δ^{18} O over the region 18 (Fig. 9). In the absence of topography, precipitation δ^{18} O follows a zonal pattern and 19 undergoes a weak latitudinal depletion on the way to the continental interior, except from 20 21 slight deviations over India, central China and the Eastern part of the TP (Fig. 9 B). At 40°N, i.e. the northern edge of modern TP, δ^{18} O values reaches -9‰ in LOW case, 22 23 compared to -14‰ in MOD case. For the INT case the latitudinal depletion from south to north is stronger (ca. 0.4‰ per latitudinal degree), with δ^{18} O values ranging from -6‰ 24 25 for the lowered Himalayas foothills to -11‰ for northern and eastern margins of TP (Fig. 26 9 A).

27 The total difference in isotopic composition of precipitation, ΔR_p , between pairs of 28 experiments (INT-LOW, MOD-INT) is significant beyond the areas where the 29 topography was reduced by the experimental design (Fig. 10 A, Fig. 11 A). Substantial 30 differences in δ^{18} O between MOD and INT experiments are simulated over the southern 31 TP (up to 10‰) and over the Tarim Basin (up to 7‰). Between INT and LOW cases, the differences are over the margins of the TP, over Pamir, Tian Shan and Nan Chan. We
should note that the isotopic difference becomes more important for the later stage of the
plateau uplift. For clarity, we define two boxes, over the northern (from 34°N to 38°N
and from 88°E to 100°E) and southern (from 27°N to 33°N and from 75°E to 95°E) part
of TP (Fig. 12).

6

7 Direct topography effect on δ^{18} O

8 The direct effect of topography change is determined as the decomposition term $\Delta R_{n,\Delta z}$ in 9 Eq. (7). For the initial stage of the uplift, the altitude effect produces a decrease in precipitation δ^{18} O ranging from -1 to -3‰ (Fig. 10 B). For the terminal stage of the uplift, 10 the isotopic decrease linked with altitude goes up to -7‰ (Fig. 11 B). Differences 11 between both stages are linked to the non-linear relationship between δ^{18} O and elevation. 12 Also for both stages, the difference between ΔR_p and $\Delta R_{p,\Delta z}$ is non-zero (Fig. 12 A, Fig. 13 14 13 A). These differences are particularly marked for the terminal stage, for which $\Delta R_{p,\Delta z}$ 15 averages -5.5‰ over the northern part of TP (Fig. 13 A B), whereas the total isotopic 16 change averages -3‰. Locally, the difference between $\Delta R_{p,\Delta z}$ and ΔR_p can reach +4‰. When averaged over the southern box, $\Delta R_{p,\Delta z}$ is less negative (-4‰) than ΔR_p (-4.6‰), 17 18 with localized maximum differences reaching -4‰. Offsets between $\Delta R_{p,\Delta z}$ and ΔR_p are 19 also detected for the initial stage of the uplift (Fig. 12 A B), but are lower: they reach +2‰ over central TP but barely reach 1‰ when averaged over southern and northern 20 boxes. These offsets are related to additional effects of uplift on δ^{18} O that are discussed in 21 the following sections. 22

23

24 Non-adiabatic temperature changes impact

Besides the adiabatic temperature effects linked with the TP uplift, non-adiabatic temperature changes can be identified, in relation with surface albedo and cloud cover changes depicted in 3.2.1. The term $\Delta R_{p,\Delta dT}$ in Eq. (7) (Table 1, line 3) is associated with these non-adiabatic effects, i.e. spatial variations of the temperature lapse rate. Figure 10 C and Figure 11 C show the portion of the total isotopic signal that is linked to this effect. It plays a modest role for the early phase of uplift (+1-2‰ locally), but is more important for the second stage. It contributes to 2-5‰ of total isotopic difference, with a positive sign over southeast TP interior, TP northern margins and Asia interior. Negative anomalies have a magnitude of 2-3‰, but are less widespread, localized over the TP interior (Fig. 11 C). Positive isotopic anomalies are associated with steeper lapse rate than expected based on adiabatic processes. Conversely, negative δ^{18} O anomalies that are observed over northern TP and over Pamir are explained by a weaker lapse rate than adiabatic. Overall, these variations represent between 10 and 19% (4-10% for the initial stage) of the processes that are not linked to topography (Fig. 12 D, E and 13 D, E).

8

9 Impact of RH changes during condensation process

10 The term $\Delta R_{p,\Delta h}$ in Eq. (7) depicts the portion of total isotopic signal ΔR_p linked to local 11 RH change during condensation process (Table. 1, line 4). Over TP, $\Delta R_{p,\Delta h}$ is positive for both uplift phases, and RH changes act as a counterbalance to the topography effect. 12 $\Delta R_{p,\Delta h}$ reaches +4‰ for the late stage (Fig. 11 D), and maxima are located over western 13 14 part and northern part of TP for both stages of the uplift. Equation (4) shows that this 15 positive anomaly is directly related to the increase in RH described in 3.2.1. For the 16 initial stage, $\Delta R_{p,\Delta h}$ depicts also positive values (up to +3‰) to the southwest of TP. When averaged over northern and southern boxes, the counterbalancing effect of RH on 17 18 ΔR_p ranges from 1.5 to +3%, and this effect represents up to 76% of all non-topographic 19 processes (Fig. 12, 13). Interestingly, an opposite signal is simulated over the Tarim 20 basin, where topography was kept constant in the three experiments. This signal is 21 consistent with the previously-depicted decrease in RH over this region, in relation with 22 rain-shadow effects and large-scale subsidence.

23

24 **Post-condensation processes impact**

The difference between $\delta^{18}O_v$ and $\delta^{18}O_p$ is linked to the post-condensation effects, mainly associated with raindrop reevaporation that can occur after initial condensation. Because lighter isotopes evaporate more easily, rain reevaporation leads to an isotopic enrichment of precipitation. Therefore, the more reevaporation, the greater the difference between $\delta^{18}O_p$ and $\delta^{18}O_v$. We refer to the study of (Lee and Fung, 2008), where post-condensation effects are explained in details. The contribution of such processes increases dramatically for very dry areas, where the relative humidity is less than 40%. Estimation of term

1 $\Delta R_{p,\Delta\varepsilon}$, i.e. the change in isotopic difference between vapour and precipitation, allows to quantify the contribution of post-condensational processes to total ΔR_p signal (Fig. 10 E, 2 11 E) without appealing to the d-excess. For both stages of uplift, $\Delta R_{p,\Delta\varepsilon}$ is mostly 3 negative, indicating a depletion of R_p relatively to R_v with the uplift. Over the Plateau, 4 5 contribution of post-condensational effects for the initial stage of uplift ranges from 25% 6 to 46% of total non-topographic effects, whereas it represents less than 10% for the 7 terminal stage (Fig. 12 A, 13 A). The most significant signal is simulated over the 8 northern part of the Plateau and over its western margin and adjacent areas. Post-9 condensational effects during the initial stage lead to up to a -5‰ anomaly over the 10 western margin of TP (Fig. 12 E) whereas the terminal stage creates a substantial 11 negative anomaly only over northern TP margin and Tarim Basin (Fig. 13 E).

12

13 **Residual processes effect**

14 The last term of Eq. (7), $\Delta R_{p,\Delta dRv}$, corresponds to the part of the total isotopic signal that 15 could not be explained by previously mentioned processes. These residual anomalies are 16 rather weak for the initial stage of the uplift, explaining less than 1% of the signal over 17 the northern plateau, and around 1‰ over the southern TP and adjacent parts of Asia and 18 India (Fig. 10 F). Contribution of these effects to the initial stage is 4% and 21% to the 19 northern and southern box respectively (Fig. 12 D E). Conversely, for the terminal stage 20 of the TP uplift this anomaly reaches up to -4‰ over the southern part of the TP (Fig. 11 21 F) and contributes to 49% of the non-topographic processes signal (Fig. 13 D E). In the 22 next sections we propose several mechanisms that could contribute to this residual 23 anomaly.

24

25 4 Discussion

Our results suggest that TP uplift affects precipitation δ^{18} O through direct topographic effect, but that a significant part of the signal is related to several other processes. These processes alter the isotopic signal not only over TP, but also over adjacent regions, where topography was kept the same by the experiment design. A second result is that despite a similar altitudinal change of TP between the two uplift stages, the topographic effect on δ^{18} O is more perturbed by other processes during the terminal stage than during the initial one. 1 For the terminal stage, the residual effects change over the southern region dominates 2 (49%) the isotopic signal that is not linked to the direct topographic effect. The RH change and non-adiabatic temperature changes also have an important counterbalancing 3 4 impact, together contributing to 43% of the isotopic signal (Fig. 13 E). For the northern 5 region, the topographic effect is mainly counterbalanced by the RH change effect (2.5‰), ultimately leading to a 2.3% offset between ΔR_p and what expected from topography. 6 Here RH contributes to 76% of the isotopic signal not linked with the topography change, 7 8 while non-adiabatic temperature changes, residual effects change and post-condensational 9 processes have at impact of 16%, 7% and <1% respectively (Fig. 13 D).

10

11 4.1 Impact of RH variations

RH alters rainfall isotopic signature through two steps, during and after condensation. As 12 mentioned earlier, the first effect of RH, as shown in Eq. (4) and expressed as $\Delta R_{p,\Delta h}$, 13 14 occurs during condensation through Rayleigh distillation and induces that R_p increases with increasing RH. Our model shows that RH increases over TP with the initial stage of 15 uplift, driving precipitation δ^{18} O towards less negative values. This mechanism is more 16 efficient for the terminal stage of uplift, when RH is increased in summer as a response of 17 a more active water cycle. South of TP, RH direct effect on δ^{18} O is noticeable, as 18 19 efficient moisture transport is activated with the uplift-driven strengthening of monsoon 20 circulation (Fig. 4). Interestingly, this mechanism is not active for the second stage of the 21 uplift, during which rainfall increases through more effective convection, not through higher advection of moisture. As a consequence, negligible RH and R_p changes are 22 23 simulated south of the Plateau when it reaches its full height. This suggests that an 24 altitudinal threshold might trigger south Asian monsoon strengthening, and ultimately precipitation δ^{18} O signature, a hypothesis that should be explored in further studies. 25 Conversely, the negative values of $\Delta R_{p,\Delta h}$ over and northeast of the Tarim basin are 26 27 related to a decrease in RH during both stages. Our analysis suggests that the first uplift stage is sufficient to create both barrier effects to moisture fluxes and large-scale 28 subsidence that ultimately drive aridity over the region. 29

30 The second effect of RH on δ^{18} O concerns very dry areas (ca. < 40%), where raindrop re-31 evaporation can occur after initial condensation, leading to an isotopic enrichment of 1 precipitation compared to water vapour (Lee and Fung, 2008) (Fig. S2). Such an effect is 2 implicitly included in the post-condensational term of our decomposition that shows 3 opposite sign when compared to $\Delta R_{p,\Delta h}$. Over the Plateau, this mechanism is effective 4 only for the first uplift stage, where TP area transits from very low precipitation amounts 5 and very low RH values to wetter conditions (Fig. S7).

6 Over TP, the opposed effects of RH almost compensate each other for the early stage of 7 the uplift (Fig. 10 D, E), but it is not the case for the final stage, since RH post-8 condensational effect is similar between INT and MOD experiments. Since absolute 9 values of the impact of RH through condensation and post-condensational processes can 10 reach 5‰, it is crucial to consider RH variation when inferring paleoaltitudes from 11 carbonates δ^{18} O.

12

13 **4.2** "Amount effect" and monsoon intensification

14 Our results also show a substantial increase in precipitation amount over northern India, 15 the Himalayas and TP with the growth of topography for both uplift stages (Fig. 13). The 16 inverse relation between the enrichment in heavy isotopes in precipitation and precipitation amount, named the "amount effect" (Dansgaard, 1964) is largely known for 17 oceanic tropical conditions (Risi et al., 2008; Rozanski, Kazimierz Araguás-Araguás and 18 19 Gonfiantini, 1993) and for Asia monsoonal areas (Lee et al., 2012; Yang et al., 2011). 20 Over South Tibet recent studies have shown the role of deep convection in isotopic 21 depletion (He et al., 2015). For the two stages of uplift, the residual component of the 22 isotopic signal depicts negative values over southern TP, where annual rainfall amount is 23 increased. Thus we infer that this anomaly can be driven, at least partly, by the amount effect that increases with growing topography. 24

Various climate studies have suggested that the appearance of the monsoonal system in East Asia and the onset of central Asian desertification were related to Cenozoic Himalayan–Tibetan uplift and withdrawal of the Paratethys Sea (An et al., 2001; Clift et al., 2008; Guo et al., 2002, 2008; Kutzbach et al., 1989, 1993; Ramstein et al., 1997; Raymo and Ruddiman, 1992; Ruddiman and Kutzbach, 1989; Sun and Wang, 2005; Zhang et al., 2007) although the exact timing of the monsoon onset and its intensification remains debated (Licht et al., 2014; Molnar et al., 2010). Although our experimental 1 setup, which does not include Cenozoic paleogeography, was not designed to assess the 2 question of monsoon driving mechanisms nor its timing, our results suggest that uplifting 3 the Plateau from 250 meters ASL to half of its present height is enough to enhance 4 moisture transport towards northern India and strengthen seasonal rainfall. Nevertheless, 5 massive increase of rainfall over TP between INT and MOD experiments indicates that 6 the second phase of uplift might be crucial to activate an efficient, modern-day-like, 7 hydrological cycle over the Plateau. The decrease in simulated precipitation north of the 8 Plateau also suggests that terminal phase of TP uplift triggered modern-day arid areas.

9

10 **4.3 Other effects**

11 Although precipitation amount change explains well the residual isotopic anomaly (Fig. 10 F, Fig. 11 F), additional processes could interplay. Continental recycling can overprint 12 13 original moisture signature and shifts the isotopic ratios to higher values due to 14 recharging of moisture by heavy isotopes from soil evaporation (Lee et al., 2012; Risi et 15 al., 2013). In our simulation, we detect an increasing role of continental recycling in the hydrological budget of the TP (Fig. S6), especially in its central part, that likely shifts the 16 δ^{18} O to more positive values and partially compensate for the depletion linked to the 17 18 "amount effect" over the central plateau. Another process frequently invoked to explain the evolution of precipitation δ^{18} O patterns over TP is changes in moisture sources 19 20 (Bershaw et al., 2012; Dettman et al., 2003; Quade et al., 2007; Tian et al., 2007). Except for the continentally recycled moisture, southern Himalayas precipitation moisture 21 22 originates mainly from the Indian, the Atlantic and the Pacific Oceans (Fig. S6). 23 Proximate oceanic basins are known to be sources of moisture with more positive 24 signature than remote ones (Chen et al., 2012; Gat, 1996). Supplemental analyses with 25 water-tagging feature of LMDZ-iso show that contribution of continental recycling to rainfall over TP increases with the uplift, at the expanse of Pacific and Indian sources 26 27 (Fig. S6). Although we have no mean to decipher between sources and amount effect in 28 the residual anomaly, it seems that the change of sources is not sufficient to yield a strong offset of δ^{18} O values. 29

1 4.4 Relevance of paleoelevation reconstructions based on paleo δ^{18} O

Quantitative paleoelevation reconstructions using modern altitude- δ^{18} O relationship will 2 succeed only if ΔR_n corresponds mainly to the direct topography effect. Modern 3 paleoaltimetry studies cover almost all regions of the Plateau for time periods ranging 4 from Palaeocene to Pleistocene-Quaternary (see data compilation in Caves et al., 2015). 5 Most of these studies consider changes in δ^{18} O as a direct effect of the topography uplift. 6 7 Paleoelevation studies locations (see Caves et al., 2015 for a synthesis) plotted over the 8 anomaly maps (Fig. 12 A, Fig. 13 A) show for what geographical regions restored 9 elevations should be used with an additional caution. Numerous paleoelevation data 10 points were located either over the northern part of the TP (from 34°N to 38°N and from 88°E to 100°E) or over the southern region (from 27°N to 33°N and from 75°E to 95°E). 11

12 Our model results show that when TP altitude is increased from half to full, considering topography as an exclusive controlling factor of precipitation δ^{18} O over the southern 13 14 (northern) region likely vield overestimations (underestimations) of surface uplift, since the topography effect is offset by RH and amount effects. Projecting our modelling 15 16 results to each locality where paleoelevation studies have been published (Table 4) 17 reveals that topography change explains simulated total isotopic change reasonably well 18 for only few locations (Linzhou Basin, Lunpola Basin, Kailas Basin, Huaitoutala). 19 Indeed topography appears to be the main controlling factor for only 40% of the sites, 20 while 30% are dominated by RH effects, 20% by residual effects and 5% by post-21 condensational and non-adiabatic temperature changes, respectively. Nevertheless such 22 figures have to be taken carefully, since we ran idealized experiments testing only the impact of uplift, neglecting other factors like horizontal paleogeography or pCO₂ 23 variations, the latter being known to influence δ^{18} O as well (Jeffery et al., 2012; Poulsen 24 and Jeffery, 2011). 25

For the initial uplift stage apparent consistency occurs between the topography impact and the total isotopic composition, in relation with counteracting effects RH and potscondensational processes. For the southern region RH impact is appeared to be the main controlling factor for the isotopic composition of precipitation, surpassing the direct topography impact. Nevertheless, these processes have a different contribution for initial and terminal stages of uplift. Precipitation changes lead to overestimate altitude changes for both stages, but for the terminal stage its contribution is bigger. This effect dominates in the southern part, and more generally where the isotopic composition of precipitation strongly depends on convective activity. RH changes dominate over the western part of TP and Northern India for initial uplift stage and over the northern TP for the terminal. Differences between both stages could be partly explained by non-linearities in q_s – temperature relationships, as well as in Rayleigh distillation processes (Fig. S8). Determining whether other processes contribute to this difference would be of interest, but was out of the scope of the present-study.

8

9 **5** Conclusions

Previous studies focusing on the Andes (Ehlers and Poulsen, 2009; Poulsen et al., 2010) 10 11 or north American cordillera (Sewall and Fricke, 2013) have inferred that the impact of uplift of mountain ranges on δ^{18} O could be altered by the consequences of the uplift on 12 atmospheric physics and dynamics. Our modelling results show that it is also the case for 13 14 the Tibetan Plateau uplift. Additionally, we designed a decomposing analysis to quantify for the first time the different processes that can alter precipitation δ^{18} O changes with 15 uplift. As suggested for the Andes, the onset of convective rainfall plays an important 16 role in shifting δ^{18} O towards more negative values. Nevertheless this process is not the 17 main factor, as we show that saturation of air masses, quantified by RH have two to 18 three-time bigger effects on the final δ^{18} O. We infer that increase in precipitation linked 19 20 with the TP uplift would lead to overestimation of the topography uplift at sites over 21 Himalayas and Southern TP, whereas increase in RH leads to underestimating the uplift 22 at sites in Northern Tibet.

Our results could be applied to interpret paleoclimate records and to reconstruct the 23 24 region uplift history. Paleoelevation reconstructions suggest the Himalayas attained their 25 current elevation at least by the late Miocene or even earlier (Garzione et al., 2000a, 2000b; Rowley et al., 2001; Saylor et al., 2009). Our results show overestimation of the 26 topography impact over this region, thus the Himalayas may have attained their current 27 28 elevation later than expected. In contrast, isotope-based paleoaltimetry could 29 underestimate surface elevation over the northern TP. This could explain why available 30 isotope-based paleoelevation estimates for the northern TP (Cyr et al., 2005), which estimates surface elevation at about 2 km, contradict palynological assemblages in 31 32 lacustrine sediments from the Xining Basin, which show the presence of high-altitude

1 vegetation at the same time period (Dupont-Nivet et al., 2008; Hoorn et al., 2012).

2 Still, our decomposition methods reveal that even if the impact of the TP uplift phases are 3 rather straightforward (monsoon enhancement to the South, increase in continental 4 recycling over TP, moisture fluxes deflection and increased aridity to the North), the consequences in terms of δ^{18} O are extremely complex, since interplays and compensation 5 occur amongst all the processes. Limitations in our approach are related to a perfectible 6 7 hydrological cycle in LMDZ-iso, and idealized boundary conditions (topography uplift 8 scenarios, modern land-sea mask, SSTs and pCO₂). Model-data comparison show that 9 mean annual precipitation amount is slightly overestimated by the model for the northern 10 TP, thus could result in underestimation of the amount effect contribution for the northern 11 TP. On the contrary, the model overestimates the precipitation over the southern edge of Himalayas. If it was more realistic, the contribution of the amount effect estimated by the 12 13 decomposing method could be less important. Changes in vegetation cover, by altering 14 albedo and persistence of snow cover, could affect the impact of non-adiabatic temperature changes on δ^{18} O. Vegetation over Asia was shown to have a major variation 15 16 through Cenozoic based on pollen (Dupont-Nivet et al., 2008; Miao et al., 2011; Song et al., 2010; Zhao and Yu, 2012) and paleobolanical data (An et al., 2005; De Franceschi et 17 18 al., 2008; Kohn, 2010) and future studies would benefit to explore its impact on precipitation δ^{18} O. Also it is largely known that during the Cenozoic air temperature was 19 higher due to higher concentration of greenhouse gases in the atmosphere (Zachos et al., 20 21 2008). Studies taking into account this feedback inferred that it could lead to even larger 22 inaccuracy in surface uplift estimations during the Cenozoic (Poulsen and Jeffery, 2011). 23 Thus the field of paleoaltimetry would benefit from future studies focusing on (1) using paleoclimate proxies to constrain specifically relative humidity, surface temperature and 24 25 precipitation amount in deep time and (2) applying a decomposition method to isotope-26 enabled GCM simulations forced by constrained paleogeography (land-sea mask and 27 different scenarios for orogens) and atmospheric pCO₂ for specific geological time period. The combination of both could help refining calibration for paleo δ^{18} O-elevation 28 relationships and refining paleoelevation estimates. 29

30

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1 Table 1. Table detailing how the different terms of the decomposition for ΔR_p , as written

2 in Eq. (7), are estimated

Term written with differential format	Estimate of these terms	Physical meaning
ΔR_p	$R_{p}(dR_{vi2}, \varepsilon_{2}, h_{2}, dT_{s2}, z_{2}) - R_{p}(dR_{vi1}, \varepsilon_{1}, h_{1}, dT_{s1}, z_{1})$	Total isotopic difference between state 1 and state 2
$\Delta R_{p,\Delta z}$	$R_p(\varepsilon', dR_v', h', dT_s', z_2) - R_p(\varepsilon', dR_v', h', dT_s', z_1)$	Direct effect of topography change
$\Delta R_{p,\Delta dTs}$	$R_p(\varepsilon', dR_v', h', dT_{s2}, z') - R_p(\varepsilon', dR_v', h', dT_{s1}, z')$	Effect of lapse rate change, associated with non-adiabatic effects, possibly due to changes in surface energy budget or in large- scale atmospheric stratification
$\Delta R_{p,\Delta h}$	$R_p(\varepsilon', dR_v', h_2, dT_s', z') - R_p(\varepsilon', dR_v', h_1, dT_s', z')$	Effect of local relative humidity change, possibly due to large-scale circulation changes
$\Delta R_{p,\Delta arepsilon}$	R _p (ε ₂ , dR _v ', h', dT _s ', z') – R _p (ε ₁ , dR _v ', h', dT _s ', z')	Effect of changes in condensational and post- condensational effects, possibly due to changes in rain reevaporation processes
$\Delta R_{p,\Delta dRv}$	R _p (ε', dR _{v2} , h', dT _s ', z') – R _p (ε', dR _{v1} , h', dT _s ', z')	All other effects, including effects of deep convection, mixing, water vapour origin, continental recycling on the initial water vapour

1 Table 2. INT-LOW and MOD-INT sensitivity of the decomposition terms (in ‰) to the

 $2 \qquad \text{changes of } R_{v0}, \, T_0, \, q_0, \, \text{of } 1\%, \, 1K \text{ and } 10\% \text{ respectively.}$

	Northern Region			South re	South region			
	T ₀	q_0	Rv_0	T ₀	q_0	Rv_0		
		INT-J	LOW experir	nent				
$\Delta R_{p,\Delta z}$	0.08	0.33	0.67	0.07	0.25	0.51		
$\Delta R_{p,\Delta dT_s}$	0.01	0.02	0.04	0.07	0.06	0.13		
$\Delta R_{p,\Delta h}$	0	0.35	0.66	0	0.19	0.83		
$\Delta R_{p,\Delta dR_{vi}}$	0	0	0.05	0	0	0.52		
$\Delta R_{p,\Delta\varepsilon}$	0	0	0	0	0	0		
MOD-INT experiment								
$\Delta R_{p,\Delta z}$	0.21	0.6	0.8	0.17	0.59	0.9		
$\Delta R_{p,\Delta dT_s}$	0.2	0.09	0.18	0.19	0.02	0.05		
$\Delta R_{p,\Delta h}$	0	0.58	0.6	0	0.37	0.27		
$\Delta R_{p,\Delta dR_{vi}}$	0	0	0.65	0	0	0.67		
$\Delta R_{p,\Delta\varepsilon}$	0	0	0	0	0	0		

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1 Table 3. Values of isotopic changes due to decomposed terms for two uplift stages and

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Term	Isotopic change (‰)							
	Initia	l Stage	Terminal Stage					
	South	North	South	North				
$\Delta R_{p,\Delta z}$	-1.40	-2.00	-3.96	-5.50				
$\Delta R_{p,\Delta dTs}$	0.4	-0.09	0.76	-0.25				
$\Delta R_{p,\Delta h}$	2.40	1.97	1.38	2.50				
$\Delta R_{p,\Delta \varepsilon}$	-1.30	-1.73	-0.41	0.01				
$\Delta R_{p,\Delta dRv}$	-1.10	-0.14	-2.38	-0.54				
Total ΔR_p	-1.00	-1.99	-4.61	-3.16				

1 Table 4. Impact of the different terms of the decomposition on the isotopic signal for the terminal stage of HTP uplift in the location where

Locality	Latitude	Longitude	ΔR_p (‰)	$\Delta R_{p,\Delta z}$ (‰)	$\Delta R_{p,\Delta dTs}$ (‰)	$\Delta R_{p,\Delta \varepsilon}$ (‰)	$\Delta R_{p,\Delta h}$ (‰)	$\Delta R_{p,\Delta dRv}(\%)$	Paleoelevation studies at this locality
Aertashi	37.97	75.55	-1.619	-2.859	0.999	-0.294	-0.268	0.803	Kent-Corson et al. (2009)
Biger Noor	45.90	96.78	-1.169	-0.004	2.673	-0.702	-4.419	1.283	Caves et al. (2014)
Chake Basin	23.80	103.10	-0.252	0.006	-0.030	0.042	0.263	-0.533	Hoke et al. (2014)
Dzereg	47.14	93.06	-1.006	-0.004	2.216	-0.372	-4.313	1.466	Caves et al. (2014)
Eryuan	26.20	99.80	-1.356	-1.574	0.634	0.171	0.497	-1.083	Hoke et al. (2014)
Ganchaigou	37.69	91.04	-3.195	-2.780	0.836	-1.292	0.610	-0.570	Kent-Corson et al. (2009)
Gyirong									
Basin	28.70	85.25	-7.017	-3.850	1.073	-1.089	0.409	-3.559	Wang et al. (1996)
Hexi Corridor	39.52	97.52	-2.907	-0.279	1.732	-1.985	-2.293	-0.083	Kent-Corson et al. (2009)
Hoh Xil									
Basin	34.60	93.00	-3.972	-6.529	0.660	0.037	3.375	-1.514	Cyr et al. (2005)
Huaitoutala	37.30	96.70	-5.998	-4.418	-1.473	-3.620	3.104	0.409	Zhuang et al. (2011)
India Siwaliks	30.35	77.60	-1.862	0.006	0.103	-0.303	0.183	-1.851	Ghosh et al. (2004)

2 paleoelevation studies have been done

Janggalsay	38.15	86.62	-4.487	-2.406	1.026	-2.347	-0.952	0.192	Kent-Corson et al. (2009)
Jianchuan									
Basin	26.60	99.80	-1.356	-1.574	0.634	0.171	0.497	-1.083	Hoke et al. (2014)
Jingou	44.75	85.40	1.073	-0.031	1.270	1.435	-2.054	0.453	Charreau et al. (2012)
Kailas Basin	31.20	81.00	-6.705	-7.181	0.401	0.799	3.162	-3.886	DeCelles et al. (2011)
Kuitun	45.00	84.75	1.073	-0.031	1.270	1.435	-2.054	0.453	Charreau et al. (2012)
Lake Mahai	37.66	94.24	-0.964	-0.003	2.737	0.423	-4.188	0.066	Kent-Corson et al. (2009)
Lanping	26.50	99.40	-1.356	-1.574	0.634	0.171	0.497	-1.083	Hoke et al. (2014)
Lao Mangnai	36.94	91.96	-1.133	-3.998	0.447	0.356	2.233	-0.171	Kent-Corson et al. (2009)
Lenghu	37.84	93.36	-0.964	-0.003	2.737	0.423	-4.188	0.066	Kent-Corson et al. (2009)
Linxia Basin	35.69	103.10	0.443	-0.961	1.079	0.364	-0.410	0.371	Dettman et al. (2003)
Linzhou									
Basin	30.00	91.20	-6.756	-5.956	2.337	-0.057	0.886	-3.965	Ding et al. (2014)
Luhe	25.20	101.30	-0.242	0.009	0.317	0.411	-0.236	-0.742	Hoke et al. (2014)
Lulehe	37.50	95.08	-0.061	-0.987	1.724	1.950	-3.326	0.578	Kent-Corson et al. (2009)
Lulehe	37.50	95.08	-0.061	-0.987	1.724	1.950	-3.326	0.578	Kent-Corson et al. (2009)
Lunpola									
Basin	32.06	89.75	-6.763	-6.073	1.920	-0.652	1.561	-3.520	Rowley and Currie (2006)

Miran River	38.98	88.85	-4.786	-1.387	1.069	-2.683	-2.068	0.283	Kent-Corson et al. (2009)
Nepal									
Siwaliks	27.42	82.84	-1.370	0.006	-0.016	0.203	0.025	-1.588	Quade et al. (1995)
Nima Basin	31.75	87.50	-5.897	-7.724	-0.205	1.312	4.078	-3.359	DeCelles et al. (2011)
Oiyug Basin	29.70	89.50	-10.39	-7.842	2.634	-2.598	1.151	-3.735	Currie et al. (2005)
Oytag	38.98	75.51	-0.499	-0.716	1.320	0.719	-1.975	0.152	Bershaw et al. (2011)
Pakistan									
Siwaliks	33.39	73.11	0.645	0.008	0.380	0.407	0.379	-0.529	Quade et al. (1995)
Puska	37.12	78.60	-2.598	0.006	0.896	-0.472	-3.909	0.882	Kent-Corson et al. (2009)
Taatsin Gol	45.42	101.26	-0.731	-0.003	1.600	-0.364	-3.087	1.123	Caves et al. (2014)
Thakkhola	28.70	83.50	-4.018	-1.529	0.802	-0.310	-0.572	-2.409	Garzione et al. (2000)
Thakkhola-									
Tetang	28.66	83.50	-4.018	-1.529	0.802	-0.310	-0.572	-2.409	Garzione et al. (2000)
Xiao Qaidam	37.03	94.88	1.614	-1.376	1.772	3.117	-2.581	0.681	Kent-Corson et al. (2009)
Xifeng	35.70	107.60	0.245	0.00	0.522	0.173	-0.010	-0.440	Jiang et al. (2002)
Xorkol	39.01	91.92	-3.218	-0.871	1.871	-1.302	-2.970	0.054	Kent-Corson et al. (2009)
Xunhua Basin	35.90	102.50	0.443	-0.961	1.079	0.364	-0.420	0.371	Hough et al. (2010)
Yanyuan	27.50	101.50	-0.350	-1.152	0.657	0.539	0.373	-0.767	Hoke et al. (2014)

Endu Basii 51.50 79.75 -5.965 -4.616 -0.646 0.651 2.766 -2.657 Suyioi et al. (2009)	Zhada Basin	31.50	79.75	-3.983	-4.818	-0.046	0.831	2.708	-2.657	Saylor et al. (2009)
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Figure 1. Models design (A) 100% of modern topography - MOD case; (B) Tibetan Plateau,
Himalayas, Tian Shan, Pamir, Kunlun and Hindu Kush elevations reduced to 50% of modern
elevation - INT case; (C) Tibetan Plateau, Himalayas, Tian Shan, Pamir, Kunlun and Hindu
Kush elevations reduced to 250 m - LOW case.





Figure 3. CRU dataset annual-mean rainfall (mm/day) (A) and annual-mean temperature (°C)

(D) compared to simulated annual-mean rainfall for MOD experiment (B) and simulated

annual-mean temperature for MOD experiment (E). The seasonal cycles of spatially averaged

from 25°N to 40°N and from 75°E to 100°E for the MOD experiment precipitation (C) and

temperature (F). Green and red lines of figures (C) and (F) corresponds for MOD experiment,

- orange and black to the CRU dataset respectively.





Figure 4. Cross-TP profiles (averaged between 70 and 90°E) showing the relative humidity
and moisture transport for seasons (A, C, E) MJJAS and (B, D, F) ONDJFMA and for 3
simulation: (A, B) MOD, (C, D) INT, (E, F) LOW cases.

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Figure 5. Directions and intensity of JJA vertically-integrated humidity transport for: (A)

- averaged from ERA-40 re-analysis and for (B) MOD case, (C) INT case, (D) LOW case.







3 Figure 7. Intraannual variations in (A) low level relative humidity, (B) near-surface

4 temperature, (C) precipitation amount and (D) evaporation amount. All variables are averaged

5 for TP with the altitude over 1500 m. Black colour corresponds to MOD experiment, green -





Figure 8. (A) Annual mean δ^{18} O in precipitation simulated by LMDZ-iso for MOD case. Triangles show δ^{18} O in precipitation from GNIP stations, big circles – δ^{18} O in precipitation from Caves et al. (2015) compilation (annual mean and JJA values respectively), small circles represent δ^{18} O in streams, lakes and springs compiled from Quade et al., 2011, Bershaw et al., 2012, Hren et al., 2009. (B) S-N profiles of model simulated δ^{18} O for the MOD case. Blue points correspond to the same measured data as on panel A. The δ^{18} O profile is averaged between 75° E and 105° E. Grey lines show minimum and maximum values for the selected range of longitudes. (C) Observed vs. modelled δ^{18} O in precipitation. The colour corresponds of circles to the data set: red – Bershaw et al, 2012, blue – Quade et al, 2011, green – Hren et al, 2009, black – Caves et al, 2015, light blue show mean annual data from GNIP stations. Red line shows a linear regression.



- 2 Figure 9. Annual mean δ^{18} O in precipitation simulated by LMDZ-iso for (A) INT case and
- 3 (B) LOW case





Figure 10. (A) Total isotopic difference between INT and LOW experiments (ΔR_p) and spatial isotopic variations related to: (B) direct effect of topography changes, (C) effect of lapse rate change, associated with non-adiabatic effects, (D) effect of local relative humidity change, (E)

6 effect of changes in post-condensational processes, (F) all other effect (see Table 1)





3 Figure 11. (A) Total isotopic difference between MOD and INT experiments (ΔR_p)

4 and spatial isotopic variations related to: (B) direct effect of topography changes, (C) effect of

lapse rate change, associated with non-adiabatic effects, (D) effect of local relative humidity
change, (E) effect of changes in post-condensational processes, (F) all other effect (see Table
1)





Figure 12. A) Difference in δ¹⁸O_p between INT and LOW experiments that is not related to
direct effect of topography changes. Violet points show Cenozoic paleoelevation studies
locations (compiled from Caves et al., 2015). Red rectangles show regions for that averaged
values decomposed terms are shown: B) Northern region, C) Southern region. Pie diagrams
show portion of total isotopic difference related to processes other then topography: D)
Northern region, E) Southern region





- 1,





Figure 13. A) Difference in $\delta^{18}O_p$ between MOD and INT experiments that is not related to direct effect of topography changes. Violet points show Cenozoic paleoelevation studies locations (compiled from Caves et al., 2015). Red rectangles show regions for that averaged values decomposed terms are shown: B) Northern region, C) Southern region. Pie diagrams show portion of total isotopic difference related to processes other then topography: D) Northern region, E) Southern region.



3 Figure 14. Precipitation change (mm/day) for A) MOD-INT B) INT-LOW cases