

# **Model simulations of early westward flow across the Tasman Gateway during the early Eocene**

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## Abstract

The timing and role in ocean circulation and climate of the opening of Southern Ocean gateways is as yet elusive. Recent micropaleontological studies suggest the onset of westward throughflow of surface waters from the SW Pacific into the Australo-Antarctic Gulf through a southern shallow opening of the Tasman Gateway from 49-50 Ma onwards, a direction that is counter to the present day eastward flowing Antarctic Circumpolar Current. Here, we present the first model results specific to the early-to-middle Eocene where, in agreement with the field evidence, southerly shallow opening of the Tasman Gateway indeed causes a westward flow across the Tasman Gateway. As a result, modelled estimates of dinoflagellate biogeography are in agreement with the recent findings. Crucially, in this situation where Australia is still situated far south and almost attached to Antarctica, the Drake Passage must be sufficiently restricted to allow the prevailing easterly wind pattern to set up this southerly restricted westward flow. In contrast, an open Drake Passage, to 517 m depth, leads to an eastward flow, even when the Tasman Gateway and the Australo-Antarctic gulf are entirely contained within the latitudes of easterly wind.

# 1. Introduction

The different positions of large continents on the Southern Hemisphere influenced the oceanography of the Eocene, and therewith the distribution of heat. The position of Australia and South America in the Eocene was much closer to Antarctica than today (e.g. Cande and Stock 2004). This arguably prevented circumpolar surface water flow (Huber et al. 2004; Bijl et al. 2011, 2013), i.e. the Antarctic Circumpolar Current (ACC), that thermally isolates Antarctica today (Toggweiler and Bjornsson 2000; Sijp and England 2004). Instead, it was thought that low-latitude currents bathed Antarctic coastlines. Subsequent opening of a circumpolar passage, through deepening of the Drake Passage (DP) and Tasman Gateway (TG), would then allow the ACC to develop, leading to isolation of Antarctica and progressive cooling, ultimately leading to full glaciation of Antarctica (Kennett 1977). Support for this hypothesis came when it was discovered that the deep opening of these gateways appeared to be roughly coeval with the onset of continental-scale Antarctic glaciation around the Eocene/Oligocene transition (EOT, about 34 million years ago, Ma, Barrett 1996).

Objections to the gateway hypothesis came from two fields of research. First of all, Drill cores obtained from the Tasman Gateway region showed that accelerated widening and deepening of the passage likely started 2 Ma prior to the glaciation, indicating that a direct causal link between the two is unlikely (Stickley et al. 2004). Unlike the quite precise estimates for the opening of the Tasman Gateway, the timing of the opening of Drake Passage is debated and less well constrained (Barker and Burrell 1977; Livermore et al. 2004; Scher and Martin 2006; Pfuhl and McCave 2005). Several structural geologic investigations in the re-

1 gion have inferred crustal stretching from the early Eocene onwards (Lagabrielle et al. 2009;  
2 Ghiglione et al. 2008), but it remains elusive whether the vertical displacement of that tec-  
3 tonism caused a shallow passageway through the Drake Passage. We cannot, however, rule  
4 out the possibility that Drake Passage was already open yet shallow in the early Eocene.

5 Secondly, numerical modeling studies with General Circulation Models (GCMs) configured  
6 for the Eocene emerged that suggested an ocean circulation pattern with clockwise circu-  
7 lating gyres (Sloan et al. 1995; Huber and Sloan 2001; Huber et al. 2004), where western  
8 boundary currents near Antarctica (as part of a subpolar gyre) still prevented low-latitude  
9 currents to reach and warm Antarctica (a general feature of sub-polar gyres). Microfossil bio-  
10 geography studies (notably, but not exclusively done on organic-walled dinoflagellate cysts)  
11 clearly support the gyre configuration as suggested by numerical modeling experiments (Hu-  
12 ber et al. 2004; Bijl et al. 2011), rather than the strong influence of low-latitude-derived ocean  
13 currents. It should be noted here that these considerations of the gyre circulation alone are  
14 centered around the surface circulation and do not include influences of thermohaline heat  
15 transport, which has been shown to have a potential to significantly enhance oceanic heat  
16 transport to Antarctica in a closed gateway configuration (Sijp et al. 2009, 2011; Yang et al.  
17 2013).

18 The existing proxy records for atmospheric CO<sub>2</sub> during the Paleogene (Pagani et al. 2005;  
19 Pearson et al. 2009; Beerling and Royer 2011) and ice sheet modeling (DeConto and Pollard  
20 2003; DeConto et al. 2008) have steered opinion in the direction of declining atmospheric  
21 greenhouse gas concentrations as the primary forcing factor in explaining Eocene cooling in

1 general and, ultimately, the onset of continental-scale glaciation.

2 Although gateway changes appear not to be the direct cause of EOT glaciation, unlike the  
3 hypothesis first posed by Kennett (1977); Kennett and Shackleton (1976), their role in the  
4 general long-term Cenozoic cooling trend remains plausible (Stickley et al. 2004; Bijl et al.  
5 2013; Sijp et al. 2014), but poorly reconciled. Indeed, glaciation (34 Ma) took place against  
6 the backdrop of a long and gradual cooling trend in the Southern Ocean (Bijl et al. 2009),  
7 whereas detailed geological reconstructions of the Tasman Gateway have, until recently (Bijl  
8 et al. 2013), largely ignored the potential climatic effects of earlier stages of its opening.  
9 Immediately preceding this slow climatic deterioration is a time with the warmest global  
10 temperatures of the past 85 million years, the protracted greenhouse episode known as the  
11 Early Eocene Climatic Optimum (EECO, 52-50 Ma Zachos et al. 2001a). Whereas it is  
12 generally conceived that a decline in atmospheric greenhouse gas concentrations terminated  
13 the EECO, compelling direct and unequivocal proxy evidence for this is generally lacking.

14 As a contrasting hypothesis, Bijl et al. (2013) find evidence for the first signs of throughflow  
15 of South West (SW) Pacific surface waters into the Australo-Antarctic gulf (AAG) across  
16 the Tasman Gateway to coincide with the onset of regional surface water and continental  
17 Antarctic cooling of 2-4 °C. Bijl et al. (2013) infer from the distinct difference in dinocyst  
18 assemblages between the AAG and the SW Pacific that the Tasman Gateway served as an  
19 effective barrier to surface water exchange before 50 Ma. From 49-50 Ma onwards, endemic  
20 dinocyst species originating in the SW Pacific began to dominate sediments of the Antarctic  
21 Margin of the AAG. They pose that the timing is consistent with the drowning of continental

1 blocks in the southern part of the Tasman Gateway inferred from accelerated rifting during  
2 the early-to-middle Eocene transition (52-48 Ma Close et al. 2009; Hill and Exon 2004).  
3 Surface water throughflow of SW Pacific surface waters into the AAG would bring the en-  
4 demic Antarctic species into the AAG. A crucial observation here is that the south Australian  
5 margin remains isolated from the SW Pacific influence but remains exclusively inhabited by  
6 cosmopolitan and low-latitude-derived dinocyst species. Furthermore, the influence of sur-  
7 face water throughflow from the AAG into the Southwest Pacific remains restricted as well:  
8 no low-latitude-derived species appear in the SW Pacific Ocean. Eastward flow through the  
9 Tasman Gateway would expected to bring the low-latitude species from the Australian mar-  
10 gin into the SW Pacific Ocean. This is contrary to their findings, therefore, they hypothesize  
11 tht the throughflow must have occurred south, perhaps within the reach of the easterlies, al-  
12 lowing the throughflow of the taxa present in the Ross Sea that consistently dominate the  
13 westward flow along Antarctica, the Eocene “Antarctic Counter Current”.

14 Organic biomarker proxy records for paleotemperature of the sea surface and the air tem-  
15 perature were derived from the same sedimentary archives as the oceanographic reconstruc-  
16 tions. They show that the opening of the Tasmanian Gateway coincided with surface water  
17 and air temperature cooling of several degrees (24 degs), of which the Antarctic hinterland  
18 cooled the most (4 °C). Simultaneously, benthic foraminiferal oxygen isotope records show  
19 the onset of gradual cooling as well. Although this study could not prove causality between  
20 oceanographic changes and cooling, the closeness in time is intriguing, and requires follow-  
21 up to prove causality.

1 The uncertainty related to the role of gateways in long-term climate evolution, as well as  
2 the increasing need for more detailed knowledge of Cenozoic ocean current development in  
3 general, stimulates modeling studies on the impact of gateway changes on ocean circulation  
4 through time. Here, we will use a coupled climate model of intermediate complexity (Sijp  
5 et al. 2011) to numerically simulate the effect of an initial opening of the Tasman Gateway  
6 at the early-to-middle Eocene transition. We show that this can lead to the inferred patterns  
7 (Bijl et al. 2013) of dinoflagellate biogeography: a westward current emerges, but only when  
8 the Drake Passage remains closed. Numerical modeling provides a physical basis to explain  
9 micropaleontological observations.

## 10 **2. Model and Experimental Design**

11 We use a modified version of the intermediate complexity coupled model described in detail  
12 in Weaver et al. (2001), the so-called UVic model. The model consists of an ocean gen-  
13 eral circulation model (GFDL MOM Version 2.2 Pacanowski 1995) coupled to a simplified  
14 one-layer energy-moisture balance model for the atmosphere and a dynamic-thermodynamic  
15 sea-ice model. Air-sea heat and freshwater fluxes evolve freely in the model, while a non-  
16 interactive wind field is employed for reasons of computational speed. The turbulent kinetic  
17 energy scheme of Blanke and Delecluse (1993) based on Gaspar et al. (1990) models vertical  
18 mixing due to wind and vertical velocity shear. The model is identical to Sijp et al. (2011),  
19 with a modification to the Eocene geography in the primary experiments where Australia  
20 is located further south by 6 degrees in latitude, and the Antarctic margin facing it is also

1 shifted south.

2 The original geography will also be discussed as a secondary set of experiments in the sensi-  
3 tivity study below, where both geography is altered, and the southern hemisphere westerlies  
4 are shifted. Motivation for these sensitivity studies of the true paleolatitude of the Tasman  
5 Gateway arises as comparisons of Eocene paleogeographies may vary about 6 degrees in the  
6 SW Pacific region dependent on which reference frame is used: a hotspot versus a paleo-  
7 magnetic reference frame (van Hinsbergen et al. 2015).

8 We have run the model to equilibrium for a period of 9000 years in 4 configurations, where  
9 the Drake Passage is open to 517m depth and in simulations where it is closed, and where  
10 in each case the Tasman Gateway is open, to a shallow depth of around 350m, and closed.  
11 This choice is informed by the expectation that the state of the Drake Passage exerts a strong  
12 influence on the result of the opening of the Tasman Gateway: the uncertainty surrounding  
13 the timing of the opening of the Drake Passage (e.g. Lagabrielle et al. 2009) necessitates us  
14 to consider an open and closed case.

15 Limitations arise from the absence of a fully coupled ocean-atmosphere in the UVIC model.  
16 However, this model allows high enough spatial resolution to represent the shallow, southern  
17 opening of the Tasmanian Gateway during the early Eocene combined with long enough in-  
18 tegration times to equilibrate the deep ocean to examine a possible global temperature signal.  
19 Furthermore, it provides the flexibility to conduct many simulations at once, each represent-  
20 ing different scenarios. To provide backup for the realistic setup of our model and cover both  
21 uncertainty in the location of the wind fields, and their possible changes, our study promi-



1 nently features experiments where the winds have been shifted, precisely to test robustness  
2 of the results and cover the changes a fully coupled system might exhibit. Furthermore, a  
3 simulation with an alternative wind field is explored in the Appendix. Nonetheless, there  
4 could potentially be benefits to examine first order geostrophic feedbacks on the wind, an  
5 option that is present in the UVic model.

### 6 **3. Results**

7 The latitudinal section of the zonal velocity through the TG gap for the DP closed case is  
8 shown in Figure 1a and the DP open case in Fig. 2a. In the simulation with the Southern  
9 Tasman Gateway open, a closed DP leads to a westward flow throughout the water column  
10 near Antarctica, and shallower eastward flow to the north (Fig. 1a). In contrast, the flow is  
11 eastward throughout the gap when the DP is open (Fig. 2a). In this simulation, the eastward  
12 flow is weak throughout most of the gap, but strong at its northern margin.

13 To examine the sensitivity of these results to boundary conditions, we have conducted two  
14 additional simulations where the core of the Southern Hemisphere (SH) westerlies is shifted  
15 6 degrees north, the TG is open, and the DP is open and closed (thus yielding two simu-  
16 lations). For reference, we show the zonal wind stress overlaid on the horizontal stream  
17 function in Figure 3: the average latitude of maximum westerly wind stress (zero wind stress  
18 curl) is  $50^{\circ}$  S in the standard case, and  $44^{\circ}$  S latitude in the wind shifted case. This wind  
19 shifting procedure is essentially equivalent to Sijp and England (2008), and we refer to this

1 work for reference. We will refer to the original simulations without the modification as  
2 the “standard” case. In this modified model configuration, the flow pattern (Fig. 1b) is very  
3 similar to the standard case with DP closed (Fig. 1a). Similarly, in the DP open case, the  
4 flow pattern remains eastward throughout the TG gap, again with weak flow through most  
5 of the gap, with the exception of the northern margin (Fig. 2b). Note that, in contrast to the  
6 corresponding standard simulation (Fig. 2a), there is weak westward flow in a very narrow  
7 band below 250m depth; nonetheless, the general flow is overwhelmingly eastward.

8 In further addition, we have also conducted two simulations, again with the TG open and  
9 DP open and closed, but now with altered geography. Here, the Australian continent is  
10 shifted north by 6 degrees latitude with respect to our standard simulation, along with some  
11 northward shift of the Antarctic margin of the AAG (thus obtaining the original geography  
12 used in Sijp et al. 2011). A southward extending peninsula is also attached at the location  
13 of Tasmania, in agreement with Bijl et al. (2013). Again, a similar flow pattern emerges,  
14 where flow is eastward throughout the TG gap in the DP open case (Fig. 2c), while there  
15 is a westward current near Antarctica in the DP closed case (Fig. 1c). We conclude from  
16 our four additional simulations that our results are robust with respect to the location of the  
17 major wind circulation patterns and geography.

18 From here on, we will focus on the standard simulations. First, we examine the oceanic  
19 horizontal circulation. With DP closed, the circulation of the Southern Ocean is split into  
20 two subpolar *clockwise* gyres, the “Ross Sea gyre” to the east of Australia, and a “Weddell  
21 gyre” spanning the (south) Indian and Atlantic oceans to the east of DP. To the north lie the

1 subtropical gyres, where a super-gyre spans the Indian and Pacific oceans. In agreement with  
2 the Sverdrup balance, eastward flow takes place at the latitudes of positive wind stress curl  
3 (approximately minus the latitudinal derivative of the wind stress shown in Fig. 3). A proto  
4 ACC is absent due to the closure of the DP. Practically all eastward flow that passes Australia  
5 does so to the north of the continent, and is part of the southern branch of the subtropical  
6 super-gyre.

7 Eastward flow in the northern branches of the subpolar gyres generally terminates by return-  
8 ing south along the western margins of Australia and South America when the DP is closed  
9 (Fig. 1a). A discussion of the possibility of a linkage between the two subpolar gyres, us-  
10 ing additional simulations with another model, can be found in Appendix A. Although the  
11 barotropic streamfunction shows no flow at the selected contour interval, the velocity field  
12 indicates a westward flow in the Tasman Gateway closely restricted to the Antarctic margin,  
13 naturally consistent with Fig. 1a. This westward flow through the TG is consistent with the  
14 generally negative wind stress curl at these latitudes.

15 An open DP under otherwise early Eocene boundary conditions yields around 35 Sv flow  
16 through this gap, as read from the 5 Sv interval contours immediately west of the DP (Fig 4a),  
17 and as determined on closer analysis on the computer of the numerical model output (figure  
18 not shown). The 35 Sv throughflow at DP leads to eastward flow through the TG of around 8  
19 Sv as determined from the model output file by computer analysis and shown less precisely  
20 in Fig 4a. The remaining 27 Sv must pass north of Australia. This total of 35 Sv of flow  
21 constitutes a circumpolar flow component that is not part of the gyre structure and is reminis-

1 cent of the ACC, despite the lack of latitudinal alignment of the gateways. We stress that this  
2 flow is much weaker than today and not comparable, even qualitatively. Nonetheless, we will  
3 refer to this current as the “proto ACC”. As such, the uniformly eastward flow through the  
4 TG can be viewed as a consequence of the flow through the DP gap, that joins the eastward  
5 flow along the northern branch of the Weddell gyre that must split to flow around Australia,  
6 yielding a southern component. In addition, when opening Drake Passage, there is less ne-  
7 cessity for significant westward return flow in the subpolar gyres. Indeed, high resolution  
8 ocean model simulations have shown that closing the DP forces the ACC water to mainly  
9 turn southwards and join the subpolar gyres (unpublished results). The circumpolar flow  
10 is in line with idealized ocean modeling studies suggesting that strong circumpolar flow is  
11 possible even without a fully unrestricted latitude band (Munday et al. 2015). This eastward  
12 flow occurs *entirely within the latitudes of the polar easterlies* in the model (Fig. 3), and  
13 within the latitudes of negative wind stress curl (with a small exception in the wind shifted  
14 case). This shows that the direction of the flow is not only determined by the wind field, but  
15 also by the continental geometry.

## 16 **Dinoflagellate biogeography and ocean circulation.**

17 To examine links between Eocene ocean circulation and dinoflagellate biogeography, we  
18 conduct several decadal time scale simulations, starting from model equilibria, where a pas-  
19 sive tracer (i.e. not interacting with the ocean flow and representing small entities that do  
20 not move on their own accord) is released at two locations, one south-east and one west of  
21 Australia. We assume here that the current velocities of the surface water dominate the active

1 swim velocities of the dinoflagellates themselves. Either way, surface sediment analyses in  
2 the North Atlantic show clear dominance of specific Gulf Stream loving dinocysts within the  
3 pathway of the Gulf Stream (e.g. Zonneveld et al. 2013), confirming the strong governance  
4 of oceanography on biogeography of dinocyst species.

5 Again, we perform two sets of simulations: a first set with DP closed and open/ closed TG  
6 and a second set where DP is open and the TG is open/ closed. The results of the tracer sim-  
7 ulations are shown in Fig. 5 and Fig. 6, respectively, where the sites of release of the tracer  
8 is marked by an ellipse. We examine a snapshot taken 5 years after tracer release. The tracer  
9 is interpreted as a fractional dinoflagellate concentration, and its subsequent dispersal allows  
10 an estimate of expected dinoflagellate biogeography based on ocean flow alone. The initial  
11 condition for the tracer consists of the instantaneous insertion of a (rotationally symmetric)  
12 Gaussian distribution of tracer in the horizontal direction of amplitude 1 (the tracer con-  
13 centration is close to fraction 1, or 100 percent, at the core of the anomaly) and a horizontal  
14 standard deviation of several model grid cells, allowing tracer concentration to approach zero  
15 away from the core, and similarly rapidly decreasing concentrations with depth according to  
16 a smaller standard deviation appropriate to the vertical scale.

17 First, we examine four simulations where the DP remains *closed*. Perhaps as might be ex-  
18 pected, unable to cross the TG and lacking alternative routes, tracer “species” remain on the  
19 side of the TG where they were released when the TG remains closed (Fig. 5a,b). However,  
20 when the TG is open, tracer “species” released from the *west* of Australia (Fig. 5c) enter the  
21 AAG along the Australian coast, but do not proceed into the Ross Sea, east of the open TG.

1 Instead, concentrations are focussed along the Antarctic coast in the South Atlantic (and west  
2 of the AAG). This is due to the westward flow along Antarctica there and in the AAG (Figs. 4  
3 and 1). Westward propagation along the Antarctic coast of dinoflagellate tracer released *east*  
4 of the TG in the open TG configuration is evidenced by the local maximum in tracer concen-  
5 tration along the Antarctic coast of the AAG in Fig. 5d. This is also because of the westward  
6 flow through the TG (Fig. 4). The biogeography that can be inferred from our results is in  
7 agreement with Bijl et al. (2013), who infer that lower-latitude taxa that are abundant to the  
8 west of Australia remain geographically constrained to the west of the TG after the southerly  
9 opening of the Tasman Gateway. Notably the consistency in the simulated biogeographic  
10 patterns inferred from the model is unrelated to the regional environmental differences (e.g.,  
11 temperature) but only the result of current vectors distributing the dinocysts in the region.  
12 This absence of relationship between environmental factors and biogeography is particularly  
13 convenient since Bijl et al. (2011) also showed that the onset of regional dominance of en-  
14 demic dinocysts in the SW Pacific Ocean was unrelated to surface water temperature. Also  
15 in agreement with field evidence (Bijl et al. 2013) is the westward propagation of SW Pacific  
16 tracer “species” into the AAG in response to gateway opening, and the restriction of that  
17 propagation to the Antarctic coast of the AAG.

18 Second, to elucidate the role of the DP in our dinoflagellate tracer results, we performed  
19 versions of the above described second set of tracer simulations where the DP is now consis-  
20 tently *open* (Figure 6). Similar to the DP closed scenario and perhaps trivially, when DP is  
21 open and the TG remains closed, species released at both sides of the TG land bridge remain  
22 on their corresponding side of that land bridge (Fig. 6a,b), although very small concentra-

1 tions penetrate north of Australia via flow through the open DP. When the TG is open, tracer  
2 released to the west of Australia (Fig. 6c) enters the AAG. However, unlike the DP closed  
3 case, tracer now penetrates well into the Ross Sea. This is because of the eastward flow  
4 through the open TG that is part of a proto ACC flowing through the open DP (Figs. 4 and  
5 2). This effect is much in disagreement with field evidence, as dinocyst assemblages in the  
6 SW Pacific only show a low-latitude affinity when the Tasmanian Gateway deepens at 35.5  
7 Ma, and not earlier.

8 Some westward propagation along the Antarctic coast of dinoflagellate tracer released east  
9 of the TG in the open TG configuration is evidenced by the low but discernible tracer concen-  
10 trations along the Antarctic coast of the AAG (Fig. 6d, compare to Fig. 5d: note the smaller  
11 scale in the latter), while most of the tracer flows north, along the east coast of Australia, in  
12 the Ross Sea gyre. In conclusion, the tracer dispersion patterns in the DP open case are not in  
13 agreement with Bijl et al. (2013), as significant amounts of tracer released west of Australia  
14 penetrates into the SW Pacific upon the opening of the TG. This indicates that either the DP  
15 was closed during this period, or sufficient obstructions existed downstream of the DP to  
16 prevent an eastward flow through the TG.

### 17 **Temperature changes in response to gateway opening.**

18 The opening of the TG in our model configuration where the DP remains closed leads to no  
19 significant sea surface temperature cooling (Figure 7). This is in contrast to the hypothesis  
20 of Bijl et al. (2013) that the cooling seen in their field evidence of surface water and re-  
21 gional air temperature reconstructions is a direct result of gateway changes and the ensuing

1 changes in ocean currents. Furthermore, none of our experiments show significant deep or  
2 mid ocean cooling. We suggest that future climate modeling work could shed further light  
3 on the relationship between Antarctic temperature changes and the gateway changes. Sea  
4 surface temperatures close to Antarctica have similar values of 10 to 12 °C on both sides of  
5 the TG when the TG is closed and DP is open or closed (Figure 8). As a result, although a  
6 westward flow emerges from the Ross Sea to the AAG (see above), the opening of the TG  
7 in our model configuration where the DP remains closed leads to no significant sea surface  
8 temperature cooling (Fig. 7).

## 9 **4. Summary and Conclusions**

10 Our model results provide a numerical underpinning of the recent field observations and  
11 interpretations of Bijl et al. (2013) that a southerly opening of the Tasman Gateway causes  
12 throughflow of SW Pacific surface waters into the AAG. For the first time, we reproduce  
13 a westward propagation of oceanic properties and species originating east of the Tasman  
14 Gateway upon its early opening, leading to the introduction of endemic dinocyst species  
15 originating in the SW Pacific into the southern margin of the AAG, but not the northern  
16 margin. This is consistent with our finding that the westward current is restricted to the  
17 southern margin of the AAG. Also consistent with micropaleontological observations, no  
18 low-latitude-derived species (released west of Australia in our simulations) could be routed  
19 via the TG to appear in the SW Pacific Ocean upon its opening.



1 Importantly, our model results indicate that the waters of the DP, or upstream or downstream  
2 areas close to it, are likely to have been obstructed to large-scale geostrophic flow during the  
3 early Eocene, as the passive tracer experiments in a scenario with a closed DP are much more  
4 consistent with microfossil evidence compared to a scenario with an open DP. Lagabrielle  
5 et al. (2009); Ghiglione et al. (2008); Eagles (2003); Livermore et al. (2005); Eagles et al.  
6 (2005); Livermore et al. (2007) infer a progressive opening of the Drake Passage, through  
7 continental extensional tectonics and oceanic spreading (Eagles et al. 2006), after about 50  
8 Ma from analysis of seafloor magnetic anomalies in the Scotia Sea and adjoining oceanic  
9 areas. This timing suggests that the DP may have been sufficiently obstructed to prevent an  
10 ACC.

11 Our results, and those of (Bijl et al. 2013), indicate a later opening of the DP. Alternative to  
12 DP closure, we raise the question (for future research) whether a similar effect could have  
13 been achieved from severe obstructions to a wide and deep flow through the DP and nearby  
14 areas at similar latitudes, a possibility not explored in our model experiments. For instance,  
15 model results by Hill et al. (2013) indicate that, regardless of the state of the DP and TG,  
16 a coherent ACC was not possible during for instance the Oligocene (a period much later  
17 than under study here) due to the Australasian paleogeography, although no inferences are  
18 made for the Eocene. Future work on these obstructions is therefore important. Finally,  
19 our numerical study is not consistent with the idea that such an oceanographic change can  
20 cause a significant and uniform Antarctic cooling. Nonetheless, our result of a westward  
21 current made possible by a restriction of the ACC away from the TG region provides an  
22 ocean dynamics background to the finding of (Bijl et al. 2013) and other studies that point to

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## 10 **A. Appendix**

11 As the position of Australia plays an important role in whether the subtropical and subpo-  
12 lar gyres of the Indian (and Pacific) oceans pass that continent northward or southward, we  
13 performed additional simulations with (i) another ocean model and (ii) a different recon-  
14 struction of the continental geometry. We use the Parallel Ocean Program (POP) developed  
15 at Los Alamos Laboratory (Dukowicz and Smith 1994) at a nominal horizontal resolution  
16 of  $1^\circ \times 1^\circ$  and 40 vertical levels. The model was adapted to a late Eocene reconstruction of  
17 the continental geometry and bathymetry Rugenstein et al. (2014) and forced with the at-  
18 mospheric state of a coupled climate model simulation using the Community Earth System  
19 model (CESM) Goldner et al. (2014). Compared to the continental geometry in the UVic

1 simulations of the main paper, Australia extends substantially further north in this config-  
2 uration as it represents the late Eocene or early Oligocene rather than the middle Eocene.  
3 The model is run for 570 years in two configurations: the late Eocene control case, where  
4 the Tasman gateway is open and Drake Passage is almost closed (35 m deep) and a second  
5 case where a land bridge is built in the Tasman gateway from Tasmania to Antarctica. The  
6 throughflow through Drake Passage is very small, so we can consider DP closed in these  
7 simulations. We show results averaged over the last 10 years of simulation.

8 Fig. 9 shows the vertically averaged zonal velocity for the two cases, with contours of sea  
9 surface height (SSH) overlain. The SSH can be regarded as similar to the barotropic stream  
10 function as it represents the barotropic flow. In general, the POP simulations show very simi-  
11 lar features as the (coarser) UVic-simulations shown in the main part of the paper. The model  
12 is forced by the same wind pattern as the UVic simulations, and in particular, the Tasman  
13 Gateway is entirely in the latitudes of the polar easterlies. However, in the POP simulations,  
14 Australia is located further north and, therefore, effectively blocking the eastward flow at  
15 the boundary between the subtropical and subpolar gyres. As a result, less flow is passing  
16 north of Australia from the Indian to the Pacific Ocean, but a substantial part of this flow  
17 also passes south of Australia, thereby inducing considerable eastward flow in the Tasman  
18 gateway when open (Fig. 9b). In a vertical section through the Tasman gateway (Fig. 10) this  
19 becomes evident as a strong and deep-reaching eastward current in the central-northern part  
20 of the gateway, while a weak westward current at the Antarctic margin remains. This gives  
21 further support for the notion that, if the DP is sufficiently obstructed, the eastward flow  
22 at the boundary between the subtropical and subpolar gyres returns mostly in the subpolar

1 gyres, i.e., along the Antarctic margin.

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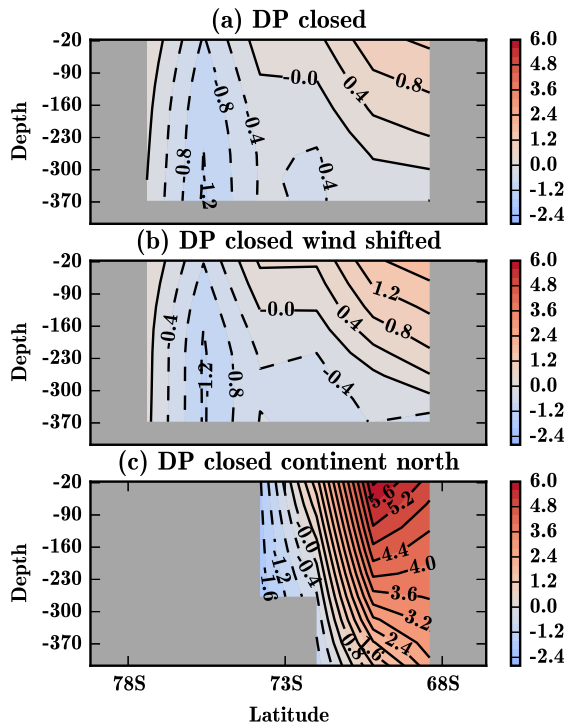


Figure 1: **Meridional section of zonal velocity  $u$  ( $cm/s$ ) inside the Tasman Gateway with Drake Passage closed**, for (a) the standard simulation, (b) the Southern Hemisphere westerlies shifted 6 degrees northward, and (c) Australia and the Antarctic margin in a more northward position. All locations are well south of the latitudes of zero wind stress curl. Positive values indicate eastward flow, negative values indicate westward flow.

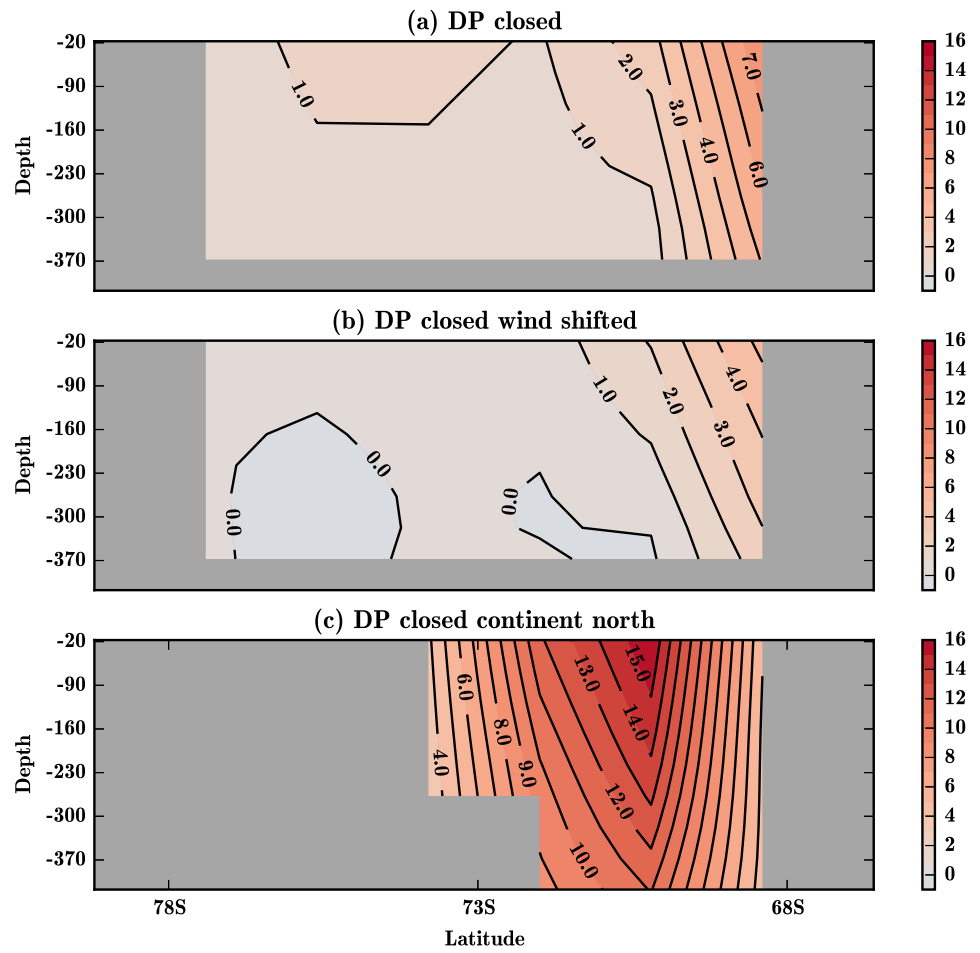


Figure 2: **Meridional section of zonal velocity  $u$  ( $cm/s$ ) inside the Tasman Gateway with Drake Passage open**, for (a) the standard simulation, (b) the Southern Hemisphere westerlies shifted 6 degrees northward, and (c) Australia and the Antarctic margin in a more northward position. All locations are well south of the latitudes of zero wind stress curl.

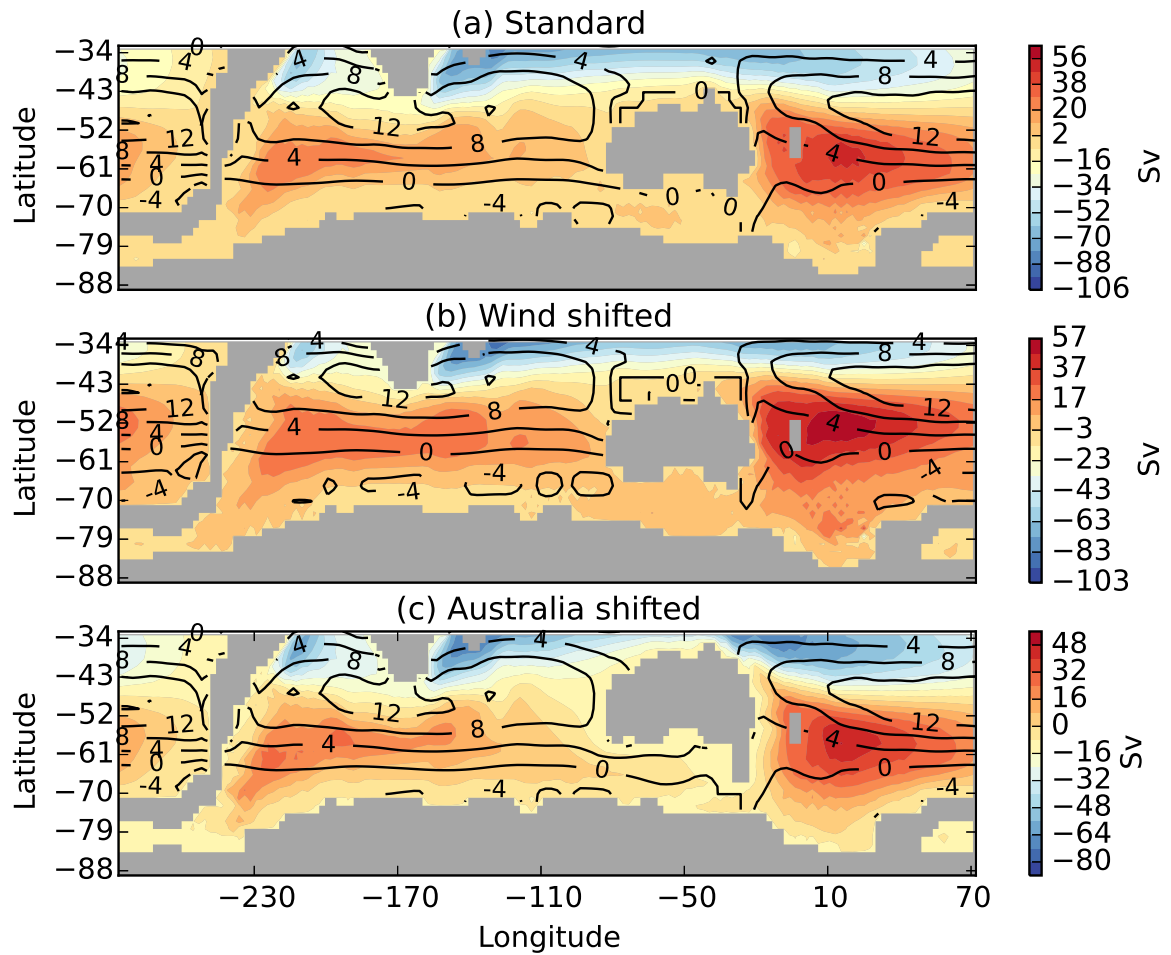


Figure 3: **Ocean horizontal streamfunction** (Sverdrup, 1 Sv is  $10^6 m^3/s$ ), with the zonal wind stress ( $10^2 Pa$ ) overlaid. The Drake Passage is closed for a) the standard simulation, b) the southern hemisphere westerlies shifted north and c) Australia and part of the Antarctic coast shifted north.

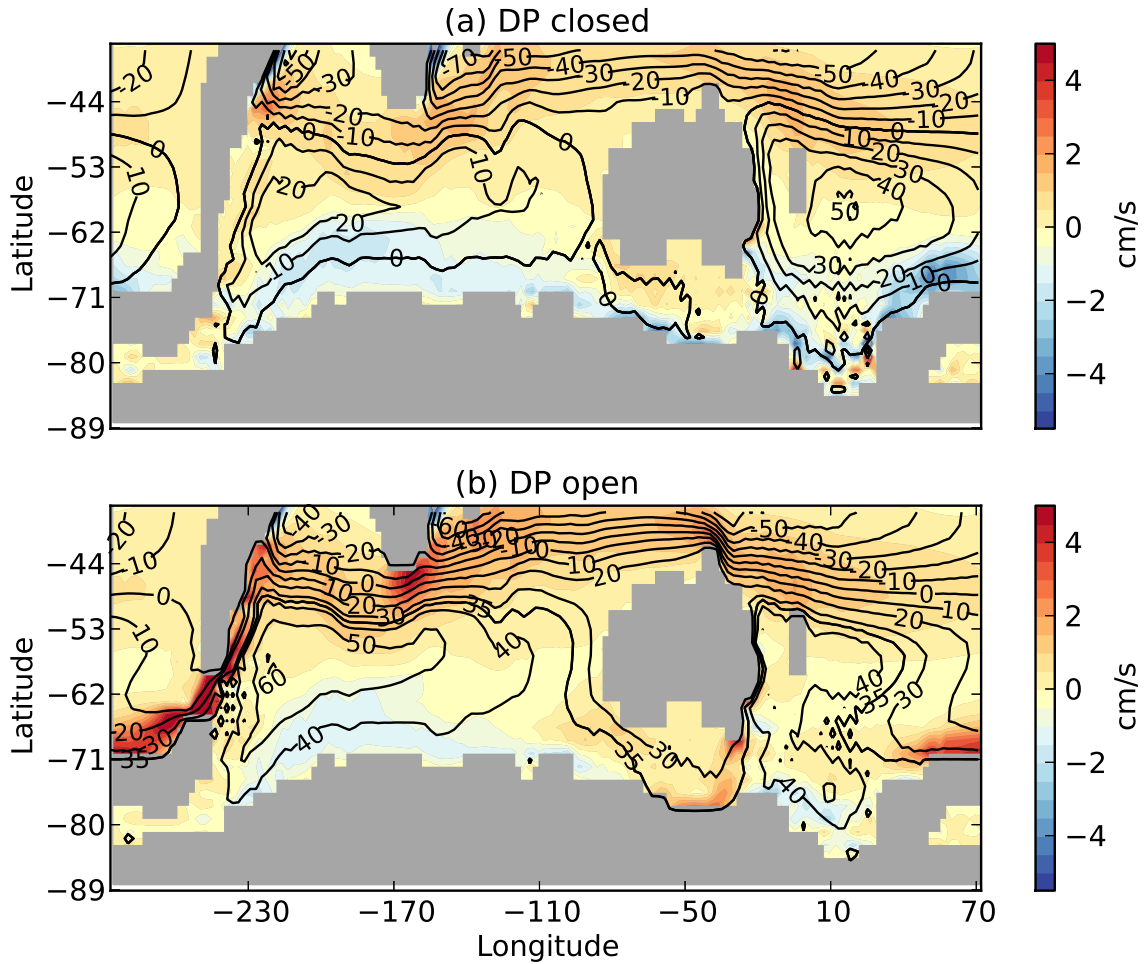


Figure 4: **Annual and vertical average of zonal velocity** ( $cm/s$ , positive values indicate eastward flow) with ocean horizontal streamfunction (Sverdrup,  $1 Sv$  is  $10^6 m^3/s$ ) overlaid. The Tasman Gateway is open, with a) the Drake Passage (DP) closed and b) the DP open (shallow at around 350m depth). Contour intervals have been adjusted in (a) to elucidate the flow through the TG.

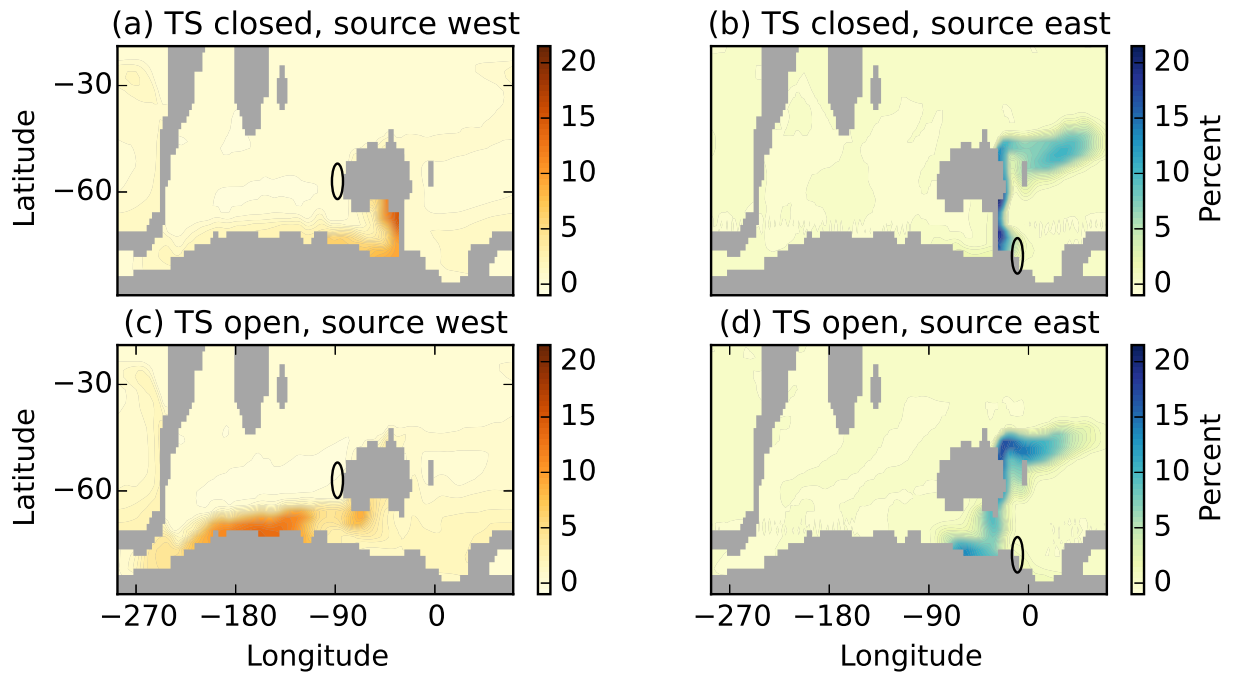


Figure 5: **Dispersal of passive tracer representing dinoflagellates for Drake Passage closed** for the Tasman Gateway (TG) closed, originating west (a) and east (b) of Australia, and for TG open, originating west (c) and east (d) of Australia. Circles indicate the release sites. When the TG is open, dinoflagellates released to the west of Australia enter the Australo-Antarctic Gulf predominantly along the Australian coast, but remain west of the TG and do not enter the Ross Sea (c). In contrast, species released near the Ross Sea may enter the gulf via a westward flow along the Antarctic coast (d). Concentrations are in percentages, where the initial localised concentration upon release was 100 percent. We examine a snapshot taken 5 years after tracer release.

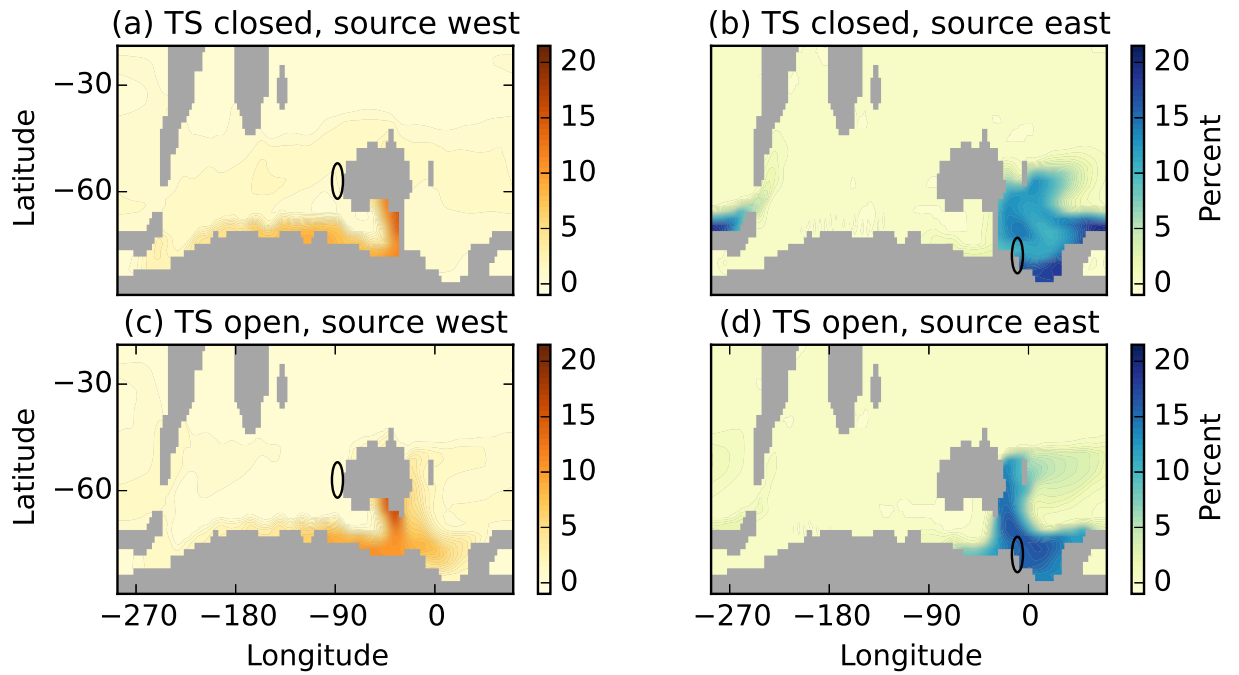


Figure 6: As figure 5, but for Drake Passage open. When the Tasman Gateway (TG) is open, dinoflagellates released to the west of Australia enter the Australo-Antarctic Gulf and flow into the Ross Sea through the TG (c). Species released near the Ross Sea may enter the gulf along the Antarctic coast (d). We examine a snapshot taken 5 years after tracer release.



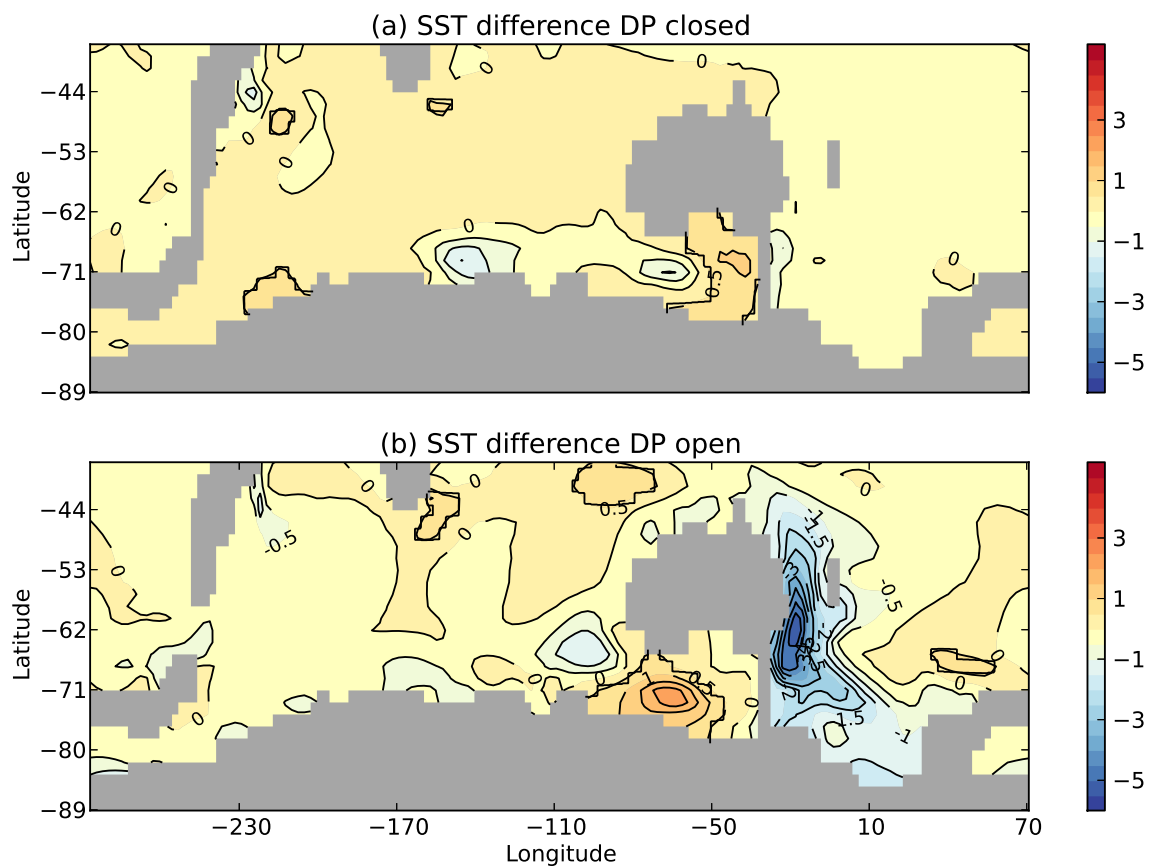


Figure 7: **Sea Surface Temperature change in response to opening the Tasman Gateway (TG).** Difference TG open - closed for a) Drake Passage (DP) closed and b) DP open during the opening of the TG. The opening of the TG leads to a maximum of around 4.0 °C localized surface ocean cooling to the west of Australia upon opening the TG only when DP is open.

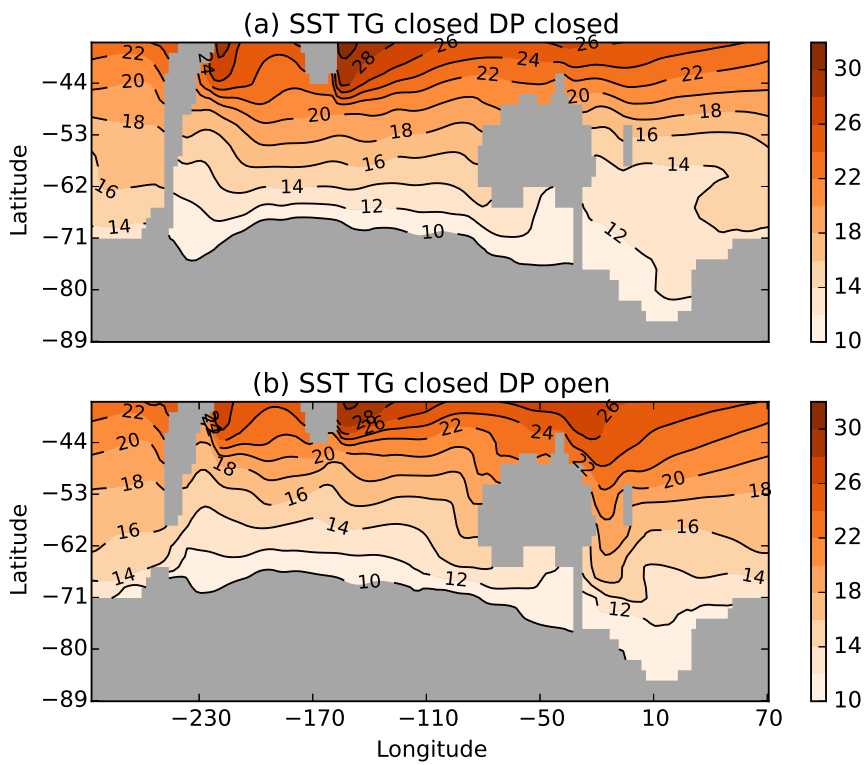


Figure 8: Sea Surface Temperature for a) Drake Passage (DP) closed and b) DP open.

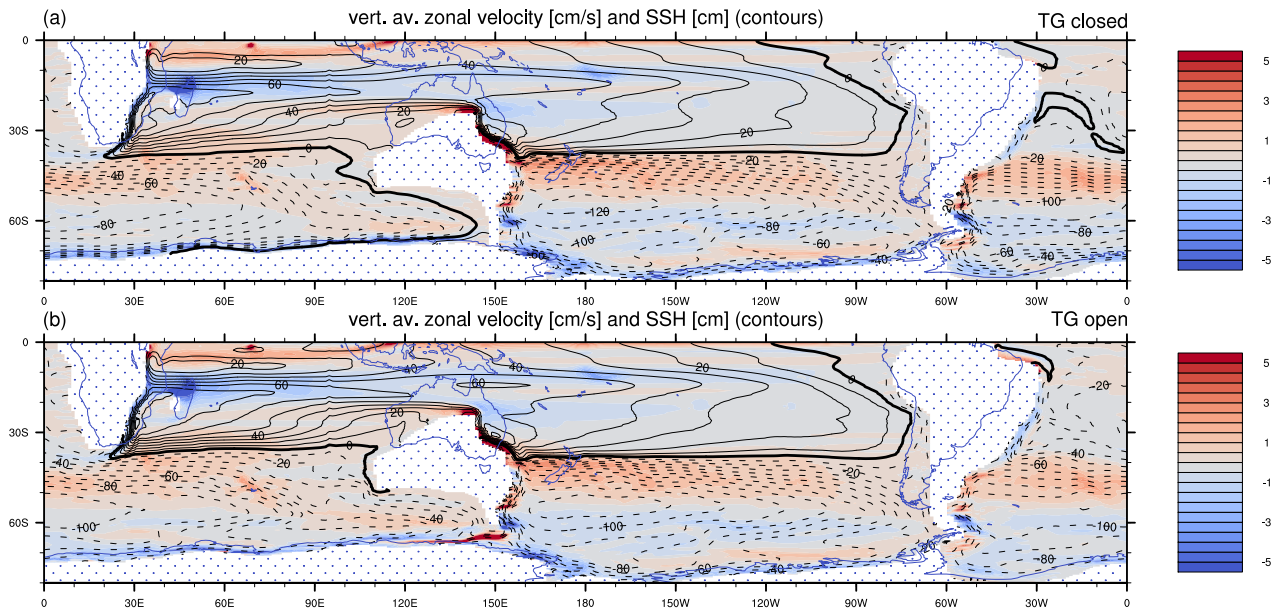


Figure 9: **Annual and vertical average zonal velocity** ( $cm/s$ , positive values indicate eastward flow) with contours of sea surface height (SSH in cm) overlaid for the late Eocene simulation using a different ocean model (POP) with the Tasman Gateway (TG) closed (a) and open (b). The Drake Passage is shallower than 35 m in these simulations and can be considered closed.

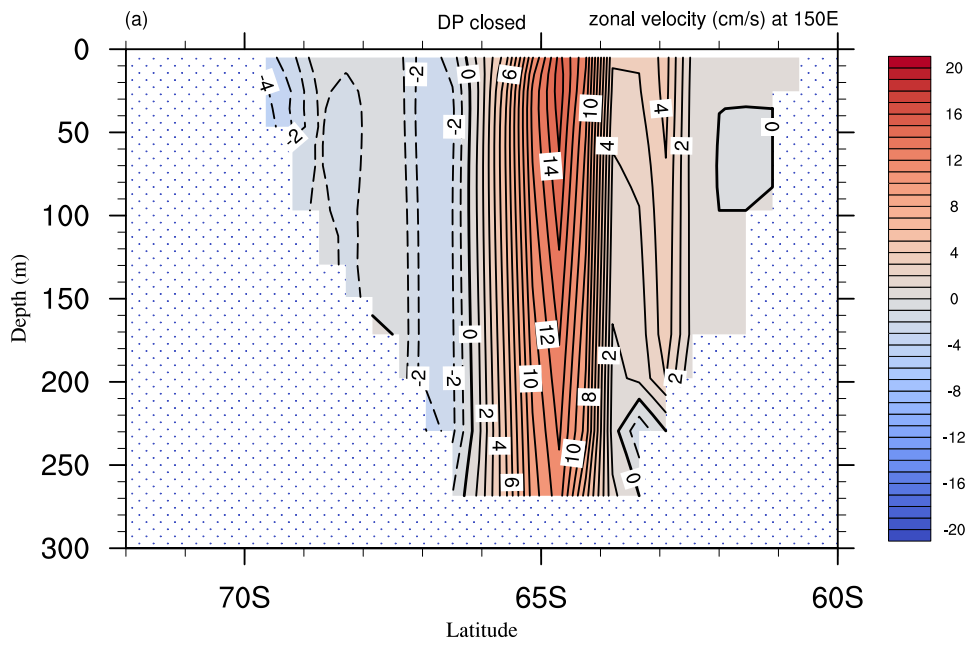


Figure 10: **Meridional section of zonal velocity  $u$  (cm/s) inside the Tasman Gateway** for the late Eocene POP simulation.