

# 1 **Climate variability and long-term expansion of peat lands in** 2 **Arctic Norway during the late Pliocene (ODP Site 642,** 3 **Norwegian Sea)**

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## 12 13 **Abstract**

14 Little is known about the terrestrial response of high-latitude Scandinavian vegetation to the  
15 warmer-than-present climate of the Late Pliocene (Piacenzian, 3.60–2.58 Ma). In order to  
16 assess Piacenzian terrestrial climate variability, we present the first high resolution  
17 reconstruction of vegetation and climate change in northern Norway between 3.6–3.14 Ma.  
18 The reconstructions are derived from pollen assemblages in the marine sediments of ODP  
19 Hole 642B, Norwegian Sea (67°N). The palynological assemblages provide a unique record  
20 of latitudinal and altitudinal shifting of the forest boundaries, with vegetation alternating  
21 between cool temperate forest during warmer-than-present intervals, and boreal forest similar  
22 to today during cooler intervals. The northern boundary of the nemoral to boreonemoral forest  
23 zone was displaced at least 4–8° further north and warmest month temperatures were 6–  
24 14.5°C higher than present during warm phases. Warm climatic conditions persisted during  
25 the earliest Piacenzian (c. 3.6–3.47 Ma) with diverse cool temperate nemoral to boreonemoral  
26 forests growing in the lowlands of the Scandinavian mountains. A distinct cooling event at c.  
27 3.47 Ma resulted in a southward shift of vegetation boundaries, leading to the predominance  
28 of boreal forest and the development of open, low alpine environments. The cooling  
29 culminated around 3.3 Ma, coinciding with Marine Oxygen Isotope Stage (MIS) M2. Warmer

1 climate conditions returned after c. 3.29 Ma with higher climate variability indicated by the  
2 repeated expansion of forests and peat lands during warmer and cooler periods, respectively.  
3 Climate progressively cooled after 3.18 Ma, resembling climatic conditions during MIS M2.  
4 A high variability of Norwegian vegetation and climate changes during the Piacenzian is  
5 superimposed on a long-term cooling trend. This cooling was accompanied by an expansion  
6 of *Sphagnum* peat lands that potentially contributed to the decline in atmospheric CO<sub>2</sub>-  
7 concentrations at the end of the Piacenzian warm period and facilitated ice growth through  
8 positive vegetation-snow albedo feedbacks. Correlations with other Northern Hemisphere  
9 vegetation records suggest hemisphere-wide effects of climate cooling.

10

## 11 **1 Introduction**

12 Preceding the glacial-interglacial cycles of the Pleistocene, the Late Pliocene (Piacenzian  
13 Stage, 3.60–2.58 Ma, Gibbard et al. (2010)) marks the last time interval in the Earth's history  
14 that was characterised by a sustained warmer-than-present climate (Lisiecki and Raymo,  
15 2005). The mid-Piacenzian warm period (mPWP, 3.264–3.025 Ma) has been subject of  
16 intensive palaeoclimate research due to its similarity to projections of future global warming  
17 and its near-modern palaeogeography, palaeoceanography and palaeobiology (Dowsett, 2007;  
18 Dowsett et al., 2010; Haywood et al., 2013b; IPCC, 2013; Salzmann et al., 2009). During the  
19 mPWP average global mean annual surface temperatures were about 2–3°C higher than  
20 present (Haywood et al., 2013a). As the duration of the mPWP exceeds orbital timescales its  
21 warmth was not driven by insolation changes but rather by differences in the state of  
22 boundary conditions intrinsic to the climate system itself (Haywood et al., 2013b; Miller et  
23 al., 2010; Pound et al., 2014). Relatively high atmospheric CO<sub>2</sub> concentrations with values of  
24 about 270–400 ppm have been identified as the most important cause of Piacenzian warmth  
25 while differences in orography, vegetation and ice sheets constitute the remaining proportion  
26 of warming relative to modern (Badger et al., 2013; Lunt et al., 2012; Martínez-Botí et al.,  
27 2015; Pagani et al., 2010; Seki et al., 2010).

28 In the North Atlantic and Nordic Seas both marine and terrestrial records reveal a strong  
29 warming at high latitudes during the Piacenzian. Sea surface temperatures (SSTs) were up to  
30 8°C warmer than present in the Nordic Seas as opposed to only ~2–4°C in the mid-latitudes  
31 (Dowsett et al., 2013). The amplified warming has been suggested to be related to an  
32 enhanced Atlantic Meridional Overturning Circulation (AMOC) (e.g. Dowsett et al., 2013;

1 Robinson, 2009). However, model simulations indicate a similar-to-present AMOC during the  
2 mPWP, supporting the notion that the amplified warming in the Nordic Seas was a result of  
3 increased radiative forcing (Zhang et al., 2013). On land, boreal taiga forests reached the coast  
4 of the Arctic Ocean during the Piacenzian, and tundra biomes were markedly reduced  
5 (Bennike et al., 2002; Rybczynski et al., 2013; Salzmann et al., 2008; Willard, 1996). In the  
6 high Arctic, Pliocene deposits reveal mean annual temperatures (MATs)  $\sim 19^{\circ}\text{C}$  warmer than  
7 present, favouring the growth of larch-dominated forests on Ellesmere Island (Ballantyne et  
8 al., 2006, 2010; Rybczynski et al., 2013). In contrast, vegetation changes were less profound  
9 in the mid-latitudes. The prevalence of mixed forests in eastern Arctic Canada during the  
10 Piacenzian suggests a northward shift of the northern boundary of the deciduous forest zone  
11 by a minimum of  $5^{\circ}$  latitude with MATs  $3\text{--}5^{\circ}\text{C}$  warmer than present (de Vernal and Mudie,  
12 1989b; Willard, 1994). In Norway, warm-temperate taxa occur at least  $7^{\circ}$  further north of  
13 their present limit, pointing towards substantially warmer conditions (Willard, 1994). A  
14 northward latitudinal shift of vegetation of about  $10^{\circ}$  is inferred from the presence of mixed to  
15 boreal forest on Svalbard during the Pliocene (Willard, 1996).

16 The mPWP has often been described as a relatively stable warm period with only minor  
17 temporal variations in climate and vegetation distribution (e.g. Willard, 1994; Thompson and  
18 Fleming, 1996). Up to the present, high resolution vegetation records with a robust age  
19 control are missing for the surroundings of the North Atlantic and Nordic Seas, and it is  
20 unclear whether the available palaeoclimate records represent the full variability or peak  
21 warm phases of the Piacenzian only. A recently published high resolution pollen record from  
22 Lake El'gygytgyn in the north-eastern Russian Arctic reveals major changes in the dominant  
23 biome distribution during the Piacenzian, ranging from temperate cool mixed forests to Arctic  
24 shrub tundra vegetation (Andreev et al., 2014).

25 Data-model comparison studies suggest that climate models currently underestimate the  
26 magnitude of mPWP warming that is evident in proxy-based SST reconstructions for the  
27 Nordic Seas (Dowsett et al., 2013). The data-model discrepancy has been partly ascribed to  
28 the comparison of simulations that represent a discrete time interval to time-averaged proxy  
29 data (Dowsett et al., 2013; Salzmann et al., 2013). A recent modelling study indicates that the  
30 Norwegian Current, which strongly affects the climate of Norway, may have been cooler than  
31 present when altered palaeogeographic boundary conditions are considered (Hill, 2015). In  
32 order to resolve these uncertainties a time slice centred around 3.205 Ma (Marine Isotope

1 Stage (MIS) KM5c) has been proposed for future palaeoclimate research in the Piacenzian,  
2 requiring high resolution proxy records (Haywood et al., 2013b).

3 Here we present a new high-resolution reconstruction of Piacenzian vegetation and climate  
4 changes for Arctic Norway based on the recently re-dated Ocean Drilling Program (ODP)  
5 sediment core 642B (Risebrobakken et al., in review). The aim of this study is (1) to assess  
6 the evolution and temporal variability of vegetation and climate changes in Norway during  
7 the Piacenzian, (2) to determine their magnitude, and (3) to evaluate the potential of glacier  
8 and ice sheet build up on Scandinavia during cold intervals of the Piacenzian.

9

## 10 **2 Geographical setting**

### 11 **2.1 Oceanographic location of ODP Hole 642B**

12 ODP Hole 642B is located on the Outer Vøring Plateau in the Norwegian Sea about 400–  
13 450 km west of Norway (67°13.2'N, 2°55.8'E, 1286 m water depth, Shipboard Scientific  
14 Party (1987), Fig. 1). On either side of the Vøring Plateau a branch of the Norwegian Atlantic  
15 Current (NwAC) flows northward. The Eastern branch follows the continental slope of  
16 Norway and the Western branch flows around the Vøring Plateau. Atlantic Water is spread  
17 between both branches and lies above the site (Nilsen and Nilsen, 2007). The branches of the  
18 NwAC are an extension of the North Atlantic Current (NAC) which in turn is a continuation  
19 of the Gulf Stream (Orvik, 2002). The Eastern branch of the NwAC enters the Nordic Seas  
20 (Greenland Sea, Iceland Sea and Norwegian Sea) across the Iceland-Faroe Ridge while the  
21 Western branch flows through the Faroe-Shetland Channel (Hansen and Østerhus, 2000).

### 22 **2.2 Present-day climate and vegetation of Norway**

23 As a result of the influence of the NAC and warm westerly and south-westerly winds, the  
24 climate of Norway is maritime with mild winters and higher MATs than any other area at  
25 comparable latitudes (Diekmann, 1994). Due to its geographic location and the Scandinavian  
26 mountains that run from north to south, the climate and vegetation of Norway changes along  
27 three gradients: latitudinal, altitudinal and continental (Moen, 1987). While MATs decrease  
28 with increasing latitude as well as altitude and continentality, precipitation decreases from  
29 west to east, being highest along the southwest coast of Norway (Diekmann, 1994).

1 In northern Norway (Nordland) Mean Annual Temperatures (MATs) and Warmest Month  
2 Temperatures (WMTs, July) range between -6–4°C and 0–4°C at the higher altitudes and 4–6  
3 °C and 12–16°C along the coast, respectively (Moen, 1999). Bodø (67°N) and Mo i Rana  
4 (66°N) are the closest meteorological stations to ODP Hole 642B (Fig. 1), from which climate  
5 data is available for the last 30 years from the Meteorological Institute of Norway. Present-  
6 day Mean Annual Temperatures (MATs) average -0.5°C with values ranging between ~ -2.5  
7 and 1.7°C and warmest month temperatures reach 9.8°C on average, ranging between 5.8 and  
8 13.8°C (Norwegian Meteorological Institute and Norwegian Broadcasting Corporation,  
9 2014). In Norway precipitation follows a strong east-west gradient. Mean Annual  
10 Precipitation (MAP) ranges from 1000 to 2500 mm along a broad south-north stretching  
11 coastal belt and only drops below 1000 mm further inland. In the area around Bodø and Mo i  
12 Rana MAP is 1000–1500 mm (Diekmann, 1994; Moen, 1999).

13 Most of Norway is covered by boreal and alpine vegetation today (Fig. 2). In the south, the  
14 boreal zone transitions into the temperate deciduous forests of Central Europe. In the north  
15 (~70°N) and at higher elevations, the boreal zone is limited by the tree line formed by the  
16 birch tree *Betula pubescens* and borders the Arctic and alpine tundra (Moen, 1987, 1999). The  
17 altitudinal limit of the tree line above which alpine tundra dominates decreases gradually from  
18 ~1200 m above sea level (a.s.l.) in the southern Scandinavian mountains to sea level in  
19 northernmost Norway (Moen, 1999). The flora becomes less diverse and forest productivity  
20 as well as tree density decline from south to north (Moen, 1987). Temperate deciduous forest  
21 is only found along the far south coast and is characterised by the absence of *Picea abies* (Fig.  
22 2) (Diekmann, 1994; Moen, 1987).

23 The boreal zone is divided into four subzones (boreonemoral, southern, middle and northern  
24 boreal zone, Fig. 2) that follow climatic gradients. The climate of the boreal zone is  
25 characterised by cold winters, long-lasting snow cover and a short growing season (Moen,  
26 1987). The southernmost boreal zone (boreonemoral) mainly consists of *Pinus sylvestris* and  
27 *Picea abies*, with deciduous trees (e.g. *Acer platanoides*, *Fraxinus excelsior*, *Quercus* spp.,  
28 *Tilia cordata* and *Ulmus glabra*) abundant under favourable local climatic conditions,  
29 especially on south-facing slopes (Diekmann, 1994). Presently, the boreonemoral zone  
30 extends over a continuous area in southern Norway, but also occurs sporadically up to ~64°N  
31 (Moen, 1987). The northern boreonemoral limit is defined by the northernmost distribution of

1 *Quercus* forest, but the absence of oak forest in central Norway may also be due to  
2 geographical barriers (Diekmann, 1994; Moen, 1987).

3 In the southern boreal zone deciduous forest is still present and wide areas are covered by  
4 raised bogs. The latitudinal boundary between the southern and middle boreal zone,  
5 dominated by coniferous forests, is located at ~67°N (Fig. 2). Raised bogs reach their upper  
6 altitudinal limit in this zone (Moen, 1987). Along the coast the middle boreal zone extends to  
7 about 70°N where it transitions into the northern boreal zone, consisting of sparsely growing  
8 coniferous forest, a broad belt of birch forest (especially at higher altitudes in southern to  
9 central Norway), and peat lands (Moen, 1987). Beyond the northern boreal zone, alpine  
10 regions with grass-heaths communities extend at higher altitudes and latitudes (Moen, 1987).

11 Moen (1987) and Diekmann (1994) both separate a coastal section along the west and  
12 southwest coast of Norway that is distinct in climate and vegetation. Climatically, the coastal  
13 area is associated with mild winters, relative cool summers and frequent precipitation. The  
14 modern vegetation, however, has been significantly altered by human activity, with the  
15 present dominance of open heathland (*Calluna vulgaris*) ascribable to logging and continuous  
16 burning and grazing cycles (Moen, 1987). Atlantic raised bogs that naturally lack any  
17 marginal forest stretch along the coast and the remaining forest stands are composed of *Alnus*  
18 spp., *Betula* spp., *Corylus avellana*, *Fraxinus excelsior*, *Pinus sylvestris* and *Quercus* spp.  
19 (Diekmann, 1994; Moen, 1987).

## 20 **2.3 Orography**

21 At present mountains reach a maximum elevation of 1500 m in the areas surrounding Bodø  
22 and Mo i Rana with the tree line being lowest near the coast (400–500 m a.s.l.) and reaching  
23 elevations of up to 800 m a.s.l. further inland (Moen, 1999). During the Piazencian the  
24 Scandinavian mountains had already been uplifted, they were, however, lower than present  
25 (Anell et al., 2009; Knies et al., 2014). Anell et al. (2009) report uplift of ~1 km in northern  
26 Norway and 1–1.5 km in the southern Scandinavian mountains during the Neogene. These  
27 estimates correspond to the height of the Scandinavian mountains of 500 to 1000 m, that was  
28 used for the PRISM3 reconstruction of Pliocene climate (Sohl et al., 2009), reducing them by  
29 500–1000 m in altitude compared to present.

30

## 1 **3 Materials and Methods**

### 2 **3.1 Sample preparation and pollen analysis**

3 A total of 70 samples were taken from ODP Hole 642B of which 68 samples are situated  
4 between 69.90 and 66.95 meter below sea floor (mbsf) (Shipboard Scientific Party, 1987).  
5 Additionally two surface samples were taken from the same core at 0 and 3 cm below sea  
6 floor in order to compare the Piacenzian to modern pollen assemblages. All samples were pre-  
7 sieved in Bergen, Norway through a 63  $\mu\text{m}$  mesh to retain foraminifera for oxygen isotope  
8 analysis (Risebrobakken et al., in review). In order to identify a potential bias in the pollen  
9 data resulting from the loss of larger Pinaceae pollen grains exceeding 63  $\mu\text{m}$ , we compared  
10 sieved and unsieved samples, and no difference in the overall pollen count has been found.  
11 Samples were prepared for palynological analysis at the Palynological Laboratory Services  
12 Ltd, North Wales and Northumbria University, Newcastle, using standard palynological  
13 techniques (Faegri and Iversen, 1989). One *Lycopodium clavatum* spore tablet was added to  
14 each sample to allow pollen concentration calculations to be made (Stockmarr, 1971). The  
15 samples were treated with HCl (20%) and concentrated HF (48%) to remove carbonates and  
16 silicates, respectively. An additional wash with hot (ca. 80°C) HCl (20%) was necessary to  
17 remove fluorosilicates. The sediment was back-sieved through a 10  $\mu\text{m}$  screen and the residue  
18 was mounted on glass slides with glycerol-gelatine jelly.

19 The microscopic analysis was carried out using a Leica Microscope (DM 2000 LED) at  
20 magnifications of 400x and 1000x. Pollen preservation is generally very good. An average of  
21 510 pollen grains was counted per slide, or 185 grains excluding *Pinus*. On average 23 taxa  
22 were identified per sample. Only ten samples yielded counts below 300 grains. Pollen  
23 identification was aided by the pollen reference collection at Northumbria University and the  
24 following literature: Erdtman et al. (1961); Moe (1974); Faegri and Iversen (1989); Beug  
25 (2004); Demske et al. (2013). In-situ *Lycopodium clavatum* spores were differentiated from  
26 the reference spores by distinct differences in shape and colour. The group *Juniperus*-type  
27 only contains pollen grains that are split open whereas Cupressaceae contains those that are  
28 still closed or have a papilla (Demske et al., 2013). Reworked pollen and spores were  
29 identified based on the thermal maturity of the exine as seen by their dark orange to brown  
30 colours, and/or their presence outside their stratigraphic range. In addition to a discolouration  
31 to yellow/orange colours, particularly reworked bisaccates showed a high degree of

1 compression, a faint alveolar structure of airsacks and mineral imprints (de Vernal and Mudie,  
2 1989a, 1989b; Willard, 1996). Cenozoic uplift phases and shifting depocentres as documented  
3 in Eidvin et al. (2014) are restricted to the basins off the Norwegian coast and should not have  
4 affected the coring site which is located on the Outer Vøring Plateau.

5 Pollen diagrams were generated with the software Tilia which was also used to perform  
6 stratigraphically constrained cluster analysis in order to delimit pollen zones (Grimm, 1987,  
7 1990). The presented cluster analysis does not include *Pinus* to highlight the assemblage  
8 changes in the other pollen and spores. Pollen percentages were calculated on the total pollen  
9 sum excluding *Pinus* pollen, unidentified and reworked pollen and spores. Percentages of  
10 *Pinus* pollen was calculated based on the total pollen sum including *Pinus*. Rarefaction was  
11 applied to estimate the number of taxa at a constant count of grains (Birks and Line, 1992).  
12 Diversity was assessed using the Shannon index which takes into account the relative  
13 abundance of a taxon as well as the number of taxa. The Shannon index is zero if the  
14 assemblage is dominated by a single taxon and shows high values for assemblages with many  
15 taxa that are each represented by few individuals (Hammer et al., 2001). Both, rarefaction and  
16 diversity were calculated in PAST (PAleontological STatistics, Hammer et al. (2001)).

### 17 **3.2 Age model**

18 The Pliocene age model for ODP Hole 642B is based on the magnetic stratigraphy of Bleil  
19 (1989) updated to the Astronomically Tuned Neogene Time Scale (ATNTS) 2012 (Hilgen et  
20 al., 2012), and further correlation of the benthic oxygen isotopes to the global LR04 benthic  
21  $\delta^{18}\text{O}$  stack (Fig. 3) (Lisiecki and Raymo, 2005; Risebrobakken et al., in review). Across  
22 glacial MIS M2, the benthic  $\delta^{18}\text{O}$  signal is not any heavier than during other times of the  
23 Pliocene. This points to the possibility of a hiatus for the globally coldest part of MIS M2,  
24 even though a restricted local response to M2 cannot be excluded (Risebrobakken et al., in  
25 review). A major hiatus exists after 3.14 Ma (Jansen and Sjøholm, 1991).

26 The investigated samples have an age range of 3.60 to 3.14 Ma. The upper part of the  
27 sequence, which coincides with the PRISM interval, has been counted in high resolution (800  
28 to 14,700 years) and covers the central part of interglacial MIS KM5c (3.205 Ma). The earlier  
29 part of the Piacenzian was analysed in lower resolution (6600 to 23,300 years) in order to  
30 document the main climatic states and trends.

1 The modern sample presumably covers less than 2000 years while the subsurface sample at  
2 3 cm depth possibly reveals a maximum age of 6000 years. These assumptions are based on a  
3 modern sedimentation rate of <2 cm/kyr (Romero-Wetzel, 1989).

### 4 **3.3 Climate reconstruction**

5 To obtain quantitative estimates of Piacenzian climate, the Coexistence Approach (CA) of  
6 Mosbrugger and Utescher (1997) was applied. This approach uses the modern climatic  
7 tolerances of the Nearest Living Relatives (NLRs) of the fossil taxa present in an assemblage  
8 to determine the climatic range in which these taxa could coexist. Climatic ranges have been  
9 quantified based on presence/absence of all taxa for MAT and WMT, using the Palaeoflora  
10 database (Utescher and Mosbrugger, 2013).

11 To ensure accurate and reliable palaeoclimate estimates only samples with a minimum of ten  
12 NLR taxa were used to determine the palaeoclimatic range. This led to the exclusion of two  
13 samples with a total count of less than 100 pollen grains. For this study a total of 38 taxa are  
14 used for the CA. All estimates are based on an average of 17 taxa per sample.

15

## 16 **4 Results**

### 17 **4.1 Modern pollen assemblages**

18 The analysed modern and mid-Holocene samples show pollen concentrations of 238 and  
19 294 grains/g sediment, respectively (Fig. 4). Percentages of *Pinus* pollen (45–52%) and  
20 *Sphagnum* spores (14–32%) are highest in the two samples. Pollen of other conifers (*Picea*,  
21 *Juniperus*-type and *Taxus*) are represented by proportions of less than 4%, but Cupressaceae  
22 pollen accounts for ~10% of the assemblage in the subsurface sample. The relative abundance  
23 of *Lycopodium* spores and undifferentiated monolet spores is also relatively high, with ~6–  
24 15% and ~9%, respectively. *Betula* is the most common deciduous tree pollen. *Alnus*,  
25 *Corylus*, Ericaceae, *Fraxinus*, *Ilex aquifolium* and *Myrica* pollen occur in very low numbers.  
26 Compared to the subsurface sample, the surface sample reveals a higher diversity of herb  
27 pollen (Asteraceae, Brassicaceae, Cyperaceae, and Fabaceae). The proportion of reworked  
28 pollen and spores is relatively high in both samples (21–32%).

## 1 4.2 Piacenzian pollen assemblages

### 2 4.2.1 Pollen Zone 1 (69.9–69.0 mbsf, c. 3.60–3.47 Ma, 13 samples)

3 Pollen Zone (PZ) 1 is characterised by a high abundance of *Pinus* pollen (61–77%) and pollen  
4 from other conifer trees (*Picea*, Cupressaceae, *Juniperus*-type, *Sciadopitys* and *Tsuga*) (Fig. 5,  
5 7). *Juniperus*-type, *Sciadopitys* and *Tsuga* pollen reveal their maximum abundances of the  
6 entire pollen record with up to ~22%, 18% and 10%, respectively, within this zone. Ericaceae  
7 pollen reaches a maximum of ~16% in the middle of the zone and subsequently decreases to  
8 values of around 6% towards the upper part. *Alnus*, *Betula*, *Corylus*, *Quercus* and *Ulmus*  
9 pollen is frequently present whereas other deciduous tree pollen such as *Carpinus* and *Carya*  
10 occur only sporadically (Fig. 5). The abundance of herb pollen is generally low throughout PZ  
11 1. The number of *Lycopodium* (including *L. annotinum*, *L. clavatum*, *L. inundatum* and  
12 *Lycopodium* spp. indet.) spores is relatively high in the early part (up to ~34%), declining  
13 continuously throughout the interval. The relative abundance of *Sphagnum* spores is fairly  
14 constant, showing its lowest values (<22%) within the entire study interval (Fig. 7). Spores of  
15 *Huperzia*, *Osmunda*, Polypodiaceae and undifferentiated monoletes are regularly found (Fig.  
16 5). Rare pollen taxa only occur sporadically and the average diversity index across the zone is  
17 relatively low (Fig. 6, 7). The number of taxa increases towards the upper part of the interval  
18 (Fig. 7). Pollen concentrations mostly vary between ~1000–2000 grains/g sediment and peak  
19 at a maximum of 4300 grains/g sediment in the upper part of the zone. Reworked pollen and  
20 spores occur in low numbers with a maximum percentage of ~4% (Fig. 5).

### 21 4.2.2 Pollen Zone 2 (69–68.54 mbsf, c.3.47–3.35 Ma, 9 samples)

22 The amount of *Pinus* remains high (65–75%) throughout PZ 2 while percentages of *Picea*  
23 pollen decreases (Fig. 5). Pollen of other conifer trees (Cupressaceae, *Juniperus*-type,  
24 *Sciadopitys* and *Tsuga*) shows a sharp decrease in numbers at the lower pollen zone boundary  
25 (Fig. 5, 7). Ericaceae pollen increases to maximum values of ~18% in the upper part of the  
26 zone. The proportion of deciduous tree pollen is generally low. *Betula* pollen is consistently  
27 present while the proportion of *Alnus* and *Corylus* pollen peaks in the middle of PZ 2. Pollen  
28 of Asteraceae, especially Asteraceae Liguliflorae-type, shows maximum values of up to 31%  
29 in this zone while other herb pollen remains low in abundance (Fig. 5, 7). *Lycopodium* spores  
30 peak in the lower and upper parts of PZ 2, reaching proportions of up to 56%. A peak in the  
31 abundance of *Sphagnum* spores (43%) is observed in the lower part of the zone (Fig. 7).

1 *Osmunda* spores show highest abundance and subsequently decrease until it disappears from  
2 the assemblage in the upper part. *Huperzia* spores become more abundant and  
3 undifferentiated monolete spores are continuously present. *Pteridium* spores are also regularly  
4 found (Fig. 5). The number of rare pollen taxa is very low and the number of taxa and the  
5 diversity index show similar values to PZ 1 (Fig. 6, 7). Pollen concentrations reach maximum  
6 values of ~2200 grains/g sediment within the zone. Reworked pollen and spores first reach a  
7 relatively high proportion (~9%) in the middle part of this zone and peak again, after  
8 declining to values of <3%, in the upper part of the zone (~15%) (Fig. 5).

#### 9 4.2.3 Pollen Zone 3a (68.54–68.365 mbsf, c. 3.35–3.29 Ma, 5 samples)

10 The proportion of *Pinus* pollen is slightly reduced when compared to PZ 1 and 2 with  
11 percentages ranging between 43 and 66% (Fig. 5). Other conifer pollen remains low in  
12 abundance. Ericaceae pollen shows percentages of less than 10%. *Alnus*, *Betula* and *Corylus*  
13 pollen are continuously present whereas more temperate pollen taxa like *Carpinus* and *Carya*  
14 are absent. Asteraceae Liguliflorae-type pollen shows a pronounced peak (c. 10%) in the  
15 lower part of the zone while other herb pollen taxa only occur sporadically and in low  
16 numbers (Fig. 5). *Lycopodium* spores reach their highest abundance in the lower part of the  
17 zone. A subsequent decline in the relative abundance of *Lycopodium* spores is accompanied  
18 by a distinct increase in *Sphagnum* spores, reaching proportions of up to ~63% in the upper  
19 part of the zone (Fig. 7). The number of rare pollen taxa remains very low. The average  
20 diversity index is slightly higher when compared to PZ 1 and 2, whereas the number of taxa  
21 remains the same (Fig. 7). Pollen concentrations are relatively low with maximum values of  
22 ~900 grains/g sediment (Fig. 5).

#### 23 4.2.4 Pollen Zone 3b (68.365–67.09, c. 3.29–3.16, 36 samples)

24 PZ 3b exhibits the highest sample density and shows a high variability which is superimposed  
25 by a prevailing change in abundances (Fig. 5, 7). *Pinus* pollen shows relatively high values  
26 (43–79%) in the lower part of the zone alongside an increased proportion of pollen from other  
27 conifer trees (Cupressaceae, *Juniperus*-type, *Picea*, *Sciadoiptys* and *Tsuga*). Subsequently the  
28 proportion of *Pinus* pollen stays around 50% before steadily increasing to a maximum of  
29 ~65% in the upper part of the zone. Peaks in *Pinus* pollen are concurrent with higher  
30 abundances of the other conifer pollen (Fig. 5, 7). The relative abundance of Ericaceae pollen  
31 does not exceed 15%. *Alnus*, *Betula*, *Corylus* and *Quercus* pollen occurs frequently in low

1 percentages (<9%). Other deciduous tree pollen, such as *Carpinus*, *Carya*, *Ostrya*, *Pterocarya*  
2 and *Ulmus*, as well as pollen of the evergreen shrub *Ilex aquifolium* are found regularly within  
3 PZ 3b in low percentages. Asteraceae Liguliflorae-type pollen never exceeds 6% throughout  
4 the zone. Although still low in abundance comparatively more taxa of herb pollen is present  
5 in this interval. Pollen of *Artemisia* as well as the families Apiaceae and Cyperaceae first  
6 appears in this pollen zone (Fig. 5). *Lycopodium* spores show a general decrease in abundance  
7 throughout the interval and alternate with high proportions of *Sphagnum* spores. After  
8 reaching a minimum of 11% the proportion of *Sphagnum* spores increases, reaching ~68% in  
9 the upper part (Fig. 7). Spores of *Huperzia*, *Osmunda*, Polypodiaceae, *Pteridium* and  
10 undifferentiated monolete spores occur frequently (Fig. 5). The number of rare pollen taxa is  
11 highest in this pollen zone (Fig. 6). The number of taxa counted on a constant pollen sum is  
12 highly variable (Fig. 7). In the lower part of the zone the diversity index shows a high  
13 variability with the same average value as PZ 3a. Subsequently, the average diversity index of  
14 PZ 3b increases markedly (Fig. 7). Pollen concentrations peak in the lower part (max. 300  
15 grains/g sediment) and again in the middle part of the zone (max. 4900 grains/g sediment)  
16 (Fig. 5). The proportion of reworked pollen and spores is highest between 68.05 and  
17 67.55 mbsf, reaching values of up to ~13% and stay below 7% outside the interval (Fig. 5).

#### 18 4.2.5 Pollen Zone 4 (67.09–66.95 mbsf, c. 3.16–3.14 Ma, 5 samples)

19 The amount of *Pinus* pollen varies between 32 and 48% and thus shows its lowest  
20 percentages within the entire Piacenzian record (Fig. 5). Pollen concentrations are also  
21 relatively low (<580 grains/g sediment). While *Picea* and Ericaceae pollen decreases,  
22 *Juniperus*-type pollen percentages increase. Single pollen grains of *Sciadopitys* are still found  
23 whereas pollen of *Tsuga* are absent. *Alnus*, *Betula* and *Corylus* pollen is consistently present  
24 while pollen of other deciduous trees (*Carya*, *Ostrya*, *Pterocarya*, and *Quercus*) only occurs  
25 sporadically. The diversity of herb pollen is reduced compared to PZ 3b (Fig. 5). *Lycopodium*  
26 spores are relatively low in abundance (<21%) whereas the proportion of *Sphagnum* spores is  
27 high (up to ~62%) (Fig. 7). *Huperzia* and undifferentiated monolete spores peak in the upper  
28 part of the zone. Rare pollen taxa are present in relatively low numbers (Fig. 6). The number  
29 of taxa is comparable to PZ2 and 3a and the average diversity index remains unchanged when  
30 compared to PZ 3b (Fig. 7). Pollen concentrations are low with values <1100 grains/g  
31 sediment. Reworked pollen reaches proportions of up to 12% (Fig. 5).

### 1 **4.3 Quantitative palaeoclimate estimates**

2 Piacenzian temperature estimates using the CA show very wide ranges for each sample.  
3 MATs based on the CA range on average from 5 to 14°C, suggesting MATs 5–14°C higher  
4 than present in comparison to climate data from the reference stations. However, the lower  
5 end of the estimated MATs is similar to the modern coastal temperatures (4–6°C) (Moen,  
6 1999). Piacenzian WMTs range on average from 18 to 24°C for most pollen assemblages,  
7 implying a rise of summer temperatures of 8–14°C when compared to climate data from the  
8 reference stations. In comparison to coastal values, these estimates are at least 2–8°C higher  
9 than present (Moen, 1999). For the modern and mid-Holocene samples WMTs match the  
10 upper end of the present-day coastal values of 12–16°C (Moen, 1999). However, MATs  
11 appear to be too warm and only match modern temperatures when the thermophilic taxa *Ilex*  
12 and *Taxus*, which occur in low numbers in modern and mid-Holocene samples, respectively  
13 (Fig. 4), are removed from the Coexistence matrix.

14

## 15 **5 Discussion**

### 16 **5.1 Modern pollen assemblages and vegetation**

17 The modern and mid-Holocene samples from ODP Hole 642B were analysed in order to get a  
18 better understanding of the modern pollen signal in the marine sediments off Norway and to  
19 facilitate the interpretation of Piacenzian pollen assemblages. The pollen assemblages found  
20 in the two Holocene samples are representative of the modern vegetation of northern Norway,  
21 suggesting that northern Norway is the main source area for pollen and spores deposited at  
22 ODP Hole 642B. High abundances of *Pinus* pollen and *Sphagnum* spores reflect the extensive  
23 distribution of boreal forest and peat lands in northern Norway (Moen, 1987, 1999). Both  
24 fossil pollen grains are generally overrepresented in marine sediments. This effect becomes  
25 more pronounced with increasing distance from the shore due to their preferential  
26 transportation over long distances (e.g. Heusser, 1983; Mudie and McCarthy, 2006).  
27 Nevertheless, percentages of *Pinus* pollen generally reflect its relative proportion in the  
28 vegetation community, and proportions of *Sphagnum* spores are highest where extensive  
29 peatlands are found onshore (e.g. Heusser, 1983; Mudie and McCarthy, 1994; Mudie, 1982).  
30 In contrast, pollen from deciduous trees is underrepresented in marine sediments as this pollen  
31 is deposited closer to the source area (<200 km), as a result of their small size and high

1 density (Mudie and McCarthy, 2006). With ODP Hole 642B being located ~400 km offshore,  
2 the contrast between the relative abundance of *Pinus* and deciduous tree pollen is especially  
3 pronounced. The pollen spectra at ODP Hole 642B are predominated by wind-pollinated taxa.  
4 Low abundance of deciduous trees can also be found in other marine records from the North  
5 Atlantic (Mudie and McCarthy, 2006). There is no evidence for large rivers in Norway during  
6 the Pliocene, which makes rivers as a significant transport medium for pollen to the site  
7 highly unlikely. Today, plumes of cold fjord water enter the Norwegian Sea in spring, but  
8 only extend less than 100 km offshore (Mork, 1981). However, modern-like fjords and  
9 glaciers which trigger such plumes almost certainly did not exist during the Pliocene.

10 The increase in *Sphagnum* spores and slight decline in *Pinus* pollen in the mid to late Holocene  
11 sample has been interpreted to reflect the expansion of peatlands that is observed in the late  
12 Holocene vegetation records from northern Norway (Bjune et al., 2004). Deciduous trees such  
13 as *Alnus* and *Betula* are common elements in terrestrial Holocene vegetation records (e.g.  
14 Bjune and Birks, 2008; Bjune, 2005) but are only represented in low numbers (<3%) in the  
15 marine surface pollen assemblages, complicating the quantification of their representation in  
16 the vegetation. The same applies for *Corylus* which is a thermophilic, oceanic climate species  
17 in Norway and reaches its upper limit in the middle boreal zone, thus extending up to 70°N  
18 (Bjune, 2005; Moen, 1987). Other thermophilic taxa such as *Fraxinus*, *Ilex* and *Taxus* that are  
19 restricted to southern Norway (<62.5°N) (Moen, 1987, 1999) are represented with a relative  
20 abundance of up to 2% in the modern and mid-Holocene pollen samples of Hole 642B,  
21 suggesting pollen input from a large source area. Human activity has, however, significantly  
22 altered the natural vegetation of Norway (Moen, 1987, 1999), resulting in the occurrence of  
23 species outside their natural habitat, which could explain the presence of *Fraxinus* and *Taxus*  
24 in the modern samples.

## 25 **5.2 Vegetation and climate at 3.60–3.47 Ma**

26 At the onset of the Piacenzian the occurrence of pollen from deciduous temperate elements  
27 (e.g. *Carpinus*, *Carya* and *Quercus*) together with high abundances of pollen from conifer  
28 trees, including temperate taxa such as *Sciadopitys* and *Tsuga*, suggest the presence of cool  
29 temperate deciduous to mixed forest in northern Norway. At present, *Quercus* spp. are a  
30 distinctly southern species in Norway, occurring in the nemoral and boreonemoral zones (Fig.  
31 2). During the earliest Piacenzian the northern boundary of the boreonemoral zone was  
32 presumably positioned at least 4° further north when compared to today, reaching the Arctic

1 Circle. Predominantly deciduous forest might even have prevailed in the lowlands of northern  
2 Norway, implying a northward shift of the northern limit of the nemoral zone by at least 8°  
3 latitude.

4 *Sciadopitys* and *Tsuga* are considered to be part of the cool temperate montane vegetation.  
5 Both species went extinct in Europe during the glacial-interglacial cycles of the Pleistocene  
6 but can still be found in East Asia and North America (e.g. Svenning, 2003; Wen, 1999).  
7 Only one species of *Sciadopitys* still exists at present, growing in the warm to cool temperate  
8 montane zone of Japan. There, *Sciadopitys* forests are associated with steep rocky ridges or  
9 slopes, requiring relatively dry habitat conditions in a humid climate (Ishikawa and Watanabe,  
10 1986). In Neogene deposits from central Europe and Denmark *Sciadopitys* is, however,  
11 known to be an element of peat-forming vegetation or conifer forests that grew in better  
12 drained or elevated areas, respectively, suggesting that its ecological requirements have  
13 changed (e.g. Figueiral et al., 1999; Schneider, 1995). In the Lake Baikal region *Tsuga-Picea*  
14 forests are also ascribed to habitats at higher altitudes during the Piacenzian (Demske et al.,  
15 2002). Our assignment of *Sciadopitys* and *Tsuga* to montane conifer forests is supported by  
16 the correlation of the relative percentage changes of these taxa to those of other high-altitude  
17 taxa (Cupressaceae, *Juniperus-type*, *Picea*; Fig. 5) (e.g. Numata, 1974; Seppä and Birks,  
18 2001).

19 Ericaceae shrubs, *Lycopodium* and *Sphagnum* mosses likely originated from both the  
20 understorey of the cool temperate to boreal forests, and open environments. The relative  
21 abundance of *Sphagnum* spores during the earliest Piacenzian is lower than that in the surface  
22 sample but similar to the subsurface sample (Fig. 4, 5) which might be indicative of a less  
23 extensive distribution of peat lands.

24 Warmer-than-present climatic conditions between 3.55 and 3.48 Ma have also been recorded  
25 at Lake El'gygytgyn in the north-eastern Russian Arctic where *Larix/Pseudotsuga* forests  
26 predominated (Andreev et al., 2014; Brigham-Grette et al., 2013). In southern East Siberia  
27 mixed coniferous forests grew under a relatively warm climate in the Lake Baikal region  
28 during the early Piacenzian (Demske et al., 2002).

### 29 **5.3 Vegetation and climate at 3.47–3.35 Ma**

30 A marked cooling around 3.47 Ma and a subsequent establishment of similar-to-present  
31 boreal conditions are inferred from a sharp drop in the relative abundance of conifer tree

1 pollen (except *Pinus* pollen). A corresponding increase in the abundances of spores and pollen  
2 from herbs, shrubs and mosses (Asteraceae, Ericaceae, *Lycopodium* and *Sphagnum*; Fig. 5)  
3 indicates the development of open environments at high altitudes, possibly similar to the  
4 modern low alpine vegetation. At present the lower boundary of the low alpine zone,  
5 corresponding to the tree line, is reached at 400–800 m in the area around Bodø and Mo i  
6 Rana (Moen, 1999).

7 Maximum abundance of herb pollen, especially those of Asteraceae Liguliflorae-type are  
8 reached at 3.42 Ma, steadily declining towards 3.35 Ma (Fig. 5, 7). They are indicative of  
9 drier conditions. At present tall-herb meadows reach their distribution limit in the southern  
10 Arctic zone in northern Norway but are less common in the alpine regions (Moen, 1999). The  
11 high abundance of Asteraceae in ODP Hole 642B might reflect a vegetation community with  
12 no modern analogue. At present, alpine environments with abundant Asteraceae can only be  
13 found in the Southern Hemisphere. At Kosciuszko National Park, New South Wales,  
14 Australia, Asteraceae grows in alpine herbfields, grasslands, bogs and heath communities  
15 along with Ericaceae, *Huperzia*, *Lycopodium* and *Sphagnum* (Costin et al., 2000).  
16 Additionally, Asteraceae and Ericaceae pollen as well as *Lycopodium* and *Sphagnum* spores  
17 in the sediments of ODP Hole 642B may have originated from the field layer of forests  
18 covering the lower altitudes. These were more boreal in character during this time interval  
19 with *Pinus* as the dominant species, as indicated by low percentages of pollen from other  
20 conifers, including the temperate taxa *Sciadopitys* and *Tsuga* (Fig. 5). The diversity index  
21 shows the lowest average value across this interval of relative cool climatic conditions (Fig.  
22 7).

23 The development of open environments at high altitudes implies an altitudinal downward shift  
24 of vegetation belts as well as a southward displacement of vegetation zones. Taxa  
25 characteristic of the boreonemoral zone (e.g. *Quercus*, *Sciadopitys*) comprise low proportions  
26 in the pollen assemblage at ODP Hole 642B between c. 3.47 and 3.35 Ma (Fig. 5), suggesting  
27 that patches of deciduous to mixed forests grew in the area. These patches presumably  
28 represent extensions of the northern boundary of the mixed forest zone. The prevalence of  
29 boreal forest together with patchy deciduous forest stands and low alpine environments at  
30 higher altitudes is indicative of climatic conditions similar to today. Assuming tree line  
31 elevations of 400–800 m, the northern Norwegian mountains were probably not high enough

1 for mountain glaciers to establish between 3.47 and 3.35 Ma. Presently, glaciers only form in  
2 the high alpine zone, above 1000 m (Moen, 1987, 1999).

3 While the ODP Hole 642B pollen record indicates cold conditions similar to present-day (Fig.  
4 5, 7), re-occurring warmer and cooler climate conditions are documented in the Lake  
5 El'gygytyn and Lake Baikal region between 3.47 and 3.35 Ma (Andreev et al., 2014;  
6 Demske et al., 2002). As in ODP Hole 642B, the pollen percentages of thermophilic tree  
7 species (*Abies*, *Picea* and *Tsuga*) also decrease significantly between c. 3.48 and 3.45 Ma. At  
8 the same time, increased percentages of Poaceae and Cyperaceae pollen as well as *Sphagnum*  
9 and other spores indicate the development of open habitats, suggesting cooler and reoccurring  
10 drier and wetter climatic conditions around Lake El'gygytyn (Andreev et al., 2014). The  
11 peak in *Sphagnum* spores at c. 3.43 Ma in ODP Site 642B coincides with an increase in  
12 *Sphagnum* spores in the Lake El'gygytyn record, suggesting wetter climatic conditions both  
13 in northern Norway and the Siberian Arctic. A biome reconstruction for Lake El'gygytyn  
14 suggests the first appearance of tundra during a cool interval, lasting from 3.39 to 3.31 Ma  
15 (Andreev et al., 2014). A thinning of the forests and spread of Ericaceae shrubs and  
16 Lycopodiaceae is also recorded at Lake Baikal at 3.47 Ma, but warm conditions prevail until  
17 3.5 Ma (Demske et al., 2002). Between 3.5 and 3.38 Ma drier but still relatively warm  
18 climatic conditions are inferred from a change of moist (*Abies*, *Picea* and *Tsuga*) to dry  
19 (*Juniperus*-type, *Quercus*, *Larix/Pseudotsuga*) forests (Demske et al., 2002). A strong cooling  
20 is observed in the Lake Baikal region at 3.39 Ma from a spread of boreal taxa and a maximum  
21 in *Selaginella selaginoides* (Demske et al., 2002).

22 The period of cooling in Scandinavia, north-eastern Russian Arctic and southern East Siberia  
23 corresponds to the time of deposition of till, and hence glaciation, in the James Bay Lowland,  
24 Canada (~52°N) at c. 3.5 Ma (3.6–3.4 Ma) (Gao et al., 2012), and also to enriched global  
25 benthic  $\delta^{18}\text{O}$  (Lisiecki and Raymo, 2005). No major glacial events are, however, evident in  
26 the Nordic Seas during this time interval (Fronval and Jansen, 1996; Kleiven et al., 2002).  
27 Gao et al. (2012) propose that the lack of ice-rafted detritus in the Nordic Seas either indicates  
28 a significant contribution from the North American ice sheet to the elevated benthic  $\delta^{18}\text{O}$   
29 values or a restriction of glaciation to the continental interior of Greenland and  
30 Fennoscandinavia. The Piacenzian vegetation record for northern Norway suggests a wide  
31 distribution of boreal forest between 3.47 and 3.35 Ma. Considering the present-day average  
32 minimum elevation of glaciers (~1000 m) in northern Norway, and a lower height of the

1 Scandinavian mountains during the Pliocene, mountainous glaciation seems highly unlikely  
2 (Andreassen et al., 2012; Sohl et al., 2009).

3 In the North Atlantic, SSTs derived from alkenones decrease at 3.45 Ma at Integrated Ocean  
4 Drilling Program (IODP) Site U1313 (41°N, 33°W) just north of the subtropical gyre,  
5 suggesting a weakened northward heat transport via the North Atlantic Current (NAC) (Naafs  
6 et al., 2010). At ODP Site 982 (58°N, 16°W), which sits at the northern end of the NAC, a  
7 gradual cooling of SSTs (alkenones) is recorded from c. 3.5 Ma onwards (Lawrence et al.,  
8 2009). These SST records confirm the hemispheric-wide extent of climatic changes around c.  
9 3.47 Ma.

#### 10 **5.4 Vegetation and climate at 3.35–3.29 Ma**

11 After c. 3.35 Ma the percentages of *Pinus* pollen drop below 50%, suggesting a decline in  
12 pine forest coverage and a further cooling of climate (Fig. 5). Around 3.3 Ma the high relative  
13 abundance of *Sphagnum* spores reflects a spread of peat lands and high precipitation (Fig. 7).  
14 Additionally, the absence of temperate pollen taxa, e.g. *Carpinus*, *Carya*, *Sciadopitys* and  
15 *Tsuga*, is indicative of the predominance of boreal forest. The proportion of *Sphagnum* spores  
16 is about twice as high around 3.3 Ma than in the surface sample, suggesting a more extensive  
17 distribution of peat lands.

18 The upper limit of well-developed peat land communities is presently reached in the lower  
19 alpine zone. The maximum elevation of this zone ranges from 600 to 1400 m where the  
20 Scandinavian mountains reach a sufficient height (Moen, 1987, 1999). The clear boreal to  
21 alpine character of the vegetation around 3.3 Ma suggests that climatic conditions might have  
22 been cold enough to allow for the establishment of mountain glaciers in northern Norway.  
23 The cooling event at c. 3.3 Ma coincides with glacial MIS M2, a major global glaciation  
24 event. In the Northern Hemisphere a modern-like ice configuration with glaciation in  
25 Greenland, Iceland, the Barents Sea and Scandinavia is suggested for MIS M2 (De Schepper  
26 et al., 2014). However, the possibility of a hiatus in ODP Hole 642B over the most extreme  
27 part of MIS M2 must be considered (Fig. 3) (see section *Age model*).

28 At Lake El'gygytgyn a further increase in herbs and spores combined with a decrease in tree  
29 taxa is observed at 3.352 Ma, indicating enhanced cooling and relatively dry climatic  
30 conditions (Andreev et al., 2014). Between 3.310 and 3.283 Ma tundra- and steppe-like  
31 vegetation dominated around the lake but tree and shrub vegetation was still present in the

1 area. A high *Sphagnum* content also points to the existence of wetlands around the lake  
2 (Andreev et al., 2014). In the lake Baikal region, cool climatic conditions still persisted  
3 around 3.3 Ma (Demske et al., 2002).

#### 4 **5.5 Vegetation and climate at 3.29–3.16 Ma**

5 After 3.29 Ma, cool temperate deciduous to mixed forests re-migrated to northern Norway as  
6 shown by the frequent presence of pollen from temperate deciduous taxa (e.g. *Carpinus*,  
7 *Carya*, *Pterocarya*, *Quercus*) and the increase in the relative abundance of conifer pollen (Fig.  
8 5). Between 3.29 and 3.26 Ma, pollen percentages of *Pinus* and conifers are high while those  
9 of *Sphagnum* spores are relatively low, suggesting a spread of forest at the expense of peat  
10 lands and thus warmer climatic conditions. A reestablishment of *Pinus-Larix-Picea* forests  
11 after MIS M2 is also documented at Lake El'gygytgyn and mixed coniferous forests spread in  
12 the Lake Baikal region (Andreev et al., 2014; Demske et al., 2002).

13 In the pollen record of ODP Hole 642B an opening of the vegetation is seen at c. 3.26 Ma,  
14 when the relative abundance of *Pinus* pollen and *Lycopodium* spores decreases. The  
15 correspondingly increased proportion of *Sphagnum* spores suggests wetter and possibly also  
16 cooler climatic conditions (Fig. 5). Thereafter, percentages of *Pinus* are quite variable,  
17 suggesting repeated warmer phases. Taxa diversity is higher during the warmest climatic  
18 conditions within the Piacenzian record (3.29–3.18 Ma) when compared to the preceding cool  
19 intervals (3.47–3.29 Ma). This coincides with a higher number of taxa in individual samples  
20 (Fig. 7). In the north-eastern Russian Arctic an opening of the vegetation and drier climatic  
21 conditions is inferred from the high amounts of herb pollen in the Lake El'gygytgyn record  
22 between c. 3.25 and 3.20 Ma (Andreev et al., 2014). This coincides with low *Pinus* pollen and  
23 high *Sphagnum* spore percentages in the sediments of ODP Hole 642B. Cooler climate  
24 conditions are also recorded in the Lake Baikal region during this interval (Demske et al.,  
25 2002). In northern Norway climate oscillated between cooler and warmer phases. The high  
26 abundance of *Pinus* pollen in the sediments of ODP Hole 642B around 3.20–3.18 Ma  
27 coincides with a re-establishment of forests around Lake El'gygytgyn at c. 3.20 Ma (Andreev  
28 et al., 2014). The decline in the relative abundance of *Pinus* pollen and marked increase in the  
29 proportion of *Sphagnum* spores after 3.18 Ma suggest the establishment of cooler climatic  
30 conditions similar to those during MIS M2.

1 Over the entire 3.29–3.16 Ma interval, a wider distribution of cool temperate montane forests  
2 in northern Norway during warmer phases is indicated by peaks in the abundances of  
3 *Sciadopitys* and *Tsuga* pollen, coinciding with high *Pinus* pollen contents (Fig. 5).  
4 Thermophilic pollen taxa like *Carpinus*, *Carya*, *Ilex*, *Pterocarya* and *Quercus* occur  
5 frequently together with other rarely represented pollen of deciduous trees (e.g. *Acer*, *Fagus*,  
6 *Juglans*, *Nyssa* and *Tilia*), pointing to the presence of nemoral to boreonemoral forests and a  
7 warm climate (Fig. 5, 6). Relatively high numbers of *Juniperus*-type and Cupressaceae pollen  
8 suggest the prevalence of open shrub vegetation at higher altitudes throughout the interval  
9 (Bjune, 2005; Seppä and Birks, 2001). The high proportion of *Sphagnum* spores, peaks in  
10 herbs such as Asteraceae, Chenopodiaceae and Poaceae pollen and the regular occurrence of  
11 other herb pollen taxa also suggest the continuous presence of open environments (Fig. 5).  
12 The relatively high abundance of *Sphagnum* spores also points to more humid conditions than  
13 during the previous intervals.

14 The negative correlation of *Pinus* and *Sphagnum* pollen percentages suggests distinct changes  
15 between a wider forest coverage and expansion of peat lands, presumably indicative of  
16 repeatedly warmer and cooler climatic conditions. The development of peat lands during  
17 Piacenzian intervals that show a similar-to-present vegetation distribution and climate might  
18 have contributed to the long-term decline in atmospheric CO<sub>2</sub> concentrations towards the  
19 Pleistocene (e.g. Lunt et al., 2008; Martínez-Botí et al., 2015; Pound et al., 2015). At present  
20 circumarctic peat lands in the Northern Hemisphere form a major carbon sink (e.g. Gajewski  
21 et al., 2001; MacDonald et al., 2006). In addition, a change from a taiga- to tundra-dominated  
22 vegetation significantly increases surface albedo by expanding snow cover over the summer  
23 (Koenig et al., 2011 and references therein). The wider distribution in peat lands in northern  
24 Norway during the Piacenzian might have acted as a positive internal feedback mechanism  
25 that facilitated the development of an extensive Scandinavian ice sheet around 2.72 Ma  
26 (Kleiven et al., 2002).

27 Our findings corroborate a previous palynological analysis of nine samples from the  
28 Piacenzian section of ODP Hole 642C, indicating the presence of a mixed conifer-hardwood  
29 forest at the northern limits of the deciduous forest zone in Norway between c. 3.3 and 3.1 Ma  
30 (Willard, 1994). However, our high-resolution study indicates that the warmth of the  
31 Piacenzian was not as stable as previously thought, but was instead interrupted by cooler

1 intervals, causing latitudinal and altitudinal shifts of the boundary between the deciduous and  
2 boreal forest zone.

3 In the North Atlantic, a return of warm conditions at 3.29 Ma is documented in the alkenone-  
4 based SST record of Site U1313, indicating an enhanced northward heat transport by the  
5 NAC (Naafs et al., 2010). A re-establishment of the NAC at 3.285 Ma, following the glacial  
6 maximum of MIS M2, is also seen in the alkenone- and Mg/Ca-derived SSTs as well as  
7 dinoflagellate assemblage changes at IODP Site U1308 (50°N, 24°W) and Deep Sea Drilling  
8 Project (DSDP) Site 610 (53°N, 19°W) further north (De Schepper et al., 2013). The warming  
9 documented at these sites is in agreement with this study. In contrast, Site 982 records a  
10 continuous cooling over this interval which might, however, be attributed to discrepancies in  
11 the age model (Khélifi et al., 2012; Lawrence et al., 2009, 2013).

## 12 **5.6 Vegetation and climate at 3.16–3.14 Ma**

13 The climate of Norway cooled further, as indicated by the steady decrease in the relative  
14 abundance of *Pinus* pollen, high proportions of *Sphagnum* spores and the sporadic occurrence  
15 of pollen from temperate taxa between c. 3.16 and 3.14 Ma (Fig. 5, 7). The pollen assemblage  
16 shows a strong resemblance to that during MIS M2, suggesting similar or slightly colder  
17 climatic conditions when compared to today, and potentially creating conditions favourable  
18 for glacier build-up at high altitudes. Cool climate prevailed in the James Bay Lowland,  
19 Canada during that time, as indicated by the prevalence of boreal forests (Gao et al., 2012). A  
20 thinning of forests and deflection to cooler conditions is also observed at Lake Baikal at c.  
21 3.18 and 3.15 Ma (Demske et al., 2002). In contrast, conifer forests grew under relatively  
22 warm climate conditions until 3.06 Ma in the Lake El'gytgyn area (Andreev et al., 2014).

## 23 **5.7 Late Pliocene climate reconstruction**

24 According to the pollen assemblages, the northern boundary of the boreonemoral and nemoral  
25 zone was shifted 4–8° latitude to the north during the two warmer-than-present intervals in the  
26 Piacenzian (3.6–3.47 Ma and 3.29–3.18 Ma). Conditions rather similar-to-present  
27 characterised the interval between 3.47 and 3.29 Ma.

28 Palaeoclimate estimates for the Piacenzian using the Coexistence Approach suggest that  
29 MATs and WMTs were at least (minimum) 4°C and 8°C higher than today, respectively.  
30 These minimum estimates fit well with the reconstructed latitudinal shifts in forest zones and

1 broadly corroborate previous temperature estimates from this site (Willard, 1994). Despite  
2 this match, it should be noted that the CA is only of very limited use in our study. Factors  
3 which hamper accurate climate estimates include:

- 4 • *Uncertainties in pollen identification* allow a determination of many pollen taxa to  
5 genus level only. This yields wide climatic tolerances and ranges
- 6 • *Distance of site from the mainland* results in overall lower number of taxa and  
7 complicates the identification of the pollen source area
- 8 • *Near coastal Scandinavian mountains* cause a mixed pollen signal with taxa from  
9 different vegetation and climate zones along the altitudinal gradient
- 10 • *Lack of modern analogue*: several taxa are extinct in Europe, such as *Carya*,  
11 *Sciadopitys* and *Tsuga*, and their modern distribution might not reflect their climatic  
12 tolerances during the Pliocene.

13 Considering these limitations, the Piacenzian climate reconstruction based on the CA for  
14 northern Norway should be considered a low confidence estimate only.

## 16 **5.8 (Sub)Arctic vegetation evolution during the Piacenzian**

17 During the Cenozoic, temperate to boreal forests showed a wide distribution in the Northern  
18 Hemisphere and were very uniform in their floristic composition (e.g. Wen, 1999; Xing et al.,  
19 2015). Palaeogeographic and palaeoclimatic changes during the Pliocene and Pleistocene led  
20 to today's disjunct distribution of tree genera in Europe, Asia and North America (e.g.  
21 Svenning, 2003; Xing et al., 2015). The Piacenzian flora of northern Norway exhibits a  
22 similar composition to that in Canada and Siberia at that time (e.g. Andreev et al., 2014;  
23 Pound et al., 2015; de Vernal and Mudie, 1989b). The reconstructed Piacenzian vegetation  
24 and climate changes in northern Norway are closely correlated with other vegetation records  
25 across the Northern Hemisphere (Table 1), indicating a hemispheric response to external and  
26 internal forcing. Vegetation changes at Lake El'gygytgyn strongly follow orbitally-induced  
27 glacial-interglacial cycles (Andreev et al., 2014; Brigham-Grette et al., 2013). For instance,  
28 the hemisphere-wide cooling at c. 3.48–3.47 Ma and c. 3.3 Ma coincide with MIS MG6 and  
29 M2, respectively (Andreev et al., 2014; Brigham-Grette et al., 2013; Lisiecki and Raymo,  
30 2005).

1 The circum-arctic distribution of tundra/peat lands developed during the late Piacenzian and  
2 throughout the glacial-interglacial cycles of the Pleistocene (e.g. Andreev et al., 2014;  
3 Gajewski et al., 2001). The transition of high-latitude vegetation changes from forest to tundra  
4 environments during the late Piacenzian presumably amplified the cooling through  
5 vegetation-snow albedo feedbacks (e.g. Gallimore and Kutzbach, 1996; Koenig et al., 2011).  
6 The long-term cooling trend over the Piacenzian that is observed in all records is in  
7 accordance with declining atmospheric CO<sub>2</sub> values from the Piacenzian towards the early  
8 Pleistocene (Bartoli et al., 2011; Martínez-Botí et al., 2015; Seki et al., 2010). Expansion of  
9 tundra biomes may have contributed to the drawdown of atmospheric CO<sub>2</sub>, further enhancing  
10 cooling.

11 The main vegetation and climate changes during the Piacenzian observed in (sub)Arctic  
12 records are illustrated in Table 1. During the earliest Piacenzian diverse forest communities  
13 persisted under a warmer-than-present climate in northern Norway, the north-eastern Russian  
14 Arctic and southern East Siberia (Andreev et al., 2014; Demske et al., 2002). In all regions  
15 cooling around 3.48–3.47 Ma is indicated by the development of open habitats. Till deposits  
16 in the James Bay Lowland, Canada provide evidence for glaciations between 3.6 and 3.4 Ma  
17 (Gao et al., 2012). A further opening of the vegetation and deterioration of climate is observed  
18 both in northern Norway and the north-eastern Russian Arctic after 3.35 Ma, culminating in  
19 the coldest recorded climatic conditions around 3.3 Ma. High *Sphagnum* spore content in  
20 ODP Hole 642B and the Lake El'gygytgyn record are indicative of an extensive distribution of  
21 peat lands during the coldest interval (Andreev et al., 2014). Warm climatic conditions re-  
22 established after c. 3.29 Ma in northern Norway, the north-eastern Russian Arctic and  
23 southern East Siberian which were interrupted by cooler intervals until c. 3.18 Ma (Andreev  
24 et al., 2014; Demske et al., 2002). However, conifer forests prevail under relatively warm  
25 climatic conditions in the vicinity of Lake El'gygytgyn until 3.06 Ma. Piacenzian deposits  
26 from the Yukon Territory, Canada have been assigned to the mPWP and reveal the prevalence  
27 of diverse forests with wetlands/lakes (Pound et al., 2015). Between 3.18 and 3.14 Ma  
28 reduced forest coverage in northern Norway and in the Lake Baikal area, and the  
29 predominance of boreal forest in the James Bay Lowland are indicative of cool climatic  
30 conditions (Demske et al., 2002; Gao et al., 2012).

31

## 1 **6 Conclusion**

2 The Piacenzian high-resolution pollen record from ODP Hole 642B in the Norwegian Sea  
3 reveals repeated fluctuation of cool temperate and boreal conditions. Warmest month  
4 temperatures are estimated to be 8–14°C higher than present. The northern boundary of the  
5 boreonemoral to nemoral forest zone was shifted northward by at least 4–8° latitude during  
6 warmer-than-present intervals. Throughout the Piacenzian record (c. 3.6–3.14 Ma) three main  
7 climatic phases are observed:

- 8 • 3.60–3.47 Ma: Cool temperate deciduous to mixed forest prevailed in northern  
9 Norway, suggesting a northward shift of vegetation boundaries of at least 4–8°  
10 latitude.
- 11 • 3.47–3.29 Ma: A southward shift of vegetation zones as well as a displacement of  
12 vegetation belts to lower altitudes indicates cooling. Low alpine environments develop  
13 in mountainous areas, suggesting climatic conditions similar to today. The cooling  
14 culminated at c. 3.3 Ma (around MIS M2), potentially creating conditions cold enough  
15 for glacier build-up at the highest summits.
- 16 • 3.29–3.14 Ma: Re-establishment of warmer-than-present climate conditions is  
17 indicated by re-migration of deciduous to mixed forest to northern Norway. Repeated  
18 warmer and colder phases are recorded until c. 3.18 Ma after which climate  
19 continuously cools and conditions similar to MIS M2 establish again. The  
20 development of peat lands might have contributed to the drawdown of atmospheric  
21 CO<sub>2</sub> before the start of Northern Hemisphere glaciation.

22 Future studies will focus on comprehensive multi-proxy analyses of ODP Hole 642B. By  
23 combining data from terrestrial and marine palynomorphs with SST estimates based on  
24 alkenones, and oxygen and carbonate isotopes these studies will further improve our  
25 understanding of the link between terrestrial and marine changes and the mechanisms causing  
26 Piacenzian climate changes in the Nordic Seas region.

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5

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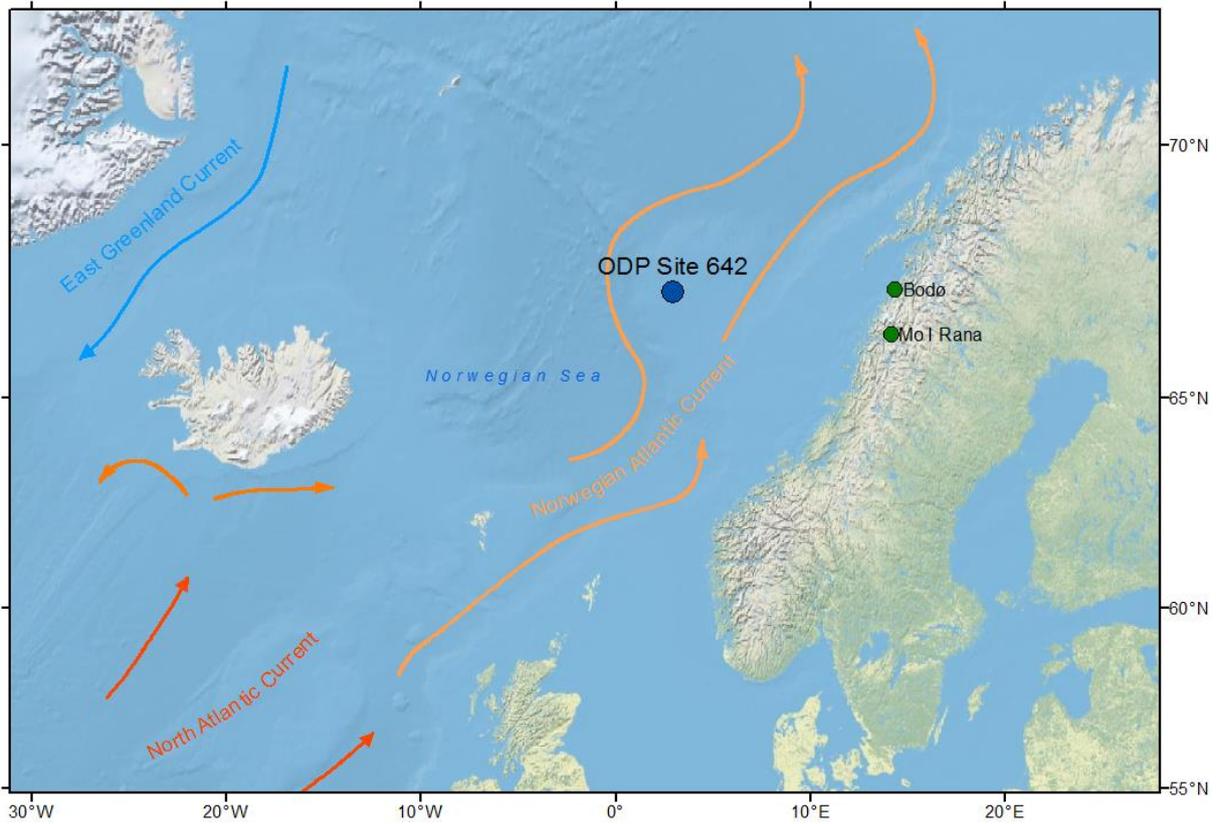
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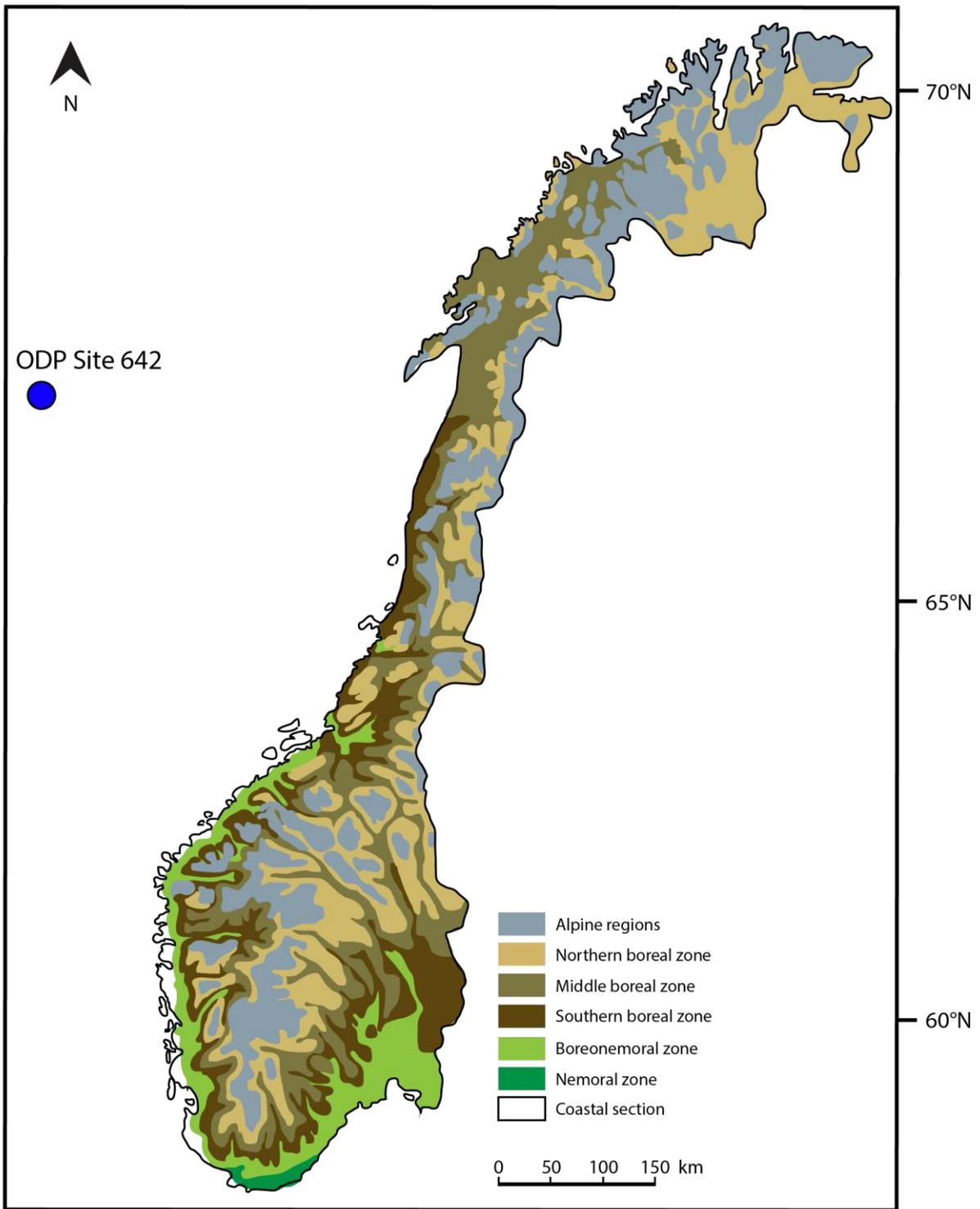
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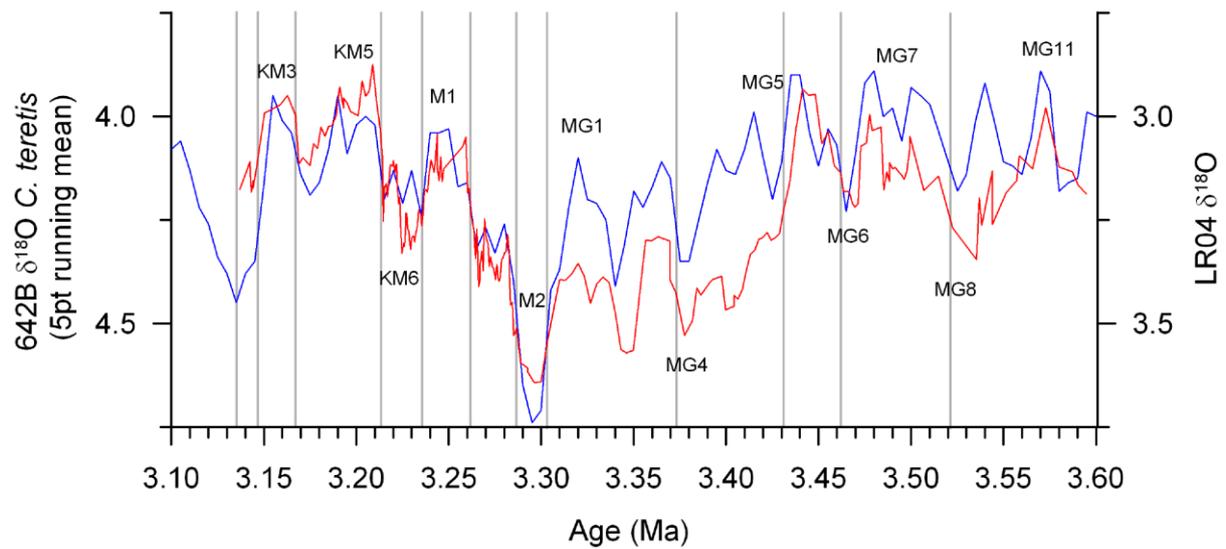
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 2 Figure 1: Location of ODP Site 642 in the Norwegian Sea. Meteorological station used for  
 3 present-day climate data are located in Bodø and Mo i Rana in northern Norway. Colour  
 4 coding indicates the relative temperature of currents, red = warm; orange = moderately warm;  
 5 blue = cold.



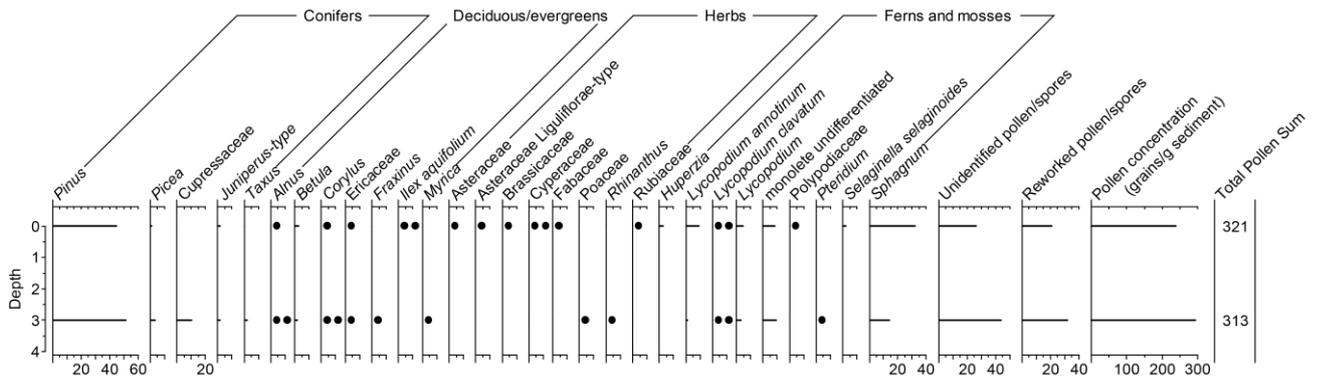
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2 Figure 2: Vegetation map of Norway modified after Moen (1987). Position of ODP Site 642  
 3 was drawn according to scale.

4



1  
 2 Figure 3: For the investigated time interval the age model of ODP Hole 642B is based on  
 3 correlating the 5 point smoothed benthic  $\delta^{18}\text{O}$  from 642B (Risebrobakken et al., in review)  
 4 with the global benthic oxygen isotope stack, LR04 (Lisiecki and Raymo, 2005). The LR04  
 5 stack is shown in blue and the smoothed 642B *Cassidulina teretis*  $\delta^{18}\text{O}$  record is shown in  
 6 red. The grey vertical lines indicate the tie points defined by the correlation and were used  
 7 when calculating the ages of Hole 642B. Indicated isotope stages refers to isotope stages as  
 8 defined by Lisiecki and Raymo (2005).



1

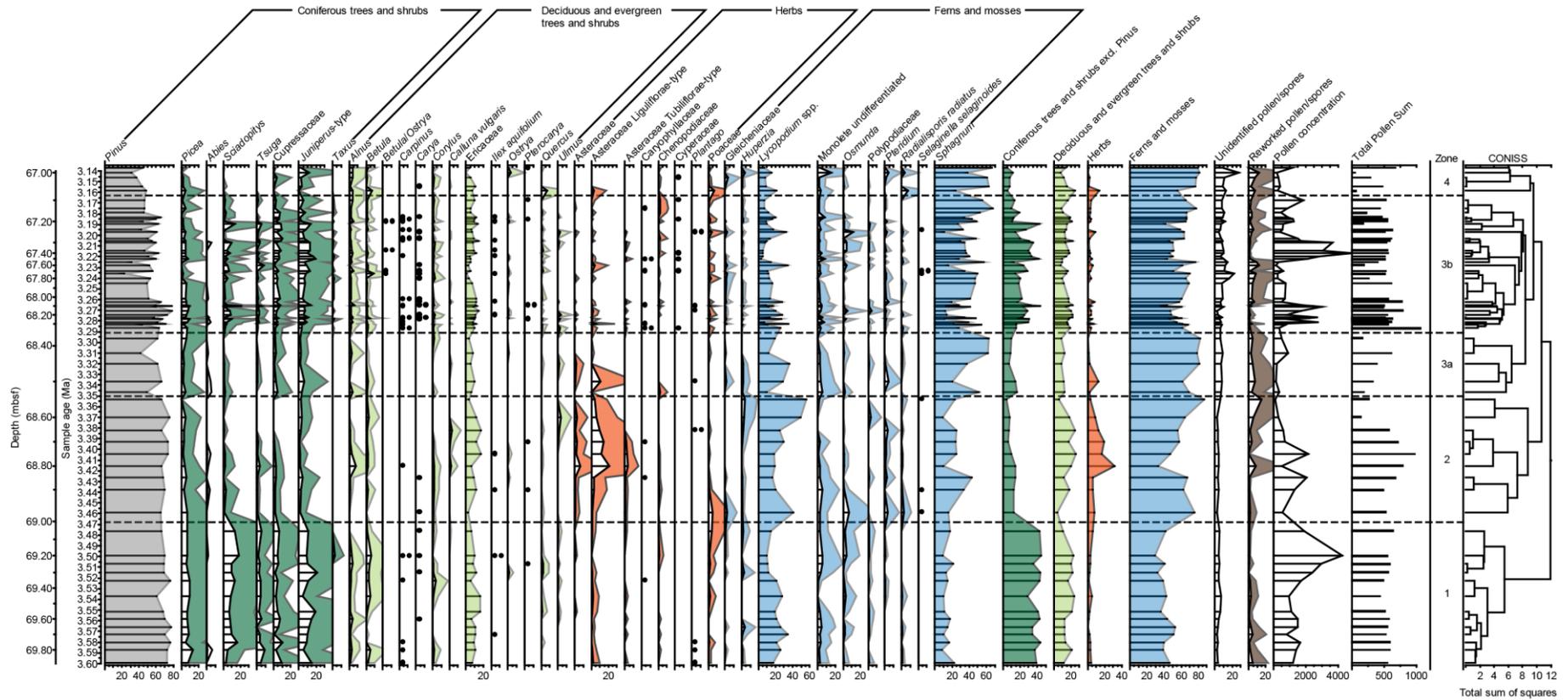
2 Figure 4: Pollen assemblages in the modern and mid-Holocene samples from ODP Hole 642B.

3 Black circles are representative of single pollen or spore grains. Percentages of pollen and

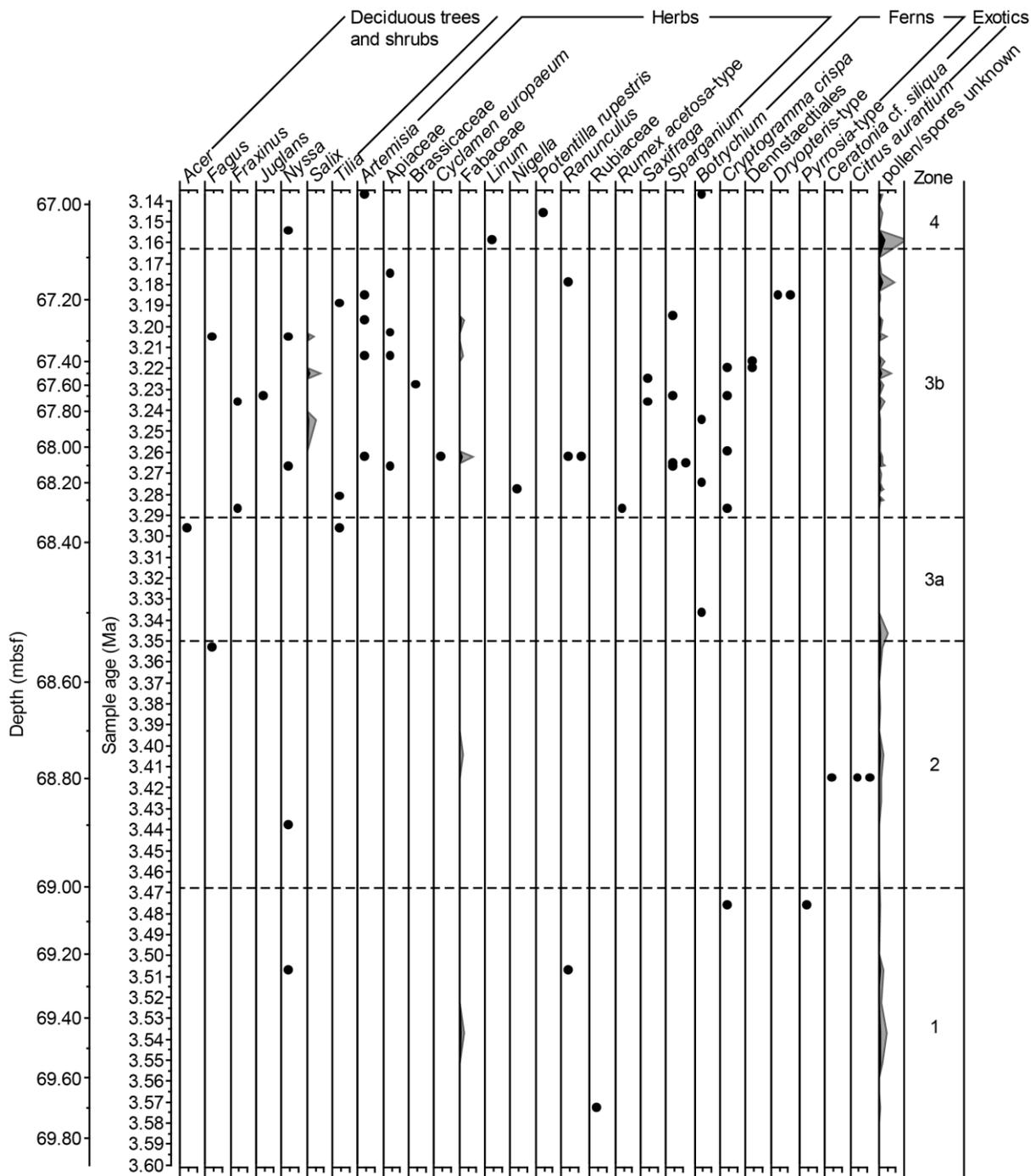
4 spores were calculated based on the pollen sum, excluding *Pinus*, unidentified and reworked

5 pollen/spores.

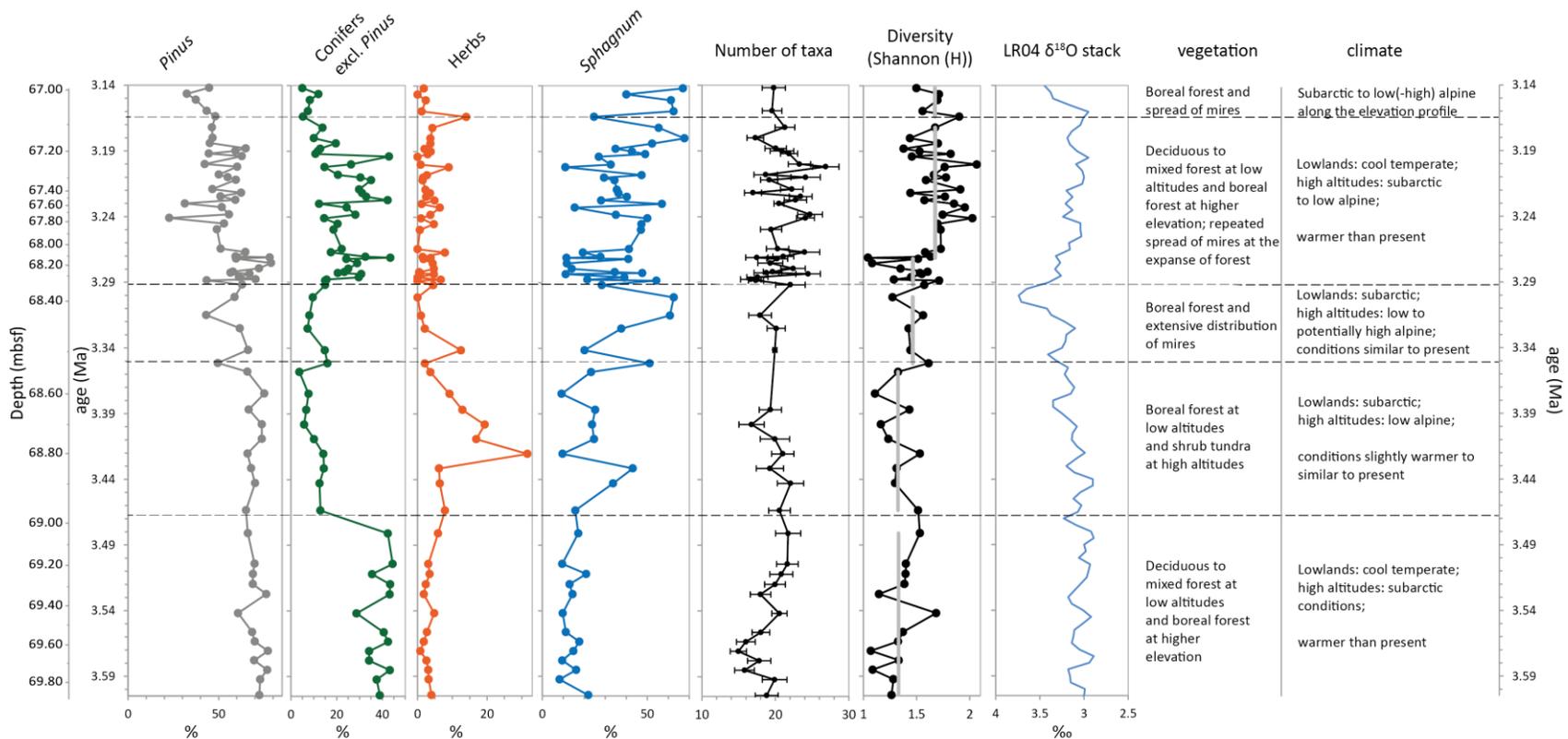
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3 Figure 5: Pollen assemblages in the Piacenzian sediments of ODP Hole 642B. Non-patterned, coloured area represents five times exaggeration  
4 of percentages. Black circles are representative of single pollen or spore grains. Percentages of pollen and spores were calculated based on the  
5 pollen sum, excluding *Pinus*, unidentified and reworked pollen/spores. *Pinus* was included in the pollen sum to calculate percentages of *Pinus*.  
6 The total pollen sum shown here comprises *Pinus* and unidentified pollen. Depth is indicated in meters below sea floor (mbsf).



1  
2  
3 Figure 6: Pollen diagram showing taxa that occur in fewer than five samples. Grey area  
4 represents five times exaggeration. The exotic group marks taxa that have likely been  
5 transported to the site from the south. Depth is indicated in meters below sea floor (mbsf).



1

2 Figure 7: Summary diagram illustrating percentage changes of the main taxa/taxa groups, diversity based on the Shannon index, numbers of  
 3 taxa rarefied at 300 individuals, the global benthic LR04 oxygen isotope stack (Lisiecki and Raymo, 2005) and description of the main  
 4 vegetation configurations and climatic conditions. The vertical grey lines in the diversity graphs correspond to the average value across the  
 5 interval. The dashed horizontal lines correspond to the main pollen zones shown in Fig. 5.

<b>Location/time interval</b>	<b>3.6-3.47 Ma</b>	<b>3.47-3.35 Ma</b>			<b>3.35-3.29 Ma</b>		<b>3.29-3.16 Ma</b>		<b>3.16-3.14 Ma</b>	
<b>Northern Norway (ODP Hole 642B, 67°N)</b>	Cool temperate to deciduous forest	Boreal forest and low alpine environments			Boreal forest and extensive peat lands		Cool temperate to boreal forests, long-term expansion of peat lands		Further decrease of forest coverage and spread of peat lands	
<b>Temperature</b>	Warm	<i>Cool</i>			<i>Cool</i>		Warm		<i>Cool</i>	
<b>Precipitation</b>	High	<i>Low</i>			High		High		High	
<b>North-eastern Russian Arctic (Lake El'gygytgyn, 67°N)</b>	Diverse coniferous forest with temperate trees and shrubs and peat lands	3.48 Ma: decrease in thermophilic taxa; 3.48-3.42 Ma: predominance of boreal forest and open habitats, repeated wetter and drier intervals; 3.42-3.39 Ma: boreal forest; After 3.39 Ma: boreal forest and first appearance of tundra			After 3.35 Ma: further opening of the vegetation; 3.31-3.28 Ma: mostly treeless tundra- and steppe-like vegetation with forest patches and peat lands		3.28-3.25 Ma: boreal forest; 3.25-3.20 Ma: boreal forest with open habitats; 3.20 Ma: boreal forest		After 3.06 Ma: opening of the vegetation	
<b>Temperature</b>	Warm	<i>Cool</i>	Warm	<i>Cool</i>	<i>Cool</i>		Warm	Warm	Warm	<i>Cool</i>
<b>Precipitation</b>	<i>Low</i>	High	High	<i>Low</i>	<i>Low</i>		High	<i>Low</i>	High	High
<b>South-eastern Siberia (Lake Baikal, 53°N)</b>	Mixed coniferous forests	At 3.47, 3.43 and 3.39 Ma: thinning of forest and predominance of boreal vegetation			After 3.3 Ma: Spread of coniferous forest		At 3.26 and 3.18 Ma: thinning of boreal forest and spread of boreal taxa		After 3.15 Ma: reduced forest coverage and development of open habitats	
<b>Temperature</b>	Warm	<i>Cool</i>	Warm	<i>Cool</i>	<i>Cool</i>		<i>Cool</i>		<i>Cool</i>	
<b>Precipitation</b>	High	<i>Low</i>	High	<i>Low</i>	<i>Low</i>	High	<i>Low</i>		<i>Low</i>	

<b>Canada (Yukon, 64°N and James Bay Lowland, 52°N)</b>	James Bay Lowland: till deposits dated to 3.5 Ma (3.4 – 3.6 Ma)	Yukon: diverse boreal forest with wetlands/lakes	James Bay Lowland: boreal forest
<b>Temperature</b>	<i>Cool</i>	<b>Warm</b>	
<b>Precipitation</b>		<b>High</b>	

1 Table 1: Summary of Piacenzian (sub)Arctic vegetation records. Time intervals correspond to  
2 the main pollen zones delimited in ODP Hole 642B (this study). Piacenzian vegetation  
3 changes are compiled from the Lake El'gygytgyn record in the NE Russian Arctic (Andreev  
4 et al., 2014); Lake Baikal record in SE Siberia (Demske et al., 2002); Yukon, NW Canada  
5 (Pound et al., 2015) and James Bay Lowland, SE Canada (Gao et al., 2012). Description of  
6 temperature and precipitation is relative and division of time intervals is arbitrary, indicating  
7 fluctuating climatic conditions.