

RESPONSE TO COMMENTS FROM B. WADE

(in red: main changes in the text)

Technical comments:

Introduction:

A brief part has been added (see below) in the introduction about knowledge of planktic forams diversity and evolution of the studied interval. A more extended discussion on planktic foraminiferal evolution is given in Pearson et al. (2006).

(line 77 of the revised ms and line 14, p.675, in the discussion paper) At the beginning of the Eocene, planktic foraminiferal history was far enough from the Cretaceous-Paleogene mass extinction to have originated several phylogenetic lines with taxa occupying different ecological niches in the upper water column. The Eocene is a crucial interval in evolution of planktic foraminifera that encompassed one of their major diversifications reaching a peak in the middle Eocene (Norris, 1991; Pearson et al., 2006).

Numbering carbon isotope ‘events’:

We actually do not have duplicates of the analyses and are not going to do it now. We however underline the good reputation of the Stockholm isotope laboratory that ensure high quality outcomes. We admit that some of the recorded shifts are of very small magnitude. We have tentatively named them as ‘events’ only when changes in isotopic composition are associated with changes in planktic foraminiferal assemblages and/or fragmentation index. This is because increase in both fragmentation index and some foraminiferal taxa is similar to the record observed during early Eocene hyperthermals from the same geological setting (e.g., Luciani et al. 2007 MarMic, Agnini et al., 2009). Accordingly, we have deleted the C21n-CIE2 (0.4 ‰) because it actually does not coincide with evident changes in foraminiferal assemblages and /or Fragmentation index.

We agree that the identification of some minor shifts such as C21-CIE3 is tentative, therefore we decide to add a question mark in the figures (figure captions has been corrected accordingly). Low values in the carbon-shift amplitude could be explained with sample spacing not close enough to catch the peaks in magnitudes for each event. In the lower part of the section, where resolution is even lower, some 0.3 per mil shifts well correlate with the globally known hyperthermals. We cannot therefore exclude a priori that some of the carbon-isotope shifts recorded for the first time at Possagno above the EECO are global and/or that they are true events.

Concluding, in our opinion, a further solid motivation for suspecting some of the minor shifts as events is the foraminiferal record that mime the modifications recorded from the unquestionable pre-EECO hypethermals at the investigated Tethyan domain. It would be more difficult to explain the recorded biotic changes at Possagno as occurring in correspondence of pure diagenetic, accidental shifts.

We have added a table (Table 1) showing the post-EECO events that probably correlate with Demerara Rise.

We have added the following sentences in the text:

(line 218 of the revised ms and line 21, p.674 in the discussion paper) We have tentatively named the isotope shifts of small magnitude as events only when changes in isotopic composition are associated with evident modifications in planktic foraminiferal assemblages and/or in fragmentation index. This is because increase in both fragmentation index and some foraminiferal taxa is similar to the record observed during early Eocene hyperthermals from the same geological setting (Luciani et al. 2007; Agnini et al., 2009).

Section 4.4. We have added more details (see below) on abundances of the morozovellid species although based on qualitative observations. Quantitative data will be object of an incoming, dedicated study.

(line 218 of the revised ms and line 20, p-682 in the discussion paper) Qualitative examination of species variability shows that, in the lower part of the Zone E5 where the greater morozovellids abundance is recorded, no dominance of particular species is recognized, even though *M. marginodentata*, *M. subbotinae* and *M. lensiformis* are relatively more common forms with respect to *M. aequa*, *M. aragonensis*, *M. formosa* and *M. crater*. In the interval with the low abundance of morozovellids within the

EECO, an overturn is observed since *M. aragonensis*, *M. formosa*, *M. crater* and, in the upper part of the E5 Zone, *M. caucasica* are the most common species.

(line 274 of the revised ms and line 25, p.682 in the discussion paper) Similarly to Possagno, the lower part of Zone E5 with the higher percentages of morozovellids does not record the dominance of selected species, but at Site 1051 *M. aragonensis* and *M. formosa* besides *M. subbotinae* are relatively common whereas *M. marginodentata* is less frequent. Within the interval of low morozovellid abundances, *M. aragonensis* and *M. formosa* are the most common taxa. The general decline of morozovellids does not appear therefore related, both at Possagno and Site 1051, to the extinction or local disappearance of a dominant species.

Page 675 line 17: We have re-phrased as follow: “These genera belong to the muricate group”.

The isotope data are already present in the supplementary information.

Table A1: Thanks for your observation. The authors of the species with the original generic name were correctly cited in the text without brackets (see below). Errors are is likely due to automatic editing of the software, that we did not notice.

Globanomalina australiformis (Jenkins, 1965)
Morozovella aequa (Cushman and Renz, 1942)
Morozovella gracilis (Bolli, 1957)
Morozovella lensiformis (Subbotina, 1953),
Morozovella marginodentata (Subbotina, 1953)
Morozovella subbotinae (Morozova, 1939)
Parasubbotina eoelava Coxall, Huber and Pearson, 2003
Parasubbotina griffinae (Blow, 1979)
Parasubbotina pseudowilsoni Olsson and Pearson, 2006
Subbotina corpulenta (Subbotina, 1953)
Subbotina eocena (Guembel, 1868)
Subbotina hagni (Gohrbandt, 1967)
Subbotina senni (Beckmann, 1953)
Subbotina yeguanesis (Weinzierl and Applin, 1929)
Planoglobanomalina pseudoalgeriana Olsson & Hemleben, 2006

Figure 2: Not sure to have understand the request. From the figure should be clear that the red line is referred to the carbon isotopes and the blue one to the oxygen data. However, we have added a colour legend in the figure caption.

Figure 4: Thanks for the observation. We have erroneously used the same symbol (striped band) for the intervals of magnetostratigraphic uncertainty and for the not-recovery interval. Now graphic symbols are different and the figure caption was corrected consequently.

Page 677 How paleodepth in Bohaty et al. (2009) was derived:

We rephrase as follow: Bohaty et al. (2009) derived a paleodepth of about 2200 m for the interval around to 50 Ma through a standard subsidence model.

Line changes

OK, accepted all the corrections suggested.

Page 674, line 6 and 21: Actually the references should be even more numerous. We selected for line 6 those referred to the PETM and to the other pre-and post PETM hyperthermals. Line 21: The papers have been cited as documenting high CO₂ pressure across the EECO, probably the Lunt et al.(2007) and Royer et al. (2011) citations are not pertinent here.

RESPONSE TO COMMENTS FROM R. SPEIJER

(in red: main changes in the text)

Key issue

- 1) The reliability of the stable isotope record.

We are aware that oxygen data from onland sections can be, at least in part, unreliable due to diagenesis and we already discuss about that in the ms. On the contrary, carbon data produced in on-land sections are overall considered more reliable because the carbon isotope system has proved to be less prone to diagenetic processes with respect to oxygen. The Possagno section is in the same geological setting - a relatively UNDEFORMED sector of the MODERATELY tectonized Southern Alps- of other published Eocene sections yielding a good isotope and calcareous microfossil record that well correlates with ODP sites (e.g. Forada, Farra, Alano, Giusberti et al., 2007GSAB; Agnini et al., 2009, *Paleoceanogr.*; Agnini et al., 2011, GSAB; Spofforth et al., 2010 *Paleoceanogr.*). Stable isotope records of on-land sections have been published in high-ranking journals (included papers in which the referee is coauthor), if what the reviewer wrote is correct then we should put in the trash most of the data produced in, at least, the last two decades. That's a choice but we instead consider that this archive is crucial to capture what occurred in paleoenvironments other than the open ocean areas. The integration of all the available data is the only way to have a global view of the paleoclimatic, paleoceanographic and paleoenvironmental changes happened in the Earth's history. For these reasons, it is irrational either to believe that only the isotope records from ocean sites (which are not free from problems such as microfossils recrystallization, condensation/hiatus...) are useful or to think to throw away all data from onland sections. As for the meteoric influence we can exclude its effect since samples were taken from fresh quarry cuts and we adopted all possible cautions both during sampling and laboratory preparations.

However, we agree that the absolute values of the recorded shifts could be, at least in part, influenced by diagenetic processes but, as documented in many available isotopic profiles (see reference above and many others) the general trends recognized the reference oceanic sites are perfectly consistent with on-land data, that is the shifts are real. This is why we decide to correlate our data with the Demerara Rise isotopic record.

In the matter of duplication of isotopic analyses we did not systematically replicate isotopic analyses and we are not going to do it now. To reassure the reviewer about the quality of the data we would like to underline the good reputation of the Stockholm isotope laboratory and, again, the special attention we deserved to prepare and analyze the samples. The moving average is a commonly used practice to fix irregular fluctuations in a series; we however show also the unsmoothed data.

Regarding the magnitude of the observed isotopic excursions, we admit that some of the recorded shifts are very small. Nevertheless, we have tentatively named them as 'events' only when changes in isotopic composition are associated with sharp variations in planktic foraminiferal assemblages and/or fragmentation index. This is because the increase in fragmentation index as well as the increase in abundance of some taxa have been observed during early Eocene hyperthermals (e.g., PETM, ETM2) at a regional scale. Accordingly, we have deleted the C21n-CIE2 (0.4 ‰) because it actually does not coincide with evident changes in foraminiferal assemblages and /or Fragmentation index.

We agree that the identification of some minor shifts such as C21-CIE3 are tentative. We thus decide to add a question mark in the figures (figure captions has been corrected accordingly).

The carbon isotopic shifts sometimes show amplitudes smaller than expected. This could be explained with relatively low sample spacing not close enough to catch the maximum magnitude for each event. This view is also supported by the fact that, in the lower part of the section, where resolution is even lower, some 0.3 per mil shifts nicely correlate with the globally known hyperthermals. In principal we thus cannot exclude that some of minor carbon-isotope shifts observed for the first time at Possagno above the EECO are in fact global and/or that they are true events. Concluding, in our opinion, a further solid motivation for suspecting some of the minor shifts as events is our foraminiferal record. These data mime the variations as recorded

from the unquestionable pre-EECO hyperthermals in very close published sections belonging to the western Tethyan domain (e.g., Luciani et al., 2007 MarMic; Agnini et al., 2009 Paleoceanogr.). It would be more difficult to explain the recorded biotic changes at Possagno as occurring in correspondence of pure diagenetic, accidental shifts.

All these lines of evidences support the decision of not taking into account the suggestions of points 3) and 4). The achievement of new highly-resolved isotope data is planned from an incoming, more detailed, analysis of Site 1051.

We added the following sentence: (line 218 of the revised ms and line 21, p.674 in the discussion paper) **We have tentatively named the isotope shifts of small magnitude as events only when changes in isotopic composition are associated with evident modifications in planktic foraminiferal assemblages and/or in fragmentation index. This is because increase in both fragmentation index and some foraminiferal taxa is similar to the record observed during early Eocene hyperthermals from the same geological setting (Luciani et al. 2007; Agnini et al., 2009).**

Second main issue:

As far as the second main issue is concerned (reliability of fragmentation index and coarse fraction), we have discussed in other papers about the negligible influence of acetolyse methods on foraminiferal fragmentation. Of course Luciani et al. (2007, MarMic) tested the influence on fragmentation by using the H₂O₂ and acetolyse methods on the same samples: otherwise it would not have been a reliable test.

Not clear to us how the referee deduces that the H₂O₂ method is even more destructive for foraminiferal tests. If that was true, most of the published foraminiferal data from onland sections (not only from the Italian Scaglia facies of Southern Alps) would be unreliable. Since the two different preparation techniques we used are not regularly distributed throughout the Possagno section (with most of the sample prepared with acetolyse), the greater foraminiferal fragmentation should be casually distributed, on the contrary the fragmentation index increases in correspondence to the isotope shifts. In particular, at Possagno, the interval between 14 and 22 meters does not consist just of marly lithologies as the referee claimed.

Indurated limestones are present and we prepared the investigated samples both using cold acetolyse and H₂O₂ methods. High F-index values characterize both marly and carbonate samples, and this, in turn, implies that the preparation technique seems not to affect the preservation of the fossil microfauna. More likely high fragmentation-index values result from genuine paleoceanographic mechanism. A similar record (great fragmentation index coinciding with carbon isotope shifts) occurs also for other cited sections from the Belluno Basin during warming events, e.g. from the MECO at Alano (Luciani et al., 2010P3), where the standard method was adopted (hydrogen peroxide) and from the PETM record of the Forada section (acetolyse method; Luciani et al., 2007 MarMic). Specifically, at Alano, samples were washed including the finest fraction (between 38 and 63 micron): if the H₂O₂ method is so much corrosive as the referee believes, the smaller forms should not have been preserved. On the contrary, they are well preserved and abundant so that their fluctuations in abundance give significant paleoecological information (Luciani et al., 2010, P3). However, we recognize and briefly discuss in the text that the interpretation of a huge rise of the lysocline is problematic considering the middle-lower bathyal setting where the succession was presumably deposited.

Regarding other preparation techniques: the freeze-thaw method cited for foraminiferal extraction (Kennedy and Coe, 2014) refers to very different lithologies, organic-rich indurated Jurassic mudrock from the Toarcian OAE. In addition, the method implies to boiling the samples thus preventing any possibility of isotope analysis. Freeze-thaw and boiling were very old methods abandoned for a long time; it is possible, however, that they might give good results as in the case presented in the cited paper.

As for the absolute counts of foraminifera (number per gram), it would be obviously indicative of absolute and not relative changes among the populations. This method is generally adopted for benthic forms, which are rare and large-sized with respect to planktic tests in deep-water successions. Such a count for planktic foraminifera would consume a huge amount of time due to the huge number of tests, even adopting a quartering as small as possible, and also considering the high total number of samples analyzed in this paper (over 150).

The preservation of foraminifera is similar throughout the section “varying from moderate to fairly good”, thus assuring a reliable taxonomic identification even though tests are recrystallized and essentially totally

filled. This refers to the endless disputation on the preservation vs dissolution/overgrowth issue. We have added this information at p.678, line 2 of the discussion paper and line 151 of the revised ms. This should therefore further strengthen on the reliability of our data with respect to the F-index. Moreover, the cleanliness of washed residues cannot have influenced the coarse fraction count because it was very carefully executed. Finally, we define in the text the ultrasonic treatment as 'gentle' (e.g., low-frequency at 40kHz for 30–60 seconds, see Luciani and Giusberti, 2014 JFR) precisely because it was aimed to clean and not to induce mechanical breakage (samples were always checked before and after the treatment).

p.675: OK, PETM at ca. 56 Ma; the text has been corrected accordingly.

p.682. OK, thanks for the observation, we have added some references here (see below) specifically referred to the Atlantic Ocean. However, our sentence was simply intended as an observation and not as a general conclusion. The large number of morozovellids in some Tethyan shelf sequences could be explained with the reduced water column in shelf environments that favors the surface-water dwelling with respect to the deeper-dwelling forms.

p. 682, line 27 of the discussion paper and line 282 of the revised ms): ... The differences in the relative abundance of morozovellids at Possagno and Site 1051 indicate a morozovellid preference **for open ocean settings of low-latitudes, as suggested also by other authors (Berggren, 1978; Boersma et al., 1987; Premoli Silva and Boersma, 1988).**

We have added some references and a short sentence (see below) supporting the small different isotopic composition/behavior of morozovellids and acarininids but we think that the best position is paragraph 5.3, p. 687, Line 27 of the discussion paper, line 413 of the revised ms..

Slight differences in paleobiology between morozovellids and acarininids are documented in several cases by minor variations in stable isotopes that commonly indicate a more surface habitat for the former group (Boersma et al., 1987; Pearson et al., 1993; 2001).

p. 683: Radiolarians abundance was not shown because it has been estimated with a lower resolution with respect to Possagno.

p. 686: Not clear this part: subbotinids are generally more abundant at Possagno where the F-index is low, with some exceptions. If dissolution favors the preservation of the larger sized forms we cannot explain the decrease of large morozovellids as a merely consequence of dissolution.

p. 686 second part: see long discussion above about the second general issue.

p.689 not clear this request about the explanation of 'muricate crisis': it is clearly described that specific steps in the evolution of the two main groups among the muricate forms, acarininids and morozovellids, are characterized by their significant reduction in abundance and size.

p.690: 'bleaching' is commonly used also for foraminifera (e.g., Hallock and Talge, 1993 Proc. colloquium on global aspects of coral reefs: Health, hazards and history, Miami, Florida; Hallock et al., 2006 Proc. 10th Int. Coral Reef Symposium, Okinawa, Japan; Wade et al. 2008 Evol Ecol; Schmidt et al., 2011 Limnol oceanogr; Edgar et al. 2013 Geology).

p. 691: OK, good suggestion for a possible future study but with reference to a limited number of samples.

p. 712: Thanks for the suggested corrections. Caption of Fig.5 has been changed accordingly

1 **Massive and permanent decline of symbiont bearing morozovellids and $\delta^{13}\text{C}$**
2 **perturbations across the Early Eocene Climatic Optimum at the Possagno**
3 **section (Southern Alps of northeastern Italy)**

4

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16 **Abstract** - The Early Eocene Climatic Optimum (EECO) records the highest prolonged global
17 temperatures over the past ~~70~~ 90 Ma. Understanding the causes and timing of Eocene climate
18 change remains a major challenge in Cenozoic paleoceanography, which includes the biotic
19 response to climate variability and the changes among planktic foraminiferal assemblages across
20 the EECO. The symbiont bearing and shallow dwelling genera *Morozovella* and *Acarinina* were
21 important calcifiers in the tropical-subtropical early Paleogene oceans but almost completely
22 disappeared at about 38 Ma, near the Bartonian/Priabonian boundary. We show here that
23 morozovellids record a first critical step across the EECO through a major permanent decline in
24 relative abundance from the Tethyan Possagno section and ODP Site 1051 in the western
25 subtropical North Atlantic. Possible causes may include increased eutrophication, weak water
26 column stratification, changes in ocean chemistry, loss of ~~symbiosis~~ photosymbionts and possible
27 complex interaction with other microfossil groups. Relative abundances of planktic foraminiferal
28 taxa at Possagno parallel negative shifts in both $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ of bulk sediment from Chron C24r to
29 basal Chron C20r. The post-EECO stable isotopic excursions towards lighter values are of modest
30 intensity. Significant though ephemeral modifications in the planktic foraminiferal communities

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31 occur during these minor isotopic excursions. These modifications are marked by pronounced
32 increases in relative abundance of acarininids, in a manner similar to their behaviour during pre-
33 EECO hyperthermals in the Tethyan settings, which suggest a pronounced biotic sensitivity to
34 climate change of planktic foraminifera even during the post-EECO interval.

35

36 **1 Introduction**

37

38 The Early Eocene Climatic Optimum (EECO) is the interval in which the Earth's climate attained its
39 warmest state of the past 90 Ma and it represents a major turning point in the Cenozoic climate, as it
40 was followed by a long term cooling throughout the remainder of the Eocene, which culminated with
41 the formation of permanent large ice sheets on Antarctica at the end of the Eocene (Zachos et al., 2001;
42 Coxall et al., 2005). Superimposed on the long term early Eocene climate trend, short lived (<200 kyr)
43 warm events occurred, named hyperthermals, the most extreme of which is the well known Paleocene
44 Eocene Thermal Maximum (PETM) (Agnini et al., 2009; Coccioni et al., 2012; Cramer et al., 2003;
45 Kennett and Stott, 1991; Lourens et al., 2005; Nicolò et al., 2007; Quillévéré et al., 2008; Zachos et al.,
46 2008). Massive, rapid releases of isotopically light carbon are linked to hyperthermals and concomitant
47 deep-sea carbonate dissolution events (Dickens, 2011; Dickens et al., 1995, 1997; Zachos et al., 2005,
48 2008). The series of early Eocene hyperthermals (Littler et al., 2014; Slotnick et al., 2012; Zachos et
49 al., 2010) has been suggested to continue into the earliest middle Eocene, with an additional thirteen
50 brief (~40 kyr) and less pronounced events (Kirtland Turner et al., 2014; Sexton et al., 2011).

51 Although the EECO still lacks a formal definition in terms of absolute age and duration (Slotnick
52 et al., 2012), this interval is thought to represent a ca. 2-3 Ma long early Eocene interval of extreme
53 warmth between about 52 and 50 Ma (Zachos et al., 2001) or between about 53 Ma and 51 Ma (Zachos
54 et al., 2008). Current thought about the placement of the EECO thus encompasses the interval from
55 approximately 50 Ma to 53 Ma. Regardless of the exact duration and position on the chronometric
56 scale of the EECO, it is clearly much longer than any of the brief early Eocene hyperthermals (Zachos
57 et al., 2001; 2008; 2010). Exceptionally high and long lasting atmospheric $p\text{CO}_2$ conditions are
58 considered to have played a primary control on the EECO warmth (Fletcher et al., 2008; Hyland and
59 Sheldon, 2013; Komar et al., 2013; Lowenstein and Demicco, 2006; ~~Lunt et al., 2011~~; Pearson and
60 Palmer, 2000; ~~Royer et al., 2007~~; Smith et al., 2010). Slow addition of depleted carbon dioxide from

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62 volcanism, the second emplacement phase of the North Atlantic Igneous Province, and increased
63 weathering of silicate rocks are often invoked as the main trigger of the EECO warming and the
64 subsequent long term cooling trend (Demicco, 2004; Raymo and Ruddiman, 1992; Sinton and Duncan,
65 1998; Vogt, 1979; Zachos et al., 2008). The influence of a major switch from continental to island arc
66 volcanism around 50 Ma may also have played a role in perturbing the carbon cycle change that helped
67 end the warm EECO interval (Dickens et al., 2014; Lee et al., 2013).

68 It is well established that major plant and mammal faunal evolutionary turnovers occurred during
69 the EECO (Falkowski et al., 2005; Figueirido et al., 2012; Wilf et al., 2003; Wing et al., 1991;
70 Woodbourne et al., 2009; Zonneveld et al., 2000). In the marine realm, changes in evolutionary trends
71 have also been observed, for example the inception to the modern structure among calcareous
72 nannofossil communities (Agnini et al., 2006; Shamrock and Watkins, 2012; Schneider et al., 2011)
73 and possibly in diatom lineages (Oreshkina, 2012; Sims et al., 2006). These observations both from
74 continents and the oceans support the hypothesis of a primary interaction between climate change and
75 biotic evolution.

76 The relationship between the EECO and the paleoecology and evolution of planktic foraminifera
77 is insufficiently known. At the beginning of the Eocene, planktic foraminiferal history was far enough
78 from the Cretaceous-Paleogene mass extinction to have originated several phylogenetic lines with taxa
79 occupying different ecological niches in the upper water column. The Eocene is a crucial interval in
80 evolution of planktic foraminifera that encompassed one of their major diversifications reaching a peak
81 in the middle Eocene (Norris, 1991; Pearson et al., 2006).

82 Within this plankton group, the symbiont bearing and shallow dwelling morozovellids and
83 acarininids are of particular interest because they dominated the tropical and subtropical assemblages
84 of the early Paleogene oceans. These genera ~~are also known as~~ belong to the muricate group, from the
85 muricae that form conical pustules on the test wall. Among calcareous microplankton, the muricates
86 were one of the major calcifiers in the low latitude early Paleogene oceans and almost completely
87 disappeared at about 38 Ma, near the Bartonian/Priabonian boundary (Agnini et al., 2011; Luciani et
88 al., 2010; Wade, 2004; Wade et al., 2012).

89 The hemipelagic Possagno sedimentary succession is located in the Venetian Prealps of
90 northeastern Italy (Fig. 1). This section represents continuous deposition of the early through early
91 middle Eocene interval (55-46 Ma) from a bathyal setting in the central-western Tethys. A robust

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93 Eocene biomagnetostratigraphy was established by Agnini et al. (2006). The Possagno section thus
94 spans the EECO interval, here agreed as the interval from about 53 to 50 Ma (Slotnick et al., 2012).

95 According to Agnini et al. (2006), the Possagno section is 66 m thick extending from the
96 Paleocene/Eocene boundary at ~~55~~ about 56 Ma to the early middle Eocene (lower Chron C20r) at 46
97 Ma on the time scale of Cande and Kent (1995). The aim here is to investigate the response of the
98 muricates in terms of relative abundance throughout the early and early middle Eocene, including the
99 EECO interval, in the 56 Ma through 46 Ma interval at Possagno, encompassing biozones planktic
100 foraminiferal Zones E1 to lower E8 (Luciani and Giusberti, 2014). In addition, the planktic
101 foraminiferal changes recorded at Possagno are compared with those observed from ODP Site 1051 in
102 the western subtropical North Atlantic. Additional aims include (1) to document planktic foraminiferal
103 changes in the Possagno section in relation to stable carbon and oxygen isotopes for the purpose to
104 unravel paleoenvironmental conditions of the upper water column and (2), to distinguish ephemeral
105 biotic modifications during brief peaks of warming from permanent evolutionary changes in the
106 Tethyan and North Atlantic realms.

107

108 2 The Possagno section and Site 1051: setting and stratigraphy

109

110 An upper Cretaceous-Miocene succession crops out at the bottom of the Monte Grappa Massif in
111 the Possagno area, about 60 km NW of Venice. The lower to middle Eocene interval, which is the
112 focus of this study, is represented by pelagic to hemipelagic Scaglia facies sediments. The Scaglia
113 beds at Possagno are considered to have been deposited at middle to lower bathyal depths (Cita, 1975;
114 Thomas, 1998) in the western part of the Belluno Basin, a Mesozoic–Cenozoic paleogeographic unit
115 of the Southern Alps characterized by Jurassic to Eocene pelagic sequences (Bosellini, 1989). The
116 basal part of the Possagno section straddles a 30 cm thick interval of dark-red clayey marls (Arenillas
117 et al., 1999; Agnini et al., 2006), referred to as the Clay Marl Unit (CMU) by Giusberti et al. (2007).
118 The CMU is the lithological expression of the main carbon isotope excursion (CIE) of the Paleocene
119 Eocene Thermal Maximum (PETM), the base of which defines the Paleocene-Eocene boundary
120 (Aubry et al., 2007). The bio- lithostratigraphic assignment of the Possagno sediments follows Luciani
121 and Giusberti (2014), and the magnetostratigraphy is from Agnini et al. (2006) (Figs. 2,3).

122 The Blake Nose is a gentle ramp extending from 1000 m to 2700 m water depth at the Blake

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124 Escarpment in the western North Atlantic (Norris et al, 1998). ODP Site 1051 is located well above
125 the local lysocline and the carbonate compensation depth. The sediments studied here are from 452.24
126 to 353.10 meters below sea floor (mbsf) and consists of lower to middle Eocene carbonate ooze and
127 chalk (Norris et al., 1998). This part of the Eocene section shows good recovery except between 382
128 mbsf and 390 mbsf (Fig. 4) and contains abundant calcareous plankton. Magnetostratigraphy is from
129 Ogg and Bardot (2001). Paleodepth estimates from benthic foraminiferal assemblages indicate lower
130 bathyal depth (1000-2000 m) during late Paleocene-middle Eocene (Norris et al., 1998). ~~The~~
131 ~~paleodepth was about 2200 m during the lower Eocene according to Bohaty et al. (2009). Bohaty et al.~~
132 ~~(2009) derived a paleodepth of about 2200 m for the interval around to 50 Ma through a standard~~
133 ~~subsidence model.~~

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136 3 Methods

137

138 Analyses of foraminifera and stable isotopes were performed from the identical sample set of the
139 Possagno section previously used for calcareous nannofossils (Agnini et al., 2006). Relative
140 abundances have been determined from about 300 specimens extracted from each of the 110 samples
141 investigated in the >63 µm size fraction. A sampling interval of 2–5 cm was used in the basal 0.7 m of
142 the Possagno section, followed by 50 cm spacing for the 0.7–14 m interval, and 20 cm for the 14–66 m
143 interval. Washed residues were prepared following standard procedures, which varied with the
144 different lithologies. Foraminifera were successfully extracted from the indurated marly limestones and
145 limestones using the cold-acetolyse technique (Lirer, 2000; Luciani and Giusberti, 2014), a highly
146 successful method for disaggregating strongly lithified samples (Fornaciari et al., 2007; Luciani et al.,
147 2007), otherwise analyzable only in thin section. The marly samples were disaggregated using 30 %
148 hydrogen peroxide and subsequently washed and sieved using a 63 µm sieve. In most cases, gentle
149 ultrasonic treatment improved the cleaning of the tests. In the Possagno section, foraminifera are
150 continuously present and diverse throughout the studied interval with a preservation varying from
151 moderate to fairly good, ~~even though tests are recrystallized and essentially totally filled.~~

152 The weight percent of the >63 µm size fraction relative to the weight of the bulk sample, typically
153 100 g/sample, for the 110 Possagno samples is referred to as the coarse fraction, following Hancock

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154 and Dickens (2005). Investigation of fifty Eocene samples at Site 1051 (Hole 1051A) from 452.24 to
155 353.1 mbsf, corresponding to ~52-47 Ma, had a spacing varying from 2.0 m to 0.5 m. These were
156 prepared using disaggregation using distilled water and washing over 38 μm and 63 μm sieves. Washed
157 residues were dried at $<50^\circ\text{C}$. Planktic foraminifera from Site 1051 are abundant and well preserved.

158 The degree of dissolution, expressed as fragmentation index (F index), has been evaluated by
159 counting the number of planktic foraminiferal fragments or partially dissolved tests versus entire tests
160 on 300 elements, following Hancock and Dickens (2005). These data are expressed in percentages.
161 Fragmented foraminifera include specimens showing missing chambers and substantial breakage.

162 Carbon and oxygen stable isotope data of bulk sediment samples were analysed using a Finnigan
163 MAT 252 mass spectrometer equipped with a Kiel device at Stockholm University. Precision is within
164 ± 0.06 ‰ for carbon isotopes and ± 0.07 ‰ for oxygen isotopes. Stable isotopes values are calibrated to
165 the Vienna Pee Dee Belemnite standard (VPDB) and converted to conventional delta notation ($\delta^{13}\text{C}$
166 and $\delta^{18}\text{O}$).

167

168

169 **4 Results**

170

171 **4.1 Foraminiferal fragmentation**

172

173 The F index recorded at Possagno (Fig. 3) displays a large variability throughout the interval
174 investigated. The highest values, up to 70 %, were observed in the 16-22 m interval. The maximum F
175 index values correspond to the minimum values in the $\delta^{13}\text{C}$ record. A number of F index peaks mimic
176 the $\delta^{13}\text{C}$ negative peaks below 16 m, showing values between 60 % and 70 %.

177 F index values at Site 1051 (Fig. 4) show less variability with respect to Possagno. A maximum
178 value of 60 % is reached in Zone E5, just below an interval of uncertain magnetostratigraphic
179 attribution (Norris et al., 1998), here referred to as Chron C23r. Relatively high F index values around
180 50 % occur the upper portions of Chrons C24n and C22r. The interval across the EECO, on the basis of
181 biomagnetostratigraphic correlation and here placed between ca 397 and 425 mbsf, displays F index
182 values (<20 %). The relatively lower F index values at Site 1051 are presumably caused by less
183 carbonate dissolution at that site and, to some extent, the lower resolution of the investigated samples at

184 Site 1051.

185

186 **4.2 Weight percent coarse fraction**

187

188 Carbonate dissolution generally causes the bulk sediment coarse fraction to decrease because of
189 fragmentation of foraminiferal tests (Hancock and Dickens, 2005). The coarse fraction and *F* index
190 data from Possagno (Fig. 3) do not show such an anti-phasing, especially in the post-EECO interval.
191 The coarse fraction at Possagno shows minor fluctuations with a mean value of 5.3 ± 1.5 % from the
192 base of the EECO and upsection, with pre-EECO values varying around 10 %.

193

194 **4.3 Carbon and oxygen isotopes**

195

196 The $\delta^{13}\text{C}$ data from Possagno show a negative shift of about 1.5 ‰ at the 0 m level, which
197 corresponds to the Paleocene-Eocene boundary (Agnini et al., 2009) There are nine additional negative
198 carbon isotope excursions above the Paleocene-Eocene boundary in the lower 21.4 m of the Possagno
199 section (Fig. 2, Tab. S1):

- 200 1. 0.9 ‰ at 21.4 m (C22r) within EECO
- 201 2. 1.1 ‰ at 20.8 m (C22r) within EECO
- 202 3. 0.6 ‰ at 19.8 m (C23n) within EECO
- 203 4. 0.8 ‰ at 18.0 m (C23n) within EECO
- 204 5. 0.9 ‰ at 16.8 m (C23n) within EECO
- 205 6. 0.4 ‰ at 14.8 m (C24n.1n) within EECO (X event)
- 206 7. 0.3 ‰ at 12.5 m (near C24n.2n/C24n.2r boundary; J event)
- 207 8. 0.3 ‰ at 10.5 m (mid C24n.3n; I event)
- 208 9. 0.3 ‰ at 8.0 m (upper C24r; ETM2/ELMO event)

209 The two oldest of these carbon isotope excursions are determined using 45-50 cm sample spacing,
210 implying that their true magnitudes are probably not fully captured. Their precise positions may also
211 change as higher resolution data become available from this relatively condensed part of the section
212 showing sedimentation rates < 0.5 cm/kyr. The remaining five are determined using 20 cm sample
213 spacing. The number, magnitudes and stratigraphy of the above carbon isotope excursions are similar

214 to the results of other studies (Agnini et al., 2009; Slotnick et al., 2012; Zachos et al., 2010).

215 Above Chron C22r, a series of additional minor negative carbon isotope excursions (CIEs) are
216 recorded in Chron C22n, Chron C21r and Chron C21n from the Possagno section. By combining the
217 chron identification with the number of CIEs starting at the old end of the chron, these CIEs are coined
218 C22n-CIE1, C22n-CIE2, etc., up to C21n-CIE4 (Fig. 2). We have tentatively named the isotope shifts
219 of small magnitude as events only when changes in isotopic composition are associated with evident
220 modifications in planktic foraminiferal assemblages and/or in fragmentation index. This is because
221 increase in both fragmentation index and some foraminiferal taxa is similar to the record observed
222 during early Eocene hyperthermals from the same geological setting (Luciani et al. 2007; Agnini et al.,
223 2009). As the Possagno section is measured from the base of the PETM (0 m) and upsection,
224 increasing distance from the PETM level yields increasing positive meter values:

- 225 1. C21n-CIE4 – 0.3 ‰ from 56.6 m to 57.0 m
- 226 2. C21n-CIE3 – 0.3 ‰ from 55.6 m to 56.2 m
- 227 3. C21n-CIE2 – 0.3 ‰ from 54.8 m to 55.0 m
- 228 ~~C21n-CIE2 – 0.4 ‰ from 52.8 m to 53.2 m~~
- 229 4. C21n-CIE1 – 0.8 ‰ from 48.8 m to 49.4 m
- 230 5. C21r-CIE4 – 0.3 ‰ from 39.6 m to 39.8 m
- 231 6. C21r-CIE3 – 0.5 ‰ from 38.8 m to 39.2 m
- 232 7. C21r-CIE2 – 0.7 ‰ from 37.6 m to 38.2 m
- 233 8. C21r-CIE1 – 0.9 ‰ from 32.8 m to 33.2 m
- 234 9. C22n-CIE3 – 0.5 ‰ from 31.2 m to 31.4 m
- 235 10. C22n-CIE2 – 0.5 ‰ from 30.0 to 30.2 m
- 236 11. C22n-CIE1 – 0.6 ‰ from 27.2 m to 27.4 m

237 Oxygen isotopes of bulk rock measurements from indurate marly limestones and limestones may
238 be affected by diagenetic overprints (Marshall, 1992), which presumably apply also to the rocks in the
239 Possagno section. Despite of this preservation caveat, it is assumed that oscillations in oxygen isotopes
240 chiefly represent temperature fluctuations during the ice-free early Eocene world. Lighter oxygen
241 isotope values in the Possagno section indeed show a clear correspondence with lighter carbon isotope
242 values (CIEs) and vice versa in the post-EECO interval (Fig. 2). Thus, despite the possibility of some
243 diagenetic overprinting in several individual samples, especially in the lower part of our record, a three-

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Comment [1]: We have deleted this shift because it actually does not coincide with evident changes in foraminiferal assemblages and/or Fragmentation index

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248 point moving average of oxygen isotope data should reveal early to early middle Eocene climate
249 variability in the Possagno section.

250

251 **4.4 Planktic foraminiferal quantitative analysis**

252

253 The planktic foraminiferal assemblages show significant modifications in the early to early
254 middle Eocene interval at Possagno (Fig. 3). The mean relative abundance of *Acarinina* is about 46 %
255 of the total assemblage throughout the section. Members of this genus show peak abundances of 60-70
256 % of the total assemblage during the early to early middle Eocene CIEs. Particularly prominent is the
257 increase to ~80 % during the EECO interval (Fig. 3). Acarininids clearly thrived and expanded in
258 abundance during the CIEs, including the EECO.

259 This increase of acarininids is counter balanced by a transient decrease in members of
260 subbotinids. This latter group recovers above the EECO interval and increases moderately from ~24 %
261 to ~36 % in terms of mean relative abundance of the total assemblage, up to the top of the section. The
262 North Atlantic Site 1051 also shows a slight increase of ca. 7 % in the mean value among the
263 subbotinids during the corresponding time interval.

264 A permanent reduction in the abundance of members of the genus *Morozovella* represents a
265 major change within the planktic foraminiferal assemblages within Zone E5. This group collapses from
266 a mean value of ~24 % in the 0-15 m interval to less than 6 % above 15 m. Qualitative examination of
267 species variability shows that, in the lower part of the Zone E5 where the greater morozovellids
268 abundance is recorded, no dominance of particular species is recognized, even though *M.*
269 *marginodentata*, *M. subbotinae* and *M. lensiformis* are relatively more common forms with respect to
270 *M. aequa*, *M. aragonensis*, *M. formosa* and *M. crater*. In the interval with the low abundance of
271 morozovellids within the EECO, an overturn is observed since *M. aragonensis*, *M. formosa*, *M. crater*
272 and, in the upper part of the E5 Zone, *M. caucasica* are the most common species.

273 Morozovellids never recover to their pre-EECO abundances, even if including the appearance of
274 the ecologically comparable genus *Morozovelloides* (Pearson et al., 2006) in samples above 36 m.

275 Genera and species with low abundances show minor changes throughout the interval studied at
276 Possagno (Fig. S1).

277 The major change in planktic foraminiferal assemblages at Site 1051 includes a distinct decrease of
278 *Morozovella*, from mean values around 40 % to 10 % in the middle part of Zone E5 (Fig. 4). Similarly
279 to Possagno, the lower part of Zone E5 with the higher percentages of morozovellids does not record
280 the dominance of selected species, but at Site 1051 *M. aragonensis* and *M. formosa* besides *M.*
281 *subbotinae* are relatively common whereas *M. marginodentata* is less frequent. Within the interval of
282 low morozovellid abundances, *M. aragonensis* and *M. formosa* are the most common taxa. The general
283 decline of morozovellids does not appear therefore related, both at Possagno and Site 1051, to the
284 extinction or local disappearance of a dominant species.

285 The differences in the relative abundance of morozovellids at Possagno and Site 1051 indicate a
286 morozovellid preference for open ocean settings of low-latitudes, as suggested also by other authors
287 (Berggren, 1978; Boersma et al., 1987; Premoli Silva and Boersma, 1988). Like at Possagno,
288 morozovellids never recover at Site 1051 in the Zone E5 through E8 interval. The abundance of
289 subbotinids shows little variations around mean values of 20 % at Site 1051. *Acarinina* displays an
290 increase in mean relative abundance from 35 % (base to ca. 450 mbsf) to around 50 % (ca. 430 mbsf),
291 with maximum values of about 60 %. The relatively low resolution used here does not permit
292 comparison between the early Eocene CIEs at Site 1051 (Cramer et al., 2003) and how the relative
293 abundance of planktic foraminiferal genera varies with respect to CIEs.

294

295 **4.5 Radiolarian abundance**

296

297 Radiolarians are rare to absent in the Possagno section. Brief temporary occurrences of this
298 group have been observed in coincidence with some of the most negative $\delta^{13}\text{C}$ excursions. Specifically,
299 they reach a maximum relative abundance of 28 % in the lower part of the major $\delta^{13}\text{C}$ perturbation
300 recorded in the lower to middle part of C23n, of ~10 % at 27.5 m and of 15 % at 31.4 m (Fig. 3). At
301 Site 1051, radiolarians fluctuate in abundance from 0 to 78 % throughout the studied interval.

302

303

304 **5. Discussion**

305

306 **5.1 The $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ stratigraphies at Possagno**

307

308 The $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ records from the Possagno section display both details and trends (Fig. 2) that
309 are similar to those observed in several other late Paleocene through early middle Eocene stable isotope
310 stratigraphies (Cramer et al., 2009; Schmitz et al., 1997; Shackleton et al., 1985; Slotnick et al., 2012;
311 Zachos et al., 2001; 2008). For example, the major CIE (-1.5 ‰) close to the base of the Possagno
312 section represents the PETM, followed upsection by hyperthermals ETM2, I, J, and ETM3 (Agnini et
313 al., 2009). The ~~older and younger sides~~ **initiation and termination** of the EECO are not well constrained
314 in any single sedimentary record (Slotnick et al., 2012), nor so at Possagno, yet the interval between 16
315 m and 22.5 m in Possagno shows the lightest $\delta^{18}\text{O}$ values during the entire post-PETM interval of the
316 early Eocene and early middle Eocene (Fig. 2) and is considered to represent at least part of the EECO.
317 In terms of oxygen isotope stratigraphy, both the EECO and post-EECO intervals are characterized by
318 a series of rapid oscillations. The oxygen isotope amplitude range shows up to 1.5 ‰ differences
319 between adjacent samples, which possibly may reflect potential diagenetic overprint. By running a 3-
320 point running mean of the oxygen isotope data, single overprint outliers are dampened. Even so, these
321 data show rapid amplitude changes of up to 0.5 ‰, suggesting correspondingly rapid temperature
322 changes in the western Tethys on the order of 2°C during the ice-free early and early middle Eocene
323 world. The underlying cause of these distinct and rapid temperature changes may be sought in the
324 stable carbon isotope data.

325 Several lines of evidence suggest that high CO_2 concentrations were driving the EECO global
326 warmth as well as the hyperthermal events of the early Eocene (Fletcher et al., 2008; Hyland and
327 Sheldon, 2013; Komar et al., 2013; Lowenstein and Demicco, 2006; Lunt et al., 2011; Pearson and
328 Palmer, 2000; Royer et al., 2007; Smith et al., 2010). A series of CIEs occur within and above the
329 EECO interval at Possagno. A number of CIEs have been observed from ODP Site 1258 in the western
330 tropical Atlantic (Kirtland Turner et al., 2014; Sexton et al., 2006; 2011), which they interpreted as
331 minor hyperthermals and referred to as 21 numbered H-events (H for Hyperthermal) in Chrons C23r
332 through C21r. Sedimentation rates at Possagno are 2-6 times lower than those at Site 1258 in the
333 identical time interval. The number of CIEs within individual magnetostratigraphic zones at Possagno and
334 Site 1258 differ slightly, presumably because of differences in sedimentation rates and sample
335 resolution. Kirtland Turner et al. (2014) listed three CIEs/H-events in Chron C23r, none of which are
336 evident in the Possagno record at the present sample resolution, probably due to strongly condensed

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337 sedimentation or presence of a hiatus. At Possagno, three events are recorded in C23n, compared with
338 the two CIEs/H-events from Demerara Rise, whereas only two of the six CIEs/H-events in Chron C22r
339 are distinguishable in Possagno. Five CIEs/H-events are listed in Chron C22n from Demerara Rise,
340 three of which are evident in the Possagno record. Finally, five CIEs/H-events are listed in Chron C21r,
341 four of which are evident in the Possagno record. It follows that the lower sample resolution at
342 Possagno likely has blurred both the number and true magnitudes of the isotopic amplitude changes. It
343 remains uncertain if the CIEs and H-events at Site 1258 and the CIEs in the Possagno section are in
344 synchrony (Tab. 1).

345 Regardless of the potential synchrony between Possagno and Site 1258 at the Demerara Rise,
346 both regions clearly demonstrate that after the EECO, from ca. 50 Ma to ca. 46-47 Ma during a trend of
347 cooling (2-2.4°C) climate, about 18 brief negative CIEs coincide with hyperthermal-like brief episodes
348 of warming. These brief CIEs induced environmental perturbations that are expressed in the planktic
349 foraminiferal data from the Possagno section. This points to a primary relationship between increased
350 CO₂ concentration and warmth during early through early middle Eocene times (Dickens et al., 2005;
351 Quillévéré et al., 2008; Zachos et al., 2005; 2008;).

352

353 **5.2 The EECO interval and hyperthermals at Possagno: Acarinina dominated or dissolution** 354 **controlled assemblages?**

355

356 One of the most prominent changes in the planktic foraminiferal assemblages is the dominance of
357 acarininids across the EECO interval, resulting in a corresponding decrease in relative abundance of
358 morozovellids and subbotinids (Fig. 3). These changes parallel relatively high values of the F_{index}
359 and coincide with the most negative parts in the $\delta^{13}C$ record. The increase in shell fragmentation
360 suggests some carbonate dissolution. The high pCO_2 atmospheric concentration during the EECO may
361 have induced carbonate dissolution at the deep-water Possagno setting, resulting from deep-water
362 acidification and a rise of the lysocline, similar to patterns observed during the main hyperthermal
363 events. This interpretation for the Possagno section should however imply a considerable shallowing of
364 the CCD/lysocline, assuming that the section was deposited in a middle to lower bathyal setting.
365 Further studies on the Tethyan CCD in the Eocene interval will help investigate the hypothesis that the
366 CCD was shallower there, with respect to the open ocean CCD. Intensified water column

367 remineralization of organic matter, forced by augmented metabolic rates at elevated temperatures, may
368 have caused pH to decrease in the uppermost water column, inducing dissolution of calcitic tests
369 (Brown et al., 2004; John et al., 2013, 2014; O'Connor et al., 2009; Olivarez Lyle and Lyle, 2006).

370 Questions arise on how to estimate the possible dissolution artefacts from the primary ecological
371 signal. Nguyen et al. (2011) and Petrizzo et al. (2008) studied Pacific Ocean assemblages of latest
372 Paleocene to initial Eocene age, and suggested that subbotinids are more dissolution susceptible than
373 morozovellids and acarininids, which previously were thought to be the most dissolution prone forms
374 (Berggren and Norris, 1997; Boersma and Premoli Silva, 1983). Paleogene assemblages affected by
375 extensive dissolution could be expected to be impoverished with respect to the more dissolution
376 susceptible subbotinids. These results have been challenged by other analyses that document a
377 dominance of subbotinids within intervals affected by a high F index and enhanced carbonate
378 dissolution (Luciani et al., 2010), who suggested that dissolution has affected the planktic assemblages
379 rather equally. The degree of dissolution of planktic foraminifera appears to have varied during
380 different time intervals, being species related rather than exclusively associated with different genera.
381 However, since data on dissolution susceptibility on different genera are so far lacking for early and
382 early middle Eocene times, we cannot exclude that dissolution may have changed the ~~pristine~~ planktic
383 foraminiferal assemblages.

384 When assuming that dissolution has affected assemblages, it follows that the dominance of
385 acarininids during the EECO and hyperthermal events may represent a taphonomic artifact. This
386 assumption appears yet to conflict with the results from the upper part of Possagno in the Chron C21n
387 interval, where significant decreases of subbotinids, associated with distinct acarininid increases,
388 correspond to negative shifts in $\delta^{13}\text{C}$ values in the absence of carbonate dissolution, as expressed in
389 low F index values (Fig. 3).

390 The similarity in the major planktic foraminiferal modifications throughout the EECO at Site
391 1051 (Fig. 4), which appears only marginally affected by dissolution, suggests that the Possagno
392 assemblages represent a reasonably genuine paleoecological response rather than assemblages
393 primarily modified by carbonate dissolution.

394 The decrease of CF values (Fig. 3) in the EECO interval might indicate loss of carbonate shells
395 due to carbonate dissolution. Similarly, relatively low CF-values with only minor fluctuations are
396 recorded to the top of the section, independently from changes in F index values. The CF curve

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397 parallels the EECO/post-EECO trend of the morozovellid abundance thus suggesting a relationship
398 with the morozovellid decline rather than carbonate dissolution.

399

400 **5.3 The Possagno and Site 1051 records: planktic foraminiferal response to the EECO**

401

402 The planktic foraminiferal assemblages show significant variations in the Possagno material that
403 correlate with the pronounced $\delta^{13}\text{C}$ perturbations in the EECO interval (Fig. 3). When the warm
404 preferring acarininids become dominant during the EECO, this results in a reduction in relative
405 abundance of the warm preferring morozovellids. This feature is recurring in planktic foraminiferal
406 assemblages across some hyperthermals (PETM and X events), as recorded from a number of Tethyan
407 successions of northeastern Italy and it has been interpreted as a result of relatively enhanced
408 eutrophication of surface waters in a near continental setting (Agnini et al., 2009; Arenillas et al., 1999;
409 Luciani et al., 2007; Molina et al., 1999).

410 Planktic foraminiferal analyses of the pre-EECO hyperthermals ETM2, I, J and ETM3 at Possagno
411 show PETM-like responses, consisting of strongly increasing relative abundances of acarininids, as in
412 the PETM interval of the nearby Forada section (Luciani et al., 2007). The multi-proxy analyses of the
413 X-event at the nearby Farra section (Agnini et al., 2009) corroborate at higher resolution the record
414 from Possagno. Increased surface water eutrophication has been proposed to favour acarininids, in
415 being able to temporarily colonize deeper waters that previously were occupied by subbotinids and in
416 being able to tolerate relatively high eutrophic conditions that suppressed the abundances of
417 morozovellids (Agnini et al., 2009; Luciani et al., 2007). Slight differences in paleobiology between
418 morozovellids and acarininids are documented in several cases by minor variations in stable isotopes
419 that commonly indicate a more surface habitat for the former group (Boersma et al., 1987; Pearson et
420 al., 1993; 2001).

421 The increased surface water eutrophication during hyperthermals was forced by strengthening of
422 the hydrological cycle and increased weathering as a consequence to ~~strengthened~~ enhanced
423 greenhouse conditions. The effects improved the nutrient availability in this near continental, pelagic
424 setting of the western Tethys (Agnini et al., 2009; Giusberti et al., 2007; Luciani et al., 2007). The
425 hypothesis of increased nutrient availability in the lower part of the EECO interval at Possagno is
426 supported by the entry of relatively high concentration of radiolarians, considered as eutrophic indices

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427 (Hallock, 1987).

428 The decline of morozovellids across the EECO at Possagno and Site 1051 is irreversible and
429 cannot be explained by brief perturbations, as during the pre-EECO hyperthermals. The morozovellid
430 crisis is coupled with the gradual disappearances of several species, including *M. aequa*, *M. gracilis*,
431 *M. lensiformis*, *M. marginodentata*, and *M. subbotinae*, and it is not counterbalanced by the appearance
432 of species of *Morozovelloides*, a minor component of middle Eocene assemblages. The latter genus
433 appeared at Possagno around the Ypresian/Lutetian boundary (Luciani and Giusberti, 2014) and it is
434 morphologically highly convergent with *Morozovella* although probably did evolve from *Acarinina*
435 (Pearson et al., 2006).

436 The similar behaviour across the EECO of morozovellids in the Tethyan Possagno section and
437 Site 1051 in the western subtropical North Atlantic supports the hypothesis of a geographically wide
438 spread morozovellid crisis that is caused by climate change. This change must be a consequence of the
439 major modifications across the EECO, both in terms of temperature and $p\text{CO}_2$, which in turn must have
440 induced water column reorganizations leading to a reduction of the morozovellid habitat. Because
441 morozovellids exhibit transient reduction in abundance during pre-EECO hyperthermals, and due to the
442 imprecise definition of this event, it is not possible to precisely pinpoint the exact turning point of the
443 morozovellid decline, i.e. whether it began just at the onset, within, or at the termination of the EECO
444 event. Current data from Possagno and Site 1051 however record that their massive drop in abundance
445 began across the C24n1n-C23r transition. The decrease apparently started at the top of C24n1n at
446 Possagno, but it has not been possible to determine whether or not this decrease is transitory because a
447 potential recovery may be hidden by condensation/hiatus across the C24n1n-C23r interval. Present data
448 from Site 1051 record the decline in lower C23r, even though some uncertainties are caused by the low
449 resolution in the foraminiferal analysis and magnetostratigraphic attribution.

450 In contrast to the deterioration of the morozovellid habitat, relatively favourable conditions for
451 thermocline dwellers such as subbotinids and parasubbotinids are suggested by the new species
452 appearing progressively during the post-EECO interval at Possagno (Luciani and Giusberti, 2014), in
453 good agreement with the low latitude data presented by Pearson et al. (2006). Most of the new species
454 will characterize the thermocline of the middle and late Eocene oceans: *Subbotina corpulenta*, *S.*
455 *eocena*, *S. hagni*, *S. senni*, *S. yeguanesis*, *Parasubbotina griffinae*, and *P. pseudowilsoni*. The
456 appearance of the radially chambered *Parasubbotina eoelava*, which is considered to be the precursor

457 of the truly clavate chambered *Clavigerinella* (Coxall et al., 2003; Pearson and Coxall, 2014) occurs at
458 19.8 m (Luciani and Giusberti, 2014). *Clavigerinella* is the ancestor of the genus *Hantkenina* that
459 successfully inhabited the sub-surface middle through late Eocene oceans. Prior to the evolution of
460 genuine *Clavigerinella*, *P. eoclava* made several aborted attempts to evolve towards the genus
461 *Clavigerinella*. This is reflected in presence of rare and scattered specimens that are morphologically
462 close to *Clavigerinella* within the EECO interval even though true representative of genus
463 *Clavigerinella* were not observed in the Possagno section.

464 The EECO and post-EECO intervals indeed proved to be crucial in Eocene planktic foraminiferal
465 evolution.

466

467

468 **5.4 Possible causes of morozovellids decline across the EECO**

469 The data from Possagno and Site 1051 demonstrate that the early Paleogene planktic
470 foraminiferal symbiont bearing groups were strongly affected by a habitat deterioration across the
471 EECO. The early Eocene crisis was followed by a second step, involving the large sized acarininids
472 and *Morozovelloides*, documented through their reduction in abundance as well as test size during the
473 Middle Eocene Climate Optimum (MECO) at ca. 40 Ma in Tethyan (Fig. 5), Southern Ocean, and
474 northwest Atlantic settings (Edgar et al., 2012; Luciani et al., 2010). Furthermore, the muricate crisis
475 culminates near the Bartonian/Priabonian boundary with a major demise in the *Acarinina* lineage and
476 the extinction of *Morozovelloides*. Only small (<125 µm) and relatively rare acarininids survived into
477 the late Eocene and Oligocene (Agnini et al., 2011; Berggren et al., 2006; Wade, 2004; Wade et al.,
478 2012). An episode with loss of symbiosis resulting in bleaching caused by global warming has been
479 proposed to explain the second muricate crisis (Edgar et al., 2012). If the MECO warmth has been the
480 main cause of bleaching of acarininids, we would expect that this phenomenon also involved
481 morozovellids during the EECO, as this warm interval records the highest temperatures of the
482 Paleogene. Considering the importance of photosymbiosis in extant species for foraminiferal test
483 calcification and ecology (Bé, 1982; Bé et al., 1982; Hemleben et al., 1989), we may assume similar
484 requirements for fossil taxa. The algal-symbiotic relationship is considered one of the most successful
485 strategies adopted by muricates during the earliest Paleogene (Norris, 1996; Quillévéré et al., 2001). A
486 crisis in that relationship may represent one possible hypothesis to explain the decline of early Eocene

487 morozovellids. Further studies that include stable isotope analyses, including $\delta^{13}\text{C}$ gradients, on
488 morozovellid tests are needed to further elucidate this scenario. There is however scarce documentation
489 on mechanisms responsible for bleaching and besides elevated sea surface temperature, a number of
490 other factors, for example high ultraviolet radiation, decrease in pH decrease, increase in CO_2 , changes
491 in salinity and nutrient availability, may have been involved (Douglas et al., 2003; Wade et al., 2008)).

492 The protracted exceptional warming of the EECO is expected to have increased metabolic rates
493 particularly in heterotrophs that are more sensitive to temperature than rates of primary production
494 (Brown et al., 2004; O'Connor et al., 2009; Olivarez Lyle and Lyle, 2006). This effect may have
495 increased water column remineralization of organic matter and caused pH to decrease in the uppermost
496 water column (John et al., 2013; 2014; O'Connor et al., 2009; Olivarez Lyle and Lyle, 2006). The
497 potential lowering of pH in surface waters, which could have been further enhanced by the huge EECO
498 CO_2 pressure, may have affected calcification (De Moel et al., 2009; Moy et al., 2009; Zeebe et al.,
499 2008) of most surface dwellers such as the morozovellids, also by reducing or completely halting their
500 symbiont relationships.

501 Complex interactions with other microfossil groups, such as radiolarians, diatoms or
502 dinoflagellates, may have contributed to the morozovellid crisis across the EECO, for example by
503 competing for the use of the same algal-symbionts in the case of radiolarians, or symbiont-providers.
504 Detailed comparisons of trends in other fossil groups are necessary to investigate this hypothesis.

505 Seawater chemistry influences the biomineralization of organisms producing CaCO_3 skeletons,
506 especially for many algae and invertebrates that have less control over the chemical composition of
507 their mineralized parts (Stanley, 2006; 2008). High magnesium/calcium ratios are known to have
508 favoured aragonitic and high-Mg calcite skeletons throughout the Phanerozoic. This insight is
509 corroborated by experiments with living organisms, confirming, for example, population growth
510 among the calcitic coccolithophores in conditions of low concentration of Mg and high concentration
511 of calcium in seawater (Stanley et al., 2005). A strong reduction in Ca concentration occurred during
512 the Cenozoic, following the 'calclitic' Cretaceous ocean, possibly driven by changes in rates of deep-
513 sea igneous activity (Hardie, 1996). We cannot exclude that a decrease of Ca concentration in seawater
514 chemistry may have affected morozovellid calcification. Planktonic foraminifera have not been widely
515 employed to study the effects of the Mg/Ca ratio of the seawater on calcification, however, they have
516 been found to produce heavier skeleton when the saturation state of the ambient seawater with respect

517 to calcite is elevated. It would be interesting to compare flux data of calcareous nannofossils before and
518 after the major evolutionary change recorded across the EECO (Agnini et al., 2006; Schneider et al.,
519 2011) to test a potential reduction in their overall productivity.

520 Extended time intervals of weak water column stratification and increased eutrophication are
521 known to provide hostile ecological conditions for the highly specialized oligotrophic morozovellids
522 (Boersma et al., 1987; Bralower et al., 1995; Pearson et al., 2006; Premoli Silva and Boersma, 1989).
523 Such conditions are documented in several ocean sites by the recorded decline in surface-to-benthic
524 $\delta^{13}\text{C}$ gradients (Hilting et al., 2008) and have been considered linked to evolutionary turnovers among
525 calcareous nannofossil assemblages (Schneider et al., 2011). Weakened thermal stratification with
526 increased vertical mixing is predicted for many, although not all, oceanic areas during hyperthermals.
527 The fact that the permanent morozovellid collapse occurs during the EECO implies that a threshold
528 was surpassed, not previously experienced by the morozovellid communities.

529 Available data indicate that the protracted conditions of extreme warmth and high CO_2 pressure
530 during the EECO may have been the key element inducing a permanent impact in the marine surface
531 water ecosystem that became detrimental for the morozovellids. Even the peculiar PETM, that records
532 the most dramatic changes among the hyperthermals both in terms of temperature increase and carbon
533 cycle perturbation, did not adversely affected the morozovellid habitat in a permanent way. On the
534 contrary, morozovellids increased in abundance in open oceanic settings (Kelly et al., 1996; 1998,
535 2002; Lu and Keller, 1993; Petrizzo, 2007), and only a transient decrease in abundance is recorded in
536 pelagic Tethyan near continental settings (Luciani et al., 2007). It is intriguing to note that the second
537 main crisis of the muricate symbiont bearing forms occurred during the MECO (Fig. 5), that is also a
538 warming event of much longer duration (about 400-500 kyr) than the early Paleogene hyperthermals
539 (Bohaty et al., 2009; Westerhold and Röhl, 2013).

540

541 **5.5 Planktic foraminiferal changes during post-EECO stable-isotope perturbations at Possagno**

542

543 The small $\delta^{13}\text{C}$ excursions recorded in the post-EECO interval at Possagno, from C22n to C21n,
544 induced perturbations on the planktic foraminiferal assemblages that mirror those recorded in the pre-
545 EECO interval (Fig. 3). These perturbations are expressed as marked increases of acarininids,
546 representing warmer thriving taxa that were tolerant to relatively enhanced surface water eutrophic

547 conditions. Peaks in surface water eutrophication could have been reached during the C22n-CIE1 and
548 C22n-CIE3 events, as indicated by the relatively high production of radiolarians.

549 The post-EECO CIEs are concomitant with $\delta^{18}\text{O}$ excursions and coupled to distinct modifications
550 in the planktic foraminiferal assemblages comparable to those recorded at other early Eocene brief
551 warming events in Tethyan settings. These data make it tenable to refer to these events as
552 hyperthermals, although of less intensity and magnitude compared to some of the pre-EECO
553 hyperthermals. A number of these $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ excursions, of much smaller magnitude and intensity
554 with respect to the PETM, probably correlate with the recently discovered late early Eocene through
555 early middle Eocene post-EECO hyperthermals in the Atlantic and Pacific (Kirtland Turner et al.,
556 2014; Sexton et al., 2006; 2011; [see Tab.1](#)). According to Sexton et al. (2011) and Kirtland Turner et
557 al. (2014) their shorter duration and more rapid recovery phases, with respect to the PETM, imply a
558 different forcing and feedback mechanism involving redistribution of carbon among the ocean
559 reservoirs rather than release of greenhouse gases from buried sediments. This mechanism was active
560 also during the EECO interval and was similar to the orbital forcing of the carbon cycle operating
561 during the Oligocene and Miocene.

562

563

564 **6 Summary and conclusions**

565

566 The investigation of planktic foraminifera from the Possagno section in northern Italy represents a
567 first case history recording changes in relative abundance among planktic foraminiferal assemblages
568 across the EECO warm interval and post-EECO climatic variability in the Tethys realm from about 55
569 Ma to 46 Ma.

570 The most crucial change emerging from the Possagno and ODP Site 1051 data is the irreversible
571 decline across the EECO of the symbiont bearing genus *Morozovella*, one of the most important
572 calcifiers of the early Paleogene tropical and subtropical oceans. The Possagno data indicate that the
573 EECO event had a permanent impact on the planktic foraminiferal communities, representing a critical
574 phase in the reorganization of Eocene pelagic ecosystems. Possible causes for this reorganization, that
575 deserve further investigations, include increased eutrophication, changes in ocean chemistry, weak
576 water column stratification, loss of symbionts, complex interaction with other microplankton groups

577 such as radiolarians, diatoms or dinoflagellates that represented possible competitors in the use of
578 symbionts or as symbiont providers. A critical threshold was reached across the EECO, evidently never
579 reached before, which induced unfavourable habitats for continued morozovellid diversification and
580 proliferation but not harsh enough to cause their extinction. This threshold appears to be related to the
581 duration of extreme conditions characterizing the extended warmth during the EECO.

582 Even though several questions still remain to be answered, the data presented from Possagno add
583 significant information about the complex evolution of the muricate planktic foraminifera and stimulate
584 additional investigations across the EECO from different paleoceanographic settings.

585 The post-EECO interval at Possagno is punctuated by relatively small, negative $\delta^{13}\text{C}$ shifts,
586 interpreted as potential minor hyperthermals in the light of available oxygen isotope data, a number of
587 which probably are in synchrony with those recorded in the tropical Atlantic by Sexton et al. (2011)
588 and Kirtland Turner et al. (2014). These modest post-EECO $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ perturbations are associated
589 with significant, though ephemeral, modifications in the planktic foraminiferal communities showing
590 distinct increases of the warm acarininids in a manner similar to their behaviour during pre-EECO
591 hyperthermals in Tethyan settings. Changes in planktic foraminiferal assemblages occur during
592 environmental perturbations associated with minor negative carbon and oxygen isotope excursions,
593 which suggest a pronounced biotic sensitivity to climate change of planktic foraminifera even during
594 the post-EECO interval.

595

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1025 |

1026 **Figure Captions**

1027

1028 Figure 1. Left: Paleogeographic location of the Possagno section (star) in the Belluno Basin, a
1029 Mesozoic–Cenozoic pelagic sequence, delimited during the Eocene by the shallow water carbonates of
1030 the Lessini Shelf to the west (Modified from Bosellini, 1989). 1 - deep water mudstones of the Jurassic
1031 basins; 2 - Paleogene shallow water limestones, lagoons, and shelf-edge reefs of the Lessini Shelf; 3 -
1032 Paleogene deep water pelagic claystones and marlstones of the Belluno and Lombardian Basin. Right:
1033 Blake Nose map and location of Site 1051 in the western North Atlantic, modified from Norris et al.
1034 (1998).

1035

1036 Figure 2. Carbon and oxygen isotopes of bulk sediment from the Possagno section plotted against
1037 lithology and planktic foraminiferal E-Zonations from Wade et al. (2011), modified by Luciani and
1038 Giusberti (2014). Magnetostratigraphy is from Agnini et al. (2006). Thinner lines: original data; thicker
1039 lines average 3-points. The average 3-point curve is utilized to dampen some of the potential diagenetic
1040 overprint on the ^{18}O data. The red line is referred to the stable carbon isotopes and the blue line to the
1041 oxygen data. Pre-EECO CIEs are labelled according to current literature; the EECO and post-EECO
1042 CIEs are labelled according to Kirtland Turner et al. (2014) and Sexton et al. (2011) by substituting H
1043 (hyperthermals) with CIE (carbon isotope excursion). The yellow band band highlights the interval
1044 tentatively referred to the EECO. We have tentatively named the post-EECO isotope shifts of small
1045 magnitude as events only when changes in isotopic composition are associated with sharp variations in
1046 planktic foraminiferal assemblages and/or fragmentation index. This is because increase in
1047 fragmentation index as well as increase in some taxa have been observed during the pre-EECO
1048 hyperthermals from the same geological setting (Luciani et al. 2007; Agnini et al., 2009). However,
1049 since the identification of some post-EECO minor shifts as hyperthermals is tentative, they are
1050 indicated with a question mark. Filled circles show occurrences of abundant radiolarians.

1051

1052

1053 Fig. 3. The Possagno $\delta^{13}\text{C}$ record and relative abundance of main planktic foraminifera across the early
1054 and basal middle Eocene interval, plotted against lithology, fragmentation index (*F* index) and coarse
1055 fraction (CF) data. The subbotinids includes the genera *Subbotina* and *Parasubbotina*.

1056 Magnetostratigraphy is from Agnini et al. (2006). The biozonal scheme is from Wade et al. (2011),
1057 modified by Luciani and Giusberti (2014). The yellow bands highlight the interval tentatively referred
1058 to the EECO, the pre-EECO hyperthermals and post-EECO stable isotope excursions that are
1059 considered to represent hyperthermals. Pre-EECO CIEs are labelled according to current literature; the
1060 EECO and post-EECO CIEs are labelled according to Kirtland Turner et al. (2014) and Sexton et al.
1061 (2011) by substituting H (hyperthermals) with CIE (carbon isotope excursion). We have tentatively
1062 named the post-EECO isotope shifts of small magnitude as events only when changes in isotopic
1063 composition are associated with sharp variations in planktic foraminiferal assemblages and/or
1064 fragmentation index. This is because increase in fragmentation index as well as increase in acariniid
1065 abundance have been observed during the pre-EECO hyperthermals from the same geological setting
1066 (Luciani et al. 2007; Agnini et al., 2009). However, since the identification of some post-EECO minor
1067 shifts as hyperthermals is tentative, they are indicated with a question mark. Filled circles show
1068 occurrences of abundant radiolarians.

1069

1070 Fig. 4. Relative abundance of the main planktic foraminiferal genera from ODP Site 1051, plotted
1071 against the biozones of Wade et al. (2011), partly modified by Luciani and Giusberti (2014), and *F*
1072 index data. The subbotinid group includes the genera *Subbotina* and *Parasubbotina*.

1073 Magnetostratigraphy is from Ogg and Bardot (2001); the gray bands ~~striped bands~~ are intervals of
1074 uncertainty in magnetostratigraphic boundaries. The striped band is an interval of non-recovery. The
1075 yellow band highlights the interval tentatively referred to the EECO.

1076

1077 Figure 5. The record of warm-indices muricates morozovellids and large acariniids (>200 micron) in
1078 the western Tethyan setting from the Possagno (below, this paper) and Alano sections (above, from
1079 Luciani et al., 2010) plotted against the generalized oxygen and carbon isotopic curves based on
1080 benthic foraminiferal record, slightly modified, shown in Vandenberghé et al. (2012) ~~Gradstein et al.~~
1081 ~~(2012, Fig. 28.11)~~. The original oxygen and carbon isotopic values from Cramer et al. (2009) are
1082 recalibrated to GTS2012 (~~Gradstein et al., 2012~~ Vandenberghé et al., 2012). The Tethyan record shows
1083 that the long-lasting EECO and MECO intervals mark two main steps in the decline of relative
1084 abundance within this group of important early Paleogene calcifiers. E-Zones follow Wade et al.
1085 (2011), partly modified by Luciani and Giusberti (2014). B-P=Bartonian-Priabonian.

1086

1087 [Table 1. Position with respect to magnetochrons of nine early and lower-middle Eocene \$\delta^{13}\text{C}\$ shifts](#)
1088 [\(CIE\) at the Possagno section. The analogous magnetostratigraphic position of the hyperthermals \(H\)](#)
1089 [recognized at Site 1258, Demerara Rise \(Kirtland Turner et al., 2014; Sexton et al., 2011\) suggests a](#)
1090 [possible correspondence of these events.](#)

1091

1092 **Appendix A. Supplementary material**

1093

1094 Table S1. Possagno $\delta^{13}\text{C}$ (‰) and $\delta^{18}\text{O}$ (‰) values against thickness (meters).

1095

1096 Figure S1. The Possagno $\delta^{13}\text{C}$ data and relative abundance of minor planktic foraminiferal genera and
1097 selected species plotted against lithology, fragmentation index (*F* index) data. Magnetostratigraphy is
1098 from Agnini et al. (2006). The biozonal scheme is from Wade et al. (2011), modified by Luciani and
1099 Giusberti (2014). The yellow bands highlight the interval tentatively referred to the EECO, the pre-
1100 EECO hyperthermals and post-EECO stable isotope excursions that are considered to represent
1101 hyperthermals. Pre-EECO CIEs are labelled according to current literature; the EECO and post-EECO
1102 CIEs are labelled according to Sexton et al. (2011) by substituting H (hyperthermals) with CIE (carbon
1103 isotope excursion). [We have tentatively named the post-EECO isotope shifts of small magnitude as](#)
1104 [events only when changes in isotopic composition are associated with sharp variations in planktic](#)
1105 [foraminiferal assemblages and/or fragmentation index. This is because increase in fragmentation index](#)
1106 [as well as increase in acarinid abundance have been observed during the pre-EECO hyperthermals](#)
1107 [from the same geological setting \(Luciani et al. 2007; Agnini et al., 2009\). However, since the](#)
1108 [identification of some post-EECO minor shifts as hyperthermals is tentative, they are indicated with a](#)
1109 [question mark. Filled circles show occurrences of abundant radiolarians.](#)

1110

1111

1112 **Appendix B. Taxonomic list of species cited in text and figures**

1113

1114 *Globanomalina australiformis* (Jenkins, 1965)

1115 *Morozovella aequa* (Cushman and Renz, 1942)

Valeria Luciani 4/6/15 13:58

Moved down [1]: Filled circles show occurrences of abundant radiolarians.

Valeria Luciani 4/6/15 13:58

Moved (insertion) [1]

- 1118 *Morozovella gracilis* (Bolli, 1957)
1119 *Morozovella lensiformis* (Subbotina, 1953),
1120 *Morozovella marginodentata* (Subbotina, 1953)
1121 *Morozovella subbotinae* (Morozova, 1939)
1122 *Parasubbotina eoelava* Coxall, Huber and Pearson, 2003
1123 *Parasubbotina griffinae* (Blow, 1979)
1124 *Parasubbotina pseudowilsoni* Olsson and Pearson, 2006
1125 *Subbotina corpulenta* (Subbotina, 1953)
1126 *Subbotina eocena* (Guembel, 1868)
1127 *Subbotina hagni* (Gohrbandt, 1967)
1128 *Subbotina senni* (Beckmann, 1953)
1129 *Subbotina yeguanesis* (Weinzierl and Applin, 1929)
1130 *Planoglobanomalina pseudoalgeriana* Olsson & Hemleben, 2006
1131

**Early Eocene
massive and
permanent decline of
morozovellids**

V. Luciani et al.

**Massive and permanent decline of
symbiont bearing morozovellids and $\delta^{13}\text{C}$
perturbations across the Early Eocene
Climatic Optimum at the Possagno
section (Southern Alps of northeastern
Italy)**

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Interactive Discussion



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Abstract

The Early Eocene Climatic Optimum (EECO) records the highest prolonged global temperatures over the past 70 Ma. Understanding the causes and timing of Eocene climate change remains a major challenge in Cenozoic paleoceanography, which includes the biotic response to climate variability and the changes among planktic foraminiferal assemblages across the EECO. The symbiont bearing and shallow dwelling genera *Morozovella* and *Acarinina* were important calcifiers in the tropical-subtropical early Paleogene oceans but almost completely disappeared at about 38 Ma, near the Bartonian/Priabonian boundary. We show here that morozovellids record a first critical step across the EECO through a major permanent decline in relative abundance from the Tethyan Possagno section and ODP Site 1051 in the western subtropical North Atlantic. Possible causes may include increased eutrophication, weak water column stratification, changes in ocean chemistry, loss of symbiosis and possible complex interaction with other microfossil groups. Relative abundances of planktic foraminiferal taxa at Possagno parallel negative shifts in both $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ of bulk sediment from Chron C24r to basal Chron C20r. The post-EECO stable isotopic excursions towards lighter values are of modest intensity. Significant though ephemeral modifications in the planktic foraminiferal communities occur during these minor isotopic excursions. These modifications are marked by pronounced increases in relative abundance of acarininids, in a manner similar to their behaviour during pre-EECO hyperthermals in the Tethyan settings, which suggest a pronounced biotic sensitivity to climate change of planktic foraminifera even during the post-EECO interval.

1 Introduction

The Early Eocene Climatic Optimum (EECO) is the interval in which the Earth's climate attained its warmest state of the past 90 Ma and it represents a major turning point in the Cenozoic climate, as it was followed by a long term cooling throughout the remain-

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from continental to island arc volcanism around 50 Ma may also have played a role in perturbing the carbon cycle change that helped end the warm EECO interval (Dickens et al., 2014; Lee et al., 2013).

It is well established that major plant and mammal faunal evolutionary turnovers occurred during the EECO (Falkowski et al., 2005; Figueirido et al., 2012; Wilf et al., 2003; Wing et al., 1991; Woodbourne et al., 2009; Zonneveld et al., 2000). In the marine realm, changes in evolutionary trends have also been observed, for example the inception to the modern structure among calcareous nannofossil communities (Agnini et al., 2006; Shamrock and Watkins, 2012; Schneider et al., 2011) and possibly in diatom lineages (Oreshkina, 2012; Sims et al., 2006). These observations both from continents and the oceans support the hypothesis of a primary interaction between climate change and biotic evolution.

The relationship between the EECO and the paleoecology and evolution of planktic foraminifera is insufficiently known. Within this plankton group, the symbiont bearing and shallow dwelling morozovellids and acarininids are of particular interest because they dominated the tropical and subtropical assemblages of the early Paleogene oceans. These genera are also known as muricates, from the muricae that form conical pustules on the test wall. Among calcareous microplankton, the muricates were one of the major calcifiers in the low latitude early Paleogene oceans and almost completely disappeared at about 38 Ma, near the Bartonian/Priabonian boundary (Agnini et al., 2011; Luciani et al., 2010; Wade, 2004; Wade et al., 2012).

The hemipelagic Possagno sedimentary succession is located in the Venetian Prealps of northeastern Italy (Fig. 1). This section represents continuous deposition of the early through early middle Eocene interval (55–46 Ma) from a bathyal setting in the central-western Tethys. A robust Eocene biomagnetostratigraphy was established by Agnini et al. (2006). The Possagno section thus spans the EECO interval, here agreed as the interval from about 53 to 50 Ma (Slotnick et al., 2012).

According to Agnini et al. (2006), the Possagno section is 66 m thick extending from the Paleocene/Eocene boundary at 55 Ma to the early middle Eocene (lower Chron

C20r) at 46 Ma on the time scale of Cande and Kent (1995). The aim here is to investigate the response of the muricates in terms of relative abundance throughout the early and early middle Eocene, including the EECO interval, in the 55 through 46 Ma interval at Possagno, encompassing biozones planktic foraminiferal Zones E1 to lower E8 (Luciani and Giusberti, 2014). In addition, the planktic foraminiferal changes recorded at Possagno are compared with those observed from ODP Site 1051 in the western subtropical North Atlantic. Additional aims include (1) to document planktic foraminiferal changes in the Possagno section in relation to stable carbon and oxygen isotopes for the purpose to unravel paleoenvironmental conditions of the upper water column and (2), to distinguish ephemeral biotic modifications during brief peaks of warming from permanent evolutionary changes in the Tethyan and North Atlantic realms.

2 The Possagno section and Site 1051: setting and stratigraphy

An upper Cretaceous-Miocene succession crops out at the bottom of the Monte Grappa Massif in the Possagno area, about 60 km NW of Venice. The lower to middle Eocene interval, which is the focus of this study, is represented by pelagic to hemipelagic Scaglia facies sediments. The Scaglia beds at Possagno are considered to have been deposited at middle to lower bathyal depths (Cita, 1975; Thomas, 1998) in the western part of the Belluno Basin, a Mesozoic–Cenozoic paleogeographic unit of the Southern Alps characterized by Jurassic to Eocene pelagic sequences (Bosellini, 1989). The basal part of the Possagno section straddles a 30 cm thick interval of dark-red clayey marls (Arenillas et al., 1999; Agnini et al., 2006), referred to as the Clay Marl Unit (CMU) by Giusberti et al. (2007). The CMU is the lithological expression of the main carbon isotope excursion (CIE) of the Paleocene Eocene Thermal Maximum (PETM), the base of which defines the Paleocene-Eocene boundary (Aubry et al., 2007). The bio-lithostratigraphic assignment of the Possagno sediments follows Luciani and Giusberti (2014), and the magnetostratigraphy is from Agnini et al. (2006) (Figs. 2 and 3).

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The Blake Nose is a gentle ramp extending from 1000 to 2700 m water depth at the Blake Escarpment in the western North Atlantic (Norris et al., 1998). ODP Site 1051 is located well above the local lysocline and the carbonate compensation depth. The sediments studied here are from 452.24 to 353.10 m below sea floor (mbsf) and consists of lower to middle Eocene carbonate ooze and chalk (Norris et al., 1998). This part of the Eocene section shows good recovery except between 382 mbsf and 390 mbsf (Fig. 4) and contains abundant calcareous plankton. Magnetostratigraphy is from Ogg and Bardot (2001). Paleodepth estimates from benthic foraminiferal assemblages indicate lower bathyal depth (1000–2000 m) during late Paleocene-middle Eocene (Norris et al., 1998). The paleodepth was about 2200 m during the lower Eocene according to Bohaty et al. (2009).

3 Methods

Analyses of foraminifera and stable isotopes were performed from the identical sample set of the Possagno section previously used for calcareous nannofossils (Agnini et al., 2006). Relative abundances have been determined from about 300 specimens extracted from each of the 110 samples investigated in the $> 63 \mu\text{m}$ size fraction. A sampling interval of 2–5 cm was used in the basal 0.7 m of the Possagno section, followed by 50 cm spacing for the 0.7–14 m interval, and 20 cm for the 14–66 m interval. Washed residues were prepared following standard procedures, which varied with the different lithologies. Foraminifera were successfully extracted from the indurated marly limestones and limestones using the cold-acetolyse technique (Lirer, 2000; Luciani and Giusberti, 2014), a highly successful method for disaggregating strongly lithified samples (Fornaciari et al., 2007; Luciani et al., 2007), otherwise analyzable only in thin section. The marly samples were disaggregated using 30 % hydrogen peroxide and subsequently washed and sieved using a $63 \mu\text{m}$ sieve. In most cases, gentle ultrasonic treatment improved the cleaning of the tests. In the Possagno section, foraminifera are

continuously present and diverse throughout the studied interval with a preservation varying from moderate to fairly good.

The weight percent of the > 63 μm size fraction relative to the weight of the bulk sample, typically 100 g/sample, for the 110 Possagno samples is referred to as the coarse fraction (CF), following Hancock and Dickens (2005). Investigation of fifty Eocene samples at Site 1051 (Hole 1051A) from 452.24 to 353.1 mbsf, corresponding to ~ 52–47 Ma, had a spacing varying from 2.0 to 0.5 m. These were prepared using disaggregation using distilled water and washing over 38 and 63 μm sieves. Washed residues were dried at < 50 °C. Planktic foraminifera from Site 1051 are abundant and well preserved.

The degree of dissolution, expressed as fragmentation index (F index), has been evaluated by counting the number of planktic foraminiferal fragments or partially dissolved tests vs. entire tests on 300 elements, following Hancock and Dickens (2005). These data are expressed in percentages. Fragmented foraminifera include specimens showing missing chambers and substantial breakage.

Carbon and oxygen stable isotope data of bulk sediment samples were analysed using a Finnigan MAT 252 mass spectrometer equipped with a Kiel device at Stockholm University. Precision is within $\pm 0.06\%$ for carbon isotopes and $\pm 0.07\%$ for oxygen isotopes. Stable isotopes values are calibrated to the Vienna Pee Dee Belemnite standard (VPDB) and converted to conventional delta notation ($\delta^{13}\text{C}$ and $\delta^{18}\text{O}$).

4 Results

4.1 Foraminiferal fragmentation

The F index recorded at Possagno (Fig. 3) displays a large variability throughout the interval investigated. The highest values, up to 70 %, were observed in the 14–22 m interval. The maximum F index values correspond to the minimum values in the $\delta^{13}\text{C}$

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record. A number of F index peaks mimic the $\delta^{13}\text{C}$ negative peaks below 16 m, showing values between 60 and 70 %.

F index values at Site 1051 (Fig. 4) show less variability with respect to Possagno. A maximum value of 60 % is reached in Zone E5, just below an interval of uncertain magnetostratigraphic attribution (Norris et al., 1998), here referred to as Chron C23r. Relatively high F index values around 50 % occur the upper portions of Chrons C24n and C22r. The interval across the EECO, on the basis of biomagnetostratigraphic correlation and here placed between ca. 405 and 432 mbsf, displays low F index values (< 20 %). The relatively lower F index values at Site 1051 are presumably caused by less carbonate dissolution at that site and, to some extent, the lower resolution of the investigated samples at Site 1051.

4.2 Weight percent coarse fraction

Carbonate dissolution generally causes the bulk sediment coarse fraction to decrease because of fragmentation of foraminiferal tests (Hancock and Dickens, 2005). The coarse fraction and F index data from Possagno (Fig. 3) do not show such an anti-phasing, especially in the post-EECO interval. The coarse fraction at Possagno shows minor fluctuations with a mean value of $5.3 \pm 1.5\%$ from the base of the EECO and upsection, with pre-EECO values varying around 10 %.

4.3 Carbon and oxygen isotopes

The $\delta^{13}\text{C}$ data from Possagno show a negative shift of about 1.5 ‰ at the 0 m level, which corresponds to the Paleocene-Eocene boundary (Agnini et al., 2009). There are nine additional negative carbon isotope excursions above the Paleocene-Eocene boundary in the lower 21.4 m of the Possagno section (Fig. 2, Table S1 in the Supplement):

1. 0.9 ‰ at 21.4 m (C22r) within EECO

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2. 1.1 ‰ at 20.8 m (C22r) within EECO
3. 0.6 ‰ at 19.8 m (C23n) within EECO
4. 0.8 ‰ at 18.0 m (C23n) within EECO
5. 0.9 ‰ at 16.8 m (C23n) within EECO
- 5 6. 0.4 ‰ at 14.8 m (C24n.1n) within EECO (X event)
7. 0.3 ‰ at 12.5 m (near C24n.2n/C24n.2r boundary; J event)
8. 0.3 ‰ at 10.5 m (mid C24n.3n; I event)
9. 0.3 ‰ at 8.0 m (upper C24r; ETM2/ELMO event)

The two oldest of these carbon isotope excursions are determined using 45–
 10 50 cm sample spacing, implying that their true magnitudes are probably not fully captured. Their precise positions may also change as higher resolution data become available from this relatively condensed part of the section showing sedimentation rates $< 0.5 \text{ cm kyr}^{-1}$. The remaining five are determined using 20 cm sample spacing. The number, magnitudes and stratigraphy of the above carbon isotope excursions are
 15 similar to the results of other studies (Agnini et al., 2009; Slotnick et al., 2012; Zachos et al., 2010).

Above Chron C22r, a series of additional minor negative carbon isotope excursions (CIEs) are recorded in Chron C22n, Chron C21r and Chron C21n from the Possagno section. By combining the chron identification with the number of CIEs starting at the
 20 old end of the chron, these CIEs are coined C22n-CIE1, C22n-CIE2, etc., up to C21n-CIE5 (Fig. 2). As the Possagno section is measured from the base of the PETM (0 m) and upsection, increasing distance from the PETM level yields increasing positive meter values:

1. C21n-CIE5 – 0.3 ‰ from 56.6 to 57.0 m

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2. C21n-CIE4 – 0.3‰ from 55.6 to 56.2 m
3. C21n-CIE3 – 0.3‰ from 54.8 to 55.0 m
4. C21n-CIE2 – 0.4‰ from 52.8 to 53.2 m
5. C21n-CIE1 – 0.8‰ from 48.8 to 49.4 m
- 5 6. C21r-CIE4 – 0.3‰ from 39.6 to 39.8 m
7. C21r-CIE3 – 0.5‰ from 38.8 to 39.2 m
8. C21r-CIE2 – 0.7‰ from 37.6 to 38.2 m
9. C21r-CIE1 – 0.9‰ from 32.8 to 33.2 m
10. C22n-CIE3 – 0.5‰ from 31.2 to 31.4 m
- 10 11. C22n-CIE2 – 0.5‰ from 30.0 to 30.2 m
12. C22n-CIE1 – 0.6‰ from 27.2 to 27.4 m

Oxygen isotopes of bulk rock measurements from indurate marly limestones and limestones may be affected by diagenetic overprints (Marshall, 1992), which presumably apply also to the rocks in the Possagno section. Despite of this preservation caveat, it is assumed that oscillations in oxygen isotopes chiefly represent temperature fluctuations during the ice-free early Eocene world. Lighter oxygen isotope values in the Possagno section indeed show a clear correspondence with lighter carbon isotope values (CIEs) and vice versa in the post-EECO interval (Fig. 2). Thus, despite the possibility of some diagenetic overprinting in several individual samples, especially
 15 in the lower part of our record, a three-point moving average of oxygen isotope data
 20 should reveal early to early middle Eocene climate variability in the Possagno section.

4.4 Planktic foraminiferal quantitative analysis

The planktic foraminiferal assemblages show significant modifications in the early to early middle Eocene interval at Possagno (Fig. 3). The mean relative abundance of *Acarinina* is about 46 % of the total assemblage throughout the section. Members of this genus show peak abundances of 60–70 % of the total assemblage during the early to early middle Eocene CIEs. Particularly prominent is the increase to ~ 80 % during the EECO interval (Fig. 3). Acarininids clearly thrived and expanded in abundance during the CIEs, including the EECO.

This increase of acarininids is counter balanced by a transient decrease in members of subbotinids. This latter group recovers above the EECO interval and increases moderately from ~ 24 to ~ 36 % in terms of mean relative abundance of the total assemblage, up to the top of the section. The North Atlantic Site 1051 also shows a slight increase of ca 7 % in the mean value among the subbotinids during the corresponding time interval.

A permanent reduction in the abundance of members of the genus *Morozovella* represents a major change within the planktic foraminiferal assemblages within Zone E5. This group collapses from a mean value of ~ 24 % in the 0–15 m interval to less than 6 % above 15 m. Morozovellids never recover to their pre-EECO abundances, even if including the appearance of the ecologically comparable genus *Morozovelloides* (Pearson et al., 2006) in samples above 36 m. Genera and species with low abundances show minor changes throughout the interval studied at Possagno (Fig. S1 in the Supplement).

The major change in planktic foraminiferal assemblages at Site 1051 includes a distinct decrease of *Morozovella*, from mean values around 40 to 10 % in the middle part of Zone E5 (Fig. 4). The differences in the relative abundance of morozovellids at Possagno and Site 1051 indicate a morozovellid preference for open ocean settings. Like at Possagno, morozovellids never recover at Site 1051 in the Zone E5 through E8 interval. The abundance of subbotinids shows little variations around mean values of 20 %

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at Site 1051. *Acarinina* displays an increase in mean relative abundance from 35 % (base to ca 450 mbsf) to around 50 % (ca 430 mbsf), with maximum values of about 60 %. The relatively low resolution used here does not permit comparison between the early Eocene CIEs at Site 1051 (Cramer et al., 2003) and how the relative abundance of planktic foraminiferal genera varies with respect to CIEs.

4.5 Radiolarian abundance

Radiolarians are rare to absent in the Possagno section. Brief temporary occurrences of this group have been observed in coincidence with some of the most negative $\delta^{13}\text{C}$ excursions. Specifically, they reach a maximum relative abundance of 28 % in the lower part of the major $\delta^{13}\text{C}$ perturbation recorded in the lower to middle part of C23n, of ~ 10 % at 27.5 m and of 15 % at 31.4 m (Fig. 3). At Site 1051, radiolarians fluctuate in abundance from 0 to 78 % throughout the studied interval.

5 Discussion

5.1 The $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ stratigraphies at Possagno

The $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ records from the Possagno section display both details and trends (Fig. 2) that are similar to those observed in several other late Paleocene through early middle Eocene stable isotope stratigraphies (Cramer et al., 2009; Schmitz et al., 1997; Shackleton et al., 1985; Slotnick et al., 2012; Zachos et al., 2001, 2008). For example, the major CIE (-1.5‰) close to the base of the Possagno section represents the PETM, followed upsection by hyperthermals ETM2, I, J, and ETM3 (Agnini et al., 2009). The older and younger sides of the EECO are not well constrained in any single sedimentary record (Slotnick et al., 2012), nor so at Possagno, yet the interval between 16 and 22.5 m in Possagno shows the lightest $\delta^{18}\text{O}$ values during the entire post-PETM interval of the early Eocene and early middle Eocene (Fig. 2) and is considered to represent at least part of the EECO. In terms of oxygen isotope stratigraphy, both

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remains uncertain if the CIEs and H-events at Site 1258 and the CIEs in the Possagno section are in synchrony.

Regardless of the potential synchrony between Possagno and Site 1258 at the Demerara Rise, both regions clearly demonstrate that after the EECO, from ca 50 to ca. 46–47 Ma during a trend of cooling (2–2.4 °C) climate, about 18 brief negative CIEs coincide with hyperthermal-like brief episodes of warming. These brief CIEs induced environmental perturbations that are expressed in the planktic foraminiferal data from the Possagno section. This points to a primary relationship between increased CO₂ concentration and warmth during early through early middle Eocene times (Dickens et al., 2005; Quillévéré et al., 2008; Zachos et al., 2005, 2008).

5.2 The EECO interval and hyperthermals at Possagno: acarininids dominated or dissolution controlled assemblages?

One of the most prominent changes in the planktic foraminiferal assemblages is the dominance of acarininids across the EECO interval, resulting in a corresponding decrease in relative abundance of morozovellids and subbotinids (Fig. 3). These changes parallel relatively high values of the *F* index and coincide with the most negative parts in the $\delta^{13}\text{C}$ record. The increase in shell fragmentation suggests some carbonate dissolution. The high *p*CO₂ atmospheric concentration during the EECO may have induced carbonate dissolution at the deep-water Possagno setting, resulting from deep-water acidification and a rise of the lysocline, similar to patterns observed during the main hyperthermal events. This interpretation for the Possagno section should however imply a considerable shallowing of the CCD/lysocline, assuming that the section was deposited in a middle to lower bathyal setting. Further studies on the Tethyan CCD in the Eocene interval will help investigate the hypothesis that the CCD was shallower there, with respect to the open ocean CCD. Intensified water column remineralization of organic matter, forced by augmented metabolic rates at elevated temperatures, may have caused pH to decrease in the uppermost water column, inducing dissolution of calcitic

tests (Brown et al., 2004; John et al., 2013, 2014; O'Connor et al., 2009; Olivarez Lyle and Lyle, 2006).

Questions arise on how to estimate the possible dissolution artefacts from the primary ecological signal. Nguyen et al. (2011) and Petrizzo et al. (2008) studied Pacific Ocean assemblages of latest Paleocene to initial Eocene age, and suggested that subbotinids are more dissolution susceptible than morozovellids and acarininids, which previously were thought to be the most dissolution prone forms (Berggren and Norris, 1997; Boersma and Premoli Silva, 1983). Paleogene assemblages affected by extensive dissolution could be expected to be impoverished with respect to the more dissolution susceptible subbotinids. These results have been challenged by other analyses that document a dominance of subbotinids within intervals affected by a high F index and enhanced carbonate dissolution (Luciani et al., 2010), who suggested that dissolution has affected the planktic assemblages rather equally. The degree of dissolution of planktic foraminifera appears to have varied during different time intervals, being species related rather than exclusively associated with different genera. However, since data on dissolution susceptibility on different genera are so far lacking for early and early middle Eocene times, we cannot exclude that dissolution may have changed the pristine planktic foraminifera assemblages.

When assuming that dissolution has affected assemblages, it follows that the dominance of acarininids during the EECO and hyperthermal events may represent a taphonomic artifact. This assumption appears yet to conflict with the results from the upper part of Possagno in the Chron C21n interval, where significant decreases of subbotinids, associated with distinct acarininid increases, correspond to negative shifts in $\delta^{13}\text{C}$ values in the absence of carbonate dissolution, as expressed in low F index values (Fig. 3).

The similarity in the major planktic foraminiferal modifications throughout the EECO at Site 1051 (Fig. 4), which appears only marginally affected by dissolution, suggests that the Possagno assemblages represent a reasonably genuine paleoecological response rather than assemblages primarily modified by carbonate dissolution.

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The decrease of CF values (Fig. 3) in the EECO interval might indicate loss of carbonate shells due to carbonate dissolution. Similarly, relatively low CF-values with only minor fluctuations are recorded to the top of the section, independently from changes in the *F* index values. The CF curve parallels the EECO/post-EECO trend of the morozovellid abundance thus suggesting a relationship with the morozovellid decline rather than carbonate dissolution.

5.3 The Possagno and Site 1051 records: planktic foraminiferal response to the EECO

The planktic foraminiferal assemblages show significant variations in the Possagno material that correlate with the pronounced $\delta^{13}\text{C}$ perturbations in the EECO interval (Fig. 3). When the warm preferring acarininids become dominant during the EECO, this results in a reduction in relative abundance of the warm preferring morozovellids. This feature is recurring in planktic foraminiferal assemblages across some hyperthermals (PETM and *X* events), as recorded from a number of Tethyan successions of northeastern Italy and it has been interpreted as a result of relatively enhanced eutrophication of surface waters in a near continental setting (Agnini et al., 2009; Arenillas et al., 1999; Luciani et al., 2007; Molina et al., 1999).

Planktic foraminiferal analyses of the pre-EECO hyperthermals ETM2, I, J and ETM3 at Possagno show PETM-like responses, consisting of strongly increasing relative abundances of acarininids, as in the PETM interval of the nearby Forada section (Luciani et al., 2007). The multi-proxy analyses of the *X* event at the nearby Farra section (Agnini et al., 2009) corroborate at higher resolution the record from Possagno. Increased surface water eutrophication has been proposed to favour acarininids, in being able to temporarily colonize deeper waters that previously were occupied by subbotinids and in being able to tolerate relatively high eutrophic conditions that suppressed the abundances of morozovellids (Agnini et al., 2009; Luciani et al., 2007). The increased surface water eutrophication during hyperthermals was forced by strengthening of the hydrological cycle and increased weathering as a consequence to strength-

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ened greenhouse conditions. The effects improved the nutrient availability in this near continental, pelagic setting of the western Tethys (Agnini et al., 2009; Giusberti et al., 2007; Luciani et al., 2007). The hypothesis of increased nutrient availability in the lower part of the EECO interval at Possagno is supported by the entry of relatively high concentration of radiolarians, considered as eutrophic indices (Hallock, 1987).

The decline of morozovellids across the EECO at Possagno and Site 1051 is irreversible and cannot be explained by brief perturbations, as during the pre-EECO hyperthermals. The morozovellid crisis is coupled with the gradual disappearances of several species, including *M. aequa*, *M. gracilis*, *M. lensiformis*, *M. marginodentata*, and *M. subbotinae*, and it is not counterbalanced by the appearance of species of *Morozovelloides*, a minor component of middle Eocene assemblages. The latter genus appeared at Possagno around the Ypresian/Lutetian boundary (Luciani and Giusberti, 2014) and it is morphologically highly convergent with *Morozovella* although probably did evolve from *Acarinina* (Pearson et al., 2006).

The similar behaviour across the EECO of morozovellids in the Tethyan Possagno section and Site 1051 in the western subtropical North Atlantic supports the hypothesis of a geographically wide spread morozovellid crisis that is caused by climate change. This change must be a consequence of the major modifications across the EECO, both in terms of temperature and $p\text{CO}_2$, which in turn must have induced water column reorganizations leading to a reduction of the morozovellid habitat. Because morozovellids exhibit transient reduction in abundance during pre-EECO hyperthermals, and due to the imprecise definition of this event, it is not possible to precisely pinpoint the exact turning point of the morozovellid decline, i.e. whether it began just at the onset, within, or at the termination of the EECO event. Current data from Possagno and Site 1051 however record that their massive drop in abundance began across the C24n1n–C23r transition. The decrease apparently started at the top of C24n1n at Possagno, but it has not been possible to determine whether or not this decrease is transitory because a potential recovery may be hidden by condensation/hiatus across the C24n1n–C23r interval. Present data from Site 1051 record the decline in lower C23r, even though

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some uncertainties are caused by the low resolution in the foraminiferal analysis and magnetostratigraphic attribution.

In contrast to the deterioration of the morozovellid habitat, relatively favourable conditions for thermocline dwellers such as subbotinids and parasubbotinids are suggested by the new species appearing progressively during the post-EECO interval at Possagno (Luciani and Giusberti, 2014), in good agreement with the low latitude data presented by Pearson et al. (2006). Most of the new species will characterize the thermocline of the middle and late Eocene oceans: *Subbotina corpulenta*, *S. eocena*, *S. hagni*, *S. senni*, *S. yeguanesis*, *Parasubbotina griffinae*, and *P. pseudowilsoni*. The appearance of the radially chambered *Parasubbotina eoclava*, which is considered to be the precursor of the truly clavate chambered *Clavigerinella* (Coxall et al., 2003; Pearson and Coxall, 2014) occurs at 19.8 m (Luciani and Giusberti, 2014). *Clavigerinella* is the ancestor of the genus *Hantkenina* that successfully inhabited the sub-surface middle through late Eocene oceans. Prior to the evolution of genuine *Clavigerinella*, *P. eoclava* made several aborted attempts to evolve towards the genus *Clavigerinella*. This is reflected in presence of rare and scattered specimens that are morphologically close to *Clavigerinella* within the EECO interval even though true representative of genus *Clavigerinella* were not observed in the Possagno section.

The EECO and post-EECO intervals indeed proved to be crucial in Eocene planktic foraminiferal evolution.

5.4 Possible causes of morozovellids decline across the EECO

The data from Possagno and Site 1051 demonstrate that the early Paleogene planktic foraminiferal symbiont bearing groups were strongly affected by a habitat deterioration across the EECO. This early Eocene crisis was followed by a second step, involving the large sized acarininids and *Morozovelloides*, documented through their reduction in abundance as well as test size during the Middle Eocene Climate Optimum (MECO) at ca 40 Ma in Tethyan (Fig. 5), Southern Ocean, and northwest Atlantic settings (Edgar et al., 2012; Luciani et al., 2010). Furthermore, the muricate crisis culminates near the

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Bartonian/Priabonian boundary with a major demise in the *Acarinina* lineage and the extinction of *Morozovelloides*. Only small (< 125 μm) and relatively rare acarininids survived into the late Eocene and Oligocene (Agnini et al., 2011; Berggren et al., 2006; Wade, 2004; Wade et al., 2012). An episode with loss of symbiosis resulting in bleaching caused by global warming has been proposed to explain the second muricate crisis (Edgar et al., 2012). If the MECO warmth has been the main cause of bleaching of acarininids, we would expect that this phenomenon also involved morozovellids during the EECO, as this warm interval records the highest temperatures of the Paleogene. Considering the importance of photosymbiosis in extant species for foraminiferal test calcification and ecology (Bé, 1982; Bé et al., 1982; Hemleben et al., 1989), we may assume similar requirements for fossil taxa. The algal-symbiotic relationship is considered one of the most successful strategies adopted by muricates during the earliest Paleogene (Norris, 1996; Quillévéré et al., 2001). A crisis in that relationship may represent one possible hypothesis to explain the decline of early Eocene morozovellids. Further studies that include stable isotope analyses, including $\delta^{13}\text{C}$ gradients, on morozovellid tests are needed to further elucidate this scenario. There is however scarce documentation on mechanisms responsible for bleaching and besides elevated sea surface temperature, a number of other factors, for example high ultraviolet radiation, in pH decrease, increase in CO_2 , changes in salinity and nutrient availability, may have been involved (Douglas et al., 2003; Wade et al., 2008).

The protracted exceptional warming of the EECO is expected to have increased metabolic rates particularly in heterotrophs that are more sensitive to temperature than rates of primary production (Brown et al., 2004; O'Connor et al., 2009; Olivarez Lyle and Lyle, 2006). This effect may have increased water column remineralization of organic matter and caused pH to decrease in the uppermost water column (John et al., 2013, 2014; O'Connor et al., 2009; Olivarez Lyle and Lyle, 2006). The potential lowering of pH in surface waters, which could have been further enhanced by the huge EECO CO_2 pressure, may have affected calcification (De Moel et al., 2009; Moy et al., 2009; Zeebe

ocean sites by the recorded decline in surface-to-benthic $\delta^{13}\text{C}$ gradients across the EECO (Hilting et al., 2008) and have been considered linked to evolutionary turnovers among calcareous nannofossil assemblages (Schneider et al., 2011). Weakened thermal stratification with increased vertical mixing is predicted for many, although not all, oceanic areas during hyperthermals. The fact that the permanent morozovellid collapse occurs during the EECO implies that a threshold was surpassed, not previously experienced by the morozovellid communities.

Available data indicate that the protracted conditions of extreme warmth and high CO_2 pressure during the EECO may have been the key element inducing a permanent impact in the marine surface water ecosystem that became detrimental for the morozovellids. Even the peculiar PETM, that records the most dramatic changes among the hyperthermals both in terms of temperature increase and carbon cycle perturbation, did not adversely affect the morozovellid habitat in a permanent way. On the contrary, morozovellids increased in abundance in open oceanic settings (Kelly et al., 1996, 1998, 2002; Lu and Keller, 1993; Petrizzo, 2007), and only a transient decrease in abundance is recorded in pelagic Tethyan near continental settings (Luciani et al., 2007). It is intriguing to note that the second main crisis of the muricate symbiont bearing forms occurred during the MECO (Fig. 5), that is also a warming event of much longer duration (about 400–500 kyr) than the early Paleogene hyperthermals (Bohaty et al., 2009; Westerhold and Röhl, 2013).

5.5 Planktic foraminiferal changes during post-EECO stable-isotope perturbations at Possagno

The small $\delta^{13}\text{C}$ excursions recorded in the post-EECO interval at Possagno, from C22n to C21n, induced perturbations on the planktic foraminiferal assemblages that mirror those recorded in the pre-EECO interval (Fig. 3). These perturbations are expressed as marked increases of acarininids, representing warmer thriving taxa that were tolerant to relatively enhanced surface water eutrophic conditions. Peaks in surface water

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eutrophication could have been reached during the C22n-CIE1 and C22n-CIE3 events, as indicated by the relatively high production of radiolarians.

The post-EECO CIEs are concomitant with $\delta^{18}\text{O}$ excursions and coupled to distinct modifications in the planktic foraminiferal assemblages comparable to those recorded at other early Eocene brief warming events in Tethyan settings. These data make it tenable to refer to these events as hyperthermals, although of less intensity and magnitude compared to some of the pre-EECO hyperthermals. A number of these $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ excursions, of much smaller magnitude and intensity with respect to the PETM, probably correlate with the recently discovered late early Eocene through early middle Eocene post-EECO hyperthermals in the Atlantic and Pacific (Kirtland Turner et al., 2014; Sexton et al., 2006, 2011). According to Sexton et al. (2011) and Kirtland Turner et al. (2014) their shorter duration and more rapid recovery phases, with respect to the PETM, imply a different forcing and feedback mechanism involving redistribution of carbon among the ocean reservoirs rather than release of greenhouse gases from buried sediments. This mechanism was active also during the EECO interval and was similar to the orbital forcing of the carbon cycle operating during the Oligocene and Miocene.

6 Summary and conclusions

The investigation of planktic foraminifera from the Possagno section in northern Italy represents a first case history recording changes in relative abundance among planktic foraminiferal assemblages across the EECO warm interval and post-EECO climatic variability in the Tethys realm from about 55 to 46 Ma.

The most crucial change emerging from the Possagno and ODP Site 1051 data is the irreversible decline across the EECO of the symbiont bearing genus *Morozovella*, one of the most important calcifiers of the early Paleogene tropical and subtropical oceans. The Possagno data indicate that the EECO event had a permanent impact on the planktic foraminiferal communities, representing a critical phase in the reorganization

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of Eocene pelagic ecosystems. Possible causes for this reorganization, that deserve further investigations, include increased eutrophication, changes in ocean chemistry, weak water column stratification, loss of symbionts, complex interaction with other microplankton groups such as radiolarians, diatoms or dinoflagellates that represented possible competitors in the use of symbionts or as symbiont providers. A critical threshold was reached across the EECO, evidently never reached before, which induced unfavourable habitats for continued morozovellid diversification and proliferation but not harsh enough to cause their extinction. This threshold appears to be related to the duration of extreme conditions characterizing the extended warmth during the EECO.

Even though several questions still remain to be answered, the data presented from Possagno add significant information about the complex evolution of the muricate planktic foraminifera and stimulate additional investigations across the EECO from different paleoceanographic settings.

The post-EECO interval at Possagno is punctuated by relatively small, negative $\delta^{13}\text{C}$ shifts, interpreted as potential minor hyperthermals in the light of available oxygen isotope data, a number of which probably are in synchrony with those recorded in the tropical Atlantic by Sexton et al. (2011) and Kirtland Turner et al. (2014). These modest post-EECO $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ perturbations are associated with significant, though ephemeral, modifications in the planktic foraminiferal communities showing distinct increases of the warm acarininids in a manner similar to their behaviour during pre-EECO hyperthermals in Tethyan settings. Changes in planktic foraminiferal assemblages occur during environmental perturbations associated with minor negative carbon and oxygen isotope excursions, which suggest a pronounced biotic sensitivity to climate change of planktic foraminifera even during the post-EECO interval.

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Table A1. Taxonomic list of species cited in text and figures.

Globanomalina australiformis (Jenkins, 1965)
Morozovella aequa (Cushman and Renz, 1942)
Morozovella gracilis (Bolli, 1957)
Morozovella lensiformis (Subbotina, 1953)
Morozovella marginodentata (Subbotina, 1953)
Morozovella subbotinae (Morozova, 1939)
Parasubbotina eoclava Coxall (Huber and Pearson, 2003)
Parasubbotina griffinae (Blow, 1979)
Parasubbotina pseudowilsoni (Olsson and Pearson, 2006)
Subbotina corpulenta (Subbotina, 1953)
Subbotina eocena (Guembel, 1868)
Subbotina hagni (Gohrbandt, 1967)
Subbotina senni (Beckmann, 1953)
Subbotina yeguanesis (Weinzierl and Applin, 1929)
Planoglobanolamina pseudoalgeriana (Olsson and Hemleben, 2006)

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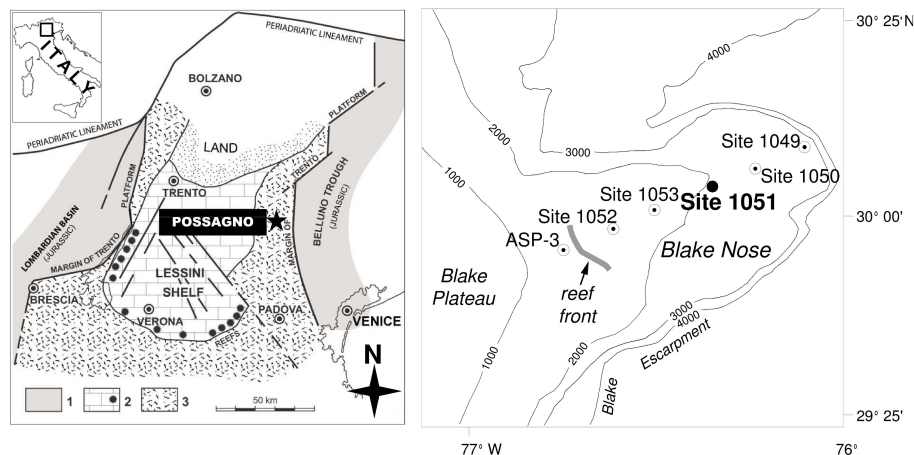


Figure 1. Left: paleogeographic location of the Possagno section (star) in the Belluno Basin, a Mesozoic–Cenozoic pelagic sequence, delimited during the Eocene by the shallow water carbonates of the Lessini Shelf to the west (Modified from Bosellini, 1989). 1 – deep water mudstones of the Jurassic basins; 2 – Paleogene shallow water limestones, lagoons, and shelf-edge reefs of the Lessini Shelf; 3 – Paleogene deep water pelagic clays and marls of the Belluno and Lombardian Basin. Right: Blake Nose map and location of Site 1051 in the western North Atlantic, modified from Norris et al. (1998).

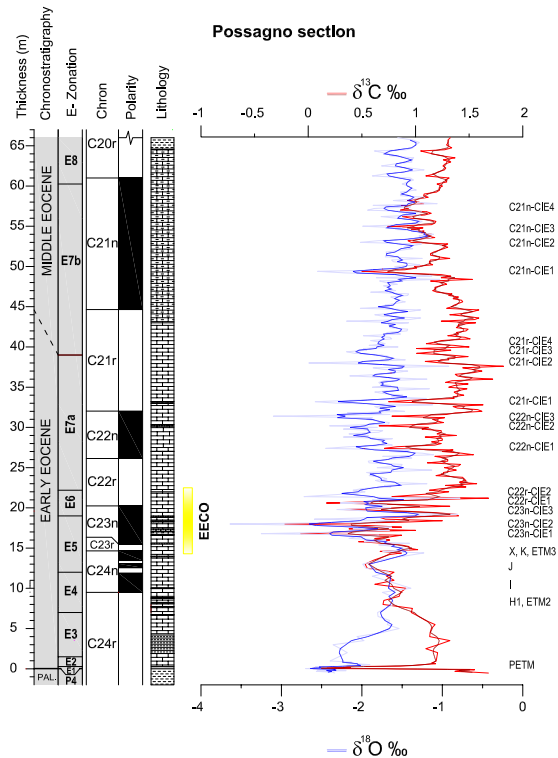


Figure 2. Carbon and oxygen isotopes of bulk sediment from the Possagno section plotted against lithology and planktic foraminiferal E-Zonations from Wade et al. (2011), modified by Luciani and Giusberti (2014). Magnetostratigraphy is from Agnini et al. (2006). Thinner lines: original data; thicker lines average 3-points. The average 3-point curve is utilized to dampen some of the potential diagenetic overprint on the ^{18}O data. Pre-EECO CIEs are labelled according to current literature; the post-EECO CIEs are labelled according to Kirtland Turner et al. (2014) and Sexton et al. (2011) by substituting H (hyperthermals) with CIE (carbon isotope excursion). The yellow band highlights the interval tentatively referred to the EECO.

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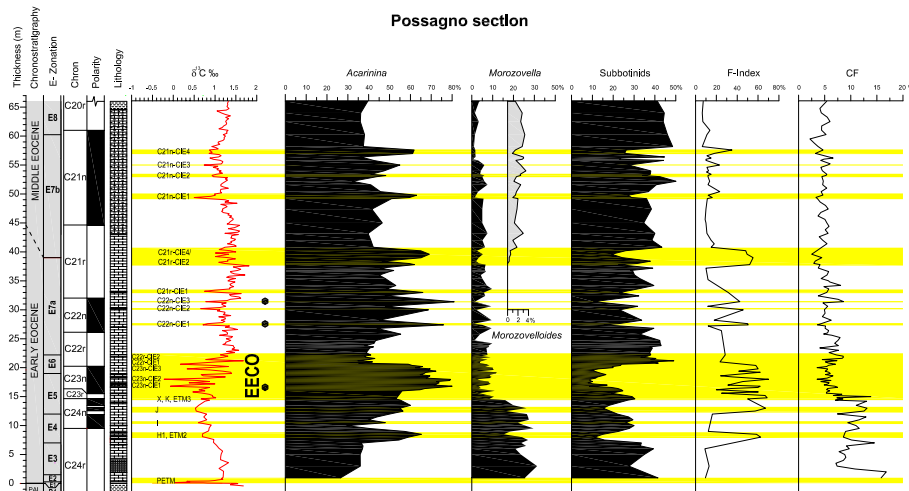


Figure 3. The Possagno $\delta^{13}\text{C}$ record and relative abundance of main planktic foraminifera across the early and basal middle Eocene interval, plotted against lithology, fragmentation index (F index) and coarse fraction (CF) data. The subbotinids includes the genera *Subbotina* and *Parasubbotina*. Magnetostratigraphy is from Agnini et al. (2006). The biozonal scheme is from Wade et al. (2011), modified by Luciani and Giusberti (2014). The yellow bands highlight the interval tentatively referred to the EECO, the pre-EECO hyperthermals and post-EECO stable isotope excursions that are considered to represent hyperthermals. Pre-EECO CIEs are labelled according to current literature; the post-EECO CIEs are labelled according to Kirtland Turner et al. (2014) and Sexton et al. (2011) by substituting H (hyperthermals) with CIE (carbon isotope excursion). Filled circles show occurrences of abundant radiolarians.

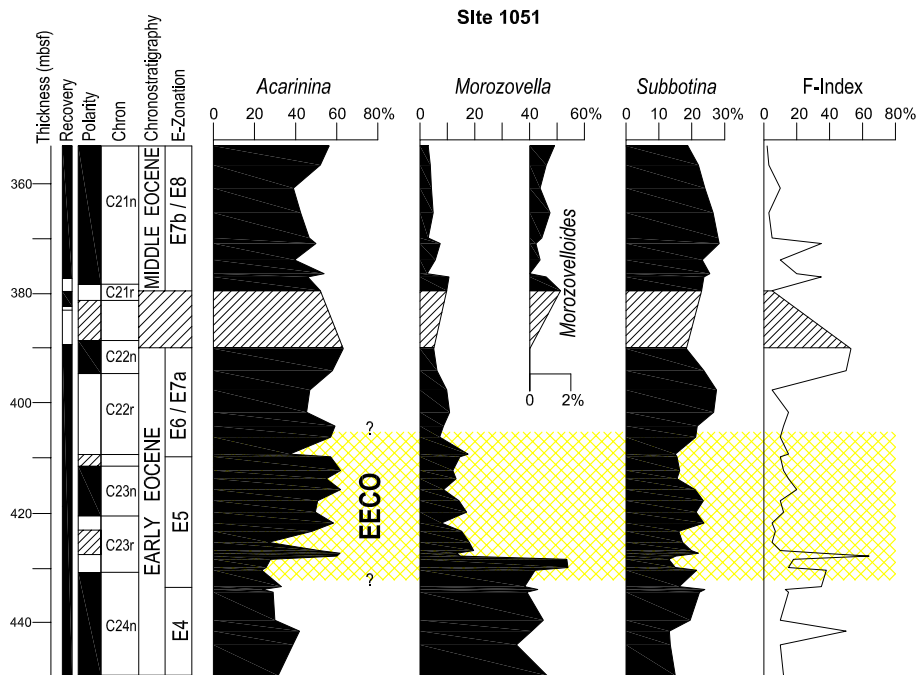
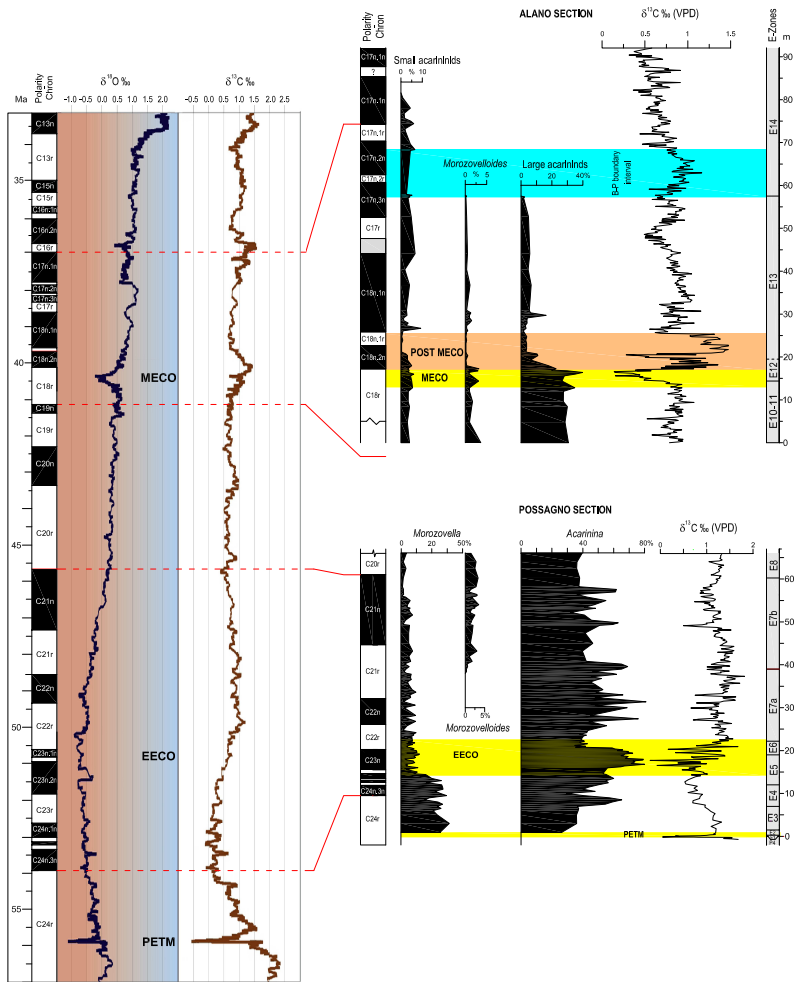


Figure 4. Relative abundance of the main planktic foraminiferal genera from ODP Site 1051, plotted against the biozones of Wade et al. (2011), partly modified by Luciani and Giusberti (2014), and *F* index data. The subbotinid group includes the genera *Subbotina* and *Parasubbotina*. Magnetostratigraphy is from Ogg and Bardot (2001); striped bands are intervals of uncertainty in magnetostratigraphic boundaries. The yellow band highlights the interval tentatively referred to the EEEO.



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Figure 5. The record of warm-indices muricates morozovellids and large acarininids (> 200 micron) and carbon isotope curves in the western Tethyan setting from the Possagno (below, this paper) and Alano sections (above, from Luciani et al., 2010) plotted against the generalized oxygen and carbon isotopic curves, slightly modified, shown in Gradstein et al. (2012, Fig. 28.11). The original oxygen and carbon isotopic values from Cramer et al. (2009) are recalibrated to GTS2012 (Gradstein et al., 2012). The Tethyan record shows that the long-lasting EECO and MECO intervals mark two main steps in the decline of relative abundance within this group of important early Paleogene calcifiers. E-Zones follow Wade et al. (2011), partly modified by Luciani and Giusberti (2014). B-P = Bartonian-Priabonian; PETM = Paleocene Eocene Thermal maximum.

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Interactive comment on “Massive and permanent decline of symbiont bearing morozovellids and $\delta^{13}\text{C}$ perturbations across the Early Eocene Climatic Optimum at the Possagno section (Southern Alps of northeastern Italy)” by V. Luciani et al.

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Received and published: 18 April 2015

The Early Eocene Climatic Optimum (EECO) is a key interval in Cenozoic climate history, with benthic foraminiferal compilations indicating peak warmth. However, the interval is still enigmatic due to its limited recovery in ocean drilling cores. The manuscript by Luciani et al presents quantitative planktonic foraminiferal assemblage with stable isotope data for the EECO from Possagno, Italy. There are very few quantitative as-

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semblage studies of planktonic foraminifera. This study examines over 100 samples covering an interval from 55 to 46 Ma, showing changes in the abundances of key taxa and a crisis in *Morozovella*. The record from Possagno is also compared with ODP Site 1051, providing a direct comparison between Tethys and the North Atlantic. This study is therefore innovative and an important contribution in documenting the biotic response during the EECO. Overall, this is a very interesting study and highly suitable for publication. The manuscript is well written and supported by high quality data and figures. In certain places I felt the study could have gone into more detail and I have some comments and questions below.

Technical comments

The Introduction provides an excellent overview of the EECO in terms of the climate. As the manuscript deals with planktonic foraminifera diversity changes, I think the current state of knowledge on their diversity and evolution could be expanded on here, especially as this is one of the most diverse intervals of the Cenozoic.

Were any replicate analyses performed for the bulk sediment stable isotope analyses? And if so, how do these compare?

Numbering of carbon isotope 'events'. In section 4.3 it is stated that there are "a series of additional minor" excursions above Chron C22r. These excursions are named according to their chron. However, some of these 'events' are minor (only 0.3 per mil) - do we really need to name every $\delta^{13}\text{C}$ wiggle? The precision of the standards is 0.06 per mil, and it seems that no replicate analyses were performed (see question above). The naming system in itself is clear, but I think we need some cut off and admit that C21n-CIE3 is not an 'event'. Furthermore in section 5.1 it becomes evident that some of these 'events' are not global and cannot be correlated with Site 1258 or anywhere else at present. It was not clear to me which 'events' could be correlated with Demerara Rise, and how the magnitude of the excursions compared between different sites, this could be shown in a table or an additional figure.

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In terms of the foraminiferal assemblages (section 4.4), the authors should provide more details at the species level in the discussion of the results. Is the decrease in *Morozovella* driven by the extinction or local disappearance of one common species? Or is it several species that all decline in abundance?

Page 675, line 17 “These genera are also known as muricates” – true, but the statement is a little misleading, as other genera are also included in the muricates and are not discussed.

Will the stable isotope and assemblage data be made available, either as supplementary information or through data archives such as Pangaea?

Table A1 Several of the species listed are in their original generic affiliation and thus the authors should not have brackets e.g. *eoelava*, *pseudowilsoni*, *pseudoalgeriana*.

Figure 2 is unclear as the $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ records are plotted on top of each other.

Figure 4, why are the striped bands indicating magnetostratigraphic uncertainty carried all the way across the figure?

Page 677, lines 10-11, please add details of how the paleodepth in Bohaty et al. (2009) was derived.

Line changes

There is inconsistency between lines 3 and 25, the first stating that the EECO was the highest temperatures for the last 70 Ma, the second for 90 Ma.

Line 13 (abstract): change loss of symbiosis to loss of photosymbionts

Page 674, line 6 and line 24: not sure that all the references for the PETM and EECO are necessary

Page 683, line 21: change ‘The older and younger sides’ to ‘The initiation and termination’

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Page 686, line 18: remove 'pristine'

Page 687, line 28: change 'strengthened' to 'enhanced' greenhouse conditions.

Caption, Figure 1: Change 'blake' to 'Blake'

Interactive comment on Clim. Past Discuss., 11, 671, 2015.

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Interactive comment on “Massive and permanent decline of symbiont bearing morozovellids and $\delta^{13}\text{C}$ perturbations across the Early Eocene Climatic Optimum at the Possagno section (Southern Alps of northeastern Italy)” by V. Luciani et al.

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Received and published: 22 April 2015

General comments

The manuscript by Luciani et al. ‘Massive and permanent decline of symbiont bearing morozovellids and $\delta^{13}\text{C}$ perturbations across the Early Eocene Climatic Optimum at the Possagno section (Southern Alps of northeastern Italy)’ provides detailed records (PF genera, C, O isotopes) of a 65 m thick deep water sequence spanning the lower to

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middle Eocene, including the EECO. Some additional data based on a similar but low-resolution dataset from Blake Nose Site 1051 are provided for comparison of the main observed patterns. The paper emphasizes the role of EECO on the evolution of mid latitude planktic foram communities, notably on the demise of the *Morozovella* which is observed both in Possagno and at Blake Nose, suggesting a general cause and effect relationship. This is somewhat reminiscent of the replacement of *Praemurica* by *Morozovella* some 10 million years earlier (Quillevère and Norris 2003 – GSA SP 369), suggested to be related to climate change close to the Danian/Selandian boundary (Guasti et al. 2006 – Marmic; Jehle et al. 2014 – Ferrara volume)

Key issues

Although these records are valuable in the light of climatically linked evolutionary developments, there are a couple of fundamental issues to be resolved on some parts of the data of the Possagno sequence. These are mainly: 1) the reliability of the stable isotope record in identifying early to middle Eocene climate-related $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ excursions and 2) the reliability of the fragmentation index (%F).

The Possagno section largely consists of indurated pelagic limestones (red to grey Scaglia facies) with intercalated marls. The rocks were folded during Alpine compression phases and may have been deeply buried prior to uplift (no information is given on this). The key point to stress here is that these rocks are very different from age-equivalent sediments drilled by DSDP/ODP/IODP and that are commonly not lithified. This has several consequences: 1) the rocks analyzed here have gone through an extended diagenetic pathway during compaction, subsidence, compression and uplift. In sections exposed on land, meteoric influences may also have contributed to modifying the original geochemical signatures. Yet, despite of all these factors, the average obtained O and C values are roughly in the expected range for an early Eocene mid-latitude open marine record. This, however, does not mean that the observed rapid isotopic fluctuations are reliable recorders of paleoclimatic and paleoceanographic change (e.g. Speijer 2014 – Ferrara volume).

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Obviously, the authors are aware of the fact that diagenetic may have influenced the record. They note for instance that ‘The oxygen isotope amplitude range shows up to 1.5‰ differences between adjacent samples, which possibly may reflect potential diagenetic overprint’, but they fail to provide evidence of having scrutinized their isotopic data in any way. Shifts of 1.5‰ are much larger than any of the known Eocene hyperthermals (except for the PETM), so I can only conclude that there is a serious problem with at least part of the data. The authors chose to retain all data and employed a 3-point moving average prior to interpreting the data (although strangely the unsmoothed data are compared with the smoothed benthic record from Vandenberghe et al. in GTS 2012). Obviously this procedure leads to subdued fluctuations in the range of those known from the deep-sea (around 0.5 ‰. Smoothing noisy data based on variability of a natural system (e.g. seasonality) is fully justifiable in order to obtain the overall patterns of one or more sequences. However, smoothing data in order to reduce the influence of secondary artifacts in order to obtain a reliable primary signal that remains strongly dominated by rapid and large shifts is not appropriate. It’s a sort of white-washing of unreliable data: in this way, the resulting subdued fluctuations in $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ are made acceptable and are key to the further interpretation.

There are various ways, directly or indirectly to evaluate potential diagenetic/meteoric overprint of the $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ data: 1) generating replicate data. Perhaps the authors did this already, but this is not clear from the text (the supplementary data file could not be retrieved). 2) through using cross plots for both systems. This indirect method is widely used. E.g. Corfield et al. (1991- Terra Nova) carried out a similar, but low-resolution, study on the Cretaceous-Eocene scaglia limestones of Central Italy and concluded that some intervals with strong co-variation of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ are suggestive of a strong diagenetic and/or meteoric overprint. In contrast, the present authors choose the opposite approach: strong correlation between the most negative $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ values are indicative of true climatically related excursions. Note that there is clearly a caveat with this approach, since also in unaltered deep-sea deposits negative CIEs often correspond to negative OIEs (i.e. hyperthermals, like PETM, ETM2,

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3, etc.), so primary and secondary signals may be difficult to distinguish. However, as long as diagenesis cannot be ruled out, it is safe not to infer a primary signal 3) using cathode luminescence on a subset of the samples provides an additional direct approach to discern secondary alteration. 4) In case there are levels with well preserved, non-infilled, planktic foraminifera these could be isotopically analyzed in order to provide a potentially more reliable surface isotope record to which the whole rock-record (mainly micrite derived from nannofossils?) could be compared.

In conclusion, the authors should provide solid arguments to exclude diagenesis or meteoric influences potentially causing the numerous isotopic excursions prior to relating the data to environmental and/or paleoclimatic shifts.

The second major issue concerns the amount of fragmentation of the foraminifera. In principle %F can be a very useful tool indicative of partial dissolution during or after sedimentation, commonly used in Quaternary research, where it concerns soft sediments. As non-filled foraminifera are also susceptible to mechanical breakage, the use of %F assumes requires gentle and uniform processing methods. In the current study, the foraminifera are derived from lithified marls and limestones and the various lithologies have been treated in different ways, limestones with cold-acetolyse, the marls with 30% H₂O₂ and gentle ultrasound. The authors indicate that these methods result in fairly well preserved assemblages. Yet, all three methods are known to potentially contribute to fragmentation, unless the foraminifera are consistently infilled with cement. Luciani et al. 2007 indicate that cold-acetolyse basically leaves the assemblage unaltered and this was tested against 'standard methods' (= using H₂O₂?). However, the 2007 paper does not reveal whether tests were run on the same samples nor whether H₂O₂ was used. So based on the limited information given, the outcome of that study seems to suggest that cold-acetolyse is less destructive than H₂O₂, which in my view merely confirms that H₂O₂ should not be used in sample processing when quantitative data are to be generated (see also the excellent experimental comparative study by Kennedy & Coe, 2014 – J. Micropal). Since different methods have been used for

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different lithologies and also the state of infilling is not discussed, the interpretation of the %F is not straightforward. Highest fragmentation is observed in the 14-22 m interval. This is the interval containing the marly beds. Is this fragmentation primary and does the marly deposit and the fragmentation relate to a rising lysocline, or are these samples predominantly processed with a potentially highly destructive H₂O₂ solution? This needs clarification.

In order to obtain a better grip on the value of the fragmentation index (%F), absolute numbers are needed (Nguyen & Speijer, 2014 – MarMic). Where %F covaries with reduced foraminiferal numbers per gram, fragmentation due to dissolution is very likely. Note that the weight of the sand fraction (or coarse fraction, e.g. Hancock & Dickens, 2005 – ODP 198) is in this respect less useful as this strongly depends on the cleanliness of the washed residues and whether the foraminifera are filled in or not. Planktics with infillings contain much more mass than an empty shells – multifold for planktics with highly inflated chambers. If virtually all foraminifera are infilled, especially if this is calcite cement, then this would be an advantage, because 1) the weight data of the sand fraction would probably strongly correlate with the numbers (unless shell sizes vary strongly) and thus provide a more accurate tool and 2) the foraminifera are much more robust against the various processing methods.

So the authors first need to clarify the state of infilling of the foraminifera (non-filled, to partially filled (%) to completely filled) in order to strengthen the interpretation of their carefully collected and large quantitative data set.

Further comments

p. 675: Note that the P/E boundary is now at about 56 Ma (Vandenberghé et al. 2012 – GTS2012; Hilgen et al. 2015 - Newsl. Strat.)

p. 682: Based on only these two presented dataset the authors conclude that morozovellids prefer open ocean settings more than areas close to land. This is poorly argued. There may be many other differences between Blake Nose and Possagno that

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could have caused this difference (e.g. current systems, seasonality, upwelling?). The abundance of radiolarians at Blake Nose may rather suggest that the pelagic ecosystem of these two areas is quite different, perhaps because of upwelling? In addition, Tethyan shelf sequences also often contain large numbers of Morozovella. At any rate, the authors must provide more convincing data (to be found in the literature) showing that in general morozovellids are more common in open ocean settings. They could or perhaps should include available information based on subtle differences in isotopic compositions of Acarinina and Morozovella.

p. 683: It might be useful to also plot radiolarian abundance (on the total CF?) for Blake Nose in order to observe any relationship with the planktic foram genera.

p. 686: Experimental dissolution studies suggest that subbotinids are generally more susceptible than morozovellids. However, (experimental) dissolution is in the first place strongly dependent on size and weight, favoring the preservation of large taxa, such as some Morozovellids. If subbotinids dominate assemblages with high %F, this could be a result from subbotinids being the larger taxa (can be easily verified) and/or that they are the most abundant taxa in the primary assemblages (e.g. at the top of the sequence).

p. 686: “When assuming that dissolution has affected assemblages, it follows that the dominance of acarininids during the EECO and hyperthermal events may represent a taphonomic artifact. This assumption appears yet to conflict with the results from the upper part of Possagno in the Chron C21n interval, where significant decreases of subbotinids, associated with distinct acarininid increases, correspond to negative shifts in $d_{13}C$ values in the absence of carbonate dissolution, as expressed in low F index values” This is a false argument. Similar to the previous comment: High relative acarininid abundance can result from partial dissolution of smaller taxa or result from a genuine ecological factor (e.g. oligotrophy). One reason does not exclude the other. Also here it boils down to failing information on foraminiferal numbers. These issues become much more transparent when relative abundances and %F are accompanied

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by absolute foraminiferal numbers (or even better PFAR – planktic foram accumulation rates - if age control is sufficient). This can reveal whether relative increases are true increases or just resulting from decreases of other taxa (closed sum effect)

p. 688: “The hypothesis of increased nutrient availability in the lower part of the EECO interval at Possagno is supported by the entry of relatively high concentration of radiolarians, considered as eutrophic indices (Hallock, 1987).” This might be correct, but this begs for an explanation for the fact that Blake Nose yields high numbers of radiolarians throughout the sequence, whereas the planktic foram record is overall similar to the one of Possagno.

p. 689: explain what is meant by ‘muricate crisis’.

p. 690: replace ‘bleaching’ for ‘symbiont loss’. Bleaching relates to corals losing their colorful photosymbionts. As far as I know this term is not used for symbiont loss in modern planktic foraminifera.

p. 691: ‘It would be interesting to compare flux data of calcareous nannofossils before and after the major evolutionary change recorded across the EECO (Agnini et al., 2006; Schneider et al., 2011) to test a potential reduction in their overall productivity’. As indicated above, this could and in my view should have been done for the planktic foraminifera too (as secondary producers) and could resolve some of the problems addressed.

p. 712: It should be stressed in the caption that the long-term record is a benthic record and not a pelagic/whole rock record like Possagno’s. Note also that the figure is from the chapter of Vandenberghe et al. 2012 in Gradstein et al. 2012.

All in all, this paper still needs quite a bit of work to make it an important contribution to the field.

Interactive comment on Clim. Past Discuss., 11, 671, 2015.