

1 **Solar modulation of flood frequency in Central Europe
2 during spring and summer on inter-annual to multi-
3 centennial time-scales**

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10

11 **Abstract**

12 Solar influences on climate variability are one of the most controversially discussed topics in
13 climate research. We analyze solar forcing of flood frequency in Central Europe during spring
14 and summer on inter-annual to multi-centennial time-scales integrating daily discharge data of
15 River Ammer (southern Germany) back to AD 1926 (~solar cycles 15-23) and the 5500-year
16 flood layer record from varved sediments of the downstream Lake Ammersee. Flood
17 frequency in the River Ammer discharge record is significantly correlated to changes in solar
18 activity when the flood record lags the solar signal two to three years (two-year lag: $r=-0.375$,
19 $p=0.01$, three-year lag: $r=0.371$, $p=0.03$). Flood layer frequency in the Lake Ammersee
20 sediment record depicts distinct multi-decadal variations and significant correlations to a total
21 solar irradiance reconstruction ($r=-0.4$, $p<0.0001$) and ^{14}C production rates ($r=0.37$,
22 $p<0.0001$), reflecting changes in solar activity. On all time-scales, flood frequency is higher
23 when solar activity is reduced. In addition, the configuration of atmospheric circulation
24 associated to periods of increased River Ammer flood frequency broadly resembles that
25 during intervals of reduced solar activity, as expected to be induced by the so-called solar top-
26 down mechanism by model studies. Both atmospheric patterns are characterized by an
27 increase in meridional airflow associated to enhanced atmospheric blocking over Central
28 Europe. Therefore, the significant negative correlations as well as similar atmospheric
29 circulation patterns might provide empirical support for a solar influence on hydroclimate

1 extremes in Central Europe during spring and summer by the so-called solar top-down
2 mechanism.

3

4 **1 Introduction**

5 Solar forcing of climate variability is one of the most controversially discussed topics in
6 climate research. On the one hand, numerous empirical associations between the activity of
7 the Sun and climate variables like temperature, precipitation, atmospheric circulation, and
8 frequency and intensity of hydrometeorological extremes indicate a solar influence on climate
9 on regional scales (Adolphi et al., 2014; Bond et al., 2001; Fleitmann et al., 2003; Gray et al.,
10 Lockwood, 2012; Wirth et al., 2013b). On the other hand, it is assumed that the
11 measured variations in total solar irradiance (TSI) of about 1.4 W/m^2 are too small to
12 substantially modify climate unless they can induce amplifying feedbacks in the climate
13 system (IPCC, 2014). One amplifying feedback proposed by model studies is the so-called
14 solar top-down mechanism (Gray et al., 2010; Haigh, 1996; Ineson et al., 2011; Lockwood,
15 2012). Larger changes in solar UV emissions influence stratospheric ozone concentration,
16 heating and circulation and, consequently, strength and stability of the polar vortex. These
17 disturbances are expected to communicate downwards to the troposphere via a chain of
18 processes that is still under investigation to modify position and strength of the mid-latitude
19 storm tracks mainly over the North Atlantic and Europe (Gray et al., 2010; Haigh, 1996;
20 Ineson et al., 2011; Lockwood, 2012). Under further consideration are the effects of energetic
21 particles from the Sun and galactic cosmic rays on cloud cover and precipitation. However,
22 their climate impact is not well understood (Gray et al., 2010; Lockwood, 2012; Svensmark
23 and Friis-Christensen, 1997).

24 In addition to model studies, a way to investigate potential solar-climate linkages and their
25 underlying mechanisms on short and long time-scales and with high temporal precision is to
26 integrate short instrumental records and long paleoclimate proxy time-series reflecting the
27 same type of data (Kämpf et al., 2014). Flood layers in the varved Lake Ammersee sediment
28 record form after major River Ammer floods transporting eroded detrital catchment material
29 into the lake (Czyszak et al., 2010, 2013). *Flood layer frequency* has been shown to follow
30 changes in solar activity during the last 450 years (Czyszak et al., 2010). In addition,
31 millennial-scale shifts in *flood intensity* at Lake Ammersee are likely related to a successive

1 reduction in Northern Hemisphere orbital summer forcing and multi-millennial solar activity
2 variations (Czymzik et al., 2013). Major aim of this study is to investigate the instrumental
3 River Ammer discharge record from Gauge Weilheim reaching back to AD 1926 for high-
4 frequency solar signals (Fig. 1). The analyses focus on May to August (MJJA), the flood
5 season in the Ammer region today (Czymzik et al., 2010). For providing information on
6 River Ammer flood activity in the more distant past, we perform novel analyses on the
7 previously published 5500-year flood layer time-series from Lake Ammersee sediment core
8 AS10_{prox} (Fig. 1). The proximity between Gauge Weilheim, recording discharge from 601 of
9 the 709 km² Ammer catchment, and the downstream lake ensures comparability of the flood
10 signals in both records (Fig. 1).

11

12 **2 Material and Methods**

13 **2.1 Study Site**

14 River Ammer has a length of 84 km and is located in the Bavarian Alpine Foreland (southern
15 Germany) (Mangelsdorf and Zelinka, 1973) (Fig. 1). Its catchment is well suited for the
16 investigation of flood occurrences. High water tables of the moorlands in vicinity to Lake
17 Ammersee and low water holding capacities of the alpine soils favor the translation of
18 precipitation extremes into floods by surface discharge. The rather small catchment (709 km²)
19 and steep slopes of the alpine foothills produce short but intense flood peaks (Ludwig et al.,
20 2003).

21 Lake Ammersee (48°00'N, 11°07'E, 533 asl.) has a surface area of 47 km² and a maximum
22 water depth of 81 m (Alef and Müller, 1999). Late moraine, flysch and molasse formations
23 in the Ammer catchment provide abundant easy erodible detrital material for downstream
24 transport into the lake during a flood. The gully shaped lake basin provides a well-defined
25 deposition center for these detrital fluxes as distinct 'flood layers' (Czymzik et al., 2010).
26 Varved sediments allow dating these flood layers to the season by varve counting and the
27 position within an annual lacustrine sedimentation cycle (Czymzik et al., 2010).

28 Hydroclimate in the Ammer region, today, is characterized by varying influences of mid-
29 latitude westerly weather regimes transporting moisture from the North Atlantic and
30 Mediterranean into Europe and continental high-pressure cells causing atmospheric blocking

1 (Petrow and Merz, 2009). Mean annual precipitation in the Ammer catchment is ~1200
2 mm/year.

3 **2.2 River Ammer discharge data**

4 Daily River Ammer discharge data provided by the Bavarian Environmental Agency were
5 recorded at Gauge Weilheim (550 m asl.), located about 10 km upstream of Lake Ammersee
6 (Fig. 1). The discharge data cover the period AD 1926-2010 and the analyses focus on May to
7 August (MJJA). To better link the River Ammer discharge record to floods as represented by
8 the Lake Ammersee flood layer time-series, flood frequency indices were calculated by
9 counting days in MJJA with daily discharges between 27 and 34 m³/s (discharges between the
10 90th and 95th percentile) and above 34 m³/s (discharges above the 95th percentile). Two
11 threshold levels were chosen to extract more complete time-series of major River Ammer
12 floods varying substantially in length and magnitude. A MJJA River Ammer flood frequency
13 composite was calculated by averaging the indices related to both discharge thresholds. To
14 reduce noise, the River Ammer flood frequency composite was filtered with a 5-year running
15 mean.

16 **2.3 Lake Ammersee flood layer record**

17 Detrital layers in the varved Lake Ammersee sediment core AS10_{prox} have been previously
18 interpreted to reflect major River Ammer floods during spring and summer by their (1)
19 sediment microfacies indicating deposition after major surface discharge events, (2) increases
20 in Ti evincing the terrestrial origin of the material, (3) proximal-distal deposition pattern
21 pointing towards River Ammer as the introductory source, (4) position within an annual
22 sediment deposition cycle and (5) calibration against instrumental River Ammer discharge
23 data (Czymzik et al., 2010, 2013). A 30-year moving window was applied to the flood layer
24 time-series to emphasize multi-decadal variability.

25 **2.4 Cross wavelet analysis**

26 Cross wavelet analysis reveals regions in two time-series with common high spectral power
27 and provides information on the phase relationship (Grinsted et al., 2004). The wavelets were
28 produced using a Morlet mother wavelet. Significance levels were calculated against a red
29 noise spectrum (Grinsted et al., 2004). Before the analyses, all datasets were standardized
30 (zero mean, standard deviation).

1

2 **2.5 Random phase significance test**

3 Correlation coefficients and significance levels were calculated using a non-parametric
4 random phase test (Ebisuzaki, 1997). This test is designed for serial correlated time-series
5 and, thus, takes into account the effects of smoothing and detrending. It is based on the
6 creation of (here 10000) random time-series that have an identical frequency spectrum as the
7 original data series A, but randomly differ in the phase of each frequency. To test the
8 significance of the correlation between A and B, A is than replaced with these random
9 surrogates and the probability distribution of the correlations that may occur by chance
10 calculated (Ebisuzaki, 1997).

11

12 **3 Results**

13 **3.1 River Ammer flood frequency back to AD 1926**

14 The MJJA River Ammer flood frequency indices for discharges between the 90th and 95th
15 percentile and above the 95th percentile are significantly correlated from AD 1926 to 2010
16 ($r=-0.38$, $p=0.08$), suggesting the reflection of a common hydrological signal (Fig. 2). As
17 already depicted by the two single flood indices, the MJJA River Ammer flood frequency
18 composite exhibits distinct decadal-scale oscillatory behavior and a trend towards lower flood
19 frequencies during the more recent years (Fig. 2).

20 **3.2 Flood layer frequency over the last 5500 years**

21 Flood layers in Lake Ammersee sediment core AS10_{prox} during the last 5500 years reveal
22 distinct decadal-scale frequency fluctuations, ranging from 2 layers every 30 years to 20
23 layers every 30 years (Fig. 3). Mean flood layer recurrence time is 3.7 years.

24

25 **4 Discussion**

26 **4.1 River Ammer floods and solar activity in the instrumental period**

27 Comparing the MJJA River Ammer flood frequency composite from the discharge record to
28 an annual resolved TSI reconstruction (Lean, 2000) allows examining solar-flood
29 correspondences at very high temporal resolution based on fixed chronologies. Interestingly,
30 inter-annual variability in the River Ammer flood frequency composite follows changes in

1 TSI during solar cycles 15 to 23 (Fig. 2). Both records are broadly anti-phased (Fig. 2).
2 Discrepancies between the MJJA River Ammer flood frequency composite and TSI might be
3 caused by internal climate variability and local climate anomalies. Furthermore, particularly
4 the weak increase in the River Ammer flood frequency composite during the TSI minimum
5 between solar cycles 22 and 23 is likely due to the static nature of the chosen discharge
6 thresholds. Nevertheless, even though no increase in flood frequency is visible during that
7 time for floods with discharges above the 95th percentile, an increase in the frequency of
8 floods with discharges between the 90th and 95th percentile is recorded (Fig. 2). A trend
9 towards lower River Ammer flood frequencies during the more recent years is paralleled by a
10 trend towards higher solar activity (Fig. 2).

11 Cross correlation indicates significant negative correlations when the River Ammer flood
12 frequency composite lags TSI 2 to 3 years (Fig. 4). A temporal lag of flood responses to
13 changes in solar activity of a few years might be explained by a modelled ocean-atmosphere
14 feedback (Scaife et al., 2013): Solar induced variations in the North Atlantic head budged are
15 expected to delay the atmospheric response to solar activity variations up to a few years
16 through the later release of previously accumulated energy to the air (Scaife et al., 2013).
17 Cross wavelet analysis of the River Ammer flood frequency composite and TSI indicates
18 significant common spectral power around 9-12 years similar to the solar Schwabe cycle and
19 a negative phase relationship (Fig. 5).

20 **4.2 Flood layer frequency and solar activity during the last 5500 years**

21 Comparing the 5500-year flood layer frequency record to solar activity indicators from
22 cosmogenic radionuclides enables investigating solar-climate linkages on long time-scales.
23 Comparable to the last 450 years (Czymzik et al., 2010), the 5500-year flood layer frequency
24 time-series (n=1501, filtered with a 30-year moving window) depicts distinct multi-decadal
25 variations and significant correlations with a total solar irradiance reconstruction (Steinhilber
26 et al., 2012) ($r=-0.4$, $p<0.0001$) and the reconstructed ^{14}C production rate, a proxy record of
27 changes in solar activity, especially on the sub-millennial time-scales (Muscheler et al., 2007;
28 Snowball and Muscheler, 2007) ($r=0.39$, $p<0.0001$) (Fig. 3). The atmospheric production of
29 ^{14}C is influenced by the activity of the Sun. A more active Sun enhances heliomagnetic
30 shielding and, thereby, reduces the flux of galactic cosmic rays to Earth's upper atmosphere
31 forming ^{14}C by the interaction with N and O (Lal and Peters, 1967). Consequently, more ^{14}C

1 is produced when solar activity is reduced. In addition to the multi-decadal variations, cross
2 wavelet analysis of the flood layer frequency and TSI (Steinhilber et al., 2012) records yield
3 significant common low-frequency oscillations around 90 and 210 years likely reflecting the
4 solar Gleissberg and Suess cycles, particularly during periods of grand solar minima around
5 250, 2800 and 5300 vyr BP (Fig. 6). Furthermore, the analysis reveals a dominantly anti-
6 phased behavior between flood layer frequency and TSI (Fig. 6).

7 **4.3 Mechanism for a solar influence on flood frequency**

8 Significant negative correlations between solar activity and River Ammer flood frequency on
9 inter-annual to multi-centennial time-scales suggest a solar modulation of the frequency of
10 hydrometeorological extremes in the Ammer region (Figs. 2-6). Further empirical
11 associations between flood frequency and solar activity in records from the alpine region and
12 Central Spain (Moreno et al., 2008; Peña et al., 2015; Vaquero, 2004; Wirth et al., 2013a,
13 2013b) as well as the agreement with a flood reconstruction from multiple large European
14 rivers of the last 500 years (Glaser et al., 2010) suggest a larger spatial relevance (Central
15 Europe) of the flood signal from the Ammer catchment.

16 One proposed solar-climate linkage is the so-called solar top-down mechanism, expected to
17 modulate the characteristics of the mid-latitude storm tracks over the North Atlantic and
18 Europe by model studies (Haigh, 1996; Ineson et al., 2011; Lockwood, 2012). During periods
19 of reduced solar activity, the storm tracks are projected to be on a more southward trajectory.
20 Reduced zonal pressure gradients favor atmospheric blocking and meridional air flow (see the
21 introduction for details) (Adolphi et al., 2014; Haigh, 1996; Ineson et al., 2011; Lockwood,
22 2012; Wirth et al., 2013b). A similar synoptic-scale configuration of atmospheric circulation
23 is associated to periods of higher River Ammer flood frequency (Rimbu et al., 2015). Periods
24 of higher flood frequency are characterized by a pronounced through over western Europe
25 intercalated between two ridges south of Greenland and North of the Caspian Sea (Rimbu et
26 al., 2015). Meridional moisture transport mainly from the North Atlantic towards Central
27 Europe along the frontal zones of these air-pressure fields increases the flood risk in the
28 Ammer region (Rimbu et al., 2015). These similar atmospheric circulation patterns might
29 suggest that the observed solar activity-flood frequency linkage is related to the so-called
30 solar top-down mechanism. However, we cannot rule out further effects of changes in TSI
31 and/or galactic cosmic rays on River Ammer flood occurrences. The inconsistency that the

1 solar top-down mechanism is active mainly during winter and early spring while River
2 Ammer floods occur during late spring and summer might be reconciled by the effects of
3 cryospheric processes. Ice cover in the Barents Sea and snow in Siberia are expected to
4 transfer the dominant potentially solar induced winter climate signal into summer (Ogi et al.,
5 2003).

6

7 **5 Conclusions**

8 Integrating daily River Ammer discharge data back to AD 1926 and a 5500-year flood layer
9 record from varved sediments of the downstream Lake Ammersee allowed identifying
10 changes in flood frequency in Central Europe during spring and summer and their triggering
11 mechanism on inter-annual to multi-centennial time-scales. Flood frequency in both records is
12 significantly correlated to changes in solar activity from the solar Schwabe cycle to multi-
13 centennial oscillations. These significant correlations suggest a solar influence on the
14 frequency of hydroclimate extremes in Central Europe. Similar configurations of atmospheric
15 circulation during periods of increased flood frequency and reduced solar activity, as expected
16 to be caused by the so-called solar top-down mechanism by model studies, might indicate that
17 the observed solar activity-flood frequency linkage is related to this feedback. The unexpected
18 direct response of variations in River Ammer flood frequency to changes in solar activity
19 might suggest that the solar top-down mechanism is of particular relevance for hydroclimate
20 extremes. Future climate model studies might help to provide a better mechanistic
21 understanding and test our hypotheses on the linkage between solar activity and flood
22 frequency in Central Europe during spring and summer.

23

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28 PANGAEA data library (<http://doi.pangaea.de/10.1594/PANGAEA.803369>). We thank
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30

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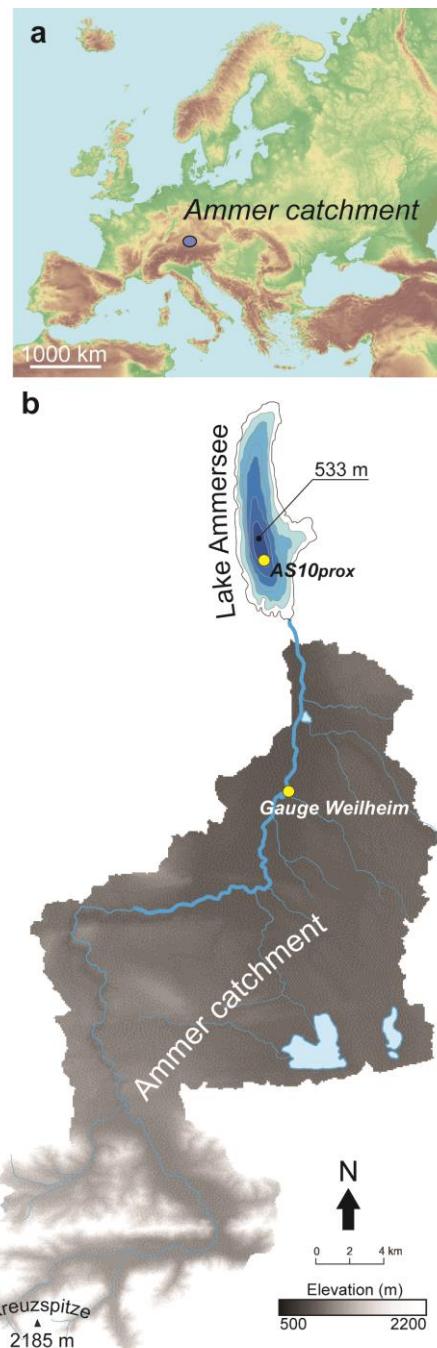
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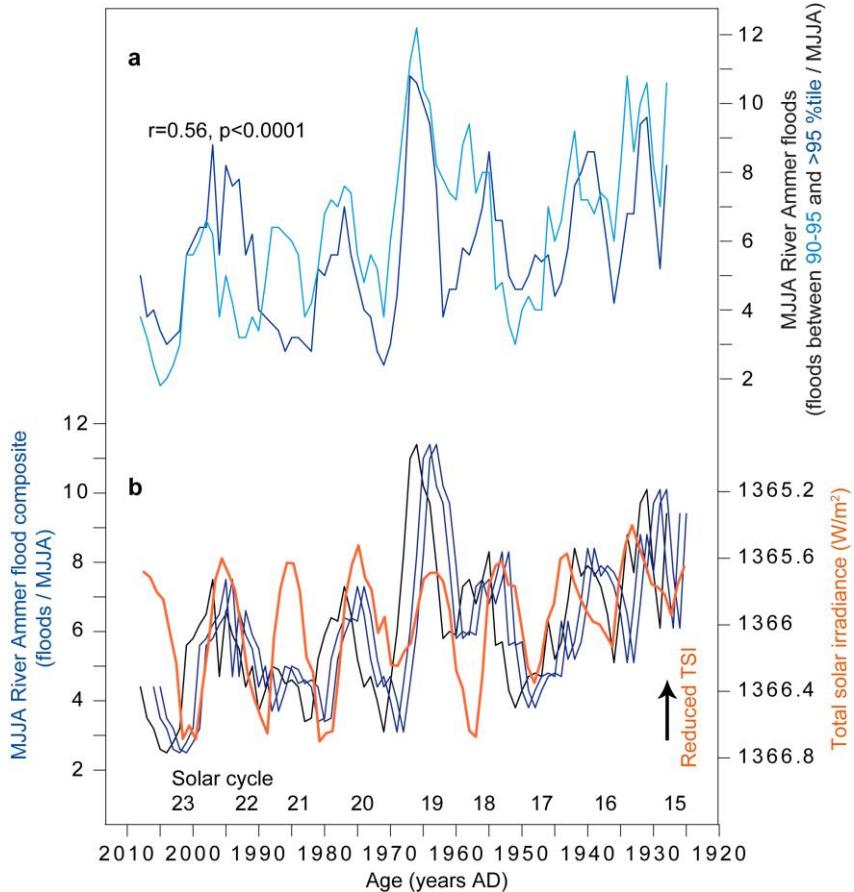
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2 Figure 1. (a) Geographical position of the Ammer catchment. (b) Hydrological map of the
3 Ammer catchment (modified after: Ludwig et al., 2003) and bathymetric map of Lake
4 Ammersee with positions of Gauge Weilheim and sediment core AS10_{prox}.
5



1 Figure 2. River Ammer flood frequency in the discharge record and solar activity. (a) Frequency of River Ammer floods during MJJA with discharges between the 90th and 95th percentile as well as above the 95th percentile. (b) River Ammer flood frequency composite (see Methods) and total solar irradiance (TSI) during solar cycles 15-23 (Lean, 2000). The black line indicates the original River Ammer flood frequency composite. The blue lines represent the River Ammer flood frequency composite shifted for two and three years into the past revealing significant correlations with TSI (see Fig. 4). The River Ammer flood records were filtered using a 5-year running mean. Correlations were calculated using a random phase test (Ebisuzaki, 1997).

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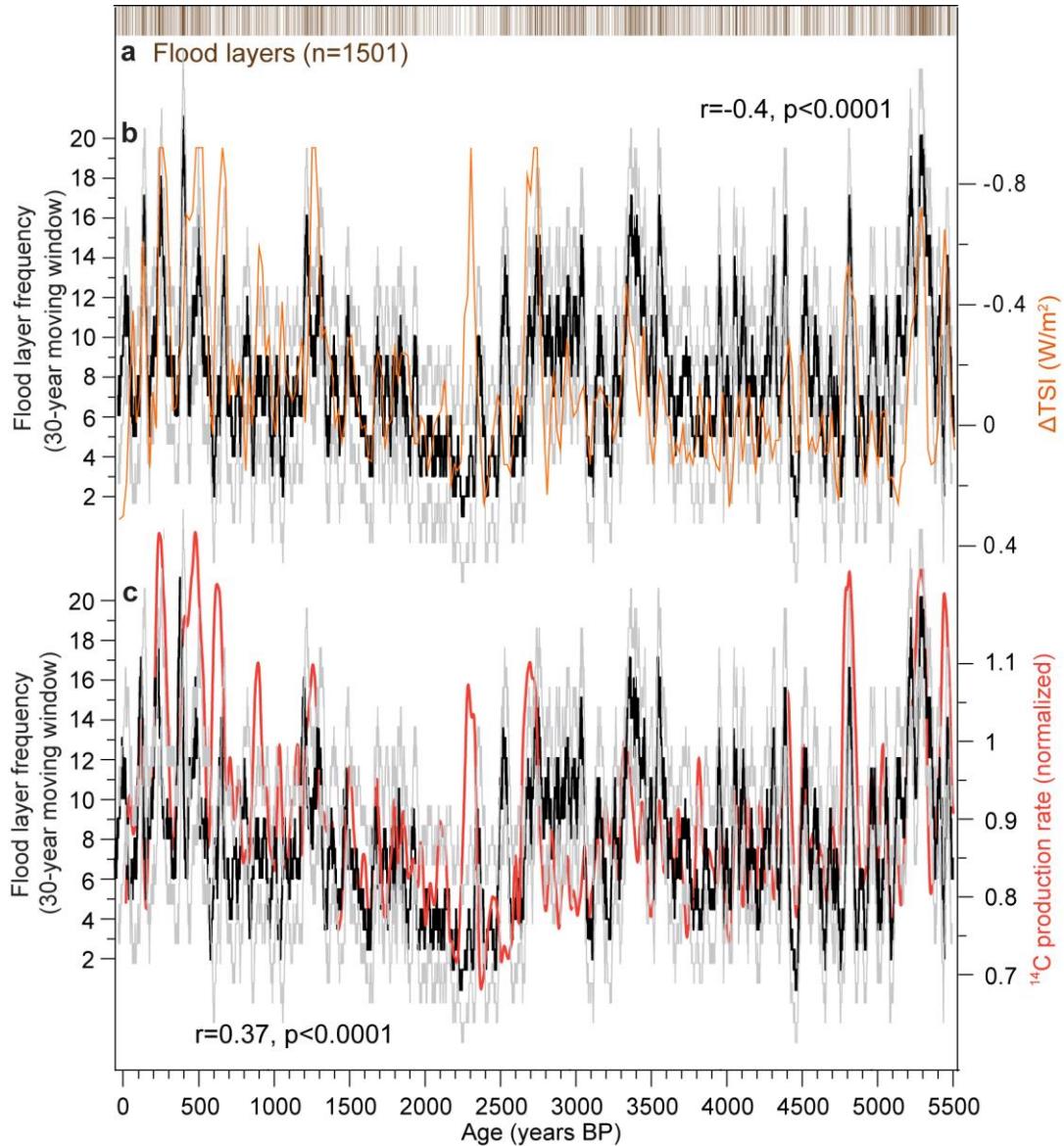
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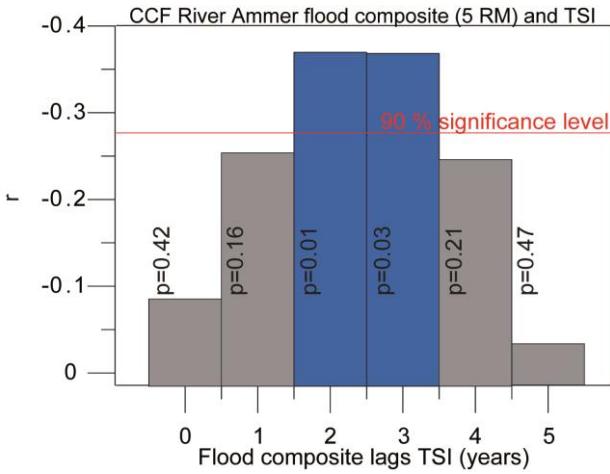
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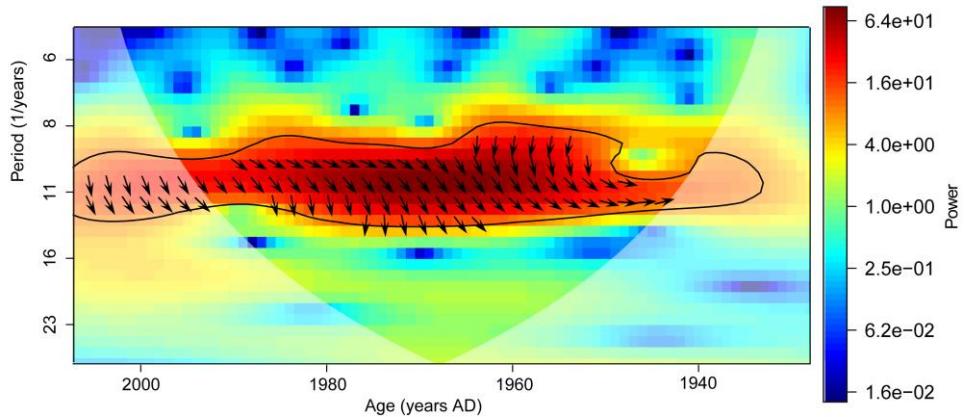
2 Figure 3. Flood layer frequency and solar activity. (a) Flood layers in Lake Ammersee
 3 sediment core AS10_{prox}. (b) Flood layer frequency (30-year moving window) and
 4 reconstructed total solar irradiance (difference to the value of the PMOD composite during the
 5 solar cycle minimum in AD 1986) (Steinhilber et al., 2012). (c) Flood layer frequency (30-
 6 year moving window) and ^{14}C production rate (Muscheler et al., 2007). Gray lines indicate the
 7 standard deviation of the smoothed flood layer record. Correlations were calculated using a
 8 random phase test (Ebisuzaki, 1997).



1

2 Figure 4. Cross-correlation between the MJJA River Ammer flood frequency composite from
 3 the discharge record and total solar irradiance (TSI) (Lean, 2000) indicating significant
 4 negative correlations when TSI leads the River Ammer flood frequency composite two to
 5 three years. Prior to the analysis, the River Ammer flood frequency composite was filtered
 6 with a 5-year running mean. Correlations were calculated using a random phase test
 7 (Ebisuzaki, 1997).

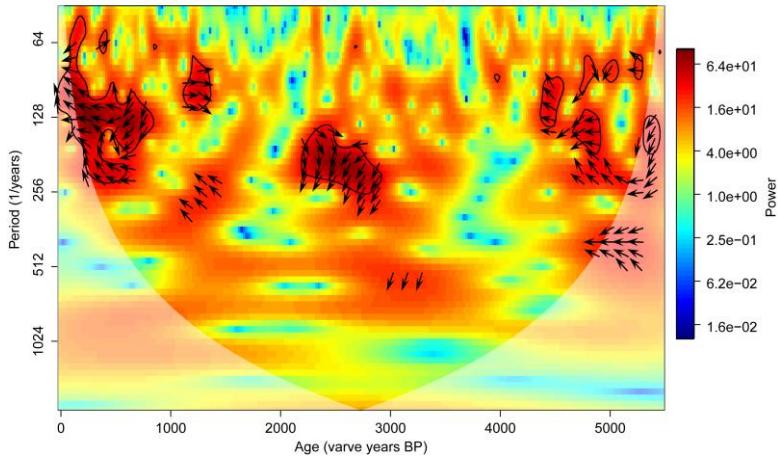
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10 Figure 5. Cross wavelet analysis of MJJA River Ammer flood frequency composite and total
 11 solar irradiance (TSI) (Lean, 2000) indicating significant common spectral power (exceeding
 12 the 90 % significance level against a red noise spectrum) around 11 years. Arrows pointing
 13 down indicate that TSI leads the River Ammer flood frequency composite. Before the
 14 analyses the River Ammer flood composite was filtered with a 5-year running mean. Shaded
 15 areas indicate the cone of influence where wavelet analysis is affected by edge effects.

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2 Figure 6. Cross wavelet analysis of the Lake Ammersee flood layer (30-year running window)
 3 and reconstructed total solar irradiance records (Steinhilber et al., 2012). Contoured areas
 4 exceed the 90 % significance level against a red noise spectrum. Arrows pointing to the left
 5 indicate that the time-series are anti-phased. Before the analysis, the Lake Ammersee flood
 6 layer record was resampled to the resolution of the TSI time-series (approx. one data point in
 7 20 years). Shaded areas indicate the cone of influence where wavelet analysis is affected by
 8 edge effects.

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