# Variability in climate and productivity during the Paleocene/Eocene Thermal Maximum in the western Tethys (Forada section)

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# 15 Abstract

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17 The Forada section (northeastern Italy) provides a continuous, expanded deep-sea record of the Paleocene/Eocene thermal maximum (PETM) in the central-western Tethys. We combine a new, high 18 resolution, benthic foraminiferal assemblage record with published calcareous plankton, mineralogical 19 and biomarker data to document climatic and environmental changes across the PETM, highlighting 20 the benthic foraminiferal extinction event (BEE). The onset of the PETM, occurring ~30 kyr after a 21 22 precursor event, is marked by a thin, black, barren clay layer, possibly representing a brief pulse of anoxia and carbonate dissolution. The BEE occurred within the 10 cm interval including this layer. 23 24 During the first 3.5 kyr of the PETM, several agglutinated recolonizing taxa show rapid species turnover, indicating a highly unstable, CaCO<sub>3</sub>-corrosive environment. Calcareous taxa reappeared after 25 this interval, and the next ~ 9 kyr were characterized by rapid alternation of peaks in abundance of 26 various calcareous and agglutinated recolonizers. These observations suggest that synergistic stressors, 27 including deep water CaCO<sub>3</sub>-corrosiveness, low oxygenation, and high environmental instability 28 caused the extinction. Combined faunal and biomarker data (BIT index, higher plant *n*-alkane average 29 chain length) and the high abundance of the mineral chlorite suggest that erosion and weathering 30 1

increased strongly at the onset of the PETM, due to an overall wet climate with invigorated 31 32 hydrological cycle, which led to storm flood-events carrying massive sediment discharge into the Belluno Basin. This interval was followed by the core of the PETM, characterized by four 33 precessionally paced cycles in CaCO<sub>3</sub>%, hematite%,  $\delta^{13}$ C, abundant occurrence of opportunistic 34 benthic foraminiferal taxa, as well as calcareous nannofossil and planktonic foraminiferal taxa typical 35 of high productivity environments, radiolarians, and lower  $\delta D_{n-alkanes}$ . We interpret these cycles as 36 37 reflecting alternation between an overall arid climate, characterized by strong winds and intense 38 upwelling, and an overall humid climate, with abundant rains and high sediment delivery (including refractory organic carbon) from land. Precessionally paced marl-limestone couplets occur throughout 39 the recovery interval of the CIE and up to ten meters above it, suggesting that these wet-dry cycles 40 persisted, though at declining intensity, after the peak PETM. Enhanced climate extremes at mid-41 latitudes might have been a direct response to the massive CO<sub>2</sub> input in the ocean atmosphere system at 42 43 the Paleocene-Eocene transition, and may have had a primary role in restoring the Earth system to 44 steady state.

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#### 46 **1** Introduction

47 The Paleocene-Eocene Thermal Maximum (PETM) has over the last twenty four years attracted intensive study by the scientific community, as one of the most dramatic and rapid climatic disruptions 48 of the Cenozoic (e.g., Kennett and Stott, 1991; Zachos et al., 2001; Sluijs et al., 2007a; McInerney and 49 Wing, 2011; Littler et al., 2014). During the PETM (~55.6 Ma), the Earth's surface temperature 50 increased by ~5°C in a few thousand years (McInerney and Wing, 2011; Dunkley-Jones et al., 2013; 51 Zeebe et al., 2014; Bowen et al., 2015), and remained high for 100 to 170-200 kyr (e.g., Röhl et al., 52 2007; Giusberti et al., 2007; Murphy et al., 2010). The PETM is recognized in terrestrial and marine 53 54 settings by a negative carbon isotope excursion (CIE; e.g., Kennett and Stott, 1991; Bowen et al., 2004), with variable magnitude ranging from ~2-4.5‰ in marine carbonates (e.g., Thomas and 55 Shackleton, 1996; Bains et al., 1999; Thomas et al., 2002; Zachos et al., 2006; Handley et al., 2008; 56 McCarren et al., 2008) to 4-7‰ in marine and terrestrial organic carbon and leaf waxes (e.g., Kaiho et 57 al., 1996; Bowen et al., 2004, 2015; Pagani et al., 2006a; Smith et al., 2007; Handley et al., 2008; 58 McCarren et al., 2008). This CIE is attributed to a massive, rapid input of isotopically light carbon into 59 the ocean-atmosphere system, which destabilized the global carbon cycle and led to rapid and extreme 60

global warming (e.g., Dickens et al., 1997; Thomas and Shackleton, 1996; Pagani et al., 2006b; 61 Panchuk et al., 2008; Dickens, 2011; DeConto et al., 2012). Both the source(s) of the carbon and the 62 triggering mechanism(s) of the emissions are still strongly debated (e.g., Meissner et al., 2014), in part 63 because the pattern and size of the CIE does not necessarily simply reflect the size and isotopic 64 signature of the carbon input, but is affected by biotic and sedimentary processes (e.g., Kirtland Turner 65 and Ridgwell, 2013). Despite these debates, the onset of the CIE is an outstanding global correlation 66 tool (McInerney and Wing, 2011; Stassen et al., 2012b), formally used to define the base of the Eocene 67 (Aubry et al., 2007). 68

69 The carbon cycle perturbation of the PETM led to acidification of surface ocean waters (Penman 70 et al., 2014) and severe shallowing of the calcite compensation depth (CCD; Zachos et al., 2005; Kelly et al., 2010; Hönisch et al., 2012). Widespread carbonate dissolution coincided with the base of the CIE 71 (e.g., Thomas and Shackleton, 1996; Thomas, 1998; Hancock and Dickens, 2005; McCarren et al., 72 2008). The paleoceanographic changes affected primary and export productivity (e.g., Thomas, 2007; 73 Winguth et al., 2012; Ma et al., 2014), which in general increased in marginal basins and along 74 75 continental margins, but decreased in open oceans (e.g., Gibbs et al., 2006; Stoll et al., 2007; Speijer et 76 al., 2012). The higher ocean temperatures may have led to increased remineralization of organic matter in the oceans due to increased metabolic rates (John et al., 2013, 2014; Boscolo Galazzo et al., 2014; 77 78 Ma et al., 2014). The combination of increased remineralization, higher temperatures and increased ocean stratification led to a decrease of oxygen levels in bottom waters regionally, especially along 79 80 continental margins (including the Arctic Ocean) and in the Atlantic Ocean (e.g., Benjamini, 1992; Speijer et al., 1997; Gavrilov et al., 1997; Thomas, 2007; Chun et al., 2010; Speijer et al., 2012; 81 Winguth et al., 2012; Nagy et al., 2013; Wieczorek et al., 2013; Dickson et al., 2014; Pälike et al., 82 83 2014; Post et al., 2016), while Oxygen Minimum Zones in open oceans expanded globally (Zhou et al., 2014), including at Forada (Luciani et al., 2007). 84

The increased primary productivity in marginal basins has been linked to increased influx of nutrients from the continents, caused by increased erosion and weathering due to intensification of the hydrological cycle, because precipitation is correlated to globally-averaged surface temperatures (e.g., Pierrehumbert, 2002). A widespread increase in kaolinite in PETM sediments has been related to the global increase in precipitation and intensity of chemical weathering (e.g., Robert and Chamley, 1991; Robert and Kennett, 1994; Kaiho et al., 1996; Gibson et al., 2000), as also suggested by Os-isotope evidence (Ravizza et al., 2001; Wieczorek et al., 2013). However, reconstruction of hydrological changes from clay mineral assemblages is complex, and additional evidence is needed (Thiry, 2000;
Schmitz and Pujalte 2003; 2007; Egger et al., 2003; 2005; Handley et al., 2012).

The severe climatic perturbations of the PETM profoundly affected terrestrial and marine 94 ecosystems, triggering faunal and floral radiations and migrations (e.g., Kelly et al., 1996; Bralower, 95 2002; Gingerich, 2003; Wing et al., 2005; Sluijs et al., 2007a; Jaramillo et al., 2010; McInerney and 96 Wing, 2011). Deep-sea benthic foraminifera experienced the most severe extinction of the Cenozoic, 97 98 the benthic foraminiferal extinction event (BEE) (Thomas, 1989, 1990, 1998; Kennett and Stott, 1991; Thomas and Shackleton, 1996; Alegret et al., 2009a, b; 2010). The BEE was rapid (<10 kyr; Thomas, 99 100 1989, 2003, 2007), and wiped out the Cretaceous bathyal and abyssal "Velasco-type fauna" (Berggren 101 and Aubert, 1975; Tjalsma and Lohmann, 1983; Thomas, 1998, 2007), marking a significant step towards the establishment of modern benthic foraminiferal fauna (Thomas, 2007). The extinction was 102 far less severe in shelf environments (Gibson et al., 1993; Speijer et al., 2012; Stassen et al., 2015). 103

The cause of this global extinction remains under debate, because neither anoxia nor higher or 104 lower productivity, nor carbonate dissolution occurred globally at bathyal to abyssal depths in the deep 105 sea, the largest habitat on Earth (e.g., Thomas, 2003, 2007; Alegret et al., 2010), and benthic 106 foraminifera are highly efficient dispersers (Alve and Goldstein, 2003). The link between the 107 environmental changes during the PETM and the benthic foraminiferal extincton event thus remains 108 109 poorly understood. A common obstacle to perform detailed high-resolution studies of the PETM in deep-sea sediments is the fact that many records are condensed or discontinuous, especially across the 110 111 few thousand years (Zeebe et al., 2014) of the onset of the carbon isotope excursion. The Forada section (northeastern Italy) represents an outstanding exception in that it contains an expanded deep-sea 112 record of the PETM, which has been extensively studied because of its continuity and cyclostratigraphy 113 (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007; Tipple et al., 2011; Dallanave et al., 114 2012). Carbonate dissolution is less severe at Forada than in many other sections, with calcareous 115 116 benthic foraminifera present for most of the interval characterized by the CIE (> 4 m; Giusberti et al., 2007). Given the limited number globally of complete and expanded deep-sea PETM sections, the 117 Forada section represents an invaluable opportunity to investigate the environmental impacts of the 118 PETM and repercussions on deep-sea fauna. 119

We provide a high-resolution benthic foraminiferal record for the Forada section, in order to
 reconstruct the progression (tempo and mode) of environmental and biotic changes during the PETM.
 These data allow us to reconstruct the environmental disruption and the benthic foraminiferal response

to PETM warming in detail, and document the community recovery. Benthic foraminiferal data are
integrated with sedimentological and geochemical data (Giusberti et al., 2007; Tipple et al., 2011), and
data on calcareous plankton communities (Agnini et al., 2007; Luciani et al., 2007), providing perhaps
the most complete reconstruction across the PETM in Europe todate.

We pay homage to research by Italian researchers (Di Napoli Alliata et al., 1970; Braga et al.,
1975), who first described the benthic foraminiferal turnover across the Paleocene-Eocene transition in
Italy.

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# 131 2 Materials and methods

# 132 2.1 The Forada section

The Forada section (46.036083°N, 12.063975°E) is exposed along the Forada creek, ~ 2 km east of 133 134 the village of Lentiai (Fig. 1) in the Venetian Pre-Alps (NE Italy). It consists of ca. 62 m of Scaglia Rossa, pink-reddish limestones and marly limestones, locally rhythmically bedded, and encompassing 135 the Upper Cretaceous through the lower Eocene (Fornaciari et al., 2007; Giusberti et al., 2007). The 136 upper Paleocene-lower Eocene succession is interrupted by the clay marl unit (CMU; Giusberti et al., 137 2007), which marks the PETM and correlates with clay-rich units on other continental margins (e.g., 138 139 Schmitz et al., 2001; Crouch et al., 2003; John et al., 2008; Nicolo et al., 2010). The investigated interval has been subdivided into four sub-intervals based on the  $\delta^{13}$ C record in bulk rock (Giusberti et 140 al., 2007). From bottom to top, these are the pre-CIE, the main CIE, the CIE recovery and post-CIE 141 (Fig. 2). The main CIE (Giusberti et al., 2007; Figs. 2, 3) occurs in the >3 m-thick CMU, within which 142 are recorded the short-lived occurrences of the calcareous plankton "excursion taxa" (Kelly et al., 1996, 143 144 1998) and the BEE (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007). Sedimentation rates in the CMU were five times higher than in the upper Paleocene, indicating increased continental 145 weathering and run-off, which led to increased sediment influx in the Belluno Basin (Giusberti et al., 146 147 2007).

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#### 149 2.2 Benthic foraminifera

Benthic foraminiferal assemblages were studied in 54 samples from the same set studied by Luciani et al. (2007) across an ~11 meter-thick interval straddling the PETM (-467 to +591.5 cm; Fig.

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2), which reflects ~ 800 kyr (Giusberti et al., 2007). In this study the planktic foraminifera 152 fragmentation index (F Index) of Luciani et al. (2007) is used as a proxy for dissolution (Figs. 2, 3) 153 (Hancock and Dickens, 2005). The sample spacing for benthic foraminiferal assemblage analysis was 154 determined based on biostratigraphic and cyclostratigraphic data (Agnini et al., 2007; Giusberti et al., 155 2007; Luciani et al., 2007). A sampling interval of 3-5 cm was used across the onset of the CIE (-42.5 156 to + 50 cm interval), a 25 cm sample interval over the main CIE (from +75 to 335 cm). Below -42.5 cm 157 and above 335 cm we adopted a spacing between 20 and 50 cm. Samples were collected excluding, to 158 the extent possible, bioturbated material. Further selection and removal of bioturbated material was 159 160 carried out in the laboratory before sample processing. Data previously collected from the Forada 161 section indicate that significant bioturbation effects are not present (e.g., Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007). 162

For aminifera were extracted from the indurated marls and limestones using the "cold acetolyse" technique of Lirer (2000), following Luciani et al. (2007). Soft marly and clayey samples (mostly from the CMU interval) were disaggregated using a 10–30% solution of hydrogen peroxide. The samples with the lowest content of CaCO<sub>3</sub> (e.g., clays of basal CMU) were treated with diluted hydrogen peroxide (10%), in order to prevent possible additional breakage of tests (especially of planktic foraminifera). For more details on the comparison between the two methods of preparation (cold acetolyse versus hydrogen peroxide), we refer to Luciani et al. (2007).

The quantitative study of benthic foraminifera was based on representative splits (using a micro-170 171 splitter Jones, Geneq Inc.) of approximately 200–400 individuals >63  $\mu$ m and <500  $\mu$ m (Table S1). The use of the small-size fraction is time-consuming and presents difficulties in taxonomic determination, 172 but we preferred to avoid the loss of small taxa, which are important for paleoecological investigations 173 (e.g., Thomas 1985; Boscolo Galazzo et al., 2013; 2015), especially directly after the BEE when small 174 species are dominant (Thomas, 1998; Foster et al., 2013). Between 0 and -222 cm (uppermost 175 176 Paleocene), the fraction  $\geq 125 \ \mu m$  of at least 1/4 of the residue was carefully scanned for large specimens of the extinction taxa, here labeled "Cosmopolitan Extinction Taxa" (CET) (see Thomas, 177 1998, 2003). These CET records have been treated qualitatively (Fig. 4). The extinction taxa include: 178 Anomalinoides rubiginosus, Angulogavelinella avnimelechi, Aragonia velascoensis, Bolivinoides 179 delicatulus, Cibicidoides dayi, C. hyphalus, C. velascoensis, Clavulina amorpha, Clavulinoides 180 trilatera, Clavulinoides globulifera, Coryphostoma midwayensis, Dorothia beloides, D. bulletta, D. 181 182 pupa, D. retusa, Neoeponides megastoma, Gavelinella beccariiformis, Gyroidinoides globosus, G. 6

quadratus, Marsonella indentata, Neoflabellina jarvisi, N. semireticulata, Nuttallinella florealis,
Osangularia velascoensis, Paralabamina hillebrandti, Pullenia coryelli, Remesella varians (e.g.,
Beckmann, 1960; Von Hillebrandt, 1962; Tjalsma and Lohmann, 1983; Speijer et al., 1996; Thomas,
1998), each of which is present at Forada.

We identified most common taxa at the species level (Table S2). Taxa with high morphological 187 variability and/or variable preservation were identified at generic or higher taxonomic level. Specimens 188 of the most representative taxa were imaged using the SEM at the C.U.G.A.S. (Centro Universitario 189 Grandi Apparecchiature Scientifiche) of Padova University (Plates 1-4). Relative abundances of the 190 191 taxa and taxon-groups, along with faunal indices such as the calcareous-agglutinated ratio, the infaunal-192 epifaunal ratio, and bi-triserial percentage were calculated (Figs. 2, 5-7 and Fig. S1). The absolute abundance (N/g: number of benthic foraminifera per gram-bulk dried sediment) was calculated for both 193 the  $\geq 63$  and  $\geq 500 \mu m$  fractions. Faunal diversity indices (Species diversity and Fisher- $\alpha$ ; Fig. 2) were 194 calculated using the PAST package (Hammer et al., 2001). Segments belonging to tubular/branched 195 196 agglutinated forms (e.g., Rhizammina, Rhabdammina, Bathysiphon) were counted, but excluded from 197 calculations because there is no reliable method to convert the abundance of multiple fragments into that of single individuals (Ernst et al., 2006). 198

We assigned species to epifaunal and infaunal morphotypes by comparing their test morphology to 199 200 the morphotypes in Corliss (1985), Jones and Charnock (1985), Corliss and Chen (1988), Kaminski and Gradstein, (2005), Hayward et al. (2012), and Mancin et al. (2013). However, caution is needed in 201 202 applying taxonomic uniformitarianism due to our limited knowledge of the biology and ecology of the highly diverse living species. Even for many living species, the relation between test morphology and 203 204 microhabitat has not been directly observed, but is extrapolated from data on other taxa (e.g., Jorissen, 205 1999). The assignment of modern for a minifera to microhabitats based on their morphology may be accurate only about 75% (Buzas et al., 1993): comparisons between past and recent environments thus 206 207 need careful evaluation, and cross correlation between benthic foraminiferal and other proxy data. The ecology as evaluated from the literature (Table 1) is shown for selected benthic foraminiferal taxa from 208 the PETM interval at Forada. 209

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The age model used for calculating the longevity of benthic foraminiferal assemblages (see below) 212 follows Luciani et al. (2007), with the lower Eocene chronology based on the cyclostratigraphic age 213 model of Giusberti et al. (2007; Fig. 3). The duration of each precessional cycle has been assumed to be 214 21 kyr. Sedimentological and geochemical parameters oscillate cyclically within the main CIE, in at 215 least five complete precessional cycles (Figs. 2, 3). The CIE recovery interval is composed of six 216 distinct, precessional marly-limestone couplet cycles (Fig. 3). The recognition of eleven cycles in the 217 combined CIE and recovery interval implies an estimate of the total duration of the CIE of ca. 230 kyr 218 (Fig. 3). Giusberti et al. (2007) and Röhl et al. (2007) disagree on the duration of the main CIE and 219 recovery interval (179±17 kyr and 231±22 kyr, respectively). The main difference between these two 220 221 chronologies is the assignment of different numbers of precessional cycles within the main body and recovery interval (Tipple et al. 2011). A <sup>3</sup>He-based chronology for Site 1266 (Walvis Ridge) suggests a 222 total PETM duration of 234 +48/-34 kyr (Murphy et al., 2010), in line with the age model of Giusberti 223 et al. (2007). 224

Lithological cycles have not been firmly identified in the Paleocene part of the section, and sedimentation rates are interpolated between the base of the PETM at  $\pm 0$  cm and the lowest occurrence of the calcareous nannofossil *Discoaster multiradiatus* at ca.–12.5 m (Giusberti et al., 2007), using a duration of the time between these events of 1.238 Myr (Westerhold et al., 2007). In this age model, the investigated portion of Forada section spans ca. 800 kyr.

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#### 231 **3 Results**

Benthic foraminiferal assemblages are generally dominated by calcareous hyaline taxa (85-90%; Fig. 2), but agglutinated taxa significantly increase in abundance within the CMU (25-90%; Fig. 2). Infaunal taxa strongly dominate the assemblage throughout the studied interval (~80%). Faunal diversity is fairly high, particularly in the upper Paleocene (Fig. 2), and preservation is generally moderate, though poor within the lowermost centimeters of the Eocene. Most foraminiferal tests at Forada are recrystallized, and totally or partially filled with calcite.

Composition and abundance of the assemblages change prominently across the ca. 11 m-thick interval investigated (Figs. 2, 5-7) coeval with the geochemical signature of the PETM, and broadly coincident with the main lithological changes. We recognized six successive benthic foraminiferal

assemblages (labeled A to F; Figs. 2, 5-8), mainly based on changes in abundance of the taxa listed in 241 242 Table 1. Assemblages A and B are characteristic of the dominantly reddish calcareous marls mottled by greenish "flames" of the uppermost Paleocene, separated by the thin, barren clay layer from 243 Assemblages C, D and E, which occur in the first half of the main excursion of the CIE (lowermost 244 245 Eocene), within the CMU (basal green laminated clays overlied by mottled reddish clays, marly clays and marls). Assemblage F characterizes the marls of the upper half of the CMU, as well as the CIE 246 247 recovery interval and the overlying post-excursion interval of reddish limestone-marl couplets (Giusberti et al., 2007). 248

# 249 3.1.1 Assemblage A: the upper Paleocene fauna

Assemblage A (-467.5 to -37.5 cm, estimated duration >430 kyr) has a high diversity, with 250 abundant infaunal taxa (ca. 70-80%; Fig. 2). Small bolivinids (<125 µm) of the Bolivinoides crenulata 251 group (Plate 3, Figs. 7-9), and smooth-walled *Bolivina* spp. together comprise 50-60% of the > 63  $\mu$ m 252 fauna (Fig. 5), with Siphogenerinoides brevispinosa (~10%) and other buliminids less common (Figs. 253 254 5, 6). Epifaunal morphotypes are mainly represented by small cibicidids (10%), Anomalinoides spp. 255 (5%) and Cibicidoides spp. (usually <5%; Fig. 5). Rare taxa include reussellids, angulogerinids, nodosariids, dentalinids, gyroidinids, valvalabaminids and unilocular hyaline taxa (Fig. S1). 256 Agglutinated taxa are mainly represented by Spiroplectammina spectabilis, Trochamminoides spp., 257 258 Paratrochamminoides spp., Reophax spp. and Subreophax spp. The Paleocene Cosmopolitan 259 Extinction Taxa (CET; Plate 1) are not a major component of the assemblage >63  $\mu$ m (<10%; Fig. 6), 260 but are common to abundant in the size fraction >125  $\mu$ m (>20%). Many of these have large, heavily 261 calcified tests. The most common taxa include Gavelinella beccariiformis, Pullenia coryelli and Coryphostoma midwayensis (Table S1). CET such as Clavulinoides globulifera, Cibicidoides dayi and 262 263 Cibicidoides velascoensis are common in the >500 µm size fraction, together with trochamminids and large lituolids (Plate 1, Figs. 19, 6-8; Plate 4, Figs. 7, 8, 14, 20). The latter occur up to the top of the 264 Paleocene, but are absent in the Eocene. At -261.5 cm, the Cosmopolitan Extinction Taxa (CET) peak 265 at 15%, their maximum abundance in the studied section (Fig. 6). At the same level, peaks of large, 266 stout, heavily calcified taxa (e.g., Cibicidoides and anomalinids) co-occur with agglutinated taxa 267 (Glomospira, Spiroplectammina and Haplophragmoides, Figs. 6, 7), whereas small, thin-walled forms 268 such as bolivinids, *Siphogenerinoides brevispinosa* and cibicids decline markedly in relative abundance 269

270 (Figs. 5-7). Faunal density (N/g), diversity and the percentage abundance of infaunal morphotypes 271 decrease (Fig. 2), as do  $\delta^{13}$ C and CaCO<sub>3</sub>%, whereas the planktonic foraminiferal fragmentation index 272 (F Index) increases significantly (Fig. 2). The upper boundary of this assemblage is defined by the 273 increase in abundance of the opportunistic taxa *Tappanina selmensis* and *Siphogenerinoides* 274 *brevispinosa*, marking the onset of Assemblage B.

# 3.1.2 Assemblage B: the pre-CIE Paleocene fauna

Assemblage B occurs at -31 to 0 cm, estimated duration ~ 34 kyr. At about -20 cm the lithology 276 shifts from reddish to greenish marls with Zoophycos and Chondrites (intervals Pa I and II of Giusberti 277 278 et al., 2007). In this assemblage, Siphogenerinoides brevispinosa and Tappanina selmensis increase in relative abundance compared to Assemblage A (>10% at ~-27 and -12 cm; Figs. 6, 7). Between the 279 two peaks of S. brevispinosa (at about ~-20 cm; Figs. 6, 7), there is a transient negative carbon isotope 280 281 excursion of about 1‰, a drop in CaCO<sub>3</sub> from 60 to 40%, a decline in the coarse fraction to 2%, and a peak in the F-Index (85-90%; Figs. 2, 3). Small and thin-walled taxa such as bolivinids, cibicidids and 282 S. brevispinosa decrease markedly in relative abundance, whereas big, heavily calcified taxa (e.g., 283 Cosmopolitan Extinction Taxa, Cibicidoides spp., Nuttallides truempyi) and agglutinated forms 284 increase (Figs. 5-7). In addition, faunal density drops, as does the percentage of infaunal taxa (from 285 90% to 50%), and diversity increases (Fig. 2). From -4.5 cm upwards, the preservation of benthic 286 foraminifera deteriorates, while the F Index reaches 100% (Figs. 2, 3). At -1.5 cm preservation worsens 287 and most bi-triserial taxa decline in abundance drastically, whereas benthic foraminiferal absolute 288 abundance and CaCO<sub>3</sub>% both decrease (Fig. 2). Faunal diversity peaks, and anomalinids, *Cibicidoides* 289 spp., N. truempyi, O. umbonatus as well as agglutinated forms increase markedly in relative abundance 290 291 (Figs. 2, 5, 6). In the uppermost Paleocene sample, we see the highest occurrence of most CET (Figs. 4, 6). Few CET (e.g., Aragonia velascoensis) disappear below this sample (Fig. 4). These are generally 292 rare, occurring discontinuously throughout the Paleocene, even in large samples of residue  $>125 \,\mu m$ 293 (Fig. 4). The uppermost occurrence of the CET defines the upper boundary of this assemblage, at the 294 295 base of the black clay layer (Figs. 4, 6).

296 **3.1.3 The black clay** 

The lowermost Eocene is a thin, black clay layer (0 to +0.3 cm), slightly enriched in organic carbon, and carbonate-free (Giusberti et al., 2007; Figs. 3, 8). This clay marks the base of the CMU, and contains a few specimens only, agglutinated benthic foraminifera of the genera *Haplophragmoides* and *Recurvoides* (10 specimens in 22 g washed sediment). It probably was deposited over less than a millennium, in view of its small thickness and place within the precessionally paced cycles in the PETM.

# 303 3.1.4 Assemblage C: basal CIE agglutinated fauna

304 We label this lowermost Eocene interval (lowermost 10 cm of laminated green clays of CMU; estimated duration ~3.5 kyr) the BFDI (i.e., benthic foraminiferal dissolution interval), sediment with 305 low CaCO<sub>3</sub> wt % (~15%), and the most negative  $\delta^{13}$ C values in bulk carbonate (-2‰). Assemblage C is 306 307 dominated by agglutinated taxa (about 90%; Fig. 2) with badly preserved and deformed tests. Tests of 308 calcareous-hyaline forms are rare, partially dissolved and fragmented. Assemblage C has minimum values of faunal density (<5), diversity, and wt% coarse fraction (Fig. 2). Infaunal morphotypes have 309 their lowest abundance (ca. 36%; Figs. 2, 6). Agglutinated foraminifera are mainly represented by 310 Eobigenerina variabilis (25%; Plate 1, Figs. 2, 3), Haplophragmoides spp. (20%), Glomospira spp. 311 312 (15%), Saccamina spp. (10%) and Spiroplectammina navarroana (~ 8%; Plate 2, Fig. 6). In its upper part, Assemblage C has high abundances of Karrerulina spp. (~20%; K. conversa; Plate 2, Fig. 4) and 313 314 Ammobaculites agglutinans (10%; Plate 2, Fig. 1). The latter taxa occur at relatively high abundance in 315 the overlying assemblages, up to  $\sim+50-70$  cm (Figs. 6, 7). The upper boundary of this assemblage is defined by the first substantial recovery of hyaline taxa (>50%). 316

## 317 3.1.5 Assemblage D: lowermost CIE fauna

In Assemblage D (+10 to +35 cm, lithologically characterized by laminated green clays; estimated 318 319 duration ~9 kyr), calcareous-hyaline forms are consistently present and badly preserved, with dominant taxa having dwarfed and thin-walled tests, e.g., Globocassidulina subglobosa (25%), Tappanina 320 selmensis (20%), and Osangularia spp. (~11%; Figs. 6, 7; Plate 2, Figs. 13-16). A specific assignment 321 322 of basal PETM osangulariids at Forada is not possible because of their very small size and poor state of preservation. From +30 cm upwards, relative abundances of G. subglobosa and Osangularia spp. 323 drastically decline, whereas T. selmensis reaches its maximum abundance (ca. 33%; Figs. 6, 7). Minor 324 325 components are "other buliminids" group (up to 10% at the top of the Assemblage; see Fig. 5 and Fig.

5- related caption), *Pleurostomella* spp., *Oridorsalis umbonatus*, anomalinids and stilostomellids (Figs. 5, 6 and Fig. S1). Agglutinated forms remain abundant, up to 50%. At +20 cm, calcified radiolarians become abundant, dominating the microfossil association up to +2 m above the base of CMU (Luciani et al., 2007; Figs. 3, 8). Within the interval of Assemblage D,  $\delta^{13}$ C shifts from -2 to -1‰, and the CaCO<sub>3</sub> wt% recovers to ~40%, despite strong dilution with terrigenous sediments (Fig. 3). The upper boundary of this assemblage is defined by the consistent decrease of *T. selmensis* (to <5%).

# 332 3.1.6 Assemblage E: main CIE fauna I

In this interval (+35 to +185 cm; lithologically characterized by green and reddish clays and marls; 333 334 estimated duration ca. 42 kyr) benthic foraminiferal preservation improves, and calcareous-hyaline forms dominate the assemblages again (Fig. 2). Siphogenerinoides brevispinosa is consistently present 335 again, with two peaks up to 20% (Figs. 6, 7). Pleurostomella spp. increase to up to >10%, and 336 337 Bolivinoides crenulata and smooth-walled Bolivina spp. to up to 30 - 40% (Figs. 5, 6). Calcareoushvaline epifaunals such as cibicids and anomalinids reappear at <5% (Fig. 5). Faunal density and 338 diversity gradually increase upwards, whereas agglutinated taxa markedly decrease in abundance 339 (<20%) at  $\sim$ +70 cm (Fig. 2). The upper boundary of this assemblage is defined by the marked drop in 340 relative abundance of *S. brevispinosa* (to <5%). 341

# 342 3.1.7 Assemblage F: main CIE fauna II, CIE recovery and post CIE fauna

Assemblage F characterizes the upper half of the CMU (reddish marls), from about +185 cm up to 343 its top (+337.5 cm), and the overlying interval (red marly limestone couplets) up to +649 cm; estimated 344 total duration > 281 kyr). The relative abundance of Siphogenerinoides brevispinosa is low (<5%), 345 whereas Bulimina tuxpamensis and Nuttallides truempyi increase in abundance, respectively to 5 and 346 347 10%, and show cyclical variations in relative abundance (Figs. 6, 7). Pleurostomellids (~10%), "other buliminids" group (~10%; Fig. 5), cibicids (~10%), Oridorsalis umbonatus (~5%), stilostomellids 348 (~5%) and Abyssammina spp. (~5%) are common (Figs. 5, 6). Relative abundance of infaunal taxa 349 (mostly bolivinids) and faunal density (N/g) returns to their Paleocene values (75-80%; Fig. 2). 350 Diversity increases (simple diversity up to 60, Fisher- $\alpha$  diversity up to 20; Fig. 2) but remains lower 351 352 than in the Paleocene. All faunal indices show cyclical variation (Fig. 2), as do the relative abundance of benthic foraminifera, and planktic foraminiferal and calcareous nannofossil assemblages (Agnini et 353

al., 2007; Luciani et al., 2007). In the lower third of the interval in which this assemblage occurs, just
above the CMU (ca. +337.5 cm), the relative and absolute abundance of radiolarians decrease markedly
and agglutinated taxa such as *Glomospira* spp., *Eobigenerina variabilis* and *Karrerulina* spp. slightly
increase in relative abundance (~+2-3%) (Figs. 2, 3, 6, 7).

358

# 359 4 Discussion

## 360 4.1 Paleodepth of the Forada section

Based on benthic foraminifera in the  $>125\mu m$  size fraction, Giusberti et al. (2007) suggested a 361 362 paleodepth between 600 and 1000 meters for the Forada section. Our data on the  $>63 \mu m$  size fraction suggest a somewhat greater paleodepth, i.e., upper lower bathyal, between 1000 and 1500 meters (van 363 364 Morkhoven et al., 1986). Representatives of the bathyal and abyssal Velasco-type fauna (Berggren and Aubert, 1975), such as Aragonia velascoensis, Cibicidoides velascoensis, Gyroidinoides globosus, 365 Nuttallides truempyi, Nuttallinella florealis, Osangularia velascoensis and Gavelinella beccariiformis 366 are common at Forada. The faunas across the uppermost PETM interval and higher are similar to the 367 PETM-fauna in the upper abyssal Alamedilla section (Souther Spain; Alegret et al., 2009a) and at 368 Walvis Ridge at 1500 m paleodepth (Thomas and Shackleton, 1996; Thomas, 1998). Abyssammina 369 spp. and Nuttallides truempyi (upper depth limit at 1000 and 300 m respectively; Van Morkhoven et 370 al., 1986; Speijer and Schmitz, 1998) increase in abundance by more than a factor of 2 during the 371 PETM at Forada, as typical for PETM deep-sea benthic foraminiferal records (e.g., Thomas, 1998; 372 373 Thomas and Shackleton, 1996; Thomas, 2007; Alegret et al., 2009a, 2010; Giusberti et al., 2009). In these deliberations we excluded the bolivinids, because we consider that their high abundance is due to 374 the "delta depression effect" (see below). 375

# **4.2 Environmental reconstruction during the late Paleocene**

# 4.2.1 The Belluno Basin Paleocene deep-sea environment (Assemblage A)

Throughout most of the investigated section, infaunals strongly dominate over epifaunals, mainly due to the high abundances of bolivinids (Figs. 2, 5). Such dominance of bolivinids is common in lower and middle Eocene hemipelagic Scaglia sediments in the Belluno basin (Agnini et al., 2009; Boscolo Galazzo et al., 2013). Presently, bolivinids are common along continental margins, and at

bathyal depths, at the interception of the oxygen minimum zone (OMZ) with the seafloor, typically 382 between 200 and 1000 m in modern oceans (Levin, 2003). High abundances of bolivinids commonly 383 correlate with high organic matter flux and/or oxygen depletion (e.g., Murray, 1991; Gooday, 1994; 384 Bernhard and Sen Gupta, 1999; Schmiedl et al., 2000; Thomas et al., 2000; Jorissen et al., 1995, 2007; 385 386 Thomas, 2007). We see high abundances of such taxa typically at greater depths than usual in regions with significant organic matter input from rivers, the so-called "delta-depression" effect first described 387 388 in the Gulf of Mexico (Pflum and Frerichs, 1976; Jorissen et al., 2007). Such lateral inputs of organic matter thus result in (partial) decoupling between the food supply to the benthos and local primary 389 390 productivity (e.g., Fontanier et al., 2005; Arndt et al., 2013).

At Forada, there is neither geochemical nor sedimentological evidence for persistent suboxic 391 conditions at the sea-floor (Giusberti et al., 2007), and the high benthic foraminiferal faunal diversity 392 393 likewise does not indicate low oxygen conditions. The upper Paleocene calcareous plankton is dominated by morozovellids indicating oligotrophic surface water conditions (Luciani et al., 2007; Fig. 394 8). The calcareous nannofossil assemblage is dominated by the generalist taxa Toweius and 395 396 Coccolithus, with high percentages of Sphenolithus and Fasciculithus (Agnini et al., 2007; Fig. 8), supporting that surface waters were oligotrophic. We thus think that environments in the Belluno 397 Basin, close to a continental margin (Agnini et al., 2007), were characterized by the "delta depression 398 effect", in which hemipelagic sedimentation incorporated significant laterally transported terrigenous 399 400 organic matter to serve as food for the benthos (e.g., Fontanier et al., 2005; Arndt et al., 2013).

401 The occurrence of large, epifaunal (> 500  $\mu$ m) species (Assemblage A and B), has been related to an 402 optimum food supply, but also to very low food supply, since a lack of food keeps individuals from 403 reproducing successfully and leads to continued test-growth (Boltovskoy et al., 1991; Thomas and 404 Gooday, 1996).

Overall, Assemblage A, indicates oligo-mesotrophic surface waters, with bolivinids probably
exploiting refractory, laterally advected organic matter. The high faunal diversity suggests that seasonal
to periodical increases in primary productivity may have occurred (e.g., Gooday, 2003; Fontanier et al.,
2006a, b, 2014), allowing a species-rich, highly diverse infauna and epifauna to inhabit the sea-floor,
and co-occur with the bolivinids in the sedimentary record.

410 At Forada, the relative abundance of Paleocene Cosmopolitan Extinction Taxa (CET) is low 411 (average <10%; Fig. 6), due to the large number of Bolivinacea dominating the fine size fraction used for this study (>63  $\mu$ m). Many CET are epifaunal morphotypes, commonly larger than 125  $\mu$ m, as also noted elsewhere (e.g., Giusberti et al., 2009). Similarly low percentages (12-15%) of CET have been recorded in Scaglia sediments of the Contessa section (Giusberti et al., 2009) and at ODP Site 690 by Thomas (2003), where infaunal morphotypes (buliminids and uniserial calcareous taxa) are abundant in the >63  $\mu$ m fraction.

417

# 418 **4.2.2** The precursor warming event (Assemblage B)

The onset of Assemblage B, about 34 kyr before the onset of the CIE (~-30 cm), is marked by 419 420 increase in relative abundance of opportunistic taxa such as Tappanina selmensis and Siphogenerinoides brevispinosa (Figs. 6, 7; Table 1). The arrival of Tappanina selmensis, an upper 421 bathyal to outer shelf species in the Maastrichtian (Frenzel, 2000), at greater depths might indicate 422 423 warming of deep waters before the beginning of the PETM, as also reflected in the migration of warm-424 water planktonic species to high southern latitudes (Thomas and Shackleton, 1996; Table 1). The benthic foraminiferal changes roughly coincided with a significant increase in acarininids% (planktonic 425 foraminifera, >50%), likely indicating warming of surface waters (Luciani et al., 2007; Fig. 8). The 426 foraminiferal assemblages hence suggest warming throughout the water column, and increased surface 427 428 nutrient availability and deep-water food availability, whereas no changes in productivity in calcareous 429 nannofossils are recorded (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). The foraminiferal evidence for warming is associated with an increase in  $\delta D_{n-alkanes}$  and TEX<sub>86</sub> values (Fig. 9), suggesting increased 430 aridity and sea surface temperature prior to the onset of the CIE (Tipple et al., 2011). 431

432 Multiple proxies thus indicate that climatic and oceanographic conditions started to change ~30 kyr before the onset of the CIE, pointing to a PETM precursor event, reflected by a <5-cm thick 433 dissolution interval at ~-22 cm, coinciding with a negative shift in bulk  $\delta^{13}$ C (-1‰; Figs. 2, 3). Within 434 435 this interval dissolution-sensitive benthic foraminifera (e.g., S. brevispinosa and small bolivinids) markedly decrease in abundance, while more robust and agglutinated taxa increase (Figs. 2, 5-8), as 436 does the F-Index of planktic foraminifera (to ~ 85-90%; Luciani et al., 2007; Fig. 3). This dissolution 437 438 level may thus reflect a brief episode of rising lysocline/CCD (<5 kyr) in response to a precursory emission of isotopically light carbon (Bowen et al., 2015). Similar precursor events have been observed 439 worldwide (e.g., Sluijs et al., 2007b; 2011; Secord et al., 2010; Kraus et al., 2013; Garel et al., 2013; 440

Bornemann et al., 2014; Bowen et al., 2015), indicating that disturbance of the global carbon cycle
started before the PETM, as potentially also reflected in the occurrence of hyperthermals in the
Paleocene (Thomas et al., 2000; Cramer et al., 2003; Coccioni et al., 2012).

At the top of Assemblage B (uppermost 4.5 cm), just prior to the onset of the CIE, carbonate 444 445 preservation declined markedly, as reflected in F-Index, CaCO<sub>3</sub>%, and foraminiferal preservation. In this interval, representing the "burndown" layer (BL; e.g., Thomas and Shackleton, 1996; Thomas et 446 al., 1999; Giusberti et al., 2007; Figs. 4, 7, 8), CET remained present. Dissolution in the upper BL 447 removed most thin, dissolution-prone calcareous tests (e.g., Siphogenerinoides brevispinosa and small 448 bolivinids), concentrating the more heavily calcified and the agglutinated taxa (included CET; Fig. 5-449 450 7). Benthic foraminiferal assemblages in the topmost Paleocene at Forada thus cannot be interpreted with confidence due to the severe dissolution. 451

# 452 4.3 Climate and marine life during the PETM

# 453 4.3.1 The black clay: a desert below the CCD

454 This very thin, carbonate-free interval is somewhat enigmatic. The virtually barren sediment may have been deposited during the maximum rise of the CCD, under environmental conditions so 455 unfavorable that benthic life was excluded, a "dead-zone" (sensu Harries and Kauffman, 1990) during 456 457 the earliest phase of the PETM. Geochemical redox indices in the black clay and the underlying and overlying samples suggest persistently oxygenated bottom waters (Giusberti et al., 2007), but may 458 459 reflect diagenesis during re-oxygenation of bottom waters after a short period of anoxia, as commonly observed for Mediterrean sapropels (Higgs et al., 1994; van Santvoort et al., 1996). The presence of the 460 thin black clay without microfossils thus is highly suggestive of a brief pulse of anoxia, as supported by 461 462 a single peak value of organic carbon (0.6 wt %; Giusberti et al., 2007). The high value of biogenic barium (3151 ppm) in the black clay (Fig. 3), despite the fact that barite is generally not preserved 463 under anoxic conditions (Paytan and Griffith, 2007; Paytan et al, 2007) may represent reprecipitation at 464 465 the oxic/anoxic sediment interface after dissolution under anoxic conditions (Giusberti et al., 2007), and/or high rates of organic remineralization in the water column, during which the barite forms (Ma et 466 467 al., 2014).

468 4.3.2 The early peak PETM (Assemblages C and D)

The 10 cm of sediment directly overlying the Paleocene/Eocene boundary (i.e. the base of the CIE; 469 Figs. 7, 8) was deposited in strongly CaCO<sub>3</sub> –corrosive waters, below the lysocline and close to or 470 below the CCD. The rapid rise of the CCD/lysocline during the PETM is a predicted consequence of 471 massive input of carbon (CO<sub>2</sub> or CH<sub>4</sub>) in the ocean-atmosphere system on a millennial timescale (e.g., 472 473 Dickens et al., 1997; Thomas, 1998; Zachos et al., 2005; Zeebe et al., 2009, 2014; Hönisch et al., 2012). The carbonate dissolution at Forada is consistent with observations at many other deep-sea sites 474 (e.g., Schmitz et al., 1997; Thomas, 1998; Zachos et al., 2005; Kelly et al., 2010). The benthic 475 foraminiferal extinction event (BEE) at Forada (i.e., corresponding to the the BB1/BB2 zonal boundary 476 477 of Berggren and Miller, 1989) occurs within this 10 cm-thick interval, between the top of the CET-478 bearing burndown layer and the base of Assemblage D, where benthic calcareous taxa reappear (Figs. 479 4, 7, 8). The concentration of CET in the burndown layer, and the reappearance of calcareous hyaline taxa only 10 cm above the onset of the PETM at Forada, confirms that the CET extinction occurred 480 over 3.5 kyr or less in the central western Tethys, similar to evaluations of this timing from carbon 481 482 cycle modeling (Zeebe et al., 2014).

483 Sediment just above the black clay, reflecting a first slight deepening of the CCD, contains a low diversity, fauna of mostly agglutinated, dwarfed (close to 63 µm in diameter) benthic foraminifera, and 484 calcareous nannofossils with signs of dissolution, with planktic foraminifera virtually absent (Agnini et 485 486 al., 2007; Luciani et al., 2007; Fig. 8). This first wave of benthic pioneers recolonized the sea-floor during the peak-CIE, in CaCO<sub>3</sub>-undersaturated waters, and reflects a highly stressed environment 487 (Assemblage C; Figs. 6-8). Among the pioneers, Eobigenerina variabilis is peculiar of the PETM of 488 the Forada section (Figs. 6, 7). Eobigenerina is a recently erected genus in the Textulariopsidae, 489 490 including non-calcareous species previously assigned to Bigenerina (Cetean et al., 2011), and it is 491 known to behave opportunistically during Cretaceous Oceanic Anoxic Event 2 (OAE2; Table 1). A major component of the upper part of Assemblage C is Karrerulina conversa (Fig. 7). The species 492 493 dominates the lowermost Eocene deposits in the Polish Carpatians (Bąk, 2004), commonly occurring in 494 the Paleocene-Eocene of the Central North Sea and Labrador margin, and in Morocco (Kaminski and Gradstein, 2005). Modern Karrerulina (e.g., K. apicularis=K. conversa) live in oligotrophic abyssal 495 plains, with well-oxygenated bottom and interstitial waters (Table 1). However, the test morphology of 496 Karrerulina, combined with its abundant occurrence in the doubtless stressed environment of the basal 497 PETM at Forada and Zumaia (Table 1), suggests that this genus may also act opportunistically. 498

After ca. 4 kyr, a further deepening of CCD allowed a consistent increase in abundance of benthic 499 500 calcareous taxa (ca. 50%; Assemblage D; Fig. 2), coinciding with the lowermost recovery of bulk carbonate  $\delta^{13}$ C values, from -2‰ to -1‰ (Giusberti et al., 2007; Tipple et al., 2011; Fig. 7). These 501 calcareous recolonizers included dwarfed and thin-walled forms of G. subglobosa, Tappanina 502 selmensis, Osangularia spp. and Oridorsalis umbonatus (Figs. 6, 7). A similar peak in small 503 Osangularia also occurs in the basal PETM at Contessa Section, as documented for the first time in the 504 present paper (Fig. S2). Representatives of the genus Osangularia (Osangularia spp.) behaved 505 opportunistically in the PETM of the Tethyan Alamedilla section (Alegret et al., 2009a). Moreover, 506 507 Boscolo Galazzo et al. (2013) found small-size Osangularia within organic-rich levels immediately following the Middle Eocene Climatic Optimum in the Alano section (in northeastern Italy). During the 508 Cretaceous OAEs Osangularia spp. opportunistically repopulated the sea floor during short-term re-509 510 oxygenation phases (see references in Table 1). Although Osangularia is generally referred to as 511 preferring stable well oxygenated environments (e.g., Murray, 2006; Alegret et al., 2003), we suggest 512 that some extinct species of this genus could actually behave as opportunist and recolonizer.

Assemblage D contains almost equal abundances of calcareous and agglutinated taxa, indicating 513 that factors other than bottom water CaCO<sub>3</sub> concentration were controlling faunal variability within this 514 515 assemblage (Figs. 6, 7). Possibly, strongly enhanced runoff and sediment delivery can explain the 516 abundance of agglutinated taxa (40-60%), such as Glomospira spp. (e.g., Arreguín-Rodríguez et al., 517 2013, 2014), above the first 10 cm of the CMU. We thus recognize a rapid succession of recolonizer taxa during the first 12 kyr of the CIE (Assemblages C-D). The small size of both the agglutinated and 518 hyaline recolonizers is indicative of r-strategist species which reproduce quickly and can thus quickly 519 520 repopulate stressed environments, as soon as conditions improve slightly (e.g., Koutsoukos et al., 1990; Thomas, 2003). The rapid pace at which different populations of recolonizers succeeded each other 521 indicates a highly unstable environment, with marked fluctuations in the amount, timing and quality of 522 523 the food reaching the sea floor. Sediment deposition during this interval may have occurred in rapid pulses, e.g., following intense rainstorms, carrying refractory organic matter to the deep-sea 524 environment. Pauses between events may have allowed the benthic foraminifera to recolonize the 525 sediment, profiting of the abundance of food. This is consistent with calcareous nannofossil 526 527 assemblages showing an increase in *Ericsonia* and declines in abundance of *Sphenolithus*, *Octolithus*, Zygrablithus and Fasciculithus, indicating an unstable and nutrient rich upper water column (Agnini et 528

al., 2007; Fig. 8). Archaeal biomarkers show a large influx of terrestrial, soil-derived organic matter
(Branched and Isoprenoid Tetraethers or BIT Index) from the onset of the PETM up to ~+10 cm
(Tipple et al., 2011). Higher plant *n*-alkane average chain length (ACL) decreased immediately after
the onset of the CIE, consistent with increased humidity (Fig. 9; Tipple et al., 2011). The abundance of
the clay mineral chlorite indicates enhanced physical erosion (Robert and Kennett, 1994) during
deposition of the lower 50 cm of the CMU, rapidly decreasing upward (Fig. S3).

The greenish marly clays containing Assemblages C and D show primary lamination, indicating 535 that macrobenthic invertebrates were absent, as at Dee and Mead Stream sections (New Zealand; 536 537 Nicolo et al., 2010), and Zumaya (Spain; Rodríguez-Tovar et al., 2011). The presence of benthic 538 foraminifera, however, indicates that bottom and pore waters were not permanently anoxic. Pore waters may have become dysoxic periodically due to high temperatures, decomposing organic matter and 539 possibly enhanced water column stratification, leading to the absence of metazoans and stressed 540 benthic foraminiferal assemblages. Low-pH sea-floor conditions may have also played a significant 541 role in excluding macrobenthic fauna in this early phase of PETM at Forada. Deep-sea animals are 542 543 highly sensistive to even modest but rapid pH changes (Seibel and Walsh, 2001), which are harmful 544 even for infaunal deep-sea communities (Barry et al., 2004).

#### 545 **4.3.3** The core of the CIE and Recovery (Assemblages E, F)

546 The benthic foraminiferal assemblage changes significantly from Assemblage D to assemblage E, coinciding with the gradual reappearing of mottling (as thin reddish "flames" in the green sediment). 547 Bolivinids return as a major faunal component (50%), and agglutinated taxa decrease in abundance. 548 Peaks of tapered elongate calcareous forms, including Siphogenerinoides brevispinosa, "other 549 buliminids" group, pleurostomellids and stilostomellids, replace the recolonizers (Figs. 5, 6). These 550 551 groups could have been functioned as opportunistic taxa, able to flourish when food supply was periodically high (e.g., Table 1). Coinciding with Assemblage E, planktic foraminifera return to be a 552 significant component of the microfossil assemblage (e.g., Luciani et al., 2007; Fig. 8), while 553 radiolarians remain abundant throughout the CMU (Giusberti et al, 2007; Luciani et al., 2007). The 554 planktic foraminiferal assemblage is dominated by acarinininids, with a double peak of the excursion 555 species Acarinina sibaiyaensis and A. africana, which, combined with the high percentages of the 556 nannofossil Ericsonia, indicate warm and eutrophic surface waters (e.g., Ernst et al., 2006; Guasti and 557 Speijer, 2007; Agnini et al., 2007; Luciani et al., 2007; Fig. 8). 558

Detrital hematite sharply increased in concentration at the onset of Assemblage E (Giusberti et al, 559 2007; Dallanave et al., 2010; 2012; Fig. 3). Hematite forms in soils under warm and dry conditions, and 560 an increase of hematite in marine sediments is considered indicative of an arid climate over the 561 adjoining land, with increased wind strength (Larrasoaña et al., 2003; Zhang et al., 2007; Itambi et al., 562 2009), or humid to subhumid climates with seasonal drying (Torrent et al., 2006). It is delivered to the 563 deep-sea environment through river runoff or as aeolian dust (e.g., Zhang et al., 2007; Itambi et al., 564 2009). Within the CMU, hematite shows cyclical fluctuations with a  $\sim 21$  kyr periodicity, but other 565 terrigenous components (quartz and phyllosilicates) do not co-vary in abundance after a ~15% increase 566 at the onset of the CMU (Fig. 3). To explain the different abundance patterns, we interpret hematite as 567 568 wind-delivered, silicate minerals as runoff-delivered.

The hematite% peaks may be indicative of cyclical variability in wind-delivered material, rather 569 than the earlier prevailing consistently humid climate. The lithological anomaly of the CMU, the 570 fivefold increase in sedimentation rates and increase in reworked Cretaceous nannofossils (Agnini et 571 al., 2007; Fig. 8), as well as the silicate mineral and hematite% records all indicate marked fluctuations 572 573 in the hydrological regime throughout this interval. High hematite% may reflect the presence of highpressure cells over land, during an overall dry climate phase, with increased wind strength and dust 574 delivery to the sea (Larrasoaña et al., 2003; Zhang et al., 2007; Itambi et al., 2009). In contrast, low 575 576 values of hematite% may indicate periods of greater humidity and enhanced precipitation. Such alternation of wet and arid phases favored deeper soil erosion on the continental areas surrounding the 577 Belluno basin (Thiry, 2000; Schmitz and Pujalte, 2003), causing major washouts during the wet phases, 578 which may explain the fivefold increase in sedimentation rates and 15% increase in phyllosilicate 579 580 abundance in the CMU (Fig. 3).

The hematite% cycles are in phase with cycles in CaCO<sub>3</sub>%, radiolarian abundance, and bulk 581 carbonate  $\delta^{13}$ C, slightly preceding the others stratigraphically (Fig. 3). During the arid climate phase, 582 enhanced wind strength may have generated intense surface water mixing and offshore nutrient 583 584 upwelling, inducing increases in primary productivity and phytoplankton blooms. The blooms in primary productivity resulted in deposition of abundant algal biomass, leading to the occurrence of 585 peaks of pleurostomellids, stilostomellids and Siphogenerinoides brevispinosa in Assemblage E. 586 Productivity may have remained fairly high during the wet periods, as indicated by consistently high 587 biogenic barium throughout the CMU (Giusberti et al., 2007; Paytan et al., 2007). During the rainy 588

periods, upwelling rates may have been lower, with nutrients mostly supplied in river runoff. The delivery of food to the seafloor may have been more continuous, but with more important input of refractory organic matter from land.

In contrast to these proxies, which show cyclity at precessional periods throughout the CMU, 592 higher plant *n*-alkane average chain length (ACL) and  $\delta D$  vary only in its lowermost 50 cm (Tipple et 593 al., 2011; Fig. 9). Possibly, the sedimentary *n*-alkanes were derived from a pool of plant material 594 595 produced during subsequent wet and dry phases, so that ACL and  $\delta D$  may represent averaged records of leaf wax *n*-alkanes produced during different mean climate states in the upper CMU. Even so, the 596 δD values within the CMU are on average ~15‰ lower than above and below (Fig. 9), as reported for 597 the Cicogna section (10 km away; Krishnan et al., 2015), possibly reflecting more humid 598 599 conditions/higher precipitation during the PETM wet times (e.g., Sachse et al., 2006; Smith and 600 Freeman, 2006), or greater productivity of plant material during the wet phases. Alternatively, it may reflect a primary change in the isotopic composition of meteoric waters (Krishnan et al., 2015). 601

In the following benthic foraminiferal Assemblage F (upper CMU, recovery phase), 602 Siphogenerinoides brevispinosa and Tappanina selmensis are less abundant, whereas Bulimina 603 tuxpamensis, Abyssammina spp., and Nuttallides truempyi increase in relative abundance (Figs. 6, 7). 604 These are typical deep-sea, open-ocean taxa which thrive under more oligotrophic conditions (e.g., 605 606 Thomas, 1998), and might indicate progressively less intense or shorter primary productivity blooms during the arid phases, and/or mark the return to fully oxygenated sea-floor and pore water conditions. 607 Less intense eutrophy at the transition from Assemblage E to F is further supported by calcareous 608 609 plankton data, showing a decrease in the planktic foraminiferal excursion species, and among nannofossils, a decrease in Ericsonia (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). Conciding with 610 611 the top of the CMU, there were marked changes in calcareous plankton assemblages, although benthic 612 foraminiferal Assemblage F persisted. Among calcareous nannofossils the abundance of Zygrablithus, Sphenolithus and Octolithus increased, whereas that of reworked taxa decreased (Fig. 8). In the 613 614 planktic foraminiferal assemblage, Acarinina species declined in abundance, and the fauna became more diverse, with fluctuations modulated by lithology in the marl-limestone couplets overlying the 615 616 CMU (Fig. 8).

617 The lithological unit above the CMU consists of an alternation of limestones and marls at 618 precessional frequencies (~21 kyrs; Fig. 2). These limestone-marl couplets persist for up to 8 meters

above the CMU (well beyond the top of the studied interval; Giusberti et al., 2007; Luciani et al., 619 620 2007), then gradually become less clearly expressed, fading upwards. The marl-limestone couplets may reflect the persistence of wet (marl)-arid (limestone) cycles for ~ 800 kyr after the end of the CMU 621 deposition, though at an amplitude declining over time. This persistence resembles the extended (650 622 kyr) humid period, starting at the onset of PETM, recognized in the sediment record at Site 401 of 623 eastern North Atlantic (Bornemann et al., 2014). Our benthic foraminiferal data agree with this 624 625 interpretation, showing substantially unchanged sea-floor conditions up to +650 cm (uppermost sample analyzed). 626

# 627 4.4 Clues from Forada on PETM climate change

The integrated dataset collected at Forada supports the occurrence of enhanced climatic contrasts 628 629 and productivity changes in the western Tethys during the PETM, and agrees with previous studies suggesting intense weather extremes at mid to subtropical latitudes (Fig. 10; Table S3). At the onset of 630 the PETM, middle to subtropical latitudes may have been characterized by intense, monsoonal-type 631 rainfall, followed by a succession of wet and arid phases, possibly precessionally paced, during the core 632 of the PETM (e.g., Collinson et al., 2007; Kraus and Rigging, 2007; Egger et al., 2009; Foreman et al., 633 634 2014; Stassen et al., 2012a,b; 2015; Fig. 10 and Table S3). The Forada record allows to distinctly recognize the temporal successions among these distinct climatic phases up to 800 kyr after the onset of 635 636 the PETM, and to directly relate them to the progression of the CIE, its recovery and termination. The climatic conditions inferred from the Forada section and other records at similar latitudes differ from 637 those derived from the subtropical net evaporation zone (15°-35°N), (e.g., from the Tremp-Graus Basin 638 - Pyrenees), which document a generally much drier climate with a brief interval of increased 639 storminess and intense flash flood events at the onset of the PETM (Schmitz and Pujalte, 2007). 640 641 Records from subtropical to mid-latitudes also differ from records within the northern rain belt and into the Arctic Basin (>50°N), which suggest that humid conditions may have been more persistent there, 642 with increased rates of precipitation, and on average moister conditions during the PETM (Pagani et al., 643 644 2006b; Sluijs et al., 2006; Harding et al., 2011; Dypvik et al., 2011; Kender et al., 2012; Wieczorek et 645 al., 2013; Fig. 10; Table S3).

646 The combination of all these climatic records (Fig. 10; Table S3) suggests that the net result of 647 increased weather extremes during peak-PETM might have been to decrease rainout at subtropical to 648 mid latitudes, and increase moisture transport toward the high latitudes, as originally suggested by Pagani et al. (2006b). Few tropical records exist, so that precipitation changes here are less clear.
Rainfall in coastal Tanzania may have decreased during the early PETM, but combined with violent
precipitation events and floodings (Handley et al. 2008; 2012; Aze et al., 2014; Table S3). In Central
America, conditions during the PETM may have shifted to more continuously humid (Jaramillo et al.,
2010).

The long-lasting cyclity and precise chronology at Forada suggest that this enhanced climate 654 variability at subtropical to mid latitudes may have lasted for several hundred of thousand years after 655 the onset of the CIE. Despite the possible decrease of net rainout, these weather extremes persisting 656 over several 10<sup>5</sup> kyrs may have significantly enhanced the rate of erosion and weathering, through the 657 658 occurrence of alternating wet-dry periods. The weathering may have led to a decrease in atmospheric  $CO_2$  levels, by consumption of  $CO_2$  during weathering reactions. The increased supply of cations 659 through enhanced weathering-erosion would have driven ocean pH up, and atmospheric CO<sub>2</sub> down 660 (Broecker and Peng, 1982; Raymo et al., 1988; Zachos et al., 2005). Enhanced seasonal extremes 661 across large geographical areas (the subtropical to mid latitudinal belt) thus might have been a response 662 663 to the large CO<sub>2</sub> input at the Paleocene-Eocene transition, and may have had a primary role in restoring the carbon cycle to steady state. 664

665

# 666 6 Conclusions

667 The continuous and expanded record of benthic foraminifera across the PETM at Forada, 668 integrated with the extensive datasets previously generated across this interval, may provide the most 669 complete reconstruction of ecological and climatic changes during the Paleocene/Eocene thermal 670 maximum in Europe. Coupled sedimentological, molecular and micropaleontological records highlight 671 a complex sequence of environmental and climatic changes during the time period across the CIE:

- Climatic and oceanographic conditions started to change ~30 kyr before the onset of the PETM, with
a possible precursor event.

Our high-resolution benthic foraminiferal record combined with the established chronology lets us
infer that the BEE in the central-western Tethys occurred over a time interval of not more than 4 kyr.
At the onset of the PETM, combined de-oxygenation, acidification and environmental instability may
have synergistically impacted deep sea life.

-Four benthic foraminiferal assemblages occur (C-E and lower F) within the CMU (coinciding with the
main phase of CIE). Assemblage C is characterized by successive peaks of different agglutinated
recolonizers. Calcareous recolonizers return in the following Assemblage D, after calcium carbonate
saturation increased. The complex succession of peaks of agglutinated and hyaline recolonizers in these
two assemblages (C, D; 12.5 kyr), suggests multiple repopulation episodes. The benthic foraminiferal
data integrated with molecular and mineralogical data point to increased precipitation and strong
continental erosion during this short initial stage of the PETM.

- Within the core of the CIE,  $\delta^{13}$ C and mineralogical properties such as hematite and calcium carbonate wt % vary at precessional periodicity. Combined with data on radiolarian abundance and benthic foraminiferal assemblage composition this variability suggests an alternation of overall wetter and drier periods. Enhanced weather extremes during most of the PETM may have led to a decrease in total precipitation over the central western Tethys.

- The benthic foraminiferal assemblage at Forada did not significantly change with the onset of the deposition of marl-limestone couplets unit above the CMU (mid and upper third of Assemblage F). This suggests that the enhanced climatic variability at precessional timescales persisted well after the end of the CIE recovery. We argue that enhanced seasonal extremes at mid-latitudes might have been a direct climate response to the huge  $CO_2$  input at the Paleocene-Eocene transition, and may have had a primary role in restoring carbon cycle steady state through links with the water cycle and weathering rates.

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1358

#### 1359 **Figures captions**

Figure 1. Location of the Forada section in the context of the Piave River Valley in the BellunoProvince (the "Valbelluna"), northeastern Italy.

1362 Figure 2. Faunal and geochemical variations across the PETM at Forada section plotted against chronostratigraphy, precessional cycles, lithology, recognized benthic foraminiferal assemblages (A to 1363 G) and isotopic intervals. % agglutinated=agglutinated to agglutinated and calcareous hyaline ratio; % 1364 infaunal taxa=infaunal to infaunal and epifaunal ratio; simple diversity and Fisher- $\alpha$  diversity index; 1365 N/g=number of benthic foraminifera per gram (faunal density) in the >63 mm size fraction; coarse 1366 fraction (CF) calculated according to Hancock and Dickens (2005) as the weight percent of the >63 µm 1367 size fraction relative to the weight of the bulk sample; Fragmentation index (F-Index) is from Luciani 1368 1369 et al. (2007). The gray bands indicate intervals of carbonate dissolution.  $\alpha$ = pre-CIE dissolution,  $\beta$ =burndown layer, BFDI=benthic foraminiferal dissolution interval. Modified from Giusberti et al. 1370 (2007).1371

Figure 3. Summary of the main mineralogical, geochemical and cyclostratigraphic features recognized across the Paleocene-Eocene boundary and in the clay marl unit (CMU) of the Forada section and radiolarian abundance plotted against isotopic intervals and recognized benthic foraminiferal assemblages (A to F). N/g for the radiolarians refers to the number of radiolarians (>125 µm fraction) per gram of dry sediment. F-Index from Luciani et al. (2007). VPDB—Vienna Peedee belemnite standard. Modified from Giusberti et al. (2007).

Fig. 4. Stratigraphic distribution of benthic foraminiferal extinction taxa (CET) across the Paleocene/Eocene boundary in the Forada section plotted against lithology,  $\delta^{13}$ C bulk record, CaCO<sub>3</sub> percentage, isotopic intervals and recognized benthic foraminiferal assemblages (A to F), based on data from the >63 µm size fraction integrated with data from >125 micron fraction. The gray bands indicate intervals of carbonate dissolution. Question marks: doubtful identification. Triangle: post BEE occurrence of one specimen of *Coryphostoma midwayensis* has been recorded in the sample BRI 300 (295 cm above the base of CMU).

Figure 5. Relative abundance of the most abundant benthic foraminiferal taxa across the PETM at Forada plotted against biostratigraphy, precessional cycles, lithology,  $\delta^{13}$ C bulk record, recognized benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution.  $\alpha$ = pre-CIE dissolution,  $\beta$ =burndown layer, BFDI=benthic foraminiferal dissolution interval. "Other buliminids" group includes only representatives of the families Buliminidae, Buliminellidae and Turrilinidae (*Bulimina*, *Buliminella*, *Quadratobuliminella*, *Sitella* and *Turrilina*).

- Figure 6. Relative abundance of selected benthic foraminifera across the PETM at Forada plotted against biostratigraphy, precessional cycles, lithology,  $\delta^{13}$ C bulk record, recognized benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution. α= pre-CIE dissolution, β=burndown layer, BFDI=benthic foraminiferal dissolution interval.
- Figure 7. Enlargement of the interval from -1m to +2m across the P/E boundary at Forada showing the relative abundance of selected benthic foraminifera plotted against biostratigraphy, precessional cycles, lithology,  $\delta^{13}$ C bulk record, recognized benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution.  $\alpha$ =Pre-CIE dissolution interval;  $\beta$ =burndown layer, BFDI=benthic foraminiferal dissolution interval.
- Figure 8. Summary of main calcareous plankton (calcareous nannofossils and planktonic foraminifera) and benthic foraminiferal events and inferred environmental conditions (from Agnini et al., 2007; Luciani et al., 2007 and present work), isotopic intervals, thickness, precessional cycles and benthic foraminiferal assemblages (A to F) recognized in this work. The stratigraphic intervals containing assemblages A and B, C and D to F are considered as pre-extinction, extinction and repopulation intervals, respectively. Benthic foraminiferal zonation after Berggren and Miller (1989).
- Figure 9. Stable carbon isotope ratios of higher plant n-alkanes (a), stable hydrogen isotope ratios of
  higher plant n-alkanes (b) with higher plant average chain length values (c) for Forada PETM plotted
  against isotopic intervals and recognized benthic foraminiferal assemblages (A to F). Terrestrial higher
  plant n-C27, n-C29, and n-C31 δD values are shown as crosses, closed circles, and triangles,
  respectively. Redrawn from data of Tipple et al. (2011).

Figure 10. Paleogeographic map (from http://www.odsn.de/odsn/services/paleomap/paleomap.html) at 1414 1415 55 Ma showing sites where paleohydrological reconstructions for the PETM are available. Numbers follow a north to south paleolatitudinal order. Blue dots indicate areas where an increase in 1416 1417 precipitation has been inferred; Green dots indicate areas where an increase in climatic contrasts or a 1418 fluctuating precipitation regime have been inferred; Orange dots indicate areas where an increase in aridity has been inferred; Purple dots indicate areas where hydrological changes have been inferred but 1419 1420 the pattern not specified. 1. Lomonosov Ridge, Arctic Sea; 2, 3. Spitsbergen Central Basin and Svalbard archipelago; 4. Central North Sea Basin; 5. Eastern North Sea Basin; 6. Williston Basin, 1421 western North Dakota, (USA) 7. Bighorn Basin, Wyoming (USA); 8. Rhenodanubian Basin, Austria; 9. 1422 1423 Belluno Basin, northeastern Italy; 10. Aktumsuk and Kaurtakapy sections, Uzbekistan and Kazakhstan; 11. Dieppe-Hampshire Basin, France; 12. London Basin; 13. DSDP Site 401 Bay of Biscay, North-1424 1425 eastern Atlantic Ocean; 14. Western Colorado (USA); 15. New Jersey Coastal Plain (USA); 16. Central Valley of California (USA); 17. Basque Basin, northern Spain; 18. Tremp Basin, northern Spain; 19. 1426 Alamedilla section, southern Spain; 20. Tornillo Basin, Texas (USA); 21. Salisbury embayment, mid-1427 1428 Atlantic coastal plain (USA); 22. Gafsa Basin, Tunisia; 23. Zin Valley of Negev, Israel; 24. Dababiya section, Egypt; 25. Northern Neotropics, (Colombia and Venezuela); 26. TDP Site 14, Tanzania; 27. 1429 Tawanui section, North Island (New Zealand); 28. Clarence River valley, South Island (New Zealand); 1430 1431 29. Central Westland, South Island (New Zealand); 30. ODP Site 1172, East Tasman Plateau; 31. ODP Site 690 Weddell Sea, Southern Ocean. See Supplement Table S3 for references and additional 1432 1433 information.

# 1434 **Table caption**

Table 1. Summary of the known ecological preferences of selected benthic foraminifera, as evaluatedfrom the literature, common at Forada.

# 1437 Plates captions

Plate 1. SEM micrographs of the most representative Paleocene cosmopolitan extinction taxa (CET)
occurring at Forada. 1. Angulogavelinella avnimelechi, spiral view (BRI-25.5); 2. Angulogavelinella *avnimelechi*, lateral view (BRI-185.5); 3. Gavelinella beccariiformis, umbilical view (BRI-75); 4.
Osangularia velascoensis, spiral view (BRI-50,5); 5. Anomalinoides rubiginosus (BRI-9); 6.
Cibicidoides dayi (BRI-37); 7. Cibicidoides velascoensis, spiral view (BRI-75,5); 8. Cibicidoides

velascoensis, lateral view (BRI-135.5); 9. Cibicidoides hyphalus (BRI-50,5); 10. "Neoeponides"
megastoma (BRI-135); 11. Gyroidinoides globosus (BRI-50.5); 12. Gyroidinoides quadratus (BRI-145); 13. Coryphostoma midwayensis (BRI-50,5); 14. Aragonia velascoensis (BRI-50.5); 15.
Bolivinoides delicatulus (BRI-135.5); 16. Neoflabellina semireticulata (BRI-365); 17. Pullenia coryelli
(BRI-50,5); 18. Remesella varians (BRI-310.5); 19. Clavulinoides globulifera (BRI-25.5); 20.
Clavulinoides trilatera (BRI-33); 21. Clavulinoides amorpha; 22. Marssonella indentata (BRI-25.5);
23. Dorothia beloides (BRI-260); 24. Dorothia pupa (BRI-105).

1450 Plate 2. SEM micrographs of the most representative species of the Eocene postextinction faunas occurring at Forada. 1. Ammobaculites agglutinans (BRI+10); 2. Eobigenerina variabilis (BRI+50); 3. 1451 1452 Eobigenerina variabilis (BRI+50); 4. Karrerulina conversa (BRI+50); 5. Karrerulina horrida (BRI-1453 25.5); 6. Spiroplectammina navarroana (BRI-33/7); 7. Spiroplectammina spectabilis (BRI+50); 8. Rashnovammina munda (BRI-50,5); 9. Haplophragmoides cf. kirki. (BRI+5); 10. Saccammina 1454 1455 placenta (BRI-25.5); 11. Glomospira irregularis (BRI+35); 12. Glomospira charoides (BRI-75.5); 13. Osangularia sp. (BRI+15); 14. Globocassidulina subglobosa (BRI+15); 15. Tappanina selmensis 1456 (BRI+15); 16. Tappanina selmensis (BRI-9); 17. Siphogenerinoides brevispinosa (BRI-11); 18. 1457 1458 Siphogenerinoides brevispinosa (BRI-365); 19. Bulimina tuxpamensis (BRI+150); 20. Bulimina tuxpamensis (BRI+150); 21. Pleurostomella sp. (BRI+150); 22. Bolivina sp. costate (BRI+385); 23. 1459 1460 Nuttallides truempyi (BRI+150); 24. Oridorsalis umbonatus (BRI-135.5); 25. Aragonia aragonensis (BRI-105); 26. Abyssammina poagi (TAL7B). 1461

1462 Plate 3. SEM micrographs of the most representative taxa of the upper Paleocene-lower Eocene of Forada section. 1. Quadratobuliminella pyramidalis (BRI-75.5); 2. Buliminella grata (BRI-591); 3. 1463 1464 Bulimina midwayensis (BRI+35); 4. Bulimina alazanensis (BRI +150); 5,6. Bulimina trinitatensis (BRI-9); 7. Bolivinoides crenulata (BRI-9); 8. Bolivinoides crenulata (BRI-25.5); 9. Bolivinoides 1465 floridana (BRI-410); 10 Bolivina sp. smooth (BRI-410); 11. Bolivina sp. smooth (BRI-410); 12. 1466 1467 Reussella sp. (BRI-365); 13. Angulogerina muralis (BRI-75.5); 14. Angulogerina muralis (BRI-75.5); 1468 15. Angulogerina? sp. (BRI-9); 16. Angulogerina? sp.(BRI-35.5); 17. Rectobulimina carpentierae (BRI-466); 18. Allomorphina trochoides (BRI-25.5); 19. Quadrimorphina allomorphinoides (TAL 1469 1470 7B); 20. Cibicidoides eocaenus (BRI-9); 21. Anomalinoides sp. 2 (BRI-135); 22. Cibicides sp. (BRI-591); 23. Cibicidoides praemundulus (BRI+150); 24. Nonion havanense (BRI-591). 1471

- 1472 Plate 4. SEM micrographs of some taxa of the upper Paleocene-lower Eocene of Forada section. 1.
- 1473 Ammodiscus cretaceus (BRI-29.5); 2. Ammodiscus peruvianus (BRI-9); 3. Haplophragmoides walteri
- 1474 (BRI-75.5); 4. Haplophragmoides horridus (BRI +35); 5. Recurvoides sp. (BRI -33/-37); 6.
- 1475 Glomospira serpens (BRI-260); 7. Trochamminoides proteus (BRI-25.5); 8. Paratrochamminoides
- 1476 heteromorphus (BRI+40); 9. Glomospira cf. gordialis (BRI +35); 10. Gaudryina sp. (BRI +15); 11.
- 1477 Karrerulina coniformis (BRI -135); 12. Caudammina ovuloides (BRI-260); 13. Gaudryina pyramidata
- 1478 (BRI-17.5); 14. Big-sized lituolid, apertural view (BRI-9); 15. Hormosina velascoensis (BRI-33/37);
- 1479 16. Pseudonodosinella troyeri (BRI-260); 17. "Pseudobolivina" sp. 2 in Galeotti et al. (2004)
- 1480 (BRI+35); 18. Pseudoclavulina trinitatensis (BRI+150); 19. Spiroplectammina spectabilis (BRI-50.5);
- 1481 20. Big-sized lituolid, lateral view (BRI-9).

1482

























Series	Isotopic intervals	meters	Cycle	CALCAREOUS NANNOFOSSILS	PLANKTONIC FORAMINIFERA	BENTHIC FORAMINIFERA
EOCENE	CIE RECOVERY AND POST-CIE	CIE RECOVERY AND POST-CIE - 5	16 15 14 13 12 11 10 9 8 7 6	Coccolithus-Toweius dominated assemblage. After the removal of <i>Coccolithus</i> and <i>Toweius</i> , the assemblage is dominated by <i>Zygrablithus</i> , <i>Sphenolithus</i> and <i>Octolithus</i> . Return to more oligotrophic conditions.	Well diversified assemblage with still high variability. Relatively stable oligo-mesotrophic environment. More stratified upper water column. Ocean chemistry still perturbed.	Full recovery of bolivinids and <i>S. brevispinosa</i> drop. Peaks of <i>Bulimina tuxpamensis</i> , <i>Nuttallides truempyi</i> and abyssamminids. Maximum abundance of pleurostomellids. Still perturbed, but recovering environment. Less eutrophic environment at the sea-floor. Peak of opportunistic <i>S. brevispinosa</i> . Decrease of <i>T. selmensis</i> and marked decrease in agglutinants. Less extreme but still strongly stressed environment. Further deepening of CCD.
	MAIN CIE		5 4 3 2 1	gradual decrease of calcareous nannnofossils reworking. Less eutrophic and stressed conditions? Decrease of <i>Sphenolithus</i> , <i>Octolithus</i> , <i>Zygrablithus</i> and <i>Fasciculithus</i> . Increase in <i>Ericsonia</i> and Cretaceous taxa reworking. Eutrophy and stressed conditions.	Acarinina dominance in nore diversified assemblage. Drop in excursion taxa. Less stressed conditions, decrease of eutrophy. Dissolution decrease. Acarinina strong dominance and acme of excursion taxa; intense warmth and eutrophy. Decreased oxygen. Highly stressed conditions.	Still high food supply. Second wave of recolonizers: <i>G. subglobosa</i> , <i>T. selmensis</i> and <i>Osangularia</i> peaks. Absence of CET. Persisting high abundance of agglutinants. Gradual CCD deepening. Dominance of agglutinant recolonizers. Lowest N/g and diversity. Deposition close to the CCD. Highly stressed and eutrophic environment. Possibly hypoxic conditions.
PALEOCENE	PRE-CIE	-	-1	Coccolithus-Toweius dominated assemblage. After the removal of Coccolithus and Toweius, the assemblage is dominated by Sphenolithus, Fasciculithus and Octolithus. Oligotrophic conditions.	Acarinina peaks: temperature increase. Subbotina advance and Morozovella decline: eutrophy increase. Onset of environmental perturbation. Well diversified assemblages with abundant Morozovella. Relatively stable and warm oligotrophic environment. Stratified water column.	Barren black clay (0.3 cm): dead zone. Increase of <i>T. selmensis</i> and <i>S. brevispinosa</i> . Thin interval with decrease in preservation of foraminifera and increase in agglutinants coinciding with a -1‰ δ13C shift and CaCO3 decrease (20 cm below the CMU). Sea floor warming/change in food supply. Temporary rise of lysocline/CCD ca. 20 kyr before the CIE onset. At the top of the assemblage: burn down layer. High-diversity, infaunal-dominated (bolivinids) pre-extinction assemblage. Presence of specimens > 0.5 mm. Scarce Cosmopolitan Extinction Taxa (CET) (<10%) decreasing upwards with marked fluctuations. Oligo-mesotrophy in the upper water column. Significant supply of degraded organic matter to the sea floor.



Fig. 9

Fig. 10



55.0 Ma Reconstruction

Та	ble	1.

Ammobaculites agglutinans	Deep-infaunal recoloniser within the K/Pg boundary clay at Sopelana section (Spain). Adapted to low carbonate availability with high capability for dispersal and colonisation of abiotic substrates. Reported in present day slope high productivity areas.	Gooday, 2003; Gooday et al., 2001; Kuhnt and Kaminski, 1993.
Eobigenerina variabilis	Opportunist, able to live under low oxygen conditions. Dominant in the recovery faunas after the Cretaceous OAE2.	Cetean et al., 2008a,b. See also text.
Globocassidulina subglobosa	Cosmopolitan, highly adaptable, long-ranging opportunistic species. Modern representatives of this species described from a wide variety of environmental settings, including hydrate mounds. Possibly feeding on phytodetritus and reflecting pulsed food supply to the sea floor in oxygenated deepwater settings. Abundant at high southern latitudes where seasonality is extreme. At many sites it appears after the BEE and blooms as an opportunist.	Ernst et al., 2006; Gooday, 1993, 1994; Gupta and Thomas, 2003; Gooday et al., 2008; Ishman and Domack, 1994; Jorissen et al., 2007; Mohan et al., 2011; Murray and Pudsey, 2004; Nomura, 1995; Panieri and Sen Gupta, 2007; Sgarrella et al., 1997; Singh and Gupta, 2004; Suhr et al., 2003; Takata et al., 2010; Takeda and Kahio, 2007.
Glomospira spp.	Very abundant in the lowermost Eocene at several deep-water locations (the " <i>Glomospira</i> acme"). Generally oligotrophic indicators, they though could be indicative of an abundant supply of terrigenous, refractory organic matter, independent from local primary productivity. Resistant to carbonate dissolution and able to live in environments with low carbonate supply. High ecological tolerance: occur in environments subjected to rapid changes with fluctuating ecological conditions.	Arreguín-Rodríguez et al., 2013, 2014; Galeotti et al., 2004; Kaminski and Gradstein, 2005; Kaminski et al., 1996; Kuhnt and Collins, 1996; Ortiz, 1995; Waśkowska, 2011.
Haplophragmoides spp.	Representatives of the genus pioneer sediments just above anoxic OAE2 black shales in the abyssal North Atlantic that contain no benthic foraminifera. Commonly documented in the basal PETM dissolution interval of shelfal and bathyal Tethyan sections.	Alegret et al., 2005; Ernst et al., 2006; Friedrich, 2009; Kuhnt, 1992; Ortiz, 1995.
Karrrerulina conversa	Deep infaunal taxon peaking in the basal PETM at Zumaya (Spain). Resistant to carbonate dissolution and able to live in environments with low carbonate supply. Modern representatives are part of the oligotrophic biofacies on abyssal plains with well-oxygenated bottom and interstitial waters. Recognized in the lowermost Eocene of the Iberia Abyssal Plain.	Bąk, 2004; Kaminski and Gradstein, 2005; Kuhnt and Collins, 1996; Kuhnt et al. 2000; Ortiz, 1995; See text.
Oridorsalis umbonatus	Very long-ranging, extant taxon (since the Turonian-Coniacian). Opportunistic lifestyle. Reported both in oligotrophic and eutrophic environments. It may feed on phytodetritus. Shallow infaunal dweller, with very small tests but increased calcification just above the base of the PETM at Site 1263 (Walvis Ridge, SE Atlantic), where it dominates the assemblage.	Foster et al., 2013; Kaiho, 1998; Katz et al., 2003; Gooday, 1993, 1994; Gupta and Thomas, 1999; Gupta et al., 2008; Schmiedl, 1995; Schmiedl and Mackensen, 1997; Thomas and Shackleton, 1996; Wendler et al., 2013.
Osangularia spp.	Opportunistically repopulate the sea floor during short-term re-oxygenation phases of Cretaceous OAEs. Opportunistic phytodetritus feeders during OAE1b, thriving on an enhanced carbon flux to the sea floor and tolerating some degree of oxygen depletion. Peak of <i>Osangularia</i> spp. are reported across the PETM of the Alamedilla section (Spain).	Alegret et al., 2009a; Friedrich, 2009; Friedrich et al., 2005; Holbourn and Kuhnt, 2001; Holbourn et al., 2001. See also text.
Saccammina spp.	Recolonizer within the K/Pg boundary clay of the Sopelana section (Spain). Adapted to low carbonate availability with high capability for dispersal and colonisation of abiotic substrates. Common on modern productive continental margins.	Gooday et al., 2008; Kuhnt and Kaminski, 1993.
Siphogenerinoides brevispinosa	Typical of many open ocean sites in the aftermath of the peak CIE. Opportunist capable to rapidly colonize the sediment when productivity increases during environmental instability. At some locations it bloomed during the PETM and other hyperthermals, at others it had its highest occurrence in the lowermost part of the PETM.	Giusberti et al., 2009; Thomas, 1998, 2003, 2007; Thomas and Shackleton, 1996.
Spiroplectammina navarroana	Minor component of PETM postextinction faunas. At some locations common just after the K/Pg boundary.	Alegret et al., 2003; Alegret et al., 2009b; Ortiz, 1995.
Stilostomellids and pleurostomellids	Infaunal taxa widely distributed in oligotrophic and eutrophic regions with sustained or highly seasonal phytoplankton productivity. Tolerated warm, locally oxygen-depleted, carbonate-corrosive bottom waters, as demonstrated by their survival across the PETM. Across Cretaceous OAEs, pleurostomellids were found within black- shales. Possibly adapted to low-oxygen conditions, or able to rapidly recolonize the sea-floor during brief intervals of reoxygenation.	Coccioni and Galeotti, 1993; Friedrich, 2009; Friedrich et al., 2005; Hayward et al., 2010a,b, 2012; Holbourn and Kuhnt, 2001; Mancin et al., 2013.
Tappanina selmensis	Upper bathyal to outer shelf species in the Campanian and throughout the Paleocene. High- productivity, stress-tolerant and opportunistic species possibly thriving in continuously stressed, dysoxic sea bottom conditions. Common in the deep-sea only just before and especially following the BEE.	Alegret et al., 2009a; Boersma, 1984; D'haenens et al., 2012; Frenzel, 2000; Giusberti et al., 2009; Kuhnt, 1996; Kuhnt and Kaminski, 1996; Stassen et al., 2012a,b, 2015; Steineck and Thomas, 1996; Thomas, 1989, 1990, 1998; Thomas and Shackleton, 1996; van Morkhoven et al., 1986.







