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# Do periodic consolidations of Pacific countercurrents trigger global cooling by equatorially symmetric La Niña?

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## Abstract

A sporadic phenomenon of internal tide resonance (ITR) in the western equatorial Pacific thermocline is shown to precede 11 of 12 major upturns in the Niño 3.4 index between 1992 and 2008. Observed ITR has up to 9°C semidiurnal temperature excursions indicating thermocline heave, but is invisible in time resolution longer than one day. It is independent of westerly wind bursts (WWB). A hypothesis is advanced that (1) ITR dissipates vorticity, leading to Pacific countercurrent consolidation (PCC) by reducing the vortex stretching term in Sverdrup balance. The consequence of lost vorticity survives ephemeral ITR events; (2) The specific surface area of countercurrents is reduced by PCC, which reduces frictional opposition to zonal gradient pressure, which triggers eastward advection at El Niño onset; (3) PCC also accelerates transfer of potential energy to the “pycnostad” below the Equatorial Undercurrent. This shoals the equatorial thermocline, leading to a distinct mode of equatorially symmetric La Niña (ESLN) characterized by a winter monsoon cell above a “cold eye” that is separated from the South American continent, as in 1998; (4) Precessional southward intertropical convergence zone migration (ITCZ) is an alternate PCC trigger, but its effect is modulated by obliquity; and (5) ESLN causes global cooling in all timescales by (a) reduced Hadley cell water vapor production when its rising branch is above the cold eye, (b) equatorward shift in southern circumpolar westerlies due to Hadley cell constriction, (c) possible CO<sub>2</sub> sequestration by increased EUC iron fertilized export production on the equator, and (d) possible adjacent cloud seeding by biogenic dimethyl sulphide. Surprising coincidences of WWB with perigean eclipses suggest a parallel atmospheric tide influence.

Proposed PCC-ESLN forcing operates in multiple timescales, beginning where the annual cycle of strong equinoctial tides coincides with the minimum perigee cycle. This forcing corresponds with El Niño Southern Oscillation (ENSO) events in 1997, 2002, and 2006. Next, extreme central eclipses that perturb perigee-syzygy intervals also correspond with extreme ENSO events, notably in 1877, 1888, and 1982, and a 586

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year cycle in the frequency of these eclipses corresponds with known stadial events in the past 4 thousand years. Contrast in the 586 year cycle increases with Earth eccentricity because it is the result of shorter synodic months at aphelion. Longer timescale forcing is by orbital control of the east-central Pacific ITCZ position, yielding a 10 thousand year fast ice sheet melt interval between March and September perihelion. But default ESLN is only interrupted when perihelion in March coincides with rising obliquity. A change in the phase relation between obliquity and precession from 1:2 to 3:5 or 2:5 may therefore explain skipped obliquity cycles after the mid-Pleistocene transition. A secular improvement in eclipse commensurability that parallels Cenozoic cooling is noted.

## 1 Introduction

Scientific inquiry into the relation between Earth's orbital and glacial cycles began in the mid-nineteenth century and is reviewed by Berger and Loutre (2004). In the modern era, Hays et al. (1976) confirm the spectral signatures of precession, obliquity, and eccentricity, but the physical mechanism(s) by which the Earth system amplifies these weak signals is not yet established. The early hypothesis of northern hemisphere June insolation forcing is questioned by a "tropical hypothesis" invoking gradient driven moisture transports and El Niño Southern Oscillation (ENSO) mechanisms, reviewed by Chiang (2009). The problem is enlarged by the later discovery of Dansgaard/Oeschger and Heinrich events recurring in 1–2 thousand year (kyr) periods (Clark et al., 1999). Steffensen et al. (2008) observe distinct climate reorganizations in as little as 1 to 3 years at those transitions. So, while Rockström et al. (2009) establish a present anthropogenic climate impact, the baseline from which it must be measured is not straight. Accurate assessment of anthropogenic forcing in future decades will require this knowledge, which is of critical societal importance.

Do observed climate periodicities in different timescales result from a common actuator, diverse independent mechanisms, or complex interactions? The ENSO stands

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out as the dominant mode of change in the interannual domain, and the particular similarities between ice age and La Niña climates is prominent in the tropical hypothesis (Cane, 1998). In the millennial domain, Clement and Peterson (2008) categorize possible forcing by ocean thermohaline circulation, sea ice feedbacks, and tropical processes. An outlier among these ideas is the Keeling and Whorf (1997, 2000) proposal that a 1800 year cycle of “repeat coincidences” of maximum tide raising force triggers global cooling through generally increased vertical ocean mixing, citing the non-linear effects of extreme peak tides. Munk et al. (2002) confirmed the prominence of the 1800 year cycle, but question whether its 0.04 mm tidal amplitude is sufficient to affect global climate. Here I frame a hypothesis related to both tidal and ENSO forcing:

- (1) The common mode of global cooling in all Pleistocene timescales is a distinct form of equatorially symmetric La Niña (hereafter ESLN), distinguished from other La Niña by an equatorial “cold eye” centered near 140° W, with warmer sea surface temperature (SST) farther east;
- (2) ESLN is triggered by Pacific countercurrent consolidation (hereafter PCC), indicated by expansion of the Equatorial Undercurrent (EUC) to the surface in the cold eye, with absence of the North Equatorial Countercurrent (NECC);
- (3) PCC in turn results from either (a) internal tide resonance (hereafter ITR), which dissipates the vortex stretching term in Sverdrup balance, or (b) southward migrations of the intertropical convergence zone (ITCZ).

Tidal climate forcing has been questioned on the grounds that peak tide events are ephemeral (Ray, 2007). But dissipation of vorticity by ITR, however quick, causes permanent transformation of its rotational energy into heat.

ITR is characterized by up to 9°C semidiurnal temperature variations in the thermocline, with maximum excursions coincident with local meridian passage of the sun or moon, and synchronous zonal current reversals indicating large scale overturning. It appears qualitatively related to both the phenomenon of “Kelvin fronts” theorized by

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Fedorov and Melville (2000), and internal tides (Garrett and Kunze, 2007). Kelvin fronts form where downwelling equatorial Kelvin waves break due to nonlinearity and shoaling thermocline effects. They propagate eastward and generate attached resonant gravity-inertial waves. Internal tides are baroclinic waves generated by the interaction of barotropic tides with bathymetric features. Their energy may propagate for thousands of kilometers (Ray and Cartwright, 2001), and account for a significant portion of dissipation required to overturn Earth's oceans (Munk and Wunsch, 1998). Adjacent Indonesian Seas (Robertson and Field, 2005) and western Pacific bathymetry (Johnston et al., 2003) are potential generation sites, but the observed correspondence with the sun and moon's local meridian passage raises the novel possibility of local generation through interaction with the equatorial pycnocline slope rather than bathymetric slopes. If ITR is some combination of these phenomenon, the gravity-inertial waves trailing a Kelvin front may amplify as ITR when local mixing depresses the buoyancy frequency into the tidal domain of  $0.17 < N < 0.6$  cycles per hour, as Levine and Boyd (2006) observe at the Kaena Ridge near Hawaii. Accordingly, observed ITR shows symmetrical temperature excursions 2 to 4 days before and after peak tide events, or at quadrature between them. Intuitively, ITR could also be the non-linearity that initiates Kelvin fronts in the first place. Fedorov and Melville (2000) conclude:

"There is some evidence of rapid temperature changes at the mooring sites of the TAO array (see TAO Web site online at <http://www.pmel.noaa.gov/toga-tao>) and in the TOPEX/Poseidon data (J. Picaut, personal communication, 1998), which show that the wind-forced Kelvin waves, sometimes associated with the El Niño signal in the eastern Pacific, are clearly fronts rather than linear Kelvin waves. This may necessitate some corrections of the Kelvin wave speed and dissipation rates used in current models of the ENSO. Another important consequence of the study is that nonlinear Kelvin waves may be a source for gravity-inertial waves on the equatorial thermocline. Also, the effect of Kelvin fronts on the mixing processes should be considered."

Cerveny and Shaffer (2001) propose a different means of tidal ENSO forcing in the 18.6 year nodal cycle, in which maximum lunar declination strengthens high latitude

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tides, resulting in subtropical gyre acceleration that increases La Niña frequency. In other related research, Treolar (2002) isolates tidal frequencies that correlate with the Southern Oscillation Index (SOI), most prominently to its cold phase, and attributes this correlation to the effect of the moon's nearly coplanar orbit on low latitude phenomena. Ffield and Gordon (1996) find fortnightly and monthly periods in Indonesian Sea temperature that may influence ocean-atmosphere dynamics.

Section 2 presents high resolution Tropical Atmosphere Ocean (TAO) array records which may be examples of what Joël Picaut observed. These sporadic ITR patches coincide with both perigean eclipse events and distinct subsurface current pulses. Section 3 describes how ITR may lead to PCC, and Sect. 4 describes the alternate path through which ITCZ migration may lead to PCC. Section 5 then relates PCC to ESLN, and Sect. 6 describes the global cooling potential of ESLN. Section 7 presents short term tidal forcing cycles, and Sect. 8 discusses longer periodicities which may be both tidal and radiative.

## 2 ITR Instrument record

The tidal structure of the equatorial Pacific is relevant to the ITR records presented here. In the dominant M2 constituent, Lyard et al. (2006) show net counterclockwise transport around an amphidrome centered near 16° S, 155° W, yielding maximum cross equator transport southward near 170° E and northward near 130° W. A low amplitude axis spans the equator along 150° E.

Fig. 1 presents a first example of ITR at 0°, 165° E at the spring onset of the major 1997 El Niño. Vertical lines indicate tide maxima at new (N) and full (F) moons, and solar (S) and lunar (L) eclipses. A leading instance of ITR is the 14 to 24 February detail view (g, h), in which vertical lines indicate local meridian passage of the moon (blue) and sun (red). A resonance at 150 m drives semidiurnal temperature spikes of up to 8°C (h) coincident with local meridian passage of both the sun and moon

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beginning in quadrature. The corresponding one hour resolution zonal currents (g) at 100 m (solid green) and 150 m (dashed green) are anti-correlated with each other, with semidiurnal reversals, indicating tidally paced overturning in the thermocline. Another example at 4 to 14 March (i, j) shows subsurface temperature (j) resonance at 175 m.

5 Anti-correlation of 100 m zonal (green) and meridional (red) currents (i) indicate semidiurnal southwestward tidal pumping. The context of these ITR examples is as follows: A latitude-time plot (Fig. 1a) of 5 day average zonal wind anomaly along 165° E shows a westerly wind burst (WWB) centered on the solar eclipse of 9 March; tide height (b) at the Marshall Islands (8.7° N, 167.7° E) shows the corresponding tide maxima, with no semidiurnal inequality at the equinox; geocentric lunar distance (c) relates perigee to tidal variation, which is highest at the 9 March perigean eclipse; daily subsurface zonal (green) and meridional (red) currents (d), averaged over 30–245 m with 20 °C isotherm depth (blue, scale on right), indicate a distinct peak in southward transport two days after the 9 March eclipse, and other southward excursions are near other tidal maxima; a scale-independent measure of ITR (e) plots the daily coefficient of variation (CV = standard deviation/mean) of one hour resolution TAO array temperature at 150 m depth; one hour resolution temperatures (f) at nine depths are distinguished by the color key shown. The above data spans the formation of the second of two downwelling Kelvin waves known to initiate the record-setting 1997 El Niño (McPhaden, 1999), shown here to have formed in a dissipative context with distinct southward transport at peak tides. Note that ITR beginning 16 February precedes the WWB.

Fig. 2 similarly details a second ITR episode at 0°, 165° E during the start of the 2002 El Niño (McPhaden, 2004). The 7 to 22 November 2001 detail view (g) indicates semidiurnal resonance at 150 m, and ITR amplitude in December reached 9 °C within 12 h, indicated by maximum CV (e) on 22 December. Here the context is a solar eclipse on 14 December 2001 coincident with another WWB (a) and another southward (red) pulse of average subsurface current and a maximum downward 20 °C isotherm excursion (d) on the same day as the eclipse. This acute example is relevant to differentiating WWB and ITR effects, as both eastward and southward subsurface acceleration

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was simultaneous at all depths to 150 m on the day of the eclipse, but was strongest at 110 m zonally and at 130 m meridionally. In contrast, wind forcing alone would be strongest at the surface and propagate downward. The WWB peaked at 11.4 m/s one day after the eclipse. Note that tidal range in December 2001 (Fig. 2b) is less than in March 1997 (Fig. 1b) near the equinox.

Given the above illustrations of ITR, Fig. 3 contrasts the weekly 1992–2009 Niño 3.4 index (a) with 150 m CV data at 0°, 165° E (b) and at 2° N, 165° E (c). 10 min data is averaged to 1 h for consistency. Where data is available, 11 of the 12 most significant positive Niño 3.4 upturns (indicated by red shading) are closely associated with elevated ITR. ITR is particularly strong and persistent in the season prior to the record 1997 event. For comparison, daily zonal wind at 0°, 165° E (Fig. 3d) also shows many coincidences of WWB with Niño 3.4 upturns, but the late 2005 upturn is notable for its association with ITR but not WWB. Conversely, WWB are often present during declining Niño 3.4, while ITR is less so. Next, Fig. 3e plots 5-day average zonal surface current anomaly within the area 0.5° N–0.5° S × 170° W–120° W (above the EUC core within the Niño 3.4 longitude range). Positive surface current anomalies track the above Niño 3.4 upturns (red shading) that are associated with ITR. In comparison, Fig. 3f shows daily average sub-surface zonal currents averaged over 30–245 m at 0°, 165° E (as in Figs. 1d and 2d), which are more steadily seasonal (Yu and McPhaden, 1999), but with an anomalous maximum in 1998. It is significant that eastward sub-surface transport at the equator was greatest in 1998, indicating PCC, even though the El Niño's warm water discharge was a year earlier. Figure 3g is the meridional counterpart, showing other southward subsurface excursions coincident with ITR.

Figures 1 to 3 indicate a correlation of El Niño onset with ITR at 165° E which is at least as close as with WWB. There is also evidence of an ITR role in later stages of the ENSO. Figures 4 and 5 provide records of two complete ENSO cycles, respectively November 1996 to March 1999 and November 2001 to July 2003. High resolution sub-surface temperatures are rendered without smoothing, so ITR is coarsely readable by the width of the graphically merged temperature record at each depth.

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In Fig. 4d at 0°, 165° E, the deepest ITR is at 175 m depth (green) when the pair of downwelling Kelvin waves form in December 1996 and March 1997. McPhaden (1999) shows the thermocline beginning to shoal at 165° E in April 1997 immediately after the second Kelvin wave departs eastward. ITR is present throughout this process, working upwards through successively shallower depths, as ITR at 150 m (blue) transitions through 125 m (red) to 100 m (green again) in June 1997. This of course reflects the shear surface above the EUC shoaling with the thermocline, but note the semidiurnal signature: 125 m temperature at 0°, 165° E on 8 May 1997 was 28.9 °C at 20:00 UT, fell to 20.0 °C, and rose to 29.1 °C at 08:00 UT the next morning. 5-day average isotherms (www.pmel.noaa.gov) indicate that this is an 80–100 m thermocline heave within 12 h. This progressive upwards mixing continued, reaching 25 m (green again) in February 1998. Figure 4e plots the coincident temperature record at 140° W, where thermocline shoaling begins in November 1997 (McPhaden, 1999). The same progressive upward ITR mixing is present there, continuing until the record-setting decline in sea surface temperature (SST) in May 1998. This is the context of ESLN emergence discussed in Sect. 5.

Close examination of the lunar distance plot of Fig. 4a shows a secondary cycle in lunar perigee, minimum perigee, designated “proxigee” by Wood (1986), repeating every seventh or eighth anomalistic month (perigee to perigee). Proxigee is on 8 February in early 1997 and on 28 March in early 1998. Another period of WWB (Fig. 4b) occurs during the September 1997 eclipse cycle. As above, Fig. 4c shows subsurface zonal (green) and meridional (red) currents, and 20 °C isotherm depth at 0°, 165° E. The correlation of southward with westward transport is evident throughout this interval.

Figure 5 provides a similar record spanning the November 2001–July 2003 ENSO cycle. Most notably, the usual semiannual eastward transport (d, green) at 0°, 165° E (Yu and McPhaden 1999) is amplified in phase with the four eclipse cycles (recurring every 5 to 6 months) within this interval. Meridional transport (d, red) has clear fortnightly cyclicity in phase with ITR (e) at 200 m (lower red). Anti correlation of eastward and southward transports may indicate tidal pumping, as in March 1997. This El Niño’s

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secondary start (McPhaden, 2004) occurs when another southward pulse coincides with the 26 May lunar eclipse. A WWB peaked on 28 June (at 10 m/s), four days after another lunar eclipse. Additionally, Fig. 5c shows close correlation between the weekly Niño 3.4 index and 5-day average surface current above the EUC. Eastward surface transport (Picaut et al., 1996) within this strip indicates PCC discussed below.

Figure 6 provides examples of ITR of with different characteristics in the eastern Pacific that qualitatively resemble Kelvin fronts (Fedorov and Melville, 2000). 10 min resolution subsurface temperatures at 0°, 110° W show distinct bursts of turbulence beginning on 15 July 2001 and 7 August 2001. Both occur 3 to 4 days before or after syzygy (new or full moon or eclipse) when meridian passage of the sun and moon are 3 to 4 h apart, and then dissipate over 4 to 5 days. The detail view of 15 to 17 July shows distinct increases in turbulence at these times, or at their antipodes. Is vorticity conserved in such upheaval? A possible forcing mechanism for these events is a depression of the local buoyancy frequency into the tidal domain. Also, note 5 to 8°C declines in 40 m temperatures within 3 days of the solar eclipse of 5 July 2001 and the new moon of 19 August 2001.

Figure 7 illustrates a last coincidence of WWB and ITR at tidal maxima. Figure 7a shows perigean solar eclipses on 1 July and 31 July that coincide with WWB at 2° N, 110° W (b). The TAO array longitude-time plot along 2° N (www.pmel.noaa.gov) indicates that these are the only such WWB in the eastern Pacific in the entire 23 year TAO record. The July solar eclipses bracket the 16 July lunar eclipse, together forming a trio that repeats in the 18.03 year Saros cycle, as discussed below. Therefore the random chance that these WWB and eclipses coincide is very small. Next, subsurface temperatures are plotted at 2° N and 95° W (c), 110° W (d), 125° W (e), 140° W (f), 155° W (g), and 180° (h). There is a simultaneous abrupt thermocline depression at both 95° W and 110° W centered on the 1 July eclipse, but the WWB was only present near the later. The sun transited 112° W at the time of day of this eclipse. Also, another abrupt thermocline depression at 125° W is coincident with the 31 July eclipse, after which the apparent level of mixing energy remained high. This location is where equatorial tidal

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power east of the mid-Pacific amphidrome is strongest (Lyard et al., 2006). The further western records are provided for context.

The above three-way correlations of peak tides, ITR and WWB raise a question, can WWB be a phenomenon of atmospheric tide (Lindzen, 1979; Platzman, 1988)? Does the convectively “tippy” atmosphere of the western equatorial Pacific (Pierrehumbert, 2000) make this possible? Is the related Madden-Julien Oscillation (MJO) actually a fortnightly 30 or 45 or 60 day oscillation? Or is MJO a secondary consequence of tidal SST influence (Field and Gordon, 1996)? ITR is clearly tidal by its semi-diurnal frequency, and not initiated by WWB because it sometimes occurs in the absence of WWB. The consequence of ITR described below is similar to that of WWB, so it is possible that a mistaken presumption of inviscid vortex stretching has led to empirically overestimated WWB drag coefficients. The non-uniform role of WWB in Kelvin wave forcing (Fedorov, 2002) may in part reflect common causation by tide. Figure 3d shows an interannual variation in WWB, with high frequency in 1993–1993, 1997, 2001–2002, and 2006 that roughly follows a cycle in western Pacific tidal strength described in Sect. 7. The boreal spring prevalence of MJO activity (Hendon et al., 2007; Tang and Yu, 2008) could reflect equinoctial tide maxima as well as trade wind minima. This conjecture is noted, but will be addressed elsewhere, with possible relevance to cyclogenesis.

To summarize the above instrument record, there is a distinct association of ITR with El Niño onset (Fig. 3). Examples of ITR are integral to both Kelvin wave formation (Figs. 1 and 2) and thermocline shoaling (Figs. 4 and 5). Both southward and eastward subsurface transports strengthen at tidal maxima. Some examples of ITR are independent of WWB. In a study of shear turbulence in the EUC, Gregg et al. (1985) conclude, “Mixing in this zone resembles a sharply tuned harmonic oscillator, which can have large output changes for small forcing perturbations.”

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Sverdrup (1947) explains that the extent of the NECC's northward excursion results from a balance between equatorward geostrophic impulse, poleward Ekman impulse, and poleward reaction to vortex stretching by positive wind curl north of the equator. Discovery of the Pacific EUC in 1952 was five years later (McPhaden, 1986). Johnson et al. (2002) now show the EUC connected to the both the NECC and the south equatorial countercurrent (SECC) within the thermocline at 165° E. Therefore, at their outset the countercurrents form a continuous ribbon of eastward transport whose edges breach the surface. This ribbon drifts slowly north as the SECC fades, while the EUC and NECC remain connected to 155° W. In the present hypothesis, friction in the shear layers above and below this ribbon slows the warm pool's eastward release of gravitational potential, just as narrowing the Indonesian Thoroughfare 3 million years ago (Ma) slowed its westward release (Cane and Molnar, 2001). This expanse of friction is normally over 900 km wide. This hydraulic model of ENSO provides an external forcing mechanism that compliments the recharge/discharge oscillator of Jin (1997a, b).

ITR may trigger PCC by dissipating cyclonic vorticity, which tips Sverdrup balance towards convergence, so the ribbon becomes a pipe, or at least its upper shear surface is eliminated. Physically, extreme thermocline heaving in ITR may dissipate vorticity by forcing vertical motion that rotating bodies resist (by the Taylor-Proudman theorem), resulting in non-linear increases in friction (as rocking a toy gyroscope quickly brings it to rest by increasing its bearing loads). Unknown to Sverdrup in 1947, non-wind forced cyclonic vorticity also results from shear between the EUC and the opposing South Equatorial Current (SEC), as well as adjacent thinner and slower portions of the countercurrent ribbon. In a study designed to isolate advection and friction effects, Kessler et al. (2003) deduce friction concentrated between 2° N and 2° S, which would tend to reduce positive relative vorticity along the EUC. This is where the proposed ITR

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effect is concentrated. Brown and Fedorov (2010) similarly conclude that the classical presumption of linear friction-free Sverdrup balance is not consistent with observations.

Additionally, possible southwestward tidal pumping (Figs. 1 and 5) may indicate an ITR role in entrainment of mean southward tidal transport in the western Pacific (Lyard et al., 2006). In the manner that backwash is reduced on a cobblestone beach, tidal wave passage through ITR would reduce ebb with respect to flood. The resulting accretion of warm surface water could contribute to thermocline depression in the downwelling Kelvin wave formation.

Figure 8 relates PCC to the ITR record of Fig. 3, contrasting the weekly Niño 3.4 index (a) with latitude-time plots of surface currents along 165° E (b) and 140° W (c). Vertical gridlines are at the March equinox. The NECC usually disappears in April at 165° E when western Pacific trade winds swing towards the south, and the SECC lapses in September when they swing towards the north. Given this climatology, the 165° E plot (b) clearly shows PCC in El Niño years, when eastward surface advection on the equator (Picaut et al., 1996) merges with the NECC. At 140° W (c) only the NECC survives. Figure 8d then contrasts the corresponding 90-day running averages of subsurface transports at 165° E (per Fig. 3d) and 140° W. Both are seasonal (Yu and McPhaden, 1999), with generally stronger transport at 140° W that declines in El Niño years. However, transports at 165° E and 140° W are congruent in May–August 1998. This may reflect complete upstream PCC (given 1998 peak) with no further downstream aggregation. Tidal periodicities in Fig. 8e to j are discussed in Sect. 7.

What shapes the Pacific countercurrent system is not yet resolved. The Kessler et al. (2003) model does not reproduce observed eastward transport at 3° N to 6° N west of the date line, and places the NECC farther north in the western Pacific than Johnson et al. (2002) observe. Also, the Brown and Fedorov (2010) model simulates a western EUC with up to 50% less transport than Johnson et al. (2002) observe. These simulations share a countercurrent system that is generally less consolidated than is observed, even after adding nonlinearities to the Sverdrup relationship. In a momentum balance of the 1997–1998 event, Grodsky and Carton (2001) conclude

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that local acceleration, zonal pressure gradient anomaly, and wind induced momentum flux were uncorrelated when the ESLN cold eye developed in May 1998. They attribute this to non-linear vertical advection and mixing processes, and Fig. 4e indicates ITR is present at that time and place. Near the equator, where Kessler et al. (2003) deduce friction must occur, the stratifying effect of Coriolis that reduces diapycnal diffusivity with latitude (Gregg et al., 2003) would intensify stress in reactions to un-modeled ITR-forced thermocline heaving.

#### 4 PCC by ITCZ migration

Sverdrup (1947) describes the axis of “the countercurrent” as “coinciding approximately with the location of the equatorial calm belt which is found further to the north in summer than in winter.” So the mean wind pattern whose curl leads the NECC north (and weakens the SECC) is the same as defines the present northward bias of the ITCZ (Philander et al., 1996; Wang and Wang, 1999; Xie, 2005 review; Takahashi and Battisti, 2007). Orbital cycles that govern the ITCZ position may therefore also govern PCC. This is proposed to be a parallel means of PCC forcing.

The manner of seasonal NECC migrations may explain the observed abruptness of both major glacial terminations and millennial timescale transitions (Taylor et al., 1993; Steffensen et al., 2008), which suggest that some threshold separates distinct equilibria. The NECC’s seasonal migrations model such jumps. Figure 8c shows meridional shifts in eastward surface transport at 140° W, where the NECC is stable in the north when southeast trade winds are strong, disappears as the ITCZ migrates south with weaker trade winds in March and April, and suddenly reappears on the equator in late boreal spring. Yu and McPhaden (1999) call this the “springtime SEC reversal”, but it could also be described as “springtime PCC” because it coincides with NECC interruption. The absence of a slow NECC meander suggests that PCC is an attractor below some threshold in cross equator southeast trade wind strength. Wang and Wang (1999) describe “a delicate balance between counter effects of the antisymmetric

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solar forcing and [ITCZ] self-maintenance". Presumably the stable northward bias due to basin shape (Philander et al., 1996) and mountain effect (Takahashi and Battisti, 2007) means that the ITCZ's southward excursions stop at the equator.

## 5 ESLN by PCC

5 ESLN is proposed to be the common mode of Pleistocene global cooling in all its frequencies, distinguished from other La Niña by its "cold eye" configuration. While the initial function of PCC is to trigger the eastward surge of warm surface water at El Niño onset, its role in the later phase of the ENSO is to raise the EUC to the surface in the ESLN cold eye. Jones (1973) describes the process by which EUC shear energy  
10 converts to potential energy in the underlying pycnostad, or so-called "thermostad" or "13°C water" (in the sense that the center of gravity of a mixed water column is higher than if stratified), yet Harrison and Weisberg (2008) call the pynostad "poorly understood". The bottom of the pycnostad is the level basin-wide 12°C isotherm at 300 m (see Fig. 3 of Johnson et al., 2002), so thickening it locally elevates the overlying thermocline locally. By definition, PCC concentrates zonal shear at the equator to that end.  
15 Mixing that builds the thermostad is qualitatively unique because, once through the hard equatorial stratification (Gregg et al., 2003), Coriolis turns vertical, so eastward acceleration deflects water upwards.

Kessler et al. (2003) also demonstrate that mean zonal EUC shear is greatest near  
20 140° W to 110° W. If shear magnifies ITR, this may explain why that is where the cold eye develops (Fig. 4e).

Figure 9 illustrates the prominent 1998 example of ESLN. Average surface current during July 1996 (a) exemplifies the textbook NECC position trending northward per Sverdrup (1947), July 1997 (b) shows the surge of the El Niño's geopotential release, and July 1998 (c) shows the eastward PCC surface jet near 140° W, having emerged in  
25 May, with the NECC conspicuously absent (and in Fig. 8c). Recall that this is also when

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eastward subsurface transport at 165° E peaks (Fig. 8d). The SECC is present, aligned with the South Pacific convergence zone, which suggests a southward ITCZ shift as Vecchi (2006) observes (also in 1982–1983). Figure 9d is the average July 1998 chlorophyll anomaly (Chavez et al., 1999), showing an intense bloom co-located with the eastward surface jet (Ryan et al., 2002). Figure 9e shows average July 1998 sea surface height anomaly, recognizable as the negative expression of the meridional seesaw mode of Alory and Delcroix (2002) (also in 1982–1983). This mode is defined by changes in geostrophic transport across 5° N that dominate buildup and depletion of the equatorial band. The band of positive sea surface anomaly (red and white) along 10° N reflects the absence of the thermocline ridge that normally defines the NECC's northern boundary (Wyrski and Kilonsky, 1984). The corresponding July 1998 sea surface temperature anomaly (f) indicates the cold eye configuration, and the resulting meridional winds (g), indicate divergence in a winter monsoon cell. This cell divides both Walker and Hadley circulations, so the equatorial thermocline no longer shoals monotonically eastward, but rather remains shallowest in the central Pacific where sea level is lowest (Fig. 9e). NOAA/PSD data (www.cdc.noaa.gov) shows an increase in outgoing longwave radiation at 0°, 140° W in mid-1998, indicating subsidence.

Absence of the 10° N thermocline ridge may also enhance upwelling within the cold eye. Johnson and McPhaden (1999) calculate that the usual potential vorticity barrier at this ridge limits the northern source of pynocline water to the sub-tropical cell to 1/3 of the southern source. Meyers and Donguy (1984) also observe disappearance of the ridge in the second year of the 1982–1983 event, in which the ITCZ pattern was similar to the 1997–1998 event (Vecchi, 2006). Interestingly, if a northern pynocline barrier favors upwelling south of the equator, which would contribute to northern ITCZ bias (Xie and Philander, 1994), then it enhances ITCZ bimodality.

Because PCC triggers ENSO, ESLN is preceded by El Niño if the volume of warm water in the western Pacific is sufficient. Therefore the global cooling potential of ESLN is not in a suppression of El Niño per se, but rather in a frequency of PCC forcing that is high enough to limit warm water recharge, as in 1999 to 2001. In that case a permanent

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ESLN in the sense of a Broecker (2003) “flywheel” is not a necessary condition of global cooling.

## 6 Global cooling by ESLN

Four possible global cooling effects of ESLN are described. All would be enhanced if thermocline shoaling progressed beyond the 1998 case to where the pycnostad breaches the surface. In that circumstance upwelling would accelerate dramatically.

First, division of the Hadley cell by the cold eye winter monsoon cell reduces production of water vapor, a potent greenhouse gas. This is consistent with Broecker (1997), Cane (1998), Cane and Clement (1999), and Seager et al. (2000), and meets the atmospheric albedo and glacial hemispheric synchronicity tests of Broecker and Denton (1989). Also, Oort and Yienger (1996) found an inverse relationship between Hadley circulation strength and La Niña events.

Second, deflated Hadley circulation abruptly constricts the width of the entire tropical belt, which pulls dry subtropical subsidence zones closer to the equator, the opposite of what Seidel (2008) observes in recent decades. This in turn draws southern circumpolar westerlies equatorward, which is a potentially instantaneous effect, for Hanna (2001) records a 2.2 standard deviation increase in Antarctic sea ice in September 1998 as circumpolar westerlies shifted north, which he attributed to ENSO. In the Steffensen et al. (2008) study, 1 to 3 year shifts in deuterium excess in North Greenland ice cores indicate *warmer* marine moisture sources during global *coolings*, consistent with equatorward displacement of the polar front that envelops polar moisture sources. Toggweiler et al. (2006) link equatorward migration of Antarctic westerlies to atmospheric CO<sub>2</sub> drawdown at glacial onset. The same displacement also restricts Augulus leakage of high salinity thermocline water at the Cape of Good Hope that supplies North Atlantic deep water production (Peeters et al., 2004). Completing a six ocean circle of causation, Timmermann et al. (2007b) describe a cross-Panama teleconnection through which weakened Atlantic overturning then strengthens north-east

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trade winds in the eastern Pacific, which would shift the eastern Pacific ITCZ south and further support ESLN.

A possible third global ESLN effect is on CO<sub>2</sub> flux from the central and eastern equatorial Pacific, which is presently the ocean's largest CO<sub>2</sub> source area (Takahashi et al., 2002). Because CO<sub>2</sub> efflux during usual La Niña increases due to a combination of stronger wind and more upwelled CO<sub>2</sub> rich thermocline water, an ESLN role in glacial CO<sub>2</sub> drawdown would depend upon very high export production. The record-setting 1998 plankton bloom shown in Fig. 9d (Chaves et al., 1999) provides a possible template for that role, given evidence of peak carbonate sedimentation rates at glacial onset along 140° W (Murray et al., 2000a, b). Lyle et al. (1992), Pedersen (1983), Paytan et al. (1996), and Beaufort et al. (2001) also observe increased glacial sediment accumulation rates in the equatorial Pacific. Kienast et al. (2006) also observe increased surface ocean productivity in the eastern equatorial Pacific during millennial cold phases (Heinrich event 1 and the Younger Dryas). Because the eastward jet (Fig. 9c) is EUC water containing iron (Ryan et al., 2002; Wells et al., 1999), the 1998 ESLN may be regarded as Earth's largest natural iron fertilization experiment (Coale et al., 1996).

Last, related to mid Pacific stadial and glacial plankton blooms, the Charlson et al. (1987) cloud seeding hypothesis by biogenic dimethyl sulphide (DMS) could increase status clouds flanking the cold eye. Turner et al. (1996) observe a local 3.5× increase in DMS concentration during an equatorial Pacific iron enrichment experiment.

The proposed global cooling roll of ESLN may resolve four apparent contradictions in proxy records. First, Hadley cell constriction by ESLN may explain why short stadial events are not hemispherically synchronous, while long ice ages are. As mentioned above regarding the Steffensen et al. (2008) study, a cold phase ESLN that pulls the southern polar front northward exposes a greater fraction of the Antarctic envelope to open ocean, creating a more maritime climate, and vice versa. This centennial Antarctic response could result from the same ESLN driven polar front migration that has the opposite effect in longer timescales. Barker et al. (2009) infer a southward Antarctic

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Circumpolar Current shift during stadials from decreased polar fauna at 41.1° S, 7.8° E, but that could also indicate the reverse due to an Augulus current intrusion (Peeters et al., 2004), or the Pacific sector response may be different, and dominant, by proximity to the cold eye (Hanna, 2001).

Second, the separation of the ESLN cold eye from the South American coast may explain conflicting SST proxies in the far eastern Pacific. Whereas Cane (1998) associates La Niña with ice ages, Koutavas et al. (2002) conclude that higher stadial SST near the Galapagos indicates El Niño, as Ortiz et al. (2004) also observe at a site near the southern tip of the Baja Peninsula. However, the core locations used in these studies are within the Fig. 9f warm anomalies in the far eastern Pacific during the 1998 ESLN. The distinction between the cold eye and the cold tongue reflects mid-Pacific shear concentration noted above (Kessler et al., 2003). But the Trend-Staid and Prell (2002) SST reconstruction shows usual La Niña conditions (cooler in the east) prior to glacial termination.

Third, the ESLN – La Niña distinction may also explain high stadial sea surface salinity in the western warm pool region, which Stott et al. (2002) attribute to the modern El Niño pattern in which deep convection migrates eastward. The core location of the Stott et al. (2002) study, at 6.3° N, 125.8° E, is central to the East Asian Monsoon system whose winter dry season mode strengthened during glacial periods, with prominent precessional forcing (Beaufort et al., 2003). Clemens et al. (2003) provide East Asian Monsoon climatologies (their Fig. 1) in which the winter dry season winds are north-easterly at the Stott et al. (2002) core location. This is what is observed in July 1998 (www.esrl.noaa.gov), so southward ITCZ migrations associated with ESLN (either precessional or imposed by ITR) could have the same result. Additionally, if glacial ESLN were persistent, westward SEC surface transport from the ESLN cold eye would reflect increased upwelling of saline thermocline water and increased evaporation under ESLN subsidence.

Last, a weak link in the ESLN hypothesis is how to explain stadial Heinrich event iceberg discharge (Heming, 2004). All else being equal, an ESLN forced cold and dry

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Arctic (Taylor et al., 1993; Steffensen et al., 2008) would inhibit glacier movement. But Seager et al. (2010) link La Niña to northward displacement of North Pacific storm tracks, and such air masses crossing the flat Northwest Territories, rather than turning south (Seager et al., 2002), could link the Gulf of Alaska to Hudson Bay. Precipitation concentrated by altitude effect on the highest ice sheets would increase basal pressure where Heinrich events require it.

Global cold phases correspond with southward ITCZ displacements in both millennial and precessional timescales (Haug et al., 2001; Koutavas and Lynch-Stieglitz, 2005; Wang et al., 2004). In the former, the ESLN cold event imposes the ITCZ shift, whereas the above precession control reverses that chain of causation.

## 7 Short periodicities

Short cycles in PCC forcing by ITR work through interaction with both the seasonal cycle and the semiannual cycle of equinoctial tide maxima. Equinoctial tides are strong on the equator because the sun's tidal vector passes through the same water twice a day, and the moon's within  $\pm 5^\circ$  declination. Similarly, eclipses increase tidal potential when the sun and moon's tide vectors pass through each other. The eclipse cycle is a progression towards and away from that "centrality", which repeats in fortnightly groups of 2 or 3 eclipses every 5 or 6 months. Centrality of individual eclipses is ranked by a gamma value representing the minimum passing distance of the moon's center to the axis of the sun's earth shadow cone, expressed in earth radii, positive or negative for north or south of the ecliptic. Eclipses also perturb adjacent perigee-syzygy intervals as described below.

Intermediate length low latitude tidal periodicities stem from the 18 year plus 10 day Saros cycle of repeating eclipses known in Babylonian times (Steves, 1998), which is distinct from the 18.6 year nodal cycle in high latitude diurnal tides. Individual Saros series may exceed 1400 years, and the gamma values of their eclipses converge to

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zero (most central) at their midpoints. The gamma value of the least central eclipses is approximately  $\pm 1.6$  at Saros endpoints (<http://eclipse.gsfc.nasa.gov/eclipse.html>). Lunar and solar Saros series are numbered separately. As it happens, the midpoints of successive Saros series are separated by the 29 year less 20 day INEX interval of van den Burgh (1955). At any given time, approximately 58 overlapping solar and lunar Saros series progress through the observed 5 to 6 month eclipse cycle, but their collective degree of centrality varies over time, as described below.

For background, the Saros is equal to 223 synodic months (mean  $S=29.530589$  days new moon to new moon or full moon to full moon) and 242 Draconic months (mean  $D=27.21222$  days node to node when the moon crosses the ecliptic). The S-D beat period,  $1/((1/D)-(1/S))$ , is the 346 day eclipse year ( $11.73766 S=12.73766 D$ ), 19 of which approach the integer Saros at  $223 S \approx 242 D$ . The INEX equals  $358 S$ . Each eclipse year contains two eclipse cycles (when each of the moon's nodes faces the sun), irrespective of perigee. 242 being even, the half Saros is  $111.5 S$ , which alternates solar and lunar eclipses in 19 eclipse cycles. Due planetary resonance (Steves, 1998), the Saros is also 239 anomalistic months (mean  $A=27.554551$  days perigee to perigee). The S-A beat period ( $13.94434 S=14.94434 A$ ) is 411.78 days, 16 of which approach the integer Saros at  $223 S \approx 239 A$ . The S-A beat also approaches the 413.37 day evection period at  $14 S \approx 15 A$ .

Because there are two lunations per synodic month, at the ends and near the middle of each S-A beat period there is a mutual 3-body attraction in space that draws the moon closer to earth at proxigee, yielding 16 proxigees per half Saros. But as 15 is odd, the perigee cycle cannot also divide in half, so proxigee repeats after either 7 A or 8 A, yielding a mean 207 day period. The gravitational attraction of this asymmetric syzygy then results in a mutual perturbation of contracting S and expanding A, in which the advance of lunar perigee in longitude actually reverses direction (Wood, 1986). The tidal significance of this perturbation is that proxigee occurs within 4 month long spans of reduced perigee-syzygy intervals. December 1996 to April 1997 and January to May 1998 are such intervals.

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An attribute of low gamma eclipses (hereafter LGE), with absolute value of gamma below approximately 0.25, is that the lunations immediately before and after are also eclipses, forming a triple as centered on 16 July 2000 in Fig. 7. Such triples are either lunar/solar/lunar (L/S/L) or solar/lunar/solar (S/L/S) type. A second LGE attribute is that if a member of such a triple occurs within 24 h of perigee, it is proxigee, and therefore centers a reduced perigee-syzygy interval.

Referring again to Fig. 8 for 1993 to 2011, lunar distance (e) indicates the proxigee cycle. Next, a western Pacific tidal energy measure is provided by the daily sum of vertical tidal displacements at the Marshall Islands (f). These sums reflect both the equinoctial extremes (Figs. 1b vs. 2b) and the effect of reduced diurnal inequality at the equinox (Wood, 1986), but ENSO related changes in sea level (Wyrтки, 1985) cancel. There are generally four differences per day between higher high water (HHL), low water (LW), high water (HW), and lower low water (LLW); in the rare cases of 3 or 5, the daily sum is multiplied by 4/3 or 4/5 respectively, which does not alter the form of the curve. The equinoctial concentration of low latitude tidal energy is clear, and the maximum daily value between 1993 and 2011 is at proxigee on 28 March 1998. Next, the progression of eclipse cycles (g) scales absolute value of gamma. Note 19 eclipse cycles and 16 proxigees in the 1997 to 2009 half Saros interval, according to S-D and S-A beat periods. Lastly, the amplitude of the S-A perturbation is indicated by successive deviations from mean anomalistic (h) and synodic months new moon to new moon (i) and full moon to full moon (j) (not scaled in time). Anomalistic months vary in the length by up to 3.9 days, while the complimentary synodic month variation is 0.5 days. Note the coincidence of proxigee (e), long anomalistic months (h) and new (i) or full (j) synodic months, which result in reduced perigee-syzygy intervals.

Given the above tidal periodicities, four overlapping ITR-PCC forcing cycles are proposed. LGE are extremes in S-D commensurability that incrementally increase tidal potential. It may be that the physical significance of LGE is in their greater perturbation of perigee syzygy intervals.

First, it can be seen in Fig. 8f that tidal energy increases in an approximate 4.5 year

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period when proxigee is commensurate with the March equinox, in 1993–1994, 1998, 2002, and 2006–2007, which are ENSO years. Projected tidal energy in 2010 and 2011 is also strong. This is the cycle noted above that correlates with observed WWB activity in Fig. 3d. Alignment with the September equinox is less significant given ENSO seasonality.

Second, as eclipse cycles (g) are commensurate with the annual cycle in the nine year half Saros, March eclipses repeat in 1997 and 2006. Note in Fig. 5d that the seasonal zonal current cycle (Yu and McPhaden, 1999) is amplified when in phase with the eclipse cycle.

The third cycle, which extends ITR-PCC forcing into the millennial domain, is in the frequency and seasonality of LGE. It also involves the half Saros, but only over the decades spanning their series' midpoints. Figure 10a extends the Fig. 8g plot of absolute value of  $\gamma < 0.75$  over the 800 year interval 1600 to 2400, in which eclipses occurring within 30 days of 21 March (as in 1997 and 2006) are indicated in red. This reveals a 586 year cycle in which LGE are most frequent, and also equinoctial, near 1760 and 2340. This distribution is consistent with the Gergis and Fowler (2009) reconstruction, which finds a period of high La Niña frequency between the 1720's and early 20th century. Their peak La Niña decade is the 1750's. The physical basis for the 586 year cycle is illustrated in a corresponding day-year plot of LGE (b). Red diamonds and blue circles respectively represent L/S/L and S/L/S types, so positive sloping series indicate the half Saros advance of 5 days per 9 years. The INEX slope (green) regresses 20 days per 29 years, drawn through the 16 July 2000 LGE. This figure therefore represents the Saros-Inex Panorama of van den Bergh (1955) plotted in time, but only showing the most central eclipses (LGE). Red (blue) lines linking interpolated zero gamma points of solar (lunar) Saros series describe a wave form of that deviates from the INEX in a way that yields the LGE distribution of Fig. 10a. Placement of yellow squares corresponds to which eclipse of each triple (L/S/L or S/L/S type) peaks within 24 h of proxigee. In the present epoch proxigee falls predominantly on solar LGE.

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Van den Bergh (1955) provides an astronomical measure of the LGE distribution in the 586 year Tetrada cycle in repeating lunar tetrads (four successive total lunar eclipses), which Meeus (2004) shows are anti-correlated with “duos” of one type, which in turn bracket LGE that form S/L/S or L/S/L triples.

The detail view of Fig. 11 contrasts the gamma distribution (a) of Fig. 10a with the 1871–2006 Niño 3.4 record (b) of Trenberth and Stepaniak (2001) extended to 2009. A 48 month running sum of months with negative Niño 3.4 anomaly (c) shows a declining trend that mirrors the increasing gamma trend in (a). There is a suggestion that extended cold periods follow LG events after 1890, 1908, 1957, 1973, and 1999. Notably, the three highest Niño 3.4 anomalies prior to 1997 (December, 1877, 1888, and November 1982) each follow extreme LGE by 4 to 5 months, a lag that is consistent with the Hendon et al. (2007) analysis linking spring MJO to maximum fall El Niño amplitude. The centered Saros series of the present epoch (Lunar #129 and Solar #136) have LGE on 30 June 1973 ( $\gamma = -0.079$ ), 6 July 1982 ( $-0.058$ ), 11 July 1991 (0.004), 16 July 2000 (0.030), and 22 July 2009 (0.070). All are El Niño years except 2000 when warm water volume was depleted. The 29 year INEX period that separates successive Saros series causes a phase shift of 2 years between overlapping series ( $29 - 3 \times 9$ ). These shifts complicate long interval spectral analysis of the ENSO, and would tend to yield spectral power in pairs that add to 9, especially prior to 1960 when more LGE series overlap. This could explain the reduction in ENSO frequency in the 1970's.

The zero gamma wave form of Fig. 10b reflects a variation in the rate of change of gamma. Note that LGE series whose zero gamma points are near the present 5 July aphelion have the fewest members, and that zero gamma lines shift discontinuously across the present 4 January perihelion. Figure 12 plots gamma against time for the complete lunar Saros series numbered in Fig. 10b. In the “lifetime” of individual Saros series, the 10 (or 11) day advance per 18 years laps the calendar two or three times. What is observed is that the rate of change of gamma accelerates passing aphelion and slows at perihelion, so #138 crosses zero later than #139, though it begins earlier. This results from “stern chase” variation in the length of the synodic month, a term of

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observe cold phase periods in a range between 1,328 and 1,795 years that average  $1476 \pm 585$  years, corresponding to the 1,470 year Greenland Ice Sheet Project 2 spectral peak (Groottes and Stuvier, 1997). However, the nearby Greenland Ice Core Project record shows separate peaks at 1,163 and 1,613 years (Hinnov et al., 2002), and a Sulu cave record yields separate peaks at 1,190 and 1,667 years (Clemens, 2005).

Figure 14 compares 50 year sums of all eclipses (a), LGE with absolute value of  $\gamma < 0.1$  (b), and LGE with absolute value of  $\gamma < 0.25$  differentiated by season (c),  $\pm 75$  days from 21 March (blue), 5 July (green), and 21 September (red). Contrast in the number of all eclipses (a) is due to the extra one in each LGE triple, causing an increase in aggregate tidal forcing. The seasonal effect reduces contrast in the 586 year LGE cycle when the zero gamma progression is near aphelion (green). In the interval shown, contrast at the September equinox is decreasing (red), while contrast at the March equinox is increasing (blue). Minimum March LGE frequency is between 2000 and 2049. Vertical bars in Fig. 14a indicate a  $2 \times 586$  year period coherence with the Little Ice Age and Bond cycles #1, #2, and #3 (glass) (Bond et al., 1999). An intermediate LGE peak corresponds with the 1150 drought in the Americas (Cook et al., 2004). The Steffensen et al. (2008) study shows 400–650 year centennial cycles within millennial cycles. To illustrate the signature interference pattern of the Saros, Figure 12d plots plus and minus gamma for the succession (not scaled in time) of all eclipses within 75 days of 21 March.

## 8 Long periodicity

Possible PCC-ESLN forcing is by both ITR and ITCZ migration in the known orbital periods of precession (19–23 kyr), obliquity (41 kyr), and eccentricity (100 and 400 kyr). For the past 3 million years, Fig. 15 provides mid-month (Berger, 1978) March insolation at the equator (a) (kindly provided by A. Berger based upon Berger, 1978, and the Laskar et al., 2004, solution), the LRO4  $\delta^{18}\text{O}$  ice proxy stack (b) (Lisiecki and Raymo, 2005), and obliquity angle (c) (Berger, 1991). Half precession cycles between March

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and September perihelion are highlighted in red, and intervals of obliquity  $>23.5^\circ$  are highlighted in green. Note that there are both anomalously long and short half precession intervals when eccentricity (precession amplitude) is low (Berger, 2003), and the duration of the high obliquity windows decreases with obliquity amplitude. Uncertainty in the LR04 stack is 4 kyr since 1 million years ago (Ma), and 6 kyr from 3 to 1 Ma, with orbital tuning to obliquity (Lisiecki and Raymo, 2005).

In the precession cycle, as Berger (1978) observes in the most recent instance, intervals of fast melt exceeding  $0.50\text{‰ dO}^{18}$  begin near March perihelion and end near September perihelion in 17 of 17 cases since 1 Ma, in 17 of 20 cases between 1 and 2 Ma (exceptions are MIS 34, 36, and 42), and with weaker correspondence earlier. These selected darker red intervals in Fig. 15 are common to both 40 kyr and 100 kyr glaciations, which explains the apparent increase in ice age skewness with amplitude (Broecker and van Donk, 1970; Lisiecki and Raymo, 2007). Note that June perihelion, which is often linked to glacial termination (see Berger and Loutre, 2004), is exactly in the middle of the above red intervals. Therefore if an ice response lag is assumed, the identical correlation in time can support a very different narrative of physical causation. Here the proposed physical basis for glacial termination at March perihelion is an abrupt northward migration of the ITCZ, which turns PCC and ESLN off. Following Clement et al. (1999, 2000, 2001) and Kukla and Gavin (2004, 2005), though equinoctial insolation is equatorially symmetric, the annual cross equator trade wind cycle is not. The equatorial Pacific adopts the seasonal cycle of the non-ITCZ southern hemisphere (Wang and Wang, 1999), which is warm in March and cold in September. Therefore March perihelion/September aphelion strengthens the annual cycle, which strengthens southeast trade winds in the eastern Pacific that displace the ITCZ northward. The resulting abruptness in glacial transitions is enhanced because seasonal insolation contrast on the equator is greatest at the equinoxes (Kukla et al., 2002; Kukla and Gavin, 2004). Also, the noon sun is directly overhead in the ITCZ at  $8^\circ\text{ N}$  in early April (not June) which may contribute to abrupt vernal ITCZ switching.

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A consequence of the half precession fast melt interval, given the apparent fact that ice sheets accumulate slower in the other half, is that precessional glacial forcing can only manifest in an overtone, the first of which ( $2 \times 19$  or  $2 \times 23$  Kyr) is spectrally close to obliquity. Exception to this rule would result in stepwise cycles leading to no ice.

The Timmermann et al. (2007a) modeling study concludes that the eastern Pacific annual cycle is anti-correlated with ENSO activity, consistent with a suppression of PCC-ESLN forcing within the above red intervals. But within LR04 uncertainty, the dynamic attributed to March perihelion at 111 thousand years ago (ka) may actually be greater at February perihelion at 113 ka, when Timmermann et al. (2007a) observe an inflection in negative meridional 10 m wind anomaly (ITCZ switch), and Kukla and Gavin (2004) note both a minimum sea level stand and a minimum La Niña frequency in the Clement et al. (1999) experiment. This dynamic is corroborated on decadal timescales by the Chiang et al. (2008) and Fang et al. (2008) modeling studies, that link lower ENSO activity to higher northward interhemispheric temperature gradient (which steepens as the annual cycle strengthens). The proposition here that warm periods have low ENSO activity of both types differs from the Clement et al. (1999) experiment which defines warm phases as high El Niño frequency. Their model (Zebiac-Cane) restricts ITCZ migration, so does not address the dynamic proposed here. Raymo and Nisancioglu (2003) and Kukla and Gavin (2005) also link global cooling to the cross-equator temperature gradient, but towards bulk poleward heat and moisture transport rather than an ITCZ switch.

With respect to obliquity, Huybers and Wunsch (2005) and Huybers (2007) establish that high obliquity is also a necessary condition of glacial termination, based on statistical analysis of a successful three parameter model that adopts the 10 kry ice volume reset interval of Marshall and Clark (2002). Huybers (2006) attributes this forcing to extended duration of northern high latitude warming. But the Marshall and Clark (2002) reset intervals are also the above half precessional intervals, so the interpretation here is that interruption of the default late Quaternary glacial state requires *both* March perihelion and rising obliquity  $>23.5^\circ$ , with exceptions at MIS 28 and 32

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and some mid-glacial steps, for that is when the meridional gradient steepens fastest (high obliquity causing both low latitude cooling and high latitude warming). This reflects the Berger and Loutre (2004) “high-low-high” 1:2 dynamic in obliquity/precession conjunctions. Huybers (2007) shows that the late Pleistocene transition to longer cycles is due to skipped obliquity cycles, but what determines which ones are skipped is not established (Liu et al., 2008) or considered chaotic (Huybers, 2009). But note in Fig. 15 that skipped obliquity cycles are the ones with the poorest alignment with March perihelion (at 50, 100, 175, 380, 460, and 660 ka). Ruddiman (2006) observes variation in the ratio of obliquity and precession periods. It is predominantly 1:2 prior to 0.6 Ma when “high-low-high” 40 kyr cycles dominate, but is 3:5 between MIS 2 and 6, 2:5 between MIS 10 and 12, and 3:5 between MIS 12 and 14. The mid-Pleistocene transition may therefore reflect a change in the phase relation between quantized precession (Raymo, 1997) and quantized obliquity (Huybers, 2007). The period of precession is not constant (Berger et al., 2003).

Obliquity  $>23.5^\circ$  also appears to play an important role in extending warm periods after September perihelion, when the slope of the LR04 record sometimes levels off (as at present and MIS 7, 13, 17, 37 and 47). This is consistent with the Huybers (2006) extended northern warming hypothesis. Taken together, a proposed combined precession-obliquity dynamic is: (1) termination begins near conjunctions of March perihelion and rising  $23.5^\circ$  obliquity, (2) fast melt ends 10 kry later, (3) if obliquity  $>23.5^\circ$  extend interglacial, (4) glaciation begins after September perihelion and before March perihelion when obliquity  $<23.5^\circ$ . In the MIS 11 case obliquity  $>23^\circ$  continued after March perihelion.

Proposed PCC forcing by precession and obliquity paced ITCZ migration may be complimented by corresponding tidal forcing. The prominence of equinoctial tides in the low latitude annual cycle (Fig. 8f) indicates strong declination dependence, so higher obliquity would weaken mean ITR-PCC forcing, and compliment the Huybers (2006) insolation control. Possible tidal precession effects involve changing seasonality in 586 year cycle (Fig. 14c). The analysis of Schaffer and Cervany (1998)

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also shows 100 kyr and 400 kyr cycles in the height of peak equilibrium tides on the equator that correspond with known Earth eccentricity cycles. Higher Earth eccentricity would increase contrast in the 586 year LG cycle in all seasons, supporting mode changes in both directions. There is a suggestion in Fig. 15 that state changes cluster in periods of high eccentricity, so for example a warm centennial extreme could play a role in breaching ice albedo threshold. This is a possible interaction between forcing in different timescales. Eccentricity will approach zero 27 kyr in the future (Berger et al., 2003), which will eliminate 586 year contrast at that time. But even without such contrast (straight zero gamma lines parallel to the INEX), March tidal forcing will peak every 586 years.

Steves et al. (1993) investigate the evolution of the Saros and calculate a monotonic improvement in S-D commensurability over the past 5 million years (yielding LGE). Their combined measure of Saros commensurability passed a 1% residual threshold at 1.8 Ma. This trend parallels long term Cenozoic cooling (Zachos et al., 2001), and may have aided equatorial thermocline shoaling in the transition from early Pliocene El Niño (Fedorov et al., 2006). Liesiecki and Raymo (2005) observe a change in ice sheet response to precession at 1.6 Ma.

The Holocene altithermal at September perihelion 6050 ka (Kukla and Gavin, 2004) provides an opportunity to access the above forcing when ENSO proxies are available: (1) Thompson et al. (2006) record an Andes “cold snap” at 5,200 ka; (2) After 5,790±90 ka, Sandweiss et al. (1996) observe a transition to temperate Peruvian mollusk assemblages compatible with ENSO variability; (3) After 5,400 ka, Haug et al. (2001) observe a trend towards dry conditions in the Cariaco Basin, with the southward ITCZ shift noted above; (4) After 7,000 ka, Rodbell et al. (1999) find an increased frequency of ENSO storm alluviation in an Ecuadorian alpine lake. These proxies are consistent with the present hypothesis, by which north ITCZ bias weakens after September perihelion, which allows PCC, which leads to an increase in ENSO variability. In comparison, Clement et al. (2000) similarly invoke precessional change in the annual cycle, but propose that it acts by suppressing trade winds in boreal summer,

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which favors enhanced development of otherwise stochastic warm events (and vice versa in the early Holocene). Alternately, Chiang et al. (2009) advocate a linear response to changes in the extra-tropically forced Pacific Meridional Mode (PMM) (Chiang and Vimont, 2004), but its tropical consequence is ITCZ displacement towards the warmer hemisphere, as proposed here. These studies fundamentally agree that ENSO variability follows trade wind instability, though their model structures trigger it very differently. Trade wind instability leads to eastward surface advection (Picaut et al., 1996) at El Niño onset, which PCC triggers directly. Is PMM related to the Alory and Delcroix (2002) meridional see-saw whose negative expression appeared in 1998?

Perihelion at 6,000 ka marked the end of the most recent half precession melt interval, at which time obliquity was  $24.1^\circ$  and declining. By the precession-obliquity dynamic proposed above, the observed cooling and increase in ENSO activity that followed may be understood as a latent glaciation delayed by high obliquity. Perihelion is now in early January and obliquity is  $23.446^\circ$ , and at the next March perihelion 4 thousand years in the future it will be approximately  $23.0^\circ$ . In the past 3 million years there are 142 January perihelions, of which 12 coincide with declining obliquity between  $23.446^\circ$  and  $23.0^\circ$ , indicated by solid vertical lines above the LR04 stack in Fig. 15. 10 of these are glacial or at glacial onset. One exception is at 1,686 ka (MIS 59) at low eccentricity analogous to the present (Berger et al., 2003), and the other is at 2,784 ka (MIS G8).

## 9 Summary

A hypothesis is advanced that tidal forcing leverages ENSO dynamics through ITR, providing an external driver that the tropical hypothesis lacks in millennial timescales (Chiang, 2009). Distinguishing the resultant ESLN mode from usual La Niña resolves a number of controversies. The proposed mechanism of ESLN by PCC by ITR and/or ITCZ migration provides a qualitative framework that attempts to integrate global change across timescales. The framework yields striking correlations with the

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instrument and proxy records, and points to much work required to understand its physics. The idea that climate is influenced by eclipses and/or their related lunar perturbations is plausible if observed to influence the otherwise conservative property of ocean vorticity.

5 As tides are predictable, confirmation of the ITR effect proposed here may provide a means to forecast ENSO that is of great societal value (McPhaden, 2006). Understanding local variations in buoyancy frequency that govern ITR susceptibility is required, probably beginning with the phenomenon of Kelvin fronts (Fedorov and Melville, 2000). An island effect survey of the EUC's passage through the Gilbert Islands at 173° E  
10 may be informative. Ferrari and Wunsch (2009) conclude, "There is no shortage of problems remaining in the much discussed area of ocean mixing."

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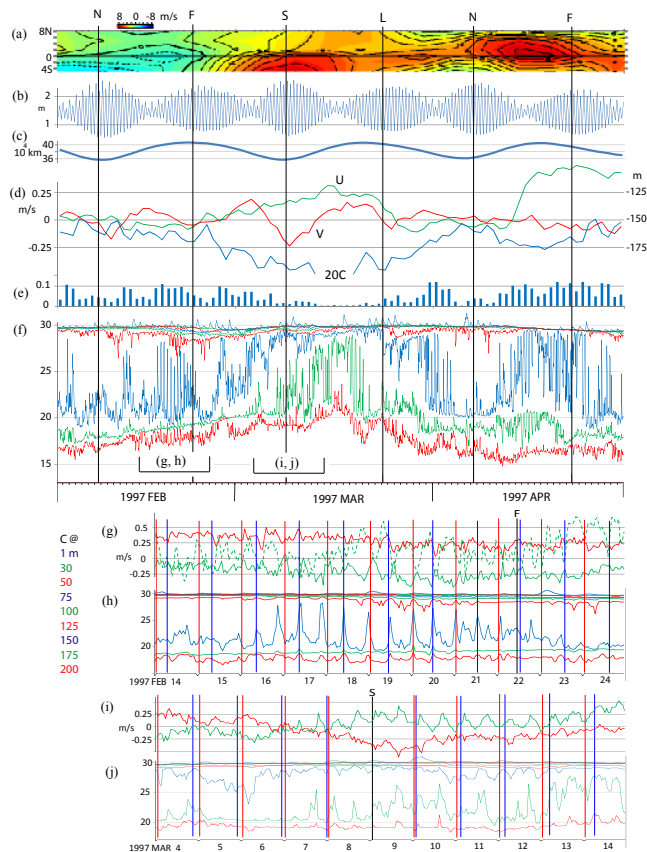
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**Fig. 1.** February to April 1997: (a) 165°E Lat-Time plot of 5 day mean zonal wind anomaly, (b) Height of tide above datum at Marshal Islands, (c) Lunar distance. At 0°, 165°E: (d) Daily 30–245 m average zonal (green) and meridional (red) current and 20°C isotherm (blue, right scale), (e) Daily coefficient of variation (standard deviation/mean) of one hour 150 m sea temperature, (f) One hour resolution subsurface temperatures at depths shown, (g) One hour resolution detail of zonal current at 150 m (solid green), 100 m (dashed green) and meridional current at 100 m (red), (h) Detail of (f), (i) Detail as in (g), (j) Detail of (f), with local meridian passage of moon (blue) and sun (red). Sources: TAO Project office PMEL/NOAA, CO-OPS/NOAA, MICA.

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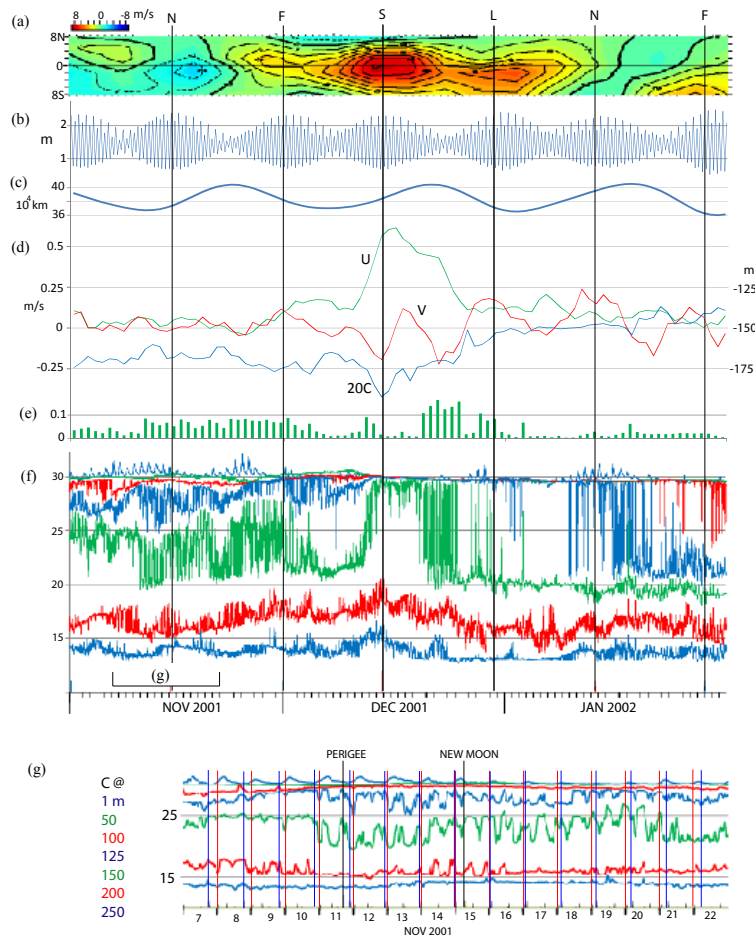
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**Fig. 2.** November 2001 to January 2002: **(a)–(e)** as in Fig. 1, **(f)** Ten minute resolution sub-surface temperatures at depths shown, **(g)** Detail of **(f)**, with local meridian passage of moon (blue) and sun (red). Sources: TAO Project office PMEL/NOAA, CO-OPS/NOAA, MICA.

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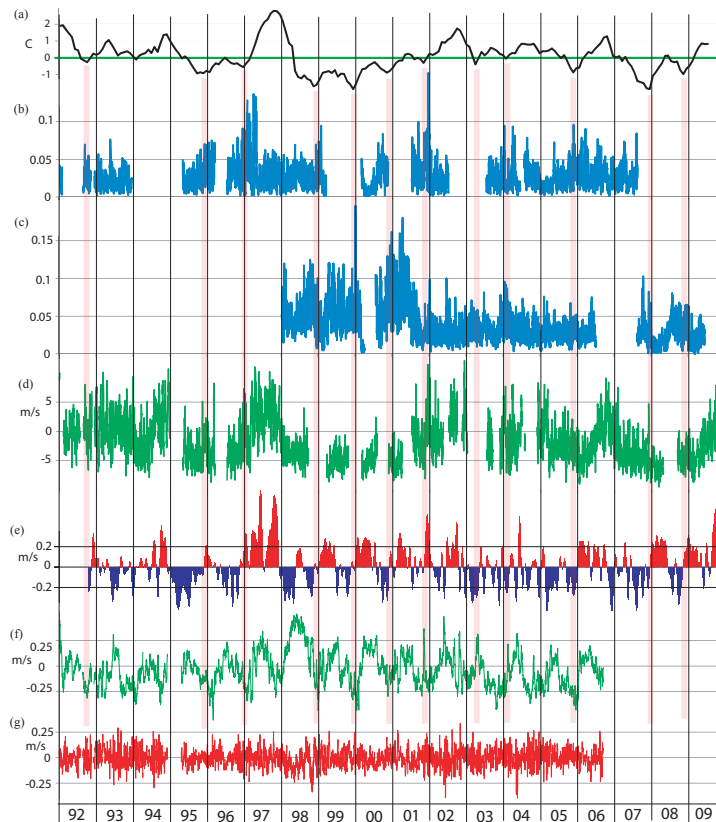
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**Fig. 3.** 1992 to 2009: **(a)** Weekly Niño 3.4 index, **(b)** Daily coefficient of variation (standard deviation/mean) of one hour 150 m depth sea temperatures at 0°, 165° E, **(c)** As in (b) at 2°N, 165° E, **(d)** Daily zonal wind at 0°, 165° E, **(e)** Daily average zonal surface current within 0.5° N–0.5° S × 170° W–120° W, **(f)** Daily 30–245 m average currents at 0°, 165° E zonal (green) and meridional (red). Sources: Climate Prediction Center/NOAA, TAO Project office PMEL/NOAA, OSCAR Project Office (Bonjean et al., 2002).

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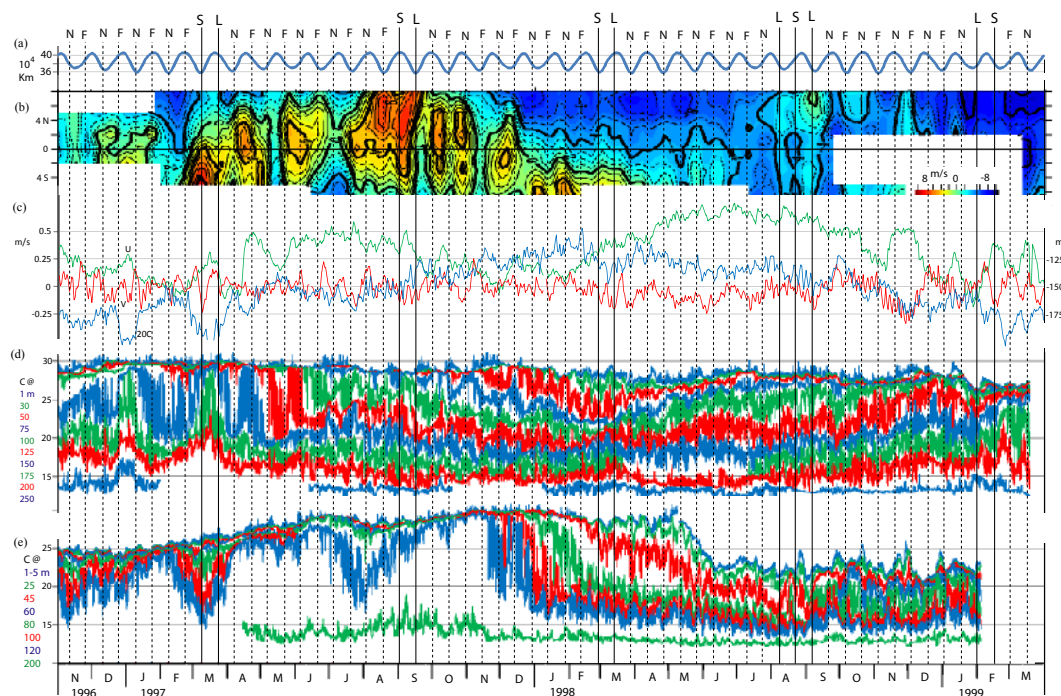
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**Fig. 4.** November 1996 to June 1997: **(a)** Lunar distance, **(b)** 165° E Lat-Time plot of 5 day mean zonal wind anomaly, **(c)** Daily 30–245 m average currents at 0°, 165° E zonal (green), meridional (red), and 20 °C isotherm (blue, right scale), **(d)** One hour resolution subsurface temperatures at 0°, 165° E at depths shown, **(e)** As in (d) at 0°, 140° W. Sources: TAO Project office PMEL/NOAA, MICA.

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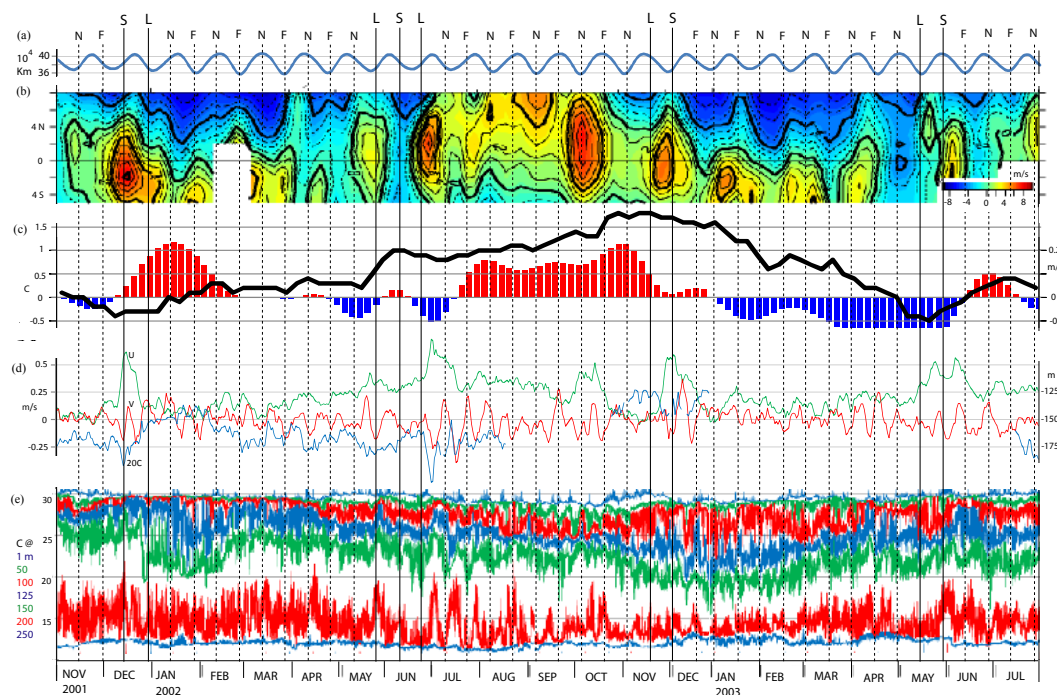
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**Fig. 5.** November 2001 to July 2003: **(a), (b)** As in Fig. 4, **(c)** Weekly Niño 3.4 index (black) and 5 day average zonal surface current within  $0.5^{\circ}\text{N}$ – $0.5^{\circ}\text{S} \times 170^{\circ}\text{W}$ – $120^{\circ}\text{W}$  (right scale), **(d)** Daily 30–245 m average currents at  $0^{\circ}$ ,  $165^{\circ}\text{E}$ : zonal (green), meridional (red), and  $20^{\circ}\text{C}$  isotherm (blue, right scale), **(e)** Ten minute resolution subsurface temperatures at  $0^{\circ}$ ,  $165^{\circ}\text{E}$  at depths shown. Sources: TAO Project office PMEL/NOAA, MICA.

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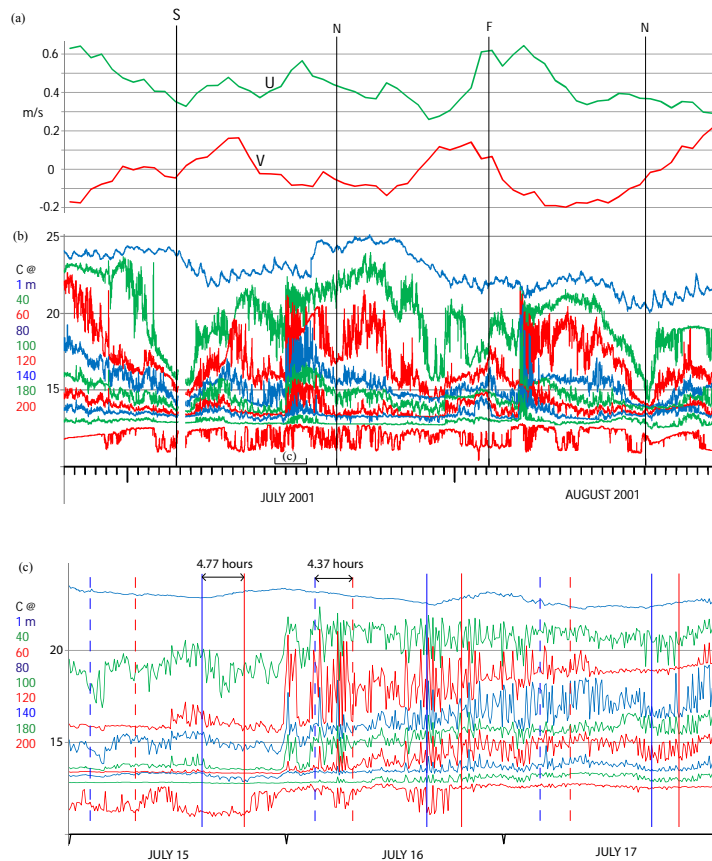
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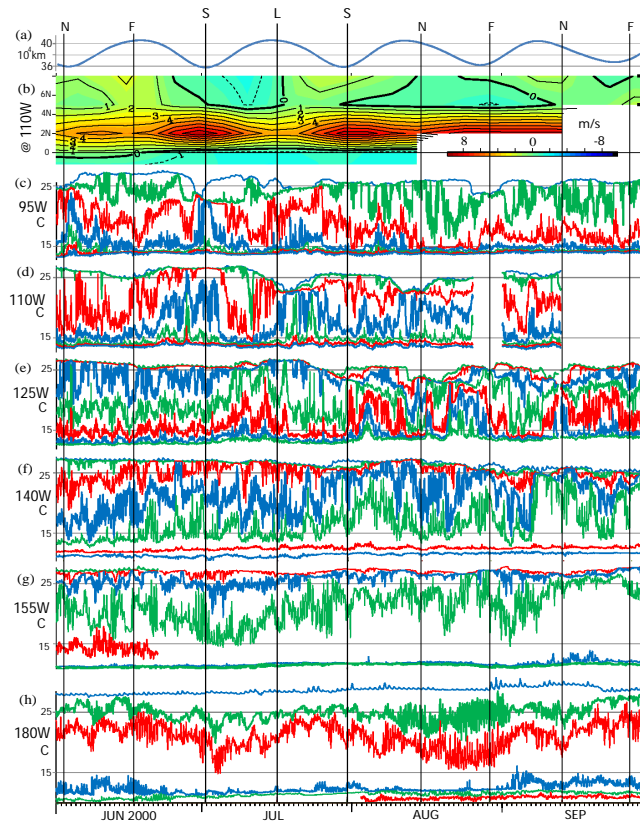
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**Fig. 6.** 25 June to 25 August 2001: **(a)** Daily 30–245 m average currents at 0°, 165° E zonal (green), meridional (red), **(b)** Ten minute resolution subsurface temperatures at 0°, 110° W at depths shown, **(c)** Detail of (b) with local meridian passage of moon (solid blue), moon antipode (dashed blue), sun (solid red), and sun antipode (dashed red). Sources: TAO Project office PMEL/NOAA, MICA.



**Fig. 7.** June to September 2000: **(a)** Lunar distance, **(b)** 110° W Lat-Time plot of 5 day mean zonal wind anomaly. Subsurface temperatures at 2° N and: **(d)** 95° W, **(e)** 110° W, **(f)** 125° W at 1, 40, 60, 80, 100, 120, and 140 m, **(g)** 140° W at 1, 60, 80, 100, 120, 180, and 300 m, **(h)** 155° W at 1, 75, 100, 125, 150, 200, and 250 m, **(h)** 180° at 1, 125, 150, 200, 250, 300, and 500 m. Sources: TAO Project office PMEL/NOAA, MICA.

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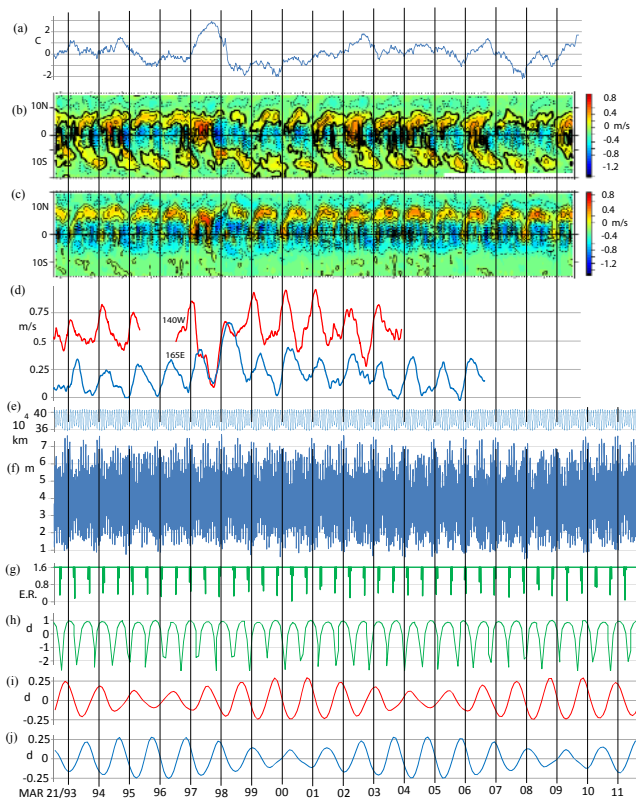
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**Fig. 8.** 1993 to 2011: **(a)** Weekly Niño 3.4 index. Lat-Time plot of 5 day average zonal surface current at **(b)** 165° E and **(c)** 140° W, **(d)** 90 day running average 30–245 m average zonal current at 165° E (blue) and 140° W (red), **(e)** Lunar distance, **(f)** Daily tide displacement (see text) at Marshall Islands, **(g)** Eclipse gamma. Sequential deviations from: **(h)** mean anomalistic month, **(i)** mean synodic month new moon to new moon, **(j)** mean synodic month full moon to full moon. Sources: CPC/NOAA, OSCAR Project Office (Bonjean et al., 2002), TAO Project office PMEL/NOAA, MICA, CO-OPS/NOAA.

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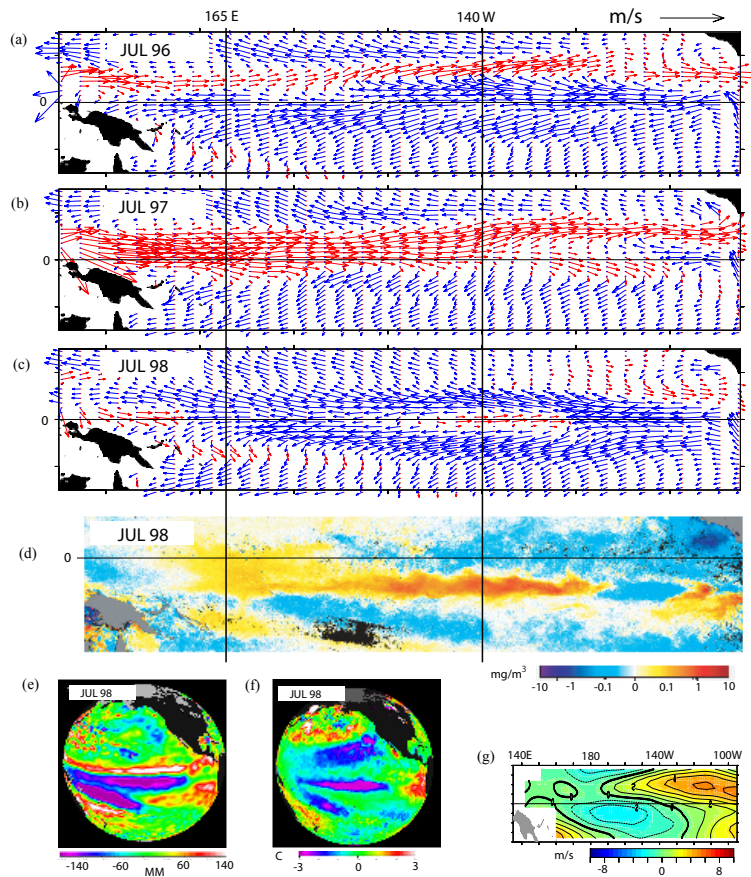
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**Fig. 9.** Mean July surface currents for **(a)** 1996, **(b)** 1997, **(c)** 1998. Mean July 1998: **(d)** Chlorophyll anomaly, **(e)** Sea surface height anomaly, **(f)** Sea surface temperature anomaly, **(g)** Meridional wind. Sources: OSCAR Project Office (Bonjean et al., 2002), SeaWiFS Project/NASA, TOPEX/Poseidon, TAO Project office PMEL/NOAA.

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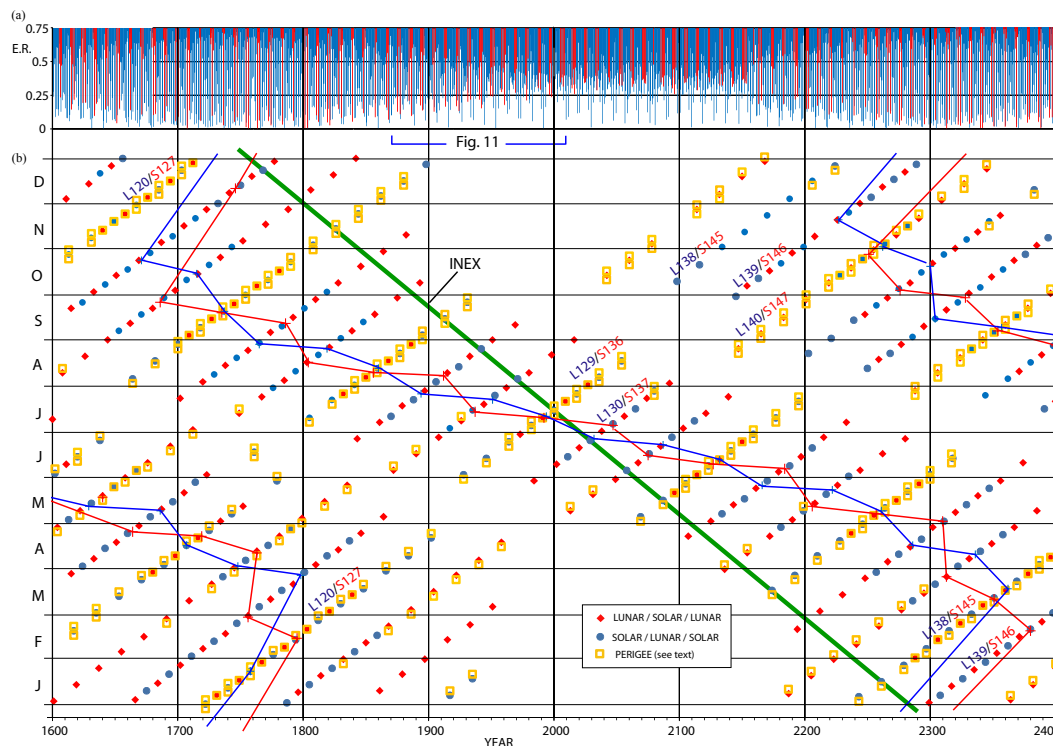
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**Fig. 10.** 1600 to 2400: **(a)** Absolute value  $\gamma < 0.75$ , **(b)** Day-year plot of LG event distribution (see text). Sources: Fred Espenak GSFC/NASA, John Walker Lunar Perigee and Apogee Calculator ([www.fourmilab.ch/earthview/pacalc](http://www.fourmilab.ch/earthview/pacalc)).

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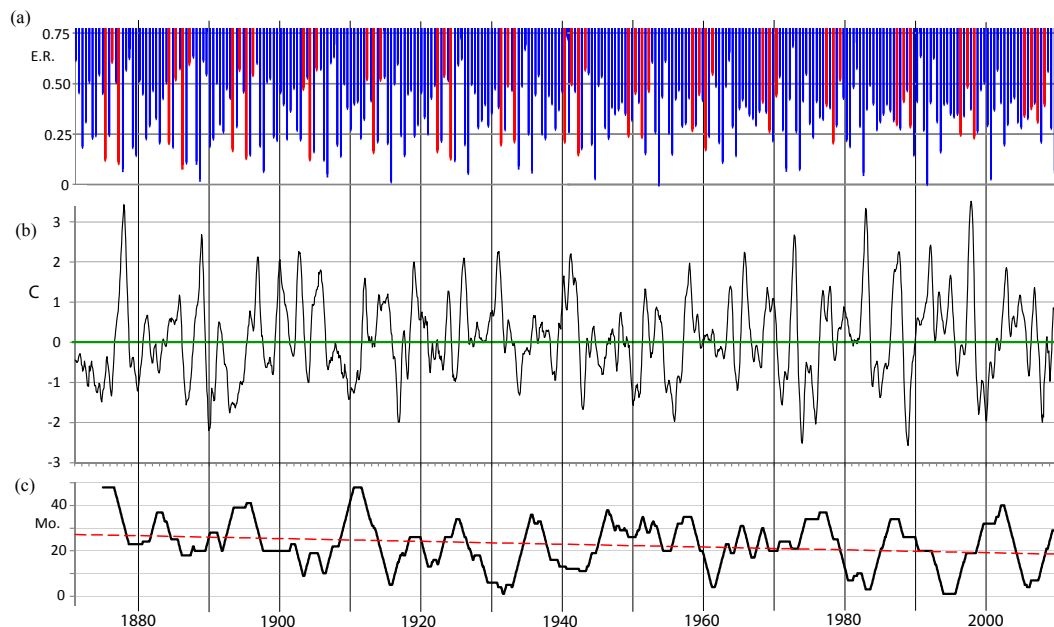
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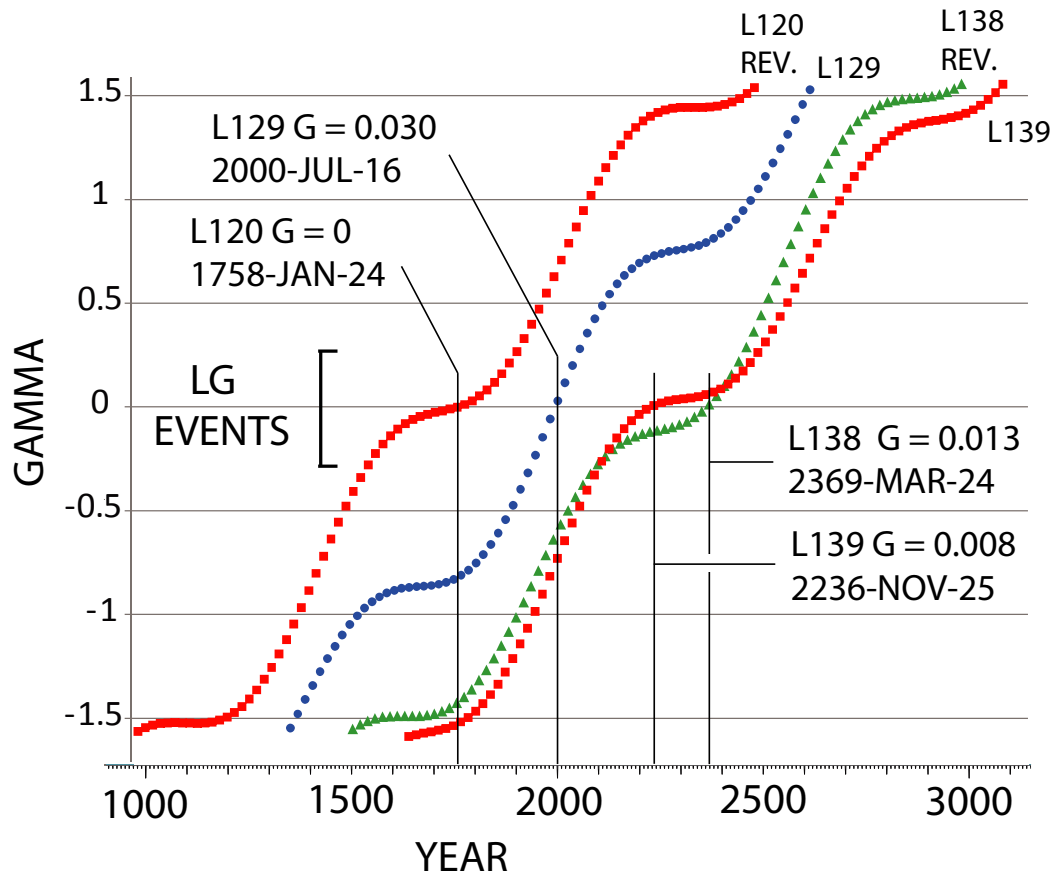
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**Fig. 11.** 1870 to 2010: **(a)** Absolute value  $\gamma < 0.75$ , **(b)** Monthly Niño 3.4 anomaly, **(c)** 48 month running sum of months with negative Niño 3.4 anomaly. Source: Fred Espenak GSFC/NASA, Trenberth and Stepaniak, 2001, CPC/NOAA.



**Fig. 12.** Absolute value of gamma vs. time for lunar Saros series L120, L129, L138, and L139. The sign of L120 and L128 is reversed. Source: Fred Espenak, NASA/GSFC.

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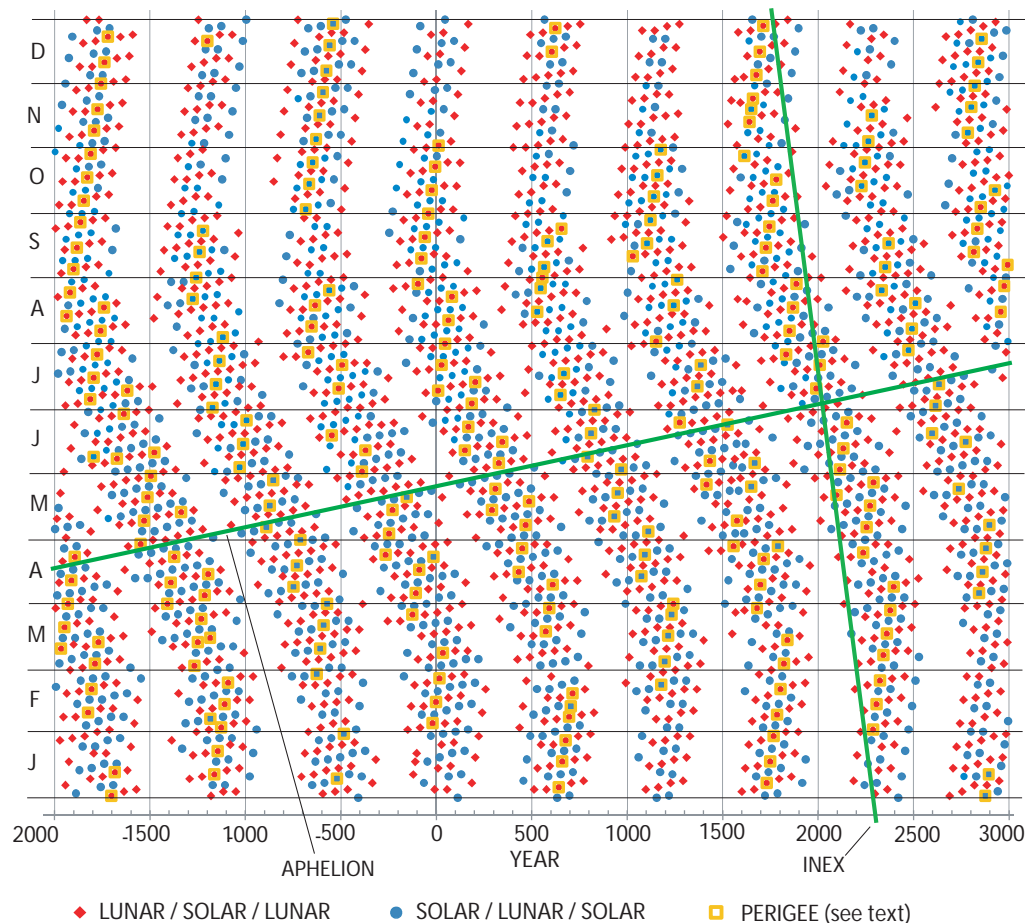
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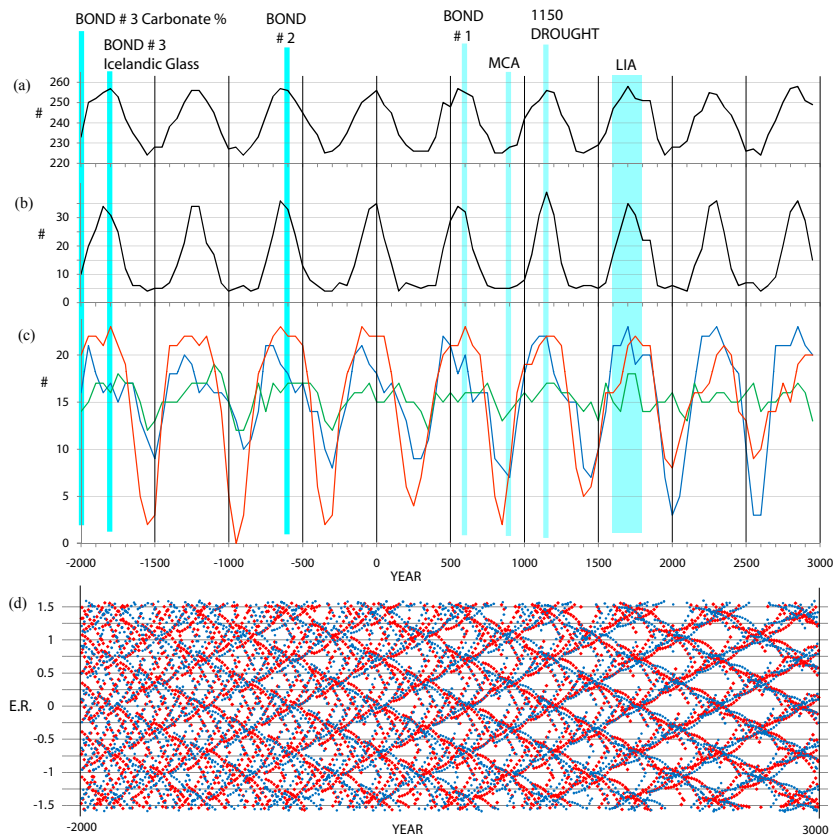
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**Fig. 13.** –2000 to 3000: 5 millennium day-year plot of LG event distribution (see text). Sources: Fred Espenak GSFC/NASA, John Walker Lunar Perigee and Apogee Calculator ([www.fourmilab.ch/earthview/pacalc/](http://www.fourmilab.ch/earthview/pacalc/)).



**Fig. 14.** –2000 to 3000: Sum of eclipses within 50 years following date shown for **(a)** All gamma, **(b)** Absolute value of  $\gamma < 0.1$ , **(c)** Absolute value of  $\gamma < 0.25$  differentiated by season  $\pm 75$  days from 21 March (blue), 5 July (green), and 21 September (red), **(d)** Sequential gamma for all eclipses  $\pm 75$  days from 21 March. Source: Fred Espenak, NASA/GSFC.

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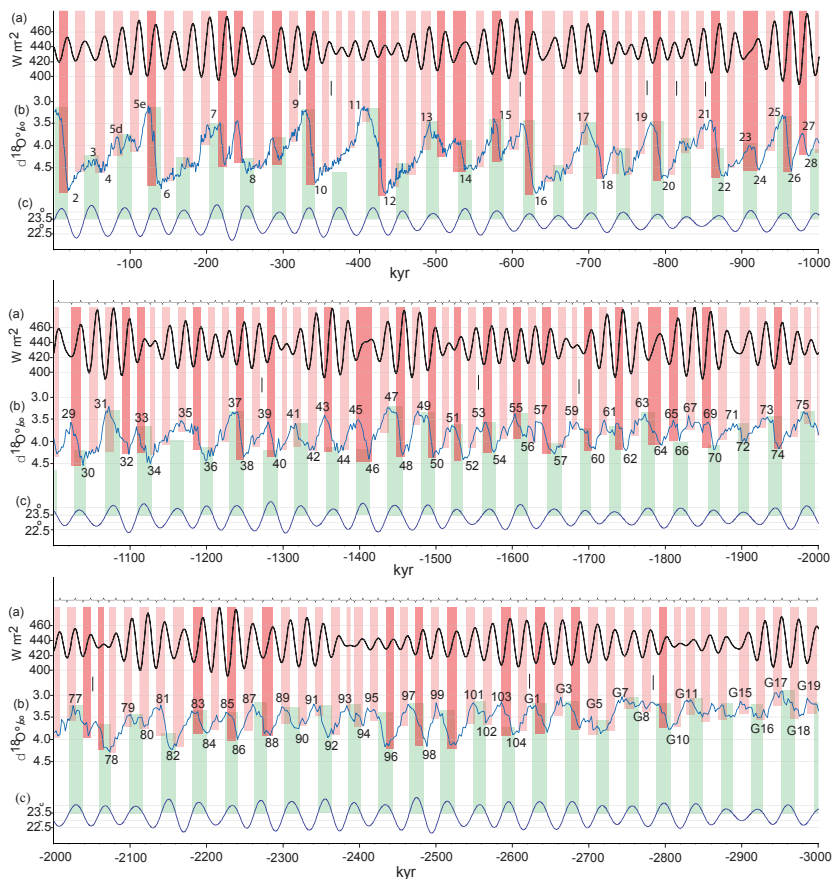
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**Fig. 15.** 3 Ma to present: **(a)** Mid-month March insolation at equator; **(b)** d18O 0/00; **(c)** Obliquity. Vertical red shading is between mid-month March perihelion and mid-month September perihelion. Vertical green shading is obliquity  $>23.5^\circ$ . Sources: Berger, 1978, and Laskar, 2004; Lisiecki and Raymo, 2005; Berger, 1991.