

1 **The South American Monsoon Variability over the Last Millennium in climate models**

2
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4 5 **Abstract**

6 In this paper we assess South American Monsoon System (SAMS) variability in the Last
7 Millennium as depicted by global coupled climate model simulations. High-resolution
8 proxy records for the South American monsoon over this period show a coherent regional
9 picture of a weak monsoon during the Medieval Climate Anomaly and a stronger monsoon
10 during the Little Ice Age (LIA). Due to the small external forcing during the past 1000
11 years, model simulations do not show very strong temperature anomalies over these two
12 specific periods, which in turn do not translate into clear precipitation anomalies, in
13 contrast with the rainfall reconstructions in South America. Therefore we used an ad-hoc
14 definition of these two periods for each model simulation in order to account for model-
15 specific signals. Thereby, several coherent large-scale atmospheric circulation anomalies
16 were identified. The models feature a stronger Monsoon during the LIA associated with: (i)
17 an enhancement of the rising motion in the SAMS domain in austral summer, (ii) a stronger
18 monsoon-related upper-tropospheric anticyclone, (iii) activation of the South American
19 dipole, which results in a poleward shift of the South Atlantic Convergence Zone, and (iv) a
20 weaker upper-level subtropical jet over South America. The diagnosed changes provide
21 important insights into the mechanisms of these climate anomalies over South America
22 during the past millennium.

23 24 25 **Keywords**

26 South American monsoon, Last Millennium, Little Ice Age, Medieval Climate Anomaly,
27 CMIP5/PMIP3 simulations, precipitation reconstruction

28 29 30 **1. Introduction**

31
32 It is well established that monsoon systems respond to orbital forcing (Kutzbach and Liu,
33 1997; Kutzbach et al., 2007; Bosmans et al., 2012). At orbital timescales (especially related
34 to the precessional cycle of approx. 19 and 21 kyrs), changes in the latitudinal insolation
35 gradient, and hence temperatures, force the monsoon circulation globally (e.g., Bosmans et
36 al., 2012). In the precession frequency band the summer insolation is in anti-phase between
37 hemispheres (for example, when Northern Hemisphere (NH) summer insolation is at its
38 maximum, summertime insolation in the Southern Hemisphere (SH) is at its minimum).
39 This results in weakened monsoon circulation and precipitation in one hemisphere while in
40 the other the monsoon is strengthened. The mechanism for the orbital-induced monsoon
41 variability is therefore mainly related to meridional temperature gradients. Thus, it is not

42 surprising that other phenomena that produce important changes in hemispheric
43 temperature gradients are also responsible for monsoon variability. Examples of these are
44 abrupt Dansgaard-Oeschger events during the last glacial (Kanner et al., 2012; Cheng et al.,
45 2013) and Heinrich events, including the Heinrich 1 event, during the last deglaciation (ca.
46 17 ka BP) (e.g., Griffiths et al., 2013; Deplazes et al., 2014; Cruz et al., 2006; Strikis et al.,
47 2015).

48
49 In recent years, similar variability has also been observed for shorter timescales, in
50 particular between the two most prominent climate anomalies over the Last Millennium
51 (LM), the Medieval Climate Anomaly (MCA, ca. 950-1250 CE) and the Little Ice Age
52 (LIA, ca. 1450-1850 CE) (e.g., Masson-Delmotte et al., 2013a). Recent high-resolution
53 records from the area of the South American Monsoon System (SAMS) domain have been
54 used to reconstruct precipitation over this region. Records include speleothems (Novello et
55 al., 2012, 2016; Kanner et al., 2013; Apaestegui et al., 2014), pollen (Ledru et al., 2013),
56 lake sediments (Bird et al., 2011), as well as tree-ring reconstructions (Morales et al., 2012).
57 Vuille et al. (2012) reviewed current available proxy records for the SAMS region. Most
58 reconstructions show good correlations with NH temperature and Intertropical
59 Convergence Zone (ITCZ) reconstructions. According to these paleoclimate studies, the
60 LIA was characterized by a cool north equatorial Atlantic and a warm south equatorial
61 Atlantic (Haug et al., 2001; Polissar et al., 2006) whereas an opposite pattern was present
62 during the MCA. This meridional temperature gradient led to a southward (northward)
63 migration of the Atlantic ITCZ during the LIA (MCA) (Haug et al., 2001). Indeed, SAMS
64 reconstructions during the last millennium show a weaker monsoon during the MCA period
65 and a relatively stronger monsoon during the LIA period (e.g. Bird et al., 2011; Vuille et al.,
66 2012; Ledru et al., 2013; Apaestegui et al., 2014), indicating an anti-correlation with
67 reconstructions of the Southeast Asian monsoon (Zhang et al., 2008; Shi et al., 2014;
68 Polanski et al., 2014), as well as with the North African and North American monsoons
69 (Asmerom et al., 2013), for those periods.

70
71 Moreover, modelling studies support a southward (northward) shift of the Atlantic ITCZ
72 during LIA (MCA) derived from temperature and precipitation reconstructions. For
73 instance, model simulations by Vellinga and Wu (2004) suggest that anomalous northward
74 ocean heat transports during the MCA was linked to an enhanced cross-equatorial
75 temperature gradient in the Atlantic and a northward movement of the ITCZ. Kageyama et
76 al. (2013) analysed fresh water hosing simulations over the North Atlantic to force
77 fluctuations in the strength of the Atlantic Meridional Overturning Circulation (AMOC).
78 Their analyses suggest that the model response to an enhanced high latitude fresh water
79 flux is characterized by a general cooling of the North Atlantic, a southward shift of the
80 Atlantic ITCZ, and a weakening of the African and Indian monsoons. Furthermore,
81 modelling experiments discussed by Broccoli et al. (2006) and Lee et al. (2011) indicate
82 that cooler-than-normal temperatures imposed in the North Atlantic domain, as occurred

83 during the LIA, shifts the Atlantic ITCZ southward. In their experiments, this shift is
84 related to a strengthening of the northern Hadley cell in austral summer and a slight shift in
85 its rising branch to the south. Thus, a number of paleoclimate reconstructions and
86 modelling studies suggest that the particular temperature anomalies observed during the
87 MCA and LIA periods, especially in the North Atlantic, were large enough to modify the
88 location of the ITCZ over the tropical Atlantic, thereby affecting the strength of the summer
89 SAMS throughout the past millennium (see also a review by Schneider et al., 2014).

90

91 Recent climate modelling experiments for the LM (850–1850 CE) have been incorporated
92 in the third phase of the Paleoclimate Modelling Intercomparison Project (PMIP3). About a
93 dozen models included in the Climate Model Intercomparison Project Phase Five (CMIP5)
94 ran this experiment, which considers solar, volcanic, greenhouse gases, and land use
95 scenarios during the LM (Schmidt et al., 2011, 2012).

96

97 In this paper, we explore if and how these coupled General Circulation Models (GCMs)
98 simulations capture the variability of the SAMS associated with LIA and MCA temperature
99 anomalies, as suggested by rainfall reconstructions and diverse modelling studies in the
100 region. This evaluation provides further insights regarding the response of the current
101 generation of GCMs to external forcing during the LM. We focus on the models' ability to
102 simulate the variability of the main characteristics of the South American climate during
103 two periods of near-global temperature anomalies. These characteristics are analysed by
104 concentrating on three main features: precipitation, temperature, and atmospheric
105 circulation.

106

107 This paper is organized as follows: section 2 presents a short description of the model
108 simulations considered and the methodology used to identify the MCA and LIA periods;
109 section 3 presents the main results from the climate simulations of the SAMS during both
110 periods; and section 4 presents a discussion and the main conclusions from this study.

111

112

113 **2. Methodology and Model Simulations**

114

115 We use nine available coupled GCMs, eight of which correspond to CMIP5/PMIP3 LM
116 simulations and one that does not follow the exact PMIP3 experimental setup. The model
117 simulations are listed in Table 1. These simulations cover the period 850-1850 CE,
118 although some of them continued up to the present. But since not all modeling groups have
119 continuous runs to the present (including the period 1850-2000) available, the analysis in
120 this paper covers only the period until 1850 CE. The LM simulations were forced with
121 orbital variations (mainly shifts in the perihelion date), common solar irradiance, two
122 different volcanic eruption reconstructions, land-use change, and greenhouse gas (GHG)
123 concentrations. A full description of the exact forcings used in these LM simulations is

124 given by Schmidt et al. (2011, 2012). Furthermore, a detailed list of individual forcings
125 applied in each simulation is given in Annex 2 of Masson-Delmotte et al. (2013a).

128 **2.1 Definition of periods**

129 The fifth Intergovernmental Panel on Climate Change (IPCC) assessment report (AR5)
130 (IPCC, 2013), defined the two periods of most prominent climate anomalies over the past
131 millennium as the MCA (950–1250 CE) and the LIA (1450–1850 CE). This report also
132 concluded that the MCA was a period of relative global warmth, although in general less
133 homogenous than the current warmth, whereas the LIA was a much more globally uniform
134 cold period (Masson-Delmotte et al., 2013a). A recent analysis of the consistency of the
135 CMIP5/PMIP3 LM temperature simulations indicates that these simulations often differ
136 from available temperature reconstructions in their long-term multi-centennial trends,
137 which is related to the transition from the MCA to the LIA period (Bothe et al., 2013;
138 Fernández-Donado et al., 2013). Figure 1a shows the NH temperature anomaly time series
139 for each of the nine models considered, as well as its ensemble mean. For comparison,
140 reconstructions used in Fig 5.7 of the fifth IPCC report are shown (Masson-Delmotte et al,
141 2013a,b). From Figure 1a it is clear that the temperature anomalies over the last millennium
142 are small, and that there is not a clearly common identifiable MCA and LIA periods are not
143 easily identifiable across models. This is consistent with the notion that at least the MCA is
144 partially a result of internal climate variability.

145 The hypothesis that guides the methodology used to assess the SAMS variability in models,
146 is that both periods resulted substantially from internal (non-forced) variability. In addition,
147 given that not all GCM simulations used the exact same forcing, we cannot expect the
148 models to exactly reproduce the temporal variability as indicated by the reconstructions.
149 Therefore, we identify these two periods individually in each model, using two criteria.
150 First, for each model, the warmest period during 950-1250 CE (MCA) and coldest period
151 during 1450-1850 CE (LIA) are defined by calculating the annual temperature anomaly
152 over the NH (north of 30°N) with respect to the 1000-1850 mean (the longest common
153 period in the simulations) and lying above and below the mean for the MCA and LIA
154 respectively. Second, given the evidence for Atlantic southward/northward shifts of the
155 ITCZ related to altered meridional sea surface temperature gradients between the tropical
156 north and south Atlantic, we also verify that the periods identified with the first criterion
157 correspond to periods when the surface temperature difference between the boxes (5°-20°N)
158 and (20°-5° S) in the Atlantic were negative (positive) for LIA (MCA). We then verify that
159 both criteria coincide. For example, for the LIA, the period with cold NH temperature
160 anomalies coincide with temperature anomalies in the North Atlantic box colder than that
161 its South Atlantic box counterpart (negative gradient, not shown). This ad-hoc definition of
162 periods can be considered as a “conditional composite” analysis. The MCA and LIA

163 periods identified in each model are shown in Table 1. Note that in general the periods are
 164 on the order of 80-110 years long; shorter than the more general MCA and LIA definition.
 165 Figure 1b shows the Gaussian fit of the frequency distribution of NH temperatures of all the
 166 years defined as LIA years (red curve) and MCA years (blue curve) respectively. The
 167 difference between the two periods is statistically significant (bootstrap test, 5%
 168 significance level). Even though the anomalies are rather weak during both periods, a
 169 comparison with the values from their respective control simulation (piControl) shows that
 170 both periods are also significantly different, at the 5% significance level from the long-term
 171 mean. In addition, Figure 2 shows the maps of the annual mean temperature anomalies
 172 during LIA and MCA, as well as their difference, for the ensemble mean. Temperature
 173 anomalies in the models are largest over the NH and in particular over the North Atlantic
 174 domain. Importantly, however, the LIA and MCA periods identified in the models are not
 175 synchronous, as shown in Table 1.

176
 177

178 **2.2 Variables used**

179 To identify the main differences in LM simulations of the SAMS, particularly during the
 180 LIA and MCA periods, we analyse monthly CMIP5/PMIP3 output for rain rate, and 850
 181 hPa and 200 hPa horizontal winds. All variables have been re-gridded using a simple linear
 182 interpolation to a common 2x2 degree grid. In addition, the local Hadley Cell is evaluated
 183 using the meridional mass streamfunction (Ψ) calculated from the irrotational component of
 184 the meridional flow, as proposed by Zhang and Wang (2013). The computation involves
 185 the irrotational components of the zonal mean meridional wind [v_{IR}] over the American
 186 sector (80°W-30°W, 35°S-15°N). Here, Ψ is defined as the vertically integrated northward
 187 mass flux at latitude ϕ from pressure level p to the top of the atmosphere. Thus,

188

$$189 \quad \Psi(\phi, p) = \frac{2\pi \cos\phi}{g} \int_0^p [v_{IR}(\phi, p)] dp \quad (1)$$

190

191 where g denotes the acceleration due to gravity. All the calculations were carried out from
 192 monthly mean values, from which climatological means were calculated, and seasonal and
 193 annual means evaluated.

194 The oceanic Inter-tropical Convergence Zone (ITCZ) is identified following the method
 195 proposed by Frierson and Hwang (2012). They define the ITCZ location by the
 196 precipitation centroid, as the tropical latitude with the maximum precipitation, at all
 197 longitudes over the ocean. Following their method, the precipitation was first interpolated
 198 onto a grid of 0.1 degrees to allow the precipitation centroid to vary. We explicitly do not
 199 consider the precipitation maxima over continents due to known problems in the correct
 200 definition of the ITCZ (e.g. see Laderrach and Raible (2013); Nicholson, 2009).

201

202 The next section examines the performance of the models and whether they simulate a

203 stronger SAMS during the LIA, in comparison to the MCA, as suggested by precipitation
204 proxies and previous modelling experiments. In addition, since the SAMS is a dominant
205 feature of the South American climate during austral summer (e.g., Vera et al., 2006), we
206 focused on its mature phase, the December-January-February (DJF) season.

209 **3. Simulated SAMS circulation**

211 **3.1 Precipitation**

212 Figure 3a shows the annual mean precipitation difference between the LIA and MCA
213 periods. Blue and red curves correspond to the annual mean position of the oceanic ITCZ
214 during LIA and MCA periods, respectively. The ensemble mean shows that the
215 precipitation differences are small and statistically significant only in some regions
216 (bootstrap test, $p < 0.05$). There is more precipitation during the LIA compared with the
217 MCA in Northeastern Brazil and across the tropical Atlantic, which are regions directly
218 affected by the ITCZ position in the current climate. The mean position of the ITCZ
219 between the two periods does not show any significant shifts (see Figure 3b), but a small
220 southward shift in the Atlantic during the LIA is found, in accordance with the precipitation
221 signal. Individually, models do show that during the LIA the ITCZ was shifted further
222 southward at some longitudes (Pacific and Atlantic Oceans) when compared with the MCA
223 (not shown).

224
225 Figure 4a shows climatological precipitation and 850 hPa atmospheric circulation over the
226 SAMS region during austral summer. In general, models are able to reproduce the main
227 summer circulation and precipitation characteristics over South America observed in
228 present-day climate. A narrow oceanic ITCZ, a broad area of maxima rainfall over the
229 continent (SAMS), and a southeast-northwest oriented South Atlantic Convergence Zone
230 (SACZ) are observed in LM simulations, consistent with present-day observations (e.g.,
231 Garreaud et al., 2009). However, some models exhibit a double ITCZ over the eastern
232 Pacific. This bias has been previously identified in CMIP3 and CMIP5 simulations,
233 especially during austral summer and fall seasons (Hirota and Takayabu, 2013; Sierra et al.,
234 2015). Despite the limitations of model resolution, austral summer lower tropospheric
235 circulation simulated by the ensemble mean reproduces a cyclonic circulation over
236 southeastern Bolivia (a.k.a. “Chaco low”) as seen in observations and its associated
237 northerly low-level jet, which is channelled by the Andes topography, transporting moisture
238 to southern South America (Marengo et al., 2004).

239
240 When comparing LIA and MCA composites for DJF (Figure 4b), the models exhibit an
241 increased easterly flow at approximately 5°S over the Atlantic and a weaker northerly low-
242 level jet north of the Chaco low region, consistent with less precipitation over the SACZ
243 during the LIA. Models also simulate less summer SAMS precipitation during LIA over the

244 Amazon and the SACZ, but more in the Nordeste. This pattern is in opposition to rainfall
245 reconstructions over the western Amazon, the SACZ, as well as Nordeste (e.g., Vuille et al.,
246 2012; Novello et al, 2012; Apaestegui et al., 2014; Novello et al., 2016). By contrast, when
247 considering annual mean simulations (Figure 3), most models show a southward migration
248 of the Atlantic ITCZ (not very visible in the ensemble mean) and enhanced precipitation
249 over the SAMS domain during the LIA, particularly over the eastern and southern Amazon,
250 in agreement with paleo-climatological records for this period. This indicates that the LM
251 simulations are not able to reproduce the expected changes of the austral summer Atlantic
252 ITCZ location and SAMS rainfall during LIA and MCA periods. The positive changes in
253 the annual mean seen in Figure 3 are due to the spring and autumn transition seasons.

254

255 **3.2 Local Hadley cell**

256 Several studies indicate that the strong seasonality of the SAMS is partially induced by the
257 meridional migration of the local Hadley Cell (e.g., Trenberth et al., 2000; Dima and
258 Wallace, 2003). Modelling results from Lee et al. (2011) suggest that the southward shift of
259 the Atlantic ITCZ during a colder NH event strengthens the northern Hadley cell in austral
260 summer, shifting its rising branch slightly southward into South America. Thus, to identify
261 if the LM simulations exhibit coherent anomalies in the local Hadley Cell over the
262 American sector (80°W-30°W, 35°S-15°N) during LIA and MCA periods, we analyse the
263 climatological DJF meridional mass streamfunction estimated from the irrotational
264 component of the winds for both periods (Figure 5). In general, models reproduce the main
265 local austral summer Hadley Cell characteristics: a stronger branch located over the winter
266 hemisphere (NH) with enhanced rising motion over the SH, mainly between 10°S and the
267 equator, and a weaker branch over the summer hemisphere (SH). The local Hadley Cell
268 during the LIA is somewhat more intense compared with the MCA, especially over the
269 descending part in the NH, and to a smaller extent in the ascending part over the SH, but
270 there is no significant latitudinal shift of the cell (see Fig. 5b). This is only partially in
271 agreement with the modelling experiment by Lee et al. (2011).

272 The intensification of the Hadley cell upward branch over South America, shown by most
273 models during the LIA, is consistent with the enhanced precipitation as suggested by
274 rainfall reconstructions in the region for this period (e.g., Vuille et al., 2012), although this
275 pattern is not borne out in the corresponding rainfall simulated by these models.

276

277 **3.3 Bolivian high and subtropical jet**

278 The well-documented southward migration of the Hadley Cell and its rising centre from
279 10°N in JJA to 10°S in DJF is only a part of the monsoon rainfall seasonal migration over
280 the Americas, which reaches a more southward location in austral summer (Dima and
281 Wallace, 2003). Furthermore, this wide area of continental convection, although related to
282 local convergence zones, is not only a result of the shift of the ITCZ into subtropical
283 latitudes. The establishment of the Bolivian high, the characteristic monsoon upper-level
284 anticyclone located over the central Andes during austral summer, and the position and

285 strength of the SH subtropical jet (SHSJ) in South America are also related to this
286 monsoonal convective activity (Lenters and Cook, 1997; Garreaud et al., 2003; Yin et al.,
287 2014).

288

289 To identify changes in the Bolivian high during the LM, we analyse the austral summer
290 upper-troposphere circulation during the LIA and MCA (Figure 6). Results indicate a
291 stronger and more southeastward location of the SAMS anticyclone during the LIA. This
292 strengthening of the Bolivian high is consistent with a stronger SAMS circulation. The
293 southward shift of this upper-level anticyclone is related to an enhanced summer easterly
294 flow over the central Andes, as suggested by previous studies (Lenters and Cook, 1999),
295 and in turn would favour moisture transport and rainfall over the region (Garreaud et al.,
296 2003). Moreover, the upper tropospheric wind anomalies strikingly resemble the South
297 American dipole (e.g. Robertson and Mechoso, 2000), a primary mode of variability over
298 this region. An anticyclonic anomaly is associated with a diffuse SACZ, enhancing
299 moisture convergence and precipitation on its southwestern flank (i.e. leading to a poleward
300 shift in the location of the SACZ). Again, model simulations do not show this enhanced
301 austral summer rainfall in the Amazon and central Andes during the LIA, and feature only
302 marginally more precipitation to the southwest (Figure 3).

303

304 On the other hand, recent studies have identified that the strength and location of the SHSJ,
305 which corresponds to the southward extent of the Hadley Cell, is a key factor for triggering
306 convection during the dry-to-wet season transition in the Amazon (Yin et al., 2014).
307 Particularly, when the SHSJ is weaker and/or reaches a more equatorward location, it
308 promotes the incursion of synoptic disturbances to subtropical South America (e.g.,
309 Garreaud, 2000), enhancing lower-troposphere convergence and triggering the wet season
310 onset over the region (e.g., Li and Fu, 2006). To identify simulated changes of the SHSJ
311 during the LIA and the MCA, Figure 7 shows the 30m/s isotach of the climatological
312 September-November 200 hPa zonal wind as well as the difference between LIA and MCA
313 periods. In general, the ensemble mean does not exhibit significant changes in the SHSJ
314 location over South America during either period, as also indicated by Figure 6b; however,
315 the models simulate a weaker SHSJ during the LIA, not only in austral spring, but also for
316 the annual mean and summer seasons (not shown). This weaker SHSJ, particularly during
317 austral spring (i.e., the transition season from dry to wet conditions in the SAMS), would
318 allow a stronger influence of cold air incursions to trigger SAMS convection and probably
319 maintain a stronger monsoon during the LIA.

320

321

322 **4. Discussion and conclusions**

323

324 According to our analysis, LM simulations are able to identify circulation features coherent
325 with a stronger SAMS during the LIA: (i) an enhancement of the rising motion in the

326 SAMS domain in austral summer, (ii) a stronger monsoon-related upper-troposphere
327 anticyclone, (iii) activation of the South American dipole, which results to a certain extent
328 in a poleward shift in the SACZ and (iv) a weaker spring SHSJ over South America.
329 However, austral summer simulations do not exhibit the expected increase in precipitation
330 in this region during this cold period, as suggested by proxy evidence, except over the
331 Nordeste, where it is not expected based on proxy data (Novello et al., 2012). Furthermore,
332 LM simulations only reproduce a slight, but insignificant, southward (northward) shift of
333 the austral summer Atlantic ITCZ during the LIA (MCA), unlike results found in other
334 modelling studies (Vellinga and Wu, 2004; Lee et al., 2011; Kageyama et al., 2013). This
335 disagreement might be partially related to the fact that the above-mentioned modelling
336 studies impose much stronger external forcing than the forcing used in the LM simulations.
337 This meridional shift of the Atlantic ITCZ is commonly considered a key aspect to explain
338 the changes in SAMS rainfall observed during these periods (e.g., Vuille et al., 2012).

339

340 Recent studies indicate that the new generation of models included in the CMIP5 still tend
341 to perform poorly in simulating precipitation in South America, especially over the
342 Amazon basin, and the Atlantic ITCZ (Yin et al., 2013; Siongco et al., 2014; Sierra et al.,
343 2015). However, CMIP5 models have shown further improvement in simulating
344 precipitation over the region, in comparison to the CMIP3 generation (Jones and Carvalho,
345 2013; Yin et al., 2013; Hirota and Takayabu, 2013).

346 What could bias the simulated austral summer SAMS rainfall response of the CMIP5
347 models during the past millennium? Recent studies indicate that CMIP5 simulations tend to
348 overestimate rainfall over the Atlantic ITCZ (Yin et al., 2013) and exhibit either an East or
349 West Atlantic bias, in association with overestimated rainfall along the African (Gulf of
350 Guinea) or South American (Brazil) coasts, respectively (Siongco et al., 2014). Such a
351 misinterpretation of the local ITCZ has been shown to bias rainfall simulations in the core
352 of the SAMS (Bombardi and Carvalho, 2011). A stronger Atlantic ITCZ, for example, may
353 contribute to enhanced surface divergence over tropical South America, inducing drier
354 conditions in the region (e.g., Li et al., 2006), as observed in CMIP5 historical simulations
355 (Yin et al., 2013; Sierra et al., 2015). However, a stronger local ITCZ does not necessarily
356 translate into reduced SAMS rainfall since moisture convergence in this region is mainly
357 influenced by the SACZ (Vera et al., 2009). Thus, the weaker SACZ during the LIA
358 simulated by these models (Figure 3) could reduce moisture convergence and rainfall over
359 the SAMS. Furthermore, positive feedbacks between land surface latent heat flux, rainfall,
360 surface net radiation, and large-scale circulation are also found to contribute to the dry
361 biases over the Amazon and SAMS in most of the CMIP5 historical simulations (Yin et al.,
362 2013).

363

364 Another circulation feature related to SAMS rainfall is the intensity and location of the
365 South Atlantic subtropical high. The eastward displacement of this anticyclone and its
366 interaction with the SACZ provide favourable conditions for monsoon precipitation (Raia

367 and Cavalcanti, 2008). Recent analysis of CMIP5 projections under different scenarios
368 suggests that this surface anticyclone is likely to strengthen in association with globally
369 warmer conditions (Li et al., 2013). Thus, a detailed examination of the response of this
370 subtropical high to LM forcing is necessary in order to provide further explanations for the
371 inadequate CMIP5/PMIP3 simulations of the SAMS rainfall variability throughout the past
372 millennium.

373

374 The previous generation of LM model simulations reproduced warmer temperatures during
375 the MCA when compared with the LIA, but generally underestimated the regional changes
376 detected from available reconstructions or failed to simulate a synchronous response in
377 accordance with these reconstructions (e.g., Gonzalez-Rouco et al., 2011). The latter has
378 been mainly related to uncertainties in the forcing estimates, as well as reduced sensitivity
379 to external perturbations, underestimated internal variability, or incorrect representation of
380 important feedbacks in GCMs (e.g. Goosse et al. 2005; Braconnot et al., 2012). Some of
381 these problems still persist in the PMIP3 LM simulations (PAGES 2k–PMIP3 group, 2015).
382 Furthermore, a recent model simulation of the global monsoon during the LM, performed
383 in a non PMIP3-experiment, indicates that the NH summer monsoon responds more
384 sensitively to GHG forcing than the SH monsoon rainfall, which appears to be more
385 strongly influenced by solar and volcanic forcing (Liu et al., 2012; Colose et al., 2016;
386 Novello et al., 2016). Hence, a stronger sensitivity of SAMS rainfall to LM forcing
387 estimations and the inadequate response of current GCMs to such forcings may also bias
388 the CMIP5/PMIP3 simulations of the summer SAMS rainfall during the past millennium.
389 Hence the weak temperature response seen in these models during the MCA (Figures 1 and
390 2) could contribute to the inadequate changes of austral summer rainfall in South America
391 between LIA and MCA (Figures 3 and 4).

392

393 This evaluation of the SAMS throughout the past 1000 years in the latest generation of LM
394 simulations confirms previous findings regarding the ability of the current generation of
395 GCMs to reproduce large-scale circulation features in South America and their lack of an
396 adequate representation of precipitation over the region. The availability of precipitation
397 reconstructions from South America has been useful to provide new insights into the GCMs
398 response to past forcings. However, the weak or absent temperature and precipitation
399 response to the imposed forcing in climate models provides a formidable challenge for
400 proxy-model comparisons. To better compare and eventually reconcile model
401 reconstructions with proxy evidence will require a more detailed analysis of precipitation-
402 generating mechanisms in climate models. Our results indicate that the CMIP5/PMIP3
403 models quite accurately reproduce changes in the large-scale circulation that in turn are
404 consistent with proxy evidence of precipitation changes over the past millennium. These
405 changes, however, do not translate into corresponding precipitation changes. This implies
406 that the models may lack relevant feedbacks or that precipitation in the models may be too
407 dependent on the microphysics and convective parameterization schemes, but not

408 sufficiently sensitive to large-scale circulation mechanisms. On the proxy side, a stronger
409 effort to not only reconstruct surface climate at individual locations, but also focus on
410 reconstructions of modes of variability or entire climate components such as the SAMS,
411 which implicitly include circulation changes, are needed. Proxies such as pollen or stable
412 hydrogen and oxygen isotopes from lakes, speleothems and ice cores have shown potential
413 to record larger-scale climate signals and changes in the tropical hydrological cycle over
414 South America (Vuille and Werner, 2005; Vimeux et al., 2009; Bird et al., 2011; Vuille et
415 al., 2012, Ledru et al., 2013; Flantua et al., 2016; Hurley et al., 2015). Multi-proxy
416 reconstructions from such networks, which implicitly incorporate remote and large-scale
417 circulation aspects, may therefore provide a better tool to assess the performance of climate
418 models than reconstructions that are based solely on local precipitation estimates.

419

420 **Acknowledgments**

421 We acknowledge the World Climate Research Programme's Working Group on Coupled
422 Modeling, which is responsible for CMIP5, and we thank the climate modeling groups for
423 producing and making available their model outputs. We appreciate the comments from 2
424 anonymous reviewers who helped to significantly improve the quality of this manuscript.
425 This work was partially funded by NC120066, FONDAP-CONICYT n. 15110009. MR
426 acknowledges support from FONDECYT grant #1131055. PAA was supported by
427 FONDECYT grant #3140570 and Colciencias grant #115-660. VF acknowledges funding
428 from FONDECYT grant #11121543. AS acknowledges financial support from NSF
429 CAREER Award # 1056216 and NOAA grant NA11OAR4310109. MV was partially
430 supported by NSF award AGS-1303828.

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783 **Figure Legends**

784

785 Figure 1. (a) Northern Hemisphere (north of 30°N) temperature anomaly evolution. Grey
786 shading: 15 reconstructions used in Fig. 5.7 of Masson-Delmotte et al (2013a,b), colour
787 lines: nine LM simulations considered in this study. (b) Distribution of Northern
788 Hemisphere temperature anomalies during the Medieval Climate Anomaly (MCA, red
789 curve) and Little Ice Age (LIA, blue curves), all with respect to the reference period 1500-
790 1850 CE, corresponding to the longest common period in the reconstructions.

791

792 Figure 2. Multi-model average annual mean temperatures. (a) Difference between MCA
793 and reference period 1000-1850 CE, (b) difference between LIA and reference period, (c)
794 LIA - MCA. Stippling indicates regions where differences are significant at $p < 0.05$.

795 Figure 3. (a) Multi-model average annual mean LIA - MCA precipitation difference
796 (colours) and position of the oceanic Intertropical Convergence Zone (ITCZ) during the
797 MCA (red line) and LIA (blue line). Stippling indicates regions where precipitation
798 differences are significant at $p < 0.05$. (b) Distribution of the zonal mean position [degrees]
799 of the oceanic ITCZ during the MCA (red curve) and LIA (blue curve).

800 Figure 4. (a) Model mean Dec-Jan-Feb (DJF) 850hPa winds (vectors) and precipitation
801 (colours) for the reference period (1000-1850 CE). (b) DJF mean LIA - MCA winds
802 (vectors) and precipitation difference (colours). Red vectors indicate significant differences.

803 Figure 5. Multi-model mean DJF meridional mass stream function calculated from the
804 irrotational wind over the region 80-30°W, depicting the regional Hadley Cell. (a)
805 Climatology for reference period (1000-1850 CE), Red (blue) colours indicate clockwise
806 (counterclockwise) circulation, (b) LIA - MCA. Only significant changes ($p < 0.05$) are
807 shown.

808 Figure 6. Multi-model mean DJF wind field at 200 hPa. (a) Climatology for reference
809 period (1000-1850 CE). (b): LIA - MCA differences. Red box represents the South
810 American Monsoon System (SAMS) domain. Red vectors indicate significant differences
811 ($p < 0.05$).

812 Figure 7. Multi-model mean LIA -MCA 200 hPa zonal wind for Sep-Oct-Nov (SON).

813 Black contour corresponds to the 30m/s isotach of reference period zonal wind (1000-1850
814 CE). Only significant differences ($p < 0.05$) are shown.

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816

817 Table 1. LM model simulations used, including key reference and definition of LIA and
818 MCA periods in each model.

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820

Model	MCA	LIA	Period (CE)	Reference
bcc-csm-1	1040-1130	1590-1790	851-2000	-
CCSM4	1110-1200	1710-1810	850-1850	Gent et al. (2001)
CSIRO-Mk3L-1-2	950-1050	1760-1850	851-2000	Phipps et al. (2011)
FGOALS-gl	1210-1270	1690-1820	1000-2000	Zhou et al. (2008)
FGOALS-s2	915-990	1710-1790	850-1850	Zhou et al. (2008)
HadCM3	1160-1250	1600-1700	801-2000	Schurer et al. (2013)
IPSL-CM5A-LR	910-950	1630-1710	850-1850	Dufresne et al. (2013)
MPI-ESM-P	1120-1220	1600-1680	850-1850	Raddatz et al. (2007)
MRI-CGCM3	1130-1230	1510-1620	850-1849	Yukimoto et al. (2011)

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The South American Monsoon Variability over the Last Millennium in coupled climate simulations

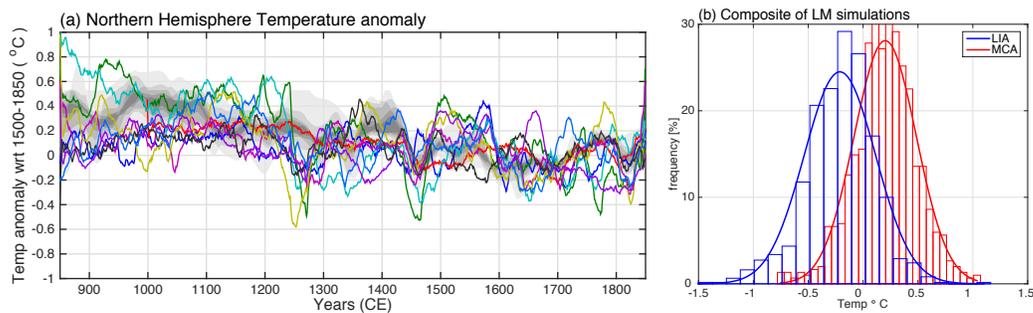


Figure 1. (a) Northern Hemisphere (north of 30°N) temperature anomaly evolution. Grey shading: 15 reconstructions used in Fig. 5.7 of Masson-Delmotte et al (2013a,b), colour lines: nine LM simulations considered in this study. (b) Distribution of Northern Hemisphere temperature anomalies during the Medieval Climate Anomaly (MCA, red curve) and Little Ice Age (LIA, blue curves), all with respect to the reference period 1500-1850 CE, corresponding to the longest common period in the reconstructions.

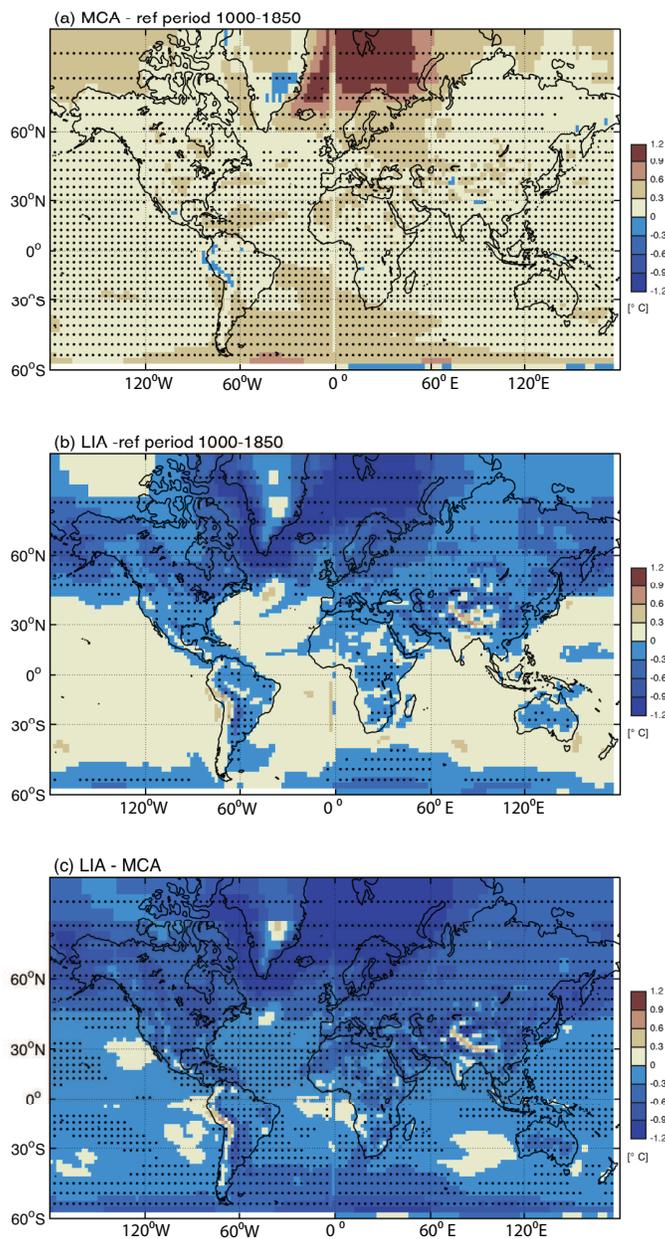


Figure 2. Multi-model average annual mean temperatures. (a) Difference between MCA and reference period 1000-1850 CE, (b) difference between LIA and reference period, (c) LIA - MCA. Stippling indicates regions where differences are significant at $p < 0.05$.

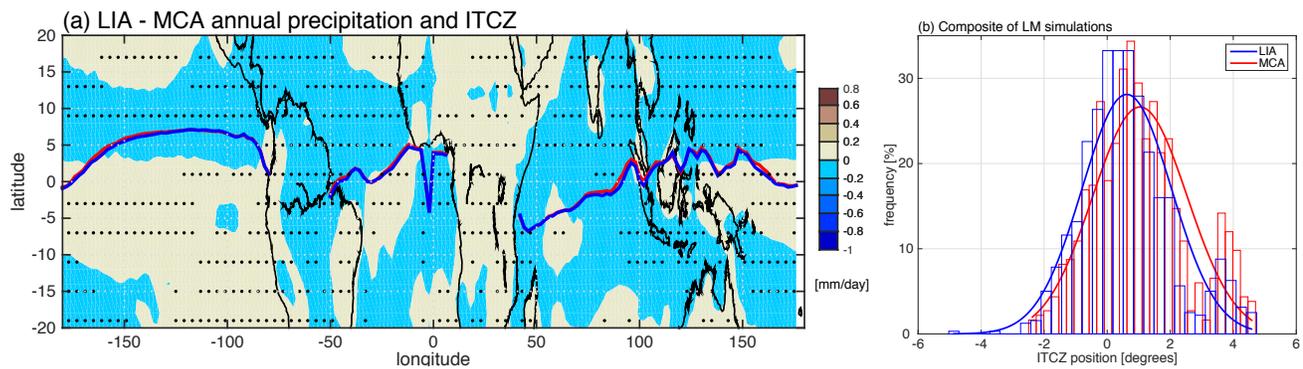


Figure 3. (a) Multi-model average annual mean LIA - MCA precipitation difference (colours) and position of the oceanic Intertropical Convergence Zone (ITCZ) during the MCA (red line) and LIA (blue line). Stippling indicates regions where precipitation differences are significant at $p < 0.05$. (b) Distribution of the zonal mean position [degrees] of the oceanic ITCZ during the MCA (red curve) and LIA (blue curve).

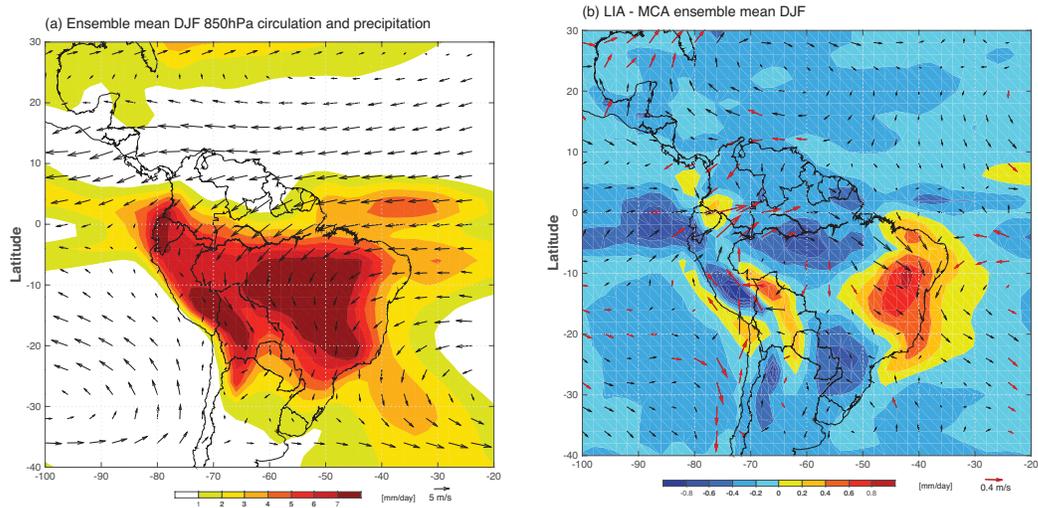


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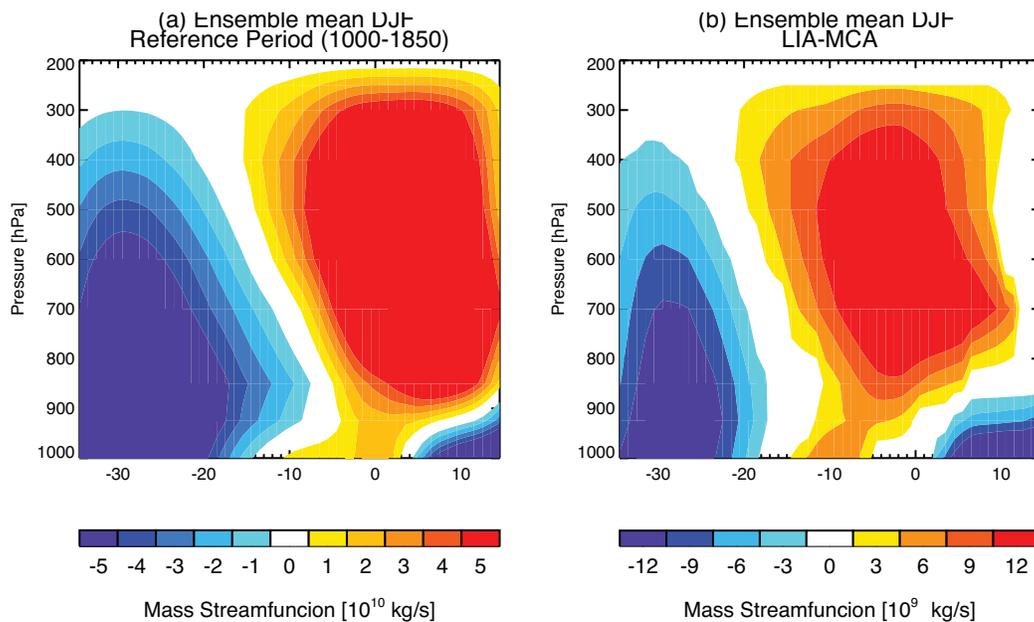


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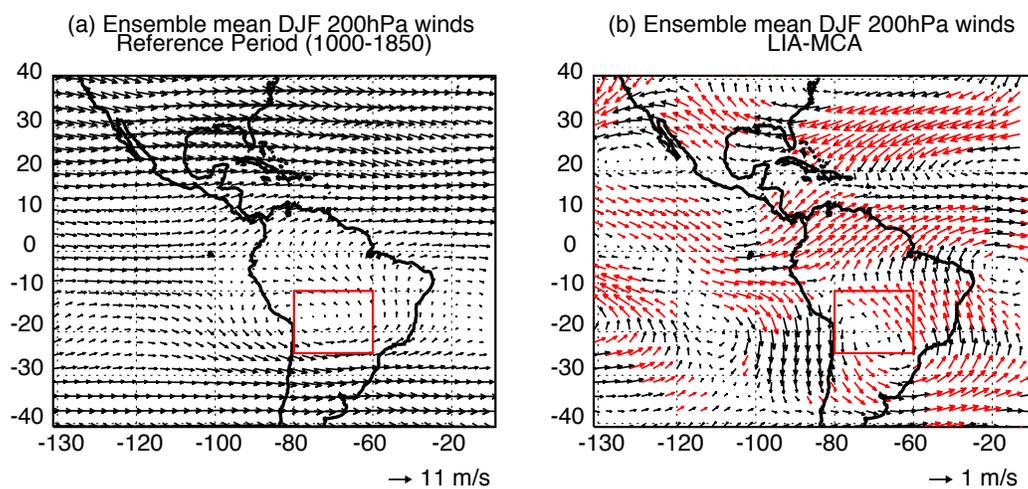


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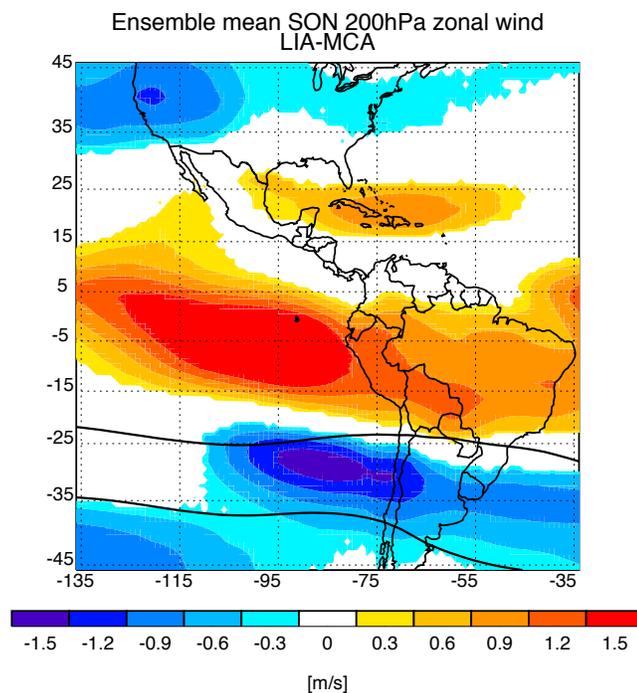


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