DEVELOPMENT OF COCCOLITHOPHORE-BASED TRANSFER FUNCTIONS IN THE WESTERN MEDITERRANEAN SEA: A SEA SURFACE SALINITY RECONSTRUCTION FOR THE LAST 15.5 KYR

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1 Abstract

2 A new dataset of 88 marine surface sediment samples and related oceanic environmental 3 variables (temperature, salinity, chlorophyll-a, oxygen, etc.) was studied to quantify the relationship between assemblages of coccolithophore species and modern environmental 4 5 conditions in the Western Mediterranean Sea and the Atlantic Ocean, west of the Strait of Gibraltar. Multivariate statistical analyses revealed that coccolithophore species were primarily 6 related to sea surface salinity (SSS), explaining an independent and significant proportion of 7 variance in the coccolithophore data. A quantitative coccolithophore-based transfer function to 8 9 estimate SSS was developed using the Modern Analog Technique (MAT) and weightedaveraging partial-least square regression (WA-PLS). The bootstrapped regression coefficient 10 (R^2_{boot}) was 0.85_{MAT} and 0.80_{WA-PLS} , with root-mean square error of prediction (RMSEP) of 11 0.29_{MAT} and 0.30_{WA-PLS} (psu). The resulting transfer function was applied to fossil 12 coccolithophore assemblages in the highly resolved (~65 yr) sediment core CEUTA10PC08 13 14 from the Alboran Sea (Western Mediterranean) in order to reconstruct SSS for the last 25 kyr. The reliability of the reconstruction was evaluated by assessing the degree of similarity between 15 16 fossil and modern coccolithophore assemblage, and comparison of reconstruction with fossil 17 ordination scores. Analogs were poor for the stadials associated with Heinrich Event 2 and 1 18 and part of the Last Glacial Maximum. Good analogs indicate more reliable reconstruction of the SSS for the last 15.5 kyr. During this period, several millennial and centennial SSS changes 19 20 were observed and associated with sea-level oscillations and variations in the Atlantic Water 21 entering the Alboran.

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Key words: transfer function; coccolithophores; salinity; Western Mediterranean Sea; Atlantic Ocean; Last Glacial Maximum.

22 1. INTRODUCTION

23 Coccolithophores are one of the major components of marine phytoplankton. They are 24 sensitive to changes in many environmental variables, such as nutrients, temperature and 25 salinity and are widely used in qualitative paleoenvironmental studies (Baumann et al., 2005; 26 Guerreiro et al., 2013; Guerreiro et al., 2014). These studies provide general insight into the 27 response of coccolithophores to environmental variables, but quantitative studies (e.g. transfer 28 functions) allow assessing these relationships in a more rigorous and clear manner. Transfer 29 functions are based on the calibration of the modern relationship between organisms and 30 environmental conditions, and this information is in turn used to reconstruct past environmental 31 variables. Different statistical approaches based on coccolithophores have been proposed in 32 order to generate quantitative paleoreconstructions of different ecological variables. Giraudeau 33 and Rogers (1994) used factor analyses and multiple regressions to estimate chlorophyll-a from Eliminado: the Eliminado:) gradient, 34 coccolithophore census counts in surface sediment samples in the Benguela upwelling area. 35 Several authors (Beaufort et al., 2001, 1997; Incarbona et al., 2008) calibrated the relative abundance of the coccolithophore Floriphaera profunda in surface sediment samples with 36 respect to primary productivity and reconstructed past variations of this parameter in the Indian 37 38 and Pacific oceans and in the Central Mediterranean Sea. Saavedra-Pellitero et al. (2011, 2013) 39 used linear regression methods to derive past SST estimates in the southeast Pacific Ocean from 40 coccolithophore census counts and accumulation rates. Bollmann et al. (2009) and Bollmann 41 and Herrle (2007) applied multiple linear regressions to morphometric measurements of the 42 coccolithophore Emiliania huxleyi from globally distributed core-top and plankton samples to obtain modern and past sea surface salinity (SSS) estimates. 43

44 To date, no coccolithophore-based transfer function has been applied in the Western 45 Mediterranean Sea, a semi-enclosed basin situated at mid-latitudes (Fig. 1a). In this region 46 evaporation exceeds precipitation plus runoff, such that water budgets tend to be balanced by 47 the advection of relatively less saline Atlantic Water (AW) through the Strait of Gibraltar 48 (Bèthoux, 1979). The AW flows eastward while mixing with Mediterranean water to form the 49 Modified Atlantic Water (MAW) at the surface (100-200 m) (Millot, 1999). This distinctive feature affects the spatial distribution of some environmental parameters such as SST and SSS, 50 51 leading to the development of well-defined longitudinal gradients between the Atlantic Ocean 52 and the Western Mediterranean in annual terms. In this confined basin, the estimation of 53 changes in those environmental parameters is essential for determining Atlantic-Mediterranean 54 water mass exchange through the Strait of Gibraltar in the past (Rohling and Bigg, 1998; 55 Schmidt, 1998). This exchange depends on variations in the hydrological cycle, ice-volume effects, and Mediterranean circulation patterns, which have a thermohaline origin 56 57 (MEDOCGROUP, 1970).

The aim of this study is to explore the potential of coccolithophores for the development of quantitative reconstructions in the Western Mediterranean Sea. We study the response of coccolithophore assemblages from surface sediment samples from Atlantic Ocean and Mediterranean Sea to environmental variables. The resulting calibration model (transfer function) for salinity was used to reconstruct SSS changes at high-resolution in the Alboran Sea (Fig. 1a) for the last 25 kyr. The reliability of the reconstruction was assessed by analysis of the similarity between fossil and modern coccolithophore assemblages, and fossil ordination scores. Finally, contaminal and millennial SSS changes are described and discussed, and compared with

Finally, centennial and millennial SSS changes are described and discussed, and compared with

66 regional records of SST and organic matter preservation.

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- 67 2. MATERIALS AND METHODS
- 68 2.1. Modern training set

69 <u>2.1.1. Surface sediment samples</u>

Initially, 117 core tops located around a horizontal transect along the Western Mediterranean Sea and near the Gulf of Cadiz in the Atlantic Ocean were selected. They had been retrieved at varying water depths ranging from 70 to 2620 m during several oceanographic surveys and were stored at the University of Vigo and at the Core Repository of the Institute of Marine Sciences- CSIC in Barcelona. The first cm (or the second, if the first was unavailable) of the 117 core tops was sampled, assuming that it essentially represents present-day conditions.

76 <u>2.1.2. Environmental variables</u>

77 Data on temperature (Locarnini et al., 2013), salinity (Zweng et al., 2013), chlorophyll-a 78 (Boyer et al., 2013), oxygen content and saturation (García et al., 2014a), nitrate, phosphate, and 79 silicate (García et al., 2014b) were obtained from the 2013 World Ocean Atlas (WOA13), and mixed layer depth (Monterey and Levitus, 1997), total alkalinity (TALK) and total dissolved 80 inorganic carbon (DIC) (Govet et al., 2000) for the training set sites were taken for a grid of 1° 81 longitude by 1º latitude, using weighted averaged gridding by Ocean Data View (ODV) 82 software (Schlitzer, 2014). Similarly, data on pH and carbonate (CO₃²⁻) were calculated using 83 the 'derived variable' tool of ODV software. These data have been averaged annually and 84 85 seasonally (for summer and winter) from 1955 to 2012 and were selected at 10, 20, 30, 50, 75, 86 100, 125, 150, and 200 meters water depth.

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87 2.2. Fossil data set

The fossil coccolithophore data set used for the reconstruction comprises coccolithophore 88 89 census counts from core CEUTA10PC08 (36°1'22"N, 4°52'3"W; 914 mbsl), located in the Alboran Sea, previously published by Ausín et al. (2015). Fossil assemblages show a good-to-90 91 moderate degree of preservation. Location of this core lies under the modern path of the AW at the surface, near the Strait of Gibraltar (Fig. 1a). Sediment core chronostratigraphy was based 92 on 15 radiocarbon ages and covered the time span from 25 to 4.5 ka calibrated BP at a \sim 65 yr 93 temporal resolution (Ausín et al., 2015). All dates reported in this study are given in calibrated 94 95 ages BP.

96 2.3. Micropaleontological analyses

Both modern (surface sediment) and fossil (downcore) samples were prepared for coccolithophore analyses according to the techniques proposed by Flores and Sierro (1997). A polarized-light microscope at 1000x magnification was employed to identify and count at least 500 coccoliths in each sample, belonging to 21 different taxa. Species whose relative abundance was < 1 % in the first count were considered later in 20 visual fields in order to estimate their abundance accurately. The final relative abundance of each species in each sample was then 103 recalculated. Gephyrocapsa specimens smaller than 3 µm were lumped together and designated 104 "small Gephyrocapsa" (Flores et al., 1997). The "medium Gephyrocapsa" group was made up of Gephyrocapsa whose size was between 3 and 5 µm. Two sizes of morphotypes of E. huxleyi 105

 $(< 4 \ \mu m \ and > 4 \ \mu m)$ were considered owing to their different ecological and biostratigraphic 106

significance in the study area. Similarly, G. oceanica was split according to a size criterion of < 107

108 5 and > 5 µm owing to their comparable potential ecological significance. Other taxa identified

109 in this study were Calcidiscus leptoporus, F. profunda, Gephyrocapsa cf. caribbeanica,

110 Gephyrocapsa muellerae, Helicosphaera spp., and Syracosphaera spp. (as dominant taxa). The

rare taxa identified were Braarudosphaera bigelowii, Calciosolenia spp., Coccolithus pelagicus 111

112 subsp. braarudii, Coccolithus pelagicus subsp. pelagicus, Oolithotus fragilis, Pontosphaera

113 spp., Rhabdosphaera clavigera, Umbilicosphaera spp. and Umbellosphaera spp.

114 Twenty-nine samples were finally eliminated from the initial modern data set owing to their 115 high content (> 10 %) in obviously reworked nannofossils. These taxa belong to older stratigraphic levels (consistently older than the Pliocene in this study), meaning that they were 116 117 resuspended and transported from their original location to the sample site, and they lack any 118 relationship with modern environmental conditions. 10 % of reworked specimens was chosen as an acceptable threshold below which the sample could be retained in the modern training set, 119 after ruling out these reworked specimens, without compromising the statistical 120 representativeness of the major species (Fatela and Taborda, 2002). Later examination of the 121 spatial distribution of reworked specimens in the retained samples revealed that those with the 122 highest percentages were close to river mouths, relating reworked specimens to river discharges 123 124 and suggesting that the rest of the assemblage could be considered autochthonous. Thus, the 125 final training set (supplementary material) comprised 88 surface samples (Fig. 1b): 78 from the 126 Western Mediterranean (58 from the Balearic Sea and 20 from the Alboran Sea) and 10 from 127 the Atlantic Ocean.

128 2.4. Statistical analyses

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129 2.4.1. Relationship between coccolithophore assemblages and environmental variables

130 Prior to statistical analyses, environmental variables were checked for unimodal distribution. 131 Only mixed layer depth, T_{ALK} and DIC were log₁₀ transformed, since the transformation of other variables did not cause noticeable changes. Principal Component Analyses (PCA) was 132 133 performed on this initial data set to assess the major environmental gradients and collinearity 134 among the variables.

- 135 Coccolithophore relative abundances were square-root transformed to stabilize their
- 136 variances. The species Braarudosphaera sp., Calciosolenia spp., Coccolithus pelagicus subsp.

braarudii, Coccolithus pelagicus subsp. pelagicus, and Pontosphaera spp., were excluded from

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the modern (and consequently from the fossil) coccolithophore assemblages since their
maximum relative abundance was not > 1 % in at least two samples. Detrended Correspondence
Analysis (DCA) was then performed on the modern coccolithophore assemblage to estimate the
length of the environmental gradient. A length of the first DCA axis > 2 Standard Deviation
(SD) units indicates the unimodal responses of the organisms (Birks, 1995; Ter Braak and
Prentice, 1988), while shorter lengths indicate linear responses.

Akaike's information criterion (AIC) was used in an ordination analysis to identify the minimum number of variables (subset) that, being statistically significant, explained the maximum variation in the modern coccolithophore assemblage. Canonical Correspondence Analysis (CCA) was used to evaluate the influence of this environmental subset to explain coccolithophore distribution in the modern training set.

The ratio between the first constrained axis and the first unconstrained axis (λ_1/λ_2) was used as a diagnosis to test the strength of a single environmental variable when the effects of those remaining were excluded from the analyses (ter Braak and Juggins, 1993). If $\lambda_1/\lambda_2 \ge 1$, the variable under study is considered to be important for explaining the distribution of the species. The proportion of the variance in the coccolithophore training set explained uniquely by each significant environmental variable was calculated using variance partitioning.

Ordination analyses and variance partitioning were performed using the 'vegan' package
v.2.3. (Oksanen et al., 2015) for R (R Core Team, 2015).

157 <u>2.4.2. Transfer function</u>

158 Calibration models were calculated for the variable of interest (and each variable by means of exploratory analysis) using the weighted-averaging-partial least squares (WA-PLS) method 159 (ter Braak and Juggins, 1993; ter Braak et al., 1993) and the Modern Analog Technique (MAT) 160 (Prell, 1985), both implemented in C2 version 1.4.3 software (Juggins, 2007). All models were 161 162 calculated for the cross-validation predictions by bootstrapping (999 permutation cycles) (Birks, 163 1995). In MAT, the number of analogs resulting in the maximum coefficient of determination 164 (R^2_{boot}) between the observed and predicted values and the lowest root-mean square error of 165 prediction (RMSEP) (Telford et al., 2004) was calculated using an optimization set together 166 with the usual training and test sets implemented in the 'analoge' package for R (R Core Team, 2015). In WA-PLS, a decrease of 5 % or more in RMSEP was required to retain the next 167 168 component (Birks, 1995; ter Braak et al., 1993).

Many coccolithophore species inhabit at depths within a specific range of the photic zone
 and are subject to environmental seasonality (Winter et al., 1994). Therefore, the depth and
 season considered for calibration and reconstruction should be those that most influenced the
 coccolithophore fossil assemblage. Following the procedure described by Telford et al. (2013),

we reconstructed the variable of interest based on summer-, winter- and annual-averaged data at
9 different depths of the photic zone from 10 to 200 m using the 'paleoSig' package v.1.1-1
(Telford, 2012) for R (R Core Team, 2015). The reconstruction that explains the highest
proportion of variance in the fossil data being statistically significant reflects the depth and
season that most influenced the coccolithophore fossil assemblage and hence provides the most
suitable calibration.

Outliers may reduce the power of prediction of the calibration model as well as introducing
undesirable effects in model coefficients (Birks, 1995). Potential outliers were determined as
those whose absolute residual was higher than the mean SD of the observed values (Edwards et
al., 2004).

A combination of the highest R²_{boot} and the lowest RMSEP was used as a criterion for the quality prediction of the model. The graphical representations of the observed values against the values predicted by the model and the residuals against the predicted values were used as a diagnosis of the model.

187 <u>2.4.3. Derived reconstruction and evaluation</u>

188 MAT and WA-PLS were applied to the fossil coccolithophore assemblages of core CEUTA10PC08, which were previously square-root transformed, using C2 version 1.4.3 189 190 software (Juggins, 2007). Sample-specific reconstruction errors under bootstrapping were derived automatically by C2 software, considering the prediction error due to: i) errors in 191 192 estimating species coefficients, and ii) errors in the calibration function (further details may 193 be found in Birks et al. (1990). In order to assess the quality of the modern analogs for the 194 fossil (downcore) samples, the squared chord distance between each fossil sample and each sample in the modern training set (Overpeck et al., 1985) was calculated with MAT by C2 195 software. A squared chord distance below the 10th percentile would be considered good, 196 while values above this cutoff would represent assemblages with poor analogs (Simpson, 197 198 2007).

The first axis of the PCA analyses of the fossil dataset (PC1_{fossil}) shows the most important changes in the composition of the fossil coccolithophore assemblage. Comparison between PC1_{fossil} and the reconstructed variable of interest was used to assess whether the reconstruction could be considered representative of the major ecological changes of the fossil assemblage (Juggins, 2013).

204 3. RESULTS

205 **3.1. Geographical distribution of coccolithophores**

The small placoliths (small Gephyrocapsa and E. huxleyi < 4 µm) are the dominant taxa (Fig. 206 2b, c) constituting on average 83 % of coccolithophore assemblages. Small Gephyrocapsa 207 208 shows higher abundances near the Spanish coast and southeast of the Balearic Islands. E. *huxleyi* \leq 4 µm is more abundant in the Balearic Sea and around the Ebro River Delta (Fig. 2c). 209 G. muellerae (Fig. 2d) concentrates southeast of the Balearic Islands and shows a patch of 2 % 210 211 in the northern Alboran Sea. C. leptoporus and Helicosphaera spp. (Fig. 2e, f) are almost absent 212 in the Alboran Sea and show similar patchy distributions between the Catalan and the Balearic 213 fronts and east of the Balearic Islands. F. profunda (Fig. 2g) is more abundant in the Atlantic 214 Ocean (up to 16 %) and gradually decreases eastward. It shows two patches (up to 4 %) south of the Ebro River mouth. G. oceanica ($\leq 5 \mu m$) (Fig. 2h) is mostly distributed near the Strait of 215 216 Gibraltar. It also shows a patch (up to 3 %) around the Andarax River mouth.

217 3.2. Relationship between coccolithophores and environmental variables

The PC1 explains 56.1 % of the variance within the environmental data set (Fig. 3a) and is highly correlated with CO_3^{2-} , salinity, pH and T_{ALK} . PC2 explains 22.3 % of the total variance and primarily summarizes the information on temperature and phosphate.

221 The ordination based on the AIC revealed that only salinity, nitrate, phosphate, silicate and 222 oxygen are needed to explain the maximum variation in the modern coccolithophore 223 assemblage and are significant at the 95 % level when added individually to the model via a 224 forward selection procedure. The first axis of the DCA performed on the modern 225 coccolithophore assemblage was 2.6 SD units. Accordingly, unimodal methods were followed. 226 The CCA (Fig. 3b) revealed sites and species distribution along this environmental subset. The 227 others were also plotted as passive variables to avoid overfitting. The vectors show that salinity 228 exhibits the longest gradient and is strongly correlated with the CCA1, indicating a strong 229 relationship with coccolithophore distribution. Some sites from the Alboran and Balearic seas 230 and the taxa medium *Gephyrocapsa* and *E. huxleyi* (> 4 μ m) were found to be distributed along 231 the CCA2. Individual CCAs (Table 1) to calculate λ_1/λ_2 showed that salinity was the most 232 important variable among those found to be significant. Variance partitioning revealed that 233 these significant variables accounted for 38.9 % of the cumulative variance in the 234 coccolithophore training set and salinity explained a large proportion of this variance (15.5 %).

235 **3.3. Transfer functions**

Salinity explained the largest amount of variation in the coccolithophore assemblages and was therefore chosen to develop the coccolithophore-based transfer function. Additionally, comparison among the R^2_{boot} from preliminary calibration models for each variable confirmed

the best predictive power for salinity (Table 1).

Among the WA-PLS models for salinity, the two-component model (WA-PLS2) was chosen as the most suitable since it afforded a reduction of 6.4 % in the RMSEP. The ideal number of analogs for MAT was six.

The analyses of the amount of down-core variance explained by the summer, winter, and annual salinity reconstructions at <u>9</u> different depths and their statistical significance revealed that the mean-annual reconstruction at 10 m explained the highest variance. Hence, the reconstruction for core CEUTA10PC08 was based on the mean-annual salinity data at 10 m depth and referred to as SSS reconstruction.

248 Five samples showed higher residuals than the SD of salinity and were preliminary identified as potential outliers (supplementary material). However, only one of these samples (CO-81-249 250 2/TK-2) was identified as an outlier in both MAT and WA-PLS regression methods. This had a 251 bright yellowish color under the microscope, likely due to the effect of diagenetic processes. In 252 order to retain the maximum number of observations representing modern environmental 253 conditions, only this sample was removed from subsequent model implementations, leading to an improvement of the MAT and WA-PLS2 R^2_{boot} coefficient of 3.4 % and 6.6 %, respectively, 254 255 and reducing both Max_Biasboot and RMSEP (Table 2).

The final MAT and WA-PLS2 models showed similar quality predictions (Table 2). The salinity <u>values</u> in the <u>modern</u> training set <u>vary</u> from 36.2 to 38.2 psu. Intermediate values (37.1-37.6 psu) are less well represented by the observations (Fig 4a). MAT- and WA-PLS2-predicted values are shown in Figs. 4b, c. The predicted *versus* observed values from both models approach the diagonal of slope one (which indicates perfect predictions) reasonably well (Fig. 4d, e). The residuals for the MAT and WA-PLS2 models (Fig. 4f, g) are equally distributed around zero and show no apparent trends.

263 3.4. SSS reconstruction

SSS trends and values reconstructed for the CEUTA10PC08 core derived from both MAT and WA-PLS2 are very similar (Fig. 5a, b). These only differ during the stadials associated with Heinrich Events 2 and 1 (H2 and H1), when the WA-PLS2-estimated SSS shows more pronounced salinity decreases.

The SSS reconstructions obtained from core CEUTA10PC08 (Fig. 5a) can be divided into three intervals: i) the period from 25.5 to 15.5 ka is characterized by higher values that oscillate between 37.8 and 37 psu. Lower values are found from 20 to 18 ka, followed by a drop of 0.8 psu at 17.3 ka; ii) the period from 15.5 to 9 ka shows fast, large-amplitude changes. An abrupt decrease from 37.9 to 36.9 psu can be recognized at 15 ka, followed by large peaks of high values at 12.8, 11.1, and 10.2 ka; and iii) the period from 9 to 4.5 ka records the lowest values, which vary between 37 and 36.5 psu, and shows a general decreasing trend.

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275 On average, the errors associated with both SSS reconstruction are of a similar magnitude: 276 ± 0.15 psu for MAT and ± 0.17 psu for WA-PLS (Fig. 5a). Squared chord distances between 277 fossil and modern assemblages (Fig. 5b) revealed that many samples from 25.5 to 16 ka were 278 above the 10th percentile. A comparison between PC1_{fossil} and the SSS reconstruction is depicted 279 in Fig. 5c, showing general good agreement, especially for the last 16 kyr.

280 4. DISCUSSION

281 4.1. Geographic coccolithophore distribution and SSS

E. huxleyi (< 4 µm) and small *Gephyrocapsa* are widespread in the Western Mediterranean, 282 283 as previously reported for surface sediment and water column samples (Álvarez et al., 2010; Knappertsbusch, 1993; Oviedo et al., 2015). These taxa, especially E. huxleyi (< 4 µm), are 284 285 cosmopolitan and tolerate wide ranges of temperature and salinity (Winter et al., 1994). G. muellerae abundance is higher southeast of the Balearic Islands, where the MAW encounters 286 more saline and warmer Mediterranean waters, and close to the Alboran Front, possibly 287 288 reflecting its preference for rich-nutrient waters, as reported for sediment trap samples in the Alboran Sea (Bárcena et al., 2004; Hernández-Almeida et al., 2011). C. leptoporus and 289 Helicosphaera spp. (Fig. 2e, f) show similar spatial distributions and abundances. Interestingly, 290 291 the CCA suggests that Helicosphaera spp. have a preference for more saline waters (Fig. 3b). 292 By contrast, in paleoceanographic works this species has been linked to fresher and turbid 293 waters in the Mediterranean Sea (Ausín et al., 2015; Colmenero-Hidalgo et al., 2004; Grelaud et al., 2012). Helicosphaera spp. abundance in surface sediments from the northeastern Balearic 294 295 Island has also been related to upwelling events (Álvarez et al., 2010). Similarly, the abundance of C. leptoporus in the Alboran Sea has been linked to nutrient-rich waters (Bárcena et al., 296 2004). The similar patchy pattern shown by both species may be related to the temporary 297 298 upwelling of nutrient-rich waters associated with frontal structures in the area limited by the 299 Balearic and Catalan fronts (Font et al., 1988). In agreement with this interpretation, the co-300 occurrence of both species in other Mediterranean locations has already been linked to high 301 coccolithophore productive periods and pre-upwelling events (Hernández-Almeida et al., 2011; 302 Ziveri et al., 2000). The CCA (Fig. 3b) suggests that F. profunda and G. oceanica (< 5 µm) 303 woul be associated to less saline waters. This notion may partly be a consequence of their higher 304 abundance in Atlantic waters (Fig. 2d). G. oceanica has already been proposed as a tracer for AW influx in the Western Mediterranean Sea (Álvarez et al., 2010; Bárcena et al., 2004; 305 306 Knappertsbusch, 1993; Oviedo et al., 2015). Similarly, the F. profunda spatial distribution reflects the path of the Algerian current (Fig. 2a, d), formed by recent and fresher MAW (Fig. 307 308 2a, d). Low percentages of F. profunda spottily distributed south of the Ebro River and in the 309 Catalan-Balearic Sea suggest this species may be also affected by the influence of river discharges (Álvarez et al., 2010). These results suggest that *F. profunda* and *G. oceanica* proliferate mainly in waters of Atlantic origin, but not exclusively, as indicated by their
 presence in the Eastern Mediterranean (Knappertsbusch, 1993; Malinverno et al., 2008) where
 Atlantic influence becomes diluted.

Salinity was highly correlated with CO₃²⁻ and pH (Fig. 3a, b). Oviedo et al. (2015) have 314 found exactly the same variables as being the most important factors to account for changes in 315 316 heterococcolithophore assemblages from the study of coccolithophore distribution from water 317 column samples and *in situ* environmental measurement in the Mediterranean Sea. In our study, 318 multivariate analyses revealed that salinity was significant and was the most important variable 319 of those studied in explaining the variance in coccolithophore data in this modern training set. 320 However, the individual importance and proportion of variance explained by each of the 321 significant variables was not assessed in the study of Oviedo et al. (2015). Despite this, the 322 authors discarded salinity as a final explanatory variable, arguing that E. huxleyi, the most 323 abundant and ubiquitous extant coccolithophore (Cros and Fortuño, 2002), inhabits at a wide 324 salinity range, suggesting a negligible ecological effect of salinity on coccolithophores. 325 Contrary to this reasoning, the direct relationship between varying salinities and the morphology 326 of E. huxleyi has been demonstrated by several authors (Bollmann and Herrle, 2007; Bollmann 327 et al., 2009; Fielding et al., 2009; Green et al., 1998; Paasche et al., 1996; Schouten et al., 2006) 328 in both culture experiments and marine surface sediment samples. Oviedo et al. (2015) later 329 explained the high and negative relationship that they found between salinity and G. oceanica, G. muellerae and E. huxleyi morphotype B/C distributions as being a consequence of their 330 331 carry-over by the AW through the Mediterranean. Instead of this, however, we interpret the AW 332 influx as promoting the optimal conditions for these species to thrive in the Mediterranean Sea. 333 Therefore, the coccolithophore relationship with salinity would reflect the different water masses where coccolithophore species prefer to inhabit. 334

It is worth mentioning that salinity influences the solubility of CO_3^{2-} via several pathways: 335 the solubility of free carbon dioxide in water, the solubility product constants, the concentration 336 337 of hydrogen ions, and the quantity of calcium in the water (Trask, 1936). Accordingly, salinity 338 could influence coccolithophores through coccolith calcification processes. In contrast, 339 Bollmann and Herrle, (2009) have proposed that salinity influences coccolithophores through 340 cell turgor regulation linked to osmotic processes. Although there is no clear consensus about 341 the mechanism through which salinity influences coccolithophores, many other studies point to 342 a strong influence of this variable on molecular compounds only produced by coccolithophores 343 and on specific species. In the Japan Sea, salinity has been proposed to have an ecological or 344 physiological influence on the production of alkenone and alkenoates, which are organic 345 compounds mainly produced by the genera *Emiliania* and *Gephyrocapsa* (Fujine et al., 2006). 346 In the Baltic Sea, alkenone unsaturation ratios have been found to be significantly correlated 347 with salinity (Blanz et al., 2005). In the Mediterranean Sea, Knappertsbusch (1993) found that 348 G. oceanica distribution was linearly correlated with salinity. Based on such evidences, we 349 propose that the assemblage composition may be conditioned by the optimum salinity range 350 preferred by each species. Moreover, salinity has proved to be important to other marine 351 unicellular planktonic groups such as diatoms (Jiang et al., 2014; Li et al., 2012) and 352 dinoflagellate cysts (Jansson et al., 2014, and references therein), reinforcing the hypothesis of 353 salinity as an important variable for planktonic communities in semi-enclosed basins.

354 4.2. Transfer function quality

355 A general good fit can be deduced for both models, although MAT was seen to perform slightly better from a higher R²_{boot} and a lower RMSEP (Table 2) and plotted predicted values 356 compared with observed values (Fig. 4). Intermediate salinity values (37.1- 37.6 psu) are less 357 358 well represented than the more extreme values (Fig. 4d, e). Unevenness can bias the RMSEP leading to overestimation of the predictive power of the model (Telford and Birks, 2011). While 359 360 an even distribution would be always desirable, unevenness is a feature inherent to most training 361 sets from oceanic environments. In this case, it is not severe and the observations, although 362 unevenly distributed along the salinity gradient, do not leave gaps. The distribution of the 363 residuals (Fig. 4f, g) indicates the adequacy of the model.

364 4.3. Downcore SSS reconstruction

365 The derived MAT and WA-PLS2 SSS reconstructions (Fig. 5a) are very similar. 366 Nevertheless, WA-PLS2 shows more marked salinity decreases than MAT during the H2 (25.2-367 23.7 ka) and H1 (17.4-15.9 ka). Unlike WA-PLS, MAT does not consider the entire dataset 368 when calculating the species optima, only the most taxonomically similar analogs, and is more 369 sensitive to local conditions (Telford and Birks, 2009). Fossil samples lack good analogs for the 370 H2 and H1, coinciding with large peaks of E. huxleyi (> 4 μ m) (Fig. 5b). H2 and H1 have been 371 linked to the entry of cold and fresher water originating from the North Atlantic ice melting in 372 the Western Mediterranean Sea (Cacho et al., 1999; Melki, 2011; Sierro et al., 2005), suggesting 373 the preference of *E. huxleyi* (> 4 μ m) not only for cold waters (Colmenero-Hidalgo et al., 2002; 374 Colmenero-Hidalgo et al., 2004) but also fresher waters in the past. By contrast, Bollmann and 375 Herrle, (2007) reported a current positive correlation between the size of E. huxlevi up to 4 µm 376 and increasing salinities from the study of globally distributed core-top samples. These authors 377 used this relationship to estimate salinity values during the LGM. Interestingly, they observed 378 several overestimations with regard to other published values in samples characterized by high 379 relative abundances of larger specimens of E. huxleyi (> 4 μ m). These discrepancies suggest that 380 E. huxleyi (> 4 μ m) in ancient sediments lacks an analog in modern assemblages, as indicated by the high dissimilarity between fossil samples with high percentages of this species andmodern samples (Fig. 5b).

Because MAT is strongly dependent upon on the analogs selected (Telford and Birks, 2009)
and since the WA-PLS2 reconstruction for H2 and H1 is more coherent with a freshwater
inflow scenario, it seems that WA-PLS2 affords more reliable values than MAT. Consequently,
WA-PLS2-estimated SSS was chosen for our final interpretations.

387 Transfer functions assume that the ecological response of organisms to either the 388 environmental variable of interest or to the linear combination of this important variable with 389 others has not changed significantly over the time span represented by the fossil assemblage (Birks, 1995). The good agreement observed between PC1_{fossil} and the reconstructed SSS 390 391 patterns from 16 ka onwards (Fig. 5c) suggests that the SSS transfer function fulfills this 392 assumption back to 16 ka. Larger differences are observed from 25 to 16 ka, possibly promoted 393 by the lack of analogs during this time span, discussed above. Consequently, the SSS 394 reconstruction from 25 to 16 ka will not be discussed further.

395 4.4. SSS changes in the Alboran Sea over the last 15.5 kyr

396 <u>4.4.1. Termination 1b (T1b)</u>

397 A decrease in salinity of about 0.6 ± 0.15 psu occurred from 15.4 to 14.6 ka (Fig. 6a). The global sea-level rise of ~20 m during meltwater pulse 1a (mwp-1a) has been dated between 398 399 14.6 and 14 ka (Stanford et al., 2006, and references therein). Since this section covers 3,000 400 yr with no control point (Fig. 6a), it could be an artifact of poorly constrained chronology for 401 this time interval. Nevertheless, this seems unlikely because other authors (Duplessy et al., 1992; Emeis et al., 2000; Kallel et al., 1997) have reported SSS decreases in different regions 402 403 of the Mediterranean Sea and Atlantic Ocean at this time from a combination of oxygen isotope (δ^{18} O) and SST data. These salinity decreases are larger than that observed for the 404 CEUTA10PC08 core. For instance, Duplessy et al. (1992) identified a salinity drop of about 405 406 2.5 psu in an Atlantic core west of the Strait of Gibraltar. It is worth mentioning that the 407 salinity changes estimated by this method depend strongly on the accuracy of the SST record 408 (Schmidt, 1998) and the unknown salinity-seawater δ^{18} O relationship in the past (Rohling, 409 1999), being sensitive to several deviations and uncertainties that are difficult to assess 410 (Rohling, 2000; Rohling and Bigg, 1998; Schmidt, 1999). Although the uncertainty in the chronology prevents a robust correlation, the smaller SSS decrease identified in the SSS 411 412 reconstruction could be related to the Laurentide ice sheet melting and retreat at ~15.5 ka (Clark et al., 2001). This event has already been proposed to be the cause of the freshwater 413 input identified at 15.3 ka south of Iceland via advection within the North Atlantic Current 414

415 (NAC) and subsequently its northern branch (Thornalley et al., 2010). Similarly, the

416 southeastern branch of NAC could have advected freshwater to the study area.

417 <u>4.4.2. Bølling–Allerød (B–A)</u>

418 The SSS values are generally low for the B–A, the Bølling being fresher than the Allerød 419 (Fig. 6a). Owing to the global sea-level rise during the B-A, a greater volume of AW would 420 have entered through the Strait, decreasing the average SSS. This period of reduced salinity also 421 coincides with the highest values of total concentration of C₃₇ alkenones, a proxy of organic 422 matter preservation, from a nearby core located off the coast of Malaga (Ausín et al., 2015) 423 (Fig. 6b). This accumulation of high amounts of organic matter resulted in the formation of the 424 so-called Organic-Rich Layer (ORL-1) (Cacho et al., 2002) in the Western Mediterranean, 425 although its origin is still under debate (Rogerson et al., 2008; Rohling et al., 2015). The joint 426 effect of a salinity reduction of 0.8 psu and a temperature increase of 3 °C (Cacho et al., 2001) 427 (Fig. 6c) would have led to a significant reduction in sea surface density, possibly prompting 428 stagnation of the upper water column. This, along with increased organic matter export to the 429 seabed (Ausín et al., 2015) and reduced deep-basin ventilation (Martínez-Ruiz et al., 2015), 430 would have hampered organic matter mineralization, reinforcing the formation of the ORL-1 in 431 the Alboran Sea. According to Rohling et al. (2015), the origin of ORL-1 lies in hydraulic 432 changes in the Strait of Gibraltar (Bernoulli aspiration depth) and/or the inhibition of deep water formation in the Gulf of Lion, both resulting from a drastic reduction in seawater density. Those 433 authors have shown that the mwp-1a and the monsoon flooding into the Eastern Mediterranean 434 435 were insufficient to trigger these mechanisms, and demonstrated that the Alpine melt-water 436 input into the NW Mediterranean at this time (Ivy-Ochs et al., 2007) may have played an 437 essential role as freshwater forcing.

438

4.4.3. Younger Dryas (YD) and the Holocene

439 The YD exhibits a shift from higher to lower SSS values, decreasing by a total of 0.6 psu along its two phases: YDa and YDb (Fig. 6a). Several large short-term SSS fluctuations 440 441 occurred as from the onset of the YD throughout the early Holocene (up to 8 ka). This time span coincides with a sea level rise of ~ 30 m (Peltier and Fairbanks, 2006) due to short-lived 442 freshwater inputs associated with residual melting of the northern hemisphere ice sheets 443 (Andrews and Dunhill, 2004; Elmore et al., 2015; Seidenkrantz et al., 2013; Tornqvist and 444 Hijma, 2012). Six brief periods of a SSS decreasing trend were identified at 12.77-12.06, 11.95-445 11.71, 11.24-11.00, 10.09-9.83, 9.30-9.12, and 8.95-7.90 ka (Fig. 6a). REDFIT spectral analysis 446 reveals a periodicity of 770±40 years (Fig. 6d), very similar to the 730±40 years cycle found by 447 Cacho et al. (2001) in a SST record in the Alboran Sea, which was punctuated by the so-called 448 449 Alboran cooling (AC) events (Fig. 6c). Although this similarity does not necessarily imply a 450 causal relationship, the timing of SSS decreases is comparable to that of the AC events (Table 451 3), suggesting a common origin. Cacho et al. (2001) have associated the AC events to influxes of cold Atlantic waters in the Alboran Sea during ice-rafted debris discharges (so-called Bond 452 events) (Bond et al., 1997) (Table 3). These latter authors noted that the oxygen isotopic record 453 454 showed no evidence of any of the coolings found for each Bond event during the Holocene and 455 argued that the cooler surface waters may have also been fresher, offseting the expected 456 temperature-driven δ^{18} O enrichment in their records. Similarly, the highly-resolved δ^{18} O profile 457 reported by Cacho et al. (2001) does not show any of the expected oxygen isotopic enrichments associated with the AC events, supporting the presence of fresher waters at those times. We 458 459 suggest that freshwater advection (FA) events (as well as AC events) would have resulted from 460 the influx of fresher and colder Atlantic waters in the Alboran Sea related to the southeastward 461 drifting of meltwater from the Labrador, Greenland and Iceland seas (Bond et al., 1997).

FA events only occurred during the early Holocene, while AC and Bond events have also
been identified through the middle and late Holocene. Wenner et al. (2014) concluded that,
unlike those occurring later, early Holocene Bond events originated from changes in the
meridional overturning circulation due to meltwater pulses from the Northern Hemisphere icesheets. It is likely that FA events would only have been noticeable when this mechanism was
operating (i.e. the early Holocene), since very little meltwater was present after that period
(Elmore et al., 2015).

An SSS increase of 0.87±0.15 psu is observed from 10.7 to 10 ka. Because the Western
Mediterranean is a semi-enclosed basin, local conditions may have played a role as additional
feedbacks for this rapid high-amplitude variability. For this brief period, Frigola et al. (2008)
have demonstrated the most pronounced weakening of the Mediterranean thermohaline
circulation for the last 50 ka. The consequent reduction in Atlantic-Mediterranean water
exchange, along with the maximum summer insolation and inland aridity (Fletcher et al., 2010),
would have led to more saline surface waters.

FA1 includes the 8.2 ka event (Alley et al., 1997), which has been linked to a sub-476 477 thermocline freshening of 0.5 psu in the North Atlantic (Thornalley et al., 2009). However, no 478 distinctive SSS changes are observed in relation to this event, suggesting that it would have had 479 a negligible effect on surface salinity in the Alboran Sea. Minimum SSS values are recorded at 480 7.8 ka, possibly related to maximum high-stand conditions reached at 7.4 ka (Zazo et al., 2008), 481 along with the influence of the African Humid Period (AHP; 11-5.5 ka) over the study area, 482 especially up to its decline at 7.4 ka (deMenocal et al., 2000). From 7.8 to 4.5 ka, salinity values 483 level off around 36.6 psu, close to present SSS values.

484 5. CONCLUSIONS

Multivariate statistical analyses show that coccolithophore distribution of modern 485 486 coccolithophore assemblages in the Atlantic Ocean, west of the Strait of Gibraltar, and the Western Mediterranean was mainly influenced by annual mean salinity at 10 m depth. MAT and 487 WA-PLS2 calibration models show similar outcomes. These models were applied to 488 489 coccolithophore assemblages from a fossil core to reconstruct SSS at high resolution for the last 490 25 kyr in the Alboran Sea. Statistical analyses reveal assemblages lacking good modern analogs 491 in relation to the species E. $huxleyi > 4 \mu m$ during H2 and H1 and part of the LGM, preventing 492 further interpretations for these periods. A low SSS was found for the B-A, possibly due to the 493 post-glacial sea-level rise. The consequent reduction in sea surface density is suggested to have reinforced the formation of the ORL-1. During the YD and Holocene, six brief, abrupt SSS 494 495 decreases at 12.77-12.06, 11.95-11.71, 11.24-11.00, 10.09-9.83, 9.30-9.12, and 8.95-7.90 ka 496 were linked to the advection of fresher and colder AW related to the southeastward drifting of 497 meltwater in the North Atlantic. No evidence of the 8.2 ka event is found in the reconstructed 498 SSS, which reached its lowest values at 7.8 ka, coinciding with high-stand conditions in the 499 Alboran Sea and the onset of the decline of the African Humid Period. SSS remained low from 500 7.8 to 4.5 ka, close to its present values.

501 A broader understanding of the ecological link between coccolithophore species and 502 environmental parameters would be desirable in order to be able to place coccolithophore-based 503 transfer functions within the ecological context in future works. Nevertheless, the diverse 504 statistical tests performed in this study and the strong emphasis placed on assessing the validity 505 and reliability of both the model and the reconstruction do reveal the potential of 506 coccolithophores for developing transfer functions. The derived transfer function provides a 507 potential independent proxy for quantitative reconstructions of SSS changes in other locations 508 of the Western Mediterranean Sea over the last 15.5 kyr.

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843 Table 1.

844 Multivariate analyses results. λ_1/λ_2 : individual CCA. Preliminary model coefficients from MAT and WA-

PLS2. R²_{boot}: bootstrapped coefficient of determination between the observed and predicted values.
RMSEP: root mean square error of prediction.

			MAT		WA-PLS	
Variable	λ_1/λ_2	% Explained variance	Boot_R ²	RMSEP	Boot_R ²	RMSEP
*Salinity	1.38	15.47	0.83	0.30	0.75	0.33
*Nitrate	0.65	8.14	0.45	0.32	0.39	0.33
*Phosphate	0.25	4.89	0.36	0.02	0.19	0.02
*Silicate	0.22	8.93	0.56	0.24	0.40	0.26
*Oxygen	0.1	1.46	0.15	0.05	0.05	0.05
Chlorophyll-a			0.61	0.05	0.58	0.05
Temperature			0.12	0.52	0.07	0.53
Oxygen Saturation			0.20	1.04	0.18	1.02
Mixed Layer Depth			0.31	0.19	0.25	0.19
CO ₃ ²⁻			0.74	0.02	0.70	0.02
pH			0.70	0.02	0.67	0.02
T _{ALK}			0.70	0.00	0.67	0.004
DIC			0.51	13.31	0.48	13.16

848 ^{*}Variables determined by ordination based on AIC.

876 Table 2.

877 Model coefficients from final MAT and WA-PLS2 cross-validated by boot-strapping for SSS, after 878 removal of one outlier. R^2_{boot} : bootstrapped coefficient of determination between the observed and 879 predicted values. Max_Bias_{boot}: bootstrapped maximum bias. RMSEP: root mean square error of 880 prediction (psu).

	MAT	WA-PLS2
R ² _{boot}	0.85	0.80
Max_Bias _{boot}	0.23	0.22
RMSEP	0.29	0.30

921 <u>Table 3.</u>

922 <u>Timing (given in ka cal. BP) of: freshwater advection events (FA) deduced from SSS decreases in the</u>

923 CEUTA10PC08 core (this study) and their magnitude; Alboran cooling (AC) events from core MD 95924 2043 (ACYD-AC3, Cacho et al., (2001)); and Bond events in the North Atlantic (Bond et al., 1997).

2045 (ACTD-AC5, Cacho et al., (2001)), and Bond events in the North Atlantic (Bond C								
	SSS decreases	SSS change (psu)	AC events	Bond events				
	FAYD	0.79±0.15	ACYD					
	<u>12.77-12.06</u>		<u>13.1-12.0</u>	<u>12.5</u>				
	<u>FA5</u>	0.22 ± 0.16	<u>AC6</u>	<u></u>				
	<u>11.95-11.71</u>		<u>11.9-11.65</u>					
	<u>FA4</u>	0.41 ± 0.16	<u>AC5</u>					
	<u>11.24-11.00</u>		<u>11.21-10.95</u>	<u>11.1</u>				
	<u>FA3</u>	1.0 ± 0.15	<u>AC4</u>					
	<u>10.09-9.83</u>		<u>10.34-9.95</u>	<u>10.3</u>				
	<u>FA2</u>	0.42 ± 0.15	<u></u>					
	<u>9.3-9.12</u>			<u>9.4</u>				
	<u>FA1</u>	0.57 ± 0.15	<u>AC3</u>					
	8.95-7.9		9.08-7.56	8.1				

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945 Figure captions:

Figure 1. Maps of the study area. a) Location of the CEUTA10PC08 core (red star). Black arrows trace
general surface circulation. Legend: AW: Atlantic Water. MAW: Modified Atlantic Water. AC: Algerian
Current. NC: Northern Current. b) Location of the 88 core-top samples used for final calibrations. Maps
generated with Ocean Data View software (Schlitzer, 2014).

950

951 Figure 2. <u>Geographical distribution</u> of the main coccolithophore taxa. a) <u>Annual-mean salinity at 10 m</u>
952 depth and surface circulation patterns in the study area: permanent trajectories (black arrows) and semi953 permanent meso-scale features (dashed arrows). Legend: AF: Alboran Front; AOF: Almería-Orán Front;
954 <u>CF: Catalan Front; BF: Balearic Front; AW: Atlantic Water; MAW: Modified Atlantic Water; AC:</u>
955 <u>Argelian Current; NC: Northern Current. Distribution, according to their relative abundance (%), of:</u> b)
956 small *Gephyrocapsa* (< 3 µm); c) *E. huxleyi* (< 4 µm); d) *G. muellerae*; e) *C. leptoporus*; f)
957 *Helicosphaera* spp.; g) *F. profunda*; h) <u>G. oceanica</u> (< 5 µm).

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959 Figure 3. Multivariate analyses. a) PCA based on the thirteen initial environmental variables. b) CCA 960 ordination plot with the site scores scaled by eigenvalues. The 88 sites are represented regarding their 961 location in the Atlantic Ocean, Alboran Sea or Balearic Sea. Active and passive environmental vectors are 962 represented by black and gray arrows, respectively. Scaling for the 16 taxa scores (open circles) is shown 963 at the top left corner. mG: medium Gephyrocapsa; Eh(>4): E. huxleyi (> 4 µm); Eh: E. huxleyi; sGg: 964 small Gephyrocapsa; Gm: G. muellerae; Go: G. oceanica; sGo: small G. oceanica; Rc: R. clavigera; 965 Sspp: Syracosphaera spp.; Of: O. fraglis; Gc: G. cf. caribbeanica; Hspp: Helicosphaera spp.; Cl: C. 966 leptoporus; Uspp: Umbellosphaera spp.; Ug: Umbilicosphaera spp.; Fp: <u>F.</u> profunda.

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968 Figure 4. Diagnostic graphs of the models: a) Observed salinity values. b) MAT-predicted salinity
969 values. c) WA-PLS2-predicted salinity values. d) Observed vs MAT-predicted salinity values. e)
970 Observed vs WA-PLS2-predicted salinity values. f) MAT-predicted salinity values vs residuals. g) WA971 PLS2-predicted salinity values vs residuals.
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973 Figure 5. a) SSS reconstructions for the CEUTA10PC08 core derived from MAT (blue) and WA-PLS2 974 (green). The thin black lines represent the estimated values. The thick blue/green lines represent these 975 original data fitted to a 3-point moving average smoothing spline. Pale blue/green shadows represent the 976 error range, and dashed lines indicate current annual mean SSS in the Alboran Sea from the WOA13 977 (Zweng et al., 2013). b) Dissimilarity between modern and fossil assemblages (black dots) measured by 978 squared chord distance (left axis) plotted vs age. The red line indicates the 10th percentile. Relative abundance of the species E. huxleyi (> 4 µm) (%; right axis). c) Profiles comparing the PC1_{fossil} (black 979 980 line) and WA-PLS2-estimated SSS (green line).

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982 Figure 6. Paleoenvironmental records in the Alboran Sea: a) WA-PLS2-SSS reconstruction for
 983 CEUTA10PC08 core; age control points marked by triangles. b) C₃₇ Alkenones from core HER-GC-T1
 984 (Ausin et al., 2015). c) Alkenone-SST from core MD95-2043 (Cacho et al., 2001). Red boxes represent
 985 the Alboran cooling events (AC). d) REDFIT periodogram of the SSS reconstruction for the Holocene.
 986 The gray bar marks the only significant peak at the 95 % significance level (green line).