

## 1 Response to the reviewers' comments

2 We thank the 2 Reviewers and M. Trachsel for their critical and detailed comments, which  
3 helped to substantially improve our manuscript. The fact that the main point of criticism from all  
4 reviewers targeted on a similar subject, namely the significance levels of the correlations  
5 between solar activity and flood frequency at Lake Ammersee showed us that this point needs  
6 to be better developed (see Detailed Answer 1). Furthermore, Reviewers 1 and 2 mentioned  
7 shortcomings in the investigation of a possible mechanistic linkage between solar activity and  
8 River Ammer flood frequency (see Detailed Answer 2). Finally, the comment by M. Trachsel on  
9 the limitations of radionuclide production records as direct solar activity indicators reminded us  
10 to more carefully investigate Sun-climate linkages on paleo-time-scales (see Detailed Answer  
11 3). In the following, we will give a detailed response to all concerns that have been raised, first  
12 answering the three main points of criticism, followed by a point-by-point reply.

13

### 14 (1) Statistical linkages between River Ammer floods and solar activity

15 The main criticism of all three reviewers dealt with the effects of serial correlation  
16 (smoothing) and long-term trends on the significance levels of the correlations between  
17 solar activity and River Ammer flood frequency from the discharge and flood layer  
18 records. To respond to this criticism we revised the calculation of the correlations and  
19 now perform random phase significance tests (*Ebisuzaki, 1997, Journal of Climate*). This  
20 test is designed for serial correlated time-series and, thus, takes into account the effects  
21 of smoothing and detrending. It is based on the creation of (here 10000) random time-  
22 series that have an identical frequency spectrum as the original data series A, but  
23 randomly differ in the phase of each frequency. To test the significance of the correlation  
24 between A and B, we then replace A with these random surrogates and infer a  
25 probability distribution of the correlations that may occur by chance (*Ebisuzaki, 1997*).  
26 Applying the random phase test to calculate correlations between the River Ammer flood  
27 frequency and solar activity records during the recent period and the Mid- to Late  
28 Holocene reveals significant correlations for both time-intervals:

- 29 (1) *Flood layer frequency and reconstructed total solar irradiance (TSI) (Steinhilber et*  
30 *al., 2012):  $r=-0.4$ ,  $p<0.0001$*
- 31 (2) *Flood layer frequency and  $^{14}\text{C}$  production rate (Muscheler et al., 2007):  $r=0.37$ ,*  
32  *$p<0.0001$*
- 33 (3) *Flood composite from River Ammer discharge data and TSI (Lean, 2000): max.*  
34 *correlation when flood frequency lags TSI 2 years:  $=-0.37$ ,  $p=0.01$ .*

35 Furthermore, as suggested by Reviewer 1, we now use cross wavelet analysis (*Grinsted et al., 2004, Nonlinear Processes in Geophysics*) to detect spectral similarities between  
36 solar activity and River Ammer flood frequency. Improving our previous investigations on  
37 the single time-series, cross wavelet analysis detects regions in two time-series with  
38 common high spectral power and reveals phase relationships (*Grinsted et al., 2004*).  
39

1 Performing cross wavelet analyses between the River Ammer flood and solar activity  
2 time-series during the recent period and the last 5500 years yields common high  
3 spectral power in frequencies that are commonly associated to the solar Schwabe,  
4 Gleissberg and Suess cycles. In addition, cross wavelet analysis indicates that changes  
5 in total solar irradiance (TSI) lead River Ammer flood frequencies in the discharge  
6 record.

7 Supporting the solar activity-River Ammer flood frequency linkage, we added a reference  
8 to a novel study on instrumental and historical flood data to our discussion. This study  
9 concludes that, similar to our results from River Ammer, changes in flood occurrences in  
10 Switzerland (about 150 km away from Lake Ammersee) during summer are associated  
11 to varying solar activity (*Peña et al., 2015, Hydrology and Earth System Sciences*).

12 To summarize, the additional analyses strengthen our confidence in the conclusion of  
13 changes in River Ammer flood frequency on inter-annual to multi-centennial time-scales  
14 as modulated by varying solar activity.

## 15 16 (2) Mechanistic linkage between solar activity and River Ammer flood frequency

17 Reviewers 1 and 2 mentioned shortcomings in the investigation of a mechanistic  
18 linkage between solar activity and River Ammer flood frequency. We agree that such  
19 investigations are an important task in Sun-climate studies. A comprehensive  
20 investigation of the meteorology triggering River Ammer floods based on the  
21 discharge and flood layer record using statistical models is presented by Rimbu et al.  
22 (*2015, Climate of the Past Discussions*). To avoid repetition, we would therefore like  
23 to focus in the discussion of our manuscript on the (i) correlations between solar  
24 activity and River Ammer flood frequency and (ii) synchronicities/similarities between  
25 the atmospheric circulation patterns related to higher River Ammer flood frequencies  
26 (*Rimbu et al., 2015*) and reduced solar activity as expected to be caused by the so-  
27 called solar top-down mechanism by model studies (e.g. *Haigh, 1996, Science*;  
28 *Ineson et al., 2011, Nature Geoscience*). To improve the first, we calculated new  
29 significant correlations between River Ammer floods in the instrumental and flood  
30 layer record and solar activity (see also Detailed Answer 1). To be clearer about the  
31 latter, we rewrote the discussion on the relationships between the configurations of  
32 atmospheric circulation related to more River Ammer floods (annual pattern) and  
33 reduced solar activity (pattern mainly for winter):

34 One proposed solar-climate linkage is the so-called solar top-down mechanism,  
35 expected to modulate the characteristics of the mid-latitude storm tracks over the North  
36 Atlantic and Europe by model studies (*Haigh, 1996; Ineson et al., 2011; Lockwood,  
37 2012*). During periods of reduced solar activity, the storm tracks are projected to be on  
38 a more southward trajectory. Reduced zonal pressure gradients favor atmospheric  
39 blocking and meridional air flow (see the introduction for details) (*Adolphi et al., 2014*;

1 Haigh, 1996; Ineson et al., 2011; Lockwood, 2012; Wirth et al., 2013b). A similar  
2 synoptic-scale configuration of atmospheric circulation is associated to periods of  
3 higher River Ammer flood frequency. Periods of higher flood frequency are  
4 characterized by a pronounced through over western Europe intercalated between two  
5 ridges south of Greenland and North of the Caspian Sea (Rimbu et al., 2015).  
6 Meridional moisture transport mainly from the North Atlantic towards Central Europe  
7 increases the flood risk in the Ammer region (Rimbu et al., 2015). These similar  
8 atmospheric circulation patterns and the negative correlation between River Ammer  
9 flood frequency and solar activity might provide empirical support for a solar influence  
10 on hydrometeorological extremes in Central Europe via the so-called solar top-down  
11 mechanism. However, we cannot rule out further effects of changes in TSI and/or  
12 galactic cosmic rays on River Ammer flood occurrences. The inconsistency that the  
13 solar top-down mechanism is active mainly during winter and early spring while River  
14 Ammer floods occur during late spring and summer might be reconciled by feedback-  
15 effects of cryospheric processes. Ice cover in the Barents Sea and snow in Siberia are  
16 suggested to be able to transfer the solar-induced winter climate signal into summer  
17 (Ogi et al., 2003).

18  
19 A further extension of the discussion based on numerical climate model results for the  
20 observed Sun-hydroclimate-extreme linkage during spring and summer would require  
21 extensive analyses and is not the focus of this paper where we mainly concentrate on  
22 empirical data. For this reason, we prefer not to extend the discussion more than we  
23 have done.

### 25 26 (3) **$^{14}\text{C}$ and $^{10}\text{Be}$ solar activity proxies vs. solar activity reconstruction**

27  
28 M. Trachsel correctly commented on the effects of changes in Earth's geomagnetic  
29 field on long-term trends in the  $^{14}\text{C}$  and  $^{10}\text{Be}$  solar activity proxy records. To  
30 circumvent this problem, he suggested to compare the Lake Ammersee flood layer  
31 frequency record to a total solar irradiance reconstruction (TSI) (e.g. Steinhilber et al.,  
32 2012, *PNAS*). Previously, we focused on the  $^{14}\text{C}$  (and  $^{10}\text{Be}$ ) record, as this record is  
33 less likely influenced by  $^{10}\text{Be}$ -related weather and climate effects (in contrast to the  
34 reconstructed TSI based on a combination of  $^{14}\text{C}$  and  $^{10}\text{Be}$  records (Steinhilber et al.  
35 2012). Geomagnetic field influences on the  $^{14}\text{C}$  record are minor on short time-scales,  
36 but do play a role when looking at changes on time-scales of 500 years and longer  
37 (*Snowball & Muscheler, 2007, The Holocene*).

38  
39 To illustrate that our results do not depend on the chosen record, we now calculate  
40 correlations between flood layer frequency and both, the linearly detrended  $^{14}\text{C}$

1 production record and the TSI reconstruction by Steinhilber et al. (2012). Significant  
2 correlations between flood frequency and both time-series suggest that, regardless of  
3 the chosen solar record, changes in flood layer frequency during the last 5500 years  
4 are very likely modified by varying solar activity (flood layer frequency and  
5 reconstructed TSI (Steinhilber et al., 2012):  $r=-0.4$ ,  $p<0.0001$ ; flood layer frequency  
6 and  $^{14}\text{C}$ :  $r=0.37$ ,  $p<0.0001$ ).

## 8 (4) Point by point response

### 9 Reviewer 1

10 General comments:

11 This paper is an important contribution to the still widely debated topic of  
12 detection and attribution of solar forcing in climate records. While attempts  
13 to find a solar signal in the mean global temperature generally reveal at  
14 most a very weak contribution there is growing evidence for solar effects in  
15 the regional weather patterns. This paper analyses the flood frequency  
16 recorded in a sediment core of Lake Ammersee. It is a good example for such a  
17 regional study because it fulfils 3 basic criteria. It covers a considerably  
18 long period (5500 years) with a high temporal resolution (1 year). In  
19 addition the sediment based flood reconstruction is complemented by an  
20 instrumental record of the daily discharge of river Ammer upstream of the  
21 lake covering the years 1926 to 2002. As a proxy of solar forcing the authors  
22 use measured and modelled Total Solar Irradiance (TSI) for the recent period  
23 (1926–2002) and the flux of  $^{10}\text{Be}$  and the production rate of  $^{14}\text{C}$  for older  
24 times which reflect the solar magnetic activity with a resolution of 10 to 20  
25 years. The detection is done by correlation and spectral analysis. Although  
26 the analysis reveals highly significant results correlation is not the best  
27 choice for this task. It is very sensitive to long-term trends. For example,  
28 changes in the Earth's orbit modulate the insolation and the flood frequency  
29 while changes in the geomagnetic field intensity cause fluctuations of the  
30  $^{10}\text{Be}$  flux and the  $^{14}\text{C}$  production rate.

31 To account for long-term trends we calculated significance levels using a random phase test  
32 calculating correlations for detrended datasets (see also our Detailed Answer 1).

33 Spectral analysis is much less sensitive to these perturbations and shows  
34 periodicities such as the 11-year Schwabe cycle in the instrumental data and  
35 other well-known decadal to centennial cycles which can be unambiguously  
36 attributed to solar forcing in the sedimentary record. The potential of the  
37 spectral detection has not yet been fully exploited and probably could make  
38 the case much stronger. As shown by the wavelet spectrum in Fig. 4 these  
39 multi-decadal spectral lines are characterized by strong fluctuations in  
40 their power. By applying cross- and covariance- wavelet analysis between  
41 flood frequency and solar activity one would get much more detailed  
42 information about the relationship between the two records. An easier but  
43 less informative option would be to replace in Fig. 4 panel c by the wavelet  
44 spectrum of either the  $^{14}\text{C}$  production rate or the  $^{10}\text{Be}$  flux because these two  
45 records are very similar and a comparison of both of them with the flood  
46 frequency as done in panels b and c does not provide any really new  
47 information.

1 We now use cross wavelet analyses to detect spectral similarities between the River Ammer  
2 flood and solar activity records (see our Detailed Answer 1).

3 However, it would probably worth to consider specifically the pronounced  
4 peaks of the  $^{10}\text{Be}$  flux and the  $^{14}\text{C}$  production rate. These peaks correspond to  
5 grand solar minima such as the Maunder minimum and reflect therefore the  
6 other extreme of solar forcing compared to the well-studied last decades when  
7 the Sun was very active. Finally, the question arises how well the observed  
8 correlations with lags of 1-3 years and common periodicities can be  
9 attributed to solar forcing. Although only climate models taking into account  
10 all the feedback processes and additional forcing factors as well as internal  
11 variability can ultimately answer this questions the coincidence of high  
12 flood frequency with low solar activity seems to be consistent with the so-  
13 called top-down mechanism which couples dynamically the relatively strong  
14 solar effects in the stratosphere into the troposphere causing shifts in the  
15 storm tracks. The observed lags can be explained by buffering heat in the  
16 North Atlantic. It would be very desirable to use the most advanced climate  
17 models and to try to reproduce the observations at least for some interesting  
18 periods with large changes in solar forcing and little volcanic activity.  
19 Finally it may be worth mentioning that this attribution scenario leading to  
20 significant flood changes is also consistent with not finding any significant  
21 changes in the mean global temperature.

22  
23 Please see our Detailed Answer 3.

24  
25 4834/26 The measured TSI varies typically over an 11-year solar cycle by 0.1%  
26 which corresponds to  $1.4 \text{ Wm}^{-2}$ . This is also visible in the top panel of Fig.  
27 2.

28  
29 We changed '1  $\text{W/m}^2$ ' to '1.4  $\text{W/m}^2$ '.

30  
31 Figure 2: Would it not be possible to extend this figure by solar cycle 23? A  
32 statement that the data reflect the 11-year solar cycle would be appropriate  
33 in the figure caption.  
34

35 We collected new River Ammer discharge data and extended the analysis from 1926-2002 to  
36 1926-2010, now covering solar cycle 23. Comparable to the period 1926-2002, changes in River  
37 Ammer flood frequency follow TSI during solar cycle 23.

38  
39 Generally the agreement between TSI and River Ammer floods is good, except  
40 for cycle 21. Are there any explanations?  
41

42 We added to the discussion that the chosen discharge threshold levels and local climate might  
43 further influence River Ammer flood frequency, particularly during solar cycle 21.

44  
45 Usually the time axis points to the right hand side.  
46

47 We prefer, as commonly used in paleoclimatology, to go from left to right back in time.  
48

1 4841/22 The statement that further effects beside TSI cannot be ruled out is  
2 certainly correct. However, while an influence due to the galactic cosmic  
3 rays is very unlikely, it should be mentioned that changes in the spectral  
4 distribution of the solar radiation plays an important role in the top-down  
5 mechanism as discussed on page 4835.

6  
7 We write in the introduction that the solar top-down mechanism is related to changes in solar  
8 UV emissions.

9  
10 4842/6 change ". . . changes in solar activity from the solar cycle to ..." to ". . . changes in solar activity from the 11-year solar cycle to ..." Technical corrections: (page/line) 4835/22 Change "Aim of this study is to  
11 13 the investigate. . ." to "The aim of this study is to investigate. . ." Figure 3: The label of the y-axis should be "power", not "spectrum" The label  
12 14 of the x-axis should have the unit "(1/year)" 4840/15 replace ". . .  
13 15 shielding and the flux . . ." by ". . . shielding the flux . . ." Figure 4  
14 16 This figure looks rather busy. An expansion in the direction of the time axis  
15 17 would improve the readability. The grey lines are hardly visible. Again the  
16 19 time axis points to the left hand side.

20  
21 Included. Thank you.

## 22 **Reviewer 2**

23 1. From figure 2 it seems clear that there exist serial correlation in the  
24 data and the number of independent observations will be less than the number  
25 of data points. This has to be taken into account when the p-values for the  
26 various correlations are calculated. If this is not done the p-values will be  
27 misleading. See for example Zwiers and von Storch, 1995. For the proxy data  
28 this becomes an even greater issue as the data is smoothed which will  
29 increase the serial correlation even more. Thus, the question arises if the  
30 correlations stated in the text really are significant. As there is no  
31 information on how they are calculated this is hard to judge and I encourage  
32 the authors to have a serious look at this issue as the strength of their  
33 main conclusions relies heavily on the correlation analysis being done  
34 properly.

35  
36 Please see our Detailed Answer 1.

37  
38 2. The physical mechanism proposed is the solar top-down mechanism where  
39 changes in solar UV change the stratospheric temperatures and then changing  
40 the near surface circulation. A mechanism that only work during the extended  
41 winter. To explain the 1-3 year lag in response of the flood frequency to the  
42 TSI the authors cite Scaife et al. (2013) and their simulated delayed  
43 circulation response due to accumulation of heat in the ocean mixed layer and  
44 later release of this heat. As the the solar top-down mechanism this  
45 mechanism will only be active during winter when the heat flux goes the right  
46 way (from the ocean to the atmosphere). For the above mechanisms to be  
47 important also for summer an additional mechanism is needed, by citing Ogi et  
48 al. (2003) the authors suggests that the ice cover in the Barents Sea or snow  
49 in Siberia may transfer the signal into a summer signal and thereby influence  
50 their summer flood record. The chain of reasoning that the winter solar top-  
51 down mechanism (or delayed winter solar top-down mechanism) is influencing  
52 the summertime flood records in the author's region of interest should be

1 substantiated by some proper analysis and not just by a few references. In  
2 its current state the manuscript does not offer any real analysis of the  
3 proposed mechanism and (at least for me) it is not easy to grasp from the  
4 cited literature how the delayed mechanism of Scaife and the faster winter  
5 NAO to summer response of Ogi could work together in the region analysed in  
6 this paper. As a starting point the authors should at least show that there  
7 is a significant correlation between TSI and the flood record on the  
8 timescale of the proposed mechanism (0-3 years) by bandpass filtering the  
9 data to get rid of the correlation possibly coming from covariations on other  
10 timescales. Then do some analysis on the connection between the solar  
11 activity (lagged) and the circulation patterns found to be important for the  
12 flooding in the River Ammer (Rimbu et al., 2015 under review).  
13

14 Please see our Detailed Answer 2 on the mechanistic linkage between River Ammer floods and  
15 solar activity. In addition, as suggested by Reviewer 2, we calculated correlation coefficients  
16 and significance levels (now applying the random phase test) between TSI and the River  
17 Ammer flood frequency record (5-year running mean) from discharge data with different lags.  
18 This correlation is significant (above the 90% level) when River Ammer flood frequency lags  
19 solar activity 2 to 3 years. We also calculated correlation coefficients and significance levels  
20 between TSI and the bandpass filtered River Ammer flood frequency record from discharge  
21 data (9 to 14-year bandpass). Identical with the correlations above, the bandpass filtered River  
22 Ammer flood record is significantly correlated to TSI when the flood record lags TSI 2 to 3 years.  
23 However, we expect that other factors besides TSI like local climate and the chosen flood  
24 threshold influence River Ammer flood frequencies. Therefore, we prefer to use the correlations  
25 based on the simply smoothed (5-year running mean) River Ammer flood record, to show that,  
26 despite further influences, River Ammer flood frequency is significantly correlated to changes in  
27 solar activity.

28  
29 3. Spectral analyses: According to the text all time-series (Ammer flood  
30 frequency, Hohenpeißenberg precipitation event and SLP) depict a 9-12 years  
31 significant oscillation at the 95% level. How is this significance  
32 calculated? It is not stated (but from the values it seems to be tested using  
33 white noise?). As the time series have serial correlation (which is amplified  
34 by the smoothing done prior to the spectral analysis) the confidence levels  
35 should be calculated using a red noise process with the same autocorrelation  
36 as the smoothed time series.  
37

38 As suggested by Reviewer 1, we replaced the spectral analyses by cross wavelet analyses.

39  
40 4. Wavelet analysis: What wavelet-transform is used? Is the wavelet power  
41 spectrum done on the raw flood layer time-series? If not the periods up to 30  
42 year will be smoothed and should not be shown. If it is why does the 11 year  
43 oscillation from the spectral analysis not turn up? How is the confidence  
44 calculated?  
45

46 We now perform cross wavelet analyses. These analyses are based on a Morlet mother wavelet  
47 and performed on the smoothed River Ammer flood frequency datasets (5-year running mean  
48 for the River Ammer flood frequency time-series from the discharge record; 30-year running  
49 window for the Lake Ammersee flood layer record). The significance levels were calculated

1 against red noise. Due to the smoothing of the Lake Ammersee *flood layer record*, no 11-year  
2 oscillation can be detected. We added this information to that part of the methods section  
3 dealing with cross wavelet analysis and to the caption of Figure 6 (cross-wavelet: flood layer  
4 record/reconstructed TSI).

5

## 6 **Interactive comment by M. Trachsel**

7 Czyszak et al. compare air pressure, precipitation and flood data from  
8 southern Germany with total solar irradiance (TSI, Lean et al. 2000) for the  
9 period 1926 - 2002. After finding significant correlations ( $p < 0.001$ )  
10 between the records, a flood record from Lake Ammersee in southern Germany is  
11 compared to a 10 Be record by Vonmoos et al. (2006) and a 14C record by  
12 Muscheler et al (2007). The flood record is undoubtedly excellent. However,  
13 there are issues that should be addressed before publication. In this paper a  
14 5-year running mean is applied to TSI and air pressure, precipitation and  
15 flood time-series. Applying a 5-year running mean to a time-series induces  
16 temporal autocorrelation: adjacent data points within the smoothed time-  
17 series are no longer independent. The test used to assess significance of  
18 correlations between smoothed solar activity and air pressure time-series  
19 assumes independence of the data points within one time-series. As temporal  
20 autocorrelation is not taken into account, the reported  $p$ -value of  $p < 0.0001$   
21 for  $r = -0.47$  is most probably overoptimistic. In addition to the lack of  
22 independence within data series, leads and lags of up to 5 years are tested  
23 and the procedure is applied to six time-series, resulting in a multiple  
24 testing problem. There are several analytical ways to deal with these  
25 problems (e.g. Trenberth et al. 1984). There are also methods using simulated  
26 data to deal with the lack of independence in a time-series. A simple way is  
27 to apply methods used in a study (i.e. 5-year running mean and allowing for  
28 lags up to 5 years) to random data (e.g. white noise and to compare the  
29 results obtained using random data to the results obtained using the data  
30 tested (in this case pressure data). I generated 100000 series of white  
31 noise, applied a 5-year running mean to the white noise series and correlated  
32 (using lags of 0 to 5 years, but no leads) the smoothed white noise series  
33 with the TSI data by Lean et al. (2000). I then chose the maximum of the six  
34 correlations produced by one white noise series to generate a null  
35 distribution. Using this procedure, about 10% of the randomly generated time-  
36 series have a correlation of  $|r| > 0.47$  with TSI, i.e.  $p = 0.1$ . A correlation  
37 of  $r = -0.47$  (the highest correlation found in this paper) is therefore not  
38 significantly ( $p < 0.05$ ) different from results obtained using random data  
39 (only allowing for lags up to two years, or only for lags between 1  
40 and 3 years,  $p = 0.06$ ).  
41

42 Please see our Detailed Answer 1.

43  
44 In the analysis of the late Holocene flood record the data by Vonmoos et al.  
45 (2006) is used for comparison with the flood record. In the earlier paper by  
46 Czyszak et al. (2013) the flood record was compared to the record by  
47 hilber et al. (2009). Vonmoos et al. (2006) write: "The reconstructed Phi  
48 record displays a long-term trend. Inferring a varying solar activity on such  
49 long timescales is not possible as long as the mentioned uncertainties  
50 considering possible system effects of the 10Be record exist and geomagnetic

1 field reconstructions during the Holocene exhibit such large errors. Within  
2 the uncertainties, the long-term changes in  $^{10}\text{Be}$  can be completely explained  
3 by the changes in the geomagnetic dipole field [Muscheier et al., 2005a;  
4 Wagner et al., 2000]. Taking into account the calculated errors of the Phi  
5 reconstruction, the long-term trend in Phi in fact turns out not to be  
6 significant, indicating that possible system effects on the  $^{10}\text{Be}$  flux would  
7 be small. Therefore the OBSERVED LONG-TERM TREND in the presented Phi record  
8 is MOST LIKELY CAUSED BY AN INCOMPLETE ELIMINATION OF THE GEOMAGNETIC FIELD  
9 INFLUENCE on the  $^{10}\text{Be}$  flux and/or a slight long-term change in the climate  
10 system. However, long-term changes in solar activity cannot be excluded  
11 either." As reviewer one states: "Although the analysis reveals highly  
12 significant results correlation is not the best choice for this task. It is  
13 very sensitive to long-term trends." Looking at Fig 4 the significant  
14 correlation between the flood record and the record by Vonmoos et al. (2006)  
15 is probably caused by long term trends that are not reliable. Regarding  $^{10}\text{Be}$   
16 and  $^{14}\text{C}$  records, Steinhilber et al. (2012) state: "A comparison with changes  
17 in the geomagnetic dipole field strength [...] shows that the geomagnetic  
18 dipole shielding is the main cause of the observed multi millennial  
19 variability" In light of this information, providing further motivation for  
20 the use of the Vonmoos et al. (2006) record instead of the Steinhilber et al.  
21 (2009) or Steinhilber et al. (2012) record(or inclusion of the latter two  
22 records) would greatly improve the quality of this paper(Especially as the  
23 paper by Czymzik et al. (2013) used the Steinhilber et al. (2009)  
24 record for comparison).

25  
26 See our Detailed Answer 3.

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1 **Solar modulation of flood frequency in Central Europe during**  
2 **spring and summer on inter-annual to multi-centennial time-**  
3 **scales**

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10

11 **Abstract**

12 Solar influences on climate variability are one of the most controversially discussed topics in  
13 climate research. We analyze solar forcing of flood frequency in Central Europe during spring  
14 and summer on inter-annual to multi-centennial time-scales integrating daily discharge data of  
15 River Ammer (southern Germany) back to AD 1926 (~solar cycles 15-23) and the 5500-year  
16 flood layer record from varved sediments of the downstream Lake Ammersee. Flood frequency  
17 in the River Ammer discharge record is significantly correlated to changes in solar activity when  
18 the flood record lags the solar signal two to three years (two-year lag:  $r=-0.375$ ,  $p=0.01$ , three-  
19 year lag:  $r=0.371$ ,  $p=0.03$ ). Flood layer frequency in the Lake Ammersee sediment record depicts  
20 distinct multi-decadal variations and significant correlations to a total solar irradiance  
21 reconstruction ( $r=-0.4$ ,  $p<0.0001$ ) and  $^{14}\text{C}$  production rates ( $r=0.37$ ,  $p<0.0001$ ), reflecting  
22 changes in solar activity. On all time-scales, flood frequency is higher when solar activity is  
23 reduced. In addition, the configuration of atmospheric circulation associated to periods of  
24 increased River Ammer flood frequency broadly resembles that during intervals of reduced solar  
25 activity, as expected to be induced by the so-called solar top-down mechanism by model studies.  
26 Both atmospheric patterns are characterized by an increase in meridional airflow associated to  
27 enhanced atmospheric blocking over Central Europe. Therefore, the significant negative  
28 correlations as well as similar atmospheric circulation patterns might provide empirical support

1 for a solar influence on hydroclimate extremes in Central Europe during spring and summer by  
2 the so-called solar top-down mechanism.

3

4 **1 Introduction**

5 Solar forcing of climate variability is one of the most controversially discussed topics in climate  
6 research. On the one hand, numerous empirical associations between the activity of the Sun and  
7 climate variables like temperature, precipitation, atmospheric circulation, and frequency and  
8 intensity of hydrometeorological extremes indicate a solar influence on climate on regional  
9 scales (Adolphi et al., 2014; Bond et al., 2001; Fleitmann et al., 2003; Gray et al., 2010;  
10 Lockwood, 2012; Wirth et al., 2013b). On the other hand, it is assumed that the measured  
11 variations in total solar irradiance (TSI) of about  $1.4 \text{ W/m}^2$  are too small to substantially modify  
12 climate unless they can induce amplifying feedbacks in the climate system (IPCC, 2014). One  
13 amplifying feedback proposed by model studies is the so-called solar top-down mechanism  
14 (Gray et al., 2010; Haigh, 1996; Ineson et al., 2011; Lockwood, 2012). Larger changes in solar  
15 UV emissions influence stratospheric ozone concentration, heating and circulation and,  
16 consequently, strength and stability of the polar vortex. These disturbances are expected to  
17 communicate downwards to the troposphere via a chain of processes that is still under  
18 investigation to modify position and strength of the mid-latitude storm tracks mainly over the  
19 North Atlantic and Europe (Gray et al., 2010; Haigh, 1996; Ineson et al., 2011; Lockwood,  
20 2012). Under further consideration are the effects of energetic particles from the Sun and galactic  
21 cosmic rays on cloud cover and precipitation. However, their climate impact is not well  
22 understood (Gray et al., 2010; Lockwood, 2012; Svensmark and Friis-Christensen, 1997).

23 In addition to model studies, a way to investigate potential solar-climate linkages and their  
24 underlying mechanisms on short and long time-scales and with high temporal precision is to  
25 integrate short instrumental records and long paleoclimate proxy time-series reflecting the same  
26 type of data (Kämpf et al., 2014). Flood layers in the varved Lake Ammersee sediment record  
27 form after major River Ammer floods transporting eroded detrital catchment material into the  
28 lake (Czymzik et al., 2010, 2013). *Flood layer frequency* has been shown to follow changes in  
29 solar activity during the last 450 years (Czymzik et al., 2010). In addition, millennial-scale shifts

1 in *flood intensity* at Lake Ammersee are likely related to a successive reduction in Northern  
2 Hemisphere orbital summer forcing and multi-millennial solar activity variations (Czymzik et al.,  
3 2013). Major aim of this study is to investigate the instrumental River Ammer discharge record  
4 from Gauge Weilheim reaching back to AD 1926 for high-frequency solar signals (Fig. 1). The  
5 analyses focus on May to August (MJJA), the flood season in the Ammer region today (Czymzik  
6 et al., 2010). For providing information on River Ammer flood activity in the more distant past,  
7 we perform novel analyses on the previously published 5500-year flood layer time-series from  
8 Lake Ammersee sediment core AS10<sub>prox</sub> (Fig. 1). The proximity between Gauge Weilheim,  
9 recording discharge from 601 of the 709 km<sup>2</sup> Ammer catchment, and the downstream lake  
10 ensures comparability of the flood signals in both records (Fig. 1).

11

## 12 2 Material and Methods

### 13 2.1 Study Site

14 River Ammer has a length of 84 km and is located in the Bavarian Alpine Foreland (southern  
15 Germany) (Mangelsdorf and Zelinka, 1973) (Fig. 1). Its catchment is well suited for the  
16 investigation of flood occurrences. High water tables of the moorlands in vicinity to Lake  
17 Ammersee and low water holding capacities of the alpine soils favor the translation of  
18 precipitation extremes into floods by surface discharge. The rather small catchment (709 km<sup>2</sup>)  
19 and steep slopes of the alpine foothills produce short but intense flood peaks (Ludwig et al.,  
20 2003).

21 Lake Ammersee (48°00'N, 11°07'E, 533 asl.) has a surface area of 47 km<sup>2</sup> and a maximum  
22 water depth of 81 m (Alef and Müller, 1999). Late moraine, flysch and molasse formations in  
23 the Ammer catchment provide abundant easy erodible detrital material for downstream transport  
24 into the lake during a flood. The gully shaped lake basin provides a well-defined deposition  
25 center for these detrital fluxes as distinct 'flood layers' (Czymzik et al., 2010). Varved sediments  
26 allow dating these flood layers to the season by varve counting and the position within an annual  
27 lacustrine sedimentation cycle (Czymzik et al., 2010).

1 Hydroclimate in the Ammer region, today, is characterized by varying influences of mid-latitude  
2 westerly weather regimes transporting moisture from the North Atlantic and Mediterranean into  
3 Europe and continental high-pressure cells causing atmospheric blocking (Petrow and Merz,  
4 2009). Mean annual precipitation in the Ammer catchment is ~1200 mm/year.

## 5 **2.2 River Ammer discharge data**

6 Daily River Ammer discharge data provided by the Bavarian Environmental Agency were  
7 recorded at Gauge Weilheim (550 m asl.), located about 10 km upstream of Lake Ammersee  
8 (Fig. 1). **The discharge data cover the period AD 1926-2010** and the analyses focus on May to  
9 August (MJJA). To better link the River Ammer discharge record to floods as represented by the  
10 Lake Ammersee flood layer time-series, flood frequency indices were calculated by counting  
11 days in MJJA with daily discharges between 27 and 34 m<sup>3</sup>/s (discharges between the 90<sup>th</sup> and  
12 95<sup>th</sup> percentile) and above 34 m<sup>3</sup>/s (discharges above the 95<sup>th</sup> percentile). Two threshold levels  
13 were chosen to extract more complete time-series of major River Ammer floods varying  
14 substantially in length and magnitude. A MJJA River Ammer flood frequency composite was  
15 calculated by averaging the indices related to both discharge thresholds. **To reduce noise, the**  
16 **River Ammer flood frequency composite was filtered with a 5-year running mean.**

## 17 **2.3 Lake Ammersee flood layer record**

18 Detrital layers in the varved Lake Ammersee sediment core AS10<sub>prox</sub> have been previously  
19 interpreted to reflect major River Ammer floods during spring and summer by their (1) sediment  
20 microfacies indicating deposition after major surface discharge events, (2) increases in Ti  
21 evincing the terrestrial origin of the material, (3) proximal-distal deposition pattern pointing  
22 towards River Ammer as the introductory source, (4) position within an annual sediment  
23 deposition cycle and (5) calibration against instrumental River Ammer discharge data (Czymzik  
24 et al., 2010, 2013). A 30-year moving window was applied to the flood layer time-series to  
25 emphasize multi-decadal variability.

## 26 **2.4 Cross wavelet analysis**

27 **Cross wavelet analysis reveals regions in two time-series with common high spectral power and**  
28 **provides information on the phase relationship (Grinsted et al., 2004).** The wavelets were

1 produced using a Morlet mother wavelet. Significance levels were calculated against a red noise  
2 spectrum (Grinsted et al., 2004). Before the analyses, all datasets were standardized (zero mean,  
3 standard deviation).

## 5 **2.5 Random phase significance test**

6 Correlation coefficients and significance levels were calculated using a non-parametric random  
7 phase test (Ebisuzaki, 1997). This test is designed for serial correlated time-series and, thus,  
8 takes into account the effects of smoothing and detrending. It is based on the creation of (here  
9 10000) random time-series that have an identical frequency spectrum as the original data series  
10 A, but randomly differ in the phase of each frequency. To test the significance of the correlation  
11 between A and B, A is than replaced with these random surrogates and the probability  
12 distribution of the correlations that may occur by chance calculated (Ebisuzaki, 1997).

## 14 **3 Results**

### 15 **3.1 River Ammer flood frequency back to AD 1926**

16 The MJJA River Ammer flood frequency indices for discharges between the 90<sup>th</sup> and 95<sup>th</sup>  
17 percentile and above the 95<sup>th</sup> percentile are significantly correlated from AD 1926 to 2010 ( $r=$   
18 0.38,  $p=0.08$ ), suggesting the reflection of a common hydrological signal (Fig. 2). As already  
19 depicted by the two single flood indices, the MJJA River Ammer flood frequency composite  
20 exhibits distinct decadal-scale oscillatory behavior and a trend towards lower flood frequencies  
21 during the more recent years (Fig. 2).

### 22 **3.2 Flood layer frequency over the last 5500 years**

23 Flood layers in Lake Ammersee sediment core AS10<sub>prox</sub> during the last 5500 years reveal distinct  
24 decadal-scale frequency fluctuations, ranging from 2 layers every 30 years to 20 layers every 30  
25 years (Fig. 3). Mean flood layer recurrence time is 3.7 years.

## 27 **4 Discussion**

### 28 **4.1 River Ammer floods and solar activity in the instrumental period**

1 Comparing the MJJA River Ammer flood frequency composite from the discharge record to an  
2 annual resolved TSI reconstruction (Lean, 2000) allows examining solar-flood correspondences  
3 at very high temporal resolution based on fixed chronologies. Interestingly, inter-annual  
4 variability in the River Ammer flood frequency composite follows changes in TSI during solar  
5 cycles 15 to 23 (Fig. 2). Both records are broadly anti-phased (Fig. 2). **Discrepancies between the**  
6 **MJJA River Ammer flood frequency composite and TSI might be caused by internal climate**  
7 **variability and local climate anomalies. Furthermore, particularly the weak increase in the River**  
8 **Ammer flood frequency composite during the TSI minimum between solar cycles 22 and 23 is**  
9 **likely due to the static nature of the chosen discharge thresholds. Nevertheless, even though no**  
10 **increase in flood frequency is visible during that time for floods with discharges above the 95<sup>th</sup>**  
11 **percentile, an increase in the frequency of floods with discharges between the 90<sup>th</sup> and 95<sup>th</sup>**  
12 **percentile is recorded (Fig. 2). A trend towards lower River Ammer flood frequencies during the**  
13 **more recent years is paralleled by a trend towards higher solar activity (Fig. 2).**

14 Cross correlation indicates significant negative correlations when the River Ammer flood  
15 frequency composite lags TSI 2 to 3 years (Fig. 4). A temporal lag of flood responses to changes  
16 in solar activity of a few years might be explained by a modelled ocean-atmosphere feedback  
17 (Scaife et al., 2013): Solar induced variations in the North Atlantic head budged are expected to  
18 delay the atmospheric response to solar activity variations up to a few years through the later  
19 release of previously accumulated energy to the air (Scaife et al., 2013). **Cross wavelet analysis**  
20 **of the River Ammer flood frequency composite and TSI indicates significant common spectral**  
21 **power around 9-12 years similar to the solar Schwabe cycle and a negative phase relationship**  
22 **(Fig. 5).**

## 23 **4.2 Flood layer frequency and solar activity during the last 5500 years**

24 Comparing the 5500-year flood layer frequency record to solar activity indicators from  
25 cosmogenic radionuclides enables investigating solar-climate linkages on long time-scales.  
26 Comparable to the last 450 years (Czymzik et al., 2010), the 5500-year flood layer frequency  
27 time-series (n=1501, filtered with a 30-year moving window) depicts distinct multi-decadal  
28 variations and **significant correlations with a total solar irradiance reconstruction (Steinhilber et**  
29 **al., 2012) ( $r=-0.4$ ,  $p<0.0001$ ) and the reconstructed  $^{14}\text{C}$  production rate, a proxy record of**  
30 **changes in solar activity, especially on the sub-millennial time-scales (Muscheler et al., 2007;**

1 Snowball and Muscheler, 2007) ( $r=0.39$ ,  $p<0.0001$ ) (Fig. 3). The atmospheric production of  $^{14}\text{C}$   
2 is influenced by the activity of the Sun. A more active Sun enhances heliomagnetic shielding  
3 and, thereby, reduces the flux of galactic cosmic rays to Earth's upper atmosphere forming  $^{14}\text{C}$   
4 by the interaction with N and O (Lal and Peters, 1967). Consequently, more  $^{14}\text{C}$  is produced  
5 when solar activity is reduced. In addition to the multi-decadal variations, cross wavelet analysis  
6 of the flood layer frequency and TSI (Steinhilber et al., 2012) records yield significant common  
7 low-frequency oscillations around 90 and 210 years likely reflecting the solar Gleissberg and  
8 Suess cycles, particularly during periods of grand solar minima around 250, 2800 and 5300 vyr  
9 BP (Fig. 6). Furthermore, the analysis reveals a dominantly anti-phased behavior between flood  
10 layer frequency and TSI (Fig. 6).

### 11 **4.3 Mechanism for a solar influence on flood frequency**

12 Significant negative correlations between solar activity and River Ammer flood frequency on  
13 inter-annual to multi-centennial time-scales suggest a solar modulation of the frequency of  
14 hydrometeorological extremes in the Ammer region (Figs. 2-6). Further empirical associations  
15 between flood frequency and solar activity in records from the alpine region and Central Spain  
16 (Moreno et al., 2008; Peña et al., 2015; Vaquero, 2004; Wirth et al., 2013a, 2013b) as well as the  
17 agreement with a flood reconstruction from multiple large European rivers of the last 500 years  
18 (Glaser et al., 2010) suggest a larger spatial relevance (Central Europe) of the flood signal from  
19 the Ammer catchment.

20 One proposed solar-climate linkage is the so-called solar top-down mechanism, expected to  
21 modulate the characteristics of the mid-latitude storm tracks over the North Atlantic and Europe  
22 by model studies (Haigh, 1996; Ineson et al., 2011; Lockwood, 2012). During periods of reduced  
23 solar activity, the storm tracks are projected to be on a more southward trajectory. Reduced zonal  
24 pressure gradients favor atmospheric blocking and meridional air flow (see the introduction for  
25 details) (Adolphi et al., 2014; Haigh, 1996; Ineson et al., 2011; Lockwood, 2012; Wirth et al.,  
26 2013b). A similar synoptic-scale configuration of atmospheric circulation is associated to periods  
27 of higher River Ammer flood frequency (Rimbu et al., 2015). Periods of higher flood frequency  
28 are characterized by a pronounced through over western Europe intercalated between two ridges  
29 south of Greenland and North of the Caspian Sea (Rimbu et al., 2015). Meridional moisture  
30 transport mainly from the North Atlantic towards Central Europe along the frontal zones of these

1 air-pressure fields increases the flood risk in the Ammer region (Rimbu et al., 2015). These  
2 similar atmospheric circulation patterns might suggest that the observed solar activity-flood  
3 frequency linkage is related to the so-called solar top-down mechanism. However, we cannot  
4 rule out further effects of changes in TSI and/or galactic cosmic rays on River Ammer flood  
5 occurrences. The inconsistency that the solar top-down mechanism is active mainly during  
6 winter and early spring while River Ammer floods occur during late spring and summer might be  
7 reconciled by the effects of cryospheric processes. Ice cover in the Barents Sea and snow in  
8 Siberia are expected to transfer the dominant potentially solar induced winter climate signal into  
9 summer (Ogi et al., 2003).

10

## 11 **5 Conclusions**

12 Integrating daily River Ammer discharge data back to AD 1926 and a 5500-year flood layer  
13 record from varved sediments of the downstream Lake Ammersee allowed identifying changes  
14 in flood frequency in Central Europe during spring and summer and their triggering mechanism  
15 on inter-annual to multi-centennial time-scales. Flood frequency in both records is significantly  
16 correlated to changes in solar activity from the solar Schwabe cycle to multi-centennial  
17 oscillations. These significant correlations suggest a solar influence on the frequency of  
18 hydroclimate extremes in Central Europe. Similar configurations of atmospheric circulation  
19 during periods of increased flood frequency and reduced solar activity, as expected to be caused  
20 by the so-called solar top-down mechanism by model studies, might indicate that the observed  
21 solar activity-flood frequency linkage is related to this feedback. The unexpected direct response  
22 of variations in River Ammer flood frequency to changes in solar activity might suggest that the  
23 solar top-down mechanism is of particular relevance for hydroclimate extremes. Future climate  
24 model studies might help to provide a better mechanistic understanding and test our hypotheses  
25 on the linkage between solar activity and flood frequency in Central Europe during spring and  
26 summer.

27

## 28 **Acknowledgements**

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3 infrastructure financed by the HGF. Lake Ammersee flood layer data files are archived in the  
4 PANGAEA data library (<http://doi.pangaea.de/10.1594/PANGAEA.803369>). We thank Florian  
5 Adolphi for providing the program for the random phase test.

6

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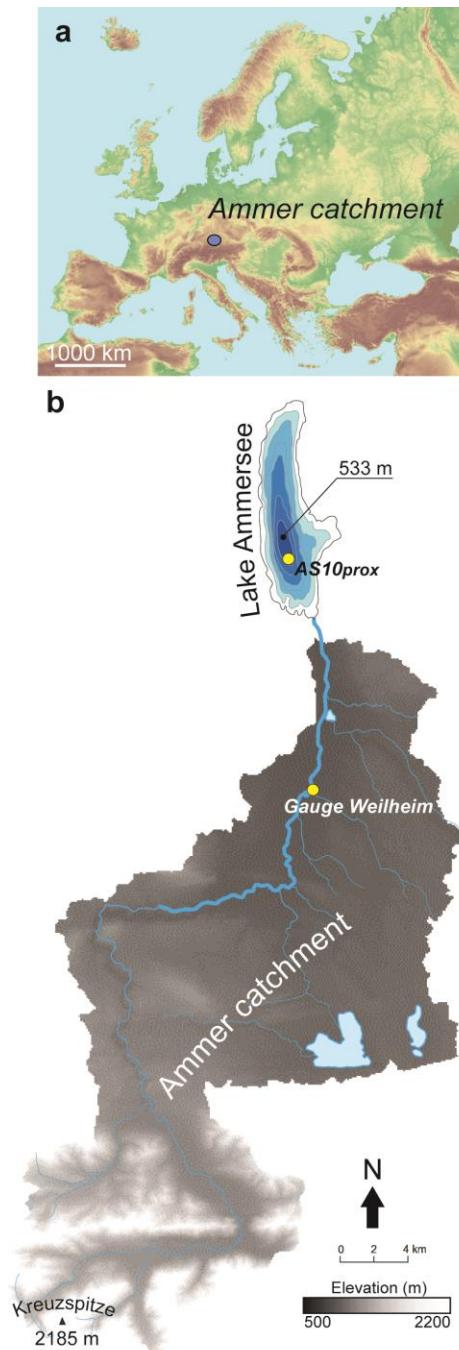
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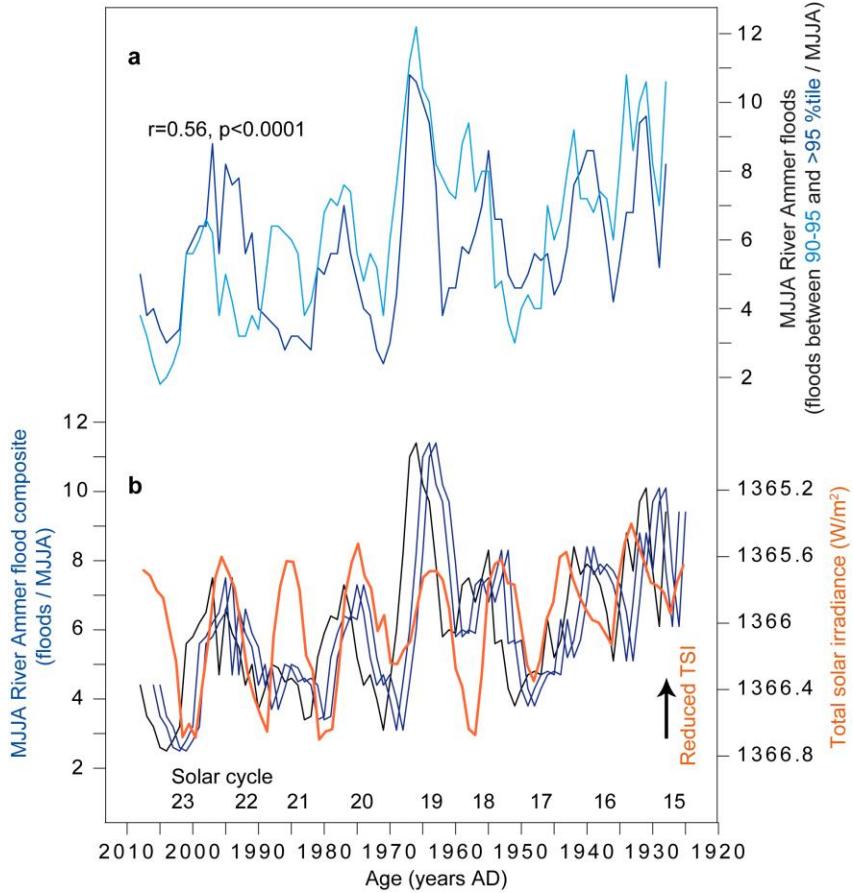
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24

25



1  
2 Figure 1. (a) Geographical position of the Ammer catchment. (b) Hydrological map of the  
3 Ammer catchment (modified after: Ludwig et al., 2003) and bathymetric map of Lake Ammersee  
4 with positions of Gauge Weilheim and sediment core AS10<sub>prox</sub>.  
5



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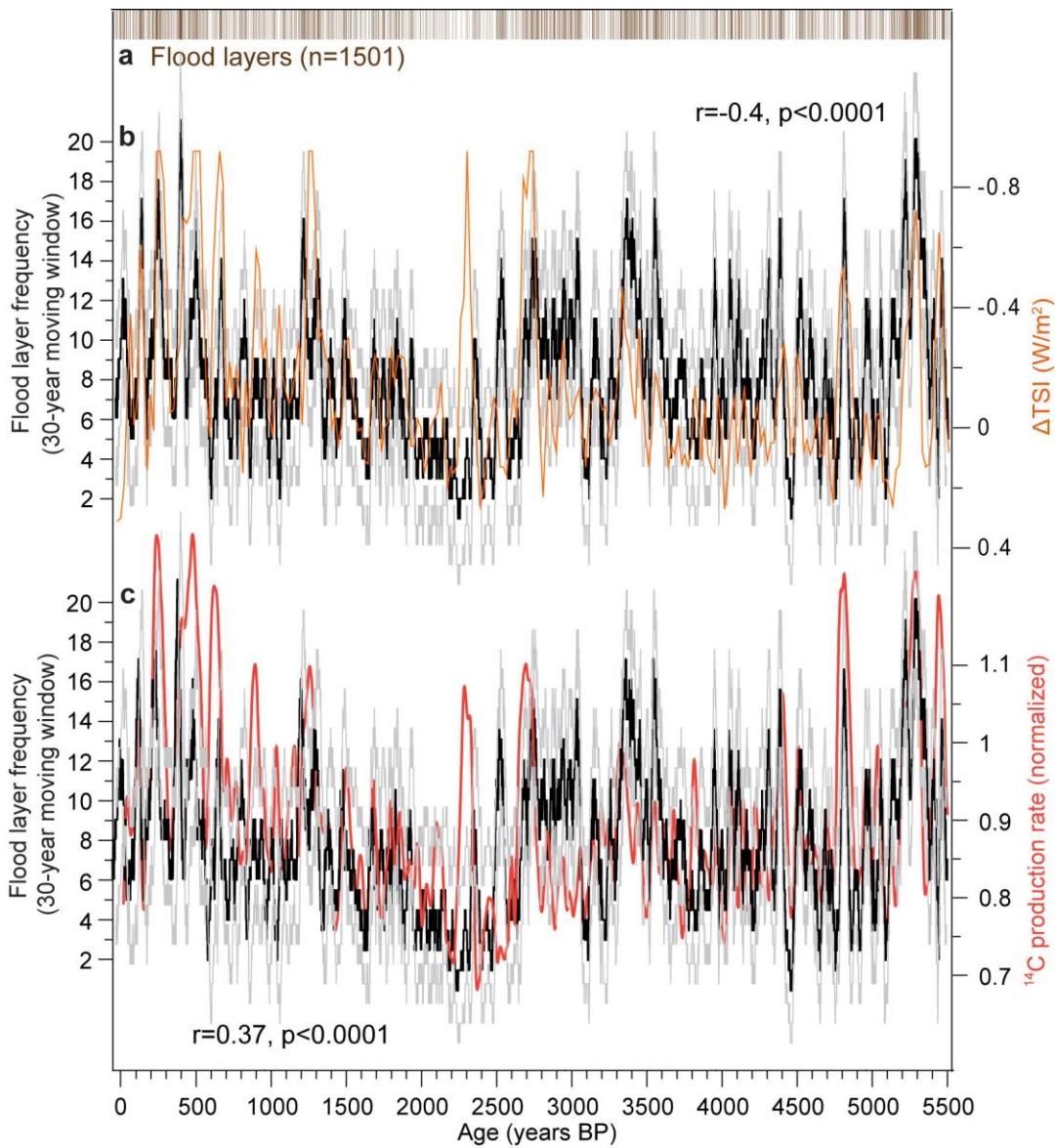
2 Figure 2. River Ammer flood frequency in the discharge record and solar activity. (a) Frequency  
 3 of River Ammer floods during MJJA with discharges between the 90<sup>th</sup> and 95<sup>th</sup> percentile as well  
 4 as above the 95<sup>th</sup> percentile. (b) River Ammer flood frequency composite (see Methods) and total  
 5 solar irradiance (TSI) during solar cycles 15-23 (Lean, 2000). The black line indicates the  
 6 original River Ammer flood frequency composite. The blue lines represent the River Ammer  
 7 flood frequency composite shifted for two and three years into the past revealing significant  
 8 correlations with TSI (see Fig. 4). The River Ammer flood records were filtered using a 5-year  
 9 running mean. Correlations were calculated using a random phase test (Ebisuzaki, 1997).

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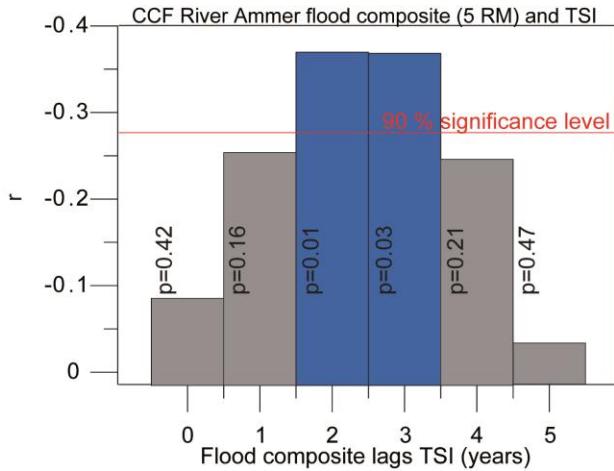
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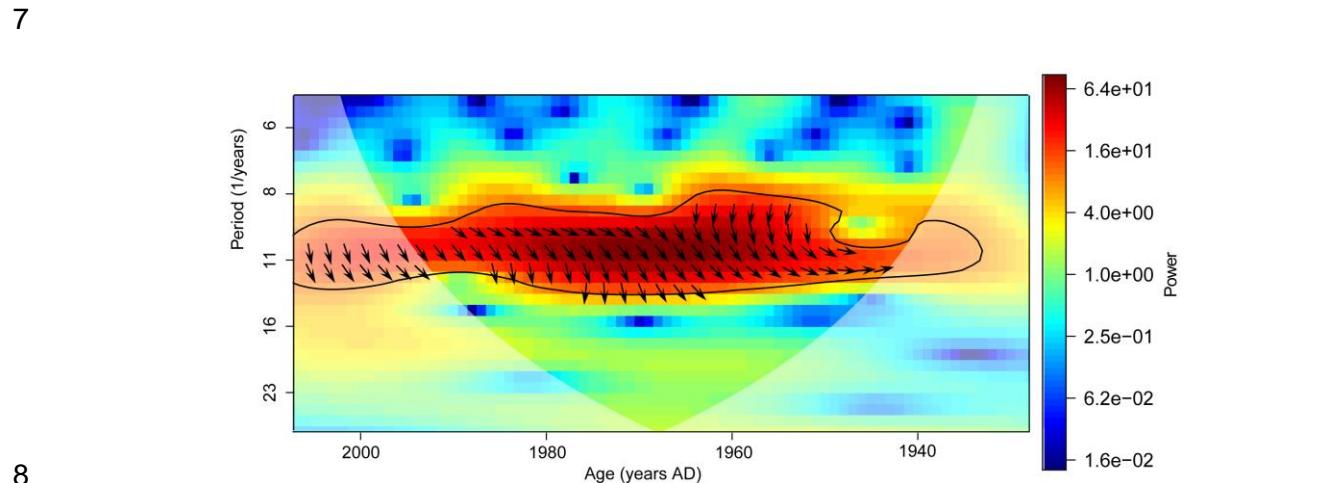


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2 Figure 3. Flood layer frequency and solar activity. (a) Flood layers in Lake Ammersee sediment  
 3 core AS10<sub>prox</sub>. (b) Flood layer frequency (30-year moving window) and reconstructed total solar  
 4 irradiance (difference to the value of the PMOD composite during the solar cycle minimum in  
 5 AD 1986) (Steinhilber et al., 2012). (c) Flood layer frequency (30-year moving window) and  $^{14}\text{C}$   
 6 production rate (Muscheler et al., 2007). Gray lines indicate the standard deviation of the  
 7 smoothed flood layer record. Correlations were calculated using a random phase test (Ebisuzaki,  
 8 1997).



1 Figure 4. Cross-correlation between the MJJA River Ammer flood frequency composite from the  
2 discharge record and total solar irradiance (TSI) (Lean, 2000) indicating significant negative  
3 correlations when TSI leads the River Ammer flood frequency composite two to three years.  
4 Prior to the analysis, the River Ammer flood frequency composite was filtered with a 5-year  
5 running mean. **Correlations were calculated using a random phase test (Ebisuzaki, 1997).**



8 Figure 5. Cross wavelet analysis of MJJA River Ammer flood frequency composite and total  
9 solar irradiance (TSI) (Lean, 2000) indicating significant common spectral power (exceeding the  
10 90 % significance level against a red noise spectrum) around 11 years. Arrows pointing down  
11 indicate that TSI leads the River Ammer flood frequency composite. Before the analyses the  
12 River Ammer flood composite was filtered with a 5-year running mean. Shaded areas indicate  
13 the cone of influence where wavelet analysis is affected by edge effects.

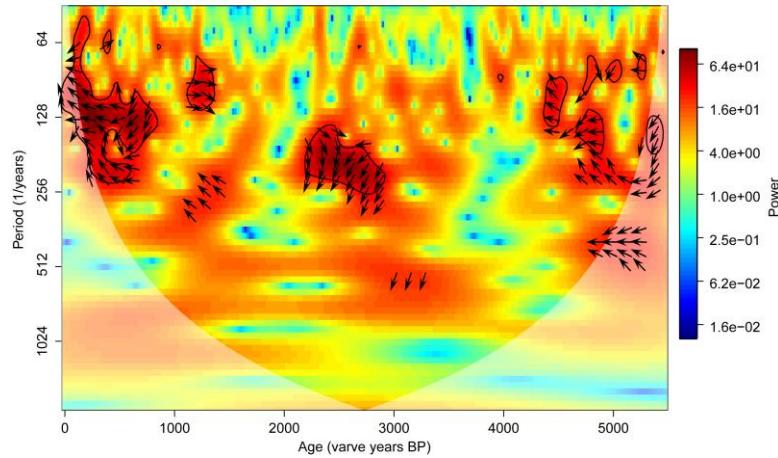


Figure 6. Cross wavelet analysis of the Lake Ammersee flood layer (30-year running window) and reconstructed total solar irradiance records (Steinhilber et al., 2012). Contoured areas exceed the 90 % significance level against a red noise spectrum. Arrows pointing to the left indicate that the time-series are anti-phased. Before the analysis, the Lake Ammersee flood layer record was resampled to the resolution of the TSI time-series (approx. one data point in 20 years). Shaded areas indicate the cone of influence where wavelet analysis is affected by edge effects.