

**Sediment transport processes across the Tibetan Plateau**

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# Sediment transport processes across the Tibetan Plateau inferred from robust grain size end-members in lake sediments

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## Abstract

Grain size distributions offer powerful proxies of past environmental conditions that are related to sediment sorting processes. However, they are often of multimodal character because sediments can get mixed during deposition. To facilitate the use of grain size as palaeoenvironmental proxy this study aims to distinguish the main detrital processes that contribute to lacustrine sedimentation across the Tibetan Plateau using grain size end-member modelling analysis.

Between three and five robust grain size end-member subpopulations were distinguished at different sites from similarly-likely end-member model runs. Their main modes were grouped and linked to sediment transport and depositional processes associated with certain climatic background and catchment configurations.

The coarse sands and clays with grain size modes  $>250\ \mu\text{m}$  and  $<2\ \mu\text{m}$  were probably transported by fluvial processes. Aeolian sands ( $\sim 200\ \mu\text{m}$ ) and coarse local dust ( $\sim 60\ \mu\text{m}$ ), transported by saltation and in near-surface suspension clouds, are probably related to occasional westerly storms in winter and spring. Coarse regional dust with modes  $\sim 25\ \mu\text{m}$  may derive from near-by sources that keep in longer-term suspension. The continuous background dust is differentiated into two robust end-members (modes:  $5\text{--}10$  and  $2\text{--}5\ \mu\text{m}$ ) that may represent different sources, wind directions and/or sediment trapping dynamics from long-range, upper-level westerly and episodic northerly wind transport. According to this study grain size end-members of only fluvial origin contribute small amounts to mean Tibetan lake sedimentation ( $19 \pm 5\%$ ), whereas local to regional aeolian transport and background dust deposition dominate the clastic sedimentation in Tibetan lakes (contributions:  $42 \pm 14\%$  and  $51 \pm 11\%$ ). However, fluvial and alluvial reworking of aeolian material from nearby slopes during summer seems to limit end-member interpretation and should be crosschecked with other proxy information. If not considered as a stand-alone proxy, a high transferability to other regions and sediment archives allows helpful reconstructions of past sedimentation history.

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## 1 Introduction

The Tibetan Plateau is the world's largest mountain plateau with an average elevation of 4300 m a.s.l. It plays an important role for global climate circulation (e.g., Kutzbach et al., 1993; Immerzeel et al., 2010). Different circulation systems, including the Asian monsoons and the westerlies, interact along a climate gradient from south-east to north-west (Böhner, 2006). Summers are dominated by moist summer monsoonal air masses, local convection and cyclonic circulation from plateau heating. Winters are dry and cold, but have much stronger, continuous winds due to the influence of the westerlies and the Siberian High (MauSSION et al., 2013). Due to the high altitude of the Plateau, the wind patterns are strongly related to the mid-troposphere circulation, but are also influenced by orography (Kropacek et al., 2013). The variability of these circulation patterns are still under debate (Xu et al., 2007; Liu et al., 2009; Immerzeel et al., 2010).

The Tibetan Plateau hosts around 6880 lakes larger than 0.1 km<sup>2</sup> and 7 lakes larger than 500 km<sup>2</sup> (Kropacek et al., 2013), fed mainly by summer precipitation, glacial melt and to a minor extent by melting permafrost (Liu et al., 2009). These lakes can contain highly-resolved, continuous sediment records that can be used to reconstruct past circulation patterns using a variety of proxy information (e.g., Mischke et al., 2009; Kasper et al., 2012; Opitz et al., 2012). However, to decipher the complex interactions of regional climate and other drivers of environmental change it is necessary to disentangle the associated processes that translate environmental change into properties, which can be stored in archives over longer times.

Detrital allochthonous particles reach a lake, which is the final depocenter, from multiple sediment sources and via different transport processes (fluvial, aeolian, glacial) along local or regional sediment cascades. The size distribution of detrital particles is one of the standard parameters used for sedimentary facies description and determination of depositional environments (e.g., Folk and Ward, 1957; Flemming, 2007). Natural grain size distributions are composed of dynamic subpopulations that were sorted

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by different sediment production, transport and accumulation processes (Weltje and Prins, 2007). These processes sort sediments in a characteristic way depending on characteristics of sediment sources, availability, local surface roughness and transport energies (wind strength, flow and shear velocities; Tsoar and Pye, 1987). In sedimentological studies varved and laminated sediments studied with thin sections allowed a direct link between grain size and (observed) depositional processes (Cockburn and Lamoureux, 2008; Francus et al., 2008; Mischke et al., 2009; Chu et al., 2011).

If sediments were mixed, for example in the water column, by biotic activity and/or geochemical alterations (Cohen, 2007) or sampling procedure, mean grain size information were often interpreted in terms of sediment core position relative to the shore and related to lake level changes (Doberschütz et al., 2012; Kasper et al., 2012; Opitz et al., 2012; Dietze et al., 2013). However, the often used deterministic approaches of descriptive moments of single samples (Folk and Ward, 1957; Blott and Pye, 2001) are limited and rather inappropriate for multimodal grain size distributions derived from high-dimensional state-of-the-art laser-diffraction analysis. The statistical decomposition of grain size data into their original subpopulations gives more proper and detailed information on sedimentation dynamics.

Decomposition currently includes the fitting of theoretical functions to individual samples (Sun et al., 2002; Bartholdy et al., 2007), where often some prior knowledge of the natural subpopulation is needed (Flemming, 2007) and interpretation of the fitting parameters is rather difficult. Another approach uses all available samples from a certain archive and unmixes the detrital subpopulations with end-member modelling analysis (EMMA; Weltje, 1997; Dietze et al., 2012). EMMA contributes to a better operationalism in particle size analysis (Hartmann, 2007) and is based on principles of numerical inversion to reduce redundancy. It considers compositional data constraints and provides sedimentologically interpretable grain size end-members (Weltje, 1997; Dietze et al., 2012). EMMA was already successfully applied to the multi-modal grain size distributions of marine, lacustrine, and aeolian sediments (Stuut et al., 2002; Weltje and

Prins, 2007; Dietze et al., 2012, 2013; Ijmker et al., 2012), where end-members were interpreted as sediment transport proxies.

This contribution aims to disentangle sediment transport and deposition signals from mixed lake sediments to facilitate a more detailed interpretation of grain size proxies in future palaeoenvironmental reconstructions. Using end-member modelling analysis it studies grain size distributions and the robust end-member subpopulations of lacustrine sediment records from six sites across the Tibetan Plateau. The records were chosen to cover different lake-catchment systems, site conditions, and time scales. This will allow the characterization of the most important and typical sediment transport processes that lead to deposition of clastic sediment in Tibetan lakes independent of space and time.

## 2 Study sites

Sediments from five lakes of different sizes (0.0145 to  $\sim 2000 \text{ km}^2$ ) and one peat bog were studied, which are located between 4090 and 4720 m a.s.l. at the north-eastern Tibetan Plateau (i.e., Lake Donggi Cona, Qinghai province) and at the southern Tibetan Plateau (all other sites, Xizhang province, China; Fig. 1; Table 1). The lakes were chosen to have different maximum water depths (9 to 220 m) and varying catchment sizes (4.6 to  $\sim 10\,000 \text{ km}^2$ ; Table 1). Sediment cores were retrieved at water depths between 8.4 and 220 m at different distances to the shore and at one palaeo-lake site (Table 2).

Vegetation in the catchments is composed of semi-arid short-grass steppe, mat-forming *Kobresia*, *Artemisia* dwarf-shrubs and intercalated Cyperaceae-swamps (Kürschner et al., 2005; Miehe et al., 2013) with lower vegetation cover and open soil patches towards the westernmost lake Taro Co (Fig. 1). All lakes are ice-covered in wintertime during most of the years, although a complete ice cover may only be obtained at the large lakes during cold, but calm winters (Kropacek et al., 2013).

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### 3 Methods

#### 3.1 Laboratory analysis

To study the detrital fraction of the lake sediment composition all sediments were pre-treated with hydrogen peroxide ( $\text{H}_2\text{O}_2$ , 15%, 30%) to remove organic matter. To remove carbonates sediments analysed at the Friedrich-Schiller-University Jena (Nam Co, Tangra Yumco, TT Lake, Targo Xian, Taro Co) were treated with hydrochloric acid (HCl, 15%), whereas the Donggi Cona sediments analysed at Alfred-Wegener-Institute Potsdam were pretreated with acetic acid ( $\text{CH}_3\text{COOH}$ , 10%). After adding sodium pyrophosphate ( $\text{Na}_2\text{HPO}_4 \cdot 10\text{H}_2\text{O}$ ) samples were put in an overhead shaker for 12 h. After 10 s of ultra-sonic samples were measured in several cycles until a reproducible signal was obtained using the Fraunhofer optical model in Beckmann Coulter laser diffraction particle size analysers LS 13 320 (Jena) and LS 200 (Potsdam).

#### 3.2 End-member modelling analysis (EMMA)

Grain size data was analysed separately for each lake system. All samples from Donggi Cona cores PG-1900, PG-1901 and PG-1904 of similar water depths were combined. For Taro Co (TOC) EMMA was performed separately for each core and combined thereafter to see if water depth and distance to the shore affect the results. Graded, event layers are difficult to assess with EMMA, as they represent a single process affecting all grain size fractions in contrast to the size-sorting processes that are of interest here. It was attempted to exclude these layers. Generally, grain size classes containing zero values in all samples were ignored to avoid numerical instabilities (Table 3). All samples were recalculated to the constant sum of 100% (Dietze et al., 2012).

EMMA extracts end-members from the eigenspace of a data set. An end-member consists of end-member loadings, which are the representation of the end-member in the variable space (here: grain size class) and end-member scores, the composition in the sample space. The loadings were scaled to be genetically meaningful (scale and

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unit according to original data; Weltje, 1997) using a weight transformation after Klován and Imbrie (1971). The scores will be discussed elsewhere in the frame of multi-proxy palaeo-environmental reconstructions. Grain size end-members were calculated after Dietze et al. (2012) using extensions implemented in the R package *EMMAgeo* (Dietze and Dietze, 2013, <http://CRAN.R-project.org/package=EMMAgeo>).

Dietze et al. (2012) showed that from different similarly-likely end-member models some end-members had modes at almost identical grain size fractions. The less an end-member loading varies and the more often it occurs, when using different similarly-likely model runs, the more robust it is. For the similarly-likely models the number of end-members  $q$  can be varied between  $q_{\min}$  and  $q_{\max}$  (Dietze et al., 2012). Similarly, the quantile range  $l$  (“percentile” in Dietze et al., 2012) included in the weight transformation may range between zero (Miesch, 1976) and a maximum quantile  $l_{\max}$  defined as the highest-possible quantile allowing numerically stable weight transformations. The ranges of  $q$  and  $l$  can result in potentially infinite numbers of end-member models. For computation efficiency we used a sequence of 50  $l$  values. From combinations of  $l$  and the likely range of  $q$  only robust and interpretable end-member models were selected. Robust end-members (rEM) are defined using the following criteria: (a) unmixing of subpopulation had to be effective and was measured by calculating the overlap of the modes in the end-member loadings. Only models with non-overlapping, interpretable end-member loadings were included and (b) similar end-member loadings should occur in most of the similarly-likely model runs (high frequency of modes in the same or directly adjacent grain size classes) as determined from histogram-plots (Fig. 2a).

The mean total variance of each end-member was calculated to assess the relative importance of each end-member in each dataset. Furthermore the best-possible parametrisation of an end-member model is given with an optimal weight quantile ( $l_{\text{opt}}$ ), which resulted in the highest explained variance compared to all other  $l$  and an optimal number of EM ( $q_{\text{opt}}$ ) at the inflection point within the  $Q-R_{\text{mean}}^2$ -plot of the  $l_{\text{opt}}$ -model in consensus with previous works (Weltje and Prins, 2007).

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### 3.3 Wind data

To interpret the observed end-members in the context of the recent climate, data from the High Asia Reanalysis was used (HAR, Maussion et al., 2011; Maussion et al., 2013, <http://www.klima.tu-berlin.de/HAR>). To consider aeolian sediment transport pathways and energies, the hourly surface wind fields at 10 m height (wind speed and direction) and the wind shear velocity field were extracted from the 10 km resolution products. They were averaged for the period 2001–2011 and for the seasons December, January, February (DJF), March, April, May (MAM), June, July, August (JJA) and September, October, November (SON).

## 4 Results

### 4.1 EMMA-statistics

All sediment records showed multimodal grain size distributions with different modes or shoulders in the individual samples (Fig. 2a). Calculating similarly-likely EM models resulted in a distinct pattern of EM loadings, i.e., grain size subpopulations, for each dataset (Fig. 2b). In general, histograms indicated between three and five highly frequent primary modes of the loadings at certain grain size classes (Fig. 2b). Compared to the original multimodal distributions, these rEM subpopulations were clearly unmixed. The mean and standard deviations of these robust end-member loadings, numbered according to the position of their primary mode from coarse to fine grain size fractions, are shown in Fig. 2b.

As an example, Fig. 3 shows illustrative plots for the Nam Co dataset using a sequence of 10  $I$  indicating how  $q_{\max}$ ,  $I_{\max}$ ,  $I_{\text{opt}}$  and  $q_{\text{opt}}$  were determined (automatically provided in R package *EMMAgeo*, Dietze and Dietze, 2013; for  $q_{\min}$  see Dietze et al., 2012) and which models resulted in overlapping end-member loadings. Table 3 summarizes these parameters for all data sets. These boundary parameters are not

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associated, which means the total number of samples, non-zero grain size classes, optimal number of EM ( $q_{opt}$ ) or number of included similarly-likely EM models does not affect the number of robust EM or the mean total variance they explain.

Some sites (e.g., Tangra Yumco) showed rather clear rEM loading patterns with very small deviations from the mean indicating a high robustness of the resulting end-members. Some sites had rEM that were very robust (e.g., Targo Xian rEM 3 to 5, Fig. 2). Others were less robust as these may explain only a small part of variance (rEM1 of TOC11/6 and both TOC cores, Fig. 2). There was no systematic measurement bias comparing grain size datasets measured in Jena and in Potsdam.

Important features are the smaller secondary modes observed in all loadings independent of laboratory pretreatment. In all cases except for rEM2 of the Nam Co dataset they represent numerical artifacts as they appear below the primary modes of other end-members. We assume that the secondary modes arise from the orthogonality and linearity constraint in EMMA, because due to measurement procedures and the sedimentary processes itself the eigenspace is likely non-linear. However, Dietze et al. (2012) found that oblique rotations resulted in less interpretable end-members, which is why orthogonal constraints were kept. Here, the secondary mode artifacts were masked out to allow a better comparison and discussion of the major modes (Fig. 4).

## 4.2 Robust end-member loadings

EMMA of the TOC11/4-core and the NC08-1 core produced three rEM explaining 83.7 and 86.0 % of the mean total variance (Table 2). Four rEM occurred in the TT Lake-, Donggi Cona- and Tangra Yumco-datasets explaining 81.4, 84.1 and 90.8 % of the mean total variance. The most frequent end-member in the TT Lake dataset in the coarse fraction, however, was not integrated in the mean robust end-member compilation (compare Fig. 2a and b), because it was only present in two samples explaining only 1 % of the mean total variance, which furthermore resulted in a poorer unmixing of rEM1- and 2-modes. Adding the set of modern lake surface samples (Dietze et al.,

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2012) to the Donggi Cona data set resulted in similar end-member composition for the core data indicating the reliability and robustness of the results (not shown).

The grain size distributions of TOC11/4, TOC all and Targo Xian were composed of five rEM explaining 89.1, 87.6 and 85.0% of the mean total variance of the original datasets. The rEM modes of the individual Taro Co cores were also present at the same grain size fractions and with similar shape in the rEM of both cores (Fig. 2, TOC11/4 and TOC11/6 vs. TOC all). However, the location of the Taro Co core sites played a role in the number of rEM ( $q$ ) with higher  $q$  at TOC11/4 compared to the distal site of TOC11/6 (Table 3).

## 5 Characteristics and origin of the robust grain size end-members

### 5.1 Preliminary considerations

Modelled robust end-members showed distinct grain size modes that can be grouped across the Tibetan Plateau, independent of the length of the individual sedimentary sequence. Similar modes were found as typical components in multimodal grain size data of sediments in the Donggi Cona catchments (Stauch et al., 2012; Dietze et al., 2013). They reflect known distributions of fluvial and aeolian sediment types similar to those investigated at their characteristic depositional environments (Sun et al., 2002, 2007; Vandenberghe, 2013). To better understand the relation between grain sizes, transport mode and depositional environment some climatic parameters, the mean wind velocity, direction and shear velocity across the Tibetan Plateau for the four seasons are presented in Fig. 5 and Table 4. The seasonality in the wind patterns is evident, since high velocities and spatially consistent patterns are observed in winter. In summer, a marked cyclonic circulation (the “Tibetan Low”) characterizes the surface wind fields, with larger differences between the studied lakes. Averaged across all areas above 3000 m a.s.l. highest shear velocities ( $>0.8 \text{ m s}^{-1}$ ) occur in winter and spring, whereas autumn is the calmest time of the year (Fig. 5, Table 4a). Regionally, highest wind velocities occur

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more to the west and south of the Tibetan Plateau along the main track of the westerlies and the highest mountain ranges. Hence, more wind shear velocity extreme events ( $> 1.2 \text{ m s}^{-1}$ ) that could transport also coarser grains, occur along the climatic gradient from the westernmost lake Taro Co to Nam Co and are more rare at lake Donggi Cona (Table 4b).

For the interpretation in terms of typical Tibetan-wide sediment transport and depositional processes, robust grain size end-members are associated with this climatic conditions including Tibet-wide lake ice phenology (Kropacek et al., 2013), precipitation and snow/glacial melt (Maussion et al., 2013), the latter being the main triggers of fluvial sediment transport. For simplicity rEMs were grouped in terms of transport modes with modes  $> 90 \mu\text{m}$  being primarily transported locally by saltation. Grain size rEM between  $40$  and  $90 \mu\text{m}$  represent local dust sediment transport, whereas grains  $< 40 \mu\text{m}$  represent regional plateau-wide and remote dust flux in long-term suspension. The finest rEM with modes  $< 2 \mu\text{m}$  as a special case might represent local fluvial clays.

## 5.2 The “local transport” end-members

### 5.2.1 Fluvial sands

At the terrestrial site Targo Xian the coarsest rEM of all sites was found with a mode between  $250$  and  $600 \mu\text{m}$  in the medium to coarse sand. It had a minor mode at  $1 \text{ mm}$  and a shoulder at  $\sim 280 \mu\text{m}$  with a maximum at  $450 \mu\text{m}$ . It contributed  $13.7\%$  to the mean grain size variance (Fig. 2). Its scores were high in the Targo Xian lithological unit, where also gravels were found. Tentatively, this rEM also contributed to the small TT Lake but there it explained only  $1\%$  of the grain size.

This rEM indicates a transport mechanism delivering particles to the site by rolling and saltation in high-energy fluvial suspension (Fig. 4). Fluvial sediments can be of multimodal character depending on sediment load and current velocities, typically showing coarse modes between  $200$  and  $400 \mu\text{m}$  (Sun et al., 2002) or even coarser. Compared to sediment from aeolian transport fluvial deposits are generally coarser and poorly

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sorted (Tsoar and Pye, 1987). In modern surface sediments and in on-shore high-stand sediments of Lake Donggi Cona this mode was also present in small amounts with a similar mode shape. There, it was interpreted as fluvial and littoral transport, because it appeared only in the fluvial and wave-sorted high-stand facies (Dietze et al., 2012, 2013). Furthermore, it was the prominent mode of typical alluvial fan deposits in an aeolian-fluvial sediment-soil sequence (Pendea et al., 2009). The absence of fluvial sands in the lacustrine sediments of the larger lakes might be related to the distance to the shore (and to inflows) and the immediate settling, when fluvial transport energy drops at the lake shore. Fluvial transport occurs in spring (snow melt) and summer, when the ground has thawed and most precipitation occurs (Maussion et al., 2013).

### 5.2.2 Aeolian sands

The coarsest rEM in the larger lake settings had a mode in the fine to very fine sand between 90 and 250  $\mu\text{m}$ . It had a very similar mean shape and maximum position at  $\sim 150 \mu\text{m}$  at Taro Co and at Tangra Yumco (Fig. 2a). The mode was finer at Donggi Cona and TT Lake (maximum at 120  $\mu\text{m}$ ). It explained  $\sim 20\%$  of variance at the small TT Lake and 12.5% at the TOC11/4 site. It contributed much less to the sediment at the more distal, deep water positions of Tangra Yumco and Taro Co (TOC11/6). At Targo Xian there were two rEM modes in this range (Figs. 2b and 4). One was well-sorted and coarser between 250 and 100  $\mu\text{m}$  with its maximum at 194  $\mu\text{m}$ . It made up one third of the site's grain size variance. The second was a relatively broad, but very robust mode in the very fine sand between 50 and 150  $\mu\text{m}$  with a maximum at 92  $\mu\text{m}$  and a secondary shoulder at 60  $\mu\text{m}$ . It explained 21% of the Targo Xian grain size variance (Fig. 2). In general, this mode was relatively heterogeneous and less robust (large standard deviation, Fig. 2b) than other rEM modes.

This rEM may be associated with a local transport of rolling and saltation by strong surface winds. The same size fractions dominated in dark laminae of Lake Kuhai (Fig. 1, Mischke et al., 2009) and were composed of subangular mineral grains indicative for wind transport. In modern Donggi Cona surface sediments this end-member

occurred randomly in all samples and was interpreted as sands moving on the frozen lake surface (Dietze et al., 2012). Hence, a (partial) freezing of the lake from the shore is required to contribute sand to more distal positions, also by drifting ice containing trapped sediment. However, at larger lakes only little sandy material can reach the more distal locations because ice traps coarse particles in cracks and occasional snow cover (Nedell et al., 1987), which would explain the lack of this end-member in the Nam Co core.

The transport of “aeolian sands” (Sun et al., 2002) on frozen lakes can be associated with very strong, episodic winds that are dominant especially during winter and early spring times on the Tibetan Plateau from westerly and northerly directions (Fig. 5). During winter and spring storms with mean shear velocities of  $> 0.8 \text{ m s}^{-1}$  this transport is most effective and grains  $> 90 \mu\text{m}$  could even go into short-term suspension (Tsoar and Pye, 1987; Table 4). A brownish stained ice surface was observed several times at Donggi Cona and Lake Kuhai (Fig. 6); especially during spring ice break-up (Mischke et al., 2009). Its mode heterogeneity might be related to different grain inventories at the littoral sources, e.g., dune fields and local river beds (Stauch et al., 2012). It fits well with the aeolian sediment type 1.a described by Vandenberghe (2013), who pointed out its local character.

At the terrestrial Targo Xian site the subdivision into two rEM (Fig. 2a) that occurred in samples of different age (not shown) could indicate a systematic change in transported material and/or wind field. The well-sorted coarser rEM can be related to dune formation (Tsoar and Pye, 1987; Sun et al., 2002). However, the finer rEM was finer than typical dune sediment and it might even point to a different process: reworking of sands of different sources by low-energy unconfined alluvial flow (sensu North and Davidson, 2012) as found in Donggi Cona high-stand sediments (Dietze et al., 2013).

### 5.2.3 Coarse local dust

At the boundary of very fine sand and coarse silt a further rEM had a mode between 40 and  $90 \mu\text{m}$  with maxima in the TT Lake and Donggi Cona datasets at 53 and  $63 \mu\text{m}$ ,

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respectively. It was only present at these two sites and had a secondary shoulder  $\sim 100 \mu\text{m}$  (Fig. 2) indicating that saltation and/or high shear velocities might still be important. This rEM was similar to the local dust end-member in the Donggi Cona lake surface samples, where it contributed  $\sim 17\%$  to the total sediment composition (Dietze et al., 2012) – the same contribution as to TT Lake sediments.

In the catchment of Lake Donggi Cona it was the dominant grain size fraction in sandy loess (Stauch et al., 2012) and constituted an end-member in onshore lake high-stand sediments interpreted as local dust (Dietze et al., 2013). The sediment represented by this rEM was probably transported from proximal sources as coarse local dust that was lifted into near-surface, short-term suspension clouds, when shear velocities between  $0.2$  and  $0.8 \text{ m s}^{-1}$  prevailed (Tsoar and Pye, 1987). Although these shear velocities are observed in all seasons (Fig. 5, Table 4), sediment needs to be available in the catchments as in periods of unfrozen soil and limited vegetation cover during spring and early summer. Sun et al. (2003) found the same grain size modes in present-day dust deposited after major spring-summer dust storms on the Chinese Loess Plateau. On the Tibetan Plateau the sandy loess probably has its source in local rivers, sand sheets at the slopes, periglacial and glaciofluvial debris (Stauch et al., 2012). It corresponds to the coarsest-grained loess fraction distinguished by Vandenberghe (2013; i.e., sediment type 1.b.3, also associated with loess deposited during high wind intensities at the Loess Plateau).

### 5.3 The “background deposition” end-members

#### 5.3.1 Coarse regional dust

A rEM present in all studied Tibetan lake sediment records had modes between  $10$  and  $40 \mu\text{m}$ , with broader maxima at  $17 \mu\text{m}$  (Nam Co and Tangra Yumco) and  $21 \mu\text{m}$  (TT Lake) (Figs. 2 and 4). The mode was very well-sorted and symmetrical at Taro Co (TOC) between  $23$  and  $42 \mu\text{m}$  with a maximum at  $33 \mu\text{m}$ . It contributed almost  $50\%$  of the sediment to the deep position of this westernmost lake (TOC11/6). At Targo Xian,

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rEM 4 (Fig. 2b) had a slightly finer, but much broader mode with a maximum at 11.8  $\mu\text{m}$ . Donggi Cona core sediments consisted of a very broad mode of rEM 3 between 5 and 40  $\mu\text{m}$  with a maximum at 22  $\mu\text{m}$  (Fig. 2b).

The grain sizes of this rEM are similar to the loess described from Donggi Cona catchment with a suggested source area in the Qaidam Basin,  $\sim 100$  km away (Stauch et al., 2012) – a similar distance as reported from a provenance study of Romanian loess of the same size (Pendea et al., 2009). Particles of these sizes can easily be captured on water surfaces, but also in snow and ice or at (vegetated) slopes (Gossens, 2005; Stauch et al., 2012), which was the suggested depositional process for the robust “remote dust” grain size end-member in Donggi Cona surface and lake high-stand sediments (Dietze et al., 2012, 2013). The typical Tibetan loess was slightly coarser in the Yarlong Zangbo River valley south-east of Nam Co compared to the Donggi Cona loess at the north-eastern Tibetan Plateau (20–44  $\mu\text{m}$ , Sun et al., 2007 compared to 10–30  $\mu\text{m}$ , Stauch et al., 2012).

This rEM represents the size fractions of standard loess that can keep in short-term suspension in the lower atmosphere (Tsoar and Pye, 1987). It could episodically be transported over larger distances and longer time compared to coarser fractions. Particles  $< 20$   $\mu\text{m}$  can stay in suspension for days during storms with mean shear velocities  $> 0.3$   $\text{m s}^{-1}$  (Tsoar and Pye, 1987) that occur throughout the year, but are less likely during autumn (Fig. 5). Hence, this mode should be associated with large-scale, plateau-wide dust storms that deposit coarse regional dust discontinuously during dry periods, e.g. during Tibetan spring storms before the onset of the monsoonal rain season. It can be transported occasionally also in summer times by cyclonic circulations to the northern and western Tibetan Plateau with northeasterly winds (Chen et al., 2013). This rEM represents a combination of the silt sediment types 1.b.2 and 1.b.3 of Vandenberghe (2013).

If transported by wind alone, the mode would be well-sorted as in the case of Taro Co sediments (Fig. 4). However, it is also likely that loess was reworked from the on-shore slopes, e.g., by unconfined overland flow, as observed in summers 2006 and

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2009 at Lake Donggi Cona, which might also be likely at the terrestrial site Targo Xian. These sediment-saturated flows enter the lakes as density over- or interflows (Sturm and Matter, 1972) and can be distributed by water currents within the lake also to more distal sites. This would not alter the grain size mode because the aeolian signature can still be well-preserved (Vandenberghe, 2013), if the fluvial transport ways were short and no further sorting occurred. A comparison of terrestrial sheetflood and loess surface samples of the Donggi Cona catchments showed similar grain size distributions supporting this assumption (Dieckmann and Dietze, unpublished). However, the environmental conditions and implications for using this rEM as a proxy in palaeoclimate studies would be slightly different for each case and the shape of the mode could be useful for their distinction.

### 5.3.2 Remote dust

A rEM in the very fine silt had a mode between 5 and 10  $\mu\text{m}$ , with maxima at 6.2 and 7.6  $\mu\text{m}$  at Taro Co (finer at the deeper location) and 8.2  $\mu\text{m}$  at lakes Tangra Yumco and Nam Co (Fig. 4). At Nam Co it constitutes the main mode of the multimodal rEM sediment (Kasper et al., 2012). It was absent in the small TT lake, but could be integrated in Targo Xian rEM 4 (Figs. 2 and 4), which consisted mainly of the fine short-term suspension end-member and seemed to be a reworked loess (see above). The more prominent robust clay end-member that appeared all over the Tibetan Plateau had a mode between 2 and 5  $\mu\text{m}$ , with maxima at 2.4 (Nam Co),  $\sim 3.4$  (TT Lake, Donggi Cona, Taro Co), and 4.2  $\mu\text{m}$  in lake Tangra Yumco (Fig. 4). Light laminae of subspherical particles  $\sim 4 \mu\text{m}$  represented this mode in Lake Kuhai (Fig. 1), although their alteration with darker, coarser laminae could not be related to seasonal or annual cycles (Mischke et al., 2009).

These rEM represent the finest aeolian sediments distinguished by Vandenberghe (2013; type 1.c.2: 2–10  $\mu\text{m}$ ). According to Sun et al. (2004) particles of the size fractions represented by both rEM can be transported over large distances (1000–2000 km) in atmospheric levels up to 7 km high. Hence, these rEM grain size modes



represent a continuous background dust deposition all year long (Sun et al., 2004; Vandenberghe, 2013) and can be traced even in the North Pacific (Rea, 1994). On the Tibetan Plateau the remote dust is assumed to originate mainly from the northwestern and northern Central Asian deserts transported by the high-level westerly jet stream in winter and episodic northerly winds in summer time along a main transport route from northwest to southeast (Fig. 5; Zhang et al., 2001; Sun et al., 2002; Stauch et al., 2012; Chen et al., 2013).

In contrast to dry deposition of modern Australian dust (20–40  $\mu\text{m}$ ) considerably finer grains (3.5 to 15  $\mu\text{m}$ ) were accumulated by wet deposition (Hesse and McTainsh, 1999). However, Zhang et al. (2001) showed that wet deposition accounted for less than 10 % of the total Tibetan dust deposition. Hence, dry deposition can be assumed as the major depositional process behind these rEMs. Dry remote dust can also be trapped directly on the water or ice surface of the lakes. These fractions need a calm water column to settle down to the lake bottom, which is probably only possible when the lakes are frozen during winter (Francus et al., 2008).

The two background dust rEM were separated for different reasons: (a) the lake size could play a role – the finest-silt rEM occurred only in the larger lakes, which may be due to different sediment trapping dynamics than on land (Goossens, 2005), (b) the steepness of the catchment close to the lake could influence luv-lee and katabatic wind dynamics (Fig. 1), and/or (c) these two rEM indicate two different source regions, high-level wind directions and wind speeds that could change seasonally. The finest-silt rEM can be compared to modes of 5–8  $\mu\text{m}$  of the Chinese Loess Plateau Red Clays (Vandenberghe, 2013) deposited under intense winter monsoon conditions related to the Siberian High similar to Quaternary loess (Vandenberghe et al., 2004), whereas a finer Red Clay fraction < 5.5  $\mu\text{m}$  representing the clay rEM is assumed to reflect more westerly wind directions from its isotopic signatures (Vandenberghe et al., 2004). Hence, these two background dust end-members should be discussed together with other mineralogical or geochemical proxies to distinguish different sources and wind directions in future palaeoenvironmental discussions.

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A complication similar to other aeolian sediments could be occasional summer fluvial and alluvial flow in the catchment that erode the slope deposits together with soil clay aggregates. Hence, in Donggi Cona surface and lake high-stand sediments this rEM was interpreted more generally as “suspension” load (Dietze et al., 2012) and associated with high lake levels (Dietze et al., 2013). At Lake Nam Co grain size distributions with the secondary mode (rEM 2, Fig. 2b) were interpreted as representative for a lake level rise (Kasper et al., 2012).

### 5.4 Fluvial clay

The finest rEM had a modal size of 0.7 to 2  $\mu\text{m}$  and could only be found at lake Taro Co as an individual rEM with a maximum at  $\sim 1.2 \mu\text{m}$  (TOC11 and TOC11/4; Fig. 4). It explained 22 % of the grain size composition in the 68 m water-depth core TOC11/4 (Fig. 2b) and was absent in the deeper position. It may have contributed to the broader clay mode that dominated the lowermost lithological unit in the record of lake Nam Co, which was deposited during times of a higher lake level (Kasper et al., 2012). At Targo Xian it was part of a broad clay end-member with a mode between 0.7 and 5  $\mu\text{m}$  (maximum at 1.7  $\mu\text{m}$ ).

A likely transport mechanism is fluvial input of clay minerals from weathered catchment soils. At Taro Co they could be washed into the  $\sim 160$  km long river course from the south (Fig. 1) and from the nearby slopes during extreme precipitation events. At Targo Xian and Nam Co the broad and very fine rEM dominated only the lowermost parts of the stratigraphies that were associated with higher lake stands (Kasper et al., 2012). This would indicate wetter times, when also weathering of soils and the amount of runoff were higher. Depending on lake stratification and water currents, finest clay minerals with a size  $< 1 \mu\text{m}$ , were transported farthest into lake Donggi Cona compared to other coarser clay minerals (Opitz, unpublished data).

Clays can only reach the lake during summer runoff and remain in the water column as long as settling is possible by ice cover of the lake. Freezing of lakes normally starts in the protected bays, but also depends on wind and wave activity (Kropacek

et al., 2013). In comparison with the other large lakes of this study, Taro Co had the lowest duration of complete ice cover in the analysis of modern day ice phenology (Kropacek et al., 2013). Hence, it is more likely that the shallow areas freeze and allow an earlier settlement of the finest particles. The deepest lake parts would still be moved by wind-induced currents and fine material would be prevented from settling. Another reason might be the west-east extension of the Taro Co basin (Fig. 1), which allows the greatest fetch along the dominant westerly wind direction (Fig. 5). Hence, surface water currents probably bring particles predominantly to the eastern bay, where the finest particles could sink more easily in descending waters to the bottom.

In summary, the robust clay end-member may result from pedogenic clay that was fluvially transported to the lake. It seems to be of local origin, but may include the finest parts of remote dust. Both fractions settle only when the lake surface is ice-covered.

## 5.5 The relative contribution of grain size end-members

Assuming the reliability of the rEM interpretation, the relative contributions of the three major sediment transport processes can be estimated in a first approach using the sum of the explained variances of the mean rEM (Fig. 2b) grouped into fluvial (sand and clay), local dust and remote dust for each core (Fig. 4). Accordingly, these processes contribute to the sediment accumulation in the studied Tibetan lakes as follows: (a) fluvial processes induced by precipitation and glacier or snow melt contribute  $18.8 \pm 4.5\%$  (Targo Xian rEM 1, TOC11/4 and TOC all rEM 5; mean  $\pm$  standard deviation), (b) short-range local and regional dust  $42.3 \pm 13.7\%$  and (c) the remote dust  $50.9 \pm 10.5\%$ . The broad and ambiguous rEM 5 (Targo Xian), rEM 3 (Donggi Cona) and Nam Co rEM 2 and 3 were not included in this calculation. Hence, fluvial sands and clays from the catchment could make up less than a quarter of total detrital sediment components in this region, whereas aeolian sedimentation seems to be the dominant detrital sediment input in Tibetan lakes and catchments (including subsequent short-term fluvial/alluvial reworking that keep the aeolian signature). In comparison with climatic conditions (Fig. 5), sediment availability and deposition seem to vary seasonally.

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Similarly, Wu et al. (2010) found that there was a 5.6 times higher winter dust deposition on high-altitude Dasuopu glacier compared to summer times, although a differentiation after grain size was not provided. Nevertheless, total annual dust deposition rate on the Tibetan Plateau (local plus remote sources; dry plus wet deposition) was only a third of that in Chinese deserts in a study of dust fluxes during non-dust storm days in 1993 and 1994 (Zhang et al., 2001).

### 5.6 Limitations

Although robust grain size end-members seem to be comparable across the Tibetan Plateau, some limitations have to be considered. First, end-member modelling of multimodal grain size distributions still has some numerical problems concerning unexplainable secondary modes that are not fully understood yet. For example, the secondary mode in Nam Co rEM 2 could either be an artifact or reflect a depositional process that integrated different grain size classes, e.g., by reworking of sediment by debris flow (North and Davidson, 2012) or glacial processes (Diekmann, 1990). However, the performance of EMMA was never tested using classical diamicts.

Second, a likely contribution of authigenic diatom production has to be taken into account. The preservation, distribution and importance of diatoms in most Tibetan lakes is generally low and Tibetan diatom records are sparse (Gasse et al., 1991). Therefore, it was not considered to be an important factor during pretreatment of the studied samples. However, temporal shifts in the silica limitation can alter the diatom abundance and hence, the grain size distributions of the sediment.

Third, the relationship between grain size distributions and transport processes depend on further factors, for example, shear velocities related to land cover and surface roughness, (already sorted) sediment sources, sediment concentrations, pathways and carrying capacities of the transport medium (Tsoar and Pye, 1987; Vandenberghe, 2013). Sediment sorting considers also further grain characteristics like grain shape and density that are related to the respective grain source material. Grains, especially cohesive fine silts and clays, form floccules and aggregates in soils and sediment.

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When fine material is deposited, it would not necessarily disperse into individual particles (Schieber et al., 2007) like during pretreatment for laser particle size analysis. However, after a long transport in suspension either in rivers or in lake currents the finest material would settle down individually under very calm conditions and form clay caps as observed in clastic varves after ice cover (Cockburn and Lamoureux, 2008; Francus et al., 2008).

Finally, it is difficult to compare Tibetan lake sedimentation with other studies, e.g., from arctic or alpine lakes, where sedimentation monitoring strategies helped to better assess lacustrine deposition (Cockburn and Lamoureux, 2008; Francus et al., 2008; Kämpf et al., 2012). First of all, seasonal laminations are rare in the Tibetan lakes (Chu et al., 2011), and a high effort would be needed to link them to sediment trap and meteorological observation at this remote site. Second, in the high-altitude Tibetan Plateau the amount of snow and snow melt is small due to low winter precipitation (Maussion et al., 2013), high insolation and sublimation compared to other permafrost regions (French, 2007). Main runoff and peak precipitation occur during summer and are associated with local convection, cyclonic circulation and thunderstorms (Maussion et al., 2013). They can cause local overland flows that erode slope material complicating the palaeoclimatic interpretation of certain grain size fractions being deposited at a certain time.

## 6 Conclusions

Sediment transported and sorted along different pathways is deposited as allochthonous material in lake systems, where it can get mixed. Modelling grain size end-members can be an attractive, quantitative alternative to classic approaches of grain size data evaluation that help understanding past environmental change.

In this study, different lake systems that store sediments from various time spans, depositional environments and catchment configurations were represented. Grain size end-member modelling of Tibetan lake sediments resulted in statistically robust

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loadings related to the initial grain size sorting processes. Robust grain size end-members were interpreted as sedimentation processes using links to the modern climatic background and local catchment configurations. Most sedimentation processes are consistent across the Tibetan Plateau. Assessing the relative contributions of sediment transport mechanisms local (catchment-wide), regional (plateau-wide) and remote aeolian transport account for most of the clastic sedimentation in Tibetan lakes, whereas the direct fluvial component is of minor importance. In concert with climatic circulation, sediment transport and deposition may differ between seasons, i.e., aeolian transport dominates during times of high wind speeds and shear velocities. Future work will link these grain size-process relation with the past (using end-member scores, i.e., rEM composition in depth/time, Dietze et al., 2012) and facilitate the palaeoenvironmental interpretation of lake sediment archives – a concept, which is transferable to other sediment archives and regions of the world.

Since the interpretation of the robust grain size end-members can be seen in general terms of transport energies and agents it is not restricted to certain time periods, lacustrine records and/or to the Tibetan Plateau. For future palaeoenvironmental reconstructions, robust grain size end-members can provide valuable, independent, and quantitative proxies of typical sedimentation processes that are related to past or present environmental and climatic conditions in many other settings. However, grain size end-members should be seen as continuum and grain size boundaries that were used to group them are far from being fixed. The background conditions for sediment availability, transport and deposition may vary in time in concert with regional changes in climate (precipitation, wind, ice phenology), or local changes of human activity (affecting land cover; Schlütz and Lehmkuhl, 2009) and tectonics (affecting basin and catchment configuration; Dietze et al., 2013). Hence, sedimentation end-members might change their main mode positions and their relative importance, when certain time slices, regions or different types of sediment archives (e.g., loess, dune sequences) are studied individually in a high-resolution.

To better assess their meaning robust grain size end-members should be compared with other proxies, e.g., micropalaeontological, geochemical and mineralogical data, as well as with all available information from basin and catchment morphologies and the mean climatic background. When considering that end-members are not a stand-alone proxy and special local end-members might occur, a high transferability of the method and helpful information on past sedimentation processes related to (palaeo)environmental change are gained.

**Supplementary material related to this article is available online at**  
**<http://www.clim-past-discuss.net/9/4855/2013/cpd-9-4855-2013-supplement.zip>**

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**Table 1.** Information on lake systems used in this study and associated morphometries.

Lake system*	Latitude [° N]	Longitude [° E]	Present altitude [m a.s.l.]	Lake size [km <sup>2</sup> ]	Catchment size [km <sup>2</sup> ]	Salinity	References on lake systems and catchments
Targo Xian	30.77	86.67	4700	0	11.8	fresh	Miehe et al. (2013)
TT Lake	31.10	86.57	4750	0.015	4.6	fresh	Ahlborn et al. (unpublished data)
Donggi Cona	35.26	98.60	4090	235	3028	fresh	Dietze et al. (2010); Opitz et al. (2012); Stauch et al. (2012)
Taro Co	31.17	84.20	4570	474	7423	fresh	Ahlborn et al. (unpublished data)
Tangra Yumco	31.25	86.72	4550	824	9893	brackish	Wang et al. (2010); Kasper et al. (unpublished data)
Nam Co	30.74	90.79	4720	1966	8952	brackish	Wang et al. (2009); Wrozyzna et al. (2010); Kasper et al. (2012)

\* See Fig. 1 for location.

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**Table 2.** Information on lake sediment records used in this study.

Lake system*	Sediment core name	Latitude [° N]	Longitude [° E]	Core length [m]	Time period (ka)	Water depth [m]	Distance to shore [km]	Reference
Targo Xian	Targo Xian core	30.7667	86.6667	3.6	~ 11	0	0	Miehe et al. (2013)
TT Lake	TTL 12/3	31.1029	86.5734	0.89	~ 1.5	8.4	0.02	Ahlborn et al. (unpublished data)
Donggi Cona	PG 1900	35.2601	98.6027	4.23	~ 14	37.6	2.34	Opitz et al. (2012)
Donggi Cona	PG 1904	35.3336	98.4824	4.46	~ 12	39.5	3.45	Opitz et al. (2012)
Donggi Cona	PG 1901	35.2786	98.5621	5.72	~ 20	40.0	2.67	Opitz et al. (2012)
Taro Co	TOC11/04	31.1689	84.2019	1.22	~ 7.5	68.0	2.866	Ahlborn et al. (unpublished data)
Nam Co	NC 08/01	30.7374	90.7903	1.15	~ 4	93.0	7.525	Kasper et al. (2012)
Taro Co	TOC11/06	31.1561	84.1493	0.9	~ 3	123.0	5.2	Ahlborn et al. (unpublished data)
Tangra Yumco	TAN 10/04	31.2526	86.7228	1.62	~ 3	220.0	4.683	Kasper et al. (unpublished data)

\* See Fig. 1 for location.

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**Table 3.** Input and boundary parameters for similarly-likely end-member model runs for robust and optimal EMMA (see text and Dietze et al., 2012).

Site/core	No. of samples	No. of non-zero grain size classes	$I_{\max}$	$I_{\text{opt}}$	No. of included models*	No. of rEM	Total $R^2_{\text{mean}}^*$	$q_{\text{opt}}$
Targo Xian	86	92	0.052	0.031	129	5	0.850	5
TT Lake	53	89	0.028	0.005	241	5	0.889	4
Dongji Cona	128	85	0.012	0.008	225	4	0.841	4
TOC11/4	122	71	0.061	0.010	91	5	0.891	5
TOC11/6	90	72	0.028	0.014	119	3	0.837	3
TOC all	212	72	0.012	0.010	249	5	0.876	5
Tangra Yumco	136	74	0.011	0.004	164	4	0.908	4
Nam Co	92	66	0.016	0.016	128	3	0.860	2

\* Mean robust EM-model.

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**Table 4.** Frequency on an hourly basis of wind shear velocities events from the HAR calculated as (a) average across the Tibetan Plateau for different seasons (winter (DJF), spring (MAM), summer (JJA) and autumn (SON)) and (b) all-year average across the four largest lake catchments.

(a) Seasonal frequency of wind shear velocities events				
$U^*$ [ $\text{m s}^{-1}$ ]	DJF	MAM	JJA	SON
$> = 1.2$	0.17 %	0.20 %	0.01 %	0.05 %
[0.8, 1.2]	3.55 %	4.34 %	1.05 %	1.70 %
[0.5, 0.8]	20.64 %	23.10 %	17.99 %	16.02 %
[0.2, 0.5]	46.72 %	45.83 %	54.56 %	46.92 %
$< 0.2$	28.92 %	26.53 %	26.39 %	35.31 %
(b) Regional frequency of wind shear velocities events				
$U^*$ [ $\text{m s}^{-1}$ ]	Donggi Cona	Nam Co	Tangra Yumco	Taro Co
$> = 1.2$	0.04 %	0.20 %	0.31 %	0.33 %
[0.8, 1.2]	3.12 %	5.90 %	5.00 %	6.94 %
[0.5, 0.8]	24.75 %	31.60 %	24.86 %	28.97 %
[0.2, 0.5]	54.56 %	46.72 %	45.83 %	46.92 %
$< 0.2$	25.56 %	17.59 %	21.69 %	17.74 %

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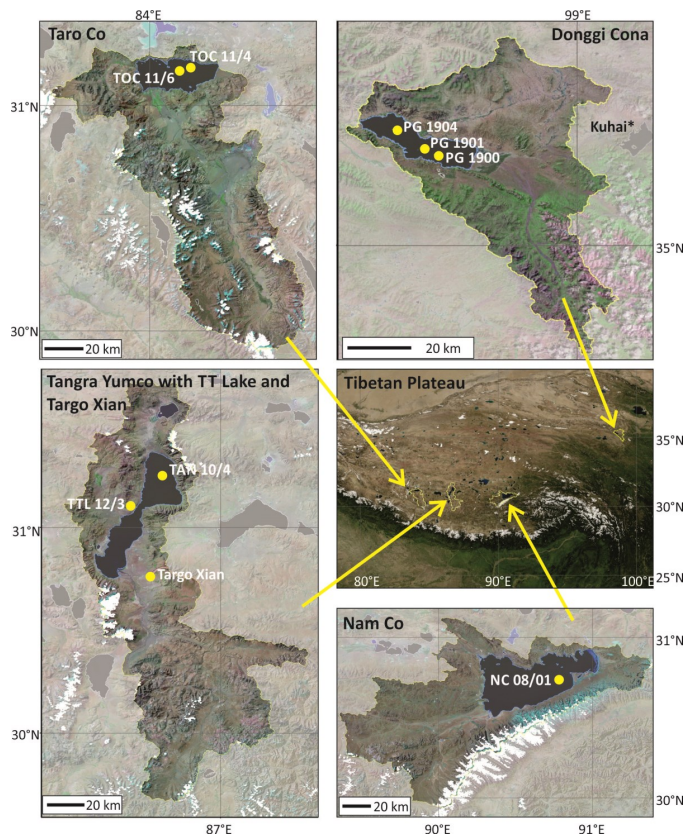
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**Fig. 1.** Studied lakes and their catchments on the Tibetan Plateau, China (for references see Table 1). Map sources: Blue Marble Next Generation, NASA Earth Observatory, 2013 (overview); Landsat ETM+ and SRTM-3, NASA Earth Observatory and USGS, 2001 (catchments); white glaciers from GLIMS Glacier Database, NSIDC, 2013. \* Lake Kuhai near Lake Donggi Cona was studied by Mischke et al. (2009). See kmz-file in the Supplement for further information.

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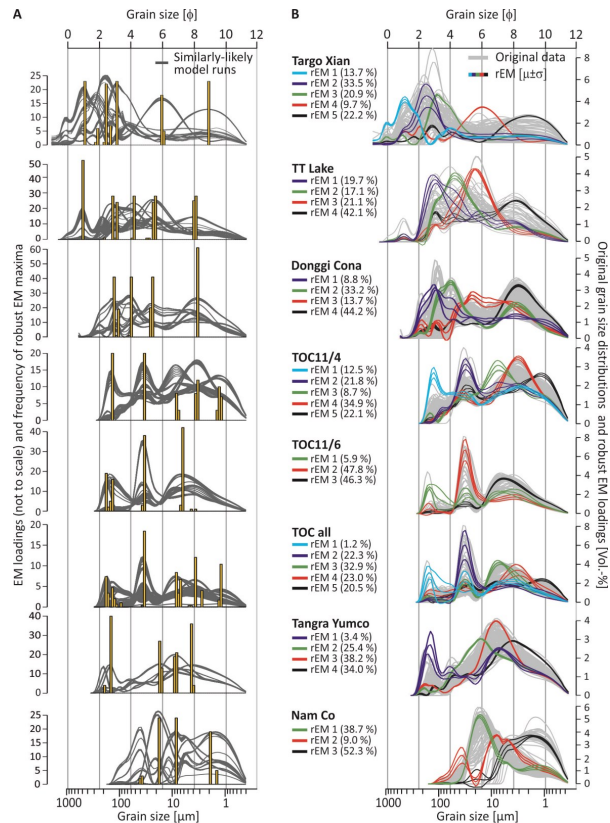
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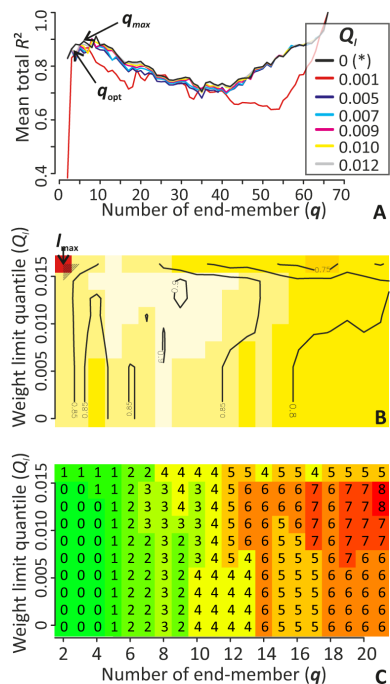
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**Fig. 2.** Results of robust end-member modelling for the studied lake sites (sorted according to lake size). **(A)** End-member (EM) loadings for more than 90 similarly-likely EM model runs (grey graphs) including the frequency of their primary modes (yellow bars) indicating the position of the robust end-members. **(B)** Robust end-member (rEM) loadings (coloured,  $\mu \pm \sigma$ , in brackets: explained variances) compared to the original grain size distributions (grey graphs in background).

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**Fig. 3.** Example how boundary parameters were defined for all similarly-likely model runs using the Nam Co core grain size data set. **(A)**  $Q-R^2_{mean}$  plot after Dietze et al. (2012) for selected  $I$  ( $q_{opt}$  at the inflection point after Weltje and Prins (2007);  $q_{max}$  at the first local maximum); **(B)** a 3-D-pattern of the  $Q-R^2_{mean}$  plot including an example sequence of 10  $I$  between 0 (\*) after Miesch, 1976, corresponds here to  $I_{opt}$ ) and the numerical maximum of  $I$  that resulted in different end-member models, for which **(C)** shows the number of overlapping modes (only zero overlaps were taken into account for robust similarly-likely model runs).

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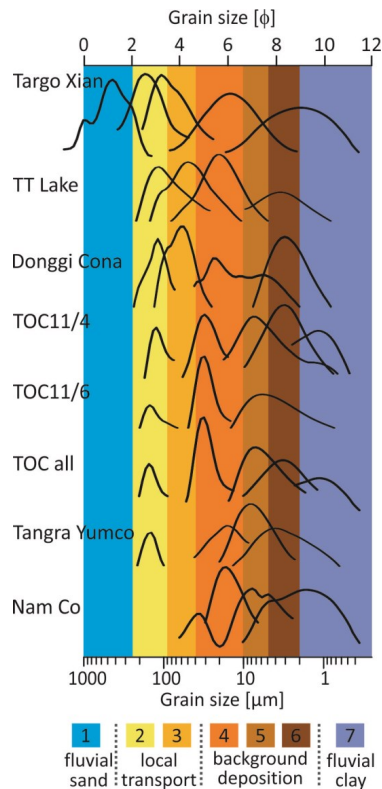
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**Fig. 4.** Main modes of mean robust end-member loadings grouped as typical sediment transport modes. Numbers indicate sediment types: 1 – fluvial sand, 2 – aeolian sand, 3 – coarse local dust, 4 – coarse regional dust, 5 – remote dust, 6 – fluvial clays.

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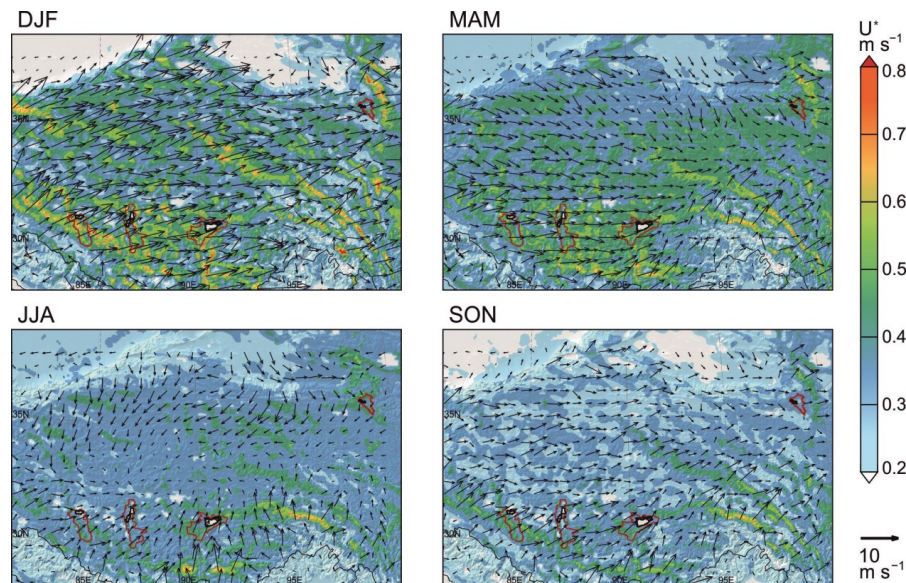
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**Fig. 5.** Mean shear velocities ( $U^*$ , color scale) and wind direction and speed (arrows, plotted every 6th grid point) for winter (DJF), spring (MAM), summer (JJA) and autumn (SON) extracted from the HAR dataset. The studied catchments are delimited in red.

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**Fig. 6.** Sediment trapped in lake ice at Lake Donggi Cona (Photo: B. Diekmann, February 2007).

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