Report #1

Greenop et al. have revised their manuscript substantially, improved the model tests and tailored it to show the consensus with previously published studies, rather than the focus on minor discrepancies of the original manuscript. I find this manuscript very much improved, but it still needs a few minor but important revisions. For the sake of simplicity, I will list these in chronological order, which is not necessarily the order of importance.
We thank the referee for the positive view on our revised manuscript and the improvements outlined below.

Line 175: spelling of name: Martínez-Botí
This has been changed.

Lines 192/238/248…: wuellerstorfi
This has been changed

Lines 205/208: Müller
This has been changed.

Lines 274 ff: what makes these "minor effects"? In particular the Miocene is a period of intense organic matter deposition (Monterey Formation!), that indicates systematic and substantial removal of isotopically light carbon from the ocean. Systematic changes in boundary conditions such as d13C-DIC and pCO2 are not captured in the assumed constant uncertainty, which accounts only for random changes in boundary conditions. As such, these new d11Bsw estimates are still not the ultimate answer, which is generally nicely acknowledged in this manuscript, but should be repeated in the conclusions (see comment below).
In the section here we refer to dissolution of calcium carbonate shells as a minor effect on the timescales we are concerned with as the CCD record of Palike et al. (2012) suggests there has not been substantial changes through time in this parameter. While the carbon isotopic composition of the whole ocean may have change, this was most likely accompanied by a pH change, and based on the modeling we present, the d13C-pH relationship has not changed significantly within the uncertainty bounds we use. We will reiterate the drawbacks to our methodology in the conclusion section as suggested below.

Line 460: its changes

This has been changed.

Lines 474/475: what is the "plausible" range and why? Please define "plausible".
See response to reviewer 2. This has now been expanded on at the end of the section (lines 370-374).

Lines 584-587: the pH decrease may be at odds with the pCO2 estimates shown in Beerling & Royer (2011), but more estimates have since been published that support the greater pCO2 reconstructed from stomata (as already shown in Beerling & Royer). For instance, Zhang et al. (2013) show Miocene pCO2 from alkenones >400 µatm, Bolton et al. (2016) went a step further and considering calcification changes in coccolithophores, they suggest Miocene pCO2 may have been at least 50% higher compared to the Plio-Pleistocene. Foster et al. (2012) and Greenop et al. (2014) also suggest Miocene pCO2 ~ 400 µatm, although they used an exceedingly low alkalinity of 1292 µmol/kg to yield these estimates, a value that is even more extreme than the alkalinity inventories tested in Fig. 7 (~1800-2600, considering that modern alk is somewhere around 2200 µmol/kg), which are considered "extreme and inconsistent with geological evidence" (Line 1138). Using more reasonable alkalinity values of ~2000 µmol/kg (Ridgwell 2005, Tyrrell & Zeebe 2004), the Miocene boron isotope pCO2 estimates range closer to 500-600 µatm, clearly consistent with global evidence for warmer temperatures, and acidification as implied by models. There is uncertainty in all of these pCO2 estimates but growing evidence for higher Miocene pCO2 should be acknowledged and the argument that "proxy CO2 and surface water pH estimates are not well described by the linear change in pH" should be revised in the light of this growing proxy data evidence.
We agree with the reviewer that there is now good evidence for high CO_2 during the middle Miocene climatic optimum. We would question, however, the evidence that the early Miocene (17-23 Ma) has higher CO_2 than the Miocene climatic optimum (15-17 Ma; as would be suggested by a linear change in pH). There is currently a lack of CO_2 data for the early Miocene and consequently we will change the wording of the sentence to "proxy CO_2 and surface water pH estimates may not be well described by the linear change in pH" to reflect this uncertainty.

Line 704: please augment this sentence to "Despite some disagreements, and different uncertainties associated with each approach, the fact that…".
This is just to reiterate that Greenop's approach, like all others, has large

uncertainties as well, albeit due to different factors.
This has been done.

Line 712: controls
This has been done.

Line 1109: please specify where the "modern ocean" data are coming from, i.e. they are globally distributed and exceed the data shown in the Atlantic profiles. The reference to Foster et al. (2012) is not sufficient.
The data are from all the ocean basins spanning the latitudes of 40N to 40S. This detail has been added to the figure caption.

Line 1117: Please clarify that the error bars with 95% confidence due to external reproducibility only apply to the new data by Greenop et al. (this study), but not to Raitzch & Hönisch (2013), where they represent propagated uncertainties of external reproducibilities of time equivalent benthic foraminifer samples from different core sites in different ocean basins.
This has been done.

Line 1122: the color choice for the planktic foraminifera symbols is unfortunate and should be changed - the orange and red colors are nearly indistinguishable.
This has been changed.

Figure 8 caption: Please explain the 0.201 line
This has been done.

Figures 2 and 11: The d11Bsw data of Raitzsch & Hönisch (2013) are not plotted correctly, the data are all lower than originally presented, and so are the polynomial fits. The polynomial fits should probably be replaced by something like a 5-point running mean anyway, but I am puzzled why the data are lower than presented in the original study? This is particularly striking in Fig. 11, where the data are shifted even lower than in Fig. 2, below the 39.61‰ modern seawater d11Bsw estimate that the caption claims the data have been adjusted to (Lines 1175/1176). This must be rectified. The original publication presented the data originating at a modern d11Bsw average of 39.6‰, so no adjustment of the original is necessary or justified.
The polynomial fits have now been replaced by a 5-pt running average. The

data were erroneously adjusted to 39.61‰ based on a single value for modern d11Bsw rather than an average of the two data points at 0 Ma in the Raitzsch & Hönisch (2013) dataset. We thank the reviewer for pointing this out and now no adjustment has now been made the d11Bsw data. There was also an error in the original dataset provided by the author of the study.

Report #2 Submitted on 18 Nov 2016

This study presents a new boron isotope curve of the Neogene based on foraminifera. The authors make the point that the d11B of forams is not an unfractionated archive for seawater, but is instead pH dependent, and therefore must be corrected using modelled ocean pH. The study goes into some depth on this modelling, and the assumptions made, and the results broadly agree with several other studies, although this study has considerably more data. Interestingly, the d11B seawater data also co-vary with records from other isotopic systems like Li, Mg and ca.

Overall this is a well-written manuscript, with a detailed discussion on modelling, and some interesting outcomes in terms of seawater records, and I recommend it be published after some minor amendments listed below.

We thank the referee for taking the time to review our manuscript and the insightful comments outlined below.

Line 61: isn't carbonate weathering also a B source? Presumably they must be if carbonates are a major sink.

Carbonate weathering is also a source of boron to the ocean, however, in the river systems currently studied (Rose et al., 2000; Lemarchand and Gaillardet 2006) the weathering of silicate rocks dominates the riverine signal. The text has been updated to reflect this.

Line 63: is this low or high temperature ocean crust alteration?

Low temperature alteration. This has been updated in the text.

Line 116/Fig 3c: I would draw this as a line, rather than a series of data points, to make reading the graph easier.

This has been done.

Lines 11-125: for the non-B person, explain how this is not affected by CCD changes and associated pH changes?

This section refers to the d13C-pH gradient between the surface and thermocline depth so won't be affected by CCD change. The surface-to-deep gradient may be affected and this is something that is explored in the CYCLOPS modeling. When the CCD depth is changed within the bounds set out by Palike et al. (2012) we find the d13C-pH relationship does not vary outside the assigned uncertainty.

Line 145: referencing a manuscript in preparation is a little odd.
Instead of referring to a manuscript in prep, we will describe the paper as "a follow up study by *Sosdian et al.,*".

Line 147: "nano" rather than "nanno"
This has been corrected.

Lines 154-161: what secondary standards were measured to ensure precision/accuracy? What is the precision of the d11B measurements?
The secondary standard used to ensure precision/accuracy is the Japanese Geological Survey *Porites* coral standard JCP. The details of this standard and the precision of the d11B measurements are outlined in section 2.5: Assessing uncertainty.

Section 2.4 and 2.5: you've listed all the parameters you used, but it would also be useful if you explained why you selected those parameters for the models.
These parameters were used to exceed any plausible changes within the Cenozoic. This is stated on lines 331-332, and is now, for improved clarity, reiterated at the end of section 2.4.

Line 513: explain this 0.1‰/Myr a bit more, especially given rapid Neogene weathering (and therefore input) changes.
The rate at which the $\delta^{11}B_{sw}$ can change is limited by the size of the oceanic boron reservoir compared the magnitude of the inputs and outputs. Therefore, even in the case of a large change in the weathering input, the residence time of boron in the ocean will exert a strong control on the rate at which $\delta^{11}B_{sw}$ can change. This has now been emphasized in the text.

Line 634: you say there are two controls on Mg, but then list three…
This has been changed.

Line 674-680: if you want to be inclusive about all the Li hypotheses, there

are also modelling papers on the Cenozoic: Li and West 2014, Wanner et al., 2014, Vigier and Godderis, 2015.
These references have been added to the appropriate sections. Thank you for this comment.

Line 671-698: this could be considered a bit oversimplified, given controls by carbonate and dolomite formation on Ca and Mg isotopes, and in turn their link with enhanced cation supply through weathering, and also potential temperature controls on dolomite formation. Also, Li is only affected by silicate weathering, whereas Ca and Mg are not – and Sr isotopes tell us that the Himalayas are dominantly (metamorphosed) carbonates. However, I agree that the cross-plots are compelling – but I think probably worth backing off a bit from the statements that it's only rivers, given, for example, that it's very hard to actually model Li by jut invoking rivers (see Li and West 2014).
The final statement of this paragraph has been changed to be more inclusive of other factors that could control the Neogene major ion composition.

It's also interesting that there is an order of magnitude difference in residence time of the different elements – perhaps something worth mentioning and discussing. Why, for example, does the correlation between Li and B seem best, given the widest residence time difference?
This is a very interesting point. Here (and in the text) we hypothesize that the correlation between B and Li is best because the processes controlling the fluxes into and out of the ocean are similar. A more sophisticated approach would no doubt be necessary to fully unpick the trends we highlight here, but this is beyond the scope of this current manuscript but is something we will be following up on in due course.

In terms of the Ca record, I would take a look at Fantle and Tipper 2014 – especially their compilation of Neogene Ca isotopes (and their corrigendum to that graph), which suggests that the Griffith data are offset from others.
Many thanks for pointing us towards the Fantle and Tipper corrigendium. Here we chose to use the marine barite record of Griffith et al., 2008 as it is the archive that is the most likely to be a passive trace of Ca isotopes (Fantle, 2010). However, we acknowledge that the extent to which this is the case is still unknown and will make the distinction that the calcium isotopic composition of seawater is based on the marine barites.

P. Pogge von Strandmann, UCL, UK

A record of Neogene seawater $\delta^{11}B$ reconstructed from paired $\delta^{11}B$ analyses on benthic and planktic foraminifera.

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Abstract:

The boron isotope composition ($\delta^{11}B$) of foraminiferal calcite, which reflects seawater pH, is a well-established proxy for reconstructing past seawater carbonate chemistry and, in the case of planktic foraminifera, past atmospheric CO_2. However, to translate $\delta^{11}B$ measurements determined in calcareous fossils into pH we need to know the boron isotopic composition of the seawater in which they grew ($\delta^{11}B_{sw}$). While a number of $\delta^{11}B_{sw}$ reconstructions exist, more work is needed to build confidence in our knowledge of this important parameter. Here we present a new Neogene $\delta^{11}B_{sw}$ record based on the $\delta^{11}B$ difference between paired measurements of planktic and benthic foraminifera and an estimate of the coeval water column pH gradient derived from planktic/benthic $\delta^{13}C$ data. To underscore this approach we present extensive tests using the CYCLOPS and GENIE carbon cycle models to demonstrate that the planktic/benthic $\Delta pH/\Delta \delta^{13}C$ relationship is relatively insensitive to ocean and carbon cycle changes. In keeping with previously published records, our

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reconstruction suggests that $\delta^{11}B_{sw}$ was ~ 37.5 ‰ during the early and middle Miocene and rapidly increased from ~12 to 5 Ma to reach a plateau near the modern value of 39.61 ‰. A similar pattern of change is evident in the seawater composition of the Mg, Li and Ca stable isotope systems. Concurrent shifts in the seawater isotopic composition of all four of these elements during the late Miocene are suggestive of a common forcing mechanism. Based on the observed direction of change we hypothesise that an increase in secondary mineral formation during continental weathering may have affected the isotopic composition of the riverine input to the ocean since ~12-15 Ma.

1. Introduction

Key to determining the relationship between CO_2 and climate in the geological past is the calculation of reliable estimates of absolute CO_2 through time. In recent years the boron isotope composition ($\delta^{11}B$) of foraminiferal calcite has become a high-profile tool for reconstructing CO_2 beyond the last 800 kyrs and throughout the Cenozoic Era (Foster, 2008; Hönisch et al., 2009; Pearson et al., 2009; Bartoli et al., 2009; Foster et al., 2012; Badger et al., 2013; Henehan et al., 2013; Greenop et al., 2014; Martínez-Botí, et al., 2015a). Yet long-term change in the boron isotope composition of seawater ($\delta^{11}B_{sw}$) is currently poorly constrained and represents a major source of the uncertainty associated with $\delta^{11}B$-determined CO_2 estimates (e.g. Pearson et al., 2009). In the modern ocean boron is a conservative element with a spatially invariant isotope ratio (39.61‰; Foster et al., 2010), but this value is subject to change through geological time. The residence time of boron in the ocean is estimated to lie between 11 and 17 Myrs (Lemarchand et al., 2000). Therefore we can expect the uncertainty associated with $\delta^{11}B_{sw}$ to be an important factor in CO_2 estimates beyond the late Pliocene (~ 4-5 Ma, Palmer et al., 1998; Lemarchand et al., 2000; Pearson et al., 2009; Foster et al., 2012; Anagnostou et al. 2016).

The ocean boron budget and its isotopic composition are controlled by a number of inputs and outputs (Fig. 1). However, because the magnitude of the boron fluxes between land, the ocean and the atmosphere in the modern are still poorly understood, the residence time and changes in both concentration ($[B]_{sw}$) and isotopic composition ($\delta^{11}B_{sw}$) through time remain uncertain. The main inputs of B into the

ocean are silicate weathering, and to a lesser extent evaporite and carbonate weathering, delivered to the ocean by rivers (Lemarchand et al., 2000; Rose et al., 2000; Lemarchand and Gaillardet, 2006), hydrothermal vents (You et al., 1993) and fluid expelled from accretionary prisms (Smith et al., 1995). The major loss terms are low temperature oceanic crust alteration (Smith et al., 1995), adsorption onto sediments (Spivack and Edmond, 1987) and co-precipitation into carbonates (Hemming and Hanson, 1992). In the case of all three outputs the light ^{10}B isotope is preferentially removed relative to ^{11}B, such that the seawater $^{11}B/^{10}B$ ratio ($\delta^{11}B_{sw}$, 39.61‰) is significantly greater than that of the cumulative inputs ($\delta^{11}B$ of ~10.4‰; Lemarchand et al., 2000). Our understanding of the modern boron fluxes outlined above, and illustrated in Fig. 1, implies a significant imbalance between inputs and outputs and consequently the poorly constrained ocean-atmosphere boron fluxes may also be an important part of the ocean's modern boron mass balance (Park and Schlesinger, 2002). Here, however, we follow Lemarchand et al., (2000) in assuming that atmospheric fluxes are unlikely to have varied significantly on geological timescales and therefore will not be discussed further in reference to the Neogene $\delta^{11}B_{sw}$ record we present.

Unlike many other isotopic systems (e.g. $\delta^{7}Li_{sw}$, $\delta^{26}Mg_{sw}$, $\delta^{44/40}Ca_{sw}$, $^{87}Sr/^{86}Sr$), to date, no direct archive has been documented for $\delta^{11}B_{sw}$. This is a result of the pH-dependent boron speciation in seawater upon which the $\delta^{11}B$-pH proxy is based (Hemming & Hanson 1992) that imparts a pH dependency on the $\delta^{11}B$ of all marine precipitates so far examined. Empirical reconstructions of $\delta^{11}B_{sw}$ must therefore use "indirect" approaches. So far four approaches have been applied to the problem (Fig. 2): (1) geochemical modeling (Lemarchand et al., 2000), (2) $\delta^{11}B$ analysis of halites (Paris et al., 2010), (3) measurements of benthic foraminiferal $\delta^{11}B$ coupled to various assumptions about past changes in ocean pH (Raitzsch and Hönisch, 2013), and (4) measurements of $\delta^{11}B$ in surface and thermocline dwelling foraminifera coupled with additional information on the pH gradient of the surface ocean (Palmer et al., 1998; Pearson and Palmer 1999, Pearson and Palmer 2000; Anagnostou et al., 2016). Geochemical modelling of the changes in the flux of boron into and out of the ocean through time has been used to suggest that $\delta^{11}B_{sw}$ increased from 37‰ at 60 Ma to 40‰ ± 1‰ today, driven by a combination of processes including changing boron continental discharge (Lemarchand et al., 2000). In the case of approach 2,

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while modern natural halites reflect $\delta^{11}B_{sw}$ (39.7 ‰) with no apparent fractionation, measurement of $\delta^{11}B$ in ancient halites yield isotopic ratios that are significantly lower than all other approaches (Fig. 2; Paris et al., 2010), with implausible variability among samples of the same age (7‰ range), thereby casting doubt over the reliability of this approach (Raitzsch and Hönisch, 2013). In the case of approach 3, $\delta^{11}B_{sw}$ is calculated from globally distributed benthic $\delta^{11}B$ data with an imposed degree of deep-ocean pH change (Fig. 2; Raitzsch and Hönisch, 2013). This method hinges on two key assumptions: (a) a near linear surface water pH increase of 0.39 over the past 50 Myrs taken from the average pH output from a number of modeling studies (Berner and Kothavala, 2001; Tyrrell and Zeebe, 2004; Ridgwell, 2005), and (b) a prescribed constant surface-to-deep ocean pH gradient of 0.3 (Tyrrell and Zeebe, 2004, and modern observations). The modeled surface pH and estimated fixed pH gradient is then used to estimate deep ocean pH, and then convert benthic foraminiferal $\delta^{11}B$ measurements to $\delta^{11}B_{sw}$. This approach yields broadly similar results to geochemical modeling (Fig. 2). The fourth approach exploits the non-linear relationship between $\delta^{11}B$ and pH alongside estimated pH gradients in the ocean to constrain $\delta^{11}B_{sw}$ (Palmer et al., 1998; Pearson and Palmer 1999, Pearson and Palmer 2000) and is the basis of the approach used in this study. The advantage of this method is that $\delta^{11}B_{sw}$ can be reconstructed empirically without relying on *a priori* absolute-pH constraints. The non-linear relationship between $\delta^{11}B$ and pH means that the pH difference between two $\delta^{11}B$ data points varies as a function of $\delta^{11}B_{sw}$ (Fig. 3). Consequently, if the size of the pH gradient can be estimated then there is only one $\delta^{11}B_{sw}$ value that is consistent with the foraminiferal $\delta^{11}B$ measurements and the specified pH gradient irrespective of the absolute pH (Fig. 3c). Previously this approach has been applied to pH variations in the surface ocean and used in studies of Cenozoic pCO_2 to account for changes in $\delta^{11}B_{sw}$ (determined using $\delta^{11}B$ in surface and thermocline-dwelling foraminifera) (Fig. 2) (Palmer et al., 1998; Pearson and Palmer 1999, Pearson and Palmer 2000; Anagnostou et al., 2016). This approach uses a constant pH gradient between the surface and some depth proximal to the oxygen minimum zone and the boron isotope values of a mixed layer dwelling species and thermocline dweller to calculate a value for $\delta^{11}B_{sw}$ (Pearson and Palmer, 1999). The resulting record suggests that $\delta^{11}B_{sw}$ varies between 37.7‰ and 39.4‰ through the Neogene (Fig. 2) (Pearson and Palmer, 2000).

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The same method, but using planktic-benthic instead of surface planktic-thermocline planktic $\delta^{11}B$ gradients to calculate $\delta^{11}B_{sw}$, was recently applied to the middle Miocene where it yielded a $\delta^{11}B_{sw}$ of 37.6 $^{+0.4}_{-0.5}$ ‰ (Foster et al., 2012). A further modification to the method of Pearson and Palmer (1999) was also proposed in that study wherein $\delta^{13}C$ in foraminiferal calcite was used to estimate the surface-to-deep pH gradient (Foster et al., 2012). Here, we reconstruct $\delta^{11}B_{sw}$ for the last 23 Ma, the Neogene, based on this modified approach. We undertake extensive sensitivity tests using both the CYCLOPS carbon cycle box model and the GENIE Earth system model to define the plausible range in the relationship between surface/deep pH difference and $\delta^{13}C$ difference, which is an essential parameter for this approach. Finally, we employ a Monte Carlo approach for comprehensive propagation of uncertainty in all input parameters and we focus on reconstructing $\delta^{11}B_{sw}$ – the implications of our work for understanding the evolution of Neogene ocean pH and atmospheric pCO_2 will be documented elsewhere.

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2. Methods

2.1 Site Locations and Age Models

Foraminifera from four sites are used to construct the planktic-benthic $\delta^{11}B$ pairs; Ocean Drilling Program, ODP, Site 758 and ODP Site 999 for the Pleistocene and Pliocene samples and ODP Site 926 and Site 761 for the Miocene (Fig. 4) (this study; Foster et al., 2012; Martìnez-Botì et al., 2015a, and a follow up study by Sosdian et al.,). We also incorporate the middle Miocene planktic-benthic pair from Site 761 in Foster et al. (2012). To place all data from all sites on a single age model we use the nanno and planktic foraminifera stratigraphy from sites 999, 926 and 761 (Shipboard Scientific Party, 1997; Shipboard Scientific Party, 1995; Zeeden et al., 2013; Holbourn et al., 2004) updated to GTS2012 (Gradstein et al., 2012). At Site 758 the magnetostratigraphy (Shipboard Scientific Party, 1989) is used and updated to GTS2012 (Gradstein et al., 2012).

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2.2 Boron Isotope Analysis and pH Calculation

The boron isotope measurements (expressed in delta notation as $\delta^{11}B$ – permil variation) were made relative to the boric acid standard SRM 951; (Catanzaro et al., 1970). Boron was first separated from the Ca matrix prior to analysis using the boron specific resin Amberlite IRA 743 following Foster et al. (2013). The boron isotopic composition was then determined using a sample-standard bracketing routine on a ThermoFisher Scientific Neptune multicollector inductively coupled plasma mass spectrometer (MC-ICPMS) at the University of Southampton (following Foster et al., 2013). The relationship between $\delta^{11}B$ of $CaCO_3$ and pH is very closely approximated by the following equation:

$$pH = pK_B^* - \log\left(-\frac{\delta^{11}B_{SW} - \delta^{11}B_{CaCO_3}}{\delta^{11}B_{SW} - \propto_B . \delta^{11}B_{CaCO_3} - 1000\,.(\propto_B - 1)}\right) \quad (1)$$

Where pK_B^* is the equilibrium constant, dependent on salinity, temperature, pressure and seawater major ion composition (i.e., [Ca] and [Mg]), $\propto_B$ is the fractionation factor between the two boron species and $\delta^{11}B_{sw}$ is the boron isotope composition of seawater. Here we use the fractionation factor of 1.0272, calculated from spectrophotometric measurements (Klochko et al., 2006). No temperature correction was applied as a number of recent studies suggest that it is not significant over our investigated temperature range (Rae et al. 2011; Henehan et al., 2013; Martínez-Botí et al. (2015b); Kaczmarek et al. 2016). Although the $\delta^{11}B$ of foraminifera correlates well with pH and hence $[CO_2]_{aq}$, the $\delta^{11}B_{calcite}$ is often not exactly equal to $\delta^{11}B_{borate}$ (Sanyal et al., 2001; Foster, 2008; Henehan et al., 2013). The planktic species used to construct the benthic-planktic pairs changes through time, as a single species is not available for the entire Neogene (this study; Foster et al., 2012; Martìnez-Botì et al., 2015a, and a follow up study by Sosdian et al.). Here *Globigerinoides ruber* is used for 0 to 3 Ma, *Trilobatus sacculifer* (formally *Globigerinoides sacculifer* and including *Trilobatus trilobus*; Hembleden et al., 1987; Spezzaferri et al., 2015) for 0 to 20 Ma and *Globigerina praebulloides* for 22 to 23 Ma. The calibration for *G. ruber* (300-355µm) is derived from culturing data supported by core top data (Henehan et al., 2013). The *T. sacculifer* calibration (300-355µm) is from a follow up

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study by Sosdian et al. where the *T. sacculifer* calibration of Sanyal et al., (2001) is used with a modified intercept so that it passes through the core top value for *T. sacculifer* (300–355 µm) from ODP Hole 999A (Seki et al., 2010). Unlike the asymbiotic modern *G. bulloides*, *G. praebulloides* appears to be symbiotic at least in the latest Oligocene (Pearson and Wade, 2009). Therefore, we apply the *T. sacculifer* (300-355µm) calibration to this species. For *T. sacculifer* (500-600µm) between 0 and 1 Ma, we use the calibration from Martìnez-Botì et al. (2015b) where the calibration of Sanyal et al. (2001) measured using NTIMS is corrected for the offset between MC-ICPMS and NTIMS using a comparison of core-top *T. sacculifer* measured by the two different methods from adjacent sites (Foster, 2008; Sanyal et al., 1995). In order to constrain deep-water pH, analysis was conducted on benthic foraminifera *Cibicidoides wuellerstorfi* or *Cibicidoides mundulus* depending on which species were most abundant in each sample. The $\delta^{11}B$ of both *Cibicidoides* species shows no offset from the theoretical $\delta^{11}B$ of the borate ion and therefore no calibration is needed to adjust for species-specific offsets (Rae et al., 2011).

As mentioned above, in addition to $\delta^{11}B_{calcite}$, temperature, salinity, water depth (pressure) and seawater major ion composition are also needed to calculate pH from $\delta^{11}B$. We use the MyAMI specific ion interaction model (Hain et al., 2015) to calculate the appropriate equilibrium constants based on existing [Ca] and [Mg] reconstructions (Horita et al., 2002; Brennan et al., 2013). Sea surface temperature (SST) is calculated from tandem Mg/Ca analyses on an aliquot of the $\delta^{11}B$ sample (with a conservative 2σ uncertainty of 2ºC). Adjustments were made for changes in Mg/Ca_{sw} using the records of Horita et al. (2002) and Brennan et al. (2013), and correcting for changes in dependence on Mg/Ca_{sw} following Evans and Müller (2012) using H = 0.41 calculated from *T. sacculifer* (where H describes the power relationship between test Mg/Ca incorporation and Mg/Ca_{sw}; Delaney et al., 1985; Hasiuk and Lohmann, 2010; Evans and Müller, 2012) using the equations:

$$Mg/Ca_{sw.c} = (Mg/Ca_{sw.a} / Mg/Ca_{sw.m})^{0.41} \quad (2)$$

Where $Mg/Ca_{sw.c}$ is the correction factor applied to the temperature equation for changing Mg/Ca_{sw}, $Mg/Ca_{sw.a}$ is the estimated Mg/Ca_{sw} for the age of the sample and $Mg/Ca_{sw.m}$ is modern Mg/Ca_{sw}. Temperature is then calculated using the generic

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planktic foraminifera calibration of Anand et al. (2003) and including a correction factor for Mg/Ca_{sw}.

$$Temperature = ln(Mg/Ca_{test}/(0.38 * Mg/Ca_{sw.c}))/0.09 \quad (3)$$

Mg/Ca analysis was conducted on a small aliquot of the sample dissolved for isotope analysis at the University of Southampton using a ThermoFisher Scientific Element 2 XR. Al/Ca was also measured to assess the competency of the sample cleaning. Because of complications with the Mg/Ca-temperature proxy in *Cibicidoides* species (Elderfield et al., 2006), bottom water temperatures (BWTs) are estimated here by taking the global secular temperature change from the Mg/Ca temperature compilation of Cramer et al. (2011), using the calibration of Lear et al. (2010) and applying this change to the modern bottom water temperature at each site taken from the nearest GLODAP site (with a conservative 2σ uncertainty of 2°C). Salinity is held constant at modern values determined from the nearest GLODAP site (2σ uncertainty of 2 ‰ uncertainty) for the entire record. Note that temperature and salinity have little influence on the calculated pH and the uncertainty in $\delta^{11}B_{sw}$ is dominated by the uncertainty in the $\delta^{11}B$ measurement and the estimate of the pH gradient.

The majority of the $\delta^{13}C$ data were measured at Cardiff University on a ThermoFinnigan MAT 252 coupled with a Kiel III carbonate device for automated sample preparation. Additional samples were measured on a gas source mass spectrometer Europa GEO 20-20, University of Southampton equipped with automated carbonate preparation device and on a Finnigan MAT 253 gas isotope ratio mass spectrometer connected to a Kiel IV automated carbonate preparation device at the Zentrum für Marine Tropenökologie (ZMT), Bremen. The Pliocene benthic $\delta^{13}C$ from Site 999 were taken from the nearest sample in Haug and Tiedemann, (1998). In almost all cases $\delta^{13}C$ was analysed on the same foraminiferal species as $\delta^{11}B$ and Mg/Ca (38/44 samples). Where this was not possible another surface dweller/benthic foraminifera was used from the same depth habitat. *C. wuellerstorfi* or *C. mundulus* were measured in all cases for benthic $\delta^{13}C$. Stable isotope results are reported relative to the Vienna Peedee belemnite (VPDB) standard. We use a carbon isotope vital effect for *G. ruber* (+0.94 ‰; Spero et al., 2003), *T. sacculifer*/*G. praebulloides* (+0.46 ‰; Spero et al., 2003; Al-Rousan et al., 2004;), *C. mundulus* (+0.47 ‰; McCorkle et al., 1997) and *C. wuellerstorfi* (+0.1 ‰;

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McCorkle et al., 1997) to calculate the $\delta^{13}C$ of dissolved inorganic carbon (DIC).

2.3 Carbon isotopes as a proxy for vertical ocean pH gradient

The use of $\delta^{13}C$ in foraminiferal calcite to estimate the surface to deep pH gradient requires knowledge of the slope of the pH-$\delta^{13}C$ relationship in the past. In this section we briefly outline the main factors that contribute to the pH-$\delta^{13}C$ relationship in order to underpin our analysis of extensive carbon cycle model simulations.

The production, sinking and sequestration into the ocean interior of low-$\delta^{13}C$ organic carbon via the soft-tissue component of the biological pump leads to a broad correlation between $\delta^{13}C$, $[CO_3^{2-}]$ and macronutrients in the ocean (e.g., Hain et al., 2014a). The remineralization of this organic matter decreases $\delta^{13}C$ and titrates $[CO_3^{2-}]$ thereby reducing pH, while nutrient concentrations are increased. In waters that have experienced more soft tissue remineralization both pH and $\delta^{13}C$ will be lower (Fig. 5a,b), and this is the dominant reason for the positive slope between $\delta^{13}C$ and pH in the modern ocean (e.g., Foster et al., 2012; Fig. 5c).

Another significant factor affecting the spatial distribution of both $\delta^{13}C$ and pH is seawater temperature, which affects both the equilibrium solubility of DIC and the equilibrium isotopic composition of DIC. Warmer ocean waters have decreased equilibrium solubility of DIC and so increased local $[CO_3^{2-}]$ and pH (Goodwin and Lauderdale, 2013), while warmer waters have relatively low equilibrium $\delta^{13}C$ values (Lynch-Stieglitz et al, 1995). This means that a spatial gradient in temperature acts to drive $\delta^{13}C$ and pH in opposite directions: warmer waters tend to have higher pH but lower $\delta^{13}C$. These opposing temperature effects act to reduce the pH difference between two points with greatly different temperature to below the value expected based on $\delta^{13}C$ alone. In other words, when using $\delta^{13}C$ differences to estimate the pH gradient between the warm low latitude surface and cold deep waters the appropriate ΔpH-$\Delta\delta^{13}C$ gradient will be less than expected when only considering the effect of organic carbon production, sinking and sequestration. For this reason, in our modeling analysis we focus on the warm-surface to cold-bottom $\Delta pH/\Delta\delta^{13}C$ rather than the slope of the overall pH-$\delta^{13}C$ relationship, with the latter expected to be greater than the former.

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In the modern ocean, and for the preceding tens of millions of years, the two dynamics described above are likely dominant in setting spatial variation in $\delta^{13}C$ and pH (and $[CO_3^{2-}]$). However, other processes will have a minor effect on either pH or $\delta^{13}C$. For instance, the dissolution of $CaCO_3$ shells increases $[CO_3^{2-}]$ and pH (Broecker and Peng, 1982), but does not significantly affect $\delta^{13}C$ (Zeebe and Wolf-Gladrow, 2001). Moreover, the long timescale of air/sea isotopic equilibration of CO_2 combined with kinetic isotope fractionation during net carbon transfer is an important factor in setting the distribution of $\delta^{13}C$ on a global ocean scale (Galbraith et al., 2015; Lynch-Stieglitz et al., 1995), while the effect of CO_2 disequilibrium on $[CO_3^{2-}]$ and pH is modest (Goodwin and Lauderdale, 2013).

2.4 Modelling the pH to $\delta^{13}C$ relationship

After correcting for the shift in $\delta^{13}C$ due to anthropogenic activity, or Suess effect (Keeling 1979), modern global ocean observations demonstrate a near-linear relationship between global ocean data of *in situ* seawater pH and $\delta^{13}C$ DIC with a slope of 0.201± 0.005 (2σ) (Foster et al., 2012; Fig 5c.) This empirically determined slope might well have been different in past oceans with very different nutrient cycling, carbon chemistry and circulation compared to today, and it does not appropriately represent the temperature effect described above (i.e., warm-surface to cold-bottom water $\Delta pH/\Delta\delta^{13}C$). Here we use an ensemble approach with two independent carbon cycle models to investigate changes in the $\Delta pH/\Delta\delta^{13}C$ regression. Below we provide pertinent information on the GENIE and CYCLOPS model experiments:

We use the Earth System model GENIE-1 (Edwards and Marsh, 2005; Ridgwell et al. 2007) to assess the robustness of the ΔpH-to-$\Delta\delta^{13}C$ relationship and its sensitivity to physical and biogeochemical ocean forcing. The configuration used here is closely related to that of Holden et al. (2013), in which the controls on oceanic $\delta^{13}C$ distribution were assessed, with an energy and moisture balance in the atmosphere, simple representations of land vegetation and sea ice, and frictional geostrophic ocean physics. In each of 16 vertical levels in the ocean, increasing in thickness with depth, there are 36x36 grid cells (10° in longitude and nominally 5° in latitude, with

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higher resolution at low latitudes). Modern ocean bathymetry and land topography is applied in all simulations. The ocean biogeochemical scheme (Ridgwell et al. 2007) is based on conversion of DIC to organic carbon associated with phosphate uptake with fixed P:C:O stoichiometry. Organic carbon and nutrients are remineralized according to a remineralization profile with a pre-defined *e*-folding depth scale. This depth scale, as well as the rain ratio of inorganic to organic carbon in sinking particulate matter, is among the parameters examined in the sensitivity study. In these simulations, there is no interaction with sediments. As a result of this, the steady state solutions reported here are reached within the 5000-year simulations, but they are not consistent with being in secular steady state with regard to the balance of continental weathering and ocean $CaCO_3$ burial.

The sensitivity study consists of seven sets of experiments, each varying a single model parameter relative to the control simulation with preindustrial atmospheric pCO_2. This enables us to assess which processes, if any, are capable of altering the oceanic relationship between ΔpH and $\Delta\delta^{13}C$ relationship, and the uncertainty in the predictive skill of this relationship due to spatial variability. These experiments are therefore exploratory in nature and intended to study plausible range rather than determine magnitude of past changes. The seven parameters varied are (1) the ocean alkalinity reservoir; (2) the ocean's carbon reservoir; (3) the parameter "S. Lim gas exchange" which blocks air-sea gas exchange south of the stated latitude, significant here because of the dependence of $\delta^{13}C$ on surface disequilibrium (Galbraith et al., 2015); (4) inorganic to organic carbon rain ratio, controlling the relationship between DIC and alkalinity distributions; (5) "Antarctic shelf FWF", a freshwater flux adjustment (always switched off in control experiments with GENIE) facilitating the formation of brine rich waters, which produces a high-salinity poorly-ventilated deep ocean at high values; (6) "Atlantic-Pacific FWF", a freshwater flux adjustment equivalent to freshwater hosing, leading to a shut-down of the Atlantic meridional overturning circulation at low values; (7) remineralization depth-scale of sinking organic matter, which affects the vertical gradient both of pH and $\delta^{13}C$. A wide range of parameter values is chosen for each parameter in order to exceed any plausible changes within the Cenozoic.

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For the second exploration of the controls on the slope of the ΔpH-$\Delta\delta^{13}C$ relationship we use the CYCLOPS biogeochemical 18-box model that includes a dynamical lysocline, a subantarctic zone surface box and a polar Antarctic zone box (Sigman et al., 1998; Hain et al., 2010, 2014b). The very large model ensemble with 13,500 individual model scenarios is designed to capture the full plausible range of (a) glacial/interglacial carbon cycle states by sampling the full solution space of Hain et al. (2010), and (b) reconstructed secular changes in seawater [Ca] (calcium concentration), carbonate compensation depth (CCD), weathering and atmospheric CO_2 (Table 1). The following seven model parameters are systematically sampled to set the 13,500 model scenarios: (1) shallow versus deep Atlantic meridional overturning circulation represented by modern reference north Atlantic deep water (NADW) versus peak glacial North Atlantic intermediate water (GNAIW) circulation; (2) iron-driven changes in nutrient drawdown in the subantarctic zone of the Southern Ocean; (3) changes in nutrient drawdown of the polar Antarctic; (4) changes in vertical exchange between the deep Southern Ocean and the polar Antarctic surface; (5) range in seawater [Ca] concentration from 1x to 1.5x modern as per reconstructions (Horita et al., 2002); (6) Pacific CCD is set to the range of 4.4-4.9 km via changes in the weathering flux, as per sedimentological evidence (Pälike et al., 2012); (7) atmospheric CO_2 is set from 200 ppm to 1000 ppm by changes in the 'weatherability' parameter of the silicate weathering mechanism. The ensemble spans predicted bulk ocean DIC between 1500 and 4500 μmol/kg, a wide range of ocean pH and $CaCO_3$ saturation states consistent with the open system weathering cycle, and widely different states of the oceanic biological pump. All 13,500 model scenarios are run for two million years after every single 'weatherability' adjustment, part of the CCD inversion algorithm, guaranteeing the specified CCD depth and steady state with regard to the balance of continental weathering and ocean $CaCO_3$ burial for the final solution (unlike the GENIE simulations $CaCO_3$ burial was entirely neglected due to computational cost of the long model integrations it would require). The inverse algorithm typically takes at least ten steps to conversion, resulting in ~300 billion simulated years for this ensemble. This range of modelling parameters was chosen to exceed the range of carbonate system and ocean circulation changes that can be expected for the Neogene based on records of [Ca] and [Mg] (Horita et

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al., 2002), CCD changes (Pälike et al., 2012), atmospheric CO_2 (Beerling and Royer, 2011) and records of glacial-interglacial circulation change (Curry and Oppo, 2005).

2.5 Assessing uncertainty

$\delta^{11}B_{sw}$ uncertainty was calculated using a Monte Carlo approach where pH was calculated for deep and surface waters at each time slice using a random sampling (n=10000) of the various input parameters within their respective uncertainties as represented by normal distributions. These uncertainties (2σ uncertainty in parentheses) are: temperature (± 2 °C), salinity (±2 units on the practical salinity scale) [Ca] (± 4.5 mmol/kg), [Mg], (± 4.5 mmol/kg), $\delta^{11}B_{planktic}$ (± 0.15-0.42 ‰) and $\delta^{11}B_{benthic}$ (± 0.21-0.61 ‰). For the estimate of the surface to sea floor pH gradient we use the central value of the ΔpH-to-$\Delta\delta^{13}C$ relationship diagnosed from our CYCLOPS and GENIE sensitivity experiments (i.e., 0.175/‰, see section 3.2 below) and then we assign a ± 0.05 uncertainty range with a uniform probability (rather than a normal distribution) to the resulting surface to sea floor ΔpH estimate (see also Table 2). Thus, the magnitude of this nominal uncertainty is equivalent to a 0.14/‰ to 0.21/‰ ΔpH/$\Delta\delta^{13}C$ uncertainty range that spans the vast majority of our CYCLOPS and GENIE simulations, and the prediction error (RMSE) of fitting a linear relationship to the GENIE pH and $\delta^{13}C$ output (see section 3.2 below). The uncertainty in the $\delta^{11}B$ measurements is calculated from the long-term reproducibility of Japanese Geological Survey *Porites* coral standard (JCP; $\delta^{11}B$=24.3‰) at the University of Southampton using the equations:

$$2\sigma = 2.25\exp^{-23.01[^{11}B]} + 0.28\exp^{-0.64[^{11}B]} \quad (4)$$

$$2\sigma = 33450\exp^{-168.2[^{11}B]} + 0.311\exp^{-1.477[^{11}B]} \quad (5)$$

where [^{11}B] is the intensity of ^{11}B signal in volts and equation (4) and equation (5) used with 10^{11} Ω and 10^{12} Ω resistors, respectively.

From the 10,000 Monte Carlo ensemble solutions of our 22 benthic-planktic pairs we construct 10,000 randomized records of $\delta^{11}B_{sw}$ as a function of time. Each of these randomized $\delta^{11}B_{sw}$ records are subjected to smoothing using the locally weighted scatterplot smoothing (LOWESS) algorithm with a smoothing parameter (span) of 0.7. The purpose of the smoothing is to put some controls on the rate at which the

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resulting individual Monte Carlo $\delta^{11}B_{sw}$ records are allowed to change, which in reality is limited by the seawater boron mass balance (~0.1 ‰ per million years; boron residence time is 11-17 million years; Lemarchand et al., 2000). Our choice of smoothing parameter allows for some of the individual Monte Carlo records to change as fast as ~1 ‰ per million years, although in reality the average rate of change is much smaller than this (see section 3.3). Consequently this method removes a significant amount of uncorrelated stochastic noise (resulting from the uncertainty in our input parameters) while not smoothing away the underlying signal. As a result of anomalously low $\delta^{11}B$ differences (< 1‰) between benthic and planktic pairs, two pairs at 8.68 Ma and 19 Ma were discarded from the smoothing. It may be possible that preservation is not so good within these intervals and the planktic foraminifera are affected by partial dissolution (Seki et al., 2010). The spread of the ensemble of smoothed $\delta^{11}B_{sw}$ curves represents the combination of the compounded, propagated uncertainties of the various inputs (i.e., Monte Carlo sampling) with the additional constraint of gradual $\delta^{11}B_{sw}$ change over geological time imposed by the inputs and outputs of boron to the ocean and the total boron inventory (i.e., the smoothing of individual Monte Carlo members. Various statistical properties (i.e., mean, median, standard deviation (σ), various quantiles) of this $\delta^{11}B_{sw}$ reconstruction were evaluated from the ensemble of smoothed $\delta^{11}B_{sw}$ records. Generally, for any given benthic-planktic pair the resulting $\delta^{11}B_{sw}$ estimates are not perfectly normally distributed and thus we use the median as the metric for the central tendency (i.e., placement of marker in Figure 10).

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3. Results and Discussion

3.1 $\delta^{11}B$ benthic and planktic data

Surface and deep-ocean, $\delta^{11}B$ broadly show a similar, but inverse, pattern to $\delta^{13}C$ and temperature throughout the Neogene (Fig. 6). The $\delta^{11}B$ benthic record decreases from ~15 ‰ at 24 Ma to a minimum of 13.28 ‰ at 14 Ma before increasing to ~17 ‰ at present day (Fig. 6). This pattern and the range of values in benthic foraminiferal $\delta^{11}B$ is in keeping with previously published Neogene $\delta^{11}B$ benthic records measured using NTIMS (Raitzsch and Hönisch, 2013), suggesting that our deep-water $\delta^{11}B$ record is representative of large scale pH changes in the global ocean. While the

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surface $\delta^{11}B_{planktic}$ remained relatively constant between 24 and 11 Ma at ~16 ‰, there is a significant increase in $\delta^{11}B$ between the middle Miocene and present (values increase to ~20 ‰) (Fig. 6b). The reconstructed surface water temperatures show a long-term decrease through the Neogene from ~28°C to 24°C, aside from during the Miocene Climatic Optimum (MCO) where maximum Neogene temperatures are reached (Fig. 6c). Following Cramer et al. (2011) deep-water temperatures decrease from ~12°C to 4°C at the present day and similarly show maximum temperatures in the MCO. Surface and deep-water $\delta^{13}C_{DIC}$ both broadly decrease through the Neogene and appear to covary on shorter timescales (Fig. 6e, f).

3.2 The relationship between $\delta^{13}C$ and pH gradients

In the global modern ocean data, after accounting for the anthropogenic carbon, the empirical relationship between *in situ* pH and DIC $\delta^{13}C$ is well described by a linear function with a slope of 0.201± 0.005 (2σ) (Fig. 5; Foster et al., 2012). However, this slope is only defined by surface waters in the North Atlantic due to a current lack of modern data where the impact of the Suess effect has been corrected (Olsen and Ninneman, 2010). Consequently we are not currently able to determine the slope between the warm-surface and cold-deep ocean in the modern ocean at our sites. Instead, here we use the two modeling experiments to define this slope. In the control GENIE experiment (green star; Fig. 7), the central value for the slope of the pH/$\delta^{13}C$ relationship is slightly greater than 0.2/‰ for the full 3D data regression (not shown) and about 0.175/‰ for the warm-surface-to-cold-deep ΔpH-to-$\Delta\delta^{13}C$ relationship (Fig. 7) – consistent with theory for the effect of temperature gradients (see section 2.3). For both ways of analysing the GENIE output the prediction uncertainty of the regressions, the root-mean-squared error (RMSE), is ~0.05/‰ under most conditions (open red circles in Fig. 7), with the exception of cases where large changes in either DIC or ALK yield somewhat larger changes in the relationship between pH and $\delta^{13}C$ (see below). In our CYCLOPS model ensemble, the central value of the slopes of the full 3D pH/$\delta^{13}C$ regressions and of the warm-surface-to-cold-deep ΔpH/$\Delta\delta^{13}C$ is 0.2047/‰ (1σ of 0.0196/‰; Fig.8a) and 0.1797/‰ (1σ of 0.0213/‰; Fig.8b), respectively. If we restrict our analysis of the CYCLOPS ensemble to only the

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Atlantic-basin warm-surface-to-cold-deep $\Delta pH/\Delta\delta^{13}C$, where most of our samples come from, we find a relationship of only 0.1655/‰ (1σ of 0.0192/‰; Fig.8c). That is, overall, we find near-perfect agreement between modern empirical data and our GENIE and CYCLOPS experiments. Encouraged by this agreement we select the warm-surface-to-cold-deep $\Delta pH/\Delta\delta^{13}C$ central value of 0.175/‰ to estimate the surface/sea floor pH difference from the planktic/benthic foraminifera $\delta^{13}C$ difference. To account for our ignorance as to the accurate value of $\Delta pH/\Delta\delta^{13}C$ in the modern ocean, its temporal changes over the course of the study interval and the inherent prediction error from using a linear ΔpH-to-$\Delta\delta^{13}C$ relationship, we assign a nominal uniform uncertainty range of ±0.05 around the central ΔpH estimate for the purpose of Monte Carlo uncertainty propagation. Our analysis also suggests that where surface-to-thermocline planktic/planktic gradients are employed, the plausible $\Delta pH/\Delta\delta^{13}C$ range should be significantly higher than applied here to account for the relatively lower temperature difference. Based on the appropriate $\Delta pH/\Delta\delta^{13}C$ relationship we reconstruct a time varying surface-to-deep pH gradient, which ranges between 0.14 and 0.35 pH units over our study interval (Fig. 9) and apply a flat uncertainty of ± 0.05. The reconstructed pH gradient remains broadly within the range of the modern values (0.19 to 0.3) although there is some evidence of multi-million year scale variability (Fig. 9).

As a caveat to our usage of the ΔpH-to-$\Delta\delta^{13}C$ relationship we point to changes of that relationship that arise in our GENIE sensitivity experiments where carbon and alkalinity inventories are manipulated, which can yield values outside of what is plausible. We note that our CYCLOPS ensemble samples a very much wider range of carbon and alkalinity inventories with $\Delta pH/\Delta\delta^{13}C$ remaining inside that range. While CYCLOPS simulates the balance between weathering and $CaCO_3$ burial, which is known to neutralize sudden carbon or alkalinity perturbations on timescales much less than one million years, the configuration used for our GENIE simulations does not and is therefore subject to states of ocean carbon chemistry that can safely be ruled out for our study interval and likely for most of the Phanerozoic. The differing outputs from CYCLOPS and GENIE in the DIC and ALK experiments shows that $\Delta pH/\Delta\delta^{13}C$ depends on background seawater acid/base chemistry, in ways that are not yet fully understood. That said, the generally coherent nature of our results confirms that we likely constrain the plausible range of $\Delta pH/\Delta\delta^{13}C$ for at least the

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549 Neogene, if not the entire Cenozoic, outside of extreme events such as the
550 Palaeocene-Eocene Thermal Maximum.

551

552 **3.3 $\delta^{11}B_{sw}$ record through the Neogene**

553 Using input parameter uncertainties as described in section 2.5 yields individual
554 Monte Carlo member $\delta^{11}B_{sw}$ estimates between 30 ‰ and 43.5 ‰ at the overall
555 extreme points and typically ranging by ~10 ‰ (dashed in Fig. 10a) for each time
556 point, suggesting that the uncertainties we assign to the various input parameters are
557 generous enough not to predetermine the quantitative outcomes. However, for each
558 planktic/benthic time point most individual Monte Carlo $\delta^{11}B_{sw}$ estimates fall into a
559 much narrower central range (~1 ‰ to 4 ‰; thick black line showing interquartile
560 range in Fig. 10a). The $\delta^{11}B_{sw}$ for Plio-Pleistocene time-points cluster around ~40 ‰
561 while middle/late Miocene values cluster around ~36.5 ‰. The estimates at
562 individual time points are completely independent from each other, such that the
563 observed clustering is strong evidence for an underlying long-term signal in our data,
564 albeit one that is obscured by the uncertainties involved in our individual $\delta^{11}B_{sw}$
565 estimates. The same long-term signal is also evident when pooling the individual
566 Monte Carlo member $\delta^{11}B_{sw}$ estimates into 8 million year bins and evaluating the
567 mean and spread (2σ) in each bin (Fig. 10b). This simple treatment highlights that
568 there is a significant difference between our Plio-Pleistocene and middle Miocene
569 data bins at the 95% confidence level and that $\delta^{11}B_{sw}$ appears to also have been
570 significantly lower than modern during the early Miocene.

571 **3.3.1 Data smoothing**

572 The ~1 to 4 ‰ likely ranges for $\delta^{11}B_{sw}$ would seem to be rather disappointing given
573 the goal to constrain $\delta^{11}B_{sw}$ for pH reconstructions. However, most of that uncertainty
574 is stochastic, random error that is uncorrelated from time point to time point.
575 Furthermore, we know from mass balance considerations that $\delta^{11}B_{sw}$ of seawater
576 should not change by more than ~0.1 ‰ per million years (Lemarchand et al., 2000),
577 because of the size of the oceanic boron reservoir compared the inputs and outputs
578 (see Fig. 1), and we use this as an additional constraint via the LOWESS smoothing
579 we apply to each Monte Carlo time series. One consideration is that every individual

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Monte Carlo $\delta^{11}B_{sw}$ estimate is equally likely and the smoothing should therefore target randomly selected individual Monte Carlo $\delta^{11}B_{sw}$ estimates, as we do here, rather than smoothing over the likely ranges identified for each time point. In this way the smoothing becomes integral part of our Monte Carlo uncertainty propagation and the spread among the 10,000 individual smoothed $\delta^{11}B_{sw}$ curves carries the full representation of propagated input uncertainty conditional on the boron cycle mass balance constraint. A second consideration is that the smoothing should only remove noise, not underlying signal. As detailed above, for this reason the smoothing parameter we choose has enough freedom to allow the $\delta^{11}B_{sw}$ change to be dictated by the data, with only the most extreme shifts in $\delta^{11}B_{sw}$ removed. We also tested the robustness of the smoothing procedure itself (not shown) and found only marginal changes when changing algorithm (LOESS versus LOWESS, with and without robust option) or when reducing the amount of smoothing (i.e., increasing the allowed rate $\delta^{11}B_{sw}$ change). The robustness of our smoothing is further underscored by the good correspondence with the results of simple data binning (Fig.10b).

3.4 Comparison to other $\delta^{11}B_{sw}$ records

The comparison of our new $\delta^{11}B_{sw}$ record to those previously published reveals that despite the differences in methodology the general trends in the records show excellent agreement. The most dominant common feature of all the existing estimates of Neogene $\delta^{11}B_{sw}$ evolution is an increase through time from the middle Miocene to the Plio-Pleistocene (Fig. 11). While the model-based $\delta^{11}B_{sw}$ record of Lemarchand et al. (2000) is defined by a monotonous and very steady rise over the entire study interval, all three measurement-based records, including our own, are characterized by a single dominant phase of increase between roughly 12 and 5 Ma. Strikingly, the Pearson and Palmer (2000) record falls almost entirely within our 95% likelihood envelope, overall displaying very similar patterns of long-term change but with a relatively muted amplitude and overall rate of change relative to our reconstruction. Conversely, some of the second-order variations in the reconstruction by Raitzsch and Hönisch (2013) are not well matched by our reconstruction, but the dominant episode of rapid $\delta^{11}B_{sw}$ rise following the middle Miocene is in almost perfect

agreement. We are encouraged by these agreements resulting from approaches based on very different underlying assumptions and techniques, which we take as indication for an emerging consensus view of $\delta^{11}B_{sw}$ evolution over the last 25 Ma and as a pathway towards reconstructing $\delta^{11}B_{sw}$ further back in time. Below we discuss in more detail the remaining discrepancies between our new and previously existing $\delta^{11}B_{sw}$ reconstructions.

The record by Pearson and Palmer (2000) is well correlated to our reconstruction, but especialy during the early Miocene there is a notable ~0.5 ‰ offset (Fig. 11). This discrepancy could be due to a number of factors. Firstly, the applicability of this $\delta^{11}B_{sw}$ record (derived from $\delta^{11}B$ data measured using NTIMS) to $\delta^{11}B$ records generated using the MC-ICPMS is uncertain (Foster et al., 2013). In addition, this $\delta^{11}B_{sw}$ record is determined using a fractionation factor of 1.0194 (Kakihana et al., 1977), whereas recent experimental data have shown the value to be higher (1.0272 ± 0.0006, Klochko et al., 2006), although foraminiferal vital effects are likely to mute this discrepancy. Thirdly, given our understanding of the $\delta^{11}B$ difference between species/size fractions (Foster, 2008; Henehan et al., 2013), the mixed species and size fractions used to make the $\delta^{11}B$ measurements in that study may have introduced some additional uncertainty in the reconstructed $\delta^{11}B_{sw}$. Conversely, there is substantial spread between our three time points during the earliest Miocene which combined with the edge effect of the smoothing gives rise to a widening uncertainty envelope during the time of greatest disagreement with Pearson and Palmer (2000). This could be taken as indication that our reconstruction, rather than that of Pearson and Palmer, is biased during the early Miocene.

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The $\delta^{11}B_{sw}$ record calculated using benthic $\delta^{11}B$ and assumed deep ocean pH changes (Raitzsch and Hönisch, 2013) is also rather similar to our $\delta^{11}B_{sw}$ reconstruction. The discrepancy between the two records in the early Miocene could plausibly be explained by bias in our record (see above) or may in part be as a result of the treatment of surface water pH in the study of Raitzsch and Hönisch (2013) and their assumption of constant surface-deep pH gradient (see Fig 9). The combined output from two carbon cycle box models is used to make the assumption that surface ocean pH near-linearly increased by 0.39 over the last 50 Myrs. The first source of surface

water pH estimates is from the study of Ridgwell et al. (2005), where CO_2 proxy data including some derived using the boron isotope-pH proxy is used, leading to some circularity in the methodology. The second source of surface water pH estimates is from Tyrrell & Zeebe (2004) and based on GEOCARB where the circularity problem does not apply. While this linear pH increase broadly matches the CO_2 decline from proxy records between the middle Miocene and present, it is at odds with the CO_2 proxy data during the early Miocene that show CO_2 was lower than the middle Miocene during this interval (Beerling and Royer, 2011). Consequently the proxy CO_2 and surface water pH estimates may not be well described by the linear change in pH applied by Raitzsch and Hönisch (2013) across this interval, potentially contributing to the discrepancy between our respective $\delta^{11}B_{sw}$ reconstructions.

Our new $\delta^{11}B_{sw}$ record falls within the broad uncertainty envelope of boron mass balance calculations of Lemarchand et al. (2000), but those modelled values do not show the same level of multi-million year variability of either Raitzsch and Hönisch (2013) or our new record, therefore suggesting that the model does not fully account for aspects of the changes in the ocean inputs and outputs of boron through time on timescales less than ~10 million years.

In line with the conclusions of previous studies (e.g., Raitzsch and Hönisch, 2013), our data show that the $\delta^{11}B_{sw}$ signal in the fluid inclusions (Paris et al., 2010) is most likely a combination of the $\delta^{11}B_{sw}$ and some other factor such as a poorly constrained fractionation factor between the seawater and the halite. Brine-halite fractionation offsets of -20‰ to -30‰ and -5‰ are reported from laboratory and natural environments (Vengosh et al., 1992; Liu et al., 2000). These fractionations and riverine input during basin isolation will drive the evaporite-hosted boron to low-$\delta^{11}B$ isotope values such that the fluid inclusion record likely provides a lower limit for the $\delta^{11}B_{sw}$ through time (i.e. $\delta^{11}B_{sw}$ is heavier than the halite fluid inclusions of Paris et al. (2010)). For this halite record to be interpreted directly as $\delta^{11}B_{sw}$, a better understanding of the factor(s) controlling the fractionation during halite formation and any appropriate correction need to be better constrained.

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3.5 Common controls on the seawater isotopic ratios of B, Mg, Ca and Li

Our new record of $\delta^{11}B_{sw}$ has some substantial similarities to secular change seen in other marine stable isotope records (Fig. 12). The lithium isotopic composition of seawater ($\delta^{7}Li_{sw}$; Misra and Froelich, 2012) and the calcium isotopic composition of seawater as recorded in marine barites ($\delta^{44/40}Ca_{sw}$;Griffith et al., 2008) both increase through the Neogene, whereas the magnesium isotopic composition of seawater ($\delta^{26}Mg_{sw}$) decreases (Pogge von Strandmann et al., 2014) suggesting a similar control on the isotopic composition of all four elements across this time interval (Fig. 12). To further evaluate the correlation between these other marine isotope records and $\delta^{11}B_{sw}$, we interpolate and cross-plot $\delta^{11}B_{sw}$ and the $\delta^{7}Li_{sw}$, $\delta^{44/40}Ca_{sw}$ and $\delta^{26}Mg_{sw}$ records. This analysis suggests that the isotopic composition of $\delta^{11}B_{sw}$, $\delta^{7}Li_{sw}$, $\delta^{26}Mg_{sw}$ and $\delta^{44/40}Ca_{sw}$ are well correlated through the Neogene, although there is some scatter in these relationships (Fig. 13). Although the Sr isotope record shows a similar increase during the Neogene (Hodell et al., 1991), we focus our discussion on $\delta^{11}B_{sw}$, $\delta^{7}Li_{sw}$, $\delta^{26}Mg_{sw}$ and $\delta^{44/40}Ca_{sw}$ given that the factors fractionating these stable isotopic systems are similar (see below).

To better constrain the controls on $\delta^{11}B_{sw}$, $\delta^{7}Li_{sw}$, $\delta^{26}Mg_{sw}$ and $\delta^{44/40}Ca_{sw}$ it is instructive to compare the size and isotopic composition of the fluxes of boron, lithium, calcium and magnesium to the ocean (Table 3). The major flux of boron into the ocean is via riverine input (Lemarchand et al., 2000), although some studies suggest that atmospheric input may also play an important role (Park and Schlesinger, 2002). The loss terms are dominated by adsorption onto clays and the alteration of oceanic crust (Spivack and Edmond, 1987; Smith et al., 1995). Similarly, the primary inputs of lithium into the ocean come from hydrothermal sources and riverine input and the main outputs are ocean crust alteration and adsorption onto sediments (Misra and Froelich, 2012). The three dominant controls on magnesium concentration and isotope ratio in the oceans is the riverine input, ocean crust alteration and dolomitization (Table 3) (Tipper et al., 2006b). The main controls on the amount of calcium in the modern ocean and its isotopic composition is the balance between riverine and hydrothermal inputs and removal through $CaCO_3$ deposition and alteration of oceanic crust (Fantle and Tipper, 2014, Griffith et al., 2008). Dolomitization has also been cited as playing a potential role in controlling

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$\delta^{44/40}Ca_{sw}$, although the contribution of this process through time is poorly constrained (Griffith et al., 2008).

Analysis of the oceanic fluxes of all four ions suggests that riverine input may be an important factor influencing the changing isotopic composition of B, Li, Ca and Mg over the late Neogene (Table 3). In the case of all four elements, a combination of the isotopic ratio of the source rock and isotopic fractionation during weathering processes are typically invoked to explain the isotopic composition of a particular river system. However, in most cases the isotopic composition of the source rock is found to be of secondary importance (Rose et al., 2000; Kısakűrek et al., 2005; Tipper et al., 2006b; Millot et al., 2010). For instance, the $\delta^{11}B$ composition of rivers is primarily dependent on isotopic fractionation during the reaction of water with silicate rocks and to a lesser extent the isotopic composition of the source rock (i.e. the proportion of evaporites and silicate rocks; Rose et al., 2000). While some studies have suggested that the isotopic composition of rainfall within the catchment area may be an important factor controlling the $\delta^{11}B$ in rivers (Rose-Koga et al., 2006), other studies have shown atmospheric boron to be a secondary control on riverine boron isotope composition (Lemarchand and Gaillardet, 2006). The source rock also appears to have limited influence on the $\delta^{7}Li$ composition of rivers and riverine $\delta^{7}Li$ varies primarily with weathering intensity (Kısakűrek et al., 2005; Millot et al., 2010). The riverine input of calcium to the oceans is controlled by the composition of the primary continental crust (dominated by carbonate weathering) and a recycled component, although the relative influence of these two processes is not well understood (Tipper et al., 2006a). In addition, vegetation may also play a significant role in the $\delta^{44/40}Ca$ of rivers (Fantle and Tipper, 2014). For Mg, the isotopic composition of the source rock is important for small rivers, however, lithology is of limited significance at a global scale in comparison to fractionation in the weathering environment (Tipper et al., 2006b). Given the lack of evidence of source rock as a dominant control on the isotopic composition of rivers, here we focus on some of the possible causes for changes in the isotopic composition and/or flux of riverine input over the Neogene.

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In this regard, of the four elements discussed here, the Li isotopic system is the most extensively studied. Indeed, the change in $\delta^{7}Li_{sw}$ has already been attributed to an

746 increase in the δ^7Li_{sw} composition of the riverine input (Hathorne and James, 2006;
747 Misra and Froelich, 2012). The causes of the shift in δ^7Li riverine have been variably
748 attributed to: (1) an increase in incongruent weathering of silicate rocks and
749 secondary clay formation as a consequence of Himalayan uplift (Misra and Froelich,
750 2012; Li and West, 2014), (2) a reduction in weathering intensity (Hathorne and
751 James, 2006; Froelich and Misra, 2014; Wanner et al., 2014), (3) an increase in
752 silicate weathering rate (Liu et al., 2015), 4) an increase in the formation of
753 floodplains and the increased formation of secondary minerals (Pogge von
754 Strandmann and Henderson, 2014) and (5) a climatic control on soil production rates
755 (Vigier and Godderis, 2015). In all five cases the lighter isotope of Li is retained on
756 land in clay and secondary minerals. A mechanism associated with either an increase
757 in secondary mineral formation or the retention of these minerals on land is also
758 consistent across Mg, Ca and B isotope systems. For instance, clay minerals are
759 preferentially enriched in the light isotope of B (Spivack and Edmond, 1987; Deyhle
760 and Kopf, 2004; Lemarchand and Gaillardet, 2006) and Li (Pistiner and Henderson,
761 2003) and soil carbonates and clays are preferentially enriched in the light isotope of
762 Ca (Tipper et al., 2006a; Hindshaw et al., 2013; Ockert et al., 2013). The formation of
763 secondary silicate minerals, such as clays, is assumed to preferentially take up the
764 heavy Mg isotope into the solid phase (Tipper et al., 2006a; Tipper et al., 2006b;
765 Pogge von Strandmann et al., 2008; Wimpenny et al., 2014), adequately explaining
766 the inverse relationship between $\delta^{11}B_{sw}$ and $\delta^{26}Mg_{sw}$. Consequently the increased
767 formation or retention on land of secondary minerals would alter the isotopic
768 composition of the riverine input to the ocean in the correct direction to explain the
769 trends in all four isotope systems through the late Neogene (Fig. 13). While the
770 relationships between the different isotope systems discussed here suggest a common
771 control, the influence of carbonate and dolomite formation on Ca and Mg isotopes are
772 also likely to have played a significant role in the evolution of these isotope systems
773 (Tipper et al., 2006b; Fantle and Tipper, 2014). Consequently a future model of
774 seawater chemistry evolution through the Neogene must also include these additional
775 factors. Further exploration is also needed to determine the influence of residence
776 time on the evolution of ocean chemistry. Nonetheless, given the similarities between
777 the geochemical cycles of B and Li, and despite the large difference in residence time

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(Li = 1 million years, B = 11-17 million years), the correlation between these two records is compelling and would no doubt benefit from additional study.

4 Conclusions

Here we present a new $\delta^{11}B_{sw}$ record for the Neogene based on paired planktic-benthic $\delta^{11}B$ measurements. Our new record suggests that $\delta^{11}B_{sw}$ (i) was ~ 37.5 ‰ at the Oligocene-Miocene boundary, (ii) remained low through the middle Miocene, (iii) rapidly increased to the modern value between 12 and 5 Ma, and (iv) plateaued at modern values over the Plio-Pleistocene. Despite some disagreements, and different uncertainties associated with each approach, the fact that our new record, and both of the published data based reconstructions capture the first-order late Miocene $\delta^{11}B_{sw}$ rise suggests that consensus is building for the $\delta^{11}B_{sw}$ evolution through the Neogene. This emerging view on $\delta^{11}B_{sw}$ change provides a vital constraint required to quantitatively reconstruct Neogene ocean pH, ocean carbon chemistry and atmospheric CO_2 using the $\delta^{11}B$-pH proxy. When our new $\delta^{11}B_{sw}$ record is compared to changes in the seawater isotopic composition of Li, Ca and Mg the shape of the records across the Neogene is remarkably similar. For all four systems, riverine input is cited a common and key control of the isotopic composition of the respective elements in seawater. When we compare the isotopic fractionation of the elements associated with secondary mineral formation, the trends in the $\delta^{26}Mg_{sw}$, $\delta^{44/40}Ca_{sw}$ $\delta^{11}B_{sw}$ and $\delta^{7}Li_{sw}$ records are all consistent with an increase in secondary mineral formation through time. While a more quantitative treatment of these multiple stable isotope systems is required, the $\delta^{11}B_{sw}$ record presented here provides additional constraints on the processes responsible for the evolution of ocean chemistry through time.

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Figure Captions:

Figure 1: The oceanic boron cycle. Fluxes are from Lemarchand et al. (2000) and Park and Schlesinger (2002). Isotopic compositions are from Lemarchand et al. (2000), Foster et al., (2010) and references therein.

Figure 2: A compilation of published $\delta^{11}B_{sw}$ records. Seawater composition reconstructed from foraminifera depth profiles (light blue squares and dark blue cross) from Pearson and Palmer (2000) and Foster et al. (2012) respectively, numerical modelling (green line), with additional green lines shows ± 1 ‰ confidence interval (Lemarchand et al., 2000), benthic $\delta^{11}B$ (purple diamonds and dark purple line showing 5pt moving average is using the fractionation factor of Klochko et al., 2006, light purple line showing 5pt moving average using an empirical calibration) from Raitzsch and Hönisch (2013), and halites (orange crosses)

from Paris et al. (2010). The orange crosses in brackets were discarded from the original study.

Figure 3: Schematic diagram showing the change in pH gradient with a 3‰ change in $\delta^{11}B$ for $\delta^{11}B_{sw}$ of a) 39.6‰ and b) 37.5‰. Arrows highlight the different pH gradients. Note how a $\delta^{11}B$ difference of 3 ‰ is translated into different pH gradients depending on the $\delta^{11}B_{sw}$. Calculated using B_T= 432.6 µmol/kg (Lee et al., 2010) and α_B= 1.0272 (Klochko et al., 2006). (c) The pH change for a $\delta^{11}B$ change of 3 ‰ at a range of different $\delta^{11}B_{sw}$.

Figure 4: Map of study sites and mean annual air-sea disequilibria with respect to pCO_2. The black dots indicate the location of the sites used in this study. ODP Sites 758, 999, 926 and 761 used in this study are highlighted with water depth. Data are from (Takahashi et al., 2009) plotted using ODV (Schlitzer, 2016).

Figure 5: Latitudinal cross-section through the Atlantic showing (a) pH variations; (b) the $\delta^{13}C$ composition. Data are plotted using Ocean Data View (Schlitzer 2016). pH data are from the CARINA dataset (CARINA group, 2009) and the $\delta^{13}C$ data are from the GLODAP data compilation (Key et al., 2004); (c) pH and $\delta^{13}C_{DIC}$ relationships in the modern ocean adapted from Foster et al., (2012). Data are from all the ocean basins spanning approximately 40°N to 40°S. Because of anthropogenic acidification and the Suess effect only data from >1500 m are plotted. Also included in the plot are the data from a transect in the North Atlantic (from 0 to 5000 m) where the effects of anthropogenic perturbation on both parameters have been corrected (Olsen and Ninneman, 2010).

Figure 6: $\delta^{11}B_{planktic}$, temperature and $\delta^{13}C_{DIC}$ estimates for the surface and deep ocean through the last 23 million years. (a) $\delta^{11}B_{planktic}$ surface; (b) $\delta^{11}B_{borate}$ deep from benthic foraminifera (blue) from this study and (green) Raitzsch and Hönisch, (2013). The error bars show the analytical external reproducibility at 95% confidence for this study. For the Raitzch & Hönisch (2013) data the error bars represent propagated uncertainties of external reproducibilities of time equivalent benthic foraminifer samples from different core sites in different ocean basins; (c) Mg/Ca based temperature reconstructions of surface dwelling planktic foraminifera; (d) Deep water temperature estimates from Cramer et al. (2011); (e) $\delta^{13}C_{DIC}$ surface record; (f) $\delta^{13}C_{DIC}$ benthic record. Squares depict ODP Site 999, triangles are ODP Site 758,

diamonds are ODP Site 926, circles are ODP Site 761. Species are highlighted by colour: Orange are *T. trilobus*, purple *G. ruber*, pink *G. praebulloides*, dark blue *Cibicidoides wuellerstorfi* and light blue *Cibicidoides mundulus*. The two benthic-planktic pairs that were removed prior to smoothing are highlighted with arrows.

Figure 7: The output from GENIE sensitivity analysis showing the warm-surface-to-cold-deep ΔpH-to-$\Delta\delta^{13}C$ relationship. A pre-industrial model setup was taken and perturbations were made to alkalinity inventory, carbon inventory, Antarctic shelf fresh water flux (Sv), Atlantic-Pacific freshwater flux, S. Lim gas exchange (blocks air-sea gas exchange south of the stated latitude), remineralisation depth scale (m) and rain ratio – as described in the methods section. Blue circles depict the ΔpH-to-$\Delta\delta^{13}C$ relationship (where the colours reflect the CO_2 level of each experiment) and red open circles show the root mean square of the regression (RMSE). The green stars are the ΔpH-to-$\Delta\delta^{13}C$ relationship for the control experiment conducted at 292.67 ppm CO_2. The green (open) points show the RMSE for this control run. Inventories are dimensionless (1 is control). For the Atlantic-Pacific FWF 1 is equivalent to 0.32 Sv. The alkalinity and carbon inventory experiments are very extreme and inconsistent with geologic evidence.

Figure 8: The output from sensitivity analysis of the relationship between pH gradient and $\delta^{13}C$ gradient from the 13500 run CYCLOPS ensemble (see text for model details). Panel (a) shows the mean gradient when the result from all 18 ocean boxes are included in the regression. Panel (b) shows only the boxes from the low latitude ocean from all basins and (c) shows the regression from only North Atlantic low latitude boxes. Note the lower $\Delta pH/\Delta\delta^{11}B$ slope at the lower latitudes due to the effect of temperature. The 0.201 line in each panel is the mean gradient when all the ocean boxes are included in the regression.

Figure 9: The pH gradient between surface and deep through time calculated from the $\delta^{13}C$ gradient and using a flat probability derived from the low latitude ensemble regressions from the CYCLOPS model. The modern pH gradients at each site are also plotted.

Figure 10: The calculated $\delta^{11}B_{sw}$ from the benthic-planktic $\delta^{11}B$ pairs using a pH gradient derived from $\delta^{13}C$. The uncertainty on each data point is determined using a Monte Carlo approach including uncertainties in temperature, salinity, $\delta^{11}B$ and the

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pH gradient (see text for details). Data are plotted as box and whisker diagrams where the median and interquartile range as plotted in the box and whiskers show the maximum and minimum output from the Monte Carlo simulations. The line of best fit is the probability maximum of a LOWESS fit given the uncertainty in the calculated $\delta^{11}B_{sw}$. The darker shaded area highlights the 68% confidence interval and the lighter interval highlights the 95% confidence interval. The bottom panel shows box plots of the mean and 2 standard error (s.e.) of 'binning' the individual $\delta^{11}B_{sw}$ measurements into 8 Myr intervals. The middle line is the mean and the box shows the 2 s.e. of the data points in that bin. The smoothed record is also plotted for comparison where the line of best fit is the probability maximum of a LOWESS fit given the uncertainty in the calculated $\delta^{11}B_{sw}$. The darker shaded area highlights the 68% confidence interval and the lighter interval highlights the 95% confidence interval. The black dot is the modern value of 39.61 ‰ (Foster et al., 2010).

Figure 11: The $\delta^{11}B_{sw}$ curve calculated using the variable pH gradient derived from $\delta^{13}C$. The median (red line), 68% (dark red band) and 95% (light red band) confidence intervals are plotted. Plotted with a compilation of published $\delta^{11}B_{sw}$ records. Seawater composition reconstructed from foraminifera depth profiles (light blue squares and dark blue cross) from Pearson and Palmer (2000) and Foster et al. (2012) respectively, numerical modelling (green line), with additional green lines shows ± 1 ‰ confidence interval (Lemarchand et al., 2000) and benthic $\delta^{11}B$ (purple diamonds and dark purple line showing 5pt moving average is using the fractionation factor of Klochko et al., 2006, light purple line showing 5pt moving average using an empirical calibration) from Raitzsch and Hönisch (2013). All the published $\delta^{11}B_{sw}$ curves are adjusted so that at t=0, the isotopic composition is equal to the modern (39.61 ‰).

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Figure 12: a) The $\delta^{11}B_{sw}$ curve from this study plotted with other trace element isotopic records. On the $\delta^{11}B_{sw}$ panel the darker shaded area highlights the 68% confidence interval and the lighter interval highlights the 95% confidence interval), $\delta^{26}Mg_{sw}$ record from Pogge von Strandmann et al. (2014) (error bars are ± 0.28 ‰ and include analytical uncertainty and scatter due to the spread in modern *O. universa* and the offset between the two analysed species), $\delta^{44/40}Ca_{sw}$ record from Griffith et al. (2008) (error bars show 2 σ uncertainty) and $\delta^{7}Li_{sw}$ record from Misra and Froelich

(2012) (error bars show 2 σ uncertainty). Blue dashed lines show middle Miocene values, red dashed lines highlight the modern.

Figure 13: Crossplots of the records of $\delta^{11}B_{sw}$ using a variable pH gradient derived from $\delta^{13}C$ (error bars show 2 σ uncertainty) with $\delta^{44/40}Ca_{sw}$ from Griffith et al. (2008) (error bars show 2 σ uncertainty), $\delta^{7}Li_{sw}$ from Misra and Froelich (2012) (error bars show 2 σ uncertainty) and $\delta^{26}Mg_{sw}$ from Pogge von Strandmann et al. (2014) (error bars are ± 0.28 ‰ and include analytical uncertainty and scatter due to the spread in modern *O. universa* and the offset between the two analysed species). The colour of the data points highlights the age of the data points where red = modern and blue = 23 Ma.

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Table 1: CYCLOPS model parameter values defining the ensemble of 13,500 simulations.

Table 2: Uncertainty inputs into the Monte Carlo simulations to calculate $\delta^{11}B$. The sources of uncertainty are also added. All uncertainty estimates are 2σ.

Table 3: The average $\delta^{11}B$, $\delta^{26}Mg$, $\delta^{44/40}Ca$ and $\delta^{7}Li$ composition of major fluxes into and out of the ocean. Colour coding reflects the relative importance of each the processes (darker shading reflects greater importance). The colour coding for boron is based on Lemarchand et al. (2000) and references therein, lithium from Misra and Froelich (2012) and references therein, magnesium from Tipper et al. (2006b) and calcium from Fantle and Tipper (2014) and Griffin et al. (2008) and references therein. The isotopic ratio of each process is: (a) Lemarchand et al. (2000) and references therein; b) Misra and Froelich (2012) and references therein; (c) Burton and Vigier (2012); (d) Tipper et al. (2006b); e) Wombacher et al. (2011); f) includes dolomitisation; g) removal through hydrothermal activity; h) Griffith et al. (2008); i) Fantle and Tipper (2014) and references therein; j) dolomitisation may be an important component of the carbonate flux. Modern $\delta^{26}Mg_{sw}$ and $\delta^{11}B_{sw}$ from Foster et al. (2010), $\delta^{7}Li_{sw}$ from Tomascak (2004). The $\delta^{44/40}Ca$ presented here was measured relative to seawater and hence seawater has a $\delta^{44/40}Ca_{sw}$ of 0 permil by definition.

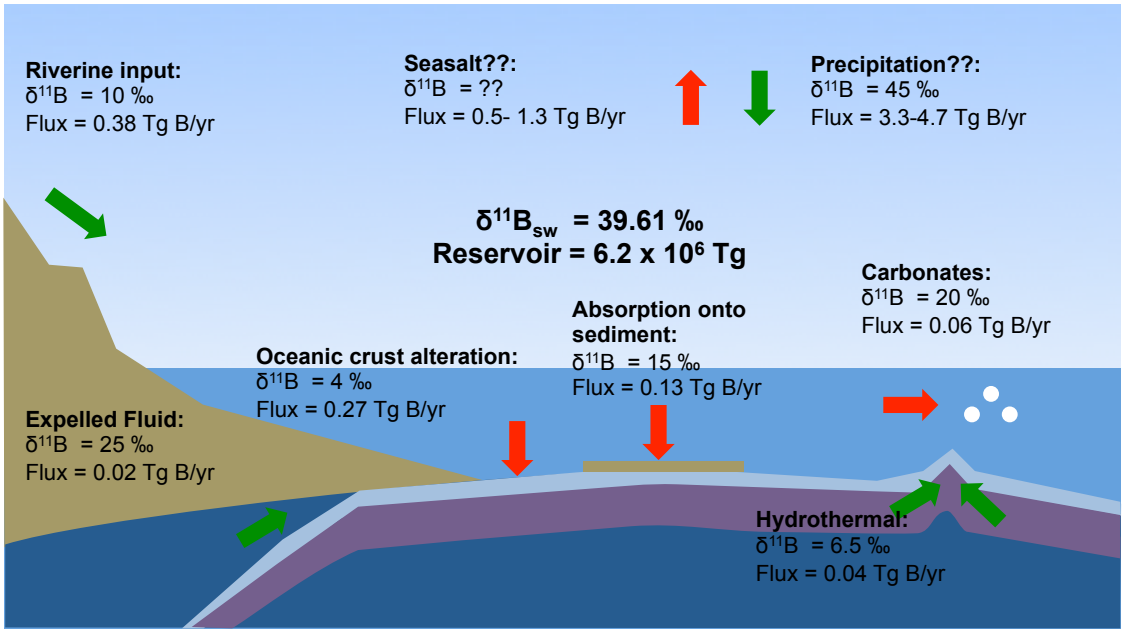
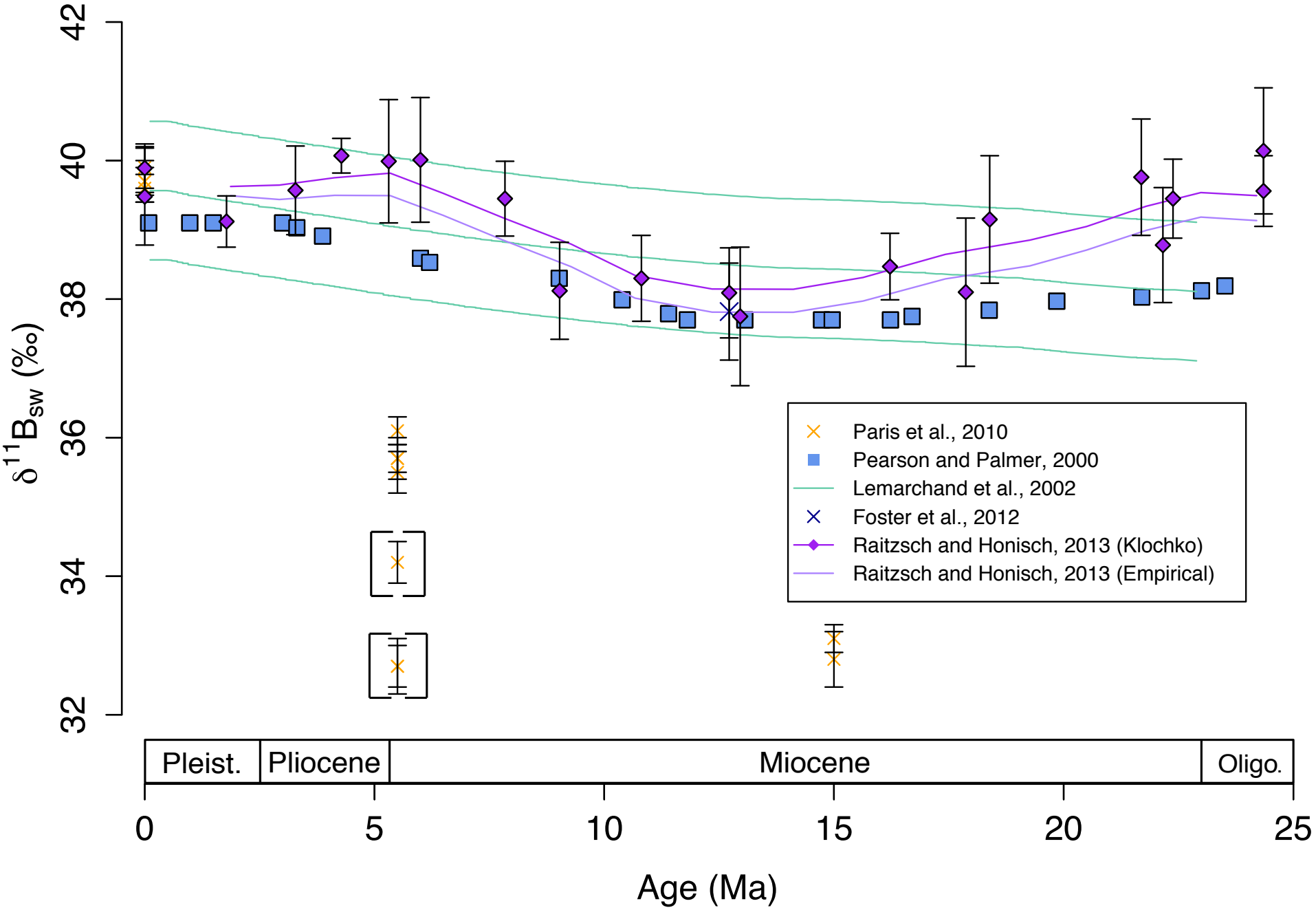

Riverine input:
δ11B = 10 ‰
Flux = 0.38 Tg B/yr
Seasalt??:
δ11B = ??
Flux = 0.5- 1.3 Tg B/yr
Precipitation??:
δ11B = 45 ‰
Flux = 3.3-4.7 Tg B/yr
δ11Bsw = 39.61 ‰
Reservoir = 6.2 x 10^6 Tg
Carbonates:
δ11B = 20 ‰
Flux = 0.06 Tg B/yr
Absorption onto sediment:
δ11B = 15 ‰
Flux = 0.13 Tg B/yr
Oceanic crust alteration:
δ11B = 4 ‰
Flux = 0.27 Tg B/yr
Expelled Fluid:
δ11B = 25 ‰
Flux = 0.02 Tg B/yr
Hydrothermal:
δ11B = 6.5 ‰
Flux = 0.04 Tg B/yr

Figure 1

Figure 2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34
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δ11BSW (‰)
Paris et al., 2010
Pearson and Palmer, 2000
Lemarchand et al., 2002
Foster et al., 2012
Raitzsch and Honisch, 2013 (Klochko)
Raitzsch and Honisch, 2013 (Empirical)
Pleist.
Pliocene
Miocene
Oligo.
0
5
10
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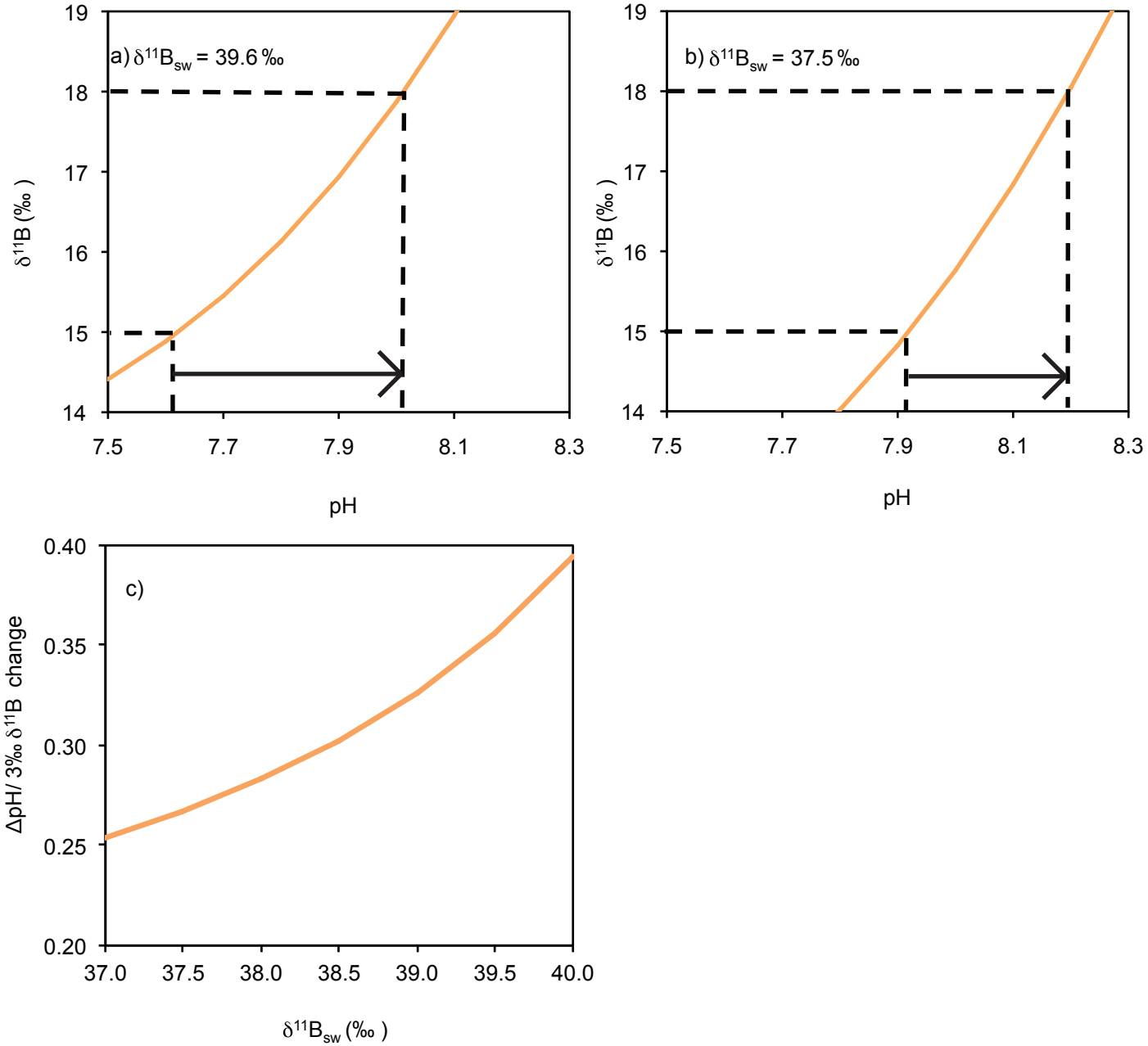
20
25
Age (Ma)


a) δ11Bsw = 39.6 ‰
b) δ11Bsw = 37.5 ‰
δ11B (‰)
pH
19
18
17
16
15
14
7.5
7.7
7.9
8.1
8.3
c)
ΔpH/ 3‰ δ11B change
δ11Bsw (‰)
0.40
0.35
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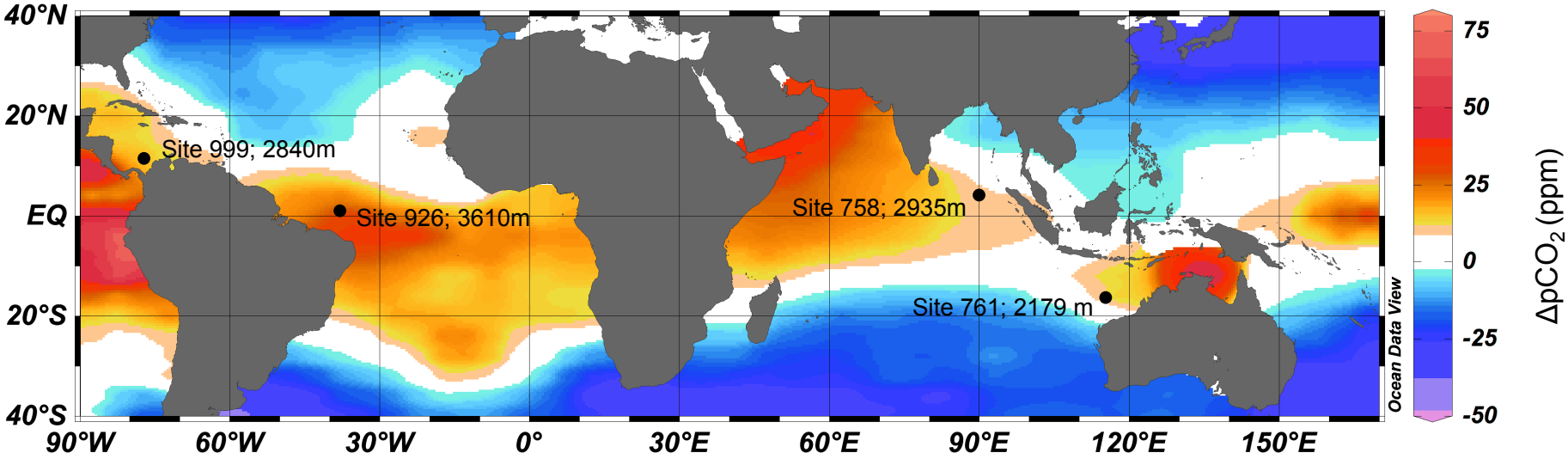
0.20
37.0
37.5
38.0
38.5
39.0
39.5
40.0

Figure 3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30°W
0°
30°E
60°E
90°E
120°E
150°E
Site 999; 2840m
Site 926; 3610m
Site 758; 2935m
Site 761; 2179 m
Ocean Data View
75
50
25
0
-25
-50
ΔpCO2 (ppm)

Figure 4

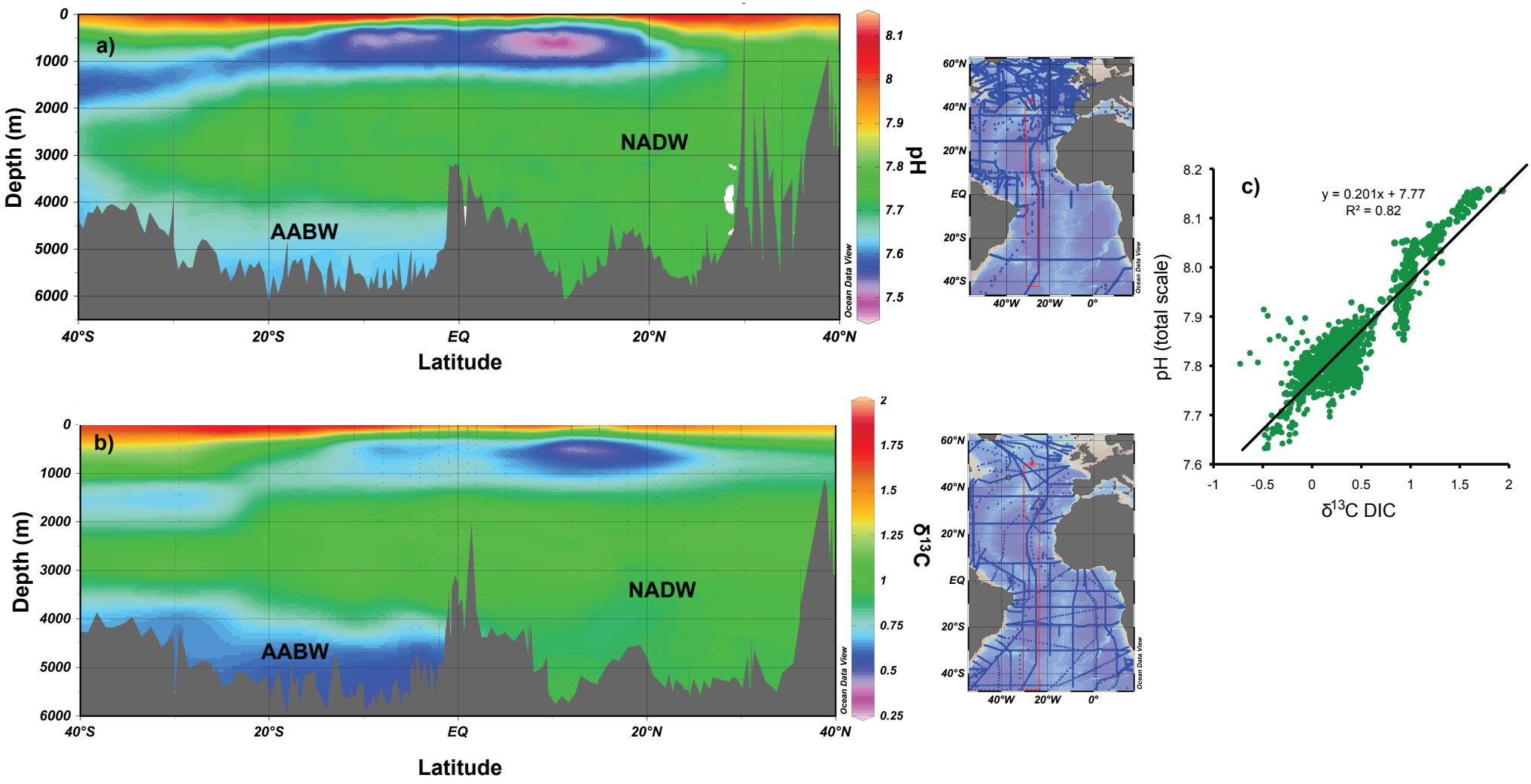
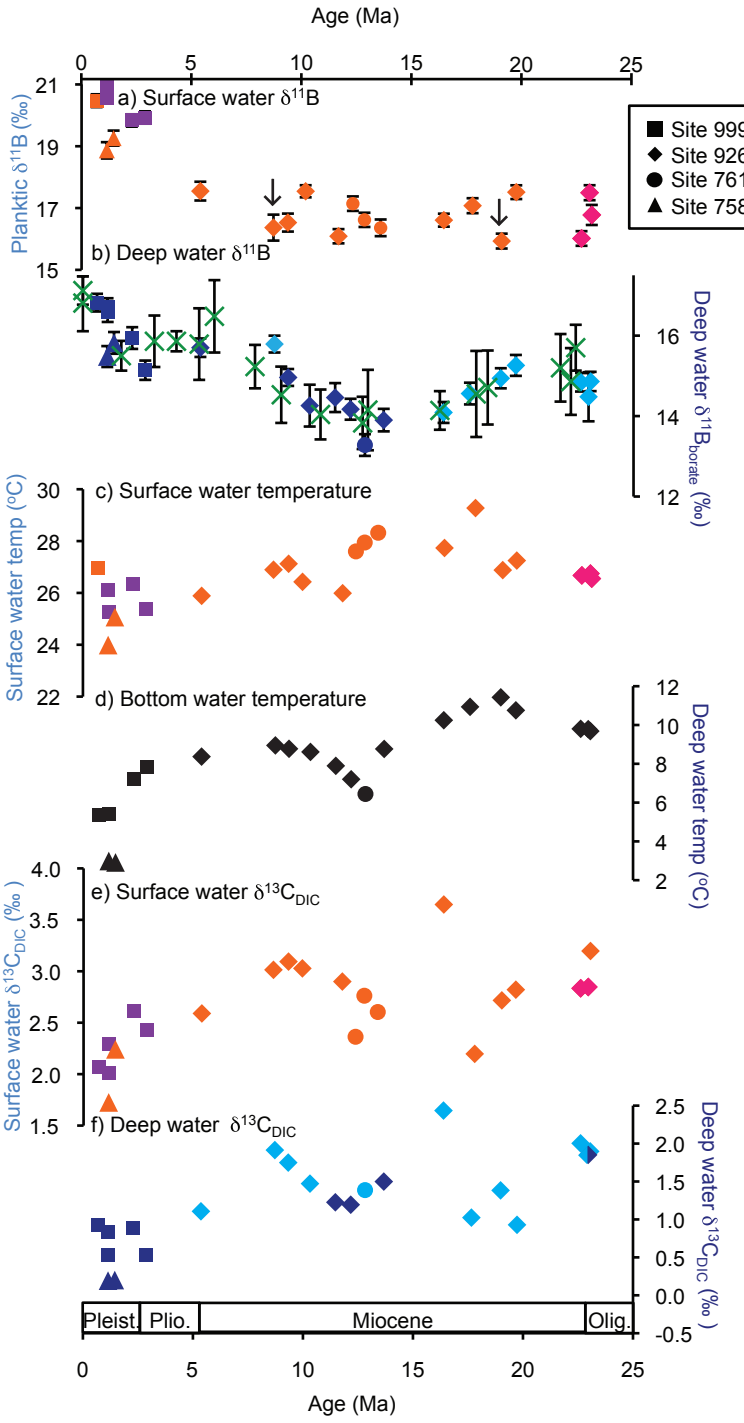

a)
b)
c)
NADW
AABW
Depth (m)
Latitude
pH
δ13C
pH (total scale)
δ13C DIC
y = 0.201x + 7.77
R² = 0.82
Ocean Data View

Figure 5

Figure 6


Age (Ma)
0
5
10
15
20
25
a) Surface water δ11B
Planktic δ11B (‰)
21
19
17
15
Site 999
Site 926
Site 761
Site 758
b) Deep water δ11B
Deep water δ11Bborate (‰)
16
14
12
c) Surface water temperature
Surface water temp (ºC)
30
28
26
24
22
d) Bottom water temperature
Deep water temp (ºC)
12
10
8
6
4
2
e) Surface water δ13CDIC
Surface water δ13CDIC (‰)
4.0
3.5
3.0
2.5
2.0
1.5
f) Deep water δ13CDIC
Deep water δ13CDIC (‰)
2.5
2.0
1.5
1.0
0.5
0.0
-0.5
Pleist.
Plio.
Miocene
Olig.
0
5
10
15
20
25
Age (Ma)

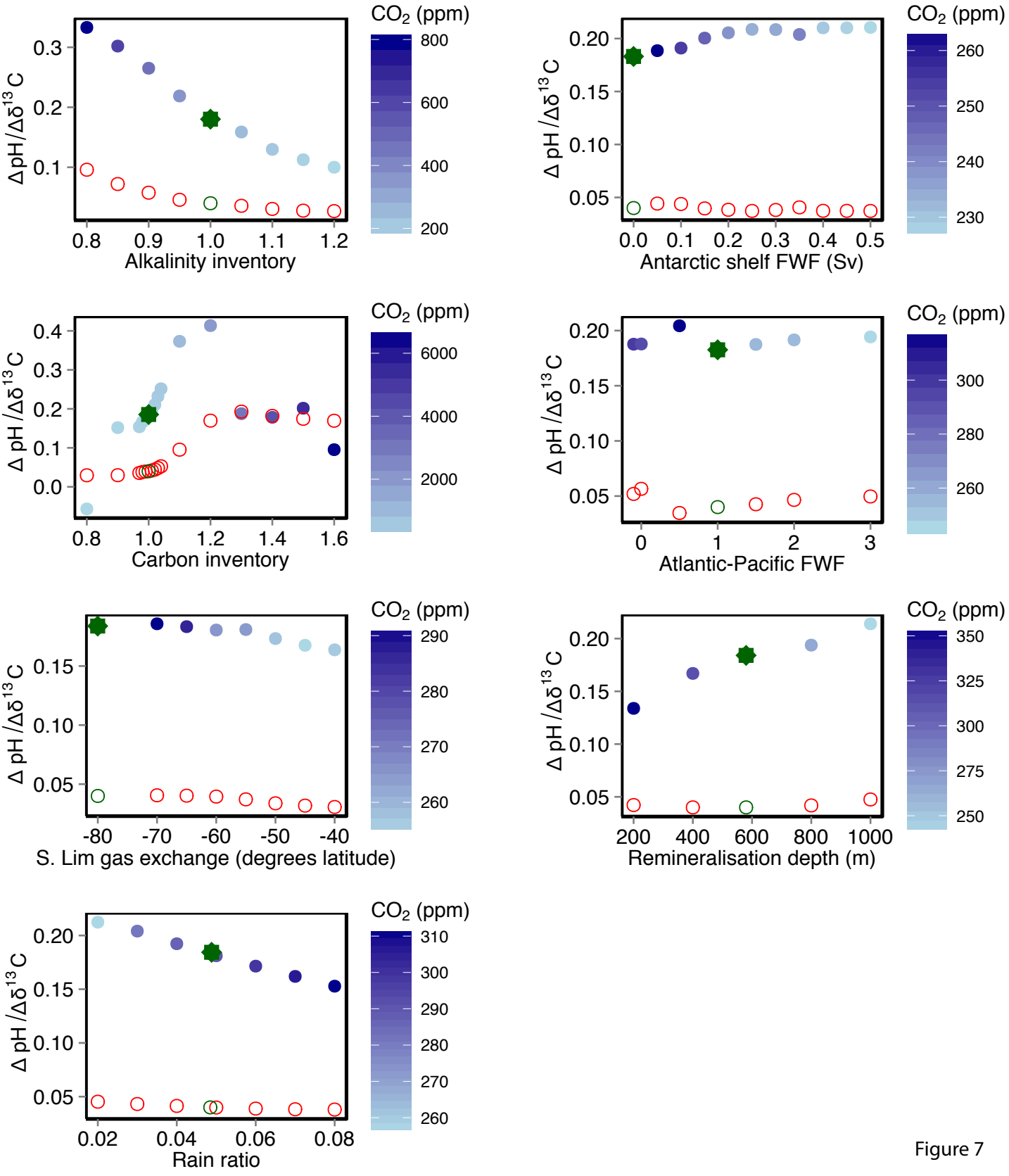

Δ pH /Δδ13C
Alkalinity inventory
Antarctic shelf FWF (Sv)
Carbon inventory
Atlantic-Pacific FWF
S. Lim gas exchange (degrees latitude)
Remineralisation depth (m)
Rain ratio
CO2 (ppm)

Figure 7

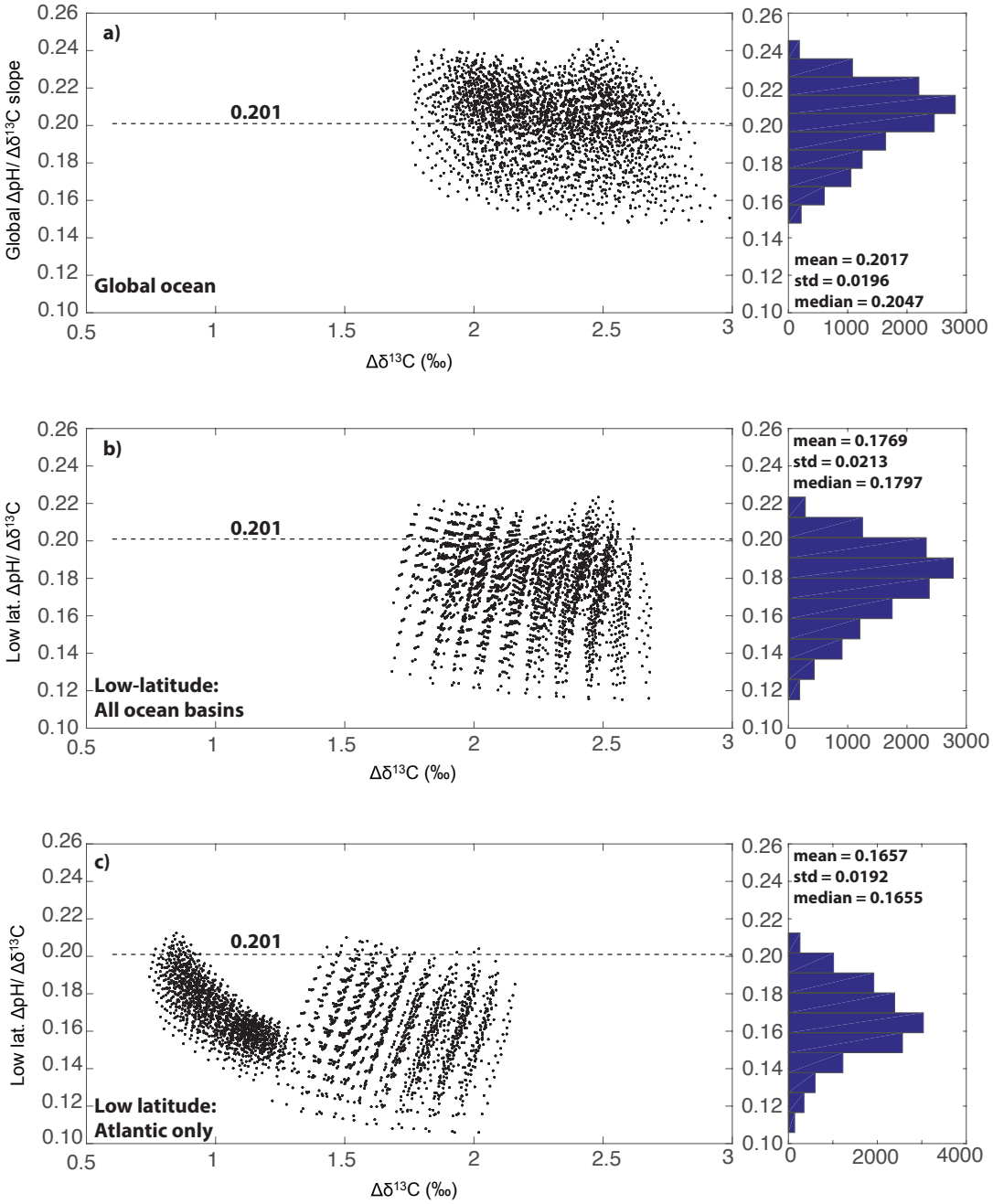

a)
0.201
Global ocean
Global ΔpH/ Δδ13C slope
Δδ13C (‰)
mean = 0.2017
std = 0.0196
median = 0.2047
b)
0.201
Low-latitude:
All ocean basins
Low lat. ΔpH/ Δδ13C
Δδ13C (‰)
mean = 0.1769
std = 0.0213
median = 0.1797
c)
0.201
Low latitude:
Atlantic only
Low lat. ΔpH/ Δδ13C
Δδ13C (‰)
mean = 0.1657
std = 0.0192
median = 0.1655

Figure 8

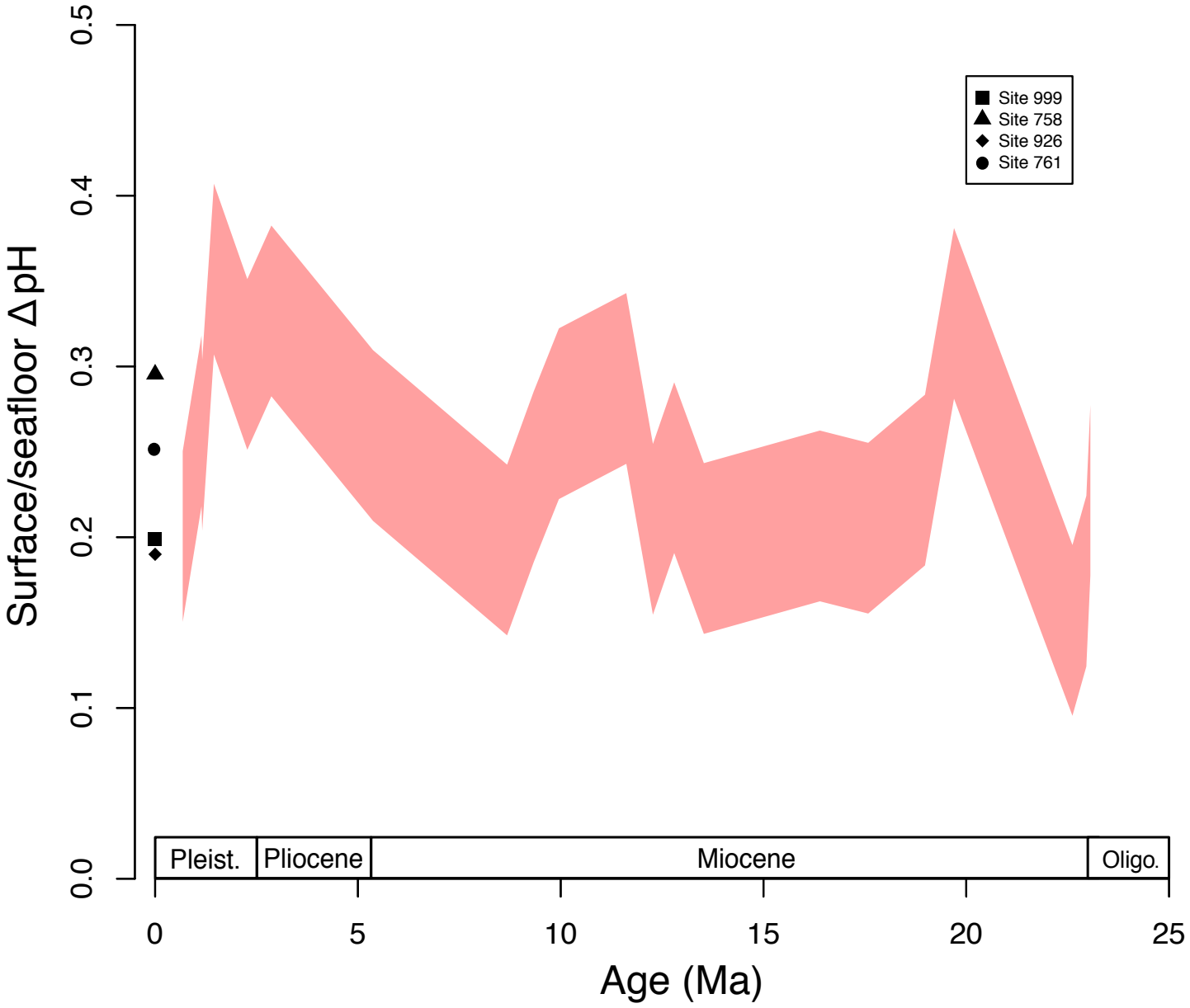

Site 999
Site 758
Site 926
Site 761
Surface/seafloor ΔpH
0.0
0.1
0.2
0.3
0.4
0.5
Pleist.
Pliocene
Miocene
Oligo.
0
5
10
15
20
25
Age (Ma)

Figure 9

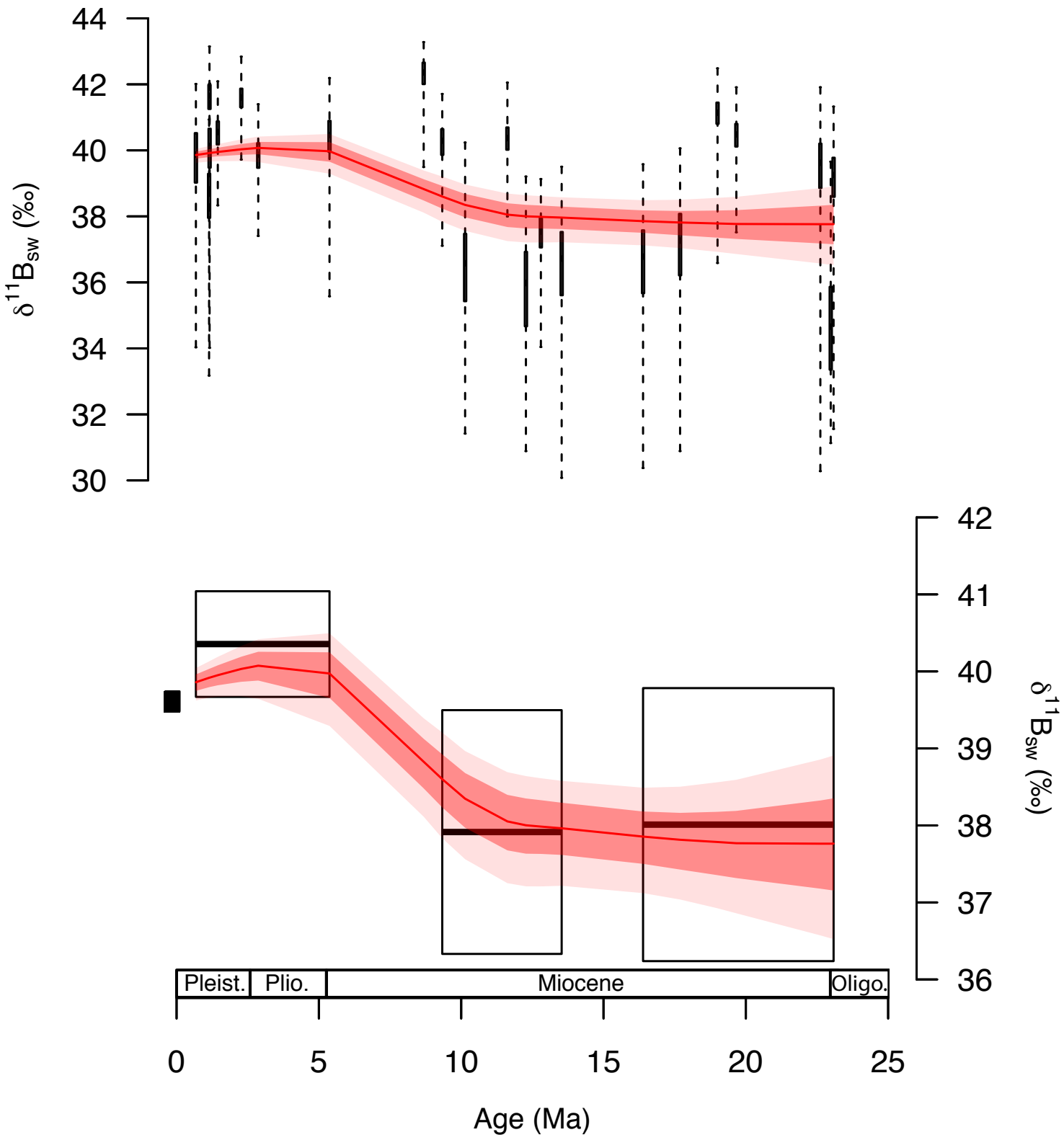

44
42
40
38
36
34
32
30
δ11Bsw (‰)
42
41
40
39
38
37
36
δ11Bsw (‰)
Pleist.
Plio.
Miocene
Oligo.
0
5
10
15
20
25
Age (Ma)

Figure 10

Figure 11

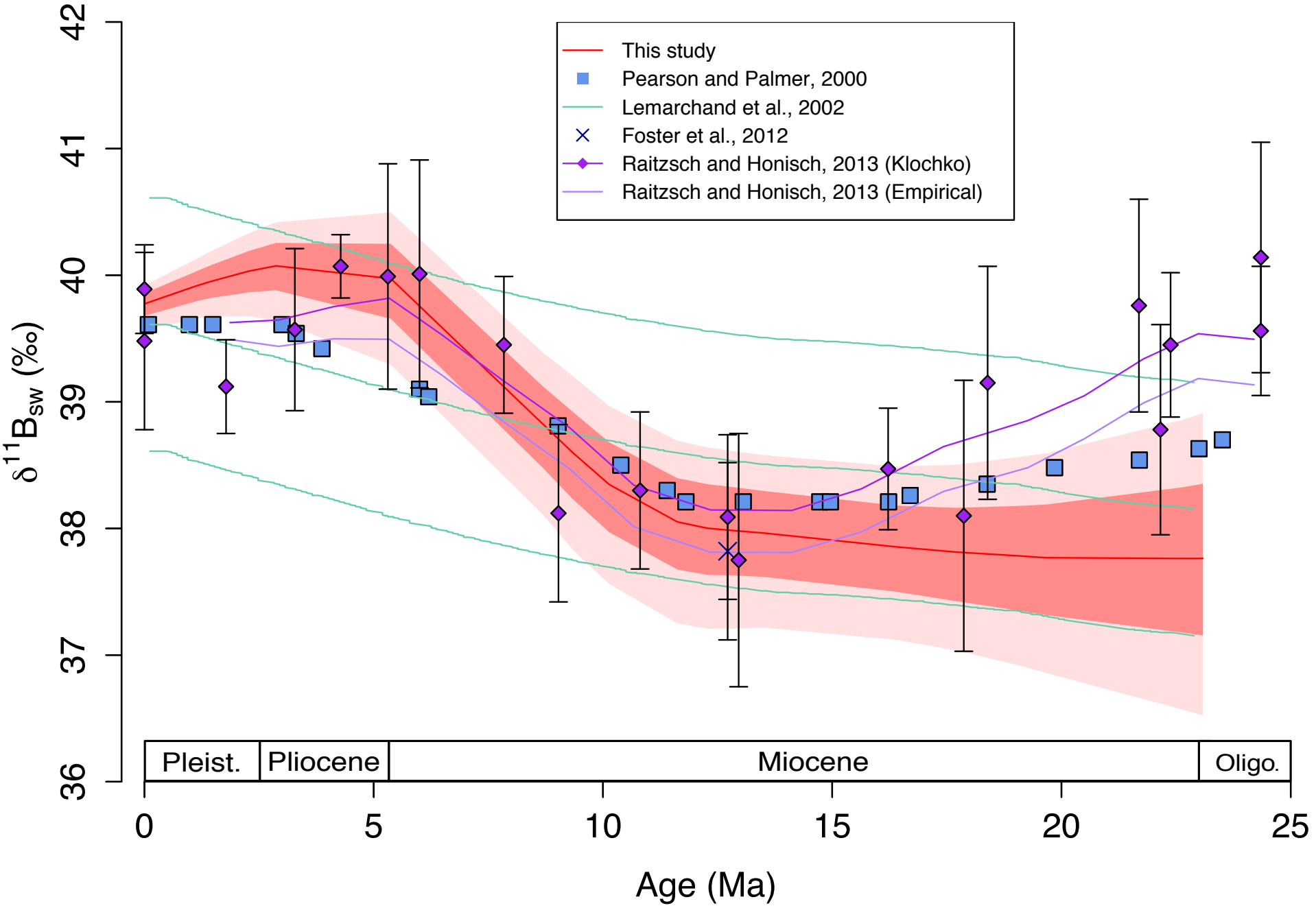
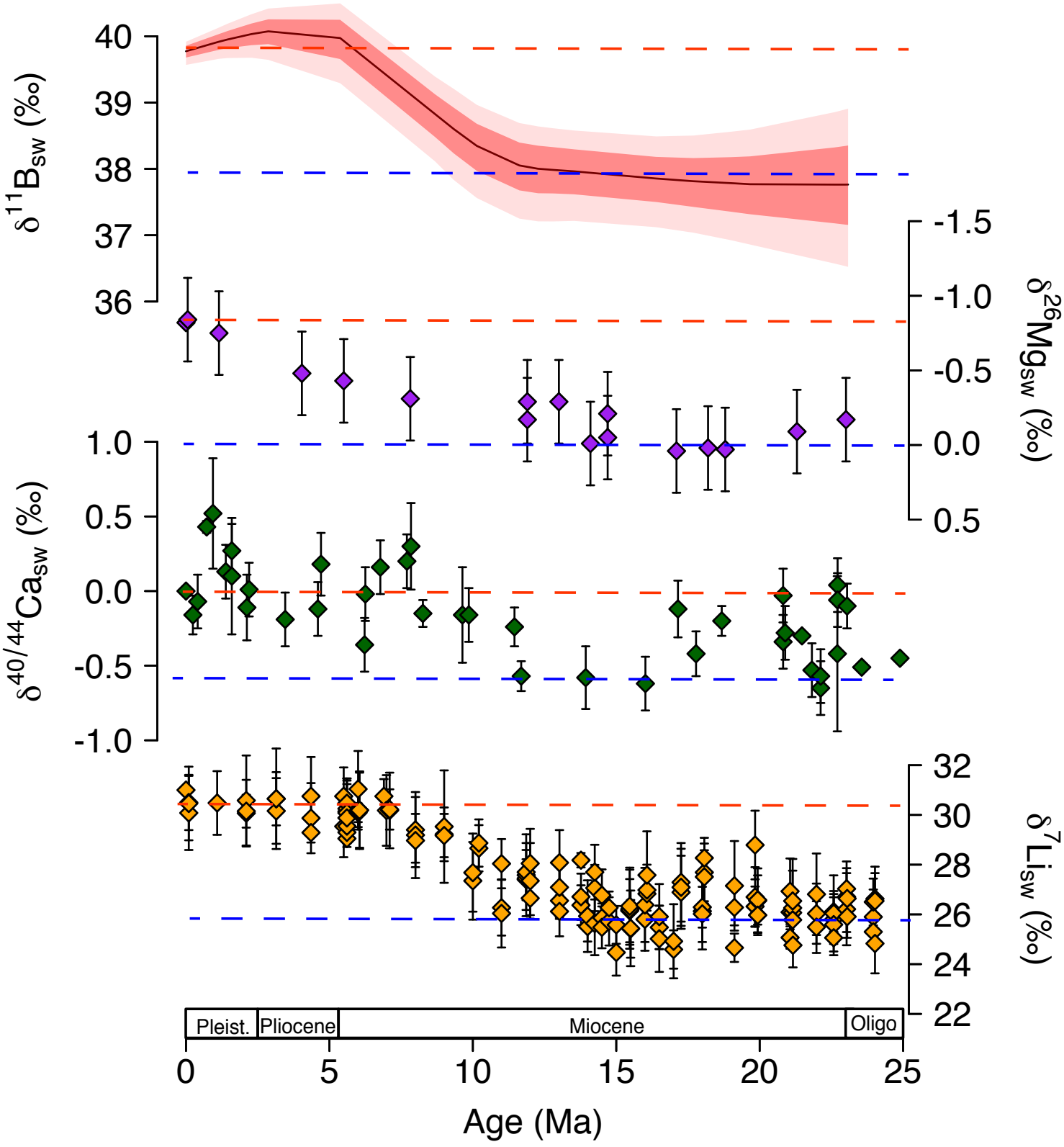
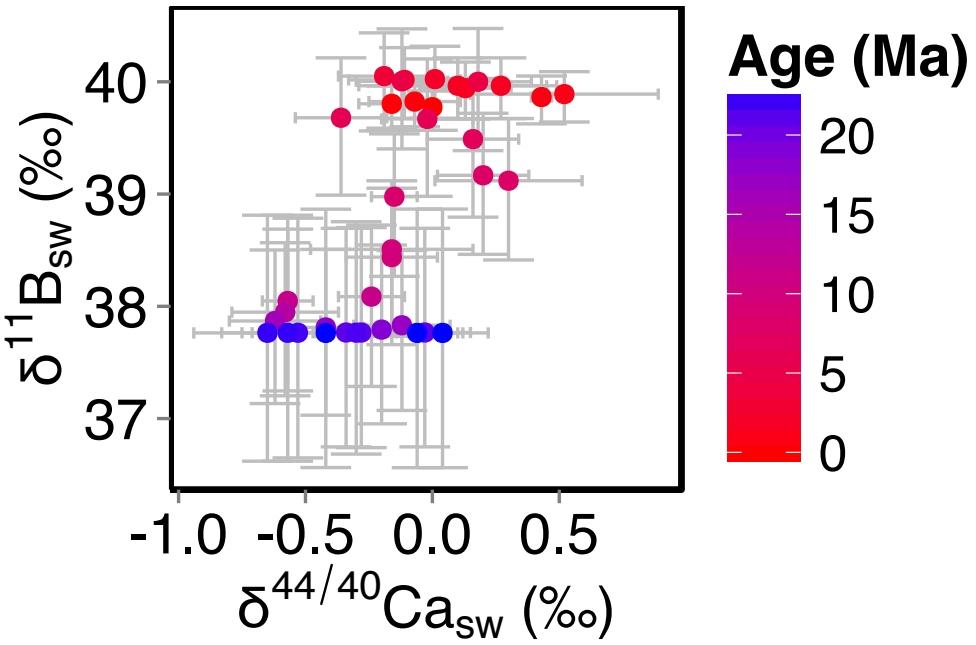
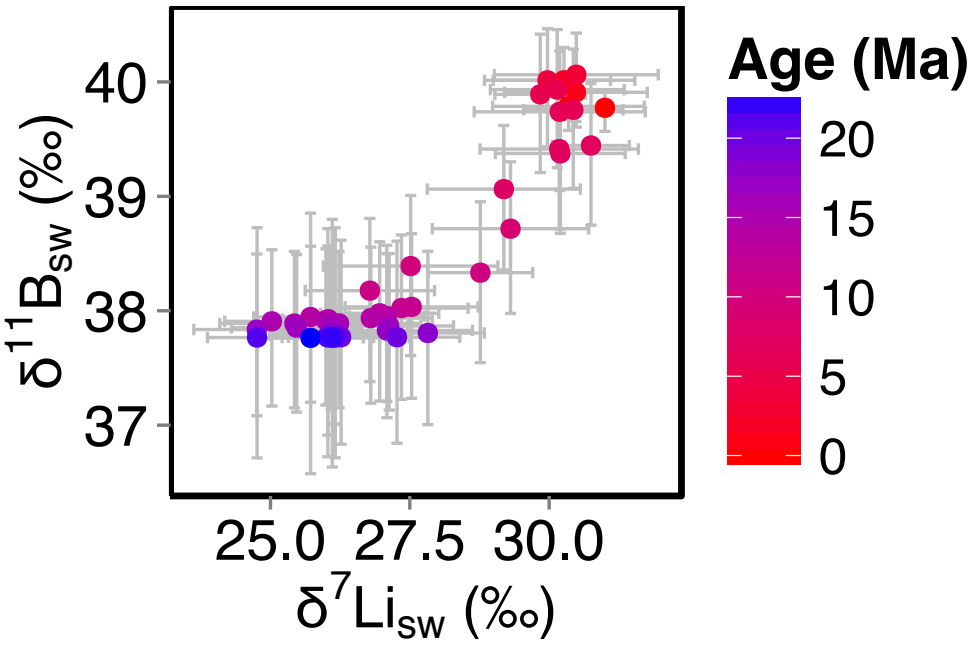

This study
Pearson and Palmer, 2000
Lemarchand et al., 2002
Foster et al., 2012
Raitzsch and Honisch, 2013 (Klochko)
Raitzsch and Honisch, 2013 (Empirical)
δ11BSW (‰)
42
41
40
39
38
37
36
Pleist.
Pliocene
Miocene
Oligo.
0
5
10
15
20
25
Age (Ma)

Figure 12


40
39
38
37
36
δ11Bsw (‰)
1.0
0.5
0.0
-0.5
-1.0
δ40/44Casw (‰)
-1.5
-1.0
-0.5
0.0
0.5
δ26Mgsw (‰)
32
30
28
26
24
22
δ7Lisw (‰)
Pleist.
Pliocene
Miocene
Oligo
0
5
10
15
20
25
Age (Ma)


40
39
38
37
δ11Bsw (‰)
-1.0 -0.5 0.0 0.5
δ44/40Casw (‰)
Age (Ma)
20
15
10
5
0


40
39
38
37
δ11Bsw (‰)
25.0 27.5 30.0
δ7Lisw (‰)
Age (Ma)
20
15
10
5
0

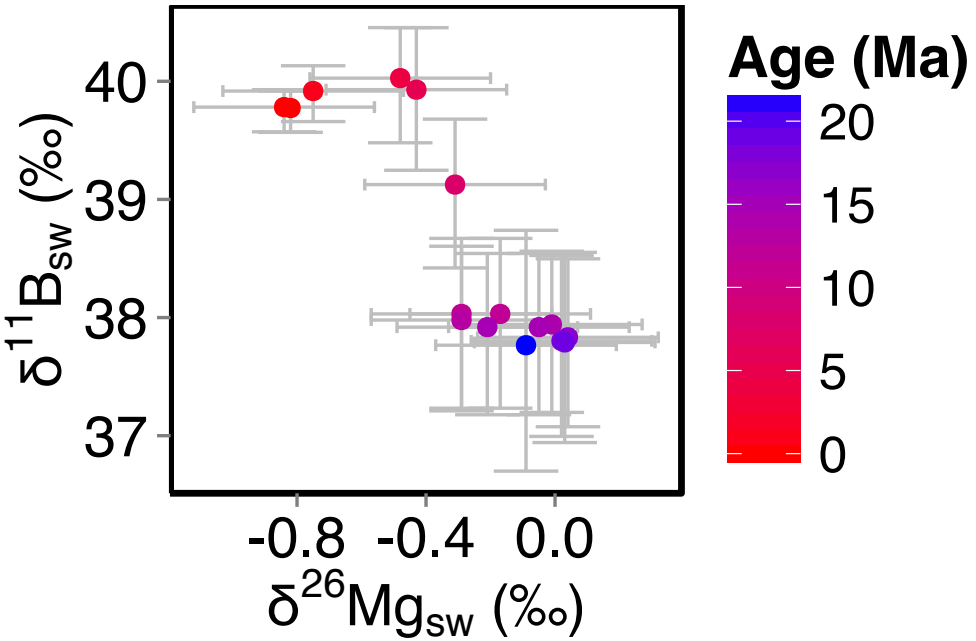

40
39
38
37
δ11Bsw (‰)
-0.8 -0.4 0.0
δ26Mgsw (‰)
Age (Ma)
20
15
10
5
0

Figure 13

Table 1. CYCLOPS model parameter values defining the ensemble of 13,500 simulations*

Parameter	Description	Values assumed
PAZ surface phosphate**	unutilized polar nutrient	1μM, 1.25μM, 1.5μM, 1.75μM, 2μM
PAZ vertical exchange**	bottom water formation	2Sv, 7.75Sv, 13.5Sv, 19.25Sv, 25Sv
SAZ surface phosphate**	unutilized polar nutrient	0.7μM, 0.825μM, 0.95μM, 1.075μM, 1.2μM
AMOC circulation scheme**	deep vs. shallow overturning	NADW, GNAIW
representative timeslice***	Age ($[Ca^{2+}]$/CCD); calcium set outright; CCD set via riverine $CaCO_3$ flux using inverse scheme	0Myr (10.6mM, 4.65km), 9Myr (12.89mM, 4.4km), 11Myr (13.33mM, 4.9km), 16Myr (14.28mM, 4.7km), 18Myr (14.57mM, 4.25km), 20Myr (14.86mM, 4.7km)
atm. CO_2****	set via silicate weatherability	200ppm, 300ppm, 400ppm, 500ppm, 600ppm, 700ppm, 800ppm, 900ppm, 1000ppm

*= The six parameters assume 5, 5, 5, 2, 9 and 6 values, yielding 13,500 distinct parameter combinations

** = These parameters are intended to span the full range of ocean carbon cycling over late Pleistocence glacial-interglacial cycles, as describe in more detail in Hain et al. (2010)

*** = We selected representative timeslices based on local extrema in the CCD reconstruction of Pälike et al. (2012) and we combine these with appropriate reconstructed calcium concentrations based on Horita et al. (2002). These choices are intended to capture the range of long-term steady state conditions of the open system $CaCO_3$ cycle relevant to our study interval

**** = These atmospheric CO_2 levels are chosen to span a range wider than expected for the study interval. Following silicate-weathering-feedback paradigm, long-term CO_2 is fully determined by the balance of geologic CO_2 sources and silicate weathering, whereby faster acting processes of the open system $CaCO_3$ cycle compensate relative to that CO_2 level. All else equal, high CO_2 levels, low calcium concentrations and deep CCD correspond to high bulk ocean carbon concentrations (Hain et al., 2015) with many of the individual simulations of this ensemble exeeding 4000μM DIC.

Table 2

Input parameter	**Uncertainty applied**	**Source of uncertainty estimate**
Surface to sea floor ∆pH	Uniform +/- 0.05 pH units	Plausible range of $\Delta pH/\Delta\delta^{13}C$ in CYCLOPS and GENIE sensitivity tests; prediction error of linear $\Delta pH/\Delta\delta^{13}C$ regression in GENIE
$\delta^{11}B$ measurement	0.15-0.61‰	Long-term external reproducibility
Temperature	±2°C	Uncertainty in the Mg/Ca measurement and Mg/Ca-temperature calibration
Salinity	±2 psu	In the absence of a salinity proxy this uncertainty is applied to cover variations through time.
Seawater [Mg]	± 4.5 mmol/kg	following Horita et al., (2002)
Seawater [Ca]	± 4.5 mmol/kg	following Horita et al., (2002)

Table 3

Sources	Isotopic Ratio			
Oceanic Inputs	$\delta^{11}B_{sw}$ 39.61 ‰	$\delta^{7}Li_{sw}$ 31 ‰	$\delta^{26}Mg_{sw}$ −0.83 ‰	$\delta^{44/40}Ca_{sw}$ 0 ‰
Input from hydrothermal	6.5[a]	8.3[b]	N/A	–0.96[h]
Fluid from accretionary prisms	25[a]	15[b]	N/A	N/A
Riverine Inputs	10[a]	23[b]	−1.09[d]	-1.28[h]
Groundwater	N/A	N/A	-0.82[d]	−1.02[i]
Outputs				
Precipitation into carbonates	20[a]	29[c]	-3.5[d,e,f]	-1.15[h,j]
Ocean crust alteration	4[a]	15[b]	-0.83[d,g]	-1.2[h]
Absorption onto sediment	15[a]	15[b]	??	N/A