

1 **Answer to Editor Prof. Appy Sluijs**

2 Thanks to Editor for his comments and suggestions referring the manuscript CP-2015-113
3 entitled "Palaeoclimatic oscillations in the Pliensbachian (Lower Jurassic) of the Asturian Basin
4 (Northern Spain)". Together with the valuable comments and suggestions received from the
5 two anonymous referees, have contributed to substantial improvement of the manuscript.

6 At this respect, attached please find two documents of the text. The "Gomez et al TEXT
7 Corrected" and the "Gomez et al TEXT Corrected Changes Marked". The first .doc file contains
8 the text, after corrections, and in the second .doc file highlights, marked in red colour; the
9 changes introduced respect to the previous text.

10 We do not see any problem concerning the relevance of the study. For the first time a clear
11 succession of the climate changes during the Late Sinemurian, Pliensbachian and Early
12 Toarcian are evidenced and their correlation with other localities of Europe suggests that this
13 changes could well be of at least regional and probably global extent. Only the latest
14 Pliensbachian cooling and the Early Toarcian Warming were previously mentioned by several
15 authors, but definition of the time interval on which it was developed and the magnitude of
16 the cooling event were not precised. Also a discussion on the reasons to defend the presence
17 of ice caps in the poles during the Late Pliensbachian based on updated data, is presented for
18 the first time. The Late Sinemurian and Early Pliensbachian warming intervals, as well as the
19 Early Pliensbachian "normal" temperature interval were also delineated for the first time in
20 this work and precisely dated.

21 Even discussion on the palaeoecology of belemnites and the validity of the isotopic
22 data obtained from belemnite calcite for the calculation of palaeotemperatures is
23 beyond the scope of this paper, a one page discussion on this subject has been
24 included in section 2 Materials and methods. It summarizes the answer given to
25 Referee#1.

26 What we understand is that Referees#1 and 2 are asking respect to the Early Toarcian
27 $\delta^{13}\text{C}$ negative excursion which has been found in many sections of the World. This
28 negative excursion has not been recorded in the Rodiles section in belemnite calcite,
29 but it has been recorded in bulk carbonates. The results of the analysis of the $\delta^{13}\text{C}_{\text{bulk}}$
30 have been represented in Figure 5, were the presence of the negative CIE has been
31 evidenced, and its presence has also been mentioned in the text. The other CIEs are
32 clearly defined at Rodiles and can be correlated with the curves obtained in other
33 localities.

34 As explained in the corrected Section2, the use of $\delta_w = -1$ for palaeotemperature
35 calculations, is due to the fact that it is the recommended as the standard value under
36 non-glacial ocean water conditions by Shackleton and Kennett (1975). This figure is
37 virtually used by all authors calculating the palaeotemperatures of the Mesozoic,
38 assuming that no glacial ice caps were developed at this time. If the presence of
39 permanent ice caps in the poles is demonstrated for some of the studied intervals,
40 value of $\delta_w = 0\text{‰}$ would be used and consequently calculated palaeotemperatures
41 would increase in the order of 4°C , as stated in the corrected text.

42 As can be seen in figures 2 and 3, the degree of preservation of belemnite calcite in the
43 Rodiles section is excellent. As stated in the new Section2, in all the studied samples, at
44 least parts of the belemnite guards were not affected by burial diagenesis and they

45 could be sampled accurately after mapping of the non luminescent areas observed in
46 the cathodoluminescence microscope. As can be seen in the photomicrographs, only
47 the non luminescent parts of the belemnite guards were selected for sampling,
48 ensuring that only pristine portions of the calcite were analysed for stable isotopes.

49 Both reviewers were right stating that the terms applied to time and rock were
50 regularly confused. We revised the whole text and figures and corrected these terms,
51 now marked in red in the “Changes marked” version of the text.

52 Conclusions were substantially reduced. In the new version only the main conclusions
53 of the work have been mention. Additional comments and references concerning the
54 atmospheric $p\text{CO}_2$ values in the Late Pliensbachian and in the Early Toarcian, included
55 in the Conclusions sections in the old version, have been respectively included in
56 sections 4.2.4. and 4.2.9.

57 We assume that all the requirements pointed by Editor and Referees have been
58 accomplished, but if any additional modification or clarification is required please do
59 not hesitate to contact us at your earliest convenience.

60 We are looking forward to receiving your opinion on the revised manuscript.

61 Sincerely,

62 Juan J. Gómez

63

64 **Palaeoclimatic oscillations in the Pliensbachian (Early Jurassic) of the Asturian Basin**
65 **(Northern Spain).**

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76

77 **Abstract.**

78 One of the main controversial items in palaeoclimatology is to elucidate if climate
79 during the Jurassic was warmer than present day, with no ice caps, or if ice caps were

80 present in some specific intervals. The Pliensbachian Cooling event (Early Jurassic) has
81 been pointed out as one of the main candidates to have developed ice caps on the
82 poles. To constrain the timing of this cooling event, including the palaeoclimatic
83 evolution before and after cooling, as well as the calculation of the seawater
84 palaeotemperatures are of primary importance to find arguments on this subject. For
85 this purpose, the Rodiles section of the Asturian Basin (Northern Spain), a well exposed
86 succession of the uppermost Sinemurian, Pliensbachian and Lower Toarcian deposits,
87 has been studied. A total of 562 beds were measured and sampled for ammonites, for
88 biochronostratigraphical purposes and for belemnites, to determine the palaeoclimatic
89 evolution through stable isotope studies. Comparison of the recorded latest
90 Sinemurian, Pliensbachian and Early Toarcian changes in seawater palaeotemperature
91 with other European sections allows characterization of several climatic changes of
92 probable global extent. A warming interval which partly coincides with a $\delta^{13}\text{C}_{\text{bel}}$
93 negative excursion was recorded at the Late Sinemurian. After a “normal” temperature
94 interval, a new warming interval that contains a short lived positive $\delta^{13}\text{C}_{\text{bel}}$ peak, was
95 developed at the Early–Late Pliensbachian transition. The Late Pliensbachian
96 represents an outstanding cooling interval containing a $\delta^{13}\text{C}_{\text{bel}}$ positive excursion
97 interrupted by a small negative $\delta^{13}\text{C}_{\text{bel}}$ peak. Finally, the Early Toarcian represented an
98 exceptional warming period pointed as the main responsible for the prominent Early
99 Toarcian mass extinction.

100

101 **1 Introduction**

102 The idea of an equable Jurassic greenhouse climate, 5–10°C warmer than present day,
103 with no ice caps and low pole-equator temperature gradient, has been proposed by
104 several studies (i.e. Hallam, 1975, 1993; Chandler et al., 1992; Frakes et al., 1992; Rees
105 et al., 1999; Sellwood and Valdes, 2008). Nevertheless, this hypothesis has been
106 challenged by numerous palaeoclimatic studies, mainly based on palaeotemperature
107 calculations using the oxygen isotope data from belemnite and brachiopod calcite as a
108 proxy. Especially relevant are the latest Pliensbachian–Early Toarcian climate changes,
109 which have been documented in many sections from Western Europe (i. e. Sælen et
110 al., 1996; McArthur et al., 2000; Röhl et al., 2001; Schmidt-Röhl et al., 2002; Bailey et
111 al., 2003; Jenkyns, 2003; Rosales et al., 2004; Gómez et al., 2008; Metodiev and Koleva-
112 Rekalova, 2008; Suan et al., 2008, 2010; Dera et al., 2009, 2010, 2011; Gómez and
113 Arias, 2010; García Joral et al., 2011; Gómez and Goy, 2011; Fraguas et al., 2012), as
114 well as in Northern Siberia and in the Arctic Region (Zakharov et al., 2006; Nikitenko,
115 2008; Suan et al., 2011). The close correlation between the severe Late Pliensbachian
116 Cooling and the Early Toarcian Warming events, and the major Early Toarcian mass
117 extinction indicates that warming was one of the main causes of the faunal turnover
118 (Kemp et al., 2005; Gómez et al., 2008; Gómez and Arias, 2010; García Joral et al.,
119 2011; Gómez and Goy, 2011; Fraguas et al., 2012; Clémence, 2014; Clémence et al.,
120 2015; Baeza-Carratalá et al., 2015).

121 Comparison between the $\delta^{18}\text{O}$ -derived palaeotemperature curves obtained from
122 belemnite calcite in the European sections shows a close relationship in the evolution
123 of seawater palaeotemperature across Europe, indicating that the Late Pliensbachian
124 cooling and the Early Toarcian warming intervals could probably be global in extent. At

125 the Late Pliensbachian Cooling event, palaeotemperatures of around 10°C have been
126 calculated for the Paris Basin (Dera et al., 2009) and in the order of 12°C for Northern
127 Spain (Gómez et al., 2008; Gómez and Goy, 2011). These temperatures are
128 considerably low for a palaeolatitude of Iberia of around 30–35° N (Osete et al., 2010).
129 Nevertheless, except for a few sections (Rosales et al., 2004; Korte and Hesselbo, 2011;
130 Armendáriz et al., 2012), little data on the evolution of seawater palaeotemperatures
131 during the **latest** Sinemurian and the Pliensbachian, which culminated in the
132 prominent Late Pliensbachian **Cooling** and the **Early** Toarcian Warming events, have
133 been documented.

134 The objective of this paper is to provide data on the evolution of the seawater
135 palaeotemperatures and the changes in the carbon isotopes through the **Early** Jurassic
136 **Late** Sinemurian, Pliensbachian and **Early** Toarcian, to constrain the timing of the
137 recorded changes through ammonite-based chronostratigraphy. The dataset has been
138 obtained from the particularly well exposed Rodiles section, located in the Asturias
139 community in Northern Spain (Fig. 1). **Presented data from the Spanish section reveals**
140 **the presence of several relevant climate changes which have been correlated with the**
141 **results obtained in different sections of Europe, showing that these climatic changes,**
142 **as well as the documented perturbations of the carbon cycle, could be of global, or at**
143 **least of regional extent at the European scale.**

144

145 **2 Materials and methods**

146 The 110 m thick studied section composed of 562 beds has been studied bed by bed.
147 Collected ammonites were prepared and studied following the usual palaeontological
148 methods. The obtained biochronostratigraphy allowed characterization of the
149 standard chronozones and subchronozone established by Elmi et al. (1997) and Page
150 (2003), which are used in this work.

151 A total of 191 analyses of stable isotopes were performed on **163** belemnite calcite
152 samples, in order to obtain the primary **Late** Sinemurian, Pliensbachian and **Early**
153 Toarcian seawater stable isotope signal, and hence to determine palaeotemperature
154 changes, as well as the variation pattern of the carbon isotope in the studied time
155 interval. For the assessment of possible burial diagenetic alteration of the belemnites,
156 polished samples and thick sections of each belemnite rostrum were prepared. The
157 thick sections were studied under the petrographic and the cathodoluminescence
158 microscope, and only the non-luminescent, diagenetically unaltered portions of the
159 belemnite **rostrum**, were sampled using a microscope-mounted dental drill.
160 **Belemnites in the Rodiles section generally show an excellent degree of preservation**
161 **(Fig. 2) and none of the prepared samples were rejected, as only the parts of the**
162 **belemnite rostrum not affected by diagenesis were selected.** Sampling of the
163 luminescent parts such as the apical line and the outer and inner rostrum wall,
164 fractures, stylolites and borings **were** avoided. Belemnite calcite was processed in the
165 stable isotope labs of the Michigan University (USA), **using a Finnigan MAT 253 triple**
166 **collector isotope ratio mass spectrometer.** The procedure followed in the stable
167 isotope analysis has been described in Gómez and Goy (2011). Isotope ratios are

168 reported in per mil relative to the standard Peedee belemnite (PDB), having a
169 reproducibility better than 0.02 ‰ PDB for $\delta^{13}\text{C}$ and better than 0.06 ‰ PDB for $\delta^{18}\text{O}$.

170 The seawater palaeotemperature recorded in the oxygen isotopes of the studied
171 belemnite rostra have been calculated using the Anderson and Arthur (1983) equation:
172 $T(^{\circ}\text{C}) = 16.0 - 4.14 (\delta_c - \delta_w) + 0.13 (\delta_c - \delta_w)^2$ where $\delta_c = \delta^{18}\text{O}$ PDB is the composition of the
173 sample, and $\delta_w = \delta^{18}\text{O}$ SMOW the composition of ambient seawater. According to the
174 recommendations of Shackleton and Kennett (1975), the standard value of $\delta_w = -1\text{‰}$
175 was used for palaeotemperature calculations under non-glacial ocean water
176 conditions. If the presence of permanent ice caps in the poles is demonstrated for
177 some of the studied intervals, value of $\delta_w = 0\text{‰}$ would be used and consequently
178 calculated palaeotemperatures would increase in the order of 4°C.

179 Discussion on the palaeoecology of belemnites and the validity of the isotopic data
180 obtained from belemnite calcite for the calculation of palaeotemperatures is beyond
181 the scope of this paper. The use of belemnite calcite as a proxy is generally accepted
182 and widely used as a reliable tool for palaeothermometry in most of the Mesozoic.
183 However, palaeoecology of belemnites is a source of discrepancies because, as extinct
184 organisms, there is a complete lack of understanding of fossil belemnite ecology
185 (Rexfort and Mutterlose, 2009). Belemnite lived as active predators with a swimming
186 mode of life. Nevertheless, several authors (Anderson et al., 1994; Mitchell, 2005;
187 Wierzbowski and Joachimiski, 2007) proposed a bottom-dwelling mode of life on the
188 basis of oxygen isotope thermometry, similar to modern sepiids which show a
189 nektobenthic mode of life. This is contradicted by the occurrence of various
190 belemnite genera in black shales that lack any benthic or nektobenthic organisms due
191 to anoxic bottom waters (i.e. the Lower Jurassic Posidonienschiefer, see Rexfort and
192 Mutterlose, 2009), indicating that belemnites had a nektonic rather than a
193 nektobenthic mode of life (Mutterlose et al., 2010). As Rexfort and Mutterlose (2009)
194 stated, It is unclear whether isotopic data from belemnites reflect a surface or a
195 deeper water signal and we do not know if the belemnites mode of life changed during
196 ontogeny. Similarly, Li et al., (2012) concluded that belemnites were mobile and
197 experienced a range of environmental conditions during growth. Some belemnite
198 species inhabited environmental niches that remain unchanged, while other species
199 had a more cosmopolitan lifestyle inhabiting wider environments. To complete the
200 scenario, Mutterlose et al. (2010) suggested different lifestyles (nektonic versus
201 nektobenthic) of belemnites genera as indicated by different shaped guards. Short,
202 thick guards could indicate nektobenthic lifestyle, elongated forms fast swimmers, and
203 extremely flattened guards benthic lifestyle.

204 The Ullmann et al. (2014) work hypothesises that belemnites (*Passaloteuthis*) of the
205 Lower Toarcian Tenuicostatum Zone had a nektobenthic lifestyle and once became
206 extinct (as many organisms in the Early Toarcian mass extinction) were substituted by
207 belemnites of the genus *Acrocoelites* supposedly of nektonic lifestyle that these
208 authors impute as due to anoxia.

209 On the other hand, the isotopic studies performed on present-day cuttlefish (*Sepia*
210 sp.), which are assumed to be the most similar group equivalent to belemnites, reveals
211 that all the analyzed specimens (through their $\delta^{18}\text{O}$ signal) reflect the temperature-
212 characteristics of their habitat perfectly (Rexfort and Mutterlose, 2009). Also the
213 studies of Bettencourt and Guerra (1999), performed in cuttlebone of *Sepia officinalis*

214 conclude that the obtained $\delta^{18}\text{O}$ temperature agreed with changes in temperature of
215 seawater, supporting the use of belemnites as excellent tools for calculation of
216 palaeotemperatures.
217 It seems that at least some belemnites could swim through the water column,
218 reflecting the average temperature and not necessarily only the temperature of the
219 bottom water or of the surface water. In any case, instead of single specific values,
220 comparisons of average temperatures to define the different episodes of temperature
221 changes are used in this work.

222 For palaeotemperature calculation, it has been assumed that the $\delta^{18}\text{O}$ values, and
223 consequently the resultant curve, essentially reflects changes in environmental
224 parameters (Sælen et al., 1996; Bettencourt and Guerra, 1999; McArthur et al., 2007;
225 Price et al., 2009; Rexfort and Mutterlose, 2009; Benito and Reolid, 2012; Li et al.,
226 2012; Harazim et al., 2013; Ullmann et al., 2014, Ullmann and Korte, 2015), as the
227 sampled non-luminescent biogenic calcite of the studied belemnite rostra precipitated
228 in equilibrium with the seawater. It has also being assumed that the biogenic calcite
229 retains the primary isotopic composition of the seawater and that the belemnite
230 migration, skeletal growth, the sampling bias, and the vital effects are not the main
231 factors responsible for the obtained variations. Cross-plot of the $\delta^{18}\text{O}$ against the $\delta^{13}\text{C}$
232 values (Fig. 3) reveals a cluster type of distribution, showing a negative correlation
233 coefficient (-0.2) and very low covariance ($R^2=0.04$), supporting the lack of diagenetic
234 overprints in the analyzed diagenetically screened belemnite calcite.

235

236 **3 Results**

237 In the coastal cliffs located northeast of the Villaviciosa village, in the eastern part of
238 the Asturias community (Northern Spain) (Fig. 1), the well exposed Upper Sinemurian,
239 Pliensbachian and Lower Toarcian deposits are represented by a succession of
240 alternating lime mudstone to bioclastic wackestone and marls with interbedded black
241 shales belonging to the Santa Mera Member of the Rodiles Formation (Valenzuela,
242 1988) (Fig. 4). The uppermost Sinemurian and Pliensbachian deposits have been
243 studied in the eastern part of the Rodiles Cape and the uppermost Pliensbachian and
244 Lower Toarcian in the western part of the Rodiles Cape (West Rodiles section of Gómez
245 et al., 2008; Gómez and Goy 2011). Both fragments of the section are referred here as
246 the Rodiles section (lat. $43^{\circ}32'22''$ long. $5^{\circ}22'22''$). Palaeogeographical reconstruction
247 based on comprehensive palaeomagnetic data, carried out by Osete et al. (2010),
248 locates the studied Rodiles section at a latitude of about 32° N for the
249 Hettangian–Sinemurian interval and at a latitude of almost 40° N (the current latitude
250 of Madrid) for the Toarcian–Aalenian interval.

251 Ammonite taxa distribution and profiles of the $\delta^{18}\text{O}_{\text{bel}}$, $\delta^{13}\text{C}_{\text{bel}}$ and $\delta^{13}\text{C}_{\text{bulk}}$ values
252 obtained from belemnite calcite have been plotted against the 562 measured beds of
253 the Rodiles section (Fig. 5).

254 **3.1 Lithology**

255 The Upper Sinemurian, Pliensbachian and Lower Toarcian deposits of the Rodiles
256 section are constituted by couplets of bioclastic lime mudstone to wackestone

257 limestone and marls. Occasionally the limestones contain bioclastic packstone facies
258 concentrated in rills. Limestones, generally recrystallized to microsparite, are
259 commonly well stratified in beds whose continuity can be followed at the outcrop
260 scale, as well as in outcrops several kilometres apart. However, nodular limestone
261 layers, discontinuous at the outcrop scale, are also present. The base of some
262 carbonates can be slightly erosive, and they are commonly bioturbated, to reach the
263 homogenization stage. Ichnofossils, specially *Thalassinoides*, *Chondrites* and
264 *Phymatoderma*, are also present. Marls, with CaCO₃ content generally lower than 20%
265 (Bádenas et al., 2009, 2012), are frequently gray coloured, occasionally light gray due
266 to the higher proportion of carbonates, with interbedded black intervals. **Locally** brown
267 coloured sediments, more often in the Upper Sinemurian, are present.

268 **3.2 Biochronostratigraphy**

269 The ammonite-based biochronostratigraphy of these deposits in Asturias have been
270 carried out by Suárez-Vega (1974), and the uppermost Pliensbachian and Toarcian
271 ammonites by Gómez et al. (2008), and by Goy et al. (2010 a, b). Preliminary
272 biochronostratigraphy of the Late Sinemurian and the Pliensbachian in some sections
273 of the Asturian Basin has been reported by Comas-Rengifo and Goy (2010), **and the**
274 **result of more than ten years of bed by bed sampling of ammonites in the Rodiles**
275 **section, which allowed precise time constrain for the climatic events described in this**
276 **work, are here summarized.**

277 Collected ammonites allowed the recognition of all the standard **Late** Sinemurian,
278 Pliensbachian and **Early** Toarcian chronozones and subchronozone defined by Elmi et
279 al. (1997) and Page (2003) for Europe. Section is generally expanded and ammonites
280 are common enough as to constrain the boundaries of the biochronostratigraphical
281 units. Exceptions are the Taylori–Polymorphus subchronozone that could not be
282 separated, and the Capricornus–Figulinum subchronozone of the Davoei Chronozone,
283 partly due to the relatively condensed character of this Chronozone. Most of the
284 recorded species belong to the NW Europe province but some representatives of the
285 Tethysian Realm are also present.

286 **3.3 Carbon isotopes**

287 The carbon isotopes curve reflects several oscillations through the studied section (**Fig.**
288 **5**). A positive $\delta^{13}\text{C}_{\text{bel}}$ shift, showing average values of 1.6‰ is recorded in the Late
289 Sinemurian Densinodulum to part of the Macdonnelli subchronozone. From the **latest**
290 Sinemurian Aplanatum Subchronozone (Raricostatum Chronozone) up to the **Early**
291 Pliensbachian Valdani Subchronozone of the Ibex Chronozone, average $\delta^{13}\text{C}_{\text{bel}}$ values
292 are **-0.1‰**, delineating an about 1–1.5‰ relatively well marked negative excursion. In
293 the **late** Ibex and **in** the Davoei chronozone, the $\delta^{13}\text{C}_{\text{bel}}$ curve records background
294 values of about 1‰, with a positive peak at the **latest** Ibex Chronozone and the **earliest**
295 Davoei Chronozone.

296 **At the Late** Pliensbachian the $\delta^{13}\text{C}_{\text{bel}}$ values tend to outline a slightly positive excursion,
297 interrupted by a small negative peak in the **latest** Spinatum Chronozone. The **Early**
298 Toarcian curve reflects the presence of a positive $\delta^{13}\text{C}_{\text{bel}}$ trend which develops above
299 the here represented stratigraphical levels, up to the Middle Toarcian Bifrons

300 Chronozone (Gómez et al., 2008) and a negative excursion recorded in bulk carbonates
301 samples.

302 3.4 Oxygen isotopes

303 The $\delta^{18}\text{O}_{\text{bel}}$ values show the presence of several excursions through the Late
304 Sinemurian to the Early Toarcian (Fig. 5). In the Late Sinemurian to the earliest
305 Pliensbachian interval, an about 1‰ negative excursion, showing values generally
306 below -1‰ with peak values up to -3‰ has been recorded in Sinemurian samples
307 located immediately below the stratigraphic column represented in Fig. 5. In most of
308 the Early Pliensbachian Jamesoni and the earliest part of the Ibex chronozones, $\delta^{18}\text{O}_{\text{bel}}$
309 values are quite stable, around -1‰ , but another about 1–1.5‰ negative excursion,
310 with peak values up to -1.9‰ , develops along most of the Early Pliensbachian Ibex and
311 Davoei chronozones, extending up to the base of the Late Pliensbachian Margaritatus
312 Chronozone. Most of the Late Pliensbachian and the earliest Toarcian are
313 characterized by the presence of an important change. A well-marked in the order of
314 1.5‰ $\delta^{18}\text{O}_{\text{bel}}$ positive excursion, with frequent values around 0‰, and positive values
315 up to 0.7‰, were assayed in this interval. The oxygen isotopes recorded a new change
316 on its tendency in the Early Toarcian, where a prominent $\delta^{18}\text{O}_{\text{bel}}$ negative excursion,
317 about 1.5–2‰ with values up to -3‰ , has been verified.

318 4 Discussion

319 The isotope curves obtained in the Upper Sinemurian, Pliensbachian and Lower
320 Toarcian section of the Asturian Basin has been correlated with other successions of
321 similar age, in order to evaluate if the recorded environmental features have a local or
322 a possible global extent. In order to correlate a more homogeneous dataset, only the
323 isotopic results obtained by other authors from belemnite calcite and exceptionally
324 from brachiopod calcite, have been used for the correlation of the stable isotopic data.

325 4.1 Carbon isotope curve

326 The $\delta^{13}\text{C}_{\text{bel}}$ carbon isotope excursions (CIEs) found in the Asturian Basin, can be
327 followed in other sections across Western Europe (Fig. 6). The Late Sinemurian positive
328 CIE has also been recorded in the Cleveland Basin of the UK by Korte and Hesselbo
329 (2011) and in the $\delta^{13}\text{C}_{\text{org}}$ data of the Wessex Basin of southern UK by Jenkyns and
330 Weedon (2013).

331 The Early Pliensbachian $\delta^{13}\text{C}_{\text{bel}}$ negative excursion that extends from the Raricostatum
332 Chronozone of the latest Sinemurian to the Early Pliensbachian Jamesoni and part of
333 the Ibex chronozones (Fig. 6), correlates with the lower part of the $\delta^{13}\text{C}_{\text{bel}}$ negative
334 excursion reported by Armendáriz et al. (2012) in another section of the Asturian
335 Basin. Similarly, the $\delta^{13}\text{C}_{\text{bel}}$ curve obtained by Quesada et al. (2005) in the neighbouring
336 Basque–Cantabrian Basin, shows the presence of a negative CIE in similar
337 stratigraphical position. In the Cleveland Basin of the UK, the studies on the
338 Sinemurian–Pliensbachian deposits carried out by Hesselbo et al. (2000), Jenkyns et al.
339 (2002) and Korte and Hesselbo (2011) reflect the presence of this Early Pliensbachian
340 $\delta^{13}\text{C}_{\text{bel}}$ negative excursion. In the Peniche section of the Lusitanian Basin of Portugal,
341 this negative CIE has also been recorded by Suan et al. (2010) in brachiopod calcite,
342 and in bulk carbonates in Italy (Woodfine et al., 2008; Francheschi et al., 2014). The

343 about 1.5–2‰ magnitude of this negative excursion seems to be quite consistent
344 across the different European localities.

345 Korte and Hesselbo (2011) pointed out that the Early Pliensbachian $\delta^{13}\text{C}$ negative
346 excursion seems to be global in character and the result of the injection of isotopically
347 light carbon from some remote source, such as methane from clathrates, wetlands, or
348 thermal decomposition or thermal metamorphism or decomposition of older organic-
349 rich deposits. However none of these possibilities have been documented yet.

350 Higher in the section, the $\delta^{13}\text{C}$ values are relatively uniform, except for a thin interval,
351 around the **Early** Pliensbachian IbeX–Davoei zonal boundary, where a small positive
352 peak (the IbeX–Davoei positive peak, previously mentioned by Rosales et al., 2001 and
353 by Jenkyns et al., 2002) can be observed in most of the $\delta^{13}\text{C}$ curves summarized in **Fig.**
354 **6, as well as in the carbonates of the Portuguese Lusitanian Basin (Silva et al., 2011).**

355 The next CIE **is a positive excursion** about 1.5–2‰, well recorded in all the correlated
356 Upper Pliensbachian sections (the **Late** Pliensbachian positive excursion in **Fig. 6**) **and**
357 **in bulk carbonates of the Lusitanian Basin (Silva et al., 2011).** Around the
358 Pliensbachian–Toarcian boundary, a negative $\delta^{13}\text{C}$ peak is again recorded (**Fig. 6**). This
359 narrow excursion was described by Hesselbo et al. (2007) in bulk rock samples in
360 Portugal, and tested by Suan et al. (2010) in the same basin and extended to the
361 Yorkshire (UK) by Littler et al. (2010) and by Korte and Hesselbo (2011). If this
362 perturbation of the carbon cycle is global, as Korte and Hesselbo (2011) pointed out, it
363 could correspond with the negative $\delta^{13}\text{C}$ peak recorded in the upper part of the
364 Spinatum Chronozone in the Asturian Basin (this work); with the negative $\delta^{13}\text{C}$ peak
365 reported by Quesada et al. (2005) in the same stratigraphical position in the
366 Basque–Cantabrian Basin, and **with** the $\delta^{13}\text{C}$ negative peak reported by van de
367 Schootbrugge et al. (2010) and Harazim et al. (2013) in the French Grand Causses
368 Basin.

369 Finally, the **Early** Toarcian is characterized by a prominent **$\delta^{13}\text{C}$ positive excursion** that
370 has been detected in all the here considered sections, as well as in some South
371 American (Al-Suwaidi et al., 2010) and Northern African (Bodin et al., 2010) sections,
372 **which is interrupted by an about 1‰ $\delta^{13}\text{C}_{\text{bulk}}$ negative excursion located around the**
373 **Tenuicostatum–Serpentinum zonal boundary.**

374 The origin of the positive excursion has been interpreted by some authors as the
375 response of water masses to excess and rapid burial of large amounts of organic
376 carbon rich in ^{12}C , which led to enrichment in ^{13}C of the sediments (Jenkyns and
377 Clayton, 1997; Schouten et al., 2000). Other authors ascribe the origin of this positive
378 excursion to the removal from the oceans of large amounts of isotopically light carbon
379 as organic matter into black shales or methane hydrates, resulting from ebullition of
380 isotopically heavy CO_2 , generated by methanogenesis of organic-rich sediments
381 (McArthur et al., 2000).

382 Although **$\delta^{13}\text{C}$ positive excursions** are difficult to account for (Payne and Kump, 2007),
383 it seems that this **$\delta^{13}\text{C}$ positive shift** cannot necessarily be the consequence of the
384 widespread preservation of organic-rich facies under anoxic waters, as no anoxic facies
385 are present in the Spanish Lower Toarcian sections (Gómez and Goy, 2011). Modelling

386 of the CIEs performed by Kump and Arthur (1999) shows that $\delta^{13}\text{C}$ positive excursions
387 can also be due to an increase in the rate of phosphate or phosphate and inorganic
388 carbon delivery to the ocean, and that large positive excursions in the isotopic
389 composition of the ocean can also be due to an increase in the proportion of
390 carbonate weathering relative to organic carbon and silicate weathering. Other
391 authors argue that increase of $\delta^{13}\text{C}$ in bulk organic carbon may reflect a massive
392 expansion of marine archaea bacteria that do not isotopically discriminate in the type
393 of carbon they use, leading to positive $\delta^{13}\text{C}$ shifts (Kidder and Worsley, 2010).

394 The origin of the Early Toarcian $\delta^{13}\text{C}$ negative excursion has been explained by several
395 papers as due to the massive release of large amounts of isotopically light CH_4 from
396 the thermal dissociation of gas hydrates Hesselbo et al. (2000, 2007), Cohen et al.
397 (2004) and Kemp et al. (2005), with the massive release of gas methane linked with the
398 intrusion of the Karoo-Ferrar large igneous province onto coalfields, as proposed by
399 McElwain et al. (2005) or with the contact metamorphism by dykes and sills related to
400 the Karoo-Ferrar igneous activity into organic-rich sediments (Svensen et al., 2007).

401 4.2. Oxygen isotope curves and seawater palaeotemperature oscillations

402 Seawater palaeotemperature calculation from the obtained $\delta^{18}\text{O}$ values reveals the
403 occurrence of several isotopic events corresponding with relevant climatic oscillations
404 across the latest Sinemurian, the Pliensbachian and the Early Toarcian (Fig. 7). Some of
405 these climatic changes could be of global extent. In terms of seawater
406 palaeotemperature, five intervals can be distinguished. The earliest interval
407 corresponds with a warming period developed during the Late Sinemurian up to the
408 earliest Pliensbachian. Most of the Early Pliensbachian is represented by a period of
409 “normal” temperature, close to the average palaeotemperatures of the studied
410 interval. A new warming period is recorded at the Early–Late Pliensbachian transition,
411 and the Late Pliensbachian is represented by an important cooling interval. Finally the
412 Early Toarcian coincides with a severe (super)warming interval, linked to the important
413 Early Toarcian mass extinction (Gómez and Arias, 2010; García Joral et al., 2011;
414 Gómez and Goy, 2011; Fraguas et al., 2012; Clémence, 2014; Clémence et al., 2015;
415 Baeza-Carratalá et al., 2015).

416 The average palaeotemperature of the latest Sinemurian, Pliensbachian
417 (palaeolatitude of 32°N) and Early Toarcian (palaeolatitude of 40°N), calculated from
418 the $\delta^{18}\text{O}$ values obtained from belemnite calcite in this work, is 15.6°C .

419 4.2.1 The Late Sinemurian Warming

420 The earliest isotopic event is a $\delta^{18}\text{O}$ negative excursion that develops in the Late
421 Sinemurian Raricostatum Chronozone, up to the earliest Pliensbachian Jamesoni
422 Chronozone. Average palaeotemperatures calculated from the $\delta^{18}\text{O}$ belemnite samples
423 collected below the part of the Late Sinemurian Raricostatum Chronozone represented
424 in figure 5 were 19.6°C . This temperature increases to 21.5°C in the lower part of the
425 Raricostatum Chronozone (Densinodulum Subchronozone), and temperature
426 progressively decreases through the latest Sinemurian and earliest Pliensbachian. In
427 the Raricostatum Subchronozone, the average calculated temperature is 18.7°C ; in the
428 Macdonnelli Subchronozone average temperature is 17.5°C and average values of

429 16.7°C, closer to the average temperatures **of the studied interval**, are not reached
430 until the **latest** Sinemurian Aplanatum Subchronozone and the **earliest** Pliensbachian
431 Taylori–Polymorphus subchronozones. All these values delineate a warming interval
432 mainly developed in the **Late** Sinemurian (Figs. 7, 8).

433 The **Late** Sinemurian Warming interval is also recorded in the Cleveland Basin of the UK
434 (Hesselbo et al., 2000; Korte and Hesselbo, 2011). The belemnite-based $\delta^{18}\text{O}$ values
435 obtained by these authors are in the order of -1‰ to -3‰ , with peak values lower
436 than -4‰ . That represents a range of palaeotemperatures normally between 16 and
437 24°C with peak values up to 29°C, which are not compatible with a cooling, but with a
438 warming interval.

439 The **Late** Sinemurian warming coincides only partly with the **Early** Pliensbachian $\delta^{13}\text{C}$
440 **negative excursion**, located near the stage boundary (Fig. 6). Consequently, this
441 warming cannot be fully interpreted as the consequence of the release of methane
442 from clathrates, wetlands or decomposition of older organic-rich sediments, as
443 interpreted by Korte and Hesselbo (2011) because only a small portion of both
444 excursions are coincident.

445 **4.2.2 The “normal” temperature Early Pliensbachian Jamesoni Chronozone interval**

446 After the Late Sinemurian Warming, $\delta^{18}\text{O}$ values are around -1‰ reflecting average
447 palaeotemperatures of about 16°C (Fig. 7). This Early Pliensbachian interval of
448 “normal” (**average**) temperature develops in most of the Jamesoni Chronozone and
449 the base of the Ibex Chronozone (Fig. 8). In the Taylori–Polymorphus chronozones,
450 average temperature is 15.7°C, in the Brevispina Subchronozone is 16.4°C, and in the
451 Jamesoni Subchronozone 17.2°C. Despite showing more variable data, this interval has
452 also been recorded in other sections of the Asturian Basin (Fig. 8) by Armendáriz et al.
453 (2012), and relatively uniform values are also recorded in the Basque–Cantabrian Basin
454 of Northern Spain (Rosales et al., 2004) and in the Peniche section of the Portuguese
455 Lusitanian Basin (Suan et al., 2008, 2010). Belemnite calcite-based $\delta^{18}\text{O}$ values
456 published by Korte and Hesselbo (2011) are quite **scattered**, oscillating between $\sim 1\text{‰}$
457 and $\sim -4.5\text{‰}$ (Fig. 8).

458 **4.2.3 The Early Pliensbachian Warming interval**

459 Most of the Early Pliensbachian Ibex Chronozone and the base of the **Late**
460 Pliensbachian are dominated by a 1 to 1.5‰ $\delta^{18}\text{O}$ **negative excursion**, representing an
461 increase in palaeotemperature, which marks a new warming interval. Average values
462 of 18.2 °C with peak values of 19.7°C were reached in the Rodiles section (Fig. 7). This
463 increase in temperature partly co-occurs with the latest part of the **Early** Pliensbachian
464 $\delta^{13}\text{C}$ **negative excursion**.

465 The **Early** Pliensbachian Warming interval is also well marked in other sections of
466 Northern Spain (Fig. 8) like in the Asturian Basin (Armendáriz et al., 2012) and the
467 Basque–Cantabrian Basin (Rosales et al., 2004), where peak values around 25°C were
468 reached. The increase in seawater temperature is also registered in the Southern
469 France Grand Causses Basin (van de Schootbrugge et al., 2010), where temperatures
470 averaging around 18°C have been calculated. This warming interval is not so clearly
471 marked in the brachiopod calcite of the Peniche section in Portugal (Suan et al., 2008,

472 2010), but even very scattered $\delta^{18}\text{O}$ values, peak palaeotemperature near 30°C were
473 frequently reported in the Cleveland Basin (Korte and Hesselbo, 2011). In the
474 compilation performed by Dera et al. (2009, 2011), $\delta^{18}\text{O}$ values are quite scattered, but
475 this **Early** Pliensbachian Warming interval is also well marked, supporting a possible
476 global extent for this climatic event.

477 **4.2.4 The **Late** Pliensbachian Cooling interval**

478 One of the most important Jurassic $\delta^{18}\text{O}$ **positive excursions** is recorded at the **Late**
479 Pliensbachian and the **earliest** Toarcian in all the correlated localities (Figs. 5, 7, 8). This
480 represents an important climate change towards cooler temperatures that begins at
481 the base of the **Late** Pliensbachian and extends up to the **earliest** Toarcian
482 Tenuicostatum Chronozone, representing an about 4 Myrs major cooling interval.
483 Average palaeotemperatures of 12.7°C for this period in the Rodiles section have been
484 calculated, and peak temperatures as low as 9.5°C were recorded in several samples
485 from the Gibbosus and the Apyrenum subchronozones (Fig. 7).

486 This major cooling event has been recorded in many parts of the World. In Europe, the
487 onset and the end of the cooling interval seems to be synchronous at the scale of
488 ammonites subchronozone (Fig. 8). It starts at the Stokesi Subchronozone of the
489 Margaritatus Chronozone (near the onset of the **Late** Pliensbachian), and extends up to
490 the Early Toarcian Semicelatum Subchronozone of the Tenuicostatum Chronozone. In
491 addition to the Asturian Basin (Gómez et al., 2008; Gómez and Goy, 2011; this work), it
492 has clearly been recorded in the Basque–Cantabrian Basin (Rosales et al., 2004; Gómez
493 and Goy, 2011; García Joral et al., 2011) and in the Iberian Basin of Central Spain
494 (Gómez et al., 2008; Gómez and Arias, 2010; Gómez and Goy, 2011), in the Cleveland
495 Basin of the UK (McArthur et al., 2000; Korte and Hesselbo, 2011), in the Lusitanian
496 Basin (Suan et al., 2008, 2010), in the French Grand Causses Basin (van de
497 Schootbrugge et al., 2010), and in the data compiled by Dera et al. (2009, 2011).

498 **As for many of the major cooling periods recorded in the Phanerozoic, low levels of**
499 **atmospheric $p\text{CO}_2$, and/or variations in oceanic currents related to the break-up of**
500 **Pangea could explain these changes in seawater (Dera et al., 2009; 2011). The**
501 **presence of relatively low $p\text{CO}_2$ levels in the Late Pliensbachian atmosphere is**
502 **supported by the value of ~900 ppm obtained from Pliensbachian araucariacean leaf**
503 **fossils of southeastern Australia (Steinhorsdottir and Vajda, 2015). These values are**
504 **much higher than the measured Quaternary preindustrial 280 ppm CO_2 (i.e. Wigley et**
505 **al., 1996), but lower than the ~1000 ppm average estimated for the Early Jurassic. The**
506 **recorded Pliensbachian values represent the minimum values of the Jurassic and of**
507 **most of the Mesozoic, as documented by the GEOCARB II (Berner, 1994), and the**
508 **GEOCARB III (Berner and Kothavala, 2001) curves, confirmed for the Early Jurassic by**
509 **Steinhorsdottir and Vajda (2015). Causes of this lowering of atmospheric $p\text{CO}_2$ are**
510 **unknown but they could be favoured by elevated silicate weathering rates, nutrient**
511 **influx, high primary productivity, and organic matter burial (Dromart et al., 2003).**

512 It seems that the **Late** Pliensbachian represents a time interval of major cooling,
513 probably of global extent. This fact has conditioned that many authors point to this
514 period as one of the main candidates for the development of polar ice caps in the
515 Mesozoic (Price, 1999; Guex et al., 2001; Dera et al., 2011; Suan et al., 2011; Gómez

516 and Goy, 2011; Fraguas et al., 2012). This idea is based on the presence, in the Upper
517 Pliensbachian deposits of different parts of the World, of: 1) glendonites; 2) exotic
518 pebble to boulder-size clasts; 3) the presence in some localities of a hiatus in the Late
519 Pliensbachian–earliest Toarcian; 4) the results obtained in the General Circulation
520 Models, and 5) the calculated Late Pliensbachian palaeotemperatures and the
521 assumed pole-to-equator temperature gradient.

522 **4.2.5 The presence of glendonites of Pliensbachian age**

523 It is assumed that glendonite, a calcite pseudomorph after the metastable mineral
524 ikaite, grows in marine deposits under near-freezing temperatures (0–4°C), at or just
525 below the sediment–water interface. This mineral is commonly associated with
526 organic-rich sediments, where methane oxidation is occurring, and is favoured by high
527 alkalinity and elevated concentrations of dissolved orthophosphate (e.g. De Lurio and
528 Frakes, 1999; Selleck et al., 2007). Based on these features, glendonites have been
529 extensively used as a robust indicator of cold water palaeotemperature in organic-rich
530 environments during the periods of ikaite growth. Oxygen isotope data of modern
531 ikaite suggests that carbonate precipitation is in equilibrium with ambient seawater,
532 but carbon isotope signatures are normally very negative, up to –33.9‰ in the Recent
533 deep marine deposits of the Zaire Fan (Jansen et al., 1987) consistent with derivation
534 of carbonate from methane oxidation.

535 The presence of glendonite in deposits of Pliensbachian age has been reported from
536 Northern Siberia (Kaplan, 1978; Rogov and Zakharov, 2010; Devyatov, et al., 2010;
537 Suan et al., 2011), and the occurrence of this pseudomorph in Pliensbachian deposits
538 of circum polar palaeolatitudes has been considered as a strong support for the
539 interpretation of near-freezing to glacial climate conditions (Price, 1999; Suan et al.,
540 2011). However, Teichert and Luppold (2013) reported the presence of three horizons
541 with glendonites in Upper Pliensbachian (Margaritatus to Spinatum **zones**) methane
542 seeps in Germany, where belemnite and ostracod-based calculated bottom water
543 palaeotemperature were ca. 10°C, which was well above the previously observed near
544 freezing range of ikaite stability. As a consequence, these authors raised the question if
545 methane seeps are geochemical sites where ikaite can be formed at higher
546 temperatures due to methanotrophic sulphate reduction as the triggering geochemical
547 process for ikaite formation at the sulphate-methane interface. The possibility of ikaite
548 formation at higher than previously expected temperatures needs experimental
549 confirmation, but until these data are available, the use of glendonite as unequivocal
550 indicator of near-freezing palaeotemperature should be cautioned.

551 **4.2.6 Exotic clasts rafted by ice**

552 Exotic pebble to boulder-size clasts of Pliensbachian age, have been described in
553 Northern Siberia by several papers (Kaplan, 1978; Rogov and Zakharov, 2010; Devyatov
554 et al., 2010; Suan et al., 2011). They are composed of limestone, marly limestone and
555 basalt clasts, included in a succession of interbedded sandstone, siltstone and silty
556 clay. These deposits have been interpreted as ice-rafted dropstones and have been
557 taken as an evidence of near-freezing climatic conditions in the Arctic region (Price,
558 1999; Suan et al., 2011).

559 **4.2.7 Short-lived regression forced by cooling and glaciations**

560 The presence of a hiatus around the Pliensbachian–Toarcian boundary in some (but
561 not all) European, North African, South American and Siberian sections (Guex, 1973;
562 Guex et al., 2001, 2012; Suan et al., 2011) has been interpreted as the result of a major
563 short-lived regression, forced by cooling that reached near freezing to glacial
564 conditions, derived from increased volcanic activity (Guex et al., 2001, 2012).

565 From the here presented data, the interval of cooling development can now be
566 precisely constrained. Low seawater temperatures started at the **Late** Pliensbachian
567 Stokesi Subchronozone of the Margaritatus Chronozone and ended at the **earliest**
568 Toarcian Semicelatum Subchronozone of the Tenuicostatum Chronozone, spanning
569 virtually along all the **Late** Pliensbachian and the base of the **Early** Toarcian. In terms of
570 time, the duration of the cooling interval spans for about 4 Myr (Ogg, 2004; Ogg and
571 Hinnov, 2012). Even it cannot be fully discarded, it seems quite inconsistent to
572 attribute the **end**-Pliensbachian–**earliest** Toarcian regression to the presence of glacial
573 conditions right at the end of the cold climatic interval. If cooling was able to produce
574 enough ice volume in the pole caps as to generate a generalized lowstand period,
575 important enough as to provoke a generalized hiatus, the amplitude of this hiatus
576 would virtually affect the whole **Late** Pliensbachian, whilst in reality only affects in
577 some places, not in all areas, to a few ammonite chronozones, and mainly of the
578 **earliest** Toarcian.

579 On the other hand, no major volcanic activity responsible for the climatic change was
580 recorded at the **Late** Pliensbachian. The Karoo-Ferrar volcanism did not start until the
581 **Early** Toarcian (Svensen et al., 2007; Jourdan et al., 2007, 2008; Moulin et al., 2011;
582 Dera et al., 2011; Ogg and Hinnov, 2012; Sell et al., 2014; Burgess et al., 2015; Percival
583 et al., 2015), and only minor Pliensbachian volcanism has been reported in the North
584 Sea and in the Patagonia (Dera et al., 2011) as well as in the Iberian Range of Central
585 Spain (Cortés, 2015). The recorded volcanism does not seem to be important enough
586 as to release the huge amount of SO₂ needed to change the climate of the Earth, as
587 Guex et al. (2012) proposed.

588 **4.2.8 Late Pliensbachian palaeotemperatures and the pole-to-equator temperature** 589 **gradient.**

590 The idea of a Jurassic latitudinal climate gradient in Eurasia significantly lower than
591 today, with winter temperatures in Siberia probably never falling below 0°C (Frakes et
592 al., 1992) as well as warmer, more equable conditions compared to the present day,
593 with no ice caps in the polar region (Hallam, 1975) has been the dominant opinion for
594 many years.

595 This assumption is mainly based on the supposed wide distribution of part of the
596 Jurassic flora, like the absence of the vascular plants of the genus *Xenoxylon* at high
597 latitudes (Philippe and Thevenard, 1996), and the distribution of fauna and of
598 sedimentary facies (Hallam, 1975). This opinion was maintained against the incipient
599 studies of $\delta^{18}\text{O}$ -based palaeotemperature that already indicated the presence of
600 significant climate changes during the Jurassic (Stevens and Clayton, 1971).

601 The presence of a marked pole-to-equator climate and particularly temperature
602 gradient during the Jurassic times has been evidenced by several studies. As an
603 example, the manifest bipolarity in the distribution of certain bivalves has been
604 documented by Crame (1993), particularly for the Pliensbachian and the Tithonian.
605 Also Hallam (1972) denoted an increasing diversity gradient in the Pliensbachian and
606 Toarcian from the Tethyan to the Boreal domains and Liu et al. (1998) reported that
607 temperature gradients were one of the main factors for Jurassic bivalves'
608 provincialism. More recently, Damborenea et al., (2013) documented the latitudinal
609 gradient and bipolar distribution patterns at a regional and global scale shown by
610 marine bivalves during the Triassic and the Jurassic.

611 Provinciality among Ammonoids has been classically recognized (i.e. Dommergues et
612 al., 1997; Enay and Cariou, 1997; Cecca, 1999; Page, 2003, 2008; Dera et al., 2010),
613 including seawater temperature as one of the major factors controlling their latitudinal
614 distribution. Jurassic brachiopods show also good examples of latitudinal distribution,
615 where temperature has been considered one of the most important factors (i.e. García
616 Joral et al., 2011).

617 The presence of pole-to-equator temperature gradient, shown by several fossil groups,
618 lends support to the presence of cold or even freezing conditions at the poles (Price,
619 1999). In addition, the Chandler et al. (1992) general circulation model (GCM)
620 simulation for the Early Jurassic, concluded that winter temperatures within the
621 continental interiors dropped to about -32°C , and seasonal range over high latitude
622 mountains surpass 45°C , similar to the current seasonality of Siberia. These conditions
623 are compatible with the formation of permanent or seasonal ice in the Polar Regions.

624 **4.2.9 The Early Toarcian Warming interval**

625 Seawater temperature started to increase at the earliest Toarcian. From an average
626 temperature of 12.7°C during the Late Pliensbachian Cooling interval, average
627 temperature rose to 15°C in the upper part of the earliest Toarcian Tenuicostatum
628 Chronozone (Semicelatum Subchronozone), which represents a progressive increase
629 on seawater temperature in the order of $2-3^{\circ}\text{C}$. Atmospheric CO_2 concentration during
630 the Early Toarcian seems to be doubled from ~ 1000 ppm to ~ 2000 ppm (i.e. Berner,
631 2006; Retallack, 2009; Steinthorsdottir and Vajda, 2015), causing this important and
632 rapid warming.

633 Comparison of the evolution of palaeotemperature with the evolution of the number
634 of taxa reveals that progressive warming coincides first with a progressive loss in the
635 taxa of several groups (Gómez and Arias, 2010; Gómez and Goy, 2011; García Joral et
636 al., 2011; Fraguas et al., 2012; Baeza-Carratalá et al., 2015) marking the prominent
637 Early Toarcian extinction interval. Seawater palaeotemperature rapidly increased
638 around the Tenuicostatum–Serpentinum zonal boundary, where average values of
639 about 21°C , with peak temperatures of 24°C were reached (Fig. 7). This important
640 warming, which represents a ΔT of about 8°C respect to the average temperatures of
641 the Late Pliensbachian Cooling interval, coincides with the turnover of numerous
642 groups (Gómez and Goy, 2011) the total disappearance of the brachiopods (García
643 Joral et al., 2011; Baeza-Carratalá et al., 2015), the extinction of numerous species of
644 ostracods (Gómez and Arias, 2010), and a crisis of the nannoplankton (Fraguas, 2010;

645 Fraguas et al., 2012; Clémence et al., 2015). Temperatures remain high and relatively
646 constant through the Serpentinum and Bifrons chronozones, and the platforms were
647 repopulated by opportunistic immigrant species that thrived in the warmer
648 Mediterranean waters (Gómez and Goy, 2011).

649 **5. Conclusions**

650 Several relevant climatic oscillations across the **Late** Sinemurian, the Pliensbachian and
651 the **Early** Toarcian have been documented in the Asturian Basin. Correlation of these
652 climatic changes with other European records points out that some of them could be
653 of global extent. In the **Late** Sinemurian, a warm interval showing average temperature
654 of 18.5°C was recorded. The end of this warming interval coincides with the onset of a
655 $\delta^{13}\text{C}$ negative excursion that develops through the **latest** Sinemurian and part of the
656 **Early** Pliensbachian.

657 The **Late** Sinemurian **Warming** interval is followed by an interval of “normal”
658 temperature averaging 16°C, which develops through most of the **Early** Pliensbachian
659 Jamesoni Chronozone and the base of the Ibex Chronozone.

660 The **latest** part of the **Early** Pliensbachian is dominated by an increase in temperature,
661 marking **another** warming interval which extends to the base of the **Late** Pliensbachian,
662 where average temperature of 18.2 °C was calculated. Within this warming interval, a
663 $\delta^{13}\text{C}$ positive peak occurs at the transition between the **Early** Pliensbachian Ibex and
664 Davoei chronozones.

665 One of the most important climatic changes was recorded through the **Late**
666 Pliensbachian. Average palaeotemperature of 12.7°C for this interval in the Rodiles
667 section delineated an about 4 Myrs major **Late** Pliensbachian Cooling event that was
668 recorded in many parts of the World. At least in Europe, the onset and the end of this
669 cooling interval is synchronous at the scale of ammonites subchronozone. The cooling
670 interval coincides with a $\delta^{13}\text{C}$ slightly positive excursion, interrupted by a small
671 negative $\delta^{13}\text{C}$ peak in the **latest** Pliensbachian Hawskerense Chronozone.

672 This prominent cooling event has been pointed as one of the main candidates for the
673 development of polar ice caps in the Jurassic. Even some of the exposed data need
674 additional studies, like the meaning of the glendonite, and that more updated GMC
675 studies are required; most of the available data support the hypothesis that ice caps
676 were developed during the **Late** Pliensbachian Cooling interval.

677 Seawater temperature started to increase at the **earliest** Toarcian, rising to 15°C in the
678 **latest** Tenuicostatum Chronozone (Semicelatum Subchronozone), and seawater
679 palaeotemperature considerably increased around the Tenuicostatum–Serpentinum
680 zonal boundary, reaching average values in the order of 21°C, with peak intervals of
681 24°C, which coincides with the Early Toarcian major extinction, pointing warming as
682 the main cause of the faunal turnover.

683

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- 1073

1074

1075 **FIGURE CAPTIONS**

1076 Fig. 1. Location maps of the Rodiles section. (a): Sketched geological map of Iberia
1077 showing the position of the Asturian Basin. (b): Outcrops of the Jurassic deposits in the
1078 Asturian and the western part of the Basque–Cantabrian basins, and the position of
1079 the Rodiles section. (c): Geological map of the Asturian Basin showing the distribution
1080 of the different geological units and the location of the Rodiles section.

1081 Fig. 2. Thick sections photomicrographs of some of the belemnites sampled for stable
1082 isotope analysis from the Upper Sinemurian and Pliensbachian of the Rodiles section.
1083 The unaltered by diagenesis non luminescent sampling areas (SA), where the samples
1084 have been collected, are indicated. A and B Sample ER 351, Late Sinemurian
1085 *Raricostatum* Chronozone, *Aplanatum* Subchronozone. A: optical transmitted light
1086 microscope, showing the carbonate deposit filling the alveolous (Cf), the outer rostrum
1087 cavum wall (Cw) and fractures (Fr). B: cathodoluminescence microscope
1088 photomicrograph, showing luminescence in the carbonate deposit filling the alveolous
1089 (Cf), in the outer rostrum cavum wall (Cw) and in the fractures (Fr). SA represents the
1090 unaltered sampling area. C and D: Sample ER 337, Early Pliensbachian *Jamesoni*
1091 Chronozone, *Taylori*-*Polymorphus* Subchronozones. C: optical transmitted light
1092 microscope, showing fractures (Fr). D: cathodoluminescence microscope
1093 photomicrograph, showing luminescence in stylolites (St). SA is the unaltered sampling
1094 area. E and F: Sample ER 589a Early Pliensbachian *Margaritatus* Chronozone,
1095 *Subnodosus* Subchronozone. E: cathodoluminescence microscope, showing
1096 luminescence in the apical line (Ap), fractures (Fr) and stylolites (St). This area of the
1097 section was not suitable for sampling. F: another field of the same sample as H
1098 showing scarce fractures (Fr) and the unaltered not luminescent sampled area (SA). G
1099 and H: Sample ER 549a, Late Pliensbachian *Margaritatus* Chronozone, *Stokesi*
1100 Subchronozone. G: cathodoluminescence microscope showing luminescent growth
1101 rings (Gr) and stylolites (St). Area not suitable for sampling. H: cathodoluminescence
1102 microscope photomicrograph, of the same sample as G, showing luminescent growth
1103 rings (Gr) and fractures (Fr), with unaltered sampling area (SA). I: Sample ER 555 Late
1104 Pliensbachian *Margaritatus* Chronozone, *Stokesi* Subchronozone.
1105 Cathodoluminescence microscope photomicrograph showing luminescent growth rings
1106 (Gr) and the unaltered sampling area (SA). J and K: Sample ER 623 Late Pliensbachian
1107 *Spinatum* Chronozone, *Apyrenum* Subchronozone. J: cathodoluminescence
1108 microscope photomicrograph showing luminescent stylolites (St). K: Another field of
1109 the same sample as J showing luminescence in the apical line (Ap) and fractures (Fr) as
1110 well as the non luminescent unaltered sampling area (SA). L: Sample ER 597, Late
1111 Pliensbachian *Margaritatus* Chronozone, *Gibbosus* Subchronozone.
1112 Cathodoluminescence microscope photomicrograph showing luminescent carbonate
1113 deposit filling the alveolous (Cf), the outer and inner rostrum cavum wall (Cw), the
1114 fractures (Fr) and the non luminescent sampling area (SA). Scale in bar for all the
1115 photomicrographs: 1mm.

1116

1117 Fig. 3. Cross-plot of the $\delta^{18}\text{O}_{\text{bel}}$ against the $\delta^{13}\text{C}_{\text{bel}}$ values obtained in the Rodiles section
1118 showing a cluster type of distribution. All the assayed values are within the rank of
1119 normal marine values, and the correlation coefficient between both stable isotope
1120 values is negative, supporting the lack of diagenetic overprints in the sampled
1121 belemnite calcite. $\delta^{18}\text{O}_{\text{bel}}$ and $\delta^{13}\text{C}_{\text{bel}}$ in PDB.

1122

1123 Fig 4. Sketch of the stratigraphical succession of the uppermost Triassic and the
1124 Jurassic deposits of the Asturian Basin. The studied interval corresponds to the lower
1125 part of the Santa Mera Member of the Rodiles Formation. Pli.=Pliensbachian, Toar.=
1126 Toarcian. Aal.= Aalenian. Baj.=Bajocian.

1127

1128 Fig. 5. Stratigraphical succession of the Upper Sinemurian, the Pliensbachian and the
1129 Lower Toarcian deposits of the Rodiles section, showing the lithological succession, the
1130 ammonite taxa distribution, as well as the profiles of the $\delta^{18}\text{O}_{\text{bel}}$ and $\delta^{13}\text{C}_{\text{bel}}$ values
1131 obtained from belemnite calcite. $\delta^{18}\text{O}_{\text{bel}}$ and $\delta^{13}\text{C}_{\text{bel}}$ in PDB. Chronozones
1132 abbreviations: TEN: Tenuicostatum. Subchronozones abbreviations: RA: Raricostatum.
1133 MC: Macdonnelli. AP: Aplanatum. BR: Brevispina. JA: Jamesoni. MA: Masseanum. LU:
1134 Luridum. MU: Maculatum. CA: Capricornus. FI: Figulinum. ST: Stokesi. HA:
1135 Hawskerense. PA: Paltum. SE: Semicelatum. EL: Elegantulum. FA: Falciferum.

1136

1137 Fig. 6. Correlation chart of the belemnite calcite-based $\delta^{13}\text{C}$ sketched curves across
1138 Western Europe. The earliest isotopic event is the **Late** Sinemurian $\delta^{13}\text{C}$ positive
1139 excursion, followed by the **Early** Pliensbachian negative excursion and the Ibex–Davoei
1140 positive peak. The **Late** Pliensbachian $\delta^{13}\text{C}$ positive excursion is bounded by a $\delta^{13}\text{C}$
1141 negative peak, located around the Pliensbachian–Toarcian boundary. A significant $\delta^{13}\text{C}$
1142 positive excursion is recorded in the **Early** Toarcian. $\delta^{13}\text{C}_{\text{bel}}$ values in PDB. .
1143 Chronozones abbreviations: TEN: Tenuicostatum. SER: Serpentinum.

1144

1145 Fig. 7. Curve of seawater palaeotemperatures of the **Late** Sinemurian, Pliensbachian
1146 and Early Toarcian, obtained from belemnite calcite in the Rodiles section of Northern
1147 Spain. Two warming intervals corresponding to the **Late** Sinemurian and the **Early**
1148 Pliensbachian are followed by an important cooling interval, developed at the Late
1149 Pliensbachian, as well as a (**super**)warming event recorded in the Early Toarcian.
1150 Chronozones abbreviations: RAR: Raricostatum. D: Davoei. TENUICOSTA.:
1151 Tenuicostatum. Subchronozones abbreviations: DS: Densinodulum. RA: Raricostatum.
1152 MC: Macdonnelli. AP: Aplanatum. BR: Bevispina. JA: Jamesoni. VA: Valdani. LU: Luridum.
1153 CA: Capricornus. FI: Figulinum. SU: Subnodosus. PA: Paltum. SE: Semicelatum. FA:
1154 Falciferum.

1155

1156 Fig. 8. Correlation chart of the belemnite calcite-based $\delta^{18}\text{O}$ sketched curves obtained
1157 in different areas of Western Europe. Several isotopic events along the **latest**
1158 Sinemurian, Pliensbachian and **Early** Toarcian can be recognized. The earliest event is a
1159 $\delta^{18}\text{O}$ negative excursion corresponding to the **Late** Sinemurian Warming. After an
1160 interval of “normal” $\delta^{18}\text{O}$ values developed in most of the Jamesoni Chronozone and
1161 the **earliest** part of the Ibex Chronozone, another $\delta^{18}\text{O}$ negative excursion was
1162 developed in the Ibex, Davoei and **earliest** Margaritatus chronozones, representing the
1163 **Early** Pliensbachian Warming interval. A main $\delta^{18}\text{O}$ positive excursion is recorded at the
1164 **Late** Pliensbachian and the **earliest** Toarcian in all the correlated localities,
1165 representing the important **Late** Pliensbachian Cooling interval. Another prominent
1166 $\delta^{18}\text{O}$ negative shift is recorded in the Early Toarcian. Values are progressively more
1167 negative in the Tenuicostatum Chronozone and suddenly decrease around the
1168 Tenuicostatum–Serpentinum zonal boundary, delineating the **Early** Toarcian $\delta^{18}\text{O}$
1169 negative excursion which represents the **Early** Toarcian (super)Warming interval.
1170 $\delta^{18}\text{O}_{\text{bel}}$ values in PDB.