

July 6<sup>th</sup> 2016

Dear Dr. Lohman,

Thank you for obtaining responses for a second round of reviews. We have modified the manuscript by taking into account all reviewer comments.

In particular, we have added an AMOC timeseries to figure 5, as suggested, and a new column was included in figure 11 to allow easy comparison between the hosed-unhosed and the unhosed simulations. As requested by reviewers, some references were added and parts of the text were reworded for clarification or for further explanation.

All differences since the major revision are shown in the attached marked-up manuscript.

Thanks again for your assistance with the manuscript, which we hope will make a useful contribution to *Climate of the Past*.

Sincerely,

Nicolas Brown and Eric Galbraith

# Hosed vs. Unhosed: interruptions of the Atlantic Meridional Overturning in a global coupled model, with and without freshwater forcing

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**Abstract.** It is well known that glacial periods were punctuated by abrupt climate changes, with large impacts on air temperature, precipitation, and ocean circulation across the globe. However, the long-held idea that freshwater forcing, caused by massive iceberg discharges, was the driving force behind these changes has been questioned in recent years. This throws into doubt the abundant literature on

5 modelling abrupt climate change through ‘hosing’ experiments, whereby the Atlantic Meridional Overturning Circulation (AMOC) is interrupted by an injection of freshwater to the North Atlantic: if some, or all, abrupt climate change was not driven by freshwater input, could its character have been very different than the typical hosed experiments? Here, we describe spontaneous, unhosed oscillations in AMOC strength that occur in a global coupled ocean-atmosphere model when inte-

10 grated under a particular background climate state. We compare these unhosed oscillations to hosed oscillations under a range of background climate states in order to examine how the global imprint of AMOC variations depends on whether or not they result from external freshwater input. Our comparison includes surface air temperature, precipitation, dissolved oxygen concentrations in the intermediate-depth ocean, and marine export production. The results show that the background cli-

15 mate state has a significant impact on the character of the freshwater-forced AMOC interruptions in this model, with particularly marked variations in tropical precipitation and in the North Pacific circulation. Despite these differences, the first-order patterns of ~~responses~~response to AMOC interruptions are quite consistent among all simulations, implying that the ocean-sea ice-atmosphere dynamics associated with an AMOC weakening dominate the global response, regardless of whether or

20 not freshwater input is the cause. ~~Notable exceptions lie in the direct impact~~Nonetheless, freshwater addition leads to a more complete shutdown of the AMOC than occurs in the unhosed oscillations, with amplified global impacts, evocative of Heinrich stadials. In addition, freshwater inputs can ~~have~~on-directly impact the strength of other polar haloclines, particularly that of the Southern Ocean, to which freshwater can be transported relatively quickly after injection in the North Atlantic.

## 25 1 Introduction

‘Abrupt’ climate changes were initially identified as decadal-centennial temperature changes in Greenland ice deposited during the last ice age (Dansgaard et al., 1984), and subsequently recognized as globally-coherent climate shifts (Voelker, 2002; Alley et al., 2003). Abrupt climate changes were shown to have involved changes in the latitudinal extent and strength of the AMOC (Clark et al., 30 2002), but also impacted global patterns of precipitation, surface air temperature, ocean biogeochemistry and atmospheric trace gas composition (Behl and Kennett, 1996; Indermühle et al., 2000; Clement and Peterson, 2008; Luthi et al., 2008; Harrison and Sanchez Goñi, 2010; Schmittner and Galbraith, 2008). Abrupt climate changes were associated, in early studies, with layers of ice-rafted detritus that blanketed the North Atlantic during brief intervals of the last ice age (Heinrich, 1988), 35 leading to the idea that recurring pulses of freshwater input had been the cause of AMOC interruptions. As evocatively described by Broecker (1994), armadas of icebergs, periodically discharged from the northern ice sheets to melt across the North Atlantic (MacAyeal, 1993), would have spread a freshwater cap that impeded convection and consequently, through the Stommel (1961) feedback, would have thrown a wrench in the overturning. Inspired by this idea, generations of numerical mod- 40 els have been subjected to freshwater ‘hosing’ experiments, whereby the sensitivity of the AMOC to varying degrees of freshwater input have been tested, and the responses shown to vary as a function of background climate state and experimental design (Fanning and Weaver, 1997; Ganopolski and Rahmstorf, 2001; Schmittner et al., 2002; Timmermann et al., 2003; Rahmstorf et al., 2005; Stouffer et al., 2006; Krebs and Timmermann, 2007; Hu et al., 2008; Otto-Bliesner and Brady, 2010; 45 Kageyama et al., 2013; Gong et al., 2013; Roberts et al., 2014). Multiple studies have shown a good degree of consistency between aspects of these hosing simulations and the observed global signatures of abrupt climate change (Schmittner et al., 2007b; Liu et al., 2009; Menviel et al., 2014).

However, other model simulations have shown that spontaneous changes in the AMOC can occur in the absence of freshwater inputs (Winton, 1993; Sakai and Peltier, 1997; Hall and Stouffer, 50 2001; Ganopolski and Rahmstorf, 2002; Schulz, 2002; Loving and Vallis, 2005; Wang and Mysak, 2006; Colin de Verdière, 2007; Friedrich et al., 2010; Arzel et al., 2011; Kim et al., 2012; Drijfhout et al., 2013; Peltier and Vettoretti, 2014; Vettoretti and Peltier, 2015). Although uncommon, these ‘unhosed’ oscillations show that the AMOC can vary as a result of processes internal to the ocean-atmosphere system, which have been linked to oscillations in the strength of the vertical density 55 gradient in the North Atlantic (Winton, 1993; Arzel et al., 2011; Peltier and Vettoretti, 2014), and to the existence of unstable states of ~~the~~ sea ice extent in the North Atlantic (Li, 2005; Li et al., 2010; Siddall et al., 2010; Petersen et al., 2013). In addition, there are features of the observational records that are inconsistent with iceberg armadas having been the driving force behind all episodes of abrupt climate change (Marshall and Koutnik, 2006). Periods of abrupt Greenland cooling are 60 typically divided between ‘Heinrich events’, for which widespread ice-rafted detritus is found (Hemming, 2004), and ‘Dansgaard-Oeschger stadials’ (Dansgaard et al., 1993), which include all abrupt

Greenland coolings, but are not associated with widespread ice rafted detritus. In Greenland ice core records, Heinrich events are very similar to Dansgaard-Oeschger stadials, despite the apparent contrast in the associated amount of ice rafted detritus and, presumably, the consequent freshwater  
65 input.

What's more, the arrival of ice-rafted detritus does not seem to precede changes in the AMOC, where the two are recorded together. An analysis of Heinrich event 2 in the NW Atlantic showed that weakening of the AMOC preceded the widespread deposition of ice-rafted detritus by approximately 2 ky (Gutjahr and Lippold, 2011), while a statistical analysis of the temporal relationship  
70 between ice-rafted detritus near Iceland and dozens of cold events in Greenland suggested that ice-rafted detritus deposition generally lags the onset of cooling by a significant amount (Barker et al., 2015). These observations throw further doubt on the role of iceberg melting as the universal driver of abrupt climate change. In fact, it may be that iceberg release is more often a consequence of AMOC interruptions, rather than necessarily being their cause, a possibility raised by the identifica-  
75 tions of subsurface warming during AMOC interruptions in hosed model simulations (Mignot et al., 2007). Such intermediate-depth warming of the North Atlantic, resulting from the reduced release of oceanic heat at high latitudes, would have melted floating ice shelves at their bases, contributing to ice sheet collapse (Shaffer, 2004; Flückiger et al., 2006; Alvarez-Solas et al., 2010; Marcott et al., 2011). Thus, although there is good evidence that large iceberg armadas were released during most  
80 stadials, and would have freshened the North Atlantic accordingly, they were not necessarily the main causal factor involved in all stadials. This raises the question: does the global footprint of an AMOC interruption depend on its cause, or is does any AMOC interruption the same invoke the same response, regardless of whether or not freshwater forcing was behind it? How does the importance of the causal driver compare with the sensitivity to the background climate state, itself determined  
85 by CO<sub>2</sub>, terrestrial ice sheets, and the Earth's orbital parameters?

In order to explore these questions, we make use of a large number of long water-hosing simulations with CM2Mc, a state-of-the-art Earth System model, to show how the global response to hosing varies between a preindustrial and glacial background state, and under different orbital forcings. In addition, we take advantage of the fact that the same model exhibits previously undescribed  
90 spontaneous AMOC interruptions and resumptions, which appear very similar to stadial-interstadial variability, to reveal what aspects of the abrupt changes are a result of the hosing itself rather than consequences of the changing AMOC.

## 2 Experimental Setup

### 2.1 Model Description

95 The simulations shown here use the coupled ocean-atmosphere model CM2Mc, as described in Galbraith et al. (2011). This is a moderately low resolution, but full complexity model, that includes



an atmospheric model that is at the high-complexity end of the spectrum applied in previously-published water hosing simulations. In brief, the model includes: a 3-degree finite volume atmospheric model, similar to the 2-degree version used in the GFDL CM2.1 (Anderson, 2004) and  
100 ESM2M (Dunne et al., 2012) models; MOM5, a non-Boussinesq ocean model with a fully-nonlinear equation of state, subgridscale parameterizations for mesoscale and submesoscale turbulence, vertical mixing with the KPP scheme as well as due to the interaction of tidal waves with rough topography but otherwise a very low background vertical diffusivity ( $0.1 \text{ cm}^2 \text{ s}^{-1}$ ), similar to that used in the GFDL ESM2M model (Dunne et al., 2012); a sea-ice module, static land module, and  
105 a coupler to exchange fluxes between the components. In addition, the ocean model includes the BLING biogeochemical model as described in Galbraith et al. (2010). This includes limitation of phytoplankton growth by iron, light, temperature and phosphate, as well as a parameterization of ecosystem structure.

## 2.2 Experimental Design

110 The experiments shown here vary in terms of the prescribed atmospheric  $\text{CO}_2$ , the size of terrestrial ice sheets, and the Earth's orbital configuration (obliquity and precession). Terrestrial ice sheets were set to either the 'preindustrial' extents, or the full LGM reconstruction of the Paleoclimate Model Intercomparison Project 3 (<https://pmip3.lsce.ipsl.fr>), in which case the ocean bathymetry was also altered to represent lowered sea level, including a closed Bering Strait, and ocean salinity was increased by 1 PSU. Atmospheric  $\text{CO}_2$  concentration has a value of either 270 or 180 ppm depending  
115 on whether the ice sheets have a preindustrial or glacial extent, respectively. The obliquity was set to either  $22.0^\circ$  or  $24.5^\circ$ , spanning the calculated range of the last 5 My (Laskar et al., 2004). The precessional phase, defined as the angle between the Earth's position during the northern hemisphere autumnal equinox and the perihelion, was set to two opposite positions, corresponding to the positions at which the boreal seasonalities are least and most severe ( $90^\circ$  and  $270^\circ$ , respectively). All  
120 other boundary conditions of the model were configured as described in Galbraith et al. (2011).

Freshwater forcing ('hosing') was applied to four simulations run under 'Preindustrial' conditions with the four possible combinations of obliquity and precession, and a corresponding four under 'Glacial' conditions. For each of these eight hosing simulations, the model was initialized from a  
125 previous preindustrial or LGM state, run for 1000 years, a freshwater hosing was applied for 1000 years, and then it was run for a further 1000 years with the hosing off. Control simulations (without hosing) were also run for the same length of time as the hosing to allow drift correction. During hosing, freshwater was added in the North Atlantic by overriding the land-to-ocean ice calving flux with a preindustrial annual mean plus an additional 0.2 Sv evenly distributed in a rectangle bounded  
130 by  $40\text{N}$  to  $60\text{N}$  and  $60\text{W}$  to  $12\text{W}$ . Because the same preindustrial mean background calving flux was used in all hosings, for the five non-preindustrial hosings, this also represents a 0.018 Sv increase in the calving flux on the Antarctic coast relative to the background glacial climate. It should be noted

that because the model does not have a rigid lid, this represents a ‘real’ freshwater input to the ocean. The result is a global sea level rise of 17 m over the 1000 year hosing, representing approximately  
135 one third the maximum rate of sea level rise estimated during the last deglaciation (Melt Water Pulse 1a) (Deschamps et al., 2012). For the top panels of Figures 3,4 and 6-10, the ‘weak’ AMOC state is defined as years 901-1000 of hosing, and the ‘strong’ AMOC state is the same century taken from the corresponding control simulation to correct for drift, which was quite small.

In addition, we show results from a simulation for which hosing was not applied, but which ex-  
140 hibits spontaneous oscillations in the AMOC reminiscent of D-O events. This ‘Unhosed’ simulation was conducted under glacial CO<sub>2</sub> (180 ppm), but with preindustrial ice sheets and bathymetry, low obliquity, and weak boreal seasonality. The Unhosed simulation was one of a suite of simulations integrated with constant forcing, under the same simultaneous changes in obliquity, precession and ice sheet configuration described above but under a broader range of CO<sub>2</sub> variations. The strength of the  
145 AMOC varies considerably among these simulations, with stronger AMOC occurring at high CO<sub>2</sub> and with full glacial ice sheets (Figure 1), for reasons that will be discussed elsewhere. Strikingly, two of the simulations (those with 180 ppm CO<sub>2</sub>, low obliquity, and preindustrial ice sheets) were found to show oscillations between two unstable states of the AMOC, reminiscent of D-O changes (Figure 1). Of these, the simulation with weak boreal seasonality gradually settled into longer os-  
150 cillations with a period of ~1200 yrs, closer to the period of the observed D-O cycles, after a few millennia of more rapid oscillations. Given the greater similarity to the period of observed D-O cycles, this simulation is taken as the ‘Unhosed’ example. In this Unhosed simulation, the weak AMOC state is defined by averaging the last century of an ‘unforced’ AMOC decrease (model years 7501-7600) and the strong AMOC state is defined by averaging a century following an ‘unforced’  
155 AMOC increase (model years 7901-8000).

The final experiment is a modification of the Unhosed simulation, in which the 0.2 Sv hosing perturbation is applied to the North Atlantic during a strong-AMOC interval. This final experiment provides an explicit test of the effect of a hosed vs. an unhosed AMOC weakening.

### 3 Results

#### 160 3.1 Simulated changes in the North Atlantic

The eight hosed simulations show a number of common features in the North Atlantic, which vary as a function of boundary conditions (Figure 2). Within the first two centuries of hosing, the Greenland temperature rapidly drops by 8-15 °C, with a stronger response under high obliquity. When the hosing is stopped, the temperatures abruptly increase by 8-20 °C, again with a stronger response  
165 under high obliquity, and under glacial conditions. Overall, the simulated magnitudes of warming and cooling are of the same magnitude as reconstructed for abrupt climate change from Greenland ice cores (Buizert et al., 2014). The AMOC follows a very similar temporal progression in all cases,

which is the inverse of the sea ice extent in the North Atlantic. The average North Atlantic sea surface salinity drops by 2-4 PSU in the hosed simulations, consistent with the generally-accepted  
170 mechanism of a halocline strengthening due to freshwater forcing, associated with an expansion of sea ice, being the cause of an AMOC interruption. Thus, as shown by many prior simulations, the hosing response is quite consistent with observations and the idea of iceberg armadas shutting down the AMOC and initiating abrupt climate change (Kageyama et al., 2013; Menviel et al., 2014).

However, Figure 2 also shows the same metrics of North Atlantic variability for the Unhosed  
175 simulation. Under the low CO<sub>2</sub>, low obliquity and preindustrial ice-sheets of this simulation, the model's coupled ocean-atmosphere system causes the AMOC to spontaneously oscillate between 15-18 Sv and 6 Sv, on a centennial timescale (Figures 2, 3). Although the amplitude of the variations in the Unhosed simulation tends to be smaller than in the hosed simulations, with a weaker AMOC throughout (Figure 3), the general relationship between the four variables is quite similar (Figure 2).  
180 When AMOC is weak, North Atlantic deep convection is greatly reduced and shifted to the south, while sea ice expands in the northeast Atlantic, in both the hosed and Unhosed simulations (Figure 4). Thus, the Unhosed oscillations are also consistent with observational evidence that a strengthened halocline, associated with an expansion of sea ice, were coupled to a weakening of the AMOC - even in the absence of external freshwater forcing. This variability follows in the long tradition of  
185 spontaneous AMOC oscillations observed among simpler models, and appears to be very similar to the unforced AMOC oscillation observed recently in the Community Earth System (CESM) model, which is of similar complexity but run at higher resolution, by Peltier and Vettoretti (2014).

The spontaneous millennial AMOC oscillations that occur in ocean circulation models can generally be described as 'deep decoupling oscillations' (Winton, 1993)(Figure 5). These oscillations  
190 include a weak overturning phase, during which convection is reduced and/or shifted to lower latitudes (Goosse, 2002), allowing the deep polar ocean to accumulate heat, transported northwards by diffusion at depth. The accumulation of heat gradually destabilizes the polar water column, until deep convection resumes in a 'thermohaline flush' (Weaver and Sarachik, 1991). The reinvigorated overturning carries salty subtropical waters north, further strengthening the overturning (Stommel,  
195 1961; Rooth, 1982). A gradual decrease of the poleward surface temperature gradient (Arzel et al., 2010) and/or salinity gradient (Peltier and Vettoretti, 2014) causes a gradual weakening of the overturning, until some point at which the AMOC weakens nonlinearly and the weak phase returns. It has been suggested that expansions of sea ice, which tend to amplify the polar halocline by exporting brines to depth and building up fresh layers due to melt at the surface, could have been key to strati-  
200 fying the northern North Atlantic and driving the AMOC into its weak mode (Kaspi et al., 2004; Li, 2005; Dokken et al., 2013).

The changes in AMOC, sea ice and surface salinity in the Unhosed simulation (Figure 2) are consistent with this general scenario. It is remarkable that the magnitude of the salinity change in the unforced simulations is on the order of more than 1 PSU, nearly half as much as for the hosing

205 simulation under the same CO<sub>2</sub> and orbital configuration (Figure 2), in spite of the absence of any external sources of freshwater, and a reduction of precipitation relative to evaporation over the North Atlantic. The surface freshening is therefore caused by the changes in ocean circulation and sea ice cycling. Figure 5 shows that, during the weak AMOC phase, heat accumulates at depth in the North Atlantic due to the northward diffusion of warm waters from the tropics and lack of flushing by cold  
210 North Atlantic Deep Water (Palter et al., 2014). At the same time, the salinity increases at the tropical Atlantic surface (Peltier and Vettoretti, 2014), and the poleward surface temperature gradient intensifies (Arzel et al., 2010). Paleoceanographic reconstructions from D-O events are consistent with both the accumulation of tropical sea surface salinity (Schmidt et al., 2006) and the simulated changes in water column temperature and salinity in the Nordic seas (Dokken et al., 2013). The  
215 buildup of heat at intermediate depths in the subpolar North Atlantic helps to weaken the stratification (Supplementary Figure 1), so that it can be overcome when a saline surface anomaly disrupts the halocline, triggering deep convection. Given that changes in temperature have a significant role, in addition to changes in salinity, it would appear most appropriate to call the mechanism in the Unhosed simulation a 'thermohaline oscillator', rather than the simpler 'salt oscillator' described by  
220 (Peltier and Vettoretti, 2014).

Next, we show how the global consequences of changes in the AMOC depend on the background climate state, and the question of whether or not they are forced by freshwater addition. We do so by exploring a few key atmospheric and oceanic variables that have well-documented responses to abrupt climate change as recorded by paleoclimate records.

### 225 3.2 Global atmospheric response

Abrupt climate change was initially identified in ice core proxy records of atmospheric temperature, and subsequently extended to temperature variations recorded in multiple proxies from around the world (Clement and Peterson, 2008). Figure 6 summarizes the global surface air temperature change that occurs in response to our simulated AMOC interruptions. The first order patterns of surface  
230 air temperature change are very similar between the preindustrial and glacial boundary conditions, as well as in the unhosed simulation. The northern hemisphere undergoes general cooling in the extratropics, with greatest cooling in the North Atlantic from Iceland to Iberia, and with cooling extending into the tropics of the North Atlantic, North Africa, and southeast Asia. Meanwhile, the southern hemisphere warms everywhere except in the western tropical Pacific and Indian oceans. The  
235 maximum warming occurs at high latitudes of the Southern Ocean, and is associated with a [minor sea ice retreat, most evident in the glacial hosed simulation](#) (Figure 4). The general temperature pattern is consistent with the idea of a bipolar seesaw (Broecker, 1998; Stocker and Johnsen, 2003).

One notable difference in the spatial patterns is the temperature change in the N Pacific, including the western margin of Canada and southern Alaska. The temperature here is quite sensitive to  
240 the degree of northward transport of warm ocean water from the subtropical gyre, which is quite

variable between simulations. All hosed simulations develop a Pacific Meridional Overturning Circulation (PMOC) when the AMOC weakens, previously described in models as an Atlantic-Pacific Seesaw (Saenko et al., 2004; Okumura et al., 2009; Okazaki et al., 2010; Chikamoto et al., 2012; Hu et al., 2012). The degree to which a PMOC develops varies substantially between simulations, with  
245 stronger development of PMOC in the preindustrial hosing. The development of a strong PMOC counteracts the hemisphere-wide cooling in the NE Pacific, which can actually cause a warming. This suggests that temperature proxy records from this region would provide strong observational constraints on the degree to which a PMOC developed during abrupt climate changes. The simulations that develop a strong PMOC also show a region of maximum cooling at the Kuroshio-Oyashio  
250 confluence, consistent with a southward shift in the front.

The strength of the bipolar seesaw also varies significantly as a function of background climate state, due to differences in both the southern hemisphere and the North Atlantic. Stronger southern warming occurs under glacial conditions, and with the combination of high obliquity and strong boreal seasons (Supplementary Figure 2). The temperature response to hosing in the North Atlantic  
255 is particularly dependant on obliquity in glacial simulations because of its influence on the initial sea-ice extent (Figure 2, 3<sup>rd</sup> row, compare initial sea ice area under glacial and preindustrial).

In general, the temperature response in the Unhosed simulation is very similar to the ensemble means of the hosed simulations, with the exception that it would appear to have a relatively weak southern warming. However, the weak southern warming is partly due to the fact that southern  
260 warmings develop slowly, and the time between the Unhosed 'stadial' and 'interstadial' is relatively short (~400 yrs). If the 'interstadial' reference years are taken at the end of an interstadial, rather ~~then~~ than the middle, a stronger southern warming, more similar to that of preindustrial hosed simulations, is observed (Supplementary Figure 3). Southern warming aside, the spatial differences between the Unhosed and the hosed simulations are generally of the same order as the differences among the  
265 hosed simulations under different background states.

Changes in precipitation during abrupt climate changes have also been well-documented in speleothems and marine sediment records (Peterson, 2000; Wang et al., 2001; Carolin et al., 2013). As shown in Figure 7, the overall patterns of change are similar between hosed and Unhosed simulations, as they were for the changes in temperature. The robust, common patterns include reduced precipitation  
270 over the North Atlantic, a southward shift of the Intertropical Convergence Zone (ITCZ), previously shown to be a direct result of the change in meridional temperature gradient (Broccoli et al., 2006; Stouffer et al., 2006) linked to sea ice expansion associated with stadials (Chiang and Bitz, 2005), and reduced precipitation over south Asia.

In fact, many aspects of the precipitation changes vary more as a function of background climate  
275 state, including orbital configuration, than they do between hosed and Unhosed (Supplementary Figure 4). Thus, not only is the mean state of tropical precipitation sensitive to orbital forcing (Clement et al., 2004), but the response of tropical precipitation to an AMOC disruption is sensitive to orbital

forcing as well. Perhaps the two most notable differences among the simulations are the pattern of change surrounding the west Pacific warm pool, an extremely dynamic region with heavy precipitation, and the NE Pacific and western North America, where changes in precipitation follow the sea surface temperature through its control on water moisture content and atmospheric circulation. The response to hosing in the region surrounding the west Pacific warm pool, including Indonesia and NE Australia, is strongly dependant on precession (Supplementary Figure 4). For example, with weak boreal seasons, hosing tends to cause an increase in precipitation north of Borneo, which does not occur when boreal seasons are strong.

### 3.3 Global ocean biogeochemistry response

The observed footprint of abrupt climate change also extends to ocean biogeochemistry, with pronounced and well-documented changes in both dissolved oxygen concentrations and export production. Prior work has shown that many aspects of the observed oxygenation changes (Schmittner et al., 2007b) and export production changes (Schmittner, 2005; Mariotti et al., 2012) (Schmittner, 2005; Obata, 2007; Menviel et al., 2008) be well reproduced by coupled ocean-biogeochemistry models under hosing experiments.

As shown by Figure 8, our model simulations produce consistent changes in intermediate-depth oxygen during AMOC weakening, hosed or unhosed, that agree well with the bipolar seesaw-mode changes in oxygenation extracted from sediment proxy records of the last deglaciation (Galbraith and Jaccard, 2015). All simulations show a decrease of oxygen throughout the full depth of the North Atlantic, due to the lack of ventilated North Atlantic Deep Water, and an increase in the oxygenation of the intermediate-depth North Pacific and Arabian Sea. We note that the North Pacific changes reveal a pronounced shift in the southeastward penetration of North Pacific Intermediate Water, with a hotspot of oxygen change where the edge of the strongly-ventilated thermocline impinges on the California margin. This hotspot implies that a tendency for a frontal shift to occur in this region makes it particularly sensitive to changes in the AMOC, and explains why the California borderlands region has such rich records of oxygenation changes on millennial timescales (Behl and Kennett, 1996; Hendy and Kennett, 1999; Cartapanis et al., 2011).

The relative changes in export production, shown in Figure 9, are locally quite large (in excess of 100%) but have weaker regional patterns that are less consistent between simulations. The most consistent strong features are a reduction of export in the northern North Atlantic, the western tropical North Atlantic, and the southern margin of the Indo-Pacific subtropical gyre, and an increase in export off of NW Africa, to the west of California, and in the high latitude Southern Ocean. Regions that do not always respond consistently are the subarctic Pacific, which depends significantly on whether or not a PMOC develops, and the Pakistani margin northern Arabian Sea, which shows an increase in export under pre-industrial, but not glacial hosing. It is important to point out that, because the model does not resolve detailed features of coastal upwellings, it is probably missing important changes. This may explain, for example, the fact that decreases in primary produc-

tion are not simulated on the Baja California margin during hosing, as reconstructed during stadials  
315 (Cartapanis et al., 2011), may result from an inability of the model to simulate changes in the local  
winds that drive upwelling along the coast. In general, the differences between the various hosed  
simulations is as large as the difference between hosed and unhosed.

The simulated changes of export production show an overall decrease globally, consistent with  
prior results (Schmittner, 2005; Schmittner et al., 2007b; Mariotti et al., 2012), which contributes  
320 to the simulated increase of intermediate-depth oxygen concentrations during stadials by reducing  
oxygen consumption. In addition, oxygen supply to intermediate depths of the northern Indo-Pacific  
is increased by more rapid flushing of thermocline waters during stadials, indicated by lower ven-  
tilation ages (Figure 10), also consistent with other models (Schmittner et al., 2007b). The primary  
discrepancies in intermediate-depth age between the simulations are in the subarctic Pacific, again  
325 related to the development of a PMOC, changes in ventilation in the Tropical Atlantic thermocline,  
and in the degree of ventilation changes in the Southern Ocean.

### 3.4 Hosing the Unhosed

The analyses above suggest that most of the large-scale responses of climate and ocean biogeo-  
chemistry to an AMOC disruption are similar regardless of whether the disruption is forced through  
330 hosing or as a spontaneous result of internal model dynamics. In order to further test this apparent  
insensitivity to the cause of AMOC weakening, we applied a freshwater forcing during a strong  
AMOC interval of the Unhosed simulation, forcing the model into a weak AMOC state earlier than  
in the standard Unhosed case. This experiment provides a direct comparison between an unforced  
and a freshwater forced AMOC reduction. The freshwater forcing weakens the AMOC transport by  
335 an amount that exceeds the Unhosed weakening (Figure 2, black line in 3<sup>rd</sup> column), and maintains  
this weakened state over the full 1000 year simulation, whereas the Unhosed simulation returns to the  
strong-AMOC state after about 800 years. By comparing both the forced and unforced simulations  
after 800 years of stadial, we can estimate the differences caused by the freshwater itself.

The changes caused by the freshwater addition are shown in Figure 11, ~~which can be~~ compared  
340 with the Unhosed ~~variability in Figures 6, 7, 8, and 9.~~ stadial/interstadial variability. The change in  
surface air temperatures differs little as a result of the hosing, with significant contrasts only in the  
North Atlantic, North Pacific, and high latitude Southern Ocean. Precipitation shows a much larger  
response under hosing, which is generally an amplification of the Unhosed trends, though the impact  
over western Indonesia is a uniformly strong drying rather than the mixed response of the Unhosed  
345 case. Dissolved oxygen also shows an amplification of the Unhosed trends when hosed, ~~though the  
changes are relatively small,~~ while export production shows changes mainly in the N Atlantic and  
NE Pacific. The general amplification of changes can be understood by the fact that the freshwater-  
forced simulation has a weaker AMOC, and more extensive North Atlantic sea-ice coverage, leading  
to lower temperatures in the NE Atlantic and a correspondingly greater response in atmospheric

350 circulation that amplifies most features of the weak-AMOC state. In addition, there is a significant  
strengthening of the PMOC in the freshwater-forced simulation, which explains most of the N Pacific  
changes. (We note that the Bering Strait is open in this simulation, allowing communication with the  
North Atlantic across the Arctic basin.)

The fact that precipitation responds most strongly suggests that, among the metrics examined here,  
355 it has the greatest sensitivity to the intensification of the Unhosed stadial by hosing. The sensitivity  
of precipitation could reflect a fairly direct link between the northern sea ice edge and/or North At-  
lantic cooling, latitudinal sea surface temperature gradients, and the Hadley circulation (Chiang and  
Bitz, 2005; Chiang and Friedman, 2012). Essentially, a stronger push from the northern extratropics  
leads to a stronger response in the tropical hydrological cycle. Observations showing that precipita-  
360 tion responses were markedly different during Heinrich stadials as opposed to non-Heinrich stadials  
in Borneo (Carolin et al., 2013), northeastern Brazil (Wang et al., 2004) and the Cariaco basin (De-  
plazes et al., 2013) are therefore consistent with Heinrich stadials representing much stronger inter-  
ruptions of the AMOC (Böhm et al., 2015), that led to greater sea ice expansion and North Atlantic  
cooling, and consequently larger shifts in tropical precipitation.

365 Apart from the amplification of the general trends, we note one distinct additional feature: the  
southern hemisphere warming is decreased under hosing, weakening the bipolar seesaw. This fea-  
ture of the Antarctic response appears to reflect the transport of freshwater from the North Atlantic  
to the Southern Ocean, in addition to the small increase in calving flux from the Antarctic margin  
(Supplementary Figure 5), so that the Southern Ocean surface is freshened. The addition of fresh-  
370 water strengthens the Southern Ocean halocline, reducing ocean heat release and keeping a larger  
mantle of sea ice around Antarctica. Thus, the cooler Southern Ocean does not reflect a different  
response to the AMOC weakening itself, but rather a secondary effect of the freshwater addition  
through its direct influence on the vertical density structure of the Southern Ocean. We note that  
Schmittner et al. (2007a) found an opposite effect of freshwater input on the Southern Ocean, with  
375 a relative weakening of the halocline causing a destratification of the Southern Ocean under fresh-  
water forcing. It would thus appear that this aspect of hosing is quite sensitive to model behaviour  
and experimental design. This modeled response to freshwater addition would appear to conflict  
with observational evidence for a bipolar ventilation seesaw, by which Southern Ocean ventilation  
was actually enhanced during intervals of weakened AMOC (Skinner et al., 2014; Jaccard et al.,  
380 2016). Given the potential importance of Southern Ocean convection on modifying atmospheric  
CO<sub>2</sub> (Sarmiento and Toggweiler, 1984; Sigman et al., 2010; Bernardello et al., 2014; Menviel et al.,  
2015; Jaccard et al., 2016), careful consideration should be made of freshwater input to the Southern  
Ocean derived from the melting of local Antarctic (Weaver et al., 2003; Golledge et al., 2014) or  
distant northern hemisphere ice sheets (Menviel et al., 2015).



#### 385 4 Discussion and conclusions

Our Unhosed model simulation adds to a small, but growing subset of complex 3-dimensional ocean-atmosphere model simulations exhibiting unforced oscillations similar to the abrupt climate changes of Dansgaard-Oeschger cycles. Under a particular set of boundary conditions (low CO<sub>2</sub>, with preindustrial ice sheets and low obliquity), it spontaneously oscillates between strong and weak AMOC states, triggered by internal climate variability within the model, acting on a type of ‘thermohaline oscillator’.

The Unhosed simulation was integrated under perfectly stable boundary conditions, with no variability in external factors such as solar output, aerosols or freshwater runoff. ~~Such~~ In nature, such additional variability might ~~make~~ have made it easier for spontaneous AMOC variations to occur ~~in~~ reality, as long as the AMOC ~~is in relatively a weak state~~ was relatively weak due to the background climate state, leading to AMOC oscillations under a wider range of background conditions. Thus, large volcanic eruptions (Pausata et al., 2015; Baldini et al., 2015), ice-sheet topography changes (Zhang et al., 2014) or solar variability could all ~~potentially trigger an abrupt change~~ have potentially triggered abrupt changes in the real world, without requiring freshwater input, even when the climate system ~~is~~ was in a more stable mode than that of the Unhosed simulation. This provides an alternative to the suggestion of Meniel et al. (2014) that AMOC variability was exclusively a response to ice sheet calving fluxes. Nonetheless, the fact that weakening of the AMOC always occurs in models under sufficient hosing implies that, even in a strong mode, the AMOC is vulnerable to freshwater forcing, if it is large enough.

As previously suggested, melting of floating ice shelves due to subsurface ocean warming could provide an important feedback to an initial AMOC weakening, accelerating ice sheet mass loss due to their buttressing-effect on upstream ice, and adding freshwater that would push the AMOC into a very weak mode (Marshall and Koutnik, 2006; Alvarez-Solas et al., 2010; Marcott et al., 2011). ~~Böhm et al. (2015) recently argued that, based~~ Based on Pa/Th measurements at Bermuda Rise, ~~Böhm et al. (2015) and Henry et al. (2016) have both recently argued that~~ AMOC shutdowns occurred exclusively during Heinrich stadials. If so, this would be consistent with ~~the strongest AMOC weakenings~~ AMOC shutdowns having occurred only when abundant freshwater was released from the Laurentide ice sheet, ~~amplifying an initial, non-freshwater forced AMOC weakening. As such,~~ the Hosed-unhosed experiment may provide a good analog for Heinrich stadials. Thus, perhaps the question of whether or not a Heinrich event occurred in response to an AMOC interruption had as much to do with the susceptibility of the Laurentide ice sheet to collapse than the nature of the initial AMOC interruption itself. In turn, the degree to which consequent ice sheet melting altered ocean circulation may have depended on where the freshwater was discharged, including how much of the freshwater was input to the ocean as sediment-laden hyperpycnal flows (Tarasov and Peltier, 2005; Roche et al., 2007).

Although they only occur in our model under an unrealistic combination of boundary conditions, the spontaneous nature of the Unhosed oscillations allows a powerful comparison to be made with the more typical freshwater-hosed simulations of AMOC weakening. When compared with the Hosed simulations, the general features of the atmospheric and oceanic responses are remarkably  
425 robust, with climate background state playing as great a role in determining the response as whether the AMOC weakening was spontaneous or forced. These robust features ~~can therefore be taken are~~  
therefore likely to reflect consistent dynamical changes related to the AMOC interruption and its coupling with sea ice and atmospheric changes, independent of the ultimate cause of the AMOC interruption. Some aspects of the climate system show greater sensitivity to the magnitude of AMOC  
430 weakening than others. Of the variables examined here, tropical precipitation showed the strongest sensitivity to an intensification of AMOC interruption under additional hosing.

An important difference between Hosed and Unhosed simulations lay in the direct impact of freshwater on ocean density structure. Polar haloclines are very sensitive to freshwater input, which can stratify or destratify them depending on the depth at which freshwater is injected. In our sim-  
435 ulations, an important consequence of freshwater addition is stratification of the Southern Ocean, which raises an intriguing conflict with observational evidence that Southern Ocean ventilation was enhanced when the AMOC was weakened, at least during the last deglaciation Skinner et al. (2014). Aside from this contrast, the global ~~features of~~  
response to an AMOC weakening, and its associated sea ice change, appear to depend just as much on the background climate state as they do on its  
440 ~~fundamental~~immediate cause.

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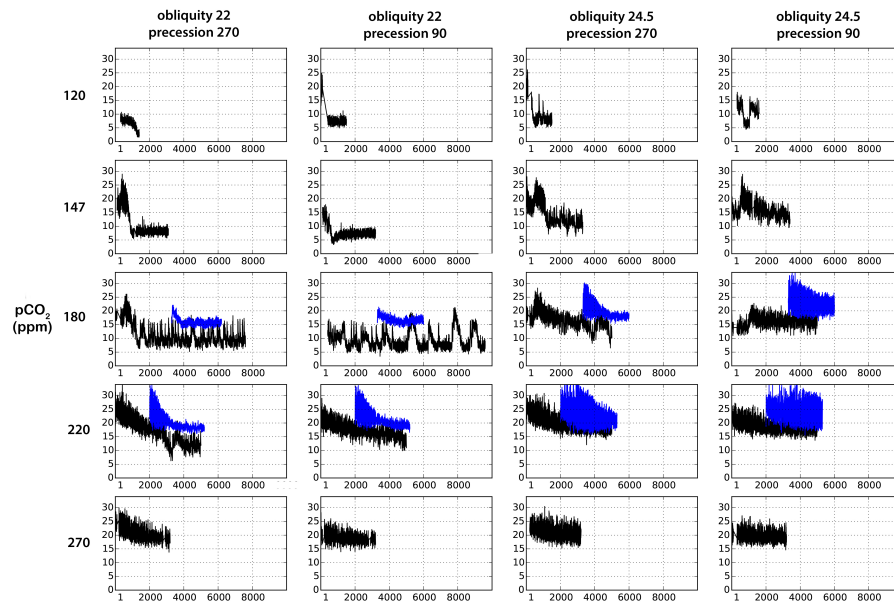
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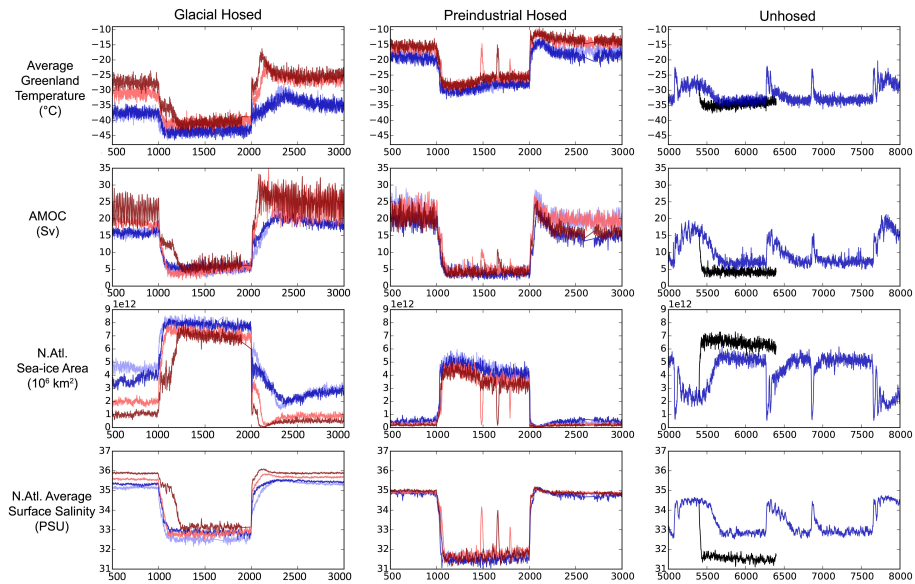
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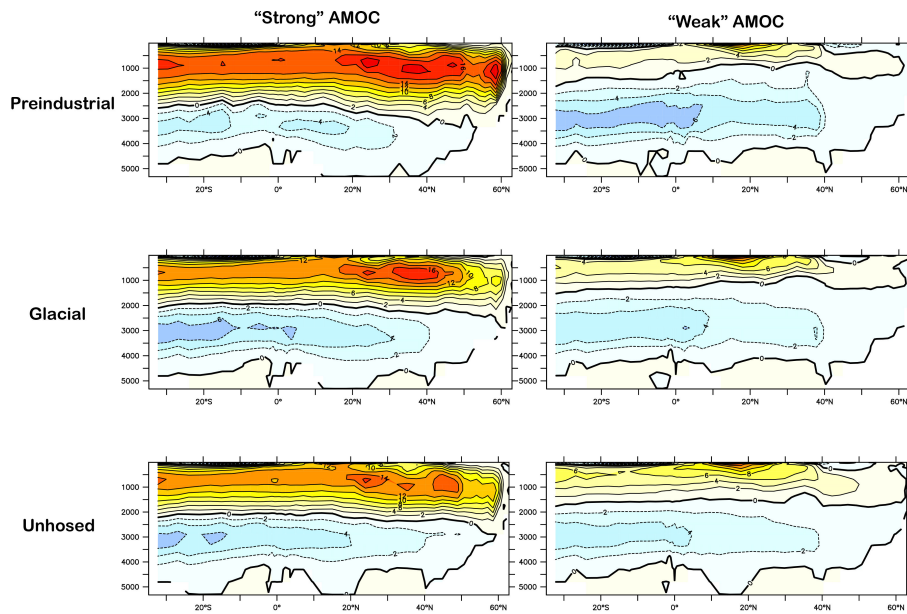


**Figure 1.** Timeseries of AMOC for the matrix of simulations with prescribed atmospheric pCO<sub>2</sub> (rows) and orbital configurations (columns). Black lines have preindustrial ice-sheets and blue lines, when applicable, have full LGM ice-sheets. The AMOC, shown on the vertical axes in Sverdrups, is defined as maximum of Atlantic meridional stream function between 30N:50N and water depths of 500:5000m. Precessional phases of 270° and 90° are equivalent to strong and weak boreal seasonalities, respectively.

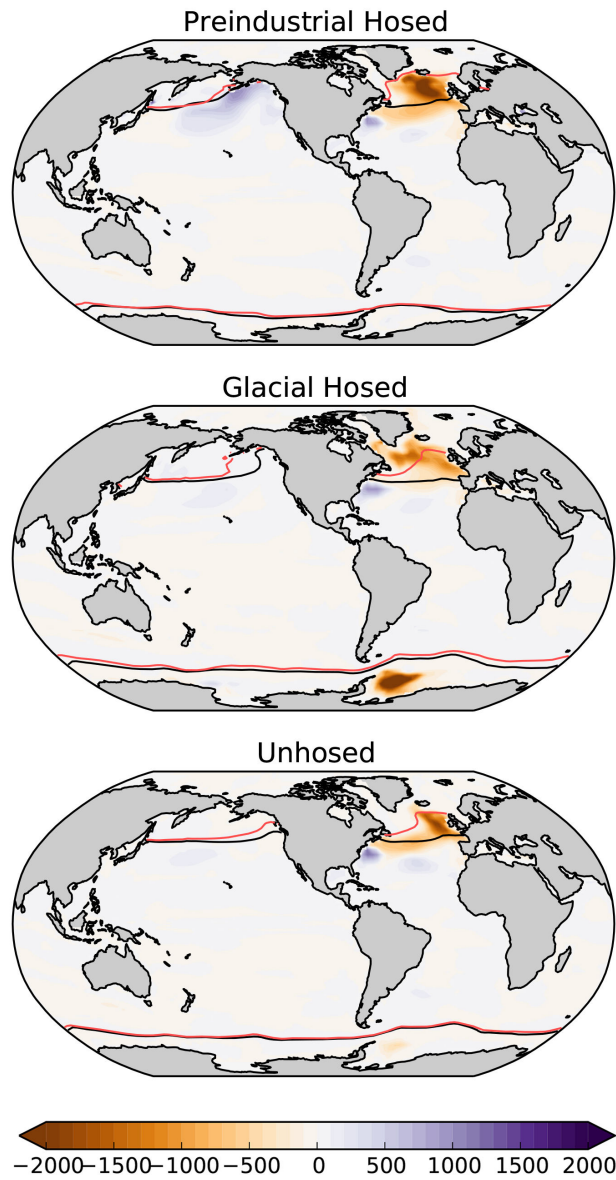
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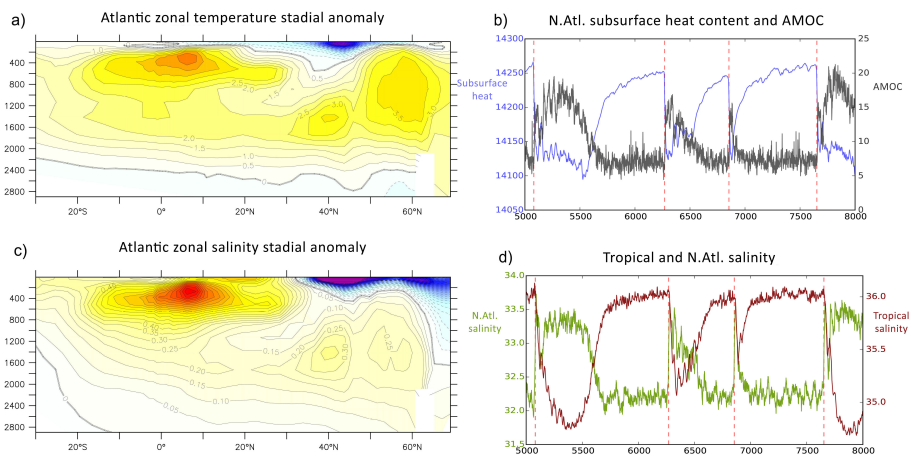
**Figure 2.** North Atlantic climate metrics for all simulations. The left column shows four simulations under the Glacial boundary conditions (LGM ice sheets and bathymetry with closed Bering Strait; 180 ppm CO<sub>2</sub>), the central column shows four simulations run under the Preindustrial boundary conditions (Preindustrial ice sheets and bathymetry; 270 ppm CO<sub>2</sub>), and the right column shows the Unhosed simulation in which spontaneous AMOC variations occur under constant boundary conditions. For the left and center columns, freshwater hosing was applied between years 1001 and 2000. Orbital configurations are indicated as follows: red=high obliquity; blue=low obliquity; dark=weak boreal seasonality; pale=strong boreal seasonality. The black lines in the right column show the ‘Hosed-Unhosed’ simulation, in which 0.2 Sv of freshwater was added in the North Atlantic during an unforced AMOC ‘interstadial’. AMOC is defined as the maximum Atlantic streamfunction between 500m-5500m and 30N:50N.



**Figure 3.** Atlantic meridional overturning streamfunctions in strong and weak states. All plots show 100-year averages. In Glacial and Preindustrial hosing simulations, weak AMOC state is defined by averaging the last century of hosing (model years 1901-2000) and strong AMOC state is defined by averaging the same years from the corresponding control simulation (to correct for potential drift). In the Unhosed simulation, the weak AMOC state is defined by averaging model years 7501-7600 and the strong AMOC state is defined by averaging model years 7901-8000. For the top two rows, the streamfunctions are averaged over the corresponding four sets of orbital configurations. The bottom row shows the streamfunctions for the Unhosed simulation. Contours in Sverdrups.

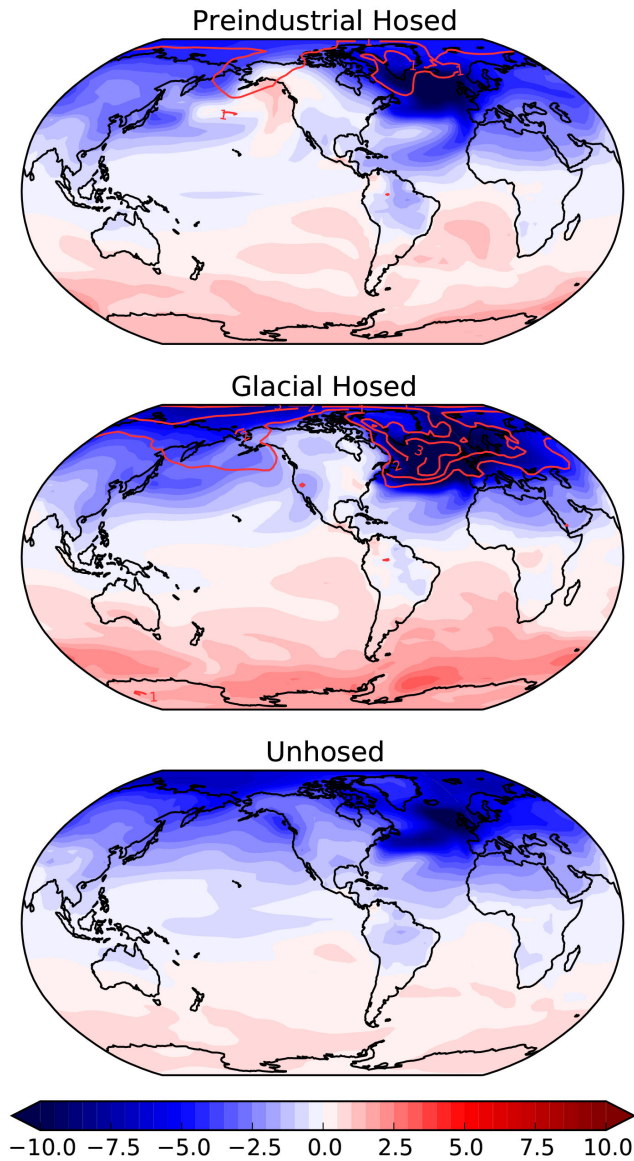


**Figure 4.** Stadal winter mixed-layer depth anomaly. The top two panels show the difference in winter mixed-layer depth between weak and strong AMOC states (as defined in Fig.3) under Preindustrial and Glacial boundary conditions, averaged between the four sets of orbital configurations (see Supplementary Figure 6 to view all 8 hosing simulations individually). The bottom panel shows the winter mixed-layer depth between weak and strong AMOC states (as defined in Fig.3) in the Unhosed simulation. Black and red contours show the sea-ice edge for the weak AMOC and strong AMOC states, respectively. Sea-ice edge is defined as >30% of annually-averaged ice concentration. Shading in m.

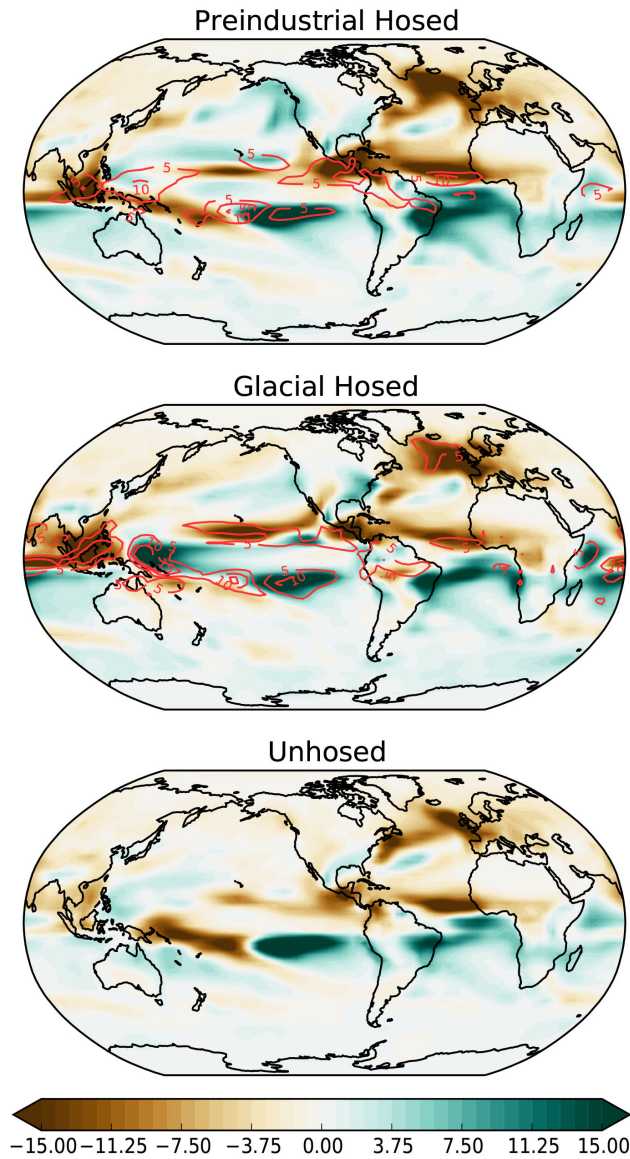


**Figure 5.** Thermohaline oscillations in the Unhosed simulation. a,c) Atlantic zonally-averaged temperature and salinity anomaly anomalies between weak AMOC state (years 7501-7600) state and strong AMOC state (years 7901-8000). Units in °C and PSU, respectively. b) Subsurface (500-2500m) heat content in the North Atlantic (>45°N in zettajoules (blue) Units and AMOC transport in ZettaJoulesSv (black). d) Sea surface salinity in the North Atlantic (>45°N) (black) and Tropical Atlantic (0:10 °N, 70:30 °W) (red) Units, in PSU. Red vertical dashed lines indicate onset of deep convection in the North Atlantic.

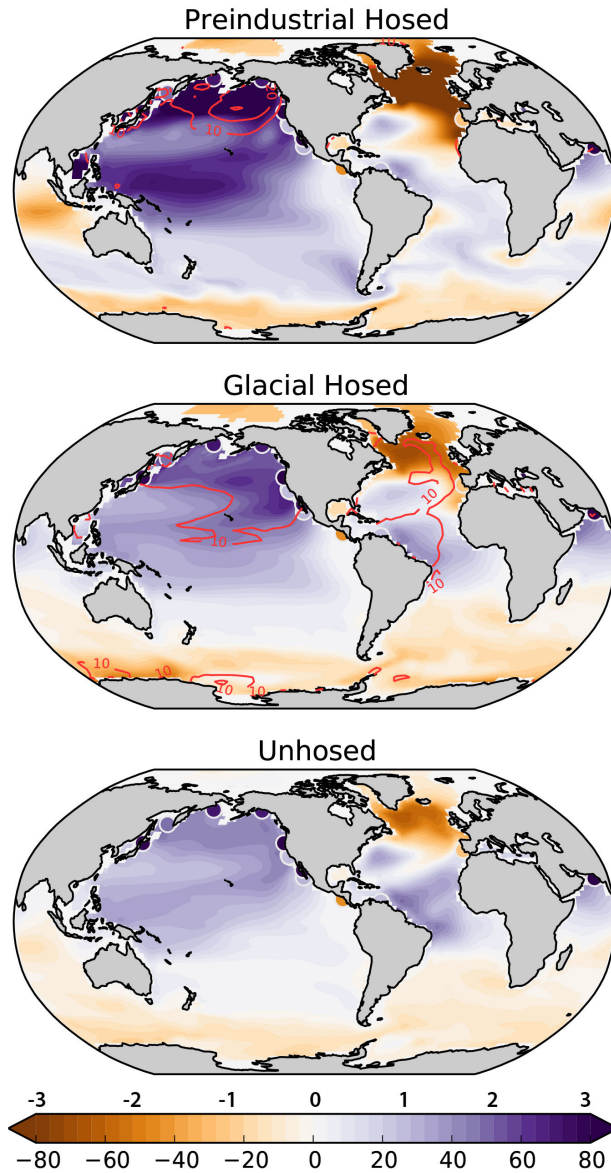




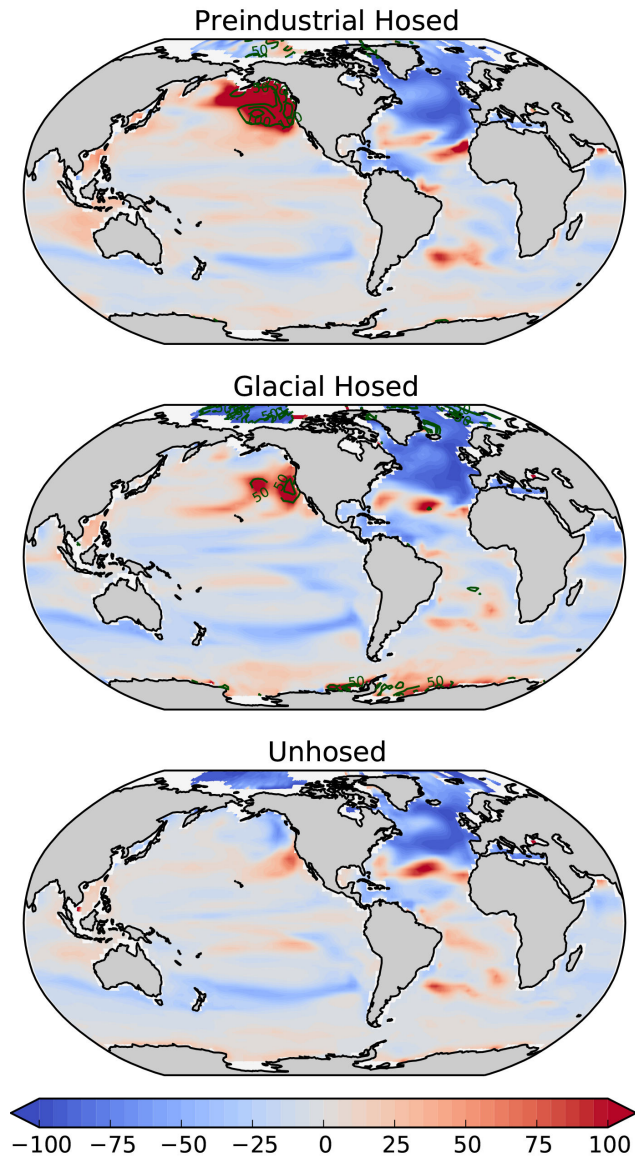
**Figure 6.** Stadal surface air temperature anomaly. The top two panels show the surface air temperature difference between weak and strong AMOC states (as defined in Fig.3) under Preindustrial and Glacial boundary conditions, averaged between the four sets of orbital configurations (see Supplementary Figure 2 to view all 8 hosing simulations individually). Red contours show the standard deviation between the four sets of orbital configurations at 1°C intervals. The bottom panel shows the surface air temperature difference between weak and strong AMOC states (as defined in Fig.3) in the Unhosed simulation. Shading and contours in °C.



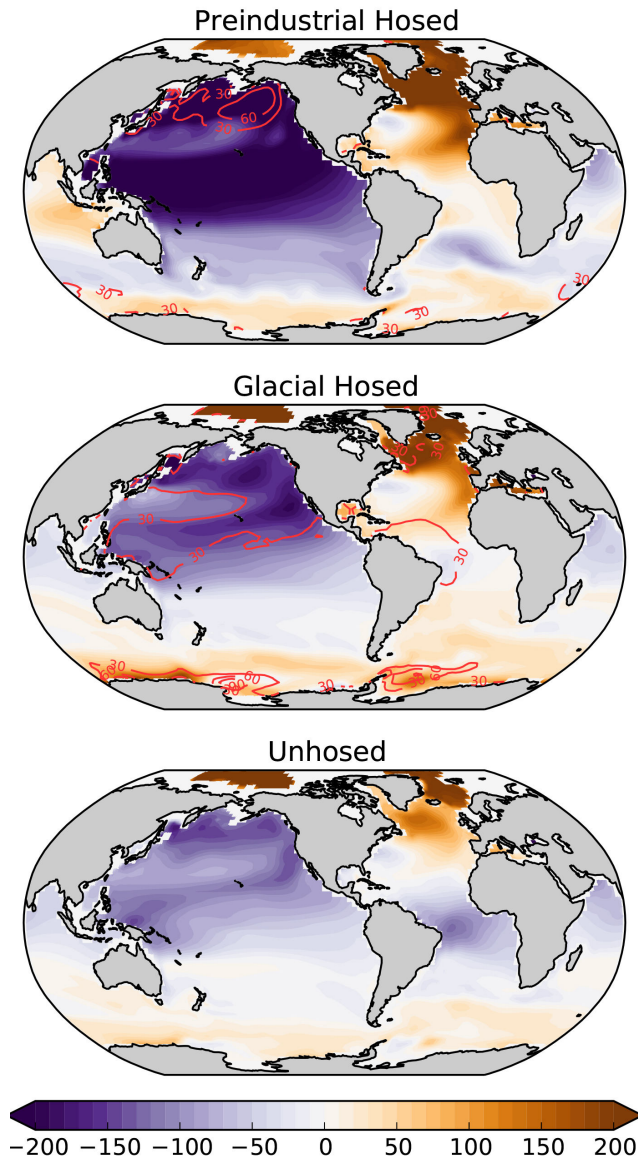
**Figure 7.** Stadal precipitation anomaly. The top two panels show the precipitation difference between weak and strong AMOC states (as defined in Fig.3) under Preindustrial and Glacial boundary conditions, averaged between the four sets of orbital configurations (see Supplementary Figure 4 to view all 8 hosing simulations individually). Red contours show the standard deviation between the four sets of orbital configurations at  $5 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$  intervals. The bottom panel shows the precipitation difference between weak and strong AMOC states (as defined in Fig.3) in the Unhosed simulation. Shading and contours in  $10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$ .



**Figure 8.** Stadal intermediate-depth (400m-1100m) oxygen concentration anomaly. The top two panels show the oxygen concentration difference between weak and strong AMOC states (as defined in Fig.3) under Preindustrial and Glacial boundary conditions, averaged between the four sets of orbital configurations (see Supplementary Figure 7 to view all 8 hosing simulations individually). Red contours show the standard deviation between the four sets of orbital configurations at  $\mu\text{mol kg}^{-1}$  intervals. The bottom panel shows the oxygen concentration difference between weak and strong AMOC states (as defined in Fig.3) in the Unhosed simulation. Markers represent the 1<sup>st</sup> principal component of the detrended time series for benthic oxygenation proxies compiled by Galbraith and Jaccard (2015). Shading and contours in  $\mu\text{mol kg}^{-1}$ .

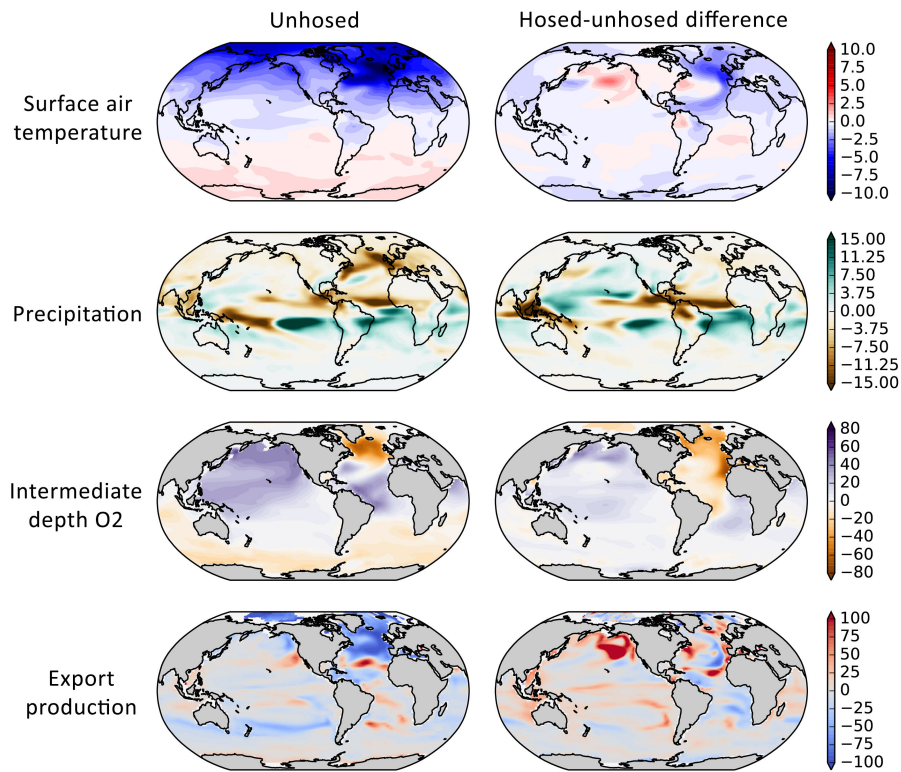


**Figure 9.** Stadal export production anomaly. The top two panels show the ratio of organic matter export at 100m between weak and strong AMOC states (as defined in Fig.3) under Preindustrial and Glacial boundary conditions, averaged between the four sets of orbital configurations (see Supplementary Figure 8 to view all 8 hosing simulations individually). Green contours show the standard deviation between the four sets of orbital configurations at 50 % intervals. The bottom panel shows the ratio of export between weak and strong AMOC states (as defined in Fig.3) in the Unhosed simulation. Shading and contours in %.



**Figure 10.** Stadal intermediate-depth (400m-1100m) ideal age anomaly. The top two panels show the age difference between weak and strong AMOC states (as defined in Fig.3) under Preindustrial and Glacial boundary conditions, averaged between the four sets of orbital configurations (see Supplementary Figure 9 to view all 8 hosing simulations individually). Red contours show the standard deviation between the four sets of orbital configurations at 30 year intervals. The bottom panel shows the age difference between weak and strong AMOC states (as defined in Fig.3) in the Unhosed simulation. Shading and contours in years.





**Figure 11.** Impacts of hosing the Unhosed. **Differences**—Left column: differences between weak and strong AMOC states for the unhosed simulation as shown in figures 6,7,8 and 9. Right column: differences between the last century (model years 6151-6250) of the 800-year hosed-Unhosed stadial and the corresponding unhosed stadial. Scale and units are the same as in figures 6,7,8, and 9: temperature in °C, precipitation in  $10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$ , oxygen concentration in in  $\mu\text{mol kg}^{-1}$  and export anomaly in %.