

Dear Editor and Referees,

Firstly, we would like to thank you the time devoted in reviewing this manuscript and your comments, which we found appropriate and relevant.

As a general comment, syntax, spelling and grammar were checked by an English native professional translator, although these changes are not marked in the marked-up manuscript version to avoid hampering the identification of the changes related to the Referees' comments.

The main changes undergone by the text in relation to each of the Referees' comments are explained below and marked in text. Please note that brief specific comments not mentioned here have been accepted and changes have been made accordingly to the Referees' suggestions.

Referee #1

Material and Methods chapter, section 2.3 (lines 98-120): I miss a justification for the splitting of E. huxleyi and G. oceanica into two size classes. Did the author implemented this splitting because of differences in size classes between fossil and modern taxa (ie. no large E. huxleyi and/or G. oceanica in the modern dataset)? Other reason(s)?

-The size criteria to split *E. huxleyi* responds to the well-known existence of two sized morphotypes (< 4 µm and > 4 µm) with different ecological and biostratigraphic significance already demonstrated in the study area (Colmenero-Hidalgo et al., 2002; Colmenero-Hidalgo et al., 2004). *G. oceanica* size distinction follows a classical size criteria (medium: > 3 < 5 µm; large: > 5 µm). Despite there is no evidence to our knowledge that this separation has a demonstrated ecological significance, we usually carry out it due to a potential use in Ecology. A short explanation for this has been added to the text. Nevertheless, it has to be noted that abundance of *G. oceanica* > 5 µm is very low, 0.24 % on average and 2 % as maximum.

Throughout the manuscript: the term “range of SSS values” is often used, but might refer to either the amplitude of SSS changes within a particular event, or to the complete range of measured (modern data set) or reconstructed (downcore CEUTA10PC) SSSs. The authors should better define what they mean by “range off SSS values”. The same question applies to the frequent and sometimes incorrect use by the authors of “SSS gradients”.

-We concur with the Referee that the terms “range of SSS values” and “SSS gradients” might be confusing. We have clarified those terms where necessary.

Section 4.1, lines 358-360: The authors recall a finding by Knappertsbuch (1993) of a positive relationship between G. oceanica abundance (in modern samples) and Atlantic-derived waters (and thus SSSs) in the western Mediterranean Sea. The authors should comment this finding later-on in section 4.3 and 4.4 based on the downcore distribution of G. oceanica within core CEUTAP10PC (Ausin et al; Paleo3, 2015). A visual comparison of the downcore SSS patterns and G. oceanica abundance changes within this core indeed shows a high degree of similarity between these two datasets. Such a comparison would therefore work in favor of both reliable SSS reconstructions, as well as the main influence of Atlantic water inflow upon SSS in the Alboran Sea.

-We appreciate the Referee's comment on the finding by Knappertsbusch (1993). However, we find this good visual comparison is a logical consequence of the “use” of this (but not only) relationship between *G. oceanica* and low SSS by the transfer function to reconstruct SSS, causing a circular argument.

Section 4.3, lines 383-391. The reference to Bollmann and Herrle (2007) is not accurate. Bollmann and Herrle' modern micropaleontological dataset does not include any coccolith of E. huxleyi larger than 4 microns. Only their LGM dataset contain coccoliths close to but < 4 microns for higher latitude sites (north of 35_N). Rather than discussing Bollmann and Herrle (2007), the authors should relate the non-analog situation (linked with large coccoliths of E.

huxleyi) to input of cold polar-subpolar derived Atlantic waters, based on the modern distribution of extant population of *E. huxleyi* type B (coccoliths larger than 4µm) in subpolar environment such as in the southern Ocean (e.g. Poulton et al, Mar. Ecol. Progr. Ser., 2011).

-We agree with the Referee reference to Bollmann and Herrle (2007) is not entirely correct. Certainly their modern dataset does not include any specimen of *E. huxleyi* > 4 µm, so this has been corrected in the text. However, we still believe their findings are worth mentioning and discussing briefly. Their LGM dataset does include *E. huxleyi* > 4 µm (see Fig. 6 and section 4.2 in that study), where specimens of *E. huxleyi* of 4-6 µm length in samples located in the Canary Island region led to an overestimation of the salinity values compared to values published by other authors (Table 2 in that study). Their results (and also interpretation) suggest that the large morphotype in ancient sediments represents an extinct morphotype. To be the case, *E. huxleyi* > 4 µm would lack an analog in modern sediments, as shown by the results of the present paper and therefore supporting our interpretation.

Section 4.4.1 and 4.4.2: the authors often compare paleo SSS changes with pollen based changes in aridity/precipitation, hereby suggesting that precipitation changes might explained to a high extent the surface salinity changes (ie. line 414: "This change is not supported by the findings of Fletcher....."). This connection between paleo SSSs and paleo-precipitation is definitively ruled out by the authors in section 4.4.3 (Younger Dryas and Holocene) based on modern observations (incoming AW drive SSS in the Alboran Sea) and a comparison off SSSs reconstructions with Uk37- derived paleo SSTs. The comparison of reconstructed SSSs with paleo-precipitation records over the nearby continent should therefore only recall previous works such as Fletcher et al (Clim. Past 6, 2010) who illustrated and thoroughly discussed the phasing of high latitude cooling (cool inflowing Atlantic water through Gibraltar strait) with dryness of the western Mediterranean climate. A thorough investigation of the ocean-atmosphere coupling in the western Mediterranean region throughout the last deglaciation and Holocene is out of scope of B. Ausin's manuscript. Section 4.4: Keeping-on the discussion on comparing paleo-precipitation records with paleo SSS reconstructions (which, according to my previous comment should be drastically reduced) : Although very similar to the pollen-derived record of Combourieu-Nebout et al. (2009), the dataset by Fletcher et al. (2010) was produced from the same sediment core as the one from which the illustrated SST record is derived, core MD952043 (Cacho et al., 2001). Also, beside TMF (%) variability, Fletcher et al. (2010) provided additional indexes of interest such as quantitative paleo-precipitation reconstructions and/or pollen-based precipitation index (Ip). The authors might therefore consider illustrating Fletcher et al. (2010) record (rather than the ODP site 976 record), although mentioning that both MD952043 and ODP 976 pollen-records are highly coherent.

-The discussion about atmospheric conditions in the study area has been ruled out as suggested by Referee#2. Accordingly, any pollen record has been removed from Fig. 6.

Section 4.4.3: a lot of emphasis is put on brief periods of low SSSs. No discussion is made on the exceptionally high values of SSS (higher than during late glacial and YD) during the short time interval of ca. 10-10.5 cal. ka BP. This event should definitively be discussed by the authors.

-A brief discussion for the SSS increase that occurred from 10.5 to 10 ka cal. BP has been added to the text.

Referee #2

As a general comment, we tried to emphasize our results with those by Oviedo et al. (2015) and to interpret them in more relation to the oceanographic context.

Page 3763, Line 21: are samples core tops, like stated here and throughout the manuscript, or surface sediments (caption Fig. 1)?

-*Stricto sensu*, these are "core-top samples", later referred to as "surface sediment samples" to avoid repetition of a technical term. In any case, we have changed caption in Fig. 1.

Section 2.3 Micropaleontological analyses: *Gephyrocapsa caribbeanica* is not included in living coccolithophore species (Young et al., 2003; Jordan et al., 2004). It is an important component of Atlantic Ocean surface sediment assemblages and further analysis/explanation should be nice. For instance electron microscope analysis may provide further taxonomic details and may rule out that they are not specimens of *G. oceanica* or *G. muelleriae*. *Calciosolenia murrayi* is in my opinion not discernible by *Calciosolenia brasiliensis* and *Calciosolenia corsellii*. Much better *Calciosolenia* spp. More information is needed for the eliminated 29 samples (page 3765, lines 19-26). Why did you choose the 10% reworked threshold. As later discussed (page 3770, lines 18-21) also coccoliths with a compatible age (still living and long-range taxa) may be displaced or reworked. Why did you not simply rule out reworked specimens from counts and include all samples?

-The species we found in our samples is a small specimen (< 3 µm) that shares many morphological features under the optic microscope with *G. caribbeanica* Boudreaux & Hay, 1967. We have already observed this small *G. caribbeanica* in Holocene sediments from many locations and previous investigations conducted by our group at Salamanca University using SEM pointed it could be an overcalcified small *Gephyrocapsa*. Nevertheless, we prefer not to lump together these latter species due to a possible ecological significance. Certainly the name “*G. caribbeanica*” for this small specimen is not appropriate and it has been changed by *Gephyrocapsa* cf. *caribbeanica* in the text.

-Most of micropaleontological studies, even those aimed at stratigraphic purposes, have to deal with the possible presence of reworked specimens within the “long-range” taxa and are based on the assumption that such presence, which cannot be quantified, is not significant. As reworked, we could only identify and quantify the *obviously* reworked specimens (in our case, those taxa pertaining to older stratigraphic levels with no representative in modern oceans and then lacking a relationship with modern surface water conditions). We counted 500 coccoliths per sample. Below 450 coccoliths, the statistical representativeness of the sample for studying the main species starts to decline (Fatela and Taborda, 2002), and this further affects minority species. This means that if we removed reworked specimens in those samples where their relative abundance is > 10 % (sometimes up to 80 %), the rest of the sample would not be statistically representative of the “in situ assemblage”. Therefore, samples with obviously reworked specimens above 10 %, even considering that these specimens do not necessarily imply that the rest of the association is also allochthonous, were ruled out as a precautionary measure to not compromise the representativeness of the sample. We later explored the spatial distribution of reworked specimens in the remaining samples and observed: i) that samples far from river influence contained very low percentages of reworked specimens (usually < 2 %), and ii) that those samples with the highest % of reworked (but still below 10%) were located close to river mouths. From this we interpreted that higher % of reworked (but below 10%) were linked to terrigenous inputs associated to river discharges and therefore it could be assumed that the rest of the assemblage is autochthonous. For this reason, samples with a 10% of reworked specimens were retained in the modern training set, although of course, the reworked are excluded from the analyses.

Page 3767, Lines 18-26: I did not understand. Please carefully explain this passage. Photic zone down to 300 metres depth?

-This analysis was developed by Telford (2013) for planktonic foraminifera. Some species of these organisms present a clear zonation along the upper part of the water column and bloom in a particular season. Therefore, different fossil species preserved in the same sediment layer, might have lived under the influence of different conditions (different SST, SSS, nutrients, etc). However, calibrations in transfer functions are usually performed in a mechanical way using annual-averaged data of the environmental parameters at 10 m depth, therefore assuming that properties at that depth (10 m) and “season” (annual) were the most influential for the assemblage. This should be tested before, since it is possible that, let's say, summer-SST at 70 m influenced the assemblage more than annual-SST at 10 m. For this, several reconstructions are performed, each of them using for calibration data of the water column from a different depth and season. The reconstruction that more variance explains in the fossil assemblage will tell us

the depth and season at which the transfer function has to be calibrated. The implementation of this analysis makes more sense when using foraminifera since the majority of coccolithophores inhabit in the upper photic zone, although still some species have a clear preference for deeper parts (e.g. *F. profunda*) or bloom in a particular season. Nevertheless, one of the aims we pursued with this work was to apply a wide array of new statistical approaches on our data and to show them in a logical series of steps that one should consider when developing a transfer function. This was intended to target the wide public that works on transfer functions, not only based on coccolithophores but on any other nanno/microfossil. We have tried to explain better this analysis on the text. As pointed out by the Referee, the photic zone does not reach 300 m (we took the same depths considered in Telford et al., 2013), and coccolithophores do not inhabit there anyway, so we excluded this depth too.

Page 3768-3769, Lines 23-25 and 1-13: the description is quite poor and in any case the spanish-african coast comparison is possible just in a very narrow area. In my opinion, Figure 2 is quite self-explanatory and should be complemented by meso-scale oceanographic features (partially plotted in Fig. 1). Then the spatial distribution description of coccoliths on the sea floor will likely follow local hydrology.

-Meso-scale features have been plotted for the reader in Fig. 2 following the Referee's suggestion and *G. cf. caribbeanica* has been eliminated from this figure in line with previous comments. Despite this section has been rewritten placing more emphasis on the biogeographic pattern, description has been kept brief, since we agree this figure is self-explanatory and the likely relationship with the oceanographic context is discussed further in section 4.1). Regarding this section, it has also been rewritten following Referee's suggestions.

Page 3770, Lines 18-21: which long-range taxa? Percentage and abundance? Can you rule out them and identify the in situ assemblage (see comment Section 2.3).

-The yellowish color of this sample had already been noticed and written down when the counts were performed, but it was included in the initial modern training set because it only contains extant species. The fact that both methods (MAT and WA-PLS) pointed this sample as outlier along with its anomalous color made us suspect it had been subjected to diagenetic processes. Consequently, we preferred not to include it within the modern training set. It must be added that any anomaly/observation was written down when the counts were performed, and a revision of these notes let us discard that none other sample presented this color or similar characteristics.

Page 3771, Lines 5-6: it is not clear from Fig. 5, how much is the SSS error range? How was it calculated? It is important to assess the error given that current geochemical methodologies (temperature corrected $d18O$) are affected by a huge full propagation error that makes SSS reconstructions unreliable (Rohling, 2007).

-Sample-specific reconstruction errors are automatically derived for the fossil samples by the C2 software as follows: At each bootstrap cycle we obtain a slightly different value for our estimate. The standard error of these bootstrap estimates for each modern and fossil sample is calculated by C2, being this the prediction error due to errors in estimating species coefficients (i.e. the optima in WA). It is worth to note that we have added to this error a second error component that we had not considered before, and have plotted errors accordingly in Fig. 5a. This second component is a constant that represents errors in the calibration function, calculated by C2 as the root mean square across all training set samples of the difference between the observed environmental value and the mean bootstrap estimate. Due to an extensive explanation is required to clarify how these errors are calculated, we have indicated the reader where to find detailed information about it in section 2.4.3. The amplitude of the error has been added to the discussion whenever possible.

Page 3772, Lines 3-13: please re-write. Ecological preferences here speculated need to be formulated taking into account 1) previous reports and 2) a detailed hydrological setting (oceanography once again). So, in my opinion, it is wrong to suppose fresher water preference

(low sea surface salinity) for *Florisphaera profunda*, a deep photic zone taxon (> 50 m depth). Low salinity in surface water is likely linked to anything else that influences and controls species distribution and abundance. Also very striking is the presumed preference for rather saline waters of *Helicosphaera*. High abundance of *Helicosphaera carteri*, arguably the main species you found, was often associated to fresher waters in many studies (i.e. Colmenero-Hidalgo et al., 2004; Narciso et al., 2010; Grelaud et al., 2012). Even in Ausin et al. (2015, PALAEO3) *Helicosphaera* spp. peaks are interpreted 'as being linked to the low-salinity inflowing Atlantic water' (Section 5.1).

-This section has been re-written following Referee's suggestions.

Page 3772, Lines 14-29 and Page 3773: both discussion and references deal with the influence of salinity on coccolith weight mass, species type (*Emiliana huxleyi*), coccolith calcification, alkenone production and so on. All them are profoundly different from the influence on coccolithophore assemblages.

-We agree with the Referee and are aware of the differences between the "species" and "assemblage" approaches. We refer to those works for two main reasons: i) we believe that the evidences that exist on the relationship between coccolithophores and salinity (even at a species level) support the salinity influence over the total assemblage, and ii) there is a lack of works dealing with the influence of environmental variables over the total coccolithophore assemblage using comparable methods. Nevertheless, it has to be mentioned that the work by Oviedo et al. (2015) explores this influence at a species level, but also at a hetero- and holo-coccolithophore assemblage levels, and their results are discussed in this regard. In any case, we have tried to clarify the difference between approaches in the text.

Page 3776, Lines 2-5: these estimates are however affected by a huge propagation error (see comment above).

-The propagation error that possibly affects other records and its implications for the comparison with our results have been included in the discussion.

Page 3777, Lines 1-20: Alpine meltwater may have also had a role in ORL1 lower salinity (Rohling et al., 2015).

-We thank Referee's valuable comment on Rohling et al. (2015), which has been included in the discussion. We have ruled out mentioning the work by Rogerson et al. (2008), which is already discussed and (the equations used therein) corrected by Rohling et al. (2015).

Page 3777, Lines 17-20: how the Intra-Allerød Cold Period (IACP) was identified? Apparently there is no basis for the identification of the IACP by oxygen isotopes in Ausin et al. (2015, PALAEO3) and in fact it is not mentioned there. If so, you cannot identify it by the SSS increase. There is also an inextricable confusion about (among others) the IACP in Figure 6 (see comment below).

-Indeed the IACP was not identified from our records, and consequently, has been ruled out.

Page 3777, Lines 21-26: the only plot with Younger Dryas (YD) is Figure 6 and I do not understand it. YD and GS-1 are synonyms, simply from different records (the latter from Greenland ice cores) but in Fig. 6 there is a clear mismatch. The Greenland nomenclature from the column is out of phase with the grey shadow of YD. The mismatch also involves the upper part of the Bølling-Allerød (B-A), the IACP should be in coincidence of GI-1b. There is also an evident problem with the timing of the base of B-A, YD and Holocene that should be respectively at 14.75 (or 14.65) ka, 13 ka and 11.7 (or 11.5) ka. Please check the plot. Without this basic information it is difficult to understand the salinity trend during the YD.

-We thank the Referee for their comment on Fig. 6. Certainly there was a huge mismatch with Greenland chronology, which has been corrected following Rasmussen et al., (2014). According to these authors, the "Oldest Dryas" term has been also excluded since it is poorly defined in literature and it has been changed by Termination 1b, already identified and interpreted in CEUTA10PC08 core by Ausin et al. (2015).

Page 3778, Lines 13-18: *In my opinion the visual inspection of Fig. 6 does not establish a firm correlation between SSS decreases and Alboran cooling (AC) events. In any case why are AC associated with SSS drops? There was not any significant amount of icebergs close to the Iberian Margin like for Heinrich 1 and Heinrich 2 layers (i.e. Bard et al., 2000; de Abreu et al., 2003). Why AC2 does not match with a SST decrease? Authors should better explain their reasoning providing a mechanism that led to SSS decreases. In my opinion the comparison with terrestrial records (pollen and stalagmites) is extremely difficult and should be significantly shortened or ruled out.*

-In order to better explain the SSS drops and its possible relation with AC events in the Alboran Sea, we argue a mechanism based on the Bond events (Bond et al., 1997). We have also included an explanation for the absence of SSS drop at times of AC2. Comparison with terrestrial records has been ruled out.

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**DEVELOPMENT OF COCCOLITHOPHORE-BASED TRANSFER FUNCTIONS IN
THE WESTERN MEDITERRANEAN SEA: A SEA SURFACE SALINITY
RECONSTRUCTION FOR THE LAST 15.5 KYR**

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1 Abstract

2 A new dataset of 88 marine surface sediment samples and related oceanic environmental
3 variables (temperature, salinity, chlorophyll-*a*, oxygen, etc.) was studied to quantify the
4 relationship between assemblages of coccolithophore species and modern environmental
5 conditions in the Western Mediterranean Sea and the Atlantic Ocean, west of the Strait of
6 Gibraltar. Multivariate statistical analyses revealed that coccolithophore species were primarily
7 related to ~~the~~ sea surface salinity (SSS) ~~gradient~~, explaining an independent and significant
8 proportion of variance in the coccolithophore data. A quantitative coccolithophore-based
9 transfer function to estimate SSS was developed using the Modern Analog Technique (MAT)
10 and weighted-averaging partial-least square regression (WA-PLS). The bootstrapped regression
11 coefficient (R^2_{boot}) was 0.85_{MAT} and 0.80_{WA-PLS} , with root-mean square error of prediction
12 (RMSEP) of 0.29_{MAT} and 0.30_{WA-PLS} (psu). The resulting transfer function was applied to fossil
13 coccolithophore assemblages in the highly resolved (~65 yr) sediment core CEUTA10PC08
14 from the Alboran Sea (Western Mediterranean) in order to reconstruct SSS for the last 25 kyr.
15 The reliability of the reconstruction was evaluated by assessing the degree of similarity between
16 fossil and modern coccolithophore assemblage, and comparison of reconstruction with fossil
17 ordination scores. Analogs were poor for the stadials associated with Heinrich Event 2 and 1
18 and part of the Last Glacial Maximum. Good analogs indicate more reliable reconstruction of
19 the SSS for the last 15.5 kyr. During this period, several millennial and centennial SSS changes
20 were observed and associated with sea-level oscillations and variations in the Atlantic Water
21 entering the Alboran ~~Sea, sea-level oscillations, and arid or humid atmospheric conditions in the~~
22 ~~Western Mediterranean.~~

Key words: transfer function; coccolithophores; salinity; Western Mediterranean Sea; Atlantic Ocean; Last Glacial Maximum.

23 1. INTRODUCTION

24 Coccolithophores are one of the major components of marine phytoplankton. They are
25 sensitive to changes in many environmental variables, such as nutrients, temperature and
26 salinity and are widely used in qualitative paleoenvironmental studies (Baumann et al., 2005;
27 Guerreiro et al., 2013; Guerreiro et al., 2014). These studies provide general insight into the
28 response of coccolithophores to environmental variables, but quantitative studies (e.g. transfer
29 functions) allow assessing these relationships in a more rigorous and clear manner. Transfer
30 functions are based on the calibration of the modern relationship between organisms and
31 environmental conditions, and this information is in turn used to reconstruct past environmental
32 variables. Different statistical approaches based on coccolithophores have been proposed in
33 order to generate quantitative paleoreconstructions of different ecological variables. Giraudeau

34 and Rogers (1994) used factor analyses and multiple regressions to estimate chlorophyll-*a* from
35 coccolithophore census counts in surface sediment samples in the Benguela upwelling area.
36 Several authors (Beaufort et al., 2001, 1997; Incarbona et al., 2008) calibrated the relative
37 abundance of the coccolithophore *Floriphaera profunda* in surface sediment samples with
38 respect to primary productivity and reconstructed past variations of this parameter in the Indian
39 and Pacific oceans and in the Central Mediterranean Sea. Saavedra-Pellitero et al. (2011, 2013)
40 used linear regression methods to derive past SST estimates in the southeast Pacific Ocean from
41 coccolithophore census counts and accumulation rates. Bollmann et al. (2009) and Bollmann
42 and Herrle (2007) applied multiple linear regressions to morphometric measurements of the
43 coccolithophore *Emiliana huxleyi* from globally distributed core-top and plankton samples to
44 obtain modern and past sea surface salinity (SSS) estimates.

45 To date, no coccolithophore-based transfer function has been applied in the Western
46 Mediterranean Sea, a semi-enclosed basin situated at mid-latitudes (Fig. 1a). In this region
47 evaporation exceeds precipitation plus runoff, such that water budgets tend to be balanced by
48 the advection of relatively less saline Atlantic Water (AW) through the Strait of Gibraltar
49 (Bèthoux, 1979). The AW flows eastward while mixing with Mediterranean water to form the
50 Modified Atlantic Water (MAW) at the surface (100-200 m) (Millot, 1999). This distinctive
51 feature affects the spatial distribution of some environmental parameters such as SST and SSS,
52 leading to the development of well-defined longitudinal gradients between the Atlantic Ocean
53 and the Western Mediterranean in annual terms. In this confined basin, the estimation of
54 changes in those environmental parameters is essential for determining Atlantic-Mediterranean
55 water mass exchange through the Strait of Gibraltar in the past (Rohling and Bigg, 1998;
56 Schmidt, 1998). This exchange depends on variations in the hydrological cycle, ice-volume
57 effects, and Mediterranean circulation patterns, which have a thermohaline origin
58 (MEDOCGROUP, 1970).

59 The aim of this study is to explore the potential of coccolithophores for the development of
60 quantitative reconstructions in the Western Mediterranean Sea. We study the response of
61 coccolithophore assemblages from surface sediment samples from Atlantic Ocean and
62 Mediterranean Sea to environmental variables. The resulting calibration model (transfer
63 function) for salinity was used to reconstruct SSS changes at high-resolution in the Alboran Sea
64 (Fig. 1a) for the last 25 kyr. The reliability of the reconstruction was assessed by analysis of the
65 similarity between fossil and modern coccolithophore assemblages, and fossil ordination scores.
66 Finally, centennial and millennial SSS changes are described and discussed, and compared with
67 regional records of SST and organic matter preservation ~~and continental aridity~~.

68 2. MATERIALS AND METHODS

69 **2.1. Modern training set**

70 2.1.1. Surface sediment samples

71 Initially, 117 core tops located around a horizontal transect along the Western Mediterranean
72 Sea and near the Gulf of Cadiz in the Atlantic Ocean were selected. They had been retrieved at
73 varying water depths ranging from 70 to 2620 m during several oceanographic surveys and
74 were stored at the University of Vigo and at the Core Repository of the Institute of Marine
75 Sciences- CSIC in Barcelona. The first cm (or the second, if the first was unavailable) of the
76 117 core tops was sampled, assuming that it essentially represents present-day conditions.

77 2.1.2. Environmental variables

78 Data on temperature (Locarnini et al., 2013), salinity (Zweng et al., 2013), chlorophyll-*a*
79 (Boyer et al., 2013), oxygen content and saturation (García et al., 2014a), nitrate, phosphate, and
80 silicate (García et al., 2014b) were obtained from the 2013 World Ocean Atlas (WOA13), and
81 mixed layer depth (Monterey and Levitus, 1997), total alkalinity (T_{ALK}) and total dissolved
82 inorganic carbon (DIC) (Goyet et al., 2000) for the training set sites were taken for a grid of 1°
83 longitude by 1° latitude, using weighted averaged gridding by Ocean Data View (ODV)
84 software (Schlitzer, 2014). Similarly, data on pH and carbonate (CO_3^{2-}) were calculated using
85 the 'derived variable' tool of ODV software. These data have been averaged annually and
86 seasonally (for summer and winter) from 1955 to 2012 and were selected at 10, 20, 30, 50, 75,
87 100, 125, 150, ~~and 200 300~~ meters water depth.

88 **2.2. Fossil data set**

89 The fossil coccolithophore data set used for the reconstruction comprises coccolithophore
90 census counts from core CEUTA10PC08 (36°1'22"N, 4°52'3"W; 914 mbsl), located in the
91 Alboran Sea, previously published by Ausín et al. (2015). Fossil assemblages show a good-to-
92 moderate degree of preservation. Location of this core lies under the modern path of the AW at
93 the surface, near the Strait of Gibraltar (Fig. 1a). Sediment core chronostratigraphy was based
94 on 15 radiocarbon ages and covered the time span from 25 to 4.5 ka calibrated BP at a ~65 yr
95 temporal resolution (Ausín et al., 2015). All dates reported in this study are given in calibrated
96 ages BP.

97 **2.3. Micropaleontological analyses**

98 Both modern (surface sediment) and fossil (downcore) samples were prepared for
99 coccolithophore analyses according to the techniques proposed by Flores and Sierro (1997). A
100 polarized-light microscope at 1000x magnification was employed to identify and count at least
101 500 coccoliths in each sample, belonging to 21 different taxa. Species whose relative abundance
102 was < 1 % in the first count were considered later in 20 visual fields in order to estimate their

103 abundance accurately. The final relative abundance of each species in each sample was then
104 recalculated. *Gephyrocapsa* specimens smaller than 3 μm were lumped together and designated
105 “small *Gephyrocapsa*” (Flores et al., 1997). The “medium *Gephyrocapsa*” group was made up
106 of *Gephyrocapsa* whose size was between 3 and 5 μm . Two sizes of morphotypes of *E. huxleyi*
107 ($< 4 \mu\text{m}$ and $> 4 \mu\text{m}$) were considered owing to their different ecological and biostratigraphic
108 significance in the study area. Similarly, *G. oceanica* was split according to a size criterion of $<$
109 5 and $> 5 \mu\text{m}$ owing to their comparable potential ecological significance. Other taxa identified
110 in this study were *Calcidiscus leptoporus*, *F. profunda*, *Gephyrocapsa cf. caribbeanica*,
111 *Gephyrocapsa muelleriae*, *Helicosphaera* spp., and *Syracosphaera* spp. (as dominant taxa). The
112 rare taxa identified were *Braarudosphaera bigelowii*, *Calciosolenia murrayi* spp., *Coccolithus*
113 *pelagicus* subsp. *braarudii*, *Coccolithus pelagicus* subsp. *pelagicus*, *Oolithotus fragilis*,
114 *Pontosphaera* spp., *Rhabdosphaera clavigera*, *Umbilicosphaera* spp. and *Umbellosphaera* spp.

115 Twenty-nine samples were finally eliminated from the initial modern data set owing to their
116 high content ($> 10 \%$) in obviously reworked nannofossils. These taxa belong to older
117 stratigraphic levels (consistently older than the Pliocene in this study), meaning that they were
118 resuspended and transported from their original location to the sample site, and they lack any
119 relationship with modern environmental conditions. 10 % of reworked specimens was chosen as
120 an acceptable threshold below which the sample could be retained in the modern training set,
121 after ruling out these reworked specimens, without compromising the statistical
122 representativeness of the major species (Fatela and Taborda, 2002). Later examination of the
123 spatial distribution of reworked specimens in the retained samples revealed that those with the
124 highest percentages were close to river mouths, relating reworked specimens to river discharges
125 and suggesting that the rest of the assemblage could be considered autochthonous. Thus, the
126 final training set (supplementary material) comprised 88 surface samples (Fig. 1b): 78 from the
127 Western Mediterranean (58 from the Balearic Sea and 20 from the Alboran Sea) and 10 from
128 the Atlantic Ocean.

129 2.4. Statistical analyses

130 2.4.1. Relationship between coccolithophore assemblages and environmental variables

131 Prior to statistical analyses, environmental variables were checked for unimodal distribution.
132 Only mixed layer depth, T_{ALK} and DIC were \log_{10} transformed, since the transformation of other
133 variables did not cause noticeable changes. Principal Component Analyses (PCA) was
134 performed on this initial data set to assess the major environmental gradients and collinearity
135 among the variables.

136 Coccolithophore relative abundances were square-root transformed to stabilize their
137 variances. The species *Braarudosphaera* sp., *Calciosolenia* spp., *Coccolithus pelagicus*

138 subsp. *braarudii*, *Coccolithus pelagicus* subsp. *pelagicus*, and *Pontosphaera* spp., were
139 excluded from the modern (and consequently from the fossil) coccolithophore assemblages
140 since their maximum relative abundance was not > 1 % in at least two samples. Detrended
141 Correspondence Analysis (DCA) was then performed on the modern coccolithophore
142 assemblage to estimate the length of the environmental gradient. A length of the first DCA axis
143 > 2 Standard Deviation (SD) units indicates the unimodal responses of the organisms (Birks,
144 1995; Ter Braak and Prentice, 1988), while shorter lengths indicate linear responses.

145 Akaike's information criterion (AIC) was used in an ordination analysis to identify the
146 minimum number of variables (subset) that, being statistically significant, explained the
147 maximum variation in the modern coccolithophore assemblage. Canonical Correspondence
148 Analysis (CCA) was used to evaluate the influence of this environmental subset to explain
149 coccolithophore distribution in the modern training set.

150 The ratio between the first constrained axis and the first unconstrained axis (λ_1/λ_2) was used
151 as a diagnosis to test the strength of a single environmental variable when the effects of those
152 remaining were excluded from the analyses (ter Braak and Juggins, 1993). If $\lambda_1/\lambda_2 \geq 1$, the
153 variable under study is considered to be important for explaining the distribution of the species.
154 The proportion of the variance in the coccolithophore training set explained uniquely by each
155 significant environmental variable was calculated using variance partitioning.

156 Ordination analyses and variance partitioning were performed using the 'vegan' package
157 v.2.3. (Oksanen et al., 2015) for R (R Core Team, 2015).

158 2.4.2. Transfer function

159 Calibration models were calculated for the variable of interest (and each variable by means
160 of exploratory analysis) using the weighted-averaging-partial least squares (WA-PLS) method
161 (ter Braak and Juggins, 1993; ter Braak et al., 1993) and the Modern Analog Technique (MAT)
162 (Prell, 1985), both implemented in C2 version 1.4.3 software (Juggins, 2007). All models were
163 calculated for the cross-validation predictions by bootstrapping (999 permutation cycles) (Birks,
164 1995). In MAT, the number of analogs resulting in the maximum coefficient of determination
165 (R^2_{boot}) between the observed and predicted values and the lowest root-mean square error of
166 prediction (RMSEP) (Telford et al., 2004) was calculated using an optimization set together
167 with the usual training and test sets implemented in the 'analoge' package for R (R Core Team,
168 2015). In WA-PLS, a decrease of 5 % or more in RMSEP was required to retain the next
169 component (Birks, 1995; ter Braak et al., 1993).

170 Many coccolithophore species inhabit at depths within a specific range of the photic zone
171 and are subject to environmental seasonality (Winter et al., 1994). Therefore, the depth and
172 season considered for calibration and reconstruction should be those that most influenced the

173 [coccolithophore fossil assemblage. Following the procedure described by Telford et al. \(2013\),](#)
174 [we reconstructed the variable of interest based on summer-, winter- and annual-averaged data at](#)
175 [9 different depths of the photic zone from 10 to 200 m using the ‘paleoSig’ package v.1.1-1](#)
176 [\(Telford, 2012\) for R \(R Core Team, 2015\). The reconstruction that explains the highest](#)
177 [proportion of variance in the fossil data being statistically significant reflects the depth and](#)
178 [season that most influenced the coccolithophore fossil assemblage and hence provides the most](#)
179 [suitable calibration.](#)

180 Outliers may reduce the power of prediction of the calibration model as well as introducing
181 undesirable effects in model coefficients (Birks, 1995). Potential outliers were determined as
182 those whose absolute residual was higher than the mean SD of the observed values (Edwards et
183 al., 2004).

184 A combination of the highest R^2_{boot} and the lowest RMSEP was used as a criterion for the
185 quality prediction of the model. The graphical representations of the observed values against the
186 values predicted by the model and the residuals against the predicted values were used as a
187 diagnosis of the model.

188 2.4.3. Derived reconstruction and evaluation

189 MAT and WA-PLS were applied to the fossil coccolithophore assemblages of core
190 CEUTA10PC08, which were previously square-root transformed, using C2 version 1.4.3
191 software (Juggins, 2007). [Sample-specific reconstruction errors under bootstrapping were](#)
192 [derived automatically by C2 software, considering the prediction error due to: i\) errors in](#)
193 [estimating species coefficients, and ii\) errors in the calibration function \(further details may](#)
194 [be found in Birks et al. \(1990\)\).](#) In order to assess the quality of the modern analogs for the
195 fossil (downcore) samples, the squared chord distance between each fossil sample and each
196 sample in the modern training set (Overpeck et al., 1985) was calculated with MAT by C2
197 software. A squared chord distance below the 10th percentile would be considered good,
198 while values above this cutoff would represent assemblages with poor analogs (Simpson,
199 2007).

200 The first axis of the PCA analyses of the fossil dataset ($PC1_{fossil}$) shows the most important
201 changes in the composition of the fossil coccolithophore assemblage. Comparison between
202 $PC1_{fossil}$ and the reconstructed variable of interest was used to assess whether the reconstruction
203 could be considered representative of the major ecological changes of the fossil assemblage
204 (Juggins, 2013).

205 **3. RESULTS**

206 **3.1. Geographical distribution of coccolithophores**

207 The small placoliths (small *Gephyrocapsa* and *E. huxleyi* < 4 µm) are the dominant taxa (Fig.
208 2b, c) constituting on average 83 % of coccolithophore assemblages. Small *Gephyrocapsa*
209 shows higher abundances near the Spanish coast and southeast of the Balearic Islands. *E.*
210 *huxleyi* < 4 µm is more abundant in the Balearic Sea and around the Ebro River Delta (Fig. 2c).
211 *G. muelleriae* (Fig. 2d) concentrates southeast of the Balearic Islands and shows a patch of 2 %
212 in the northern Alboran Sea. *C. leptoporus* and *Helicosphaera* spp. (Fig. 2e, f) are almost absent
213 in the Alboran Sea and show similar patchy distributions between the Catalan and the Balearic
214 fronts and east of the Balearic Islands. *F. profunda* (Fig. 2g) is more abundant in the Atlantic
215 Ocean (up to 16 %) and gradually decreases eastward. It shows two patches (up to 4 %) south of
216 the Ebro River mouth. *G. oceanica* (< 5 µm) (Fig. 2h) is mostly distributed near the Strait of
217 Gibraltar. It also shows a patch (up to 3 %) around the Andarax River mouth.

218 **3.2. Relationship between coccolithophores and environmental variables**

219 The PC1 explains 56.1 % of the variance within the environmental data set (Fig. 3a) and is
220 highly correlated with CO₃²⁻, salinity, pH and T_{ALK}. PC2 explains 22.3 % of the total variance
221 and primarily summarizes the information on temperature and phosphate.

222 The ordination based on the AIC revealed that only salinity, nitrate, phosphate, silicate and
223 oxygen are needed to explain the maximum variation in the modern coccolithophore
224 assemblage and are significant at the 95 % level when added individually to the model via a
225 forward selection procedure. The first axis of the DCA performed on the modern
226 coccolithophore assemblage was 2.6 SD units. Accordingly, unimodal methods were followed.
227 The CCA (Fig. 3b) revealed sites and species distribution along this environmental subset. The
228 others were also plotted as passive variables to avoid overfitting. The vectors show that salinity
229 exhibits the longest gradient and is strongly correlated with the CCA1, indicating a strong
230 relationship with coccolithophore distribution. Some sites from the Alboran and Balearic Seas
231 and the taxa medium *Gephyrocapsa* and *E. huxleyi* (> 4 µm) were found to be distributed along
232 the CCA2. Individual CCAs (Table 1) to calculate λ_1/λ_2 showed that salinity was the most
233 important variable among those found to be significant. Variance partitioning revealed that
234 these significant variables accounted for 38.9 % of the cumulative variance in the
235 coccolithophore training set and salinity explained a large proportion of this variance (15.5 %).

236 **3.3. Transfer functions**

237 Salinity explained the largest amount of variation in the coccolithophore assemblages and
238 was therefore chosen to develop the coccolithophore-based transfer function. Additionally,
239 comparison among the R²_{boot} from preliminary calibration models for each variable confirmed
240 the best predictive power for salinity (Table 1).

241 Among the WA-PLS models for salinity, the two-component model (WA-PLS2) was chosen
242 as the most suitable since it afforded a reduction of 6.4 % in the RMSEP. The ideal number of
243 analogs for MAT was six.

244 The analyses of the amount of down-core variance explained by the summer, winter, and
245 annual salinity reconstructions at 9 different depths and their statistical significance revealed
246 that the mean-annual reconstruction at 10 m explained the highest variance. Hence, the
247 reconstruction for core CEUTA10PC08 was based on the mean-annual salinity data at 10 m
248 depth and referred to as SSS reconstruction.

249 Five samples showed higher residuals than the SD of salinity and were preliminary identified
250 as potential outliers (supplementary material). However, only one of these samples (CO-81-
251 2/TK-2) was identified as an outlier in both MAT and WA-PLS regression methods. This had a
252 bright yellowish color under the microscope, likely due to the effect of diagenetic processes. In
253 order to retain the maximum number of observations representing modern environmental
254 conditions, only this sample was removed from subsequent model implementations, leading to
255 an improvement of the MAT and WA-PLS2 R^2_{boot} coefficient of 3.4 % and 6.6 %, respectively,
256 and reducing both Max_Bias_{boot} and RMSEP (Table 2).

257 The final MAT and WA-PLS2 models showed similar quality predictions (Table 2). The
258 salinity values in the modern training set vary from 36.2 to 38.2 psu. Intermediate values (37.1-
259 37.6 psu) are less well represented by the observations (Fig 4a). MAT- and WA-PLS2-predicted
260 values are shown in Figs. 4b, c. The predicted versus observed values from both models
261 approach the diagonal of slope one (which indicates perfect predictions) reasonably well (Fig.
262 4d, e). The residuals for the MAT and WA-PLS2 models (Fig. 4f, g) are equally distributed
263 around zero and show no apparent trends.

264 3.4. SSS reconstruction

265 SSS trends and values reconstructed for the CEUTA10PC08 core derived from both MAT
266 and WA-PLS2 are very similar (Fig. 5a, b). These only differ during the stadials associated with
267 Heinrich Events 2 and 1 (H2 and H1), when the WA-PLS2-estimated SSS shows more
268 pronounced salinity decreases.

269 The SSS reconstructions obtained from core CEUTA10PC08 (Fig. 5a) can be divided into
270 three intervals: i) the period from 25.5 to 15.5 ka is characterized by higher values, ranging that
271 oscillate between 37.8 and 37 psu. Lower values are found from 20 to 18 ka, followed by a drop
272 of 0.8 psu at 17.3 ka; ii) the period from 15.5 to 9 ka shows fast, large-amplitude changes. An
273 abrupt decrease from 37.9 to 36.9 psu can be recognized at 15 ka, followed by large peaks of
274 high values at 12.8, 11.1, and 10.2 ka; and iii) the period from 9 to 4.5 ka records the lowest
275 values, which vary between 37 and 36.5 psu, and shows a general decreasing trend.

276 On average, the errors associated with both SSS reconstruction are of a similar magnitude;
277 ±0.15 psu for MAT and ±0.17 psu for WA-PLS (Fig. 5a). Squared chord distances between
278 fossil and modern assemblages (Fig. 5b) revealed that many samples from 25.5 to 16 ka were
279 above the 10th percentile. A comparison between PC1_{fossil} and the SSS reconstruction is depicted
280 in Fig. 5c, showing general good agreement, especially for the last 16 kyr.

281 4. DISCUSSION

282 4.1. Geographic coccolithophore distribution and SSS

283 *E. huxleyi* (< 4 µm) and small *Gephyrocapsa* are widespread in the Western Mediterranean,
284 as previously reported for surface sediment and water column samples (Álvarez et al., 2010;
285 Knappertsbusch, 1993; Oviedo et al., 2015). These taxa, especially *E. huxleyi* (< 4 µm), are
286 cosmopolitan and tolerate wide ranges of temperature and salinity (Winter et al., 1994). *G.*
287 *muelleriae* abundance is higher southeast of the Balearic Islands, where the MAW encounters
288 more saline and warmer Mediterranean waters, and close to the Alboran Front, possibly
289 reflecting its preference for rich-nutrient waters, as reported for sediment trap samples in the
290 Alboran Sea (Bárcena et al., 2004; Hernández-Almeida et al., 2011). *C. leptoporus* and
291 *Helicosphaera* spp. (Fig. 2e, f) show similar spatial distributions and abundances. Interestingly,
292 the CCA suggests that *Helicosphaera* spp. have a preference for more saline waters (Fig. 3b).
293 By contrast, in paleoceanographic works this species has been linked to fresher and turbid
294 waters in the Mediterranean Sea (Ausín et al., 2015; Colmenero-Hidalgo et al., 2004; Grelaud et
295 al., 2012). *Helicosphaera* spp. abundance in surface sediments from the northeastern Balearic
296 Island has also been related to upwelling events (Álvarez et al., 2010). Similarly, the abundance
297 of *C. leptoporus* in the Alboran Sea has been linked to nutrient-rich waters (Bárcena et al.,
298 2004). The similar patchy pattern shown by both species may be related to the temporary
299 upwelling of nutrient-rich waters associated with frontal structures in the area limited by the
300 Balearic and Catalan fronts (Font et al., 1988). In agreement with this interpretation, the co-
301 occurrence of both species in other Mediterranean locations has already been linked to high
302 coccolithophore productive periods and pre-upwelling events (Hernández-Almeida et al., 2011;
303 Ziveri et al., 2000). The CCA (Fig. 3b) suggests that *F. profunda* and *G. oceanica* (< 5 µm)
304 would be associated to less saline waters. This notion may partly be a consequence of their higher
305 abundance in Atlantic waters (Fig. 2d). *G. oceanica* has already been proposed as a tracer for
306 AW influx in the Western Mediterranean Sea (Álvarez et al., 2010; Bárcena et al., 2004;
307 Knappertsbusch, 1993; Oviedo et al., 2015). Similarly, the *F. profunda* spatial distribution
308 reflects the path of the Algerian current (Fig. 2a, d), formed by recent and fresher MAW (Fig.
309 2a, d). Low percentages of *F. profunda* spottily distributed south of the Ebro River and in the
310 Catalan-Balearic Sea suggest this species may be also affected by the influence of river

311 discharges (Álvarez et al., 2010). These results suggest that *F. profunda* and *G. oceanica*
312 proliferate mainly in waters of Atlantic origin, but not exclusively, as indicated by their
313 presence in the Eastern Mediterranean (Knappertsbusch, 1993; Malinverno et al., 2008) where
314 Atlantic influence becomes diluted.

315 Salinity was highly correlated with CO_3^{2-} and pH (Fig. 3a, b). Oviedo et al. (2015) have
316 found exactly the same variables as being the most important factors to account for changes in
317 heterococcolithophore assemblages from the study of coccolithophore distribution from water
318 column samples and *in situ* environmental measurement in the Mediterranean Sea. In our study,
319 multivariate analyses revealed that salinity was significant and was the most important variable
320 of those studied in explaining the variance in coccolithophore data in this modern training set.
321 However, the individual importance and proportion of variance explained by each of the
322 significant variables was not assessed in the study of Oviedo et al. (2015). Despite this, the
323 authors discarded salinity as a final explanatory variable, arguing that *E. huxleyi*, the most
324 abundant and ubiquitous extant coccolithophore (Cros and Fortuño, 2002), inhabits at a wide
325 salinity range, suggesting a negligible ecological effect of salinity on coccolithophores.
326 Contrary to this reasoning, the direct relationship between varying salinities and the morphology
327 of *E. huxleyi* has been demonstrated by several authors (Bollmann and Herrle, 2007; Bollmann
328 et al., 2009; Fielding et al., 2009; Green et al., 1998; Paasche et al., 1996; Schouten et al., 2006)
329 in both culture experiments and marine surface sediment samples. Oviedo et al. (2015) later
330 explained the high and negative relationship that they found between salinity and *G. oceanica*,
331 *G. muelleriae* and *E. huxleyi* morphotype B/C distributions as being a consequence of their
332 carry-over by the AW through the Mediterranean. Instead of this, however, we interpret the AW
333 influx as promoting the optimal conditions for these species to thrive in the Mediterranean Sea.
334 Therefore, the coccolithophore relationship with salinity would reflect the different water
335 masses where coccolithophore species prefer to inhabit.

336 It is worth mentioning that salinity influences the solubility of CO_3^{2-} via several pathways:
337 the solubility of free carbon dioxide in water, the solubility product constants, the concentration
338 of hydrogen ions, and the quantity of calcium in the water (Trask, 1936). Accordingly, salinity
339 could influence coccolithophores through coccolith calcification processes. In contrast,
340 Bollmann and Herrle, (2009) have proposed that salinity influences coccolithophores through
341 cell turgor regulation linked to osmotic processes. Although there is no clear consensus about
342 the mechanism through which salinity influences coccolithophores, many other studies point to
343 a strong influence of this variable on molecular compounds only produced by coccolithophores
344 and on specific species. In the Japan Sea, salinity has been proposed to have an ecological or
345 physiological influence on the production of alkenone and alkenoates, which are organic
346 compounds mainly produced by the genera *Emiliania* and *Gephyrocapsa* (Fujine et al., 2006).

347 In the Baltic Sea, alkenone unsaturation ratios have been found to be significantly correlated
348 with salinity (Blanz et al., 2005). In the Mediterranean Sea, Knappertsbusch (1993) found that
349 *G. oceanica* distribution was linearly correlated with salinity. Based on such evidences, we
350 propose that the assemblage composition may be conditioned by the optimum salinity range
351 preferred by each species. Moreover, salinity has proved to be important to other marine
352 unicellular planktonic groups such as diatoms (Jiang et al., 2014; Li et al., 2012) and
353 dinoflagellate cysts (Jansson et al., 2014, and references therein), reinforcing the hypothesis of
354 salinity as an important variable for planktonic communities in semi-enclosed basins.

355 **4.2. Transfer function quality**

356 A general good fit can be deduced for both models, although MAT was seen to perform
357 slightly better from a higher R^2_{boot} and a lower RMSEP (Table 2) and plotted predicted values
358 compared with observed values (Fig. 4). Intermediate salinity values (37.1- 37.6 psu) are less
359 well represented than the more extreme values (Fig. 4d, e). Unevenness can bias the RMSEP
360 leading to overestimation of the predictive power of the model (Telford and Birks, 2011). While
361 an even distribution would be always desirable, unevenness is a feature inherent to most training
362 sets from oceanic environments. In this case, it is not severe and the observations, although
363 unevenly distributed along the salinity gradient, do not leave gaps. The distribution of the
364 residuals (Fig. 4f, g) indicates the adequacy of the model.

365 **4.3. Downcore SSS reconstruction**

366 The derived MAT and WA-PLS2 SSS reconstructions (Fig. 5a) are very similar.
367 Nevertheless, WA-PLS2 shows more marked salinity decreases than MAT during the H2 (25.2-
368 23.7 ka) and H1 (17.4-15.9 ka). Unlike WA-PLS, MAT does not consider the entire dataset
369 when calculating the species optima, only the most taxonomically similar analogs, and is more
370 sensitive to local conditions (Telford and Birks, 2009). Fossil samples lack good analogs for the
371 H2 and H1, coinciding with large peaks of *E. huxleyi* ($> 4 \mu\text{m}$) (Fig. 5b). H2 and H1 have been
372 linked to the entry of cold and fresher water originating from the North Atlantic ice melting in
373 the Western Mediterranean Sea (Cacho et al., 1999; Melki, 2011; Sierro et al., 2005), suggesting
374 the preference of *E. huxleyi* ($> 4 \mu\text{m}$) not only for cold waters (Colmenero-Hidalgo et al., 2002;
375 Colmenero-Hidalgo et al., 2004) but also fresher waters in the past. By contrast, Bollmann and
376 Herrle, (2007) reported a current positive correlation between the size of *E. huxleyi* up to $4 \mu\text{m}$
377 and increasing salinities from the study of globally distributed core-top samples. These authors
378 used this relationship to estimate salinity values during the LGM. Interestingly, they observed
379 several overestimations with regard to other published values in samples characterized by high
380 relative abundances of larger specimens of *E. huxleyi* ($> 4 \mu\text{m}$). These discrepancies suggest that
381 *E. huxleyi* ($> 4 \mu\text{m}$) in ancient sediments lacks an analog in modern assemblages, as indicated

382 by the high dissimilarity between fossil samples with high percentages of this species and
383 modern samples (Fig. 5b).

384 Because MAT is strongly dependent upon on the analogs selected (Telford and Birks, 2009)
385 and since the WA-PLS2 reconstruction for H2 and H1 is more coherent with a freshwater
386 inflow scenario, it seems that WA-PLS2 affords more reliable values than MAT. Consequently,
387 WA-PLS2-estimated SSS was chosen for our final interpretations.

388 Transfer functions assume that the ecological response of organisms to either the
389 environmental variable of interest or to the linear combination of this important variable with
390 others has not changed significantly over the time span represented by the fossil assemblage
391 (Birks, 1995). The good agreement observed between $PC1_{\text{fossil}}$ and the reconstructed SSS
392 patterns from 16 ka onwards (Fig. 5c) suggests that the SSS transfer function fulfills this
393 assumption back to 16 ka. Larger differences are observed from 25 to 16 ka, possibly promoted
394 by the lack of analogs during this time span, discussed above. Consequently, the SSS
395 reconstruction from 25 to 16 ka will not be discussed further.

396 **4.4. SSS changes in the Alboran Sea over the last 15.5 kyr**

397 4.4.1. Termination 1b (T1b)

398 A decrease in salinity of about 0.6 ± 0.15 psu occurred from 15.4 to 14.6 ka (Fig. 6a). The
399 global sea-level rise of ~20 m during meltwater pulse 1a (mwp-1a) has been dated between
400 14.6 and 14 ka (Stanford et al., 2006, and references therein). Since this section covers 3,000
401 yr with no control point (Fig. 6a), it could be an artifact of poorly constrained chronology for
402 this time interval. Nevertheless, this seems unlikely because other authors (Duplessy et al.,
403 1992; Emeis et al., 2000; Kallel et al., 1997) have reported SSS decreases in different regions
404 of the Mediterranean Sea and Atlantic Ocean at this time from a combination of oxygen
405 isotope ($\delta^{18}\text{O}$) and SST data. These salinity decreases are larger than that observed for the
406 CEUTA10PC08 core. For instance, Duplessy et al. (1992) identified a salinity drop of about
407 2.5 psu in an Atlantic core west of the Strait of Gibraltar. It is worth mentioning that the
408 salinity changes estimated by this method depend strongly on the accuracy of the SST record
409 (Schmidt, 1998) and the unknown salinity-seawater $\delta^{18}\text{O}$ relationship in the past (Rohling,
410 1999), being sensitive to several deviations and uncertainties that are difficult to assess
411 (Rohling, 2000; Rohling and Bigg, 1998; Schmidt, 1999). Although the uncertainty in the
412 chronology prevents a robust correlation, the smaller SSS decrease identified in the SSS
413 reconstruction could be related to the Laurentide ice sheet melting and retreat at ~15.5 ka
414 (Clark et al., 2001). This event has already been proposed to be the cause of the freshwater
415 input identified at 15.3 ka south of Iceland via advection within the North Atlantic Current

416 [\(NAC\) and subsequently its northern branch \(Thornalley et al., 2010\). Similarly, the](#)
417 [southeastern branch of NAC could have advected freshwater to the study area.](#)

418 [4.4.2. Bølling–Allerød \(B–A\)](#)

419 The SSS values are generally low for the B–A, the Bølling being fresher than the Allerød
420 (Fig. 6a). Owing to the global sea-level rise during the B–A, a greater volume of AW would
421 have entered through the Strait, decreasing the average SSS. This period of reduced salinity also
422 coincides with the highest values of total concentration of C₃₇ alkenones, a proxy of organic
423 matter preservation, from a nearby core located off the coast of Malaga (Ausín et al., 2015)
424 (Fig. 6b). This accumulation of high amounts of organic matter resulted in the formation of the
425 so-called Organic-Rich Layer (ORL-1) (Cacho et al., 2002) in the Western Mediterranean,
426 although its origin is still under debate (Rogerson et al., 2008; Rohling et al., 2015). The joint
427 effect of a salinity reduction of 0.8 psu and a temperature increase of 3 °C (Cacho et al., 2001)
428 (Fig. 6c) would have led to a significant reduction in sea surface density, possibly prompting
429 stagnation of the upper water column. This, along with increased organic matter export to the
430 seabed (Ausín et al., 2015) and reduced deep-basin ventilation (Martínez-Ruiz et al., 2015),
431 would have hampered organic matter mineralization, reinforcing the formation of the ORL-1 in
432 the Alboran Sea. [According to Rohling et al. \(2015\), the origin of ORL-1 lies in hydraulic](#)
433 [changes in the Strait of Gibraltar \(Bernoulli aspiration depth\) and/or the inhibition of deep water](#)
434 [formation in the Gulf of Lion, both resulting from a drastic reduction in seawater density. Those](#)
435 [authors have shown that the mwp-1a and the monsoon flooding into the Eastern Mediterranean](#)
436 [were insufficient to trigger these mechanisms, and demonstrated that the Alpine melt-water](#)
437 [input into the NW Mediterranean at this time \(Ivy-Ochs et al., 2007\) may have played an](#)
438 [essential role as freshwater forcing.](#)

439 [4.4.3. Younger Dryas \(YD\) and the Holocene](#)

440 The YD exhibits a shift from higher to lower SSS values, decreasing by a total of 0.6 psu
441 along its two phases: YDa and YDb (Fig. 6a). [Several large short-term SSS fluctuations](#)
442 [occurred as from the onset of the YD throughout the early Holocene \(up to 8 ka\). This time span](#)
443 [coincides with a sea level rise of ~ 30 m \(Peltier and Fairbanks, 2006\) due to short-lived](#)
444 [freshwater inputs associated with residual melting of the northern hemisphere ice sheets](#)
445 [\(Andrews and Dunhill, 2004; Elmore et al., 2015; Seidenkrantz et al., 2013; Tornqvist and](#)
446 [Hijma, 2012\). Six brief periods of a SSS decreasing trend were identified at 12.77-12.06, 11.95-](#)
447 [11.71, 11.24-11.00, 10.09-9.83, 9.30-9.12, and 8.95-7.90 ka \(Fig. 6a\). REDFIT spectral analysis](#)
448 [reveals a periodicity of 770±40 years \(Fig. 6d\), very similar to the 730±40 years cycle found by](#)
449 [Cacho et al. \(2001\) in a SST record in the Alboran Sea, which was punctuated by the so-called](#)
450 [Alboran cooling \(AC\) events \(Fig. 6c\). Although this similarity does not necessarily imply a](#)

451 causal relationship, the timing of SSS decreases is comparable to that of the AC events (Table
452 3), suggesting a common origin. Cacho et al. (2001) have associated the AC events to influxes
453 of cold Atlantic waters in the Alboran Sea during ice-rafted debris discharges (so-called Bond
454 events) (Bond et al., 1997) (Table 3). These latter authors noted that the oxygen isotopic record
455 showed no evidence of any of the coolings found for each Bond event during the Holocene and
456 argued that the cooler surface waters may have also been fresher, offsetting the expected
457 temperature-driven $\delta^{18}\text{O}$ enrichment in their records. Similarly, the highly-resolved $\delta^{18}\text{O}$ profile
458 reported by Cacho et al. (2001) does not show any of the expected oxygen isotopic enrichments
459 associated with the AC events, supporting the presence of fresher waters at those times. We
460 suggest that freshwater advection (FA) events (as well as AC events) would have resulted from
461 the influx of fresher and colder Atlantic waters in the Alboran Sea related to the southeastward
462 drifting of meltwater from the Labrador, Greenland and Iceland seas (Bond et al., 1997).

463 FA events only occurred during the early Holocene, while AC and Bond events have also
464 been identified through the middle and late Holocene. Wenner et al. (2014) concluded that,
465 unlike those occurring later, early Holocene Bond events originated from changes in the
466 meridional overturning circulation due to meltwater pulses from the Northern Hemisphere ice-
467 sheets. It is likely that FA events would only have been noticeable when this mechanism was
468 operating (i.e. the early Holocene), since very little meltwater was present after that period
469 (Elmore et al., 2015).

470 An SSS increase of 0.87 ± 0.15 psu is observed from 10.7 to 10 ka. Because the Western
471 Mediterranean is a semi-enclosed basin, local conditions may have played a role as additional
472 feedbacks for this rapid high-amplitude variability. For this brief period, Frigola et al. (2008)
473 have demonstrated the most pronounced weakening of the Mediterranean thermohaline
474 circulation for the last 50 ka. The consequent reduction in Atlantic-Mediterranean water
475 exchange, along with the maximum summer insolation and inland aridity (Fletcher et al., 2010),
476 would have led to more saline surface waters.

477 FA1 includes the 8.2 ka event (Alley et al., 1997), which has been linked to a sub-
478 thermocline freshening of 0.5 psu in the North Atlantic (Thornalley et al., 2009). However, no
479 distinctive SSS changes are observed in relation to this event, suggesting that it would have had
480 a negligible effect on surface salinity in the Alboran Sea. Minimum SSS values are recorded at
481 7.8 ka, possibly related to maximum high-stand conditions reached at 7.4 ka (Zazo et al., 2008),
482 along with the influence of the African Humid Period (AHP; 11-5.5 ka) over the study area,
483 especially up to its decline at 7.4 ka (deMenocal et al., 2000). From 7.8 to 4.5 ka, salinity values
484 level off around 36.6 psu, close to present SSS values.

485 5. CONCLUSIONS

486 Multivariate statistical analyses show that coccolithophore distribution of modern
487 coccolithophore assemblages in the Atlantic Ocean, west of the Strait of Gibraltar, and the
488 Western Mediterranean was mainly influenced by annual mean salinity at 10 m depth. MAT and
489 WA-PLS2 calibration models show similar outcomes. These models were applied to
490 coccolithophore assemblages from a fossil core to reconstruct SSS at high resolution for the last
491 25 kyr in the Alboran Sea. Statistical analyses reveal assemblages lacking good modern analogs
492 in relation to the species *E. huxleyi* > 4 µm during H2 and H1 and part of the LGM, preventing
493 further interpretations for these periods. A low SSS was found for the B–A, possibly due to the
494 post-glacial sea-level rise ~~and regionally wetter conditions in the adjacent continental areas.~~
495 The consequent reduction in sea surface density is suggested to have reinforced the formation of
496 the ORL-1. During the YD and Holocene, six brief, abrupt SSS decreases at 12.77-12.06, 11.95-
497 11.71, 11.24-11.00, 10.09-9.83, 9.30-9.12, and 8.95-7.90 ka were linked to the advection of
498 fresher and colder AW related to the southeastward drifting of meltwater in the North Atlantic
499 ~~and continental aridity~~. No evidence of the 8.2 ka event is found in the reconstructed SSS,
500 which reached its lowest values at 7.8 ka, coinciding with high-stand conditions in the Alboran
501 Sea and the onset of the decline of the African Humid Period. SSS remained low from 7.8 to 4.5
502 ka, close to its present values.

503 A broader understanding of the ecological link between coccolithophore species and
504 environmental parameters would be desirable in order to be able to place coccolithophore-based
505 transfer functions within the ecological context in future works. Nevertheless, the diverse
506 statistical tests performed in this study and the strong emphasis placed on assessing the validity
507 and reliability of both the model and the reconstruction do reveal the potential of
508 coccolithophores for developing transfer functions. The derived transfer function provides a
509 potential independent proxy for quantitative reconstructions of SSS changes in other locations
510 of the Western Mediterranean Sea over the last 15.5 kyr.

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Table 1. Multivariate analyses results. λ_1/λ_2 : individual CCA. Preliminary model coefficients from MAT and WA-PLS2. R^2_{boot} : bootstrapped coefficient of determination between the observed and predicted values. RMSEP: root mean square error of prediction.

Variable	λ_1/λ_2	% Explained variance	MAT		WA-PLS	
			Boot_R ²	RMSEP	Boot_R ²	RMSEP
*Salinity	1.38	15.47	0.83	0.30	0.75	0.33
*Nitrate	0.65	8.14	0.45	0.32	0.39	0.33
*Phosphate	0.25	4.89	0.36	0.02	0.19	0.02
*Silicate	0.22	8.93	0.56	0.24	0.40	0.26
*Oxygen	0.1	1.46	0.15	0.05	0.05	0.05
Chlorophyll- <i>a</i>			0.61	0.05	0.58	0.05
Temperature			0.12	0.52	0.07	0.53
Oxygen Saturation			0.20	1.04	0.18	1.02
Mixed Layer Depth			0.31	0.19	0.25	0.19
CO ₃ ²⁻			0.74	0.02	0.70	0.02
pH			0.70	0.02	0.67	0.02
T _{ALK}			0.70	0.00	0.67	0.004
DIC			0.51	13.31	0.48	13.16

*Variables determined by ordination based on AIC.

872 **Table 2.**
 873 Model coefficients from final MAT and WA-PLS2 cross-validated by boot-strapping for SSS, after
 874 removal of one outlier. R^2_{boot} : bootstrapped coefficient of determination between the observed and
 875 predicted values. Max_Bias_{boot} : bootstrapped maximum bias. RMSEP: root mean square error of
 876 prediction (psu).

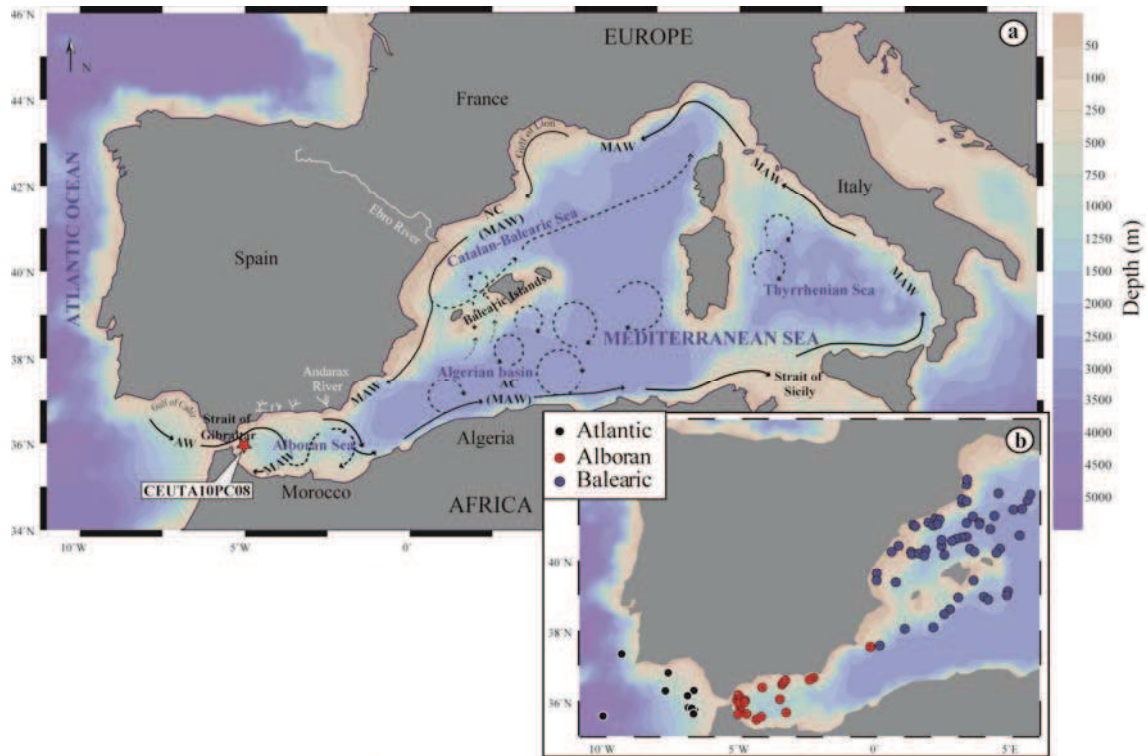
	MAT	WA-PLS2
R^2_{boot}	0.85	0.80
Max_Bias_{boot}	0.23	0.22
RMSEP	0.29	0.30

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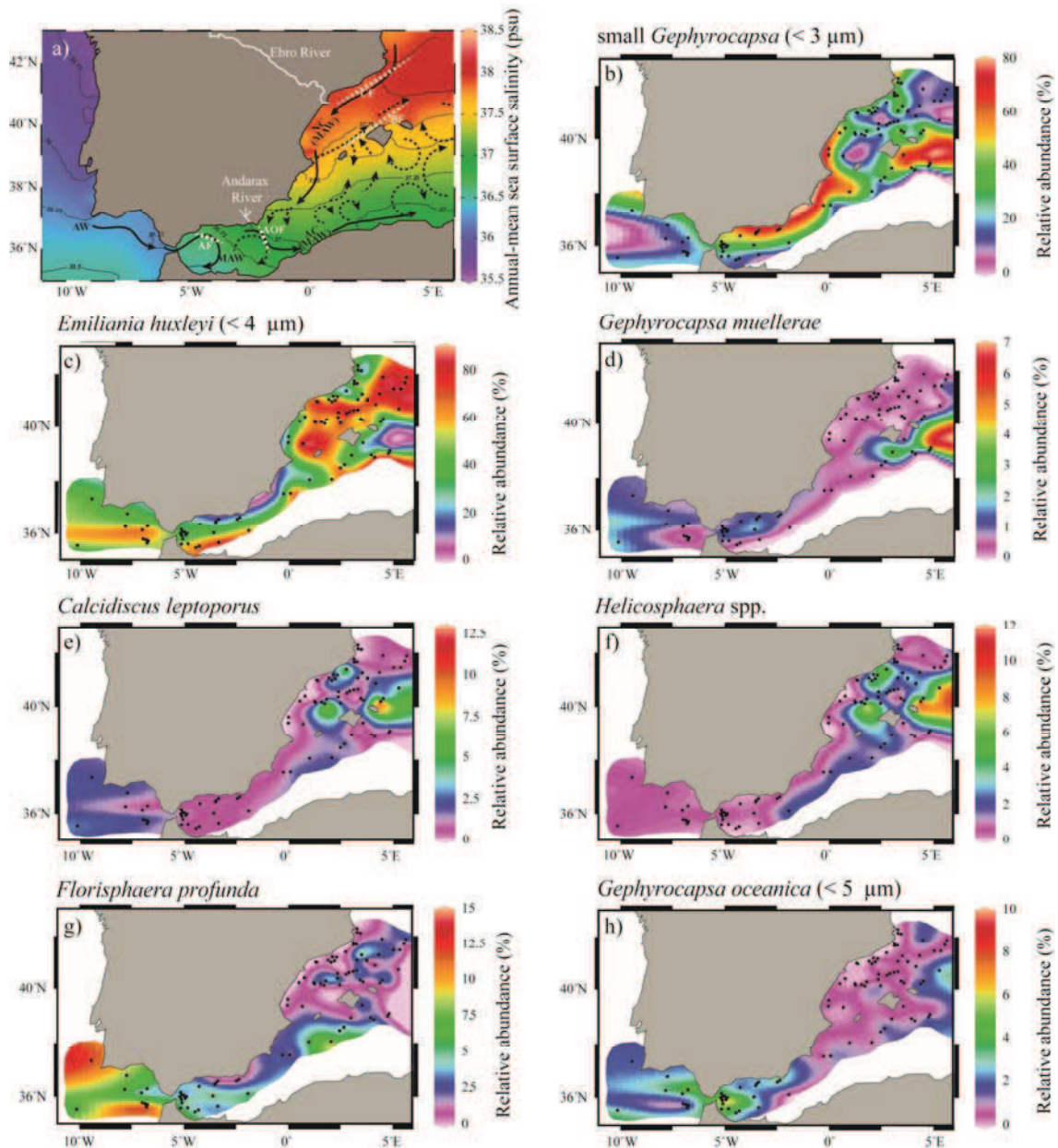
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Table 3.
Timing (given in ka cal. BP) of: freshwater advection events (FA) deduced from SSS decreases in the CEUTA10PC08 core (this study) and their magnitude; Alboran cooling (AC) events from core MD 95-2043 (ACYD-AC3, Cacho et al., (2001)); and Bond events in the North Atlantic (Bond et al., 1997).

<u>SSS decreases</u>	<u>SSS change (psu)</u>	<u>AC events</u>	<u>Bond events</u>
<u>FAYD</u> <u>12.77-12.06</u>	<u>0.79±0.15</u>	<u>ACYD</u> <u>13.1-12.0</u>	<u>12.5</u>
<u>FA5</u> <u>11.95-11.71</u>	<u>0.22± 0.16</u>	<u>AC6</u> <u>11.9-11.65</u>	<u>---</u>
<u>FA4</u> <u>11.24-11.00</u>	<u>0.41± 0.16</u>	<u>AC5</u> <u>11.21-10.95</u>	<u>11.1</u>
<u>FA3</u> <u>10.09-9.83</u>	<u>1.0± 0.15</u>	<u>AC4</u> <u>10.34-9.95</u>	<u>10.3</u>
<u>FA2</u> <u>9.3-9.12</u>	<u>0.42± 0.15</u>	<u>---</u>	<u>9.4</u>
<u>FA1</u> <u>8.95-7.9</u>	<u>0.57± 0.15</u>	<u>AC3</u> <u>9.08-7.56</u>	<u>8.1</u>

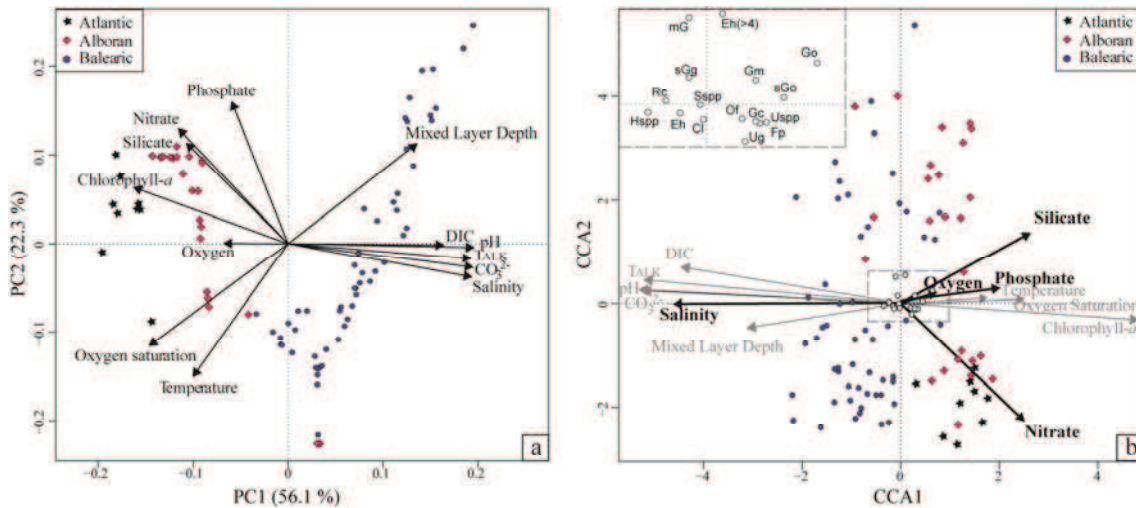


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 942 **Figure 1.** Maps of the study area. a) Location of the CEUTA10PC08 core (red star). Black arrows trace
 943 general surface circulation. Legend: AW: Atlantic Water. MAW: Modified Atlantic Water. AC: Algerian
 944 Current. NC: Northern Current. **b) Location of the 88 core-top samples used for final calibrations.** Maps
 945 generated with Ocean Data View software (Schlitzer, 2014).
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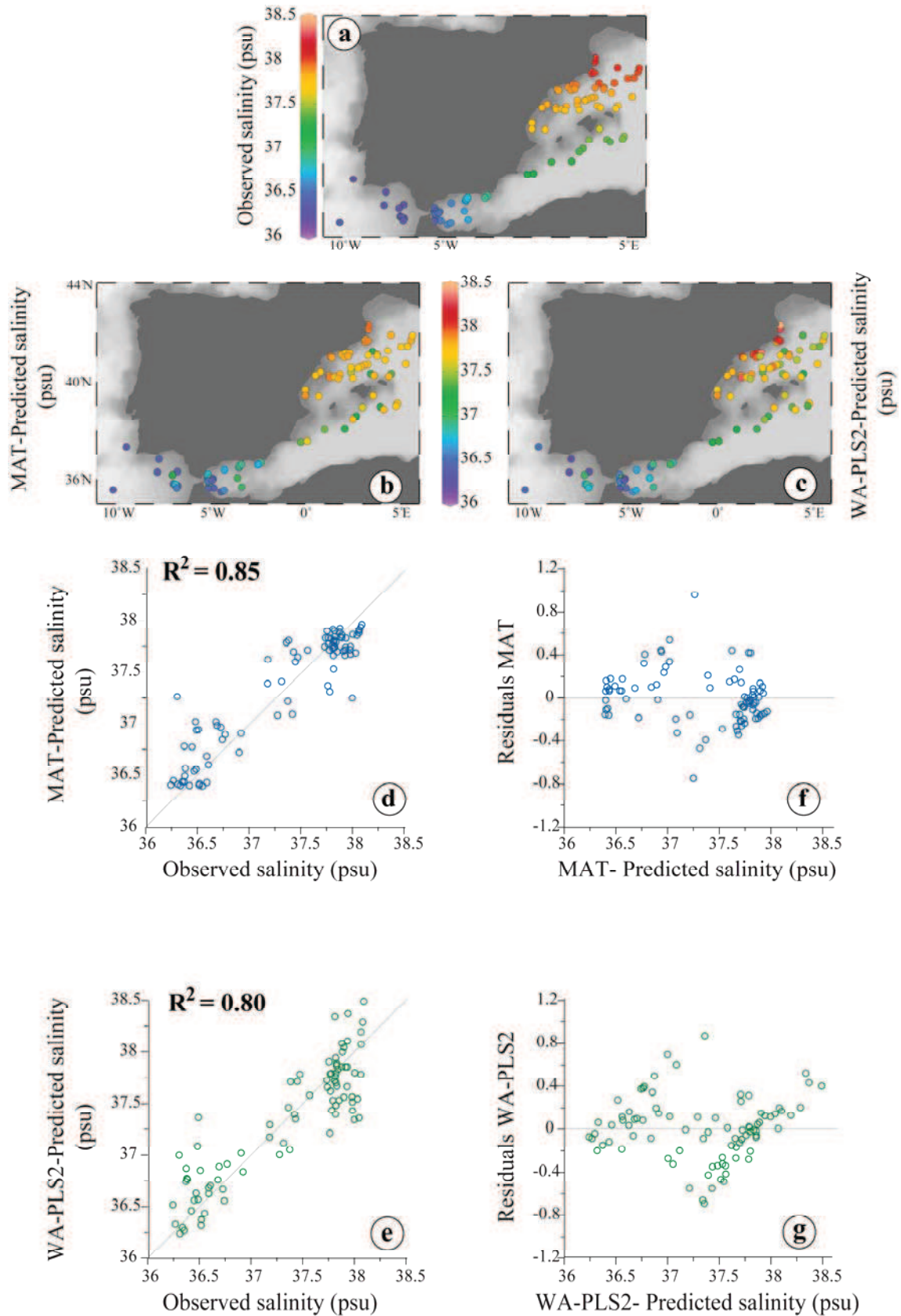


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Figure 2. Geographical distribution of the main coccolithophore taxa. a) Annual-mean salinity at 10 m depth and surface circulation patterns in the study area: permanent trajectories (black arrows) and semi-permanent meso-scale features (dashed arrows). Legend: AF: Alboran Front; AOF: Almería-Orán Front; CF: Catalan Front; BF: Balearic Front; AW: Atlantic Water; MAW: Modified Atlantic Water; AC: Argelian Current; NC: Northern Current. Distribution, according to their relative abundance (%), of: b) small *Gephyrocapsa* (< 3 μm); c) *E. huxleyi* (< 4 μm); d) *G. muelleriae*; e) *C. leptoporus*; f) *Helicosphaera* spp.; g) *F. profunda*; h) *G. oceanica* (< 5 μm).

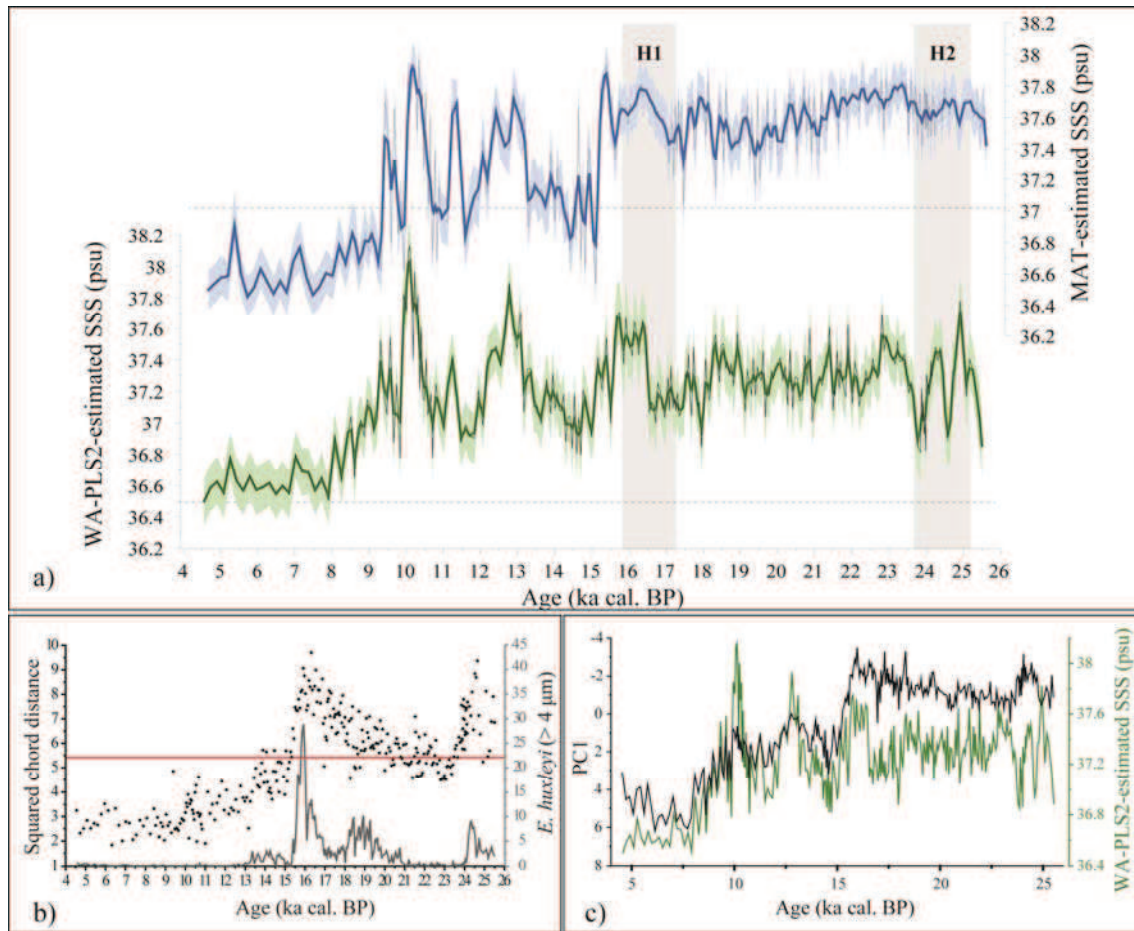


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 957 **Figure 3.** Multivariate analyses. a) PCA based on the thirteen initial environmental variables. b) CCA
 958 ordination plot with the site scores scaled by eigenvalues. The 88 sites are represented regarding their
 959 location in the Atlantic Ocean, Alboran Sea or Balearic Sea. Active and passive environmental vectors are
 960 represented by black and gray arrows, respectively. Scaling for the 16 taxa scores (open circles) is shown
 961 at the top left corner. mG: medium *Gephyrocapsa*; Eh(>4): *E. huxleyi* (> 4 μm); Eh: *E. huxleyi*; sGg:
 962 small *Gephyrocapsa*; Gm: *G. muellerae*; Go: *G. oceanica*; sGo: small *G. oceanica*; Rc: *R. clavigera*;
 963 Sspp: *Syracosphaera* spp.; Of: *O. fragilis*; Gc: *G. cf. caribbeanica*; Hspp: *Helicosphaera* spp.; Cl: *C.*
 964 *leptopus*; Usp: *Umbellosphaera* spp.; Ug: *Umbilicosphaera* spp.; Fp: *F. profunda*.
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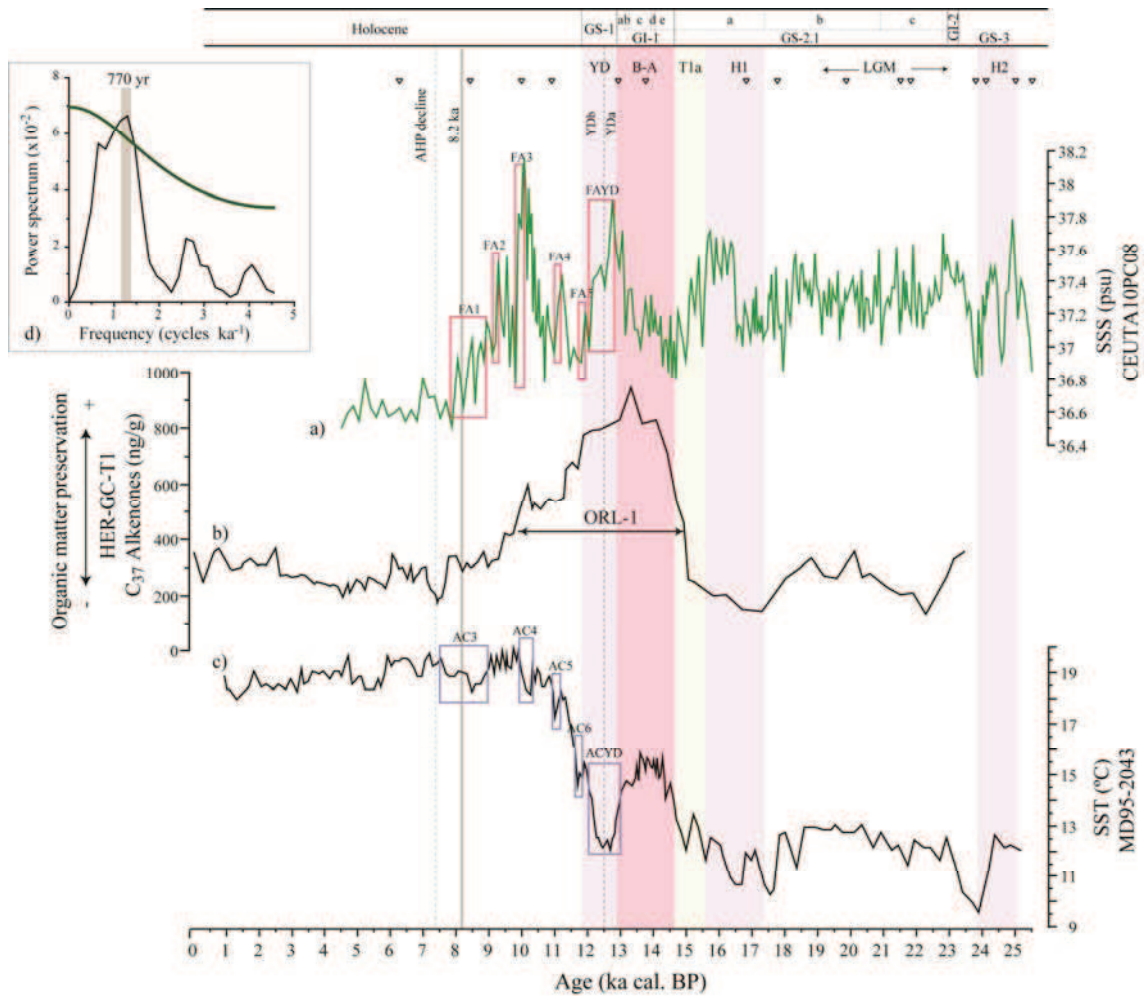


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Figure 4. Diagnostic graphs of the models: a) Observed salinity values. b) MAT-predicted salinity values. c) WA-PLS2-predicted salinity values. d) Observed vs MAT-predicted salinity values. e) Observed vs WA-PLS2-predicted salinity values. f) MAT-predicted salinity values vs residuals. g) WA-PLS2-predicted salinity values vs residuals.



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 972 **Figure 5.** a) SSS reconstructions for the CEUTA10PC08 core derived from MAT (blue) and WA-PLS2
 973 (green). The thin black lines represent the estimated values. The thick blue/green lines represent these
 974 original data fitted to a 3-point moving average smoothing spline. Pale blue/green shadows represent the
 975 error range, and dashed lines indicate current annual mean SSS in the Alboran Sea from the WOA13
 976 ([Zweng et al., 2013](#)). b) Dissimilarity between modern and fossil assemblages (black dots) measured by
 977 squared chord distance (left axis) plotted vs age. The red line indicates the 10th percentile. Relative
 978 abundance of the species *E. huxleyi* (> 4 μm) (%; right axis). c) Profiles comparing the PC1_{fossil} (black
 979 line) and WA-PLS2-estimated SSS (green line).
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Figure 6. Paleoenvironmental records in the Alboran Sea: a) WA-PLS2-SSS reconstruction for CEUTA10PC08 core; age control points marked by triangles. b) C_{37} Alkenones from core HER-GC-T1 (Ausin et al., 2015). c) Alkenone-SST from core MD95-2043 (Cacho et al., 2001). Red boxes represent the Alboran cooling events (AC). d) REDFIT periodogram of the SSS reconstruction for the Holocene. The gray bar marks the only significant peak at the 95 % significance level (green line).