

Post-Pliocene establishment of the present monsoonal climate in SW China: evidence from the late Pliocene Longmen megaf flora

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1675

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



[Back](#)

Close

Full Screen / Esc

[Printer-friendly Version](#)

Interactive Discussion



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CPD

9, 1675–1701, 2013

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Abstract



The paleoclimate of the late Pliocene Longmen flora from Yongping County located at the southeastern boundary of the Qinghai-Tibet Plateau was reconstructed using two leaf physiognomy based methods, i.e. Leaf Margin Analysis (LMA) and Climate Leaf Analysis Multivariate Program (CLAMP), to understand the paleoclimate condition and geographical pattern of monsoonal climate in southwestern China during the late Pliocene. The mean annual temperatures (MATs) estimated by LMA and CLAMP are $17.4 \pm 3.3^{\circ}\text{C}$ and $17.4 \pm 1.3^{\circ}\text{C}$, respectively, compared with 15.9°C at present. Meanwhile, the growing season precipitation (GSP) estimated by CLAMP is 1735.5 ± 217.7 mm in the Longmen flora, compared with 986.9 mm nowadays. The calculated monsoon index (MSI) of the Longmen flora is significantly lower than that of today. These results appear consistent with **previous studies based on the coexistence approach (CA)**, and further suggest that there was a slightly warmer and much wetter climate during the late Pliocene than the present climate in western Yunnan. We conclude that the significant change of the monsoonal climate might have been resulted from the continuous uplift of mountains in western Yunnan, as well as the intensification of eastern Asian winter monsoon, both occurring concurrently in the post-Pliocene period.

1 Introduction

The modern Asian monsoon system is divided into the East Asian monsoon and the South Asian (Indian) monsoon (Molnar et al., 2010). Although they differ in precipitation terms, both are characterized by wet summers and dry winters (B. Wang, 2006). Approximately 60 % of the world's population lives in the regions directly affected by the monsoon system, and therefore its fluctuation can greatly impact human activities worldwide as well as the local economy (B. Wang, 2006). The Asian monsoon has attracted great attention on its evolution, variability and forcing mechanisms (Sun and

CPD

9, 1675–1701, 2013

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Wang, 2005; Wang et al., 2008; Han et al., 2012). While most work has focused on short term fluctuations in monsoon behaviour, study of the variations in the Asian monsoon in deep time is crucial to contextualise and understand the driving mechanisms underpinning monsoon changes.

Western Yunnan, located at the southeastern boundary of the Qinghai-Tibet Plateau, uniquely experiences both the East Asian monsoon and the Indian monsoon (Y. Wang, 2006). The uplift and east-west extension of the Qinghai-Tibet Plateau has created a series of north-south oriented mountains such as Mt. Gaoligong and Mt. Nu in western Yunnan (Ge and Li, 1999). These high mountains form geographical barriers for the convergence of these two monsoons (Hao et al., 2008) and result in a special regional monsoonal climate (Kou et al., 2006). Consequently, western Yunnan is an ideal region for exploring the evolution of the Asian monsoon climate in deep time. Fossil floral assemblages have been widely used as a proxy for paleoclimate reconstructions due to their direct interaction with the past surrounding environment (Wolfe, 1979, 1993; Mosbrugger and Utescher, 1997; Greenwood, 2005; Jordan, 2011). Thus, the abundance of Neogene floras in western Yunnan (Writing Group of Cenozoic Plants of China – WG CPC, 1978; Bureau of Geology and Mineral Resources of Yunnan Province – BGM RYP, 1990; Ge and Li, 1999) provides important materials for understanding the evolution of monsoonal climate.

The Pliocene is a key period for the global climate change with a transition from the warmer Miocene to the cooler Pleistocene (McKay et al., 2012). However, our understanding of the Pliocene climate in the Qinghai-Tibetan Plateau is poor. Although paleoclimates derived from several Pliocene floras in western Yunnan have been reconstructed and have provided important information on the character of the monsoon during the Pliocene (Xu et al., 2004; Yao et al., 2012; Supplement Table 1), most of these paleoclimatic studies of the Pliocene floras are based on the coexistence approach (CA) and one type of fossil material, namely palynological material (Kou et al., 2006). The principle of CA is based on the climate interval of the nearest living relatives of each taxon in the flora (Mosbrugger and Utescher, 1997). Although CA clearly

CPD

9, 1675–1701, 2013

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



produces coherent climate trends that match other proxies such as those based on isotopes (Mosbrugger et al. 2005), the climatic range encompassed by the co-existence interval can be large in some circumstances, and when this occurs, the resolution of the technique appears low. An alternative approach is based on the leaf physiognomic spectrum displayed by leaves of woody dicots (Wolfe, 1993; Greenwood, 2005). Methods of this kind only need to classify fossil leaves in a flora into morphotypes without taxonomic identification (Wolfe, 1993). The Tuantian flora, being on the western side of Mt. Gaoligong and Mt. Nu, is the only Pliocene fossil flora in western Yunnan with palaeoclimate reconstructions based on leaf physiognomy (Xie et al., 2012; Fig. A1a).

Here, we examine the late Pliocene Longmen flora on the eastern side of Mt. Gaoligong and Mt. Nu in western Yunnan. The aims of this paper are: (1) to reconstruct quantitatively the paleoclimate by using leaf physiognomy based methods and recently developed calibration datasets; (2) to compare our results with previous results calculated by **the taxon based Co-existence Approach**; (3) and to discuss the evolution of the Asian monsoonal climate of Yunnan.

2 Materials and methods

2.1 Geological setting

The fossil site is located in Longmen village, Yongping County, western Yunnan Province (25°30′48″N, 99°31′11″E; Fig. A1). Being at the southeastern boundary of the Qinghai-Tibet Plateau, western Yunnan has numerous Neogene coal-bearing basins created by the uplift of the Qinghai-Tibet Plateau (Ge and Li, 1999), and several fossil floras have been reported from these basins (Tao and Kong, 1973; Xie, 2007; Su, 2010). The sediment of Longmen in this study belongs to the Sanying Formation (Ge and Li, 1999), which is assigned to the late Pliocene based on several lines of evidence, such as local geological structure (Compiling Group of the Regional Stratigraphic Table of Yunnan – CGRSTY, 1978; BGMRY, 1990), stratigraphic correlations (Ge and

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Li, 1999), and fossil record (Tao and Kong, 1973; WGCP, 1978; Zong et al., 1996; Su et al., 2011). Like other paleofloras uncovered from the Sanying Formation (Tao and Kong, 1973; Tao, 1986; Zhang, 1997), the evergreen sclerophyllous oak (*Quercus* sect. *Heterobalanus*) appears the most abundant in the Longmen flora (Su, 2010).

The Sanying Formation in Longmen village is composed of two units (Bureau of Geology of Yunnan Province – BGYP, 1979). Fossil leaves in this study were collected from the top part of the upper unit. Local stratigraphy has been discussed in Su et al. (2011).

2.2 Materials

Several fossil species such as *Litsea* sp. and the evergreen sclerophyllous oak from Longmen village were first reported by the BGYP (1979). Subsequently, Zhou (1992) studied the morphology of fossil leaves in the evergreen sclerophyllous oaks uncovered from Longmen village. During our field work from 2008 to 2010, more than 2000 pieces of specimens have been collected (Su, 2010). Among them, one taxon has been anatomically studied, i.e. *Drynaria callispora* (Polypodiaceae; Su et al., 2011).

Among the collected specimens from the Longmen flora, fossil leaves of woody dicotyledonous species were classified into 22 morphotypes based on leaf morphological characters such as leaf shape, margin form, and the venation (Supplement Plates I–III). All specimens are deposited in the Paleobotany Laboratory, Xishuangbanna Tropical Botanical Garden, Chinese Academy of Sciences.

2.3 Methods

Two leaf physiognomy-based methods were applied, namely Leaf Margin Analysis (LMA) and Climate Leaf Analysis Multivariate Program (CLAMP). LMA is a simple way of estimating a single climate variable, namely the mean annual temperature (MAT) (Wing and Greenwood, 1993), whereas CLAMP is used routinely to calculate 11 temperature and precipitation related parameters (Wolfe, 1993). Although the mechanism

are available online now (<http://clamp.ibcas.ac.cn>). In this study, we use the PhysgAsia1 calibration dataset (Jacques et al., 2011b), which supplements the core CLAMP Physg3br dataset with 45 modern Chinese sites. This calibration gives better precipitation estimates for China compared to older calibrations (Jacques et al., 2011b). We scored each leaf character of every morphotype from the Longmen flora by following the definitions on leaf characters on the CLAMP website (<http://clamp.ibcas.ac.cn>; Table 1). CCA was performed by using the software CANOCO 4.5 (Plant Research International, Wageningen, The Netherlands).

2.3.3 The monsoon intensity index (MSI)

Several indices have been defined to evaluate the intensity of the monsoon (Liu and Yin, 2002; van Dam, 2006; Liu et al., 2011; Xing et al., 2012). However, most indices could not be estimated in this study because the parameters in these indices are not directly available from either LMA or CLAMP. As the monsoon plays the role of the “engine” for the transportation of moisture, the precipitation pattern around a year is closely associated to the intensity of monsoon (B. Wang, 2006). Herein, we use the equation proposed by Xing et al. (2012) to calculate MSIs of the Longmen flora and the Tuantian flora in western Yunnan based on the three precipitation parameters reconstructed by CLAMP:

$$MSI = (3WET - 3DRY) \times 100 / GSP \quad (\text{Xing et al., 2012}).$$

MSI is the intensity of monsoon climate with a higher value of MSI meaning a bigger difference of precipitation in seasonality and therefore indicating a stronger monsoon climate (Xing et al., 2012). GSP is growing season precipitation (precipitation during months with mean monthly temperature being more than 10 °C). 3WET is the precipitation during the 3 consecutive wettest months. 3DRY is the precipitation during the 3 consecutive driest months.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

3 Results

3.1 LMA

In the Longmen flora, the proportion of untoothed leaves in woody dicotyleous species is 59.1 %. Thus the MAT of the Longmen flora resolves as $17.4 \pm 3.3^{\circ}\text{C}$.

3.2 CLAMP

By using the CLAMP calibration dataset (PhylogAsia1), the Longmen flora plots inside the physiognomic ordination space, and it resides within the cloud of modern Chinese samples (Fig. 1), which indicates that PhylogAsia1 is suitable for paleoclimate estimation of the Longmen flora. For temperature related parameters, those of the Longmen flora seem comparable with the present conditions (Table 2). For example, MAT and CMMT of the Longmen flora are $17.4 \pm 1.3^{\circ}\text{C}$ and $8.9 \pm 2.6^{\circ}\text{C}$, respectively. The modern values for these parameters at Yongping are 15.9°C and 8.2°C , respectively. The length of the growing season (months with temperature $> 10^{\circ}\text{C}$, GROWSEAS) is similar to that of the present with 9.3 ± 0.7 months being predicted for the Longmen flora compared to 10 months at present. However the WMMT of the Longmen flora is estimated to have been much higher than nowadays.

Unlike the temperature variables, the difference between the late Pliocene and modern precipitation parameters in Longmen appears quite significant (Table 2). The largest difference for a precipitation related parameter is that of 3-DRY, the predicted value for the Longmen flora is about three times higher than that of the present day, with 184.5 ± 41.2 mm and 69.5 mm, respectively. The GSP of the Longmen flora is nearly twice as high as that nowadays, with 1735.5 ± 217.7 mm and 986.9 mm, respectively. Both 3-WET and RH estimates for the Longmen flora are higher than the present day values.

CPD

9, 1675–1701, 2013

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

3.3 MSI

The MSI of the Longmen flora is 35.5, whereas the MSI of Yongping County at present is 55.4 (Table 2).

4 Discussion

4.1 Warmer temperature during the late Pliocene

For the Longmen flora, LMA and CLAMP both give consistent results for MATs with estimated values $17.4 \pm 3.3^{\circ}\text{C}$ and $17.4 \pm 1.3^{\circ}\text{C}$, respectively. Both values are about 1.5°C higher than the present MAT. LMA is based on the single linear regression between leaf margin and MAT (Wolfe, 1979; Wing and Greenwood, 1993), whereas CLAMP is a multivariate method with 31 leaf characters included (Wolfe, 1993). Therefore, the results of these two methods are independent from each other (Spicer et al., 2009). These higher Pliocene temperatures are also found at Tuantian, which is approximate 100 km southwest of the Longmen flora (Table 2). These results combined both show that the MAT in western Yunnan during the late Pliocene was slightly higher than that of today. Meanwhile, CMMT stayed similar between the late Pliocene and the present day.

It is interesting to note that WMMT shows a dramatic difference with a temperature 4.4°C higher in the late Pliocene than that of today in Yongping. Similarly, WMMT of the Tuantian flora is $24.3 \pm 1.5^{\circ}\text{C}$, comparing to 19.8°C at present (Table 2). The significant decrease of WMMT to today's values between the late Pliocene and now might be related to an increase in altitudes in western Yunnan. During the late Pliocene, the altitude of western Yunnan might not have been as high as the present day, an interpretation that is supported by prior evidence from plant fossils (Kou et al., 2006; Xie et al., 2012), testate amoebae (Yang et al., 2006), and basin analysis (Fan et al., 2006). Altitude correlates negatively with temperature (Y. Wang, 2006). Therefore, a lower

CPD

9, 1675–1701, 2013

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

WMMT is observed nowadays. Meanwhile, much heavier precipitation during the late Pliocene (Table 2) means that the wet and warm airflow in summer forms the rain and by the release of latent heat by condensation heats the atmosphere, a higher temperature could be observed.

5 In this study, results of leaf physiognomic methods are consistent with results of the Coexistence Approach (CA). The Longling flora, at about 120 km southwest to the Longmen flora was studied using CA (Kou et al., 2006; Supplement Table 1). MAT of the Longling flora was reconstructed to have been 18.6–22.1 °C compared to 15.0 °C at present. Therefore, all results based on independent approaches indicate a higher
10 temperature during the late Pliocene than at present in western Yunnan.

These higher temperatures are also observed from the late Miocene floras (Supplement Table 1), for example, the Xiaolongtan flora from southeastern Yunnan (Xia et al., 2009), the Lincang flora from southwestern Yunnan (Jacques et al., 2011a), and the Xianfeng flora from central Yunnan (Fig. A2; Xing et al., 2012), based on different
15 methods, namely, CA, LMA and CLAMP. Results of all methods show a slight decrease of MATs between the late Miocene and the present (Supplement Table 1). Moreover, the significantly higher WMMT during the late Miocene (Xu et al., 2008), is similar to the trend of WMMT cooling from the late Pliocene to today as shown in this study.

Overall, the cooling trend from the late Miocene to present in Yunnan Province
20 (Fig. A2) is similar to the trend of global cooling since the late Miocene (Zachos et al., 2001). The lower temperature in western Yunnan at present might be also associated with regional uplift (Kou et al., 2006), leading to a higher altitudes and a cooler temperatures.

4.2 Geographical precipitation pattern

25 Paleoclimate reconstructions show that the precipitation during the late Pliocene was greater than that at present in western Yunnan (Fig. A2). GSPs between the late Pliocene and nowadays are comparable, because the length of the growing season (mean monthly temperature higher than 10 °C, GROWSEAS) in the late Pliocene

CPD

9, 1675–1701, 2013

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



15

25

25

T. Su et al.



precipitation of Longling during the late Pliocene was lower than the present day, and Yongping is drier than Longling nowadays.

4.3 The evolution of the Asian monsoon in western Yunnan

This study indicates that the Asian monsoon during the late Pliocene is not as strong as at the present day in western Yunnan. According to the MSI proposed by Xing et al. (2012), the intensity of the monsoon in both the Longmen flora and the Tuantian flora are lower than those of the present day (Table 2). In both floras, 3-DRYs contribute to the difference of MSI between the late Pliocene and the present day. For 3-DRY, the Longmen flora and the Tuantian flora are 184.5 ± 41.2 mm and 209.9 ± 41.2 mm, respectively, compared to 69.5 mm and 111.6 mm at present.

The MSI during the late Miocene was slightly lower than that during the late Pliocene in Yunnan (Fig. A2). MSIs of the Xiaolongtan flora, the Lincang flora and the Xianfeng flora are 31.3, 31.0 and 28.6, respectively. The lower MSIs during the late Miocene are mainly due to the higher GSP and 3-DRY (Xing et al., 2012). The GSPs of all the three late Miocene floras estimated by CLAMP are higher than the two late Pliocene floras. It indicates that in Yunnan there was an amplification of the Asian monsoon from the late Miocene to the late Pliocene, and a significant intensification of the Asian monsoon from the late Pliocene to the present. A Quaternary pollen record from Heqing in northwestern Yunnan further supports this conclusion (Xiao et al., 2010).

However, the MSI could not distinguish the East Asian monsoon from the Indian monsoon, which both impact western Yunnan. The evolution of both monsoon systems could be explored further by examining the distribution of precipitation throughout the year.

Very interestingly, both GSP and 3-WET in Yongping decrease more than those in Tengchong from the late Pliocene to the present day (Fig. A2). Today, during the summer the Indian Monsoon affects Tengchong because it is situated on the western side of Gaoligong and Nu Mountains (Fig. 3), but it barely reaches Yongping because it is on the eastern side (Fig. 3). Judging from the similar GSPs and 3-WETs exhibited by the

CPD

9, 1675–1701, 2013

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Longmen flora and Tuantian floras during the late Pliocene, Mt. Gaoligong and Mt. Nu may not have acted as such a strong barrier to monsoonal air flow. The simplest way by which this may have happened is that it was lower than now, and this interpretation is evidenced by previous studies (Fan et al., 2006; Kou et al., 2006; Yang et al., 2006; Xie et al., 2012).

As far as the 3-DRY climate parameter is concerned, all the three precipitation parameters show the greatest decrease over time (Fig. A2), and it indicates the significant intensification of the Asian winter monsoon since the Pliocene. Our finding is supported by studies on loess sediments in northwestern China (An et al., 2001; Xiong et al., 2003). This intensification of the East Asian winter monsoon is interpreted to be associated with the development of the Northern Hemisphere ice sheet at high latitudes since the late Pliocene, which lead to the onset of Northern Hemisphere glaciation and the strengthening of a drier airflow from the Siberia High (Meyers and Hinnov, 2010). The dry airflow may have penetrated to some parts of western Yunnan such as Yongping along lower parts of the predominantly north–south alignment of the mountains, creating much drier winter at present than in the past (Fig. 3).

5 Conclusions

In this study, we used methods based on the relationship between leaf physiognomy and climate to quantitatively reconstruct the paleoclimate of the late Pliocene Longmen flora in western Yunnan. In combination with results of previous paleoclimatic studies, we conclude that:

1. Both temperature and precipitation in the late Miocene and the Pliocene of Yunnan are higher than at the present day;
2. The uplift of a series of mountains such as Mt. Gaoligong and Mt. Nu since the late Pliocene contributes to the creation of the modern spatial pattern of precipitation in western Yunnan;

3. In Yunnan, the Asian monsoon intensified slightly from the late Miocene to the late Pliocene, and strengthened significantly from the late Pliocene to the present day. A significant intensification of the East Asian winter monsoon in western Yunnan occurred during the Quaternary, leading to a much drier winter today than in the Neogene.

Supplementary material related to this article is available online at:
<http://www.clim-past-discuss.net/9/1675/2013/cpd-9-1675-2013-supplement.zip>.

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Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Compiling Group of the Regional Stratigraphic Table of Yunnan (CGRSTY): Regional stratigraphic table of southwestern China: Yunnan Volume, Geological Publishing House, Beijing, 1978.

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Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀

▶I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Table 1.** Percentages of 31 leaf characters in the Longmen flora.

Leaf character		Percentage (%)
Lobed	Lobed	0.0
	Teeth of the leaf	
	No teeth	59.1
	Teeth regular	38.6
	Teeth close	2.3
	Teeth round	18.2
	Teeth acute	22.7
	Teeth compound	4.5
Size of the leaf	Nanophyll	0.0
	Leptophyll I	0.0
	Leptophyll II	0.0
	Microphyll I	10.2
	Microphyll II	37.5
	Microphyll III	28.4
	Mesophyll I	17.0
	Mesophyll II	4.5
	Mesophyll III	2.3
Apex of the leaf	Apex emarginate	0.0
	Apex round	28.9
	Apex acute	44.7
	Apex attenuate	26.3
Base of the leaf	Base cordate	11.1
	Base round	13.5
	Base acute	75.4
Length to width ratio of the leaf	L : W < 1 : 1	2.3
	L : W1–2 : 1	12.9
	L : W2–3 : 1	60.6
	L : W3–4 : 1	19.7
	L : W > 4 : 1	4.5
Shape of the leaf	Obovate	4.5
	Elliptic	90.9
	Ovate	4.5

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Table 2. Comparisons on climatic parameters between the late Pliocene and the present day in Longmen and Tuantian. MAT = mean annual temperature; WMMT = warm month mean temperature; CMMT = cold month mean temperature; LGS = length of the growing season; GSP = growing season precipitation (precipitation during months with mean monthly temperature being more than 10 °C); MMGSP = mean monthly growing season precipitation; 3WET = precipitation during the 3 consecutive wettest months; 3DRY = precipitation during the 3 consecutive driest months; RH = relative humidity; SH = specific humidity; ENTHAL = enthalpy.

	Longmen, Yongping			Tuantian, Tengchong		
	LMA	CLAMP	Present ¹	LMA ²	CLAMP ²	Present ¹
MAT (°C)	17.4 ± 3.3	17.4 ± 1.3	15.9	18.7 ± 2.6	15.3 ± 1.3	14.9
WMMT (°C)		25.8 ± 1.5	21.4		24.3 ± 1.5	19.8
CMMT (°C)		8.9 ± 2.6	8.2		7.7 ± 2.6	7.6
GROWSEAS (months)		9.3 ± 0.7	10		8.1 ± 0.7	9
GSP (mm)		1735.5 ± 217.7	986.9		1625.7 ± 217.7	1381.1
MMGSP (mm)		187.6 ± 25.3	98.7		186.8 ± 25.3	153.5
3-WET (mm)		800.3 ± 139	588.6		787.4 ± 139	790
3-DRY (mm)		184.5 ± 41.2	69.5		209.9 ± 41.2	111.6
RH (%)		81.1 ± 6	75		81.6 ± 6	79
SH (%)		11.1 ± 1.2			10.3 ± 1.2	
ENTHAL (kJ kg ⁻¹)		334.4 ± 5.4			329.2 ± 5.4	
MSI		35.5	52.6		35.5	49.1

¹ Data are from Yunnan Provincial Meteorological Bureau (YPMB) (1984).

² ~~The standard error of LMA was estimated by following Miller et al. (2006).~~ We recalculated the paleoclimate of the Late Pliocene Tuantian flora with the new calibrated CLAMP dataset (PhysgAsia1) by leaf physiognomic data providing in Xie et al. (2012).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

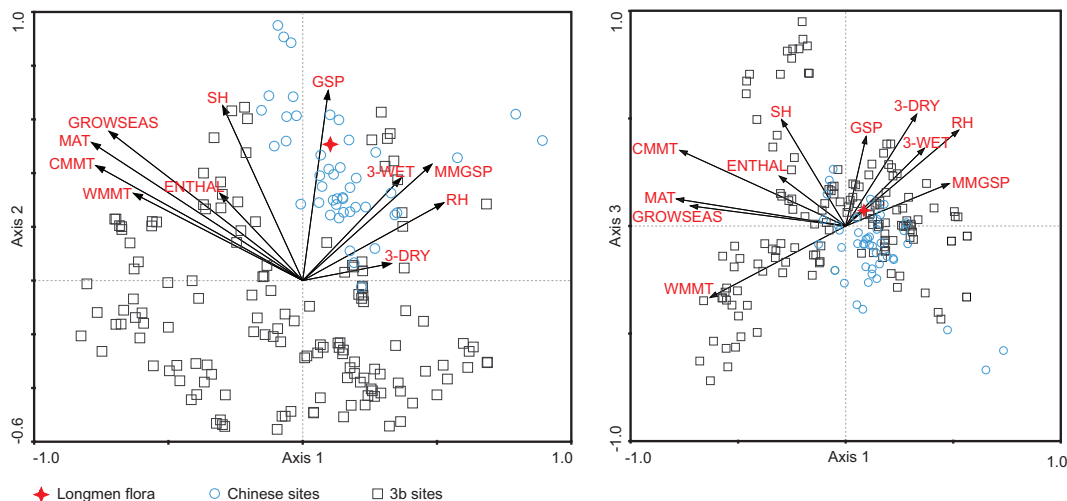


Fig. 1. Position of the Longmen flora in the physiognomic space of the calibrated CLAMP dataset – PhysgAsia1.

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

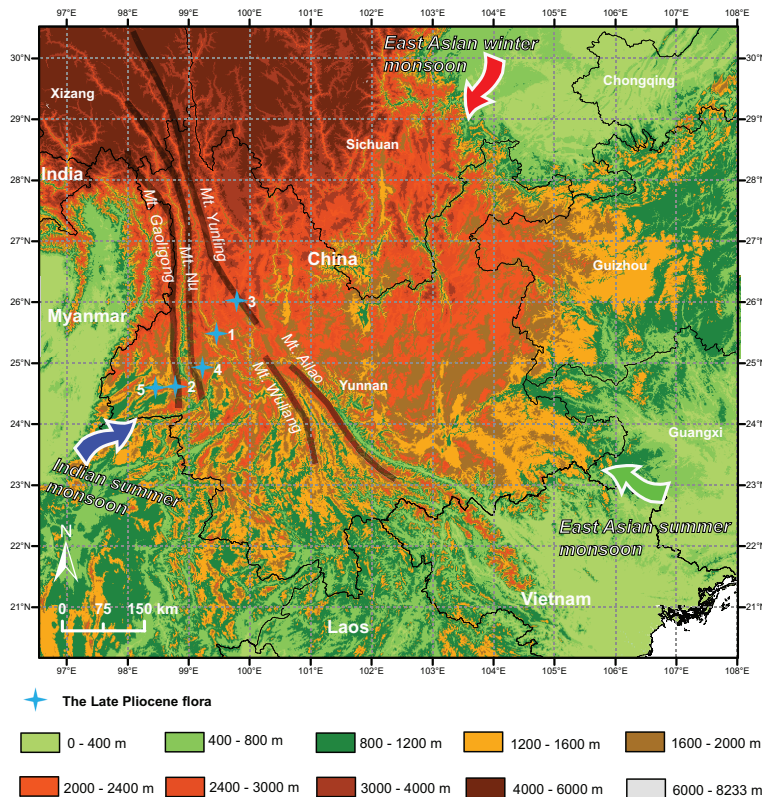


Fig. 2. The Asian monsoon system in Yunnan nowadays and the late Pliocene floras in western Yunnan. 1. Longmen, Yongping; 2. Longling; 3. Liantie, Eryuan; 4. Yangyi, Baoshan; 5. Tuantian, Tengchong. Detailed information on each flora is in the Supplement Table 1.

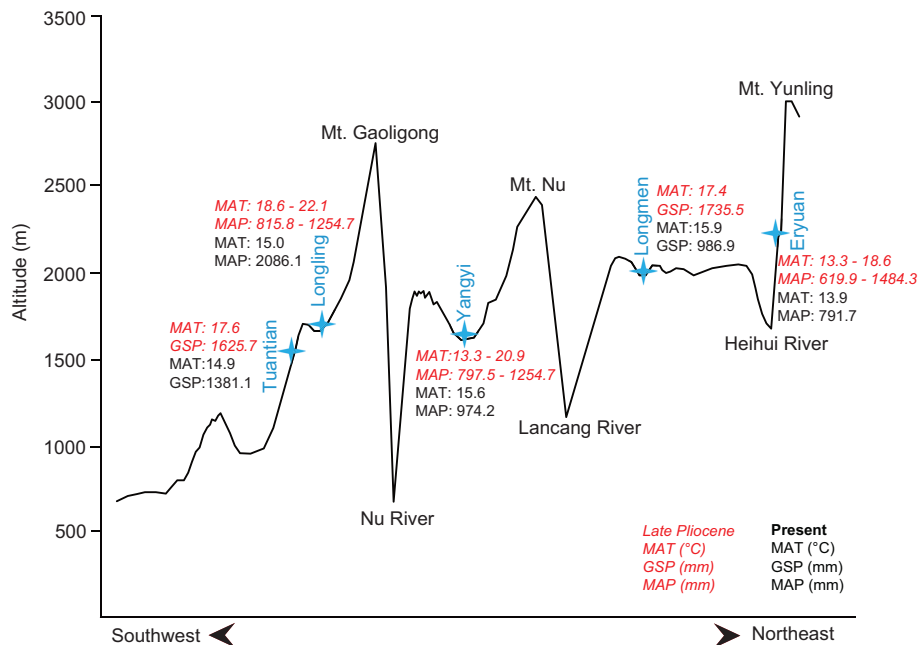


Fig. 3. Spatial comparison on temperature and precipitation between the late Pliocene and nowadays in western Yunnan (modified from Kou et al., 2006). Climatic data correspond to Supplement Table 1.

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

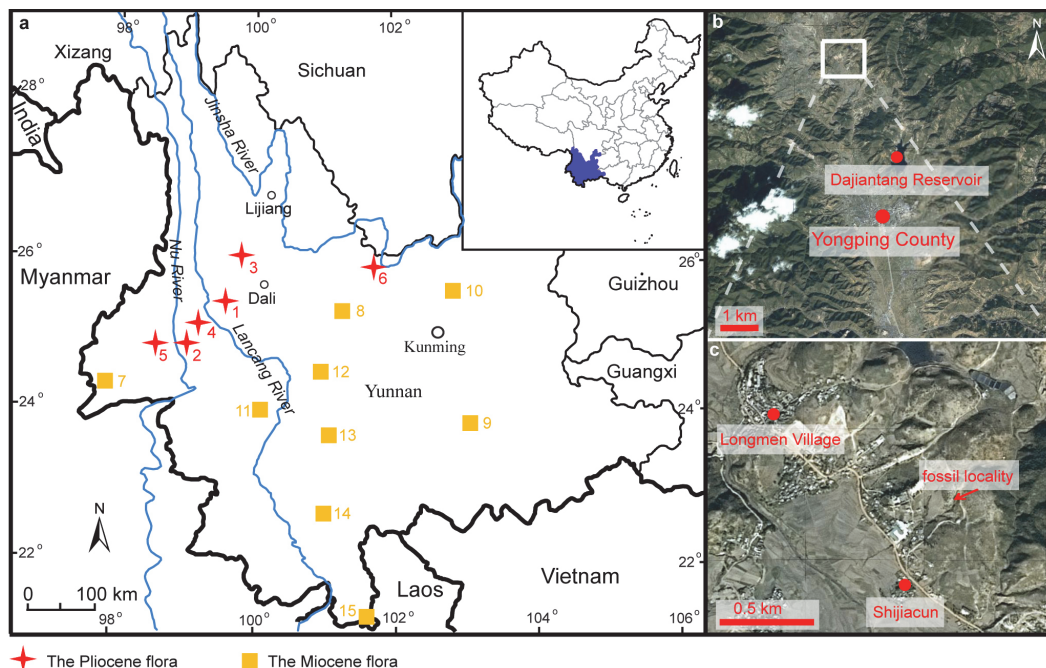


Fig. A1. Maps showing fossil localities of this study and floras with quantitative paleoclimate reconstructions. Information on fossil localities and reconstructed climates are detailed in Supplement Table 1. **(a)** 1. Longmen, Yongping (this study); 2. Longling (Xu et al., 2004; Kou et al., 2006); 3. Liantie, Eryuan (Kou et al., 2006); 4. Yangyi, Baoshan (Kou et al., 2006); 5. Tuantian, Tengchong (Xie et al., 2012); 6. Yuanmou (Yao et al., 2012); 7. Longchuan (Zhao et al., 2004); 8. Lühe, Chuxiong (Xu et al., 2008); 9. Xiaolongtan, Kaiyuan (Xia et al., 2009; Jacques et al., 2011a); 10. Xianfeng, Xundian (Xing et al., 2012); 11. Bangmai, Lincang (Jacques et al., 2011a, b); 12. Jingdong (Zhang et al., 2012); 13. Zhenyuan (Zhang et al., 2012); 14. Jinghong (Zhang et al., 2012); 15. Mengla (Zhang et al., 2012). **(b)** and **(c)** Fossil locality of this study.

CPD

9, 1675–1701, 2013

Post-Pliocene establishment of the present monsoonal climate in SW China

T. Su et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

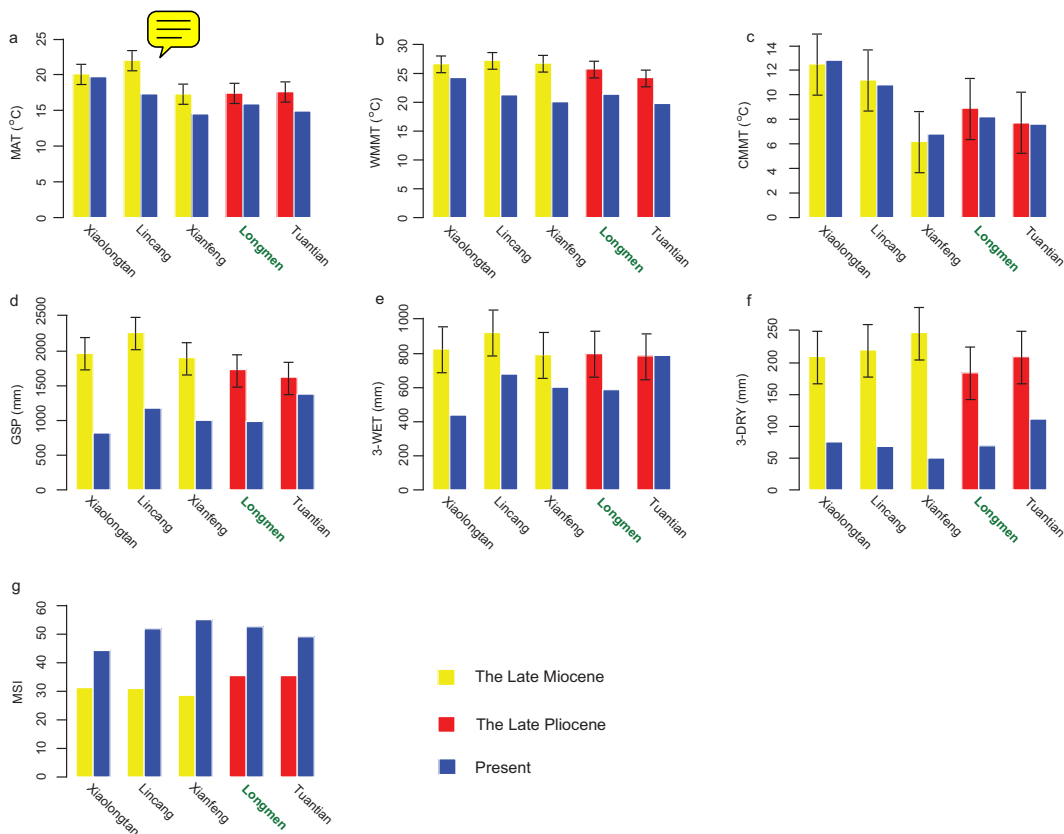


Fig. A2. Comparisons on climatic parameters among the late Miocene, the late Pliocene and the present day in Yunnan. Climatic data of the late Miocene and the late Pliocene are from the calibrated CLAMP dataset (PhysgAsia1) (see more details in Supplement Table 1). Climatic data of the present day are from Yunnan Meteorological Bureau (1984).