



1 **Detecting the onset and effects of major northern hemisphere glaciation**
2 **in the abyssal tropical Atlantic Ocean**

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13 **Abstract**

14 The cooling trend of the Neogene resulted in the diachronous development of glacial
15 conditions, southern high latitudes glaciating before northern. This cooling culminated in the
16 early Pleistocene (2.54 Ma) onset of major glaciation, during which large areas of high latitude
17 land and sea in both hemispheres were periodically blanketed with ice. However, this onset and
18 its impact at low latitude abyssal depths are elusive. This paper examines the abyssal benthic
19 foraminiferal community in the Atlantic Ocean near the Northern Equatorial Countercurrent, in
20 ODP Hole 926A (Ceara Rise), off the River Amazon. Using an assemblage turnover index
21 (ATI), a related conditioned-on-boundary index (CoBI) and SHE analysis, we show how a
22 change in mean assemblage turnover coincides with the early Pleistocene onset of northern
23 hemisphere major glaciation. The community comprises primarily phytodetritivores
24 (*Alabaminella weddellensis*, *Epistominella exigua* and *Globocassidulina subglobosa*), the
25 proportional abundances of which differ before and after 2.54 Ma. Coupled with changes in the
26 abundances of *Nuttallides umbonifera*, *Bulimina* spp. and *Uvigerina* spp., alterations in the
27 abundances of the phytodetritivores after 2.54 Ma show that the adjustment in biotic turnover at
28 depth resulted from enhanced organic carbon flux from sea surface water. This was probably
29 related to forced southward repositioning of the inter-tropical convergence zone (ITCZ) by the
30 growth of the northern hemisphere ice caps. This was coupled with an increase in bottom
31 current velocity, as shown by a change in the abundance of *Cibicidoides wuellerstorfi*.

32



33 **1. Introduction**

34 The stratigraphic level coincident with the Early Pleistocene onset of major northern hemispheric
35 glaciation at abyssal depths in the tropical Atlantic Ocean has so far proven elusive. There are
36 no obvious sedimentological proxies coincident with that onset (Dobson et al., 1997). Nor is the
37 subsequent impact of that onset clear. Biotic responses must therefore be sought, such as among
38 benthic foraminifera away from slumped material along continental margins.

39 The Ceara Rise is an abyssal, aseismic ridge in the western tropical Atlantic Ocean off
40 NE South America between 3 – 8°N and 38 – 45°W (Kumar and Embley, 1977). It lies beneath
41 the eastward-flowing North Equatorial Countercurrent (NEC), which extends between ~3 – 10°N
42 (Phialder, 2001) and for some months each year carries low-salinity water derived from the
43 Amazon (CERSAT, 2011). Thus, although the ridge is covered with muds of Andean origin
44 delivered to the open ocean by the Amazon, the Ceara Rise lies beyond the limits of slumping
45 within the Amazon deep-sea fan (Damuth and Kumar, 1975; Dobson et al., 1997; Manley and
46 Flood, 1988). Ocean Drilling Program (ODP) Holes on the rise are ideally situated to
47 examination of the impact of the Countercurrent and its associated Amazon water on the
48 foraminifera of the western tropical Atlantic. We show here the impact of the alteration of the
49 current by the onset of major glaciation in the northern hemisphere at ~2.4 Ma.

50 Primary productivity in the tropical Atlantic is usually examined with mean annual rates
51 only (da Cunha and Buitenhuis, 2013; Foster and Sexton, 2014). The open western tropical
52 Atlantic waters have been shown to be oligotrophic, with mean primary productivity rates
53 (MPPR) in surface water of ~400 mgC/m²/day (Foster and Sexton, 2014). In contrast, water
54 immediately off the River Amazon is eutrophic, with a MPPR ~1200 mgC/m²/day. However,
55 Shipe et al.(2007) found that production of diatoms, the main phytoplankton within the Amazon



56 plume between 2 – 14°N and 40 – 57°W, was markedly greater during the equatorial summer
57 (July and August), coinciding with the maximum transport of Amazon water eastwards by the
58 NEC; it follows that the Ceara Rise is subject to a seasonal phytodetrital flux. Yasuda (1997),
59 using qualitative interpretations, found this seasonal flux to be reflected in the benthic
60 foraminiferal fauna at the Ceara Rise ODP Site 926 (3°43.146'N, 43°44.884'W, water depth
61 3598.4 m; Figure 1). The three most common species (*Globocassidulina subglobosa*,
62 *Epistominella exigua*, *Alabaminella weddellensis*) are phytodetrivores that respond
63 opportunistically to a pulsed phytodetrital rain (Gooday, 1994, 2002; Gooday and Rathburn,
64 1999; Smart et al., 1994), although *G. subglobosa* has also been recorded as abundant in
65 sediment associated with methane seeps (Panieri and Sen Gupta, 2008). The fourth most
66 abundant species (*Nuttallides umbonifera*) is adapted to extreme oligotrophy (Gooday, 1993) and
67 corrosive bottom waters (Corliss, 1979; Gupta et al., 2013). Of these four species, *A.*
68 *weddellensis*, *E. exigua* and *N. umbonifera* are epifaunal, while *G. subglobosa* is infaunal.

69 Recent studies have demonstrated that benthic foraminiferal species differ in their niche
70 widths. Examining Middle Miocene foraminifera in the Cipero Formation of Trinidad, Wilson
71 and Hayek (2015a) characterised *Cibicidoides wuellerstorfi* as a generalist and *E. exigua*,
72 although an opportunist, as a relative specialist. Wilson and Hayek (2015b) used Late
73 Quaternary benthic foraminifera to demonstrate that generalists such as *Bulimina aculeata* will
74 range through a stratigraphic section showing no significant trends in proportional abundance,
75 while relative specialists such as *Bulimina alazanensis* and *E. exigua* show trends, their
76 proportional abundances fluctuating throughout the section. Thus, even congeneric species such
77 as these can possess different niche widths. Here we re-examine the data published by Yasuda
78 (1997) from ODP Hole 926A. We use a quantitative measurement approach with assemblage



79 turnover and conditioned-on-boundary indices (Hayek and Wilson, 2013; Wilson and Hayek
80 2014a, 2014b) to (a) identify and explain the timing of a major regime shift within the later
81 Neogene, and (b) compare niches of the phytodetrivore species *A. weddellensis*, *G. subglobosa*
82 and *E. exigua*.

83

84 2. Materials and methods

85

86 Yasuda (1997, table 1) tabulated the benthic foraminifera in the >63 μm fraction in 115
87 samples from ODP Hole 926A taken between 0.8 – 164.27 meters below the seafloor (mbsf),
88 with a mean sample spacing of 1.62 m (minimum spacing = 0.11 m, maximum = 5.94 m). He
89 presented an age model based on the correlation between magnetic susceptibility records and
90 previously published isotope records, which is accepted here. According to this model, the
91 samples range in age from 0.027 – 6.598 Ma, which equates to the latest Miocene (Messinian)
92 and younger, with 199 – 376 benthic foraminifera per sample (mean, 242 specimens), and a total
93 yield of 27,542 specimens and 53 taxa. Some specimens were identified to species level, but
94 some taxa were not split into species (*Gyroidina*, some *Uvigerina*, miliolids and unilocular
95 specimens). A small number of rare specimens (mean ~3% per sample) were grouped as
96 unidentified.

97 For this study, the proportional abundance of each taxon in each sample was calculated.
98 The absolute values of the differences in these proportional abundances were summed between
99 adjacent samples to give the sample-wise assemblage turnover index (ATI_s) (Hayek and Wilson,
100 2013). The series of values of ATI_s in ODP Hole 926A was examined for overall trend and
101 sequenced into two subsections for which linear regression did not show any significant trend.



102 Because ATI is the sum of random variables that are the differences of proportions, each
103 component or random variable is Normally distributed for our large samples and can be tested
104 with a normal deviate test. Then, the sum of Normal variates is known to be Normally
105 distributed. Therefore, the index ATI will likewise be normally distributed by definition for our
106 samples. However, because we include rare species we chose to include a normal quantile plot
107 test to assess observed normality of ATI values. Hartley's Fmax test, the ratio of the maximal to
108 minimal variance, was used was used to compare the variances between the subsections
109 determined by sequencing. Subject to assumptions testing, Student's or Welch's *t*-test was used
110 to compare subsection means.

111 The thorough ATI_t (Hayek and Wilson, 2013) objectively determines total assemblage
112 turnover across any boundary, while the thorough conditioned-on-boundary index ($CoBI_t$)
113 objectively determines the proportional contribution of each species to the ATI_t (Wilson and
114 Hayek, 2014a). For our study, the ATI_t was calculated between the subsections determined from
115 sequencing, while $CoBI_t$ was determined for each taxon across the subsection boundary. What is
116 known of the ecologies of species with high $CoBI_t$ was used to check whether they showed
117 distributions typical of specialist species and to infer palaeoenvironmental change.

118 Sample-wise diversity was quantified using Shannon's H index, dominance using the
119 Berger-Parker index of $\max(p_i)$ and evenness by $E = e^H/S$, where S is species richness (for
120 details see Hayek and Buzas, 2013). The values of H in the subsections identified using ATI_t
121 were tested for similarity of variance, and compared using Student's *t*-test. SHE analysis (Buzas
122 and Hayek, 1998; Hayek and Buzas, 1997; Hayek and Buzas, 2010) was used on the matrix of
123 relative abundances accumulated over samples to determine abundance biozones.



124 Jain and Collins (2007) and Jain et al. (2007) suggested that the joint abundances of
125 *Cibicidoides wuellerstorfi* and *Globocassidulina subglobosa* per sample can be used as a proxy
126 for palaeocurrent strength, these two species showing similar trends (Pearson's $r = 0.33$, $p =$
127 0.03) in the Neogene at ODP Site 999 (western Caribbean Sea), even though Jain and Collins
128 (2007) listed them as being epifaunal and infaunal respectively. They are here used to assess
129 variations in relative current strength in the later Neogene of ODP Hole 926A.

130

131 3. Results

132

133 Assemblages were dominated by *Globocassidulina subglobosa* (17.1% of total recovery),
134 *Alabaminella weddellensis* (14.2%) and *Epistominella exigua* (12.1%), with lesser *Nuttallides*
135 *umbonifera* (7.0%) and unilocular species (6.1%). *Uvigerina* spp. formed only 0.7% of the total
136 recovery, while *Bulimina* spp. (principally *B. alazanensis*) formed 1.4%. *Cibicidoides* spp.
137 formed 3.4% of the total recovery, while *C. wuellerstorfi* alone formed 0.6%. Unidentified
138 specimens accounted for 3.1% of total recovery.

139 The minimum ATI_s was 0.33, between 143.8 – 145.3 mbsf, which equates to 5.627 –
140 5.560 Ma (Figure 2). In contrast, the maximum ATI_s of 1.28, between 18.39 – 19.89 mbsf,
141 equates to 0.564 – 0.610 Ma. The mean ATI_s was 0.59. For determination of long-term trends,
142 ATI_s values were assumed to reflect assemblage turnover at the mean of the ages of adjacent
143 samples ($mean_{MA}$). Linear regression ($F_{(1,112)} = 25.310$, $p < 0.0001$) suggests that there is an
144 overall long-term trend in turnover, with $ATI_s = 0.713 - 0.039(mean_{MA})$. Over this time period the
145 curve of ATI_s comprises two subsections, each with insignificant linear trends. In the 61 samples
146 in the lower subsection (164.27–75.8 mbsf, 6.598 – 2.654 Ma, for which $F_{(1, 59)} = 2.235$, $p =$



147 0.140), $ATI_s = 0.604 - 0.017(\text{mean}_{Ma})$. For the 53 samples in the upper subsection (74.3 – 0.8
148 mbsf, 2.605 – 0.027 Ma, for which $F_{(1,52)} = 1.639$, $p = 0.206$) $ATI_s = 0.732 - 0.048(\text{mean}_{Ma})$.
149 Values of ATI_s in the lower subsection were normally distributed, as expected, though the
150 variance for ATI_s in the lower subsection ($\sigma^2 = 0.01$) was significantly different from that for the
151 upper ($\sigma^2 = 0.04$). Mean values of ATI_s (0.526 lower, 0.669 upper) were compared (Welch $t =$
152 4.62, $p < 0.0001$, $df = 72$). Thus, mean assemblage turnover between samples was greater after
153 ~2.625 Ma, at which time assemblage turnover fluctuated more widely.

154 SHE analysis found 21 abundance biozones (ABs) over the interval represented by the
155 115 samples. The lower subsection prior to 2.654 Ma contained most of the abundance
156 biozones' change (14/21). Communities contained only 1 to 6 samples, and diversity increased
157 in each. Beginning with AB15 (2.954 – 2.654 Ma) and continuing into AB16 (2.605 – 2.040
158 Ma), diversity decreased. Regression of H against $\ln N$ was insignificant in the lower subsection
159 but significant in the upper. After AB15, diversity again increased. During AB15, evenness
160 dropped from 0.562 to 0.415, then over the boundary, dominance increased sharply so that E
161 reached 0.60, remaining high into time period 2.555 – 2.506 Ma, then decreased to prior levels.
162 Species richness S increased from 34 to 43. At the boundary time period S dropped
163 approximately 30% to 31. This was the largest decrease in S over any of the AB boundaries in
164 Hole 926A.

165 Shannon's H ranged from 2.403 – 3.039 ($\bar{x} = 2.773$) in the lower subsection, and 2.141 –
166 2.940 ($\bar{x} = 2.605$) in the upper (Figure 2B). Values of H were normally distributed in both
167 subsections as expected, while the variances did not differ (lower, $\sigma^2 = 0.021$; upper, $\sigma^2 = 0.029$).
168 However, mean H for the two sections differed significantly (Student's $t = 5.693$, $df = 112$, $p <$
169 0.0001). Mean evenness E for the lower subsection ($\bar{x} = 0.816$) was greater than for the upper



170 ($\bar{x} = 0.793$). Mean dominance ($\max(p_i)$) was smaller in the lower subsection ($\bar{x} = 0.215$) than in
171 the upper subsection ($\bar{x} = 0.245$). Thus, there was an overall fall in diversity and evenness, and
172 an increase in dominance, after ~2.625 Ma, as was likewise evidenced by the accumulated data
173 in regression.

174 The boundary between the two subsections identified using ATI_s and the AB detection
175 method SHE, was examined using ATI_t , with subsequent conditioned-on-boundary index (CoBI_t)
176 applied to each of the taxa. The ATI_t between the two subsections was 0.402. CoBI_t ranged
177 between 0.0001 (*Bolivina pacifica*) and 0.263 (*Alabaminella weddellensis*). That is, *B. pacifica*
178 was responsible for 0.01% of the turnover between the two subsections, while *A. weddellensis*
179 was responsible for 26.3% of the approximate total 40% turnover during that time. Mean CoBI_t
180 among the 53 taxa was 0.019; 12 taxa had CoBI_t greater than the mean (Table 1). Clearly, the
181 most abundant species need not contribute the greatest amount to ATI_t at a boundary; the most
182 frequently recovered species, *G. subglobosa* (17.1% of total recovery), contributed only 8% to
183 the ATI_t , while the second most abundant species, *A. weddellensis* (14.2% of total recovery),
184 contributed 26% to ATI_t . *Uvigerina peregrina* had a CoBI_t of 0.018, which is just less than the
185 mean.

186 The proportions of selected taxa in each sample are shown in Figure 3. The variance in
187 the proportional abundances of *A. weddellensis* in the lower subsection ($\sigma^2 = 0.003$) was
188 significantly different and smaller than that in the upper ($\sigma^2 = 0.008$). The mean from the lower
189 subsection as *A. weddellensis* ($\bar{x} = 0.093$) was significantly different and lower than the mean
190 proportion as this species in the upper ($\bar{x} = 0.20$; by both Normal deviate test and Welch's *t* =
191 7.43, $p < 0.0001$, $df = 112$). The variance for *E. exigua* in the two subsections (lower, $\sigma^2 = 0.004$;
192 upper, $\sigma^2 = 0.005$) did not differ significantly and the mean from the lower as *E. exigua* ($\bar{x} =$



193 0.135) was significantly higher than that in the upper ($\bar{x} = 0.10$; by both Normal deviate test and
194 Welch's $t = 2.77$, $p = 0007$, $df = 112$). This difference between *A. weddellensis* and *E. exigua*
195 would not have been detected if, as is usual, only the correlation between their proportional
196 abundances over all samples had been examined, the correlation being statistically insignificant
197 ($r = -0.149$, $p = 0.12$). As with *E. exigua*, the proportional abundances of *G. subglobosa* were not
198 normal in the lower subsection, but were in the upper. The variance of the proportional
199 abundances of *G. subglobosa* in the two subsections did not differ, being 0.005 in both. The
200 mean proportion from the lower subsection as *G. subglobosa* ($\bar{x} = 0.183$) was significantly
201 higher than that in the upper ($\bar{x} = 0.154$; Welch's $t = 2.04$, $p = 0.03$, $df = 112$). As might be
202 expected from these results, the proportional abundances as *G. subglobosa*, which was more
203 abundant in the lower subsection, and *A. weddellensis*, which was more abundant in the upper
204 subsection, were significantly negatively correlated ($r = -0.472$, $p < 0.0001$). Intriguingly,
205 however, the proportional abundances as *G. subglobosa* and *E. exigua*, both of which were more
206 abundant in the lower subsection, were likewise significantly negatively correlated ($r = -0.37$, p
207 $= 0.0001$). Overall, some proportion of the detritivores *E. exigua* and *G. subglobosa* was
208 replaced by *A. weddellensis* in the upper subsection.

209 Wilson and Hayek (2015a) characterised *Cibicidoides wuellerstorfi* as showing relative
210 generalist behaviour in the Middle Miocene Cipero Formation of Trinidad, while Wilson and
211 Hayek (2015b) suggested that *Bulimina alazanensis* showed specialist behaviour in the Upper
212 Quaternary offshore Trinidad. The distributions of both are examined here for comparison,
213 although *C. wuellerstorfi* formed only 0.6% of the total recovery, and *B. alazanensis* only 1.4%.
214 The proportional abundances of *C. wuellerstorfi* were not normally distributed in either of the
215 subsections, while the variance was greater in the upper ($\sigma^2 = 0.00007$) than in the lower ($\sigma^2 =$



216 0.00003; $F_{\max} = 2.14$). The mean of *C. wuellerstorfi* in the lower subsection ($\bar{x} = 0.40$) was
217 smaller than in the upper subsection ($\bar{x} = 0.85$; Welch's $t = 3.20$, $p = 0.002$, $df = 109$). *Bulimina*
218 *alazanensis* never formed $>0.8\%$ per sample, but was more frequently recovered from the lower
219 subsection (6 of 61 samples) than the upper (2 of 53 samples).

220 Jain and Collins (2007) used the joint relative abundances of *Cibicidoides wuellerstorfi*
221 and *Globocassidulina subglobosa* as a proxy for relative current strength. The proportional
222 abundances of these two species are not significantly correlated at ODP Hole 926A ($r = -0.162$,
223 $p = 0.086$). Whereas the mean proportional abundance of *G. subglobosa* was higher in the lower
224 subsection, that of *C. wuellerstorfi* was lower in the lower subsection. The mean joint
225 proportional abundance of these species did not differ between the lower ($\bar{x} = 0.176$) and upper
226 ($\bar{x} = 0.162$) subsections (Welch's $t = 1.14$, $p = 0.26$, $df = 103$).

227 Wilson and Hayek (2015b); Wilson and Hayek (2015a) noted that congeneric species can
228 have differing ecologies. Kaiho (1994) reported that species of *Cibicidoides* occupy oxic waters
229 (>2 mL/L O_2), even though they prefer somewhat different rates of organic flux. Mean
230 *Cibicidoides* in the lower ($\bar{x} = 3.2\%$) was significantly different and smaller than in the upper
231 subsection ($\bar{x} = 4.7\%$; Welch's $t = 2.82$, $p = 0.006$, $df = 102$; Figure 3E). In contrast, Kaiho
232 (1994) reported *Bulimina* spp. and *Uvigerina* spp. to be indicative of suboxic bottom water,
233 perhaps due to a tolerance for a high organic flux (Altenbach et al., 1999). Variances of total
234 *Bulimina* spp in the subsections differed significantly ($F_{\max} = 5.3$; Figure 3D). Mean percentage
235 as *Bulimina* spp. in the lower subsection ($\bar{x} = 0.4\%$) is significantly different and the value is
236 smaller than in the upper subsection ($\bar{x} = 2.7\%$; Welch's $t = 12.25$, $p = 0.0001$, $df = 102$). Forty
237 one of the 61 samples from the lower subsection did not yield *Bulimina* spp. at all, whereas only
238 one sample in the upper subsection did not yield any. *Uvigerina* spp. (principally *U. peregrina*)



239 showed similar distributions to *Bulimina* spp., with mean percentage in the lower subsection (\bar{x} =
240 0.51%) significantly different and lower than in the upper (\bar{x} = 1.0%; Welch's $t = 2.202$, $p =$
241 0.031, $df = 102$; Figure 3F).

242 The proportional abundances of *N. umbonifera* were not normal in either of the
243 subsections and lower ($\bar{x} = 0.08$) and upper ($\bar{x} = 0.05$) subsections were significantly different.
244 The variances did not differ (lower, $\sigma^2 = 0.002$; upper, $\sigma^2 = 0.003$). There were no significant
245 trends detected over time in the lower subsection. There was an overall decrease in *N.*
246 *umbonifera* throughout the entire upper section from 6.598 – 0.027 Ma (0.021 + 0.027(age in
247 Ma); $F_{(1, 52)} = 9.84$, $p = 0.003$). However, as noted by Yasuda (1997), this decrease is modified
248 by periods of relatively high and low proportional abundances.

249

250 4. Discussion

251 The sample-wise assemblage turnover index (ATI_s) of Hayek and Wilson (2013) is a
252 measure of community stability, with lower values indicating more stable conditions. In ODP
253 Hole 926A, ATI_s partitions the later Neogene into two subsections, each fluctuating around
254 different mean values of ATI_s. The lower subsection (75.8 – 164.27 mbsf, 6.598 – 2.654 Ma)
255 had a smaller mean value of ATI_s, or less community change, than did the upper subsection (74.3
256 – 0.8 mbsf, 2.605 – 0.027 Ma). Furthermore, the variance in ATI_s was greater in the upper
257 subsection than the lower. The boundary between these subsections coincides with the onset of
258 major Northern Hemisphere glaciations at ~2.64 Ma as modelled by Bailey et al. (2013). The
259 impact on the benthic foraminiferal community is comparable to that noted by Veersteegh
260 (1997), who found that dinoflagellate cyst and acritarch communities in the North Atlantic
261 Ocean and Mediterranean Sea underwent reorganisation and relocation of species associations,



262 coupled with enhanced species turnover, following the onset of northern hemispheric major
263 glaciations. The higher value of mean ATI_s in the upper subsection shows that the benthic
264 foraminiferal community was less stable during the Pleistocene after ~2.64 Ma than it was
265 during the latest Miocene and Pliocene.

266 The impact of the onset of major glaciation is reflected in the diversity and dominance of
267 the deep-sea foraminiferal assemblage in these samples. Mean sample-wise diversity, measured
268 using the Shannon's H, was lower during deposition of the upper subsection, after the onset of
269 major glaciations and at the time of increased mean ATI_s. This apparently suggests that times of
270 higher stability (i.e., latest Miocene and Pliocene) were also times of higher diversity. However,
271 that community stability engenders high diversity need not always be the case. Wilson et al.
272 (2014) examined ATI_s among foraminifera and ostracods in an oxygen minimum zone in the
273 Miocene Brasso Formation of Trinidad, where they found ATI_s to be low and stable within the
274 zone, in a region with low values of H. In ODP Hole 926A, dominance was higher during the
275 deposition of the upper, Pleistocene subsection. Hayek and Wilson (2013) suggested that peaks
276 in benthic foraminiferal ATI_s coincide with the transgressions at glacial terminations. Due to
277 wide and irregular sample spacing, peaks in ATI_s recorded by the present study cannot be used to
278 invoke glacial terminations.

279 Wilson and Hayek (2015b) recorded that the changing position of the inter-tropical
280 convergence zone (ITCZ) during the later Quaternary had a major impact on the bathyal benthic
281 foraminiferal fauna off NE South America, around Trinidad. The impact of northern
282 hemispheric glaciations on the tropical abyssal fauna may similarly have been related to a change
283 in the location of the ITCZ. At present the mean position of the ITCZ is at about 5–7°N
284 (Philander et al., 1996). During Late Miocene times, when South America lay approximately at



285 its current location relative to the equator but glaciation was more extreme in the Antarctic than
286 in the Arctic, the ITCZ was pushed north to about 30°N (Hay, 1993; Van Vliet-Lanoe, 2007).
287 Billups et al. (1999) suggested that changes in thermohaline circulation, the density-driven part
288 of global ocean circulation driven by regional variations in salinity and the flux of heat across the
289 sea surface (Rahmstorf, 2006), around 4.4–4.3 Ma drove the ITCZ towards its modern location.
290 This relocation was not especially marked by a peak in ATI_s in ODP Hole 926A, although
291 changes in the thermohaline circulation system would be expected to have a large impact on
292 global marine fauna and flora through associated climate change (Marotzke, 2000). Hoffmann et
293 al. (2014) suggested that the mean annual position of the ITCZ in the Atlantic region during the
294 Last Glacial Maximum was 2°S, with a shift to 10°–12°N during the early Holocene. It is
295 possible that the arrival at this glacial-interglacial position at ~2.64 Ma, coincident with the onset
296 of major glaciations in the northern hemisphere, caused the shift in mean ATI_s at ODP Site
297 926A, perhaps either by shifting the position of the NEC, which currently extends from ~3 –
298 10°N, or by changing the outflow from the Amazon. The marked increase in the variance of
299 ATI_s within the Pleistocene post-2.64 Ma upper subsection might reflect the impact of the south-
300 north, glacial-interglacial migration of the mean position of the ITCZ during Pleistocene times
301 noted by Hoffmann et al. (2014).

302 The total recovery from ODP Hole 926A was dominated by opportunistic
303 phytodetrivores adapted to a seasonal flux of phytodetritus: *G. subglobosa* (17.1% of total
304 recovery), *A. weddellensis* (14.2%) and *E. exigua* (12.1%). However, the means of these species
305 changed between the two subsections, *G. subglobosa* and *E. exigua* being more abundant in the
306 lower subsection than in the upper, while *A. weddellensis* is the opposite. This suggests that
307 these epifaunal species *A. weddellensis* and *E. exigua* have subtly different niches. This is



308 supported by Suhr et al. (2003), who demonstrated that the different phytodetrivores
309 selectively feed on different components of the deposited material. The epifaunal species *N.*
310 *umbonifera* (7.0% of total recovery), which is indicative of extreme oligotrophy and corrosive
311 bottom water, is more abundant in the lower subsection. This supports the contention that *G.*
312 *subglobosa* is adapted to exploiting seasonal detrital inputs in oligotrophic areas (Singh and
313 Gupta, 2004) and suggests that the same is true of *E. exigua*. *Uvigerina* spp. and *Bulimina* spp.,
314 which are indicative of an enhanced nutrient flux and diminished bottom-water oxygen
315 concentrations, were never abundant (together they comprised 2.2% of total recovery), but were
316 relatively more abundant in the upper subsection. Coupled with the change in the abundance of
317 *N. umbonifera*, the change in *Uvigerina* spp. and *Bulimina* spp. indicates an enhanced flux of
318 organic carbon during deposition of the upper subsection, which in turn suggests that *A.*
319 *weddellensis* is indicative of an enhanced seasonal phytodetritus flux relative to *G. subglobosa*
320 and *E. exigua*.

321 Jain and Collins (2007) suggested that the joint proportional abundance of *G. subglobosa*
322 and *C. wuellerstorfi* can be used as a proxy for palaeocurrent strength. However, these species
323 did not show similar distributions in ODP Hole 926A, the mean proportional abundance of *G.*
324 *subglobosa* being higher in the lower subsection, where that of *C. wuellerstorfi* is lower. The
325 mean joint proportional abundances of these species do not differ between the two subsections.
326 This is not here taken to suggest that current strength did not differ between the subsections, but
327 rather that this index might be unreliable. Whereas Jain and Collins (2007) characterised *C.*
328 *wuellerstorfi* as being an epifaunal species, they classified *G. subglobosa* as having an infaunal
329 habitat. It is challenging to imagine how a species living below the sediment-water interface can
330 be influenced by current speed in the water above that interface unless there is frequent



331 reworking of the sediment by current action. This is unlikely to be the case for the muddy
332 sediment at Ceara Rise. The higher mean proportional abundance of *C. wuellerstorfi* in the
333 upper subsection might, however, reflect higher current velocities after the onset of northern
334 hemispheric glaciation.

335

336 Conclusions

337 The composition of the benthic foraminiferal community developed at depth is
338 influenced by the organic matter flux from surface waters coupled with abiotic factors such as
339 current strength, temperature and dissolved oxygen concentration at the sea floor. At the
340 tropical, abyssal Ceara Rise, the onset of major northern hemispheric glaciations is marked by an
341 increase in the mean value of benthic foraminiferal assemblage turnover, as measured using the
342 assemblage turnover index. As indicated by the conditioned-on-boundary index, this change in
343 large measure reflects restructuring of the phytodetrivore (*Alabaminella weddellensis*,
344 *Epistominella exigua*, *Globocassidulina subglobosa*) community. This is coupled with an
345 increase in the proportional abundances of dysoxic indicators associated with an enhanced flux
346 of organic matter (*Uvigerina* spp., *Bulimina* spp.) and a decrease in the abundance of an
347 oligotroph (*Nuttallides umbonifera*). Thus, much of the change in community was a function of
348 events at the sea surface, perhaps being due to either a forced repositioning of the Northern
349 Equatorial Countercurrent, a repositioning of the ITCZ causing an increase in the seasonal
350 outflow of nutrient-rich water from the Amazon River, or both. This is coupled with an increase
351 in bottom current strength, as indicated by enhanced levels of *Cibicidoides wuellerstorfi*. The
352 changes demonstrate that the onset of Pleistocene glaciation had far flung effects on the deep-sea
353 foraminiferal fauna in the Atlantic Ocean. Further work is needed to assess if this signal is



354 present elsewhere in the Atlantic Ocean, especially far from major rivers, or in the tropical,
355 abyssal realms of other oceans.

356

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358

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362

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469

470



471 **Table Captions**

472 Table 1. Values of $CoBI_t$ for selected species of benthic foraminifera at the boundary between
473 the lower and upper subsections, ODP Hole 926A, in rank order.

Thorough assemblage turnover index (ATI)	
<i>Alabaminella weddellensis</i>	0.402
<i>Globocassidulina subglobosa</i>	0.264
<i>Epistominella exigua</i>	0.080
<i>Pleurostomella</i> sp.	0.077
<i>Nuttallides umbonifera</i>	0.065
<i>Bulimina</i> sp. A	0.063
<i>Bolivina</i> spp.	0.057
<i>Ioanella tumidula</i>	0.033
<i>Pullenia osloensis</i>	0.028
Unilocular species	0.026
<i>Cibicidoides mundulus</i>	0.025
<i>Pullenia quinqueloba</i>	0.022

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476



477 **Figure captions**

478

479 Figure 1. ODP Hole 926A and ocean surface current systems in the western tropical Atlantic
480 Ocean.

481

482 Figure 2. Statistical measures in the later Neogene of ODP Hole 926A. A. Between sample
483 assemblage turnover index (ATI_s). B. Per sample Shannon diversity index, H . C. Per sample
484 evenness index, E . D. Per sample dominance, $\max(p_i)$. Horizontal dashed line, onset of northern
485 hemisphere glaciations. Vertical dashed lines, mean values of measure in lower and upper
486 subsections.

487

488 Figure 3. Per sample proportional abundances of taxa in later Neogene of ODP Hole 926A. A.
489 *Alabaminella weddellensis*. B. *Epistominella exigua*. C. *Globocassidulina subglobosa*. D. Total
490 *Bulimina* spp. E. *Cibicidoides wuellerstorfi*. F. *Uvigerina* spp. Horizontal dashed line, onset of
491 northern hemisphere glaciations. Vertical dashed lines, mean proportional abundances in lower
492 and upper subsections.

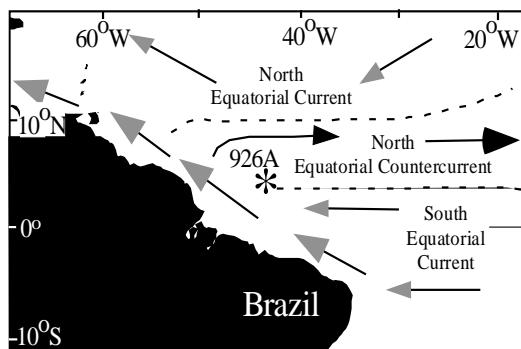
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495 Figure 1

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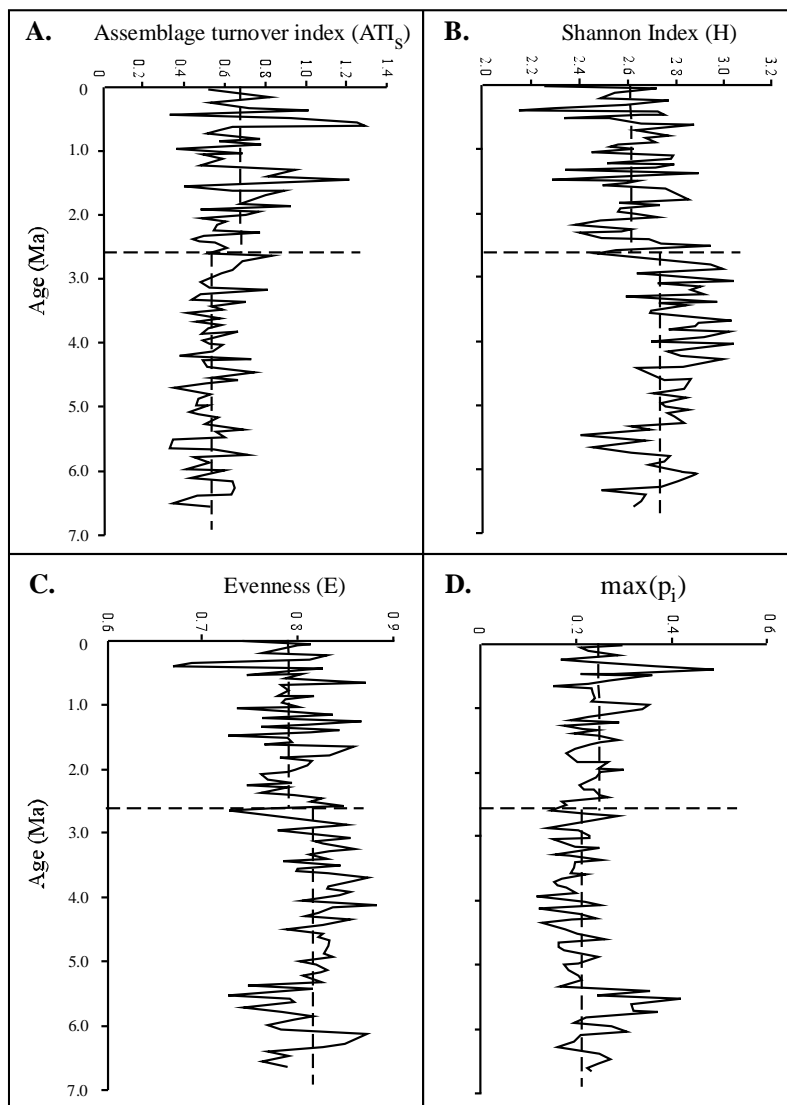


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499 Figure 2

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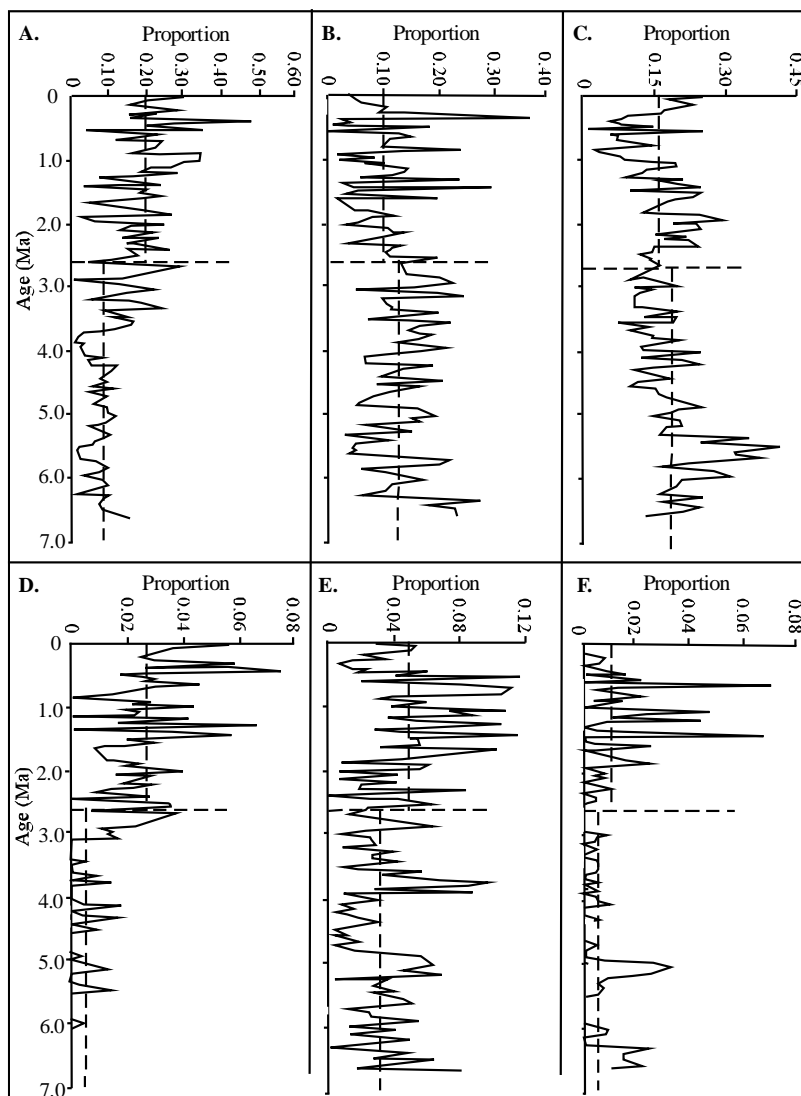


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503 Figure 3

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