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Climatic variability and human impact during the last 2000 years in western Mesoamerica: evidences of late Classic and Little Ice Age drought events

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Abstract

Results are presented from biological (diatoms and ostracodes) and non-biological (Ti, Ca/Ti, total inorganic carbon, magnetic susceptibility) proxy analyses from an 8.8 m long laminated, high resolution (~ 20 yr sample $^{-1}$) sediment sequence from lake Santa María del Oro (SMO), in western Mexico. This lake is at a sensitive location between the dry climates of northern Mexico, under the influence of the north Pacific High Pressure Subtropical Cell and the moister climates of central Mexico, under the influence of the seasonal migration of the Intertropical Convergence Zone and the North American Monsoon (NAM). The sequence covers the last 2000 years and gives evidence of two periods of human impact in the lake, shown by increases in *Achnanthydium minutissimum*, the first related with the Shaft and Chamber Tombs Cultural Tradition from 100 BC to AD 300 and a second late Postclassic occupation from AD 1100 to 1300. Both periods correspond to relatively wet conditions. ~~The sequence also gives evidence of three dry intervals with high carbonates,~~ ostracodes and aerophilous *Eolimna minima* concentrations. The first, from AD 500 to 1000 (most intense from AD 600 to 800), correlates with the end of the Shaft and Chamber Tradition after ca. AD 600. This late Classic dry period is the most important climatic signal for the Mesoamerican region during the last 2000 years, as it has been recorded at several sites from Yucatan to the Pacific coast. In the Yucatan area this dry interval has been related with the demise of the Maya culture between AD 850 and 950. The last two dry events correspond with the onset and late Little Ice Age (1400 to 1550 and 1690 to 1770), and follow the Spörer and Maunder minima in solar radiation. The first of these intervals (1400–1550) shows the most intense signal over western Mexico, however this pattern changes at other sites. Dry/wet intervals in the SMO record are related with lower/higher intensity of the NAM over this region.

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1 Introduction

The knowledge of past climate and environmental events is critical for understanding a wide variety of modern environmental processes in order to better estimate causes and effects of future environmental changes. Records from many parts of the world have led to the recognition of climate variability in the late Holocene. However, its duration and the possible mechanisms of climate change involved at each location are not clear, especially at tropical latitudes where there are fewer paleoclimatic continental records than at higher latitudes (Maasch et al., 2005; Mann et al., 1999).

In Mesoamerica, the last two thousand years appear to have been a time of significant climatic change with a complex signal, mostly linked to moisture availability. At present, the influence of the Intertropical Convergence Zone (ITCZ) and Subtropical High-Pressure Cells (SHPCs) varies seasonally, showing latitudinal shifts associated with the tropospheric equator-pole temperature gradient in each hemisphere, and the thermal contrast between both hemispheres (Haug et al., 2001; Nyberg et al., 2002). The seasonal shift in insolation and latitudinal location of the ITCZ and SHPCs brings shifts in moisture availability that affect most of Mesoamerica, with an intense summer rainfall season associated with the northerly location of the ITCZ and the onset of the North American Monsoon (NAM). Past changes in the amplitude, intensity and location of the ITCZ and SHPCs and in the intensity of the NAM are therefore likely explanations of the changes documented in the Mesoamerican geological record (Hodell et al., 2005a; Metcalfe et al., 2010; Haug et al., 2003; Barron et al., 2012). Long term changes in these climatic systems can be recorded in the sedimentary archives of the lakes in the region given that lakes are natural systems that are sensitive to climatic variations which can lead to changes in their stratification patterns, their water chemistry and/or their biological associations. ~~Specifically,~~ western Mexico is an area which precipitation is modulated by the position of the ITCZ and the intensity of the NAM, however, most of the available paleolimnological records for Mesoamerica are concentrated in the highlands of central Mexico or in the Yucatan region (Metcalfe et al., 2000).

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In this paper we present a multiproxy, high resolution (~ 20 yr per sample) study of a laminated sedimentary sequence from a closed lake in western Mexico (Santa María del Oro, Nayarit, Fig. 1) which aims to reconstruct the past climatic events that affected western Mesoamerica during the past 2000 years. This lake currently sits at the intersection between the arid climates of northern Mexico, mostly under the influence of the north Pacific SHPC, and the temperate, sub-humid to humid climates of central Mexico (García-Oliva et al., 2002), under the influence of the ITCZ and the NAM systems. This record gives clear evidence of two intense drought periods, the late Classic (AD 500 to 1000) and the onset of the Little Ice Age (AD 1400 to 1550) and a third, less intense, around AD 1690 to 1770.

2 Site description

Santa Maria del Oro (SMO, $21^{\circ}23'N$, $104^{\circ}35'W$, 750 m.a.s.l.) is a topographically closed freshwater crater lake at the western end of the Transmexican Volcanic Belt and only 65 km from the Pacific coast (Fig. 1). The catchment rocks are dominated by dacite-rhyolite and basaltic-andesite. Minor exposures of basaltic lava flows are present on the northern wall of the crater. There are no carbonate rock outcrops in the catchment or in the surrounding areas (Ferrari et al., 2003; Vázquez-Castro et al., 2008).

The climate at SMO is warm and sub-humid, with monthly average temperatures that range from 16.5 to 25 °C (Station 18005-Cerro Blanco – Servicio Meteorológico Nacional). This region receives an average annual precipitation of ~ 1200 mm mainly during the warm, summer months (June to September) when the ITCZ is at its most northerly location and the NAM is active (Liebmann et al., 2008; Berbery, 2001). During summer tropical storms and hurricanes can also bring moisture from the Pacific (Castro, 2010; García-Oliva et al., 2002). The rest of the year (October to May) is relatively dry, particularly during spring.

SMO is a nearly round, ~ 2 km diameter lake. It has a maximum depth of 65.5 m and a mean depth of 46 m (Serrano et al., 2002). The crater has steep slopes but

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there is a small flat and shallow bay (12 m deep) where the core for this study was retrieved (Fig. 1). The lake receives inflows directly from precipitation, seasonal runoff and ground water, and loses water to evaporation and seepage. The lowest point of the crater is on the north-eastern side, where the natural sill has been anthropogenically modified and today it lies ~ 5 m above the lake level (755 m.a.s.l.). The closed nature of the basin and relatively unpolluted conditions make of this lake an ideal paleoenvironmental research site, where lake chemistry depends mostly on the precipitation – evaporation balance. At present SMO is a freshwater, $[HCO_3^-]-[Cl^-]$, $[Na^+] > [Mg_2^+] \gg [Ca_2^+]$, oligotrophic, monomictic lake, with a winter mixing period (Caballero et al., 2013); the oxycline lies between 13 to 20 m depth the rest of the year. Currently the lake ostracode fauna is dominated by *Potamocypris variegata* Brady and Norman, *Cypridopsis vidua* Müller and *Darwinula stevensoni* Brady and Robertson and the planktonic diatom flora by *Aulacoseira granulata* (Ehrenberg) Simonsen in association with *Nitzschia amphibia* Grunow.

3 Methods

Four parallel cores between 4 and 9 m long were recovered from the shallow southwestern bay (12 m depth) of SMO in 2002 (Fig. 1c) with a Usinger coring system (Mingram et al., 2007). All cores were split, described, photographed and sampled at the Instituto de Geofísica, Universidad Nacional Autónoma de México (UNAM) and except otherwise specified all analyses were performed there. The longest core (SMO02-V, 8.8 m) was sampled on average at 5 cm intervals for magnetic susceptibility (MS), biological (ostracodes, diatoms, pollen) and geochemical analyses (total inorganic carbon, total organic carbon, X-Ray Fluorescence elemental analysis). Seven samples for ^{14}C AMS radiocarbon age determinations were collected at selected levels, dried and sent without any further treatment to a commercial laboratory. Sediment stratigraphy, age model, MS and total inorganic carbon (TIC) data have been published in Vazquez et al., 2008. In this paper we present these data, with MS expressed in dimensionless

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international system units (SI) and TIC expressed as its CaCO₃ % equivalent, together with new diatom, ostracode, Ca and Ti analysis from the same (SMO02-V) core.

3.1 X-Ray Fluorescence

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Thirty nine freeze-dried sediment samples were chosen, on average every 20 cm, for X-Ray Fluorescence (XRF) elemental analysis. Samples were homogenized and ground to 200 mesh using an agate mortar. Elemental concentrations were measured in a Siemens SRS 3000 wavelength dispersive XRF spectrometer with a precision of 5% at the Institute of Geology, UNAM. Titanium and calcium concentrations are expressed as percentages, Ca was normalized against titanium and expressed as Ca/Ti ratio.

3.2 Diatoms

For diatom analysis 108 samples were chosen, on average at every 10 cm interval, of which 78 contained diatoms. Dry sediment (0.5 g) was successively cleaned with HCl (10%), H₂O₂ and HNO₃. Permanent slides were made with 200 µL of clean material, with Naphrax[®] as mounting medium. Minimum counts of 300 valves were undertaken in most samples, but 22 had low diatom abundance and only 100 valves were counted. Diatom counts were done with an Olympus BX50 microscope with Interferential Phase Contrast at 1000 ×. Species composition is reported as relative abundance (%), and total abundance is reported as valves per gram of dry sediment (v gds⁻¹).

3.3 Ostracodes

For ostracodes the same 108 samples were analyzed, but only 57 levels contained ostracodes. Two cubic centimeters from each sample were freeze dried, from which 1 g of dry sediment was disaggregated by standard methods (Delorme, 1990; Forester, 1988) and slowly wet-sieved (63 µm). Ostracode valves were picked under an Olympus

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SZX12 stereo microscope, counted, determined to species taxonomic level and stored in micropalaeontological slides. Care was taken to pick whole adult valves to allow species identification, juvenile valves were counted separately. Ostracode counts are expressed as valves per gram of dry sediment (v gds⁻¹).

4 Results

4.1 Core description

The SMO02-V sequence consists of sand/silt laminations with intercalated woody peat layers. Individual silt layers can be of ~ 1–3 to 60 mm in thickness and either light-brown calcareous, greenish, reddish or dark-brown (Vázquez-Castro et al., 2008). A tephra layer at 4.4 m depth was identified as the Toba Jala (AD ~ 860), from nearby Ceboruco volcano. The light-brown calcareous silt layers contain either authigenic carbonates or ostracodes, and are more frequent towards the top of the core, while woody peat layers are more frequent towards the bottom. There is no evidence for hiatuses, erosion features or slumping structures in the cores.

4.2 Chronology

The seven dates (Table 1) were calibrated to calendar years with Calib 5.0 (Stuvier et al., 2005) and the IntCal04 data set (Reimer et al., 2004). The age model (Fig. 2) assumed uniform sediment accumulation rates between the dates and anchored the top sediment to the year of coring (2002) (Vázquez-Castro et al., 2008). Sedimentation rates vary between 0.21 and 1.15 cm yr⁻¹ (Fig. 2). According to this model the base of the core (882 cm) is ca. 2600 years old, with an average resolution of 23 years per sample.

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The biological and non-biological proxies from SMO give solid evidence of three drier than average intervals, the most intense during the late Classic (AD 500–1000) and the other two related with the onset (1400–1550) and the late (1690–1770) Little Ice Age. There is also evidence of a wetter late Postclassic period (AD 1200 to 1400).
 5 In this region, in which climate is controlled by the seasonal shift of the north Pacific SHPC, the ITCZ and the onset of the NAM, drier than average conditions can be related with an expansion of the north Pacific SHPC, a southward migration of the ITCZ and a less active NAM. These are mechanisms that have also been used by other authors to explain drought conditions over Mesoamerica (Haug et al., 2003; Metcalfe et al., 2010). Other mechanisms that could bring wetter/drier conditions in this region are changes in the frequency or strength of “La Niña”/“El Niño”, as today “La Niña” is related with wetter than average summers (Magaña et al., 2003; Metcalfe et al., 2010). Changes in the paths or frequency of Pacific tropical storms and hurricanes could also be elements controlling the moisture balance in this area.

15 The data presented in this paper give further evidence that the late Classic drought (AD 500 to 1000) was the most important one in Mesoamerica during the last 2000 years, affecting the whole region, from Yucatan to the Pacific coast and not only a circum-Caribbean feature. In SMO the most intense phase is recorded from AD 600 to 800. The stochastic nature of the SMO record from AD 800 to 1200 seems consistent with the proposed recurrent nature of droughts, which has been suggested to follow cycles in solar activity (mainly 50 and 200 yr), (Hodell et al., 2001; Haug et al., 2003; Hodell et al., 2005a). This time of strong fluctuations in the SMO record also correlates with the onset of warmer than average conditions over the Northern Hemisphere from AD 830 to 1100 during the Medieval Climatic Anomaly (PAGES-2k-Consortium, 2013)
 20 that locally correlated with warmer sea surface temperatures in the Gulf of California (Barron et al., 2002).

The dry interval from 1400 to 1550 which corresponds with the onset of the Little Ice Age, correlates closely with the Spörer minimum in solar activity. The less intense dry period towards the end of the Little Ice Age (AD 1690 to 1770) correlates with

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the Maunder solar minimum. This dual nature of the Little Ice Age cooling, following the two solar minima, is also present in the record from Lago Verde, eastern Mexico (Lozano-García et al., 2007). In lakes SMO and Juanacatlán (both in western Mexico, Fig. 1) the Spörer minimum shows the most intense signal, however in other records in central or eastern Mexico the Maunder minimum represents the driest and/or coldest period (Haug et al., 2003; Cuna et al., 2014). Furthermore, in others records the two periods seem to merge into a single, longer Little Ice Age drought (Hodell et al., 2005b). These Little Ice Age droughts are undoubtedly related with the Spörer and Maunder solar minima which could be the ultimate control on the intensity of the NAM system.

10 The good coherence of the biological and non-biological proxies, the solid chronology and high resolution nature of the SMO record gives an excellent view of the environmental and climatic processes affecting this lake during the last 2000 years, and an insight into the interplay between climatic and anthropogenic influences in this lake.

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Table 1. Radiocarbon (AMS) dates of core SMO02-V, lake Santa Maria del Oro, Nayarit. Calendar years were calculated using the program Calib 5.0 (Stuvier et al., 2005) with the IntCal04 data set (Reimer et al., 2004).

Laboratory I.D.	Depth (cm)	Material dated	Radiocarbon age (yr BP)	2 σ range (cal yr)
Beta – 186 780	125.0	peat	400 \pm 40	AD 1432–1526
Beta – 176 359	246.5	peat	660 \pm 40	AD 1341–1397
Beta – 186 781	278.0	peat	700 \pm 40	AD 1256–1327
Beta – 176 360	366.5	wood	850 \pm 40	AD 1154–1275
Beta – 176 361	404.7	peat	990 \pm 40	AD 983–1073
Beta – 176 362	791.8	peat	2250 \pm 40	324–202 BC
Beta – 169 050	837.0	wood	2340 \pm 40	520–357 BC

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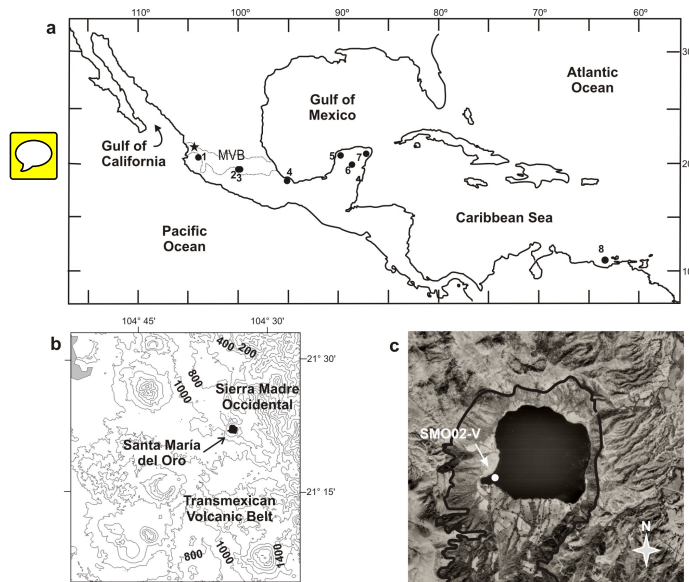


Figure 1. Location maps **(a)** Map of Mexico showing the research site (star) and locations discussed in the text from E to W: 1. Juanacatlán (Metcalfe et al., 2010), 2. La Luna (Cuna et al., 2014) 3. Chignahuapan (Caballero et al., 2002), 4. Lago Verde (Lozano-García et al., 2007; Lozano-García et al., 2005), 5. Aguada X’caamal (Hodell et al., 2005b), 6. Lake Chichancanab (Hodell et al., 2001, 2005a), 7. Punta Laguna (Curtis et al., 1996), 8. Cariaco Basin (Haug et al., 2003, 2001). Dotted line delimits the Transmexican Volcanic Belt (MVB). **(b)** Map of the research area with the location of lake Santa María del Oro, Nayarit, Mexico. **(c)** Aerial photograph showing the catchment basin (continuous black line) of lake Santa María del Oro and location of SMO02-V core site.

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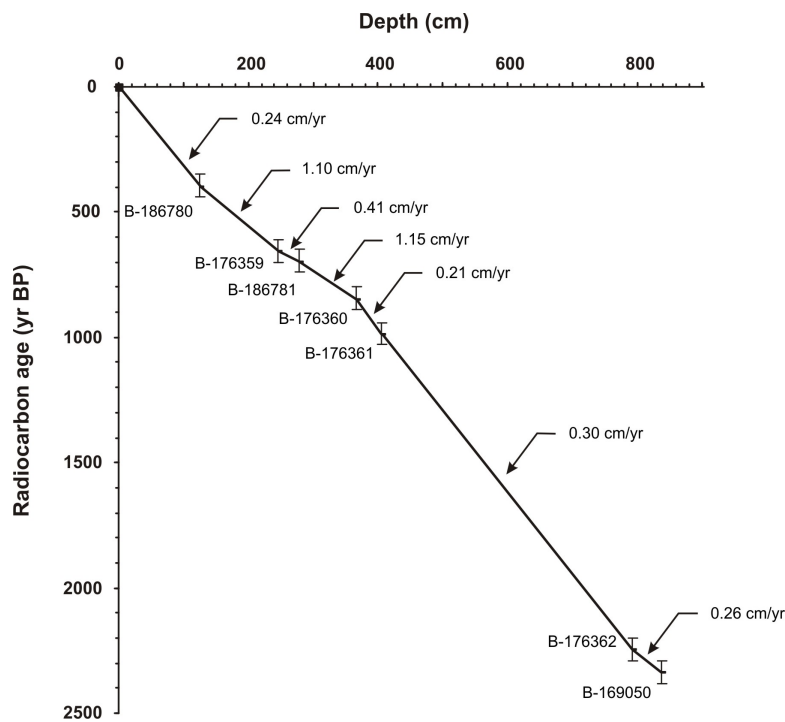


Figure 2. Age model of core SMO02-V, lake Santa Maria del Oro, Nayarit. Sedimentation rates are indicated above the line. Details of dates are listed in Table 1.

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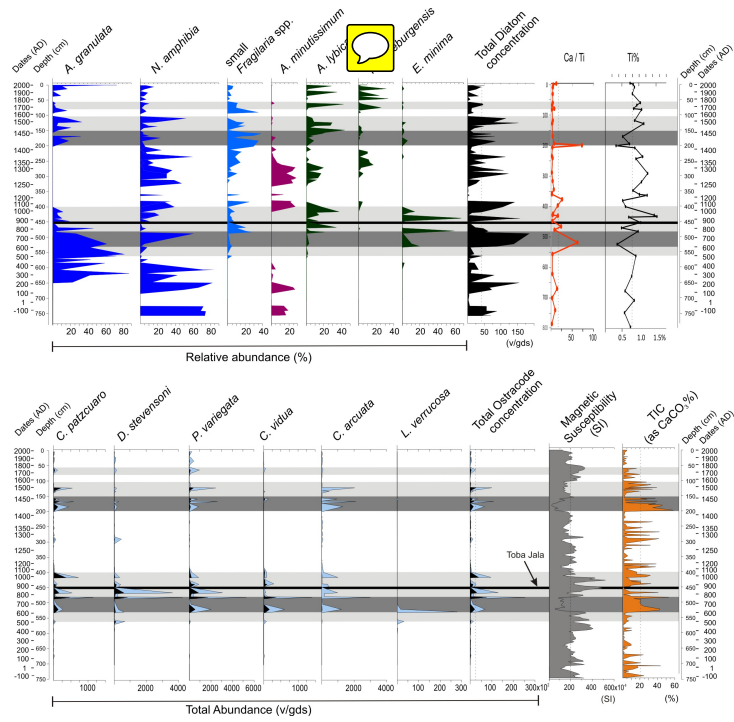


Figure 3. Biological (diatoms and ostracodes) and non-biological (Ca/Ti, Ti, magnetic susceptibility and TIC) proxies from core SMO02-V, Santa María del Oro, Nayarit, Mexico. TIC = total inorganic carbon. Small *Fragilaria* spp. includes: *Staurosira construens*, *Staurosirella pinnata*, *Pseudostaurosira parasitiaca*, *P. brevisstriata*, of which only *P. brevisstriata* had < 20 % abundance in the sequence 