

1 **Inferring late-Holocene climate in the Ecuadorian Andes using a chironomid-based temperature inference**  
2 **model**

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24 **1. Introduction**

25 Holocene climate variability (11.7 kcal yrs BP – present) offers the most recent opportunity to  
26 parameterise climate and ecosystem responses to natural forcing under current boundary conditions in the  
27 absence of intense anthropogenic activity (Mayewski *et al.*, 2004; Oldfield and Steffen, 2014). Furthermore,  
28 quantitative estimates of past climate over long time scales (>1000 yrs) are vital to improving the reliability of  
29 modelling and prediction of present and future climate variability (Mayewski *et al.*, 2004). The spatial  
30 distribution of palaeoclimate records, however, is currently uneven around the world. Quantitative  
31 reconstructions of past climate are common from mid- to high- latitudes of both hemispheres but data is much  
32 scarcer from low-latitude (tropical) regions (Jansen *et al.*, 2007). Tropical climate is the dominant driver of  
33 atmospheric circulation (Ivanochko *et al.*, 2005) and the source of intermittent phenomena, such as the El Niño  
34 Southern Oscillation (ENSO), which has a global influence on climate (Collins *et al.*, 2010). Quantitative  
35 estimates of past climate from the low latitude tropics, therefore, are crucial for investigating not only regional  
36 climate processes, but also teleconnections on long timescales (>1000 years) (Garreaud *et al.*, 2009; Jomelli *et*  
37 *al.*, 2009; Vuille *et al.*, 2000). Here we develop the first chironomid-based temperature inference model for  
38 tropical South America. The model is applied to a Holocene lake sediment sequence to generate a chironomid-  
39 inferred temperature reconstruction from the tropical East Andean flank.

40 Chironomidae (non-biting midges) is a family of two-winged aquatic insects of the order Diptera. The  
41 family is globally distributed and one of the most diverse within aquatic ecosystems (Armitage *et al.*, 1995).  
42 Many species are stenotopic, and their short life-cycles and ability to colonise favourable regions quickly means  
43 the insects are extremely sensitive to environmental change (Pinder, 1986). The head capsules of chironomid  
44 larvae are well preserved in lake sediments and have been used extensively as palaeoecological proxies (Brooks,  
45 2006; Walker and Cwynar, 2006). Chironomid-based temperature inference models, derived from modern  
46 calibration data sets, have been applied across North America (reviewed in Walker and Cwynar, 2006), Eurasia  
47 (reviewed in Brooks, 2006), and more recently the method has been applied in the Southern Hemisphere in  
48 Patagonia (Massaferro and Larocque, 2013; Massaferro *et al.*, 2014), Central America (Wu *et al.*, 2014), East  
49 Africa (Eggermont *et al.*, 2010), and Australasia (Dimitriadis and Cranston 2001; Woodward and Shulmeister  
50 2006).

51        Transfer functions make a number of underlying assumptions; particularly the environmental variable to be  
52 reconstructed is an ecologically important determinant in the system, and environmental variables other than  
53 one being reconstructed have a negligible effect on species assemblages (Juggins, 2013). Rarely are ecological  
54 systems as simple as transfer functions would imply and violations of these assumptions will undermine the  
55 validity of the environmental reconstruction (Juggins, 2013). Nevertheless, despite known inherent problems  
56 associated with transfer functions (Huntley, 2012; Juggins, 2013; Velle *et al.*, 2010), quantitative reconstructions  
57 from chironomid assemblages often produce consistent results that compare well with other proxy estimates of  
58 past temperature (Brooks, 2000; Brooks *et al.*, 2012; Heiri *et al.*, 2007). The best performing inference models  
59 can reconstruct temperatures with errors of *c.* 1°C (Brooks and Birks, 2001; Eggermont *et al.*, 2010; Heiri *et al.*,  
60 2003; Olander *et al.*, 1999a; Rees *et al.*, 2008; Self *et al.*, 2011) providing high resolution insights into past  
61 changes in climate (Brooks and Langdon, 2014), and validation of climate models (Heiri *et al.*, 2014).

62

### 63        **1.1 Holocene climate variability**

64        Holocene climate variability is subdued ( $\pm 2-3^{\circ}\text{C}$ ) (Mayewski *et al.*, 2004; O'Brien *et al.*, 1995) compared with  
65 the preceding Late Glacial (*c.* 15,000-11,700 years before present [yrs BP],  $\pm 7-10^{\circ}\text{C}$ ) (Alley, 2000; Anderson,  
66 1997), nevertheless rapid climate change events are recognised in Holocene palaeoclimate records (Marcott *et*  
67 *al.*, 2013; Mayewski *et al.*, 2004). Changes in insolation caused by solar forcing generally regarded as the  
68 dominant driver of global climate change during the Holocene (Mayewski *et al.*, 2004; Wanner *et al.*, 2008). The  
69 Roman warm period (250 BC-400 AD [2200-1550 yrs BP]), and cooling during the Little Ice Age (LIA) (1350-1850  
70 AD [600-100 yrs BP]) are well established features, notably across the Northern Hemisphere (Johnsen *et al.*,  
71 2001; O'Brien *et al.*, 1995). Some evidence from the tropics suggests Holocene climate fluctuations such as the  
72 LIA maybe global events (Thompson *et al.*, 2002; Wanner *et al.*, 2008); however, additional quantitative  
73 palaeoclimate records are needed to understand the expression of such events in the tropics, and to clarify  
74 global climate teleconnections. Although the low latitudes receive 47% of planetary insolation, the climate  
75 response in the tropics to solar variability is poorly understood (Crowley, 2000; Polissar *et al.*, 2006).

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## 1.2 Holocene climate variability in tropical South America

The most notable feature of current South American climate is the annual migration of the Intertropical Convergence Zone (ITCZ), which affects rainfall patterns across the tropical Andes (Garreaud et al., 2009; Hastenrath, 2012). On Holocene timescales, however, there remain large uncertainties regarding the patterns and processes of climate change in the Andes with evidence for both rapid (c. 100-1000 yr) precipitation (Haug et al., 2001) and temperature (Thompson et al., 2006; Wanner et al., 2008). A further point to note is the spatial heterogeneity of Holocene climate variability in the tropical Andes (Baker and Fritz, 2015a), particularly regarding precipitation. Ice core records from the Peruvian and Bolivian Andes since c. 5400 cal yrs BP suggest the overall trend is towards a drier climate with high amplitude fluctuations and periods of significant aridity. Precipitation reached a minimum during the period between 3800-2800 cal yrs BP and the LIA (Haug et al., 2001; Thompson et al., 1986; Thompson et al., 1995). Speleothem records from the Central Andes of Peru contradict this, however, and indicate instead that from the 15<sup>th</sup> to 18<sup>th</sup> century precipitation was on average about 10% higher than the present day (Reuter et al., 2009).

The mid- to late-Holocene (c. 6000 cal yrs BP to present) is a period of cooling climate in South America. Pollen evidence suggests montane vegetation replaced Andean forest taxa as the treeline lowered with modern vegetation patterns becoming established by c. 3000 cal yrs BP (Markgraf, 1989). Long-term cooling in the late Holocene culminated in a minimum during the 17<sup>th</sup> and 18<sup>th</sup> centuries, coinciding with evidence for precipitation minimum during the LIA in northern South America (Haug et al., 2001; Thompson et al., 1986; Thompson et al., 1995). Further south, Patagonian proxy records infer periods that were wet and cold enough to allow glacial advance (Meyer and Wagner, 2008). In the South American tropics, where the relationship between changes in temperature and precipitation are complex (Baker et al., 2001; Garreaud et al., 2009), more independent quantitative estimates of past temperature are needed in order to resolve climate patterns over the tropical Andes during the Holocene.

## 1.3 Aims

In this study, we have developed the first chironomid-based temperature calibration data set from the tropical Andes (0 to 17°S). Surface sediment samples from 59 lakes along the eastern flank of the Andes to



105 Amazonia are analysed. Two approaches are used to develop the inference model, the widely used weighted  
106 averaging method (Brooks and Birks, 2000) and a Bayesian approach (Holden *et al.*, 2008) which has rarely been  
107 used before. The models are applied to fossil chironomid assemblages in a late-Holocene lake sediment record  
108 from Laguna Pindo, central Ecuador, to reconstruct mean annual temperature (MAT) changes over the past c.  
109 3000 years.

110

## 111 **2 Study Sites**

### 112 **2.1 Modern calibration dataset**

113 Surface sediments were collected from 59 lakes across Bolivia (15 lakes), Peru (32 lakes) and Ecuador (12  
114 lakes) between 2004 and 2013 over an altitudinal gradient from 150 m above sea level (a.s.l) to 4655 m a.s.l,  
115 between 0-17°S and 64-78°W (Fig 1). The study sites cover an MAT of 25°C; the coldest lake in the data set is  
116 0.8°C MAT and the warmest is 25°C MAT (Table 1). The deepest lake is 25 m and the shallowest is 0.1 m, mean  
117 water depth of all the study sites is 5 m. Cold, high elevation lakes are more common within the calibration data  
118 set and there are no lakes between 16°C and 20°C. Sediment samples used in this study were taken from the  
119 uppermost centimetre (0-1cm) which represents the most recent deposits (approx. 5-20 years) (Frey, 1988) and  
120 therefore most comparable with the available climate data for calibration.

121

### 122 **2.2 Fossil chironomid record**

123 Laguna Pindo is a small shallow lake on the eastern flank of the Ecuadorian Andes (1°27.132'S;  
124 78°04.847'W) (Fig1). The site is located at an elevation of 1248 m a.s.l. MAT is c. 20°C with little seasonal  
125 variation and mean annual precipitation (MAP) can reach c. 4000 mm per year (Hijmans *et al.*, 2005). Currently  
126 the lake is not directly fed by a stream in-flow and has no visible stream out-flow; the lake receives water from  
127 surface run-off and direct precipitation. There are no obvious geomorphological causes for the escarpment of  
128 the lake and we hypothesise it is tectonic in origin.

129 At the time of field work (January 2013) maximum water depth was c. 1 m, the lake is heavily overgrown  
130 with aquatic macrophytes making a detailed bathometric survey difficult. A sedimentary sequence 929 cm long

131 was extracted using a cam-modified piston Livingston corer (Colinvaux *et al.*, 1999) from the centre of the lake  
132 to minimise the chance of encountering a sedimentary gap caused by any periods of lake area reductions.  
133 Sediments were recovered in aluminium tubes and sealed on site before being transported to the UK and  
134 stored at c. 4°C. A total of 6 samples were analysed for <sup>14</sup>C radiocarbon using AMS dating at the SUERC  
135 radiocarbon facility, East Kilbride (Table 2). An age-depth model was created using version 2.2 of the statistical  
136 package clam.R (Blaauw, 2010) and the Southern Hemisphere calibration curve SHCal13.14C (Hogg *et al.*, 2013)  
137 (Fig 2). The sampling interval for chironomid analysis was not uniform due to a varied sedimentation rate. To  
138 achieve as even a coverage possible over the time interval, samples were taken between every 10 and 20cm.

139

### 140 **3. Methods**

#### 141 **3.1 Chironomid analysis**

142 Chironomid preparation and identification from both lake surface and core sediments followed standard  
143 methods as described by Brooks *et al* (2007). The wet sediment was deflocculated in 10% KOH for 2 minutes at  
144 75°C. The sediment was then washed through 212µm and 90µm sieves with water. Chironomids were picked  
145 from the residues in a Bogorov counting tray using a stereomicroscope at 25x magnification. Head capsules  
146 were mounted in Euparal, ventral side up and identified to the highest possible taxonomic resolution under a  
147 compound light microscope at 200-400x magnifications with reference to Wiederholm (1983), Epler (2001)  
148 Rieradevall & Brooks (2001), Brooks *et al* (2007), Cranston (2010) and local taxonomic works including Prat *et al*  
149 (2011), and Trivinho-Strixino (2011). Some taxa could not be formally identified and so were given informal  
150 names. Images and descriptions of informally named taxa are provided in Matthews-Bird *et al* (2015).

151

#### 152 **3.2 Environmental variables**

153 Environmental variables (depth, pH, conductivity, and water temperature) were measured at each lake in  
154 the field. Organic content of the sediment was established through Loss-on-ignition following standard methods  
155 as described by Heiri *et al* (2001). Climate data (MAT, MAP) were obtained from high resolution, interpolated  
156 climate surfaces (Hijmans *et al.*, 2005). A summary of all the environmental variables measured can be found in  
157 Table 1.

158

### 159 3.3 Exploratory statistics

160 Detrended Correspondence Analysis (DCA) was initially used as an indirect ordination method to assess the  
161 gradient lengths in compositional units of taxon turnover (Hill and Gauch, 1980). The gradient length of DCA axis  
162 1 was 5.2 standard deviation units (SD), which suggests a unimodal response, and that linear ordination  
163 methods were not appropriate (ter Braak, 1987). Canonical Correspondence Analysis (CCA) was used to explore  
164 the influence of the measured environmental variables on the distribution and abundance of taxa. Highly  
165 correlated variables were partialled-out by analysis of the variance of their regression coefficients indicated by  
166 their Variance Inflation Factors (VIFs). Variables with high VIFs were systematically removed from the  
167 environmental variable data set until the remaining variables had VIFs below 20. Detrended canonical  
168 correspondence analysis (DCCA) was used to test how much of the variance in the assemblage data was  
169 explained by each individual explanatory variable. The ratio of  $\lambda_1:\lambda_2$  (i.e., the ratio of first constrained DCCA axis  
170 1 and second unconstrained DCA axis 2) was used to assess the influence an explanatory variable has in  
171 describing the variance in the chironomid community assemblage, and hence its predictive power (Juggins,  
172 2013). All taxa were retained in the statistical analysis and rare taxa were down-weighted in the weighted  
173 average transfer function (down-weighting of rare species is implicit in the Bayesian approach). Multivariate  
174 analysis was carried out on square root transformed chironomid percentage data.

175 Inference models were developed using two separate approaches. The first method relied on weighted  
176 averaging methods, a tried and tested technique well established in quantitative palaeoecology (Birks, 1998;  
177 Birks *et al.*, 2012; ter Braak and Juggins, 1993; ter Braak and Looman, 1986). The second method uses a  
178 Bayesian approach, which in general has received less attention (Holden *et al.*, 2008). There are a number of  
179 inherent problems associated with quantitative inference models (Huntley, 2012; Juggins, 2013; Velle *et al.*,  
180 2010) so the two independent methods were used to compare results and assess the strengths and weaknesses  
181 of each method.

182 The assemblage data was unimodal suggesting transfer functions using weighted averaging partial least  
183 squares (WA-PLS) were appropriate (ter Braak and Juggins, 1993). Inference models were also developed using  
184 classical and inverse weighted averaging (WA) to compare performance. The optimal number of components

185 was assessed using leave-one-out cross validation (jack knifing) and a minimum 5% change in prediction error  
186 between components. Sample specific errors for the inferred temperatures were obtained through  
187 bootstrapping 999 cycles.

188 Bayesian model selection was used to generate probability-weighted species response curves (SRCs) for  
189 each taxon in the calibration dataset. Each taxon is assigned 8,000 possible SRCs. Each of these SRCs has a  
190 probability weight based on its relative ability to describe the training data for that taxon. To perform a  
191 reconstruction, likelihood functions (temperature probability distributions) are derived from each taxon in a  
192 fossil sample, considering all 8,000 SRCs. Combining the likelihood functions of all the taxa in the fossil sample  
193 derives the reconstruction. The power of the Bayesian approach is that it ascribes a probability distribution to  
194 the reconstruction, providing a reconstruction-specific uncertainty. An important benefit is that all taxa in the  
195 sample provide potentially useful information, even those with low counts that would be largely neglected in a  
196 weighted averaging approach. To illustrate, a few counts of a taxon with a narrow temperature tolerance may  
197 constrain the Bayesian reconstruction more than a very high count of a taxon with a broad tolerance.

198 Although the Bayesian model was developed for application to pH reconstructions from diatom  
199 assemblages, it is generally applicable whenever it is appropriate to assume a unimodal species response to an  
200 environmental gradient. The only modification required is the specification of appropriate priors. The *a priori*  
201 probability distribution for optimum temperature in the SRCs was assigned to be uniform in the range -4.2 to  
202 +30.8°C (training set range  $\pm 5$ C). The *a priori* probability for SRC tolerance was assigned to be uniform in the  
203 range 2 to 10°C. Other SRC priors were unchanged from those in Holden *et al.* (2008).

204 DCCA detrending by segments, non-linear rescaling, and constrained by radiocarbon age was used to  
205 determine compositional turnover constrained within the stratigraphic sequence (Birks and Birks, 2008). The  
206 goodness-of-fit to temperature was evaluated by including the fossil chironomid samples passively in a CCA  
207 ordination space of the modern training set samples constrained by MAT. Fossil samples with a squared residual  
208 distance within the extreme 10% of the modern calibration dataset samples are considered as having a poor fit  
209 to temperature. The modern analogue technique was used to test if fossil samples had good analogues within  
210 the modern calibration data set. Any fossil sample with a squared chord distance larger than the 95% threshold  
211 of the calibration data set is considered to have no good modern analogues (Birks, 1998; Velle *et al.*, 2005).

212 Data were untransformed prior to analysing the dissimilarity using the modern analogue technique. The  
213 significance of the final reconstruction was tested by comparing the amount of variance in the fossil data  
214 explained by that reconstruction, compared with inferences produced by transfer functions trained on  
215 randomly generated environmental data (Telford and Birks, 2011a). In this case, 999 random environmental  
216 variables were generated in order to produce the null distribution.

## 217 **4. Results**

### 218 **4.1 Calibration data set**

219 The eight remaining explanatory variables, after those with VIFs >20 were removed; together explain  
220 34.03% of the variance (Fig 3). The first two CCA axes explained 61.7% of the variance ( $\lambda_1=0.792$ ,  $\lambda_2 = 0.466$ ).  
221 MAT describes most of the variance in the chironomid assemblages and has the highest  $\lambda_1:\lambda_2$  ratio (Table 3).  
222 When used as a single explanatory variable, MAT explains 12.93% of the variance ( $\lambda_1/\lambda_2= 1.431$ ).

223 In total, 55 chironomid taxa were identified in the 59 training set lakes. *Chironomus anthracinus*-type was  
224 the most widespread taxon, occurring over the entire temperature gradient (Fig 4). Orthoclaadiinae are generally  
225 most abundant towards the cold end of the temperature gradient. *Cricotopus/Paratrichocladius* type III is the  
226 dominant taxon of the coldest lake and is not present in sites >10°C MAT. Figure 4 shows the weighted average  
227 and Bayesian optima and tolerance of each taxon ordered by lowest to highest optima as modelled in the  
228 weighted averaging approach. In general the temperature optima predicted by each method are similar,  
229 however, *Tanytarsus* type II and *Cricotopus/Paratrichocladius* type VII have colder optima when modelled using  
230 a Bayesian approach. *Cricotopus/Paratrichocladius* type IV has the coldest temperature optimum c. 3.3°C (Fig  
231 5). Few Chironominae were found at the cold end of the calibration data set, but, for example, *Parachironomus*  
232 and *Tanytarsus* type II were only found in lakes cooler than c. 8°C and had optima of c. 7.5°C and c. 6.5°C  
233 respectively. *Paratanytarsus* and *Pseudosmittia* are important components of the chironomid assemblage  
234 between 4-12°C, forming >50% of the chironomid community in some lakes, and have optima of c. 9.1 and 8.3°C  
235 respectively. *Tanytarsus* type I, *Micropsectra* and *Einfeldia* are dominant taxa at mid-temperatures between c.  
236 10-22°C. The absence of lakes between c. 16°C and c. 20°C limits a complete understanding of the distribution  
237 of taxa occurring at these temperatures.

238 DCCA analysis, constrained by MAT, indicates an assemblage shift across the temperature gradient of 2.2 SD  
239 units. The biggest change in assemblage composition occurs above 12°C MAT (Fig 4). *Goeldichironomus*,  
240 *Cladotanytarsus* and *Tanytarsus* type III were only found in lakes with MAT warmer than c. 22°C. Tanypodinae  
241 were in greatest abundance at the warm end of the temperature gradient between c. 10-26°C, *Procladius* was  
242 the most common Tanypodinae. It occurred between c. 10-26°C and had an optimum of c. 21°C. Both methods  
243 (WA and Bayesian) produced similar performance statistics. The best performing model using conventional  
244 statistical methods was a WA (inverse) model (Table 4, Fig 6) ( $R^2_{\text{jack}} = 0.890$ ,  $\text{RMSEP}_{\text{jack}} = 2.404(^{\circ}\text{C})$ ,  $\text{Mean bias}_{\text{jack}} = -$   
245  $0.017(^{\circ}\text{C})$ ,  $\text{Max bias}_{\text{jack}} = 4.665(^{\circ}\text{C})$ ). The Bayesian method produced a slightly higher performing model with  
246  $R^2_{\text{jack}} = 0.909$ ,  $\text{RMSEP}_{\text{jack}} = 2.373(^{\circ}\text{C})$ ,  $\text{Mean bias}_{\text{jack}} = 0.598(^{\circ}\text{C})$ ,  $\text{Max bias}_{\text{jack}} = 3.158(^{\circ}\text{C})$ .

247

#### 248 **4.2 Laguna Pindo fossil chironomids and dating**

249 Chironomid remains were found only in the upper 416 cm of the 929cm sequence of Laguna Pindo (Fig 7).  
250 In total, 2489 individual chironomid head capsules were analysed. The entire assemblage was made up of 32  
251 taxa in 26 genera and 4 subfamilies. Among the taxa identified, 17 were Chironomini, eight Orthoclaadiinae and  
252 three Tanypodinae. There was high variation between samples both in number of head capsules (average: 82;  
253 range: 24 - 184) and concentration per gram of wet sediment (average: 73; range: 2 - 163). There was a marked  
254 decline in head capsule concentration below 200 cm. In younger sediments (200-0 cm) head capsule  
255 concentration averaged 106/gram, in older samples (200-420 cm) the average was 44/gram. Five zones were  
256 identified using optimal partitioning with a broken stick model to define significant zones. *Polypedilum nubifer*-  
257 type, *Procladius* and *Limnophyes* were the most abundant taxa; abundances are over 10% wherever they  
258 occurred. *Tanytarsus* type II was most abundant below 200 cm (1500 cal yr BP) whilst *Polypedilum nubifer*-type  
259 was present in low numbers below 340 cm (2300 cal yr BP). During periods of low *Polypedilum nubifer*-type  
260 abundance, *Tanytarsus* type II and *Tanytarsus* type I occur in greater numbers (e.g. 420-360; 290-250 cm).

261 The best-fit age depth model for Laguna Pindo was a smooth spline (Fig 2). Due to the absence of  
262 chironomids at the bottom of the sequence, six radiocarbon samples were used for building the model with a  
263 total depth of the sediment considered of 461 cm (Table 2). The sedimentation rate ranged between 0.03 and

264 0.5 cm/yr, with a sampling interval resolution of 97 years between samples on average (range from 27 to 196  
265 years).

#### 266 **4.3 Palaeotemperature reconstruction**

267 Both transfer functions (WA inverse and Bayesian) show similar patterns in the temperature  
268 reconstruction (Fig 8). From 3000-2500 cal yr BP inferred temperatures are cold relative to the modern (20.2°C).  
269 The minimum WA inverse temperatures are much colder (13.5°C±2.5) than the inferred Bayesian temperatures  
270 (17.5°C±3.7) for the early section of the sequence. From 2400 to 1700 cal yr BP inferred temperatures from  
271 both methods oscillate around c. 18-19°C but remained depressed relative to the modern. A notable feature of  
272 both reconstructions is the sudden drop in inferred temperatures at 1600 cal yr BP. Inferred temperatures fall  
273 by c. 2°C to 17.5°C±2.7. This abrupt drop in temperature is short-lived in both reconstructions and temperatures  
274 return to previous values in the subsequent sample. From 1500 cal yr BP to the present the chironomid-inferred  
275 temperatures stabilise and steadily rise. Peak temperatures for the entire record (21.9°C±3.5) are inferred  
276 between 400-700 cal yr BP. Temperatures begin to cool from 400 cal yrs BP in both reconstructions, reaching a  
277 minimum of c. 17°C±2.5 c. 100 cal yr BP before rising rapidly to between 20-21°C±2.5 in the most recent  
278 sediment sample. On average the Bayesian model infers warmer temperatures than the WA model.

279 The fossil samples of Laguna Pindo plot within the modern variation of chironomid assemblages when  
280 included passively in a CCA analysis of the calibration data set (Fig 9). This suggests that the calibration dataset  
281 is appropriate for the fossil sequence of Laguna Pindo. The fossil samples plot along the MAP gradient  
282 suggesting precipitation is an important variable controlling the variance in the fossil assemblages. The sites  
283 associated with high precipitation in the calibration dataset are located in the same region of the Ecuadorian  
284 Andes as the fossil site. With a modern MAT of c. 20°C, however, Laguna Pindo is located in a region of the  
285 temperature gradient that is poorly covered in the calibration dataset (Fig 4). Seven taxa found in the Laguna  
286 Pindo sequence do not occur in any of the analysed calibration data set lakes. These include three unknown  
287 morphotypes, three *Xestochironomus* morphotypes, and *Metriocnemus eurynotus*-type. These taxa, however,  
288 never comprise more than 10% of the chironomid assemblage of any one sample.

289 Fourteen of the fossil samples are considered to have a poor goodness-of-fit to temperature and all  
290 fossil samples are considered as having poor modern analogues in the calibration data set (Fig 10). Although the

291 modern analogue technique is not used to infer past temperatures the lack of modern analogues in the fossil  
292 assemblage is important when considering the reliability of any reconstruction.

293 DCCA constrained by radiocarbon age shows an abrupt change at 1475 cal yr BP between zones 3 and 4  
294 and a turnover of 1.6 SD units over the whole sequence (Fig 10). Much of the variation in goodness-of-fit and  
295 DCCA sample scores is mirrored by changes in count size and head capsule concentration. The sudden drop in  
296 head capsule concentration occurs at a step change in DCCA assemblage variation (1475 cal yrs BP) (Fig 10).  
297 Periods of increased count size and head capsule concentration in older sediments (2100-2250 cal yrs BP) also  
298 coincides with periods of improved goodness-of-fit (Fig 10). The WA classical inferred MAT values using the  
299 modern calibration data set explain more of the variance than 95% of randomly generated variables and so the  
300 WA classical MAT reconstructions can be deemed statistically significant ( $p= 0.032$ ) (Fig 11) (Telford and Birks,  
301 2011a).

302

## 303 **5. Discussion**

### 304 **5.1 Chironomids and environmental variables**

305 Chironomids have been shown to respond to temperature at a variety of spatial scales and taxonomic levels  
306 (Brooks, 2006; Eggermont and Heiri, 2011). Temperature is a key variable in controlling chironomid  
307 development at all stages of their life cycles, and influences voltinism, behaviour and metabolism (Armitage *et*  
308 *al.*, 1995). Across the Northern Hemisphere, over large temperature gradients, mean July air temperature, the  
309 warmest month of the year, which reflects the developmental period of most species, has been shown to be the  
310 major determinant of variation in chironomid assemblages (Brooks, 2006; Walker and Cwynar, 2006). As a  
311 result, many quantitative temperature inference models have been developed to reconstruct mean July air  
312 temperature. Across the tropics however seasonal variation is small and many chironomids are multivoltine  
313 (Walker and Mathews, 1987) so temperatures throughout the year are likely to be relatively more influential. In  
314 tropical East Africa, Eggermont *et al.* (2010) demonstrated that mean annual air temperature was a significant  
315 driver of chironomid assemblage composition and developed a chironomid-based inference model on this basis.  
316 Similarly, Wu *et al.* (2014) showed MAT to be the most important environmental variable when developing a  
317 chironomid inference model for Central America. When attempting to make quantitative inferences from fossil



318 assemblages it is first crucial to establish that the variable of interest is an important ecological determinant.  
319 The variable to be reconstructed must describe a statistically important component of the variance within the  
320 assemblage data (Juggins, 2013). Compared to other measured variables, mean annual temperature explained  
321 the largest amount of chironomid assemblage variance and had the highest eigenvalue ratio ( $\lambda_1:\lambda_2$ ) in the  
322 Andean calibration dataset (Table 3). The explanatory strength of temperature in the calibration data set meets  
323 the minimum criterion proposed by Juggins (2013) (i.e.  $\lambda_1:\lambda_2 > 1.0$ ) for temperature being a suitable variable to  
324 reconstruct from this calibration dataset.

325 The DCCA results suggest that precipitation is also a strong ecological determinant ( $\lambda_1:\lambda_2=0.9$ ); the  
326 passive plot of fossil samples with calibration samples further supports this conclusion. The fossil samples of  
327 Laguna Pindo are strongly associated with MAP. Precipitation in Andean landscapes, however, is spatially  
328 heterogeneous and geographically close localities experience significantly different rainfall patterns (Garreaud  
329 *et al.*, 2009). Lakes associated with high rainfall (Fig 3) are actually in areas of the northern Andes with two rainy  
330 seasons a year. It is very likely that the bimodality of rainfall in these areas is as important in controlling  
331 chironomid populations as the total amount of rainfall as measured by MAP. Precipitation is also intrinsically  
332 linked to temperature as both temperature and precipitation increase with decreasing latitude in tropical South  
333 America (Garreaud *et al.*, 2009). Unlike temperature, precipitation affects chironomids indirectly making any  
334 quantitative inference difficult. Precipitation will alter a suite of environmental variables (e.g. pH, conductivity,  
335 depth, substrate) making quantitative inferences of precipitation problematic. As chironomid life cycles are  
336 strongly controlled by temperature and many tropical chironomid species tend to be multivoltine, we suggest  
337 the most appropriate variable both ecologically and statistically to reconstruct using the Andean calibration  
338 data sets is MAT although the of influence of precipitation cannot be overlooked.

339 The optima and temperature tolerances (Fig 5) of many taxa found in the current study are similar to  
340 that noted in other Neotropical chironomid calibration datasets, further supporting the conclusion of  
341 temperature being an important ecological determinant. For example, Wu *et al.* (2014) in Central America,  
342 found taxa of the genera *Beardius*, *Labrundinia* and *Goeldichironomus* to have optima between 23-24°C whilst  
343 *Limnophyes* and *Corynoneura* where more abundant at the colder end of the gradient with optima of 15°C and  
344 18°C respectively. In the current dataset *Beardius*, *Labrundinia*, and *Goeldichironomus* all have optima between

345 23-24°C and *Limnophyes* and taxa of *Corynoneura* also have optima of 15°C and 19°C, respectively. *Limnophyes*  
346 also has one of the broadest tolerances of all taxa in both calibration datasets suggesting the genus is probably  
347 represented by many species (Matthews-Bird et al., 2015). More work is needed in order to refine chironomid  
348 larval taxonomy in South America, however the current data suggest the potential for a larger calibration  
349 dataset applicable to wider area incorporating the Northern Neotropics and Central America.

350

## 351 **5.2 Model performance**

352 Although both models (WA inverse and Bayesian) perform well (WA RMSEP= 2.4°C/ 9.6% of training set  
353 range and Bayesian RMSEP= 2.3°C/9.2% of training set range), some of the best performing chironomid-based  
354 temperature inference models have prediction errors closer to 1.0°C (Brooks and Birks, 2001; Heiri *et al.*, 2011,  
355 2007; Olander *et al.*, 1999). The highest performing chironomid inference models often have in excess of 100-  
356 150 calibration sites compared with just 59 in the current model and this may account for its reduced  
357 performance. Furthermore the lakes in the calibration data set are not evenly distributed over the temperature  
358 gradient. The cold end of the gradient has a higher number of lakes (34 cold, high elevation lakes) than at warm  
359 and intermediate temperatures (15 warm, mid-low elevation lakes). Uneven sampling has been shown to lead  
360 to biases which may reduce RMSEP (Telford and Birks, 2011b). Furthermore the over-representation of cold  
361 lakes in the current dataset may result in under-estimation of the temperature optima of some taxa and,  
362 therefore, bias temperature estimates towards cold values. In the Andean dataset, as analysis of residuals  
363 shows, temperatures around 10°C are often under-estimated (Fig 6). Furthermore, the inferred temperatures of  
364 Laguna Pindo are on average cooler than the modern day conditions.

365 The absence of lakes in part of the temperature gradient may limit the reliability of estimates of optima  
366 and tolerances of taxa and also create 'edge effects' in the middle of the temperature range, in addition to  
367 those that occur at the cold and warm end of the temperature gradient (Eggermont *et al.*, 2010). Such problems  
368 are inherent to WA models as predicted values are pulled towards the mean of the training set resulting in  
369 under- and over-estimations of high and low values (ter Braak and Juggins 1993). However, despite having no  
370 lakes between 16-20°C in the calibration data set, additional edge effects are not a feature of the current

371 inference model. The gap of c. 4°C does not appear to have compromised model performance, probably as the  
372 interval is not significant and taxa have tolerances that span these temperatures.

373 Chironomid larval head capsule concentrations can vary significantly between lakes, due to differences in  
374 preservation or abundance. Low counts can have adverse effects on the performance of inference models and  
375 the reliability of quantitative environmental reconstructions when using conventional methods (Heiri and  
376 Lotter, 2001; Quinlan and Smol, 2001). A minimum count size of 50 head capsules per sample is advised (Heiri  
377 and Lotter, 2001; Quinlan and Smol, 2001), however, good model performance has been achieved even when  
378 several samples include as few as 15-30 head capsules (Massafiero *et al.*, 2014). In some lakes in the current  
379 training set head capsule concentrations were as low as two head capsules per gram of sediment. Fifteen lakes  
380 in the data set produced fewer than 50 head capsules, and three lakes had fewer than 30. On average 77  
381 individuals were analysed from each lake with a minimum count of 23 and a maximum of 164 (Table 1). Lakes  
382 with low head capsule counts were retained in the model in order to maintain as even coverage as possible  
383 across the temperature gradient.

384

385 *Polypedilum nubifer*-type and *Chironomus anthracinus*-type make up a large component of the  
386 chironomid assemblages in lakes across the entire temperature gradient (Fig 4). Such eurythermic taxa probably  
387 include several different species. It is difficult to model reliable, or even meaningful, optima for eurythermic  
388 taxa. Poor model performance or unreliable reconstructions may result if the assemblage is dominated by  
389 eurythermic taxa. We note that eurythermic taxa are described by high tolerance SRCs in the Bayesian  
390 approach, leading to increased uncertainty in reconstructions through broad likelihood functions that  
391 contribute little information to the posterior. Inferred temperature of c. 10°C, are likely to be underestimated as  
392 many taxa found at these temperatures also occur in cold lakes, which are over-represented in the calibration  
393 data-set. In African lakes Eggermont *et al.* (2010) found that the presence of eurythermic taxa such as  
394 *Chironomus* type Kibos caused an overestimation of temperatures in lakes at the warm end of the gradient.  
395 They also found that the occurrence of *Limnophyes minimus*-type and *Paraphaenocladus* type OI Bolossat  
396 overestimated the temperature of lakes close to where gaps occurred in the gradient (Eggermont *et al.*, 2010).  
397 Similarly, in a New Zealand calibration data set developed by Woodward and Shulmeister (2006), *Chironomus*

398 was present in both high elevation, cold, oligotrophic lakes and lower elevation, warm, eutrophic lakes. The  
399 intermediate temperature optimum estimated for this taxon resulted in over-estimated temperatures of cold  
400 lakes and under-estimates of warm lakes (Woodward and Shulmeister, 2006). Eurythermic taxa may be  
401 contributing to the over-estimation of cold temperatures and the under-estimation of temperatures in the  
402 middle of the gradient in the Andean inference model.

403

### 404 **5.3 WA vs Bayesian**

405 Despite similar performance statistics between the Bayesian and WA methods, the inferred pattern of late-  
406 Holocene temperature change is different. Temperatures inferred c. 2700 cal yr BP (400 cm) (Fig 8) using the  
407 WA inverse method is extremely cold (c. 14°C) compared with the rest of the record. This reconstruction is  
408 driven by the high abundance of *Tanytarsus* type II, a taxon that has a WA temperature optimum of 6.5°C. The  
409 Bayesian reconstruction for this sample of 17.8 ±2.8°C, is in line with more modest temperature shifts that  
410 would be expected in the late-Holocene (Wanner *et al.*, 2008). One advantage of the Bayesian methodology is  
411 the transparency of the reconstruction through consideration of individual likelihood functions for this  
412 assemblage (Fig 12). Although *Tanytarsus* type II is abundant in the sample its influence in the reconstruction is  
413 moderated by several other taxa with higher temperature optima that are present at low abundances. This  
414 temperature estimate demonstrates the Bayesian reconstruction can be sensitive to a few counts of a species  
415 that have a negligible effect in a WA approach. The likelihood function for Chironomini type II, which has an  
416 abundance of only 2.3% in the sample, constrains the reconstruction more than *Tanytarsus* type II, which has an  
417 abundance of 74%. This is because Chironomini type II is only found in the warmest lakes in the calibration set, each  
418 time with a low abundance. We note that because it is found in only three training set sites, Chironomini type II is  
419 associated with many (671) high-probability SRCs, defined as having a probability great that 10% of the most likely  
420 SRC. For this reason, its likelihood function is relatively broad and extends to temperatures far lower than the  
421 temperature of the sites in which the taxon is found in the training set.

422

### 423 **5.4 Temperature and secondary environmental variables**

424 Whilst the  $\lambda_1/\lambda_2$  of 1.431 indicates that MAT is appropriate for reconstruction using this calibration dataset  
425 (Juggins, 2013), it does not necessarily mean that reliable temperature reconstructions can be obtained from a  
426 fossil record (Telford and Birks, 2011a). Before attempting to interpret any reconstruction several metrics can  
427 be used to assess the validity of a reconstruction (Juggins and Telford, 2012).

428 The modern analogue technique compares the similarity of the fossil samples to the modern samples in the  
429 calibration data set. All fossil samples are greater than the 5<sup>th</sup> percentile of the square chord distance (Fig 10),  
430 which suggests there is no close modern analogue in the calibration set to any fossil sample (Birks, 1998; Juggins  
431 and Birks, 2001). The lack of modern analogues in the Laguna Pindo fossil sequence is due to the many taxa  
432 present in the fossil samples that are not present in the calibration data set. This may reflect the lack of lakes in  
433 the calibration dataset with MAT values close to those of Laguna Pindo. Nevertheless, WA and WAPLS models  
434 have been shown to perform well in non-analogue situations (Birks *et al.*, 2010). The Bayesian method  
435 generates temperature reconstructions from likelihood functions of species in the calibration data set. Although  
436 analogous assemblages are not required for the Bayesian reconstruction (each taxon is treated equally and  
437 individually), species that are absent from the training set cannot contribute information to the posterior,  
438 thereby increasing the uncertainty associated with the reconstruction. One advantage of the Bayesian  
439 methodology is that this uncertainty is explicitly incorporated into the Bayesian reconstruction (Holden *et al.*,  
440 2008).

441 During periods of poor fit-to-temperature, variables other than temperature may have been affecting the  
442 composition of the chironomid assemblage. As noted previously, the CCA biplot of fossil samples included  
443 passively with the significant explanatory variables (Fig 9) shows that MAP was also important in driving the  
444 assemblage variance. During times of poor fit to temperature the influence of precipitation as a secondary  
445 variable may be more important than temperature in influencing the chironomid assemblage composition.  
446 Indeed, precipitation has been shown to be an important variable in controlling the modern distribution of  
447 chironomid taxa in the tropical Andes (Matthews-Bird *et al.*, 2015).

448 Samples with poor fit-to-temperature also corresponded with samples having low numbers of head  
449 capsules. The number of head capsules retrieved will directly affect how representative a sample is to the  
450 chironomid fauna (Heiri, 2004; Quinlan and Smol, 2001). The cold oscillations inferred from the Bayesian

451 reconstruction are more in line with what is expected during the late-Holocene (1-3°C); the likelihood functions  
452 of rare species, which favour warm conditions, combine to rule out the anomalously cold temperatures  
453 suggested by some of the WA reconstructions. As discussed above, the over-representation of cold lakes in the  
454 calibration dataset will likely bias species optima to colder values in a weighted average approach so there may  
455 be a tendency for the model to underestimate temperature, especially during cold periods. This problem is  
456 likely exaggerated when head capsule concentration is low, cold indicator taxa may have higher abundances  
457 than would be the case if all taxa were accurately represented.

458         The DCCA results indicate that there was a distinct change in the composition of the chironomid  
459 assemblage after 1600 cal yr BP (210 cm). This largely coincides with an increase in head capsule concentration,  
460 possibly indicating an increase in lake productivity, and the shift in chironomid-inferred temperatures from low  
461 to high. Indeed post 1600 cal yr BP, (210 cm) samples are inferred as being on average 2-3°C warmer than early  
462 sections using Bayesian and WA models respectively.

463         Although the temperature reconstruction has a good ecological basis, because chironomids globally are  
464 highly sensitive to temperature and, Laguna Pindo is on an ecotonal boundary that is sensitive to temperature  
465 changes, precipitation is influential as a secondary variable. The WA inverse MAT reconstruction, however, is  
466 statistically significant based on the criteria described by Telford and Birks (2011a) (Fig 11) suggesting that  
467 despite precipitation as a possible confounding variable, a temperature signal can be obtained from Neotropical  
468 chironomids. We would caution, however, against an over interpretation at this stage. Due to some of the  
469 limitations discussed previously, the reconstruction can only currently be deemed qualitative and requires more  
470 research before quantitative estimates can be generated with confidence.

471

## 472         **6. Conclusions**

473         The chironomid fauna of the tropical Andes have been shown to be sensitive to climate variables,  
474 particularly temperature and precipitation. Both variables (MAT and MAP) meet the basic criteria for being used  
475 in an environmental reconstruction using the Andean calibration dataset. MAT, however, is an important  
476 determinant of chironomid species distribution and abundance and was therefore more appropriate to be

477 reconstructed. The influence of precipitation should be explored further and must be considered as an  
478 important secondary variable especially when reconstructing past conditions in the region. It is very likely that  
479 the influence of precipitation noted here relates to the annual variability in rainfall across the Andes as opposed  
480 to overall amount making any quantitative interpretations even more difficult.

481 The two techniques used to develop inference models (WA and Bayesian) show comparable performance  
482 statistics (WA inverse model  $R^2_{\text{jack}} = 0.890$ ,  $\text{RMSEP}_{\text{jack}} = 2.404(^{\circ}\text{C})$ ,  $\text{Mean bias}_{\text{jack}} = -0.017(^{\circ}\text{C})$ ,  $\text{Max bias}_{\text{jack}} = 4.665(^{\circ}\text{C})$ ;  
483 Bayesian model  $R^2_{\text{jack}} = 0.909$ ,  $\text{RMSEP}_{\text{jack}} = 2.373(^{\circ}\text{C})$ ,  $\text{Mean bias}_{\text{jack}} = 0.598(^{\circ}\text{C})$ ,  $\text{Max bias}_{\text{jack}} = 3.158(^{\circ}\text{C})$ ). This work demonstrates a proof of method, however, a larger calibration dataset with a more even  
484 coverage of calibration sites is needed in order to improve model performance. The Bayesian approach  
485 provided a transparent reconstruction less susceptible to the effect of an uneven distribution of calibration sites  
486 and performed particularly well during periods of low count size and when inferring cold intervals. The  
487 chironomid-based MAT reconstruction from the Laguna Pindo is often colder than would be expected for  
488 Holocene timescales. The underestimated temperatures are most likely the direct result of an over  
489 representation of cold lakes in the calibration dataset. The addition of more calibration sites between  $12^{\circ}\text{C}$  and  
490  $20^{\circ}\text{C}$  would expand our understanding of tropical Andean chironomid distribution, and significantly improve  
491 model performance and reconstruction reliability.

493 Knowledge of past tropical climate dynamics is fundamental not only to understanding regional climate  
494 but also global climate patterns and hemispherical teleconnections. Quantitative temperature proxies, such as  
495 chironomids, will provide valuable data on past climate variability in the region. The reconstructions presented  
496 here demonstrate the potential of the proxy and also highlights the complexity of late-Holocene climate change  
497 in tropical South America.

498

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759 **Table captions**

760 **Table 1**

761 Summary of the physical and chemical properties of the 59 calibration data set lakes including the total number  
762 of head capsules retrieved from each lake and the concentration of head capsules per gram of sediment. MAT=  
763 mean annual temperature, MAP= mean annual precipitation, LOI=loss-on-ignition (550°C).

764 **Table 2**

765 AMS radiocarbon dates used for the age-depth model of Lake Pindo. SUERC: lab code (from NERC Radiocarbon  
766 Facility, East Kilbride); BS: Bulk sediment; W: Wood; WA: weighted average.

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768 **Table 3**

769 Results of detrended canonical correspondence analysis (DCCA) using single constraining variables. MAT= mean  
770 annual temperature, WT=water temperature, MAP=mean annual precipitation, LOI= Loss-on-ignition.

771 **Table 4**

772 Summary of the performance statistics of chironomid-based MAT(°C) inference models developed using  
773 classical and Bayesian methods based on leave one out cross validation. Weighted averaging inverse and  
774 classical (Wainv, WAcla), Weighted averaging partial least squares (WA-PLS), coefficient of determinant  
775 between predicted and observed ( $r^2_{\text{jack}}$ ), root mean squared error of prediction (RMSEP<sub>jack</sub>) as % of the gradient.

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## Tables

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Table 1

	Calibration data set				
	Minimum	Mean	Median	Maximum	Std dev
Conductivity ( $\mu\text{s}$ )	5.9	363	185	3205	579
Depth (m)	0.1	5	2.2	25	5.4
Elevation (m a.s.l)	150	3142	3845	4655	1459
Latitude (S)	0.1	11.2	14.2	17.3	6.2
Longitude (W)	64.4	71.6	70.3	78.4	4.5
LOI (%)	0	19	13	80	16
MAT ( $^{\circ}\text{C}$ )	0.8	12	10	25	7
MAP (mm/year)	468	1222	769	4421	952
pH	5.7	8	7.9	10.2	1.1
Total Head Capsules	23	77	76	164	35
Water Temperature ( $^{\circ}\text{C}$ )	5	15	13	33	6
Head capsule/gram	2	27	22	105	22

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Table 2

Sample code	Depth (cm)	Sample type	Age (yr $^{14}\text{C}$ BP)	Age (cal yrs BP) $2\sigma$	Age (cal yr BP) estimation (WA)
SUERC-54395	46	W	334 $\pm$ 42	289-470	373
SUERC-47634	117	W	974 $\pm$ 36	769-923	835
SUERC-47635	245	W	1973 $\pm$ 39	1812-1943	1868
SUERC-47569	329	W	2335 $\pm$ 37	2293-2361	2279
SUERC-47572	410	W	2829 $\pm$ 39	2781-2991	2916
SUERC-48854	461	BS	3974 $\pm$ 45	4241-4447	4336

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Table 3

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Variable	Variance Explained (%)	$\lambda_1/\lambda_2$	P
MAT	12.93	1.431	0.001
MAP	10.3	0.900	0.001
WT	11.21	1.230	0.001
pH	6.23	0.500	0.001
LOI	3.23	0.239	0.062
Depth	2.44	0.190	0.240
Conductivity	2.34	0.179	0.296

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**Table 4**

<b>Model</b>	<b><math>R^2_{\text{jack}}</math></b>	<b><math>\text{RMSEP}_{\text{jack}}</math></b>	<b>Mean bias<sub>jack</sub></b>	<b>Max bias<sub>jack</sub></b>	<b>% change</b>
WA (inv)	0.890	2.404	-0.017	4.665	-
WA (cla)	0.890	2.475	-0.035	4.279	-2.936
WA-TOL (inv)	0.851	2.831	-0.182	6.498	-
WA-TOL (cla)	0.852	2.951	-0.211	7.350	-4.263
WA-PLS (1)	0.889	2.431	0.094	4.891	-
WA-PLS (2)	0.890	2.412	0.109	3.982	0.766
WA-PLS (3)	0.869	2.617	0.096	5.558	-8.483
WA-PLS (4)	0.866	2.659	0.199	5.922	-1.592
WA-PLS (5)	0.875	2.568	0.213	6.201	3.409
Bayesian	0.909	2.373	0.598	3.158	

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817 **Figure captions**

818 **Figure 1**

819 Location of the calibration data set lakes (black circles) and Laguna Pindo (white triangle).

820 **Figure 2**

821 Sediment description, radiocarbon dates ( $^{14}\text{C}$  age) and age-depth models of Laguna Pindo. Key colour for  
822 sediment descriptions: Black or dark brown = organic rich sediments (peat and clay respectively); White = dark  
823 sandy intervals; Greenish =greenish sandy clay, not compacted; Yellow = sediment gap (no sediment).

824 **Figure 3**

825 Figure 2: Canonical correspondence analysis (CCA) of the calibration data set lakes and environmental variables  
826 with elevation and longitude removed after variance inflation analysis. MAP=mean annual precipitation,  
827 MAT=mean annual temperature, WT= water temperature, LOI=loss-on-ignition. Grey circles denote calibration  
828 lakes, dark grey triangles mark species. All species could not be labelled due to crowding; instead nine  
829 important taxa have been marked as examples.

830 **Figure 4**

831 Chironomid taxa in the modern calibration dataset lakes. Lakes are ordered (top to bottom) from cold to warm  
832 and chironomids are ordered by occurrence from cold to warm lakes. Only taxa present in three or more lakes  
833 are included. Dashed line shows a gap in calibration data set lakes between 16-20 °C of the MAT gradient.  
834 Detrended canonical correspondence analysis (DCCA) constrained by MAT shows the taxon turnover across the  
835 gradient. Head capsule concentration (hc/gram) is also included.

836 **Figure 5**

837 Weighted-average and Bayesian optima (*solid grey circles*) and tolerances (*thick lines*) of the 55-chironomid taxa  
838 included in the calibration dataset, MAT Range (*dashed lines*). Taxa are organised by WA temperature optima  
839 from cold to warm.

840 **Figure 6**

841 Model performance of the best performing classical method (WA) and Bayesian approach. A=weighted  
842 averaging method; B=Bayesian method. WA:  $R^2_{\text{jack}} = 0.890$ ,  $\text{RMSEP}_{\text{jack}} = 2.404^\circ\text{C}$ ,  $\text{Mean bias}_{\text{jack}} = -0.017^\circ\text{C}$ ,  $\text{Max bias}_{\text{jack}} = 4.665^\circ\text{C}$ . Bayesian:  $R^2_{\text{jack}} = 0.909$ ,  $\text{RMSEP}_{\text{jack}} = 2.373^\circ\text{C}$ ,  $\text{Mean bias}_{\text{jack}} = 0.598^\circ\text{C}$ ,  $\text{Max bias}_{\text{jack}} = 3.158^\circ\text{C}$ .

#### 844 **Figure 7**

845 Diagram of fossil chironomid assemblage of Laguna Pindo. Five significant zones were identified using optimal  
846 partitioning with a broken stick model. Detrended canonical correspondence analysis (DCCA) constrained by  
847 calibrated radiocarbon age shows taxon turnover through time. Only taxa with relative abundances greater  
848 than 5% are shown. SD=standard deviation, hc/gram= head capsules per gram of wet sediment.

#### 849 **Figure 8**

850 Chironomid-inferred mean annual temperatures (MAT) at Laguna Pindo using the WA inverse (grey) and  
851 Bayesian (black) models. Sample specific errors for the WA model are obtained through bootstrapping 999  
852 cycles. Errors of the Bayesian reconstruction are site-specific uncertainties.

#### 853 **Figure 9**

854 Distribution of Laguna Pindo fossil samples (black circles) included passively within a CCA of the calibration data  
855 set lakes (grey circles) constrained using the significant environmental variables. MAP= mean annual  
856 precipitation, MAT= mean annual temperature, WT= water temperature. The first and last fossil sample in the  
857 sedimentary sequence has been labelled (total sediment depth); there are no directional trends through time.  
858 Calibration lakes that lie at similar elevations as Laguna Pindo have been labelled.

#### 859 **Figure 10**

860 (left to right): Chironomid-inferred WA classical MAT with sample specific errors generated using bootstrapping.  
861 Bayesian reconstruction with sample specific errors. Goodness-of-fit of the fossil assemblages to temperature,  
862 vertical dotted line indicates the 90<sup>th</sup> percentile of squared residual distances of modern samples to first axis in  
863 a CCA; samples to the right of the line have a poor fit-to-temperature. Nearest modern analogue analysis,  
864 vertical dotted line indicates the 5<sup>th</sup> percentile of squared chord distances of the fossil samples in the modern  
865 calibration data set; samples to the right of the line have no good modern analogues. Detrended canonical

866 correspondence analysis (DCCA) sample scores with radiocarbon age used as the sole constraining variable.  
867 Head capsule concentration per gram of sediment. Zones are derived from optimal partitioning of fossil  
868 assemblages using a broken stick model to define significant zones. Sq res dis= square residual distance; Sq chrd  
869 dis= square chord distance; SD units= standard deviation units; hc/gram=head capsule per gram of sediment.

870 **Figure 11**

871 Histogram of the proportion of variance in the chironomid MAT transfer function explained by 999 transfer  
872 functions trained on random environmental variables. Solid black line denotes the proportion of variance  
873 explained by the chironomid WA inverse MAT transfer function. Black dashed line marks the proportion of  
874 variance explained by the first axis of PCA of the fossil data. Grey dashed line marks the 95% variance of the  
875 random reconstructions.

876 **Figure 12**

877 Individual likelihood functions for the fossil taxa in the coldest sample of the Laguna Pindo sequence (396 cm  
878 total depth, *c.* 2700 cal yr BP). The posterior probability distribution for temperature for the fossil sample is  
879 plotted in red, note this is plotted on an independent axis.

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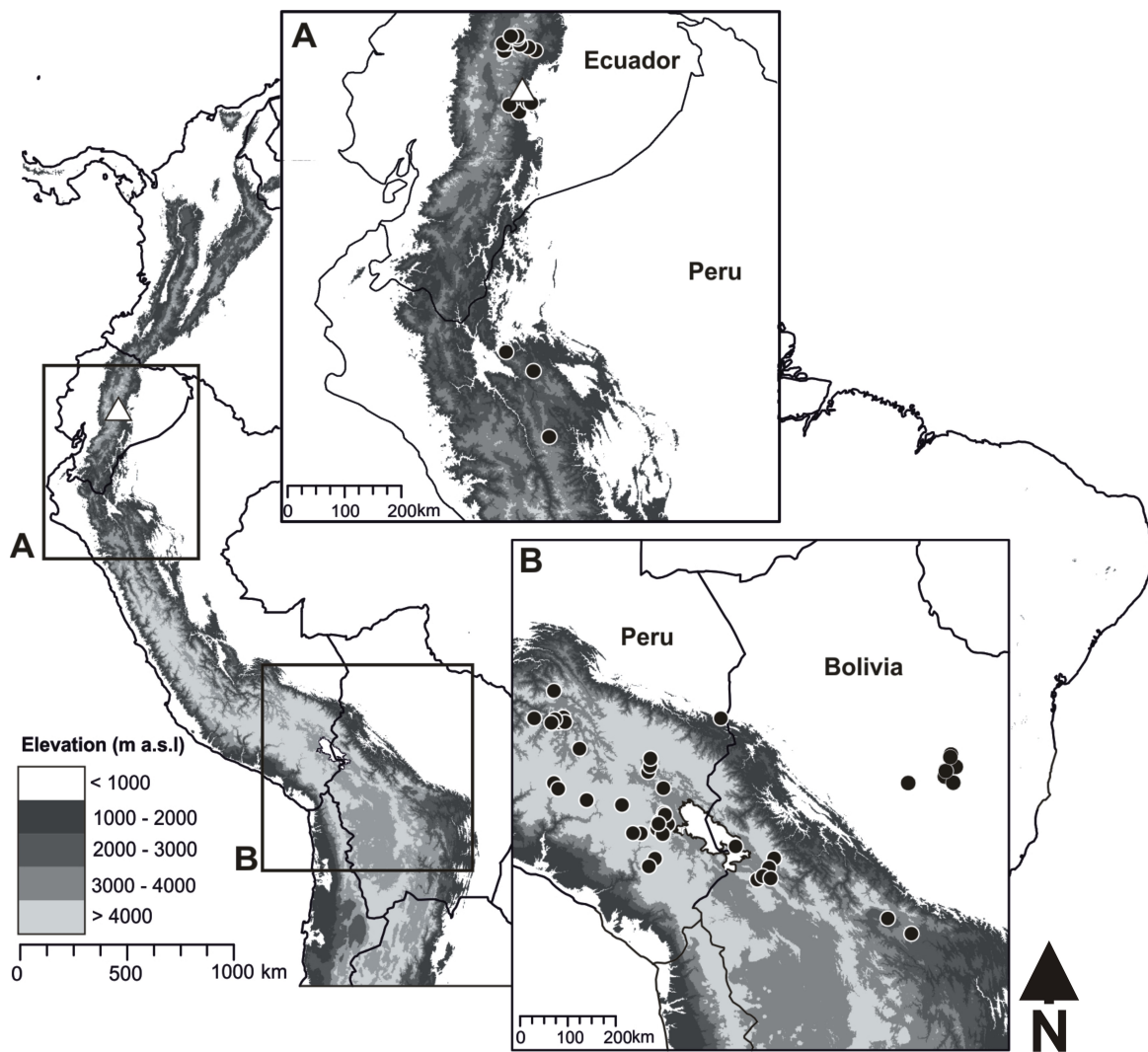
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Figures

Figure 1



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916 Figure 2

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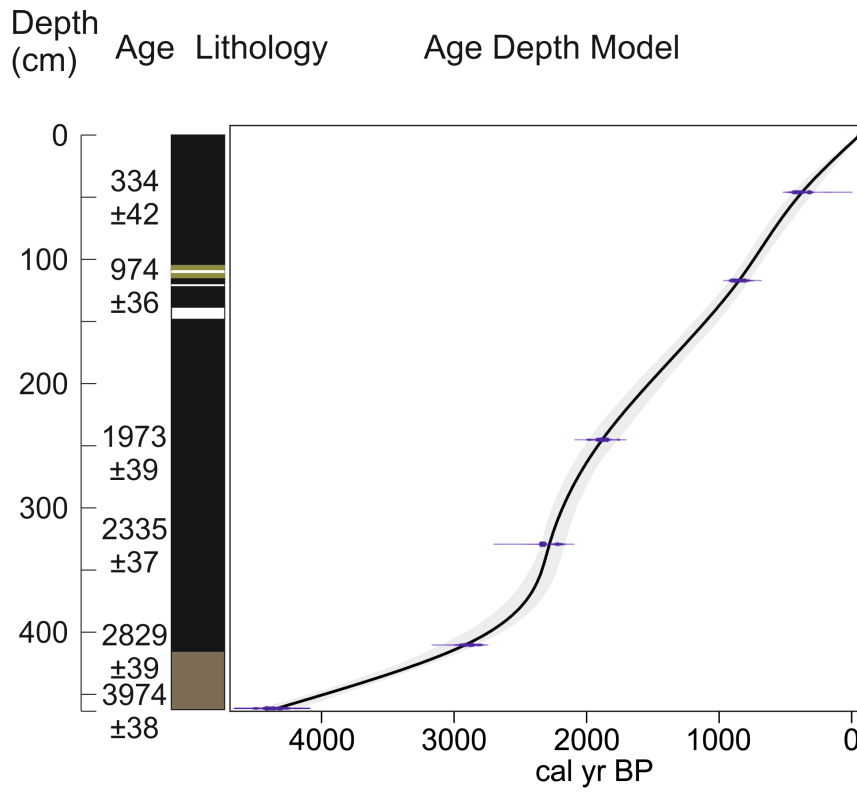
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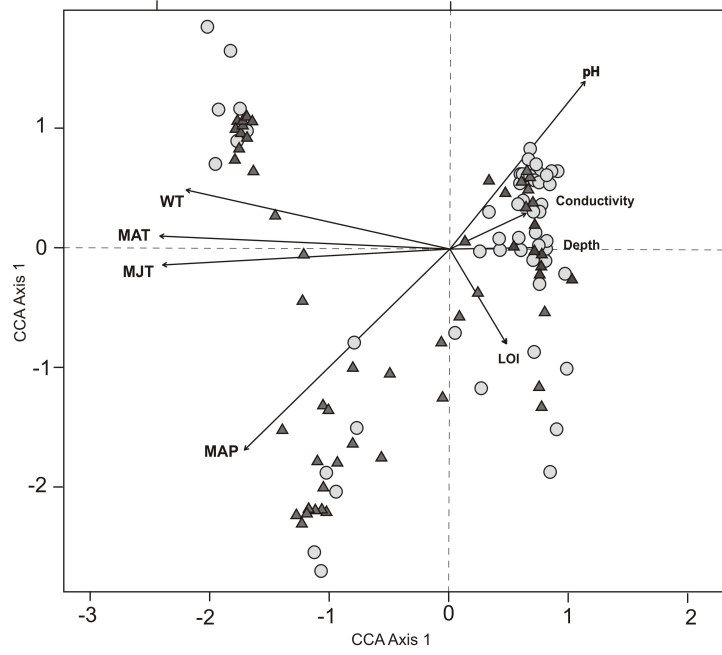
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**Figure 3**



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966 **Figure 4**

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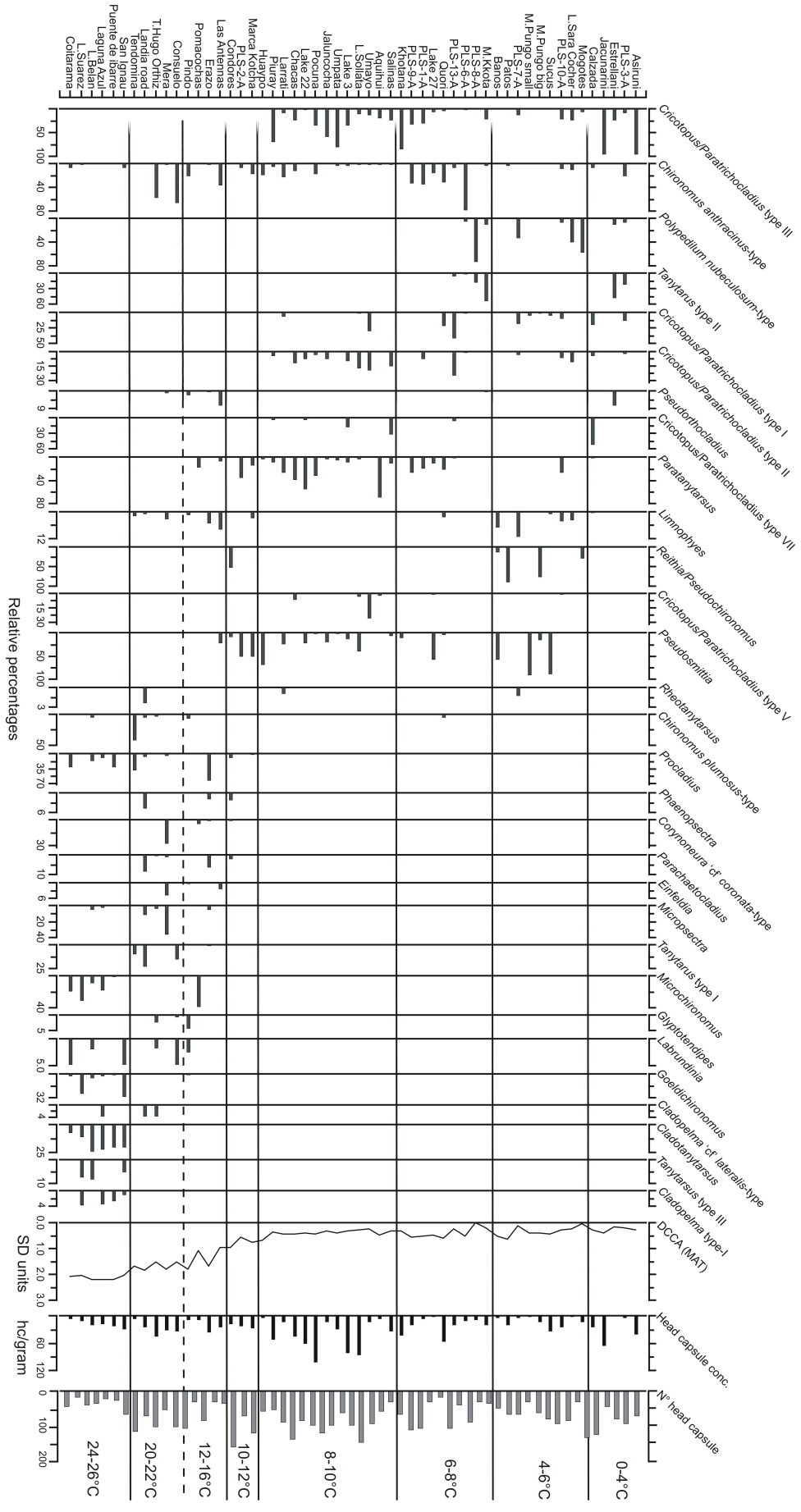
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992 **Figure 5**

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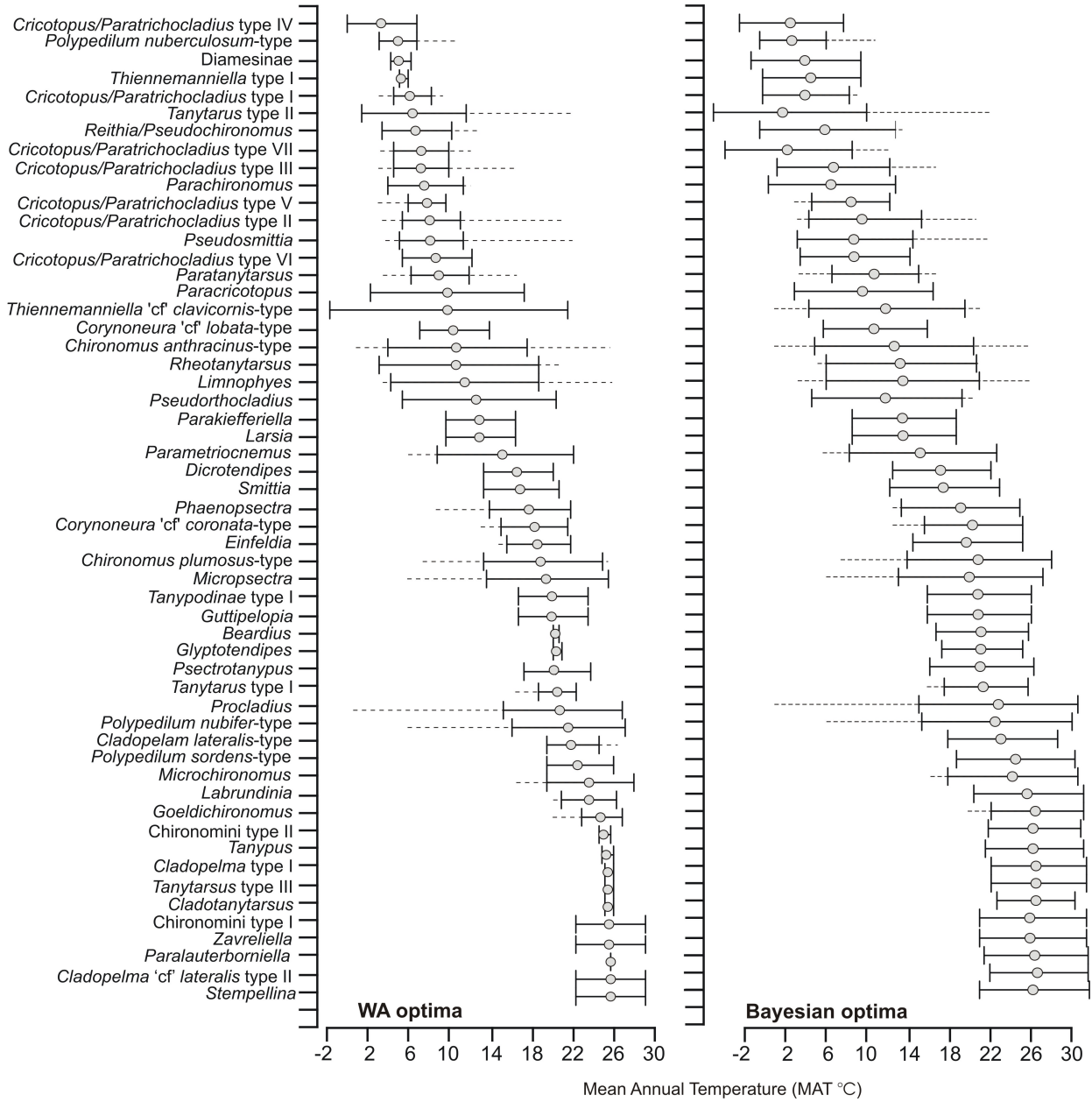
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018 **Figure 6**

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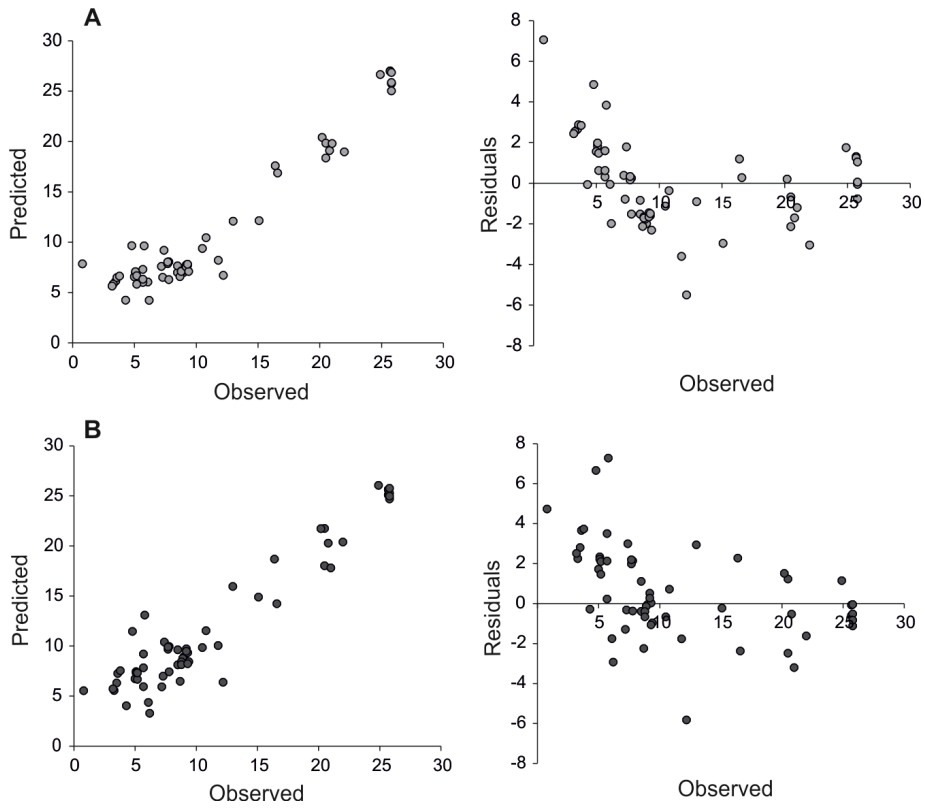
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044 **Figure 7**

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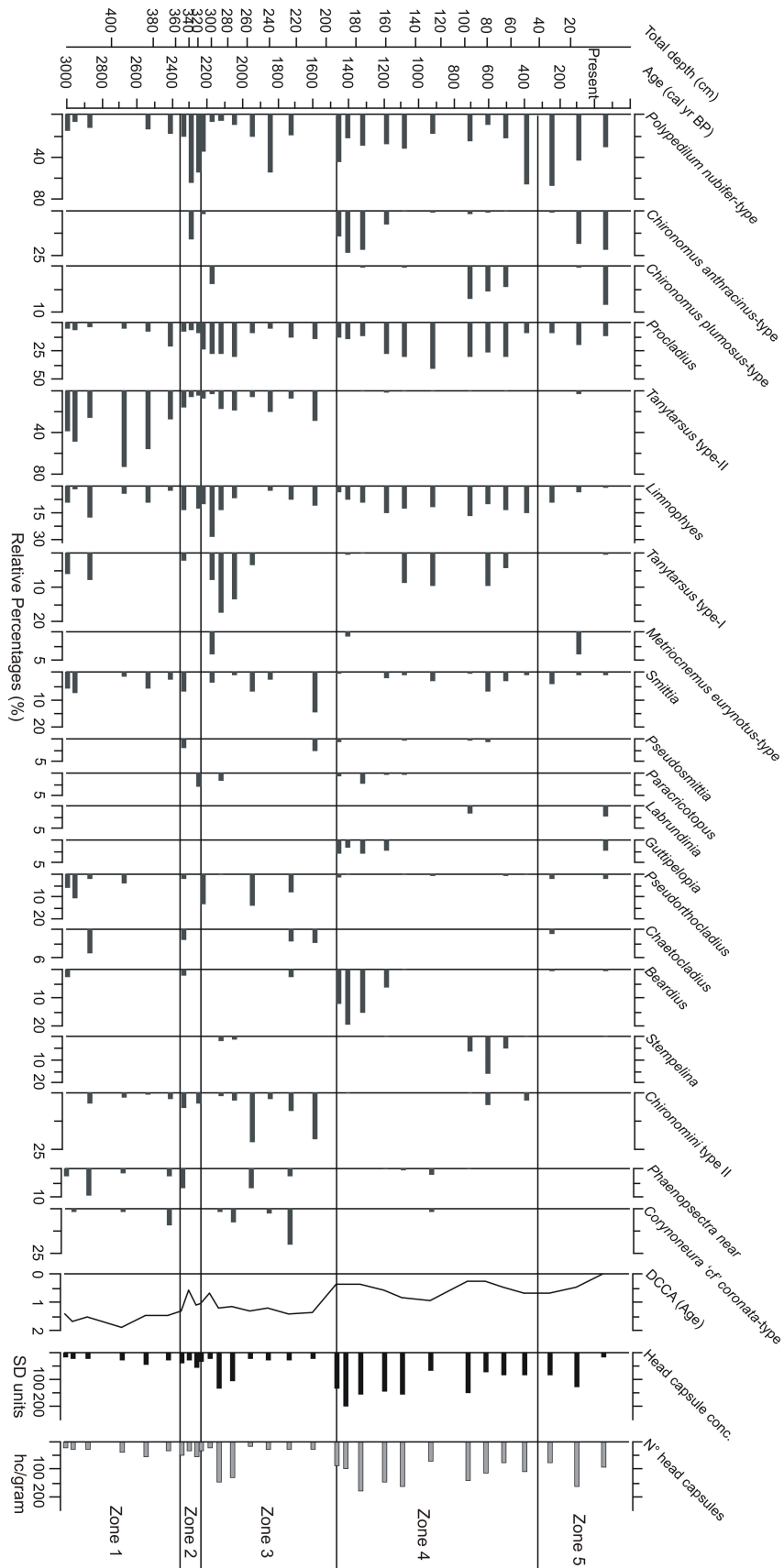
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070 **Figure 8**

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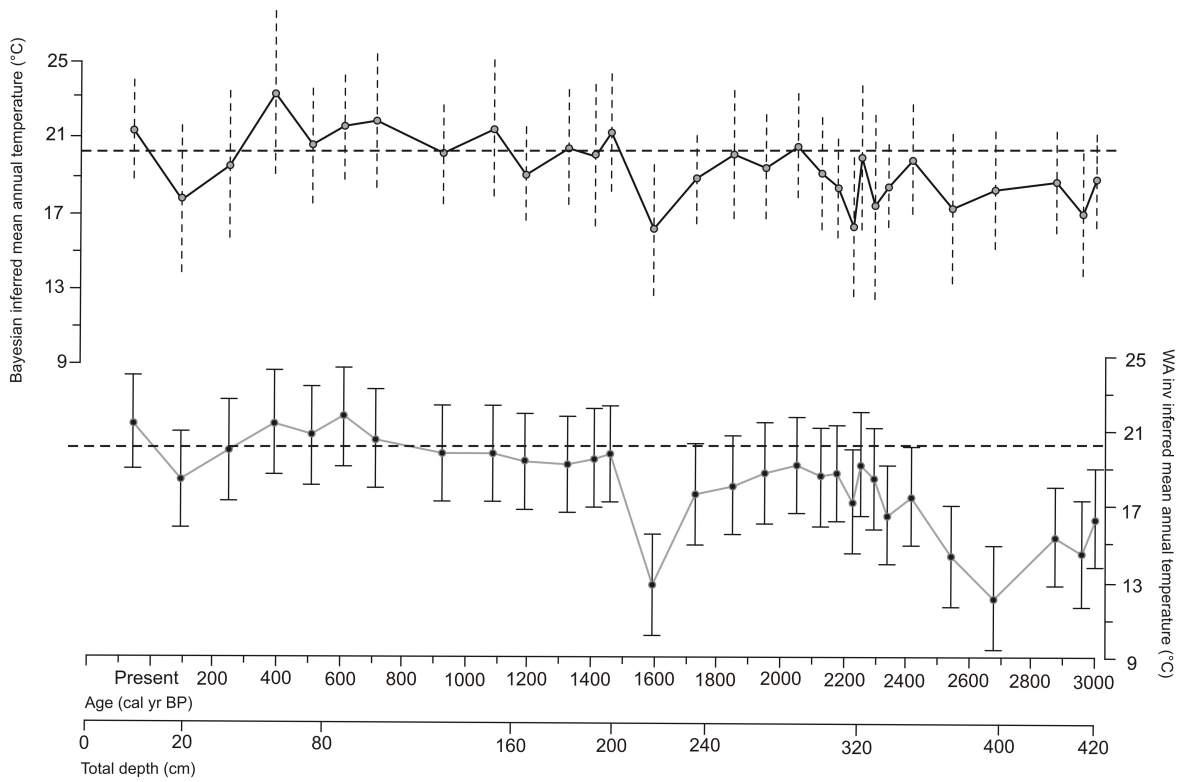
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096 **Figure 9**

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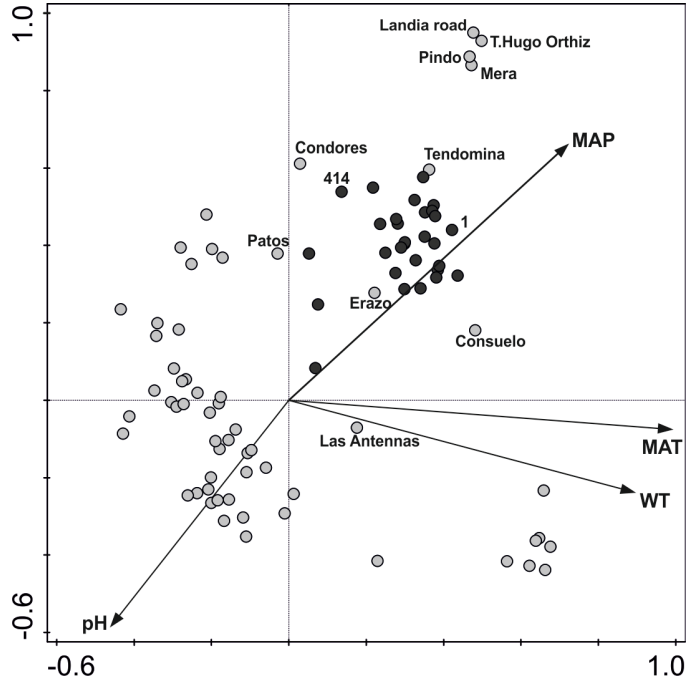
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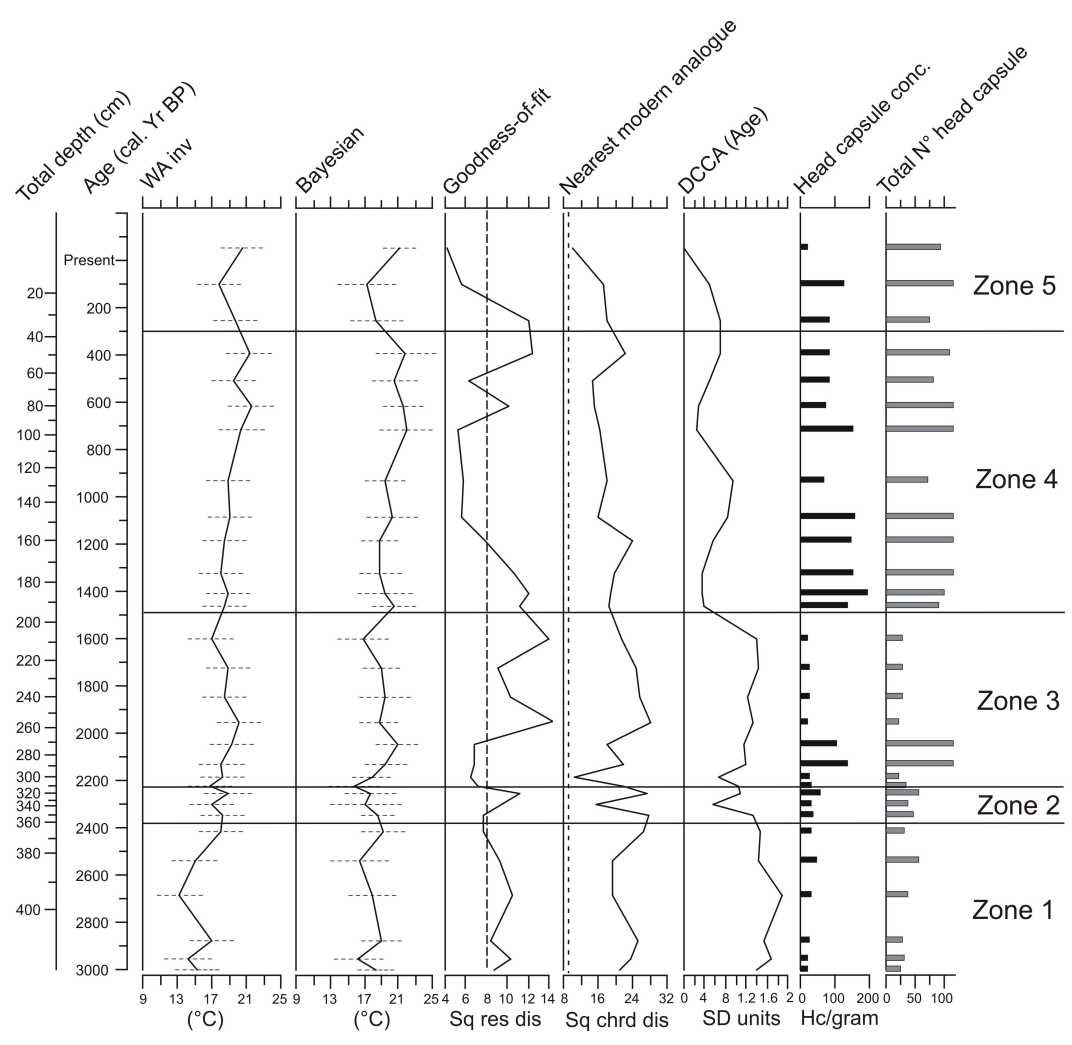
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**Figure 10**



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150 **Figure 11**

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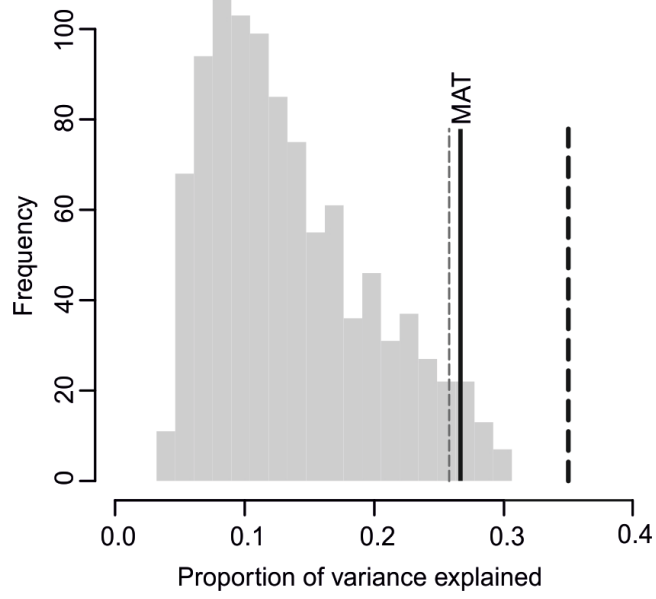
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Figure 12

