Dear Editor,

please find enclosed the revised manuscript cp-2015-116 by Giusberti et al. entitled "Variability in climate and productivity during the Paleocene/Eocene Thermal Maximum in the western Tethys (Forada section)". We have really appreciated the comments from reviewers and we have strictly followed their suggestions. Most of the observations regarding text, figures and tables have been accepted and the text has been modified accordingly. All parts with changes in the text are highlighted in red color in the marked up manuscript version.

We appreciated the opportunity to improve the paper based on the reviewers' comments and look forward to hearing from the Editor about a final decision concerning the acceptance of this manuscript for publication.

The most relevant changes in the manuscript are listed below:

-The title has been changed (as requested by reviewers);

-Introduction. Introduction has been shortened, moving part of it in a new paragraph (par. 4.4) entitled "Clues from Forada on PETM climate change" at the end of the Discussion;

-Removal of par. 2.2.1. Paleocene-Eocene benthic foraminifera ecology. In place of 2.2.1, following reviewer 2 suggestion, we provided a new table (Table 1) summarizing the ecology of the most common taxa at Forada, only based on published literature. We also discussed some aspects of the ecology of taxa as *Osangularia*, *Eobigenerina* and *Karrerulina* in par. 4.3.2;

-Titles of several paragraph of Chapter 4 have been modified, according to reviewer's 2 request.

-The Fig. S.1 (Supplementary) is now Text Fig. 4. Figure 1 of the original manuscript became Fig. 10, as consequence of the moving of part of the Introduction to the new par. 4.4. at the end of Discussion;

-Conclusions have been modified into bullet points;

-Specific answers to main issues/comments of both reviewers (reported below) are now included in the text and are clearly recognizable in the marked up manuscript version.

I would be pleased to give you any further information that you might request.

Luca Giusberti on the behalf of the co-authors

Authors answers to referee #1 (R. Speijer)

MAIN ISSUES
4212, 13-15: point out to what extent these extraction methods may or may not interfere with foraminiferal preservation in these deposits, notably %F.
In pyrite-lean sediments the use of H2O2 solution may not significantly alter foram residues; however, it will corrode pyrite-filled shells. Experimental studies demonstrate that "H2O2 is an unsuitable chemical for extracting delicate CaCO3 and pyritized microfossils because of the damage and dissolution it causes" (Kennedy & Coe 2014 - Journal of Micropalaeontology). This is in agreement with earlier as well as own experimental observations. Note that when all radiolarians are calcified this calcite must have come from a carbonate source, probably from within the rock unit and it is not unlikely that in a hemipelagic setting the most fragile foraminifera and/or nannos provided this calcite.

The authors are aware of the problems concerning the extraction methods of forams with pyrite-filled shells, but the tests in the Forada samples are not pyrite filled, and invariably filled by calcite. None of the examined samples yielded foraminifera preserved with pyrite infilling, or replaced by pyrite. Moreover, the samples with the lowest content of $CaCO_3$ (e.g., clays of basal Clay Marl Unit) were treated with diluted H_2O_2 (10%), in order to avoid possible additional breakage of tests. We will add a sentence in the paragraph 'Material and Methods', in which we will give details about the state of preservation of foraminifera at Forada. We do not fully respond to the comment on %F (fragmentation index) in this paper, because these data (and preparations) have been discussed in Luciani et al. (2007), and we just cite them here.

Part 2.2.1. It is not quite clear how the ecological overview is assembled. It seems as if the present data are already included in this overview, as "We allocated the taxa to the categories recolonizers (R1,R2) and opportunistics (O1, O2), based on their abundance pattern in the studied and other PETM sections". Does this mean that the observed patterns of Forada are include to allocate the taxa to the various ecologic groups? If so, then this is not a correct procedure as it may lead to circular reasoning once the data are being interpreted. It would be helpful to add a table with included PETM localities from which these patterns are derived. (also note that 'opportunist' is the correct noun to the adjective 'opportunistic').

Having re-read the manuscript in light of comments by referee #1 and #2 on paragraph 2.2.1 (the benthic ecology overview), we appreciated their concern, and also agree with the reviewers that the paragraph is too long. We thus decided to eliminate the entire paragraph 2.2.1 and with it any reference to taxa ecology as inferred from the Forada record (e.g., the clustering into the categories R1, R2, O1, O2). Part of these aspects will be also discussed in the Discussion paragraph. In place of paragraph 2.2.1, following referee (1 and 2) suggestions, we will provide a new table (Table 1) summarizing the ecology of the most common taxa at Forada, based on published literature.

4216, 11: Osangularia - I'd like to caution for ecologic interpretations at generic level, especially when the reference taxa are many millions years younger or older, such as the OAE2 data referred to

here. Mid-Cretaceous benthic foram communities were quite different from those of the early Paleogene and ecologic affinities at generic level (in as far as these can be generalized at all) are likely to have been different. For instance modern Lenticulina is a deep water taxon and Oridorsalis a cold water taxon (Murray, 2006). Both were, however, common inhabitants of early Paleogene (sub)tropical shelves (numerous data from Egypt, Tunisia, Tanzania). Extrapolations from the Re-cent or Mid-Cretaceous to the Paleogene (as for microhabitats pointed out in 4218, 11-18) should be avoided, especially if there is no information included from the early Paleogene itself, like for Osangularia.

We never meant - and in fact did not - draw any ecological interpretation for the genus *Osangularia* by quoting those OAEs papers. We just reported them as information. We just use the general information on test shape (disc-shaped, biconvex, trochospiral, keeled), to infer that fossil and extant *Osangularia* most probably were epifaunal, preferring stable, oligotrophic, well oxygenated environments (e.g. Murray, 2006; Alegret et al., 2003; Alegret, 2007). For this reason, we found it of some interest to see peaks in abundance of small *Osangularia,* coinciding with the doubtlessly stressed environment of the basal CIE in the Forada section, and therefore note that peaks of *Osangularia* occur within Cretaceous OAEs. We also note that Boscolo Galazzo et al. (2013) found small-size *Osangularia* (Plate II fig. 13 in Boscolo Galazzo et al., 2013) within organic-rich levels immediately following the Middle Eocene Climatic Optimum in the Alano section. A peak in abundance of small *Osangularia* has been observed in the basal PETM at Contessa Section, as highlighted for the first time in the present manuscript, and representatives of the genus *Osangularia* (*Osangularia* spp.) behaved opportunistically in the PETM of the Tethyan Alamedilla section (Alegret et al., 2009). We thus, in fact, are making the same point as the reviewer – that one cannot always assume that environmental preferences of morpho-taxa were constant over time. We will explain this better in the text. A specific assignment of basal PETM osangulariids at Forada (and Contessa section) was not possible because of their very small size and sub-optimal state of preservation. We will add a sentence in the text to that effect.

4218, 11: the above point could be addressed here, as this problem does not only concern microhabitats, but ecologic traits in general.

The paragraph will be deleted and substituted with Table 1 (explained above). The specific case of *Osangularia* will be briefly discussed in the proper section of the paper (Discussion, paragraph 4.3.2.).

4219, 13: Results. I consider it a missed opportunity that the authors do not include a statistical evaluation on such a great data set. This would allow for an objective subdivision of the main patterns and by plotting the results (e.g. PCA, DCA) in cross plots this will almost certainly provide a graphic synthesis of the faunal evolution across the PETM. Now only frequency plots and a summary of highlights in a table are provided. These data deserve better than that.

We thank the reviewer for the suggestion, but we do not agree that a full statistical analysis would (in this case) actually add to the interpretation of the assemblages. Multivariate statistical analysis is highly suitable to document subtle patterns which are not clearly discerned in the raw data, especially when

many taxa are involved. In this case, however, an objective subdivision of the faunal assemblages is possible using the raw data at Forada, because of the rapid stratigraphic succession of biotic events, and abrupt and pronounced changes in species abundance.

4221, 9: As an individual Zoophycos often spans several dm of sediment and bioturbates 1000s of years of sedimentation, it is worthwhile to add (from Giusberti et al. 2007?) to what extent these bioturbations may or may not have affected the foraminiferal sequence.

All micropaleontological (benthic and planktic foraminifera and calcareous nannofossils) and geochemical evidence (mineralogy, stable isotopes, etc.) published on the Forada PETM concur in indicating that the foraminiferal sequence was virtually unaffected by significant disturbance (we found no evidence of "mixing" of different biotic and abiotic signals or "anomalous" signals). We stress that samples collected for micropaleontological and geochemical analysis of the PA I interval of Giusberti et al. (2007) and samples from the rest of the section were carefully collected avoiding, where possible, the portions of rock containing clear evidence of the above-mentioned ichnofossils, and other traces as *Planolites, Thalassinoides*, etc. In the specific case of the Pa I interval ("Assemblage B" of the present manuscript), the entire block of the uppermost portion of Paleocene (ca. 20 cm) was removed during sampling in the field, and sliced in the laboratory into subsamples of ca. 3 cm thick, checking carefully the integrity of the sediment, and lack of (bio)disturbance. We are well aware of the fact that hemipelagic Scaglia sediments in "normal conditions" are always bioturbated (e.g., mottling). To what extent bioturbation affected Scaglia sediments in general is beyond the scope of present paper, because a proper and reliable evaluation will be possible only through an extensive ichnological analysis. We underline that, based on the comment of the reviewer, almost the 100% of published sections should undergo a ichnological revision/approach in order to test the reliability of micropaleontological and geochemical datasets. We will insert a sentence (in par. 2.2) explaining that we, to the extent possible, excluded bioturbated materials, and have found no evidence for bioturbation-effects in data published on the Forada section.

4226, 19: "surface waters were oligotrophic" whereas 4227, 4 "indicates oligo-mesotrophic surface waters"

Previously published data on Forada calcareous plankton (Agnini et al., 2007, Luciani et al., 2007) indicate "oligotrophic" conditions in surface waters (see text and Figure 8) at Forada, whereas our data based on benthic forams suggest oligo-mesotrophic waters. It is explained in the text at 4.2.1. We removed from the text (pag. 4227, line 3) the misleading sentence "in agreement with data on calcareous plankton)".

4222, 12: are these clays (probably shales; also in other places in the text), truly laminated, resulting from a lack of bioturbation (e.g., laminae with different composition, mostly caused by lack of oxygen) or are they just fissile (homogeneous composition) from reorientation of clay minerals through compaction as is a normal feature in shales? Some image support of the laminations (cf. Nicolo et al. 2010) could significantly strengthen the interpretation. Without this, skepticism will remain.

Clays in the lowermost decimeters of the CMU are not shales (as described in detail in Giusberti et al., 2007). The only shales occurring in the entire Scaglia sequence of the region are the black shales of the upper Cenomanian OAE2 Bonarelli level. Clays of basal PETM at Forada present primary lamination, but they are not "fissile", in strict analogy to what Rodriguez-Tovar et al. (2011) described for the basal siliciclastic unit of PETM at Zumaia section (Spain). We interpreted such lamination as consequence of the lack of bioturbators in the strongly stressed conditions of basal PETM, conform Nicolo et al. (2010). Besides high temperatures, changes in food availability and dysoxia of interstitial water pores, as well as low-pH sea-floor conditions, may have played a significant role in excluding macrobenthic fauna in this early phase of PETM. Deep-sea organisms are highly sensitive to even modest but rapid pH changes (Seibold and Walsh, 2001; Science, 294, 319–320) that have been shown to be harmful even for infaunal deep-sea communities (Barry et al., 2004; Journal of Oceanography, 60, 759-766). Usually, the Paleogene Scaglia Rossa is mottled (greenish flames in reddish-brownish sediments), indicative of activity of macrobenthics in the sediments. In the investigated section, mottling completely disappears only within the basal-most, laminated greenish clays of CMU, and gradually reappears (as thin reddish "flames") at the Assemblage D-E transition. We will ensure that this description of sediment is clear in the revised version.

4228, 20: It would perhaps be worthwhile here to add a section on extinction rate within this particular sequence. I have the impression that considering the inclusion of the fine size fraction here, leads to a (much?) lower extinction rate than the 40% or more that is usually mentioned.

The proportion of Paleocene cosmopolitan "extinction" species expressed relative to the total of benthic foraminifera at Forada is quite low (ca. 10%), clearly related to the huge number of Bolivinacea dominating the fine size fraction used for this study (>63 μm). Note that many extinction taxa are epifaunal morphotypes, commonly larger than 125 μm, as also noted elsewhere. Similarly low percentages (12-15%) of cosmopolitan extinction taxa have been recorded in Scaglia sediments of the Contessa section (Giusberti et al., 2009) and at ODP Site 690 by Thomas (2003), where infaunal morphotypes (buliminids and uniserial calcareous taxa) are abundant/dominant in the >63 μm fraction. We will add a sentence in the text to clarify this, and we will move Fig. S1 from Supplementary material to the text.

4228, 22-25: note that a very similar dead zone is observed at Dababiya (Ernst et al. 2006), but relating this to a rise of the CCD and lysocline up into the continental shelves of the Tethys seems quite unlikely. So the question that comes up is, up to what shallow depths could a rise of the CCD still account for the effects observed? Is that indeed up to 1000-1500 m or perhaps even shallower?

With this paper the paleobathymetry of the Forada section is refined, and our dataset points out a fully bathyal paleodepth. We thus argue that the CCD was raised up to 1000-1500 m in the Tethys (see also tables in Thomas, 1998); we cannot say anything about Tethyan shelves. This interpretation is consistent to what has been observed at Tethyan sites with similar paleodepths (Egger et al., 2005; 2009; Alegret et al., 2009; Giusberti et al., 2009), and with the 2 km CCD raise estimated in the south

Atlantic (Zachos et al., 2005). As far as the black clay is concerned, the problem of its interpretation has been stressed in the text, as also previously in Giusberti et al., (2007). In much shallower, coastal/mid shelfal sites, carbonate may be not preserved due to local eutrophic conditions with oxidation of organic matter leading to waters corrosive to carbonate (similar to circumstances in present-day eutrophied shelves/estuaries), which may well have been the case in the Egyptian sections, but that is not relevant to this manuscript.

Minor issues:

This paper is about much more than the benthic foram record as it integrates data from earlier studies on Forada. In order to maximize readership the title could be improved accordingly, e.g. by adding a term like 'integrated' or alike.

We thank the reviewer for the suggestion and will follow his advice modifying the title of the paper.

The introduction is quite long and detailed. Some parts can certainly be preserved for the discussion instead of elaborating them in the introduction.

We agree in part with the reviewer and will try to modify the introduction accordingly, but we do not agree that all the introductory text should be included in the discussion, because it is introductory in nature and interferes with the line of discussion in the discussion section. We moved part of introduction to a new paragraph (par. 4.4) entitled "Clues from Forada on PETM climate change" at the end of the Discussion.

4208, 18: First records of anoxia related to the PETM along the Tethyan continental margins: Gavrilov et al. 1997 – Lithology and Mineral Resources; Speijer et al. 1997 –Geology).

Ok. We will add these references and Benjamini (1992).

4215, 22: Note that G. subglobosa is a common to abundant component (up to 20%) in Paleocene neritic deposits at Dababiya (and Aweina,…), Egypt. It returns within PETM DQB 3 (10-15%), together with various buliminids and Tappanina selmensis (Ernst et al. 2006), under improving, but probably fluctuating seafloor oxygenation. In this shelf setting (as elsewhere in Egypt) G. subglobosa it is not part of the first colonizers though (due to severe anoxia persisting after a (nearly) 'dead zone'.

Ok. We will add such reference in the Table 1 summarizing the ecology of benthic foraminiferal taxa. It is well possible that *G. subglobosa*, like *T. selmensis*, originated at shallower depths and migrated into the deep sea after the BFEE, because the taxon is at most deep-water sites absent in the Paleocene, or present only rarely in the very latest Paleocene (Thomas & Shackleton, 1996).

4226, 3: indicate at what depth ranges the modern OMZ is observed. Under high productivity zones with a similar export production in warmer oceans the OMZ is likely to have been more expanded.

Ok, but this varies very strongly by oceans.

4227, 5: add references for "seasonal to periodical increases in primary productivity" leading to "high faunal diversity" at middle bathyal depths.

Ok, we will add as references: Fontanier et al. (2006a) Seasonal variability of benthic foraminiferal faunas at 1000 m depth in the bay of Biscay. Journal of Foraminiferal Research, 36, 1, 61–76; Fontanier et al. (2006b) Stable oxygen and carbon isotopes of live benthic foraminifera from the Bay of Biscay: Microhabitat impact and seasonal variability. Marine Micropaleontology 58, 159– 183; Fontanier et al. (2014) Living (stained) deep-sea foraminifera off Hachinohe (NE JAPAN, Western Pacific): environmental interplay in oxygen-depleted ecosystems. Journal of Foraminiferal Research, 44, 3, p. 281–299. We will add also Gooday (2003).

4232, 20-22: is this similar to modern dust supply from the Sahara to the Atlantic? This proposal needs some referencing.

Ok. Relevant citations are reported in line 4232 7-9. We will report them also where indicated by the reviewer.

4234, 15: indicate that 800 ky after the end of CMU deposition is well beyond the top of the studied interval. This also reminds of the extended (650 kyr) humid period, starting at the onset of the PETM, observed at Site 401 (Bornemann et al., 2014).

Ok. We will add in the text a sentence including the suggested reference.

Fig. 8: meaning of texts not quite clear: e.g. what is meant by "Coccolithus-Toweius dominated assemblage. No Coccolithus-No Toweius assemblage dominated by Zygr., Sphen. and Octol."? Similar in other boxes.

We will modify both text and figures as follows: Assemblage dominated by *Coccolithus and Toweius*. After the removal of *Coccolithus* and *Toweius*, the assemblage is dominated by *Zygrablithus*, *Sphenolithus* and *Octolithus*.

Table S1: note that Schulte et al. 2011 (Chem. Geol.) provided additional data and an update on fluvial discharge during the PETM at Dababiya, relative to the paper by Ernst et al. 2006.

The reference of Schulte et al. 2011 will be added in Table S1 (now Table S3).

Technical issues:

4208, 10: too much 'profound' (rather bombastic).

Ok. We will remove "profound" from line 10. We will also substitute at lines 6-7 "profound shallowing of the calcite compensation depth" with "severe shallowing of the calcite compensation depth" (already adopted in Giusberti et al., 2007).

4208, 20: spelling 'Pälike'

Ok

4209, 14: spelling 'Collinson'

Ok

4213, 13 and elsewhere: please use infaunal/epifaunal terminology consistently. Here and there the terms 'morphotype/morphogroup/morphology' are used. As for most taxa the preferred microhabitat is unknown, one of these terms should always be added to infaunal/epifaunal. Also note that 'epibenthic' and 'endobenthic' have been proposed as preferable terms to denote 'epifaunal' and 'infaunal' microhabitats (Walker & Miller 1992 - Palaios). One last terminological nitpicking: I'm not sure whether the term 'agglutinant' as noun or adjective is appropriate in English (as it is in Dutch). Native English speaking workers generally use 'agglutinated' or 'arenaceous' (taxa).

Ok. We will make the terminology uniform as suggested. As far as epifaunal versus epibenthic etc., we are aware of the Walker & Miller 1992, but we prefer the still widely used infaunal and epifaunal terms, and prefer the more extensive discussion of this topic in Jorissen et al., 1995, 2007. We will substitute, as requested, agglutinant with agglutinated.

4215, 21: spelling 'Giusberti'

Ok

4231,27: spelling of 'sibaiyaensis'. Also note that a very similar Acarinina acme (80-90%) is observed in most PETM sections in Egypt, where it was also linked to enhanced nutrient availability (Guasti & Speijer 2007, GSA SP424), in contrast to the – then - widely held (open ocean) view that the acme resulted from oligotrophy.

Ok, we will correct the specific name. The significance of *Acarinina* acme at Forada has been previously stressed (comparing the African record and citing Guasti, 2005 and Ernst et al., 2006) in the paper of Luciani et al. 2007. We will add the suggested reference in our text. Note, however, that *Acarinina* acmes occur widely in open ocean (e.g., Site 690; various papers by Kelly et al.), and have been also linked to carbonate over-saturation.

4234, 27: add 'atmospheric' (CO_2)

Ok

Fig. 1: The Svalbard Archipelago includes the main island Spitsbergen. Iceland probably slipped into the text erroneously as it did not yet exist back then.

Ok

Fig. 3: CF has no unit and it's not clear what is meant here. Here and there in the text reference is made to wght%. Specify this at least clearly in the caption. Reference only to Hancock & Dickens (2005) is insufficient.

Ok. We will specify in the caption: "The weight percent of the >63 μm size fraction relative to the weight of the bulk sample (coarse fraction, CF) was calculated for the Forada samples following Hancock and Dickens (2005)".

Fig. 4: Please clearly specify that N/g for the radiolarians refers to the amount of radiolarians relative to the weight of the washed residue >125 micron (N/g often refers to the number of microfossils relative to the weight of the dry sediment).

Thank you very much for this remark. We realized that there was a mistake in the original plot (X axis) of the original figure (Fig. 10) from Giusberti et al. (2007). We will modify the figure and we will add the sentence suggested. We will use "number" of radiolarians (instead of "amount of radiolarians"), the word 'amount' is incorrect use of English.

Fig. 5: "Bulimina spp." should not include other genera. Buliminids? Buliminacea? At any rate specify usage of the grouping chosen.

We will substitute "*Bulimina*" with "other buliminids", explaining in the caption what is included.

References: In a separate upload various errors (probably not exhaustive) are highlighted in yellow in the reference list: misspellings, non-abbreviated journals, missing initials, Palaeo3 being consistently indicated as Palaeo2. Doi numbers are given for a minor part of Elsevier and Science papers I'd personally plea for consistency in the usage (all or nothing).

Ok. We will remove all the doi throughout the references and we will correct the errors/mistakes (see also answer to reviewer #2).

Authors answers to referee #2 (N. Mancin)

MAIN ISSUES/SPECIFIC COMMENTS

1) Title: it is not fully indicative of the work here presented because the Authors combine new high resolution benthic foraminiferal results with published calcareous plankton, mineralogical and biomarker data to document climatic and environmental changes across the PETM. I suggest to change the title accordingly.

We thank both reviewers for the suggestion and we will follow their advice modifying the title.

2) Introduction. This paragraph is very long and too condensed. I suggest to reduce it. Some parts could be preserved for the discussion sections (e.g. from 4208-line 26 to 4209-line 26).

We agree with the reviewer and will try to modify the introduction accordingly, but see note above about including too much material in the discussion.

3) Materials and methods. - Subparagraph 2.2.1: I fully agree with Speijer's comment about how the ecological overview has been assembled. Please give more details of your explanation. Moreover, this subparagraph is too long and descriptive making it dull reading. I suggest to synthesize the benthic foraminiferal ecology into two tables deleting the descriptive parts. Some suggestions: the first table could report the main ecological preferences and microhabitats of the most common taxa recorded in the studied section; for each benthic taxon the table could include a first column with the known paleo-depth range, a second column with the mode of life (deep or shallow infauna and epifauna), a third column with the food supply (quantity, quality and periodicity), a fourth column with the oxygenation, a fifth column with the type of substrate and eventually a sixth column with other remarks. The second table could report the grouping taxa (R1, R2, O1, O2) and their occurrence in coeval records from the studied Forada section to other land sections or oceanic sites. Both tables should include a last column with the most relevant reference papers used to infer the ecological preferences. The descriptive parts (only when strictly necessary to the discussions) could be available as supplementary material.

Having re-read the manuscript in light of the comments by referee #1 and #2 on paragraph 2.2.1 (the benthic ecology overview), we appreciate their concern. We also agree with the reviewers that the paragraph is too long. We thus propose to eliminate the entire paragraph 2.2.1, and with it any reference to taxa ecology as inferred from the Forada's record (e.g., the clustering into the categories R1, R2, O1, O2). We propose to discuss part of these aspects in the Discussion paragraph. In place of paragraph 2.2.1, following the referees' suggestion, we will provide a table summarizing the ecology of the most common taxa at Forada based on published literature.

4) Conclusions: this paragraph could be significantly streamlined omitting a few generic statements and organising it into point bullets reporting only the main concluding remarks.

We will modify this part into bullet points.

5) References: the references list is consistent and updated, but some references cited in the text are missing or incorrectly reported in the reference list (e.g. different year of publication), conversely some references reported in the reference list are absent in the text. Please see below in the "Technical corrections" for further details. Moreover, some references in the reference list do not follow the guide of the CPD (non-abbreviated journals, missing Author' initials, misspellings). The reference list should be carefully checked, completed and corrected accordingly.

Ok. Please note that in some cases the journals are not abbreviated because they do not occur in the ISI Journal Title Abbreviations Index. In any case, we will carefully check the entire reference list.

<u>Technical corrections</u>

- 4206-line 14 and elsewhere: The term "agglutinant" is not fully appropriated, maybe "agglutinated or arenaceous". Please correct throughout the MS accordingly.

Ok, we agree. We will substitute agglutinant with agglutinated.

- 4207-line13: "Roehl" please replace with "Röhl".

Ok

- 4207-line 23: "Dickens et al. 1995" in the ref. list is reported as "Dickens et al. 1997", please correct/uniform.

Ok
- 4208-line 7: "Zachos et al. 2005" in the ref. list is indicated as "Zachos et al. 2015", please correct/uniform or add.

Ok

- 4208-line 10: "The profound paleoceanographic:" new item.

See response to reviewer 1 for the same comment.

- 4208-line 16: Boscolo-Galazzo et al. 2014 is missing from the ref. list.

Ok

- 4208-line 20 "Paelike" please replace with "Pälike".

Ok

- 4209-line 7: "Krauss" in the ref. list is cited as "Kraus", please uniform.

Ok

- 4209-line 29 "Sluijs et al. 2007", is it 2007a or 2007b?

Ok. It is Sluijs et al. 2007a.

- 4212- line 22: "Foster et al. 2013" is missing in the ref. list. Please add it.

Ok

- 4213-line 20: "Hayward et al. 2012" is missing in the ref. list. Please add the ref.

Ok

- 4214-line 2: ": based on their abundance pattern in the studied and other PETM sections" please add some reference paper to support this sentence.

Ok. As stated above, the subparagraph 2.2.1 will be removed and the information presented in a Table.

- 4215-line 21: "Alegret et al. 2011" is reported in the ref. list as "Alegret et al. 2010". Please correct or add the missing ref.

Ok. The correct citation is Alegret et al. (2010).

- 4216-line 1: "Ishman & Domack 1994" is missing in the ref. list. Please add the ref.

Ok

- 4216-line 29: "Steineck & Thomas, 1996" is missing in the ref. list. Please add it.

Ok

- 4218-line10: "Mancin et al. 2014" please replace with "Mancin et al. 2013".

Ok.

- 4218-lines 11 to 18: "Caution is needed:" I would move this sentence at the beginning of the subparagraph dealing with the foraminiferal ecology.

We will move it at the end of the previous paragraph as our intention would be that of eliminate paragraph 2.2.1

- 4218-line29: "Roehl" please replace with "Röhl"

Ok.

- 4220-line 11 and elsewhere: The AA use the terms "morphotypes, morphogroups and morphologies". Please uniform throughout the MS.

Ok.

- 4221-line5: "opportunists" please replace with "opportunistic taxa".

Ok.

- 4225-line1: I suggest to change in "Discussion" removing "environmental reconstruction" because redundant.

Ok, we agree.

- 4225-line13: Please change in "Alegret et al. 2009a".

Ok.

- 4225-line 20: I suggest to change the subtitle in "Environmental reconstruction during the late Paleocene".

We agree.

- 4225-line 21: Please change in "The Belluno Basin deep-sea environment (Assemblage A)"

We will change it as suggested.

- 4226-line6: "Jorissen et al., 1995" is missing in the ref. list. Please add it.

Ok.

- 4226-line 11: "Fontanier et al 2005" is reported in the ref. list as "Fontanier et al. 2008". Please correct or add the missing reference.

Ok. The correct citation in the ref. list is Fontanier et al., 2005.

- 4227-line 4: previously you said the surface waters were "oligotrophic" and now "oligo-mesotrophic". What is the correct one?

See answer to reviewer 1.

- 4227-line 9: Please change in "The precursor climate change (Assemblage B)".

Ok.

- 4228-line 20: I suggest to change in "Climate and marine life during the PETM".

Ok.

- 4229-line 2: Both the references "Higgs et al, 1994 and van Santvoort et al. 1996" are missing in the ref. list. Please add these references.

Ok

- 4229-line 11: I suggest to change in "The early peak PETM (Assemblages C and D).

Ok

- 4229-lines 16 and 19: "Zachos et al. 2005" in the ref. list is indicated as "Zachos et al. 2015". Please correct/uniform or add.

Ok

- 4231-line 16: I suggest to change in "The core of the CIE and Recovery (assemblages E and F)".

Ok

- 4235-line 15 "de-oxygenation" this term is not proper. Please replace with "scarce oxygenation" or "sub-oxic conditions".

We disagree – the term deoxygenation is used widely in the literature of recent oceanic environments – see e.g., http://www.oceanscientists.org/index.php/topics/ocean-deoxygenation.

- 4237 to 4258 References. The following references are reported in the ref. list but they are missing in the text: Fenero et al, 2012 Hess & Jorissen, 2009 John et al., 2012 Jones & Manning, 1994 Ortiz et al., 2011 Pearson & Thomas, 2015 Sluijs et al., 2009 Sluijs et al., 2008 Smith et al., 2007 Thomas et al., 1999 Winguth et al., 2010 Zeebe et al., 2009.

We will provide appropriate corrections removing references missing in the text.

- 4262 caption of Figure 3. Is F-index from Luciani et al 2010 or 2007 as previously said in the text? Please uniform.

Ok. The correct citation is Luciani et al., 2007.

- 4263 caption of Figure 4. Is F-index from Luciani et al 2010 or 2007 as previously said in the text? Please uniform.

Ok. The correct citation is Luciani et al., 2007.

- 4264 Caption of Figure 5. Bulimina spp. should group only different species of the genus Bulimina and not other similar genera as Buliminella etc. Please replace Bulimina spp. with "buliminids".

Ok. See answer to reviewer 1.

Variability in climate and productivity during the Paleocene/Eocene Thermal Maximum in the western Tethys (Forada section)

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Abstract

The Forada section (northeastern Italy) provides a continuous, expanded deep-sea record of the Paleocene/Eocene thermal maximum (PETM) in the central-western Tethys. We combine a new, high resolution, benthic foraminiferal assemblage record with published calcareous plankton, mineralogical and biomarker data to document climatic and environmental changes across the PETM, highlighting the benthic foraminiferal extinction event (BEE). The onset of the PETM, occurring ~30 kyr after a precursor event, is marked by a thin, black, barren clay layer, possibly representing a brief pulse of anoxia and carbonate dissolution. The BEE occurred within the 10 cm interval including this layer. During the first 3.5 kyr of the PETM, several agglutinated recolonizing taxa show rapid species turnover, indicating a highly unstable, $CaCO_3$-corrosive environment. Calcareous taxa reappeared after this interval, and the next ~ 9 kyr were characterized by rapid alternation of peaks in abundance of various calcareous and agglutinated recolonizers. These observations suggest that synergistic stressors, including deep water $CaCO_3$-corrosiveness, low oxygenation, and high environmental instability caused the extinction. Combined faunal and biomarker data (BIT index, higher plant *n*-alkane average chain length) and the high abundance of the mineral chlorite suggest that erosion and weathering

increased strongly at the onset of the PETM, due to an overall wet climate with invigorated hydrological cycle, which led to storm flood-events carrying massive sediment discharge into the Belluno Basin. This interval was followed by the core of the PETM, characterized by four precessionally paced cycles in $CaCO_3$%, hematite%, $\delta^{13}C$, abundant occurrence of opportunistic benthic foraminiferal taxa, as well as calcareous nannofossil and planktonic foraminiferal taxa typical of high productivity environments, radiolarians, and lower $\delta D_{n\text{-alkanes}}$. We interpret these cycles as reflecting alternation between an overall arid climate, characterized by strong winds and intense upwelling, and an overall humid climate, with abundant rains and high sediment delivery (including refractory organic carbon) from land. Precessionally paced marl-limestone couplets occur throughout the recovery interval of the CIE and up to ten meters above it, suggesting that these wet-dry cycles persisted, though at declining intensity, after the peak PETM. Enhanced climate extremes at mid-latitudes might have been a direct response to the massive CO_2 input in the ocean atmosphere system at the Paleocene-Eocene transition, and may have had a primary role in restoring the Earth system to steady state.

1 Introduction

The Paleocene-Eocene Thermal Maximum (PETM) has over the last twenty four years attracted intensive study by the scientific community, as one of the most dramatic and rapid climatic disruptions of the Cenozoic (e.g., Kennett and Stott, 1991; Zachos et al., 2001; Sluijs et al., 2007a; McInerney and Wing, 2011; Littler et al., 2014). During the PETM (~55.6 Ma), the Earth's surface temperature increased by ~5°C in a few thousand years (McInerney and Wing, 2011; Dunkley-Jones et al., 2013; Zeebe et al., 2014; Bowen et al., 2015), and remained high for 100 to 170-200 kyr (e.g., Röhl et al., 2007; Giusberti et al., 2007; Murphy et al., 2010). The PETM is recognized in terrestrial and marine settings by a negative carbon isotope excursion (CIE; e.g., Kennett and Stott, 1991; Bowen et al., 2004), with variable magnitude ranging from ~2-4.5‰ in marine carbonates (e.g., Thomas and Shackleton, 1996; Bains et al., 1999; Thomas et al., 2002; Zachos et al., 2006; Handley et al., 2008; McCarren et al., 2008) to 4-7‰ in marine and terrestrial organic carbon and leaf waxes (e.g., Kaiho et al., 1996; Bowen et al., 2004, 2015; Pagani et al., 2006a; Smith et al., 2007; Handley et al., 2008; McCarren et al., 2008). This CIE is attributed to a massive, rapid input of isotopically light carbon into the ocean-atmosphere system, which destabilized the global carbon cycle and led to rapid and extreme

global warming (e.g., Dickens et al., 1997; Thomas and Shackleton, 1996; Pagani et al., 2006b; Panchuk et al., 2008; Dickens, 2011; DeConto et al., 2012). Both the source(s) of the carbon and the triggering mechanism(s) of the emissions are still strongly debated (e.g., Meissner et al., 2014), in part because the pattern and size of the CIE does not necessarily simply reflect the size and isotopic signature of the carbon input, but is affected by biotic and sedimentary processes (e.g., Kirtland Turner and Ridgwell, 2013). Despite these debates, the onset of the CIE is an outstanding global correlation tool (McInerney and Wing, 2011; Stassen et al., 2012b), formally used to define the base of the Eocene (Aubry et al., 2007).

The carbon cycle perturbation of the PETM led to acidification of surface ocean waters (Penman et al., 2014) and severe shallowing of the calcite compensation depth (CCD; Zachos et al., 2005; Kelly et al., 2010; Hönisch et al., 2012). Widespread carbonate dissolution coincided with the base of the CIE (e.g., Thomas and Shackleton, 1996; Thomas, 1998; Hancock and Dickens, 2005; McCarren et al., 2008). The ~~profound~~ paleoceanographic changes affected primary and export productivity (e.g., Thomas, 2007; Winguth et al., 2012; Ma et al., 2014), which in general increased in marginal basins and along continental margins, but decreased in open oceans (e.g., Gibbs et al., 2006; Stoll et al., 2007; Speijer et al., 2012). The higher ocean temperatures may have led to increased remineralization of organic matter in the oceans due to increased metabolic rates (John et al., 2013, 2014; Boscolo Galazzo et al., 2014; Ma et al., 2014). The combination of increased remineralization, higher temperatures and increased ocean stratification led to a decrease of oxygen levels in bottom waters regionally, especially along continental margins (including the Arctic Ocean) and in the Atlantic Ocean (e.g., Benjamini, 1992; Speijer et al., 1992; Gavrilov et al., 1997; Thomas, 2007; Chun et al., 2010; Speijer et al., 2012; Winguth et al., 2012; Nagy et al., 2013; Wieczorek et al., 2013; Dickson et al., 2014; Pälike et al., 2014; Post et al., 2016), while Oxygen Minimum Zones in open oceans expanded globally (Zhou et al., 2014), including at Forada (Luciani et al., 2007).

The increased primary productivity in marginal basins has been linked to increased influx of nutrients from the continents, caused by increased erosion and weathering due to intensification of the hydrological cycle, because precipitation is correlated to globally-averaged surface temperatures (e.g., Pierrehumbert, 2002). A widespread increase in kaolinite in PETM sediments has been related to the global increase in precipitation and intensity of chemical weathering (e.g., Robert and Chamley, 1991; Robert and Kennett, 1994; Kaiho et al., 1996; Gibson et al., 2000), as also suggested by Os-isotope evidence (Ravizza et al., 2001; Wieczorek et al., 2013). However, reconstruction of hydrological

changes from clay mineral assemblages is complex, and additional evidence is needed (Thiry, 2000; Schmitz and Pujalte 2003; 2007; Egger et al., 2003; 2005; Handley et al., 2012).

The severe climatic perturbations of the PETM profoundly affected terrestrial and marine ecosystems, triggering faunal and floral radiations and migrations (e.g., Kelly et al., 1996; Bralower, 2002; Gingerich, 2003; Wing et al., 2005; Sluijs et al., 2007a; Jaramillo et al., 2010; McInerney and Wing, 2011). Deep-sea benthic foraminifera experienced the most severe extinction of the Cenozoic, the benthic foraminiferal extinction event (BEE) (Thomas, 1989, 1990, 1998; Kennett and Stott, 1991; Thomas and Shackleton, 1996; Alegret et al., 2009a, b; 2010). The BEE was rapid (<10 kyr; Thomas, 1989, 2003, 2007), and wiped out the Cretaceous bathyal and abyssal "Velasco-type fauna" (Berggren and Aubert, 1975; Tjalsma and Lohmann, 1983; Thomas, 1998, 2007), marking a significant step towards the establishment of modern benthic foraminiferal fauna (Thomas, 2007). The extinction was far less severe in shelf environments (Gibson et al., 1993; Speijer, 2012; Stassen et al., 2015).

The cause of this global extinction remains under debate, because neither anoxia nor higher or lower productivity, nor carbonate dissolution occurred globally at bathyal to abyssal depths in the deep sea, the largest habitat on Earth (e.g., Thomas, 2003, 2007; Alegret et al., 2010), and benthic foraminifera are highly efficient dispersers (Alve and Goldstein, 2003). The link between the environmental changes during the PETM and the benthic foraminiferal extincton event thus remains poorly understood. A common obstacle to perform detailed high-resolution studies of the PETM in deep-sea sediments is the fact that many records are condensed or discontinuous, especially across the few thousand years (Zeebe et al., 2014) of the onset of the carbon isotope excursion. The Forada section (northeastern Italy) represents an outstanding exception in that it contains an expanded deep-sea record of the PETM, which has been extensively studied because of its continuity and cyclostratigraphy (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007; Tipple et al., 2011; Dallanave et al., 2012). Carbonate dissolution is less severe at Forada than in many other sections, with calcareous benthic foraminifera present for most of the interval characterized by the CIE (> 4 m; Giusberti et al., 2007). Given the limited number globally of complete and expanded deep-sea PETM sections, the Forada section represents an invaluable opportunity to investigate the environmental impacts of the PETM and repercussions on deep-sea fauna.

We provide a high-resolution benthic foraminiferal record for the Forada section, in order to reconstruct the progression (tempo and mode) of environmental and biotic changes during the PETM. These data allow us to reconstruct the environmental disruption and the benthic foraminiferal response

4

to PETM warming in detail, and document the community recovery. Benthic foraminiferal data are integrated with sedimentological and geochemical data (Giusberti et al., 2007; Tipple et al., 2011), and data on calcareous plankton communities (Agnini et al., 2007; Luciani et al., 2007), providing perhaps the most complete reconstruction across the PETM in Europe todate.

We pay homage to research by Italian researchers (Di Napoli Alliata et al., 1970; Braga et al., 1975), who first described the benthic foraminiferal turnover across the Paleocene-Eocene transition in Italy.

2   Materials and methods

2.1   The Forada section

The Forada section (46.036083°N, 12.063975°E) is exposed along the Forada creek, ~ 2 km east of the village of Lentiai (Fig. 1) in the Venetian Pre-Alps (NE Italy). It consists of ca. 62 m of Scaglia Rossa, pink-reddish limestones and marly limestones, locally rhythmically bedded, and encompassing the Upper Cretaceous through the lower Eocene (Fornaciari et al., 2007; Giusberti et al., 2007). The upper Paleocene–lower Eocene succession is interrupted by the clay marl unit (CMU; Giusberti et al., 2007), which marks the PETM and correlates with clay-rich units on other continental margins (e.g., Schmitz et al., 2001; Crouch et al., 2003; John et al., 2008; Nicolo et al., 2010). The investigated interval has been subdivided into four sub-intervals based on the δ^{13}C record in bulk rock (Giusberti et al., 2007). From bottom to top, these are the pre-CIE, the main CIE, the CIE recovery and post-CIE (Fig. 2). The main CIE (Giusberti et al., 2007; Figs. 2, 3) occurs in the >3 m-thick CMU, within which are recorded the short-lived occurrences of the calcareous plankton "excursion taxa" (Kelly et al., 1996, 1998) and the BEE (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007). Sedimentation rates in the CMU were five times higher than in the upper Paleocene, indicating increased continental weathering and run-off, which led to increased sediment influx in the Belluno Basin (Giusberti et al., 2007).

2.2   Benthic foraminifera

Benthic foraminiferal assemblages were studied in 54 samples from the same set studied by Luciani et al. (2007) across an ~11 meter-thick interval straddling the PETM (-467 to +591.5 cm; Fig.

152 2), which reflects ~ 800 kyr (Giusberti et al., 2007). In this study the planktic foraminifera

153 fragmentation index (F Index) of Luciani et al. (2007) is used as a proxy for dissolution (Figs. 2, 3)

154 (Hancock and Dickens, 2005). The sample spacing for benthic foraminiferal assemblage analysis was

155 determined based on biostratigraphic and cyclostratigraphic data (Agnini et al., 2007; Giusberti et al.,

156 2007; Luciani et al., 2007). A sampling interval of 3–5 cm was used across the onset of the CIE (-42.5

157 to + 50 cm interval), a 25 cm sample interval over the main CIE (from +75 to 335 cm). Below -42.5 cm

158 and above 335 cm we adopted a spacing between 20 and 50 cm. Samples were collected excluding, to

159 the extent possible, bioturbated material. Further selection and removal of bioturbated material was

160 carried out in the laboratory before sample processing. Data previously collected from the Forada

161 section indicate that significant bioturbation effects are not present (e.g., Agnini et al., 2007; Giusberti

162 et al., 2007; Luciani et al., 2007).

163 Foraminifera were extracted from the indurated marls and limestones using the "cold acetolyse"

164 technique of Lirer (2000), following Luciani et al. (2007). Soft marly and clayey samples (mostly from

165 the CMU interval) were disaggregated using a 10–30% solution of hydrogen peroxide. The samples

166 with the lowest content of $CaCO_3$ (e.g., clays of basal CMU) were treated with diluted hydrogen

167 peroxide (10%), in order to prevent possible additional breakage of tests (especially of planktic

168 foraminifera). For more details on the comparison between the two methods of preparation (cold

169 acetolyse versus hydrogen peroxide), we refer to Luciani et al. (2007).

170 The quantitative study of benthic foraminifera was based on representative splits (using a micro-

171 splitter Jones, Geneq Inc.) of approximately 200–400 individuals >63 μm and <500 μm (Table S1). The

172 use of the small-size fraction is time-consuming and presents difficulties in taxonomic determination,

173 but we preferred to avoid the loss of small taxa, which are important for paleoecological investigations

174 (e.g., Thomas 1985; Boscolo Galazzo et al., 2013; 2015), especially directly after the BEE when small

175 species are dominant (Thomas, 1998; Foster et al., 2013). Between 0 and -222 cm (uppermost

176 Paleocene), the fraction ≥125 μm of at least 1/4 of the residue was carefully scanned for large

177 specimens of the extinction taxa, here labeled "Cosmopolitan Extinction Taxa" (CET) (see Thomas,

178 1998, 2003). These CET records have been treated qualitatively (Fig. 4). The extinction taxa include:

179 *Anomalinoides rubiginosus*, *Angulogavelinella avnimelechi*, *Aragonia velascoensis*, *Bolivinoides*

180 *delicatulus*, *Cibicidoides dayi*, *C. hyphalus*, *C. velascoensis*, *Clavulina amorpha*, *Clavulinoides*

181 *trilatera*, *Clavulinoides globulifera*, *Coryphostoma midwayensis*, *Dorothia beloides*, *D. bulletta*, *D.*

182 *pupa*, *D. retusa*, *Neoeponides megastoma*, *Gavelinella beccariiformis*, *Gyroidinoides globosus*, *G.*

6

quadratus, *Marsonella indentata*, *Neoflabellina jarvisi*, *N. semireticulata*, *Nuttallinella florealis*, *Osangularia velascoensis*, *Paralabamina hillebrandti*, *Pullenia coryelli*, *Remesella varians* (e.g., Beckmann, 1960; Von Hillebrandt, 1962; Tjalsma and Lohmann, 1983; Speijer et al., 1996; Thomas, 1998), each of which is present at Forada.

We identified most common taxa at the species level (Table S2). Taxa with high morphological variability and/or variable preservation were identified at generic or higher taxonomic level. Specimens of the most representative taxa were imaged using the SEM at the C.U.G.A.S. (Centro Universitario Grandi Apparecchiature Scientifiche) of Padova University (Plates 1-4). Relative abundances of the taxa and taxon-groups, along with faunal indices such as the calcareous-agglutinated ratio, the infaunal-epifaunal ratio, and bi-triserial percentage were calculated (Figs. 2, 5-7 and Fig. S1). The absolute abundance (N/g: number of benthic foraminifera per gram-bulk dried sediment) was calculated for both the ≥63 and ≥500 μm fractions. Faunal diversity indices (Species diversity and Fisher-α; Fig. 2) were calculated using the PAST package (Hammer et al., 2001). Segments belonging to tubular/branched agglutinated forms (e.g., *Rhizammina, Rhabdammina, Bathysiphon*) were counted, but excluded from calculations because there is no reliable method to convert the abundance of multiple fragments into that of single individuals (Ernst et al., 2006).

We assigned species to epifaunal and infaunal morphotypes by comparing their test morphology to the morphotypes in Corliss (1985), Jones and Charnock (1985), Corliss and Chen (1988), Kaminski and Gradstein, (2005), Hayward et al. (2012), and Mancin et al. (2013). However, caution is needed in applying taxonomic uniformitarianism due to our limited knowledge of the biology and ecology of the highly diverse living species. Even for many living species, the relation between test morphology and microhabitat has not been directly observed, but is extrapolated from data on other taxa (e.g., Jorissen, 1999). The assignment of modern foraminifera to microhabitats based on their morphology may be accurate only about 75% (Buzas et al., 1993): comparisons between past and recent environments thus need careful evaluation, and cross correlation between benthic foraminiferal and other proxy data. The ecology as evaluated from the literature (Table 1) is shown for selected benthic foraminiferal taxa from the PETM interval at Forada.

2.3   Age model

212   The age model used for calculating the longevity of benthic foraminiferal assemblages (see below)

213   follows Luciani et al. (2007), with the lower Eocene chronology based on the cyclostratigraphic age

214   model of Giusberti et al. (2007; Fig. 3). The duration of each precessional cycle has been assumed to be

215   21 kyr. Sedimentological and geochemical parameters oscillate cyclically within the main CIE, in at

216   least five complete precessional cycles (Figs. 2, 3). The CIE recovery interval is composed of six

217   distinct, precessional marly-limestone couplet cycles (Fig. 3). The recognition of eleven cycles in the

218   combined CIE and recovery interval implies an estimate of the total duration of the CIE of ca. 230 kyr

219   (Fig. 3). Giusberti et al. (2007) and Röhl et al. (2007) disagree on the duration of the main CIE and

220   recovery interval (179±17 kyr and 231±22 kyr, respectively). The main difference between these two

221   chronologies is the assignment of different numbers of precessional cycles within the main body and

222   recovery interval (Tipple et al. 2011). A ^{3}He-based chronology for Site 1266 (Walvis Ridge) suggests a

223   total PETM duration of 234 +48/−34 kyr (Murphy et al., 2010), in line with the age model of Giusberti

224   et al. (2007).

225   Lithological cycles have not been firmly identified in the Paleocene part of the section, and

226   sedimentation rates are interpolated between the base of the PETM at ±0 cm and the lowest occurrence

227   of the calcareous nannofossil *Discoaster multiradiatus* at ca.−12.5 m (Giusberti et al., 2007), using a

228   duration of the time between these events of 1.238 Myr (Westerhold et al., 2007). In this age model, the

229   investigated portion of Forada section spans ca. 800 kyr.

230

231   **3   Results**

232   Benthic foraminiferal assemblages are generally dominated by calcareous hyaline taxa (85-90%;

233   Fig. 2), but agglutinated taxa significantly increase in abundance within the CMU (25-90%; Fig. 2).

234   Infaunal taxa strongly dominate the assemblage throughout the studied interval (~80%). Faunal

235   diversity is fairly high, particularly in the upper Paleocene (Fig. 2), and preservation is generally

236   moderate, though poor within the lowermost centimeters of the Eocene. Most foraminiferal tests at

237   Forada are recrystallized, and totally or partially filled with calcite.

238   Composition and abundance of the assemblages change prominently across the ca. 11 m-thick

239   interval investigated (Figs. 2, 5-7) coeval with the geochemical signature of the PETM, and broadly

240   coincident with the main lithological changes. We recognized six successive benthic foraminiferal

assemblages (labeled A to F; Figs. 2, 5-8), mainly based on changes in abundance of the taxa listed in Table 1. Assemblages A and B are characteristic of the dominantly reddish calcareous marls mottled by greenish "flames" of the uppermost Paleocene, separated by the thin, barren clay layer from Assemblages C, D and E, which occur in the first half of the main excursion of the CIE (lowermost Eocene), within the CMU (basal green laminated clays overlied by mottled reddish clays, marly clays and marls). Assemblage F characterizes the marls of the upper half of the CMU, as well as the CIE recovery interval and the overlying post-excursion interval of reddish limestone–marl couplets (Giusberti et al., 2007).

3.1.1 Assemblage A: the upper Paleocene fauna

Assemblage A (-467.5 to -37.5 cm, estimated duration >430 kyr) has a high diversity, with abundant infaunal taxa (ca. 70-80%; Fig. 2). Small bolivinids (<125 μm) of the *Bolivinoides crenulata* group (Plate 3, Figs. 7-9), and smooth-walled *Bolivina* spp. together comprise 50-60% of the > 63 μm fauna (Fig. 5), with *Siphogenerinoides brevispinosa* (~10%) and other buliminids less common (Figs. 5, 6). Epifaunal morphotypes are mainly represented by small cibicidids (10%), *Anomalinoides* spp. (5%) and *Cibicidoides* spp. (usually <5%; Fig. 5). Rare taxa include reussellids, angulogerinids, nodosariids, dentalinids, gyroidinids, valvalabaminids and unilocular hyaline taxa (Fig. S1). Agglutinated taxa are mainly represented by *Spiroplectammina spectabilis*, *Trochamminoides* spp., *Paratrochamminoides* spp., *Reophax* spp. and *Subreophax* spp. The Paleocene Cosmopolitan Extinction Taxa (CET; Plate 1) are not a major component of the assemblage >63 μm (<10%; Fig. 6), but are common to abundant in the size fraction >125 μm (>20%). Many of these have large, heavily calcified tests. The most common taxa include *Gavelinella beccariiformis*, *Pullenia coryelli* and *Coryphostoma midwayensis* (Table S1). CET such as *Clavulinoides globulifera*, *Cibicidoides dayi* and *Cibicidoides velascoensis* are common in the >500 μm size fraction, together with trochamminids and large lituolids (Plate 1, Figs. 19, 6-8; Plate 4, Figs. 7, 8, 14, 20). The latter occur up to the top of the Paleocene, but are absent in the Eocene. At -261.5 cm, the Cosmopolitan Extinction Taxa (CET) peak at 15%, their maximum abundance in the studied section (Fig. 6). At the same level, peaks of large, stout, heavily calcified taxa (e.g., *Cibicidoides* and anomalinids) co-occur with agglutinated taxa (*Glomospira*, *Spiroplectammina* and *Haplophragmoides*, Figs. 6, 7), whereas small, thin-walled forms such as bolivinids, *Siphogenerinoides brevispinosa* and cibicids decline markedly in relative abundance

(Figs. 5-7). Faunal density (N/g), diversity and the percentage abundance of infaunal morphotypes decrease (Fig. 2), as do $\delta^{13}C$ and $CaCO_3\%$, whereas the planktonic foraminiferal fragmentation index (F Index) increases significantly (Fig. 2). The upper boundary of this assemblage is defined by the increase in abundance of the opportunistic taxa *Tappanina selmensis* and *Siphogenerinoides brevispinosa*, marking the onset of Assemblage B.

3.1.2 Assemblage B: the pre-CIE Paleocene fauna

Assemblage B occurs at -31 to 0 cm, estimated duration ~ 34 kyr. At about -20 cm the lithology shifts from reddish to greenish marls with *Zoophycos* and *Chondrites* (intervals Pa I and II of Giusberti et al., 2007). In this assemblage, *Siphogenerinoides brevispinosa* and *Tappanina selmensis* increase in relative abundance compared to Assemblage A (>10% at ~-27 and –12 cm; Figs. 6, 7). Between the two peaks of *S. brevispinosa* (at about ~-20 cm; Figs. 6, 7), there is a transient negative carbon isotope excursion of about 1‰, a drop in $CaCO_3$ from 60 to 40%, a decline in the coarse fraction to 2%, and a peak in the F-Index (85-90%; Figs. 2, 3). Small and thin-walled taxa such as bolivinids, cibicidids and *S. brevispinosa* decrease markedly in relative abundance, whereas big, heavily calcified taxa (e.g., Cosmopolitan Extinction Taxa, *Cibicidoides* spp., *Nuttallides truempyi*) and agglutinated forms increase (Figs. 5-7). In addition, faunal density drops, as does the percentage of infaunal taxa (from 90% to 50%), and diversity increases (Fig. 2). From -4.5 cm upwards, the preservation of benthic foraminifera deteriorates, while the F Index reaches 100% (Figs. 2, 3). At -1.5 cm preservation worsens and most bi-triserial taxa decline in abundance drastically, whereas benthic foraminiferal absolute abundance and $CaCO_3\%$ both decrease (Fig. 2). Faunal diversity peaks, and anomalinids, *Cibicidoides* spp., *N. truempyi*, *O. umbonatus* as well as agglutinated forms increase markedly in relative abundance (Figs. 2, 5, 6). In the uppermost Paleocene sample, we see the highest occurrence of most CET (Figs. 4, 6). Few CET (e.g., *Aragonia velascoensis*) disappear below this sample (Fig. 4). These are generally rare, occurring discontinuously throughout the Paleocene, even in large samples of residue >125 µm (Fig. 4). The uppermost occurrence of the CET defines the upper boundary of this assemblage, at the base of the black clay layer.

3.1.3 The black clay

297   The lowermost Eocene is a thin, black clay layer (0 to +0.3 cm), slightly enriched in organic
298   carbon, and carbonate-free (Giusberti et al., 2007; Figs. 3, 8). This clay marks the base of the CMU,
299   and contains a few specimens only, agglutinated benthic foraminifera of the genera *Haplophragmoides*
300   and *Recurvoides* (10 specimens in 22 g washed sediment). It probably was deposited over less than a
301   millennium, in view of its small thickness and place within the precessionally paced cycles in the
302   PETM.

3.1.4 Assemblage C: basal CIE agglutinated fauna

304   We label this lowermost Eocene interval (lowermost 10 cm of laminated green clays of CMU;
305   estimated duration ~3.5 kyr) the BFDI (i.e., benthic foraminiferal dissolution interval), sediment with
306   low $CaCO_3$ wt % (~15%), and the most negative $\delta^{13}C$ values in bulk carbonate (-2‰). Assemblage C is
307   dominated by agglutinated taxa (about 90%; Fig. 2) with badly preserved and deformed tests. Tests of
308   calcareous-hyaline forms are rare, partially dissolved and fragmented. Assemblage C has minimum
309   values of faunal density (<5), diversity, and wt% coarse fraction (Fig. 2). Infaunal morphotypes have
310   their lowest abundance (ca. 36%; Figs. 2, 6). Agglutinated foraminifera are mainly represented by
311   *Eobigenerina variabilis* (25%; Plate 1, Figs. 2, 3), *Haplophragmoides* spp. (20%), *Glomospira* spp.
312   (15%), *Saccamina* spp. (10%) and *Spiroplectammina navarroana* (~ 8%; Plate 2, Fig. 6). In its upper
313   part, Assemblage C has high abundances of *Karrerulina* spp. (~20%; *K. conversa*; Plate 2, Fig. 4) and
314   *Ammobaculites agglutinans* (10%; Plate 2, Fig. 1). The latter taxa occur at relatively high abundance in
315   the overlying assemblages, up to ~+50-70 cm (Figs. 6, 7). The upper boundary of this assemblage is
316   defined by the first substantial recovery of hyaline taxa (>50%).

3.1.5 Assemblage D: lowermost CIE fauna

318   In Assemblage D (+10 to +35 cm, lithologically characterized by laminated green clays; estimated
319   duration ~9 kyr), calcareous-hyaline forms are consistently present and badly preserved, with dominant
320   taxa having dwarfed and thin-walled tests, e.g., *Globocassidulina subglobosa* (25%), *Tappanina*
321   *selmensis* (20%), and *Osangularia* spp. (~11%; Figs. 6, 7; Plate 2, Figs. 13-16). A specific assignment
322   of basal PETM osangulariids at Forada is not possible because of their very small size and poor state of
323   preservation. From +30 cm upwards, relative abundances of *G. subglobosa* and *Osangularia* spp.
324   drastically decline, whereas *T. selmensis* reaches its maximum abundance (ca. 33%; Figs. 6, 7). Minor
325   components are "other buliminids" group (up to 10% at the top of the Assemblage; see Fig. 5 and Fig.

11

5- related caption), *Pleurostomella* spp., *Oridorsalis umbonatus*, anomalinids and stilostomellids (Figs. 5, 6 and Fig. S1). Agglutinated forms remain abundant, up to 50%. At +20 cm, calcified radiolarians become abundant, dominating the microfossil association up to +2 m above the base of CMU (Luciani et al., 2007; Figs. 3, 8). Within the interval of Assemblage D, $\delta^{13}C$ shifts from -2 to -1‰, and the CaCO$_3$ wt% recovers to ~40%, despite strong dilution with terrigenous sediments (Fig. 3). The upper boundary of this assemblage is defined by the consistent decrease of *T. selmensis* (to <5%).

3.1.6   Assemblage E: main CIE fauna I

In this interval (+35 to +185 cm; lithologically characterized by green and reddish clays and marls; estimated duration ca. 42 kyr) benthic foraminiferal preservation improves, and calcareous-hyaline forms dominate the assemblages again (Fig. 2). *Siphogenerinoides brevispinosa* is consistently present again, with two peaks up to 20% (Figs. 6, 7). *Pleurostomella* spp. increase to up to >10%, and *Bolivinoides crenulata* and smooth-walled *Bolivina* spp. to up to 30 - 40% (Figs. 5, 6). Calcareous-hyaline epifaunals such as cibicids and anomalinids reappear at <5% (Fig. 5). Faunal density and diversity gradually increase upwards, whereas agglutinated taxa markedly decrease in abundance (<20%) at ~+70 cm (Fig. 2). The upper boundary of this assemblage is defined by the marked drop in relative abundance of *S. brevispinosa* (to <5%).

3.1.7   Assemblage F: main CIE fauna II, CIE recovery and post CIE fauna

Assemblage F characterizes the upper half of the CMU (reddish marls), from about +185 cm up to its top (+337.5 cm), and the overlying interval (red marly limestone couplets) up to +649 cm; estimated total duration > 281 kyr). The relative abundance of *Siphogenerinoides brevispinosa* is low (<5%), whereas *Bulimina tuxpamensis* and *Nuttallides truempy*i increase in abundance, respectively to 5 and 10%, and show cyclical variations in relative abundance (Figs. 6, 7). Pleurostomellids (~10%), "other buliminids" group (~10%; Fig. 5), cibicids (~10%), *Oridorsalis umbonatus* (~5%), stilostomellids (~5%) and *Abyssammina* spp. (~5%) are common (Figs. 5, 6). Relative abundance of infaunal taxa (mostly bolivinids) and faunal density (N/g) returns to their Paleocene values (75-80%; Fig. 2). Diversity increases (simple diversity up to 60, Fisher-α diversity up to 20; Fig. 2) but remains lower than in the Paleocene. All faunal indices show cyclical variation (Fig. 2), as do the relative abundance of benthic foraminifera, and planktic foraminiferal and calcareous nannofossil assemblages (Agnini et

al., 2007; Luciani et al., 2007). In the lower third of the interval in which this assemblage occurs, just above the CMU (ca. +337.5 cm), the relative and absolute abundance of radiolarians decrease markedly and agglutinated taxa such as *Glomospira* spp., *Eobigenerina variabilis* and *Karrerulina* spp. slightly increase in relative abundance (~+2-3%) (Figs. 2, 3, 6, 7).

4   Discussion

4.1   Paleodepth of the Forada section

Based on benthic foraminifera in the >125µm size fraction, Giusberti et al. (2007) suggested a paleodepth between 600 and 1000 meters for the Forada section. Our data on the >63 µm size fraction suggest a somewhat greater paleodepth, i.e., upper lower bathyal, between 1000 and 1500 meters (van Morkhoven et al., 1986). Representatives of the bathyal and abyssal Velasco-type fauna (Berggren and Aubert, 1975), such as *Aragonia velascoensis*, *Cibicidoides velascoensis*, *Gyroidinoides globosus*, *Nuttallides truempyi*, *Nuttallinella florealis*, *Osangularia velascoensis* and *Gavelinella beccariiformis* are common at Forada. The faunas across the uppermost PETM interval and higher are similar to the PETM-fauna in the upper abyssal Alamedilla section (Souther Spain; Alegret et al., 2009a) and at Walvis Ridge at 1500 m paleodepth (Thomas and Shackleton, 1996; Thomas, 1998). *Abyssammina* spp. and *Nuttallides truempyi* (upper depth limit at 1000 and 300 m respectively; Van Morkhoven et al., 1986; Speijer and Schmitz, 1998) increase in abundance by more than a factor of 2 during the PETM at Forada, as typical for PETM deep-sea benthic foraminiferal records (e.g., Thomas, 1998; Thomas and Shackleton, 1996; Thomas, 2007; Alegret et al., 2009a, 2011; Giusberti et al., 2009). In these deliberations we excluded the bolivinids, because we consider that their high abundance is due to the "delta depression effect" (see below).

4.2   Environmental reconstruction during the late Paleocene

4.2.1 The Belluno Basin Paleocene deep-sea environment (Assemblage A)

Throughout most of the investigated section, infaunals strongly dominate over epifaunals, mainly due to the high abundances of bolivinids (Figs. 2, 5). Such dominance of bolivinids is common in lower and middle Eocene hemipelagic Scaglia sediments in the Belluno basin (Agnini et al., 2009; Boscolo Galazzo et al., 2013). Presently, bolivinids are common along continental margins, and at

bathyal depths, at the interception of the oxygen minimum zone (OMZ) with the seafloor, typically between 200 and 1000 m in modern oceans (Levin, 2003). High abundances of bolivinids commonly correlate with high organic matter flux and/or oxygen depletion (e.g., Murray, 1991; Gooday, 1994; Bernhard and Sen Gupta, 1999; Schmiedl et al., 2000; Thomas et al., 2000; Jorissen et al., 1995, 2007; Thomas, 2007). We see high abundances of such taxa typically at greater depths than usual in regions with significant organic matter input from rivers, the so-called "delta-depression" effect first described in the Gulf of Mexico (Pflum and Frerichs, 1976; Jorissen et al., 2007). Such lateral inputs of organic matter thus result in (partial) decoupling between the food supply to the benthos and local primary productivity (e.g., Fontanier et al., 2005; Arndt et al., 2013).

At Forada, there is neither geochemical nor sedimentological evidence for persistent suboxic conditions at the sea-floor (Giusberti et al., 2007), and the high benthic foraminiferal faunal diversity likewise does not indicate low oxygen conditions. The upper Paleocene calcareous plankton is dominated by morozovellids indicating oligotrophic surface water conditions (Luciani et al., 2007; Fig. 8). The calcareous nannofossil assemblage is dominated by the generalist taxa *Toweius* and *Coccolithus*, with high percentages of *Sphenolithus* and *Fasciculithus* (Agnini et al., 2007; Fig. 8), supporting that surface waters were oligotrophic. We thus think that environments in the Belluno Basin, close to a continental margin (Agnini et al., 2007), were characterized by the "delta depression effect", in which hemipelagic sedimentation incorporated significant laterally transported terrigenous organic matter to serve as food for the benthos (e.g., Fontanier et al., 2008; Arndt et al., 2013).

The occurrence of large, epifaunal (> 500 µm) species (Assemblage A and B), has been related to an optimum food supply, but also to very low food supply, since a lack of food keeps individuals from reproducing successfully and leads to continued test-growth (Boltovskoy et al., 1991; Thomas and Gooday, 1996).

Overall, Assemblage A, indicates oligo-mesotrophic surface waters, with bolivinids probably exploiting refractory, laterally advected organic matter. The high faunal diversity suggests that seasonal to periodical increases in primary productivity may have occurred (e.g., Gooday, 2003; Fontanier et al., 2006a, 2006b, 2014), allowing a species-rich, highly diverse infauna and epifauna to inhabit the sea-floor, and co-occur with the bolivinids in the sedimentary record.

At Forada, the relative abundance of Paleocene Cosmopolitan Extinction Taxa (CET) is low (average <10%; Fig. 6), due to the large number of Bolivinacea dominating the fine size fraction used

412 for this study (>63 μm). Many CET (Plate 1) are epifaunal morphotypes, commonly larger than 125

413 μm, as also noted elsewhere (e.g., Giusberti et al., 2009). Similarly low percentages (12-15%) of CET

414 have been recorded in Scaglia sediments of the Contessa section (Giusberti et al., 2009) and at ODP

415 Site 690 by Thomas (2003), where infaunal morphotypes (buliminids and uniserial calcareous taxa) are

416 abundant in the >63 μm fraction.

417

4.2.2 The precursor warming event (Assemblage B)

419 The onset of Assemblage B, about 34 kyr before the onset of the CIE (~-30 cm), is marked by

420 increase in relative abundance of opportunistic taxa such as *Tappanina selmensis* and

421 *Siphogenerinoides brevispinosa* (Figs. 6, 7; Table 1). The arrival of *Tappanina selmensis,* an upper

422 bathyal to outer shelf species in the Maastrichtian (Frenzel, 2000), at greater depths might indicate

423 warming of deep waters before the beginning of the PETM, as also reflected in the migration of warm-

424 water planktonic species to high southern latitudes (Thomas and Shackleton, 1996; Table 1). The

425 benthic foraminiferal changes roughly coincided with a significant increase in acarininids% (planktonic

426 foraminifera, >50%), likely indicating warming of surface waters (Luciani et al., 2007; Fig. 8). The

427 foraminiferal assemblages hence suggest warming throughout the water column, and increased surface

428 nutrient availability and deep-water food availability, whereas no changes in productivity in calcareous

429 nannofossils are recorded (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). The foraminiferal evidence

430 for warming is associated with an increase in $\delta D_{n\text{-alkanes}}$ and TEX_{86} values (Fig. 9), suggesting increased

431 aridity and sea surface temperature prior to the onset of the CIE (Tipple et al., 2011).

432 Multiple proxies thus indicate that climatic and oceanographic conditions started to change ~30

433 kyr before the onset of the CIE, pointing to a PETM precursor event, reflected by a <5-cm thick

434 dissolution interval at ~-22 cm, coinciding with a negative shift in bulk $\delta^{13}C$ (-1‰; Figs. 2, 3). Within

435 this interval dissolution-sensitive benthic foraminifera (e.g., *S. brevispinosa* and small bolivinids)

436 markedly decrease in abundance, while more robust and agglutinated taxa increase (Figs. 2, 5-8), as

437 does the F-Index of planktic foraminifera (to ~ 85-90%; Luciani et al., 2007; Fig. 3). This dissolution

438 level may thus reflect a brief episode of rising lysocline/CCD (<5 kyr) in response to a precursory

439 emission of isotopically light carbon (Bowen et al., 2015). Similar precursor events have been observed

440 worldwide (e.g., Sluijs et al., 2007b; 2011; Secord et al., 2010; Kraus et al., 2013; Garel et al., 2013;

Bornemann et al., 2014; Bowen et al., 2015), indicating that disturbance of the global carbon cycle started before the PETM, as potentially also reflected in the occurrence of hyperthermals in the Paleocene (Thomas et al., 2000; Cramer et al., 2003; Coccioni et al., 2012).

At the top of Assemblage B (uppermost 4.5 cm), just prior to the onset of the CIE, carbonate preservation declined markedly, as reflected in F-Index, $CaCO_3\%$, and foraminiferal preservation. In this interval, representing the "burndown" layer (BL; e.g., Thomas and Shackleton, 1996; Thomas et al., 1999; Giusberti et al., 2007; Figs. 4, 7, 8), CET remained present. Dissolution in the upper BL removed most thin, dissolution-prone calcareous tests (e.g., *Siphogenerinoides brevispinosa* and small bolivinids), concentrating the more heavily calcified and the agglutinated taxa (included CET; Fig. 5-7). Benthic foraminiferal assemblages in the topmost Paleocene at Forada thus cannot be interpreted with confidence due to the severe dissolution.

4.3   Climate and marine life during the PETM

4.3.1 The black clay: a desert below the CCD

This very thin, carbonate-free interval is somewhat enigmatic. The virtually barren sediment may have been deposited during the maximum rise of the CCD, under environmental conditions so unfavorable that benthic life was excluded, a "dead-zone" (*sensu* Harries and Kauffman, 1990) during the earliest phase of the PETM. Geochemical redox indices in the black clay and the underlying and overlying samples suggest persistently oxygenated bottom waters (Giusberti et al., 2007), but may reflect diagenesis during re-oxygenation of bottom waters after a short period of anoxia, as commonly observed for Mediterrean sapropels (Higgs et al., 1994; van Santvoort et al., 1996). The presence of the thin black clay without microfossils thus is highly suggestive of a brief pulse of anoxia, as supported by a single peak value of organic carbon (0.6 wt %; Giusberti et al., 2007). The high value of biogenic barium (3151 ppm) in the black clay (Fig. 3), despite the fact that barite is generally not preserved under anoxic conditions (Paytan and Griffith, 2007; Paytan et al, 2007) may represent reprecipitation at the oxic/anoxic sediment interface after dissolution under anoxic conditions (Giusberti et al., 2007), and/or high rates of organic remineralization in the water column,during which the barite forms (Ma et al., 2014).

4.3.2 The early peak PETM (Assemblages C and D)

16

The 10 cm of sediment directly overlying the Paleocene/Eocene boundary (i.e. the base of the CIE; Figs. 7, 8) was deposited in strongly $CaCO_3$ –corrosive waters, below the lysocline and close to or below the CCD. The rapid rise of the CCD/lysocline during the PETM is a predicted consequence of massive input of carbon (CO_2 or CH_4) in the ocean-atmosphere system on a millennial timescale (e.g., Dickens et al., 1997; Thomas, 1998; Zachos et al., 2005; Zeebe et al., 2009, 2014; Hoenisch et al., 2012). The carbonate dissolution at Forada is consistent with observations at many other deep-sea sites (e.g., Schmitz et al., 1997; Thomas, 1998; Zachos et al., 2005; Kelly et al., 2010). The benthic foraminiferal extinction event (BEE) at Forada (i.e., corresponding to the the BB1/BB2 zonal boundary of Berggren and Miller, 1989) occurs within this 10 cm-thick interval, between the top of the CET-bearing burndown layer and the base of Assemblage D, where benthic calcareous taxa reappear (Figs. 4, 7, 8). The concentration of CET in the burndown layer, and the reappearance of calcareous hyaline taxa only 10 cm above the onset of the PETM at Forada, confirms that the CET extinction occurred over 3.5 kyr or less in the central western Tethys, similar to evaluations of this timing from carbon cycle modeling (Zeebe et al., 2014).

Sediment just above the black clay, reflecting a first slight deepening of the CCD, contains a low diversity, fauna of mostly agglutinated, dwarfed (close to 63 µm in diameter) benthic foraminifera, and calcareous nannofossils with signs of dissolution, with planktic foraminifera virtually absent (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). This first wave of benthic pioneers recolonized the sea-floor during the peak-CIE, in $CaCO_3$-undersaturated waters, and reflects a highly stressed environment (Assemblage C; Figs. 6-8). Among the pioneers, *Eobigenerina variabilis* is peculiar of the PETM of the Forada section (Figs. 6, 7). *Eobigenerina* is a recently erected genus in the Textulariopsidae, including non-calcareous species previously assigned to *Bigenerina* (Cetean et al., 2011), and it is known to behave opportunistically during Cretaceous Oceanic Anoxic Event 2 (OAE2; Table 1). A major component of the upper part of Assemblage C is *Karrerulina conversa* (Fig. 7). The species dominates the lowermost Eocene deposits in the Polish Carpatians (Bąk, 2004), commonly occurring in the Paleocene-Eocene of the Central North Sea and Labrador margin, and in Morocco (Kaminski and Gradstein, 2005). Modern *Karrerulina* (e.g., *K. apicularis=K. conversa*) live in oligotrophic abyssal plains, with well-oxygenated bottom and interstitial waters (Table 1). However, the test morphology of *Karrerulina*, combined with its abundant occurrence in the doubtless stressed environment of the basal PETM at Forada and Zumaia (Table 1), suggests that this genus may also act opportunistically.

499   After ca. 4 kyr, a further deepening of CCD allowed a consistent increase in abundance of benthic

500   calcareous taxa (ca. 50%; Assemblage D; Fig. 2), coinciding with the lowermost recovery of bulk

501   carbonate $\delta^{13}C$ values, from -2‰ to -1‰ (Giusberti et al., 2007; Tipple et al., 2011; Fig. 7). These

502   calcareous recolonizers included dwarfed and thin-walled forms of *G. subglobosa, Tappanina*

503   *selmensis, Osangularia* spp. and *Oridorsalis umbonatus* (Figs. 6, 7). A similar peak in small

504   *Osangularia* also occurs in the basal PETM at Contessa Section, as documented for the first time in the

505   present paper (Fig. S2). Representatives of the genus *Osangularia* (*Osangularia* spp.) behaved

506   opportunistically in the PETM of the Tethyan Alamedilla section (Alegret et al., 2009a). Moreover,

507   Boscolo Galazzo et al. (2013) found small-size *Osangularia* within organic-rich levels immediately

508   following the Middle Eocene Climatic Optimum in the Alano section (in northeastern Italy). During the

509   Cretaceous OAEs *Osangularia* spp. opportunistically repopulated the sea floor during short-term re-

510   oxygenation phases (see references in Table 1). Although *Osangularia* is generally referred to as

511   preferring stable well oxygenated environments (e.g., Murray, 2006; Alegret et al., 2003), we suggest

512   that some extinct species of this genus could actually behave as opportunist and recolonizer.

513   Assemblage D contains almost equal abundances of calcareous and agglutinated taxa, indicating

514   that factors other than bottom water $CaCO_3$ concentration were controlling faunal variability within this

515   assemblage (Figs. 6, 7). Possibly, strongly enhanced runoff and sediment delivery can explain the

516   abundance of agglutinated taxa (40-60%), such as *Glomospira* spp. (e.g., Arreguín-Rodríguez et al.,

517   2013, 2014), above the first 10 cm of the CMU. We thus recognize a rapid succession of recolonizer

518   taxa during the first 12 kyr of the CIE (Assemblages C-D). The small size of both the agglutinated and

519   hyaline recolonizers is indicative of r-strategist species which reproduce quickly and can thus quickly

520   repopulate stressed environments, as soon as conditions improve slightly (e.g., Koutsoukos et al., 1990;

521   Thomas, 2003). The rapid pace at which different populations of recolonizers succeeded each other

522   indicates a highly unstable environment, with marked fluctuations in the amount, timing and quality of

523   the food reaching the sea floor. Sediment deposition during this interval may have occurred in rapid

524   pulses, e.g., following intense rainstorms, carrying refractory organic matter to the deep-sea

525   environment. Pauses between events may have allowed the benthic foraminifera to recolonize the

526   sediment, profiting of the abundance of food. This is consistent with calcareous nannofossil

527   assemblages showing an increase in *Ericsonia* and declines in abundance of *Sphenolithus, Octolithus,*

528   *Zygrablithus* and *Fasciculithus*, indicating an unstable and nutrient rich upper water column (Agnini et

al., 2007; Fig. 8). Archaeal biomarkers show a large influx of terrestrial, soil-derived organic matter (Branched and Isoprenoid Tetraethers or BIT Index) from the onset of the PETM up to ~+10 cm (Tipple et al., 2011). Higher plant *n*-alkane average chain length (ACL) decreased immediately after the onset of the CIE, consistent with increased humidity (Fig. 9; Tipple et al., 2011). The abundance of the clay mineral chlorite indicates enhanced physical erosion (Robert and Kennett, 1994) during deposition of the lower 50 cm of the CMU, rapidly decreasing upward (Fig. S3).

The greenish marly clays containing Assemblages C and D show primary lamination, indicating that macrobenthic invertebrates were absent, as at Dee and Mead Stream sections (New Zealand; Nicolo et al., 2010), and Zumaya (Spain; Rodriguez-Tovar et al., 2011). The presence of benthic foraminifera, however, indicates that bottom and pore waters were not permanently anoxic. Pore waters may have become dysoxic periodically due to high temperatures, decomposing organic matter and possibly enhanced water column stratification, leading to the absence of metazoans and stressed benthic foraminiferal assemblages. Low-pH sea-floor conditions may have also played a significant role in excluding macrobenthic fauna in this early phase of PETM at Forada. Deep-sea animals are highly sensistive to even modest but rapid pH changes (Seibel and Walsh, 2001), which are harmful even for infaunal deep-sea communities (Barry et al., 2004).

4.3.3 The core of the CIE and Recovery (Assemblages E, F)

The benthic foraminiferal assemblage changes significantly from Assemblage D to assemblage E, coinciding with the gradual reappearing of mottling (as thin reddish "flames" in the green sediment). Bolivinids return as a major faunal component (50%), and agglutinated taxa decrease in abundance. Peaks of tapered elongate calcareous forms, including *Siphogenerinoides brevispinosa*, "other buliminids" group, pleurostomellids and stilostomellids, replace the recolonizers (Figs. 5, 6). These groups could have been functioned as opportunistic taxa, able to flourish when food supply was periodically high (e.g., Table 1). Coinciding with Assemblage E, planktic foraminifera return to be a significant component of the microfossil assemblage (e.g., Luciani et al., 2007; Fig. 8), while radiolarians remain abundant throughout the CMU (Giusberti et al, 2007; Luciani et al., 2007). The planktic foraminiferal assemblage is dominated by acarininids, with a double peak of the excursion species *Acarinina sibaiyaensis* and *A. africana*, which, combined with the high percentages of the nannofossil *Ericsonia*, indicate warm and eutrophic surface waters (e.g., Ernst et al., 2006; Guasti and Speijer, 2007; Agnini et al., 2007; Luciani et al., 2007; Fig. 8).

559   Detrital hematite sharply increased in concentration at the onset of Assemblage E (Giusberti et al,

560   2007; Dallanave et al., 2010; 2012; Fig. 3). Hematite forms in soils under warm and dry conditions, and

561   an increase of hematite in marine sediments is considered indicative of an arid climate over the

562   adjoining land, with increased wind strength (Larrasoaña et al., 2003; Zhang et al., 2007; Itambi et al.,

563   2009), or humid to subhumid climates with seasonal drying (Torrent et al., 2006). It is delivered to the

564   deep-sea environment through river runoff or as aeolian dust (e.g., Zhang et al., 2007; Itambi et al.,

565   2009). Within the CMU, hematite shows cyclical fluctuations with a ~21 kyr periodicity, but other

566   terrigenous components (quartz and phyllosilicates) do not co-vary in abundance after a ~15% increase

567   at the onset of the CMU (Fig. 3). To explain the different abundance patterns, we interpret hematite as

568   wind-delivered, silicate minerals as runoff-delivered.

569   The hematite% peaks may be indicative of cyclical variability in wind-delivered material, rather

570   than the earlier prevailing consistently humid climate. The lithological anomaly of the CMU, the

571   fivefold increase in sedimentation rates and increase in reworked Cretaceous nannofossils (Agnini et

572   al., 2007; Fig. 8), as well as the silicate mineral and hematite% records all indicate marked fluctuations

573   in the hydrological regime throughout this interval. High hematite% may reflect the presence of high-

574   pressure cells over land, during an overall dry climate phase, with increased wind strength and dust

575   delivery to the sea (Larrasoaña et al., 2003; Zhang et al., 2007; Itambi et al., 2009). In contrast, low

576   values of hematite% may indicate periods of greater humidity and enhanced precipitation. Such

577   alternation of wet and arid phases favored deeper soil erosion on the continental areas surrounding the

578   Belluno basin (Thiry, 2000; Schmitz and Pujalte, 2003), causing major washouts during the wet phases,

579   which may explain the fivefold increase in sedimentation rates and 15% increase in phyllosilicate

580   abundance in the CMU (Fig. 3).

581   The hematite% cycles are in phase with cycles in $CaCO_3$%, radiolarian abundance, and bulk

582   carbonate $\delta^{13}C$, slightly preceding the others stratigraphically (Fig. 3). During the arid climate phase,

583   enhanced wind strength may have generated intense surface water mixing and offshore nutrient

584   upwelling, inducing increases in primary productivity and phytoplankton blooms. The blooms in

585   primary productivity resulted in deposition of abundant algal biomass, leading to the occurrence of

586   peaks of pleurostomellids, stilostomellids and *Siphogenerinoides brevispinosa* in Assemblage E.

587   Productivity may have remained fairly high during the wet periods, as indicated by consistently high

588   biogenic barium throughout the CMU (Giusberti et al., 2007; Paytan et al., 2007). During the rainy

periods, upwelling rates may have been lower, with nutrients mostly supplied in river runoff. The delivery of food to the seafloor may have been more continuous, but with more important input of refractory organic matter from land.

In contrast to these proxies, which show cyclity at precessional periods throughout the CMU, higher plant *n*-alkane average chain length (ACL) and δD vary only in its lowermost 50 cm (Tipple et al., 2011; Fig. 9). Possibly, the sedimentary *n*-alkanes were derived from a pool of plant material produced during subsequent wet and dry phases, so that ACL and δD may represent averaged records of leaf wax *n*-alkanes produced during different mean climate states in the upper CMU. Even so, the δD values within the CMU are on average ~15‰ lower than above and below (Fig. 9), as reported for the Cicogna section (10 km away; Krishnan et al., 2015), possibly reflecting more humid conditions/higher precipitation during the PETM wet times (e.g., Sachse et al., 2006; Smith and Freeman, 2006), or greater productivity of plant material during the wet phases. Alternatively, it may reflect a primary change in the isotopic composition of meteoric waters (Krishnan et al., 2015).

In the following benthic foraminiferal Assemblage F (upper CMU, recovery phase), *Siphogenerinoides brevispinosa* and *Tappanina selmensis* are less abundant, whereas *Bulimina tuxpamensis*, *Abyssammina* spp., and *Nuttallides truempyi* increase in relative abundance (Figs. 6, 7). These are typical deep-sea, open-ocean taxa which thrive under more oligotrophic conditions (e.g., Thomas, 1998), and might indicate progressively less intense or shorter primary productivity blooms during the arid phases, and/or mark the return to fully oxygenated sea-floor and pore water conditions. Less intense eutrophy at the transition from Assemblage E to F is further supported by calcareous plankton data, showing a decrease in the planktic foraminiferal excursion species, and among nannofossils, a decrease in *Ericsonia* (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). Conciding with the top of the CMU, there were marked changes in calcareous plankton assemblages, although benthic foraminiferal Assemblage F persisted. Among calcareous nannofossils the abundance of *Zygrablithus*, *Sphenolithus* and *Octolithus* increased, whereas that of reworked taxa decreased (Fig. 8). In the planktic foraminiferal assemblage, *Acarinina* species declined in abundance, and the fauna became more diverse, with fluctuations modulated by lithology in the marl-limestone couplets overlying the CMU (Fig. 8).

The lithological unit above the CMU consists of an alternation of limestones and marls at precessional frequencies (~21 kyrs; Fig. 2). These limestone-marl couplets persist for up to 8 meters

above the CMU (well beyond the top of the studied interval; Giusberti et al., 2007; Luciani et al., 2007), then gradually become less clearly expressed, fading upwards. The marl-limestone couplets may reflect the persistence of wet (marl)-arid (limestone) cycles for ~ 800 kyr after the end of the CMU deposition, though at an amplitude declining over time. This persistence resembles the extended (650 kyr) humid period, starting at the onset of PETM, recognized in the sediment record at Site 401 of eastern North Atlantic (Bornemann et al., 2014). Our benthic foraminiferal data agree with this interpretation, showing substantially unchanged sea-floor conditions up to +650 cm (uppermost sample analyzed).

4.4 Clues from Forada on PETM climate change

The integrated dataset collected at Forada supports the occurrence of enhanced climatic contrasts and productivity changes in the western Tethys during the PETM, and agrees with previous studies suggesting intense weather extremes at mid to subtropical latitudes (Fig. 10; Table S3). At the onset of the PETM, middle to subtropical latitudes may have been characterized by intense, monsoonal-type rainfall, followed by a succession of wet and arid phases, possibly precessionally paced, during the core of the PETM (e.g., Collinson et al., 2007; Kraus and Rigging, 2007; Egger et al., 2009; Foreman et al., 2014; Stassen et al., 2012a,b; 2015; Fig. 10 and Table S3). The Forada record allows to distinctly recognize the temporal successions among these distinct climatic phases up to 800 kyr after the onset of the PETM, and to directly relate them to the progression of the CIE, its recovery and termination. The climatic conditions inferred from the Forada section and other records at similar latitudes differ from those derived from the subtropical net evaporation zone (15°-35°N), (e.g., from the Tremp-Graus Basin - Pyrenees), which document a generally much drier climate with a brief interval of increased storminess and intense flash flood events at the onset of the PETM (Schmitz and Pujalte, 2007). Records from subtropical to mid-latitudes also differ from records within the northern rain belt and into the Arctic basin (>50°N), which suggest that humid conditions may have been more persistent there, with increased rates of precipitation, and on average moister conditions during the PETM (Pagani et al., 2006b; Sluijs et al., 2006; Harding et al., 2011; Dypvik et al., 2011; Kender et al., 2012; Wieczorek et al., 2013; Fig. 10; Table S3).

The combination of all these climatic records (Fig. 10; Table S3) suggests that the net result of increased weather extremes during peak-PETM might have been to decrease rainout at subtropical to mid latitudes, and increase moisture transport toward the high latitudes, as originally suggested by

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Pagani et al. (2006b). Few tropical records exist, so that precipitation changes here are less clear. Rainfall in coastal Tanzania may have decreased during the early PETM, but combined with violent precipitation events and floodings (Handley et al. 2008; 2012; Aze et al., 2014; Table S3). In Central America, conditions during the PETM may have shifted to more continuously humid (Jaramillo et al., 2010).

The long-lasting cyclity and precise chronology at Forada suggest that this enhanced climate variability at subtropical to mid latitudes may have lasted for several hundred of thousand years after the onset of the CIE. Despite the possible decrease of net rainout, these weather extremes persisting over several 10^5 kyrs may have significantly enhanced the rate of erosion and weathering, through the occurrence of alternating wet-dry periods. The weathering may have led to a decrease in atmospheric CO_2 levels, by consumption of CO_2 during weathering reactions. The increased supply of cations through enhanced weathering-erosion would have driven ocean pH up, and atmospheric CO_2 down (Broecker and Peng, 1982; Raymo et al., 1988; Zachos et al., 2005). Enhanced seasonal extremes across large geographical areas (the subtropical to mid latitudinal belt) thus might have been a response to the large CO_2 input at the Paleocene-Eocene transition, and may have had a primary role in restoring the carbon cycle to steady state.

6   Conclusions

The continuous and expanded record of benthic foraminifera across the PETM at Forada, integrated with the extensive datasets previously generated across this interval, may provide the most complete reconstruction of ecological and climatic changes during the Paleocene/Eocene thermal maximum in Europe. Coupled sedimentological, molecular and micropaleontological records highlight a complex sequence of environmental and climatic changes during the time period across the CIE:

- Climatic and oceanographic conditions started to change ~30 kyr before the onset of the PETM, with a possible precursor event.
- Our high-resolution benthic foraminiferal record combined with the established chronology lets us infer that the BEE in the central-western Tethys occurred over a time interval of not more than 4 kyr. At the onset of the PETM, combined de-oxygenation, acidification and environmental instability may have synergistically impacted deep sea life.

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678 -Four benthic foraminiferal assemblages occur (C-E and lower F) within the CMU (coinciding with the
679 main phase of CIE). Assemblage C is characterized by successive peaks of different agglutinated
680 recolonizers. Calcareous recolonizers return in the following Assemblage D, after calcium carbonate
681 saturation increased. The complex succession of peaks of agglutinated and hyaline recolonizers in these
682 two assemblages (C, D; 12.5 kyr), suggests multiple repopulation episodes. The benthic foraminiferal
683 data integrated with molecular and mineralogical data point to increased precipitation and strong
684 continental erosion during this short initial stage of the PETM.
685 - Within the core of the CIE, $\delta^{13}C$ and mineralogical properties such as hematite and calcium carbonate
686 wt % vary at precessional periodicity. Combined with data on radiolarian abundance and benthic
687 foraminiferal assemblage composition this variability suggests an alternation of overall wetter and drier
688 periods. Enhanced weather extremes during most of the PETM may have led to a decrease in total
689 precipitation over the central western Tethys.
690 - The benthic foraminiferal assemblage at Forada did not significantly change with the onset of the
691 deposition of marl-limestone couplets unit above the CMU (mid and upper third of Assemblage F).
692 This suggests that the enhanced climatic variability at precessional timescales persisted well after the
693 end of the CIE recovery. We argue that enhanced seasonal extremes at mid-latitudes might have been a
694 direct climate response to the huge CO_2 input at the Paleocene-Eocene transition, and may have had a
695 primary role in restoring carbon cycle steady state through links with the water cycle and weathering
696 rates.
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Acknowledgments

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Figures captions

Figure 1. Location of the Forada section in the context of the Piave River Valley in the Belluno Province (the "Valbelluna"), northeastern Italy.

Figure 2. Faunal and geochemical variations across the PETM at Forada section plotted against chronostratigraphy, precessional cycles, lithology, recognized benthic foraminiferal assemblages (A to G) and isotopic intervals. % agglutinated=agglutinated to agglutinated and calcareous hyaline ratio; % infaunal taxa=infaunal to infaunal and epifaunal ratio; simple diversity and Fisher-α diversity index; N/g=number of benthic foraminifera per gram (faunal density) in the >63 mm size fraction; coarse fraction (CF) calculated according to Hancock and Dickens (2005) as the weight percent of the >63 μm size fraction relative to the weight of the bulk sample; Fragmentation index (F-Index) is from Luciani et al. (2007). The gray bands indicate intervals of carbonate dissolution. α= pre-CIE dissolution, β=burndown layer, BFDI=benthic foraminiferal dissolution interval. Modified from Giusberti et al. (2007).

Figure 3. Summary of the main mineralogical, geochemical and cyclostratigraphic features recognized across the Paleocene-Eocene boundary and in the clay marl unit (CMU) of the Forada section and radiolarian abundance plotted against isotopic intervals and recognized benthic foraminiferal assemblages (A to F). N/g for the radiolarians refers to the number of radiolarians (>125 μm fraction) per gram of dry sediment. F-Index from Luciani et al. (2007). VPDB—Vienna Peedee belemnite standard. Modified from Giusberti et al. (2007).

Fig. 4. Stratigraphic distribution of benthic foraminiferal extinction taxa (CET) across the Paleocene/Eocene boundary in the Forada section plotted against lithology, δ^{13}C bulk record, CaCO$_3$ percentage, isotopic intervals and recognized benthic foraminiferal assemblages (A to F), based on data from the >63 μm size fraction integrated with data from >125 micron fraction. The gray bands indicate intervals of carbonate dissolution. Question marks: doubtful identification. Triangle: post BEE occurrence of one specimen of *Coryphostoma midwayensis* has been recorded in the sample BRI 300 (295 cm above the base of CMU).

Figure 5. Relative abundance of the most abundant benthic foraminiferal taxa across the PETM at Forada plotted against biostratigraphy, precessional cycles, lithology, δ^{13}C bulk record, recognized

benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution. α= pre-CIE dissolution, β=burndown layer, BFDI=benthic foraminiferal dissolution interval. "Other buliminids" group includes only representatives of the families Buliminidae, Buliminellidae and Turrilinidae (*Bulimina*, *Buliminella*, *Quadratobuliminella*, *Sitella* and *Turrilina*).

Figure 6. Relative abundance of selected benthic foraminifera across the PETM at Forada plotted against biostratigraphy, precessional cycles, lithology, δ13C bulk record, recognized benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution. α= pre-CIE dissolution, β=burndown layer, BFDI=benthic foraminiferal dissolution interval.

Figure 7. Enlargement of the interval from -1m to +2m across the P/E boundary at Forada showing the relative abundance of selected benthic foraminifera plotted against biostratigraphy, precessional cycles, lithology, δ13C bulk record, recognized benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution. α=Pre-CIE dissolution interval; β=burndown layer, BFDI=benthic foraminiferal dissolution interval.

Figure 8. Summary of main calcareous plankton (calcareous nannofossils and planktonic foraminifera) and benthic foraminiferal events and inferred environmental conditions (from Agnini et al., 2007; Luciani et al., 2007 and present work), isotopic intervals, thickness, precessional cycles and benthic foraminiferal assemblages (A to F) recognized in this work. The stratigraphic intervals containing assemblages A and B, C and D to F are considered as pre-extinction, extinction and repopulation intervals, respectively. Benthic foraminiferal zonation after Berggren and Miller (1989).

Figure 9. Stable carbon isotope ratios of higher plant n-alkanes (a), stable hydrogen isotope ratios of higher plant n-alkanes (b) with higher plant average chain length values (c) for Forada PETM plotted against isotopic intervals and recognized benthic foraminiferal assemblages (A to F). Terrestrial higher plant n-C27, n-C29, and n-C31 δD values are shown as crosses, closed circles, and triangles, respectively. Redrawn from data of Tipple et al. (2011).

Figure 10. Paleogeographic map (from http://www.odsn.de/odsn/services/paleomap/paleomap.html) at 55 Ma showing sites where paleohydrological reconstructions for the PETM are available. Numbers follow a north to south paleolatitudinal order. Blue dots indicate areas where an increase in precipitation has been inferred; Green dots indicate areas where an increase in climatic contrasts or a fluctuating precipitation regime have been inferred; Orange dots indicate areas where an increase in aridity has been inferred; Purple dots indicate areas where hydrological changes have been inferred but the pattern not specified. 1. Lomonosov Ridge, Arctic Sea; 2, 3. Spitsbergen Central Basin and Svalbard archipelago; 4. Central North Sea Basin; 5. Eastern North Sea Basin; 6. Williston Basin, western North Dakota, (USA) 7. Bighorn Basin, Wyoming (USA); 8. Rhenodanubian Basin, Austria; 9. Belluno Basin, northeastern Italy; 10. Aktumsuk and Kaurtakapy sections, Uzbekistan and Kazakhstan; 11. Dieppe-Hampshire Basin, France; 12. London Basin; 13. DSDP Site 401 Bay of Biscay, North-eastern Atlantic Ocean; 14. Western Colorado (USA); 15. New Jersey Coastal Plain (USA); 16. Central Valley of California (USA); 17. Basque Basin, northern Spain; 18. Tremp Basin, northern Spain; 19. Alamedilla section, southern Spain; 20. Tornillo Basin, Texas (USA); 21. Salisbury embayment, mid-Atlantic coastal plain (USA); 22. Gafsa Basin, Tunisia; 23. Zin Valley of Negev, Israel; 24. Dababiya section, Egypt; 25. Northern Neotropics, (Colombia and Venezuela); 26. TDP Site 14, Tanzania; 27. Tawanui section, North Island (New Zealand); 28. Clarence River valley, South Island (New Zealand); 29. Central Westland, South Island (New Zealand); 30. ODP Site 1172, East Tasman Plateau; 31. ODP Site 690 Weddell Sea, Southern Ocean. See Supplement Table S3 for references and additional information.

Table caption

Table 1. Summary of the known ecological preferences of selected benthic foraminifera, as evaluated from the literature, common at Forada.

Plates captions

Plate 1. SEM micrographs of the most representative Paleocene cosmopolitan extinction taxa (CET) occurring at Forada. 1. *Angulogavelinella avnimelechi*, spiral view (BRI-25.5); 2. *Angulogavelinella avnimelechi*, lateral view (BRI-185.5); 3. *Gavelinella beccariiformis*, umbilical view (BRI-75); 4. *Osangularia velascoensis*, spiral view (BRI-50,5); 5. *Anomalinoides rubiginosus* (BRI-9); 6. *Cibicidoides dayi* (BRI-37); 7. *Cibicidoides velascoensis*, spiral view (BRI-75,5); 8. *Cibicidoides*

velascoensis, lateral view (BRI-135.5); 9. *Cibicidoides hyphalus* (BRI-50,5); 10. "*Neoeponides*" *megastoma* (BRI-135); 11. *Gyroidinoides globosus* (BRI-50.5); 12. *Gyroidinoides quadratus* (BRI-185,5); 13. *Coryphostoma midwayensis* (BRI-50,5); 14. *Aragonia velascoensis* (BRI-50.5); 15. *Bolivinoides delicatulus* (BRI-135.5); 16. *Neoflabellina semireticulata* (BRI-365); 17. *Pullenia coryelli* (BRI-50,5); 18. *Remesella varians* (BRI-310.5); 19. *Clavulinoides globulifera* (BRI-25.5); 20. *Clavulinoides trilatera* (BRI-33); 21. *Clavulinoides amorpha*; 22. *Marssonella indentata* (BRI-25.5); 23. *Dorothia beloides* (BRI-260); 24. *Dorothia pupa* (BRI-105).

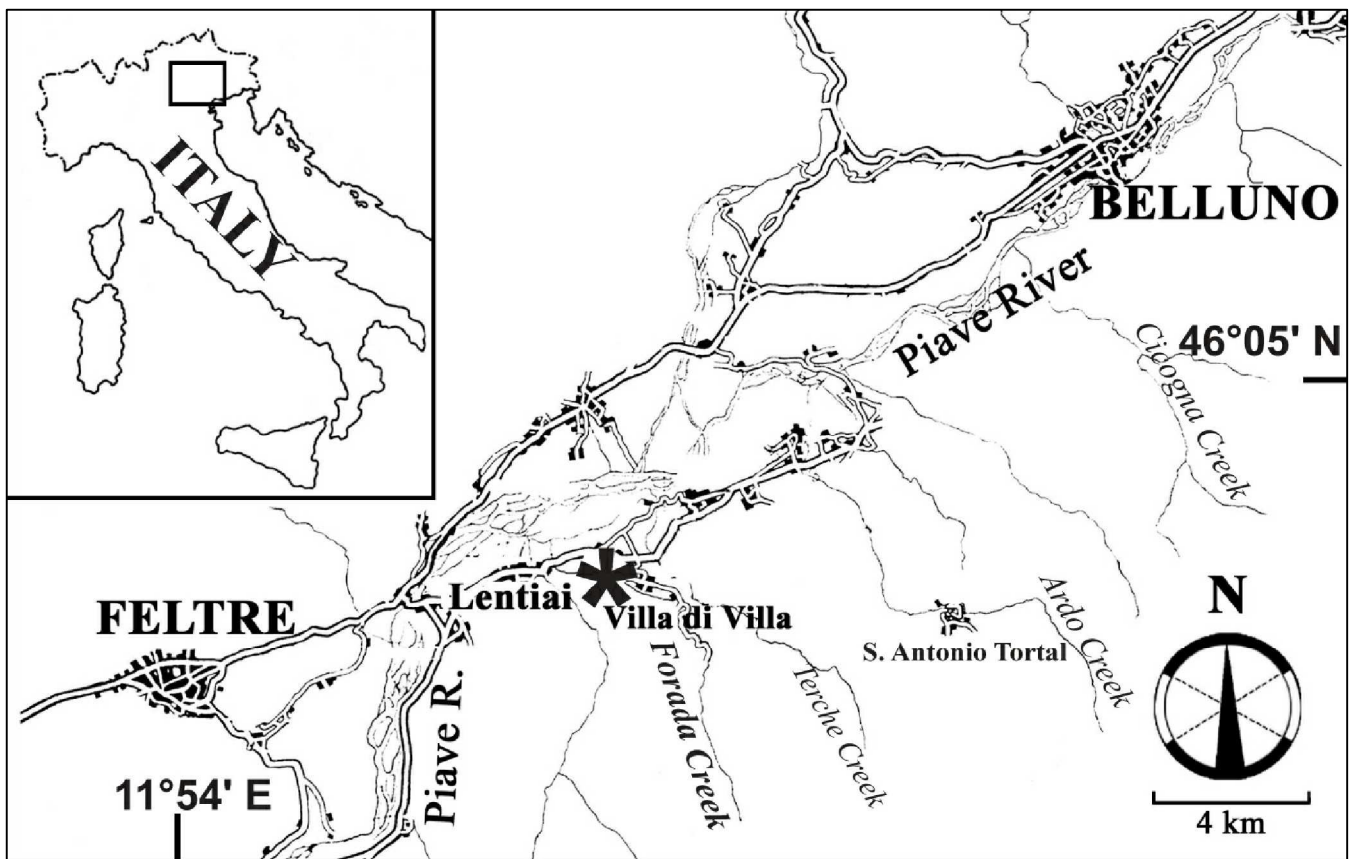Plate 2. SEM micrographs of the most representative species of the Eocene postextinction faunas occurring at Forada. 1. *Ammobaculites agglutinans* (BRI+10); 2. *Eobigenerina variabilis* (BRI+50); 3. *Eobigenerina variabilis* (BRI+50); 4. *Karrerulina conversa* (BRI+50); 5. *Karrerulina horrida* (BRI-25.5); 6. *Spiroplectammina navarroana* (BRI-33/7); 7. *Spiroplectammina spectabilis* (BRI+50); 8. *Rashnovammina munda* (BRI-50,5); 9. *Haplophragmoides* cf. *kirki.* (BRI+5); 10. *Saccammina placenta* (BRI-25.5); 11. *Glomospira irregularis* (BRI+35); 12. *Glomospira charoides* (BRI-75.5); 13. *Osangularia* sp. (BRI+15); 14. *Globocassidulina subglobosa* (BRI+15); 15. *Tappanina selmensis* (BRI+15); 16. *Tappanina selmensis* (BRI-9); 17. *Siphogenerinoides brevispinosa* (BRI-11); 18. *Siphogenerinoides brevispinosa* (BRI-365); 19. *Bulimina tuxpamensis* (BRI+150); 20. *Bulimina tuxpamensis* (BRI+150); 21. *Pleurostomella* sp. (BRI+150); 22. *Bolivina* sp. costate (BRI+385); 23. *Nuttallides truempyi* (BRI+150); 24. *Oridorsalis umbonatus* (BRI-135.5); 25. *Aragonia aragonensis* (BRI-105); 26. *Abyssammina poagi* (TAL7B).

Plate 3. SEM micrographs of the most representative taxa of the upper Paleocene-lower Eocene of Forada section. 1. *Quadratobuliminella pyramidalis* (BRI-75.5); 2. *Buliminella grata* (BRI-591); 3. *Bulimina midwayensis* (BRI+35); 4. *Bulimina alazanensis* (BRI +150); 5,6. *Bulimina trinitatensis* (BRI-9); 7. *Bolivinoides crenulata* (BRI-9); 8. *Bolivinoides crenulata* (BRI-25.5); 9. *Bolivinoides floridana* (BRI-410); 10 *Bolivina* sp. smooth (BRI-410); 11. *Bolivina* sp. smooth (BRI-410); 12. *Reussella* sp. (BRI-365); 13. *Angulogerina muralis* (BRI-75.5); 14. *Angulogerina muralis* (BRI-75.5); 15. *Angulogerina*? sp. (BRI-9); 16. *Angulogerina*? sp.(BRI-35.5); 17. *Rectobulimina carpentierae* (BRI-466); 18. *Allomorphina trochoides* (BRI-25.5); 19. *Quadrimorphina allomorphinoides* (TAL 7B); 20. *Cibicidoides eocaenus* (BRI-9); 21. *Anomalinoides* sp. 2 (BRI-135); 22. *Cibicides* sp. (BRI-591); 23. *Cibicidoides praemundulus* (BRI+150); 24. *Nonion havanense* (BRI-591).

Plate 4. SEM micrographs of some taxa of the upper Paleocene-lower Eocene of Forada section. 1. *Ammodiscus cretaceus* (BRI-29.5); 2. *Ammodiscus peruvianus* (BRI-9); 3. *Haplophragmoides walteri* (BRI-75.5); 4. *Haplophragmoides horridus* (BRI +35); 5. *Recurvoides* sp. (BRI -33/-37); 6. *Glomospira serpens* (BRI-260); 7. *Trochamminoides proteus* (BRI-25.5); 8. *Paratrochamminoides heteromorphus* (BRI+40); 9. *Glomospira* cf. *gordialis* (BRI +35); 10. *Gaudryina* sp. (BRI +15); 11. *Karrerulina coniformis* (BRI -135); 12. *Caudammina ovuloides* (BRI-260); 13. *Gaudryina pyramidata* (BRI-17.5); 14. Big-sized lituolid, apertural view (BRI-9); 15. *Hormosina velascoensis* (BRI-33/37); 16. *Pseudonodosinella troyeri* (BRI-260); 17. "*Pseudobolivina*" sp. 2 in Galeotti et al. (2004) (BRI+35); 18. *Pseudoclavulina trinitatensis* (BRI+150); 19. *Spiroplectammina spectabilis* (BRI-50.5); 20. Big-sized lituolid, lateral view (BRI-9).

Fig. 1

Fig. 2

Fig. 3

Fig. 4

Fig. 5

Fig. 6

Fig. 7

Fig. 8

Fig. 9

Fig. 10

55.0 Ma Reconstruction

Plate 1

Plate 2

Plate 3

Plate 4

1

100 μm

2

200 μm

3

100 μm

4

100 μm

5

100 μm

6

200 μm

7

400 μm

8

200 μm

9

100 μm

10

100 μm

11

100 μm

12

100 μm

13

200 μm

14

200 μm

15

200 μm

16

200 μm

17

100 μm

18

100 μm

19

100 μm

20

500 μm

Table 1.

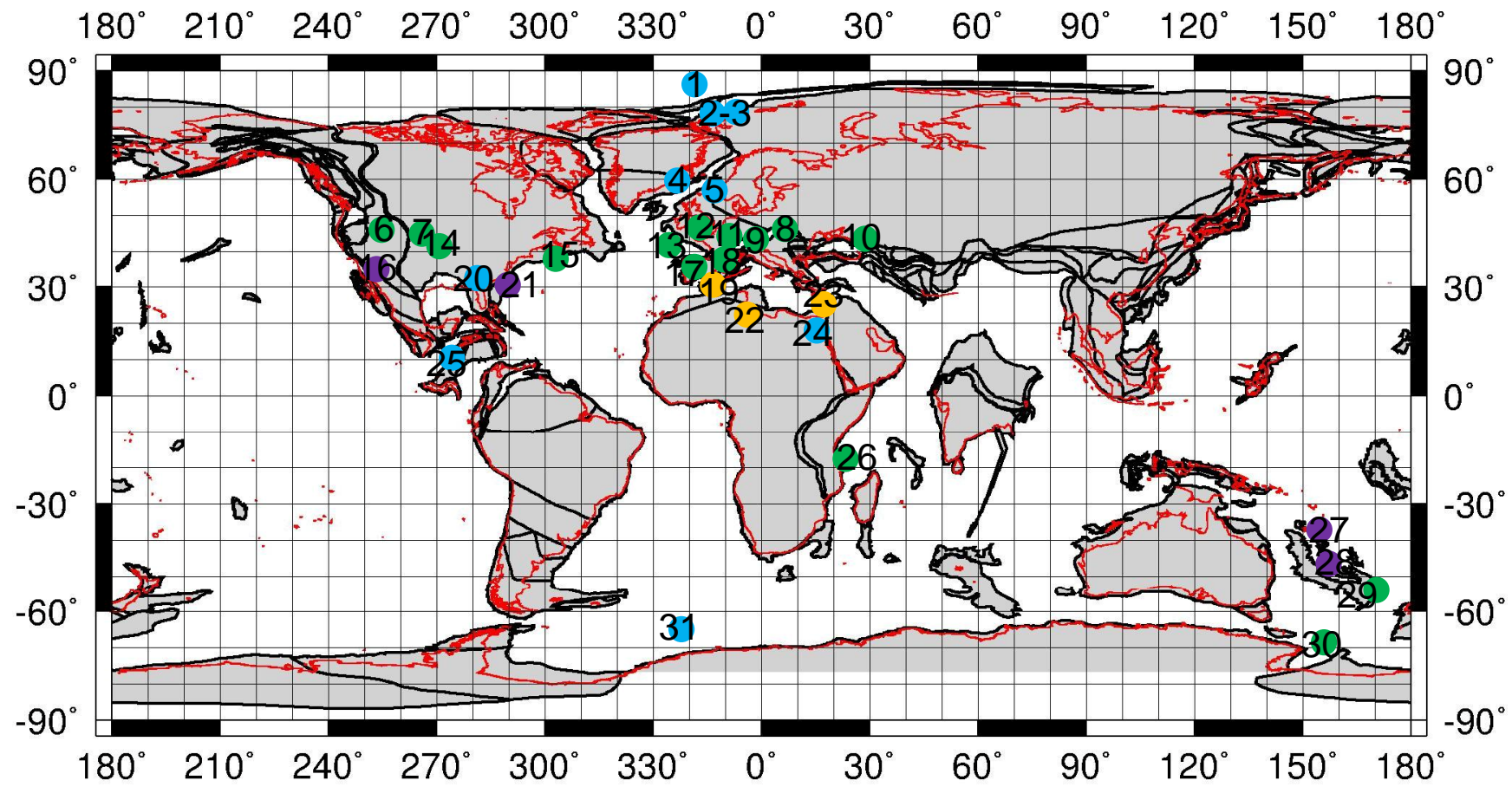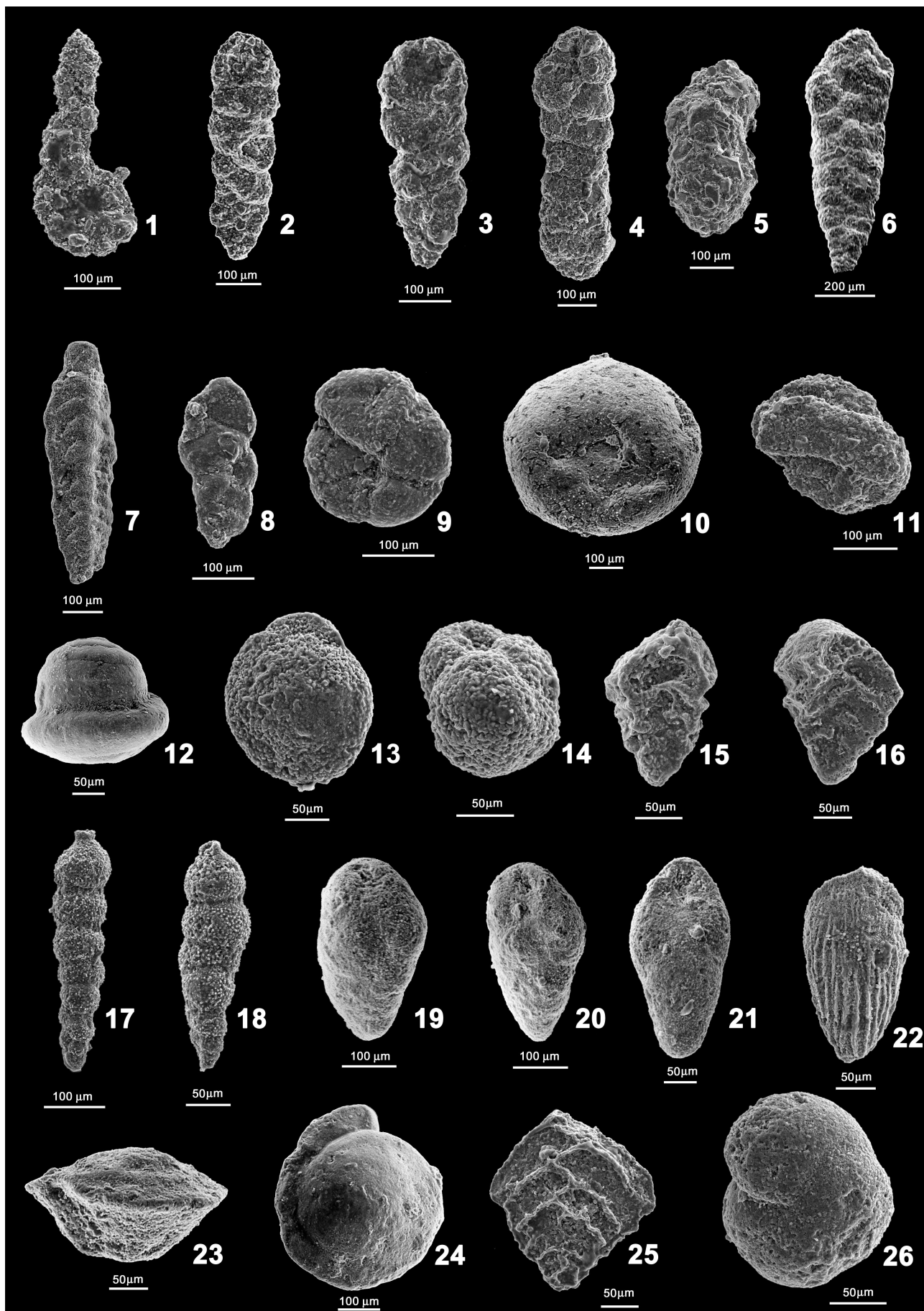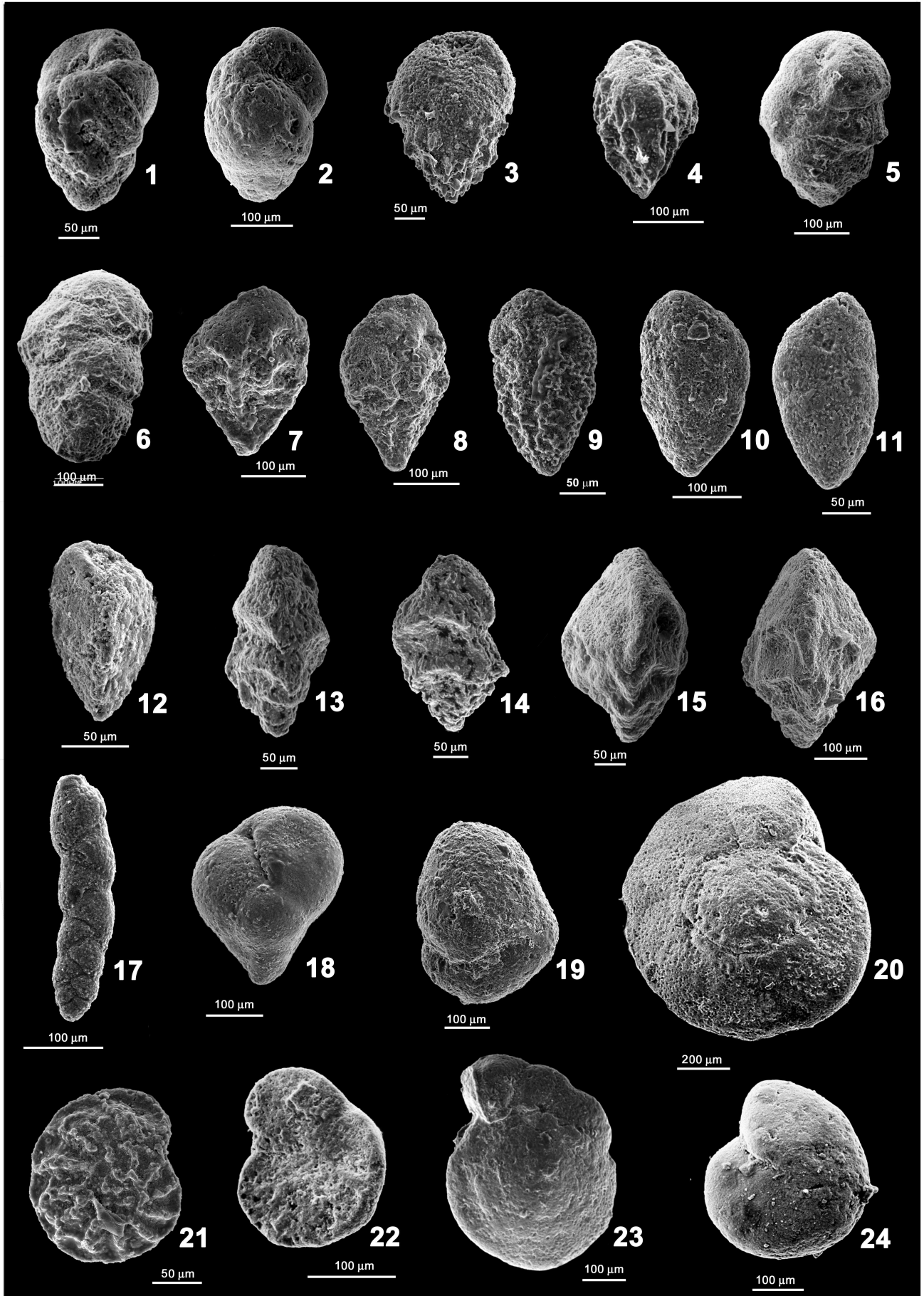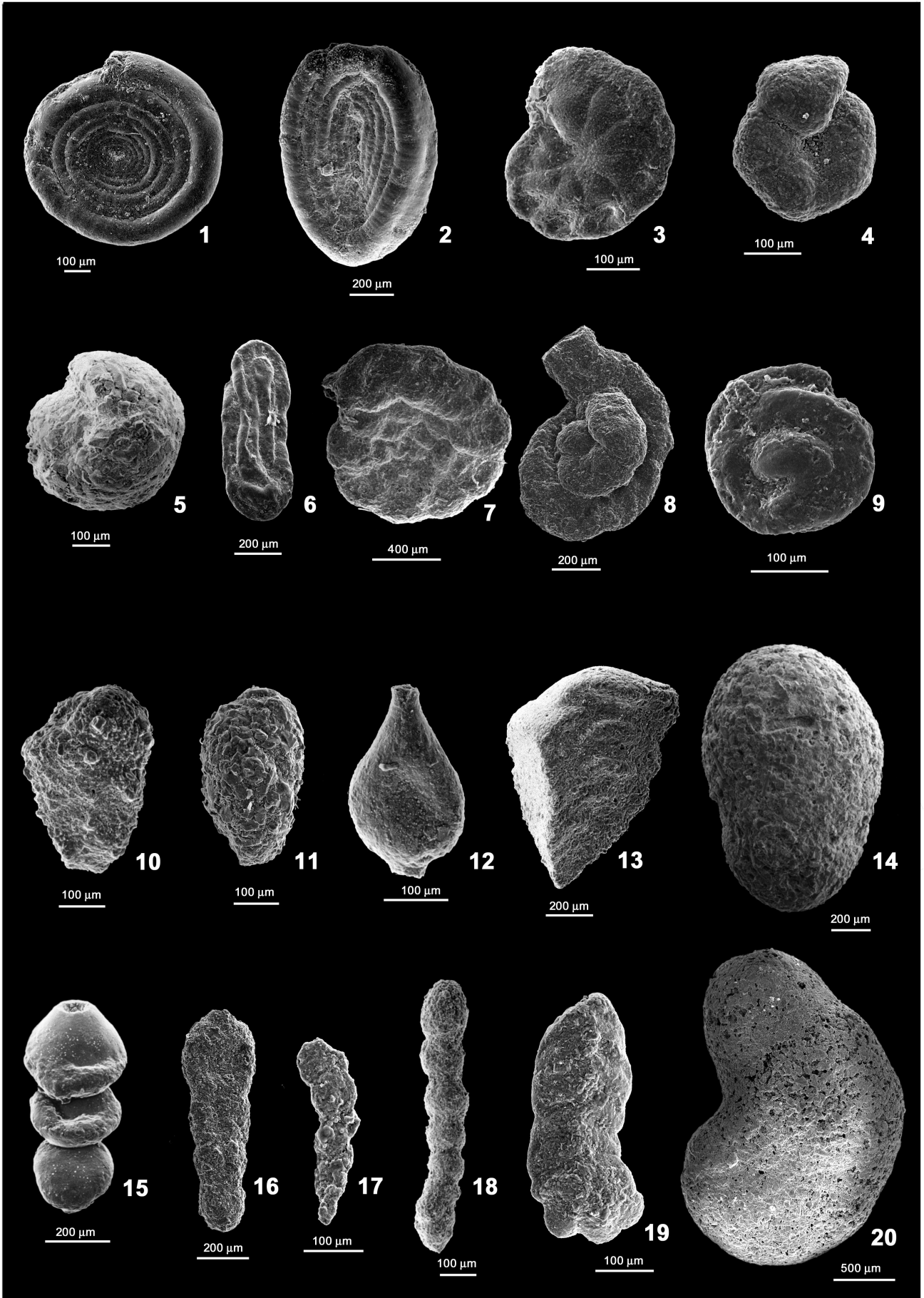| *Ammobaculites agglutinans*	Deep-infaunal recoloniser within the K/Pg boundary clay at Sopelana section (Spain). Adapted to low carbonate availability with high capability for dispersal and colonisation of abiotic substrates. Reported in present day slope high productivity areas.	Gooday, 2003; Gooday et al., 2001; Kuhnt and Kaminski, 1993.
Eobigenerina variabilis	Opportunist, able to live under low oxygen conditions. Dominant in the recovery faunas after the Cretaceous OAE2.	Cetean et al., 2008a,b. See also text.
Globocassidulina subglobosa	Cosmopolitan, highly adaptable, long-ranging opportunistic species. Modern representatives of this species described from a wide variety of environmental settings, including hydrate mounds. Possibly feeding on phytodetritus and reflecting pulsed food supply to the sea floor in oxygenated deepwater settings. Abundant at high southern latitudes where seasonality is extreme. At many sites it appears after the BEE and blooms as an opportunist.	Ernst et al., 2006; Gooday, 1993, 1994; Gupta and Thomas, 2003; Gooday et al., 2008; Ishman and Domack, 1994; Jorissen et al., 2007; Mohan et al., 2011; Murray and Pudsey, 2004; Nomura, 1995; Panieri and Sen Gupta, 2007; Sgarrella et al., 1997; Singh and Gupta, 2004; Suhr et al., 2003; Takata et al., 2010; Takeda and Kahio, 2007.
Glomospira spp.	Very abundant in the lowermost Eocene at several deep-water locations (the "*Glomospira* acme"). Generally oligotrophic indicators, they though could be indicative of an abundant supply of terrigenous, refractory organic matter, independent from local primary productivity. Resistant to carbonate dissolution and able to live in environments with low carbonate supply. High ecological tolerance: occur in environments subjected to rapid changes with fluctuating ecological conditions.	Arreguín-Rodríguez et al., 2013, 2014; Galeotti et al., 2004; Kaminski and Gradstein, 2005; Kaminski et al., 1996; Kuhnt and Collins, 1996; Ortiz, 1995; Waśkowska, 2011.
Haplophragmoides spp.	Representatives of the genus pioneer sediments just above anoxic OAE2 black shales in the abyssal North Atlantic that contain no benthic foraminifera. Commonly documented in the basal PETM dissolution interval of shelfal and bathyal Tethyan sections.	Alegret et al., 2005; Ernst et al., 2006; Friedrich, 2009; Kuhnt, 1992; Ortiz, 1995.
Karrrerulina conversa	Deep infaunal taxon peaking in the basal PETM at Zumaya (Spain). Resistant to carbonate dissolution and able to live in environments with low carbonate supply. Modern representatives are part of the oligotrophic biofacies on abyssal plains with well-oxygenated bottom and interstitial waters. Recognized in the lowermost Eocene of the Iberia Abyssal Plain.	Bąk, 2004; Kaminski and Gradstein, 2005; Kuhnt and Collins, 1996; Kuhnt et al. 2000; Ortiz, 1995; See text.
Oridorsalis umbonatus	Very long-ranging, extant taxon (since the Turonian-Coniacian). Opportunistic lifestyle. Reported both in oligotrophic and eutrophic environments. It may feed on phytodetritus. Shallow infaunal dweller, with very small tests but increased calcification just above the base of the PETM at Site 1263 (Walvis Ridge, SE Atlantic), where it dominates the assemblage.	Foster et al., 2013; Kaiho, 1998; Katz et al., 2003; Gooday, 1993, 1994; Gupta and Thomas, 1999; Gupta et al., 2008; Schmiedl, 1995; Schmiedl and Mackensen, 1997; Thomas and Shackleton, 1996; Wendler et al., 2013.
Osangularia spp.	Opportunistically repopulate the sea floor during short-term re-oxygenation phases of Cretaceous OAEs. Opportunistic phytodetritus feeders during OAE1b, thriving on an enhanced carbon flux to the sea floor and tolerating some degree of oxygen depletion. Peak of *Osangularia* spp. are reported across the PETM of the Alamedilla section (Spain).	Alegret et al., 2009a; Friedrich, 2009; Friedrich et al., 2005; Holbourn and Kuhnt, 2001; Holbourn et al., 2001. See also text.
Saccammina spp.	Recolonizer within the K/Pg boundary clay of the Sopelana section (Spain). Adapted to low carbonate availability with high capability for dispersal and colonisation of abiotic substrates. Common on modern productive continental margins.	Gooday et al., 2008; Kuhnt and Kaminski, 1993.
Siphogenerinoides brevispinosa	Typical of many open ocean sites in the aftermath of the peak CIE. Opportunist capable to rapidly colonize the sediment when productivity increases during environmental instability. At some locations it bloomed during the PETM and other hyperthermals, at others it had its highest occurrence in the lowermost part of the PETM.	Giusberti et al., 2009; Thomas, 1998, 2003, 2007; Thomas and Shackleton, 1996.
Spiroplectammina navarroana	Minor component of PETM postextinction faunas. At some locations common just after the K/Pg boundary.	Alegret et al., 2003; Alegret et al., 2009b; Ortiz, 1995.
Stilostomellids and pleurostomellids	Infaunal taxa widely distributed in oligotrophic and eutrophic regions with sustained or highly seasonal phytoplankton productivity. Tolerated warm, locally oxygen-depleted, carbonate-corrosive bottom waters, as demonstrated by their survival across the PETM. Across Cretaceous OAEs, pleurostomellids were found within black- shales. Possibly adapted to low-oxygen conditions, or able to rapidly recolonize the sea-floor during brief intervals of reoxygenation.	Coccioni and Galeotti, 1993; Friedrich, 2009; Friedrich et al., 2005; Hayward et al., 2010a,b, 2012; Holbourn and Kuhnt, 2001; Mancin et al., 2013.
Tappanina selmensis	Upper bathyal to outer shelf species in the Campanian and throughout the Paleocene. High-productivity, stress-tolerant and opportunistic species possibly thriving in continuously stressed, dysoxic sea bottom conditions. Common in the deep-sea only just before and especially following the BEE.	Alegret et al., 2009a; Boersma, 1984; D'haenens et al., 2012; Frenzel, 2000; Giusberti et al., 2009; Kuhnt, 1996; Kuhnt and Kaminski, 1996; Stassen et al., 2012a,b, 2015; Steineck and Thomas, 1996; Thomas, 1989, 1990, 1998; Thomas and Shackleton, 1996; van Morkhoven et al., 1986.

Variability in climate and productivity during the Paleocene/Eocene Thermal Maximum in the western Tethys (Forada section)

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Abstract

The Forada section (northeastern Italy) provides a continuous, expanded deep-sea record of the Paleocene/Eocene thermal maximum (PETM) in the central-western Tethys. We combine a new, high resolution, benthic foraminiferal assemblage record with published calcareous plankton, mineralogical and biomarker data to document climatic and environmental changes across the PETM, highlighting the benthic foraminiferal extinction event (BEE). The onset of the PETM, occurring ~30 kyr after a precursor event, is marked by a thin, black, barren clay layer, possibly representing a brief pulse of anoxia and carbonate dissolution. The BEE occurred within the 10 cm interval including this layer. During the first 3.5 kyr of the PETM, several agglutinated recolonizing taxa show rapid species turnover, indicating a highly unstable, $CaCO_3$-corrosive environment. Calcareous taxa reappeared after this interval, and the next ~ 9 kyr were characterized by rapid alternation of peaks in abundance of various calcareous and agglutinated recolonizers. These observations suggest that synergistic stressors, including deep water $CaCO_3$-corrosiveness, low oxygenation, and high environmental instability caused the extinction. Combined faunal and biomarker data (BIT index, higher plant *n*-alkane average chain length) and the high abundance of the mineral chlorite suggest that erosion and weathering

increased strongly at the onset of the PETM, due to an overall wet climate with invigorated hydrological cycle, which led to storm flood-events carrying massive sediment discharge into the Belluno Basin. This interval was followed by the core of the PETM, characterized by four precessionally paced cycles in $CaCO_3\%$, hematite%, $\delta^{13}C$, abundant occurrence of opportunistic benthic foraminiferal taxa, as well as calcareous nannofossil and planktonic foraminiferal taxa typical of high productivity environments, radiolarians, and lower $\delta D_{n\text{-alkanes}}$. We interpret these cycles as reflecting alternation between an overall arid climate, characterized by strong winds and intense upwelling, and an overall humid climate, with abundant rains and high sediment delivery (including refractory organic carbon) from land. Precessionally paced marl-limestone couplets occur throughout the recovery interval of the CIE and up to ten meters above it, suggesting that these wet-dry cycles persisted, though at declining intensity, after the peak PETM. Enhanced climate extremes at mid-latitudes might have been a direct response to the massive CO_2 input in the ocean atmosphere system at the Paleocene-Eocene transition, and may have had a primary role in restoring the Earth system to steady state.

1   Introduction

The Paleocene-Eocene Thermal Maximum (PETM) has over the last twenty four years attracted intensive study by the scientific community, as one of the most dramatic and rapid climatic disruptions of the Cenozoic (e.g., Kennett and Stott, 1991; Zachos et al., 2001; Sluijs et al., 2007a; McInerney and Wing, 2011; Littler et al., 2014). During the PETM (~55.6 Ma), the Earth's surface temperature increased by ~5°C in a few thousand years (McInerney and Wing, 2011; Dunkley-Jones et al., 2013; Zeebe et al., 2014; Bowen et al., 2015), and remained high for 100 to 170-200 kyr (e.g., Röhl et al., 2007; Giusberti et al., 2007; Murphy et al., 2010). The PETM is recognized in terrestrial and marine settings by a negative carbon isotope excursion (CIE; e.g., Kennett and Stott, 1991; Bowen et al., 2004), with variable magnitude ranging from ~2-4.5‰ in marine carbonates (e.g., Thomas and Shackleton, 1996; Bains et al., 1999; Thomas et al., 2002; Zachos et al., 2006; Handley et al., 2008; McCarren et al., 2008) to 4-7‰ in marine and terrestrial organic carbon and leaf waxes (e.g., Kaiho et al., 1996; Bowen et al., 2004, 2015; Pagani et al., 2006a; Smith et al., 2007; Handley et al., 2008; McCarren et al., 2008). This CIE is attributed to a massive, rapid input of isotopically light carbon into the ocean-atmosphere system, which destabilized the global carbon cycle and led to rapid and extreme

global warming (e.g., Dickens et al., 1997; Thomas and Shackleton, 1996; Pagani et al., 2006b; Panchuk et al., 2008; Dickens, 2011; DeConto et al., 2012). Both the source(s) of the carbon and the triggering mechanism(s) of the emissions are still strongly debated (e.g., Meissner et al., 2014), in part because the pattern and size of the CIE does not necessarily simply reflect the size and isotopic signature of the carbon input, but is affected by biotic and sedimentary processes (e.g., Kirtland Turner and Ridgwell, 2013). Despite these debates, the onset of the CIE is an outstanding global correlation tool (McInerney and Wing, 2011; Stassen et al., 2012b), formally used to define the base of the Eocene (Aubry et al., 2007).

The carbon cycle perturbation of the PETM led to acidification of surface ocean waters (Penman et al., 2014) and severe shallowing of the calcite compensation depth (CCD; Zachos et al., 2005; Kelly et al., 2010; Hönisch et al., 2012). Widespread carbonate dissolution coincided with the base of the CIE (e.g., Thomas and Shackleton, 1996; Thomas, 1998; Hancock and Dickens, 2005; McCarren et al., 2008). The ~~profound~~ paleoceanographic changes affected primary and export productivity (e.g., Thomas, 2007; Winguth et al., 2012; Ma et al., 2014), which in general increased in marginal basins and along continental margins, but decreased in open oceans (e.g., Gibbs et al., 2006; Stoll et al., 2007; Speijer et al., 2012). The higher ocean temperatures may have led to increased remineralization of organic matter in the oceans due to increased metabolic rates (John et al., 2013, 2014; Boscolo Galazzo et al., 2014; Ma et al., 2014). The combination of increased remineralization, higher temperatures and increased ocean stratification led to a decrease of oxygen levels in bottom waters regionally, especially along continental margins (including the Arctic Ocean) and in the Atlantic Ocean (e.g., Benjamini, 1992; Speijer et al., 1992; Gavrilov et al., 1997; Thomas, 2007; Chun et al., 2010; Speijer et al., 2012; Winguth et al., 2012; Nagy et al., 2013; Wieczorek et al., 2013; Dickson et al., 2014; Pälike et al., 2014; Post et al., 2016), while Oxygen Minimum Zones in open oceans expanded globally (Zhou et al., 2014), including at Forada (Luciani et al., 2007).

The increased primary productivity in marginal basins has been linked to increased influx of nutrients from the continents, caused by increased erosion and weathering due to intensification of the hydrological cycle, because precipitation is correlated to globally-averaged surface temperatures (e.g., Pierrehumbert, 2002). A widespread increase in kaolinite in PETM sediments has been related to the global increase in precipitation and intensity of chemical weathering (e.g., Robert and Chamley, 1991; Robert and Kennett, 1994; Kaiho et al., 1996; Gibson et al., 2000), as also suggested by Os-isotope evidence (Ravizza et al., 2001; Wieczorek et al., 2013). However, reconstruction of hydrological

3

92   changes from clay mineral assemblages is complex, and additional evidence is needed (Thiry, 2000;

93   Schmitz and Pujalte 2003; 2007; Egger et al., 2003; 2005; Handley et al., 2012).

94   The severe climatic perturbations of the PETM profoundly affected terrestrial and marine

95   ecosystems, triggering faunal and floral radiations and migrations (e.g., Kelly et al., 1996; Bralower,

96   2002; Gingerich, 2003; Wing et al., 2005; Sluijs et al., 2007a; Jaramillo et al., 2010; McInerney and

97   Wing, 2011). Deep-sea benthic foraminifera experienced the most severe extinction of the Cenozoic,

98   the benthic foraminiferal extinction event (BEE) (Thomas, 1989, 1990, 1998; Kennett and Stott, 1991;

99   Thomas and Shackleton, 1996; Alegret et al., 2009a, b; 2010). The BEE was rapid (<10 kyr; Thomas,

100   1989, 2003, 2007), and wiped out the Cretaceous bathyal and abyssal "Velasco-type fauna" (Berggren

101   and Aubert, 1975; Tjalsma and Lohmann, 1983; Thomas, 1998, 2007), marking a significant step

102   towards the establishment of modern benthic foraminiferal fauna (Thomas, 2007). The extinction was

103   far less severe in shelf environments (Gibson et al., 1993; Speijer, 2012; Stassen et al., 2015).

104   The cause of this global extinction remains under debate, because neither anoxia nor higher or

105   lower productivity, nor carbonate dissolution occurred globally at bathyal to abyssal depths in the deep

106   sea, the largest habitat on Earth (e.g., Thomas, 2003, 2007; Alegret et al., 2010), and benthic

107   foraminifera are highly efficient dispersers (Alve and Goldstein, 2003). The link between the

108   environmental changes during the PETM and the benthic foraminiferal extincton event thus remains

109   poorly understood. A common obstacle to perform detailed high-resolution studies of the PETM in

110   deep-sea sediments is the fact that many records are condensed or discontinuous, especially across the

111   few thousand years (Zeebe et al., 2014) of the onset of the carbon isotope excursion. The Forada

112   section (northeastern Italy) represents an outstanding exception in that it contains an expanded deep-sea

113   record of the PETM, which has been extensively studied because of its continuity and cyclostratigraphy

114   (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007; Tipple et al., 2011; Dallanave et al.,

115   2012). Carbonate dissolution is less severe at Forada than in many other sections, with calcareous

116   benthic foraminifera present for most of the interval characterized by the CIE (> 4 m; Giusberti et al.,

117   2007). Given the limited number globally of complete and expanded deep-sea PETM sections, the

118   Forada section represents an invaluable opportunity to investigate the environmental impacts of the

119   PETM and repercussions on deep-sea fauna.

120   We provide a high-resolution benthic foraminiferal record for the Forada section, in order to

121   reconstruct the progression (tempo and mode) of environmental and biotic changes during the PETM.

122   These data allow us to reconstruct the environmental disruption and the benthic foraminiferal response

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to PETM warming in detail, and document the community recovery. Benthic foraminiferal data are integrated with sedimentological and geochemical data (Giusberti et al., 2007; Tipple et al., 2011), and data on calcareous plankton communities (Agnini et al., 2007; Luciani et al., 2007), providing perhaps the most complete reconstruction across the PETM in Europe todate.

We pay homage to research by Italian researchers (Di Napoli Alliata et al., 1970; Braga et al., 1975), who first described the benthic foraminiferal turnover across the Paleocene-Eocene transition in Italy.

2   Materials and methods

2.1   The Forada section

The Forada section (46.036083˚N, 12.063975˚E) is exposed along the Forada creek, ~ 2 km east of the village of Lentiai (Fig. 1) in the Venetian Pre-Alps (NE Italy). It consists of ca. 62 m of Scaglia Rossa, pink-reddish limestones and marly limestones, locally rhythmically bedded, and encompassing the Upper Cretaceous through the lower Eocene (Fornaciari et al., 2007; Giusberti et al., 2007). The upper Paleocene–lower Eocene succession is interrupted by the clay marl unit (CMU; Giusberti et al., 2007), which marks the PETM and correlates with clay-rich units on other continental margins (e.g., Schmitz et al., 2001; Crouch et al., 2003; John et al., 2008; Nicolo et al., 2010). The investigated interval has been subdivided into four sub-intervals based on the δ^{13}C record in bulk rock (Giusberti et al., 2007). From bottom to top, these are the pre-CIE, the main CIE, the CIE recovery and post-CIE (Fig. 2). The main CIE (Giusberti et al., 2007; Figs. 2, 3) occurs in the >3 m-thick CMU, within which are recorded the short-lived occurrences of the calcareous plankton "excursion taxa" (Kelly et al., 1996, 1998) and the BEE (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007). Sedimentation rates in the CMU were five times higher than in the upper Paleocene, indicating increased continental weathering and run-off, which led to increased sediment influx in the Belluno Basin (Giusberti et al., 2007).

2.2   Benthic foraminifera

Benthic foraminiferal assemblages were studied in 54 samples from the same set studied by Luciani et al. (2007) across an ~11 meter-thick interval straddling the PETM (-467 to +591.5 cm; Fig.

2), which reflects ~ 800 kyr (Giusberti et al., 2007). In this study the planktic foraminifera fragmentation index (F Index) of Luciani et al. (2007) is used as a proxy for dissolution (Figs. 2, 3) (Hancock and Dickens, 2005). The sample spacing for benthic foraminiferal assemblage analysis was determined based on biostratigraphic and cyclostratigraphic data (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007). A sampling interval of 3–5 cm was used across the onset of the CIE (-42.5 to + 50 cm interval), a 25 cm sample interval over the main CIE (from +75 to 335 cm). Below -42.5 cm and above 335 cm we adopted a spacing between 20 and 50 cm. Samples were collected excluding, to the extent possible, bioturbated material. Further selection and removal of bioturbated material was carried out in the laboratory before sample processing. Data previously collected from the Forada section indicate that significant bioturbation effects are not present (e.g., Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007).

Foraminifera were extracted from the indurated marls and limestones using the "cold acetolyse" technique of Lirer (2000), following Luciani et al. (2007). Soft marly and clayey samples (mostly from the CMU interval) were disaggregated using a 10–30% solution of hydrogen peroxide. The samples with the lowest content of $CaCO_3$ (e.g., clays of basal CMU) were treated with diluted hydrogen peroxide (10%), in order to prevent possible additional breakage of tests (especially of planktic foraminifera). For more details on the comparison between the two methods of preparation (cold acetolyse versus hydrogen peroxide), we refer to Luciani et al. (2007).

The quantitative study of benthic foraminifera was based on representative splits (using a micro-splitter Jones, Geneq Inc.) of approximately 200–400 individuals >63 μm and <500 μm (Table S1). The use of the small-size fraction is time-consuming and presents difficulties in taxonomic determination, but we preferred to avoid the loss of small taxa, which are important for paleoecological investigations (e.g., Thomas 1985; Boscolo Galazzo et al., 2013; 2015), especially directly after the BEE when small species are dominant (Thomas, 1998; Foster et al., 2013). Between 0 and -222 cm (uppermost Paleocene), the fraction ≥125 μm of at least 1/4 of the residue was carefully scanned for large specimens of the extinction taxa, here labeled "Cosmopolitan Extinction Taxa" (CET) (see Thomas, 1998, 2003). These CET records have been treated qualitatively (Fig. 4). The extinction taxa include: *Anomalinoides rubiginosus*, *Angulogavelinella avnimelechi*, *Aragonia velascoensis*, *Bolivinoides delicatulus*, *Cibicidoides dayi*, *C. hyphalus*, *C. velascoensis*, *Clavulina amorpha*, *Clavulinoides trilatera*, *Clavulinoides globulifera*, *Coryphostoma midwayensis*, *Dorothia beloides*, *D. bulletta*, *D. pupa*, *D. retusa*, *Neoeponides megastoma*, *Gavelinella beccariiformis*, *Gyroidinoides globosus*, *G.*

183 *quadratus*, *Marsonella indentata*, *Neoflabellina jarvisi, N. semireticulata*, *Nuttallinella florealis*,
184 *Osangularia velascoensis*, *Paralabamina hillebrandti*, *Pullenia coryelli*, *Remesella varians* (e.g.,
185 Beckmann, 1960; Von Hillebrandt, 1962; Tjalsma and Lohmann, 1983; Speijer et al., 1996; Thomas,
186 1998), each of which is present at Forada.

187   We identified most common taxa at the species level (Table S2). Taxa with high morphological
188 variability and/or variable preservation were identified at generic or higher taxonomic level. Specimens
189 of the most representative taxa were imaged using the SEM at the C.U.G.A.S. (Centro Universitario
190 Grandi Apparecchiature Scientifiche) of Padova University (Plates 1-4). Relative abundances of the
191 taxa and taxon-groups, along with faunal indices such as the calcareous-agglutinated ratio, the infaunal-
192 epifaunal ratio, and bi-triserial percentage were calculated (Figs. 2, 5-7 and Fig. S1). The absolute
193 abundance (N/g: number of benthic foraminifera per gram-bulk dried sediment) was calculated for both
194 the ≥63 and ≥500 μm fractions. Faunal diversity indices (Species diversity and Fisher-α; Fig. 2) were
195 calculated using the PAST package (Hammer et al., 2001). Segments belonging to tubular/branched
196 agglutinated forms (e.g., *Rhizammina, Rhabdammina, Bathysiphon*) were counted, but excluded from
197 calculations because there is no reliable method to convert the abundance of multiple fragments into
198 that of single individuals (Ernst et al., 2006).

199   We assigned species to epifaunal and infaunal morphotypes by comparing their test morphology to
200 the morphotypes in Corliss (1985), Jones and Charnock (1985), Corliss and Chen (1988), Kaminski
201 and Gradstein, (2005), Hayward et al. (2012), and Mancin et al. (2013). However, caution is needed in
202 applying taxonomic uniformitarianism due to our limited knowledge of the biology and ecology of the
203 highly diverse living species. Even for many living species, the relation between test morphology and
204 microhabitat has not been directly observed, but is extrapolated from data on other taxa (e.g., Jorissen,
205 1999). The assignment of modern foraminifera to microhabitats based on their morphology may be
206 accurate only about 75% (Buzas et al., 1993): comparisons between past and recent environments thus
207 need careful evaluation, and cross correlation between benthic foraminiferal and other proxy data. The
208 ecology as evaluated from the literature (Table 1) is shown for selected benthic foraminiferal taxa from
209 the PETM interval at Forada.

210

211 **2.3   Age model**

212   The age model used for calculating the longevity of benthic foraminiferal assemblages (see below)

213   follows Luciani et al. (2007), with the lower Eocene chronology based on the cyclostratigraphic age

214   model of Giusberti et al. (2007; Fig. 3). The duration of each precessional cycle has been assumed to be

215   21 kyr. Sedimentological and geochemical parameters oscillate cyclically within the main CIE, in at

216   least five complete precessional cycles (Figs. 2, 3). The CIE recovery interval is composed of six

217   distinct, precessional marly-limestone couplet cycles (Fig. 3). The recognition of eleven cycles in the

218   combined CIE and recovery interval implies an estimate of the total duration of the CIE of ca. 230 kyr

219   (Fig. 3). Giusberti et al. (2007) and Röhl et al. (2007) disagree on the duration of the main CIE and

220   recovery interval (179±17 kyr and 231±22 kyr, respectively). The main difference between these two

221   chronologies is the assignment of different numbers of precessional cycles within the main body and

222   recovery interval (Tipple et al. 2011). A [3]He-based chronology for Site 1266 (Walvis Ridge) suggests a

223   total PETM duration of 234 +48/−34 kyr (Murphy et al., 2010), in line with the age model of Giusberti

224   et al. (2007).

225   Lithological cycles have not been firmly identified in the Paleocene part of the section, and

226   sedimentation rates are interpolated between the base of the PETM at ±0 cm and the lowest occurrence

227   of the calcareous nannofossil *Discoaster multiradiatus* at ca.−12.5 m (Giusberti et al., 2007), using a

228   duration of the time between these events of 1.238 Myr (Westerhold et al., 2007). In this age model, the

229   investigated portion of Forada section spans ca. 800 kyr.

230

231   **3   Results**

232   Benthic foraminiferal assemblages are generally dominated by calcareous hyaline taxa (85-90%;

233   Fig. 2), but agglutinated taxa significantly increase in abundance within the CMU (25-90%; Fig. 2).

234   Infaunal taxa strongly dominate the assemblage throughout the studied interval (~80%). Faunal

235   diversity is fairly high, particularly in the upper Paleocene (Fig. 2), and preservation is generally

236   moderate, though poor within the lowermost centimeters of the Eocene. Most foraminiferal tests at

237   Forada are recrystallized, and totally or partially filled with calcite.

238   Composition and abundance of the assemblages change prominently across the ca. 11 m-thick

239   interval investigated (Figs. 2, 5-7) coeval with the geochemical signature of the PETM, and broadly

240   coincident with the main lithological changes. We recognized six successive benthic foraminiferal

assemblages (labeled A to F; Figs. 2, 5-8), mainly based on changes in abundance of the taxa listed in Table 1. Assemblages A and B are characteristic of the dominantly reddish calcareous marls mottled by greenish "flames" of the uppermost Paleocene, separated by the thin, barren clay layer from Assemblages C, D and E, which occur in the first half of the main excursion of the CIE (lowermost Eocene), within the CMU (basal green laminated clays overlied by mottled reddish clays, marly clays and marls). Assemblage F characterizes the marls of the upper half of the CMU, as well as the CIE recovery interval and the overlying post-excursion interval of reddish limestone–marl couplets (Giusberti et al., 2007).

3.1.1 Assemblage A: the upper Paleocene fauna

Assemblage A (-467.5 to -37.5 cm, estimated duration >430 kyr) has a high diversity, with abundant infaunal taxa (ca. 70-80%; Fig. 2). Small bolivinids (<125 μm) of the *Bolivinoides crenulata* group (Plate 3, Figs. 7-9), and smooth-walled *Bolivina* spp. together comprise 50-60% of the > 63 μm fauna (Fig. 5), with *Siphogenerinoides brevispinos*a (~10%) and other buliminids less common (Figs. 5, 6). Epifaunal morphotypes are mainly represented by small cibicidids (10%), *Anomalinoides* spp. (5%) and *Cibicidoides* spp. (usually <5%; Fig. 5). Rare taxa include reussellids, angulogerinids, nodosariids, dentalinids, gyroidinids, valvalabaminids and unilocular hyaline taxa (Fig. S1). Agglutinated taxa are mainly represented by *Spiroplectammina spectabilis*, *Trochamminoides* spp., *Paratrochamminoides* spp., *Reophax* spp. and *Subreophax* spp. The Paleocene Cosmopolitan Extinction Taxa (CET; Plate 1) are not a major component of the assemblage >63 μm (<10%; Fig. 6), but are common to abundant in the size fraction >125 μm (>20%). Many of these have large, heavily calcified tests. The most common taxa include *Gavelinella beccariiformis*, *Pullenia coryelli* and *Coryphostoma midwayensis* (Table S1). CET such as *Clavulinoides globulifera*, *Cibicidoides dayi* and *Cibicidoides velascoensis* are common in the >500 μm size fraction, together with trochamminids and large lituolids (Plate 1, Figs. 19, 6-8; Plate 4, Figs. 7, 8, 14, 20). The latter occur up to the top of the Paleocene, but are absent in the Eocene. At -261.5 cm, the Cosmopolitan Extinction Taxa (CET) peak at 15%, their maximum abundance in the studied section (Fig. 6). At the same level, peaks of large, stout, heavily calcified taxa (e.g., *Cibicidoides* and anomalinids) co-occur with agglutinated taxa (*Glomospira*, *Spiroplectammina* and *Haplophragmoides*, Figs. 6, 7), whereas small, thin-walled forms such as bolivinids, *Siphogenerinoides brevispinosa* and cibicids decline markedly in relative abundance

(Figs. 5-7). Faunal density (N/g), diversity and the percentage abundance of infaunal morphotypes decrease (Fig. 2), as do $\delta^{13}C$ and $CaCO_3\%$, whereas the planktonic foraminiferal fragmentation index (F Index) increases significantly (Fig. 2). The upper boundary of this assemblage is defined by the increase in abundance of the opportunistic taxa *Tappanina selmensis* and *Siphogenerinoides brevispinosa*, marking the onset of Assemblage B.

3.1.2 Assemblage B: the pre-CIE Paleocene fauna

Assemblage B occurs at -31 to 0 cm, estimated duration ~ 34 kyr. At about -20 cm the lithology shifts from reddish to greenish marls with *Zoophycos* and *Chondrites* (intervals Pa I and II of Giusberti et al., 2007). In this assemblage, *Siphogenerinoides brevispinosa* and *Tappanina selmensis* increase in relative abundance compared to Assemblage A (>10% at ~-27 and –12 cm; Figs. 6, 7). Between the two peaks of *S. brevispinosa* (at about ~-20 cm; Figs. 6, 7), there is a transient negative carbon isotope excursion of about 1‰, a drop in $CaCO_3$ from 60 to 40%, a decline in the coarse fraction to 2%, and a peak in the F-Index (85-90%; Figs. 2, 3). Small and thin-walled taxa such as bolivinids, cibicidids and *S. brevispinosa* decrease markedly in relative abundance, whereas big, heavily calcified taxa (e.g., Cosmopolitan Extinction Taxa, *Cibicidoides* spp., *Nuttallides truempyi*) and agglutinated forms increase (Figs. 5-7). In addition, faunal density drops, as does the percentage of infaunal taxa (from 90% to 50%), and diversity increases (Fig. 2). From -4.5 cm upwards, the preservation of benthic foraminifera deteriorates, while the F Index reaches 100% (Figs. 2, 3). At -1.5 cm preservation worsens and most bi-triserial taxa decline in abundance drastically, whereas benthic foraminiferal absolute abundance and $CaCO_3\%$ both decrease (Fig. 2). Faunal diversity peaks, and anomalinids, *Cibicidoides* spp., *N. truempyi*, *O. umbonatus* as well as agglutinated forms increase markedly in relative abundance (Figs. 2, 5, 6). In the uppermost Paleocene sample, we see the highest occurrence of most CET (Figs. 4, 6). Few CET (e.g., *Aragonia velascoensis*) disappear below this sample (Fig. 4). These are generally rare, occurring discontinuously throughout the Paleocene, even in large samples of residue >125 µm (Fig. 4). The uppermost occurrence of the CET defines the upper boundary of this assemblage, at the base of the black clay layer.

3.1.3 The black clay

297   The lowermost Eocene is a thin, black clay layer (0 to +0.3 cm), slightly enriched in organic
298   carbon, and carbonate-free (Giusberti et al., 2007; Figs. 3, 8). This clay marks the base of the CMU,
299   and contains a few specimens only, agglutinated benthic foraminifera of the genera *Haplophragmoides*
300   and *Recurvoides* (10 specimens in 22 g washed sediment). It probably was deposited over less than a
301   millennium, in view of its small thickness and place within the precessionally paced cycles in the
302   PETM.

3.1.4 Assemblage C: basal CIE agglutinated fauna

304   We label this lowermost Eocene interval (lowermost 10 cm of laminated green clays of CMU;
305   estimated duration ~3.5 kyr) the BFDI (i.e., benthic foraminiferal dissolution interval), sediment with
306   low $CaCO_3$ wt % (~15%), and the most negative $\delta^{13}C$ values in bulk carbonate (-2‰). Assemblage C is
307   dominated by agglutinated taxa (about 90%; Fig. 2) with badly preserved and deformed tests. Tests of
308   calcareous-hyaline forms are rare, partially dissolved and fragmented. Assemblage C has minimum
309   values of faunal density (<5), diversity, and wt% coarse fraction (Fig. 2). Infaunal morphotypes have
310   their lowest abundance (ca. 36%; Figs. 2, 6). Agglutinated foraminifera are mainly represented by
311   *Eobigenerina variabilis* (25%; Plate 1, Figs. 2, 3), *Haplophragmoides* spp. (20%), *Glomospira* spp.
312   (15%), *Saccamina* spp. (10%) and *Spiroplectammina navarroana* (~ 8%; Plate 2, Fig. 6). In its upper
313   part, Assemblage C has high abundances of *Karrerulina* spp. (~20%; *K. conversa*; Plate 2, Fig. 4) and
314   *Ammobaculites agglutinans* (10%; Plate 2, Fig. 1). The latter taxa occur at relatively high abundance in
315   the overlying assemblages, up to ~+50-70 cm (Figs. 6, 7). The upper boundary of this assemblage is
316   defined by the first substantial recovery of hyaline taxa (>50%).

3.1.5 Assemblage D: lowermost CIE fauna

318   In Assemblage D (+10 to +35 cm, lithologically characterized by laminated green clays; estimated
319   duration ~9 kyr), calcareous-hyaline forms are consistently present and badly preserved, with dominant
320   taxa having dwarfed and thin-walled tests, e.g., *Globocassidulina subglobosa* (25%), *Tappanina*
321   *selmensis* (20%), and *Osangularia* spp. (~11%; Figs. 6, 7; Plate 2, Figs. 13-16). A specific assignment
322   of basal PETM osangulariids at Forada is not possible because of their very small size and poor state of
323   preservation. From +30 cm upwards, relative abundances of *G. subglobosa* and *Osangularia* spp.
324   drastically decline, whereas *T. selmensis* reaches its maximum abundance (ca. 33%; Figs. 6, 7). Minor
325   components are "other buliminids" group (up to 10% at the top of the Assemblage; see Fig. 5 and Fig.

11

326 5- related caption), *Pleurostomella* spp., *Oridorsalis umbonatus*, anomalinids and stilostomellids (Figs.
327 5, 6 and Fig. S1). Agglutinated forms remain abundant, up to 50%. At +20 cm, calcified radiolarians
328 become abundant, dominating the microfossil association up to +2 m above the base of CMU (Luciani
329 et al., 2007; Figs. 3, 8). Within the interval of Assemblage D, δ^{13}C shifts from -2 to -1‰, and the
330 CaCO$_3$ wt% recovers to ~40%, despite strong dilution with terrigenous sediments (Fig. 3). The upper
331 boundary of this assemblage is defined by the consistent decrease of *T. selmensis* (to <5%).

3.1.6 Assemblage E: main CIE fauna I

333 In this interval (+35 to +185 cm; lithologically characterized by green and reddish clays and marls;
334 estimated duration ca. 42 kyr) benthic foraminiferal preservation improves, and calcareous-hyaline
335 forms dominate the assemblages again (Fig. 2). *Siphogenerinoides brevispinosa* is consistently present
336 again, with two peaks up to 20% (Figs. 6, 7). *Pleurostomella* spp. increase to up to >10%, and
337 *Bolivinoides crenulata* and smooth-walled *Bolivina* spp. to up to 30 - 40% (Figs. 5, 6). Calcareous-
338 hyaline epifaunals such as cibicids and anomalinids reappear at <5% (Fig. 5). Faunal density and
339 diversity gradually increase upwards, whereas agglutinated taxa markedly decrease in abundance
340 (<20%) at ~+70 cm (Fig. 2). The upper boundary of this assemblage is defined by the marked drop in
341 relative abundance of *S. brevispinosa* (to <5%).

3.1.7 Assemblage F: main CIE fauna II, CIE recovery and post CIE fauna

343 Assemblage F characterizes the upper half of the CMU (reddish marls), from about +185 cm up to
344 its top (+337.5 cm), and the overlying interval (red marly limestone couplets) up to +649 cm; estimated
345 total duration > 281 kyr). The relative abundance of *Siphogenerinoides brevispinosa* is low (<5%),
346 whereas *Bulimina tuxpamensis* and *Nuttallides truempy*i increase in abundance, respectively to 5 and
347 10%, and show cyclical variations in relative abundance (Figs. 6, 7). Pleurostomellids (~10%), "other
348 buliminids" group (~10%; Fig. 5), cibicids (~10%), *Oridorsalis umbonatus* (~5%), stilostomellids
349 (~5%) and *Abyssammina* spp. (~5%) are common (Figs. 5, 6). Relative abundance of infaunal taxa
350 (mostly bolivinids) and faunal density (N/g) returns to their Paleocene values (75-80%; Fig. 2).
351 Diversity increases (simple diversity up to 60, Fisher-α diversity up to 20; Fig. 2) but remains lower
352 than in the Paleocene. All faunal indices show cyclical variation (Fig. 2), as do the relative abundance
353 of benthic foraminifera, and planktic foraminiferal and calcareous nannofossil assemblages (Agnini et

al., 2007; Luciani et al., 2007). In the lower third of the interval in which this assemblage occurs, just above the CMU (ca. +337.5 cm), the relative and absolute abundance of radiolarians decrease markedly and agglutinated taxa such as *Glomospira* spp., *Eobigenerina variabilis* and *Karrerulina* spp. slightly increase in relative abundance (~+2-3%) (Figs. 2, 3, 6, 7).

4   Discussion

4.1   Paleodepth of the Forada section

Based on benthic foraminifera in the >125µm size fraction, Giusberti et al. (2007) suggested a paleodepth between 600 and 1000 meters for the Forada section. Our data on the >63 µm size fraction suggest a somewhat greater paleodepth, i.e., upper lower bathyal, between 1000 and 1500 meters (van Morkhoven et al., 1986). Representatives of the bathyal and abyssal Velasco-type fauna (Berggren and Aubert, 1975), such as *Aragonia velascoensis*, *Cibicidoides velascoensis*, *Gyroidinoides globosus*, *Nuttallides truempyi*, *Nuttallinella florealis*, *Osangularia velascoensis* and *Gavelinella beccariiformis* are common at Forada. The faunas across the uppermost PETM interval and higher are similar to the PETM-fauna in the upper abyssal Alamedilla section (Souther Spain; Alegret et al., 2009a) and at Walvis Ridge at 1500 m paleodepth (Thomas and Shackleton, 1996; Thomas, 1998). *Abyssammina* spp. and *Nuttallides truempyi* (upper depth limit at 1000 and 300 m respectively; Van Morkhoven et al., 1986; Speijer and Schmitz, 1998) increase in abundance by more than a factor of 2 during the PETM at Forada, as typical for PETM deep-sea benthic foraminiferal records (e.g., Thomas, 1998; Thomas and Shackleton, 1996; Thomas, 2007; Alegret et al., 2009a, 2011; Giusberti et al., 2009). In these deliberations we excluded the bolivinids, because we consider that their high abundance is due to the "delta depression effect" (see below).

4.2   Environmental reconstruction during the late Paleocene

4.2.1   The Belluno Basin Paleocene deep-sea environment (Assemblage A)

Throughout most of the investigated section, infaunals strongly dominate over epifaunals, mainly due to the high abundances of bolivinids (Figs. 2, 5). Such dominance of bolivinids is common in lower and middle Eocene hemipelagic Scaglia sediments in the Belluno basin (Agnini et al., 2009; Boscolo Galazzo et al., 2013). Presently, bolivinids are common along continental margins, and at

bathyal depths, at the interception of the oxygen minimum zone (OMZ) with the seafloor, typically between 200 and 1000 m in modern oceans (Levin, 2003). High abundances of bolivinids commonly correlate with high organic matter flux and/or oxygen depletion (e.g., Murray, 1991; Gooday, 1994; Bernhard and Sen Gupta, 1999; Schmiedl et al., 2000; Thomas et al., 2000; Jorissen et al., 1995, 2007; Thomas, 2007). We see high abundances of such taxa typically at greater depths than usual in regions with significant organic matter input from rivers, the so-called "delta-depression" effect first described in the Gulf of Mexico (Pflum and Frerichs, 1976; Jorissen et al., 2007). Such lateral inputs of organic matter thus result in (partial) decoupling between the food supply to the benthos and local primary productivity (e.g., Fontanier et al., 2005; Arndt et al., 2013).

At Forada, there is neither geochemical nor sedimentological evidence for persistent suboxic conditions at the sea-floor (Giusberti et al., 2007), and the high benthic foraminiferal faunal diversity likewise does not indicate low oxygen conditions. The upper Paleocene calcareous plankton is dominated by morozovellids indicating oligotrophic surface water conditions (Luciani et al., 2007; Fig. 8). The calcareous nannofossil assemblage is dominated by the generalist taxa *Toweius* and *Coccolithus*, with high percentages of *Sphenolithus* and *Fasciculithus* (Agnini et al., 2007; Fig. 8), supporting that surface waters were oligotrophic. We thus think that environments in the Belluno Basin, close to a continental margin (Agnini et al., 2007), were characterized by the "delta depression effect", in which hemipelagic sedimentation incorporated significant laterally transported terrigenous organic matter to serve as food for the benthos (e.g., Fontanier et al., 2008; Arndt et al., 2013).

The occurrence of large, epifaunal (> 500 µm) species (Assemblage A and B), has been related to an optimum food supply, but also to very low food supply, since a lack of food keeps individuals from reproducing successfully and leads to continued test-growth (Boltovskoy et al., 1991; Thomas and Gooday, 1996).

Overall, Assemblage A, indicates oligo-mesotrophic surface waters, with bolivinids probably exploiting refractory, laterally advected organic matter. The high faunal diversity suggests that seasonal to periodical increases in primary productivity may have occurred (e.g., Gooday, 2003; Fontanier et al., 2006a, 2006b, 2014), allowing a species-rich, highly diverse infauna and epifauna to inhabit the sea-floor, and co-occur with the bolivinids in the sedimentary record.

At Forada, the relative abundance of Paleocene Cosmopolitan Extinction Taxa (CET) is low (average <10%; Fig. 6), due to the large number of Bolivinacea dominating the fine size fraction used

412 for this study (>63 μm). Many CET (Plate 1) are epifaunal morphotypes, commonly larger than 125

413 μm, as also noted elsewhere (e.g., Giusberti et al., 2009). Similarly low percentages (12-15%) of CET

414 have been recorded in Scaglia sediments of the Contessa section (Giusberti et al., 2009) and at ODP

415 Site 690 by Thomas (2003), where infaunal morphotypes (buliminids and uniserial calcareous taxa) are

416 abundant in the >63 μm fraction.

417

418 4.2.2   The precursor warming event (Assemblage B)

419   The onset of Assemblage B, about 34 kyr before the onset of the CIE (~-30 cm), is marked by

420 increase in relative abundance of opportunistic taxa such as *Tappanina selmensis* and

421 *Siphogenerinoides brevispinosa* (Figs. 6, 7; Table 1). The arrival of *Tappanina selmensis,* an upper

422 bathyal to outer shelf species in the Maastrichtian (Frenzel, 2000), at greater depths might indicate

423 warming of deep waters before the beginning of the PETM, as also reflected in the migration of warm-

424 water planktonic species to high southern latitudes (Thomas and Shackleton, 1996; Table 1). The

425 benthic foraminiferal changes roughly coincided with a significant increase in acarininids% (planktonic

426 foraminifera, >50%), likely indicating warming of surface waters (Luciani et al., 2007; Fig. 8). The

427 foraminiferal assemblages hence suggest warming throughout the water column, and increased surface

428 nutrient availability and deep-water food availability, whereas no changes in productivity in calcareous

429 nannofossils are recorded (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). The foraminiferal evidence

430 for warming is associated with an increase in $\delta D_{n\text{-alkanes}}$ and TEX_{86} values (Fig. 9), suggesting increased

431 aridity and sea surface temperature prior to the onset of the CIE (Tipple et al., 2011).

432   Multiple proxies thus indicate that climatic and oceanographic conditions started to change ~30

433 kyr before the onset of the CIE, pointing to a PETM precursor event, reflected by a <5-cm thick

434 dissolution interval at ~-22 cm, coinciding with a negative shift in bulk $\delta^{13}C$ (-1‰; Figs. 2, 3). Within

435 this interval dissolution-sensitive benthic foraminifera (e.g., *S. brevispinosa* and small bolivinids)

436 markedly decrease in abundance, while more robust and agglutinated taxa increase (Figs. 2, 5-8), as

437 does the F-Index of planktic foraminifera (to ~ 85-90%; Luciani et al., 2007; Fig. 3). This dissolution

438 level may thus reflect a brief episode of rising lysocline/CCD (<5 kyr) in response to a precursory

439 emission of isotopically light carbon (Bowen et al., 2015). Similar precursor events have been observed

440 worldwide (e.g., Sluijs et al., 2007b; 2011; Secord et al., 2010; Kraus et al., 2013; Garel et al., 2013;

Bornemann et al., 2014; Bowen et al., 2015), indicating that disturbance of the global carbon cycle started before the PETM, as potentially also reflected in the occurrence of hyperthermals in the Paleocene (Thomas et al., 2000; Cramer et al., 2003; Coccioni et al., 2012).

At the top of Assemblage B (uppermost 4.5 cm), just prior to the onset of the CIE, carbonate preservation declined markedly, as reflected in F-Index, $CaCO_3$%, and foraminiferal preservation. In this interval, representing the "burndown" layer (BL; e.g., Thomas and Shackleton, 1996; Thomas et al., 1999; Giusberti et al., 2007; Figs. 4, 7, 8), CET remained present. Dissolution in the upper BL removed most thin, dissolution-prone calcareous tests (e.g., *Siphogenerinoides brevispinosa* and small bolivinids), concentrating the more heavily calcified and the agglutinated taxa (included CET; Fig. 5-7). Benthic foraminiferal assemblages in the topmost Paleocene at Forada thus cannot be interpreted with confidence due to the severe dissolution.

4.3   Climate and marine life during the PETM

4.3.1 The black clay: a desert below the CCD

This very thin, carbonate-free interval is somewhat enigmatic. The virtually barren sediment may have been deposited during the maximum rise of the CCD, under environmental conditions so unfavorable that benthic life was excluded, a "dead-zone" (*sensu* Harries and Kauffman, 1990) during the earliest phase of the PETM. Geochemical redox indices in the black clay and the underlying and overlying samples suggest persistently oxygenated bottom waters (Giusberti et al., 2007), but may reflect diagenesis during re-oxygenation of bottom waters after a short period of anoxia, as commonly observed for Mediterrean sapropels (Higgs et al., 1994; van Santvoort et al., 1996). The presence of the thin black clay without microfossils thus is highly suggestive of a brief pulse of anoxia, as supported by a single peak value of organic carbon (0.6 wt %; Giusberti et al., 2007). The high value of biogenic barium (3151 ppm) in the black clay (Fig. 3), despite the fact that barite is generally not preserved under anoxic conditions (Paytan and Griffith, 2007; Paytan et al, 2007) may represent reprecipitation at the oxic/anoxic sediment interface after dissolution under anoxic conditions (Giusberti et al., 2007), and/or high rates of organic remineralization in the water column,during which the barite forms (Ma et al., 2014).

4.3.2 The early peak PETM (Assemblages C and D)

The 10 cm of sediment directly overlying the Paleocene/Eocene boundary (i.e. the base of the CIE; Figs. 7, 8) was deposited in strongly $CaCO_3$ –corrosive waters, below the lysocline and close to or below the CCD. The rapid rise of the CCD/lysocline during the PETM is a predicted consequence of massive input of carbon (CO_2 or CH_4) in the ocean-atmosphere system on a millennial timescale (e.g., Dickens et al., 1997; Thomas, 1998; Zachos et al., 2005; Zeebe et al., 2009, 2014; Hoenisch et al., 2012). The carbonate dissolution at Forada is consistent with observations at many other deep-sea sites (e.g., Schmitz et al., 1997; Thomas, 1998; Zachos et al., 2005; Kelly et al., 2010). The benthic foraminiferal extinction event (BEE) at Forada (i.e., corresponding to the the BB1/BB2 zonal boundary of Berggren and Miller, 1989) occurs within this 10 cm-thick interval, between the top of the CET-bearing burndown layer and the base of Assemblage D, where benthic calcareous taxa reappear (Figs. 4, 7, 8). The concentration of CET in the burndown layer, and the reappearance of calcareous hyaline taxa only 10 cm above the onset of the PETM at Forada, confirms that the CET extinction occurred over 3.5 kyr or less in the central western Tethys, similar to evaluations of this timing from carbon cycle modeling (Zeebe et al., 2014).

Sediment just above the black clay, reflecting a first slight deepening of the CCD, contains a low diversity, fauna of mostly agglutinated, dwarfed (close to 63 µm in diameter) benthic foraminifera, and calcareous nannofossils with signs of dissolution, with planktic foraminifera virtually absent (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). This first wave of benthic pioneers recolonized the sea-floor during the peak-CIE, in $CaCO_3$-undersaturated waters, and reflects a highly stressed environment (Assemblage C; Figs. 6-8). Among the pioneers, *Eobigenerina variabilis* is peculiar of the PETM of the Forada section (Figs. 6, 7). *Eobigenerina* is a recently erected genus in the Textulariopsidae, including non-calcareous species previously assigned to *Bigenerina* (Cetean et al., 2011), and it is known to behave opportunistically during Cretaceous Oceanic Anoxic Event 2 (OAE2; Table 1). A major component of the upper part of Assemblage C is *Karrerulina conversa* (Fig. 7). The species dominates the lowermost Eocene deposits in the Polish Carpatians (Bąk, 2004), commonly occurring in the Paleocene-Eocene of the Central North Sea and Labrador margin, and in Morocco (Kaminski and Gradstein, 2005). Modern *Karrerulina* (e.g., *K. apicularis=K. conversa*) live in oligotrophic abyssal plains, with well-oxygenated bottom and interstitial waters (Table 1). However, the test morphology of *Karrerulina*, combined with its abundant occurrence in the doubtless stressed environment of the basal PETM at Forada and Zumaia (Table 1), suggests that this genus may also act opportunistically.

499   After ca. 4 kyr, a further deepening of CCD allowed a consistent increase in abundance of benthic

500   calcareous taxa (ca. 50%; Assemblage D; Fig. 2), coinciding with the lowermost recovery of bulk

501   carbonate δ^{13}C values, from -2‰ to -1‰ (Giusberti et al., 2007; Tipple et al., 2011; Fig. 7). These

502   calcareous recolonizers included dwarfed and thin-walled forms of *G. subglobosa, Tappanina*

503   *selmensis*, *Osangularia* spp. and *Oridorsalis umbonatus* (Figs. 6, 7). A similar peak in small

504   *Osangularia* also occurs in the basal PETM at Contessa Section, as documented for the first time in the

505   present paper (Fig. S2). Representatives of the genus *Osangularia* (*Osangularia* spp.) behaved

506   opportunistically in the PETM of the Tethyan Alamedilla section (Alegret et al., 2009a). Moreover,

507   Boscolo Galazzo et al. (2013) found small-size *Osangularia* within organic-rich levels immediately

508   following the Middle Eocene Climatic Optimum in the Alano section (in northeastern Italy). During the

509   Cretaceous OAEs *Osangularia* spp. opportunistically repopulated the sea floor during short-term re-

510   oxygenation phases (see references in Table 1). Although *Osangularia* is generally referred to as

511   preferring stable well oxygenated environments (e.g., Murray, 2006; Alegret et al., 2003), we suggest

512   that some extinct species of this genus could actually behave as opportunist and recolonizer.

513   Assemblage D contains almost equal abundances of calcareous and agglutinated taxa, indicating

514   that factors other than bottom water CaCO$_3$ concentration were controlling faunal variability within this

515   assemblage (Figs. 6, 7). Possibly, strongly enhanced runoff and sediment delivery can explain the

516   abundance of agglutinated taxa (40-60%), such as *Glomospira* spp. (e.g., Arreguín-Rodríguez et al.,

517   2013, 2014), above the first 10 cm of the CMU. We thus recognize a rapid succession of recolonizer

518   taxa during the first 12 kyr of the CIE (Assemblages C-D). The small size of both the agglutinated and

519   hyaline recolonizers is indicative of r-strategist species which reproduce quickly and can thus quickly

520   repopulate stressed environments, as soon as conditions improve slightly (e.g., Koutsoukos et al., 1990;

521   Thomas, 2003). The rapid pace at which different populations of recolonizers succeeded each other

522   indicates a highly unstable environment, with marked fluctuations in the amount, timing and quality of

523   the food reaching the sea floor. Sediment deposition during this interval may have occurred in rapid

524   pulses, e.g., following intense rainstorms, carrying refractory organic matter to the deep-sea

525   environment. Pauses between events may have allowed the benthic foraminifera to recolonize the

526   sediment, profiting of the abundance of food. This is consistent with calcareous nannofossil

527   assemblages showing an increase in *Ericsonia* and declines in abundance of *Sphenolithus*, *Octolithus*,

528   *Zygrablithus* and *Fasciculithus*, indicating an unstable and nutrient rich upper water column (Agnini et

al., 2007; Fig. 8). Archaeal biomarkers show a large influx of terrestrial, soil-derived organic matter (Branched and Isoprenoid Tetraethers or BIT Index) from the onset of the PETM up to ~+10 cm (Tipple et al., 2011). Higher plant *n*-alkane average chain length (ACL) decreased immediately after the onset of the CIE, consistent with increased humidity (Fig. 9; Tipple et al., 2011). The abundance of the clay mineral chlorite indicates enhanced physical erosion (Robert and Kennett, 1994) during deposition of the lower 50 cm of the CMU, rapidly decreasing upward (Fig. S3).

The greenish marly clays containing Assemblages C and D show primary lamination, indicating that macrobenthic invertebrates were absent, as at Dee and Mead Stream sections (New Zealand; Nicolo et al., 2010), and Zumaya (Spain; Rodriguez-Tovar et al., 2011). The presence of benthic foraminifera, however, indicates that bottom and pore waters were not permanently anoxic. Pore waters may have become dysoxic periodically due to high temperatures, decomposing organic matter and possibly enhanced water column stratification, leading to the absence of metazoans and stressed benthic foraminiferal assemblages. Low-pH sea-floor conditions may have also played a significant role in excluding macrobenthic fauna in this early phase of PETM at Forada. Deep-sea animals are highly sensistive to even modest but rapid pH changes (Seibel and Walsh, 2001), which are harmful even for infaunal deep-sea communities (Barry et al., 2004).

4.3.3 The core of the CIE and Recovery (Assemblages E, F)

The benthic foraminiferal assemblage changes significantly from Assemblage D to assemblage E, coinciding with the gradual reappearing of mottling (as thin reddish "flames" in the green sediment). Bolivinids return as a major faunal component (50%), and agglutinated taxa decrease in abundance. Peaks of tapered elongate calcareous forms, including *Siphogenerinoides brevispinosa*, "other buliminids" group, pleurostomellids and stilostomellids, replace the recolonizers (Figs. 5, 6). These groups could have been functioned as opportunistic taxa, able to flourish when food supply was periodically high (e.g., Table 1). Coinciding with Assemblage E, planktic foraminifera return to be a significant component of the microfossil assemblage (e.g., Luciani et al., 2007; Fig. 8), while radiolarians remain abundant throughout the CMU (Giusberti et al, 2007; Luciani et al., 2007). The planktic foraminiferal assemblage is dominated by acarinininids, with a double peak of the excursion species *Acarinina sibaiyaensis* and *A. africana*, which, combined with the high percentages of the nannofossil *Ericsonia*, indicate warm and eutrophic surface waters (e.g., Ernst et al., 2006; Guasti and Speijer, 2007; Agnini et al., 2007; Luciani et al., 2007; Fig. 8).

559   Detrital hematite sharply increased in concentration at the onset of Assemblage E (Giusberti et al,

560   2007; Dallanave et al., 2010; 2012; Fig. 3). Hematite forms in soils under warm and dry conditions, and

561   an increase of hematite in marine sediments is considered indicative of an arid climate over the

562   adjoining land, with increased wind strength (Larrasoaña et al., 2003; Zhang et al., 2007; Itambi et al.,

563   2009), or humid to subhumid climates with seasonal drying (Torrent et al., 2006). It is delivered to the

564   deep-sea environment through river runoff or as aeolian dust (e.g., Zhang et al., 2007; Itambi et al.,

565   2009). Within the CMU, hematite shows cyclical fluctuations with a ~21 kyr periodicity, but other

566   terrigenous components (quartz and phyllosilicates) do not co-vary in abundance after a ~15% increase

567   at the onset of the CMU (Fig. 3). To explain the different abundance patterns, we interpret hematite as

568   wind-delivered, silicate minerals as runoff-delivered.

569   The hematite% peaks may be indicative of cyclical variability in wind-delivered material, rather

570   than the earlier prevailing consistently humid climate. The lithological anomaly of the CMU, the

571   fivefold increase in sedimentation rates and increase in reworked Cretaceous nannofossils (Agnini et

572   al., 2007; Fig. 8), as well as the silicate mineral and hematite% records all indicate marked fluctuations

573   in the hydrological regime throughout this interval. High hematite% may reflect the presence of high-

574   pressure cells over land, during an overall dry climate phase, with increased wind strength and dust

575   delivery to the sea (Larrasoaña et al., 2003; Zhang et al., 2007; Itambi et al., 2009). In contrast, low

576   values of hematite% may indicate periods of greater humidity and enhanced precipitation. Such

577   alternation of wet and arid phases favored deeper soil erosion on the continental areas surrounding the

578   Belluno basin (Thiry, 2000; Schmitz and Pujalte, 2003), causing major washouts during the wet phases,

579   which may explain the fivefold increase in sedimentation rates and 15% increase in phyllosilicate

580   abundance in the CMU (Fig. 3).

581   The hematite% cycles are in phase with cycles in $CaCO_3$%, radiolarian abundance, and bulk

582   carbonate $\delta^{13}C$, slightly preceding the others stratigraphically (Fig. 3). During the arid climate phase,

583   enhanced wind strength may have generated intense surface water mixing and offshore nutrient

584   upwelling, inducing increases in primary productivity and phytoplankton blooms. The blooms in

585   primary productivity resulted in deposition of abundant algal biomass, leading to the occurrence of

586   peaks of pleurostomellids, stilostomellids and *Siphogenerinoides brevispinosa* in Assemblage E.

587   Productivity may have remained fairly high during the wet periods, as indicated by consistently high

588   biogenic barium throughout the CMU (Giusberti et al., 2007; Paytan et al., 2007). During the rainy

periods, upwelling rates may have been lower, with nutrients mostly supplied in river runoff. The delivery of food to the seafloor may have been more continuous, but with more important input of refractory organic matter from land.

In contrast to these proxies, which show cyclity at precessional periods throughout the CMU, higher plant *n*-alkane average chain length (ACL) and δD vary only in its lowermost 50 cm (Tipple et al., 2011; Fig. 9). Possibly, the sedimentary *n*-alkanes were derived from a pool of plant material produced during subsequent wet and dry phases, so that ACL and δD may represent averaged records of leaf wax *n*-alkanes produced during different mean climate states in the upper CMU. Even so, the δD values within the CMU are on average ~15‰ lower than above and below (Fig. 9), as reported for the Cicogna section (10 km away; Krishnan et al., 2015), possibly reflecting more humid conditions/higher precipitation during the PETM wet times (e.g., Sachse et al., 2006; Smith and Freeman, 2006), or greater productivity of plant material during the wet phases. Alternatively, it may reflect a primary change in the isotopic composition of meteoric waters (Krishnan et al., 2015).

In the following benthic foraminiferal Assemblage F (upper CMU, recovery phase), *Siphogenerinoides brevispinosa* and *Tappanina selmensis* are less abundant, whereas *Bulimina tuxpamensis*, *Abyssammina* spp., and *Nuttallides truempyi* increase in relative abundance (Figs. 6, 7). These are typical deep-sea, open-ocean taxa which thrive under more oligotrophic conditions (e.g., Thomas, 1998), and might indicate progressively less intense or shorter primary productivity blooms during the arid phases, and/or mark the return to fully oxygenated sea-floor and pore water conditions. Less intense eutrophy at the transition from Assemblage E to F is further supported by calcareous plankton data, showing a decrease in the planktic foraminiferal excursion species, and among nannofossils, a decrease in *Ericsonia* (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). Conciding with the top of the CMU, there were marked changes in calcareous plankton assemblages, although benthic foraminiferal Assemblage F persisted. Among calcareous nannofossils the abundance of *Zygrablithus*, *Sphenolithus* and *Octolithus* increased, whereas that of reworked taxa decreased (Fig. 8). In the planktic foraminiferal assemblage, *Acarinina* species declined in abundance, and the fauna became more diverse, with fluctuations modulated by lithology in the marl-limestone couplets overlying the CMU (Fig. 8).

The lithological unit above the CMU consists of an alternation of limestones and marls at precessional frequencies (~21 kyrs; Fig. 2). These limestone-marl couplets persist for up to 8 meters

619 above the CMU (well beyond the top of the studied interval; Giusberti et al., 2007; Luciani et al.,
620 2007), then gradually become less clearly expressed, fading upwards. The marl-limestone couplets may
621 reflect the persistence of wet (marl)-arid (limestone) cycles for ~ 800 kyr after the end of the CMU
622 deposition, though at an amplitude declining over time. This persistence resembles the extended (650
623 kyr) humid period, starting at the onset of PETM, recognized in the sediment record at Site 401 of
624 eastern North Atlantic (Bornemann et al., 2014). Our benthic foraminiferal data agree with this
625 interpretation, showing substantially unchanged sea-floor conditions up to +650 cm (uppermost sample
626 analyzed).

627 **4.4 Clues from Forada on PETM climate change**

628   The integrated dataset collected at Forada supports the occurrence of enhanced climatic contrasts
629 and productivity changes in the western Tethys during the PETM, and agrees with previous studies
630 suggesting intense weather extremes at mid to subtropical latitudes (Fig. 10; Table S3). At the onset of
631 the PETM, middle to subtropical latitudes may have been characterized by intense, monsoonal-type
632 rainfall, followed by a succession of wet and arid phases, possibly precessionally paced, during the core
633 of the PETM (e.g., Collinson et al., 2007; Kraus and Rigging, 2007; Egger et al., 2009; Foreman et al.,
634 2014; Stassen et al., 2012a,b; 2015; Fig. 10 and Table S3). The Forada record allows to distinctly
635 recognize the temporal successions among these distinct climatic phases up to 800 kyr after the onset of
636 the PETM, and to directly relate them to the progression of the CIE, its recovery and termination. The
637 climatic conditions inferred from the Forada section and other records at similar latitudes differ from
638 those derived from the subtropical net evaporation zone (15°-35°N), (e.g., from the Tremp-Graus Basin
639 - Pyrenees), which document a generally much drier climate with a brief interval of increased
640 storminess and intense flash flood events at the onset of the PETM (Schmitz and Pujalte, 2007).
641 Records from subtropical to mid-latitudes also differ from records within the northern rain belt and into
642 the Arctic basin (>50°N), which suggest that humid conditions may have been more persistent there,
643 with increased rates of precipitation, and on average moister conditions during the PETM (Pagani et al.,
644 2006b; Sluijs et al., 2006; Harding et al., 2011; Dypvik et al., 2011; Kender et al., 2012; Wieczorek et
645 al., 2013; Fig. 10; Table S3).

646   The combination of all these climatic records (Fig. 10; Table S3) suggests that the net result of
647 increased weather extremes during peak-PETM might have been to decrease rainout at subtropical to
648 mid latitudes, and increase moisture transport toward the high latitudes, as originally suggested by

22

649 Pagani et al. (2006b). Few tropical records exist, so that precipitation changes here are less clear.

650 Rainfall in coastal Tanzania may have decreased during the early PETM, but combined with violent

651 precipitation events and floodings (Handley et al. 2008; 2012; Aze et al., 2014; Table S3). In Central

652 America, conditions during the PETM may have shifted to more continuously humid (Jaramillo et al.,

653 2010).

654 The long-lasting cyclity and precise chronology at Forada suggest that this enhanced climate

655 variability at subtropical to mid latitudes may have lasted for several hundred of thousand years after

656 the onset of the CIE. Despite the possible decrease of net rainout, these weather extremes persisting

657 over several 10^5 kyrs may have significantly enhanced the rate of erosion and weathering, through the

658 occurrence of alternating wet-dry periods. The weathering may have led to a decrease in atmospheric

659 CO_2 levels, by consumption of CO_2 during weathering reactions. The increased supply of cations

660 through enhanced weathering-erosion would have driven ocean pH up, and atmospheric CO_2 down

661 (Broecker and Peng, 1982; Raymo et al., 1988; Zachos et al., 2005). Enhanced seasonal extremes

662 across large geographical areas (the subtropical to mid latitudinal belt) thus might have been a response

663 to the large CO_2 input at the Paleocene-Eocene transition, and may have had a primary role in restoring

664 the carbon cycle to steady state.

665

666 **6   Conclusions**

667 The continuous and expanded record of benthic foraminifera across the PETM at Forada,

668 integrated with the extensive datasets previously generated across this interval, may provide the most

669 complete reconstruction of ecological and climatic changes during the Paleocene/Eocene thermal

670 maximum in Europe. Coupled sedimentological, molecular and micropaleontological records highlight

671 a complex sequence of environmental and climatic changes during the time period across the CIE:

672 - Climatic and oceanographic conditions started to change ~30 kyr before the onset of the PETM, with

673 a possible precursor event.

674 - Our high-resolution benthic foraminiferal record combined with the established chronology lets us

675 infer that the BEE in the central-western Tethys occurred over a time interval of not more than 4 kyr.

676 At the onset of the PETM, combined de-oxygenation, acidification and environmental instability may

677 have synergistically impacted deep sea life.

-Four benthic foraminiferal assemblages occur (C-E and lower F) within the CMU (coinciding with the main phase of CIE). Assemblage C is characterized by successive peaks of different agglutinated recolonizers. Calcareous recolonizers return in the following Assemblage D, after calcium carbonate saturation increased. The complex succession of peaks of agglutinated and hyaline recolonizers in these two assemblages (C, D; 12.5 kyr), suggests multiple repopulation episodes. The benthic foraminiferal data integrated with molecular and mineralogical data point to increased precipitation and strong continental erosion during this short initial stage of the PETM.

- Within the core of the CIE, $\delta^{13}C$ and mineralogical properties such as hematite and calcium carbonate wt % vary at precessional periodicity. Combined with data on radiolarian abundance and benthic foraminiferal assemblage composition this variability suggests an alternation of overall wetter and drier periods. Enhanced weather extremes during most of the PETM may have led to a decrease in total precipitation over the central western Tethys.

- The benthic foraminiferal assemblage at Forada did not significantly change with the onset of the deposition of marl-limestone couplets unit above the CMU (mid and upper third of Assemblage F). This suggests that the enhanced climatic variability at precessional timescales persisted well after the end of the CIE recovery. We argue that enhanced seasonal extremes at mid-latitudes might have been a direct climate response to the huge CO_2 input at the Paleocene-Eocene transition, and may have had a primary role in restoring carbon cycle steady state through links with the water cycle and weathering rates.

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1392

Figures captions

1394   Figure 1. Location of the Forada section in the context of the Piave River Valley in the Belluno

1395   Province (the "Valbelluna"), northeastern Italy.

1396   Figure 2. Faunal and geochemical variations across the PETM at Forada section plotted against

1397   chronostratigraphy, precessional cycles, lithology, recognized benthic foraminiferal assemblages (A to

1398   G) and isotopic intervals. % agglutinated=agglutinated to agglutinated and calcareous hyaline ratio; %

1399   infaunal taxa=infaunal to infaunal and epifaunal ratio; simple diversity and Fisher-α diversity index;

1400   N/g=number of benthic foraminifera per gram (faunal density) in the >63 mm size fraction; coarse

1401   fraction (CF) calculated according to Hancock and Dickens (2005) as the weight percent of the >63 μm

1402   size fraction relative to the weight of the bulk sample; Fragmentation index (F-Index) is from Luciani

1403   et al. (2007). The gray bands indicate intervals of carbonate dissolution. α= pre-CIE dissolution,

1404   β=burndown layer, BFDI=benthic foraminiferal dissolution interval. Modified from Giusberti et al.

1405   (2007).

1406   Figure 3. Summary of the main mineralogical, geochemical and cyclostratigraphic features recognized

1407   across the Paleocene-Eocene boundary and in the clay marl unit (CMU) of the Forada section and

1408   radiolarian abundance plotted against isotopic intervals and recognized benthic foraminiferal

1409   assemblages (A to F). N/g for the radiolarians refers to the number of radiolarians (>125 μm fraction)

1410   per gram of dry sediment. F-Index from Luciani et al. (2007). VPDB—Vienna Peedee belemnite

1411   standard. Modified from Giusberti et al. (2007).

1412   Fig. 4. Stratigraphic distribution of benthic foraminiferal extinction taxa (CET) across the

1413   Paleocene/Eocene boundary in the Forada section plotted against lithology, δ^{13}C bulk record, CaCO$_3$

1414   percentage, isotopic intervals and recognized benthic foraminiferal assemblages (A to F), based on data

1415   from the >63 μm size fraction integrated with data from >125 micron fraction. The gray bands indicate

1416   intervals of carbonate dissolution. Question marks: doubtful identification. Triangle: post BEE

1417   occurrence of one specimen of *Coryphostoma midwayensis* has been recorded in the sample BRI 300

1418   (295 cm above the base of CMU).

1419   Figure 5. Relative abundance of the most abundant benthic foraminiferal taxa across the PETM at

1420   Forada plotted against biostratigraphy, precessional cycles, lithology, δ^{13}C bulk record, recognized

1421 benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation
1422 after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution. α= pre-
1423 CIE dissolution, β=burndown layer, BFDI=benthic foraminiferal dissolution interval. "Other
1424 buliminids" group includes only representatives of the families Buliminidae, Buliminellidae and
1425 Turrilinidae (*Bulimina*, *Buliminella*, *Quadratobuliminella*, *Sitella* and *Turrilina*).

1426 Figure 6. Relative abundance of selected benthic foraminifera across the PETM at Forada plotted
1427 against biostratigraphy, precessional cycles, lithology, $\delta^{13}C$ bulk record, recognized benthic
1428 foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after
1429 Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution. α= pre-CIE
1430 dissolution, β=burndown layer, BFDI=benthic foraminiferal dissolution interval.

1431 Figure 7. Enlargement of the interval from -1m to +2m across the P/E boundary at Forada showing the
1432 relative abundance of selected benthic foraminifera plotted against biostratigraphy, precessional cycles,
1433 lithology, $\delta^{13}C$ bulk record, recognized benthic foraminiferal assemblages (A to F) and isotopic
1434 intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate
1435 intervals of carbonate dissolution. α=Pre-CIE dissolution interval; β=burndown layer, BFDI=benthic
1436 foraminiferal dissolution interval.

1437 Figure 8. Summary of main calcareous plankton (calcareous nannofossils and planktonic foraminifera)
1438 and benthic foraminiferal events and inferred environmental conditions (from Agnini et al., 2007;
1439 Luciani et al., 2007 and present work), isotopic intervals, thickness, precessional cycles and benthic
1440 foraminiferal assemblages (A to F) recognized in this work. The stratigraphic intervals containing
1441 assemblages A and B, C and D to F are considered as pre-extinction, extinction and repopulation
1442 intervals, respectively. Benthic foraminiferal zonation after Berggren and Miller (1989).

1443 Figure 9. Stable carbon isotope ratios of higher plant n-alkanes (a), stable hydrogen isotope ratios of
1444 higher plant n-alkanes (b) with higher plant average chain length values (c) for Forada PETM plotted
1445 against isotopic intervals and recognized benthic foraminiferal assemblages (A to F). Terrestrial higher
1446 plant n-C27, n-C29, and n-C31 δD values are shown as crosses, closed circles, and triangles,
1447 respectively. Redrawn from data of Tipple et al. (2011).

Figure 10. Paleogeographic map (from http://www.odsn.de/odsn/services/paleomap/paleomap.html) at 55 Ma showing sites where paleohydrological reconstructions for the PETM are available. Numbers follow a north to south paleolatitudinal order. Blue dots indicate areas where an increase in precipitation has been inferred; Green dots indicate areas where an increase in climatic contrasts or a fluctuating precipitation regime have been inferred; Orange dots indicate areas where an increase in aridity has been inferred; Purple dots indicate areas where hydrological changes have been inferred but the pattern not specified. 1. Lomonosov Ridge, Arctic Sea; 2, 3. Spitsbergen Central Basin and Svalbard archipelago; 4. Central North Sea Basin; 5. Eastern North Sea Basin; 6. Williston Basin, western North Dakota, (USA) 7. Bighorn Basin, Wyoming (USA); 8. Rhenodanubian Basin, Austria; 9. Belluno Basin, northeastern Italy; 10. Aktumsuk and Kaurtakapy sections, Uzbekistan and Kazakhstan; 11. Dieppe-Hampshire Basin, France; 12. London Basin; 13. DSDP Site 401 Bay of Biscay, North-eastern Atlantic Ocean; 14. Western Colorado (USA); 15. New Jersey Coastal Plain (USA); 16. Central Valley of California (USA); 17. Basque Basin, northern Spain; 18. Tremp Basin, northern Spain; 19. Alamedilla section, southern Spain; 20. Tornillo Basin, Texas (USA); 21. Salisbury embayment, mid-Atlantic coastal plain (USA); 22. Gafsa Basin, Tunisia; 23. Zin Valley of Negev, Israel; 24. Dababiya section, Egypt; 25. Northern Neotropics, (Colombia and Venezuela); 26. TDP Site 14, Tanzania; 27. Tawanui section, North Island (New Zealand); 28. Clarence River valley, South Island (New Zealand); 29. Central Westland, South Island (New Zealand); 30. ODP Site 1172, East Tasman Plateau; 31. ODP Site 690 Weddell Sea, Southern Ocean. See Supplement Table S3 for references and additional information.

Table caption

Table 1. Summary of the known ecological preferences of selected benthic foraminifera, as evaluated from the literature, common at Forada.

Plates captions

Plate 1. SEM micrographs of the most representative Paleocene cosmopolitan extinction taxa (CET) occurring at Forada. 1. *Angulogavelinella avnimelechi*, spiral view (BRI-25.5); 2. *Angulogavelinella avnimelechi*, lateral view (BRI-185.5); 3. *Gavelinella beccariiformis*, umbilical view (BRI-75); 4. *Osangularia velascoensis*, spiral view (BRI-50,5); 5. *Anomalinoides rubiginosus* (BRI-9); 6. *Cibicidoides dayi* (BRI-37); 7. *Cibicidoides velascoensis*, spiral view (BRI-75,5); 8. *Cibicidoides*

54

velascoensis, lateral view (BRI-135.5); 9. *Cibicidoides hyphalus* (BRI-50,5); 10. "*Neoeponides*" *megastoma* (BRI-135); 11. *Gyroidinoides globosus* (BRI-50.5); 12. *Gyroidinoides quadratus* (BRI-185,5); 13. *Coryphostoma midwayensis* (BRI-50,5); 14. *Aragonia velascoensis* (BRI-50.5); 15. *Bolivinoides delicatulus* (BRI-135.5); 16. *Neoflabellina semireticulata* (BRI-365); 17. *Pullenia coryelli* (BRI-50,5); 18. *Remesella varians* (BRI-310.5); 19. *Clavulinoides globulifera* (BRI-25.5); 20. *Clavulinoides trilatera* (BRI-33); 21. *Clavulinoides amorpha*; 22. *Marssonella indentata* (BRI-25.5); 23. *Dorothia beloides* (BRI-260); 24. *Dorothia pupa* (BRI-105).

Plate 2. SEM micrographs of the most representative species of the Eocene postextinction faunas occurring at Forada. 1. *Ammobaculites agglutinans* (BRI+10); 2. *Eobigenerina variabilis* (BRI+50); 3. *Eobigenerina variabilis* (BRI+50); 4. *Karrerulina conversa* (BRI+50); 5. *Karrerulina horrida* (BRI-25.5); 6. *Spiroplectammina navarroana* (BRI-33/7); 7. *Spiroplectammina spectabilis* (BRI+50); 8. *Rashnovammina munda* (BRI-50,5); 9. *Haplophragmoides* cf. *kirki.* (BRI+5); 10. *Saccammina placenta* (BRI-25.5); 11. *Glomospira irregularis* (BRI+35); 12. *Glomospira charoides* (BRI-75.5); 13. *Osangularia* sp. (BRI+15); 14. *Globocassidulina subglobosa* (BRI+15); 15. *Tappanina selmensis* (BRI+15); 16. *Tappanina selmensis* (BRI-9); 17. *Siphogenerinoides brevispinosa* (BRI-11); 18. *Siphogenerinoides brevispinosa* (BRI-365); 19. *Bulimina tuxpamensis* (BRI+150); 20. *Bulimina tuxpamensis* (BRI+150); 21. *Pleurostomella* sp. (BRI+150); 22. *Bolivina* sp. costate (BRI+385); 23. *Nuttallides truempyi* (BRI+150); 24. *Oridorsalis umbonatus* (BRI-135.5); 25. *Aragonia aragonensis* (BRI-105); 26. *Abyssammina poagi* (TAL7B).

Plate 3. SEM micrographs of the most representative taxa of the upper Paleocene-lower Eocene of Forada section. 1. *Quadratobuliminella pyramidalis* (BRI-75.5); 2. *Buliminella grata* (BRI-591); 3. *Bulimina midwayensis* (BRI+35); 4. *Bulimina alazanensis* (BRI +150); 5,6. *Bulimina trinitatensis* (BRI-9); 7. *Bolivinoides crenulata* (BRI-9); 8. *Bolivinoides crenulata* (BRI-25.5); 9. *Bolivinoides floridana* (BRI-410); 10 *Bolivina* sp. smooth (BRI-410); 11. *Bolivina* sp. smooth (BRI-410); 12. *Reussella* sp. (BRI-365); 13. *Angulogerina muralis* (BRI-75.5); 14. *Angulogerina muralis* (BRI-75.5); 15. *Angulogerina*? sp. (BRI-9); 16. *Angulogerina*? sp.(BRI-35.5); 17. *Rectobulimina carpentierae* (BRI-466); 18. *Allomorphina trochoides* (BRI-25.5); 19. *Quadrimorphina allomorphinoides* (TAL 7B); 20. *Cibicidoides eocaenus* (BRI-9); 21. *Anomalinoides* sp. 2 (BRI-135); 22. *Cibicides* sp. (BRI-591); 23. *Cibicidoides praemundulus* (BRI+150); 24. *Nonion havanense* (BRI-591).

Plate 4. SEM micrographs of some taxa of the upper Paleocene-lower Eocene of Forada section. 1. *Ammodiscus cretaceus* (BRI-29.5); 2. *Ammodiscus peruvianus* (BRI-9); 3. *Haplophragmoides walteri* (BRI-75.5); 4. *Haplophragmoides horridus* (BRI +35); 5. *Recurvoides* sp. (BRI -33/-37); 6. *Glomospira serpens* (BRI-260); 7. *Trochamminoides proteus* (BRI-25.5); 8. *Paratrochamminoides heteromorphus* (BRI+40); 9. *Glomospira* cf. *gordialis* (BRI +35); 10. *Gaudryina* sp. (BRI +15); 11. *Karrerulina coniformis* (BRI -135); 12. *Caudammina ovuloides* (BRI-260); 13. *Gaudryina pyramidata* (BRI-17.5); 14. Big-sized lituolid, apertural view (BRI-9); 15. *Hormosina velascoensis* (BRI-33/37); 16. *Pseudonodosinella troyeri* (BRI-260); 17. "*Pseudobolivina*" sp. 2 in Galeotti et al. (2004) (BRI+35); 18. *Pseudoclavulina trinitatensis* (BRI+150); 19. *Spiroplectammina spectabilis* (BRI-50.5); 20. Big-sized lituolid, lateral view (BRI-9).

Fig. 1

Fig. 2

Fig. 3

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Fig. 7

Fig. 8

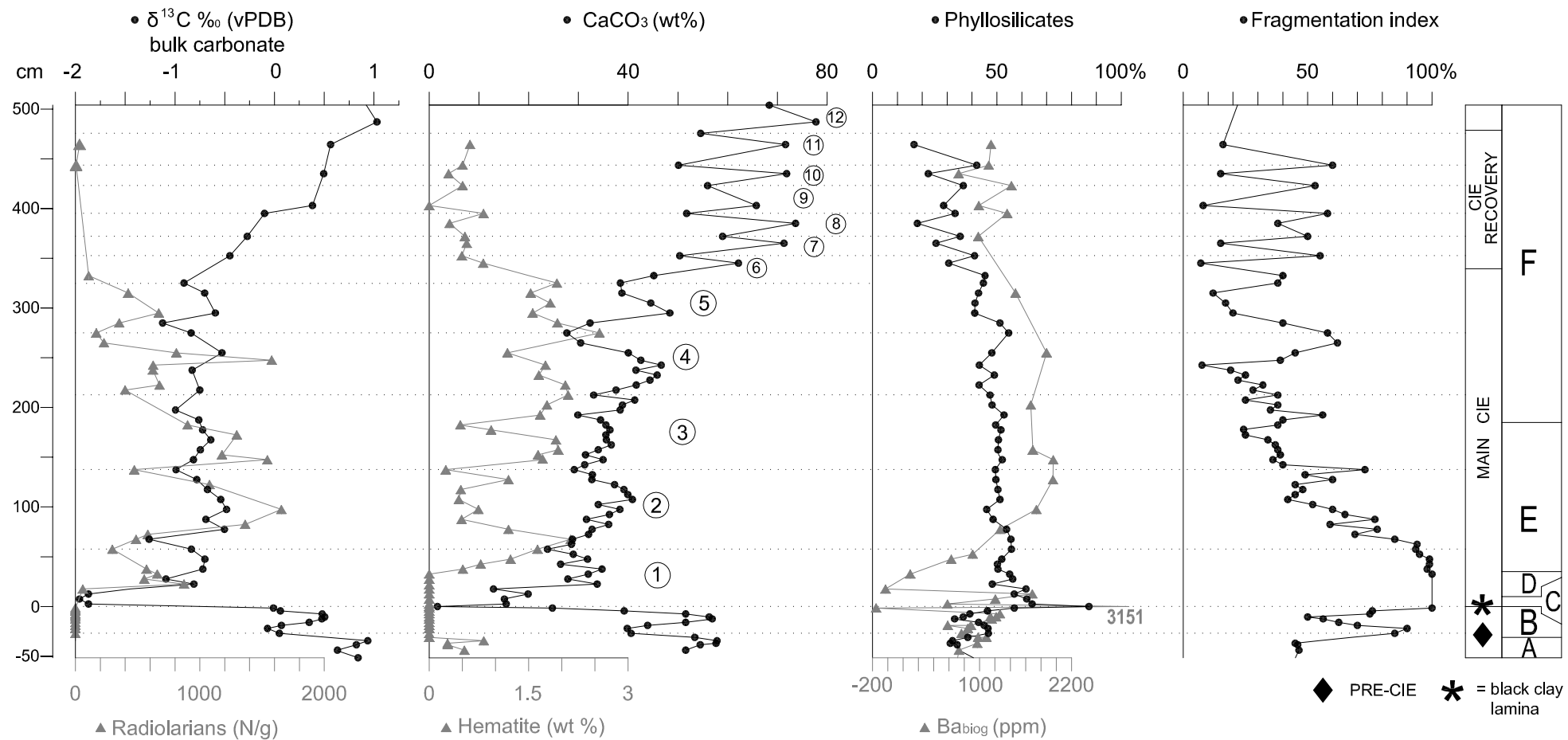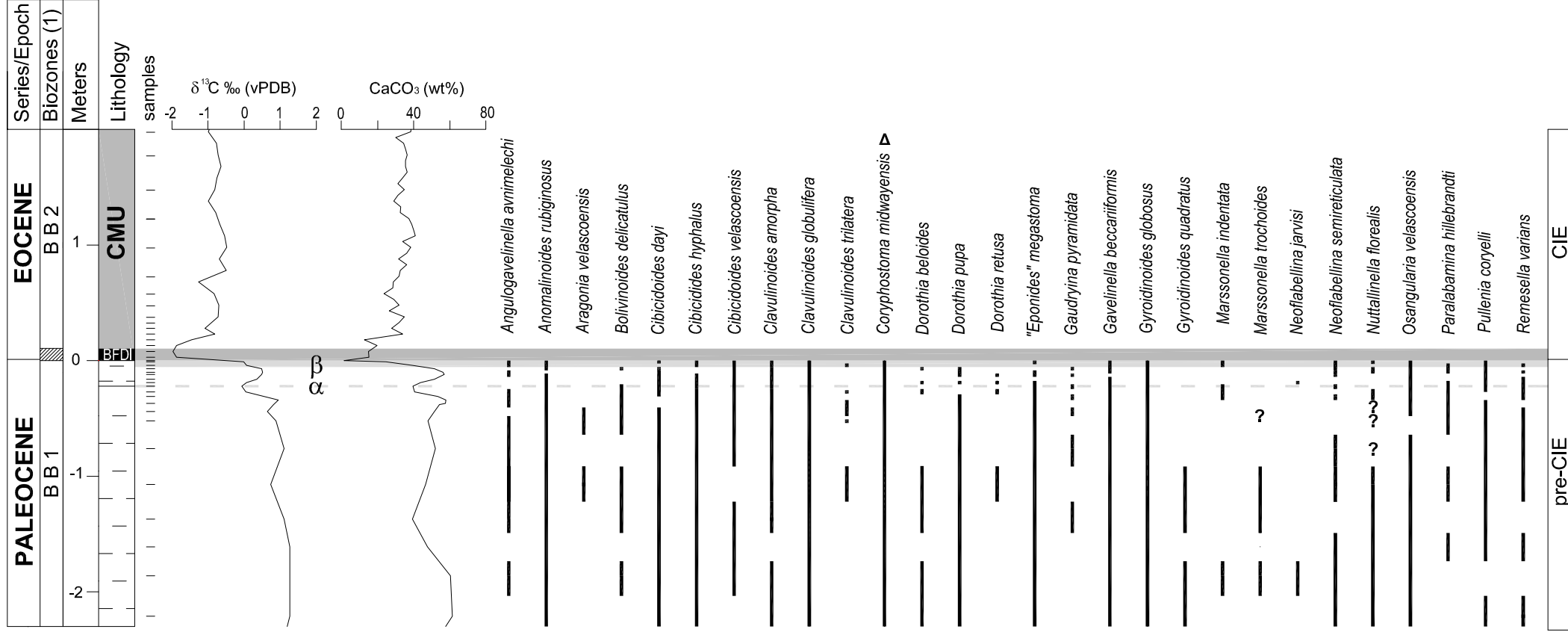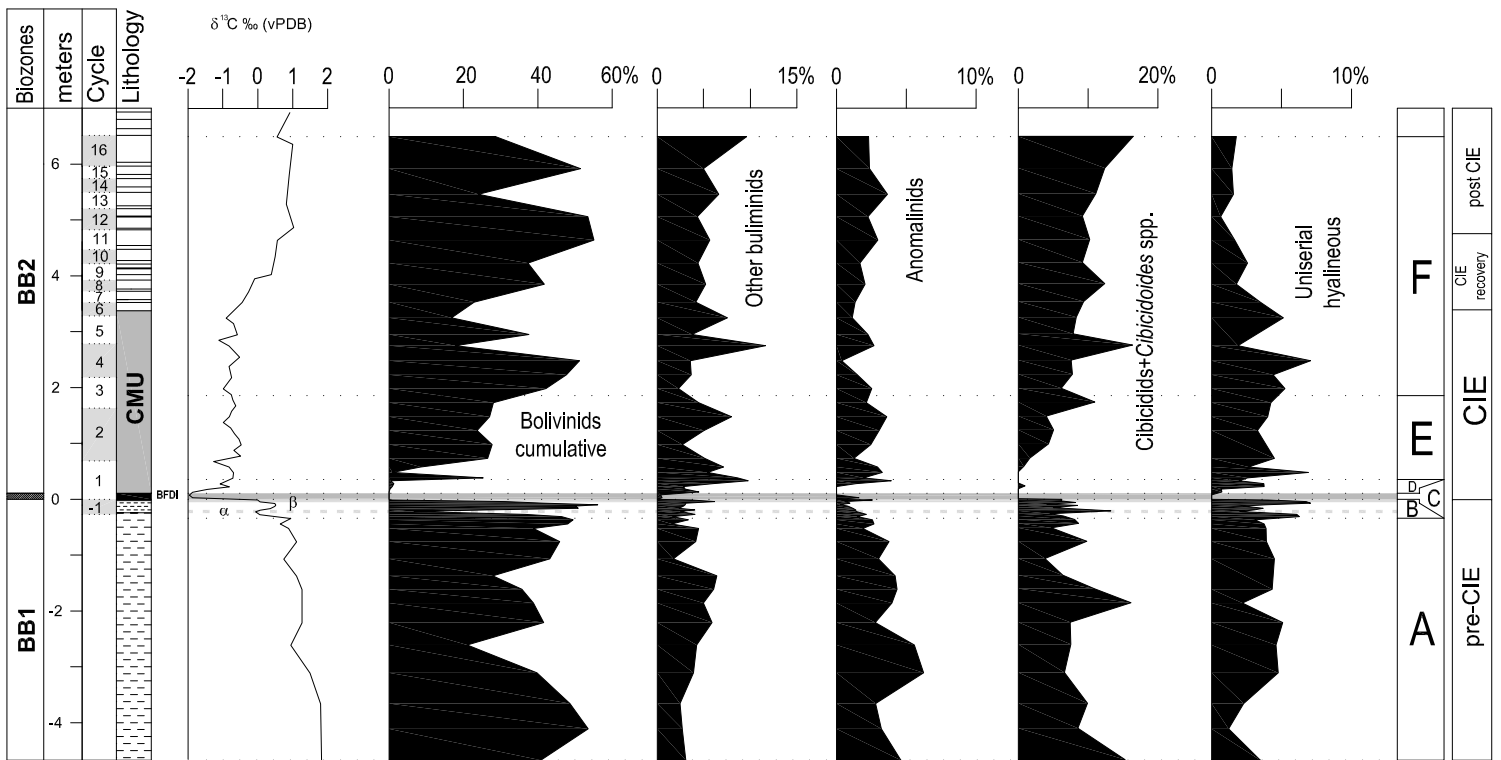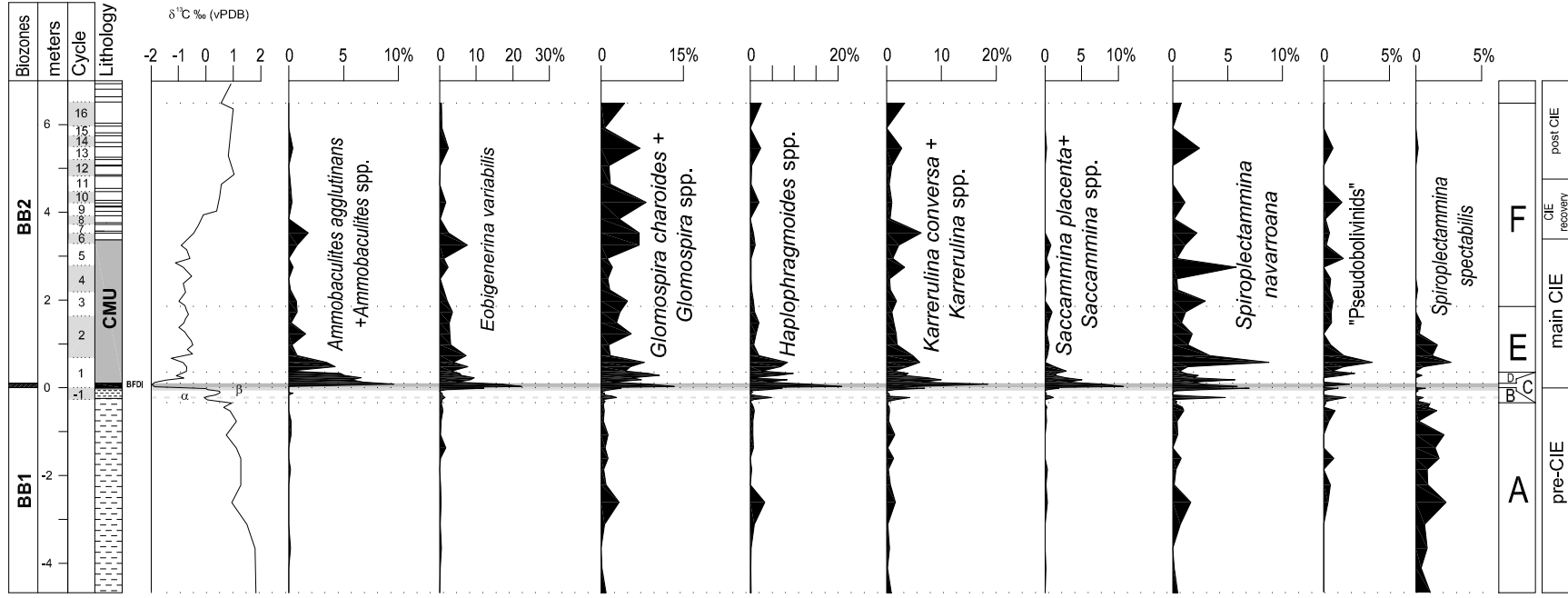| Series	Isotopic intervals	meters	Cycle	CALCAREOUS NANNOFOSSILS		PLANKTONIC FORAMINIFERA		BENTHIC FORAMINIFERA		
EOCENE	CIE RECOVERY AND POST-CIE	5	16 15 14 13 12 11 10 9 8 7 6	*Coccolithus-Toweius* dominated assemblage. After the removal of *Coccolithus* and *Toweius*, the assemblage is dominated by *Zygrablithus, Sphenolithus* and *Octolithus*. Return to more oligotrophic conditions.		Well diversified assemblage with still high variability. Relatively stable oligo-mesotrophic environment. More stratified upper water column. Ocean chemistry still perturbed.		Full recovery of bolivinids and *S. brevispinosa* drop. Peaks of *Bulimina tuxpamensis, Nuttallides truempyi* and abyssamminids. Maximum abundance of pleurostomellids. Still perturbed, but recovering environment. Less eutrophic environment at the sea-floor.	F	BB2
	MAIN CIE		5 4 3	Upward decrease in *Ericsonia* and gradual decrease of calcareous nannnofossils reworking. Less eutrophic and stressed conditions?	Presence of CNET (excursion taxa)	*Acarinina* dominance in more diversified assemblage. Drop in excursion taxa. Less stressed conditions, decrease of eutrophy. Dissolution decrease.	Radiolaria decrease	Peak of opportunistic *S. brevispinosa*. Decrease of *T. selmensis* and marked decrease in agglutinants. Less extreme but still strongly stressed environment. Further deepening of CCD. Still high food supply. Second wave of recolonizers: *G. subglobosa, T. selmensis* and *Osangularia* peaks. Absence of CET. Persisting high abundance of agglutinants. Gradual CCD deepening.	E	
			2	Decrease of *Sphenolithus, Octolithus, Zygrablithus* and *Fasciculithus*. Increase in *Ericsonia* and Cretaceous taxa reworking. Eutrophy and stressed conditions.		*Acarinina* strong dominance and acme of excursion taxa; intense warmth and eutrophy. Decreased oxygen. Highly stressed conditions.	Abundant Radiolaria	Dominance of agglutinant recolonizers. Lowest N/g and diversity. Deposition close to the CCD. Highly stressed and eutrophic environment. Possibly hypoxic conditions.	D C	
		0	1	Calcareous nannofossil dissolution interval.		Planktonic foraminiferal dissolution interval.				
			-1			*Acarinina* peaks: temperature increase.		Barren black clay (0.3 cm): dead zone.	B	
PALEOCENE	PRE-CIE			*Coccolithus-Toweius* dominated assemblage. After the removal of *Coccolithus* and *Toweius,* the assemblage is dominated by *Sphenolithus, Fasciculithus* and *Octolithus*. Oligotrophic conditions.		*Subbotina* advance and *Morozovella* decline: eutrophy increase. Onset of environmental perturbation. Well diversified assemblages with abundant *Morozovella*. Relatively stable and warm oligotrophic environment. Stratified water column.		Increase of *T. selmensis* and *S. brevispinosa*. Thin interval with decrease in preservation of foraminifera and increase in agglutinants coinciding with a -1‰ δ13C shift and CaCO3 decrease (20 cm below the CMU). Sea floor warming/change in food supply. Temporary rise of lysocline/CCD ca. 20 kyr before the CIE onset. At the top of the assemblage: burn down layer. High-diversity, infaunal-dominated (bolivinids) pre-extinction assemblage. Presence of specimens > 0.5 mm. Scarce Cosmopolitan Extinction Taxa (CET) (<10%) decreasing upwards with marked fluctuations. Oligo-mesotrophy in the upper water column. Significant supply of degraded organic matter to the sea floor.	A	BB1
		-5								

Fig. 9

Fig. 10

55.0 Ma Reconstruction

Table 1.

Ammobaculites agglutinans	Deep-infaunal recoloniser within the K/Pg boundary clay at Sopelana section (Spain). Adapted to low carbonate availability with high capability for dispersal and colonisation of abiotic substrates. Reported in present day slope high productivity areas.	Gooday, 2003; Gooday et al., 2001; Kuhnt and Kaminski, 1993.
Eobigenerina variabilis	Opportunist, able to live under low oxygen conditions. Dominant in the recovery faunas after the Cretaceous OAE2.	Cetean et al., 2008a,b. See also text.
Globocassidulina subglobosa	Cosmopolitan, highly adaptable, long-ranging opportunistic species. Modern representatives of this species described from a wide variety of environmental settings, including hydrate mounds. Possibly feeding on phytodetritus and reflecting pulsed food supply to the sea floor in oxygenated deepwater settings. Abundant at high southern latitudes where seasonality is extreme. At many sites it appears after the BEE and blooms as an opportunist.	Ernst et al., 2006; Gooday, 1993, 1994; Gupta and Thomas, 2003; Gooday et al., 2008; Ishman and Domack, 1994; Jorissen et al., 2007; Mohan et al., 2011; Murray and Pudsey, 2004; Nomura, 1995; Panieri and Sen Gupta, 2007; Sgarrella et al., 1997; Singh and Gupta, 2004; Suhr et al., 2003; Takata et al., 2010; Takeda and Kahio, 2007.
Glomospira spp.	Very abundant in the lowermost Eocene at several deep-water locations (the "*Glomospira* acme"). Generally oligotrophic indicators, they though could be indicative of an abundant supply of terrigenous, refractory organic matter, independent from local primary productivity. Resistant to carbonate dissolution and able to live in environments with low carbonate supply. High ecological tolerance: occur in environments subjected to rapid changes with fluctuating ecological conditions.	Arreguín-Rodríguez et al., 2013, 2014; Galeotti et al., 2004; Kaminski and Gradstein, 2005; Kaminski et al., 1996; Kuhnt and Collins, 1996; Ortiz, 1995; Waśkowska, 2011.
Haplophragmoides spp.	Representatives of the genus pioneer sediments just above anoxic OAE2 black shales in the abyssal North Atlantic that contain no benthic foraminifera. Commonly documented in the basal PETM dissolution interval of shelfal and bathyal Tethyan sections.	Alegret et al., 2005; Ernst et al., 2006; Friedrich, 2009; Kuhnt, 1992; Ortiz, 1995.
Karrrerulina conversa	Deep infaunal taxon peaking in the basal PETM at Zumaya (Spain). Resistant to carbonate dissolution and able to live in environments with low carbonate supply. Modern representatives are part of the oligotrophic biofacies on abyssal plains with well-oxygenated bottom and interstitial waters. Recognized in the lowermost Eocene of the Iberia Abyssal Plain.	Bąk, 2004; Kaminski and Gradstein, 2005; Kuhnt and Collins, 1996; Kuhnt et al. 2000; Ortiz, 1995; See text.
Oridorsalis umbonatus	Very long-ranging, extant taxon (since the Turonian-Coniacian). Opportunistic lifestyle. Reported both in oligotrophic and eutrophic environments. It may feed on phytodetritus. Shallow infaunal dweller, with very small tests but increased calcification just above the base of the PETM at Site 1263 (Walvis Ridge, SE Atlantic), where it dominates the assemblage.	Foster et al., 2013; Kaiho, 1998; Katz et al., 2003; Gooday, 1993, 1994; Gupta and Thomas, 1999; Gupta et al., 2008; Schmiedl, 1995; Schmiedl and Mackensen, 1997; Thomas and Shackleton, 1996; Wendler et al., 2013.
Osangularia spp.	Opportunistically repopulate the sea floor during short-term re-oxygenation phases of Cretaceous OAEs. Opportunistic phytodetritus feeders during OAE1b, thriving on an enhanced carbon flux to the sea floor and tolerating some degree of oxygen depletion. Peak of *Osangularia* spp. are reported across the PETM of the Alamedilla section (Spain).	Alegret et al., 2009a; Friedrich, 2009; Friedrich et al., 2005; Holbourn and Kuhnt, 2001; Holbourn et al., 2001. See also text.
Saccammina spp.	Recolonizer within the K/Pg boundary clay of the Sopelana section (Spain). Adapted to low carbonate availability with high capability for dispersal and colonisation of abiotic substrates. Common on modern productive continental margins.	Gooday et al., 2008; Kuhnt and Kaminski, 1993.
Siphogenerinoides brevispinosa	Typical of many open ocean sites in the aftermath of the peak CIE. Opportunist capable to rapidly colonize the sediment when productivity increases during environmental instability. At some locations it bloomed during the PETM and other hyperthermals, at others it had its highest occurrence in the lowermost part of the PETM.	Giusberti et al., 2009; Thomas, 1998, 2003, 2007; Thomas and Shackleton, 1996.
Spiroplectammina navarroana	Minor component of PETM postextinction faunas. At some locations common just after the K/Pg boundary.	Alegret et al., 2003; Alegret et al., 2009b; Ortiz, 1995.
Stilostomellids and pleurostomellids	Infaunal taxa widely distributed in oligotrophic and eutrophic regions with sustained or highly seasonal phytoplankton productivity. Tolerated warm, locally oxygen-depleted, carbonate-corrosive bottom waters, as demonstrated by their survival across the PETM. Across Cretaceous OAEs, pleurostomellids were found within black- shales. Possibly adapted to low-oxygen conditions, or able to rapidly recolonize the sea-floor during brief intervals of reoxygenation.	Coccioni and Galeotti, 1993; Friedrich, 2009; Friedrich et al., 2005; Hayward et al., 2010a,b, 2012; Holbourn and Kuhnt, 2001; Mancin et al., 2013.
Tappanina selmensis	Upper bathyal to outer shelf species in the Campanian and throughout the Paleocene. High-productivity, stress-tolerant and opportunistic species possibly thriving in continuously stressed, dysoxic sea bottom conditions. Common in the deep-sea only just before and especially following the BEE.	Alegret et al., 2009a; Boersma, 1984; D'haenens et al., 2012; Frenzel, 2000; Giusberti et al., 2009; Kuhnt, 1996; Kuhnt and Kaminski, 1996; Stassen et al., 2012a,b, 2015; Steineck and Thomas, 1996; Thomas, 1989, 1990, 1998; Thomas and Shackleton, 1996; van Morkhoven et al., 1986.

Plate 1

Plate 2

Plate 3

Plate 4