1 **A record of Neogene seawater $\delta^{11}$B reconstructed from paired $\delta^{11}$B**

2 **analyses on benthic and planktic foraminifera.**

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15 **Abstract:**

16 The boron isotope composition ($\delta^{11}$B) of planktic foraminiferal calcite, which reflects

17 seawater pH, is a well-established proxy for reconstructing palaeo- atmospheric $CO_2$

18 and seawater carbonate chemistry. However, to translate $\delta^{11}$B measurements

19 determined in calcareous fossils into pH we need to know the boron isotope

20 composition of the parent seawater ($\delta^{11}B_{sw}$). While a number of $\delta^{11}B_{sw}$ reconstructions

21 exist, the discrepancies between them reveals uncertainties and deficiencies that need

22 to be addressed. Here we present a new $\delta^{11}B_{sw}$ record based on the $\delta^{11}$B difference

23 between planktic and benthic foraminifera and an estimate of the pH gradient

24 between surface and deep water. We then calculate $\delta^{11}B_{sw}$ two different ways. One

25 variant of our method assumes that the pH gradient between surface and deep has

26 remained the same as today over the past 23 Ma; the other uses the $\delta^{13}$C gradient

27 between surface and deep to represent change in the pH gradient through time. The

28 results of these two methods of calculating $\delta^{11}B_{sw}$ are broadly consistency with each

29 other, however, based on extensive carbon cycle modelling using CYCLOPS and

30   GENIE we favour the $\delta^{13}C$ gradient method. In our favoured $\delta^{11}B_{sw}$ reconstruction,

31   $\delta^{11}B_{sw}$ is around 2 ‰ lower than today at ~ 37.5 ‰ during the early and middle

32   Miocene and increases to the modern value (39.61 ‰) by ~ 5 Ma. A similar pattern

33   of change is evident in the seawater composition of three other stable isotope

34   systems, Mg, Li and Ca. Concurrent shifts in the seawater isotopic composition of all

35   four of these elements during the late Miocene, suggest a common forcing

36   mechanism. We hypothesise the most likely cause of these shifts is a change in the

37   isotopic composition of the riverine input, potentially driven by an increase in

38   secondary mineral formation since ~15 Ma.

39   **1. Introduction**

40   Key to determining the relationship between $CO_2$ and climate in the geological past is

41   the calculation of reliable estimates of absolute $CO_2$ through time. In recent years the

42   boron isotope composition ($\delta^{11}B$) of foraminiferal calcite has become one of the most

43   commonly used tools to reconstruct $CO_2$ beyond the last 800 kyrs and throughout the

44   Cenozoic Era (Foster, 2008; Hönisch et al., 2009; Pearson et al., 2009; Bartoli et al.,

45   2009; Foster et al., 2012; Badger et al., 2013; Henehan et al., 2013; Greenop et al.,

46   2014; Martìnez-Botì, et al., 2015a). Yet long-term change in the boron isotope

47   composition of seawater ($\delta^{11}B_{sw}$) is poorly constrained and represents a major source

48   of the uncertainty associated with $\delta^{11}B$-determined $CO_2$ estimates. In the modern

49   ocean boron is a conservative element with a spatially invariant isotope ratio

50   (39.61‰; Foster et al., 2010), but this value is subject to change through geological

51   time. The residence time of boron in the ocean is estimated to lie between 11 and 17

52   Myrs (Lemarchand et al., 2002). Therefore we can expect the uncertainty associated

53   with $\delta^{11}B_{sw}$ to be an important factor in $CO_2$ estimates beyond the late Pliocene (~ 4-5

54   Ma, Palmer et al., 1998; Pearson et al., 2009; Foster et al., 2012).

55   The ocean boron budget and its isotopic composition are controlled by a number of

56   inputs and outputs (Fig. 1). However, because the magnitude of the boron fluxes

57   between land, the ocean and the atmosphere are still poorly understood, the residence

58   time and changes in both concentration ($[B]_{sw}$) and isotopic composition ($\delta^{11}B_{sw}$)

59   through time remain uncertain. The main inputs of B into the ocean are silicate

60   weathering delivered to the ocean by rivers (Lemarchand et al., 2002), hydrothermal

61    vents (You et al., 1993) and fluid expelled from accretionary prisms (Smith et al.,

62    1995).  The major outputs are oceanic crust alteration (Smith et al., 1995), adsorption

63    onto sediments (Spivack and Edmond, 1987) and co-precipitation into carbonates

64    (Hemming and Hanson, 1992). In all three cases the light $^{10}B$ isotope is preferentially

65    removed such that seawater is isotopically heavier (39.61‰) than the inputs (which

66    average at 10.4‰). Atmospheric boron may also be an important flux both into and

67    out of the ocean (Park and Schlesinger, 2002). While some studies have suggested

68    that precipitation within the catchment area may be an important factor controlling

69    the $\delta^{11}B$ in rivers (Rose-Koga et al., 2006), other studies have shown atmospheric

70    boron to be a secondary control on riverine boron isotope composition (Lemarchand

71    and Gaillardet, 2006).

72

73    Unlike many other isotopic systems (e.g. Mg, Ca, Li, Sr) to date no archive has been

74    discovered that simply records unaltered seawater $\delta^{11}B$.  This is a result of the pH

75    dependency of B speciation in seawater upon which the $\delta^{11}B$-pH proxy is based

76    (Zeebe and Wolf-Gladrow, 2001) and imparts a pH dependency on all marine

77    precipitates so far examined. Empirical reconstructions of $\delta^{11}B_{sw}$ must therefore use

78    "indirect" approaches; so far four methods have been applied to the Cenozoic (0-65

79    Ma) (Fig. 2). Firstly, geochemical modelling of the changes in the flux of boron into

80    and out of the ocean through time has been used to suggest that the $\delta^{11}B_{sw}$ increased

81    from 37‰ at 60 Ma to 40‰ ± 1‰ today (Lemarchand et al., 2002). However, there

82    are uncertainties associated with quantification of, and controls on, the oceanic inputs

83    and outputs of boron (Lemarchand et al., 2002).  For instance, it is possible that

84    subtle variations in poorly constrained factors such as oceanic crustal permeability,

85    the lifetime of water-rock interactions and the expansion rate of the oceanic ridge can

86    have a large effect (variations between 30‰ and 50‰ at a 10 million year scale) on

87    the amount and isotopic composition of the boron removed from the ocean during

88    oceanic crust alteration (Simon et al., 2006). These issues coupled with uncertainties

89    in the magnitude of the atmospheric boron flux (Park and Schlesinger, 2002), make

90    direct geochemical modelling of the evolution of $\delta^{11}B_{sw}$ currently under constrained at

91    present.

92    The boron isotopic composition of marine halites and sea salts has also been used to

93    reconstruct the boron isotope composition of the ocean in the past (Paris et al., 2010).

94  Results from studies employing this method suggest that $\delta^{11}B_{sw}$ has varied by 7‰

95  over the Neogene (Fig. 2) (Paris et al., 2010). Yet brine-halite fractionation offsets of

96  -20‰ to -30‰ and -5‰ are reported from laboratory and natural environments

97  respectively casting doubt over the validity of the assumption that no fractionation

98  occurs during halite formation (Vengosh et al., 1992; Liu et al., 2000). These

99  fractionations and riverine input during basin isolation will drive the evaporite-hosted

100 boron to low-$\delta^{11}B$ isotope values such that the fluid inclusion record likely provides a

101 lower limit for the $\delta^{11}B_{sw}$ through time (i.e. $\delta^{11}B_{sw}$ is heavier than the halite fluid

102 inclusions of Paris et al. (2010)).  Nevertheless, evaporites form from modified

103 seawater in isolated basins making them unlikely archives of representative ocean

104 $\delta^{11}B$.

105 An alternative semi-empirical approach makes assumptions regarding the evolution

106 of Cenozoic deep-ocean pH and a benthic $\delta^{11}B$ record to determine changes in $\delta^{11}B_{sw}$

107 (Fig. 2; Raitzsch and Hönisch, 2013). This method assumes (1) a near linear surface

108 water pH increase of 0.39 over the past 50 Myrs (Berner and Kothavala, 2001;

109 Tyrrell and Zeebe, 2004; Ridgwell, 2005), and (2) a constant surface to deep ocean

110 pH gradient of 0.3 (Tyrrell and Zeebe, 2004, and modern observations). The modeled

111 surface pH and estimated fixed pH gradient is then used to estimate deep ocean pH,

112 which can be converted to $\delta^{11}B_{sw}$ based on benthic foraminiferal $\delta^{11}B$ measurements.

113 While this approach yields a qualitative independent check on other approaches (e.g.

114 halite inclusions, geochemical modeling), as a quantitative record of $\delta^{11}B_{sw}$ through

115 time, it has a number of drawbacks. Firstly, some of the $CO_2$ data used in the

116 modeling studies is derived using the boron isotope-pH proxy, leading to some

117 circularity in the methodology. Secondly, given the structure in $CO_2$ proxy records,

118 the assumption that surface ocean pH changed linearly through the Cenozoic is most

119 likely an oversimplification (Beerling and Royer, 2011). Consequently, while this

120 method may shed some light on the evolution of $\delta^{11}B_{sw}$ through time, it cannot be

121 subsequently used to determine pH or atmospheric $CO_2$ from $\delta^{11}B$ of foraminiferal

122 calcite because the $\delta^{11}B_{sw}$ record is itself based on assumptions of the secular

123 evolution of pH and $CO_2$.

124 One of the big challenges of reconstructing a $\delta^{11}B_{sw}$ record empirically is determining

125 $\delta^{11}B_{sw}$ without relying on dependent pH constraints. One way to avoid using absolute

126    pH reconstructions is to exploit the non-linear relationship between $\delta^{11}B$ and pH

127    alongside estimated pH gradients in the ocean to constrain $\delta^{11}B_{sw}$. The non-linear

128    relationship between $\delta^{11}B$ and pH means that the pH difference between two $\delta^{11}B$ data

129    points varies as a function of $\delta^{11}B_{sw}$ (Fig. 3). Consequently if the size of the pH

130    gradient can be estimated, irrespective of absolute pH, then there is only one $\delta^{11}B_{sw}$

131    value that is consistent with the foraminiferal $\delta^{11}B$ measurements and the specified

132    pH gradient (Fig. 3c).

133    Previously this approach has been applied to pH variations in the surface ocean and

134    used in studies of Cenozoic $p\mathrm{CO}_2$ to account for changes in $\delta^{11}B_{sw}$ (determined using

135    $\delta^{11}B$ in surface and thermocline-dwelling foraminifera) (Fig. 2) (Palmer et al., 1998;

136    Pearson and Palmer 1999, Pearson and Palmer 2000). This approach uses a constant

137    pH gradient between the surface and some depth proximal to the oxygen minimum

138    zone and the boron isotope values of a mixed layer dwelling species and thermocline

139    dweller to calculate a value for $\delta^{11}B_{sw}$ (Pearson and Palmer, 1999). The resultant

140    curve produced by this method shows that $\delta^{11}B_{sw}$ varies between 37.7‰ and 39.4‰

141    through the Neogene (Fig. 2) (Pearson and Palmer, 2000). Unfortunately, the

142    applicability of this $\delta^{11}B_{sw}$ record (derived from $\delta^{11}B$ data measured using NTIMS) to

143    $\delta^{11}B$ records generated using the MC-ICPMS is uncertain (Foster et al., 2013). In

144    addition, this $\delta^{11}B_{sw}$ record is determined using a fractionation factor of 1.0194

145    (Kakihana et al., 1977), whereas recent experimental data have shown the value to be

146    higher (1.0272 ± 0.0006, Klochko et al., 2006). Thirdly, given our understanding of

147    the $\delta^{11}B$ difference between species/size fractions (Foster, 2008; Henehan et al.,

148    2013), the mixed species and size fractions used to make the $\delta^{11}B$ measurements in

149    that study may have introduced some additional uncertainty in the reconstructed

150    $\delta^{11}B_{sw}$. Consequently, while the estimates from Pearson and Palmer (2000) show that

151    the rationale behind this approach can yield useful $\delta^{11}B_{sw}$ estimates that may be

152    qualitatively correct, the underlying measurements and some of the key assumptions

153    have led to uncertainties in the record.

154    The same method, but using planktic-benthic instead of surface planktic- thermocline

155    planktic $\delta^{11}B$ gradients to calculate $\delta^{11}B_{sw}$, was recently applied to the middle

156    Miocene where it yielded a $\delta^{11}B_{sw}$ of $37.6^{+0.4}_{-0.5}$ ‰ (Foster et al., 2012). The major

157    limitation of these pH gradient approaches is the assumption of a constant pH

158  gradient through time. A useful extension to this method therefore utilises the $\delta^{13}C$

159  in foraminiferal calcite to estimate the surface-to-deep pH gradient and then

160  determine $\delta^{11}B_{sw}$ (Foster et al., 2012). Here we expand on the study of Foster et al.

161  (2012) and present a number of new $\delta^{11}B_{sw}$ records based on $\delta^{11}B$ measured in

162  planktic-benthic pairs. In our first treatment of the data we assume that the pH

163  gradient has remained the same as modern at each site through time. In the second

164  approach we utilise benthic-planktic $\delta^{13}C$ gradients to correct for changes in the pH

165  gradient. Using a biogeochemical box model and an Earth system model we also

166  assess the extent to which the relationship between pH and $\delta^{13}C$ gradients has

167  remained constant under a comprehensive range of hypothetical carbon system and

168  oceanographic states. We also use this model output to determine which pH/$\delta^{13}C$

169  regression is most appropriate for our data. In this study we focus on reconstructing

170  $\delta^{11}B_{sw}$, whereas implications of our record in terms of the evolution of Neogene

171  ocean pH and atmospheric $pCO_2$ are documented in a follow up study (Sosdian et al.,

172  *submitted*).

173  **2. Methods**

174  **2.1 Site Locations and Age Models**

175  Foraminifera from four sites are used to construct the planktic-benthic $\delta^{11}B$ pairs;

176  Ocean Drilling Program, ODP, Site 758 and ODP Site 999 for the Pleistocene and

177  Pliocene samples and ODP Site 926 and Site 761 for the Miocene (this study; Foster

178  et al., 2012; Martinez-Boti et al., 2015a, Sosdian et al., *submitted*). We also

179  incorporate the middle Miocene planktic-benthic pair from Site 761 in Foster et al.,

180  (2012). In order to put all the sites on a single age model at Site 999, Site 926 and

181  Site 761 the biostratigraphy is used from (Shipboard Scientific Party, 1997),

182  (Shipboard Scientific Party, 1995; Zeeden et al., 2013) and (Holbourn et al., 2004)

183  respectively and updated to GTS2012 (Gradstein et al., 2012). At Site 758 the

184  magnetostratigraphy (Shipboard Scientific Party, 1989) is used and updated to

185  GTS2012 (Gradstein et al., 2012).

## 2.2 Boron Isotope Analysis and pH Calculation

The boron isotope measurements (expressed in delta notation as $\delta^{11}B$ – permil variation) were made relative to the boric acid standard SRM 951; (Catanzaro et al., 1970). Boron was first separated from the Ca matrix prior to analysis using the boron specific resin Amberlite IRA 743 following Foster et al. (2013). The boron isotopic composition was then determined using a sample-standard bracketing routine on a ThermoFisher Scientific Neptune multicollector inductively coupled plasma mass spectrometer (MC-ICPMS) at the University of Southampton (following Foster et al., 2013). The relationship between $\delta^{11}B$ of $B(OH)_4^-$ and pH is very closely approximated by the following equation:

$$pH = pK_B^* - \log\left(-\frac{\delta^{11}B_{SW} - \delta^{11}B_{CaCO_3}}{\delta^{11}B_{SW} - \propto_B . \delta^{11}B_{CaCO_3} - 1000 . (\propto_B - 1)}\right) \qquad (1)$$

Where $pK_B^*$ is the equilibrium constant, dependent on salinity, temperature, pressure and seawater major ion composition (i.e., [Ca] and [Mg]), $\propto_B$ is the fractionation factor between the two boron species and $\delta^{11}B_{sw}$ is the boron isotope composition of seawater. Here we use a fractionation factor of 1.0272, calculated spectrophotometrically (Klochko et al., 2006). Although the $\delta^{11}B$ of foraminifera correlates well with pH and hence $[CO_2]_{aq}$, the $\delta^{11}B_{calcite}$ is often not exactly equal to $\delta^{11}B_{borate}$ (Sanyal et al., 2001; Foster, 2008; Henehan et al., 2013). The planktic species used to construct the benthic-planktic pairs changes through time, as a single species is not available for the entire Neogene (this study; Foster et al., 2012; Martìnez-Botì et al., 2015a, Sosdian et al., submitted). Here *Globigerinoides ruber* is used for 0 to 3 Ma, *Trilobatus sacculifer* (formally *Globigerinoides sacculifer* and including *Trilobatus trilobus*; Hembleden et al., 1987; Spezzaferri et al., 2015) for 0 to 20 Ma and *Globigerina praebulloides* for 22 to 23 Ma. The calibration for *G. ruber* (300-355μm) is from Henehan et al., (2013), *T. sacculifer* (300-355μm) from Sosdian et al., (*submitted*) where the *T. sacculifer* calibration of (Sanyal et al., 2001) is used with a modified intercept so that it passes through the core top value for *T. sacculifer* (300–355 μm) from ODP Hole 999A (Seki et al., 2010). Unlike the asymbiotic modern *T. bulloides, G. praebulloides* appears to be symbiotic at least in

215 the latest Oligocene (Pearson and Wade, 2009). Therefore, we apply the *T. sacculifer*

216 (300-355μm) calibration to this species. For *T. sacculifer* (500-600μm) at 0.7 Ma, we

217 use the calibration from Martìnez-Botì et al., (2015b). In order to constrain deep-

218 water pH, analysis was conducted on benthic foraminifera *Cibicidoides wuellestorfi*

219 or *Cibicidoides mundulus* depending on which species were most abundant in each

220 sample. The $\delta^{11}$B of both *Cibicidoides* species shows no offset from the theoretical

221 $\delta^{11}$B of the borate ion and therefore no calibration is needed to adjust for species-

222 specific offsets (Rae et al., 2011).

223 As mentioned above, in addition to $\delta^{11}$B$_{calcite}$, temperature, salinity, water depth

224 (pressure) and seawater major ion composition are also needed to calculate pH from

225 $\delta^{11}$B. We use the MyAMI specific ion interaction model (Hain et al., 2015) to

226 calculate the appropriate equilibrium constants based on existing [Ca] and [Mg]

227 reconstructions (Horita et al., 2002; Brennan et al., 2013). Sea surface temperature

228 (SST) is calculated from tandem Mg/Ca analyses on planktic foraminifera (with a

229 conservative 2σ uncertainty of 2ºC). Adjustments were made for changes in Mg/Ca$_{sw}$

230 using the records of Horita et al. (2002) and Brennan et al. (2013), and correcting for

231 changes in dependence on Mg/Ca$_{sw}$ following Evans and Muller (2012) using H =

232 0.41 calculated from *T. sacculifer* (Delaney et al., 1985; Hasiuk and Lohmann, 2010;

233 Evans and Muller, 2012) using the equations:

$$Mg/Ca_{sw.c} = (Mg/Ca_{sw.a} / Mg/Ca_{sw.m})^{0.41} \qquad (2)$$

234 Where Mg/Ca$_{sw.c}$ is the correction factor applied to the temperature equation for

235 changing Mg/Ca$_{sw}$, Mg/Ca$_{sw.a}$ is the estimated Mg/Ca$_{sw}$ for the age of the sample and

236 Mg/Ca$_{sw.m}$ is modern Mg/Ca$_{sw}$. Temperature is then calculated using the generic

237 planktic foraminifera calibration of Anand et al. (2003) and including a correction

238 factor for Mg/Ca$_{sw}$.

$$Temperature = ln(Mg/Ca_{test}/(0.38 * Mg/Ca_{sw.c}))/0.09 \qquad (3)$$

239 Mg/Ca analysis was conducted on a small aliquot of the sample dissolved for isotope

240 analysis at the University of Southampton using a ThermoFisher Scientific Element 2

241 XR. Al/Ca was also measured to assess the competency of the sample cleaning.

242 Because of complications with the Mg/Ca-temperature proxy in *Cibicidoides* species

243 (Elderfield et al., 2006), bottom water temperatures (BWTs) are estimated here by

244   taking the global secular temperature change from the Mg/Ca temperature

245   compilation of Cramer et al. (2011), using the calibration of Lear et al. (2010) and

246   applying this change to the modern bottom water temperature at each site taken from

247   the nearest GLODAP site (with a conservative 2σ uncertainty of 2°C). Salinity is held

248   constant at modern values determined from the nearest GLODAP site (2σ uncertainty

249   of 2 ‰ uncertainty) for the entire record. Note that temperature and salinity have

250   little influence on the calculated pH and the uncertainty in $\delta^{11}B_{sw}$ is dominated by the

251   uncertainty in the $\delta^{11}B$ measurement and the estimate of the pH gradient.

252   The majority of the $\delta^{13}C$ data were measured at Cardiff University on a

253   ThermoFinnigan MAT 252 coupled with a Kiel III carbonate device for automated

254   sample preparation. Additional samples were measured on a gas source mass

255   spectrometer Europa GEO 20-20, University of Southampton National Oceanography

256   Centre Southampton (USNOCS) equipped with automated carbonate preparation

257   device and on a Finnigan MAT 253 gas isotope ratio mass spectrometer connected to

258   a Kiel IV automated carbonate preparation device at the Zentrum für Marine

259   Tropenökologie (ZMT), Bremen. The Pliocene benthic $\delta^{13}C$ from Site 999 was taken

260   from the nearest sample in Haug and Tiedemann, (1998). Stable isotope results are

261   reported relative to the Vienna Peedee belemnite (VPDB) standard. An adjustment

262   for vital effects on the $\delta^{13}C$ of *G. ruber* (+0.94 ‰; Spero et al., 2003), *T.*

263   *sacculifer/G. praebulloides* (+0.46 ‰; Spero et al., 2003; Al-Rousan et al., 2004;), *C.*

264   *mundulus* (+0.47 ‰; McCorkle et al., 1997) and *C. wuellestorfi* (+0.1 ‰; McCorkle

265   et al., 1997) is applied to calculate the $\delta^{13}C$ of dissolved inorganic carbon (DIC).

266   **2.3 Carbon isotopes as a proxy for vertical ocean pH gradient**

267   The use of $\delta^{13}C$ in foraminiferal calcite to estimate the surface to deep pH gradient

268   requires knowledge of the slope of the pH-$\delta^{13}C$ relationship in the past. In this section

269   we briefly outlines the main factors that contribute to the pH-$\delta^{13}C$ relationship in

270   order to underpin our analysis of extensive carbon cycle model simulations.

271   The production, sinking and sequestration into the ocean interior of low-$\delta^{13}C$ organic

272   carbon via the soft-tissue component of the biological pump (e.g., Hain et al., 2014a)

273   leads to broad correlation between $\delta^{13}C$, $[CO_3^{2-}]$ and macronutrients in the ocean. The

274   remineralization of this organic matter decreases $\delta^{13}C$ and titrates $[CO_3^{2-}]$ so as to

275 reduce pH, while nutrient concentrations are increased. In waters that have

276 experienced more soft tissue remineralization both pH and $\delta^{13}C$ will be lower (Fig.

277 4a,b), which is the dominant cause for the positive slope between $\delta^{13}C$ and pH in the

278 modern ocean (e.g., Foster et al., 2012; Fig. 4c).

279 Another significant factor affecting the spatial distribution of both $\delta^{13}C$ and pH is

280 seawater temperature, which affects both the equilibrium solubility of DIC and the

281 equilibrium isotopic composition of DIC. Warmer ocean waters have decreased

282 equilibrium solubility of DIC and so increased local $[CO_3^{2-}]$ and pH (Goodwin and

283 Lauderdale, 2013), while warmer waters have relatively low equilibrium $\delta^{13}C$ values

284 (Lynch-Stieglitz et al, 1995). This means that a spatial gradient in temperature acts to

285 drive $\delta^{13}C$ and pH in opposite directions: warmer waters tend to have higher pH but

286 lower $\delta^{13}C$. These opposing temperature effects act to reduce the pH difference

287 between two points with greatly different temperature to below the value expected

288 based on $\delta^{13}C$ alone. That is, when using $\delta^{13}C$ differences to estimate the pH gradient

289 between the warm low latitude surface and cold deep waters the appropriate pH-$\delta^{13}C$

290 relationship will be less than expected when only considering the effect of the

291 biological pump.

292 In the modern ocean, and for the preceding tens of millions of years, these two

293 processes are likely dominant in setting spatial variation in $\delta^{13}C$ and pH (and $[CO_3^{2-}]$).

294 However, other processes will have a minor effect on either pH or $\delta^{13}C$. For instance,

295 the accumulation of remineralized $CaCO_3$ hard-tissue increases $[CO_3^{2-}]$ and pH

296 (Broecker and Peng, 1982), but does not significantly affect $\delta^{13}C$ (Zeebe and Wolf-

297 Gladrow, 2001). Moreover, the long timescale of air/sea isotopic equilibration of $CO_2$

298 is an important factor in setting the distribution of $\delta^{13}C$ on a global ocean scale

299 (Galbraith et al., 2015). The surface $CO_2$ disequilibrium also has a substantial effect

300 on $\delta^{13}C$ (Lynch-Stieglitz et al., 1995) while it affects $[CO_3^{2-}]$ and pH only marginally

301 (Goodwin and Lauderdale, 2013).

302

### 2.4 Modelling the pH to δ¹³C relationship

304    After correcting for the Suess effect, modern ocean observations demonstrate a near

305    linear relationship between global ocean data of seawater *in situ* pH and $\delta^{13}C$ DIC

306    with a slope of 0.201 (Foster et al., 2012). This empirically determined slope might

307    well have been different in past oceans with very different carbon chemistry

308    compared to today. Here we use an ensemble approach with two independent carbon

309    cycle models to show that the pH to $\delta^{13}C$ slope can only have changed in very tight

310    limits. Below we provide pertinent information on the GENIE and CYCLOPS model

311    experiments:

312    We use the Earth System model GENIE-1 (Edwards and Marsh, 2005; Ridgwell et al.

313    2007) to assess the robustness of the pH-$\delta^{13}C$ relationship and its sensitivity to

314    physical and biogeochemical ocean forcing. The configuration used here is closely

315    related to that of Holden et al. (2013), in which the controls on the ocean's $\delta^{13}C$

316    distribution were assessed, with an energy and moisture balance in the atmosphere,

317    simple representations of land vegetation and sea ice, and frictional geostrophic

318    ocean physics. In each of 16 vertical levels in the ocean, increasing in thickness with

319    depth, there are 36x36 grid cells (10° in longitude and nominally 5° in latitude, with

320    higher resolution at low latitudes). Modern ocean bathymetry and land topography is

321    applied in all simulations. The ocean biogeochemical scheme (Ridgwell et al. 2007)

322    is based on conversion of DIC to organic carbon associated with phosphate uptake

323    with fixed P:C:O stoichiometry. Organic carbon and nutrients are remineralized

324    according to a remineralization profile with a pre-defined *e*-folding depth scale. This

325    depth scale, as well as the rain ratio of inorganic to organic carbon in sinking

326    particulate matter, is among the parameters examined in the sensitivity study. In these

327    simulations, there is no interaction with sediments. As a result of this, a steady state

328    solution is obtained within the 5000-year simulations; the results presented here are

329    for the end of the simulations.

330    The sensitivity study consists of seven sets of experiments, each varying a single

331    model parameter relative to the control simulation with preindustrial atmospheric

332    $p\mathrm{CO_2}$. This enables us to assess both which processes, if any, are capable of altering

333    the ocean's pH-$\delta^{13}C$ relationship, and the uncertainty in the predictive skill of this

334    relationship due to spatial variability. These experiments are therefore exploratory in

335    nature and not intended to represent real changes that may have occurred. The seven

336    parameters varied are (1) the ocean's alkalinity reservoir; (2) the ocean's carbon

337    reservoir; (3) the parameter "S. Lim gas exchange" which blocks air-sea gas

338    exchange south of the stated latitude, significant here because of the dependence of

339    $\delta^{13}$C on surface disequilibrium (Galbraith et al., 2015); (4) inorganic to organic

340    carbon rain ratio, controlling the relationship between DIC and alkalinity

341    distributions; (5) "Antarctic shelf FWF", a freshwater flux adjustment (always

342    switched off in control experiments with GENIE) facilitating the formation of brine

343    rich waters, which produces a high-salinity poorly-ventilated deep ocean at high

344    values; (6) "Atlantic-Pacific FWF", a freshwater flux adjustment equivalent to

345    freshwater hosing, leading to a shut-down of the Atlantic meridional overturning

346    circulation at low values; (7) remineralization depth-scale of sinking organic matter,

347    which affects the vertical gradient both of pH and $\delta^{13}$C. A wide range of parameter

348    values is chosen for each parameter in order to exceed any plausible changes within

349    the Cenozoic.

350    For the second test of the slope of pH-$\delta^{13}$C relationship we use the CYCLOPS

351    biogeochemical 18-box model that includes a dynamical lysocline, a subantarctic

352    zone surface box and a polar Antarctic zone box (Sigman et al., 1998; Hain et al.,

353    2010, 2014b). The very large model ensemble with 13,500 individual model

354    scenarios is designed to capture the full plausible range of (a) glacial/interglacial

355    carbon cycle states by sampling the full solution space of Hain et al. (2010), and (b)

356    reconstructed secular changes in seawater [Ca] (calcium concentration), carbonate

357    compensation depth (CCD), weathering and atmospheric $CO_2$. The following seven

358    model parameters are systematically sampled to set the 13,500 model scenarios: (1)

359    shallow versus deep Atlantic meridional overturning circulation represented by

360    modern reference north Atlantic deep water (NADW) versus peak glacial North

361    Atlantic intermediate water (GNAIW) circulation; (2) iron driven changes in nutrient

362    drawdown in the subantarctic zone of the Southern Ocean; (3) changes in nutrient

363    drawdown of the polar Antarctic; (4) changes in vertical exchange between the deep

364    Southern Ocean and the polar Antarctic surface; (5) range in seawater [Ca]

365    concentration from 1x to 1.5x modern as per reconstructions (Horita et al., 2002); (6)

366    Pacific CCD is set to the range of 4.4-4.9 km via changes in the weathering flux, as

367    per sedimentological evidence (Pälike et al., 2012); (7) atmospheric $CO_2$ is set from

368    200 ppm to 1000 ppm by changes in the 'weatherability' parameter of the silicate

369    weathering mechanism. The ensemble spans predicted bulk ocean DIC between 1500

370    and 4500 $\mu$mol/kg, a wide range of ocean pH and $CaCO_3$ saturation states consistent

371    with the open system weathering cycle, and widely different states of the ocean's

372    biological pump.

373    **2.5 Assessing uncertainty**

374    $\delta^{11}B_{sw}$ uncertainty was calculated using a Monte Carlo approach where pH was

375    calculated for deep and surface waters at each time slice using a random sampling

376    (n=10000) of the various input parameters within their respective uncertainties as

377    represented by normal distributions. These uncertainties ($2\sigma$ uncertainty in

378    parentheses) are: temperature ($\pm 2$ °C), salinity ($\pm 2$ units on the practical salinity

379    scale) [Ca] ($\pm 4.5$ mmol/kg), [Mg], ($\pm 4.5$ mmol/kg), $\delta^{11}B_{planktic}$ ($\pm 0.15$-$0.42$ ‰),

380    $\delta^{11}B_{benthic}$ ($\pm 0.21$-$0.61$ ‰), and either the fixed modern pH gradient at that site ($\pm 0.05$

381    pH units) or the pH gradient predicted by the $\delta^{13}$C-pH relationship ($\pm 0.05$ pH units).

382    In our first approach we assume the pH gradient at each site has remained the same as

383    modern through time (gradient estimated from GLODAP; Key et al., 2004). In the

384    second approach the pH gradient is corrected using the $\delta^{13}$C gradient (where the slope

385    of the relationship between pH and $\delta^{13}$C is taken from the CYCLOPS model). The

386    uncertainty in the $\delta^{11}$B measurements is calculated from the long-term reproducibility

387    of Japanese Geological Survey *Porites* coral standard (JCP; $\delta^{11}$B=24.3‰) at the

388    University of Southampton using the equations:

389    $$2\sigma = 2.25 \exp^{-23.01[^{11}B]} + 0.28 \exp^{-0.64[^{11}B]} \qquad (4)$$

390    $$2\sigma = 33450 \exp^{-168.2[^{11}B]} + 0.311 \exp^{-1.477[^{11}B]} \qquad (5)$$

391    where [$^{11}$B] is the intensity of $^{11}$B signal in volts and equation (4) and equation (5)

392    used with $10^{11}$ $\Omega$ and $10^{12}$ $\Omega$ resistors, respectively.

393    From the 10,000 Monte Carlo ensemble solutions of our 22 benthic-planktic pairs we

394    construct 10,000 randomized records of $\delta^{11}B_{sw}$ as a function of time. Each of these

395    randomized $\delta^{11}B_{sw}$ records is subjected to smoothing using the locally weighted

396    scatterplot smoothing (LOWESS) algorithm with a smoothing parameter (span) of

397    0.7, which prevents the smoothed $\delta^{11}B_{sw}$ from changing faster than allowed by

398    seawater boron mass balance (~0.1 ‰ per million years; boron residence time is 11-

399    17 million years; Lemarchand et al., 2002). As a result of anomalously low $\delta^{11}$B

400    differences between benthic and planktic pairs, two pairs at 8.68 Ma and 19 Ma were

401    discarded from the smoothing. The spread of the ensemble of smoothed $\delta^{11}$B$_{sw}$ curves

402    represents the combination of the compounded, propagated uncertainties of the

403    various inputs and the additional constraint of gradual $\delta^{11}$B$_{sw}$ change over geological

404    time. Various statistical properties (i.e., mean, median, standard deviation ($\sigma$),

405    various quantiles) of this $\delta^{11}$B$_{sw}$ reconstruction were evaluated from the ensemble of

406    smoothed $\delta^{11}$B$_{sw}$ records.  Generally, for any given benthic-planktic pair the resulting

407    $\delta^{11}$B$_{sw}$ estimates are not perfectly normally distributed and thus we use the median as

408    the metric for the central tendency.

409    **3.  Results and Discussion**

410    **3.1 $\delta^{11}$B benthic and planktic data**

411    Surface and deep-ocean, $\delta^{11}$B, $\delta^{13}$C and temperature broadly show a similar pattern to

412    one another throughout the Neogene (Fig. 5). The $\delta^{11}$B benthic record decreases from

413    ~15 ‰ at 24 Ma to a minimum of 13.28 ‰ at 14 Ma before increasing to ~17 ‰ at

414    present day  (Fig. 5). This pattern and the range of values in benthic foraminiferal

415    $\delta^{11}$B is in keeping with previously published Neogene $\delta^{11}$B benthic records measured

416    using NTIMS (Raitzsch and Hönisch, 2013), suggesting that our deep-water $\delta^{11}$B

417    record is representative of large scale pH changes in the global ocean. While the

418    surface $\delta^{11}$B$_{borate}$ remained relatively constant between 24 and 11 Ma at ~ 16 ‰, there

419    is a significant increase in $\delta^{11}$B between the middle Miocene and present (values

420    increase to ~ 20 ‰) (Fig. 5b). The reconstructed surface water temperatures show a

421    long-term decrease through the Neogene from ~ 28°C to 24°C, aside from during the

422    Miocene Climatic Optimum (MCO) where maximum Neogene temperatures are

423    reached (Fig. 5c). Following Cramer et al. (2011) deep-water temperatures decrease

424    from ~ 12°C to 4°C at the present day and similarly show maximum temperatures in

425    the MCO. Surface and deep-water $\delta^{13}$C$_{DIC}$ both broadly decrease through the Neogene

426    and appear to covary on shorter timescales (Fig. 5e, f).

427

### 3.2 The relationship between $\delta^{13}C$ and pH gradients

428

429   In the global modern ocean data, after accounting for the anthropogenic carbon, the

430   empirical relationship between *in situ* pH and DIC $\delta^{13}C$ is well described by a linear

431   function with a slope of 0.201 (Fig. 4; Foster et al., 2012). In the control GENIE

432   experiment and in all experiments in which ocean-physics parameters (Antarctic shelf

433   FWF and Atlantic-Pacific FWF) are varied, the pH/$\delta^{13}C$ gradient is slightly greater

434   than 0.2, with a root-mean-squared error (RMSE) of ~0.05 in a reconstruction of the

435   spatial distribution of pH using this relationship (Fig. 6). Varying the biogeochemical

436   parameters (gas exchange, rain ratio and remineralizing depth scale) yields some

437   change in the regressed slope of the pH/$\delta^{13}C$ relationship due to decoupled responses

438   of pH and $\delta^{13}C$, but this gradient remains well within the 0.2 +/- 0.05 range, and a

439   RMSE of 0.05 in the spatial relationship remains robust (Fig. 6). We take this as

440   evidence that the uncertainty in the pH/$\delta^{13}C$ relationship assumed in our carbon

441   chemistry calculation is well represented by a central value of 0.2 with a 0.025

442   standard deviation.  Experiments at very high DIC or low alkalinity, either of which

443   yield high atmospheric $p\mathrm{CO}_2$ and low mean ocean pH, yield gradients slightly outside

444   the 0.2 +/- 0.05 range, with an elevated RMSE. This is probably associated with the

445   non-linearity of the pH scale, modifying the gradient for a very different pH. It is to

446   be emphasised that such extreme decoupled changes in DIC and alkalinity are not

447   plausible within the Cenozoic, and were only possible in these simulations because of

448   the absence of interactive sediments.

449   The slope of the pH-$\delta^{13}C$ relationship simulated by our CYCLOPS model ensemble

450   across a range of perturbed states is 0.2047 ($1\sigma = 0.0196$) (Fig. 7a, 8), in perfect

451   agreement with modern empirical data and our GENIE experiments. We take this

452   agreement as evidence that the slope of the pH-$\delta^{13}C$ relationship is a feature of ocean

453   biogeochemistry that is relatively conserved even if ocean carbon chemistry and

454   circulation change drastically.  For the purpose of calculating $\delta^{11}B_{sw}$ from our

455   benthic/planktic foraminfera measurements we need to estimate the pH difference

456   between the low latitude surface and deep ocean at the sample sites, most of which

457   are in the subtropical North Atlantic. If we restrict our analysis of the CYCLOPS

458   ensemble to only the low latitude surface boxes and the corresponding deep ocean

459   boxes (i.e., Atlantic, Indian, South Pacific and North Pacific) the slope of the

460    applicable pH-$\delta^{13}$C relationship is 0.1797 (1$\sigma$ = 0.0213) (Fig. 7b, 8), which is

461    significantly less than the regression based on all 18 model boxes. Further, if only the

462    Atlantic low latitude surface and deep ocean boxes are used to calculate the slope of

463    the applicable pH- $\delta^{13}$C relationship declines to 0.1655 (1$\sigma$ = 0.0192) (Fig. 7c, 8).

464    Thus, excluding the polar ocean and the ocean's mid-depth permanent thermocline

465    from the analysis consistently yields a shallower slope of the pH-$\delta^{13}$C relationship,

466    with some evidence for water mass dependence also in the modern observations (Fig.

467    4). Here we calculate $\delta^{11}$B$_{sw}$ for all three estimates of the pH- $\delta^{13}$C slope (global

468    regression 0.201; low latitude surface and deep regression 0.1797; Atlantic low

469    latitude surface to deep box gradients 0.1655) assuming a generous uncertainty of

470    0.05 (2 $\sigma$) in all cases, and we provide all three $\delta^{11}$B$_{sw}$ scenarios as an online

471    supplement. Overall, the slope between pH and $\delta^{13}$C is dependent on the competition

472    between spatial variations in remineralized soft tissue, increasing the slope between

473    pH and $\delta^{13}$C, and seawater temperature, decreasing the slope between pH and $\delta^{13}$C.

474    The largest concentrations of remineralized soft tissue occur in the thermocline,

475    suggesting that a relatively steep pH-$\delta^{13}$C slope is appropriate for estimating the pH

476    gradient from the $\delta^{13}$C difference. However, when comparing surface to deep waters,

477    as is done in this study, the full range of the temperature effect is expressed and a

478    relatively shallow pH-$\delta^{13}$C slope is appropriate. This is particularly true given that

479    our samples are from the low latitude surface and the cold deep ocean. Since most of

480    our measurements are from the subtropical Atlantic it is perhaps most appropriate to

481    use the slope regressed from the low latitude surface and deep boxes from this ocean

482    basin (i.e., $\Delta$pH/$\Delta$ $\delta^{13}$C = 0.1655; with 1$\sigma$ = 0.025) and we will focus on those

483    calculations in the following discussion (Fig. 9).

484    **3.3 $\delta^{11}$B$_{sw}$ records through the Neogene**

485    The individual $\delta^{11}$B$_{sw}$ estimates calculated using the modern pH gradient method vary

486    from 34.9 ‰ to 42.2 ‰ (± 0.84-4.77 ‰) across the Neogene with a predominance of

487    higher values closer to the modern and lowest values in the middle Miocene (Fig. 9).

488    After smoothing is applied to satisfy seawater B mass balance, the long-term $\delta^{11}$B$_{sw}$ is

489    determined as 37.5 ‰ at 23 Ma, decreases to a minimum of 37.17 ‰ at ~ 13 Ma (±

490    0.34-1.81 ‰), and subsequently increases gradually towards modern values through

491    the late Miocene, Pliocene and Pleistocene (Fig. 9). The variability in the estimations

492   of $\delta^{11}B_{sw}$ for each individual benthic/planktic foraminifera pair suggest that individual

493   estimates of $\delta^{11}B_{sw}$ are sensitive to the different input parameters, particularly the

494   assigned uncertainty in the pH gradient. However, by smoothing the record we

495   effectively suppress error that is uncorrelated between individual benthic/planktic

496   pairs of similar age and thereby focus on the effect of changes in $\delta^{11}B_{sw}$ that are

497   correlated over multi-million year timescales.

498   When using $\delta^{13}C$ gradients as predictors for the pH gradient the $\delta^{11}B_{sw}$ values

499   calculated are broadly similar to the results with assumed constant pH gradient:

500   $\delta^{11}B_{sw}$ varies from 34.1 ‰ to 42.3 ‰ (± 0.72-4.0 ‰) across the Neogene with the

501   predominance of higher values closer to the modern with the lowest values in the

502   middle Miocene (Fig. 9). However, when the individual $\delta^{11}B_{sw}$ estimates are

503   smoothed, while the $\delta^{11}B_{sw}$ calculated using this method is similar to the constant pH

504   gradient scenario through the late and middle Miocene (~ 37.5 ‰ ± 0.19-1.28 ‰),

505   the subsequent increase occurs more rapidly and the $\delta^{11}B_{sw}$ record reaches modern

506   values by ~5 Ma (Fig. 9). Given the variability we observe in the $\delta^{13}C$ derived pH

507   gradient, and the consistency between the modelled pH gradient using GENIE and

508   CYCLOPS, we conclude using the benthic-to-planktic pH difference calculated using

509   the $\delta^{13}C$ gradient is indeed an improvement over the assumption that pH gradients

510   remained constant through time. Therefore, we recommend the use of the $\delta^{13}C$-

511   corrected $\delta^{11}B_{sw}$ moving forward and in the following discussion we will limit our

512   attention to this record.

513   **3.4 Comparison to other $\delta^{11}B_{sw}$ records**

514   The comparison of our new $\delta^{11}B_{sw}$ record to those previously published, reveals that a

515   common feature of all the existing estimates of Neogene $\delta^{11}B_{sw}$ evolution is an

516   increase through time from the middle Miocene to present (Fig. 10).  Our new $\delta^{11}B_{sw}$

517   record is broadly similar to previously published estimates calculated using pH

518   gradients in the surface ocean, in terms of both shape of the record and magnitude of

519   the reconstructed changes, with the notable exception in the early and middle

520   Miocene where $\delta^{11}B_{sw}$ in our record is 0.5 ‰ lower (Fig. 10) than the published

521   estimates of Pearson and Palmer (2000). The $\delta^{11}B_{sw}$ record calculated using benthic

522   $\delta^{11}B$ and assumed deep ocean pH changes (Raitzsch and Hönisch, 2013) is also rather

523    similar to the $\delta^{11}B_{sw}$ values reconstructed here, with 9 out of 16 of their individual

524    samples falling inside our 95% confidence band even before considering the large

525    individual sample uncertainties reported by Raitzsch and Hönisch (2013). That said,

526    the polynomial fit to the data applied by Raitzsch and Hönisch (2013) takes no

527    account of the uncertainties of individual data points and produces an oscillating

528    pattern that is inconsistent with our new reconstruction (Fig. 10). Furthermore, our

529    new $\delta^{11}B_{sw}$ record falls within the broad uncertainty envelope of boron mass balance

530    calculations of Lemarchand et al. (2002), but those modelled values do not show the

531    same level of multi-million year variability of either Raitzsch and Hönisch (2013) or

532    our new record, therefore suggesting that some of the controls on ocean inputs and

533    outputs of boron are not fully understood. In line with the conclusions of previous

534    studies (e.g., Raitzsch and Hönisch, 2013), our data show that the $\delta^{11}B_{sw}$ signal in the

535    fluid inclusions (Paris et al., 2010) is mostly likely a combination of the $\delta^{11}B_{sw}$ and

536    some other factor such as a poorly constrained fractionation factor between the

537    seawater and the halite.

538    **3.5 Common controls on the seawater isotopic ratios of B, Mg, Ca and Li**

539    Our new record of $\delta^{11}B_{sw}$ has some similarities to secular change seen in other marine

540    stable isotope records (Fig. 11). The $\delta^{7}Li_{sw}$ (Misra and Froelich, 2012) and $\delta^{44/40}Ca_{sw}$

541    (Griffith et al., 2008) both increase through the Neogene, whereas $\delta^{26}Mg_{sw}$ decreases

542    (Pogge von Strandmann et al., 2014) suggesting a similar control on the isotopic

543    composition of all four elements across this time interval (Fig. 11). To further

544    evaluate the correlation between these other marine isotope records and $\delta^{11}B_{sw}$, we

545    interpolate and cross-plot $\delta^{11}B_{sw}$ and the $\delta^{7}Li_{sw}$, $\delta^{44/40}Ca_{sw}$ and $\delta^{26}Mg_{sw}$ records. This

546    analysis suggests that the isotopic composition of $\delta^{11}B_{sw}$, $\delta^{7}Li_{sw}$, $\delta^{26}Mg_{sw}$ and $\delta^{44/40}Ca_{sw}$

547    are well correlated through the Neogene, although there is some scatter in these

548    relationships (Fig. 12). Although the Sr isotope record shows a similar increase

549    during the Neogene (Hodell et al., 1991), we elect to focus our discussion on $\delta^{11}B_{sw}$,

550    $\delta^{7}Li_{sw}$, $\delta^{26}Mg_{sw}$ and $\delta^{44/40}Ca_{sw}$ given that the factors fractionating these isotope systems

551    are similar (see below).

552    To better constrain the controls on $\delta^{11}B_{sw}$, $\delta^{7}Li_{sw}$, $\delta^{26}Mg_{sw}$ and $\delta^{44/40}Ca_{sw}$ it is

553    instructive to compare the size and isotopic composition of the fluxes of boron,

554    lithium, calcium and magnesium (Table 1). As noted previously, the major flux of

555    boron into the ocean is via riverine input (Lemarchand et al., 2002), although some

556    studies suggest that atmospheric input may also play an important role (Park and

557    Schlesinger, 2002). The outputs are dominated by adsorption onto clays and the

558    alteration of oceanic crust (Spivack and Edmond, 1987; Smith et al., 1995). Similar

559    to boron the primary inputs of lithium into the ocean come from hydrothermal

560    sources and riverine input and the main outputs are ocean crust alteration and

561    adsorption onto sediments (Misra and Froelich, 2012). The two dominant controls on

562    magnesium concentration and isotope ratio in the oceans is the riverine input, ocean

563    crust alteration and dolomitization (Table 1) (Tipper et al., 2006b). The main controls

564    on the amount of calcium in the modern ocean and its isotopic composition is the

565    balance between riverine and hydrothermal inputs and removal through $CaCO_3$

566    deposition and alteration of oceanic crust (Fantle and Tipper, 2014, Griffith et al.,

567    2008). Dolomitization has also been cited as playing a potential role in controlling

568    $\delta^{44/40}Ca_{sw}$, although the contribution of this process through time is poorly constrained

569    (Griffith et al., 2008).

570    Analysis of the oceanic fluxes of all four ions suggests that riverine input may be an

571    important factor influencing the changing isotopic composition of B, Li, Ca and Mg

572    over the late Neogene (Table 1). In the case of all four elements, a combination of the

573    isotopic ratio of the source rock and isotopic fractionation during weathering

574    processes are typically used to explain the isotopic composition of a particular river

575    system. However, in most cases the isotopic composition of the source rock is found

576    to be of secondary importance (Rose et al., 2000; Kısakűrek et al., 2005; Tipper et al.,

577    2006b; Millot et al., 2010). For instance, the $\delta^{11}B$ composition of rivers is primarily

578    dependent on isotopic fractionation during the reaction of water with silicate rocks

579    and to a lesser extent the isotopic composition of the source rock (i.e. the proportion

580    of evaporites and silicate rocks; Rose et al., 2000). The source rock also appears to

581    have limited influence on the $\delta^7Li$ composition of rivers and riverine $\delta^7Li$ varies

582    primarily with weathering intensity (Kısakűrek et al., 2005; Millot et al., 2010). The

583    riverine input of calcium to the oceans is controlled by the composition of the

584    primary continental crust (dominated by carbonate weathering) and a recycled

585    component, although the relative influence of these two processes is not well

586    understood (Tipper et al., 2006a). In addition, vegetation may also play a significant

587   role in the $\delta^{44/40}$Ca of rivers (Fantle and Tipper, 2014). For Mg, the isotopic

588   composition of the source rock is important for small rivers, however, lithology is of

589   limited significance at a global scale in comparison to fractionation in the weathering

590   environment (Tipper et al., 2006b). Given the lack of evidence of source rock as a

591   dominant control on the isotopic composition of rivers, here we focus on some of the

592   possible causes for changes in the isotopic composition and/or flux of riverine input

593   over the Neogene.

594   In this regard, of the four elements discussed here, the Li isotopic system is the most

595   extensively studied. Indeed, the change in $\delta^7$Li$_{sw}$ has already been attributed to an

596   increase in the $\delta^7$Li$_{sw}$ composition of the riverine input (Hathorne and James, 2006;

597   Misra and Froelich, 2012). The causes of the shift in $\delta^7$Li riverine have been variably

598   attributed to: (1) an increase in incongruent weathering of silicate rocks and

599   secondary clay formation as a consequence of Himalayan uplift (Misra and Froelich,

600   2012), (2) a reduction in weathering intensity (Hathorne and James, 2006; Froelich

601   and Misra, 2014), (3) an increase in silicate weathering rate (Liu et al., 2015) and 4)

602   an increase in the formation of floodplains and the increased formation of secondary

603   minerals (Pogge von Strandmann and Henderson, 2014). In all four cases the lighter

604   isotope of Li is retained on land in clay and secondary minerals. A mechanism

605   associated with either an increase in secondary mineral formation or the retention of

606   these minerals on land is also consistent across Mg, Ca and B isotope systems. For

607   instance, clay minerals are preferentially enriched in the light isotope of B (Spivack

608   and Edmond, 1987; Deyhle and Kopf, 2004; Lemarchand and Gaillardet, 2006) and

609   Li (Pistiner and Henderson, 2003) and soil carbonates and clays are preferentially

610   enriched in the light isotope of Ca (Tipper et al., 2006a; Hindshaw et al., 2013;

611   Ockert et al., 2013). The formation of secondary silicate minerals, such as clays, is

612   assumed to preferentially take up heavy Mg isotope into the solid phase (Tipper et al.,

613   2006a; Tipper et al., 2006b; Pogge von Strandmann et al., 2008; Wimpenny et al.,

614   2014), adequately explaining the inverse relationship between $\delta^{11}$B$_{sw}$ and $\delta^{26}$Mg$_{sw}$.

615   Consequently the increased formation or retention on land of secondary minerals

616   would alter the isotopic composition of the riverine input of all the examined isotope

617   systems and could potentially explain the trends in all four isotope systems through

618   the late Neogene (Fig. 12). The increased formation and retention of clays on land

619   may have been related to the growth of the Himalayan orogeny and increased clay

620    formation in the newly formed floodplains and foreland surrounding the mountains

621    (Pogge von Strandmann and Henderson, 2014).

622    **4   Conclusions**

623    Here we present a new $\delta^{11}B_{sw}$ record for the Neogene based on paired planktic-

624    benthic $\delta^{11}B$ measurements. Our new record suggests that $\delta^{11}B_{sw}$ is ~ 37.5 ‰ at the

625    Oligocene-Miocene boundary and remains low through the middle Miocene. $\delta^{11}B_{sw}$

626    then increases to the modern value through the late Miocene. This new $\delta^{11}B_{sw}$ record

627    provides a vital constraint required to estimate Neogene ocean pH, ocean carbon

628    chemistry and atmospheric $CO_2$ using the $\delta^{11}B$-pH proxy. When the new $\delta^{11}B_{sw}$

629    record is compared to changes in the seawater isotopic composition of Li, Ca and Mg

630    the shape of the records across the Neogene is remarkably similar. In all four cases

631    riverine input is cited as one of the key control of the isotopic composition of the

632    elements in seawater. When we compare the isotopic fractionation of the elements

633    associated with secondary mineral formation, the trends in the $\delta^{26}Mg_{sw}$, $\delta^{44/40}Ca_{sw}$

634    $\delta^{11}B_{sw}$ and $\delta^{7}Li_{sw}$ records are all consistent with an increase in secondary mineral

635    formation through time. While a more quantitative treatment of these multiple stable

636    isotope systems is required, the $\delta^{11}B_{sw}$ record presented here provides additional

637    constraints on the processes responsible for the evolution of ocean chemistry through

638    time.

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980      Revised Miocene splice, astronomical tuning and calcareous plankton
981      biochronology of ODP Site 926 between 5 and 14.4 Ma: Palaeogeography,
982      Palaeoclimatology, Palaeoecology, v. 369, no. 0, p. 430-451.
983

984

985 **Figure Captions:**

986    Figure 1: The oceanic boron cycle. Fluxes are from Lemarchand et al. (2002) and

987    Park and Schlesinger (2002). Isotopic compositions are from Lemarchand et al.

988    (2002) and references therein.

989    Figure 2: A compilation of published $\delta^{11}B_{sw}$ records. Seawater composition

990    reconstructed from foraminifera depth profiles (light blue squares and dark blue

991    cross) from Pearson and Palmer (2000) and Foster et al. (2012) respectively,

992    numerical modelling (dark green line), with additional green lines shows ± 1 ‰

993    confidence interval (Lemarchand et al., 2002), benthic $\delta^{11}B$ (purple diamonds and

994    line) from Raitzsch and Hönisch (2013), and halites (orange crosses) from Paris et al.

995    (2010). All the published $\delta^{11}B_{sw}$ curves are adjusted so that at t=0, the isotopic

996    composition is equal to the modern (39.61 ‰).

997    Figure 3: Schematic diagram showing the change in pH gradient with a 3‰ change in

998    $\delta^{11}B$ for $\delta^{11}B_{sw}$ of a) 39.6‰ and b) 37.5‰. Note how a $\delta^{11}B$ difference of 3 ‰ is

999    translated into different pH gradients depending on the $\delta^{11}B_{sw}$. Calculated using $B_T=$

1000   432.6 µmol/kg (Lee et al., 2010) and $\alpha_B= 1.0272$ (Klochko et al., 2006). (c) The pH

1001   change for a $\delta^{11}B$ change of 3 ‰ at a range of different $\delta^{11}B_{sw}$.

1002   Figure 4: Latitudinal cross-section through the Atlantic showing (a) pH variations;

1003   (b) the $\delta^{13}C$ composition. Data are plotted using Ocean Data View (Schlitzer 2001).

1004   pH data are from the CARINA dataset (CARINA group, 2009) and the $\delta^{13}C$ data are

1005   from the GLODAP data compilation (Key et al., 2004); (c) pH and $\delta^{13}C_{DIC}$

1006   relationships in the modern ocean adapted from Foster et al., (2012). Because of

1007   anthropogenic acidification and the Seuss effect only data from >1500 m are plotted.

1008   Also included in the plot are the data from a transect in the North Atlantic (from 0 to

1009   5000 m) where the effects of anthropogenic perturbation on both parameters have

1010   been corrected (Olsen and Ninneman, 2010).

1011   Figure 5: $\delta^{11}B_{borate}$, temperature and $\delta^{13}C_{DIC}$ estimates for the surface and deep ocean

1012   through the last 23 million years. (a) $\delta^{11}B_{borate}$ surface; (b) $\delta^{11}B_{borate}$ deep (blue) from

1013   this study and (green) Raitzsch and Hönisch, (2013). The error bars show the

1014   analytical external reproducibility at 95% confidence;  (c) Mg/Ca based temperature

1015   reconstructions calculated on paired measurements of surface dwelling planktic

1016   foraminifera; (d) Deep water temperature estimates from Cramer et al. (2011); (e)

1017    $\delta^{13}C_{DIC}$ surface record; (f) $\delta^{13}C_{DIC}$ benthic record. Squares depict ODP Site 999,

1018    triangles are ODP Site 758, diamonds are ODP Site 926, circles are ODP Site 761.

1019    Figure 6: The output from sensitivity analysis of the relationship between pH gradient

1020    and $\delta^{13}C$ gradient from GENIE. The gradient of a linear regression for each

1021    experiment, with $\delta^{13}C$ and pH data taken from each grid square, is plotted. A pre-

1022    industrial model setup was taken and perturbations were made to alkalinity inventory,

1023    carbon inventory, Antarctic shelf fresh water flux (Sv), Atlantic-Pacific freshwater

1024    flux, S. Lim gas exchange (blocks air-sea gas exchange south of the stated latitude),

1025    remineralisation depth scale (m) and rain ratio – as described in the methods section.

1026    Blue circles depict the gradient (where the colour reflect the $CO_2$ level of each

1027    experiment) and red open circles show the root mean square of the regression

1028    (RMSE). The green data points (closed) are the gradient of the linear regression for

1029    the control experiment conducted at 292.67 ppm $CO_2$. The green (open) points show

1030    the RMSE for this control run. Inventories are dimensionless (1 is control). For the

1031    Atlantic-Pacific FWF 1 is equivalent to 0.32 Sv. The alkalinity and carbon inventory

1032    experiments are very extreme and inconsistent with geologic evidence. All other

1033    sensitivity test indicate a possible range from 0.195 to 0.205 for the slope of the

1034    pH/$\delta^{13}C$ regression.

1035    Figure 7: The output from sensitivity analysis of the relationship between pH gradient

1036    and $\delta^{13}C$ gradient from the 13700 run CYCLOPS ensemble (see text for model

1037    details). Panel (a) shows the mean gradient when the result from all 18 ocean boxes

1038    are included in the regression. Panel (b) shows only the boxes from the low latitude

1039    ocean from all basins and (c) shows the regression from only North Atlantic low

1040    latitude boxes. Note the lower $\Delta pH/\Delta\delta^{11}B$ slope at the lower latitudes due to the

1041    effect of temperature.

1042    Figure 8: The pH gradient between surface and deep through time calculated from the

1043    $\delta^{13}C$ gradient and using the whole ocean (blue) (panel (a) Fig. 7), low latitude (green)

1044    (panel (b) Fig. 7) and low latitude North Atlantic only (red) (panel (c) Fig. 7) based

1045    regressions from the CYCLOPS model.

1046    Figure 9: The calculated $\delta^{11}B_{sw}$ from the benthic-planktic $\delta^{11}B$ pairs assuming (a) a

1047    pH gradient derived from (a) the modern pH (blue); (b) $\delta^{13}C$ (red). The uncertainty

1048    on each data point is determined using a Monte Carlo approach including

1049    uncertainties in temperature, salinity, $\delta^{11}$B and the pH gradient (see text for details)

1050    The line of best fit is the probability maximum of a LOWESS fit given the

1051    uncertainty in the calculated $\delta^{11}$B$_{sw}$. The darker shaded area highlights the 68%

1052    confidence interval and the lighter interval highlights the 95% confidence interval.

1053    The circles highlight the data points that were removed prior to LOWESS smoothing;

1054    (c) shows an expanded view of the smoothed curves for ease of comparison between

1055    the variable pH gradient from $\delta^{13}$C (red) and modern pH gradient (blue).

1056    Figure 10: a) The $\delta^{11}$B$_{sw}$ curve calculated using the variable pH gradient derived

1057    from $\delta^{13}$C. The median (red line), 68% (dark red band) and 95% (light red band)

1058    confidence intervals are plotted. Plotted with a compilation of published $\delta^{11}$B$_{sw}$

1059    records. Seawater composition reconstructed from foraminifera depth profiles (light

1060    blue squares and dark blue cross) from Pearson and Palmer (2000) and Foster et al.

1061    (2012) respectively, numerical modelling (dark green line), with additional green

1062    green lines shows ± 1 ‰ confidence interval (Lemarchand et al., 2002) and benthic

1063    $\delta^{11}$B (purple diamonds and line) from Raitzsch and Hönisch (2013). All the published

1064    $\delta^{11}$B$_{sw}$ curves are adjusted so that at t=0, the isotopic composition is equal to the

1065    modern (39.61 ‰).

1066     Figure 11: a) The $\delta^{11}$B$_{sw}$ curve calculated using the variable pH gradient derived

1067    from $\delta^{13}$C (the darker shaded area highlights the 68% confidence interval and the

1068    lighter interval highlights the 95% confidence interval), $\delta^{26}$Mg$_{sw}$ record from Pogge

1069    von Strandmann et al. (2014) (error bars are ± 0.28 ‰ and include analytical

1070    uncertainty and scatter due to the spread in modern *O. universa* and the offset

1071    between the two analysed species), $\delta^{44/40}$Ca$_{sw}$ record from Griffith et al. (2008) (error

1072    bars show 2 σ uncertainty) and $\delta^{7}$Li$_{sw}$ record from Misra and Froelich (2012) (error

1073    bars show 2 σ uncertainty).

1074    Figure 12: Crossplots of the records of $\delta^{11}$B$_{sw}$ using the variable pH gradient derived

1075    from $\delta^{13}$C (error bars show 2 σ uncertainty) with $\delta^{44/40}$Ca$_{sw}$ from Griffith et al. (2008)

1076    (error bars show 2 σ uncertainty), $\delta^{7}$Li$_{sw}$ from Misra and Froelich (2012) (error bars

1077    show 2 σ uncertainty) and $\delta^{26}$Mg$_{sw}$ from Pogge von Strandmann et al. (2014) (error

1078    bars are ± 0.28 ‰ and include analytical uncertainty and scatter due to the spread in

1079    modern *O. universa* and the offset between the two analysed species). The colour of

1080    the data points highlights the age of the data points where red = modern and blue =

1081    23 Ma.

1082    Table 1: The average $\delta^{11}$B, $\delta^{26}$Mg, $\delta^{44/40}$Ca and $\delta^{7}$Li composition of major fluxes into

1083    and out of the ocean. Colour coding reflects the relative importance of each the

1084    processes (darker shading reflects greater importance). The colour coding for boron is

1085    based on Lemarchand et al. (2002) and references therein, lithium from Misra and

1086    Froelich (2012) and references therein, magnesium from Tipper et al. (2006b) and

1087    calcium from Fantle and Tipper (2014) and Griffin et al. (2008) and references

1088    therein. The isotopic ratio of each process is: (a) Lemarchand et al. (2002) and

1089    references therein; b) Misra and Froelich (2012) and references therein; (c) Burton

1090    and Vigier (2012); (d) Tipper et al. (2006b); e) Wombacher et al. (2011); f) includes

1091    dolomitisation; g) removal through hydrothermal activity; h) Griffith et al. (2008); i)

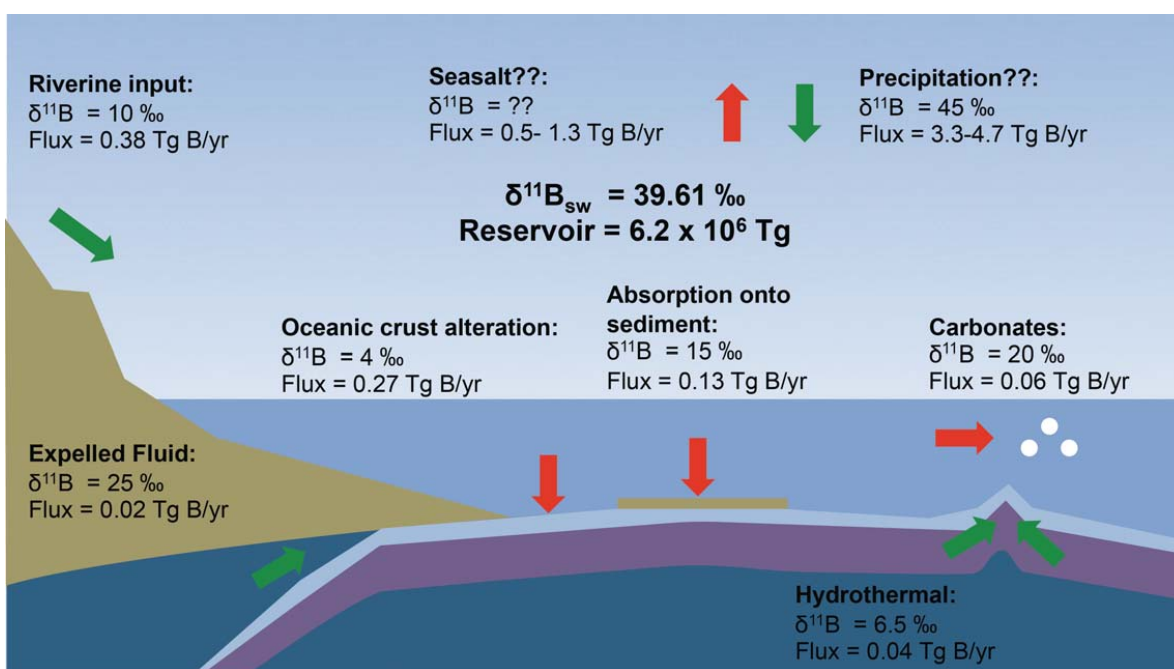
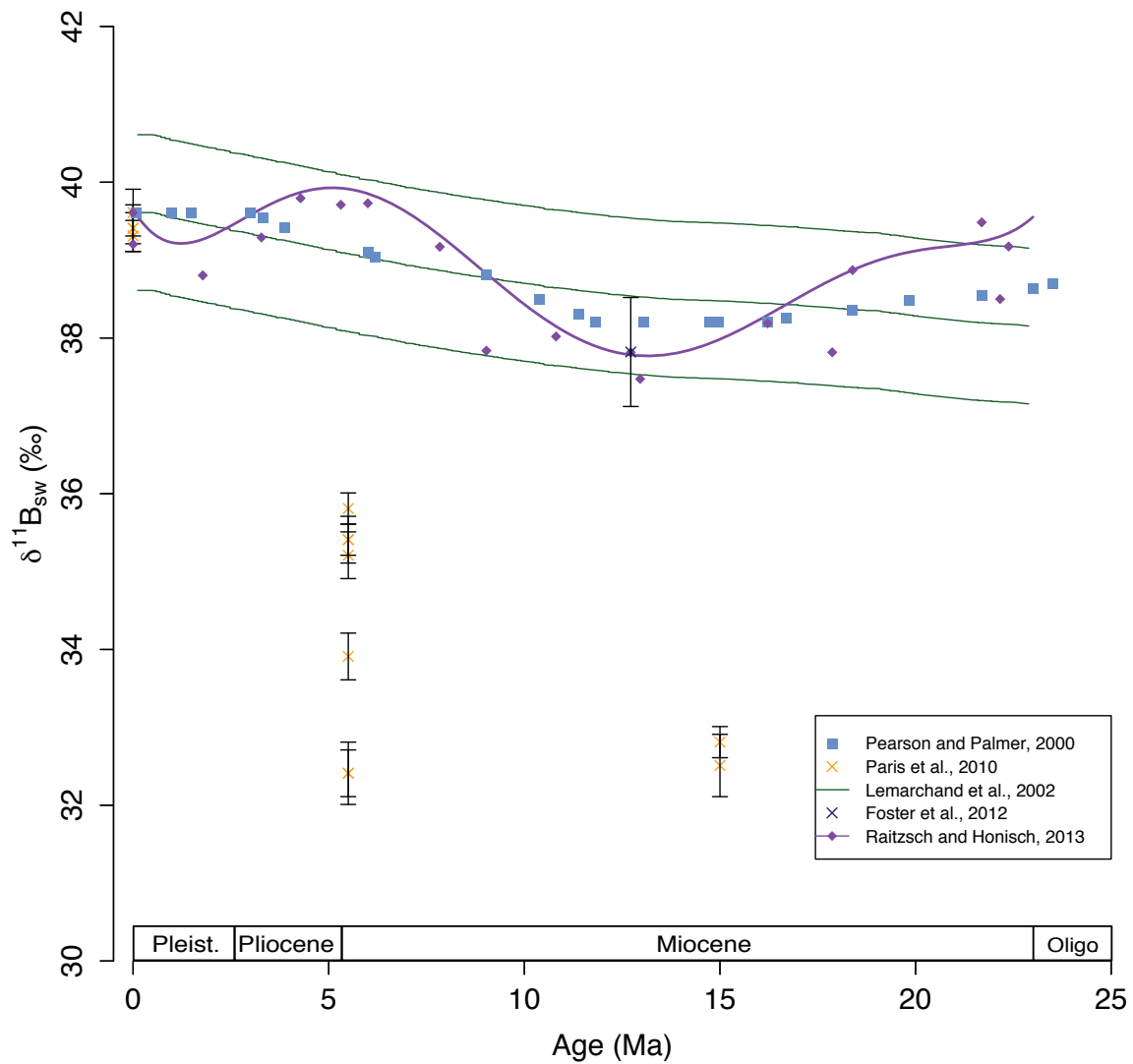
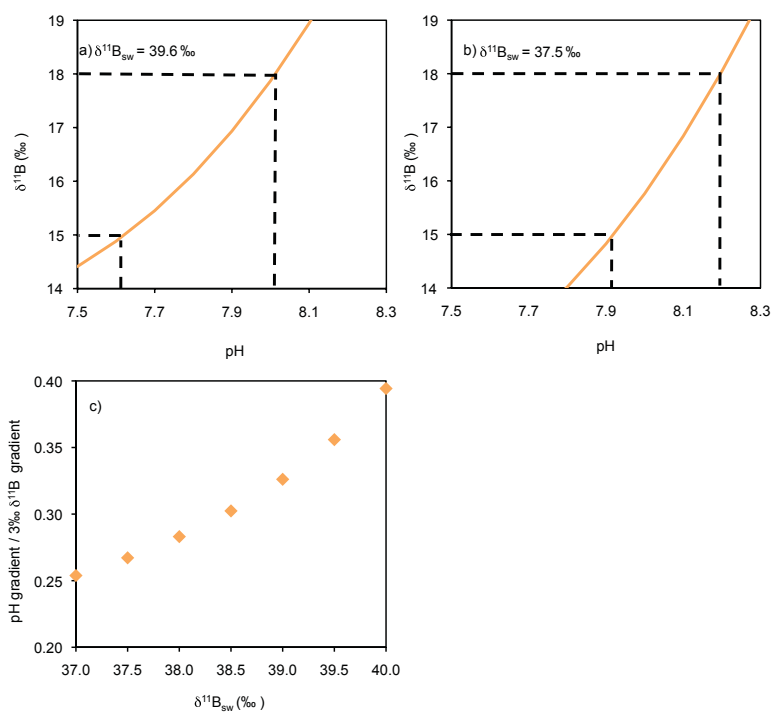
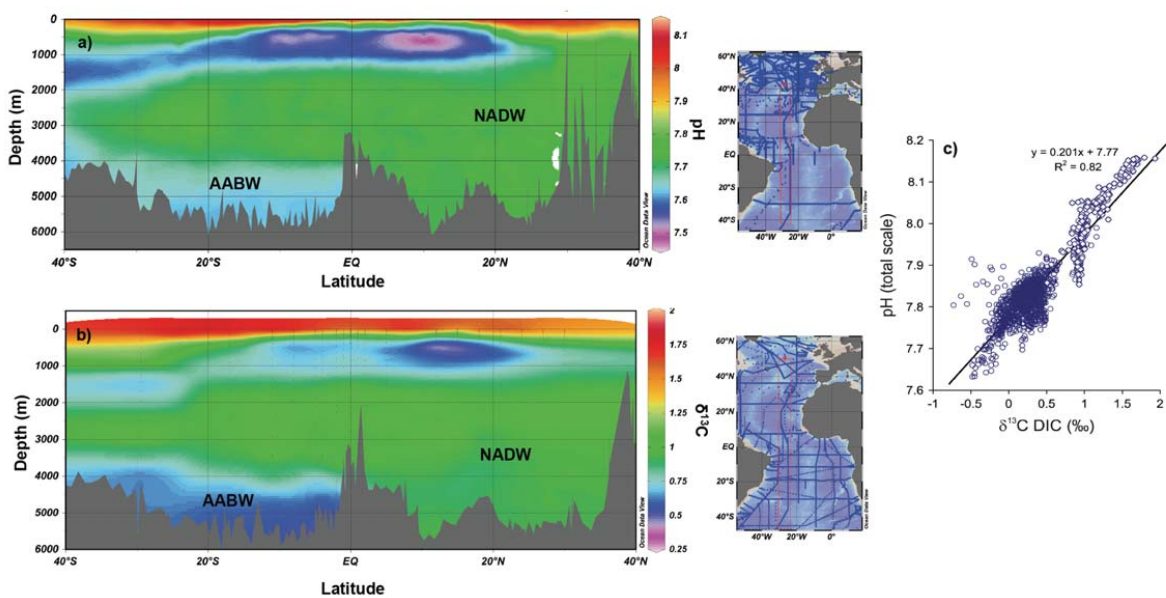
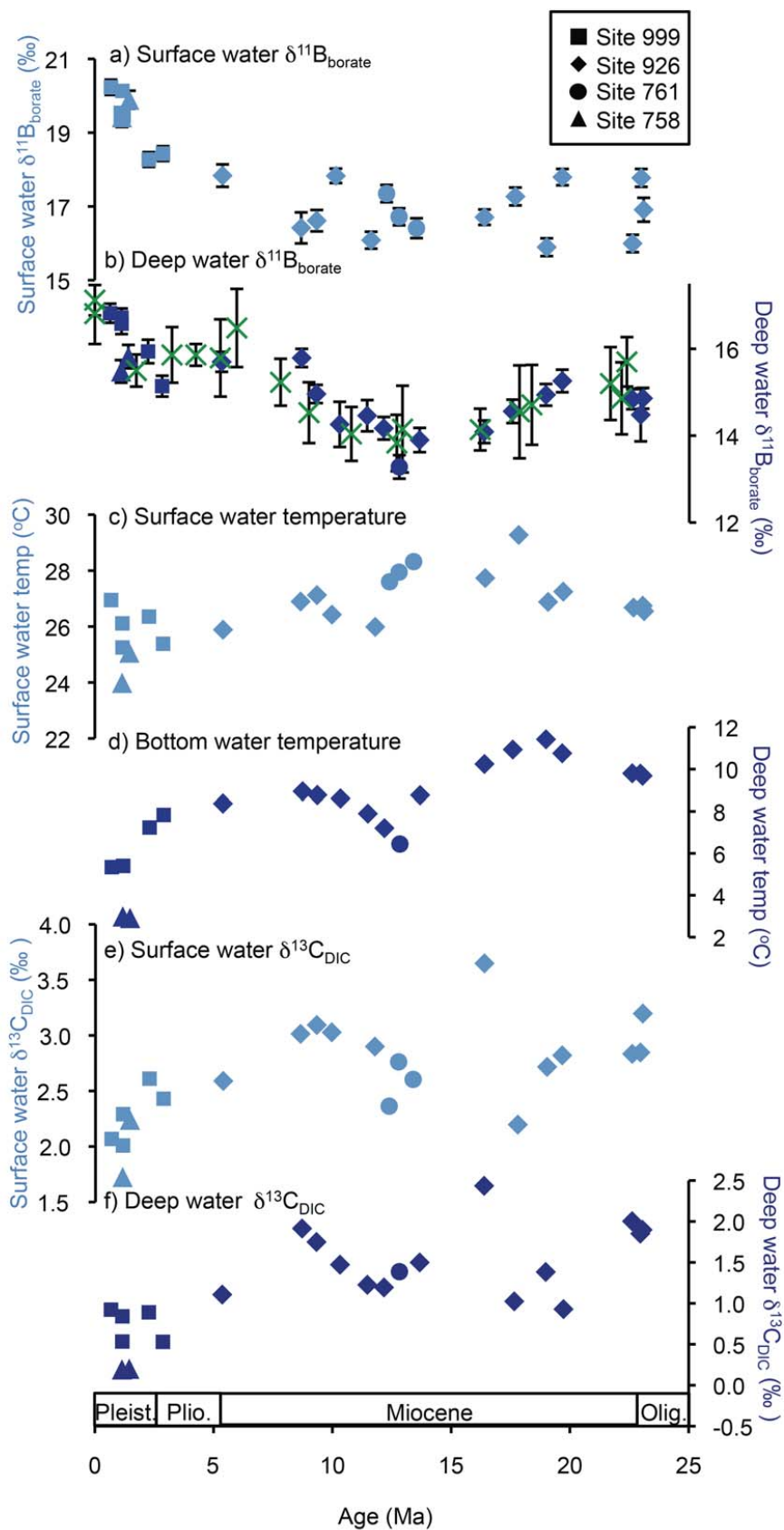
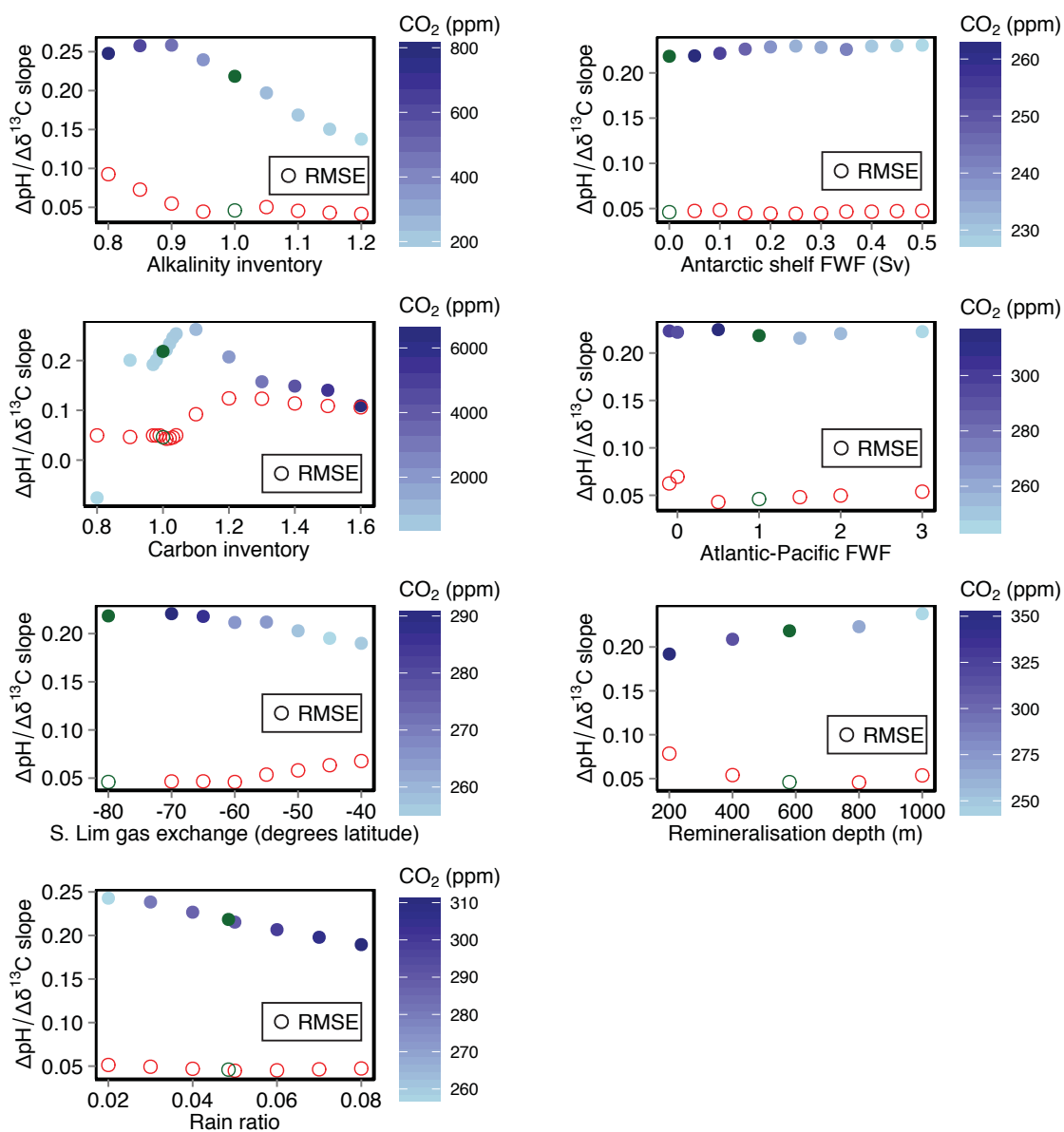
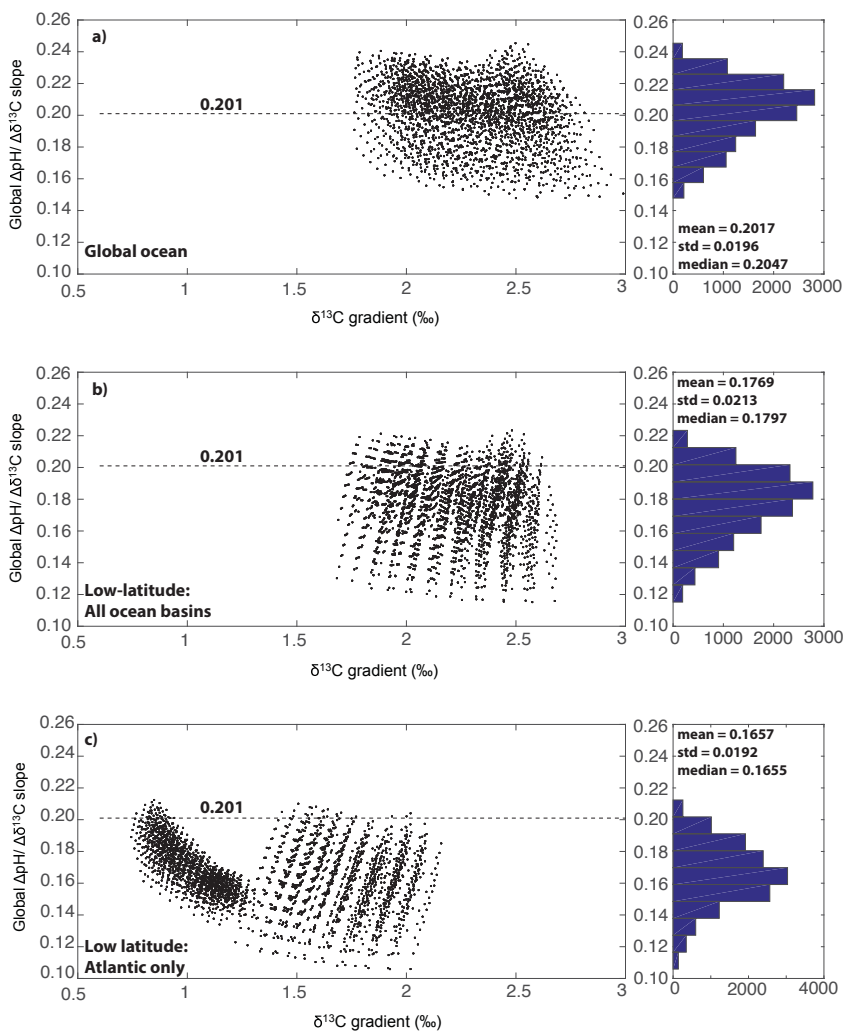
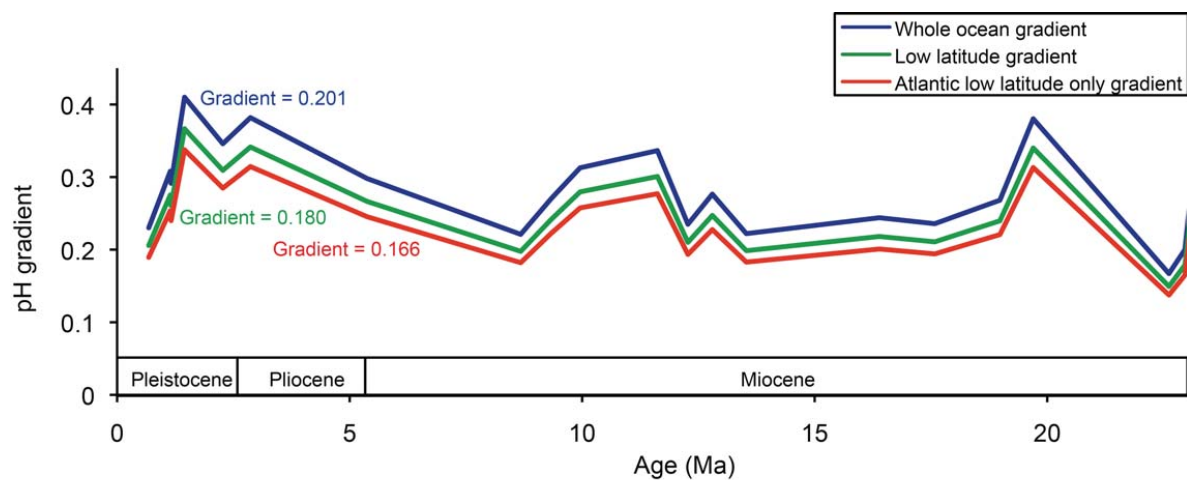
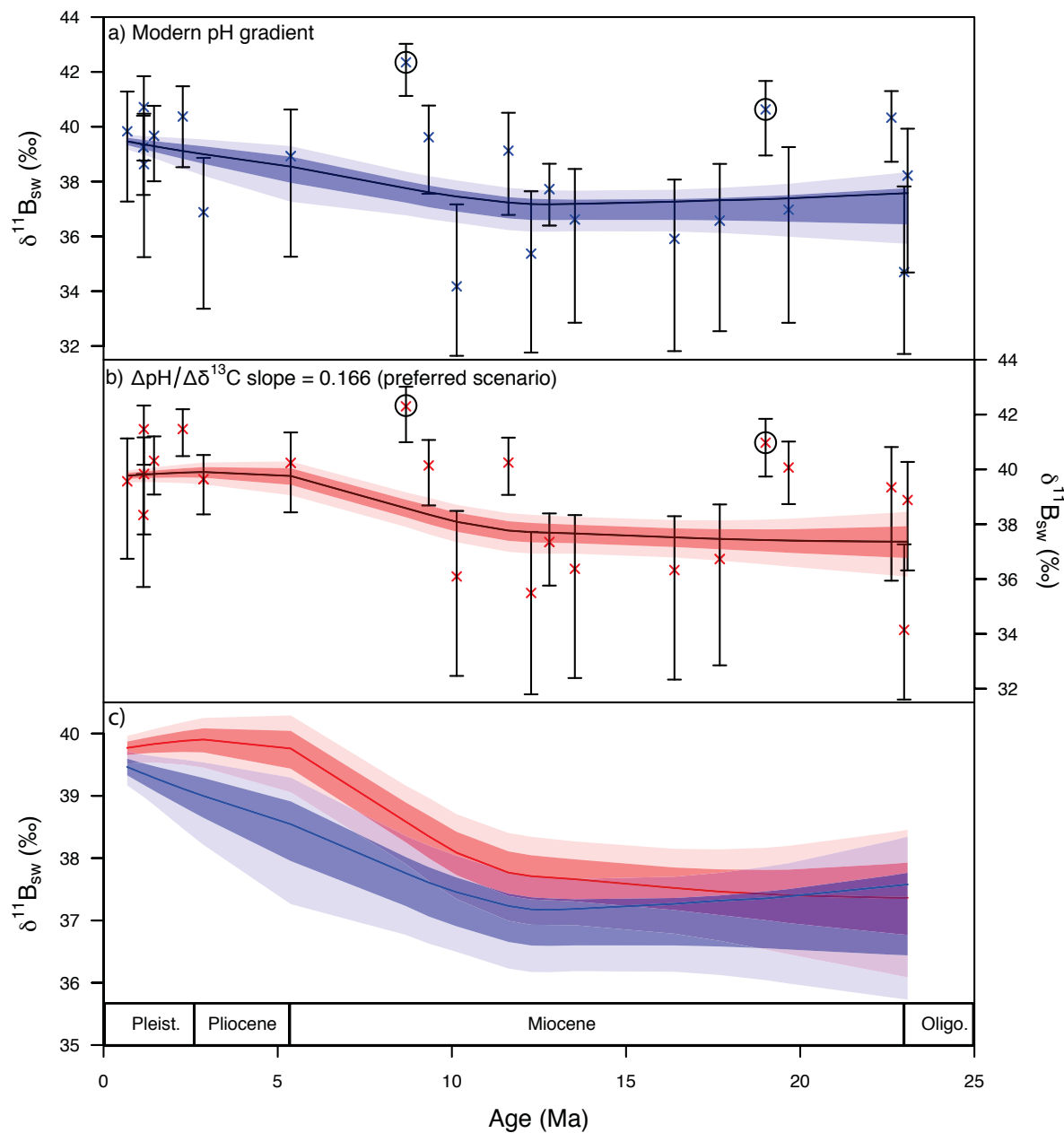
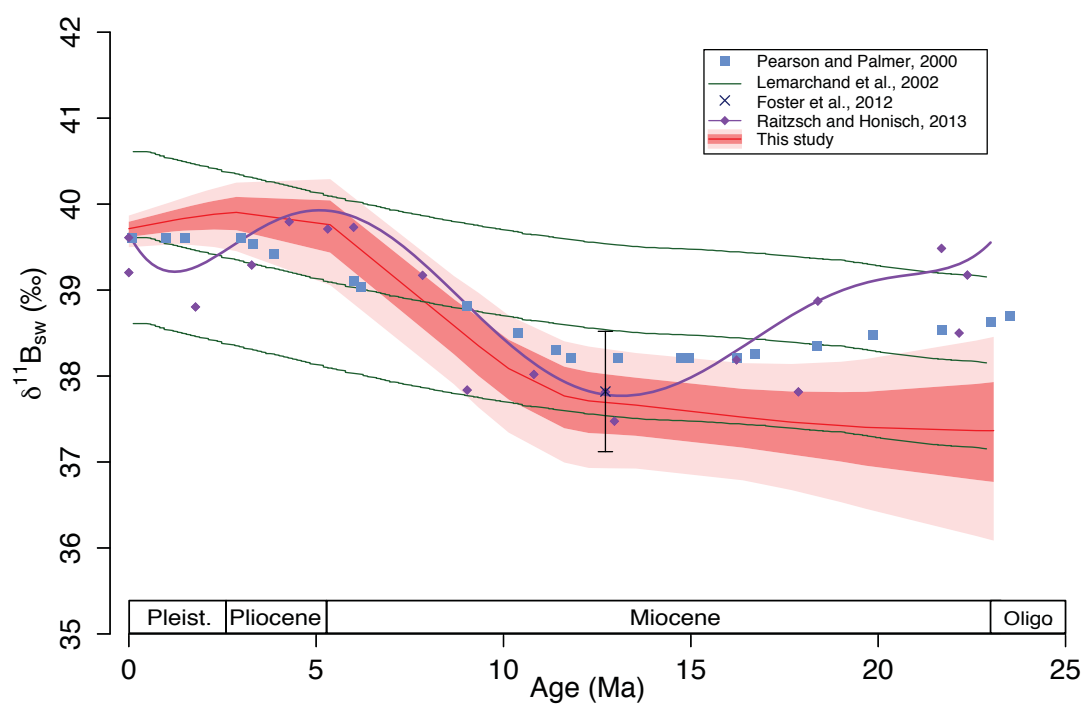
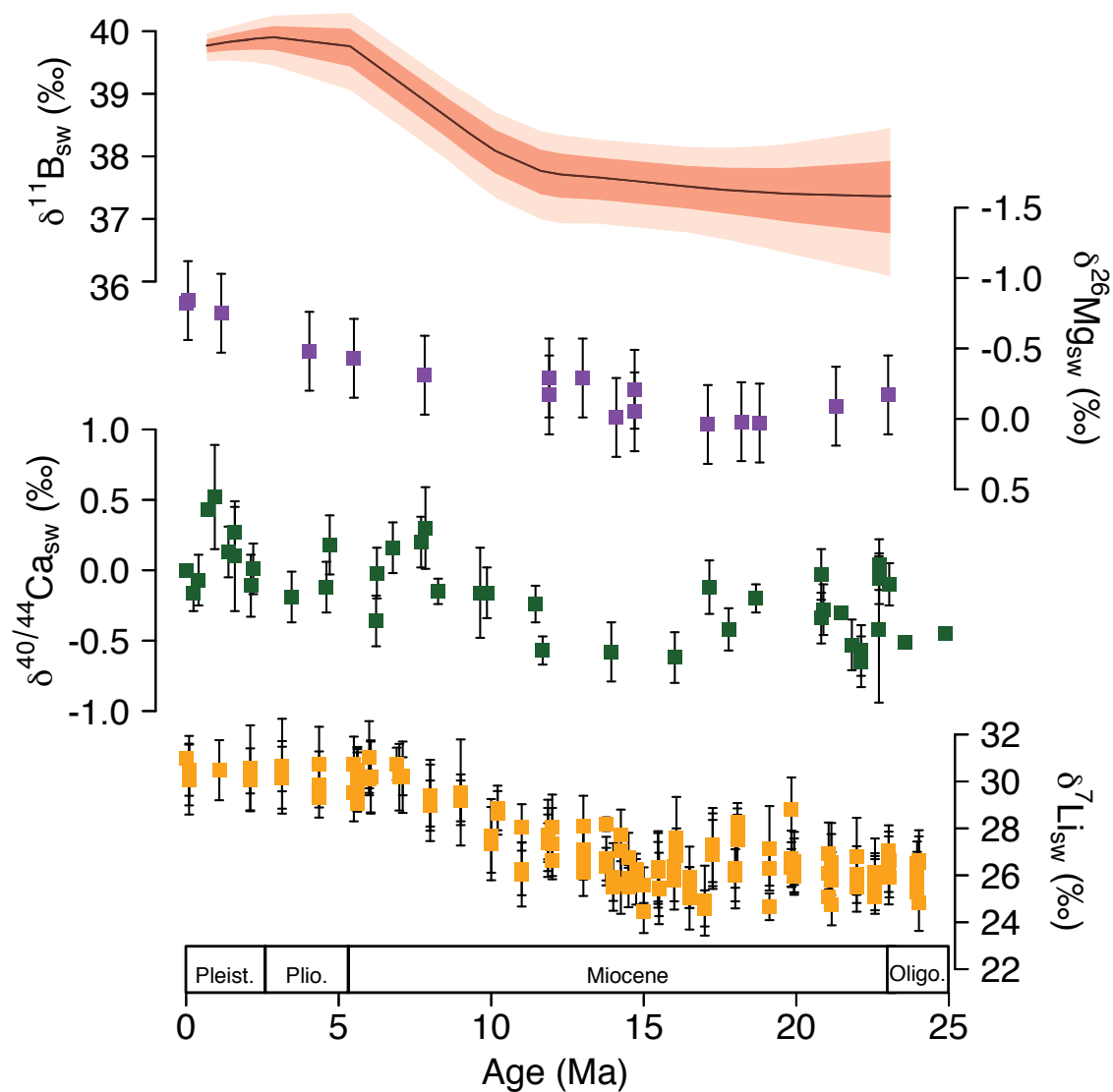
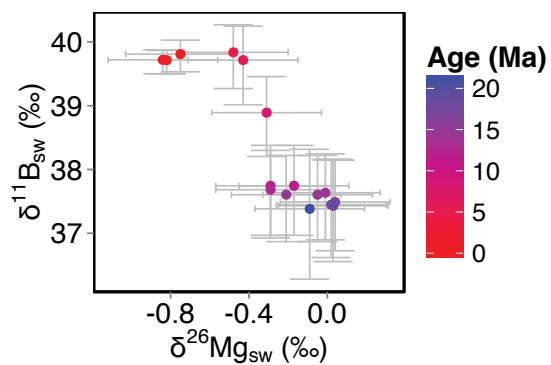
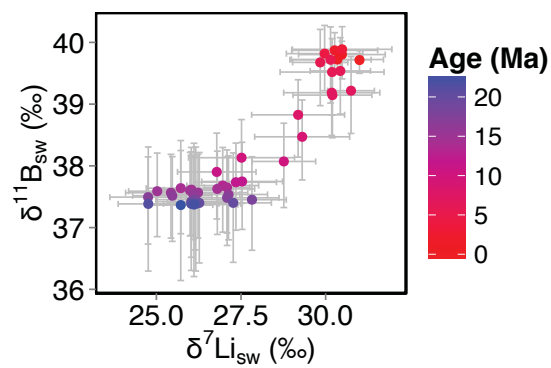
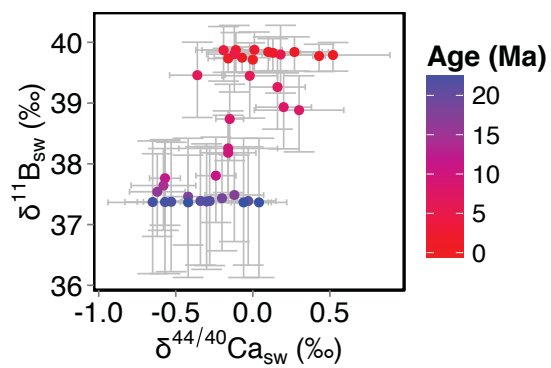
1092    Fantle and Tipper (2014) and references therein; j) dolomitisation may be an

1093    important component of the carbonate flux. Modern $\delta^{26}$Mg$_{sw}$ and $\delta^{11}$B$_{sw}$ from Foster

1094    et al. (2010), $\delta^{7}$Li$_{sw}$ from Tomascak (2004). The $\delta^{44/40}$Ca presented here was

1095    measured relative to seawater and hence seawater has a $\delta^{44/40}$Ca$_{sw}$ of 0 permil by

1096    definition.

1097

| Sources | Isotopic Ratio | | | |
|---|---|---|---|---|
| **Oceanic Inputs** | $\delta^{11}B_{sw}$ 39.61 ‰ | $\delta^{7}Li_{sw}$ 31 ‰ | $\delta^{26}Mg_{sw}$ −0.83 ‰ | $\delta^{44/40}Ca_{sw}$ 0 ‰ |
| Input from hydrothermal | 6.5[a] | 8.3[b] | N/A | −0.96[h] |
| Fluid from accretionary prisms | 25[a] | 15[b] | N/A | N/A |
| Riverine Inputs | 10[a] | 23[b] | −1.09[d] | -1.28[h] |
| Groundwater | N/A | N/A | -0.82[d] | −1.02[i] |
| **Outputs** | | | | |
| Precipitation into carbonates | 20[a] | 29[c] | -3.5[d,e,f] | -1.15[h,j] |
| Ocean crust alteration | 4[a] | 15[b] | -0.83[d,g] | -1.2[h] |
| Absorption onto sediment | 15[a] | 15[b] | ?? | N/A |