Answer to Editor Prof. Appy Sluijs

Thanks to Editor for his comments and suggestions referring the manuscript CP-2015-113 entitled "Palaeoclimatic oscillations in the Pliensbachian (Lower Jurassic) of the Asturian Basin (Northern Spain)". Together with the valuables comments and suggestions received from the three anonymous referees, have contributed to substantial improvement of the manuscript.

At this respect, attached please find two documents of the text. The "Gomez et al TEXT Corrected_3" and the "Gomez et al TEXT Corrected Changes Marked_3". The first .pdf file contains the text, after corrections, and in the second .doc file highlights, marked in red colour; the changes introduced from Referees·1 and2 and in blue colour the changes indicated by Referee·3, respect to the previous text.

All the parts concerning the possible presence of ice caps during the Pliensbachian Cooling Interval have been deleted from previous versions of the manuscript.

We assume that all the requirements pointed by Editor and Referees have been accomplished, but if any additional modification or clarification is required please do not hesitate to contact us at your earliest convenience.

We are looking forward to receiving your opinion on the revised manuscript.

Sincerely,

Juan J. Gómez

Palaeoclimatic oscillations in the Pliensbachian (Early Jurassic) of the Asturian Basin (Northern Spain).

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Abstract.

One of the main controversial items in palaeoclimatology is to elucidate if climate during the Jurassic was warmer than present day and equal over Pangea, with no major latitudinal gradients. Abundant evidences of oscillations in seawater temperature through the Jurassic have been presented. The Pliensbachian (Early Jurassic) is a singular time interval on which several seawater temperature oscillations are documented in this work, including an exceptional cooling event. To constrain the timing and magnitude of these climate changes, the Rodiles section of the Asturian Basin (Northern Spain), a well exposed succession of the uppermost Sinemurian, Pliensbachian and Lower Toarcian deposits, has been studied. A total of 562 beds were measured and sampled for ammonites, for biochronostratigraphical purposes, and for belemnites, to determine the palaeoclimatic evolution through stable isotope studies. Comparison of the recorded latest Sinemurian, Pliensbachian and Early Toarcian changes in seawater palaeotemperature with other European sections allows characterization of several climatic changes of probable global extent. A warming interval which partly coincides with a $\delta^{13}C_{bel}$ negative excursion was recorded at the Late Sinemurian. After a "normal" temperature interval, a new warming interval that contains a short lived positive $\delta^{13}C_{bel}$ peak, was developed at the Early–Late Pliensbachian transition. The Late Pliensbachian represents an outstanding cooling interval containing a $\delta^{13}C_{bel}$ positive excursion interrupted by a small negative $\delta^{13}C_{bel}$ peak. Finally, the Early Toarcian represented an exceptional warming period pointed as the main responsible for the prominent Early Toarcian mass extinction.

1 Introduction

The idea of an equable Jurassic greenhouse climate, 5–10º C warmer than present day, with no ice caps and low pole-equator temperature gradient, has been proposed by several studies (i.e. Hallam, 1975, 1993; Chandler et al., 1992; Frakes et al., 1992; Rees et al., 1999). Nevertheless, this hypothesis has been challenged by numerous palaeoclimatic studies, mainly based on palaeotemperature calculations using the oxygen isotope data from belemnite and brachiopod calcite as a proxy.

Especially relevant are the latest Pliensbachian–Early Toarcian climate changes, which have been documented in many sections from Western Europe (i. e. Sælen et al., 1996; McArthur et al., 2000; Röhl et al., 2001; Schmidt-Röhl et al., 2002; Bailey et al., 2003; Jenkyns, 2003; Rosales et al., 2004; Gómez et al., 2008; Metodiev and Koleva-Rekalova, 2008; Suan et al., 2008, 2010; Dera et al., 2009, 2010, 2011; Gómez and Arias, 2010; García Joral et al., 2011; Gómez and Goy, 2011; Fraguas et al., 2012), as well as in Northern Siberia and in the Artic Region (Zakharov et al., 2006; Nikitenko, 2008; Suan et al., 2011). The close correlation between the severe Late Pliensbachian Cooling and the Early Toarcian Warming events, and the major Early Toarcian mass extinction indicates that warming was one of the main causes of the faunal turnover (Kemp et al., 2005; Gómez et al., 2008; Gómez and Arias, 2010; García Joral et al., 2011; Gómez and Goy, 2011; Fraguas et al., 2012; Clémence, 2014; Clémence et al., 2015; Baeza-Carratalá et al., 2015).

Nevertheless, except for a few sections (Rosales et al., 2004; Korte and Hesselbo, 2011; Suan et al., 208, 2010), little data on the evolution of seawater palaeotemperatures during the latest Sinemurian and the Pliensbachian have been published, even some more papers studied the climatic changes of parts of the considered time interval (i.e.

McArthur et al., 2000; Hesselbo et al., 2000; Jenkyns et al., 2002; van de Scootbrugge et al., 2010; Gómez and Goy, 2011; Armendariz et al., 2012; Harazim et al., 2013).

The objective of this paper is to provide data on the evolution of the seawater palaeotemperatures and the changes in the carbon isotopes through the Late Sinemurian, Pliensbachian and Early Toarcian (Early Jurassic) and to constrain the timing of the recorded changes through ammonite-based biochronostratigraphy. The dataset has been obtained from the particularly well exposed Rodiles section, located in the Asturias community in Northern Spain (Fig. 1). Results have been correlated with the records obtained in different sections of Europe, showing that these climatic changes, as well as the documented perturbations of the carbon cycle, could be of global, or at least of regional extent at the European scale.

2 Materials and methods

The 110 m thick studied section composed of 562 beds has been studied bed by bed. Collected ammonites were prepared and studied following the usual palaeontological methods. The obtained biochronostratigraphy allowed characterization of the standard chronozones and subchronozones established by Elmi et al. (1997) and Page (2003), which are used in this work.

A total of 191 analyses of stable isotopes were performed on 163 belemnite calcite samples, in order to obtain the primary Late Sinemurian, Pliensbachian and Early Toarcian seawater stable isotope signal, and hence to determine palaeotemperature changes, as well as the variation pattern of the carbon isotope in the studied time interval. For the assessment of possible burial diagenetic alteration of the belemnites, polished samples and thick sections of each belemnite rostrum were prepared. The thick sections were studied under the petrographic and the cathodoluminescence microscope, and only the non-luminescent, diagenetically unaltered portions of the belemnite rostrum, were sampled using a microscope-mounted dental drill. Belemnites in the Rodiles section generally show an excellent degree of preservation (Fig. 2) and none of the prepared samples were rejected, as only the parts of the belemnite rostrum not affected by diagenesis were selected. Sampling of the luminescent parts such as the apical line and the outer and inner rostrum wall, fractures, stylolites and borings were avoided. Belemnite calcite was processed in the stable isotope labs of the Michigan University (USA), using a Finnigan MAT 253 triple collector isotope ratio mass spectrometer. The procedure followed in the stable isotope analysis has been described in Gómez and Goy (2011). Isotope ratios are reported in per mil relative to the standard Peedee belemnite (PDB), having a reproducibility better than 0.02 ‰ PDB for $\delta^{13}C$ and better than 0.06 ‰ PDB for $\delta^{18}O$.

The seawater palaeotemperature recorded in the oxygen isotopes of the studied belemnite rostra have been calculated using the Anderson and Arthur (1983) equation: $T(^{\circ}C) = 16.0 - 4.14 (\delta_c - \delta_w) + 0.13 (\delta_c - \delta_w)^2$ where $\delta_c = \delta^{18}O$ PDB is the composition of the sample, and $\delta_w = \delta^{18}O$ SMOW the composition of ambient seawater. According to the recommendations of Shackleton and Kennett (1975), the standard value of $\delta_w = -1$‰ was used for palaeotemperature calculations under non-glacial ocean water conditions. If the presence of permanent ice caps in the poles is demonstrated for some of the studied intervals, value of δ_w=0‰ would be used and consequently calculated palaeotemperatures would increase in the order of 4ºC.

For palaeotemperature calculation, it has been assumed that the $\delta^{18}O$ values, and consequently the resultant curve, essentially reflects changes in environmental parameters (Sælen et al., 1996; Bettencourt and Guerra, 1999; McArthur et al., 2007; Price et al., 2009; Rexfort and Mutterlose, 2009; Benito and Reolid, 2012; Li et al., 2012; Harazim et al., 2013; Ullmann et al., 2014, Ullmann and Korte, 2015), as the sampled non-luminescent biogenic calcite of the studied belemnite rostra precipitated in equilibrium with the seawater. It has also being assumed that the biogenic calcite retains the primary isotopic composition of the seawater and that the belemnite migration, skeletal growth, the sampling bias, and the vital effects are not the main factors responsible for the obtained variations. Cross-plot of the $\delta^{18}O$ against the $\delta^{13}C$ values (Fig. 3) reveals a cluster type of distribution, showing a negative correlation coefficient (–0.2) and very low covariance (R^2=0.04), supporting the lack of digenetic overprints in the analyzed diagenetically screened belemnite calcite.

3 Results

In the coastal cliffs located northeast of the Villaviciosa village, in the eastern part of the Asturias community (Northern Spain) (Fig. 1), the well exposed Upper Sinemurian, Pliensbachian and Lower Toarcian deposits are represented by a succession of alternating lime mudstone to bioclastic wackestone and marls with interbedded black shales belonging to the Santa Mera Member of the Rodiles Formation (Valenzuela, 1988) (Fig. 4). The uppermost Sinemurian and Pliensbachian deposits have been studied in the eastern part of the Rodiles Cape and the uppermost Pliensbachian and Lower Toarcian in the western part of the Rodiles Cape (West Rodiles section of Gómez et al., 2008; Gómez and Goy 2011). Both fragments of the section are referred here as the Rodiles section (lat. 43º32'22" long. 5º22'22"). Palaeogeographical reconstruction based on comprehensive palaeomagnetic data, carried out by Osete et al. (2010), locates the studied Rodiles section at a latitude of about 32º N for the Hettangian–Sinemurian interval and at a latitude of almost 40º N (the current latitude of Madrid) for the Toarcian–Aalenian interval.

Ammonite taxa distribution and profiles of the $\delta^{18}O_{bel}$, $\delta^{13}C_{bel}$ and $\delta^{13}C_{bulk}$ values obtained from belemnite calcite have been plotted against the 562 measured beds of the Rodiles section (Fig. 5).

3.1 Lithology

The Upper Sinemurian, Pliensbachian and Lower Toarcian deposits of the Rodiles section are constituted by couplets of bioclastic lime mudstone to wackestone limestone and marls. Occasionally the limestones contain bioclastic packstone facies concentrated in rills. Limestones, generally recrystallized to microsparite, are commonly well stratified in beds whose continuity can be followed at the outcrop scale, as well as in outcrops several kilometres apart. However, nodular limestone layers, discontinuous at the outcrop scale, are also present. The base of some carbonates can be slightly erosive, and they are commonly bioturbated, to reach the homogenization stage. Ichnofossils, specially *Thalassinoides, Chondrites* and *Phymatoderma,* are also present. Marls, with $CaCO_3$ content generally lower than 20% (Bádenas et al., 2009, 2012), are frequently gray coloured, occasionally light gray due to the higher proportion of carbonates, with interbedded black intervals. Locally brown coloured sediments, more often in the Upper Sinemurian, are present.

3.2 Biochronostratigraphy

The ammonite-based biochronostratigraphy of these deposits in Asturias have been carried out by Suárez-Vega (1974), and the uppermost Pliensbachian and Toarcian ammonites by Gómez et al. (2008), and by Goy et al. (2010 a, b). Preliminary biochronostratigraphy of the Late Sinemurian and the Pliensbachian in some sections of the Asturian Basin has been reported by Comas-Rengifo and Goy (2010), and the result of more than ten years of bed by bed sampling of ammonites in the Rodiles section, which allowed precise time constrain for the climatic events described in this work, are here summarized.

Collected ammonites allowed the recognition of all the standard Late Sinemurian, Pliensbachian and Early Toarcian chronozones and subchronozones defined by Elmi et al. (1997) and Page (2003) for Europe. Section is generally expanded and ammonites are common enough as to constrain the boundaries of the biochronostratigraphical units. Exceptions are the Taylori–Polymorphus subchronozones that could not be separated, and the Capricornus–Figulinum subchronozones of the Davoei Chronozone, partly due to the relatively condensed character of this Chronozone. Most of the recorded species belong to the NW Europe province but some representatives of the Tethysian Realm are also present.

3.3 Carbon isotopes

The carbon isotopes curve reflects several oscillations through the studied section (Fig. 5). A positive $\delta^{13}C_{bel}$ shift, showing average values of 1.6‰ is recorded in the Late Sinemurian Densinodulum to part of the Macdonnelli subchronozones. From the latest Sinemurian Aplanatum Subchronozone (Raricostatum Chronozone) up to the Early Pliensbachian Valdani Subchronozone of the Ibex Chronozone, average $\delta^{13}C_{bel}$ values are −0.1‰, delineating an about 1−1.5‰ relatively well marked negative excursion. In the late Ibex and in the Davoei chronozones, the $\delta^{13}C_{bel}$ curve records background values of about 1‰, with a positive excursion at the latest Ibex Chronozone and the earliest Davoei Chronozone.

At the Late Pliensbachian the $\delta^{13}C_{bel}$ values tend to outline a slightly positive excursion, interrupted by a small negative peak in the latest Spinatum Chronozone. The Early Toarcian curve reflects the presence of a positive $\delta^{13}C_{bel}$ trend which develops above the here represented stratigraphical levels, up to the Middle Toarcian Bifrons Chronozone (Gómez et al., 2008) and a negative excursion recorded in bulk carbonates samples.

3.4 Oxygen isotopes

The $\delta^{18}O_{bel}$ values show the presence of several excursions through the Late Sinemurian to the Early Toarcian (Fig. 5). In the Late Sinemurian to the earliest Pliensbachian interval, an about 1‰ negative excursion, showing values generally below −1‰ with peak values up to −3‰ has been recorded in Sinemurian samples located immediately below the stratigraphic column represented in Fig. 5. In most of the Early Pliensbachian Jamesoni and the earliest part of the Ibex chronozones, $\delta^{18}O_{bel}$ values are quite stable, around −1‰, but another about 1−1.5‰ negative excursion, with peak values up to −1.9‰, develops along most of the Early Pliensbachian Ibex and Davoei chronozones, extending up to the base of the Late Pliensbachian Margaritatus Chronozone. Most of the Late Pliensbachian and the earliest Toarcian are characterized by the presence of an important change. An in the order of 1.5‰ $\delta^{18}O_{bel}$ positive excursion, with frequent values around 0‰, and positive values up to 0.7‰, were assayed in this interval. The oxygen isotopes recorded a new change on its tendency in the Early Toarcian, where a prominent $\delta^{18}O_{bel}$ negative excursion, about 1.5–2‰ with values up to −3‰, has been verified.

4 Discussion

The isotope curves obtained in the Upper Sinemurian, Pliensbachian and Lower Toarcian section of the Asturian Basin has been correlated with other successions of similar age, in order to evaluate if the recorded environmental features have a local or a possible global extent. In order to correlate a more homogeneous dataset, only the isotopic results obtained by other authors from belemnite calcite and exceptionally from brachiopod calcite, have been used for the correlation of the stable isotopic data.

4.1. Reliability of belemnite isotope records

Discussion on the palaeoecology of belemnites and the validity of the isotopic data obtained from belemnite calcite for the calculation of palaeotemperatures is beyond the scope of this paper, but the use of belemnite calcite as a proxy is generally accepted and widely used as a reliable tool for palaeothermometry in most of the Mesozoic. However, palaeoecology of belemnites is a source of conflicts because, as extinct organisms, there is a complete lack of understanding of fossil belemnite ecology (Rexfort and Mutterlose, 2009). Belemnite lived as active predators with a swimming life habitats. Nevertheless, several authors (Anderson et al., 1994; Mitchell, 2005; Wierzbowski and Joachimiski, 2007) proposed a bottom-dwelling mode of life on the basis of oxygen isotope thermometry, similar to modern sepiids which show a nektobenthic mode of life. This is contradicted by the occurrence of various belemnite genera in black shales that lack any benthic or nektobenthic organisms due to anoxic bottom waters (i.e. the Lower Jurassic Posidonienschiefer, see Rexfort and Mutterlose, 2009), indicating that belemnites had a nektonic rather than a nektobenthic mode of life (Mutterlose et al., 2010). As Rexfort and Mutterlose (2009) stated, it is unclear whether isotopic data from belemnites reflect a surface or a deeper water signal and we do not know if the belemnites mode of life changed during ontogeny. Similarly, Li et al. (2012) concluded that belemnites were mobile and experienced a range of environmental conditions during growth and that some belemnite species inhabited environmental niches that remain unchanged, while other species had a more cosmopolitan lifestyle inhabiting wider environments. To complete the scenario, Mutterlose et al. (2010) suggested different lifestyles (nektonic versus nektobenthic) of belemnites genera as indicated by different shaped guards. Short, thick guards could indicate nektobentic lifestyle, elongated forms fast swimmers, and extremely flattened guards benthic lifestyle.

The Ullmann et al. (2014) work hypothesises that belemnites (*Passaloteuthis*) of the Lower Toarcian Tenuicostatum Zone had a nektobenthic lifestyle and once became extinct (as many organisms in the Early Toarcian mass extinction) were substituted by belemnites of the genus *Acrocoelites* supposedly of nektonic lifestyle that these authors impute as due to anoxia.

On the other hand, the isotopic studies performed on present-day cuttlefish (*Sepia* sp.), which are assumed to be the most similar group equivalent to belemnites, reveals that all the specimens (through their $\delta^{18}O$ signal) reflect the temperature-characteristics of their habitat perfectly (Rexfort and Mutterlose, 2009). Also the studies of Bettencourt and Guerra (1999), performed in cuttlebone of *Sepia officinalis* conclude that the obtained $\delta^{18}O$ temperature agreed with changes in temperature of seawater, supporting the use of belemnites as excellent tools for calculation of palaeotemperatures.

It seems that at least some belemnites could swim through the water column, reflecting the average temperature and not necessarily only the temperature of the bottom water or of the surface water. In any case, instead of single specific values, comparisons of average temperatures to define the different episodes of temperature changes are used in this work.

4.2. Carbon isotope curve

The $\delta^{13}C_{bel}$ carbon isotope excursions (CIEs) found in the Asturian Basin, can be followed in other sections across Western Europe (Fig. 6). The Late Sinemurian positive CIE has also been recorded in the Cleveland Basin of the UK by Korte and Hesselbo (2011) and in the $\delta^{13}C_{org}$ data of the Wessex Basin of southern UK by Jenkyns and Weedon (2013).

The Early Pliensbachian $\delta^{13}C_{bel}$ negative excursion that extends from the Raricostatum Chronozone of the latest Sinemurian to the Early Pliensbachian Jamesoni and part of the Ibex chronozones (Fig. 6), correlates with the lower part of the $\delta^{13}C_{bel}$ negative excursion reported by Armendáriz et al. (2012) in another section of the Asturian Basin. Similarly, the $\delta^{13}C_{bel}$ curve obtained by Quesada et al. (2005) in the neighbouring Basque–Cantabrian Basin shows the presence of a negative CIE in similar stratigraphical position. In the Cleveland Basin of the UK, the studies on the Sinemurian–Pliensbachian deposits carried out by Hesselbo et al. (2000), Jenkyns et al. (2002) and Korte and Hesselbo (2011) reflect the presence of this Early Pliensbachian $\delta^{13}C_{bel}$ decrease of values. In the Peniche section of the Lusitanian Basin of Portugal, this negative CIE has also been recorded by Suan et al. (2010) in brachiopod calcite, and in bulk carbonates in Italy (Woodfine et al., 2008; Francheschi et al., 2014). The about 1.5–2‰ magnitude of this negative excursion seems to be quite consistent across the different European localities.

Korte and Hesselbo (2011) pointed out that the Early Pliensbachian $\delta^{13}C$ negative excursion seems to be global in character and the result of the injection of isotopically light carbon from some remote source, such as methane from clathrates, wetlands, or thermal decomposition or thermal metamorphism or decomposition of older organic-rich deposits. However none of these possibilities have been documented yet.

Higher in the section, the $\delta^{13}C$ values are relatively uniform, except for a thin interval, around the Early Pliensbachian Ibex–Davoei zonal boundary, where a small positive excursion (the Ibex–Davoei positive excursion, previously mentioned by Rosales et al., 2001 and by Jenkyns et al., 2002) can be observed in most of the $\delta^{13}C$ curves summarized in Fig. 6, as well as in the carbonates of the Portuguese Lusitanian Basin (Silva et al., 2011).

The next CIE is a positive excursion about 1.5–2‰, well recorded in all the correlated Upper Pliensbachian sections (the Late Pliensbachian positive excursion in Fig. 6) and in bulk carbonates of the Lusitanian Basin (Silva et al., 2011; Silva and Duarte, 2015 and in the Apennines of Central Italy by Moretinni et al., 2002). This CIE also partly coincides with the $\delta^{13}C_{org}$ reported by Caruthers et al. (2014) in Western North America. Around the Pliensbachian–Toarcian boundary, a negative $\delta^{13}C$ peak is again recorded (Fig. 6). This narrow excursion was described by Hesselbo et al. (2007) in bulk rock samples in Portugal, and tested by Suan et al. (2010) in the same basin and extended to the Yorkshire (UK) by Littler et al. (2010) and by Korte and Hesselbo (2011). If this perturbation of the carbon cycle is global, as Korte and Hesselbo (2011) pointed out, it could correspond with the negative $\delta^{13}C$ peak recorded in the upper part of the Spinatum Chronozone in the Asturian Basin (this work); with the negative $\delta^{13}C$ peak reported by Quesada et al. (2005) in the same stratigraphical position in the Basque–Cantabrian Basin, and with the $\delta^{13}C$ negative peak reported by van de Schootbrugge et al. (2010) and Harazim et al. (2013) in the French Grand Causses Basin.

Finally, the Early Toarcian is characterized by a prominent $\delta^{13}C$ positive excursion that has been detected in all the here considered sections, as well as in some South American (Al-Suwaidi et al., 2010) and Northern African (Bodin et al., 2010) sections, which is interrupted by an about 1‰ $\delta^{13}C_{bulk}$ negative excursion located around the Tenuicostatum–Serpentinum zonal boundary.

The origin of the positive excursion has been interpreted by some authors as the response of water masses to excess and rapid burial of large amounts of organic carbon rich in ^{12}C, which led to enrichment in ^{13}C of the sediments (Jenkyns and Clayton, 1997; Schouten et al., 2000). Other authors ascribe the origin of this positive excursion to the removal from the oceans of large amounts of isotopically light carbon as organic matter into black shales or methane hydrates, resulting from ebullition of isotopically heavy CO_2, generated by methanogenesis of organic-rich sediments (McArthur et al., 2000).

Although $\delta^{13}C$ positive excursions are difficult to account for (Payne and Kump, 2007), it seems that this positive CIE cannot necessarily be the consequence of the widespread preservation of organic-rich facies under anoxic waters, as no anoxic facies are present in the Spanish Lower Toarcian sections (Gómez and Goy, 2011). Modelling of the CIEs performed by Kump and Arthur (1999) shows that $\delta^{13}C$ positive excursions can also be due to an increase in the rate of phosphate or phosphate and inorganic carbon delivery to the ocean, and that large positive excursions in the isotopic composition of the ocean can also be due to an increase in the proportion of carbonate weathering relative to organic carbon and silicate weathering. Other authors argue that increase of $\delta^{13}C$ in bulk organic carbon may reflect a massive expansion of marine archaea bacteria that do not isotopically discriminate in the type of carbon they use, leading to positive $\delta^{13}C$ shifts (Kidder and Worsley, 2010).

The origin of the Early Toarcian $\delta^{13}C$ negative excursion has been explained by several papers as due to the massive release of large amounts of isotopically light CH_4 from the thermal dissociation of gas hydrates Hesselbo et al. (2000, 2007), Cohen et al. (2004) and Kemp et al. (2005), with the massive release of gas methane linked with the intrusion of the Karoo-Ferrar large igneous province onto coalfields, as proposed by McElwain et al. (2005) or with the contact metamorphism by dykes and sills related to the Karoo-Ferrar igneous activity into organic-rich sediments (Svensen et al., 2007).

Martinez and Dera (2015) proposed the presence of fluctuation in the carbon cycle during the Jurassic and Early Cretaceous, due to a cyclicity of ~9 My linked to a great eccentricity cycle, amplified by cumulative sequestration of organic matter. Nevertheless, this ~9 My cycle has not been evidenced in the Pliensbachian deposits of several parts of the World (Ikeda and Tada, 2013, 2014) and cannot be evidenced in the Pliensbachian deposits of the Asturian Basin. The disruption of this ciclicity recorded during the Pliensbachian could be linked to chaotic behaviour in the solar system (Martinez and Dera, 2015) possibly due to the chaotic transition in the Earth–Mars resonance (Ikeda and Tada, 2013). Data from Japan suggests that this disruption developed from the Hettangian to the Pliensbachian (Ikeda and Tada, 2013, 2014) was possibly linked to the massive injection of CO_2 from the eruptions of the Central Atlantic Magmatic Province to the Karoo-Ferrar eruptions (Prokoph et al. 2013) which destabilized the carbon fluxes, reducing or dephasing the orbital imprint in the $\delta^{13}C$ over millions of years (Martinez and Dera, 2015).

4.3. Oxygen isotope curves and seawater palaeotemperature oscillations

Seawater palaeotemperature calculation from the obtained $\delta^{18}O$ values reveals the occurrence of several isotopic events corresponding with relevant climatic oscillations across the latest Sinemurian, the Pliensbachian and the Early Toarcian (Fig. 7). Some of these climatic changes could be of global extent. In terms of seawater palaeotemperature, five intervals can be distinguished. The earliest interval corresponds with a warming period developed during the Late Sinemurian up to the earliest Pliensbachian. Most of the Early Pliensbachian is represented by a period of "normal" temperature, close to the average palaeotemperatures of the studied interval. A new warming period is recorded at the Early–Late Pliensbachian transition, and the Late Pliensbachian is represented by an important cooling interval. Finally the Early Toarcian coincides with a severe (super)warming interval, linked to the important Early Toarcian mass extinction (Gómez and Arias, 2010; García Joral et al., 2011; Gómez and Goy, 2011; Fraguas et al., 2012; Clémence, 2014; Clémence et al., 2015; Baeza-Carratalá et al., 2015). The average palaeotemperature of the latest Sinemurian, Pliensbachian (palaeolatitude of 32ºN) and Early Toarcian (palaeolatitude of 40ºN), calculated from the $\delta^{18}O$ values obtained from belemnite calcite in this work, is 15.6ºC.

4.3.1. The Late Sinemurian Warming

The earliest isotopic event is a $\delta^{18}O$ negative excursion that develops in the Late Sinemurian Raricostatum Chronozone, up to the earliest Pliensbachian Jamesoni Chronozone. Average palaeotemperatures calculated from the $\delta^{18}O$ belemnite samples collected below the part of the Late Sinemurian Raricostatum Chronozone represented in figure 5 were 19.6ºC. This temperature increases to 21.5ºC in the lower part of the Raricostatum Chronozone (Densinodulum Subchronozone), and temperature progressively decreases through the latest Sinemurian and earliest Pliensbachian. In the Raricostatum Subchronozone, the average calculated temperature is 18.7ºC; in the Macdonnelli Subchronozone average temperature is 17.5ºC and average values of 16.7ºC, closer to the average temperatures of the studied interval, are not reached until the latest Sinemurian Aplanatum Subchronozone and the earliest Pliensbachian Taylori–Polymorphus subchronozones. All these values delineate a warming interval mainly developed in the Late Sinemurian (Figs. 7, 8) on which the general trend is a decrease in palaeotemperature from the Late Sinemurian to the earliest Pliensbachian.

The Late Sinemurian Warming interval is also recorded in the Cleveland Basin of the UK (Hesselbo et al., 2000; Korte and Hesselbo, 2011). The belemnite-based $\delta^{18}O$ values obtained by these authors are in the order of −1‰ to −3‰, with peak values lower than −4‰. That represents a range of palaeotemperatures normally between 16 and 24ºC with peak values up to 29ºC, which are not compatible with a cooling, but with a warming interval.

The Late Sinemurian warming coincides only partly with the Early Pliensbachian $\delta^{13}C$ negative excursion, located near the stage boundary (Fig. 6). Consequently, this warm cannot be fully interpreted as the consequence of the release of methane from clathrates, wetlands or decomposition of older organic-rich sediments, as interpreted by Korte and Hesselbo (2011) because only a small portion of both excursions are coincident.

4.3.2. The "normal" temperature Early Pliensbachian Jamesoni Chronozone interval

After the Late Sinemurian Warming, $\delta^{18}O$ values are around −1‰ reflecting average palaeotemperatures of about 16ºC (Fig. 7). This Early Pliensbachian interval of "normal" (average) temperature develops in most of the Jamesoni Chronozone and the base of the Ibex Chronozone (Fig. 8). In the Taylori–Polymorphus chronozones, average temperature is 15.7ºC, in the Brevispina Subchronozone is 16.4ºC, and in the Jamesoni Subchronozone 17.2ºC. Despite showing more variable data, this interval has also been recorded in other sections of the Asturian Basin (Fig. 8) by Armendáriz et al. (2012), and relatively uniform values are also recorded in the Basque–Cantabrian Basin of Northern Spain (Rosales et al., 2004) and in the Peniche section of the Portuguese Lusitanian Basin (Suan et al., 2008, 2010). Belemnite calcite-based $\delta^{18}O$ values published by Korte and Hesselbo (2011) are quite scattered, oscillating between ~1‰ and ~−4.5‰ (Fig. 8).

4.3.3. The Early Pliensbachian Warming interval

Most of the Early Pliensbachian Ibex Chronozone and the base of the Late Pliensbachian are dominated by a 1 to 1.5‰ $\delta^{18}O$ negative excursion, representing an increase in palaeotemperature, which marks a new warming interval. Average values of 18.2ºC with peak values of 19.7ºC were reached in the Rodiles section (Fig. 7). This increase in temperature partly co-occurs with the latest part of the Early Pliensbachian $\delta^{13}C$ negative excursion.

The Early Pliensbachian Warming interval is also well marked in other sections of Northern Spain (Fig. 8) like in the Asturian Basin (Armendáriz et al., 2012) and the Basque–Cantabrian Basin (Rosales et al., 2004), where peak values around 25ºC were reached. The increase in seawater temperature is also registered in the Southern France Grand Causses Basin (van de Schootbrugge et al., 2010), where temperatures averaging around 18ºC have been calculated. This warming interval is not so clearly marked in the brachiopod calcite of the Peniche section in Portugal (Suan et al., 2008, 2010), but even very scattered $\delta^{18}O$ values, peak palaeotemperature near 30ºC were frequently reported in the Cleveland Basin (Korte and Hesselbo, 2011). In the compilation performed by Dera et al. (2009, 2011) and Martínez and Dera (2015), $\delta^{18}O$ values are quite scattered, but this Early Pliensbachian Warming interval is also well marked. Data on neodymium isotope presented by Dera et al. (2009) indicate the presence of a generalized southwards directed current in the Euro-boreal waters for most of the Early Jurassic, except for the Early–Late Pliensbachian transition, where a positive ε_{Nd} excursion suggests northward influx of warmer Tethyan or Panthalassan waters which could contribute to the seawater warming detected in the Early Pliensbachian.

4.3.4. The Late Pliensbachian Cooling interval

One of the most important Jurassic $\delta^{18}O$ positive excursions is recorded by belemnites from the Late Pliensbachian to the Early Toarcian in all the correlated localities (Figs. 5, 7, 8). This represents an important climate change towards cooler temperatures that begins at the base of the Late Pliensbachian and extends up to the earliest Toarcian Tenuicostatum Chronozone, representing an about 4 Myrs major cooling interval. Average palaeotemperatures of 12.7ºC for this period in the Rodiles section have been calculated by supposing the absence of ice caps, and peak temperatures as low as 9.5ºC were recorded in several samples from the Gibbosus and the Apyrenum subchronozones (Fig. 7).

This major cooling event has been recorded in many parts of the World. In Europe, the onset and the end of the cooling interval seems to be synchronous at the scale of ammonites subchronozone (Fig. 8). It starts at the Stokesi Subchronozone of the Margaritatus Chronozone (near the onset of the Late Pliensbachian), and extends up to the Early Toarcian Semicelatum Subchronozone of the Tenuicostatum Chronozone. In addition to the Asturian Basin (Gómez et al., 2008; Gómez and Goy, 2011; this work), it has clearly been recorded in the Basque–Cantabrian Basin (Rosales et al., 2004; Gómez and Goy, 2011; García Joral et al., 2011) and in the Iberian Basin of Central Spain (Gómez et al., 2008; Gómez and Arias, 2010; Gómez and Goy, 2011), in the Cleveland Basin of the UK (McArthur et al., 2000; Korte and Hesselbo, 2011), in the Lusitanian Basin (Suan et al., 2008, 2010), in the French Grand Causses Basin (van de Schootbrugge et al., 2010), and in the data compiled by Dera et al. (2009, 2011).

As for many of the major cooling periods recorded in the Phanerozoic, low levels of atmospheric pCO_2, and/or variations in oceanic currents related to the break-up of Pangea could explain these changes in seawater (Dera et al., 2009; 2011). The presence of relatively low pCO_2 levels in the Late Pliensbachian atmosphere is supported by the value of ~900 ppm obtained from Pliensbachian araucariacean leaf fossils of southeastern Australia (Steinthorsdottir and Vajda, 2015). These values are much higher than the measured Quaternary preindustrial 280 ppm CO_2 (i.e. Wigley et al., 1996), but lower than the ~1000 ppm average estimated for the Early Jurassic. The recorded Pliensbachian values represent the minimum values of the Jurassic and of most of the Mesozoic, as documented by the GEOCARB II (Berner, 1994), and the GEOCARB III (Berner and Kothavala, 2001) curves, confirmed for the Early Jurassic by Steinthorsdottir and Vajda (2015). Causes of this lowering of atmospheric pCO_2 are unknown but they could be favoured by elevated silicate weathering rates, nutrient influx, high primary productivity, and organic matter burial (Suan et al., 2010; Silva and Duarte, 2015).

It seems that the Late Pliensbachian represents a time interval of major cooling, probably of global extent. This fact has conditioned that many authors point to this period as one of the main candidates for the development of polar ice caps in the Mesozoic (Price, 1999; Guex et al., 2001; Dera et al., 2011; Suan et al., 2011; Gómez and Goy, 2011; Fraguas et al., 2012). This idea is based on the presence, in the Upper Pliensbachian deposits of different parts of the World, of: 1) glendonites; 2) exotic pebble to boulder-size clasts; 3) the presence in some localities of a hiatus in the Late Pliensbachian−earliest Toarcian; 4) the results obtained in the General Circulation Models, and 5) the calculated Late Pliensbachian palaeotemperatures and the assumed pole-to-equator temperature gradient.

4.3.4. The Early Toarcian Superwarming interval

Seawater temperature started to increase at the earliest Toarcian. From an average temperature of 12.7ºC during the Late Pliensbachian Cooling interval, average temperature rose to 15ºC in the upper part of the earliest Toarcian Tenuicostatum Chronozone (Semicelatum Subchronozone), which represents a progressive increase on seawater temperature in the order of 2–3ºC. Atmospheric CO_2 concentration during the Early Toarcian seems to be doubled from ~1000 ppm to ~2000 ppm (i.e. Berner, 2006; Retallack, 2009; Steinthorsdottir and Vajda, 2015), causing this important and rapid warming. Comparison of the evolution of palaeotemperature with the evolution of the number of taxa reveals that progressive warming coincides first with a progressive loss in the taxa of several groups (Gómez and Arias, 2010; Gómez and Goy, 2011; García Joral et al., 2011; Fraguas et al., 2012; Baeza-Carratalá et al., 2015) marking the prominent Early Toarcian extinction interval. Seawater palaeotemperature rapidly increased around the Tenuicostatum−Serpentinum zonal boundary, where average values of about 21ºC, with peak temperatures of 24ºC were reached (Fig. 7). This important warming, which represents a ΔT of about 8ºC respect to the average temperatures of the Late Pliensbachian Cooling interval, coincides with the turnover of numerous groups (Gómez and Goy, 2011) the total disappearance of the brachiopods (García Joral et al., 2011; Baeza-Carratalá et al., 2015), the extinction of numerous species of ostracods (Gómez and Arias, 2010), and a crisis of the nannoplankton

(Fraguas, 2010; Fraguas et al., 2012; Clémence et al., 2015). Temperatures remain high and relatively constant through the Serpentinum and Bifrons chronozones, and the platforms were repopulated by opportunistic immigrant species that thrived in the warmer Mediterranean waters (Gómez and Goy, 2011).

5. Conclusions

Several relevant climatic oscillations across the Late Sinemurian, the Pliensbachian and the Early Toarcian have been documented in the Asturian Basin. Correlation of these climatic changes with other European records points out that some of them could be of global extent. In the Late Sinemurian, a warm interval showing average temperature of 18.5ºC was recorded. The end of this warming interval coincides with the onset of a $\delta^{13}C$ negative excursion that develops through the latest Sinemurian and part of the Early Pliensbachian.

The Late Sinemurian Warming interval is followed by an interval of "normal" temperature averaging 16ºC, which develops through most of the Early Pliensbachian Jamesoni Chronozone and the base of the Ibex Chronozone.

The latest part of the Early Pliensbachian is dominated by an increase in temperature, marking another warming interval which extends to the base of the Late Pliensbachian, where average temperature of 18.2 ºC was calculated. Within this warming interval, a $\delta^{13}C$ positive peak occurs at the transition between the Early Pliensbachian Ibex and Davoei chronozones.

One of the most important climatic changes was recorded through the Late Pliensbachian. Average palaeotemperature of 12.7ºC for this interval in the Rodiles section delineated an about 4 Myrs major Late Pliensbachian Cooling event that was recorded in many parts of the World. At least in Europe, the onset and the end of this cooling interval is synchronous at the scale of ammonites subchronozone. The cooling interval coincides with a $\delta^{13}C$ slightly positive excursion, interrupted by a small negative $\delta^{13}C$ peak in the latest Pliensbachian Hawskerense Chronozone. This prominent cooling event has been pointed as one of the main candidates for the development of polar ice caps in the Jurassic.

Seawater temperature started to increase at the earliest Toarcian, rising to 15ºC in the latest Tenuicostatum Chronozone (Semicelatum Subchronozone), and seawater palaeotemperature considerably increased around the Tenuicostatum−Serpentinum zonal boundary, reaching average values in the order of 21ºC, with peak intervals of 24ºC, which coincides with the Early Toarcian major extinction event, pointing warming as the main cause of the faunal turnover.

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FIGURE CAPTIONS

Fig. 1. Location maps of the Rodiles section. (a): Sketched geological map of Iberia showing the position of the Asturian Basin. (b): Outcrops of the Jurassic deposits in the Asturian and the western part of the Basque−Cantabrian basins, and the position of the Rodiles section. (c): Geological map of the Asturian Basin showing the distribution of the different geological units and the location of the Rodiles section.

Fig. 2. Thick sections photomicrographs of some of the belemnites sampled for stable isotope analysis from the Upper Sinemurian and Pliensbachian of the Rodiles section. The unaltered by diagenesis non luminescent sampling areas (SA), where the samples have been collected, are indicated. A and B Sample ER 351, Late Sinemurian Raricostatum Chronozone, Aplanatum Subchronozone. A: optical transmitted light microscope, showing the carbonate deposit filling the alveolous (Cf), the outer rostrum cavum wall (Cw) and fractures (Fr). B: cathodoluminescence microscope photomicrograph, showing luminescence in the carbonate deposit filling the alveolous (Cf), in the outer rostrum cavum wall (Cw) and in the fractures (Fr). SA represents the unaltered sampling area. C and D: Sample ER 337, Early Pliensbachian Jamesoni Chronozone, Taylori-Polymorphus Subchronozones. C: optical transmitted light microscope, showing fractures (Fr). D: cathodoluminescence microscope photomicrograph, showing luminescence in stylolites (St). SA is the unaltered sampling area. E and F: Sample ER 589a Early Pliensbachian Margaritatus Chronozone, Subnodosus Subchronozone. E: cathodoluminescence microscope, showing luminescence in the apical line (Ap), fractures (Fr) and stylolites (St). This area of the section was not suitable for sampling. F: another field of the same sample as H showing scarce fractures (Fr) and the unaltered not luminescent sampled area (SA). G and H: Sample ER 549a, Late Pliensbachian Margaritatus Chronozone, Stokesi Subchronozone. G: cathodoluminescence microscope showing luminescent growth rings (Gr) and stylolites (St). Area not suitable for sampling. H: cathodoluminescence microscope photomicrograph, of the same sample as G, showing luminescent growth rings (Gr) and fractures (Fr), with unaltered sampling area (SA). I: Sample ER 555 Late Pliensbachian Margaritatus Chronozone, Stokesi Subchronozone. Cathodoluminescence microscope photomicrograph showing luminescent growth rings (Gr) and the unaltered sampling area (SA). J and K: Sample ER 623 Late Pliensbachian Spinatum Chronozone, Apyrenum Subchronozone. J: cathodoluminescence microscope photomicrograph showing luminescent stylolites (St). K: Another field of the same sample as J showing luminescence in the apical line (Ap) and fractures (Fr) as well as the non luminescent unaltered sampling area (SA). L: Sample ER 597, Late Pliensbachian Margaritatus Chronozone, Gibbosus Subchronozone. Cathodoluminescence microscope photomicrograph showing luminescent carbonate deposit filling the alveolous (Cf), the outer and inner rostrum cavum wall (Cw), the fractures (Fr) and the non luminescent sampling area (SA). Scale in bar for all the photomicrographs: 1mm.

Fig. 3. Cross-plot of the $\delta^{18}O_{bel}$ against the $\delta^{13}C_{bel}$ values obtained in the Rodiles section showing a cluster type of distribution. All the assayed values are within the rank of normal marine values, and the correlation coefficient between both stable isotope values is negative, supporting the lack of diagenetic overprints in the sampled belemnite calcite. $\delta^{18}O_{bel}$ and $\delta^{13}C_{bel}$ in PDB.

Fig 4. Sketch of the stratigraphical succession of the uppermost Triassic and the Jurassic deposits of the Asturian Basin. The studied interval corresponds to the lower part of the Santa Mera Member of the Rodiles Formation. Pli.=Pliensbachian, Toar.= Toarcian. Aal.= Aalenian. Baj.=Bajocian.

Fig. 5. Stratigraphical succession of the Upper Sinemurian, the Pliensbachian and the Lower Toarcian deposits of the Rodiles section, showing the lithological succession, the ammonite taxa distribution, as well as the profiles of the $\delta^{18}O_{bel}$ and $\delta^{13}C_{bel}$ values obtained from belemnite calcite. $\delta^{18}O_{bel}$ and $\delta^{13}C_{bel}$ in PDB. Chronozones abbreviations: TEN: Tenuicostatum. Subchronozones abbreviations: RA: Raricostatum. MC: Macdonnelli. AP: Aplanatum. BR: Brevispina. JA: Jamesoni. MA: Masseanum. LU: Luridum. MU: Maculatum. CA: Capricornus. FI: Figulinum. ST: Stokesi. HA: Hawskerense. PA: Paltum. SE: Semicelatum. EL: Elegantulum. FA: Falciferum.

Fig. 6. Correlation chart of the belemnite calcite-based $\delta^{13}C$ sketched curves across Western Europe. The earliest isotopic event is the Late Sinemurian $\delta^{13}C$ positive excursion, followed by the Early Pliensbachian negative excursion and the Ibex–Davoei positive peak. The Late Pliensbachian $\delta^{13}C$ positive excursion is bounded by a $\delta^{13}C$ negative peak, located around the Pliensbachian–Toarcian boundary. A significant $\delta^{13}C$ positive excursion is recorded in the Early Toarcian. $\delta^{13}C_{bel}$ values in PDB. . Chronozones abbreviations: TEN: Tenuicostatum. SER: Serpentinum.

Fig. 7. Curve of seawater palaeotemperatrures of the Late Sinemurian, Pliensbachian and Early Toarcian, obtained from belemnite calcite in the Rodiles section of Northern Spain. Two warming intervals corresponding to the Late Sinemurian and the Early Pliensbachian are followed by an important cooling interval, developed at the Late Pliensbachian, as well as a (super)warming event recorded in the Early Toarcian. Chronozones abbreviations: RAR: Raricostatum. D: Davoei. TENUICOSTA.: Tenuicostatum. Subchronozones abbreviations: DS: Densinodulum. RA: Raricostatum. MC: Macdonelli. AP: Aplanatum. BR: Bevispina. JA: Jamesoni. VA: Valdani. LU: Luridum. CA: Capricornus. FI: Figulinum. SU: Subnodosus. PA: Paltum. SE: Semicelatum. FA: Falciferum.

Fig. 8. Correlation chart of the belemnite calcite-based $\delta^{18}O$ sketched curves obtained in different areas of Western Europe. Several isotopic events along the latest Sinemurian, Pliensbachian and Early Toarcian can be recognized. The earliest event is a $\delta^{18}O$ negative excursion corresponding to the Late Sinemurian Warming. After an interval of “normal” $\delta^{18}O$ values developed in most of the Jamesoni Chronozone and the earliest part of the Ibex Chronozone, another $\delta^{18}O$ negative excursion was developed in the Ibex, Davoei and earliest Margaritatus chronozones, representing the Early Pliensbachian Warming interval. A main $\delta^{18}O$ positive excursion is recorded at the Late Pliensbachian and the earliest Toarcian in all the correlated localities, representing the important Late Pliensbachian Cooling interval. Another prominent $\delta^{18}O$ negative shift is recorded in the Early Toarcian. Values are progressively more negative in the Tenuicostatum Chronozone and suddenly decrease around the Tenuicostatum–Serpentinum zonal boundary, delineating the Early Toarcian $\delta^{18}O$ negative excursion which represents the Early Toarcian (super)Warming interval. $\delta^{18}O_{bel}$ values in PDB.

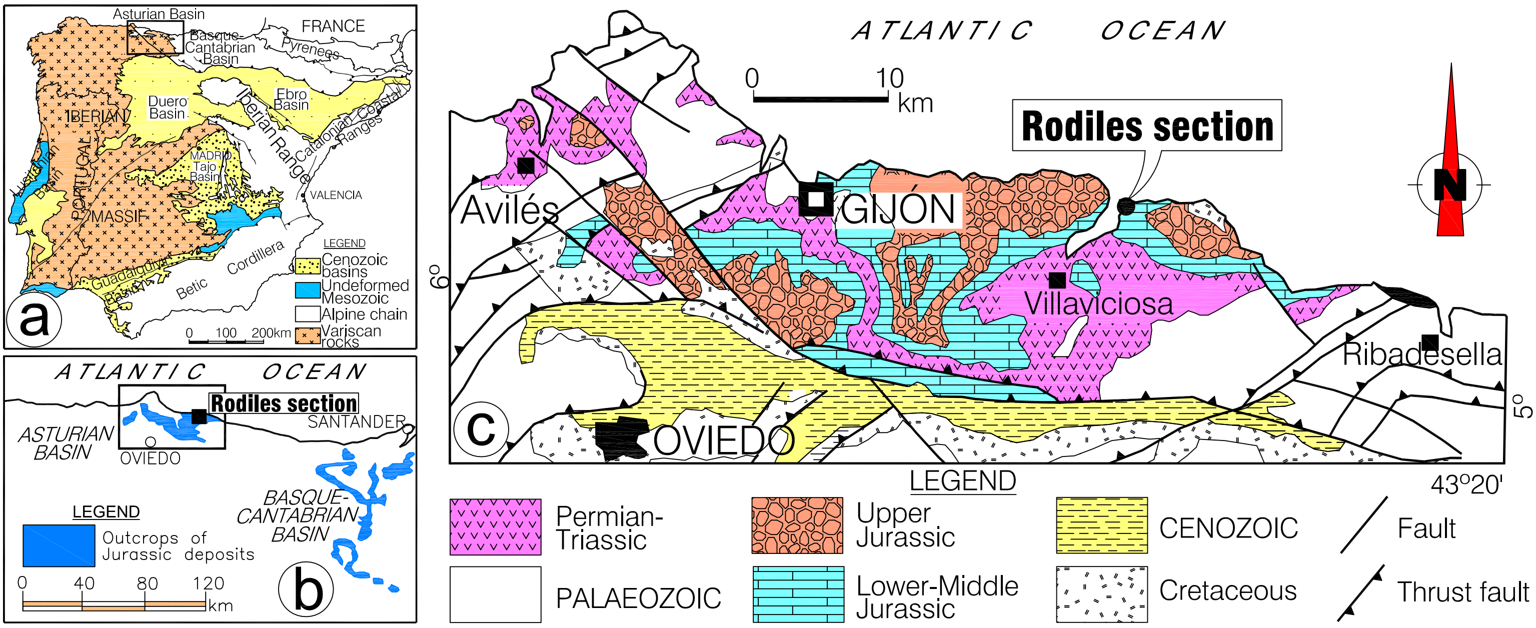
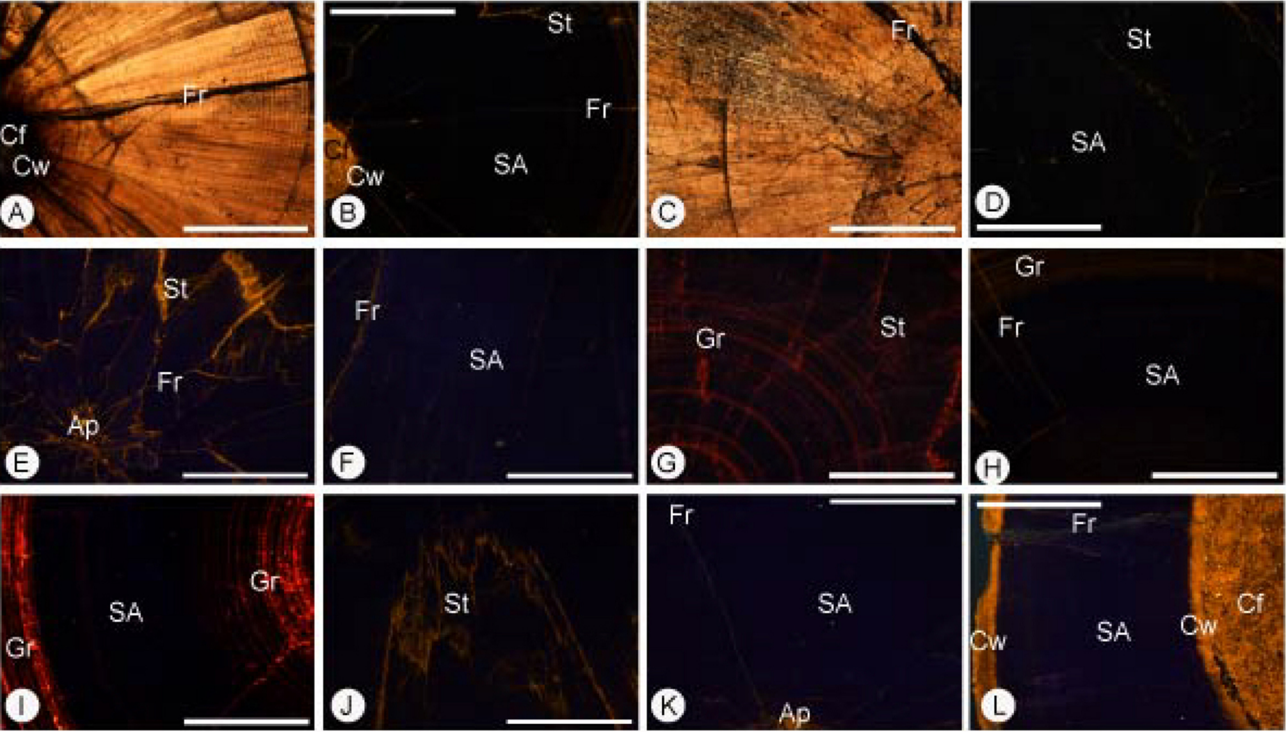


Fig. 1. Gómez, Comas-Rengifo and Goy



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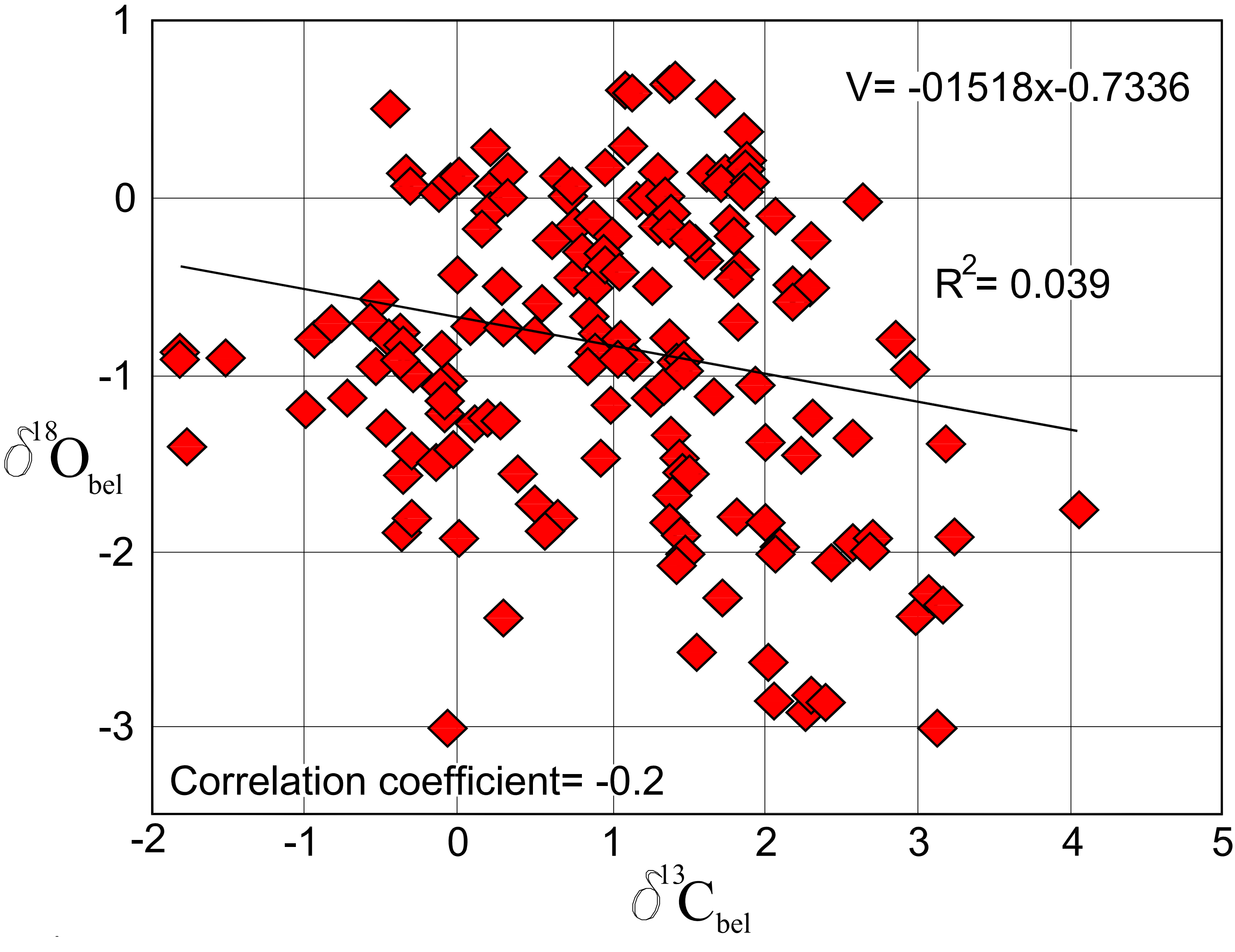

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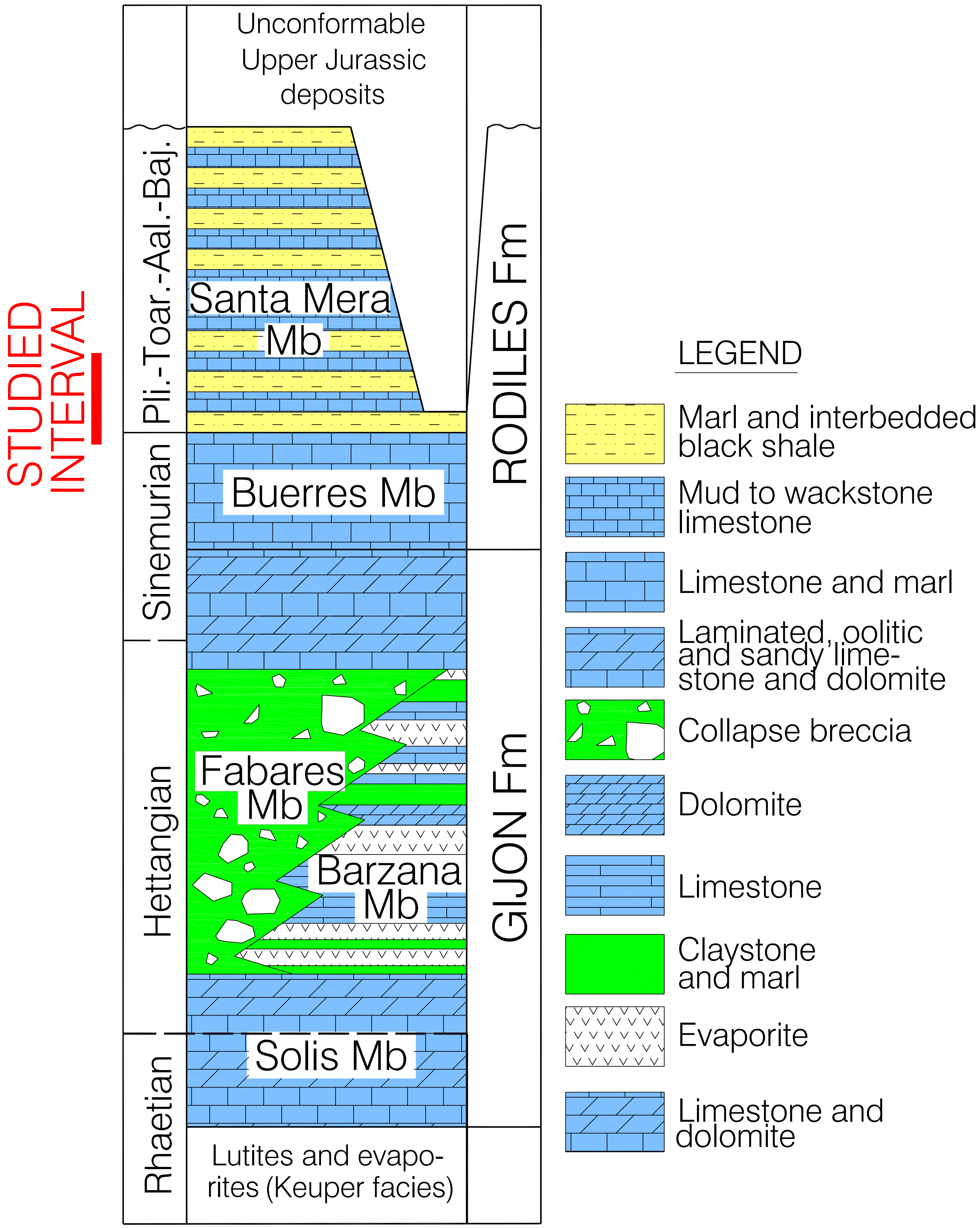
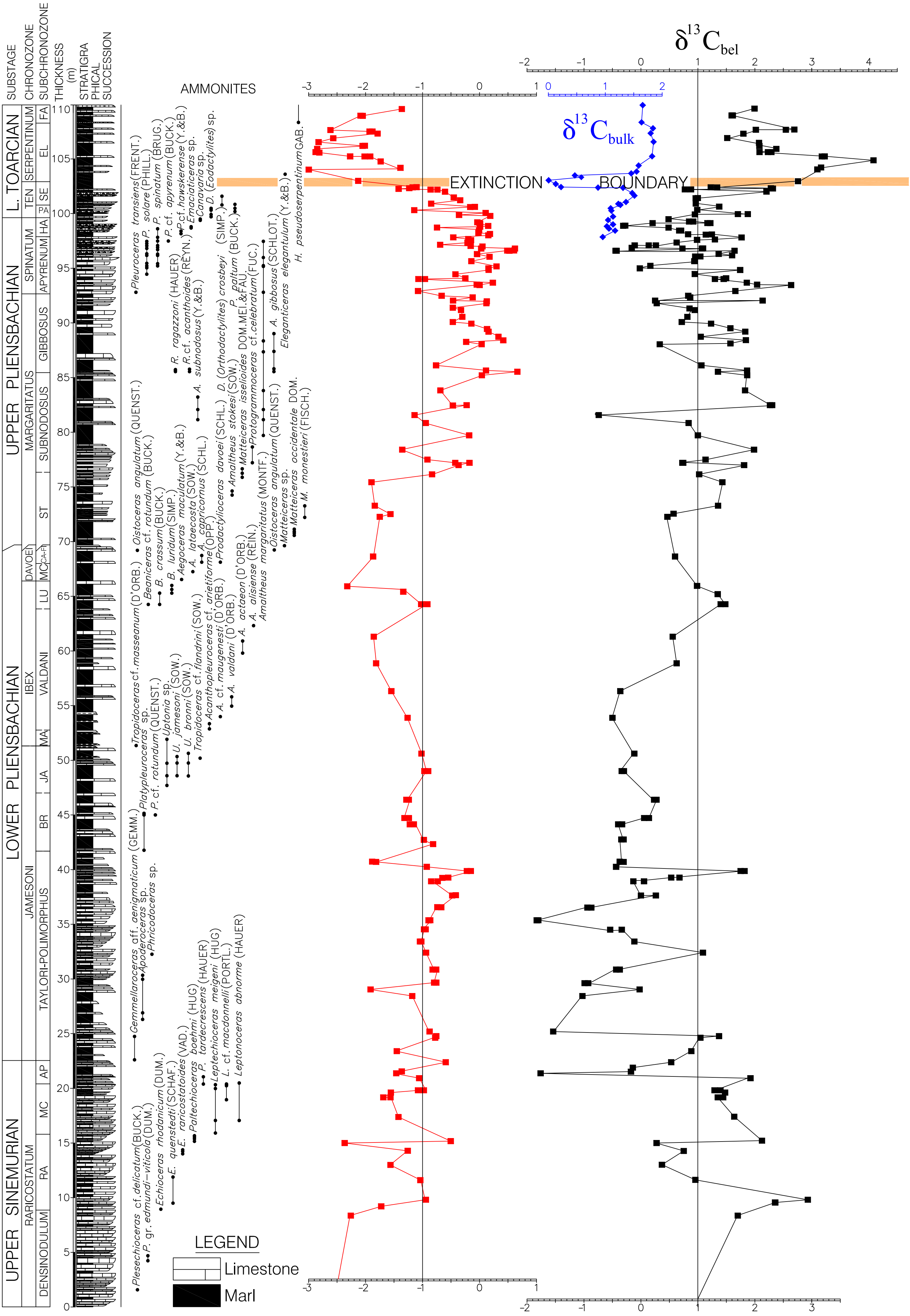


Fig. 4. Gómez, Comas-Rengifo and Goy



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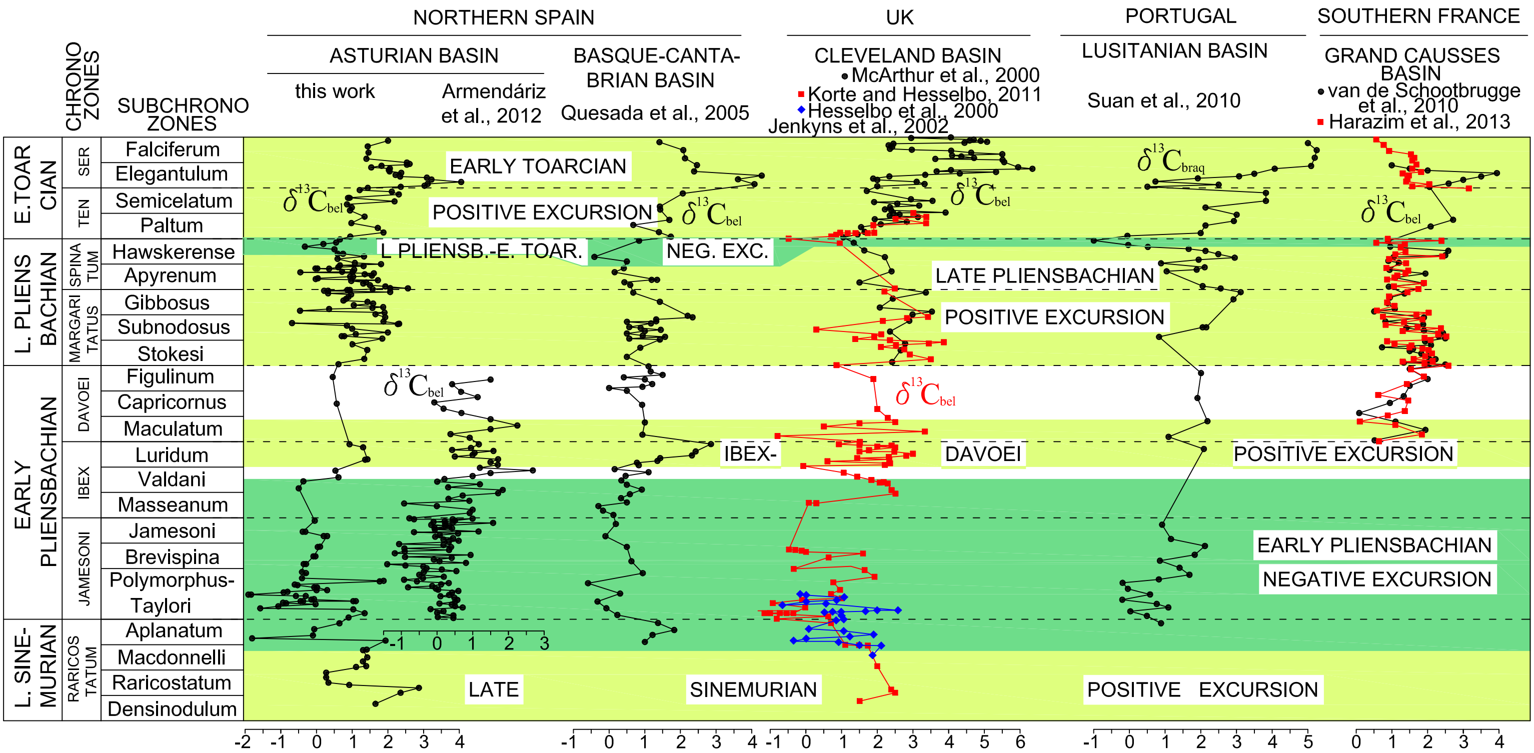

Fig. 6. Gómez, Comas-Rengifo and Goy

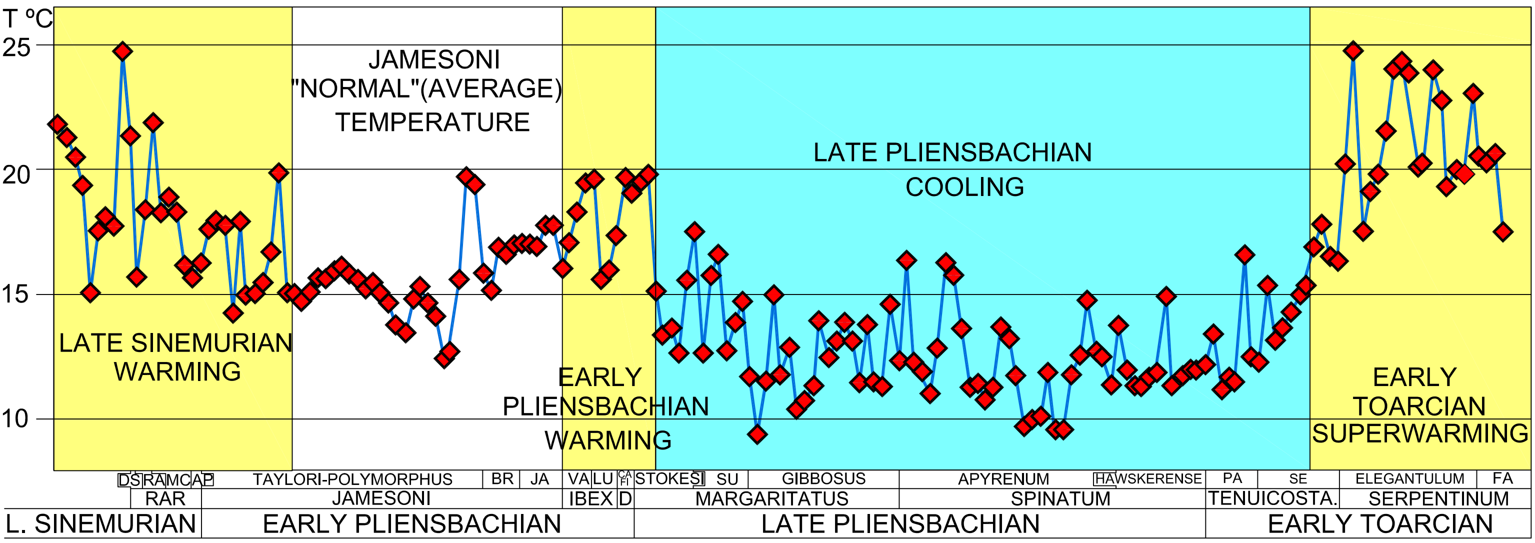

Fig. 7. Gómez, Comas-Rengifo and Goy

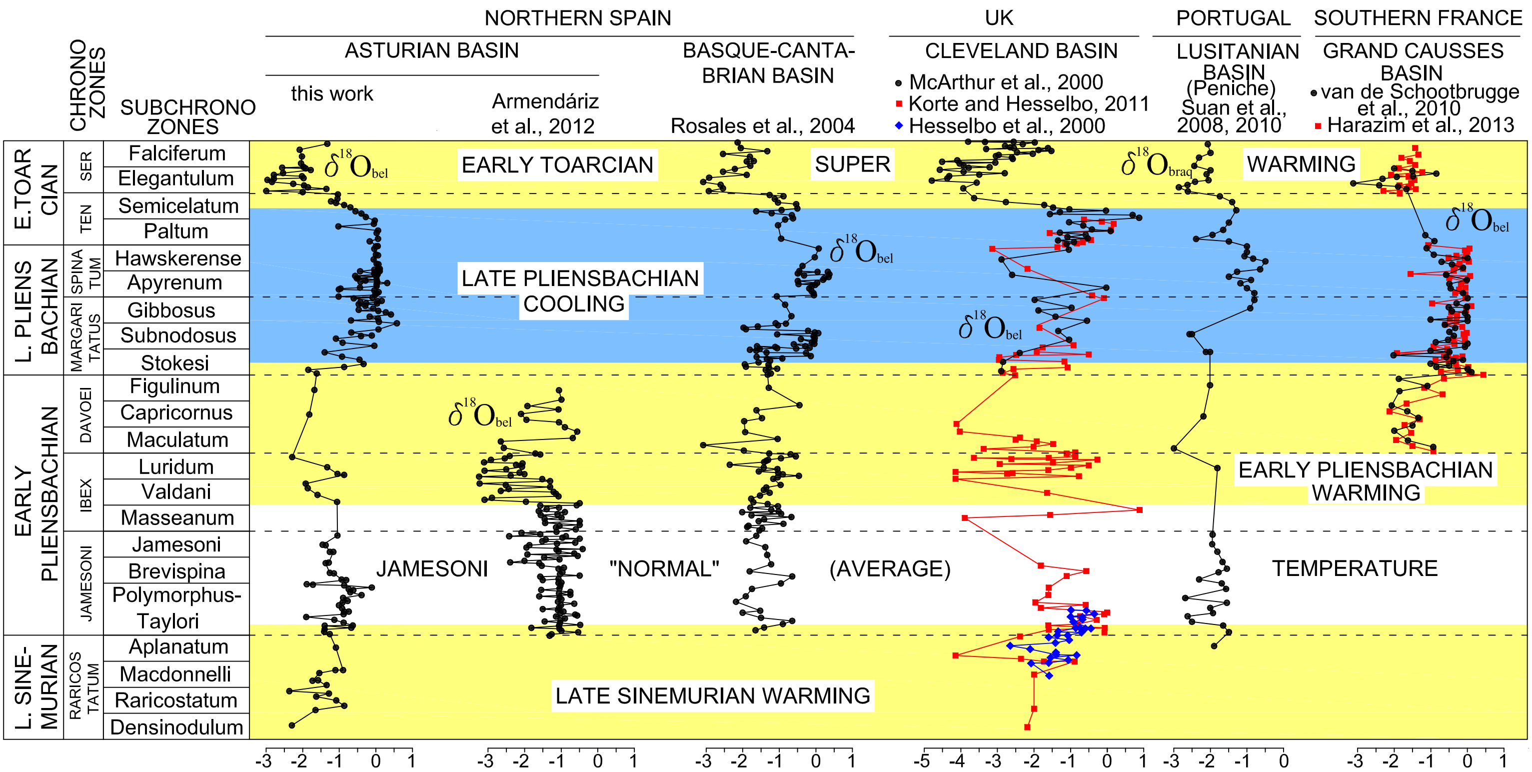

Fig. 8. Gómez, Comas-Rengifo and Goy