

1 **Major perturbations in the global carbon cycle and photosymbiont-bearing**
2 **planktic foraminifera during the early Eocene**

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19 **Abstract.** A marked switch in the abundance of the planktic foraminiferal genera
20 *Morozovella* and *Acarinina* occurred at low-latitude sites near the start of the Early Eocene
21 Climatic Optimum (EECO), a multi-million-year interval when Earth surface temperatures
22 reached their Cenozoic maximum. Stable carbon and oxygen isotope data of bulk sediment
23 are presented from across the EECO at two locations: Possagno in northeast Italy, and DSDP
24 Site 577 in the northwest Pacific. Relative abundances of planktic foraminifera are presented
25 from these two locations, as well as from ODP Site 1051 in the northwest Atlantic. All three
26 sections have good stratigraphic markers, and the $\delta^{13}\text{C}$ records at each section can be
27 correlated amongst each other and to $\delta^{13}\text{C}$ records at other locations across the globe. These
28 records show that a series of negative carbon isotope excursions (CIEs) occurred before,
29 during and across the EECO, which is defined here as the interval between the J event and the
30 base of *Discoaster subloidoensis*. Significant though ephemeral modifications in planktic
31 foraminiferal assemblages coincide with some of the short-term CIEs, which were marked by
32 increases in the relative abundance of *Acarinina*, similar to what happened across established
33 hyperthermal events in Tethyan settings prior to the EECO. Most crucially, a temporal link
34 exists between the onset of the EECO, carbon cycle changes during this time, and the decline
35 of *Morozovella*. Possible causes are multiple, and may include temperature effects on
36 photosymbiont-bearing planktic foraminifera and changes in ocean chemistry.

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45 **1 Introduction**

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47 Cenozoic Earth surface temperatures attained their warmest long-term state during the Early
48 Eocene Climatic Optimum (EECO). This was a 2-4 Myr time interval (discussed below)
49 centered at ca. 51 Ma (**Figure 1**), when average high latitude temperatures exceeded those at
50 present-day by at least 10°C (Zachos et al., 2008; Bijl et al., 2009; Huber and Caballero,
51 2011; Hollis et al., 2012; Pross et al., 2012; Inglis et al., 2015). Several short-term (<200 kyr)
52 global warming events (**Figure 1**) occurred before the EECO. The Paleocene Eocene
53 Thermal Maximum (PETM) provides the archetypical example: about 55.9 Ma
54 (Vandenbergh et al., 2012; Hilgen et al., 2015) temperatures soared an additional 5-6°C
55 relative to background conditions (Sluijs et al., 2006, 2007; Dunkley Jones et al., 2013).
56 Evidence exists for at least two other significant Eocene warming events (Cramer et al., 2003;
57 Lourens et al., 2005; Röhl et al., 2005; Thomas et al., 2006; Nicolo et al., 2007; Agnini et al.,
58 2009; Coccioni et al., 2012; Lauretano et al., 2015; Westerhold et al., 2015): one ca. 54.1 Ma
59 and named H-1 or Eocene Thermal Maximum 2 (ETM-2, also referred as the Elmo event),
60 and one at 52.8 Ma and variously named K, X, or ETM-3 (hereafter called K/X). However,
61 additional brief warming events may have spanned the early Eocene (above references;
62 Kirtland-Turner et al., 2014), and the EECO may comprise a series of successive events
63 (Slotnick et al., 2012). Both long-term and short-term intervals of warming corresponded to
64 major changes in global carbon cycling, although the precise timing between these
65 parameters remains insufficiently resolved.

66 In benthic foraminiferal stable isotope records for the early Paleogene (**Figure 1**), $\delta^{18}\text{O}$
67 serves as a proxy for deep-water temperature, while $\delta^{13}\text{C}$ relates to the composition of deep-
68 water dissolved inorganic carbon (DIC). The highest $\delta^{13}\text{C}$ values of the Cenozoic occurred at
69 ca. 58 Ma. From this Paleocene Carbon Isotope Maximum (PCIM), benthic foraminiferal

70 $\delta^{13}\text{C}$ values plunge by approximately 2.5 ‰ to reach a near Cenozoic minimum at or near the
71 start of the EECO, and subsequently rise by approximately 1.5 ‰ across this interval
72 (Shackleton and Hall, 1984; Shackleton, 1986; Zachos et al., 2001, 2008; Cramer et al.,
73 2009). Benthic foraminiferal $\delta^{13}\text{C}$ records also exhibit prominent negative carbon isotope
74 excursions (CIEs) across the three hyperthermals mentioned above (Kennett and Stott, 1991;
75 Littler et al., 2014; Lauretano et al., 2015). Crucially, at least from the late Paleocene to the
76 start of the EECO, similar $\delta^{13}\text{C}$ records occur in other carbon-bearing phases, such as bulk
77 marine carbonate, planktic foraminifera, and various marine and terrestrial organic carbon
78 compounds (Shackleton, 1986; Schmitz et al., 1996; Lourens et al., 2005; Nicolo et al., 2007;
79 Agnini et al., 2009, submitted; Leon-Rodriguez and Dickens, 2010; Abels et al., 2012;
80 Coccioni et al., 2012; Sluijs and Dickens, 2012; Slotnick et al. 2012, 2015a; Clyde et al.,
81 2013). This strongly suggests that observed changes in $\delta^{13}\text{C}$, both long-term trends as well as
82 short-term perturbations, represent variations in the input and output of ^{13}C -depleted carbon
83 to the exogenic carbon cycle (Shackleton, 1986; Dickens et al., 1995; Dickens, 2000; Kurtz et
84 al., 2003; Komar et al., 2013).

85 Significant biotic changes occur in terrestrial and marine environments during times
86 when the early Paleogene $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ records show major variations. This has been
87 recognized for the PETM, where land sections exhibit a prominent mammal turnover
88 (Gingerich 2001, 2003; McInerney and Wing, 2011; Clyde et al., 2013), and where marine
89 sections reveal a profound benthic foraminiferal extinction (Thomas, 1998), turnovers in
90 calcareous nannoplankton, ostracods, corals and larger benthic foraminifera (Raffi and De
91 Bernardi, 2008; Scheibner and Speijer, 2008; Yamaguchi and Norris, 2012; Agnini et al.,
92 2014), and appearances of excursion taxa in calcareous nannoplankton, dinoflagellates and
93 planktic foraminifera (Kelly et al., 1996, 1998; Crouch et al., 2001; Sluijs et al., 2006; Self-
94 Trail et al., 2012). Major plant and mammal turnovers also occurred on land during the longer

95 EECO (Wing et al., 1991; Zonneveld et al., 2000; Wilf et al., 2003; Falkowski et al., 2005;
96 Woodbourne et al., 2009; Figueirido et al., 2012). In the marine realm, evolutionary trends
97 across the EECO have been noted, in particular the inception of modern calcareous
98 nannofossil community structure (Agnini et al., 2006, 2014; Schneider et al., 2011; Shamrock
99 et al., 2012) and possibly the same for diatoms (Sims et al., 2006; Oreshkina, 2012). These
100 observations, both from continents and the oceans, support an overarching hypothesis that
101 climate change drives biotic evolution, at least in part (Ezard et al., 2011).

102 Planktic foraminiferal assemblages are abundant in carbonate bearing marine sediments
103 and display distinct evolutionary trends that often can be correlated to climate variability
104 (Schmidt et al., 2004; Ezard et al., 2011; Fraass et al., 2015). This is especially true in the
105 early Paleogene, even though the relationship between climate variability and planktic
106 foraminiferal evolution remains insufficiently known. At the beginning of the Eocene,
107 planktic foraminifera had evolved over ca. 10 Myr following the Cretaceous-Paleogene mass
108 extinction event. Several early Paleogene phylogenetic lines evolved, occupying different
109 ecological niches in the upper water column. Subsequently, a major diversification occurred
110 during the early Eocene, which resulted in a peak of planktic foraminiferal diversity during
111 the middle Eocene (Norris, 1991; Schmidt et al., 2004; Pearson et al., 2006; Aze et al., 2011;
112 Ezard et al., 2011; Fraass et al., 2015).

113 In this study, we focus on the evolution of two planktic foraminiferal genera:
114 *Morozovella* and *Acarinina* (**Figure 1**). These two genera belong to the “muricate group”, a
115 term derived from the muricae that form layered pustules on the test wall. These two genera
116 are of particular interest because of their dominance among tropical and subtropical
117 assemblages of the early Paleogene oceans, and because these genera show a major turnover
118 in taxonomic diversity close to the beginning of the EECO, one that comprises species
119 reduction among *Morozovella* and species diversification among *Acarinina* (Lu and Keller,

120 1995; Lu et al., 1998; Pearson et al., 2006; Aze et al., 2011).

121 Numerous lower Eocene sedimentary sections from lower latitudes contain well-
122 recognizable (albeit often recrystallized) planktic foraminiferal tests. Changes in
123 foraminiferal assemblages presumably reflect relationships between climate and carbon
124 cycling across the EECO. The present problem is that no section examined to date provides
125 counts of foraminiferal assemblages, detailed stable isotope records and robust planktic
126 foraminiferal biostratigraphies across the entire EECO. Indeed, at present, only a few sites
127 have detailed and interpretable stable isotope records across much of the EECO (Slotnick et
128 al., 2012, 2015a; Kirtland-Turner et al., 2014). Furthermore, the EECO lacks formal
129 definition. As a consequence, any relationship between climatic perturbations during the
130 EECO and the evolution of planktic foraminifera remains speculative. Here, we add new data
131 from three locations: the Possagno section from the western Tethys, DSDP Site 577 from the
132 tropical Pacific Ocean, and ODP Site 1051 from the subtropical Atlantic Ocean (**Figure 2**).
133 These sections hence represent a wide longitudinal span of low latitude locations during the
134 early Paleogene. By comparing stable isotope and planktic foraminiferal records at these
135 three locations, we provide a new foundation for understanding why the abundances of
136 *Acarinina* and *Morozovella* changed during the EECO.

137

138 **2 The Early Eocene Climatic Optimum**

139

140 Evidence for extreme Earth surface warmth during a multi-million year time interval of the
141 early Eocene is overwhelming, and comes from many studies, utilizing both marine and
142 terrestrial sequences, and both fossil and geochemical proxies (Huber and Caballero, 2011;
143 Hollis et al., 2012; Pross et al., 2012). However, a definition for the EECO, including the
144 usage of “optimum”, endures as a perplexing problem. This is for several reasons, including

145 the basic facts that: (i) proxies for temperature should not be used to define a time increment,
146 (ii) clearly correlative records across the middle of the early Eocene with temporal resolution
147 less than 50 kyr remain scarce, and (iii) absolute ages across the early Eocene have changed
148 significantly (Berggren et al., 1995; Vandenberghe et al., 2102). As a consequence, various
149 papers discussing the EECO give different ages and durations spanning from 2 to 4 Myr long
150 sometime between circa 49 and 54 Ma (e.g., Yapp, 2004; Lowenstein and Demicco, 2006;
151 Zachos et al., 2008; Woodburne et al., 2009; Bijl et al., 2009; Smith et al., 2010; Hollis et al.,
152 2012; Slotnick et al., 2012; Puljalte et al., 2015).

153 The EECO, at least as presented in many papers, refers to the time of minimum $\delta^{18}\text{O}$
154 values in “stacked” benthic foraminifera stable isotope curves (**Figure 1**). These curves were
155 constructed by splicing together multiple $\delta^{18}\text{O}$ records generated at individual locations onto
156 a common age model (originally Berggren et al., 1995). However, the stacked curves (Zachos
157 et al., 2001, 2008; Cramer et al., 2009), while they can be adjusted to different time scales,
158 show significant variance in $\delta^{18}\text{O}$ across the middle to late early Eocene. Some of this
159 variance belies imprecisely calibrated records at individual sites, where cores do not align
160 properly in the depth domain (Dickens and Backman, 2013). Some of this variance probably
161 reflects a dynamic early Eocene climate regime, where average temperatures and atmospheric
162 $p\text{CO}_2$ across Earth changed significantly, perhaps on orbital time scales (Smith et al., 2010;
163 Slotnick et al., 2012, 2015a; Kirtland-Turner et al., 2014).

164 There is also the root problem as to where EECO starts and ends. At a basic level, the
165 interval characterized by the lowest Cenozoic benthic foraminiferal $\delta^{18}\text{O}$ values begins at a
166 time that closely corresponds with a long-term minimum in $\delta^{13}\text{C}$ values (**Figure 1**). This is
167 important for stratigraphic reasons because the two stable isotope curves were generated
168 using the same benthic foraminiferal samples, but $\delta^{13}\text{C}$ records at different locations should
169 necessarily correlate in the time domain (unlike $\delta^{18}\text{O}$ and temperature). The rationale for such

170 carbon isotope stratigraphy lies in the rapid cycling of carbon across Earth's surface
171 (Shackleton, 1986; Dickens, 2000).

172 The Eocene minimum in $\delta^{13}\text{C}$ corresponds to the K/X event (**Figure 1**), which happened
173 in polarity chron C24n.1n and approximately 3 Myr after the PETM (Agnini et al., 2009;
174 Leon-Rodriguez and Dickens, 2010; Slotnick et al., 2012; Dallanave et al., 2015; Lauretano
175 et al., 2015; Westerhold et al., 2015). However, in several detailed studies spanning the early
176 Eocene, changes in long-term trends appear to have occurred about 400 kyr before the K/X
177 event, and at an event called "J" (after Cramer et al., 2003), which happened near the
178 boundary of polarity chrons C24n.2r and C24n.3n (Slotnick et al., 2015a; Lauretano et al.,
179 2015). Notably, the long-term late Paleocene-early Eocene decrease in detailed benthic
180 foraminiferal $\delta^{18}\text{O}$ records at Site 1262 on Walvis Ridge ceases at the J event (Lauretano et
181 al., 2015).

182 The end of the EECO has received limited attention from a stratigraphic perspective.
183 Indeed, the termination of the EECO may not be a recognizable global "event", because it
184 might relate to ocean circulation and gateways and expressed mostly in Southern Ocean and
185 deep ocean records (Pearson et al., 2007; Bijl et al. 2013). In Paleogene continental slope
186 sections now uplifted and exposed in the Clarence River Valley, New Zealand, a major
187 lithologic change from limestone to marl coincides with the J event (Slotnick et al., 2012,
188 2015a; Dallanave et al., 2015). The marl-rich unit, referred to as "Lower Marl", has been
189 interpreted to reflect enhanced terrigenous supply to a continental margin because of greater
190 temperature and enhanced seasonal precipitation. It has been suggested further that Lower
191 Marl expresses the EECO (Slotnick et al., 2012; Dallanave et al., 2015). The top of Lower
192 Marl, and a return to limestone deposition, lies within the upper part of polarity chron C22n
193 (Dallanave et al., 2015). This is interesting because it approximates the time when general
194 long-term Cenozoic cooling initiates at several locations that have records of polarity chrons

195 and proxies for temperature (Bijl et al., 2009; Hollis et al., 2012; Pross et al., 2012). It is also
196 useful from a stratigraphic perspective because the end of the EECO thus lies close to a well
197 documented and widespread calcareous nannofossil biohorizon, the base of *Discoaster*
198 *sublodoensis*. This marks the base of CP10, NP12 or CNE4, depending on the chosen
199 calcareous nannofossil zonal scheme (Okada and Bukry, 1080; Martini, 1971; Agnini et al.,
200 2014).

201 Without an accepted definition in the literature, we tentatively present the EECO as the
202 duration of time between the J event and the base of *D. sublodoensis*. This interval thus
203 begins at about 53 Ma and ends at about 49 Ma on the 2012 Time Scale (GTS; Vandenberghe
204 et al., 2012). However, while the EECO was characterized by generally warm conditions,
205 numerous fluctuations in average temperature likely occurred during the 4 Myr interval.

206

207 **3 Sites and stratigraphy**

208

209 **3.1 Possagno, Venetian Prealps, Tethys**

210

211 An Upper Cretaceous through Miocene succession crops out at the bottom of the Monte
212 Grappa Massif in the Possagno area, about 60 km northwest of Venice. The lower to middle
213 Eocene, of primary focus to this study, is represented by the Scaglia beds. These
214 sedimentary rocks represent pelagic and hemipelagic sediment that accumulated at middle to
215 lower bathyal depths (Cita, 1975; Thomas, 1998) in the western part of the Belluno Basin, a
216 Mesozoic–Cenozoic paleogeographic unit of the Southern Alps (Bosellini, 1989). The basin
217 very likely was an embayment connected to the western Tethys, with a paleolatitude of ca.
218 42° during the early Eocene (**Figure 2**).

219 A quarry at 45°51.0' N and 11°51.6' E exposed in 2002-2003 a 66 m thick section of

220 the Scaglia beds (Figure 3), although it is at present largely covered and inaccessible. This
221 section was examined for its stratigraphy (Agnini et al., 2006; Luciani and Giusberti, 2014),
222 and shown to extend from just below the PETM to within lower Chron C20r in the lower
223 middle Eocene. Like other lower Paleogene sections of the Venetian Pre-alps (Giusberti et
224 al., 2007; Agnini et al., submitted), a Clay Marl Unit (CMU) with a prominent negative CIE
225 marks the PETM.

226 The Possagno section appears to be continuous, but with an important decrease in
227 sedimentation rate (to below 1.4 m/Myr) between 14.66 m and 15.51 m (Agnini et al., 2006).
228 This interval lies within Chron C23r and near the start of the EECO, and predates the onset
229 of a major increase in *Discoaster* abundance (Agnini et al., 2006).

230

231 **3.2 Site 577, Shatsky Rise, Western Pacific**

232

233 Deep Sea Drilling Project (DSDP) Leg 86 drilled Site 577 at 32°26.5' N, 157°43.4' E, and
234 2680 m water depth, on Shatsky Rise, a large igneous plateau in the NW Pacific with a
235 relatively thin veneer of sediment (Shipboard Scientific Party 1985). During the early
236 Eocene, this site was located closer to 15° N (**Figure 2**), and probably at a slightly shallower
237 water depth (Ito and Clift, 1998).

238 Two primary holes were drilled at Site 577. Both Hole 577* and Hole 577A recovered
239 portions of a nominally 65 m thick section of Upper Cretaceous through lower Eocene
240 nannofossil ooze. Similar to the Possagno section, the lower Paleogene interval has
241 biomagnetostratigraphic information (Bleil, 1985; Monechi et al., 1985; Backman, 1986; Lu
242 and Keller, 1995; Dickens and Backman, 2013). Stable isotope records of bulk carbonate
243 have been generated for sediment from several cores at low sample resolution (Shackleton,
244 1986), and for much of Cores 577*-9H and 577*-10H at fairly high sample resolution

245 (Cramer et al. 2003).

246 The composition and relative abundances of planktic foraminifera were nicely
247 documented at Site 577 (Lu, 1995; Lu and Keller, 1995), and show a marked turnover
248 between *Morozovella* and *Acarinina* during the early Eocene. These data, however, have
249 remained on an out-dated view for the stratigraphy at this location, where cores were not
250 originally aligned to account for gaps and overlaps (Dickens and Backman, 2013). As will
251 become obvious later, the main phase of the EECO spans Cores 577*-8H and 577A-8H,
252 where detailed stable isotope records have not been generated previously.

253

254 **3.3 Site 1051, Blake Nose, Western Atlantic**

255

256 The Blake Nose is a gentle ramp extending from 1000 m to 2700 m water depth east of
257 Florida (Norris et al, 1998). The feature is known for a relatively thick sequence of middle
258 Cretaceous through middle Eocene sediment with minimal overburden. Ocean Drilling
259 Program (ODP) Leg 171B drilled and cored this sequence at several locations, including Site
260 1051 at 30°03.2' N, 76°21.5' W, and 1994 m water depth (Shipboard Scientific Party 1998).
261 The site was located slightly to the south during the early Eocene (**Figure 2**). Benthic
262 foraminiferal assemblages indicate a lower bathyal depth (1000-2000 m) during the late
263 Paleocene and middle Eocene (Norris et al., 1998), although Bohaty et al. (2009) estimated a
264 paleodepth of about 2200 m for sedimentation ca. 50 Ma.

265 Sediments from 452.24 to 353.10 meters below sea floor (mbsf) at Site 1051 consist of
266 lower to middle Eocene carbonate ooze and chalk (Shipboard Scientific Party, 1998). The
267 site comprises two holes (1051A and 1051B), with core gaps and core overlaps existing at
268 both (Shipboard Scientific Party, 1998). However, the impact of these depth offsets upon
269 age is less than at Site 577, because of higher overall sedimentation rates.

270 The Eocene section at Site 1051 has good sediment recovery, except an interval between
271 382 mbsf and 390 mbsf, which contains significant chert. Stratigraphic markers across the
272 Eocene interval include polarity chrons (Ogg and Bardot, 2001), calcareous nannofossil
273 biohorizons (Mita, 2001), and planktic foraminiferal biohorizons (Norris et al., 1998; Luciani
274 and Giusberti, 2014). As first noted by Cramer et al. (2003), though, there is a basic
275 stratigraphic problem with the labelling of the polarity chrons. The intervals of normal
276 polarity between approximately 388 and 395 mbsf, and between approximately 412 and 420
277 mbsf were tentatively assigned to C22n and C23n, respectively (Ogg and Bardot, 2001). This
278 age assignment was assumed to be correct by Luciani and Giusberti (2014), who therefore
279 considered the last occurrence of *Morozovella subbotinae* as happening near the top of C23n,
280 an assumption that was also made for the revision of Eocene foraminiferal biozones (Wade et
281 al., 2011).

282 These age assignments, however, cannot be correct, because calcareous nannofossil
283 biohorizons that lie below or within C22n (top of *T. orthostylus*, top of *Toweius*, base of *D.*
284 *sublodoensis*) occur above 388 mbsf (Mita, 2001). Instead, there must be a significant hiatus
285 or condensed interval at the chert horizon, and the above noted intervals of normal polarity
286 are C23n and C24n.1n.

287

288 **4 Methods**

289

290 **4.1 Samples for isotopes and foraminifera**

291

292 The three sites provide a good stratigraphic background and key existing data for
293 understanding the temporal link between the EECO, carbon isotope perturbations and
294 planktic foraminiferal evolution. Our analytical aim was to obtain comparable data sets

295 across the sites. More specifically, a need existed to generate stable isotope and planktic
296 foraminiferal assemblage records at the Possagno section, to generate stable isotope records
297 at DSDP Site 577, and to generate planktic foraminiferal assemblage records at ODP Site
298 1051.

299 In total, 298 samples were collected from the originally exposed Possagno section in
300 2002-2003 for isotope analyses. The sampling interval was 2 to 5 cm for the basal 0.7 m, and
301 at variable spacing from 20 to 50 cm for the interval between 0.7 m and 66 m. Bulk sediment
302 samples previously were examined for their calcareous nannofossil assemblages (Agnini et
303 al., 2006). One hundred and ten of these samples were selected for the foraminiferal study.

304 Aliquots of the 110 samples were weighed, and then washed to obtain foraminifera using
305 two standard procedures, depending on lithology. For the indurated marly limestones and
306 limestones, the cold-acetolyse technique was used (Lirer, 2000; Luciani and Giusberti, 2014).
307 This method disaggregates strongly lithified samples, in which foraminifera otherwise can be
308 analyzed only with thin sections (Fornaciari et al., 2007; Luciani et al., 2007). For the marls,
309 samples were disaggregated using 30 % hydrogen peroxide and subsequently washed and
310 sieved at 63 μm . In most cases, gentle ultrasonic treatment (e.g., low-frequency at 40 kHz for
311 30–60 seconds) improved the cleaning of the tests.

312 Relative abundance data of planktic foraminiferal samples were generated for 65 samples
313 at Site 577 (Lu, 1995; Lu and Keller, 1995). We collected new samples for stable isotope
314 measurements that span their previous effort.

315 Fifty samples of Eocene sediment were obtained from Hole 1051A between 452 to 353
316 mbsf. Sample spacing varied from 2.0 m to 0.5 m. As the samples are ooze and chalk, they
317 were prepared using disaggregation using distilled water and washing over 38 μm and 63 μm
318 sieves. Washed residues were dried at $<50^{\circ}\text{C}$.

319

320 **4.2 Stable Isotopes**

321

322 Carbon and oxygen stable isotope data of bulk sediment samples from the Possagno section
323 and Site 577 were analysed using a Finnigan MAT 252 mass spectrometer equipped with a
324 Kiel device at Stockholm University. Precision is within ± 0.06 ‰ for carbon isotopes and
325 within ± 0.07 ‰ for oxygen isotopes. Stable isotope values were calibrated to the Vienna Pee
326 Dee Belemnite standard (VPDB) and converted to conventional delta notation ($\delta^{13}\text{C}$ and
327 $\delta^{18}\text{O}$).

328

329 **4.3 Foraminifera analyses**

330

331 The mass percent of the >63 μm size fraction relative to the mass of the bulk sample,
332 typically 100 g/sample was calculated for the 110 Possagno samples. This is referred to as the
333 weight percent coarse fraction, following many previous works. Due to the consistent
334 occurrence of radiolarians at Site 1051, the coarse fraction cannot give information on
335 foraminiferal productivity.

336 Relative abundances for both Possagno and Site 1051 have been determined from about
337 300 complete specimens extracted from each of the 110 samples investigated in the >63 μm
338 size fraction from random splits.

339 The degree of dissolution, expressed as the fragmentation index (F index) was evaluated
340 according to Petrizzo et al. (2008) on ca. 300 elements, by counting planktic foraminiferal
341 fragments or partially dissolved tests versus complete tests. These data are expressed in
342 percentages. Fragmented foraminifera include specimens showing missing chambers and
343 substantial breakage. The taxonomic criteria for identifying planktic foraminifera follows the
344 work by Pearson et al. (2006).

345

346 **5 Results**

347

348 **5.1 Carbon isotopes**

349

350 *Possagno*

351 Carbon isotopes of bulk carbonate at Possagno vary between +1.8 and -0.3 ‰ (**Figure 4,**
352 **Table S1**). Overall, $\delta^{13}\text{C}$ decreases from 1.8 ‰ at the base of the section to about 0.6 ‰ at 14
353 m. Generally, values then increase to 1.5 ‰ at 24 m, and remain between 1.5 ‰ and 0.8 ‰
354 for the remainder of the studied interval.

355 Superimposed on these trends are a series of negative CIEs. The most prominent of these
356 (~1.5 ‰) occurs at the 0 m level, and marks the PETM (Agnini et al., 2009). However, other
357 negative CIEs lie above this marker and within the lowermost 21.4 m, albeit some are only
358 defined by one data point (**Figure 4, Table S1**). The lower two at ~8 m and ~12.5 m probably
359 represent the H-1/ETM-2 and J event, respectively, as they lie at the appropriate stratigraphic
360 horizons in relation to polarity chrons. The K/X event may lie at 14.8 m, although this height
361 marks the start of the condensed interval.

362 The complex interval between 15.5 m and 24 m broadly corresponds to all of Chron
363 C23n and the bottom half of Chron C22r. A series of CIEs occur in that interval on the order
364 of 1.4 ‰, superimposed on a background trend of increasing $\delta^{13}\text{C}$ values (about 0.7 ‰). We
365 tentatively label these CIEs with even numbers for internal stratigraphic purposes (**Figure 4**),
366 as will become obvious below; their magnitudes range between 0.9 and 0.3 ‰ (**Table S1**).
367 However, the sample spacing through this interval varies from 20 to 50 cm. The precise
368 magnitudes and positions certainly could change with higher sample resolution, given the
369 estimated compacted sedimentation rate of ~0.5 cm/kyr for this part of the section (Agnini et

370 al., 2006).

371 Above Chron C22r, the Possagno $\delta^{13}\text{C}$ record contains additional minor CIEs (**Figure 4**).
372 The most prominent of these CIEs, at least relative to baseline values ($\sim 1.2\text{‰}$), occurs within
373 Chron C21n. More important to understanding the EECO, a $\sim 0.6\text{‰}$ CIE nearly coincides
374 with the base of *D. subloboensis* within the lower part of Chron C22n.

375

376 DSDP Site 577

377 The $\delta^{13}\text{C}$ record of bulk carbonate at DSDP Site 577 from just below the PETM through
378 Chron C22n ranges between 2.3 and 0.6 ‰ (**Figure 5; Table S2**). Overall, $\delta^{13}\text{C}$ decreases
379 from 1.4 ‰ at 84.5 mcd to about 0.6 ‰ at ~ 76 mcd. Values then generally increase to 2.1 ‰
380 at ~ 68 mcd, and remain between 2.3 ‰ and 1.6 ‰ for the rest of the studied interval. Thus,
381 the ranges and general trends in $\delta^{13}\text{C}$ for the two sections are similar, but skewed at DSDP
382 Site 577 relative to Possagno by about $+0.6\text{‰}$.

383 Like at Possagno, the early Eocene $\delta^{13}\text{C}$ record at DSDP Site 577 exhibits a series of
384 CIEs (**Figure 5**). The portion of this record from the PETM through the K/X event has been
385 documented and discussed elsewhere (Cramer et al., 2003; Dickens and Backman, 2013). The
386 new portion of this record, from above the K/X event through Chron C22n, spans the
387 remainder of the EECO. Within this interval, where background $\delta^{13}\text{C}$ values rise by $\sim 1.5\text{‰}$,
388 there again occur a series of minor CIEs with magnitudes between 0.3 and 0.5 ‰ (**Table S2**).
389 Here, however, multiple data points define most of the CIEs. We again give these an internal
390 numerical labelling scheme. A $\sim 0.4\text{‰}$ CIE also nearly coincides with the base of *D.*
391 *subloboensis* within the lower part of C22n.

392

393 **5.2 Oxygen isotopes**

394

395 Possagno

396 Oxygen isotopes of bulk carbonate at Possagno range between -3.3 and 0.8 ‰ with a mean
397 value of -1.7 ‰ (**Figure 4, Table S1**). In general, considerable scatter exists across the data
398 set with respect to depth, as adjacent samples often display a difference in $\delta^{18}\text{O}$ that exceeds
399 0.5 ‰. Nonetheless, some of the more prominent lows in $\delta^{18}\text{O}$ show a clear correspondence
400 with negative $\delta^{13}\text{C}$ values (CIEs) and vice versa. This correspondence occurs across the
401 PETM and other known hyperthermals, as well as within and after the EECO. Indeed, the
402 main phase of the EECO corresponds with a broad has the lowest $\delta^{18}\text{O}$ values.

403

404 DSDP Site 577

405 The $\delta^{18}\text{O}$ record at Site 577 noticeably deviates from that at Possagno (**Figure 5, Table S2**).
406 This is because values range between -1.1 ‰ and 0.2 with an average value of -0.4 ‰. Thus,
407 relative to Possagno, the record at Site 577 has less scatter, and an overall shift of about -1.3
408 ‰. There is again a modest correlation between decreases in $\delta^{18}\text{O}$ and negative $\delta^{13}\text{C}$ values,
409 as well as a general low in $\delta^{18}\text{O}$ across the main phase of the EECO.

410

411 **5.3 Coarse fraction**

412

413 The coarse fraction of samples from Possagno shows two distinct trends (**Figure 6, Table**
414 **S3**). Before the EECO, values are $10.4 \pm 2.67 \%$. However, from the base of the EECO
415 and up through the section, values decrease to $5.3 \pm 1.3 \%$.

416

417 **5.4 Foraminiferal preservation and fragmentation**

418

419 Planktic foraminifera are consistently present and diverse throughout the studied intervals at

420 Possagno and at ODP Site 1051. Preservation of the tests at Possagno varies from moderate
421 to fairly good (Luciani and Giusberti, 2014). However, planktic foraminiferal tests at
422 Possagno are recrystallized and essentially totally filled with calcite. Planktic foraminifera
423 from samples at Site 1051 are readily recognizable throughout the studied interval. Planktic
424 foraminifera from Site 577, at least as illustrated by published plates (Lu and Keller, 1995),
425 show a very good state of preservation (albeit possibly recrystallized).

426 The F index record at Possagno (**Figure 6, Table S3**) displays large amplitude variations
427 throughout the investigated interval. The highest values, up to 70 %, were observed between
428 16 and 22 m. In general, highs in F index values correspond to lows in the $\delta^{13}\text{C}$ record.

429 The F index record at Site 1051 (**Figure 8, Table S4**) shows less variability compared to
430 that at Possagno, although some of this may reflect the difference in the number of samples
431 examined at the two locations. A maximum value of 60 % is found in Zone E5, just below an
432 interval of uncertain magnetostratigraphy (Norris et al., 1998), but corresponding to the J
433 event (Cramer et al., 2003). Relatively high F index values, around 50 %, also occur in
434 several samples below this horizon. The interval across the EECO generally displays low F
435 index values (<20 %).

436

437 **5.5 Planktic foraminiferal quantitative analysis**

438

439 Possagno

440 Planktic foraminiferal assemblages at Possagno show significant changes across the early to
441 early middle Eocene (**Figure 6, Table S3**). Throughout the entire section, the mean relative
442 abundance of *Acarinina* is about 46 % of the total assemblage. However, members of this
443 genus exhibit peak abundances of 60-80 % of the total assemblage across several intervals,
444 often corresponding to CIEs. Particularly prominent is the broad abundance peak of

445 *Acarinina* coincident with the main phase of the EECO.

446 The increases in *Acarinina* relative abundance typically are counterbalanced by
447 transient decreases of subbotinids (that include both *Subbotina* and *Parasubbotina* genera;
448 **Figure 6**). This group also shows a general increase throughout the section. Below the EECO
449 the relative abundances of subbotinids average ~24 %. Above the EECO, this average rises to
450 ~36 %.

451 The trends of *Acarinina* and subbotinids contrast with that of *Morozovella* (**Figure 6**),
452 which exhibit a major and permanent decline within Zone E5. This group collapses from
453 mean abundances ~24 % in the 0-15 m interval to <6 % above 15 m. Qualitative examination
454 of species shows that, in the lower part of Zone E5, where relatively high *Morozovella*
455 abundances are recorded, there is no dominance of any species. *M. marginodentata*, *M.*
456 *subbotinae* and *M. lensiformis* are each relatively common, and *M. aequa*, *M. aragonensis*,
457 *M. formosa* and *M. crater* are each less common. By contrast, in the upper part of Zone E5,
458 where low abundances of *Morozovella* occur, *M. aragonensis*, *M. formosa*, *M. crater* and *M.*
459 *caucasica* are the most common species. The general decrease of *Morozovella* abundances
460 appears unrelated to the disappearance of a single, dominant species.

461 At Possagno, *Morozovella* never recover to their pre-EECO abundances. This is true
462 even if one includes the morphologically and ecologically comparable genus *Morozovelloides*
463 (Pearson et al., 2006), which first appears in samples above 36 m.

464 Other planktic foraminiferal genera are always less than 15 % of the total assemblages
465 throughout the studied interval at Possagno (**Figure S1, Table S3**).

466

467 ODP Site 577

468 Samples from Site 577 were disaggregated in water and washed through a >63 sieve (Lu,
469 1995; Lu and Keller, 1995). They determined relative abundances of planktic foraminifera

470 from random splits of about 300 specimens (Lu, 1995; Lu and Keller, 1995). The resulting
471 data are shown in **Figure 7**, placed onto the composite depth scale by Dickens and Backman
472 (2013). Major changes in planktic foraminiferal assemblages are comparable to those
473 recorded at Possagno. Such changes include indeed a distinct decrease of *Morozovella* within
474 Zone E5. The decrease at Site 577 is from mean values of 26.6 % to 6.7 % (**Table S4**). This
475 marked drop occurs at ca. 78 mcd close to the J event and at the start of the EECO. Like at
476 Possagno, *Morozovella* never recover to their pre-EECO abundances.

477 The *Morozovella* decrease is counter balanced by the trend of *Acarinina* abundances
478 that increase from mean values of 30.4 % to 64.8 % in correspondence to the level of the
479 *Morozovella* collapse. Subbotinids fluctuate in abundance throughout the interval
480 investigated from 1 % to 18 %, with a mean value of ca. 8 %.

481

482 ODP Site 1051

483 Planktic foraminifera show distinct changes in abundance at Site 1051 (**Figure 8, Table S5**).
484 The changes of the main taxa are similar to the variations observed at Possagno. The genus
485 *Acarinina* displays an increase in mean relative abundance from 35 % (base to ca. 450 mbsf)
486 to around 50 % (ca. 430 mbsf), with maximum values of about 60 %. The relatively low
487 resolution used here does not permit comparison to the early Eocene CIEs at Site 1051
488 (Cramer et al., 2003), or how the relative abundance of planktic foraminiferal genera varies
489 with respect to CIEs.

490 The abundance of subbotinids shows small variations around mean values of 20 % at Site
491 1051. Like at Possagno, samples from Site 1051 also record a slight increase in abundance
492 toward the end of the EECO and above.

493 The major change in planktic foraminiferal assemblages at Site 1051 includes a distinct
494 decrease of *Morozovella*, from mean values around 40 % to 10 % in the middle part of Zone

495 E5 (**Figure 7**). Similar to Possagno, the lower part of Zone E5 with the higher percentages of
496 *Morozovella* does not record the dominance of selected species, but at Site 1051 *M.*
497 *aragonensis* and *M. formosa* besides *M. subbotinae* are relatively common whereas *M.*
498 *marginodentata* is less frequent. Within the interval of low *Morozovella* abundances, *M.*
499 *aragonensis* and *M. formosa* are the most common taxa. The general decline of *Morozovella*
500 does not appear therefore related, both at Possagno and at Site 1051, to the extinction or local
501 disappearance of a dominant species.

502

503 **6 Discussion**

504

505 **6.1 Dissolution, recrystallization, and bulk carbonate stable isotopes**

506

507 The bulk carbonate stable isotope records within the lower Paleogene sections at Possagno
508 and at Site 577 need some reflection, considering how such records are produced and
509 modified in much younger strata dominated by pelagic carbonate. In open ocean
510 environments, carbonate preserved on the seafloor principally consists of calcareous tests of
511 nannoplankton (coccolithophores) and planktic foraminifera (Bramlette and Riedel, 1954;
512 Berger, 1967; Vincent and Berger, 1981). However, the total amount of carbonate and its
513 microfossil composition can vary considerably across locations because of differences in
514 deep-water chemistry and in test properties (e.g., ratio of surface area to volume;
515 mineralogical composition). For regions at low to mid latitudes, a reasonable representation
516 of carbonate components produced in the surface water accumulates on the seafloor at
517 modest (<2000 m) water depth. By contrast, microfossil assemblages become heavily
518 modified in deeper water, because of increasingly significant carbonate dissolution (Berger,
519 1967). Such dissolution preferentially affects certain tests, such as thin-walled, highly porous

520 planktic foraminifera (Berger, 1970; Bé et al., 1975; Thunell and Honjo, 1981).

521 The stable isotope composition of modern bulk carbonate ooze reflects the mixture of its
522 carbonate components, which mostly record water temperature and the composition of
523 dissolved inorganic carbon (DIC) within the mixed layer (<100 m water depth). The stable
524 isotope records are imperfect, though, because of varying proportions of carbonate
525 constituents, and “vital effects”, which impact stable isotope fractionation for each
526 component (Anderson and Cole, 1975; Reghellin et al., 2015). Nonetheless, the stable isotope
527 composition of bulk carbonate ooze on the seafloor can be related to overlying temperature
528 and chemistry of surface water (Anderson and Cole, 1975; Reghellin et al., 2015).

529 Major modification of carbonate ooze occurs during sediment burial. This is because,
530 with compaction and increasing pressure, carbonate tests begin to dissolve and recrystallize
531 (Schlanger and Douglas, 1974; Borre and Fabricus, 1998). Typically within several hundred
532 meters of the seafloor, carbonate ooze becomes chalk and, with further burial, limestone
533 (Schlanger and Douglas, 1974; Kroencke et al., 1991; Borre and Fabricus, 1998). Carbonate
534 recrystallization appears to be a local and nearly closed system process, such that mass
535 transfer occurs over short distances (i.e., less than a few meters) (above references and Matter
536 et al., 1975; Arthur et al., 1984; Frank et al., 1999).

537 In pelagic sequences with appreciable carbonate content and low organic carbon content,
538 bulk carbonate $\delta^{13}\text{C}$ records typically give information of paleoceanographic significance
539 (Scholle and Arthur, 1980; Frank et al., 1999). Even when transformed to indurated
540 limestone, the $\delta^{13}\text{C}$ value for a given sample should be similar to that originally deposited on
541 the seafloor. This is because, for such sediments, almost all carbon within small volumes
542 exists as carbonate. Bulk carbonate $\delta^{18}\text{O}$ records are a different matter, especially in indurated
543 marly limestones and limestones (Marshall, 1992; Schrag et al., 1995; Frank et al., 1999).
544 This is because pore water dominates the total amount of oxygen within an initial parcel of

545 sediment, and oxygen isotope fractionation depends strongly on temperature. Thus, during
546 dissolution and recrystallization of carbonate, significant exchange of oxygen isotopes
547 occurs. At first, carbonate begins to preferentially acquire ^{18}O , because shallowly buried
548 sediment generally has lower temperatures than surface water. However, with increasing
549 burial depth along a geothermal gradient, carbonate begins to preferentially acquire ^{16}O
550 (Schrag et al., 1995; Frank et al., 1999).

551

552 **6.2 Carbon isotope stratigraphy through the EECO**

553

554 Stratigraphic issues complicate direct comparison of various records from Possagno and Site
555 577. The two sections have somewhat similar multi-million year sedimentation rates across
556 the early Eocene. However, the section at Possagno contains the condensed interval, where
557 much of C23r spans a very short distance (Agnini et al., 2006), and the section at Site 577 has
558 a series of core gaps and core overlaps (Dickens and Backman, 2013).

559 An immediate issue to amend is the alignment of Cores 8H and 9H in Hole 577* and
560 Core 8H in Hole 577A (**Figure 5**). On the basis of GRAPE density records for these cores,
561 Dickens and Backman (2013) initially suggested a 2.6 m core gap between Cores 8H* and
562 9H*. However, a 3.5 m core gap also conforms to all available stratigraphic information. The
563 newly generated $\delta^{13}\text{C}$ (and $\delta^{18}\text{O}$) records across these three cores show the latter to be correct.

564 Once sedimentation rate differences at Possagno are recognized and coring problems at
565 Site 577 are rectified, early Eocene $\delta^{13}\text{C}$ records at both locations display similar trends and
566 deviations in relation to polarity chrons and key microfossil events (**Figures 4, 5**). Moreover,
567 the $\delta^{13}\text{C}$ variations seemingly can be correlated in time to those found in bulk carbonate $\delta^{13}\text{C}$
568 records at other locations, including Site 1051 (**Figure 8**) and Site 1258 (**Figure 9**). As noted

569 previously, such correlation occurs because the bulk carbonate $\delta^{13}\text{C}$ signals reflect past global
570 changes in the composition of surface water DIC, even after carbonate recrystallization.

571 For the latest Paleocene and earliest Eocene, nominally the time spanning from the base
572 of C24r through the middle of C24n, detailed stable carbon isotope records have been
573 generated at more than a dozen locations across the globe (Cramer et al., 2003; Agnini et al.,
574 2009; Galeotti et al., 2010; Zachos et al., 2010; Slotnick et al., 2012; Littler et al., 2014;
575 Agnini et al., in review). These records can be described consistently as a long-term drop in
576 $\delta^{13}\text{C}$ superimposed with a specific sequence of prominent CIEs that include those
577 corresponding to the PETM, H-1, and J events. In continuous sections with good
578 magnetostratigraphy and biostratigraphy, there is no ambiguity in the assignment of CIEs
579 (Zachos et al., 2010; Littler et al., 2014; Slotnick et al., 2012, 2105a; Lauretano et al., 2015).
580 This “ $\delta^{13}\text{C}$ template” can be found at the Possagno section and at Site 577 (**Figure 9**); it is
581 found at Site 1051 for the depth interval where carbon isotopes have been determined
582 (**Figure 8**).

583 After the J event and across the EECO, very few detailed $\delta^{13}\text{C}$ records have been
584 published (Slotnick et al., 2012, 2015a; Kirtland-Turner et al., 2014). Moreover, the available
585 records are not entirely consistent. For example, the K/X event in Clarence River valley
586 sections manifests as a prominent CIE within a series of smaller $\delta^{13}\text{C}$ excursions (Slotnick et
587 al., 2012, 2015a), whereas the event has limited expression in the $\delta^{13}\text{C}$ record at Site 1258
588 (Kirtland-Turner et al., 2014; **Figure 9**).

589 The new records from Possagno and Site 577 emphasize an important finding regarding
590 bulk carbonate $\delta^{13}\text{C}$ records across the EECO. Between the middle of C24n and the upper
591 part of C23r, there appears to be a sequence of low amplitude, low frequency CIEs. (Note
592 that this portion of the record is missing at Possagno because of the condensed interval;
593 **Figure 9**). However, near the C23r/C23n boundary, a long-term rise in $\delta^{13}\text{C}$ begins, but with

594 a series of relatively high amplitude, high frequency CIEs (Kirtland-Turner et al., 2014;
595 Slotnick et al., 2014). The number, relative magnitude and precise timing of CIEs within this
596 interval remain uncertain. For example, the CIE labelled “4” appears to occur near the top of
597 C23r at Site 577 but near the bottom of C23n.2n at Site 1258 and at Possagno. Additional
598 $\delta^{13}\text{C}$ records across this interval are needed to resolve the correct sequence of CIEs and to
599 derive an internally consistent labelling scheme for these perturbations. It is also not clear
600 which of these CIEs during the main phase of the EECO specifically relate to significant
601 increases in temperature, as clear for the “hyperthermals” in the earliest Eocene. Nonetheless,
602 numerous CIEs, as well as an apparent change in the mode of these events, characterize the
603 EECO (Kirtland-Turner et al., 2014; Slotnick et al., 2014).

604 The causes of $\delta^{13}\text{C}$ changes during the early Paleogene lie at the crux of considerable
605 research and debate (Dickens et al., 1995, 1997; Zeebe et al., 2009; Dickens, 2011; Lunt et
606 al., 2011; Sexton et al., 2011; De Conto et al., 2012; Lee et al., 2013; Kirtland Turner et al.,
607 2014). Much of the discussion has revolved around three questions: (1) what are the sources
608 of ^{13}C -depleted carbon that led to prominent CIEs, especially during the PETM? (2) does the
609 relative importance of different carbon sources vary throughout this time interval? and, (3)
610 are the geologically brief CIEs related to the longer secular changes in $\delta^{13}\text{C}$? One might
611 suggest, through several papers, a convergence of thought as to how carbon cycled across
612 Earth’s surface during the early Paleogene, at least between the late Paleocene and the K/X
613 event (Cramer et al., 2003; Lourens et al., 2005; Galeotti et al., 2010; Hyland et al., 2013;
614 Zachos et al., 2010; Lunt et al. 2011; Littler et al., 2014; Lauretano et al., 2015; Westerhold et
615 al., 2015). Changes in, tectonism, volcanism, and weathering drove long-term changes
616 atmospheric $p\text{CO}_2$ (Vogt, 1979; Raymo and Ruddiman, 1992; Sinton and Duncan, 1998;
617 Demicco, 2004; Zachos et al., 2008), which was generally high throughout the early
618 Paleogene, but increased toward the EECO (Pearson and Palmer, 2000; Fletcher et al., 2008;

619 Lowenstein and Demicco, 2006; Smith et al., 2010; Hyland and Sheldon, 2013). However, as
620 evident from the large range in $\delta^{13}\text{C}$ across early Paleogene stable isotope records, major
621 changes in the storage and release of organic carbon must have additionally contributed to
622 variability in atmospheric $p\text{CO}_2$ and ocean DIC concentrations (Shackleton, 1986; Kurtz et
623 al., 2003; Komar et al., 2013). When long-term increases in $p\text{CO}_2$, perhaps in conjunction
624 with orbital forcing, pushed temperatures across some threshold, such as the limit of sea-ice
625 formation (Lunt et al., 2011), rapid inputs of ^{13}C -depleted organic carbon from the shallow
626 geosphere served as a positive feedback to abrupt warming (Dickens et al., 1995; Bowen et
627 al., 2006; DeConto et al., 2012).

628 Our new $\delta^{13}\text{C}$ records do not directly address the above questions and narrative
629 concerning early Paleogene carbon cycling. However, they do highlight two general and
630 related problems when such discussion includes the EECO. First, surface temperatures appear
631 to stay high across an extended time interval when the $\delta^{13}\text{C}$ of benthic foraminifer (**Figure 1**)
632 and bulk carbonate (**Figure 9**) increase. Second, numerous brief CIEs mark this global long-
633 term rise in $\delta^{13}\text{C}$. Whether the aforementioned views need modification or reconsideration
634 (Kirtland Turner et al., 2014) is an outstanding issue, one that depends on how long-term and
635 short-term $\delta^{13}\text{C}$ changes relate across the entire early Paleogene.

636 The overall offset between bulk carbonate $\delta^{13}\text{C}$ values at Possagno and Site 577 may hint
637 at an important constraint to any model of early Paleogene carbon cycling. Throughout the
638 early Eocene, $\delta^{13}\text{C}$ values at Site 577 exceed those at Possagno by nominally 0.8 ‰ (**Figure**
639 **9**). This probably does reflect recrystallization or lithification, because similar offsets appear
640 across numerous records independent of post-depositional history but dependent on location
641 (Schmitz et al., 1996; Cramer et al., 2003; Slotnick et al., 2012, 2015a; Agnini et al.,
642 submitted). In general, absolute values of bulk carbonate $\delta^{13}\text{C}$ records increase from the
643 North Atlantic and western Tethys (low), through the South Atlantic and eastern

644 Tethys/Indian, to the Pacific (high), although suggestively with a latitudinal component to
645 this signature.

646

647 **6.3 Stable oxygen isotope stratigraphy across the EECO**

648

649 Bulk carbonate $\delta^{18}\text{O}$ values for Holocene sediment across the Eastern Equatorial Pacific
650 relate to average temperatures in the mixed layer (Shackleton and Hall, 1995; Reghellin et al.,
651 2015). Indeed, values are close to those predicted from water chemistry ($\delta^{18}\text{O}_w$) and
652 equilibrium calculations for calcite precipitation (e.g., Bemis et al., 1998) if vital effects in
653 the dominant nannoplankton increase $\delta^{18}\text{O}$ by nominally 1‰ (Reghellin et al., 2015).

654 Site 577 was located at about 15°N latitude in the eastern Pacific during the early
655 Paleogene. Given that sediment of this age remains “nannofossil ooze” (Shipboard Scientific
656 Party, 1985), one might predict past mixed layer temperatures from the $\delta^{18}\text{O}$ values with
657 three assumptions: early Paleogene $\delta^{18}\text{O}_w$ was 1.2 ‰ less than that at present-day to account
658 for an ice-free world; local $\delta^{18}\text{O}_w$ was equal to average seawater, similar to modern chemistry
659 at this off-Equator location (LeGrande and Schmidt, 2006); and, Paleogene nannoplankton
660 also fractionated $\delta^{18}\text{O}$ by 1.0 ‰. With commonly used equations that relate the $\delta^{18}\text{O}$ of
661 calcite to temperature (Bemis et al., 1998), these numbers render temperatures of between
662 16°C and 21°C for the data at Site 577. Such temperatures seem too cold by at least 10°C,
663 given other proxy data and modelling studies (e.g., Pearson et al., 2007; Huber and Caballero,
664 2011; Hollis et al., 2012; Pross et al., 2012; Inglis et al., 2015). At low latitudes, bottom
665 waters are always much colder than surface waters. Even during the EECO, deep waters
666 probably did not exceed 12°C (Zachos et al., 2008). The calculated temperatures likely
667 indicate partial recrystallization of bulk carbonate near the seafloor. Examinations of
668 calcareous nannofossils in Paleogene sediment at Site 577 show extensive calcite

669 overgrowths (Shipboard Scientific Party, 1985; Backman, 1986). Relatively low $\delta^{18}\text{O}$ values
670 mark the H-1 and K/X events, as well as the main phase of the EECO (**Figure 5**). Both
671 observations support the idea that the bulk carbonate $\delta^{18}\text{O}$ at Site 577 represents the
672 combination of a primary surface water $\delta^{18}\text{O}$ signal and a secondary shallow pore water $\delta^{18}\text{O}$
673 signal.

674 Lithification should further impact bulk carbonate $\delta^{18}\text{O}$ records (Marshall, 1992; Schrag
675 et al., 1995; Frank et al., 1999). Because this process occurs well below the seafloor, where
676 temperatures approach or exceed those of surface water, the $\delta^{18}\text{O}$ values of pelagic marls and
677 limestones should be significantly depleted in ^{18}O relative to partially recrystallized
678 nannofossil ooze. This explains the nominal 2‰ offset in average $\delta^{18}\text{O}$ between correlative
679 strata at Possagno and at Site 577. While temperature calculations using the $\delta^{18}\text{O}$ record at
680 Possagno render reasonable surface water values for a mid-latitude location in the early
681 Paleogene (26-31°C, using the aforementioned approach), any interpretation in these terms
682 more than likely reflects happenstance. The fact that planktic foraminifera are completely
683 recrystallized and totally filled with calcite at Possagno supports this inference.

684 One might suggest, at least for the Possagno section, that meteoric water might have also
685 impacted the $\delta^{18}\text{O}$ record. This is because rainwater generally has a $\delta^{18}\text{O}$ composition less
686 than that of seawater. However, samples were collected at Possagno in 2002-2003 from fresh
687 quarry cuts.

688 As observed at Site 577, however, horizons of lower $\delta^{18}\text{O}$ at Possagno may represent
689 times of relative warmth in surface water. This includes the broad interval between 16 and
690 22.5 m, which marks the main phase of the EECO, as well as many of the brief CIEs, at least
691 one that clearly represents the PETM (**Figure 4**). That is, despite obvious overprinting of the
692 original $\delta^{18}\text{O}$ signal, early to early middle Eocene climate variations appear manifest in the
693 data.

694

695 **6.4 The EECO and planktic foraminiferal abundances**

696

697 Bulk carbonate $\delta^{13}\text{C}$ records, especially in conjunction with other stratigraphic markers,
698 provide a powerful means to correlate early Paleogene sequences from widely separated
699 locations (**Figure 9**). They also allow for placement of planktic foraminiferal assemblage
700 changes into broader context.

701 The most striking change in planktic foraminiferal assemblages occurred near the start of
702 the EECO. Over a fairly short time interval and at multiple widespread locations, the relative
703 abundance of *Acarinina* increased significantly whereas the relative abundance of
704 *Morozovella* decreased significantly. This switch, best defined by the decline in *Morozovella*,
705 happened just before the condensed interval at Possagno (**Figure 6**), just above the J event at
706 Site 577 (**Figure 7, Table S4**), and during the J event at Site 1051 (**Figure 8**). At the Farra
707 section, cropping out in the same geological setting of Possagno at 50 km NE of the
708 Carcoselle quarry, it also appears to have occurred close to the J event (**Figure 10**). Indeed,
709 the maximum turnover in relative abundances may have been coincident with the J event at
710 all locations. Importantly, the relative abundance of subbotinids only changed marginally
711 during this time.

712 The *Morozovella* decline across the start of the EECO did not rebound afterward. At
713 Possagno, at Site 1051, and at Site 577, it was coupled with the gradual disappearances of
714 several species, including *M. aequa*, *M. gracilis*, *M. lensiformis*, *M. marginodentata*, and *M.*
715 *subbotinae*. Furthermore, the loss of *Morozovella* was not counterbalanced by the appearance
716 of the *Morozovelloides* genus, which shared with *Morozovella* the same ecological
717 preferences. This latter genus appeared in C21r, near the Ypresian/Lutetian boundary, and
718 well after the EECO (Pearson et al., 2006; Aze et al., 2011), including at Possagno (Luciani

719 and Giusberti, 2014; **Figure 6**). Though *Morozovelloides* were morphologically similar to
720 *Morozovella*, they probably evolved from *Acarinina* (Pearson et al., 2006; Aze et al., 2011;
721 **Figure 1**).

722 At Possagno, higher abundances of *Acarinina* also correlate with pronounced negative
723 $\delta^{13}\text{C}$ perturbations before and after the EECO (**Figure 6**). This includes the H-1 event, as well
724 as several unlabelled CIEs during C22n, C21r and C21n. Such increases in the relative
725 abundances of *Acarinina* have been described for the PETM interval at the nearby Forada
726 section (Luciani et al., 2007), and for the K/X event at the proximal Farra section (Agnini et
727 al., 2009). Unlike for the main switch near the J event, however, these changes are transient,
728 so that relative abundances in planktic foraminiferal genera are similar before and after the
729 short-term CIEs.

730

731 **6.5 The impact of dissolution**

732

733 Carbonate dissolution at or near the seafloor presents a potential explanation for observed
734 changes in foraminifera assemblages. Some studies of latest Paleocene to initial Eocene age
735 sediments, including laboratory experiments, suggest a general ordering of dissolution
736 according to genus, with *Acarinina* more resistant than *Morozovella*, and the latter more
737 resistant than subbotinids (Petrizzo et al., 2008; Nguyen et al., 2009, 2011).

738 Carbonate solubility horizons that impact calcite preservation and dissolution on the
739 seafloor (i.e., the CCD and lysocline) also shoaled considerably during various intervals of
740 the early Eocene. The three most prominent hyperthermals that occurred before the main
741 phase of the EECO (PETM, H-1, K/X) were clearly marked by pronounced carbonate
742 dissolution at multiple locations (Zachos et al., 2005; Agnini et al., 2009; Stap et al., 2009;
743 Leon-Rodriguez and Dickens, 2010). A multi-million year interval characterized by a

744 relatively shallow CCD also follows the K/X event (Leon-Rodriguez and Dickens, 2010;
745 Pälike et al., 2012; Slotnick et al., 2015b).

746 Should changes in carbonate preservation primarily drive the observed planktic
747 foraminiferal assemblages, it follows that the dominance of *Acarinina* during the EECO and
748 multiple CIEs could represent a taphonomic artefact. Limited support for this idea comes
749 from our records of fragmentation (*F* index). In general, intervals with relatively high
750 abundances of *Acarinina* (and low $\delta^{13}\text{C}$) correspond to intervals of fairly high fragmentation
751 at Possagno and at Site 1051 (**Figures 6, 8**). This can suggest carbonate dissolution, because
752 this process breaks planktic foraminifera into fragments (Berger, 1967; Hancock and
753 Dickens, 2005).

754 Carbonate dissolution can cause the coarse fraction of bulk sediment to decrease (Berger
755 et al., 1982; Broecker et al., 1999; Hancock and Dickens, 2005). This happens because whole
756 planktic foraminiferal tests typically exceed 63 μm , whereas the resulting fragments often do
757 not exceed 63 μm . The decrease in CF values at the start of the EECO at Possagno (**Figure 6**)
758 may therefore further indicate loss of foraminiferal tests. However, relatively low CF values
759 continue to the top of the section, independent of changes in the *F* index. The CF record
760 parallels the trend of *Morozovella* abundance, and thus might also suggest a loss of larger
761 *Morozovella* rather than carbonate dissolution.

762 The cause of the long-term rise in carbonate dissolution horizons remains perplexing, but
763 may relate to reduced inputs of ^{13}C -depleted carbon into the ocean and atmosphere (Leon-
764 Rodriguez and Dickens, 2010; Komar et al., 2013). Should the *Morozovella* decline and
765 amplified *F* index at the Possagno section mostly represent dissolution, it would imply
766 considerable shoaling of these horizons in the western Tethys, given the inferred deposition
767 in middle to lower bathyal setting. As with open ocean sites (Slotnick et al., 2015b), further
768 studies on the Eocene lysocline and CCD are needed from Tethyan locations. One idea is that

769 remineralization of organic matter intensified within the water column, driven by augmented
770 microbial metabolic rates at elevated temperatures during the EECO; this may have decreased
771 pH at intermediate water column depths (Brown et al., 2004; Olivarez Lyle and Lyle, 2006;
772 O'Connor et al., 2009; John et al., 2013, 2014).

773 Despite evidence for carbonate dissolution, this process probably only amplified primary
774 changes in planktic foraminiferal assemblages. The most critical observation is the similarity
775 of the abundance records for major planktic foraminiferal genera throughout the early Eocene
776 at multiple locations (**Figures 6-8**). This includes the section at Site 1051, where carbonate
777 appears only marginally modified by dissolution according to the F index values (**Figure 7**).
778 Subbotinid abundance also remains fairly high throughout the early Eocene. One explanation
779 is that, in contrast to laboratory experiments (Nguyen et al., 2009, 2011), subbotinids are
780 more resistant to dissolution than *Morozovella* (Boersma and Premoli Silva, 1983; Berggren
781 and Norris, 1997), at least once the EECO has transpired. In the proximal middle-upper
782 Eocene section at Alano, Luciani et al. (2010) documented a dominance of subbotinids within
783 intervals of high fragmentation (F index) and enhanced carbonate dissolution. The degree of
784 dissolution across planktic foraminiferal assemblages may have varied through the early
785 Paleogene, as distinct species within each genus may respond differently (Nguyen et al.,
786 2011). So far, data on dissolution susceptibility for different species and genera are limited
787 for early and early middle Eocene times (Petrizzo et al., 2008).

788 There is also recent work from the Terche section (ca. 28 km NE of Possagno) to
789 consider. This section is located in the same geological setting as Possagno, but across the H-
790 1, H-2 and I1 events, there are very low F index values and marked increases of *Acarinina*
791 coupled with significant decreases of subbotinids (D'Onofrio et al., 2014). Therefore,
792 although the Possagno record may be partially altered by dissolution, an increase of warm
793 water *Acarinina* concomitant with decrease of subbotinids seems to be a robust finding

794 during early Paleogene warming events in Tethyan settings.

795

796 **6.6 A record of mixed water change**

797

798 The switch in abundance between *Morozovella* and *Acarinina* at the start of the EECO
799 supports a hypothesis whereby environmental change resulted in a geographically widespread
800 overturn of planktic foraminiferal genera. During the PETM and K/X events, *Acarinina*
801 became dominant over *Morozovella* in a number of Tethyan successions. This has been
802 interpreted as signifying enhanced eutrophication of surface waters near continental margins
803 (Arenillas et al., 1999; Molina et al., 1999; Ernst et al., 2006; Guasti and Speijer, 2007;
804 Luciani et al., 2007; Agnini et al., 2009), an idea consistent with evidence for elevated (albeit
805 more seasonal) riverine discharge during these hyperthermals (Schmitz and Pujalte, 2007;
806 Giusberti et al., 2007; Schulte et al., 2011; Slotnick et al., 2012; Pujalte et al., 2015).
807 Increased nutrient availability may also have occurred at Possagno during the early part of the
808 EECO, given the relatively high concentration of radiolarians, which may reflect
809 eutrophication (Hallock, 1987).

810 However, the fact that the major switch at the start of the EECO can be found at Sites
811 1051 (western Atlantic) and Site 577 (central Pacific) suggests that local variations in
812 oceanographic conditions, such as riverine discharge, was not the primary causal mechanism.
813 Rather, the switch must be a consequence of globally significant modifications related to the
814 EECO, most likely sustained high temperatures, elevated $p\text{CO}_2$, or both. Given model
815 predictions for our Earth in the coming millennia (IPCC, 2014), indirect effects also could
816 have contributed, especially including increased ocean stratification and decreased pH.

817 An explanation for the shift may lie in habitat differences across planktic foraminiferal
818 genera. Although both *Morozovella* and *Acarinina* likely had photosymbionts, *Morozovella*

819 may have occupied a shallower surface habitat than the latter genus as indicated by minor
820 variations in their stable isotope compositions (Boersma et al., 1987; Pearson et al., 1993;
821 2001).

822 One important consideration to any interpretation is the evolution of new species that
823 progressively appear during the post-EECO interval. In good agreement with studies of lower
824 Paleogene sediment from other low latitude locations (Pearson et al., 2006), thermocline
825 dwellers such as subbotinids and parasubbotinids seem to proliferate at Possagno (Luciani
826 and Giusberti, 2014). These include *Subbotina corpulenta*, *S. eocena*, *S. hagni*, *S. senni*, *S.*
827 *yeguanesis*, *Parasubbotina griffinae*, and *P. pseudowilsoni*. The appearance of the radially-
828 chambered *Parasubbotina eoclava*, considered to be the precursor of the truly clavate
829 chambered *Clavigerinella* (Coxall et al., 2003; Pearson and Coxall, 2014), also occurs at 19.8
830 m, and in the core of the EECO (Luciani and Giusberti, 2014). *Clavigerinella* is the ancestor
831 of the genus *Hantkenina* that successfully inhabited the sub-surface and surface waters during
832 the middle through late Eocene (Coxall et al., 2000).

833 A second consideration is the change in planktic foraminiferal assemblages during the
834 Middle Eocene Climate Optimum (MECO), another interval of anomalous and prolonged
835 warmth ca. 40 Ma (Bohaty et al. 2009). At Alano (**Figure 11**) and other locations (Luciani et
836 al., 2010; Edgar et al., 2012), the MECO involved the reduction in the abundance and test
837 size of large *Acarinina* and *Morozovelloides*. This has been attributed to “bleaching” and the
838 loss of photosymbionts resulting from global warming (Edgar et al., 2012), although related
839 factors, such as a decrease in pH, a decrease in nutrient availability, or changes in salinity,
840 may have been involved (Douglas, 2003; Wade et al., 2008). The symbiotic relationship with
841 algae is considered an important strategy adopted by muricate planktic foraminifera during
842 the early Paleogene (Norris, 1996; Quillévéré et al., 2001). Considering the importance of
843 this relationship in extant species (Bé, 1982; Bé et al., 1982; Hemleben et al., 1989), the loss

844 of photosymbionts may represent a crucial mechanism to explain the relatively rapid decline
845 foraminifera utilizing this strategy, including *Morozovella* at the start of the EECO.

846 Available data suggest that the protracted conditions of extreme warmth and high $p\text{CO}_2$
847 during the EECO were the key elements inducing a permanent impact on planktic
848 foraminiferal evolution, and the decline of *Morozovella*. Even the PETM, the most
849 pronounced hyperthermal, did not adversely affect the genus *Morozovella* permanently.
850 While “excursion taxa” appeared, *Morozovella* seem to have increased in abundance in open
851 ocean settings (Kelly et al., 1996; 1998, 2002; Lu and Keller, 1995; Petrizzo, 2007); only in
852 some continental margin settings did a transient decrease in abundance occur (Luciani et al.,
853 2007).

854

855 **6.7 Post-EECO changes at Possagno**

856

857 Several small CIEs appear in the $\delta^{13}\text{C}$ record at Possagno during polarity chrons C22n, C21r,
858 and C21n. Some of these post-EECO excursions coincide with planktic foraminiferal
859 assemblage changes similar to those recorded in lower strata. Specifically, there are marked
860 increases of *Acarinina* (**Figure 6**). These “post-EECO” CIEs are concomitant with $\delta^{18}\text{O}$
861 excursions and coupled to distinct modifications in the planktic foraminiferal assemblages
862 comparable to those recorded across known hyperthermals in Tethyan settings (Luciani et al.,
863 2007; Agnini et al., 2009; D’Onofrio et al., 2014). Additional hyperthermals, although of less
864 intensity and magnitude, may extend through the entirety of the early and middle Eocene, as
865 suggested previously (Sexton et al., 2006; 2011; Kirtland-Turner et al., 2014). Whether these
866 imply different forcing and feedback mechanisms compared to the PETM remains an open
867 discussion.

868

869 **7 Summary and conclusions**

870 The symbiont-bearing planktic foraminiferal genera *Morozovella* and *Acarinina* were
871 among the most important calcifiers of the early Paleogene tropical and subtropical oceans.
872 However, a remarkable and permanent switch in the relative abundance of these genera
873 happened in the early Eocene, an evolutionary change accompanied by species reduction of
874 *Morozovella* and species diversification of *Acarinina*. We show here that this switch probably
875 coincided with a carbon isotope excursion (CIE) presently coined J. Although the Early
876 Eocene Climatic Optimum (EECO), a multi-million year interval of extreme Earth surface
877 warmth, lacks an accepted definition, we propose that the EECO is best defined as the
878 duration of time between the J event and the base of *D. subloboensis* (about 53 Ma to 49 Ma
879 on the 2012 GTS).

880 Our conclusion that the planktic foraminiferal switch coincides with the start of the
881 EECO derives from the generation of new records and collation of old records concerning
882 bulk sediment stable isotopes and planktic foraminiferal abundances at three sections. These
883 sections span a wide longitude range of the low latitude Paleogene world: the Possagno
884 section from the western Tethys, DSDP Site 577 from the central Pacific Ocean, and ODP
885 Site 1051 from the western Atlantic Ocean. Importantly, these locations have robust
886 calcareous nannofossils and polarity chron age markers, although the stratigraphy required
887 amendment at Sites 577 and 1051.

888 An overarching problem is that global carbon cycling was probably very dynamic during
889 the EECO. The interval appears to have been characterized not only by numerous CIEs, but
890 also a major switch in the timing and magnitude of these perturbations. Furthermore, there
891 was a rapid shoaling of carbonate dissolution horizons in the middle of the EECO. A key
892 finding of our study is that the major switch in planktic foraminiferal assemblages happened
893 at the start of the EECO. Significant, though ephemeral, modifications in planktic

894 foraminiferal assemblages coincide with numerous short-term CIEs, before, during and after
895 the EECO. Often, there are marked increases in the relative abundance of *Acarinina*, similar
896 to what happened permanently across the start of the EECO.

897 Although we show for the first time that the critical turnover in planktic foraminifera
898 clearly coincided with the start of the EECO, the exact cause for the switch (aka the decline
899 of *Morozovella*) remains elusive. Possible causes are multiple, and may include temperature
900 effects on photosymbiont-bearing planktic foraminifera, changes in ocean chemistry, or even
901 interaction with other microplankton groups such as radiolarians, diatoms or dinoflagellates
902 that represented possible competitors in the use of symbionts or as symbiont providers. For
903 some reason, a critical threshold was surpassed at the start the EECO, and this induced an
904 unfavourable habitat for continued *Morozovella* diversification and proliferation but a
905 favourable habitat for the genus *Acarinina*.

906

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924

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926

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1504

1505 **Figure Captions**

1506

1507 **Figure 1.** Evolution of climate, carbon cycling, and planktic foraminifera across the middle
1508 Paleogene on the GPTS 2012 time scale. Left side shows polarity chrons, and smoothed
1509 oxygen and carbon isotope records of benthic foraminifera, slightly modified from
1510 Vandenberghe et al. (2012). Original oxygen and carbon isotope values come from
1511 compilations by Zachos et al. (2008) and Cramer et al. (2009). Middle of the figure indicates
1512 planktic foraminiferal biozones by Wade et al. (2011) with three modifications. The lower
1513 boundary for Zone E7a is now based on the first occurrence of *Astrorotalia palmerae* due to
1514 diachroneity in the first appearance of the previously selected marker *Acarinina*
1515 *cuneicamerata* (Luciani and Giusberti, 2014). The base of Zone E5, identified by the first
1516 appearance of *Morozovella aragonensis*, occurs within the middle of C24n instead of lower
1517 C23r (see text). A question marks the top of *Morozovella subbotinae* because there is
1518 diachroneity for this occurrence (see text). Right side shows a partial view of *Morozovella* and
1519 *Acarinina* evolution as envisioned by Pearson et al. (2006) and Aze et al. (2011). It does not

1520 include several “root taxa” that disappear in the earliest Eocene (e.g., *M. velascoensis*) or
1521 “excursion taxa” that appear during the Paleocene-Eocene Thermal Maximum (PETM) (e.g.,
1522 *M. allisonensis*). Superimposed on these records are key intervals of climate change,
1523 including the Early Eocene Climatic Optimum (EECO), the Middle Eocene Climatic
1524 Optimum (MECO) and the three well documented early Eocene hyperthermal events. The
1525 extent of the EECO is not precise, because of stratigraphic issues (see text). Red and blue
1526 triangles= top and base of the *Morozovella* and *Acarinina* zonal markers.

1527

1528 **Figure 2.** Approximate locations of the three sites discussed in this work during the early
1529 Eocene. Also shown is Site 1258, which has a bulk carbonate $\delta^{13}\text{C}$ record spanning the
1530 EECO. Base map is from <http://www.odsn.de/services/paleomap.html> with paleolatitudes
1531 modified for Sites 577, 1051 and 1258 according to www.paleolatitude.org model version 1.2
1532 (Van Hinsbergen et al., 2015). Possagno paleolatitude is referred to the
1533 http://www.odsn.de/odsn/services/paleomap/adv_map.html model since it is not yet
1534 available at <http://www.odsn.de/services/paleomap.html>.

1535

1536 **Figure 3.** The Possagno section. Upper panel: geological map (modified from Braga, 1970).
1537 1 = Quaternary deposits; 2, 3 = Calcarenite di Castelcucco (Miocene); 4 = glauconitic
1538 arenites (Miocene); 5 = siltstones and conglomerates (upper Oligocene-lower Miocene); 6 =
1539 Upper Marna di Possagno (upper Eocene); 7 = Formazione di Pradelgiglio (upper Eocene); 8
1540 = Marna di Possagno (upper Eocene); 9 = Scaglia Cinerea (middle-upper Eocene); 10 =
1541 Scaglia Rossa (upper Cretaceous-lower Eocene); 11 = faults; 12 = traces of stratigraphic
1542 sections originally studied by Bolli (1975); red circle = the Carcoselle quarry. Lower panel:
1543 the exposed quarry face during Summer 2002 (Photo by Luca Giusberti).

1544

1545 **Figure 4.** Lithology, stratigraphy, and bulk sediment stable-isotope composition of the
1546 Possagno section aligned according to depth. Lithologic key: 1 = limestone; 2 = marly
1547 limestone and calcareous marl; 3 = cyclical marl-limestone alternations, 4 = marl; 5= Clay
1548 Marl unit (CMU). Planktic foraminiferal biozones follow those of Wade et al. (2011), as
1549 modified by Luciani and Giusberti (2014). Magnetostratigraphy and key calcareous
1550 nannofossil events come from Agnini et al. (2006); NP-zonation is from Martini (1971).
1551 Nannofossil events are shown as red triangles (tops), blue triangles (bases), and purple
1552 diamonds (evolutionary crossovers); *S. rad.* = *Sphenolithus radians*; T.c./T.o. = *Tribrachiatus*
1553 *contortus*/*Tribrachiatus orthostylus*; *D. lod.* = *Discoaster lodoensis*; Tow. = *Toweius*; *T. orth.*
1554 = *Tribrachiatus orthostylus*; *D. sublod.* = *Discoaster sublodoensis*. Stable isotope records
1555 determined in this study. Established early Eocene “events” are superimposed in light red;
1556 suggested carbon isotope excursions (CIEs) within the EECO are shown with numbers.

1557

1558 **Figure 5.** Cores, stratigraphy, and bulk sediment stable isotope composition for the early
1559 Eocene interval at Deep-Sea Drilling Project (DSDP) Site 577 aligned according to
1560 composite depth (Dickens and Backman, 2013). Note the increased length for the gap
1561 between Core 577*-8H and Core 577*-9H (see text). The Wade et al. (2011) E-zonation,
1562 partly modified by Luciani and Giusberti (2014), has been applied to Site 577 given
1563 assemblages presented by Lu (1995) and Lu and Keller (1995). Note that: (a) the base of
1564 Zone E3 (top of *Morozovella velascoensis*) lies within a core gap; (b) the E4/E5 zonal
1565 boundary (base of *M. aragonensis*) occurs within C24n, in agreement with Luciani and
1566 Giusberti (2014); (c) the E5/E6 zonal boundary is problematic because the top of *M.*
1567 *subbotinae* occurs in middle C24n, much earlier than the presumed disappearance in the
1568 upper part of C23n (Wade et al., 2011). We have therefore positioned the E5/E6 boundary at
1569 the lowest occurrence of *Acarinina aspensis*, according to the original definition of Zone E5

1570 (Berggren and Pearson, 2005); (d) we cannot differentiate between Zone E6 and Zone E7a
1571 due to the absence of *Astrorotalia palmerae* and to the diachronous appearance of *A.*
1572 *cuneicamerata* (Luciani and Giusberti, 2014). Magnetostratigraphy and key calcareous
1573 nannofossil events are those summarized by Dickens and Backman (2013). For the latter and
1574 beyond that noted for **Figure 4**: *F. spp.* = *Fasciculithus spp.*; *D. dia.* = *Discoaster diastypus*.
1575 Stable isotope records: black - Cramer et al. (2003), red and blue - this study. Early Eocene
1576 “events” are the same as those in **Figure 4**.

1577

1578

1579 **Figure 6.** The Possagno section and its $\delta^{13}\text{C}$ record (**Figure 4**) with measured relative
1580 abundances of primary planktic foraminiferal genera, fragmentation index (*F* index) and
1581 coarse fraction. The subbotinid abundance includes both *Subbotina* and *Parasubbotina*
1582 genera. Note that a significant increase in *Acarinina* abundance marks the EECO and several
1583 carbon isotope excursions (CIEs). Note also the major decline in abundance of *Morozovella*
1584 at the start of the EECO. Filled yellow hexagons show occurrences of abundant radiolarians.
1585 Lithological symbols and early Eocene “events” are the same as those in **Figure 4**.

1586

1587 **Figure 7.** The early Eocene succession at DSDP Site 577 and its $\delta^{13}\text{C}$ record (**Figure 5**) with
1588 relative abundances of primary planktic foraminiferal genera (Lu, 1995; Lu and Keller,
1589 1995). Note the major switch in *Morozovella* and *Acarinina* abundances approximately
1590 coincides with the J-event, the top of polarity chron C24n, and the start of the EECO. Early
1591 Eocene “events” are the same as those in **Figure 4**.

1592

1593 **Figure 8.** Stratigraphy, bulk sediment $\delta^{13}\text{C}$ composition, relative abundances of primary
1594 planktic foraminiferal genera, and fragmentation index (*F* index) for the early Eocene interval

1595 at ODP Site 1051. Planktic foraminiferal biozones follow those of Wade et al. (2011), as
1596 modified by Luciani and Giusberti (2014; see **Figure 1** caption). Magnetostratigraphy and
1597 positions of key calcareous nannofossil events come from Ogg and Bardot (2001) and Mita
1598 (2001), but with an important modification to polarity chron labelling (see text and Cramer et
1599 al., 2003). Calcareous nannofossil horizons are the same as in previous figures. Foraminiferal
1600 information comes from this study; subbotinids include both *Subbotina* and *Parasubbotina*.
1601 Early Eocene “events” are the same as those in **Figure 4**.

1602

1603 **Figure 9.** Carbon isotope and paleomagnetic records across the early Eocene for the
1604 Possagno section, DSDP Site 577, and ODP Site 1258 (Kirtland-Turner et al., 2014). This
1605 highlights the overall framework of carbon cycling in the early Eocene, but also stratigraphic
1606 problems across the EECO at each of the three sites. At Possagno, the coarse resolution of
1607 $\delta^{13}\text{C}$ records and the condensed interval makes correlations difficult. At ODP Site 1258 the
1608 prominent K/X event seems missing. At DSDP Site 577, the entire record is compressed in
1609 the depth domain. Nonetheless, a major shift in frequency and amplitude of carbon isotope
1610 excursions (CIEs) appears to have happened during the EECO. CIEs that suggestively
1611 correlate within the EECO are shown with numbers.

1612

1613 **Figure 10.** Records of magnetostratigraphy, bulk sediment $\delta^{13}\text{C}$, CaCO_3 content, *F* index and
1614 abundance patterns for primary planktic foraminiferal taxa at the Farra section, which crops
1615 out 50 km NE of Possagno. All data are from Agnini et al. (2009). Note that the switch in
1616 abundance between *Morozovella* and *Acarinina* occurs close the J event.

1617

1618 **Figure 11.** Records of *Morozovella* and large *Acarinina* (>200 micron) in the western
1619 Tethyan setting from the Possagno section (this paper) and the Alano section (Luciani et al.,

1620 2010), plotted with generalized $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ curves for benthic foraminiferal on the
1621 GTS2012 time scale (as summarized by Vandenberghe et al., 2012; slightly modified). These
1622 records suggest that the long-lasting EECO and MECO intervals of anomalous warmth mark
1623 two main steps in the decline of *Morozovella*, *Morozovelloides* and *Acarinina*. The planktic
1624 foraminiferal biozones follow those presented by Wade et al. (2011), as partly modified by
1625 Luciani and Giusberti (2014).

1626

1627 **Supplementary material**

1628

1629 **Table S1.** Carbon and oxygen isotopes from the Possagno section.

1630

1631 **Table S2.** Carbon and oxygen isotopes from DSDP Site 577.

1632

1633 **Table S3.** Foraminiferal abundances, fragmentation index (%) and coarse fraction (%) from
1634 the Possagno section.

1635

1636 **Table S4.** Foraminiferal abundances from DSDP Site 577.

1637

1638 **Table S5.** Foraminiferal abundances from ODP Site 1051.

1639

1640 **Figure S1.** The Possagno $\delta^{13}\text{C}$ data and relative abundance of minor planktic foraminiferal
1641 genera and selected species plotted against lithology and fragmentation index (*F* index) data.
1642 Magnetostratigraphy is from Agnini et al. (2006). The planktic foraminiferal biozonal scheme
1643 is from Wade et al. (2011), as modified by Luciani and Giusberti (2014). Various symbols are
1644 the same as in **Figure 4**.

1645

1646 **Appendix A: Taxonomic list of planktic foraminiferal species cited in text and figures**

1647

1648 *Globanomalina australiformis* (Jenkins, 1965)

1649 *Morozovella aequa* (Cushman and Renz, 1942)

1650 *Morozovella gracilis* (Bolli, 1957)

1651 *Morozovella lensiformis* (Subbotina, 1953),

1652 *Morozovella marginodentata* (Subbotina, 1953)

1653 *Morozovella subbotinae* (Morozova, 1939)

1654 *Parasubbotina eoelava* Coxall, Huber and Pearson, 2003

1655 *Parasubbotina griffinae* (Blow, 1979)

1656 *Parasubbotina pseudowilsoni* Olsson and Pearson, 2006

1657 *Subbotina corpulenta* (Subbotina, 1953)

1658 *Subbotina eocena* (Gümbel, 1868)

1659 *Subbotina hagni* (Gohrbandt, 1967)

1660 *Subbotina senni* (Beckmann, 1953)

1661 *Subbotina yeguanesis* (Weinzierl and Applin, 1929)

1662 *Planoglobanomalina pseudoalgeriana* Olsson & Hemleben, 2006

1663

1664 **Appendix B: Taxonomic list of calcareous nannofossil taxa cited in text and figures**

1665

1666 *Globanomalina australiformis* (Jenkins, 1965)

1667 *Morozovella aequa* (Cushman and Renz, 1942)

1668 *Morozovella gracilis* (Bolli, 1957)

1669 *Morozovella lensiformis* (Subbotina, 1953),

- 1670 *Morozovella marginodentata* (Subbotina, 1953)
- 1671 *Morozovella subbotinae* (Morozova, 1939)
- 1672 *Parasubbotina eoelava* Coxall, Huber and Pearson, 2003
- 1673 *Parasubbotina griffinae* (Blow, 1979)
- 1674 *Parasubbotina pseudowilsoni* Olsson and Pearson, 2006
- 1675 *Subbotina corpulenta* (Subbotina, 1953)
- 1676 *Subbotina eocena* (Gümbel, 1868)
- 1677 *Subbotina hagni* (Gohrbandt, 1967)
- 1678 *Subbotina senni* (Beckmann, 1953)
- 1679 *Subbotina yeguanesis* (Weinzierl and Applin, 1929)
- 1680 *Planoglobanomalina pseudoalgeriana* Olsson & Hemleben, 2006
- 1681
- 1682 **Appendix B: Taxonomic list of calcareous nannofossil taxa cited in text and figures**
- 1683
- 1684 *Discoaster diastypus* Bramlette and Sullivan, 1961
- 1685 *Discoaster lodoensis* Bramlette and Sullivan, 1961
- 1686 *Discoaster sublodoensis* Bramlette and Sullivan, 1961
- 1687 *Fasciculithus* Bramlette and Sullivan, 1961
- 1688 *Fasciculithus tympaniformis* Hay and Mohler in Hay et al., 1967
- 1689 *Sphenolithus radians* Deflandre in Grassé, 1952
- 1690 *Toweius* Hay and Mohler, 1967
- 1691 *Tribrachiatus contortus* (Stradner, 1958) Bukry, 1972
- 1692 *Tribrachiatus orthostylus* (Bramlette and Riedel, 1954) Shamrai, 1963

Figure 1

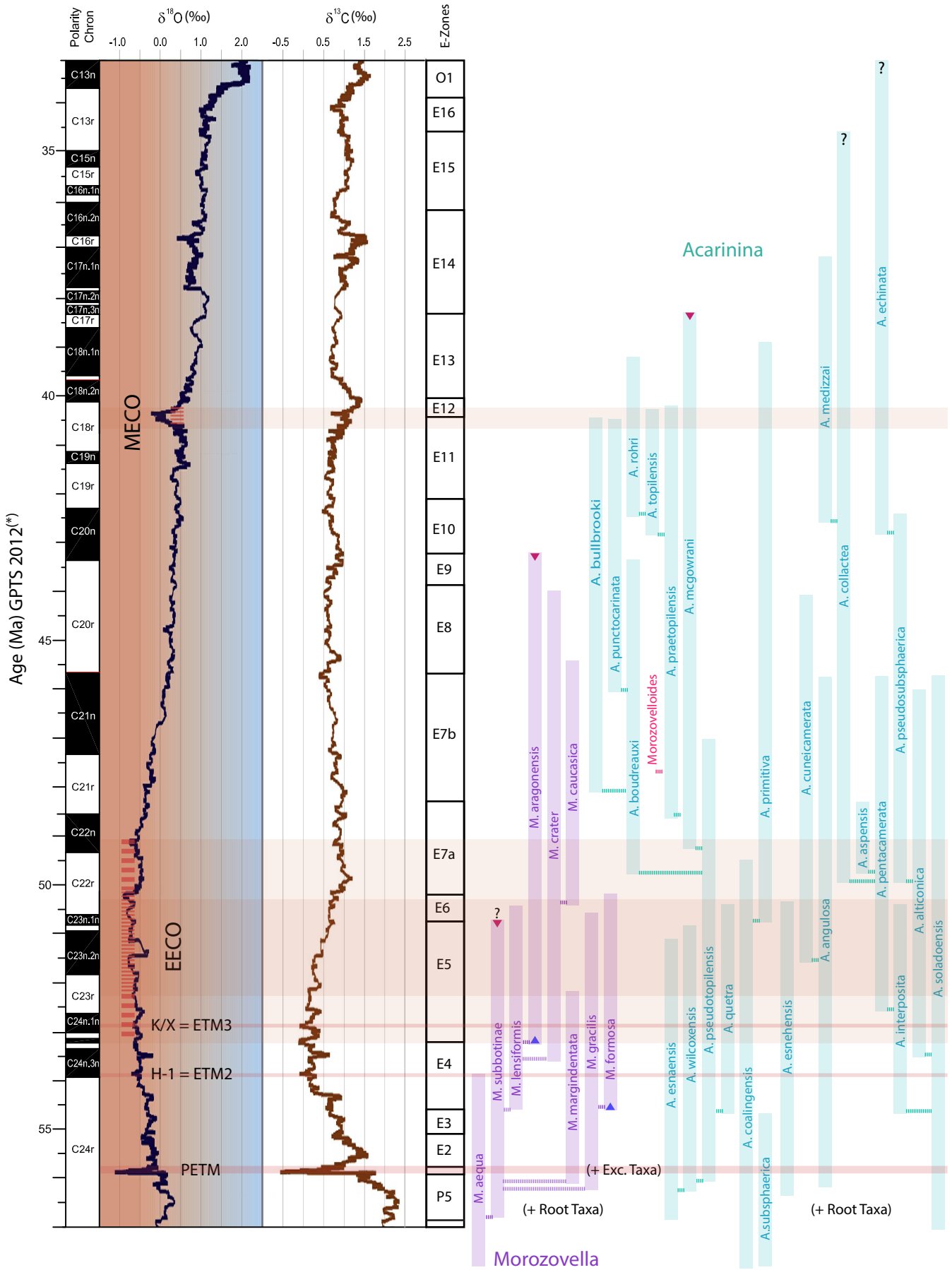
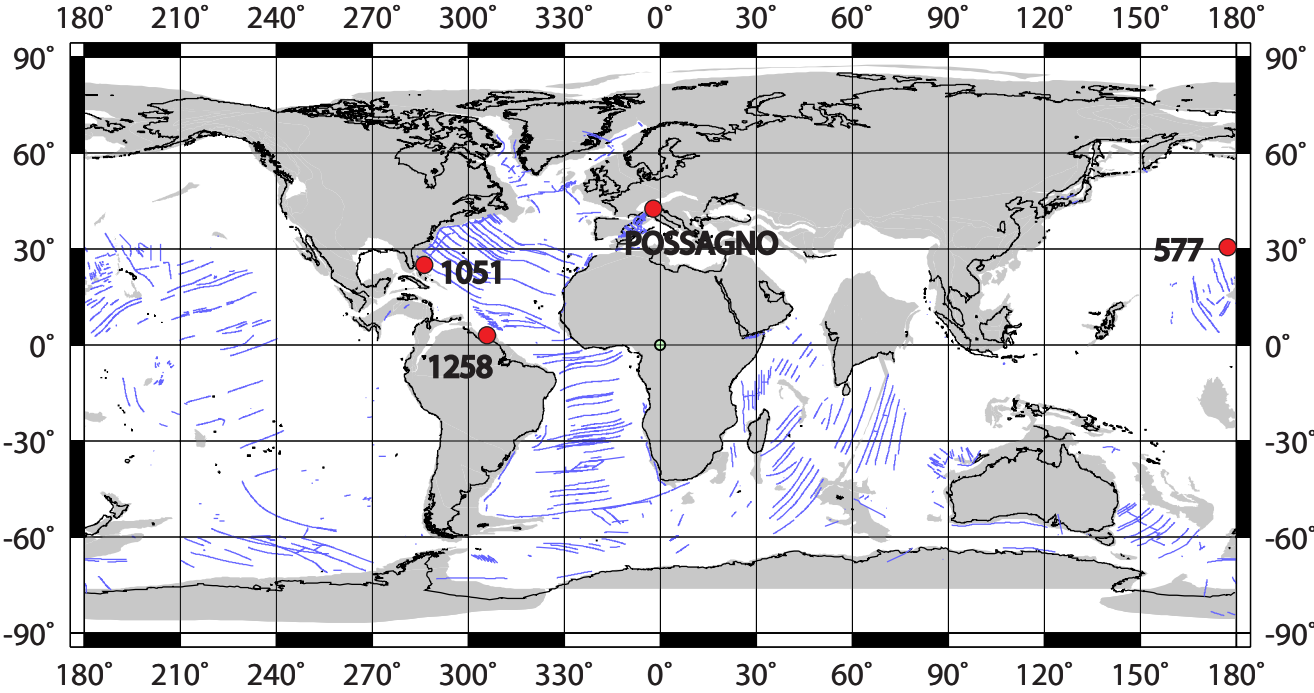


Figure 2



50 Ma Reconstruction

Figure 3

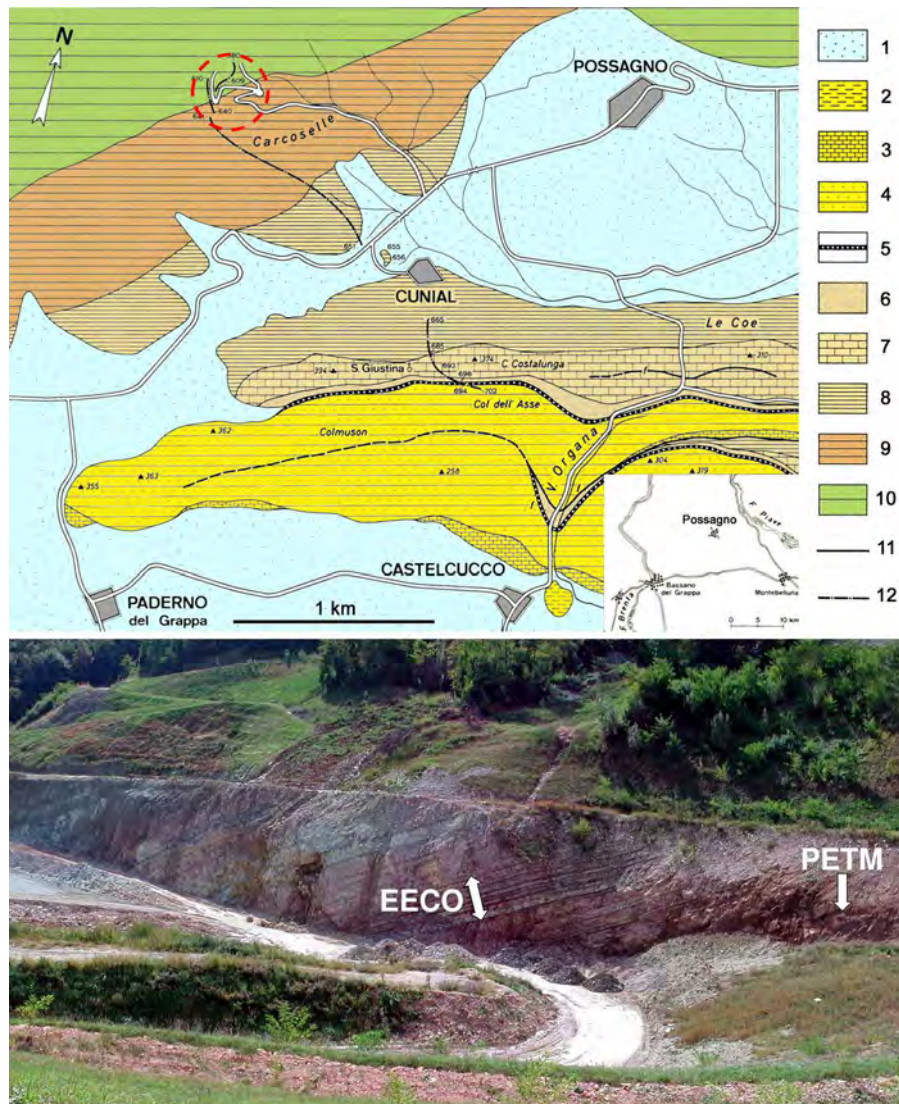


Figure 4

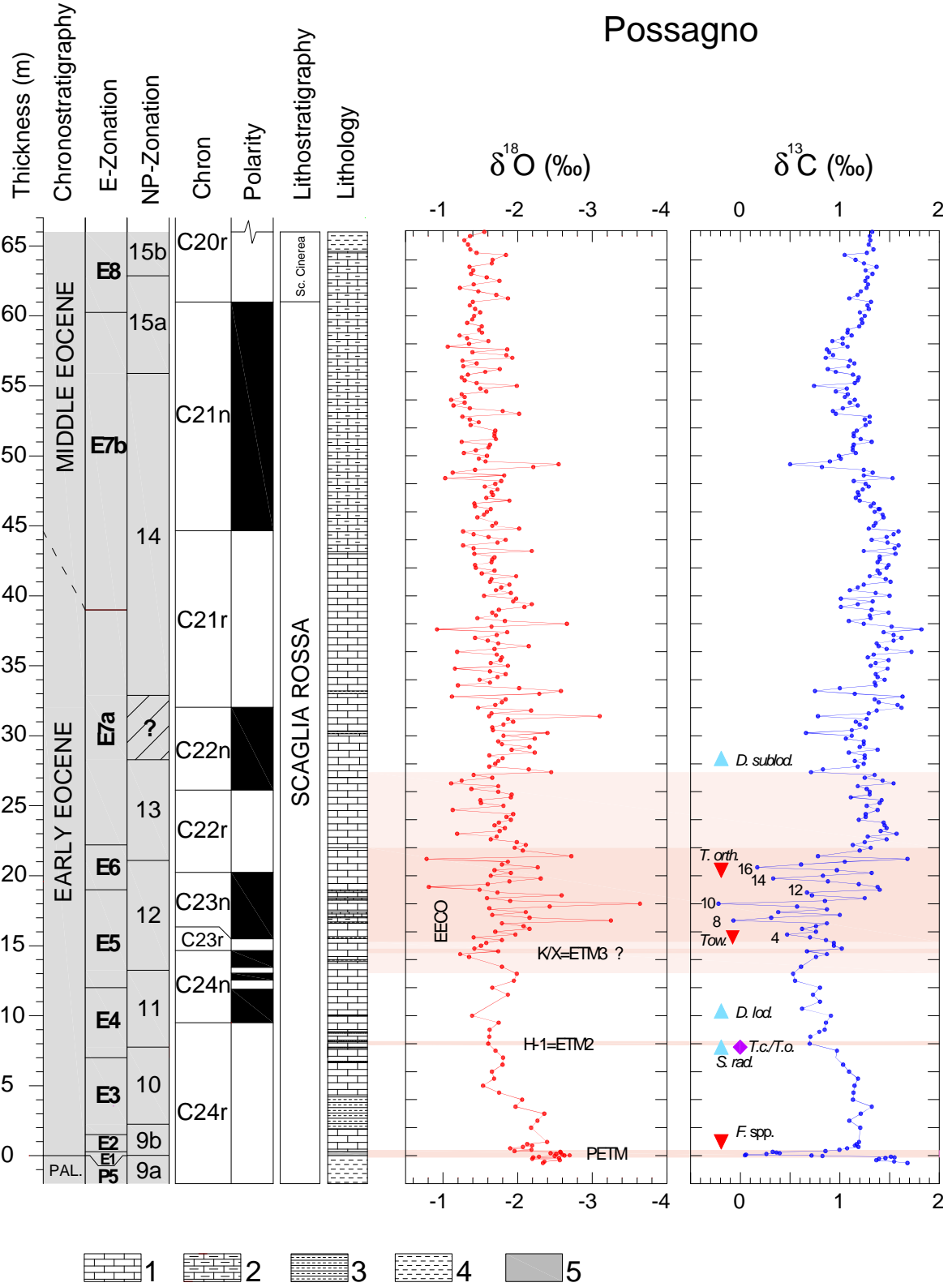


Figure 5

DSDP Site 577

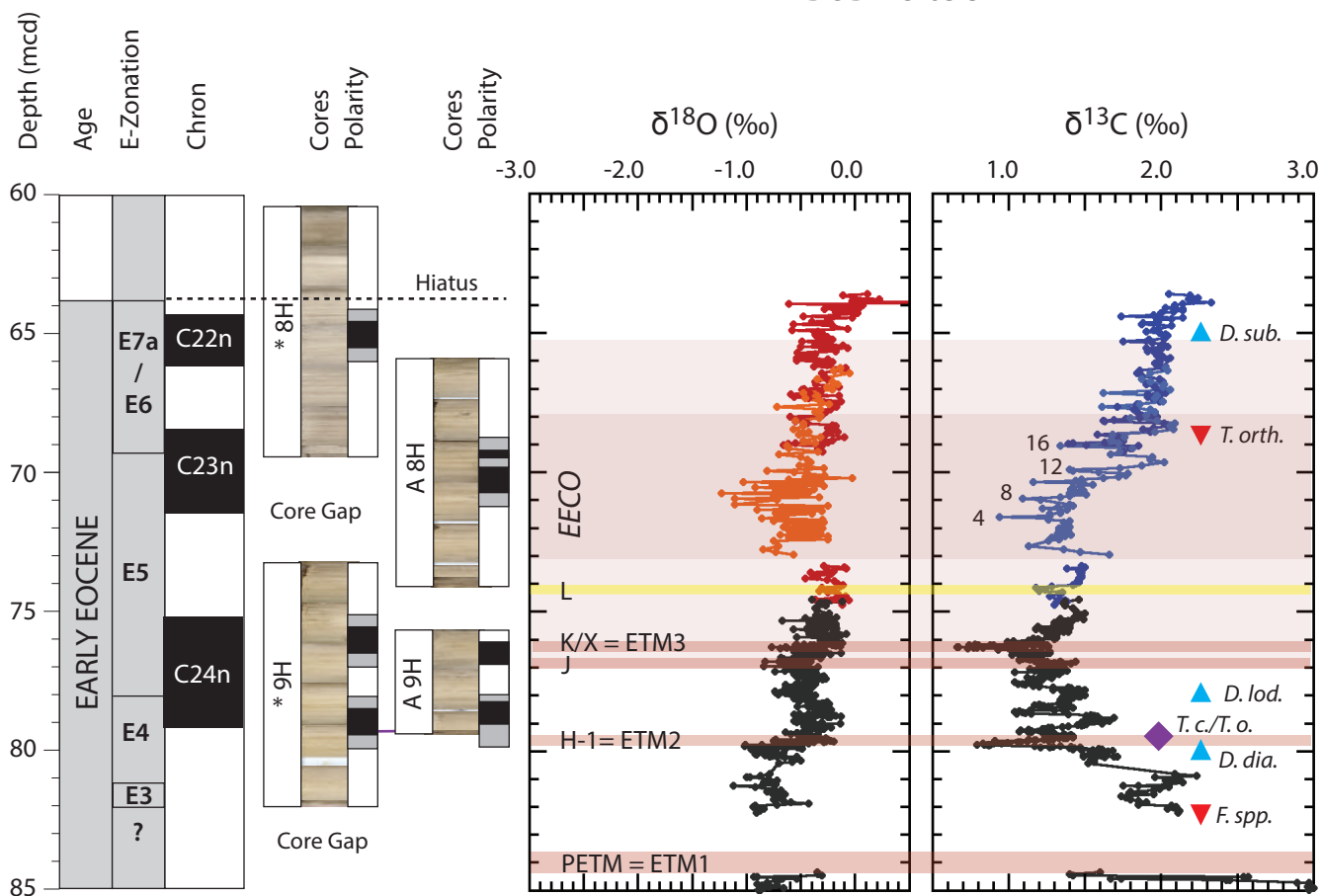


Figure 6

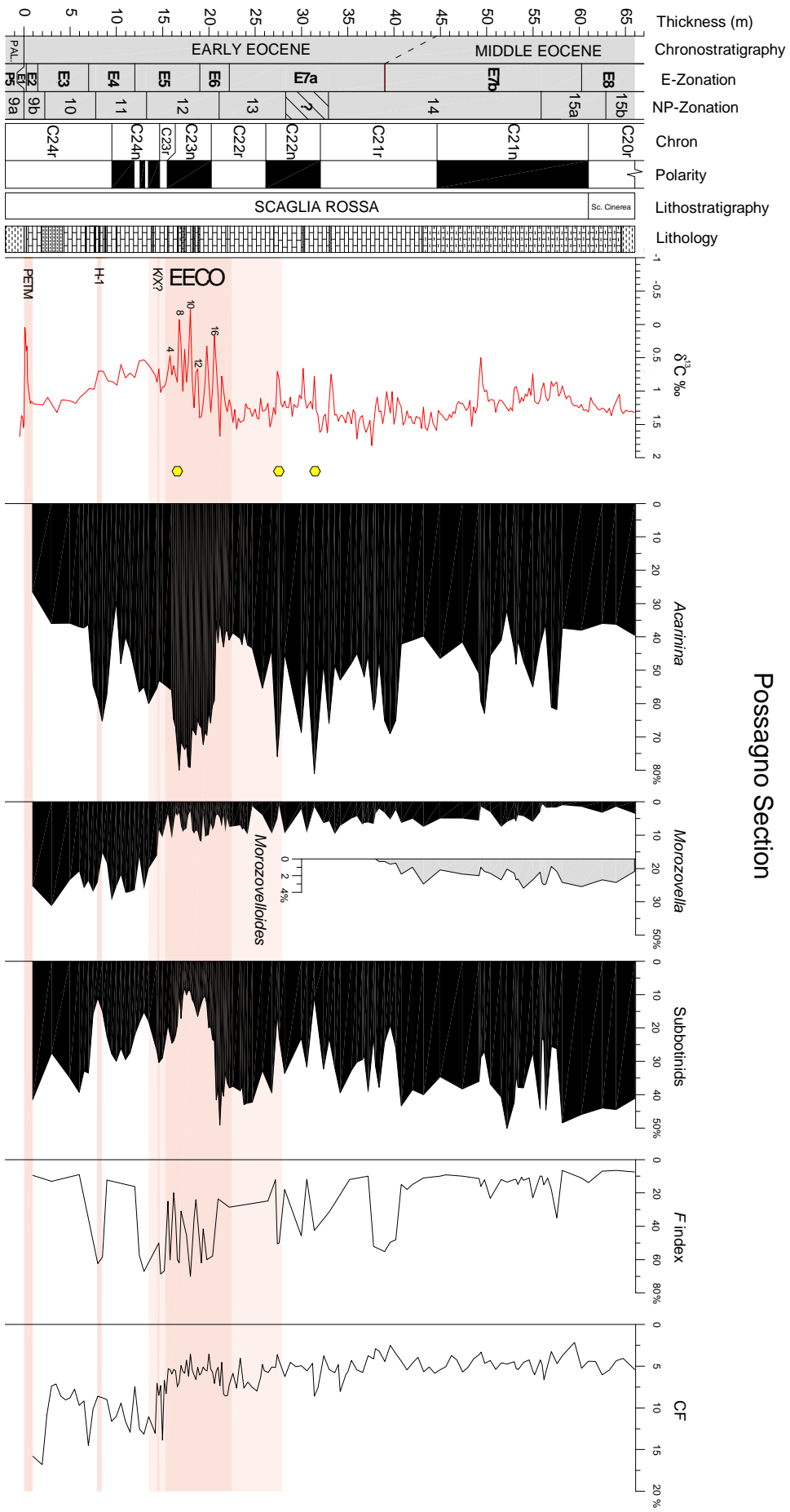


Figure 7

DSDP Site 577

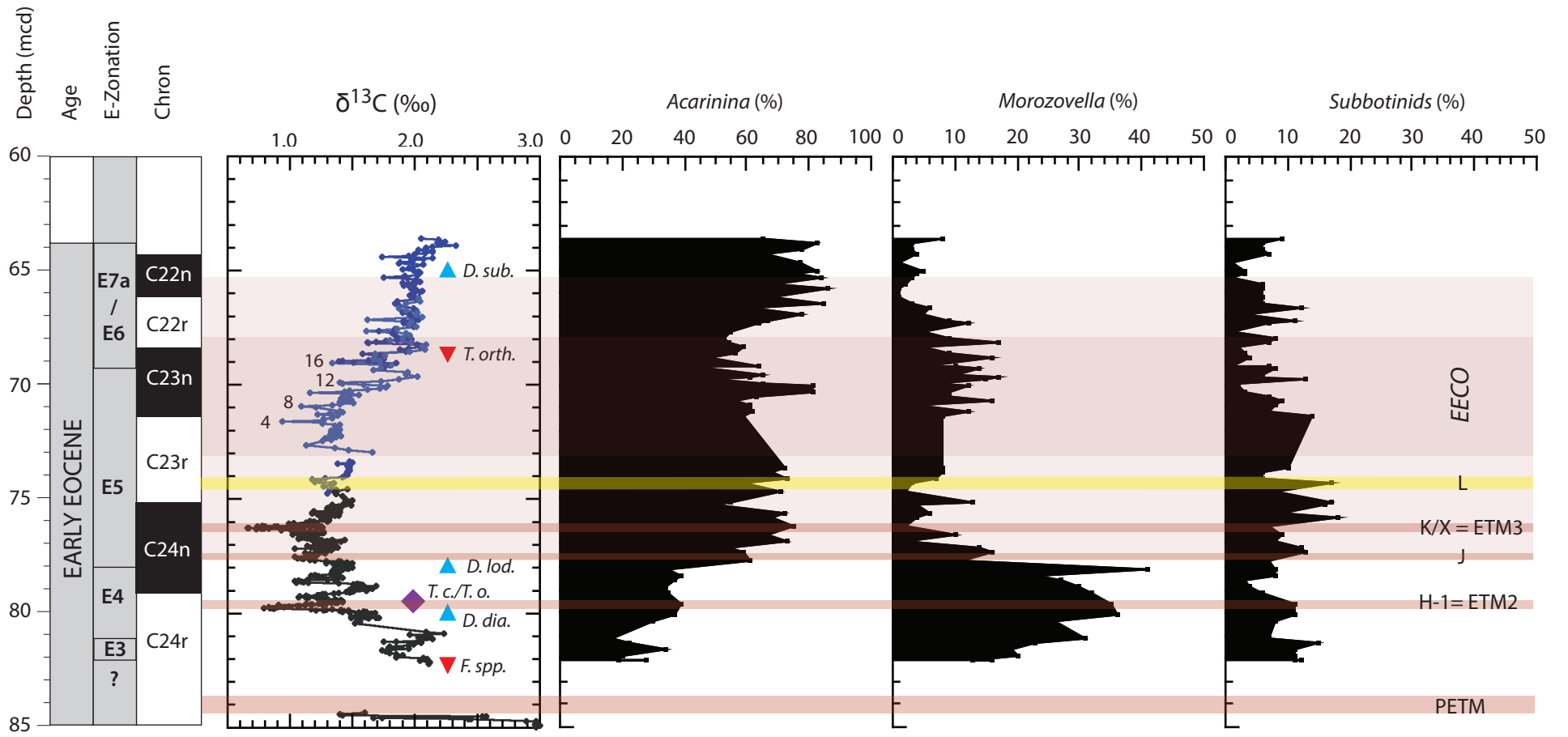
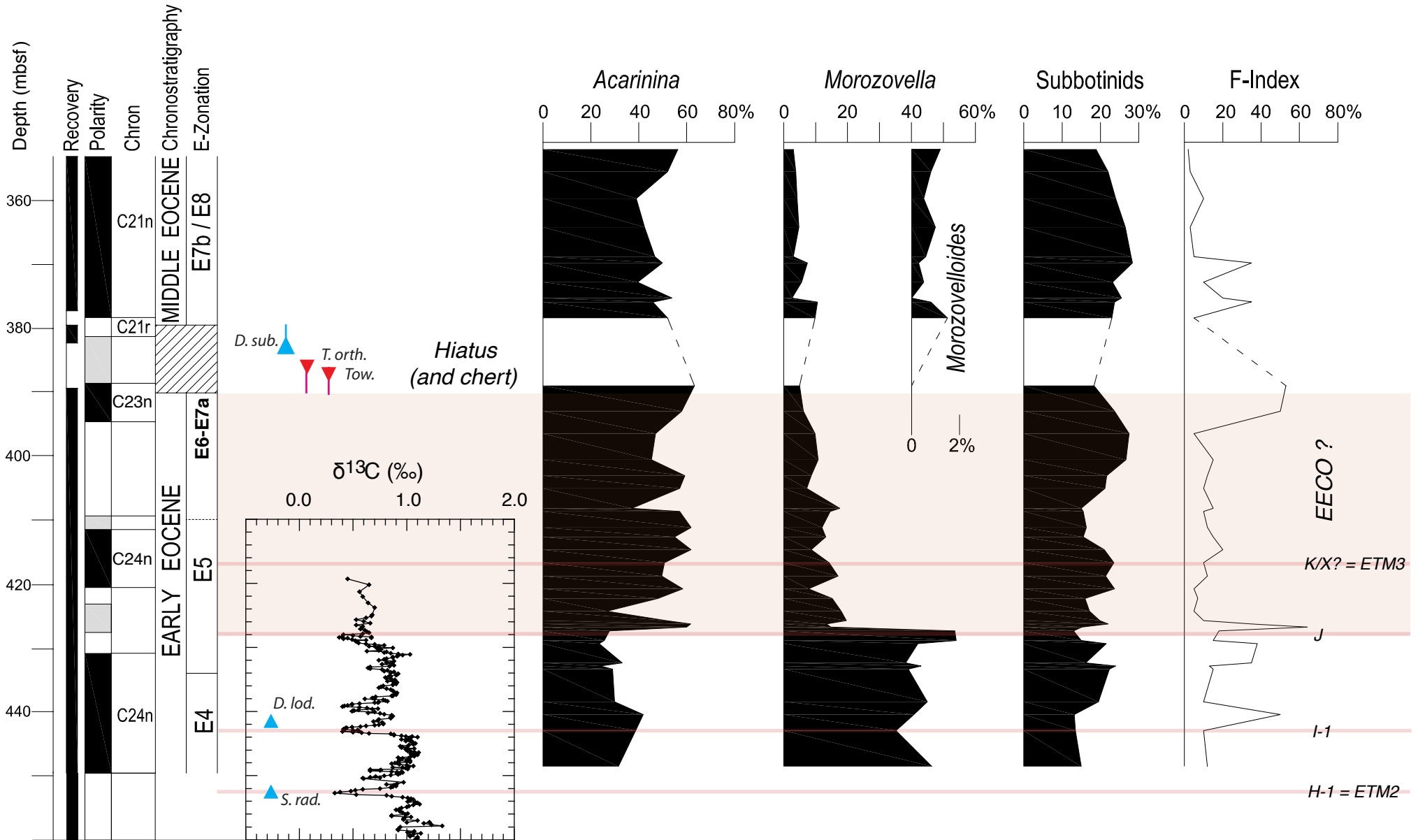
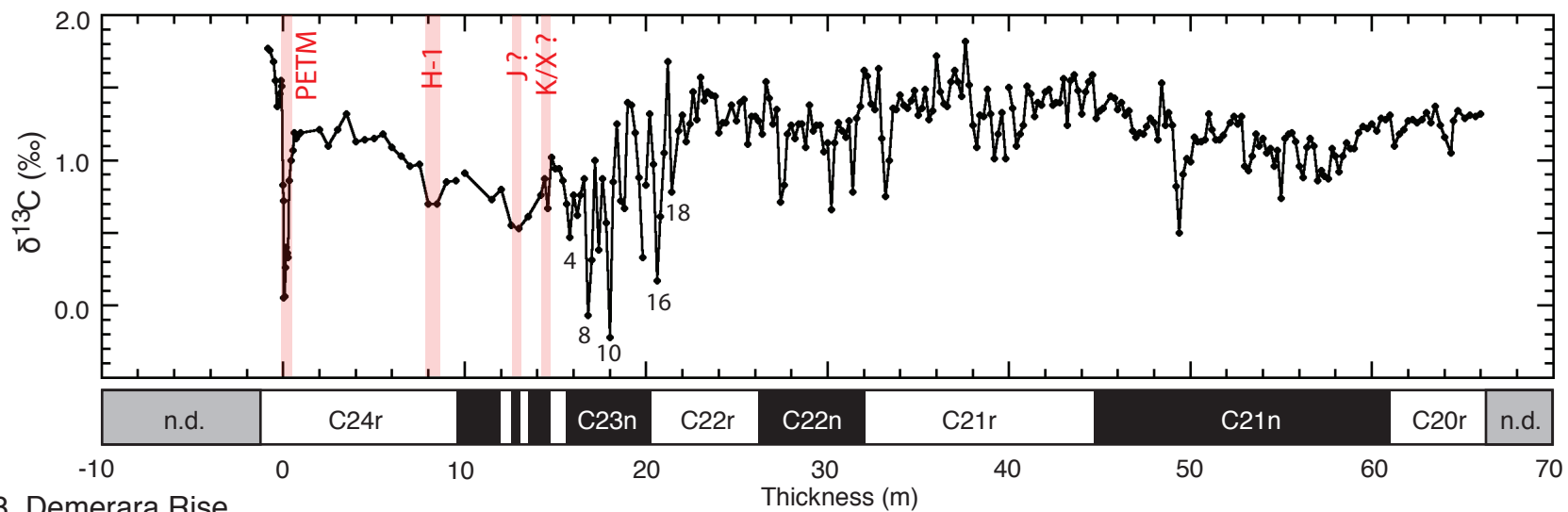


Figure 8

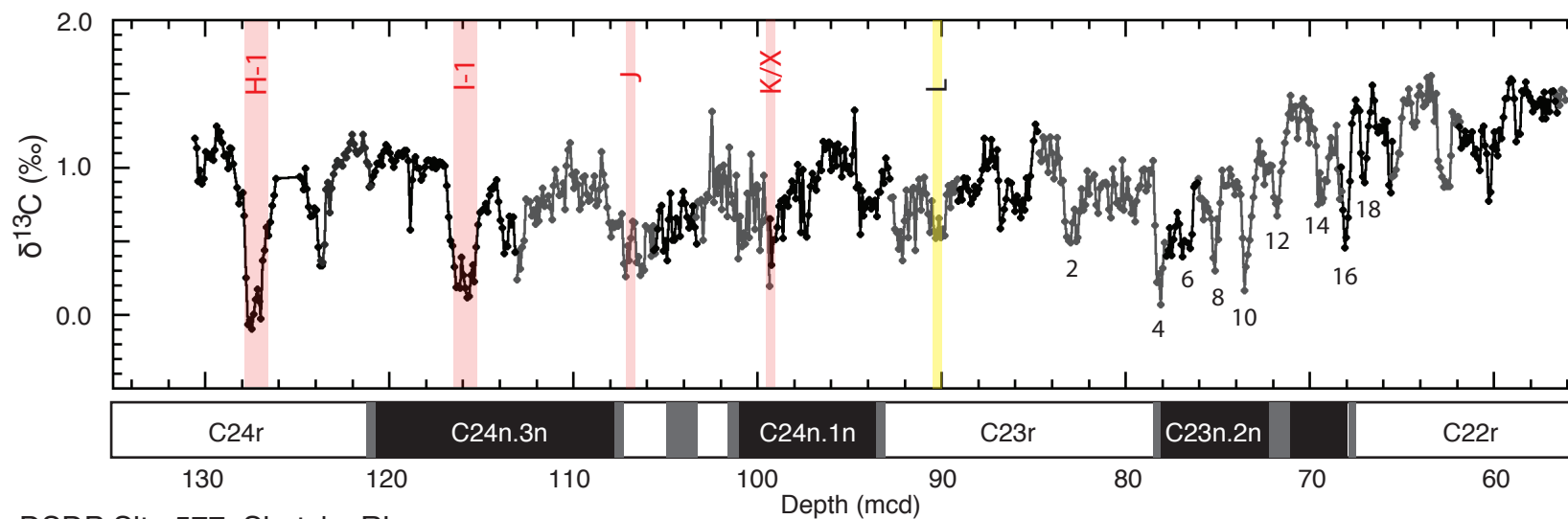
ODP Site 1051



A. Possagno, northeast Italy



B. ODP Site 1258, Demerara Rise



C. DSDP Site 577, Shatsky Rise

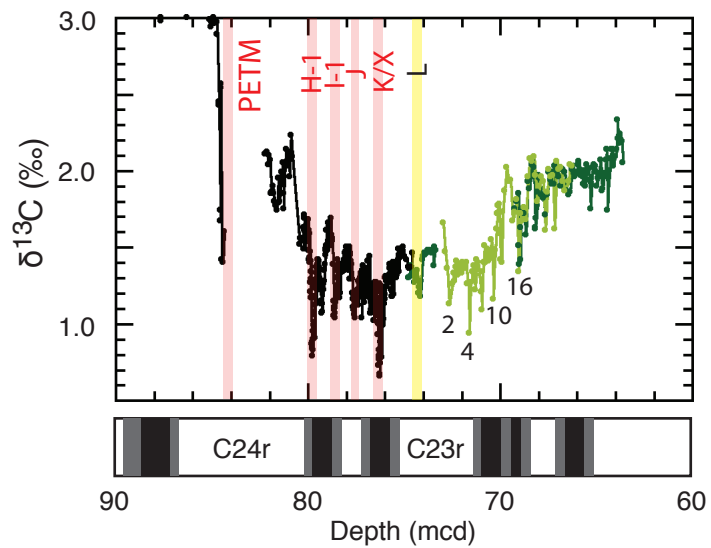


Figure 9

Figure 10

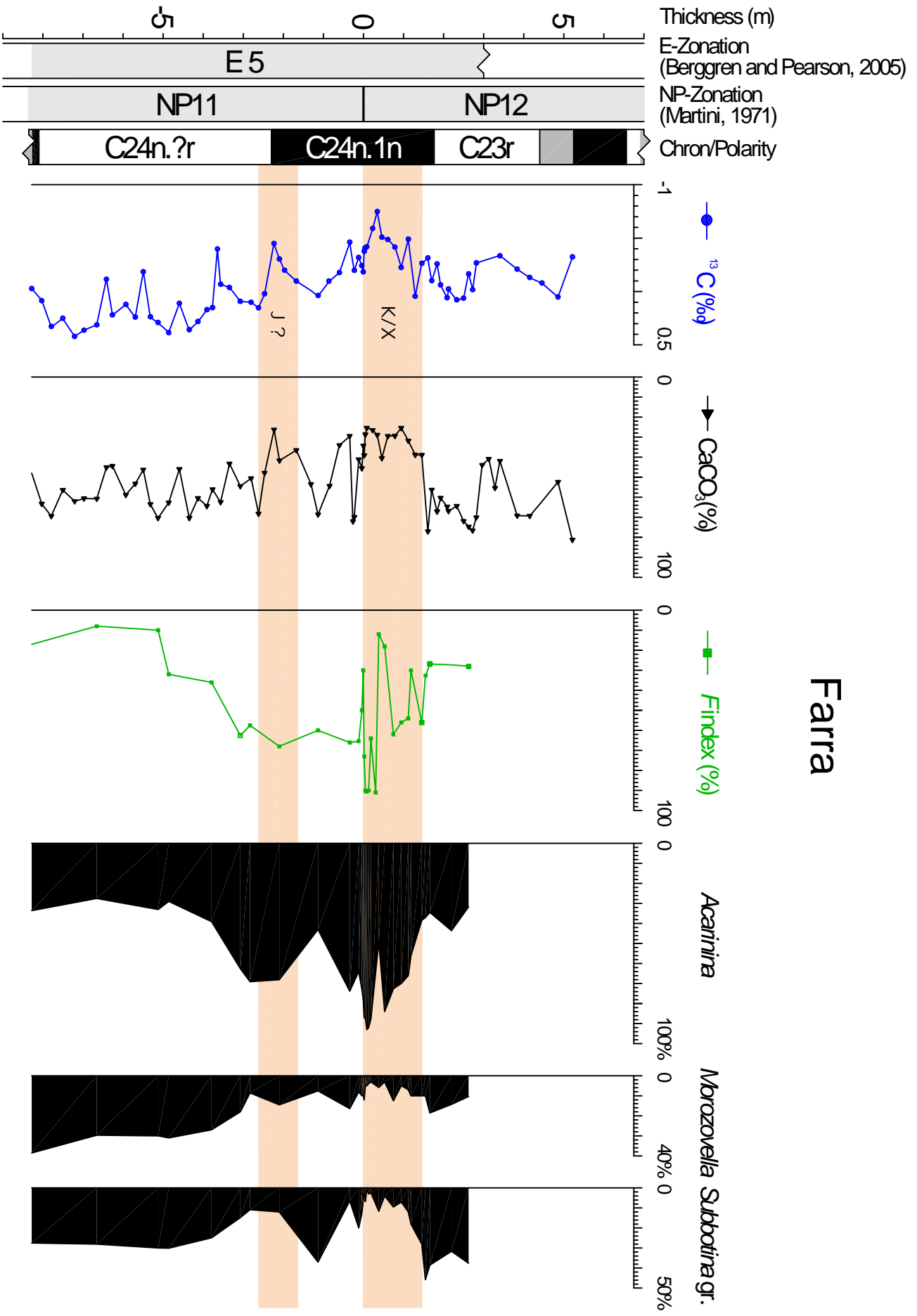


Figure 11

