1	Palaeoceanographic changes in Hornsund Fjord (Spitsbergen, Svalbard) over the last
2	millennium: new insights from ancient DNA.
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Abstract. This paper presents a reconstruction of climate-driven environmental changes over 1 the last millennium in Hornsund Fjord (Svalbard), based on sedimentological and 2 micropalaeontological records. Our palaeo-investigation was supported by an analysis of 3 foraminiferal ancient DNA (aDNA), focusing on the non-fossilized monothalamous species. 4 The main climatic fluctuations during the last millennium were the Medieval Warm Period 5 (MWP, 1000 AD – 1600 AD), the Little Ice Age (LIA, 1600 AD – 1900 AD) and the Modern 6 Warming (MW, 1900 AD – present). Our study indicates that the environmental conditions in 7 Hornsund during the MWP and the early LIA (before ~ 1800 AD) were relatively stable. The 8 beginning of the LIA (~ 1600 AD) was poorly evidenced by the micropalaeontological record 9 10 but was well marked in the aDNA data by an increased proportion of monothalamous foraminifera, especially Bathysiphon sp. The early LIA (~ 1600 AD - ~ 1800 AD) was 11 marked by an increase in the abundance of sequences of Hippocrepinella hirudinea and 12 13 Cedhagenia saltatus. In the late LIA (after ~ 1800 AD), the conditions in the fjord became glacier-proximal and were characterized by increased meltwater outflows, high sedimentation 14 and a high calving rate. This coincided with an increase in the percentages of sequences of 15 Micrometula sp. and Vellaria pellucidus. During the MW, the major glacier fronts retreated 16 rapidly to the inner bays, which limited the iceberg discharge to the fjord's centre and caused 17 18 a shift in the foraminiferal community that was reflected in both the fossil and aDNA records.

The palaeoceanographic changes in the Hornsund fjord over the last millennium were driven mainly by the inflow of shelf-originated water masses and glacial activity. However, the environmental changes were poorly evidenced in the micropalaeontological record, but they were well documented in our aDNA data. We considerably increased the number of potential proxy species by including monothalamous foraminifera in the palaeoecological studies.

#### 1 **1 Introduction**

2 The general outline of climate development over the last millennium is the Medieval Warm Period (MWP), followed by cooling during the Little Ice Age (LIA) and warming in 3 the 20<sup>th</sup> and 21<sup>st</sup> centuries (Modern Warming; MW). In the European Arctic, the temperature 4 increase during the MWP and MW was correlated with the strong influence of the Atlantic 5 Water inflow and associated heat transport (Wanamaker et al., 2012). In contrast, the 6 7 weakening of the Atlantic Meridional Overturning Circulation (AMOC) and the lower heat transport to the Arctic might have been responsible for the LIA cooling (Lund et al., 2006). 8 Changes in the Arctic Ocean heat budget were associated with significant changes in the 9 10 cryosphere, especially the gradual decreases in glacier mass balance and the extent of the seaice cover in the last century (e.g., D'Andrea et al., 2012; Jernas et al., 2013). 11

Fjords are a unique form of coastline that are under the influence of the glaciated land and the ocean. Hence, fjord systems are sensitive indicators of climate change phenomena. However, the greatest effort in studying the Holocene history of Svalbard has mainly focused on the shelf area (e.g., Hald et al., 2007; Rasmussen et al., 2012; Łącka et al., 2015). There have been only a few high-resolution studies of the sedimentary record of the Svalbard fjords from the last millennium (e.g., Majewski and Zajączkowski, 2007; Majewski et al., 2009).

The environmental changes during the last millennium observed in the Svalbard shelf were correlated with the interplay of Atlantic and Arctic water masses (Kubischta et al., 2011; Jernas et al., 2013). The Hornsund fjord is strongly influenced by tidewater glaciers, and thus the sedimentary record in this fjord might indicate that enhanced melt-water delivery increased the sediment accumulation and restricted the sea productivity during the periods of glacial retreat. To accurately study climate-driven environmental variability in the past, it is crucial to create a network of proxies that carry different but complementary information.

Foraminifera are widely used as proxies of past and present environmental changes in 1 all types of marine environments. However, palaeoceanographic reconstructions have focused 2 on multi-chambered hard-shelled taxa and have ignored soft-walled, monothalamous species, 3 which often dominate foraminifera assemblages in high latitude regions (Gooday, 2002). 4 Monothalamous for a with organic or predominantly organic test walls are 5 traditionally defined as allogromiids (Gooday, 2002). However, morphological and molecular 6 evidence indicate that 'allogromiids' does not refer to a coherent taxonomic group but rather a 7 group what is scattered between several monothalamous clades (Pawlowski et al., 2002; 8 Lejzerowicz et al., 2013a). The group includes organic-walled ('naked') and agglutinated 9 forms of various shapes (Cedhagen et al., 2002). Monothalamous foraminifera with a test 10 build of agglutinated particles are referred to as 'saccamminids' or 'psammosphaerids.' The 11 term 'allogromiid' is sometimes applied to monothalamous taxa, irrespective of wall type. 12 Therefore, literature reports might include saccamminids and psammosphaerids in the 13 allogromiids group (Gooday, 2002). 14

Previous studies have shown that it is possible to consider monothalamous and 15 polythalamous foraminifera (Lejzerowicz et al., 2013b) and other groups of non-fossilized 16 eukaryotes (e.g., Coolen et al., 2013, 2006; Boere et al., 2011) in palaeoecological surveys 17 using an ancient environmental DNA (aDNA) approach. To include monothalamids in 18 palaeoecological studies of Arctic foraminifera, we analysed the ancient foraminiferal DNA 19 record from the last millennium from Hornsund (Pawłowska et al., 2014). The richness of the 20 foraminiferal communities revealed by the molecular analysis was much higher than that in 21 22 the fossil record, mainly due to the detection of a high number of monothalamous species that were not preserved during the fossilization process and small-size species that are not retained 23 on micropalaeontological sieves. 24

The aim of this study was to reconstruct the climate-driven environmental changes over the last millennium in Hornsund, with decadal to multi-decadal resolution. The promising results of our previous study (Pawłowska et al., 2014) encouraged us to use our aDNA data to supplement the palaeoclimatic record based on traditional proxies. We evaluated the potential use of monothalamous foraminifera as palaeoceanographic proxies, showing that they might provide valuable environmental information that is complementary to the data obtained with traditional microfossil proxies.

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## 9 2 Study area

Hornsund is the southernmost fjord of Spitsbergen. It is connected to the open sea by a
wide no-sill outlet. The fjord's coastline encompasses several glacier - proximal basins that
are separated by sills. In its central part, the water depth exceeds 200 m and varies from 55 m
to 180 m in the glacier - proximal basins (Fig. 1).

The hydrology of the fjord is under the influence of two main exogenous water 14 15 masses: the Atlantic Water (AW) and the Arctic Water (ArW). The AW is warm and saline, 16 and its temperature and salinity are usually defined as  $\geq$  3°C and  $\geq$  34.9, respectively. The ArW is colder and fresher, and its salinity varies along the Spitsbergen shelf due to the 17 freshwater outflows from fjords (Cottier et al., 2005). The AW and ArW mix over the 18 continental shelf to form the Shelf Transformed Water (STW), which has a temperature and 19 salinity of 1°C and 34.7, respectively. The STW mainly occupies the outer and central parts of 20 the fjord. The Local Water (LW) is formed directly in the fjord by convectional mixing during 21 22 cooling in the fall and winter or the interaction between the warmer fjord water and glacier fronts (Svendsen et al., 2002). 23

Seventy percent of the Hornsund catchment area is covered by glaciers (Hagen et al.,
1993). The melting of the eight major tidewater glaciers results in an important sediment

delivery to the fjord. The modern sediment accumulation rate varies from 0.5 to 0.7 cm yr<sup>-1</sup> in
the central and inner parts, respectively (Szczuciński et al., 2006).

During the last millennium, Hornsund was subjected to major environmental changes, including the MWP, cooling and glacial advances during the LIA, which culminated in the period from 1600 AD to 1900 AD, and warming and massive glacial retreats during the 20<sup>th</sup> and 21<sup>st</sup> centuries (MW; Ziaja, 2001; Pälli et al., 2003). These changes were correlated with the variability in the inflow of the cold ArW and warm AW and were recorded in foraminifera assemblages and the stable isotope compositions from foraminiferal tests (Majewski et al., 2009).

The previous aDNA-based investigation on the foraminiferal fauna from Hornsund 10 (Pawłowska et al., 2014) focused mainly on the hard-shelled species. The aDNA sequences 11 assigned to testate foraminifera made 142 out of 394 OTUs (Operational Taxonomic Units). 12 13 The microfossil record comprised 45 species and 57% of them were found also in the molecular data. Foraminifera detected by both approaches included species reported for 14 15 Hornsund by previous investigations (e.g., Hald & Korsun 1997; Pogodina 2005) e.g., 16 Cassidulina spp, Cibicides lobatulus, Nonionellina labradorica, Elphidium excavatum, Reophax scorpiurus, Reophax sp., Spiroplectammina sp., Cribrostomoides crassimargo and 17 Quinqueloculina sp. Among these, Cassidulina spp, C. lobatulus and E. excavatum were 18 noted frequently in microfossil and sequence data, making 8.8 %, 3.4 % and 2.5 % of the 19 sequences, respectively. However, there were four species that were also frequent in the fossil 20 record, but were represented by less than 1 % of aDNA sequences (N. labradorica and C. 21 22 crassimargo) or were not sequenced (B. frigida and I. helenae). On the other hand, some taxa belonging to genera Stainforthia, Spiroplectammina, Reophax were found rarely in the 23 microfossil assemblage, but were represented by numerous sequences. The discrepancies 24

- between the records were explained by biological features of certain foraminiferal species and
   by the differences in characteristics of these two approaches (i.e., the analytical procedures).
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#### 4 **3 Material and methods**

A 2 m long sediment core HF 2011 was taken with a gravity corer from the R/V 5 Oceania during a cruise in July 2011. The sampling station was located in the central basin of 6 the fjord, in a flat seabed area at a depth of 135 m (76° 57.238'N; 15° 41.782'E; Fig. 1). The 7 core was subsampled onboard for aDNA analyses and frozen at -20°C until further analyses 8 were conducted at the Institute of Oceanology, Polish Academy of Sciences (Sopot, Poland), 9 as described in Pawłowska et al. (2014). After thawing at 4°C, the core was split into two 10 parts longitudinally, and each half was cut into 1 cm slices for micropalaeontological and 11 sedimentological analyses. Carbonate shells were picked for accelerator mass spectrometry 12 (AMS) <sup>14</sup>C dating. 13

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### 15 **3.1 Grain-size and stable isotope analyses**

The grain size analysis of the sediment slices was conducted using a Mastersizer 2000 16 laser analyser coupled with a HydroMU device (Malvern Instruments, Malvern, UK) and 17 supported by the wet sieving of fractions larger than 250 µm. The granulometric data were 18 analysed with the use of the GRADISTAT 8.0 software program (Blott and Pve, 2001). Dried 19 and weighted sediment fractions > 250 and 500  $\mu$ m were used for IRD analyses, and at least 20 500 mineral grains from each fraction were counted under a stereomicroscope. The IRD was 21 expressed as the number of grains per gram of sediment (grain  $g^{-1}$ ) and number of grains per 22 square centimetre per vear (grain  $\text{cm}^{-2} \text{ v}^{-1}$ ). 23

24 Stable isotope analyses were performed on foraminiferal tests selected from 54 25 sediment layers. From each layer, 10 to 12 well-preserved specimens of *Cibicidoides*  *lobatulus* were selected. The measurements were performed on a Finnigan-MAT 253 mass
 spectrometer coupled to a Kiel IV carbonate preparation device (Thermo Fischer Scientific,
 University of Florida). The resulting values were compared to isotopic standard NBS-19 and
 expressed in standard δ notation relative to Vienna Pee Dee Belemnite (VPDB).

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# 6 **3.2 Foraminiferal counts and molecular analysis**

7 Prior to the analysis of the fossil foraminiferal assemblages, 74 selected sediment samples were dried, weighed and wet-washed through sieves with 500 and 100 µm openings. 8 Each sample was divided using a dry microsplitter, and at least 300 specimens from each 9 sample were counted. The foraminiferal counts were reported as percentages of the total 10 assemblage and as the number of individuals per square centimetre per year. The fossil 11 foraminifera assemblage was analysed with an orthogonally rotated (varimax) Q-mode 12 13 Principal Component (PC) analysis, using commercially distributed software (SYSTAT 11). Taxa with abundances > 2 % of the total assemblage in at least one sample were analysed. 14 15 Each PC was defined by the dominant (and eventually accessory) species. The PCs were 16 referred to foraminiferal assemblages (FA) named after the dominant species. The PC scores showed the contribution of the selected species to each PC. PC loadings higher than 0.4 were 17 18 regarded as statistically significant (Malmgren and Haq, 1982).

The analysis of molecular data from 12 selected layers was described in detail in Pawłowska et al. (2014). Briefly, the total DNA of each of the 12 sediment samples was extracted with a PowerSoil DNA kit (MoBio). A 3' SSU rDNA fragment including the foraminifera-specific 37f hypervariable region (Pawlowski and Lecroq, 2010) was PCR amplified from environmental DNA. The SSU rDNA sequences were then obtained either based on cloning and Sanger sequencing or after library-preparation and Illumina highthroughput sequencing.

For the cloning-based Sanger sequencing, the environmental DNA was PCR amplified 1 with s14F3 forward primer combined with s17, s15.2 or s15ROTEX as reversed primers. The 2 sizes of the resulting fragments were of ca. 400 bp for s14F3/s17 and ca. 200 bp for both the 3 s14F3/s15.2 and s14F3/s15ROTEX amplifications. Nested PCR was performed for samples 4 with s14F3/s17 with the use of a s14F1/s17 primer combination. Positive and controlled PCR 5 products of expected sizes were cloned and Sanger sequenced as in Pawlowski et al. (2011). 6 The resulting raw sequences were manually corrected and edited using Codon Code Aligner 7 and Seaview 4.0 (Gouy et al. 2010). 8

For high-throughput sequencing (HTS), s14F0 and s15 primers tagged with unique 9 sequences of 5 nucleotides were used. The size of the obtained fragment was ca. 100 bp. The 10 amplicons were quantified and pooled in equimolar quantities. A library preparation was 11 performed using a TruSeq library-preparation kit (Illumina) and was loaded onto a HiSeq 12 13 instrument for a paired-end HTS run of 2\*100 cycles at Fasteris SA (Plan-les-Ouates, Switzerland). The processing of the HTS sequence data, including quality filtering, sample 14 15 demultiplexing, strict dereplication into unique sequences and operational taxonomic units 16 (OTUs) selection was realized according to Lejzerowicz et al. (2013a), except that unique sequences that were composed of up to 10 reads in a sample were removed. The results were 17 presented as OTUs-to-samples tables and transformed in terms of the number of OTUs and 18 the relative abundance (%) of sequences. 19

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## 21 **4 Sediment dating**

The age of the studied core was estimated based on high precision AMS <sup>14</sup>C dating performed on bivalves shells, as presented in Pawłowska et al. (2014). Eleven shells identified to the highest possible taxonomic level were selected and processed on a 1.5 SDH-Pelletron Model 'Compact Carbon AMS' (Poznań Radiocarbon Laboratory, Poland). The dates were

- converted into calibrated ages using the CALIB Rev. 7.0.2 Beta calibration program (Stuiver
   and Reimer, 1993) and the Marine13 calibration dataset (Reimer et al., 2013). The difference
   ΔR in the reservoir age correction of 105 ± 24 was applied (Mangerud et al., 2006).

Four of the eleven samples were used to establish an approximate age model for the 4 sediment core. The upper-most sample contained post-bomb carbon, which indicates a post-5 1960 age. Samples located in the middle part of the core revealed ages that were not in 6 chronological order. All the samples (shells) revealing age reversals were excluded from the 7 age model as they were likely redeposited (Table 1). Samples out of chronological order 8 occurred at the depths of ~ 15-55 cm and ~ 80-115 cm, and, therefore, it is likely that these 9 sediment intervals represent major redeposition events. The age-depth model was made with 10 the use of the CLAM-R software program (Blaauw, 2010; Fig. 2). The age of the oldest layer 11 was estimated to be ~ 965 AD. The sediment accumulation rate (SAR) in the deepest part of 12 the core (i.e., before 1800 AD; up to 120 cm) ranged from 0.1 to 0.125 cm yr  $^{-1}$ . At ~ 1800 13 AD (120 cm), the SAR increased to 1 cm yr  $^{-1}$ . In the upper layers (after ~ 1850 AD; 70 cm), 14 the SAR decreased to 0.3 cm yr  $^{-1}$ . 15

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### **17 5 Foraminifera as environmental indicators**

Due to the differences in the ecological tolerances of particular species, foraminifera are indicators of glaciomarine conditions, Atlantic and Arctic water masses and bottom currents. Herein, we followed the classification that Majewski et al. (2009) established based on ecological and palaeoenvironmental studies from Greenland, Svalbard, Novaya Zemlya and the Kara Sea region (see Majewski et al., 2009 and references therein).

The glaciomarine group comprised *Cassidulina reniforme, Elphidium excavatum* and *Quinqueloculina stalkeri*. The characteristic species of the Atlantic water mass are *Nonionellina labradorica, Bolivina pseudopunctata, Buccella frigida, Adercotryma*  *glomerata, Ammotium cassis* and *Recurvoides turbinatus*. We decided to exclude *Reophax fusiformis* and *Reophax pilulifer* from this group because there were only 2 specimens of *R*. *fusiformis* in the HF\_2011 core, and *R. pilulifer* was not reported. The Arctic water group was
composed of *Islandiella norcrossi, Elphidium spp* (excluding *E.excavatum*), *Stainforthia feylingi, Stainforthia loeblichii, Spiroplectammina biformis* and *Spiroplectammina earlandi*.
We decided to add *Islandiella helenae* to this group based on Kelly et al. (1999). The bottom
current indicator group consisted of *Cibicidoides lobatulus* and *Astrononion gallowayi*.

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## 9 **6 Results**

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## 11 **6.1 Sediment age and characteristics**

The sediment was composed mainly of glaciomarine mud, with low sand content. Before 1600 AD (145 cm), the mean grain size fluctuated slightly, except for one peak at ~ 14 1450 AD (160 cm), which reached 4.5  $\varphi$ . In ~ 1600 AD, the mean grain size increased 15 slightly to 6.2  $\varphi$ . After 1800 AD (122 cm), it varied within a broader range of values and 16 presented three slight peaks between 1800 and 1850 AD (120 cm, 100 cm and 70 cm). A 17 decrease in the mean grain size was observed from the mid to the end of the 20<sup>th</sup> century (25-0 18 cm; Fig. 3).

From 1000 AD to 1800 AD (200-122 cm), the IRD flux was relatively stable and did not exceed 2 grains cm<sup>-2</sup> y<sup>-1</sup>. After that period, the IRD delivery increased considerably, reaching up to 28 grains cm<sup>-2</sup> yr<sup>-1</sup>. From ~ 1900 to the end of the 20th century, the IRD flux varied from 0.24 to 10 grains cm<sup>-2</sup> yr<sup>-1</sup> (Fig. 3). There were three distinctive periods when the amount of IRD in the sediment considerably increased (Fig. 3): 1) at the transition from the MWP to the LIA (160-130 cm; up to 30 grains g<sup>-1</sup>), 2) in the late LIA (115-80 cm; up to 24 grains g<sup>-1</sup>) and 3) in the early 20<sup>th</sup> century (60-20 cm; up to 24 grains g<sup>-1</sup>).

## 1 **6.2 Stable isotopes**

From 1000 to 1600 AD (200-145 cm),  $\delta^{18}$ O showed relatively stable values varying 2 slightly from 2.63 ‰ vs. VPDB to 3.32 ‰ vs. VPDB. After 1600 AD, it fluctuated distinctly 3 from 2.23 to 3.50 % vs. VPDB. Larger  $\delta^{18}$ O values were observed before 1600 AD and in 4 the 20<sup>th</sup> century. The period from 1600 to 1900 AD (145-60 cm) was characterized by a 5 smaller  $\delta^{18}$ O, with significant peaks at the beginning of the LIA (~ 1600 AD; 145 cm) and 6 during the late LIA (05 cm and 90 cm). The measured values of  $\delta^{13}$ C varied from 0.54 ‰ vs. 7 VPDB to 1.59 ‰ vs. VPDB and fluctuated along the core. The most important fluctuations 8 occurred between ~ 1600 and 1900 AD (145-60 cm), with  $\delta^{13}$ C values ranging from 0.54 to 9 10 1.48 ‰ vs. VPDB (Fig. 3).

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## 12 6.3 Foraminiferal abundance and taxonomic composition revealed by the fossil record

The foraminiferal flux varied from 1 to 86 ind cm<sup>-2</sup> yr<sup>-1</sup>. The most noticeable shift occurred at ~ 1800 AD (120 cm), when it increased from 2.8 to 81 ind cm<sup>-2</sup> yr<sup>-1</sup> (Fig. 3). The number of foraminifera per gram of sediment varied from 86 to 3838 ind g<sup>-1</sup>. The highest values were observed before ~ 1850 AD (70 cm). After 1850 AD, the number of foraminifera declined and did not exceed 1742 ind g<sup>-1</sup> (Fig. 3).

A total of 28,771 individuals were assigned to 72 species and 38 genera. Most of the 18 species belonged to Rotaliida (34), Textulariida (12) and Lagenida (12). The other species 19 were identified as Miliolida (10), Lituolida (2) and Globigerinida (Table S1 in the 20 Supplement). The most abundant species were *Elphidium excavatum*, *Cassidulina reniforme*, 21 Cibicidoides lobatulus and Nonionellina labradorica (Fig. 4). The fossil assemblage was 22 strongly dominated by *E. excavatum* and *C. reniforme*, which together comprised up to 82 % 23 of the total abundance. The abundance of C. lobatulus and N. labradorica varied slightly 24 along the core, and no evident faunal changes were observed. The highest percentages of N. 25

*labradorica* were noted after ~ 1800 AD and at the beginning of the 20<sup>th</sup> century (110 cm, 50
cm and 25 cm), when its relative abundance reached up to 25 %. The highest percentages of *C. lobatulus* were noted before 1600 AD (145 cm), and a notable decrease in that species
occurred in the latter part of the 20<sup>th</sup> century (25-0 cm). The percentage of agglutinated taxa
did not exceed 25 % and reached its highest values between 1600 and 1800 AD (145-120 cm)
and after ~ 1930 AD (25 cm; Fig. 4).

The proposed 4-factor PC explained 98.5 % of the total variability of the tested 7 dataset. The most important PC analysis assemblages were (1) the E. excavatum FA, which 8 explained 40.8 % of the total foraminiferal variance, (2) the C. reniforme FA, with E. 9 excavatum as an accessory species, which explained 34.8 % of the variance, (3) the N. 10 labradorica FA, with C. lobatulus as an accessory species, which explained 20.1 % of the 11 variance, and (4) the C. lobatulus FA, which explained 2.8 % of the total variance (Table 2). 12 13 The HF\_2011 core was dominated by the E. excavatum FA and the C. reniforme FA throughout. The E. excavatum FA showed the highest factor loadings during the LIA (i.e., 14 between 1600 and 1900 AD). In the uppermost part of the core, the E. excavatum factor 15 loadings decreased, and the role of the C. reniforme FA increased. The N. labradorica FA 16 was significant during the MWP and the early LIA (before  $\sim 1800$  AD) and was not 17 significant during the late LIA (after ~ 1850 AD). The N. labradorica factor loadings started 18 to increase at the beginning of the 20<sup>th</sup> century. The C. lobatulus FA was significant only in 19 two layers dated to the MWP (Fig. 5). 20

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#### 22 6.4 Foraminifera in the ancient DNA record

The results of the aDNA analysis are described in detail in Pawłowska et al. (2014).
Herein, we summarize the results, focusing on monothalamous foraminifera.

We used Sanger and high-throughput sequencing (HTS) to obtain 717 and 8,700,815 1 sequences, respectively. A total of 394 operational taxonomic units (OTUs) were obtained 2 from the sequence clustering. The majority of the OTUs were assigned to Monothalamea (96 3 OTUs) and Rotaliida (93 OTUs). The remaining OTUs were assigned to Textulariida (33 4 OTUs), Miliolida (10 OTUs), Globothalamea (10 OTUs), Robertinida (1 OTU) and 5 Globigerinida (5 OTUs); 146 OTUs remained unassigned (Table S2). Although the sequences 6 of the species that dominated the fossil record were present in most of the samples, their 7 abundances did not reflect the abundances in the fossil specimens (see Pawłowska et al., 8 2014). 9

The 96 OTUs assigned to monothalamids comprised 39.4 % of the sequences. The percentage of monothalamous sequences varied along the core from 3.5 % to 65 %. (Fig. 5) There were 7 OTUs constituting more than 3 % of all the sequences in at least one sample. They were referred to *Bathysiphon* sp. (clade BM), *Micrometula* sp. (clade BM, 2 OTUs), *Toxisarcon sp.* (clade C) and monothalamous foraminifera of undetermined phylogenetic origin (3 OTUs; Table S2).

16 Monothalamid sequences were assigned to 14 clades, including 10 that were represented by more than 5 % of the monothalamid sequences in at least one sample. The 17 assemblage of monothalamous foraminifera was strongly dominated by clade BM (genera 18 19 Micrometula and Bathysiphon), which together comprised up to 90 % of the sequences of monothalamids (Fig. 5). Bathysiphon sp. was the most abundantly sequenced in the samples 20 spanning the MWP and the early LIA, whereas sequences of *Micrometula* sp. dominated in 21 the samples spanning the 20<sup>th</sup> century. The monothalamous assemblage during the MWP was 22 dominated by Toxisarcon sp. and environmental monothalamous sequences belonging to 23 24 clade V. The early LIA (1600 - 1800 AD; 150-125 cm) was marked by an increased proportion of sequences of Hipocrepinella hirudinea (clade D) and Cedhagenia saltatus 25

(clade O). The monothalamous assemblage during the beginning of the MW (~ 1900 AD; 50
cm) was strongly dominated by *Micrometula* sp. (which made up to 75 % of the
monothalamous sequences), together with *Vellaria pellucidus*. In the late MW, a high number
of monothalamid sequences occurred that belonged to environmental clades or were of
undetermined phylogenetic origin (Fig. 6).

6

## 7 **7 Discussion**

Previous studies on the Svalbard Holocene history reported 'unstable environmental 8 conditions' during the last thousand years (e.g., Berben et al., 2014; Groot et al., 2014), 9 reflecting the major climatic changes: the MWP (~ 900 - 1500 AD), the LIA (~ 1500 - 1900 10 AD) and the MW (~ 1900 - present) (Oerlemans, 2005). The Svalbard ice core records and 11 sediment records from the shelf adjacent to Hornsund suggested that prolonged cooling 12 started ~ 1600 AD, and the most severe conditions occurred during the 19<sup>th</sup> century (Isaksson 13 et al., 2003; Majewski et al., 2009). On the contrary, the reconstruction of the Earth's surface 14 15 air temperature from Svalbard (Divine et al., 2011) constituted the cooling stage between 800 16 and 1800 AD, with no clear signs of the onset of the LIA. Our foraminiferal and sedimentological records from Hornsund matched the trend described by Divine et al. (2011), 17 18 as it revealed a sharp change in environmental conditions at ~ 1800 AD.

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## 20 7.1 The Medieval Warm Period and the early Little Ice Age (~ 1000 AD - ~ 1800 AD)

The period from ~ 1000 to ~ 1800 AD was characterized by low and stable fluxes of IRD and foraminifera and slightly heavier δ <sup>18</sup>O (Fig. 3). This might indicate the influence of warmer and more saline waters, probably of Atlantic origin, and low glacial activity (Jernas et al., 2013). The fossil foraminiferal assemblage was fairly stable during the MWP (i.e., before 1600 AD) and there was no clear evidence of faunal change. Conversely, the foraminiferal

aDNA record featured a high percentage of Toxisarcon sp. (Clade C) at ~ 1000 AD (Fig. 5). 1 As reported by Gooday et al. (2005), Toxisarcon sp. are commonly found in the Svalbard 2 fjords. In the case of our study, the increase in the *Toxisarcon* sp. percentage coincided with 3 the peak of light  $\delta^{18}$ O, followed by lighter  $\delta^{13}$ C, which might suggest the presence of a highly 4 productive zone of frontal contact of the AW and ArW water masses. Voltski et al. (2014) 5 noted the presence of diatom frustules in the cytoplasm of Toxisarcon sp... Therefore, we 6 concluded that the occurrence of Toxisarcon sp. might be related to the phytoplankton-7 originated organic matter input. 8

Our data showed a slight peak of lighter  $\delta^{18}$ O at 1600 AD (Fig. 3), which could 9 indicate an increase in melt water delivery to Hornsund, but it was not followed by increases 10 in sediment accumulation and IRD flux. Therefore, we concluded that lighter  $\delta^{18}$ O at ~ 1600 11 AD showed the increased ArW inflow from the Barents Sea to the Svalbard shelf and 12 Hornsund, which is in agreement with previous  $\delta^{18}$ O and fossil for a miniferal records 13 obtained from the outer fjord (Majewski at al., 2009). This event occurred within a period of 14 significant climate changes connected to the transition from the MWP to the LIA. The 15 16 Humlum et al. (2005) investigation of the frozen in situ vegetation below Longyearbyen glacier (central Spitsbergen) indicated the advance of that glacier during the last ~1100 years. 17 Based on the terrestrial record from Hornsund, the WMP was interrupted 600 years ago by an 18 19 advance of glaciers (Marks and Pekala, 1986; Linder et al., 1990) that lasted until the beginning of the 20<sup>th</sup> century. 20

The most pronounced changes that occurred at ~ 1600 AD in the HF\_2011 fossil assemblage were slight peaks in the abundances of *N. labradorica, I. norcrossi* and *I. helenae*. Moreover, an increase in the percentage of agglutinated taxa was noted between 1600 AD and 1800 AD (Fig. 4). *Nonionellina labradorica* is an AW indicator usually found in relatively warm and saline waters (Lloyd, 2006; Majewski et al., 2009). However, the abundances of these three species seemed to be controlled more by the food supply than by water temperatures (Hald and Korsun, 1997; Lloyd, 2006; Ivanova, 2008). The presence of *Islandiella* spp might indicate a highly productive environment related to the Polar Front (Steinsund, 1994). This supports the evidence of the inflow of the colder and less saline ArW at ~ 1600 AD, which changed the water mass balance and productivity in the fjord.

The foraminiferal flux before ~ 1800 AD was low (Fig. 3) and could be explained by 6 7 the presence of species with low fossilization potential, e.g., agglutinated taxa (Wollenburg and Kuhnt, 2000). The percentage of agglutinated taxa was relatively low during the MWP 8 and increased significantly after 1600 AD (Fig. 4), which likely reflected the inflow of the 9 10 ArW and relatively low glacial activity (Hunt and Corliss, 1993; Hald and Korsun, 1997). Our aDNA data suggests that the abundance of agglutinated foraminifera was higher than that 11 shown by the fossil record. Three agglutinated taxa were detected in both the fossil and aDNA 12 13 record: C. crassimargo, Reophax spp and Spiroplectammina spp (Tables S1 and S2). However, only C. crassimargo was detected by both approaches in the corresponding layers. 14 Reophax spp and Spiroplectammina spp sequences were recorded in all the examined 15 16 samples, but they were relatively rare or absent in the fossil material (Pawłowska et al., 2014), probably due to the degradation of their tests. Korsun and Hald (2000) regarded S. biformis 17 18 and S. earlandi as typical for glaciomarine habitats. They noticed an increase in the abundance of those agglutinated species off glacier. Korsun et al. (2005) and Hald and Korsun 19 (1997) reported *Reophax* spp and *Spiroplectammina* spp in the outer parts of the glacially fed 20 fjords of Svalbard and Novaya Zemlya. Zajączkowski et al. (2010) noted a decrease in the 21 22 abundance of agglutinated foraminifera in Hornsund with increasing water turbidity. Thus, the presence of those species might indicate a glacier-distant environment. 23

Furthermore, the transition to the LIA between ~ 1600 and ~ 1800 AD was well marked by the increase in the percentage of monothalamous foraminifera aDNA sequences

(Fig. 6). Monothalamids are highly adaptable and occur in environments where conditions 1 may be extreme (Gooday, 2002; Sabbattini et al., 2010), which makes them effective 2 colonizers. It is likely that the change in the hydrology and productivity in Hornsund at  $\sim$ 3 1600 AD might have created a new ecological niche, which was effectively settled by 4 monothalamids. The monothalamous assemblage during the early LIA (from  $\sim 1600$  to  $\sim$ 5 1800 AD) was dominated by taxa belonging to clade BM, mainly from genus Bathysiphon 6 (Fig. 6). Gooday et al. (2005) reported Bathysiphon sp. in two glacial influenced fjords, Van 7 Mijenfjorden and Kongsfjorden, at glacier distant sites. Moreover, a sharp peak of heavier 8  $\delta^{13}$ C was noted in Hornsund at ~ 1600 AD, which might suggest the short-term suppression of 9 primary productivity that resulted in the presence of degraded organic matter and 10 phytodetritus that seemed to be favourable for Bathysiphon sp. (Alve et al., 2010). The 11 presence of sequences of Bathysiphon sp. and agglutinated Spiroplectammina spp and 12 Reophax spp support our conclusion that at the onset of the LIA (~ 1600 - ~ 1800 AD), the 13 position of the glacier fronts was relatively distant to the fjord centre, which resulted in a low 14 15 SAR and a low IRD flux, whereas the fjords' water masses were influenced by the ArW.

16 The transition to the LIA (~ 1600 - ~ 1800 AD) was also marked by increased percentages of sequences assigned to the monothalamid clade D (mainly Hippocrepinella 17 hirudinea) and to clade O (mainly Cedhagenia saltatus; Fig. 5). Cedhagenia saltatus is a 18 species recently found by Gooday et al. (2011) in the Black Sea. Little is known about the 19 environmental tolerance of C. saltatus. However, its presence in the area is strongly impacted 20 by human activity, which suggests that it is an opportunistic species that has a high tolerance 21 22 to environmental disturbance. Hipocrepinella hirudinea was noted in the fjords of Svalbard by Majewski et al. (2005) and Gooday et al. (2005). It appeared in the central and outer parts 23 of the studied fjords, mainly in the shallow water sites. Korsun et al. (2005) noted the 24 presence of the genus *Hipocrepinella* in Novaya Zemlya; however, it may not have referred to 25

*H. hirudinea*. The scarce data on the ecological tolerances of *H. hirudinea* and *C. saltatus* precluded making any general conclusions.

3

#### 4 7.2 The late Little Ice Age (~ 1800 AD - ~ 1900 AD)

The late LIA was characterized by an increased sediment accumulation rate and 5 strongly fluctuating IRD delivery (Fig. 3). These changes were linked to changes in the 6 7 particulate matter flux, which in subpolar fjords was governed by glacial meltwater discharge (Syvitski, 1989). Substantial amounts of suspended sediment and IRD might be released from 8 glaciers during rapid deglaciation and during glacial surges (Koppes and Hallet, 2002). 9 10 Moreover, sediment might be stored in the proglacial zones of land-based glaciers, from where could be eroded, particularly under conditions of increased glacial meltwater runoff 11 and increased precipitation (Szczuciński et al., 2009). Next, the sediment could be redeposited 12 13 from the sublittoral zone by storm waves. During the LIA, glacial extent reached its Holocene maximum (D'Andrea et al., 2012); thus, calving and melt water delivery could have occurred 14 close to the central part of the fjord. Noticeably, the increase in the IRD delivery during the 15 16 late LIA was not followed by an increase in the mean grain size, as was observed in both the precedent and following periods. It is likely that the amount of fine-grained sediment 17 18 delivered to the sea bottom significantly exceeded the amount of coarse ice-rafted sediment (i.e., IRD) and, consequently, almost no change in the mean grain size was observed. 19

Our data showed a 20-fold increase in the foraminiferal flux at ~ 1800 AD (Fig. 3), whereas the species diversity was relatively low due to the dominance of glaciomarine species, especially *E. excavatum* and *C. reniforme* (Figs. 4 and 5). As a consequence of the maximal range of the glaciers, conditions throughout the fjord became more glacier-proximal. The aDNA revealed the dramatic increase in the percentage of sequences of monothalamous foraminifera at ~ 1900 AD (Fig. 6). Previous studies revealed that the

distribution of monothalamids in Svalbard was closely related to the distance from the glacier 1 at the head of the fjord. The study conducted by Majewski et al. (2005) in Kongsfjorden and 2 Isfjorden showed a distinctive faunal gradient along the fjord axes, with three different 3 monothalamous assemblages at subtidal, shallow-water and deep-water sites. Korsun et al. 4 (2005) and Korsun and Hald (1998, 2000) reported that allogromiids constituted up to 99 % 5 of living foraminifera in the stations close to the glacier termini in Novaya Zemlya and 6 Spitsbergen. Sabbattini et al. (2007) attributed the occurrence of monothalamids in the 7 Svalbard region to inputs of fresh water and a high, changeable sedimentation rate. Gooday et 8 al. (2005) noted that the inner parts of Tempelfjorden and Kongsfjorden, fjords headed by 9 tidewater glaciers, were dominated by organic-walled allogromiids and saccamminids. This 10 was reflected in our data as the percentage of allogromiids (mainly Micrometula sp.) 11 increased significantly from 40 % at ~ 1850 AD to 80 % at ~ 1900 AD (Fig. 5). Moreover, the 12 13 late LIA and the early MW were marked by an increase of the percentage of sequences assigned to clade E, mainly Vellaria pellucidis. Majewski et al. (2005) noted the presence of 14 15 Vellaria sp. in subtidal and shallow areas of the Spitsbergen fjords. The increase in the 16 percentage of Micrometula sp. and V. pellucidis in the period of the highest glacial activity suggests that those species were potential indicators of glacier-proximal settings. 17

18

## 19 **7.3 The Modern Warming (~ 1900 AD – present)**

The sedimentary record of the MW featured a decrease in the SAR and a lower but variable IRD flux (Fig. 3). Peaks in the IRD flux coincided with the increased mean grain size (Fig. 3). This trend was opposite to that of the late LIA, where no clear correlation between the IRD flux and mean grain size was observed. The post-LIA glacial retreat led to an increased distance between the coring station and the main tidewater glacier front. In the Spitsbergen fjords, fine-sized particles from glacial outflows are deposited close to the source

(Szczuciński et al., 2009); therefore, the HF 2011 station was impacted mainly by ice-rafted, 1 coarser particles. The IRD flux gradually diminished in the late 20<sup>th</sup> century, which was 2 probably a result of retreat of the tidewater glaciers' fronts to the inner bays, which limited 3 iceberg drift to the fjord centre. The most noticeable changes in the fossil foraminifera 4 community occurred in the late 20<sup>th</sup> century, with the gradual increase of *B. frigida* and *I.* 5 norcrossi and the decrease of C. lobatulus (Fig. 4). Islandiella norcrossi and B. frigida 6 typically occupied the distal sections of the glacial fjords of Svalbard and Novaya Zemlya 7 (Korsun et al., 2005; Hald and Korsun, 1997; Korsun and Hald, 2000; Pogodina, 2005). 8 Steinsund (1994) linked the presence of I. norcrossi and B. frigida with high productivity 9 related to a polar front position and seasonal sea-ice cover. Thus, we concluded that since the 10 mid-20<sup>th</sup> century, Hornsund and the adjacent shelf remained under the influence of the AW, 11 which formed a frontal zone with local waters. This conclusion is supported by the PC 12 13 analysis, which showed that the significance of the N. labradorica FA was increasing during the 20th century (Fig. 5). Cibicidoides lobatulus is a relatively shallow-water species and 14 takes advantage of vigorous bottom waters (Hald and Korsun, 1997; Lloyd, 2006); however, 15 it is an epiphytic species that needs a hard substrate to stay attached to the bottom surface. 16 Therefore, the decrease in the percentage of C. lobatulus could be connected to a decrease in 17 the near-bottom currents and a low IRD flux and, consequently, an increase in the fine 18 sediment fraction (Fig. 3). The increased abundance of species typical of glacier-distal faunas 19 was followed by a decrease in the abundance of species considered to be bottom current 20 indicators (Fig. 4). These results support our evidence for a rapid glacier retreat, coupled with 21 22 the decreasing influence of glaciomarine sedimentation and enhanced productivity. It was also reflected in the molecular record, where the number of OTUs and the percentage of 23 monothalamids decreased after  $\sim$  1920 AD, reaching values similar to those during the MWP 24 (Fig. 6). The second half of the 20th century was marked by a significant increase in the 25

unassigned monothalamids sequences belonging to environmental clades. However, without
an accurate identification of sequences, it is not possible to make any palaeoecological
interpretations.

The use of the approach based on aDNA allows the hidden diversity of benthic 4 foraminifera communities to be assessed and, therefore, the information based on traditional 5 palaeoceanographic proxies to be refined. However, current methodological biases associated 6 with the environmental DNA sequencing approach preclude comprehensive analyses of 7 sequence abundance data. The aDNA data should be interpreted carefully as it is not possible 8 establish relationship to the direct between the number of 9 specimens and the number of ribosomal sequences. Some aspects of quantitative DNA 10 analyses were discussed in Weber and Pawlowski (2013). One of the conclusions was that 11 when the species is very abundant, its sequences are also numerous. Therefore, the genuinely 12 13 dominant species might be associated with high sequence occurrences in the sequencing data.

14

#### 15 8 Conclusions and Perspectives

The main climatic fluctuations of the last millennium (the MWP, the LIA and the 16 MW) were reflected in the fjord water mass balance and glacial activity. The marine 17 18 environmental conditions during the MWP and the early LIA were relatively stable, with a low SAR and low IRD flux. The beginning of the LIA (~ 1600 AD) was poorly supported by 19 the fossil record, but it was well evidenced in the aDNA data. It was marked by the increased 20 percentage of sequences of monothalamous foraminifera, mainly Bathysiphon sp., which 21 supports our assumption that the terminal positions of the glaciers were relatively distant at 22 the onset of the LIA. The early LIA (~ 1600 - ~ 1800 AD) was also marked by high 23 percentages of H. hirudinea and C. saltatus. The late LIA (after ~ 1800 AD) was 24 characterized by the increased proximity of tidewater glaciers' fronts, which increased 25

sedimentation from suspension and from the icebergs; thus conditions in the fjord centre 1 2 became glacier-proximal. The end of the LIA (  $\sim$  1900 AD) was marked by increased percentage of Micrometula sp. and V. pellucidus. Those results revealed their potential as 3 indicators of glacier-proximal environments, which were characterized by melt water 4 outflows, a high sedimentation rate and increased calving. During the MW, the major 5 glaciers' fronts retreated rapidly to the inner bays, limiting the iceberg discharge to the fjord 6 centre and causing the shift in the foraminiferal community reflected in the fossil and aDNA 7 8 records.

The present study was the first attempt to implement an aDNA foraminiferal record for 9 palaeoclimatic reconstruction. The data inferred from the molecular analyses correlated well 10 11 with environmental changes. The aDNA record even revealed small environmental changes that were not clearly indicated by the fossil record. By including monothalamous foraminifera 12 identified in the aDNA record, we considerably increased the number of potential proxy 13 species. However, to fully benefit from this new source of information, it is essential to 14 improve knowledge of the ecology of monothalamids. The positive results of the present 15 study encourage further applications of ancient foraminiferal DNA sequences to reconstruct 16 past environmental changes in polar regions. 17

18

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	Sediment depth [cm]	Material	Raw AMS <sup>14</sup> C	Calibrated years BP $\pm 2\sigma$	Years AD used in age model	
	8.5	Cilliatocardina cilliatea	105.58 (± 0.35) pMC	-1035		
	33.5	Bivalvia nd.	9990 (± 50) BP	10 605-11 040		
	48.5	Gastropod nd.	610 (± 30) BP	40-240		
	56.5	Nuculana pernula	880 (± 25) BP	315-485		
	70.5	Bathyarca glacialis	580 (± 30) BP	1-150	1850	
	89.5	Macoma calcarea	765 (± 30) BP	230-420		
	106.5	Cilliatocardina cilliatea	760 (± 30) BP	230-420		
	109.5	Cilliatocardina cilliatea	735 (± 25) BP	180-380		
	122.5	Gastropod nd.	615 (± 30) BP	40-250	1800	
	166.5	Hiatella arctica	1075 (± 30) BP	500-630	1450	
	173.5	Macoma calcarea	$1145 (\pm 30) BP$	540-670	1400	
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**Table 1.** Raw AMS <sup>14</sup>C and calibrated dates used for the age model (after Pawłowska et al.,

2 2014).

- **Table 2.** PC scores and percent of total variance explained by four factor principal component
- 2 analysis. The contribution of each analysed species is shown, and species significant for
- 3 particular assemblages are marked in bold.

	PC 1	PC 2	PC 3	PC 4
Percent of total variance explained	40.8 %	34.8 %	20.1 %	2.8 %
Adercotryma glomerata	-0,1857	-0,13401	-0,75184	-0,06062
Buccella frigida	-0,40847	0,081688	-0,13456	-0,34934
Cassidulina reniforme	-1,44681	2,923605	0,894126	0,480619
Cibicidoides lobatulus	-0,22008	-1,29993	1,821983	2,252356
Cribrostomoides crassimargo	0,13799	0,418817	-0,63454	-1,15662
Elphidium bartletti	-0,06081	-0,41644	-0,64903	0,130446
Elphidium excavatum	3,21345	1,108558	0,281919	0,526077
Islandiella helenae	-0,39509	-0,39785	0,267723	0,366261
Islandiella norcrossi	-0,21596	-0,31512	-0,5112	0,091585
Nonionellina labradorica	0,124046	-0,71182	2,242218	-2,40609
Quinqueloculina stalkeri	-0,23152	-0,30084	-0,57036	0,05742
Recurvoides turbinatus	-0,15527	-0,33373	-0,6666	0,055335
Spiroplectammina biformis	-0,08015	-0,30205	-0,80459	0,020384
Spiroplectammina sp.	-0,07563	-0,32089	-0,78525	-0,00781

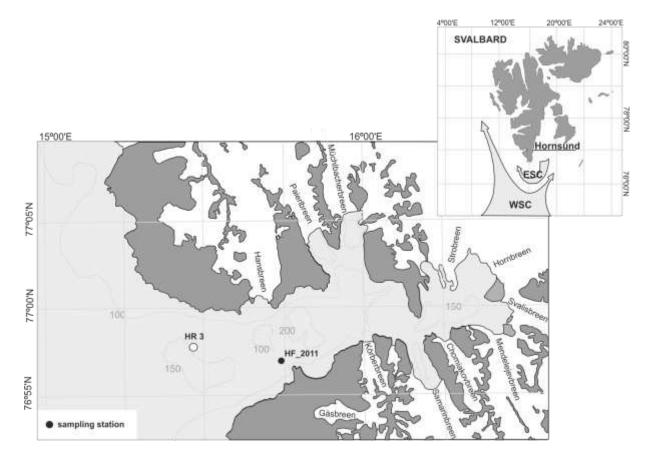




Figure 1. Bathymetric map of Hornsund with sampling station HF 2011. The position of core
HR 3 studied by Majewski et al. (2009) is shown. Glaciers are shown in white. WSC – West
Spitsbergen Current, ESC – East Spitsbergen Current.

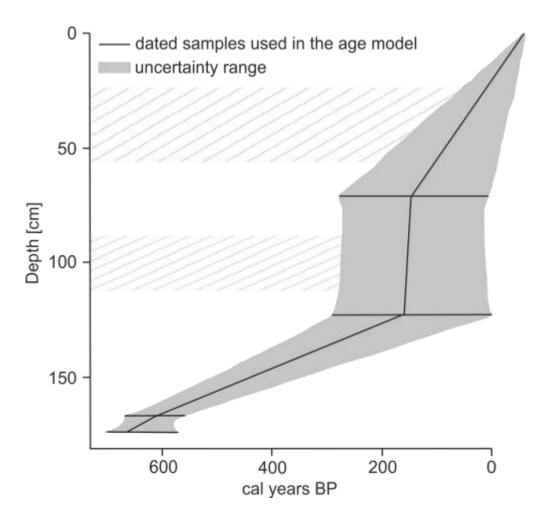


Figure 2. Age model of the studied core. The black line indicates the age-depth model derived from a linear interpolation. The grey fields show the probability distributions of calendar dates obtained by the calibration of individual <sup>14</sup>C dates used for the age model. The shaded areas mark sediment intervals which most likely represent major redeposition events (after Pawłowska et al. 2014, modified).

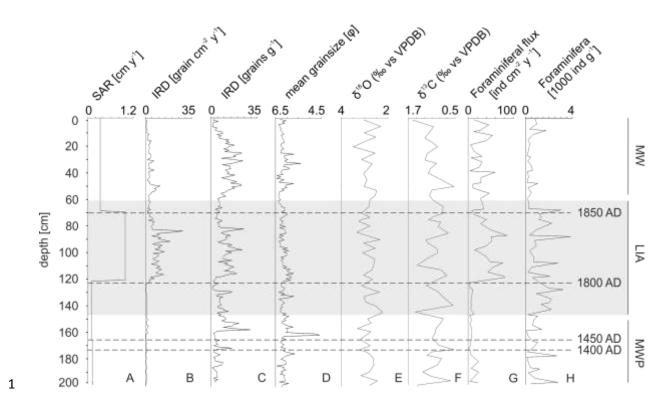


Figure 3. Sediment accumulation rate (A), IRD delivery, expressed as IRD flux (B) and number of IRD grains per gram of sediment (C), mean grainsize (D), stable oxygen (E) and carbon (F) isotopes, flux of total fossil foraminifera (G) and number of foraminifera per gram of sediment (H). MWP: Medieval Warm Period, LIA: Little Ice Age, MW: Modern Warming. The time ranges of the MWP, LIA and MW are presented after Majewski et al. (2009).

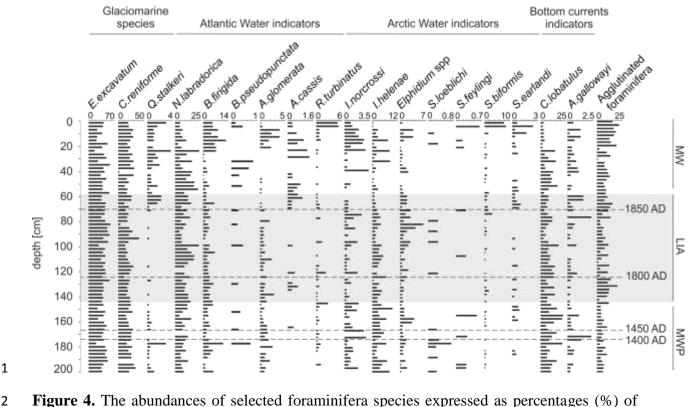
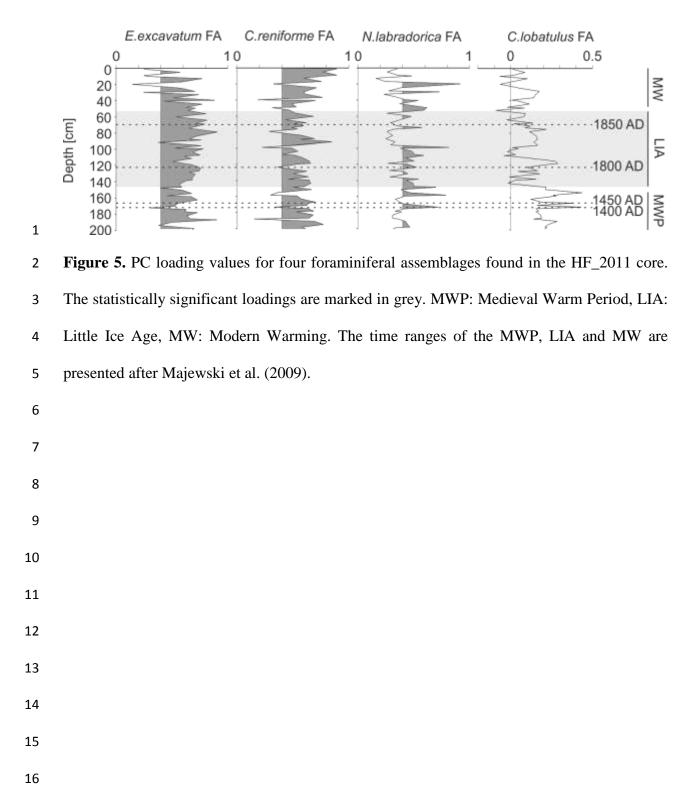


Figure 4. The abundances of selected foraminifera species expressed as percentages (%) of the total assemblage. The foraminiferal taxa were grouped based on their ecological tolerances (see Sect. 5: Foraminifera as palaeoenvironmental indicators). MWP: Medieval Warm Period, LIA: Little Ice Age, MW: Modern Warming. The time ranges of MWP, LIA and MW are presented after Majewski et al. (2009).

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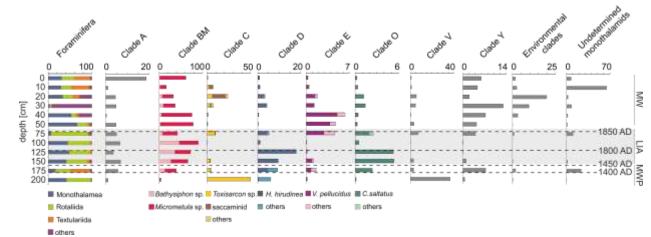


Figure 6. The relative abundance of the monothalamid sequences, expressed as the percentage of all foraminiferal sequences, and the composition of the monothalamid assemblage, expressed as percentages of sequences within clades. Clades that constitute more than 5 % of the monothalamid sequences in at least one sample are presented. 'Environmental clades' relate to foraminifera known only from environmental sequencing. 

#### **Electronic supplementary material**

Table S1. List of fossil foraminifera species and number of individuals in core HF 2011. 

Table S2. OTU richness and number of foraminiferal sequences in core HF\_2011.