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# Palaeoclimatic oscillations in the Pliensbachian (Lower Jurassic) of the Asturian Basin (Northern Spain)

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## Abstract

One of the main controversial items in palaeoclimatology is to elucidate if climate during the Jurassic was warmer than present day, with no ice caps, or if ice caps were present in some specific intervals. The Pliensbachian Cooling event (Lower Jurassic) has been pointed out as one of the main candidates to have developed ice caps on the poles. To constrain the timing of this cooling event, including the palaeoclimatic evolution before and after cooling, as well as the calculation of the seawater palaeotemperatures are of primary importance to find arguments on this subject. For this purpose, the Rodiles section of the Asturian Basin (Northern Spain), a well exposed succession of the uppermost Sinemurian, Pliensbachian and Lower Toarcian deposits, has been studied. A total of 562 beds were measured and sampled for ammonites, for biostratigraphical purposes and for belemnites, to determine the palaeoclimatic evolution through stable isotope studies. Comparison of the recorded uppermost Sinemurian, Pliensbachian and Lower Toarcian changes in seawater palaeotemperature with other European sections allows characterization of several climatic changes of probable global extent. A warming interval which partly coincides with a negative  $\delta^{13}\text{C}_{\text{bel}}$  excursion was recorded at the Upper Sinemurian. After a “normal” temperature interval, a new warming interval that contains a short lived positive  $\delta^{13}\text{C}_{\text{bel}}$  peak, was developed at the Lower-Upper Pliensbachian transition. The Upper Pliensbachian represents an outstanding cooling interval containing a positive  $\delta^{13}\text{C}_{\text{bel}}$  excursion interrupted by a small negative  $\delta^{13}\text{C}_{\text{bel}}$  peak. Finally, the Lower Toarcian represented an exceptional warming period pointed as the main responsible for the prominent Lower Toarcian mass extinction.

## 1 Introduction

The idea of an equable Jurassic greenhouse climate, 5–10°C warmer than present day, with no ice caps and low pole-equator temperature gradient, has been proposed by several studies (i.e. Hallam, 1975, 1993; Chandler et al., 1992; Frakes et al., 1992;

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Rees et al., 1999; Sellwood and Valdes, 2008). Nevertheless, this hypothesis has been challenged by numerous palaeoclimatic studies, mainly based on palaeotemperature calculations using as a proxy the oxygen isotope data from belemnite and brachiopod calcite. Especially relevant are the latest Pliensbachian-Early Toarcian climate changes, which have been documented in many sections from Western Europe (i. e. Sælen et al., 1996; McArthur et al., 2000; Röhl et al., 2001; Schmidt-Röhl et al., 2002; Bailey et al., 2003; Jenkyns, 2003; Rosales et al., 2004; Gómez et al., 2008; Metodiev and Koleva-Rekalova, 2008; Suan et al., 2008, 2010; Dera et al., 2009, 2010, 2011; Gómez and Arias, 2010; García Joral et al., 2011; Gómez and Goy, 2011; Fraguas et al., 2012), as well as in Northern Siberia and in the Arctic Region (Zakharov et al., 2006; Nikitenko, 2008; Suan et al., 2011). The close correlation between the severe Upper Pliensbachian cooling and the Lower Toarcian warming events, and the major Lower Toarcian mass extinction indicates that warming was one of the main causes of the faunal turnover (Kemp et al., 2005; Gómez et al., 2008; Gómez and Arias, 2010; García Joral et al., 2011; Gómez and Goy, 2011; Fraguas et al., 2012; Clémence, 2014; Clémence et al., 2015; Baeza-Carratalá et al., 2015).

Comparison between the  $\delta^{18}\text{O}$ -derived palaeotemperature curves obtained from belemnite calcite in the European sections shows a close relationship in the evolution of seawater palaeotemperature across Europe, indicating that the Late Pliensbachian cooling and the Early Toarcian warming intervals could probably be global in extent. At the Upper Pliensbachian Cooling event, palaeotemperatures of around  $10^\circ\text{C}$  have been calculated for the Paris Basin (Dera et al., 2009) and in the order of  $12^\circ\text{C}$  for Northern Spain (Gómez et al., 2008; Gómez and Goy, 2011). These temperatures are considerably low for a palaeolatitude of Iberia of around  $30\text{--}35^\circ\text{N}$  (Osete et al., 2010). Nevertheless, except for a few sections (Rosales et al., 2004; Korte and Hesselbo, 2011; Armendáriz et al., 2012), little data on the evolution of seawater palaeotemperatures during the uppermost Sinemurian and the Pliensbachian, which culminated in the prominent Upper Pliensbachian cooling and the Lower Toarcian warming events, have been documented.

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The objective of this paper is to provide data on the evolution of the seawater palaeotemperatures and the changes in the carbon isotopes through the Lower Jurassic Upper Sinemurian, Pliensbachian and Lower Toarcian, to constrain the timing of the recorded changes through ammonite-based chronostratigraphy and to compare the changes in seawater palaeotemperature during the mentioned time interval with other sections, in order to assess whether the observed environmental changes have a local or a global extent. The dataset has been obtained from the particularly well exposed Rodiles section, located in the Asturias community in northern Spain (Fig. 1).

## 2 Materials and methods

The 110 m thick studied section composed of 562 layers, has been studied bed by bed. Collected ammonites were prepared and studied following the usual palaeontological methods. The obtained biochronostratigraphy allowed characterization of the standard chronozones and subchronozone established by Elmi et al. (1997) and Page (2003), which are used in this work.

A total of 191 analyses of stable isotopes were performed on belemnite calcite samples, in order to obtain the primary Upper Sinemurian, Pliensbachian and Lower Toarcian seawater stable isotope signal, and hence to determine palaeotemperature changes, as well as the variation pattern of the carbon isotope in the studied time interval. For the assessment of possible burial diagenetic alteration of the belemnites, polished samples and thick sections of each belemnite rostrum were prepared. The thick sections were studied under the petrographic and the cathodoluminescence microscope, and only the non-luminescent, diagenetically unaltered portions of the belemnite rostra, were sampled using a microscope-mounted dental drill. Sampling of the luminescent parts such as the apical line and the outer and inner rostrum wall, fractures, stylolites and borings have been avoided. Belemnite calcite was processed in the stable isotope labs of the Michigan University (USA). The procedure followed in the stable isotope analysis has been described in Gómez and Goy (2011). Isotope ra-

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tios are reported in per mil relative to the standard Peedee belemnite (PDB), having a reproducibility better than 0.02 ‰ PDB for  $\delta^{13}\text{C}$  and better than 0.06 ‰ PDB for  $\delta^{18}\text{O}$ .

The seawater palaeotemperature recorded in the oxygen isotopes of the studied belemnite rostra have been calculated using the Anderson and Arthur (1983) equation:

5  $T(^{\circ}\text{C}) = 16.0 - 4.14 (\delta_c - \delta_w) + 0.13 (\delta_c - \delta_w)^2$  where  $\delta_c = \delta^{18}\text{O}$  PDB is the composition of the sample, and  $\delta_w = \delta^{18}\text{O}$  SMOW the composition of ambient seawater. For a non-glacial ocean water  $\delta_w$  values of  $-1$  ‰ (Shackleton and Kennet, 1975), were used. For palaeotemperature calculation, it has been assumed that the  $\delta^{18}\text{O}$  values, and consequently the resultant curve, essentially reflects changes in environmental parameters (Sælen et al., 1996; Bettencourt and Guerra, 1999; McArthur et al., 2007; Price et al., 2009; Rexfort and Mutterlose, 2009; Benito and Reolid, 2012; Li et al., 2012; Harazim et al., 2013; Ullmann et al., 2014, Ullmann and Korte, 2015), as the sampled non-luminescent biogenic calcite of the studied belemnite rostra precipitated in equilibrium with the seawater. It has also being assumed that the biogenic calcite retains the primary isotopic composition of the seawater and that the belemnite migration, skeletal growth, the sampling bias, and the vital effects are not the main factors responsible for the obtained variations. Cross-plot of the  $\delta^{18}\text{O}$  against the  $\delta^{13}\text{C}$  values (Fig. 2) reveals a cluster type of distribution, showing a negative correlation coefficient ( $-0.2$ ) and very low covariance ( $R^2 = 0.04$ ), supporting the lack of diagenetic overprints in the analyzed diagenetically screened belemnite calcite.

### 3 Results

In the coastal cliffs located northeast of the Villaviciosa village, in the eastern part of the Asturias community (Northern Spain) (Fig. 1), the well exposed Upper Sinemurian, Pliensbachian and Lower Toarcian deposits are represented by a succession of alternating lime mudstone to bioclastic wackestone and marls with interbedded black shales belonging to the Santa Mera Member of the Rodiles Formation (Valenzuela, 1988) (Fig. 3). The uppermost Sinemurian and Pliensbachian deposits have been studied

in the eastern part of the Rodiles Cape and the uppermost Pliensbachian and Lower Toarcian in the western part of the Rodiles Cape (West Rodiles section of Gómez et al., 2008; Gómez and Goy 2011). Both fragments of the section are referred here as the Rodiles section (lat. 43°32'22" long. 5°22'22").

5 Ammonite taxa distribution and profiles of the  $\delta^{18}\text{O}_{\text{bel}}$  and  $\delta^{13}\text{C}_{\text{bel}}$  values obtained from belemnite calcite have been plotted against the 562 measured beds of the Rodiles section (Fig. 4).

### 3.1 Lithology

10 The Upper Sinemurian, Pliensbachian and Lower Toarcian deposits of the Rodiles section are constituted by couplets of bioclastic lime mudstone to wackestone limestone and marls. Occasionally the limestones contain bioclastic packstone facies concentrated in rills. Limestones, generally recrystallized to microsparite, are commonly well stratified in beds whose continuity can be followed at the outcrop scale, as well as in  
15 outcrops several kilometres apart. However, nodular limestone layers, discontinuous at the outcrop scale, are also present. The base of some carbonates can be slightly erosive, and they are commonly bioturbated, to reach the homogenization stage. Ichnofossils, specially *Thalassinoides*, *Chondrites* and *Phymatoderma*, are also present. Marls, with  $\text{CaCO}_3$  content generally lower than 20 % (Bádenas et al., 2009, 2012), are frequently gray coloured, occasionally light gray due to the higher proportion of carbonates,  
20 with interbedded black intervals. Occasionally brown coloured sediments, more often in the Upper Sinemurian, are present.

### 3.2 Biochronostratigraphy

25 The ammonite-based biochronostratigraphy of these deposits in Asturias have been carried out by Suárez-Vega (1974), and the uppermost Pliensbachian and Toarcian ammonites by Gómez et al. (2008), and by Goy et al. (2010a, b). Preliminary biochronos-

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trigraphy of the Upper Sinemurian and the Pliensbachian in some sections of the Asturian Basin has been reported by Comas-Rengifo and Goy (2010).

Collected ammonites allowed the recognition of all the standard Upper Sinemurian, Pliensbachian and Lower Toarcian chronozones and subchronozone defined by Elmi et al. (1997) and Page (2003) for Europe. Section is generally expanded and ammonites are common enough as to constrain the boundaries of the biostratigraphical units. Exceptions are the Taylori-Polymorphus subchronozone that could not be separated, and the Capricornus-Figulinum subchronozone of the Davoei Chronozone, partly due to the relatively condensed character of this chronozone. Most of the recorded species belong to the NW Europe province but some representatives of the Tethysian Realm are also present.

### 3.3 Carbon isotopes

The carbon isotopes curve reflects several oscillations through the studied section (Fig. 4). A positive  $\delta^{13}\text{C}_{\text{bel}}$  shift, showing average values of 1.6‰ is recorded in the Upper Sinemurian Densinodulum to part of the Macdonelli subchronozone. From the uppermost Sinemurian Aplanatum Subchronozone (Raricostatum Chronozone) up to the Lower Pliensbachian Valdani Subchronozone of the Ibex Chronozone, average  $\delta^{13}\text{C}_{\text{bel}}$  values are  $-0.11\text{‰}$ , delineating an about 1–1.5‰ relatively well marked negative excursion. In the upper Ibex and the Davoei chronozone, the  $\delta^{13}\text{C}_{\text{bel}}$  curve records background values of about 1‰, with a positive peak at the upper part of the Ibex Chronozone and the lower part of the Davoei Chronozone.

In the Upper Pliensbachian the  $\delta^{13}\text{C}_{\text{bel}}$  values tend to outline a slightly positive excursion, interrupted by a small negative peak in the upper part of the Spinatum Chronozone. The Lower Toarcian curve reflects the presence of a positive  $\delta^{13}\text{C}_{\text{bel}}$  trend which develops above the here represented stratigraphical levels, up to the Middle Toarcian Bifrons Chronozone (Gómez et al., 2008).

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### 3.4 Oxygen isotopes

The  $\delta^{18}\text{O}_{\text{bel}}$  values show the presence of several excursions through the Upper Sinemurian to the Lower Toarcian (Fig. 4). In the Upper Sinemurian to the lowermost Pliensbachian interval, an about 1‰ negative excursion, showing values generally below -1‰ with peak values up to -2.98‰ has been recorded in Sinemurian samples located immediately below the stratigraphic column represented in Fig. 4. In most of the Lower Pliensbachian Jamesoni and the lower part of the Ibex chronozones,  $\delta^{18}\text{O}_{\text{bel}}$  values are quite stable, around -1‰, but a new about 1–1.5‰ negative excursion, with peak values up to -1.9‰, develops along most of the Lower Pliensbachian Ibex and Davoei chronozones, extending up to the base of the Upper Pliensbachian Margaritatus Chronozone. Most of the Upper Pliensbachian and the base of the Lower Toarcian are characterized by the presence of an important change. A well-marked in the order of 1.5‰  $\delta^{18}\text{O}_{\text{bel}}$  positive excursion, with frequent values around 0‰, and positive values up to 0.67‰, were assayed in this interval. The oxygen isotopes recorded a new change on its tendency in the Lower Toarcian, where a prominent about 1.5–2‰  $\delta^{18}\text{O}_{\text{bel}}$  negative excursion, with values up to -3‰, has been verified.

## 4 Discussion

The isotope curves obtained in the Upper Sinemurian, Pliensbachian and Lower Toarcian section of the Asturian Basin has been correlated with other successions of similar age, in order to evaluate if the recorded environmental features have a local or a possible global extent. In order to correlate a more homogeneous dataset, only the isotopic results obtained by other authors from belemnite calcite and exceptionally from brachiopod calcite, have been used for the correlation of the stable isotopic data.

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## 4.1 Carbon isotope curve

The  $\delta^{13}\text{C}_{\text{bel}}$  carbon isotope excursions (CIEs) found in the Asturian Basin, can be followed in other sections across Western Europe (Fig. 5). The Upper Sinemurian positive CIE has also been recorded in the Cleveland Basin of the UK by Korte and Hesselbo (2011) and in the  $\delta^{13}\text{C}_{\text{org}}$  data of the Wessex Basin of southern UK by Jenkyns et al. (2013).

The Lower Pliensbachian negative  $\delta^{13}\text{C}_{\text{bel}}$  excursion that extends from the Rari-costatum Chronozone of the uppermost Sinemurian to the Lower Pliensbachian Jamesoni and part of the Ibex chronozones (Fig. 5), correlates with the lower part of the negative  $\delta^{13}\text{C}_{\text{bel}}$  excursion reported by Armendáriz et al. (2012) in another section of the Asturian Basin. Similarly, the  $\delta^{13}\text{C}_{\text{bel}}$  curve obtained by Quesada et al. (2005) in the neighbouring Basque-Cantabrian Basin, show the presence of a negative CIE in similar stratigraphical position. In the Cleveland Basin of the UK, the studies on the Sinemurian-Pliensbachian deposits carried out by Hesselbo et al. (2000a), Jenkyns et al. (2002) and Korte and Hesselbo (2011) reflect the presence of this Lower Pliensbachian  $\delta^{13}\text{C}_{\text{bel}}$  negative excursion. In the Peniche section of the Lusitanian Basin of Portugal, this negative CIE has also been recorded by Suan et al. (2010) in brachiopod calcite, and in bulk carbonates in Italy (Woodfine et al., 2008; Francheschi et al., 2014). The about 1.5–2‰ magnitude of this negative excursion seems to be quite consistent across the different European localities.

Korte and Hesselbo (2011) pointed out that the Lower Pliensbachian negative  $\delta^{13}\text{C}$  excursion seems to be global in character and the result of the injection of isotopically light carbon from some remote source, such as methane from clathrates, wetlands, or thermal decomposition or thermal metamorphism or decomposition of older organic-rich deposits. However none of these possibilities have been documented yet.

Higher in the section, the  $\delta^{13}\text{C}$  values are relatively uniform, except for a thin interval, around the Lower Pliensbachian Ibex-Davoei zonal boundary, where a small positive peak (the Ibex-Davoei positive peak, previously mentioned by Rosales et al. (2001)

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and by Jenkyns et al., 2002) can be observed in most of the  $\delta^{13}\text{C}$  curves summarized in Fig. 5.

The next CIE is an about 1.5–2‰ positive excursion, well recorded in all the correlated Upper Pliensbachian sections (the Upper Pliensbachian positive excursion in Fig. 5). Around the Pliensbachian-Toarcian boundary, a negative  $\delta^{13}\text{C}$  peak is again recorded (Fig. 5). This narrow excursion was described by Hesselbo et al. (2007) in bulk rock samples in Portugal, and tested by Suan et al. (2010) in the same basin and extended to the Yorkshire (UK) by Littler et al. (2011) and by Korte and Hesselbo (2011). If this perturbation of the carbon cycle is global, as Korte and Hesselbo (2011) pointed out, it could correspond with the negative  $\delta^{13}\text{C}$  peak recorded in the upper part of the Spinatum Chronozone in the Asturian Basin (this work); with the negative  $\delta^{13}\text{C}$  peak reported by Quesada et al. (2005) in the same stratigraphical position in the Basque-Cantabrian Basin, and the negative  $\delta^{13}\text{C}$  peak reported by van de Schootbrugge et al. (2010) and Harazim et al. (2013) in the French Grand Causses Basin.

Finally, the Lower Toarcian is characterized by a prominent positive  $\delta^{13}\text{C}$  excursion that has been detected in all the here considered sections, as well as in some South American (Al-Suwaidi et al., 2010) and Northern African (Bodin et al., 2010) sections. The origin of this positive excursion has been interpreted by some authors as the response of water masses to excess and rapid burial of large amounts of organic carbon rich in  $^{12}\text{C}$ , which led to enrichment in  $^{13}\text{C}$  of the sediments (Jenkyns and Clayton, 1997; Schouten et al., 2000). Other authors ascribe the origin of this positive excursion to the removal from the oceans of large amounts of isotopically light carbon as organic matter into black shales or methane hydrates, resulting from ebullition of isotopically heavy  $\text{CO}_2$ , generated by methanogenesis of organic-rich sediments (McArthur et al., 2000).

Although positive  $\delta^{13}\text{C}$  excursions are difficult to account for (Payne and Kump, 2007), it seems that this positive  $\delta^{13}\text{C}$  shift cannot necessarily be the consequence of the widespread preservation of organic-rich facies under anoxic waters, as no anoxic facies are present in the Spanish Lower Toarcian sections (Gómez and Goy, 2011).

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Modelling of the CIEs performed by Kump and Arthur (1999) shows that positive  $\delta^{13}\text{C}$  excursions can also be due to an increase in the rate of phosphate or phosphate and inorganic carbon delivery to the ocean, and that large positive excursions in the isotopic composition of the ocean can also be due to an increase in the proportion of carbonate weathering relative to organic carbon and silicate weathering. Other authors argue that increase of  $\delta^{13}\text{C}$  in bulk organic carbon may reflect a massive expansion of marine archaea bacteria that do not isotopically discriminate in the type of carbon they use, leading to positive  $\delta^{13}\text{C}$  shifts (Kidder and Worsley, 2010).

## 4.2 Oxygen isotope curves and seawater palaeotemperature oscillations

Seawater palaeotemperature calculation from the obtained  $\delta^{18}\text{O}$  values reveals the occurrence of several isotopic events corresponding with relevant climatic oscillations across the uppermost Sinemurian, the Pliensbachian and the Lower Toarcian (Fig. 6). Some of these climatic changes could be of global extent. In terms of seawater palaeotemperature, five intervals can be distinguished. The lowermost interval corresponds with a warming period developed in the Upper Sinemurian up to the lowermost Pliensbachian. Most of the Lower Pliensbachian is represented by an interval of “normal” temperature, close to the average palaeotemperatures of the studied interval. A new warming period is recorded at the Lower-Upper Pliensbachian transition, and the Upper Pliensbachian is represented by an important cooling interval. Finally the Lower Toarcian coincides with a severe (super)warming interval, linked to the important Lower Toarcian mass extinction.

Palaeogeographical reconstruction based on comprehensive palaeomagnetic data, carried out by Osete et al. (2010), locates the studied Rodiles section at a latitude of about  $32^\circ\text{N}$  for the Hettangian-Sinemurian interval and at a latitude of almost  $40^\circ\text{N}$  (the current latitude of Madrid) for the Toarcian-Aalenian interval. The average palaeotemperature of the uppermost Sinemurian-Pliensbachian-Lower Toarcian interval, calculated from the  $\delta^{18}\text{O}$  values obtained from belemnite calcite in this work, is  $15.6^\circ\text{C}$ .

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## 4.2.1 The Upper Sinemurian Warming

The lowermost isotopic event is a negative  $\delta^{18}\text{O}$  excursion that develops in the Upper Sinemurian Raricostatum Chronozone, up to the base of the Lower Pliensbachian Jamesoni Chronozone. Average palaeotemperatures calculated from the  $\delta^{18}\text{O}$  belemnite samples collected below the part of the Upper Sinemurian Raricostatum Chronozone represented in Fig. 4 were  $19.6^\circ\text{C}$ . This temperature increases to  $21.5^\circ\text{C}$  in the lower part of the Raricostatum Chronozone (Densinodulum Subchronozone), and temperature progressively decreases through the uppermost Sinemurian and lowermost Pliensbachian. In the Raricostatum Subchronozone, the average calculated temperature is  $18.7^\circ\text{C}$ ; in the Macdonnelli Subchronozone average temperature is  $17.5^\circ\text{C}$  and average values of  $16.7^\circ\text{C}$ , closer to the average temperatures, are not reached until the uppermost Sinemurian Aplanatum Subchronozone and the lowermost Pliensbachian Taylori-Polymorphus subchronozones. All these values delineate a warming interval mainly developed in the Upper Sinemurian (Figs. 6, 7).

The Upper Sinemurian Warming interval is also recorded in the Cleveland Basin of the UK (Hesselbo et al., 2000; Korte and Hesselbo, 2011). The belemnite-based  $\delta^{18}\text{O}$  values obtained by these authors are in the order of  $-1$  to  $-3\text{‰}$ , with peak values lower than  $-4\text{‰}$ . That represents a range of palaeotemperatures normally between  $16$  and  $24^\circ\text{C}$  with peak values up to  $29^\circ\text{C}$ , which are not compatible with a cooling, but with a warming interval.

The Upper Sinemurian warming coincides only partly with the Upper Sinemurian-Lower Pliensbachian negative  $\delta^{13}\text{C}$  excursion, located near the stage boundary (Fig. 5). Consequently, this warming cannot be fully interpreted as the consequence of the release of methane from clathrates, wetlands or decomposition of older organic-rich sediments, as interpreted by Korte and Hesselbo (2011) because only a small portion of both excursions are coincident.

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## 4.2.2 The “normal” temperature Lower Pliensbachian Jamesoni Chronozone interval

After the Upper Sinemurian Warming,  $\delta^{18}\text{O}$  values are around  $-1\text{‰}$  reflecting average palaeotemperatures of about  $16^\circ\text{C}$  (Fig. 6). This Lower Pliensbachian interval of “normal” temperature develops in most of the Jamesoni Chronozone and the base of the Ibx Chronozone. In the Taylori-Polymorphus chronozones, average temperature is  $15.7^\circ\text{C}$ , in the Brevispina Subchronozone is  $16.4^\circ\text{C}$ , and in the Jamesoni Subchronozone  $17.2^\circ\text{C}$ . Despite showing more variable data, this interval has also been recorded in other sections of the Asturian Basin (Fig. 7) by Armendáriz et al. (2012), and relatively uniform values are also recorded in the Basque-Cantabrian Basin of Northern Spain (Rosales et al., 2004) and in the Peniche section of the Portuguese Lusitanian Basin (Suan et al., 2008, 2010). Belemnite calcite-based  $\delta^{18}\text{O}$  values published by Korte and Hesselbo (2011) are quite noisy, oscillating between  $\sim 1\text{‰}$  and  $\sim -4.5\text{‰}$  (Fig. 7).

## 4.2.3 The Lower Pliensbachian Warming interval

Most of the Lower Pliensbachian Ibx Chronozone and the base of the Upper Pliensbachian are dominated by a 1 to  $1.5\text{‰}$  negative  $\delta^{18}\text{O}$  excursion, representing an increase in palaeotemperature, which marks a new warming interval. Average values of  $18.2^\circ\text{C}$  with peak values of  $19.7^\circ\text{C}$  were reached in the Rodiles section (Fig. 6). This increase in temperature partly co-occurs with the uppermost part of the Lower Pliensbachian negative  $\delta^{13}\text{C}$  excursion.

The Lower Pliensbachian Warming interval is also well marked in other sections of Northern Spain (Fig. 7) like in the Asturian Basin (Armendáriz et al., 2012) and the Basque-Cantabrian Basin (Rosales et al., 2004), where peak values around  $25^\circ\text{C}$  were reached. The increase in seawater temperature is also registered in the Southern France Grand Causses Basin (van de Schootbrugge et al., 2010), where temperatures averaging around  $18^\circ\text{C}$  have been calculated. This warming interval is not so clearly

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marked in the brachiopod calcite of the Peniche section in Portugal (Suan et al., 2008, 2010), but even very scattered  $\delta^{18}\text{O}$  values, peak palaeotemperature near  $30^\circ\text{C}$  were frequently reported in the Cleveland Basin (Korte and Hesselbo, 2011). In the compilation performed by Dera et al. (2009, 2011),  $\delta^{18}\text{O}$  values are quite scattered, but this Lower Pliensbachian Warming interval is also well marked, supporting a possible global extent for this climatic event.

#### 4.2.4 The Upper Pliensbachian Cooling interval

One of the most important Jurassic positive  $\delta^{18}\text{O}$  excursions is recorded at the Upper Pliensbachian and the lowermost Toarcian in all the correlated localities (Figs. 4, 6, 7). This represents an important climate change towards cooler temperatures that begins at the base of the Upper Pliensbachian and extends up to the lowermost Toarcian Tenuicostatum Chronozone, representing an about 4 Myrs major cooling interval. Average palaeotemperatures of  $12.7^\circ\text{C}$  for this period in the Rodiles section have been calculated, and peak temperatures as low as  $9.5^\circ\text{C}$  were recorded in several samples from the Gibbosus and the Apyrenum subchronozones (Fig. 6).

This major cooling event has been recorded in many parts of the World. In Europe, the onset and the end of the cooling interval seems to be synchronous at the scale of ammonites subchronozone (Fig. 7). It starts at the Stokesi Subchronozone of the Margaritatus Chronozone (near the base of the Upper Pliensbachian), and extends up to the Lower Toarcian Semicelatum Subchronozone of the Tenuicostatum Chronozone. In addition to the Asturian Basin (Gómez et al., 2008; Gómez and Goy, 2011; this work), it has clearly been recorded in the Basque-Cantabrian Basin (Rosales et al., 2004; Gómez and Goy, 2011; García Joral et al., 2011) and in the Iberian Basin of Central Spain (Gómez et al., 2008; Gómez and Arias, 2010; Gómez and Goy, 2011), in the Cleveland Basin of the UK (McArthur et al., 2000; Korte and Hesselbo, 2011), in the Lusitanian Basin (Suan et al., 2008, 2010), in the French Grand Causses Basin (van de Schootbrugge et al., 2010), and in the data compiled by Dera et al. (2009, 2011).

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5 It seems that the Late Pliensbachian represents a time interval of major cooling, probably of global extent. This fact has conditioned that many authors point to this period as one of the main candidates for the development of polar ice caps in the Mesozoic (Price, 1999; Guex et al., 2001; Dera et al., 2011; Suan et al., 2011; Gómez and Goy, 2011; Fraguas et al., 2012). This idea is based on the presence, in the Upper Pliensbachian deposits of different parts of the World, of: (1) glendonites; (2) exotic pebble to boulder-size clasts; (3) the presence in some localities of a hiatus in the Upper Pliensbachian-lowermost Toarcian; (4) the results obtained in the General Circulation Models, and (5) the calculated Late Pliensbachian palaeotemperatures and the assumed pole-to-equator temperature gradient.

### 4.2.5 The presence of glendonites of Pliensbachian age

15 It is assumed that glendonite, a calcite pseudomorph after the metastable mineral ikaite, grows in marine deposits under near-freezing temperatures (0–4 °C), at or just below the sediment-water interface. This mineral is commonly associated with organic-rich sediments, where methane oxidation is occurring, and is favoured by high alkalinity and elevated concentrations of dissolved orthophosphate (e.g. De Lurio and Frakes, 1999; Selleck et al., 2007). Based on these features, glendonites have been extensively used as a robust indicator of cold water palaeotemperature in organic-rich environments during the periods of ikaite growth. Oxygen isotope data of modern ikaite suggests that carbonate precipitation is in equilibrium with ambient seawater, but carbon isotope signatures are normally very negative, up to –33.9‰ in the Recent deep marine deposits of the Zaire Fan (Jansen et al., 1987) consistent with derivation of carbonate from methane oxidation.

25 The presence of glendonite in deposits of Pliensbachian age has been reported from Northern Siberia (Kaplan, 1978; Rogov and Zakharov, 2010; Devyatov, et al., 2010; Suan et al., 2011), and the occurrence of this pseudomorph in Pliensbachian deposits of circum polar palaeolatitudes has been considered as a strong support for the interpretation of near-freezing to glacial climate conditions (Price, 1999; Suan et



al., 2011). However, Teichert and Luppold (2013) reported the presence of three horizons with glendonites in Upper Pliensbachian (Margaritatus to Spinatum chronozones) methane seeps in Germany, where belemnite and ostracod-based calculated bottom water palaeotemperature were ca. 10 °C, which was well above the previously observed near freezing range of ikaite stability. As a consequence, these authors raised the question if methane seeps are geochemical sites where ikaite can be formed at higher temperatures due to methanotrophic sulphate reduction as the triggering geochemical process for ikaite formation at the sulphate-methane interface. The possibility of ikaite formation at higher than previously expected temperatures needs experimental confirmation, but until these data are available, the use of glendonite as unequivocal indicator of near-freezing palaeotemperature should be cautioned.

#### 4.2.6 Exotic clasts rafted by ice

Exotic pebble to boulder-size clasts of Pliensbachian age, have been described in Northern Siberia by several papers (Kaplan, 1978; Rogov and Zakharov, 2010; Devyatov et al., 2010; Suan et al., 2011). They are composed of limestone, marly limestone and basalt clasts, included in a succession of interbedded sandstone, siltstone and silty clay. These deposits have been interpreted as ice-rafted dropstones and have been taken as an evidence of near-freezing climatic conditions in the Arctic region (Price, 1999; Suan et al., 2011).

#### 4.2.7 Short-lived regression forced by cooling and glaciations

The presence of a hiatus around the Pliensbachian-Toarcian boundary in some (but not all) European, North African, South American and Siberian sections (Guex, 1973; Guex et al., 2001, 2012; Suan et al., 2011) has been interpreted as the result of a major short-lived regression, forced by cooling that reached near freezing to glacial conditions, derived from increased volcanic activity (Guex et al., 2001, 2012).

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From the here presented data, the interval of cooling development can now be precisely constrained. Low seawater temperatures started at the Upper Pliensbachian Stokesi Subchronozone of the Margaritatus Chronozone and ended at the lowermost Toarcian Semicelatum Subchronozone of the Tenuicostatum Chronozone, spanning virtually along all the Upper Pliensbachian and the base of the Lower Toarcian. In terms of time, the duration of the cooling interval spans for about 4 Myr (Ogg, 2004; Ogg and Hinnov, 2012). Even it cannot be fully discarded, it seems quite inconsistent to attribute the end-Pliensbachian-lowermost Toarcian regression to the presence of glacial conditions right at the end of the cold climatic interval. If cooling was able to generate enough ice volume in the pole caps as to generate a generalized lowstand period important enough as to provoke a generalized hiatus, the amplitude of this hiatus would virtually affect the whole Upper Pliensbachian, whilst in reality only affects in some places, not in all areas, to a few ammonite chronozones, and mainly of the lowermost Toarcian.

On the other hand, no major volcanic activity responsible for the climatic change was recorded at the Upper Pliensbachian. The Karoo-Ferrar volcanism did not start until the Early Toarcian (Svensen et al., 2007; Jourdan et al., 2007, 2008; Moulin et al., 2011; Dera et al., 2011; Ogg and Hinnov, 2012; Burgess et al., 2015), and only minor Pliensbachian volcanism has been reported in the North Sea and in the Patagonia (Dera et al., 2011) as well as in the Iberian Range of Central Spain (Cortés, 2015). The recorded volcanism does not seem to be important enough as to release the huge amount of SO<sub>2</sub> needed to change the climate of the Earth, as Guex et al. (2012) proposed.

### 4.2.8 Late Pliensbachian palaeotemperatures and the pole-to-equator temperature gradient

The idea of a Jurassic latitudinal climate gradient in Eurasia significantly lower than today, with winter temperatures in Siberia probably never falling below 0 °C (Frakes et al., 1992) as well as warmer, more equable conditions compared to the present day,

with no ice caps in the polar region (Hallam, 1975) has been the dominant opinion for many years.

This assumption is mainly based on the supposed wide distribution of part of the Jurassic flora, like the absence of the vascular plants of the genus *Xenoxylon* at high latitudes (Philippe and Thevenard, 1996), and the distribution of fauna and of sedimentary facies (Hallam, 1975). This opinion was maintained against the incipient studies of  $\delta^{18}\text{O}$ -based palaeotemperature that already indicated the presence of significant climate changes during the Jurassic (Stevens and Clayton, 1971).

The presence of a marked pole-to-equator climate and particularly temperature gradient during the Jurassic times has been evidenced by several studies. As an example, the manifest bipolarity in the distribution of certain bivalves has been documented by Crame (1993), particularly for the Pliensbachian and Tithonian. Also Hallam (1972) denoted an increasing diversity gradient in the Pliensbachian and Toarcian from the Tethyan to the Boreal domains and Liu et al. (1998) reported that temperature gradients were one of the main factors for Jurassic bivalves' provincialism. More recently, Damborenea et al. (2013) documented the latitudinal gradient and bipolar distribution patterns at a regional and global scale shown by marine bivalves during the Triassic and the Jurassic.

Provinciality among Ammonoids has been classically recognized (i.e. Dommergues et al., 1997; Enay and Cariou, 1997; Cecca, 1999; Page, 2003, 2008; Dera et al., 2010), including seawater temperature as one of the major factors controlling their latitudinal distribution. Jurassic brachiopods show also good examples of latitudinal distribution, where temperature has been considered one of the most important factors (i.e. García Joral et al., 2011).

The presence of pole-to-equator temperature gradient, shown by several fossil groups, lends support to the presence of cold or even freezing conditions at the poles (Price, 1999). In addition, the Chandler et al. (1992) general circulation model (GCM) simulation for the Early Jurassic, concluded that winter temperatures within the continental interiors dropped to about  $-32^\circ\text{C}$ , and seasonal range over high latitude moun-

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tains surpass 45 °C, similar to the current seasonality of Siberia. These conditions are compatible with the formation of permanent or seasonal ice in the Polar Regions.

#### 4.2.9 The Lower Toarcian warming interval

Seawater temperature started to increase at the base of the Toarcian. From an average temperature of 12.7 °C during the Upper Pliensbachian cooling interval, average temperature rose to 15 °C in the upper part of the lowermost Toarcian Tenuicostatum Chronozone (Semicelatum Subchronozone), which represents a progressive increase on seawater temperature in the order of 2–3 °C. Comparison of the evolution of palaeotemperature with the evolution of the number of taxa reveals that progressive warming coincides first with a progressive loss in the taxa of several groups (Gómez and Arias, 2010; Gómez and Goy, 2011; García Joral et al., 2011; Fraguas et al., 2012; Baeza-Carratalá et al., 2015) marking the prominent Lower Toarcian extinction interval.

Seawater palaeotemperature rapidly increased around the Tenuicostatum-Serpentinum zonal boundary, where average values of about 21 °C, with peak temperatures of 24 °C were reached (Fig. 6). This important warming, which represents a  $\Delta T$  of about 8 °C respect to the average temperatures of the Upper Pliensbachian cooling interval, coincides with the turnover of numerous groups (Gómez and Goy, 2011) the total disappearance of the brachiopods (García Joral et al., 2011; Baeza-Carratalá et al., 2015), the extinction of numerous species of ostracods (Gómez and Arias, 2010), and a crisis of the nannoplankton (Fraguas, 2010; Fraguas et al., 2012; Clémence et al., 2015). Temperatures remain high and relatively constant through the Serpentinum and Bifrons chronozones, and the platforms were repopulated by opportunistic immigrant species that thrived in the warmer Mediterranean waters (Gómez and Goy, 2011).

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## 5 Conclusions

Several relevant climatic oscillations across the Upper Sinemurian, the Pliensbachian and the Lower Toarcian have been documented in the Asturian Basin. Correlation of these climatic changes with other European records points out that some of them could be of global extent. In the Upper Sinemurian, a warm interval showing average temperature of 18.5°C was recorded. The end of this warming interval coincides with the onset of a negative  $\delta^{13}\text{C}$  excursion that develops through the uppermost Sinemurian and part of the Lower Pliensbachian.

The Upper Sinemurian warming interval is followed by an interval of “normal” temperature averaging 16°C, which develops through most of the Lower Pliensbachian Jamesoni Chronozone and the base of the IbeX Chronozone.

The upper part of the Lower Pliensbachian is dominated by an increase in temperature, marking a new warming interval which extends to the base of the Upper Pliensbachian, where average temperature of 18.2°C was calculated. Within this warming interval, a positive  $\delta^{13}\text{C}$  peak occurs at the transition between the Lower Pliensbachian IbeX and Davoei chronozones.

One of the most important climatic changes was recorded through the Upper Pliensbachian. Average palaeotemperature of 12.7°C for this interval in the Rodiles section delineated an about 4 Myrs major Upper Pliensbachian cooling event that was recorded in many parts of the World. At least in Europe, the onset and the end of this cooling interval is synchronous at the scale of ammonites subchronozone. The cooling interval coincides with a  $\delta^{13}\text{C}$  slightly positive excursion, interrupted by a small negative  $\delta^{13}\text{C}$  peak in the uppermost Pliensbachian Hawskerense Chronozone.

This prominent cooling event has been pointed as one of the main candidates for the development of polar ice caps in the Jurassic. Even some of the exposed data need additional studies, like the meaning of the glendonite, and that more updated GMC studies are required; most of the available data support the hypothesis that ice caps were developed during the Upper Pliensbachian cooling interval.

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Causes of the exceptional Upper Pliensbachian cooling are still unknown. As for many of the major glaciation periods recorded in the Phanerozoic, low levels of atmospheric  $p\text{CO}_2$ , and/or variations in oceanic currents related to the break-up of Pangea could explain these changes in seawater (Dera et al., 2009; 2011). The presence of relatively low  $p\text{CO}_2$  levels in the Upper Pliensbachian atmosphere is supported by the value of  $\sim 900$  ppm obtained from Pliensbachian araucariacean leaf fossils of south-eastern Australia (Steinthorsdottir and Vajda, 2015). These values are much higher than the measured Quaternary preindustrial 280 ppm  $\text{CO}_2$  (i.e. Wigley et al., 1996), but lower than the  $\sim 1000$  ppm average estimated for the Early Jurassic. The recorded Pliensbachian values represent the minimum values of the Jurassic and of most of the Mesozoic, as documented by the GEOCARB II (Berner, 1994), GEOCARB III (Berner and Kothavala, 2001) curves, confirmed for the Lower Jurassic by Steinthorsdottir and Vajda (2015). Causes of this lowering of atmospheric  $p\text{CO}_2$  are unknown but they could be favoured by elevated silicate weathering rates, nutrient influx, high primary productivity, and organic matter burial (Dromart et al., 2003).

Seawater temperature started to increase at the base of the Toarcian, rising to  $15^\circ\text{C}$  in the upper part of the lowermost Toarcian Tenuicostatum Chronozone (Semice-latum Subchronozone), and palaeotemperature considerably increased around the Tenuicostatum-Serpentinum zonal boundary, seawater, reaching average values in the order of  $21^\circ\text{C}$ , with peak intervals of  $24^\circ\text{C}$ . Atmospheric  $\text{CO}_2$  concentration during the Lower Toarcian seems to be doubled from  $\sim 1000$  to  $\sim 2000$  ppm (i.e. Berner, 2006; Retallack, 2009; Steinthorsdottir and Vajda, 2015), causing this important and rapid warming that coincides with the Lower Toarcian major extinction, pointing warming as the main cause of the faunal turnover.

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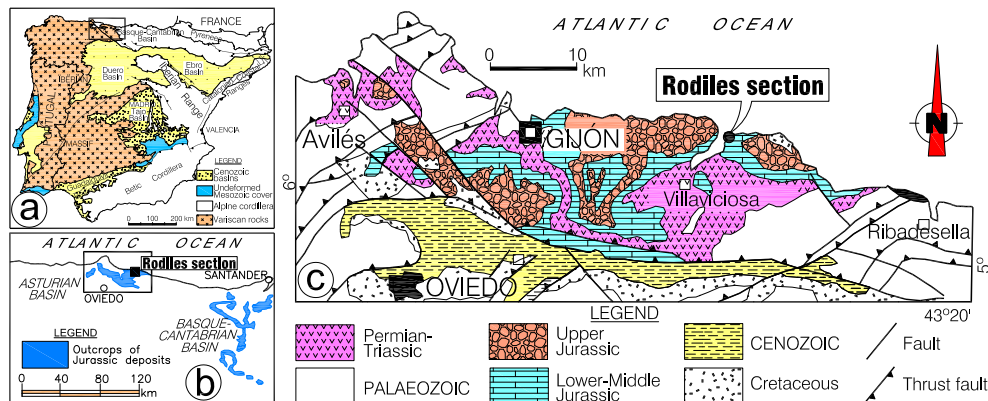
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**Figure 1.** Location maps of the Rodiles section. **(a)** Sketched geological map of Spain and Portugal showing the position of the Asturian Basin. **(b)** Outcrops of the Jurassic deposits in the Asturian and the western part of the Basque-Cantabrian basins, and the position of the Rodiles section. **(c)** Geological map of the Asturian Basin showing the distribution of the different geological units and the location of the Rodiles section.

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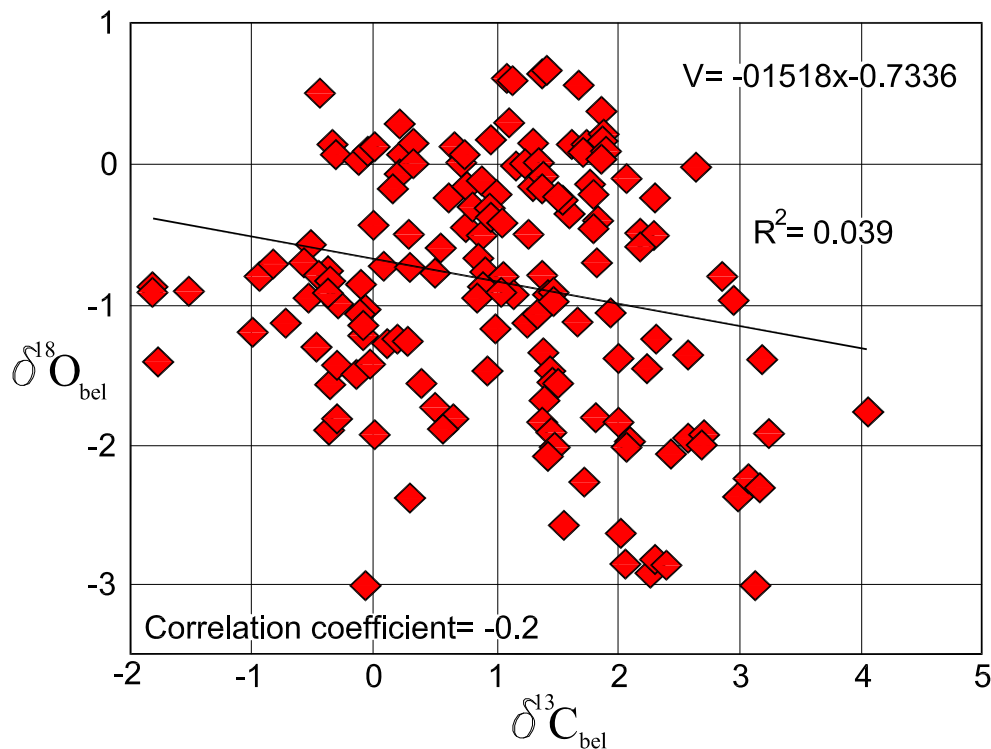
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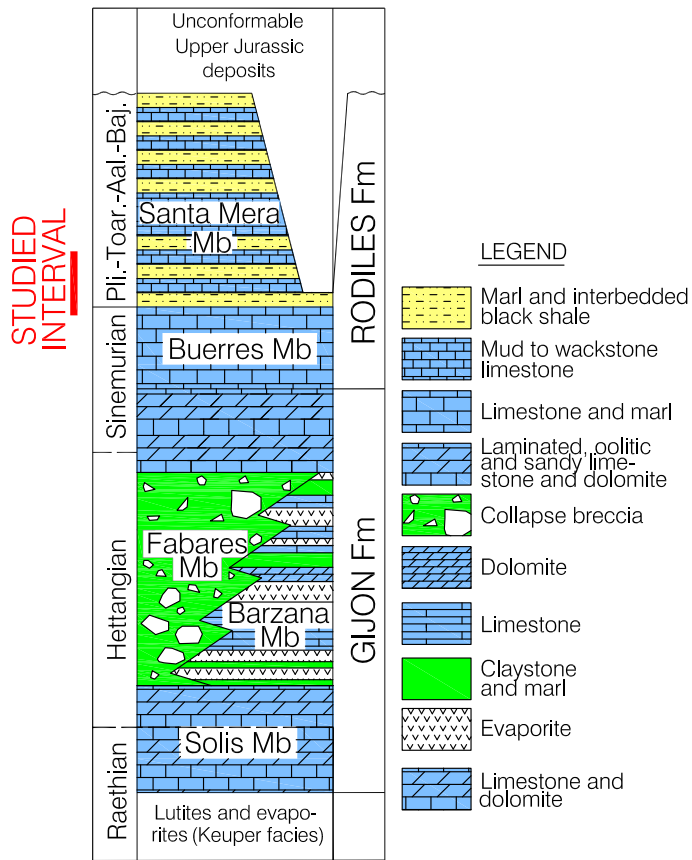
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**Figure 2.** Cross-plot of the  $\delta^{18}\text{O}_{\text{bel}}$  against the  $\delta^{13}\text{C}_{\text{bel}}$  values obtained in the Rodiles section showing a cluster type of distribution. All the assayed values are within the rank of normal marine values, and the correlation coefficient between both stable isotope values is negative, supporting the lack of diagenetic overprints in the sampled belemnite calcite.  $\delta^{18}\text{O}_{\text{bel}}$  and  $\delta^{13}\text{C}_{\text{bel}}$  in PDB.

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**Figure 3.** Sketch of the stratigraphical succession of the uppermost Triassic and the Jurassic deposits of the Asturian Basin. The studied interval corresponds to the lower part of the Santa Mera Member of the Rodiles Formation. Pli. = Pliensbachian, Toar. = Toarcian, Aal. = Aalenian, Baj. = Bajocian.

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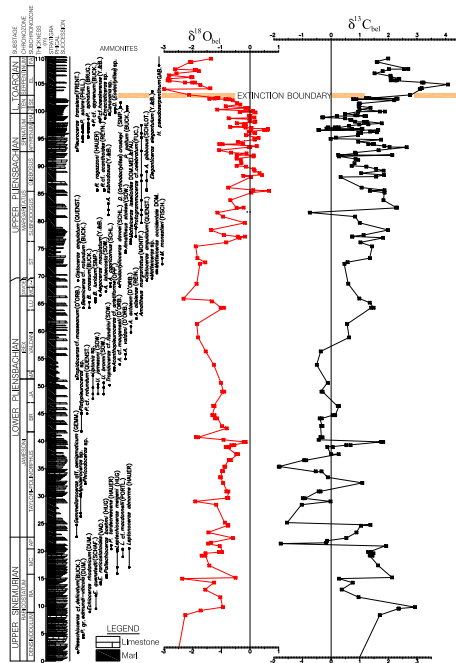
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**Figure 4.** Stratigraphical succession of the Upper Sinemurian, the Pliensbachian and the Lower Toarcian deposits of the Rodiles section, showing the lithological succession, the ammonite taxa distribution, as well as the profiles of the  $\delta^{18}\text{O}_{\text{bel}}$  and  $\delta^{13}\text{C}_{\text{bel}}$  values obtained from belemnite calcite.  $\delta^{18}\text{O}_{\text{bel}}$  and  $\delta^{13}\text{C}_{\text{bel}}$  in PDB. Chronozones abbreviations: TEN: Tenuicostatum. Subchronozones abbreviations: RA: Raricostatum. MC: Macdonnelli. AP: Aplanatum. BR: Brevispina. JA: Jamesoni. MA: Masseanum. LU: Luridum. MU: Maculatum. CA: Capricornus. FI: Figulinum. ST: Stokesi. HA: Hawskerense. PA: Paltum. SE: Semicelatum. EL: Elegantulum. FA: Falciferum.

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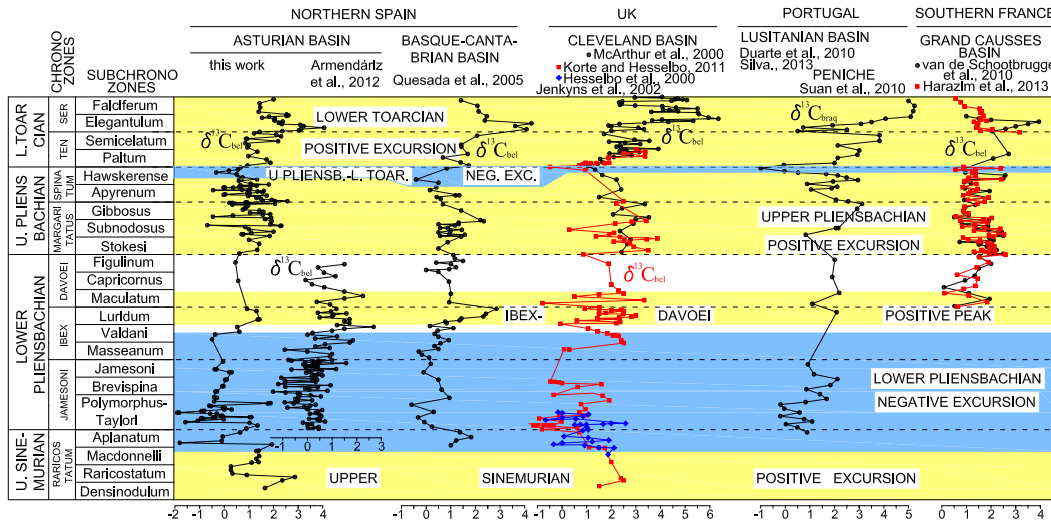
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**Figure 5.** Correlation chart of the belemnite calcite-based  $\delta^{13}\text{C}$  sketched curves across Western Europe. The lowermost isotopic event is the Upper Sinemurian positive  $\delta^{13}\text{C}$  excursion, followed by the Lower Pliensbachian negative excursion and the Ibx-Davoëi positive peak. The Upper Pliensbachian positive  $\delta^{13}\text{C}$  excursion is bounded by a negative  $\delta^{13}\text{C}$  peak, located around the Pliensbachian-Toarcian boundary. A significant positive  $\delta^{13}\text{C}$  excursion is recorded in the Lower Toarcian.  $\delta^{13}\text{C}_{\text{bel}}$  values in PDB. Chronozones abbreviations: TEN: Tenuicostatum. SER: Serpentinum.

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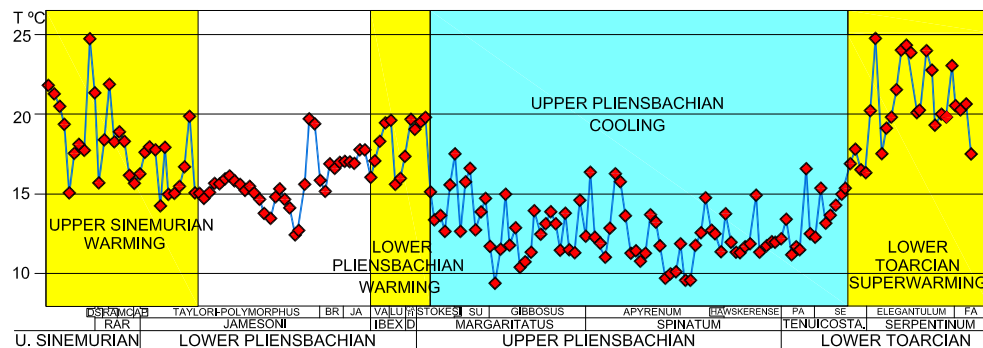
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**Figure 6.** Curve of seawater palaeotemperatures of the Upper Sinemurian, Pliensbachian and Lower Toarcian, obtained from belemnite calcite in the Rodiles section of Northern Spain. Two warming intervals corresponding to the Upper Sinemurian and the Lower Pliensbachian are followed by an important cooling interval, developed at the Upper Pliensbachian, as well as a superwarming event recorded in the Lower Toarcian. Chronozones abbreviations: RAR: Raricostatum. D: Davoei. TENUICOSTA.: Tenuicostatum. Subchronozones abbreviations: DS: Densinodulum. RA: Raricostatum. MC: Macdonelli. AP: Aplanatum. BR: Bevispina. JA: Jamesoni. VA: Valdani. LU: Luridum. CA: Capricornus. FI: Figulinum. SU: Subnodosus. PA: Paltum. SE: Semicelatum. FA: Falciferum.

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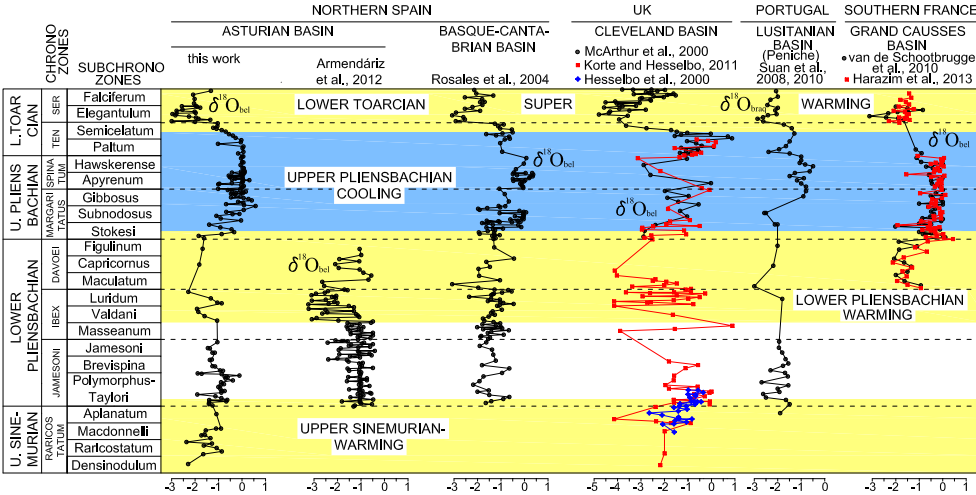
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Palaeoclimatic oscillations in the Pliensbachian

J. J. Gómez et al.



**Figure 7.** Correlation chart of the belemnite calcite-based  $\delta^{18}\text{O}$  sketched curves obtained in different areas of Western Europe. Several isotopic events along the uppermost Sinemurian, Pliensbachian and Lower Toarcian can be recognized. The lowermost event is a negative  $\delta^{18}\text{O}$  excursion corresponding to the Upper Sinemurian Warming. After an interval of “normal”  $\delta^{18}\text{O}$  values developed in most of the Jamesoni Chronozone and the lowermost part of the IbeX Chronozone, another negative  $\delta^{18}\text{O}$  excursion was developed in the IbeX, Davoei and lowermost Margaritatus chronozones, representing the Lower Pliensbachian Warming interval. A main positive  $\delta^{18}\text{O}$  excursion is recorded at the Upper Pliensbachian and the lowermost Toarcian in all the correlated localities, representing the important Upper Pliensbachian Cooling interval. Another prominent negative shift in the  $\delta^{18}\text{O}$  values is recorded in the Lower Toarcian. Values are progressively more negative in the Tenuicostatum Chronozone and suddenly decrease around the Tenuicostatum-Serpentinum zonal boundary, delineating the Lower Toarcian negative  $\delta^{18}\text{O}$  excursion which represents the Lower Toarcian (super)Warming interval.  $\delta^{18}\text{O}_{\text{bel}}$  values in PDB.

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