

Dear Editor,

Please find below our detailed replies (in blue) and corresponding adjustments of our manuscript consequently to the comments and suggestions formulated by the reviewers and your final advice.

We have made major adjustments and improvements to the manuscript especially considering the climate interpretation from the perspective of the pollen records, the descriptions of the vegetation dynamics during the last 2 ka and also the figures. Adjustments were made throughout the Introduction section, Results, Discussion, Conclusions and Figures+Tables, improving descriptions as suggested by the Reviewers and Editor. We expanded the Conclusion section to make the paper more accessible for a wider public, to motivate the reader to engage into a more detailed revision of the paper, and also to highlight the most important findings for increased citation.

We hope we successfully complied with the comments and suggestions and we appreciate the detailed reviews and suggestions by the reviewers and the Editor.

Kind regards,

Suzette Flantua

In name of all the co-authors.

**Response to V. Markgraf ([www.clim-past-discuss.net/11/C1253/2015/](http://www.clim-past-discuss.net/11/C1253/2015/)): Interactive comment on “Climate variability and human impact on the environment in South America during the last 2000 years: synthesis and perspectives” by S. G. A. Flantua, H. Hooghiemstra, M. Vuille, H. Behling, J. F. Carson, W. D. Gosling, I. Hoyos, M. P. Ledru, E. Montoya, F. Mayle, A. Maldonado, V. Rull, M. S. Tonello, B. S. Whitney, and C. González-Arango et al. doi:10.5194/cpd-11-1219-2015**

We are very pleased to have received a review from the much appreciated Vera Markgraf and we are grateful for her positive feedback on the manuscript. Here we address each comment with explanation.

1) *Is there a line missing on p. 3519 lines 19/20 about the easterly precipitation?*

It concerns the following sentence: “Those sites located in the Patagonia receive extreme precipitation events from the east.”

Thank you for this observation, we understand that it is a bit confusing. We adjusted the sentence as followed: “Furthermore, sites located in Patagonia receive extreme precipitation events related to moisture coming from the east”.

2) *Why is Harberton not plotted on Fig. 13?*

How could we have missed that one! Of course Fig. 13 should display Harberton as well! In the next figure version, Harberton will be included, thank you for this observation. With special regards to the reviewer.

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**Response to S. Bertrand ([www.clim-past-discuss.net/11/C1452/2015/](http://www.clim-past-discuss.net/11/C1452/2015/)): Interactive comment on “Climate variability and human impact on the environment in South America during the last 2000 years: synthesis and perspectives” by S. G. A. Flantua, H. Hooghiemstra, M. Vuille, H. Behling, J. F. Carson, W. D. Gosling, I. Hoyos, M. P. Ledru, E. Montoya, F. Mayle, A. Maldonado, V. Rull, M. S. Tonello, B. S. Whitney, and C. González-Arango et al. doi:10.5194/cpd-11-1219-2015**

We appreciate it very much that S. Bertrand engaged in the discussion section of this manuscript to share his suggestion on the title.

He states that the title is rather misleading as climate variability syntheses are generally based on multi-proxy records. We received a similar comment from a reviewer and therefore we decided to adjust the title to:

*Climate variability and human impact in South America during the last 2000 years: synthesis and perspectives from pollen records.*

Thank you very much for being involved in the improvements of our paper.

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**Response to G. Sottile (www.clim-past-discuss.net/11/C1499/2015/): Interactive comment on “Climate variability and human impact on the environment in South America during the last 2000 years: synthesis and perspectives” by S. G. A. Flantua, H. Hooghiemstra, M. Vuille, H. Behling, J. F. Carson, W. D. Gosling, I. Hoyos, M. P. Ledru, E. Montoya, F. Mayle, A. Maldonado, V. Rull, M. S. Tonello, B. S. Whitney, and C. González-Arango et al. doi:10.5194/cpd-11-1219-2015**

We appreciate very much the very nice summary of the different sections of our manuscript and the detailed revision by G. Sottile. We incorporated the suggested grammar suggestions and are convinced that these details are very important for the overall quality of the end results so our gratitude to the reviewer for these corrections.

Here we address his specific comments and technical corrections with our responses.

*1) Page 3478, line 18: I think you should use the word archive in plural: “archives”*

It concerns the following sentence “Fortunately, tree rings studies have expanded their geographical coverage. These constitute a widely distributed and frequently used high resolution climate archive”.

The use of the singular “archive” is correct in this case.

*2) Page 3492, line 21: correct “Ecuadorian” by Ecuatorian*

It concerns the following sentence “A different kind of index to highlight vegetation-climate interaction was used in the eastern Ecuadorian Andes at Papallacta PA1-08.”

We feel that the correct use is “Ecuadorian”, as using “Ecuatorian” would refer to the Equator instead of Ecuador.

*3) Page 3495, line 7: did you mean “suggests that people abandoned”*

It concerns the following sentence “However, a drop in charcoal fragments (fire activity) coupled with the absence of archaeological evidence (1.9–1.4 ka), suggests that people abandon the valley during 1.5–0.5 ka and, consequently, that the aridity signal from the pollen could be interpreted as a climatic one.”

Thank you for this observation, we corrected it to “abandoned”.

*4) Page 3501, line 9: replace “a” by “an”*

It concerns the following sentence: “However, a integrative multi-proxy approach allow inferring...”

Thank you for this observation, we corrected it to “an”.

*5) Page 3504, line 6: skip “dominance” after Poaceae*

It concerns the following sentence: “To the N (westward Andes), the Lago Aculeo record (34°5 S) shows dominance of Poaceae dominance suggesting....”

Thank you for this observation, we removed the duplicated “dominance” from the sentence.

*6) Page 3505, line 10: do you mean: “can elucidate the correct origin....”. I think you should add a verb after “can” .*

It concerns the following sentence: “Only by looking at pollen changes in context with other evidence – e.g. charcoal, limnology, sedimentology, archaeology- can the correct origin of these changes be identified.”

We understand that the sentence is a bit confusing. We changed the sentence to:  
“It is only by looking at changes in pollen spectra in context with other evidence (e.g. charcoal, limnology, sedimentology, archaeology), that we can identify their origin.

7) *Page 3507, line 25: do you mean “conquistadores”?*

It concerns the following sentence: “This vegetation change could be related to the first arrival of the Spanish “conquistadors” (González-Carranza et al., 2012), or a set of different causes (Wille and Hooghiemstra, 2000).”

Thank you for this observation, we corrected it to “conquistadores”.

8) *Page 3508, line 2-4: I think you should add a verb to this sentence.*

It concerns the following sentence: “At many of the sites occupied by native Amazonians, evidence of land use as a decline in burning by or before 0.5 ka, probably in relation to first European contact.

Thank you for this observation, we corrected it to: “At many of the sites occupied by native Amazonians, **evidence for land use comes from a decline in burning at or before 0.5 ka**, probably in relation to first European contact.”

9) *Fig. 7b. Correct PARAM4-D07 by PATAM4-D07 Fig 9b.*

Corrected in the figure, thank you.

10) *Record Urpi Cocha is mentioned between pages 3493-3496, but is missing in Fig9b, why?*

Urpi Cocha is present along with Marcacocha in the top left of the figure.

11) *Fig.10. Laguna El Cerrito, Laguna Frontera, Laguna San José and Maxus- 1 are not mentioned in the manuscript?.*

Correct. These records are only present in the figures and the tables because the entire record (or most of it) has anthropogenic signals. Due to the already high text volume we decided not to enter into detail but in the new version of the manuscript we added the following:

page 3515, lines 11-12 “The better-resolved late Holocene records tend to come from small lake basins (e.g. oxbows like Maxus-1, El Cerrito and Frontera), which have small pollen catchment areas”.

page 3515, lines 18: Examples of continuous anthropogenic signal during the last 2 ka are Laguna El Cerrito, Laguna Frontera and Laguna San José (Fig.10).

Page 3517, lines 5-10 “Most of these deep temporal pollen records, as they are published now, likely have sub-sample intervals of insufficient resolution to be able to discern high-frequency events, such as vegetation changes associated with ENSO variability. However, in some cases, such as Bella Vista, San José and Orícore, the potential for such fine temporal reconstructions may be limited by the low sedimentation rate of the basins”.

12) *Fig. 12b: Is it possible that Hinojales- San Leoncio record colours are inverted? Because the climatic trend showed in the figure is opposite to the exposed between Page 3500, line 26 and Page 3501, line 5.*

Thank you for this observation. We made adjustments to Figure 12b so that it will reflect correctly the description in the text.

**Response to V. Iglesias ([www.clim-past-discuss.net/11/C1576/2015/](http://www.clim-past-discuss.net/11/C1576/2015/)): Interactive comment on “Climate variability and human impact on the environment in South America during the last 2000 years: synthesis and perspectives” by S. G. A. Flantua, H. Hooghiemstra, M. Vuille, H. Behling, J. F. Carson, W. D. Gosling, I. Hoyos, M. P. Ledru, E. Montoya, F. Mayle, A. Maldonado, V. Rull, M. S. Tonello, B. S. Whitney, and C. González-Arango et al. doi:10.5194/cpd-11-1219-2015**

We much appreciate the review report on our paper and we found it very helpful to address the different questions in more details. We have included nearly all suggestions in the text and here we address each comment with explanation (in blue).

\* The manuscript is well written (although it could largely benefit from proofreading and language revision) and the figures are clear.

Before submitting, the final version will go through several revisions. Thank you for this suggestion.

*1) I think the title is a little misleading. From its content, I would expect the manuscript to review multiple climate proxies (possible models as well), provide a synthesis and discuss how anthropogenic impact has altered the landscape. As opposed to this, the authors a) provide an overview of modern South American climate; b) attempt to reconstruct climatic variations in seven sub-regions of South America; c) describe some indicators of land-use.*

Thank you for this observation. We adapted the title to: Climate variability and human impact in South America during the last 2000 years: synthesis and perspectives from pollen records.

*2) The climate overview is very good, concise and with enough details to avoid oversimplifications. I believe that it would be beneficial to include a short discussion of the similarities and differences of Figs 2 and 3, and 4 and 5, respectively. At the moment, those figures are always cited in pairs (i.e., 2 and 3, and 4 and 5), and the advantage of showing both correlation and regression is not obvious.*

We have added a short explanation regarding the similarities and differences between correlation and regression maps and now discuss in greater detail how they provide different and complementary information.

*3) For analytical purposes, the authors divided South America in seven sub-regions and provided a characterization of their modern setting. Although climate is described in all cases, the text is somehow unbalanced in as much as, in some cases, geological data are reported and modern climate-vegetation relationships are parameterized, while in other areas only a very superficial description of the dominant plant types is given. Whereas for this manuscript the geology of each sub-region might not be crucial, it might be important to discuss modern climate-vegetation relationships, at least, qualitatively.*

We agree that it is important that the regional descriptions are consistent but we also feel that different environmental variables might be more relevant in some records than others. Following the reviewer's suggestion, we will check on consistency and make the necessary adjustments to increase the overall readability of the manuscript. Thank you for this observation.

4) Pollen records from each sub-region are assessed in terms of their potential for climate reconstructions. Records are chosen according to very high standards (and I agree with the authors in that more flexible criteria could be applied). However, the authors state that, 'To use pollen as a palaeoclimate proxy, the degree of human impact on the vegetation needs to be considered minimum or absent over the last 2 ka' (p. 3840, lines 13-14).

I believe that the minimum requirements for using pollen as a climate proxy are:

a) vegetation needs to be assumed in equilibrium with climate (i.e., no disturbance –anthropogenic or natural-, no biotic interactions);

b) the pollen-vegetation (land cover)-climate relationship needs to be calibrated and

c) the existence of analytical and natural noise in the pollen time series needs to be taken into account (i.e., high frequency fluctuations do not necessarily represent changes in the landscape).

Although requirement (b) can be (and has often been) relaxed -qualitative reconstructions are highly informative-, (a) and (c) cannot be ignored. In the literature, there are plenty of examples of ways in which these limitations can be overcome (compositing, Bayesian and frequentist models, use of plant functional types) and robust climate reconstructions achieved (eg, Peyron et al. 2000, Quaternary Research; Davis et al. 2003 QSR, Trondman et al 2015 Global Change Biology).

My questions are:

4.1) Why are records with 'signs' of human impact discarded?

Disentangling natural and anthropogenic drivers of environmental change is an extremely challenging task. As the authors state 'Indirect indicators such as change in forest composition (e.g. due to deforestation) or species known as disturbance indicators (*Cecropia* and *Mauritia*) need additional proxies to derive conclusive findings. Only by looking at pollen changes in context with other evidence – e.g. charcoal, limnology, sedimentology, archaeology can the correct origin of these changes be identified.' (p. 3505, lines 5-10). I assume (and might be mistaken) that for most records there is no independent evidence of human impact (and, of course, absence of evidence is not evidence of absence). If that is the case, the climate reconstruction is likely to be biased towards sites with fewer proxies.

Records with signs of human impact were not discarded. To clarify this issue, we added to p. 3505, line 11 the following explanation: "Ambiguous records with fewer proxies were not immediately discarded, but considered within the context of the other records from their wider region. Based on this, an assessment could be made as to whether an anthropogenic signal may have obscured natural vegetation change trajectory."

Additionally, the discussion results a little contradictory in that decreased arboreal pollen, for instance (but the same is true for *Cyperaceae*, *Asteraceae* and *Chenopodiaceae*, among other taxa) is sometimes interpreted as anthropogenic deforestation and in other occasions is inferred to be a response to decreased moisture.

*I believe that perhaps a better approach would be to include all records, account for local-scale variability (see references above). They are a few of many examples of robust climate reconstructions in areas of long histories of intense human impact, such as Europe and Africa) and, when available, draw on the archeological record to test the assumptions of the chosen method.*

Here we provide an answer to both 4.1 and 4.3 as they are similarly in context:

The reviewer presents an idealized set of criteria for the use of pollen as a climate proxy; and we agree that it is essential that our community strives for excellence in data collection for the pursuit of our research goals. These criteria, however, are unrealistic for South America, at least at this present time. The calibration of pollen to climate and/or land cover data (criterion 'b') requires good vegetation mapping, plant-pollen harmonization and well-resolved climate gauging data, much of which is unavailable for the existing modern pollen records from South America and varies greatly across the region (often associated with differences across political boundaries). At present, vegetation mapping is well-resolved by ecoregion (Olson et al., 2000), but these do not offer the spatial resolution necessary to understand climatic responses of individual taxa and/or to calibrate mechanistic pollen model for land cover reconstructions, as suggested by the reviewer. Pollen-plant harmonization is still under development in most ecosystems. For lake records especially, forest pollen taxa that can reflect a range of ecologically-distinctive vegetation formations (e.g., Moraceae/Urticaceae) often comprise a significant proportion of the signal, thus assigning a climatic or land cover value to these taxa is highly problematic, as is required by criterion 'b'. Despite these problems, the qualitative approach adopted by tropical palaeoecologists, in the absence of better calibration data, has provided valuable information on past vegetation and climate change in the tropics. (NB. The absence of 'better data' is an issue that we are striving to address as a community but it takes time. The modern pollen trap data from the Amazon, for example, is not 10 years in publication (Gosling et al. 2005, 2009).). In the absence of calibration data, tropical palaeoecologists rely on a combination of indicator taxa (or pollen types unique to specific biomes) and decades of ecological studies and plot-level surveys to understand plant-pollen relationships within the biomes in which they work. The more stringent criterion 'a', that vegetation needs to be assumed in equilibrium with climate, with no anthropogenic or natural disturbance, or biotic interactions, has been given serious consideration by the co-authors. Firstly, to require 'no biotic' interactions within a functioning ecosystem is simply an impossibility. Ecosystems by their definition are systems of energy transfer through interactions with the constituent biological components; this is true of ecosystems in any part of the globe, including temperate Europe where quantitative climate reconstructions have been achieved from pollen. These studies have been carried out, despite the long standing knowledge that soils, which have biotic components, have controlled post-glacial vegetation movement and changes in community composition. In the Amazon, the hydrological cycle on which the rainforest depends is in turn dependent on evapotranspiration of this prominent ecosystem. In this respect, biotic interactions cannot be discounted in the use of pollen, or any, biological proxy. Instead, it is far more realistic to argue that it is required that climate plays a significant role in controlling the biogeography of tropical ecosystems. Ecosystems of South America are in equilibrium with climate, but like so many biogeographic enquiries, the scale of the vegetation unit under study needs to be considered in the interpretation of pollen data.

At continental scale, there are several biomes that occupy the same climatic space, but are differentiated by edaphic conditions. Savannas and seasonally-dry tropical forests, for example, require the same precipitation regime, but savannas occur on infertile soils. Similarly, hydrological savannas and rainforests

might co-exist where there are differences in soil conditions. At a continental scale, therefore, plant distribution is controlled by climate, but soils exert a secondary level of control. Within each of the edaphically-controlled vegetation formation, however, climate can exert a control over community composition and vegetation structure, but to interpret the pollen records correctly requires a sound understanding of the ecological functioning of the ecosystem in question. The requirement to understand the unique functioning of several prominent tropical ecosystems is the reason we approached the study through multiple author collaboration and asked coauthors to make their own qualitative assessments of the records in their region, rather than attempting to compile datasets from across the continent and interpret them in a uniform way.

As well as edaphic conditions, anthropogenic impacts are another key non-climatic driver of vegetation change. Given that region-specific context is fundamental to sound interpretation for environmental reconstructions, we involved co-authors with expertise in specific regions who are best positioned to consider the drivers of vegetation. A criticism levelled by the reviewer is that anthropogenic deforestation and decreased moisture might result in similar signals in the pollen record. We accept this is a possibility and have highlighted these issues within the new version of the manuscript. An assessment was made of the records, based on the original authors interpretations, and those where the dominant driver of vegetation composition was likely anthropogenic impact, were deemed unsuitable for a reconstruction of climate-driven vegetation change. In this respect, ‘sites with “signs” of human impact were not discarded’, but are examples of how we controlled for non-climatic drivers of vegetation change through independent assessment of each record. Whether our approach is qualitative or quantitative, this independent assessment is required of each record to determine its suitability for climate reconstruction.

Thus, by involving co-authors appraised of the contextual information (archaeological, ecological, geological, etc.) of each region, interpretations were made based upon all the available evidence; which included modern environmental information, plant-environmental interactions, archaeology, and additional proxy data. For the most part, pollen records contained additional proxy data to provide further support in determining the driver of vegetation change. However, given the availability of different types of contextual information, we disagree that ‘the climate reconstruction is likely to be biased towards sites with fewer proxies’. The qualitative approach adopted to consider site context for each record, has allowed us to account for ‘local scale’ variability for each record, as suggested by the reviewer.

Another key issue that limits the development of a continental-scale quantitative model for climate reconstruction is the high degree of overlap in pollen types among biomes. Tropical palaeoecologists can often confidently link specific environmental or climatic conditions to a particular pollen type, but given the high degree of taxonomic overlap among different ecosystems and biomes, climatic reconstructions can be problematic if we are comparing like pollen-types (families) among ecologically-distinctive biomes. For example, to explore the ability to use pollen as a climate proxy, Punyasena (2008) linked the spatial distribution of plant families (available from Gentry’s forest plot data) pollen data from the LAPD, and restricted the taxonomic resolution to family level because this is the level to which most pollen is identified. A significant relationship between plant family and temperature and/or precipitation was found in the case of several families. In the application of the calibration dataset to fossil data, Punyasena et al. (2008) were successful at temperature reconstruction, but the model was incapable of reconstructing precipitation where there were taxonomically-similar forest formations that were tolerant of different flooding regimes (Whitney et al., 2011, Suppl. Info), as demonstrated by independent proxy data. In the



case of high degree of taxonomic similarity at family level, a 'one size fits all' model has been demonstrated to give variable results.

Given the above constraints, we argue that it is unrealistic to apply a quantitative approach to reconstructing climate in the Neotropics at this time. Although the author list draws together some of the more experienced and published researchers from each region (able to comment on all the pollen studies in their region), there are several reasons why a quantitative reconstruction is not feasible. There simply are not enough calibration sites compared to Davis et al. (2003) and similar northern temperate reconstructions to produce sound calibration datasets. Land cover reconstructions, such as Trondman et al. (2015) require further data to modern pollen data, such as fall speeds and pollen productivity estimates, which have not been obtained for South America. (B.S. Whitney [pers. comm.] is currently calibrating mechanistic pollen models to Amazonian ecosystems but, due to data restrictions, has minimized the model to a forest/non-forest binary). Statistical tools are often highly prized and viewed as superior methods, but where there is limited calibration data, it is imprudent to push towards reconstructive models. The drive to provide quantitative values associated with climate change (however inaccurate) is neither wise nor necessary, especially given that statistical tools can only build upon, but cannot replace, sound ecological and context information for a given pollen record.

Additional references (co-authors from this paper in bold):

**Gosling, W.D.**, et al. (2005) Modern pollen-rain characteristics of tall terra firme moist evergreen forest, southern Amazonia. *Quaternary Research*, 64: 284-297

**Gosling, W.D.**, et al. (2009) Differentiation between Neotropical rainforest, dry forest, and savannah ecosystems by their modern pollen spectra and implications for the fossil pollen record. *Review of Palaeobotany and Palynology*, 153: 70-85

Punyasena, S.W., et al. (2008). Quantitative estimates of glacial and Holocene temperature and precipitation change in lowland Amazonian Bolivia. *Geology*, 36(8):667

Punyasena, S.W. (2008) Estimating Neotropical palaeotemperature and palaeoprecipitation using plant family climatic optima. *Palaeogeogr Palaeoclimat Palaeoecol*, 265: 226-237

Whitney BS et al. (2011) A 45 kyr palaeoclimate record from the lowland interior of tropical South America. *Palaeogeogr Palaeoclimat Palaeoecol*, 307: 177-192. Supplementary Information.

#### *4.2) Why is fire considered as anthropogenic disturbance?*

The reviewer poses a very general question that covers a wide topic extensively discussed in the literature. We are aware of the fact that fire is thought to be a transformative agent within tropical ecosystem, both as a result of human practices and natural climatic forcing. Conclusive evidence is more ambiguous further back in time, e.g. during postglacial times, but for many localities within our 2 ka review, fire is considered to be highly anthropogenic related. We follow the original interpretation of the authors about the possible causes of fires at each individual site. It is important to note, that the manuscript is solely based on climatic information derived from pollen records (excluding charcoal), thus the climate-human-fire feedbacks are not treated in depth.

The group of co-authors has published and supported a series of studies where the fire-human-relationship was evidenced by different proxies:

**Behling, H., Hooghiemstra, H.**, 1998. Late Quaternary palaeoecology and palaeoclimatology from pollen records of the savannas of the Llanos Orientales in Colombia. *Palaeogeography, Palaeoclimatology, Palaeoecology* 139, 251–267.

De Porras, M.E., **Maldonado, A.**, Abarzúa, A.M., Cárdenas, M.L., Francois, J.P., Martel-Cea, A., Stern, C.R., Méndez, C., Reyes, O. (2012). Postglacial vegetation, fire and climate dynamics at Central Chilean Patagonia (Lake Shaman, 44 S). *Quaternary Science Reviews* 50, 71–85.

Niemann, H., Behling, H., 2008. Late Quaternary vegetation, climate and fire dynamics inferred from the El Tiro record in the southeastern Ecuadorian Andes. *Journal of Quaternary Science* 23, 203–212. doi:10.1002/jqs.1134

**Montoya, E., Rull, V.**, Nogué, S. (2011). Early human occupation and land use changes near the boundary of the Orinoco and the Amazon basins (SE Venezuela): Palynological evidence from El Paují record. *Palaeogeography, Palaeoclimatology, Palaeoecology* 310, 413–426. doi:10.1016/j.palaeo.2011.08.002

**Montoya, E., Rull, V.** (2011). Gran Sabana fires (SE Venezuela): a paleoecological perspective. *Quaternary Science Reviews* 30, 3430–3444. doi:10.1016/j.quascirev.2011.09.005

Iriarte, J., Power, M.J., Rostain, S., **Mayle, F.E.**, Jones, H., Watling, J., **Whitney, B.S.**, McKey, D.B. (2012). Fire-free land use in pre-1492 Amazonian savannas. *PNAS* 109, 6473–6478. doi:10.1073/pnas.1201461109

Additional relevant references:

Bush, M.B., Silman, M.R., McMichael, C., Saatchi, S., 2008. Fire, climate change and biodiversity in Amazonia: a Late-Holocene perspective. *Philosophical Transactions of the Royal Society of London B: Biological Sciences* 363, 1795–1802. doi:10.1098/rstb.2007.0014

Marlon, J.R. 2013. Global biomass burning: a synthesis and review of Holocene paleofire records and their controls. *Quaternary Science Reviews* 65, 5-25

Power, M.J., et al. 2008. Changes in fire regimes since the Last Glacial Maximum: an assessment based on a global synthesis and analysis of charcoal data. *Climate Dynamics* 30, 887–907. doi:10.1007/s00382-007-0334-x

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**Editor Decision: Publish subject to minor revisions (review by Editor) (03 Nov 2015) by Ricardo Villalba. Comments to the Author (pdf): cp-2015-85-comments-to-author.pdf**

We would like to thank the Editor for accepting the manuscript with minor revisions and for providing additional comments to the manuscript to improve the final outcome of the paper. Here we address the different suggestions posed within the letter of the Editor Decision as also within the cp-2015-85-comments-to-author.pdf. Comments from the editor are in black and our responses in blue.

1) My major concern deals with the imbalance between the high levels of detail provides in the Climatic settings section and the infrequent use of this information in the discussion of climatic signal present in the pollen records. The detailed information about the influence of the eight modes of climate variability on the South American climate, including four composite figures (Figs. 2-5), contrasts with the poor use of these patterns in the climatic interpretation of the palynological records during the last 2000 years. In the section of Climatic Settings, the authors provide details on the interactions between modes of climate variability for most regions in South America, whereas in discussing the pollen records only the principal modes of variability that affect different particular regions are listed. My advice to the authors is to simplify and reduce the extent of Climatic Settings section to be more consistent with the information discussed in the analysis of pollen records. Much of the information in the section Climatic Settings could be included as supplementary information.

We thank the Editor for these valuable observations to improve the paper. We decided to take on the challenge of improving the climate interpretations by the pollen records instead of reducing the section of the Climate Settings. For the general and specific public of our paper we thought that this would provide a much more interesting discussion by improving the structure and the connection between the detailed descriptions of the climate modes and the palynological records.

2) In addition, since ENSO is the most important mode of global interannual variability, and that is the case for South America, much of the Climatic Settings section is devoted to ENSO variability and spatial patterns. However, it is not mentioned in the text how changes in ENSO and other modes of high-frequency variability could be inferred from pollen records showing 200-300 years resolution.

Please see our answer to question 12).

3) To reduce the length of this manuscript, I suggest deleting the introduction to climate settings' section and starting directly with the Continental overview. Part of this introduction repeat the organization of the paper previously indicated.

Thank you for this observation. As we are aware that we present a lengthy paper to the reader, we thought in emphasizing the structure of the paper where possible. However, as this section is indeed a bit repetitive, we reorganized the text and removed the introduction previously explained.

4) In addition, your definition of climate zones: "Climate zones are regions of coherent seasonality and mean climate" does not completely agree with the three climate zones listed in this section. Tropical, subtropical and temperate zones in SA are extremely variable from rain-forests to deserts, from low lands to mountain climates in each of your climate zones. Therefore, this broad classification does not agree with your definition of climate zones of coherent variability and mean climate. Indeed, I will recommend use the term domain (tropical domain, subtropical domain, austral (or temperate) domain) with more geographical amplitudes than the term "zones".

Thank you for this suggestion. We agree that there is variability in each climate zone, and lowland and high-altitude climate are not the same. However the term 'zone' is appropriate from a climatic point of view if you only divide into three main regions, as is done here. It is a matter of how narrowly you want to subdivide your regions. The term 'zone' stems from 'zonal' and it is certainly appropriate to use it this way, since the separation is zonally motivated. We would like to use the term 'domain' within this paper for different climate features.

5) Since "austral" is more a geographic than a climatic term, "temperate" South America may be an alternative. South America. Please, consider this possibility.

We agree with the Editor. Indeed 'Austral' means 'southern' and is actually not climate related. Our preference though would be to use the term 'extratropical', which would be most consistent with the other two terms, tropical and subtropical. We checked the correct terminology throughout the manuscript.

6) Please, consider to include ocean currents as an additional forcing of climate in South America. Ocean circulation is a major forcing of regional climates in South America. For example, the cold Humboldt Current partially modulated many climate patterns all along western South America.

We agree with the Editor. We adjusted the sentence as followed: "*Atmospheric circulation and climate in all three zones is highly modulated and constrained by the orography of the Andes, the shape of the continent and interactions with the underlying land-surface, vegetation, soil moisture, but also ocean currents such as the cold Humboldt Current affecting coastal climate along the South American west coast (Wang and Fu, 2002; Li and Fu, 2006).*"

7) the southern Hemisphere? or the South American tropics and subtropics?

It concerns the following sentence:

*The SASM is a seasonal phenomenon that develops between September and April and affects primarily the southern hemisphere tropics and subtropics (Garreaud et al., 2009).*

Thank you for this suggestion. We adjusted the sentence as followed: "*The SASM is a seasonal phenomenon that develops between September and April and affects primarily the South American tropics and subtropics south of the equator (Garreaud et al., 2009).*"

8) In the following sentence, you state that the mature phase of the SASM occurs in DJF. However, in this sentence you mention that DJF is the transition to the monsoon. As you have previously mentioned the

SASM starts around September, so the transition to the SASM mature phase should be earlier than DJF. In addition, geographic differences make the seasonal SASM patterns more complex.

It concerns the following sentence:

*During the austral spring–summer (December to February, DJF) transition, moisture influx from the ITCZ contributes to the development of this monsoon system (Zhou and Lau, 2001; Vuille et al., 2012).*

Thank you for this suggestion. We understand that the sentence was somewhat confusing. We adjusted the sentence as followed: “*During the austral spring (September to November, SON) moisture influx from the ITCZ contributes to the development of this monsoon system (Zhou and Lau, 2001; Vuille et al., 2012).*”

9) Please, replace the "austral polar low" by the "circum-Antarctic cyclonic belt" to the S.

It concerns the following sentence:

*The austral region is characterized by a quasi-permanent westerly circulation embedded in-between the subtropical anticyclones located over the subtropical Pacific and Atlantic to the N and the austral polar low to the S.*

Thank you for this suggestion. However, in climate science we call this the ‘Circum-polar trough of low pressure’ and using “Cyclonic belt” would actually not be correct, because the cyclones form in between the two main pressure systems.

The sentence was adjusted as followed: *The extratropical region is characterized by a quasi-permanent westerly circulation embedded in-between the subtropical anticyclones located over the subtropical Pacific and Atlantic to the N and the circum-polar trough of low pressure to the S.*

10) Fig. 1 is not related to wind directions, it reflects percentages of precipitation in key seasons.

We agree that it is not correct to refer to Fig. 1 here and therefore removed the reference to this figure within this sentence.

11) In addition the influences of the Westerlies in Central Argentina is almost null, it is mostly limited to northern and southern Patagonia.

It concerns the following sentence:

*The latitudinal extension of the westerlies over land displays limited variations across the year and covers southern and central Argentina and Chile.*

Actually, Central Argentina is affected by westerly winds in the winter season, although it also depends how you define 'westerlies'. Certainly the cold air outbreaks are related initially to westerly flow and affect much of central Argentina during the austral winter.

12) Although this procedure is recommended for removing auto-correlation in the time series, how this autocorrelation could affect the interpretation of palinological records with decade- to century-scale resolution. Long-term trends in climate could certainly be related to changes in vegetation, likely recorded in pollen records. It is a scale problem: how I should related interannual variations in climate with long-term (multidecadal or longer) changes in vegetation.

It is not so much an autocorrelation as it is a trend related to increasing SST, likely greenhouse-gas induced and hence anthropogenic in nature. Hence we would not expect the same type of trends going back in time.

But of course it is correct that pollen may not respond to climate variability on these time scales we consider here but rather to longer-term mean state changes. All we can hypothesize is that past changes in mean state (e.g. toward more 'El-Nino-like' mean state in the Pacific) would have had a similar impact on SA climate as we see today on interannual time scales. One indication of this is that Pacific warming has a very similar fingerprint over S. America, whether it occurs on interannual (ENSO) or multidecadal (IPO) time scales. The same is true for N. Atlantic warming on interannual (TNA) and multidecadal (AMO) time scales. This is something optional to discuss in the paper but it is not something we can actually prove here and is somewhat beyond the scope of this study. It would require long model runs with perturbed fixed mean states in the oceans. However, in both Pacific and Atlantic we have high-frequency and low-frequency modes and in general their imprints on S. American climate are the same (e.g. ENSO vs. IPO/ PDO and AMO vs. TNA). This suggest that lower frequency modes have similar teleconnections over S. America and that you probably still find such a signal in pollen records.

13) Given the similarity between correlation and regression patterns, I will suggest to include the regression patterns alone. Correlation patterns have previously been shown in the literature, so the regression patterns are new and more attractive.

Here we do not agree with the Editor's suggestion. The correlation patterns provide complementary information and one cannot be deduced from the other. Also we are not aware that such maps have been presented in the literature previously for the paleo-community, aside from Garreaud et al (2009). In that paper however, the three Atlantic modes were not included and the two Pacific modes were based on different indices, as discussed above. We suggest to leave this analysis and corresponding figures in as we believe they will be highly welcome by the community!

14) Please, refer to "interannual" variability in relation to ENSO.

It concerns the following sentence:

*The largest and most significant influence on temperature variability in SA is exerted by ENSO, with above average temperatures during El Niño and reduced temperature during La Niña (Figs. 2 and 3).*

We adjusted this sentence to:

*The largest and most significant influence on **interannual** temperature variability in SA is exerted by ENSO, with above average temperatures during El Niño and reduced temperature during La Niña (Figs. 2 and 3).*

Thank you for this correction.

15) Please, consider rephrase this sentence to: "One standard deviation in the Niño3.4 index could be associated with changes in temperature up to 0.8°C along the Pacific coast of SA." or similar.

It concerns the following sentence:

*Temperature variations in western SA, and particularly along the Pacific coast, can reach > 0.8°C which is associated with a one standard deviation departure in the Niño3.4 index.*

Thank you for this suggestion. We adjusted this sentence to:

*A one standard deviation departure in the Niño3.4 index is associated with a change in temperature of up to 0.8°C along the Pacific coast of SA.*

1 **Climate variability and human impact in South America**  
2 **during the last 2000 years: synthesis and perspectives**  
3 **from pollen records**

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4  
5 **Running title: Climate variability and human impact in South America during the last**  
6 **2000 years.**

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10 B.S. Whitney<sup>11</sup>, C. González-Arango<sup>12</sup>

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## Abstract

An improved understanding of present-day climate variability and change relies on high-quality data sets from the past two millennia. Global efforts to model regional climate modes are in the process of being validated against, and integrated with, records of past vegetation change. For South America, however, the full potential of vegetation records for evaluating and improving climate models has hitherto not been sufficiently acknowledged due to an absence of information on the spatial and temporal coverage of study sites. This paper therefore serves as a guide to high-quality pollen records that capture environmental variability during the last two millennia. We identify 60 vegetation (pollen) records from across South America which satisfy geochronological requirements set out for climate modelling, and we discuss their sensitivity to the spatial signature of climate modes throughout the continent. Diverse patterns of vegetation response to climate change are observed, with more similar patterns of change in the lowlands and varying intensity and direction of responses in the highlands. Pollen records display local scale responses to climate modes, thus it is necessary to understand how vegetation-climate interactions might diverge under variable settings. We provide a qualitative translation from pollen metrics to climate variables. Additionally, pollen is an excellent indicator of human impact through time. We discuss evidence for human land use in pollen records and provide an overview considered useful for archaeological hypothesis testing and important in distinguishing natural from anthropogenically driven vegetation change. We stress the need for the palynological community to be more familiar with climate variability patterns to correctly attribute the potential causes of observed vegetation dynamics. This manuscript forms part of the wider LOng-Term multi-proxy climate REconstructions and Dynamics in South America – 2k initiative that provides the ideal framework for the integration of the various palaeoclimatic sub-disciplines and palaeo-science, thereby jumpstarting and fostering multi-disciplinary research into environmental change on centennial and millennial time scales.

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**Key words:** Pollen records, South America, last 2000 years, climate modes, LOTRED-SA, PAGES-2k, LAPD

107 **Abbreviations:**

108	2k:	Last 2000 calibrated years (short writing for: 2000 cal yr BP)
109	<a href="#">AD:</a>	<a href="#">Anno Domini (equivalent to CE: Current Era)</a>
110	ALLJ:	Andean Low-Level Jet
111	AMO:	Atlantic Multidecadal Oscillation
112	BP:	Before Present, present defined as AD 1950
113	<a href="#">C<sub>i</sub>:</a>	Central
114	cal kyr <a href="#">BP:</a>	Thousand calibrated years before present
115	Cheno/Am:	Chenopodiaceae/Amaranthaceae
116	DJF	December-January-February
117	ENSO:	El Niño – Southern Oscillation
118	GS:	Gran Sabana
119	IPO:	Interdecadal Pacific Oscillation
120	ITCZ:	Inter-Tropical Convergence Zone
121	JJA:	June-July-August
122	ka:	<a href="#">In this paper:</a> thousand calibrated years before present, cal kyr BP
123	LAPD:	Latin American Pollen Database
124	LIA:	Little Ice Age
125	LOTRED-SA:	LOng-Term multi-proxy climate REconstructions and Dynamics in South
126	America	
127	masl:	meters above sea level
128	MCA:	Medieval Climate Anomaly
129	NE:	Northeast(ern)
130	NW:	Northwest(ern)
131	<a href="#">PAGES:</a>	<a href="#">Past Global Changes</a>
132	P/E	Precipitation/Evapotranspiration ratio
133	S:	South(ern)
134	SA:	South America
135	SACZ:	South Atlantic Convergence Zone
136	SAM:	Southern Annular Mode
137	SASM:	South American Summer Monsoon
138	SE:	Southeast(ern)
139	<a href="#">SON:</a>	<a href="#">September, October, November</a>
140	SPA:	Subtropical Pacific Anticyclone
141	SST:	Sea Surface Temperature
142	<a href="#">SWWB:</a>	<a href="#">Southern Westerly Wind Belt</a>
143	TNA:	Tropical North Atlantic SST
144	TSA:	Tropical South Atlantic SST
145	UFL:	Upper forest line
146	W:	West(ern)
147		

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150 **1. Introduction**

151 Accurately simulating the complexity of Earth’s climate system is still a major challenge for  
152 even the most advanced Earth system models. One major obstacle for evaluating model  
153 performance in historical runs is the lack of long and reliable climate records from [some](#)  
154 regions of the [Earth](#). Given the scarcity of instrumental records in many regions, alternative,  
155 proxy-based climate reconstructions therefore provide an excellent dataset against which to  
156 test models and their ability to accurately simulate longer-term features of climate change.  
157 [Proxy data sets from sedimentary](#) records (in particular pollen, charcoal and tephra from lake  
158 sediments and peat bogs) have been particularly underutilized in this regard.

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159 Increasingly studies have demonstrated the integration of multiple proxies (Li *et al.*,  
160 2010) in a climate reconstruction, with a special focus on the two millennia ([in this paper](#)  
161 [abbreviated to “2 ka”](#)) before present (BP, present defined as AD 1950). This period could be  
162 considered a baseline to current conditions, as climate has been very similar to the present.  
163 This integration is still in its infancy in South America (SA), especially in the tropics. Since  
164 2009, regional climate reconstructions from [SA](#) have gained momentum [from compilations of](#)  
165 multiple datasets and [from](#) fine-tuning [of model](#) reconstruction methods (Villalba *et al.*,  
166 2009). [However, an improved understanding of the](#) spatial distribution of proxy data sets [has](#)  
167 [been](#) identified [as necessary to make further progress](#) (Villalba *et al.*, 2009; Flantua *et al.*,  
168 2015a). [Tree ring](#) studies constitute a widely distributed and frequently used high-resolution  
169 climate archive [that has fortunately recently expanded its spatial coverage](#) (Boninsegna *et al.*,  
170 2009; Villalba *et al.*, 2009). However, the [tree ring](#) records [are](#) limited compared to the [spatial](#)  
171 and temporal coverage provided by [records obtained from sedimentary archives \(e.g. pollen](#)  
172 [records\)](#). The newly updated inventory of palynological research in SA documents the  
173 extensive spatial and temporal coverage of pollen-based research available throughout the  
174 continent (Flantua *et al.*, 2015a). [However, to integrate records from different sedimentary](#)  
175 [archives across SA a standard chronological framework is required. To this end an](#) alternative  
176 recalibrated age models and evaluation of chronologies [has been undertaken to facilitate the](#)  
177 [integration of](#) multi-proxy [records](#) in SA (Flantua *et al.*, 2015b). However, multi-proxy  
178 climate reconstructions from the last 2 ka have hitherto been focused mainly on southern SA  
179 (PAGES-2k Consortium, 2013), omitting input from the northern two thirds of the continent.  
180 Furthermore, palynological research has been underrepresented in most reconstructions of  
181 climate variability (Villalba *et al.*, 2009; Neukom *et al.*, 2010; Neukom and Gergis, 2012).

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201 The lack of an adequate overview of available pollen records from the continent has been an  
202 impediment to the advancement of its use and inclusion in climate studies.

203 As a result, we identified the need to review and discuss pollen records in SA that can  
204 fulfil requirements for inclusion in 2 ka-[palaeoclimate](#) reconstructions, within the framework  
205 of *L*ong-*T*erm multi-proxy climate *R*Econstructions and Dynamics in South America  
206 (LOTRED-SA, this Special Issue) and [the PAGES-2k Network \(http://www.pages-](#)  
207 [igbp.org/ini/wg/2k-network/intro\)](#). This paper is structured following an assessment for  
208 individual regions in SA within the context of current climate modes. These modes are  
209 characterized by their precipitation and temperature fingerprint over [SA](#) and used as a  
210 baseline framework to identify past climatic changes from pollen records. Certain zones are  
211 more prone to particular climate signals; therefore comparison between the spatial expression  
212 of climate modes and highly correlated records from different regions strengthens the  
213 interpretation of [palaeoecological](#) findings. To use pollen as a palaeoclimate proxy, the degree  
214 of human impact on the vegetation needs to be considered [at a](#) minimum or absent over the  
215 last 2 ka. Therefore, drivers of vegetation change, both natural and anthropogenic, are  
216 discussed within the different regions to describe the general settings required for  
217 [palaeoecological](#) research in the last millennia. Records that identify significant human impact  
218 are identified and excluded from the proposed dataset for PAGES-2k [when the climate signal](#)  
219 [is lost](#), but are considered useful within the regional purposes of LOTRED-SA (this Special  
220 Issue). [We provide a qualitative translation from pollen metrics to climate variables based on](#)  
221 [expert knowledge](#). We finish by discussing the potential of including pollen-inferred climate  
222 information 2ka-climate model validation and emphasize the importance of multi-proxy  
223 working groups such as LOTRED-SA.

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## 225 2. Climate settings

### 226 [Continental overview climate zones and modes](#)

228 We begin with an overview of the main climate ‘zones’ of [SA](#) to provide the  
229 climatological context for a discussion of pollen records covering the past 2000 calibrated  
230 years before present (cal kyr BP). Climate zones are regions of coherent seasonality and mean  
231 climate (intra-annual climate regime), while climate ‘modes’ are based on ocean-atmosphere  
232 interactions with often oscillatory behaviour affecting the interannual to multidecadal climate

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243 | variability in a region. The spatial influence of climate modes is assessed by documenting  
244 | their role in driving interannual precipitation and temperature variability.

245 | Continental SA extends from the tropics (12°N) to mid-latitudes (55°S). Three major  
246 | noticeable climate zones can be distinguished: tropical, subtropical and extratropical SA.  
247 | Atmospheric circulation and climate in all three zones is highly modulated and constrained by  
248 | the orography of the Andes, the shape of the continent and interactions with the underlying  
249 | land-surface, vegetation, soil moisture; furthermore ocean currents, such as the cold  
250 | Humboldt Current affecting coastal climate along the South American west coast, also affect  
251 | climate (Wang and Fu, 2002; Li and Fu, 2006).

252 | The climate of tropical SA is dominated by the seasonal migration of the Intertropical  
253 | Convergence Zone (ITCZ) over the Atlantic and Pacific, and the seasonal development of  
254 | convective activity associated with the South American Summer Monsoon (SASM) over the  
255 | interior of the continent (Fig. 1). The seasonal migration of the ITCZ affects primarily coastal  
256 | areas and northernmost SA as it is characterized by a fairly well constrained narrow band of  
257 | low level wind convergence over the equatorial oceans. The SASM is a seasonal phenomenon  
258 | that develops between September and April and affects primarily the SA tropics and  
259 | subtropics south of the equator (Garreaud *et al.*, 2009). During the austral spring (September  
260 | to November, SON) moisture influx from the ITCZ contributes to the development of this  
261 | monsoon system (Zhou and Lau, 2001; Vuille *et al.*, 2012). This monsoonal system reaches  
262 | its mature phase (maximum development) during December to February (DJF) and is  
263 | characterized by heavy rainfall advancing southward from tropical to subtropical latitudes. To  
264 | the east of the tropical Andes a strong low-level wind, the Andean low-level jet (ALLJ),  
265 | transports moisture in a southeasterly (SE) direction from the tropics to the subtropical plains  
266 | (Cheng *et al.*, 2013), feeding the South Atlantic Convergence Zone (SACZ), extending from  
267 | the SE Amazon basin toward the SE out over the S Atlantic. The extratropical region is  
268 | characterized by a quasi-permanent westerly circulation embedded in-between the subtropical  
269 | anticyclones located over the subtropical Pacific and Atlantic to the N and the circum-polar  
270 | trough of low pressure to the S. Frequent northward propagation of extratropical cold air  
271 | incursions E of the Andes provide for continued atmospheric interaction and heat exchange  
272 | between mid- and low latitudes over the subtropical continent. The latitudinal extension of the  
273 | westerlies over land displays limited variations across the year and covers southern and

**Deleted:** Most palynological studies merely describe climate zones for their discussion of vegetation response to climate. However, interannual to multidecadal variability is equally relevant for the interpretation of spatial variability detected by pollen records. Therefore in this paper we provide a detailed exploration of the influence of these modes on South American climate.

**Deleted:** Following this climatic framework, we explain the procedure for selecting robust pollen records in seven regions and assess the regional significance of climatic and human drivers of vegetation change over the last 2 ka. Records along coastlines influenced by sea level changes were not included.

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307 central (C) Argentina and Chile. Additional information is presented in Supplementary  
308 Information.

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309 Both precipitation and temperature exhibit significant variability on interannual to  
310 interdecadal time scales in all three climate zones of SA (e.g. Garreaud *et al.*, 2009). This  
311 variability is mainly caused by ocean-atmosphere interactions (Vuille and Garreaud, 2012)  
312 that lead to a reorganization of the large-scale circulation over SA and the neighbouring  
313 oceans. To quantify the influence and relative importance of these ocean-atmosphere coupled  
314 modes on the interannual precipitation and temperature variability over SA, spatial correlation  
315 and regression coefficients are calculated.

316 Gridded precipitation and temperature data were derived from the UDelaware data set  
317 V2.01 (Legates and Willmott, 1990) at 0.5° resolution. We limit our assessment to the six  
318 most relevant climate modes (Table 1). Other modes analyzed were either largely redundant  
319 or showed a much weaker influence over the SA continent. The resulting correlation maps  
320 indicate the correlation coefficient on interannual time scales between the mode in question  
321 and the local temperature and precipitation at each grid cell. Conversely, the regression maps  
322 indicate the local anomaly (in physical units of mm or °C) at each location that corresponds to  
323 a unit (one standard deviation) anomaly in the climate mode. The Southern Annular Mode  
324 (SAM) and all three Atlantic modes (Atlantic Multidecadal Oscillation, - AMO), Tropical  
325 North and South Atlantic Sea Surface Temperature, (TSA, TNA; Table 1) were detrended  
326 prior to analysis to ensure that correlation and regression coefficients account for co-  
327 variability on interannual timescales only and do not result from spurious common trends.  
328 More information on the methodology can be found in the [Supplementary Information](#).

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329 In all correlation maps ([Figs. 2 and 4](#)) we show correlations in excess of  $\pm 0.2$  only,  
330 which approximately corresponds to the 95% significance level. For the regression maps  
331 ([Figs. 3 and 5](#)) we used thresholds of  $\pm 0.12$  °C and  $\pm 50$  mm, respectively. The correlation  
332 maps can help inform whether a certain temperature or precipitation anomaly in the  
333 regression map is statistically significant. In our discussion we focus primarily on the impact  
334 of the positive phase from each of these modes, as these are the fingerprints presented in  
335 [Figures 2-5](#). Since this is a linear analysis the negative phase of these modes would lead to the  
336 same changes in temperature and precipitation, but with the sign reversed. In general these  
337 outcomes are consistent with earlier analyses reported by Garreaud *et al.* (2009). However,  
338 some differences are apparent and most likely related to different time periods analyzed, our

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346 choice of using the hydrologic year as opposed to the calendar year, and different definitions  
347 of the indices used (see [Supplementary Information](#) for more details). For example, Garreaud  
348 *et al.* (2009) used the Multivariate El Niño - Southern Oscillation (ENSO) Index, while here  
349 we focus on the Niño3.4 index to describe ENSO variability. Similarly Garreaud *et al.* (2009)  
350 used the Pacific Decadal Oscillation Index to describe Pacific interdecadal variability, while  
351 here we use the Interdecadal Pacific Oscillation (IPO).

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### 353 Temperature

354 The largest and most significant influence on [interannual](#) temperature variability in SA is  
355 exerted by ENSO, with above average temperatures during El Niño and reduced temperature  
356 during La Niña (Figs. 2 and 3). [A one standard deviation departure in the Niño3.4 index is](#)  
357 [associated with a change in temperature of up to 0.8°C along the Pacific coast of SA.](#) In the  
358 Andes of Colombia the correlation between temperature and the Niño3.4 index is >0.8,  
359 indicating that more than two thirds of the temperature variability on interannual scales can be  
360 explained by ENSO. The largest increase in temperature is observed during austral summer  
361 (DJF, not shown) linked to the peak phase of ENSO, which tends to occur at the end of the  
362 calendar year.

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363 The IPO has a similar, albeit slightly weaker, fingerprint over SA as ENSO, which is  
364 not surprising given that [the](#) Pacific decadal and multidecadal variability is often described as  
365 ‘ENSO-like’ (e.g. Garreaud and Battisti, 1999). [The IPO](#) impact extends further south along  
366 the west (W) coast of SA [than ENSO](#), however, with a somewhat stronger influence on  
367 temperature in N-C Chile. It is noteworthy that the IPO impact over SA is almost identical to  
368 the influence of the Pacific Decadal Oscillation as described in Garreaud *et al.* (2009).

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369 The N Atlantic modes, [AMO](#) and [TNA](#), are also quite similar, both featuring warming  
370 over tropical SA during periods when sea surface temperature (SST) in the N Atlantic domain  
371 are above average, most notably so over the southern C Amazon Basin (Figs. 2 and 3). In fact  
372 the warming associated with a unit variation in the AMO or TNA index is larger over most of  
373 the Amazon Basin than the warming associated with ENSO. The region of largest warming is  
374 co-located with an area of strong precipitation reduction during the warm phase of the TNA  
375 and the AMO (Figs. 4 and 5). This suggests that much of the warming is caused by cloud  
376 cover and soil moisture feedbacks associated with reductions in precipitation (reduced cloud

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389 cover leading to enhanced solar radiation and reduced soil moisture limiting evaporative  
390 cooling).

391 | The [south \(S\)](#) Atlantic counterpart, the TSA, is associated with a temperature dipole  
392 over subtropical SA, characterized by warming along a zonal band extending from the S-C  
393 Brazilian coast westward to Bolivia, while C Argentina contemporaneously experiences  
394 cooling (Figs. 2 and 3). The warming in the subtropical region coincides with a region of  
395 reduced precipitation during the TSA positive phase (Fig. 4), suggesting that the warming is  
396 | at least in part caused by changes in the [hydrological](#) cycle (cloud cover and/or soil moisture  
397 feedbacks).

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398 The SAM is positively correlated with temperature over Patagonia (Fig. 2) and also  
399 shows a weak negative temperature departure over western tropical SA during its positive  
400 phase (Fig. 3). The warming over Patagonia is strongest during austral summer (Garreaud *et*  
401 *al.*, 2009; not shown) and results from enhanced heat advection, combined with higher solar  
402 radiation receipts due to cloud free conditions (Gupta and England, 2006).

403

#### 404 **Precipitation**

405 Given that ENSO is the source of the strongest interannual variability on Earth, it is not  
406 surprising that it also leads to the strongest modern precipitation anomalies over SA (Fig. 5).  
407 In general in the tropics, El Niño events lead to significant precipitation reductions over much  
408 of tropical SA, with the strongest signal seen in N Brazil along the Atlantic coast and in the  
409 Andes of Colombia. Over NE Brazil the precipitation reduction is the result of El Niño events  
410 | inducing a delayed anomalous warming of the tropical N Atlantic in boreal spring ([March-](#)  
411 [May](#)) (e.g. Curtis and Hastenrath, 1995; Giannini *et al.*, 2001). Hence the ENSO influence in  
412 this region strongly projects onto the TNA pattern (Fig. 4). Over the N Amazon Basin the  
413 precipitation reduction is the result of a shifted Walker circulation, enhanced subsidence and  
414 reduced convective activity (e.g. Liebmann and Marengo, 2001; Ronchail *et al.*, 2002). In the  
415 subtropics on the other hand precipitation is enhanced during El Niño events, in particular  
416 over southeastern SA (see also Grimm *et al.*, 2000). The only tropical location that sees an  
417 increase in precipitation during El Niño is along the Pacific coast of Ecuador and northern  
418 Peru, where flooding is a common occurrence during these events (e.g. Takahashi, 2004).  
419 During La Niña events these precipitation anomalies are essentially reversed. The correlations



421 are weaker in our annual analysis over some regions where the ENSO influence is highly  
422 seasonal, such as the precipitation reduction over the [C Andean](#) ‘Altiplano’ (high plain)  
423 region in DJF (Vuille *et al.*, 2000) or the enhanced precipitation during El Niño in C Chile in  
424 June to August (JJA; Montecinos and Aceituno, 2003).

425 The largest change in the IPO in the period analyzed is related to the Pacific climate  
426 shift of 1976-77, when the tropical Pacific switched from its cold to its warm phase. Since El  
427 Niño events also became more frequent and stronger over this period (including the two  
428 extreme events of 1982-83 and 1997-98), it is no surprise that the observed changes in  
429 precipitation associated with the IPO are similar to the ENSO footprint, albeit somewhat  
430 weaker. Indeed the low-frequency modulation by the IPO may strengthen El Niño events  
431 during its positive phase and weaken La Niña events, while the opposite is the case during the  
432 IPO negative phase, a phenomenon known as ‘constructive interference’ (e.g. Andreoli and  
433 Kayano, 2005). Espinoza Villar *et al.* (2009) documented the influence of Pacific interdecadal  
434 variability on precipitation over the Amazon Basin and showed that its positive phase is  
435 related to a decrease in precipitation over the basin since 1975, consistent with our results.

436 Precipitation is reduced in the southernmost part of SA during the positive phase of  
437 the SAM (Fig. 4). This reduction extends N into the subtropics along both the Atlantic and  
438 Pacific coast to approximately 30°S (Silvestri and Vera, 2003; Gillett *et al.*, 2006). Most of  
439 this precipitation reduction is associated with reduced westerly moisture flux and moisture  
440 convergence from the Pacific (Garreaud *et al.*, 2013). The correlation (Fig. 4) and regression  
441 (Fig. 5) maps also suggest a significant influence of the SAM on precipitation in parts of the  
442 tropics. This signal, however, is not well documented and its physical mechanism is unclear.  
443 It may to some extent be related to teleconnections and an anticorrelation between ENSO and  
444 the SAM (e.g. Carvalho *et al.*, 2005), which is supported by the fact that the Niño3.4 index  
445 and the SAM correlation maps are almost mirror images of one another (Fig. 4).

446 The AMO and the TNA have a similar fingerprint on the hydrologic cycle of SA (Fig.  
447 5). Both modes are characterized by a significant reduction in precipitation over much of the  
448 Amazon basin during their positive phase, with the amplitude of the changes being slightly  
449 larger associated with TNA forcing. This negative precipitation anomaly is associated with  
450 the northward displacement of convective activity in the ITCZ region due to warmer SST in  
451 the tropical North Atlantic and Caribbean during the positive phase of the TNA (and to a  
452 lesser extent also the AMO). This directly affects precipitation amounts over NE Brazil (e.g.

453 Hastenrath and Greischar, 1993; Nobre and Shukla, 1996), while the northward shift in the  
 454 core region of convection also leads to anomalous subsidence, located over the Amazon  
 455 basin. In fact the recent droughts in 2005 and 2010 in the Amazon Basin were both associated  
 456 with such anomalously warm SST in the tropical N Atlantic (Marengo *et al.*, 2008; Lewis *et*  
 457 *al.*, 2011). The only region where precipitation is enhanced is in the NW part of the Amazon  
 458 belonging to Venezuela, Colombia and Peru (Fig. 4).

459 An anomalously warm tropical S Atlantic (positive phase of the TSA) leads to the  
 460 exact opposite conditions, with the ITCZ displaced anomalously far south, causing copious  
 461 rainfall over NE Brazil, with weaker positive anomalies extending inland as far as the  
 462 Peruvian border (Fig. 5). Another region of enhanced precipitation is located in S Brazil,  
 463 associated with a southerly movement of the SACZ (Fig. 1; e.g. Doyle and Barros, 2002).  
 464

### 465 3. Selection of pollen records covering 2 ka

466 Within the working groups of PAGES, the “2k-Network” was initially established in 2008 to  
 467 improve current understanding of temperature variability across the Earth during the last 2 ka.  
 468 To collate records across the Earth for this time period systematically a set of criteria that  
 469 defined the suitability of individual records was required. The principle of the criteria was to  
 470 ensure, as far as possible, consistency (and therefore comparability) in the chronological  
 471 control and sampling resolution of fossil pollen records (Table 2). Of the six PAGES-2k  
 472 criteria within this paper we regarded criteria A (peer-reviewed publication) as the base line  
 473 criterion (all sites considered are from peer-reviewed studies). However, implementation of  
 474 criterion B (resolution  $\leq 50$  years) was not possible for SA because such a criteria would leave  
 475 only a handful of pollen records to discuss. The sparsity of samples that meet the stringent  
 476 PAGES-2k resolution criterion occurs because sedimentary archives with long time spans  
 477 ( $>10,000$  yr) are typically sampled at coarser temporal resolution. Furthermore, many lowland  
 478 sites have slow sedimentation rates, which preclude high-resolution sampling. Therefore we  
 479 propose a more flexible temporal resolution, depending on the identified relevance of the case  
 480 study.

481 From the newly updated Latin American Pollen Database (LAPD, Flantua *et al.*, 2015a)  
 482 we initially selected all records that cover the last 2 ka (Fig. 6). Good chronological control is  
 483 required for PAGES-2k, but the youngest ages in pollen records are typically constrained by

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**Deleted:** From the newly updated Latin American Pollen Database (LAPD, Flantua *et al.*, 2015a) we selected the records that cover the last 2 ka. Good chronological control is required for PAGES-2k, but the youngest ages in pollen records are typically constrained by geochronological data. An assessment of the pollen records by the authors with expertise in each sub-region of SA has revealed 585 records with pollen samples within the 2ka-range (Fig. 6), of which 337 and 182 records, respectively, contain one or more geochronological date within that time period. Thus, 182 studies were considered suitable for paleoclimate reconstruction as outlined by the PAGES-2k criteria. ¶

**Deleted:** Through the identification of temperature sensitive proxies, the development of climate reconstructions has advanced thanks to regional efforts (e.g. LOTRED-SA) to compile a proxy database (PAGES-2k Consortium, 2013). Both temperature and moisture

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As a global initiative, a defined set of

**Deleted:** guarantees the quality of the proxies used for climate reconstructions and

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**Deleted:** and B (Minimum duration of the record of 500 yr) as

**Deleted:** criteria. All criteria followed those stated by PAGES-2k except for the

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533 [geochronological data. An assessment of the pollen records by the authors with expertise in](#)  
534 [each SA sub-region has revealed 585 records with pollen samples within the 2ka-range \(Fig.](#)  
535 [6\), of which 337 and 182 records, respectively, contain one or more geochronological date](#)  
536 [within that time period. In total, 182 studies were checked to confirm its suitability for](#)  
537 [palaeoclimate reconstruction as outlined by the PAGES-2k criteria. Records with a resolution](#)  
538 [of 200 to 300 yr are included in our discussion, while records along coastlines influenced by](#)  
539 [sea level changes were not included.](#) Within the regional assessments, only records that fulfil  
540 more than three criteria are discussed, unless the records are considered particularly valuable  
541 for regional climate assessments.

542  
543

## 544 **4. Results**

### 545 **Regional assessments**

546 Pollen records are discussed according to their regional and geographical settings: (i)  
547 [Venezuelan Guayana highlands and uplands \(Fig. 6A\)](#), (ii) [Northern Andes \(Fig. 6B\)](#), (iii)  
548 [Central Andes \(Fig. 6C\)](#), (iv) [lowland Amazon Basin \(Fig. 6D\)](#), (v) [Southern and](#)  
549 [Southeastern Brazil \(Fig. 6E\)](#), (vi) [Pampean plain \(Fig. 6F\)](#), and (vii) [Southern Andes and](#)  
550 [Patagonia \(Fig. 6G\)](#). The references to all records discussed are presented in Table 3.

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551

### 552 **Climate-vegetation interaction in the Venezuelan Guayana highlands and uplands**

553 The study area, [also](#) known as the Gran Sabana (GS), is located in SE Venezuela between the  
554 Orinoco and Amazon basins (Fig. 6A; Huber and Febres, 2000). Huber (1995) recognized  
555 three main elevational levels on the Venezuelan Guayana: lowlands (0-500 meters above sea  
556 level, masl), uplands (500-1500 masl) and highlands (1500-3000 masl). Lowlands are absent  
557 in the GS, which is mainly characterized by a continuous upland penneplain spiked with  
558 isolated highlands (table-mountains, 'tepui'). The GS highlands are part of the so-called  
559 Pantepui phytogeographical province, which is characterized by unique biodiversity and  
560 endemism patterns, encompassing all the tepui summits above 1500 masl (Huber, 1994;  
561 Berry *et al.*, 1995). The tepuian vegetation is characterized by a mosaic of bare rock, pioneer  
562 vegetation, tepuian forests, herbaceous formations and shrublands (Huber, 1995b). Additional  
563 background information is provided in [the Supplementary Information](#).

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570 | In the GS, 22 pollen records cover the last 2 ka. There are 4 records with a chronology  
571 | based on one control point and an additional 10 records from which most, or all, control  
572 | points lie outside 2 ka. Three potentially suitable records originate from the highlands, Eruoda  
573 | PATAM6-A07, Churí Chim-2 and Apakará PATAM9-A07, and only 1 is found in the  
574 | uplands, Laguna Encantada PATAM4-D07 peatland (Fig. 7A; Table 3). Of the 3 records of  
575 | the highlands, just Eruoda provides sufficiently high resolution to explore the objectives  
576 | proposed here. However, only Churí Chim-2 and Apakará contain several age control points  
577 | within the last 2 ka, and Laguna Encantada presents a relatively low sampling resolution of  
578 | 200 to 300 yr.

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579 | The criteria for chronological control excluded some of the most relevant work for the  
580 | research questions posed by this paper. For example, the vegetation at the Eruoda summit has  
581 | persisted unchanged during the last ~2.5 ka. This constancy can be extended to all the tepuian  
582 | summits studied so far during the last 6 ka (except Churí). Equally of high importance is the  
583 | Urué record in the uplands, which does not meet the dating control constraints but the  
584 | sampling resolution is high enough to provide important insights into the vegetation-climate  
585 | dynamics during the last 2 ka, and will be therefore be presented here.

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Deleted: Based on the absence of human activities in these summits, it can be assumed that the vegetation dynamics observed in the fossil records are fully climate driven and therefore a record valuable for LOTRED-SA.

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586 | The Eruoda summit represents an important reference to which almost all the tepuian  
587 | summits vegetation dynamics can be compared (Fig. 7B). Based on the absence of human  
588 | activities in these summits, it can be assumed that the vegetation dynamics observed in the  
589 | fossil records are fully climate driven and therefore valuable for LOTRED-SA. In general,  
590 | these summits are insensitive to temperature change (for 2 ka), whereas moisture variations  
591 | potentially may cause small internal reorganisations of plant associations although these shifts  
592 | are considered to be of minor ecological significance. Shifting river courses are considered to  
593 | influence local vegetation patterns through the lateral movement of gallery forests in  
594 | landscapes (Rull, 2005a; b).

Deleted: dominated by broad-leaved meadows

595 | The Urué sequence spans the last 1.6 ka and records the vegetation dynamics after an  
596 | important fire event dated ~1.6-1.8 ka. Three main vegetation stages were reported coeval  
597 | with high charcoal abundances at the bottom of the sequence, corresponding to plant  
598 | communities' transitions from open secondary forest to fern-dominated associations  
599 | transitional to savanna. Savannas were fully established around 0.9 ka, coinciding with the  
600 | beginning of a phase of lower charcoal values, and continued as the dominant plant  
601 | association until present-day. Savannas were accompanied by *Mauritia flexuosa* palm

615 swamps ('morichales') that established a phase that was likely more humid. These palm  
616 swamps greatly varied in extent through time, showing a parallel between the lowest palm  
617 abundance and two drought intervals' occurrence. These two drought intervals were centered  
618 during the 0.65-0.55 ka and 0.15-0.05 ka coeval to the Little Ice Age (LIA) signal observed in  
619 the Venezuelan Andes (Rull *et al.*, 1987; Rull and Schubert, 1989; Polissar *et al.*, 2006).  
620 Generally, the vegetation dynamics recorded so far in the Venezuelan Guayana uplands have  
621 shown a higher sensitivity to changes in the available moisture than to potential shifts in the  
622 average temperatures. The last 2 ka have been mainly characterised by vegetation change at a  
623 local scale.

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### 625 **Climate-vegetation interaction in the Northern Andes**

626 The region of the N Andes consists in political terms of Colombia, Ecuador and Venezuela  
627 and includes a wide range of different ecoregions (Fig. 6B). Sharing both the Caribbean and  
628 the Pacific coastline and various climate influences, Colombia has a unique pattern of  
629 different ecosystems shared with neighbouring countries. Pollen records are found throughout  
630 a wide range of biomes and elevations (Flantua *et al.*, 2015a), from the tropical rainforest and  
631 mangroves along the coast to the high Andean 'páramos'. The complex formation of the  
632 Andes with the three mountain ridges characterizes this region with numerous valleys and  
633 watersheds.

634 A total of 64 records are available that present pollen data within the last 2 ka.  
635 Unfortunately, 14 were presented in publications without a peer-review procedure or  
636 presented only as a summary diagram (7 records with four positive criteria). An additional 5  
637 records, which fulfilled all criteria, suggested human presence from before 2 ka, and were  
638 therefore excluded for climate reconstructions. From the remaining records, only 4 lakes lack  
639 human interference during the last 2 ka. The others describe human indicators over limited  
640 periods of time and are considered valuable for PAGES-2k purposes, (Table 3).

Deleted: Of this number, 21 fulfilled four of the PAGES-2k criteria, another 24 fulfilled three criteria, and the remaining records presented at least two dating control points within the last 2 ka.

Deleted: during most part of the last

Deleted: Pallcacocha and Papallacta PAI-08 in Ecuador

Deleted: . Most of the records complying with three criteria (n=24), most of them identify human presence in the near surrounding of the record during a reasonable period of time, leaving only ECSF Refugio potentially suitable for 2 ka climate reconstructions.

Deleted: ¶ Beginning at the far N of the region

Deleted: 8B

Deleted: Lake Valencia

Deleted: In spite of the low resolution sampling (10-14 samples for the last 2 ka), some general information can be derived from the joint interpretation of these three cores.

641 Lake Valencia (Fig. 6B and Fig. 8), is represented by three cores with varying quality  
642 in chronology and resolution. The last 2 ka are characterised by a decline of forest cover,  
643 attaining the lowest values of the Holocene, at the expense of savannas. Aquatic proxies  
644 indicate declining lake levels and increasing nutrient input, a trend that accelerated during the  
645 last 0.5 ka, when human activities were more intense around the lake. Considering the entire

671 Lateglacial-Holocene record, the Lake Valencia catchment has shown to be more sensitive to  
672 moisture variations than to temperature, as known from tropical lowlands.

673 In the Andean region, changes of the altitudinal position of the upper forest line (UFL)  
674 are instrumental in reconstructing temperature changes. This ecotone is defined as the highest  
675 elevation contour of continuous forest and marks the boundary between the forest and high  
676 Andean páramo biome (Moscol-Olivera and Hooghiemstra, 2010; Groot *et al.*, 2013). The  
677 Andean sites in Venezuela and Colombia show indications of colder climates by decreased  
678 arboreal pollen at higher elevations. In the Venezuelan Andes, the only available pollen  
679 record is Piedras Blancas. There is no indication of human activity; hence changes should be  
680 attributed mostly to climatic shifts, notably temperature and moisture. Expansion of  
681 superpáramo vegetation suggests a response to the warm and moist Medieval Climate  
682 Anomaly (MCA, ~ 1.15-0.65 ka), while a period of scarce vegetation might be related to the  
683 LIA (~ 0.6-0.1 ka) (Ledru *et al.*, 2013a). The absence of tree pollen in several samples  
684 indicates significantly depressed UFL in comparison to today.

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685 Along the transitional zone between savanna and tropical rainforest in the E  
686 Colombian savannas, three pollen records fulfil at least three criteria. Since 2 ka gradual  
687 increase in savanna vegetation is observed, suggesting a period of progressively drier  
688 conditions, e.g. Loma Linda and Las Margaritas). However, the expanding Mauritia palm  
689 forest observed in several records is considered to reflect increased local water availability  
690 and precipitation (Fig. 8B), and/or human impact (Behling and Hooghiemstra, 1998, 1999;  
691 Rull and Montoya, 2014).

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Deleted: This climate-sensitive transition zone is thought to reflect precession-forced changes in seasonality, latitudinal migration of the ITCZ, and changes in the ENSO (Figs. 3 and 4; Wille *et al.*, 2003).

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692 Along the N Andean Pacific slopes, Jotaordó, El Caimito, Guandal and Piusbi  
693 document vegetation changes related to the precipitation regime in the C and S Chocó  
694 biogeographic region. Settings differ, as the first is located in a broad river valley with a  
695 meandering drainage system while El Caimito and Guandal are located in the coastal plain  
696 receiving signals from shifting mangrove forests. These shifts were considered not to be  
697 climate related but explained by tectonic events in the region and/or dynamic shifts of the  
698 river deposition patterns. Frequent erosion events, various seismic shifts and disturbance  
699 indicators from mixed origin during the last 2 ka hinder consistent conclusions for the region.  
700 Changes in vegetation composition around 0.65 ka were assigned in El Caimito to reduced  
701 flooding and possible human intervention, while similar changes at Jotaordó were ascribed to

711 endogenous dynamics. Only the multi-proxy approach of El Caimito suggests a possible  
712 relationship between periods of higher riverine dynamics and the frequency of long term  
713 ENSO variability. Within this region, *Cecropia* is used as natural disturbance indicator due to  
714 fluvial-marine dynamics, while in the other Colombian regions this fast-growing species is  
715 considered characteristic of human interference; both settings have disturbance as a common  
716 factor.

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717 In the Colombian Andes there are no undisturbed pollen records during the last 2 ka  
718 suitable for climate reconstructions. Before the human disturbances, the La Cocha-1 record in  
719 the far S of Colombia (Fig. 8B) indicated generally wetter conditions similar to the N  
720 Ecuadorian pollen records of Guandera-G15 and Guandera-G8. A different kind of index to  
721 highlight vegetation-climate interaction was used in the E Ecuadorian Andes at Papallacta  
722 PA1-08. Established to characterize the SASM and ENSO, the index interprets cloud  
723 transported arboreal pollen grains and Poaceae as a proxy for upslope cloud convection.  
724 Supported by a high resolution (~15 yr), a high frequency of dry and humid episodes is  
725 detected during the last 1.1 ka. In this alternation of convective activity, the MCA, LIA and  
726 current warm period are considered detectable.

Deleted: Andean records can display dissimilar timing and trends behaviours due to differences in precipitation along the eastern Andean flank and specific regional landscapes (Moscol Olivera and Hooghiemstra, 2010; Marchant *et al.*, 2001).

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727 In S Ecuador 4 pollen records suitable for PAGES-2k purposes are found within a  
728 relatively small sub-region. Tres Lagunas suggests a cold phase, possibly the LIA, as one of  
729 several warm and cold phases detected during the last 2 ka (Fig. 8B). At Laguna Zurita, the  
730 decrease of *Isoetes* was considered an indication of increased precipitation after ~ 1.2 ka,  
731 observed similarly in other fossil pollen records in the C Peruvian Andes. On the other hand,  
732 chemical analyses from the same core suggested drier conditions during the last millennium,  
733 confirmed by a different set of palaeoclimatic records. Unknown human interference in the  
734 last millennium could be related to these divergent patterns, as the nearby ECSF Refugio and  
735 Laguna Daniel Álvarez detected *Zea mays* around 0.8 ka and 1.4 ka, respectively.  
736

Deleted: Cold and moist conditions are related to high abundances of Poaceae, *Isoetes* and *Gentianella*.

Deleted: 1.4 ka and

Deleted: Climate was considered to be drier overall before 1.2 ka.

### 737 **Climate-vegetation interaction in the Central Andes**

738 The C Andes includes the high elevation plateau of the Altiplano, above 3000 masl, in S Peru,  
739 Bolivia and N Chile (Fig. 6C). The Altiplano is an area of internal drainage within the Andes  
740 that contains multiple peaks over 5000 masl. The vegetation of the Altiplano is characterized

759 by different grassland types, collectively known as ‘puna’ (Kuentz *et al.*, 2007). Within the  
760 grassland matrix are patches of woodland dominated by trees of the genus *Polylepis* (Fjeldså  
761 and Kessler, 1996). To the E and W of the Altiplano are the steep flanks of the Andes.

762 In total 57 pollen records covering the last 2 ka were identified from the Altiplano in  
763 Peru and Bolivia. Only 4 of the Altiplano records met all PAGES-2k criteria: (i) Cerro  
764 Llamoca, (ii) Marcacocha, (iii) Chicha Soras, and (iv) Pacucha (Fig. 9A; Table 3). From the  
765 surrounding regions 2 additional records are also considered here because of their importance  
766 and fit to the PAGES-2k criteria: (i) Consuelo on the E Andean flank, at mid-elevation (1370  
767 masl), and (ii) Urpi Cocha on the Pacific coast at sea-level, (within the archaeological site of  
768 Pachacmac). Of the seven sites considered in this review only 2 records (Cerro Llamoca and  
769 Consuelo) show no human interference, while the others indicate human impact during  
770 different periods of time throughout the last 2 ka.

771 Discerning a climate signal from the pollen records of the last 2 ka in the C Andes is a  
772 challenge due to the long legacy of human occupation and landscape modification (Bennett,  
773 1946; Dillehay *et al.*, 2005; Silverman, 2008). However, some idea of vegetation-climate  
774 relationships can be gained from modern pollen studies within the puna, e.g. Kuentz *et al.*  
775 (2007) use the ratio of Poaceae:Asteraceae (Coropuna), or Schittek *et al.* (2015) focus on the  
776 abundance of Poaceae (Cerro Llamoca) as an indicator of moisture availability. In the other  
777 records, where there is no direct relationship between vegetation and climate discernible,  
778 some authors look at the relationship between the pollen records and other indicators to  
779 disentangle climate and human induced vegetation change; such as independent evidence of  
780 farming activity (e.g. oribatid mites), or association with archaeological evidence for  
781 abandonment/occupation (Chepstow-Lusty, 2011).

782 The two records considered here that are purported to have no local human impact  
783 (Cerro Llamoca and Consuelo) provide the best opportunity of extracting a clear insight into  
784 past climatic change in the C Andes during the last 2 ka. The record from Cerro Llamoca  
785 indicates a succession of dry and moist episodes (Fig. 9B). After 0.5 ka sediments, are  
786 composed of re-deposited and eroded material and consequently interpretation of the latter  
787 half of the record is difficult. In contrast little compositional change is evident in the  
788 Consuelo record, with the most significant variance during the last 2 ka being a rise in  
789 Cecropia sp. pollen after 1 ka. Cecropia pollen is typically interpreted as an indicator of  
790 disturbance (Bush and Rivera, 2001) and therefore, in the absence of humans signal, the rise

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**Deleted:** (near Lima), satisfies four of the criteria.

**Deleted:** during the last 2 ka:

**Deleted:** 1 record (Chicha-Soras) indicates humans from 1.5 ka onwards, and the other 4 (Marcacocha, Pacucha, Nevado Coropuna, and Urpi Cocha)

**Deleted:** (4450 masl)

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804 in *Cecropia* could be interpreted as an elevated level of natural disturbance. The switch to  
805 very dry conditions at Cerro Llamoca in the western Andean cordillera and the rise in  
806 *Cecropia* at Consuelo on the E Andean flank are broadly coincident (~ 0.85 ka); however, it is  
807 not possible to say if this pattern results from a common climatic mechanism.

808 Archaeological evidence from Chicha-Soras does not show any evidence of human  
809 occupation of the valley between ~ 1.9 ka and ~ 1.4 ka. Between 1.4 and 1 ka and between 1  
810 and 0.65 ka, high abundance of Chenopodiaceae/Amaranthaceae (Cheno/Am) could be  
811 interpreted as either indicating arid conditions or expansion of *quinoa* crops (Ledru *et al.*,  
812 2013b). However, a drop in charcoal fragments (fire activity) coupled with the absence of  
813 archaeological evidence (~1.9-1.4 ka), suggests that people abandon the valley during 1.5-0.5  
814 ka and, consequently, that the aridity signal from the pollen could be interpreted as a climatic  
815 one.

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816 Some climate information has been inferred from the four remaining sites  
817 (Marcacocha, Pacucha, Nevado Coropuna and Urpi Cocha) despite the strong human  
818 influence on the vegetation. At Nevado Coropuna humid conditions persisted until a short dry  
819 episode occurred 0.97-0.82 ka (Fig. 9B). During the last 2 ka at Marcacocha successive peaks  
820 in Cyperaceae pollen have been interpreted as indicative of three periods of elevated aridity  
821 while elevated *Plantago* at ~1.9 ka is suggested to indicate cooler conditions, and *Alnus* at ~1-  
822 0.5 ka could indicate warmer and drier conditions; although discerning the climate signal  
823 related to *Alnus* is difficult due to its utilisation in agro-forestry practices (Chepstow-Lusty  
824 and Jonsson, 2000). At Pacucha and Urpi Cocha significant changes to the pollen assemblage  
825 in the last 2 ka are attributed to human activity rather than climate. Although the pollen  
826 records are likely to be somewhat obscured by the agricultural activities and irrigation of the  
827 crops, all high elevation records with a moisture balance signal suggest generally drier  
828 conditions occurred in the C Andes between 1.2 and 0.7 ka when compared with the rest of  
829 the last 2 ka.

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Deleted: (Chepstow-Lusty *et al.*, 1996);

830 Generally the pollen records from the Altiplano tend to show a greater sensitivity to  
831 precipitation, rather than temperature. The greater sensitivity to precipitation is because  
832 moisture availability is in most areas the limiting factor for both vegetation and human  
833 occupation. However, human occupation provides hints on changes in temperature; (i)  
834 Marcacocha when the sudden stop in agricultural activities is attributed to colder

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occupation: (1)

845 | temperatures, and (ii) at Coropuna when the increase of human occupation (expansion of Inca  
846 | culture) at higher elevation shows that there was no glacier and warmer temperatures.

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Deleted: On the Altiplano variation in the SASM has been attributed as a major driver of changes in moisture balance at Llamoca, Coropuna, Pacucha through altering the summer precipitation. SASM is also thought to be responsible for precipitation variation on the E Andean flank (Consuelo), while on the western Andean flank (Urpi Cocha) precipitation variation is attributed to the ENSO through tsunamis and the abrupt floodings on the Pacific coast. Although the pollen records of the Altiplano are likely to be somewhat obscured by the agricultural activities and irrigation of the crops all the records point towards a dry event occurring roughly between 1.2 and 0.75 ka.

## 848 **Climate-vegetation interaction in the lowland Amazon basin**

849 For the purpose of this review, the lowland Amazon basin constitutes those regions of the  
850 Amazon drainage < 500 masl and extends to the lowland Guianas (Fig. 6D). This  
851 encompasses the evergreen rainforest, which covers most of Amazonia, as well as the S  
852 transitional/seasonally-dry tropical forests located in NE Bolivia and S Rondônia, N Mato  
853 Grosso and N Para State, Brazil. It also includes the Llanos de Moxos savannas of NE  
854 Bolivia, the ecotonal rainforest-savanna areas of N Roraima State, Brazil, and extends to the  
855 coastal swamps/grasslands of N Brazil and French Guiana.

856 In total 42 published pollen records that cover the last 2 ka were identified from the  
857 lowland Amazon basin. By applying the dating constraints of the PAGES-2k criteria, the  
858 majority of pollen records from the Amazon basin are discounted from any analysis of  
859 climate-vegetation interaction for the past 2 ka. Only 5 records complied with all four of the  
860 criteria and 11 records met with three criteria (Fig. 10A; Table 3.). One of these records, lake  
861 La Gaiba, is situated just outside the Amazon basin, in the Pantanal region of central  
862 Brazil/SE Bolivia. However, the record and its hydrological catchment reflect Holocene  
863 precipitation in the S Amazon basin (Whitney *et al.*, 2011), and therefore was included as part  
864 of this review.

Deleted: ). Most of the remaining records span a period  $\geq 0.5$  ka, but do not meet with any of the other criteria.

865 | Lake Quistococha in the NE Peruvian Amazon is surrounded by *Mauritia flexuosa*-  
866 dominated palm swamp. Vegetation has undergone several significant species compositional  
867 changes over the past 2 ka. The broad pattern of vegetation change was from *Cecropia*-  
868 dominated riverine forest at ~2.2 ka, to abundant Cyperaceae and floating grasses/ferns and  
869 the commencement of peat formation ~2.1 ka, then to seasonally-inundated riverine forest,  
870 with abundant Moraceae and Myrtaceae from ~1.9 ka, and finally, the development of closed-  
871 canopy, *Mauritia*-dominated swamp from ~1 ka until present. Superimposed on this broad  
872 pattern of change were rapid, centennial-scale shifts in forest composition and degree of  
873 openness. However, these rapid shifts were attributed by the authors to hydrological  
874 dynamics, rather than climate change or human impact.

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By applying the dating constraints of the PAGES-2k criteria, the majority of pollen records from the Amazon basin are discounted from any analysis of climate-vegetation interaction for the past 2 ka. However, 5 records were found to meet the criteria: Quistococha, Werth, Granja, Fazenda Cigana and French Guiana K-VIII (Table 3, Fig. 10A). ¶

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875 | Lake Werth belongs to a collection of sites (also Gentry, Vargas and Parker) in the

908 | ‘Madre de Díos’ region of the SE Peruvian Amazon. The lake formed ~3.4 ka and records  
909 | continuous evergreen rainforest throughout, with little evidence of burning. The records from  
910 | the surrounding three lakes concur, suggesting that, regionally, rainforest (and climate) has  
911 | been stable over the last 2 ka.

**Deleted:** Site Werth is surrounded by humid evergreen rainforest.

912 | Laguna Granja is located on the edge of the Pre-Cambrian Shield in NE Bolivia. The  
913 | record has a maximum age of 6 ka and indicates that savanna characterised the landscape  
914 | from 6 ka. This is in agreement with a regional scale reconstruction from the much larger  
915 | Lake Orícore (not shown, Carson *et al.*, 2014), which is located < 20 km away from Granja,  
916 | and shows climate-driven expansion of evergreen rainforest in this region between ~2 and 1.7  
917 | ka. However, forest expansion does not occur on the Granja site until 0.5 ka. The distribution  
918 | of forest vs. savanna around Granja was shown to be heavily influenced by human land use  
919 | between 2.5 and 0.5 ka (Carson *et al.*, 2014; Carson *et al.*, 2015), therefore, it is not suitable  
920 | for analysis of naturally-driven vegetation dynamics.

**Deleted:** Its location is at the margin of the modern Madeira-Tapajós rainforest ecoregion, which extends southwards from the main Amazon River to the southern margin of the Amazon basin in Bolivia (Olson *et al.*, 2010).

**Deleted:** around Granja

921 | The Fazenda Cigana record is in the savanna-gallery forest mosaic landscape, in the N  
922 | Brazilian Amazon. The core was taken as one of a pair, along with the Terra Indígena Aningal  
923 | record, which was cored from the same Mauritia swamp. The pollen records are dominated  
924 | by Mauritia throughout, attributed to continuously wet climate in this region in the late  
925 | Holocene. There are however centennial-scale periods of gallery forest reduction and  
926 | grassland expansion, accompanied by increased charcoal concentrations. Da Silva Meneses *et*  
927 | *al.* (2013) inferred that these periods of high burning were anthropogenic in origin, and  
928 | compare them to modern day prescribed burning practices used by indigenous people in the  
929 | northern Amazon to maintain an open savanna landscape. Despite the potential human  
930 | interference, these records demonstrate natural stability of the forest-savanna ecotone over the  
931 | last 1.5 ka in this particular part of the N Amazon.

**Deleted:** derived from a palm swamp

**Deleted:** of N Roraima State,

**Deleted:** which the authors attribute

**Deleted:** infer

932 | The French Guiana K-VIII record was taken within a landscape of pre-Columbian  
933 | mounded agricultural fields, with the principal aim of investigating ancient human land use  
934 | associated with these earthworks on a local scale. From this earliest part of the record, the  
935 | fossil pollen spectra indicate seasonally-inundated savanna, dominated by Cyperaceae and  
936 | Marantaceae until 0.8 ka when human inference is detected. In the post-European period after  
937 | ~0.5 ka, charcoal abundance increases, probably reflecting more intensive use of fire by  
938 | colonial populations.

**Deleted:** record is situated in from the coastal wetland savanna of French Guiana. The

**Deleted:** however,

**Deleted:** This again is a record that reflects substantial anthropogenic impact on the landscape, and is therefore not suitable as an independent proxy record of climate-induced vegetation change; at least not within the last ~0.8 ka.

963 **Climate-vegetation interaction in Southern and Southeastern Brazil**

964 The landscape in S and SE Brazil is diverse from lowlands to high mountains, from  
965 subtropical regions with frost to tropical regions. Due to this heterogeneity distinct vegetation  
966 types occur throughout the region. The vegetation in S-SE Brazil includes forest ecosystems  
967 such as the tropical Atlantic rainforest, Araucaria forest, semi-deciduous forest, ‘Cerrado’  
968 (savanna woodland) and different grassland ecosystems such as ‘Campos’ and ‘Campos de  
969 Altitude’ (high elevation grassland) (Fig. 6E). There is a gradient from no or short dry seasons  
970 in the coastal lowland up to 6 months in the hinterland (northernmost part of the highland in  
971 SE Brazil), marking the vegetational gradient from moist Atlantic rainforest to semi-  
972 deciduous forest and to Cerrado. Additional background information is provided in the  
973 Supplementary Information.

974 There are approximately 50 pollen records known from S-SE Brazil, but many sites  
975 have not been published in peer-reviewed journals and were therefore not considered.  
976 Unfortunately, the 2 records that agree with all criteria show human interference (Table 3).  
977 Therefore a general overview of climate-vegetation interaction from the region is presented,  
978 considering 7 records that fulfil some of the criteria (Table 3, Fig.11A).

979 In S Brazil pollen records indicate vegetational changes that reflect a change from  
980 relatively dry climate during early and mid Holocene to wetter conditions after about 4.3 ka,  
981 and in particular after 1.1 ka (Fig.11B). Increasing moisture is clearly indicated on the S  
982 Brazilian highlands by the expansion of *Araucaria* forests in form of gallery forests along  
983 rivers and a pronounced expansion of *Araucaria* forest into the Campos after about 1.1 ka  
984 (e.g. Cambara do Sul and Rincão das Cabritas). The expansion of gallery forests at similar  
985 time periods (5.2 and 1.6 ka, respectively) is also recorded in the southernmost lowland in S  
986 Brazil by the São Francisco de Assis record. Study sites that reflect changes in the Atlantic  
987 rainforest area indicate an expansion during the Holocene where overall wetter conditions  
988 prevailed compared to highland and southernmost lowland areas, e.g. Ciama 2 (Fig.11B).

989 In contrast to other sites and regions, a relative humid and warm phase during the LIA  
990 is interfered from the high resolution Cambara do Sul record as an expansion of *Weinmannia*  
991 in the *Araucaria* forest is observed. In SE Brazil the Lago do Pires and Lagoa Nova record  
992 indicate that a dense and closed semi-deciduous forest existed in the region only during the  
993 late Holocene period under the current climatic conditions with a ~ 3 month dry season. In the

Deleted: The

Deleted: occurs in S-SE Brazil as a 100 to 200 km narrow zone in the coastal lowlands along the Atlantic Ocean, and on the coastal eastern slopes of the mountain ranges. The tropical

Deleted: occurs further inland in SE Brazil. The

Deleted: is found primarily in C Brazil, but also in the N part of SE Brazil. The subtropical grasslands are found in highland S Brazil and lowlands of the southernmost region of S Brazil.

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Sites located in the mountains of S-SE Brazil and from the transition area between the subtropics and tropics are sensitive to both temperature and precipitation, e.g. the length of the dry season is considered to play an important role.

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1022 mountains of SE Brazil (e.g. Serra dos Orgãos record) a reduction of Campos de Altitude  
 1023 occurred 0.9 ka indicating a change to wetter conditions that is broadly coeval with a similar  
 1024 trend in the Lago do Pires record (Fig.11B).

1025

1026 **Climate-vegetation interaction in Pampean plain**

Deleted: Pampa plains

1027 This region extends E of the Andes, between 30 and 40°S (Fig. 6F) and is characterized by  
 1028 aeolian landforms marking the climatic gradient of the landscape. The natural vegetation of  
 1029 the Pampa is a tree-less grassland, dominated by Poaceae in terms of both species number and  
 1030 abundance. Asteraceae shrubs (e.g. *Baccharis* and *Eupatorium*) are present locally in S  
 1031 Pampa, *Cyperaceae* characterize aquatic and wet-ground communities of temporary flooded  
 1032 depressions and shallow lakes mainly from the E Pampa, and *Chenopodiaceae* characterize  
 1033 edaphic communities such as salt marshes and alkaline flat areas (Tonello and Prieto, 2008).  
 1034 Additional background information is provided in the Supplementary Information. In total 9  
 1035 pollen records were assessed for the last 2 ka. All four dating criteria were met in one record  
 1036 only (Lonkoy) and three criteria were matched at Sauce Grande (Table 3). The pollen record  
 1037 of site Hinojales-San Leoncio does not fulfil the four dating criteria, however the record  
 1038 shows important hydrological signals for the last 2 ka and is therefore briefly discussed.

Deleted: dominant

Deleted: structural characteristics of the subsurface geology and

Deleted: (Zarate and Tripaldi, 2012).

Deleted: . Potential vegetation units can be characterized as: E Pampa, inland Pampa and

Deleted: based on phytosociological characteristics, historical observations on land use,

Deleted: climatic

Deleted: geomorphological differences

Deleted: ; SI-4). The region has a relatively short farming history, since most of

Deleted: area remained as native grassland until the end of the 19<sup>th</sup> and the beginning of the 20<sup>th</sup> century (Viglizzo and Frank, 2006). Today, only around 30% of the region is covered by natural or semi-natural grassland.¶

Deleted: (Fig. 12B).

Deleted: or exposed plains. The multi-proxy approach allows the identification of responses to natural and/or anthropogenic forcing factors.

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1039 Aquatic ecosystems are considered sensitive to climatic and/or hydrological  
 1040 variations, and exhibit frequent fluctuations in their water level and extension, leaving flooded  
 1041 and/or exposed plains. Pollen together with non-pollen palynomorphs and plant macrofossil  
 1042 analysis present similar trends in SE Pampa that support climate to be a regional trigger of  
 1043 change (Stutz *et al.*, 2015). From 2 to 0.7-0.4 ka an unstable regional environment with drier  
 1044 climatic conditions than present is inferred from the region (Fig. 12B), based on halophyte  
 1045 plant communities (*Chenopodiaceae*) surrounding the lakes whereas *Chara* and other aquatic  
 1046 plants (e.g. *Myriophyllum*, *Potamogeton*) characterized the water bodies. Towards ~0.5 ka  
 1047 vegetation changed to *Cyperaceae* dominance and aquatic plant composition similar to  
 1048 modern associations. Thus, turbid conditions with higher water level and/or extension of  
 1049 surface lakes under more stable environmental conditions are inferred. These support humid  
 1050 conditions similar to present with a noticeable increase of precipitation after 0.4 ka, indicated  
 1051 by high *Cyperaceae* abundances. However, a integrative multi-proxy approach allow inferring  
 1052 stable conditions and higher salinity values between 1.9 and 0.9 ka and periods of water level

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1088 fluctuations after 0.9 ka, with high water levels between 0.66 and 0.27 ka. These changes may  
1089 have been caused by fluctuations in precipitation (Fontana, 2005).

1090

### 1091 **Climate-vegetation interaction in the Southern Andes and Patagonia**

1092 The study area comprises the S Andes, which includes subtropical and temperate regions  
1093 (22°-56°S) on both sides of the Andes, including Patagonia (40°-56°S) which extends from  
1094 the Andes eastwards to the Atlantic Ocean (Fig. 6G). The region has different  
1095 geomorphological settings associated with glacial, volcanic and tectonic activities. Vegetation  
1096 associations reflect the W-E precipitation gradient from the wet *Nothofagus* forest to the dry  
1097 grass and shrub steppe towards the Atlantic coast. The S-N gradient along the Andes ranges  
1098 from the *Nothofagus* temperate forest in the austral region to the *Nothofagus-Astrocedrus*  
1099 forest, sclerophyllous forest and xerophytic woodland in the C region. In the northernmost  
1100 end of the latitudinal gradient, the vegetation is adapted to extremely arid conditions  
1101 characterized by small and dwarf shrubs and scarce cover ([See Supplementary Information](#)  
1102 [for additional descriptions](#)).

1103 In this region, there are 48 pollen records that cover the last 2 ka with at least one  
1104 chronological control point during this period. Of these, the 19 records that fulfil PAGES-2k  
1105 criteria are mostly concentrated in the temperate forests, while only few originate from  
1106 xerophytic shrub steppe (1 record), subtropical forest - sclerophyllous forest (2 records) and  
1107 grass steppe (4 records) (Table 3; Fig. 13A).

1108 [There are three sites](#) at the [far south of Patagonia](#): the ‘Tierra del Fuego’s [Onamonte](#)  
1109 [mire](#) (54°S) located at the *Nothofagus* forest-grass steppe ecotone shows a gradual  
1110 *Nothofagus* forest development between 1.5-0.5 ka followed by a major forest development  
1111 up to the present, reflecting increased precipitation (Fig.13B). [Puerto Harberton](#) (55°S) at the  
1112 mixed *Nothofagus betuloides*-*N. pumilio* forest shows *Nothofagus* dominance during the 2 ka,  
1113 whereas the *Ericaceae* increase during the last 1 ka suggests local decrease of the water table.  
1114 Similarly, at [Valle de Andorra](#) (54°S) in *Nothofagus pumilio* forest, *Empetrum*/Ericaceae  
1115 fluctuations reflect changing water tables.

1116 In S Patagonia (52-51°S) along E Andes, there are several sites at or near the forest-  
1117 steppe ecotone. Of these ecotonal sites, [Rio Rubens](#) (52°S) shows a closed *Nothofagus* forest  
1118 until 0.4 ka when European impact starts (Fig.13B). Similarly, [Lago Cipreses](#) (51°S) and [Lago](#)

**Deleted:** SI-5). Anthropogenic activities during the last century have caused a range of disturbances (e.g. fire, forest clearance, grazing, agriculture) and major vegetation changes in forest and steppe areas have occurred.

**Deleted:** most southerly sites,

1126 Guanaco (51°S) show dominance of *Nothofagus* forest, but with increase of non-arboreal  
1127 pollen (and decrease of *Nothofagus*) associated with a reduction of precipitation induced by  
1128 the Southern Westerly Wind Belt (SWWB) and the SAM phases. Furthermore, changes  
1129 associated to dry/warm climate conditions appear to synchronize with N Hemispheric events  
1130 such as the Industrial Revolution, MCA, Roman Warm Period and Late Bronze Age Warm  
1131 Period (Moreno *et al.*, 2014), that alternate with wet/cool phases. Cerro Frias (50°S) shows  
1132 open forest from 2.0-0.9 ka, followed by prevalent grass steppe that is punctuated by an  
1133 increase in *Nothofagus* at 0.016 ka. Estimates of annual precipitation suggest similar or higher  
1134 values than modern between 2-1 ka, lower values between 0.9-0.015 ka, followed by similar-  
1135 to-modern precipitation in the last 0.015 ka. Currently located in mixed deciduous *Nothofagus*  
1136 forest, the Peninsula Avellaneda Bajo (50°S) records an open forest from 2 ka, of which large  
1137 expanses were replaced by grass steppe between 0.4-0.2 ka, associated with a decline in  
1138 precipitation.

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1139 In C Patagonia (47-44°S) pollen records are located at the E of Andes (Fig.13A). At  
1140 Parque Nacional Perito Moreno (47°S) a shrub-steppe expansion (Asteraceae and  
1141 *Embothrium* dominance) suggests lower precipitation values between 1.2 and 0.25 ka  
1142 compared to previous values, after which an increase in grass-steppe occurs due to higher  
1143 moisture availability (Fig. 13B). However, the Mallin Pollux (45°S) record indicates an open  
1144 canopy prior to 1.5 ka followed by a *Nothofagus* forest expansion associated to precipitation  
1145 increase. Mallín El Embudo (44°S) within *Nothofagus* deciduous forest, shows unvarying  
1146 forest composition during the last 2 ka. Located in the same valley, the Lago Shaman (44°S)  
1147 record (*Nothofagus* forest-steppe ecotone) shows a more diverse pattern throughout the last 2  
1148 ka, with a forest retraction at ~1.7 ka, followed by an expansion around 1.5-1.3 ka and a  
1149 major forest development around 0.5 ka. The forest decrease during the last 0.2 ka is  
1150 associated to human intervention.

1151 In N Patagonia (44-38°S), Lago Mosquito (42°S) is the only record in E Andes and it  
1152 is located at the transition between *Austrocedrus* woodland and shrubland-steppe. The record  
1153 shows an open *Nothofagus-Austrocedrus* forest with elements of steppe and grassland  
1154 elements between 2-1.4 ka, changing to higher *Nothofagus* forest dominance, which is  
1155 attributed to wetter conditions (Fig. 13B). From 0.225 ka to the present, *Nothofagus* shows a  
1156 sharp decrease and *Cupressaceae* increases, together with rising introduced species, e.g.

1159 *Rumex* and *Pinus*. At the same latitude, Lago Lepu  (42 S) located in the Isla Grande de  
1160 Chilo  and surrounded by evergreen rain forest, shows dominance of *Nothofagus* during the  
1161 last 6 ka with an important reversal between 2-0.8 ka. This suggests a lower precipitation than  
1162 before and after 0.8 ka, shown by an increase of *Weinmannia* and *Isoetes*. Lago Pichilafquen  
1163 (41 S) record, under the domain of the SWWB and influenced by the Subtropical Pacific  
1164 Anticyclone in summer, shows a series of warm/dry and cold/wet phases for the last 2 ka  
1165 (Fig. 13B). These phases are inferred by the varying abundances of *Nothofagus* and  
1166 *Eucryphia/Caldcluvia* and Poaceae. The last centuries are characterized by human  
1167 intervention. At the temperate-subtropical transition, Laguna San Pedro (38 S) record shows  
1168 dry-warm phases which were associated with the MCA period. Cold and wet conditions,  
1169 inferred by the relation between *Nothofagus* and Poaceae, and changes in the depositional  
1170 time, prevailed during the LIA, possibly related to El Ni o and La Ni a influencing these wet  
1171 and dry phases respectively (Fig. 5).

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1172 To the N (westward Andes), the Lake Aculeo record (34 S) shows dominance of  
1173 Poaceae suggesting relatively steady conditions during the last 2 ka with exception of last 0.1  
1174 ka, when a trend towards warmer conditions or human disturbance is reflected by increase in  
1175 Chenopodiaceae (Fig. 13B). Interestingly, the sedimentary record shows a series of turbidite  
1176 layers associated with major ENSO frequency between 1.8-1.3 ka and 0.7-0.3 ka (Jenny *et al.*,  
1177 2002). The Palo Colorado (32 S) record shows dominance of Myrtaceae associated with wet  
1178 conditions during last 2 ka alternating with several dry pulses. A major dry peak at 0.4 ka may  
1179 be related to climate and/or human activity. Similarly at E Andes, Abra del Infiernillo (26 S)  
1180 shows an increase in moisture between 2-0.75 ka inferred from Juncaceae, Poaceae,  
1181 Cyperaceae pollen and fern spores; and a change to dry climatic conditions similar than  
1182 modern from 0.75 ka on.

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1183 Lago Potrok Aike and Lago Azul (both 52 S) show a dominance of Poaceae since 2  
1184 ka, with long-distance transported pollen of *Nothofagus*. At Potrok Aike, reconstructed annual  
1185 precipitation based on transfer function indicates rising values during the last 2 ka (Fig. 13B).  
1186 Cabo V rgenes (52 S), located at SE Patagonian grass steppe, shows a shrubland community  
1187 between 1.2-0.7 ka, associated with drier conditions than at present. An increase in moisture  
1188 after ~0.7 ka is indicated by Poaceae and Juncaginaceae pollen. Cabo V rgenes CV22 shows a  
1189 similar trend, with dry grass-shrub steppe between 1.05-0.6 ka, followed by a grass-  
1190 dominated steppe suggesting higher moisture availability.



1197

1198 **Indicators of human land use in 2 ka pollen records**

1199 In any past environmental change reconstruction concerning the last 2 ka, human land use  
1200 must be considered as a potentially important agent of environmental change. However,  
1201 where there is no direct evidence of human land use, such as cultigen pollen, distinguishing  
1202 natural from anthropogenically induced burning and vegetation change can be difficult. In  
1203 some cases anthropogenic deforestation and decreased moisture might result in similar signals  
1204 in the pollen record and therefore complementary proxies of past environmental change can  
1205 be used to support interpretations, such as Chironomids (Matthews-Bird et al., 2015; Williams  
1206 et al., 2012) and geochemical records from speleothems.

1207 There are six key aspects of fossil records (pollen and charcoal) that can be seen as  
1208 indicators of past human activity, these are a: (i) decrease in forest taxa (degraded forest and  
1209 deforestations) and/or forest composition, (ii) presence of crops, e.g. *Zea mays*, *Manihot*  
1210 *esculenta*, *Phaseolus* and *Ipomoea*, (iii) presence of crop-related herbs, e.g. *Rumex*, (iv)  
1211 increase of grasses/herbs, e.g. Poaceae, Cyperaceae and Asteraceae subf. Cichorioideae, (v)  
1212 increase of disturbance indicators, e.g. *Cheno/Am*, *Cecropia*, *Vismia*, ferns and palms  
1213 (including *Mauritia* and *Euterpe/Geonoma*), and (vi) elevated amount of charcoal due to  
1214 anthropogenic fire (Fig.14). These indicators of human activity can be split into two classes,  
1215 those that directly indicate human presence, and those from which it is indirectly inferred.  
1216 *Manihot esculenta* and other crops, such as *Zea mays*, are considered direct indicators of  
1217 human influence and provide clear evidence of land use. Indirect indicators, such as change in  
1218 forest composition (e.g. due to deforestation) or the appearance of species known as possible  
1219 disturbance indicators (e.g. *Cecropia* or *Mauritia*), need further evidence from other proxies  
1220 to support any inference of past human activity. Only by looking at changes in pollen spectra  
1221 in context with other evidence (e.g. from charcoal, limnological, sedimentological, or  
1222 archaeological data sets) can the most probable driver of any change be suggested.

1223 In this paper, ambiguous records with fewer proxies were not immediately discarded,  
1224 but considered within the context of the other records from their wider region. Based on this,  
1225 an assessment could be made as to whether an anthropogenic signal may have obscured the  
1226 natural vegetation change trajectory. The moisture balance and temperature summaries for

**Deleted:** In general, indicators of human activities in pollen records are decrease in forest taxa (degraded forest) and/or forest representation (deforestation), presence of crops like *Zea mays*, *Manihot esculenta*, *Phaseolus* and *Ipomoea*, crop-related herbs *Rumex*, increase of grasses/herbs like Poaceae, Cyperaceae and Asteraceae subf. Cichorioideae, increase of disturbance indicators like *Cheno/Am*, *Cecropia*, *Vismia*, ferns and palms (e.g. *Mauritia* and *Euterpe/Geonoma*), and presence of charcoal peaks due to anthropogenic fire (Fig.14). *Manihot esculenta* and other crops such as *Zea mays* are considered direct indicators of human influence and provide clear evidence of land use. Indirect indicators such as change in forest composition (e.g. due to deforestation) or species known as disturbance indicators (*Cecropia* and *Mauritia*) need additional proxies to derive conclusive findings. Only by looking at pollen changes in context with other evidence – e.g. charcoal, limnology, sedimentology, archaeology – can the correct origin of these changes be identified. ¶  
In any palaeo-reconstruction concerning the past

**Deleted:** Similar to human indicators

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**Deleted:** more confident interpretation. This further highlights the difficulty of inferring climate-induced vegetation changes, without reference to independent climate proxy data

1264 | [each region \(Figs. 7-13\) clearly indicates when human interference obscures the climate](#)  
1265 | [assessment and when both climate and/or human may have influenced the pollen record.](#)

1266 | To date, major human impact in the Venezuelan Guayana uplands has been suggested  
1267 | for the last 2 ka and inferred from the charcoal record, without any evidence of crops.  
1268 | Compared to the highlands (1500-3000 masl), the situation in the uplands (500-1500 masl)  
1269 | differs substantially as fire is maximally responsible for vegetation change during the last 2  
1270 | ka. The Urué record shows the consequence of repeated burning upon the vegetation,  
1271 | preventing the recovery of pre-existing forests and allowing the appearance of a ‘helechal’  
1272 | (fern-dominated vegetation; Huber and Riina, 1997), and finally the establishment of the  
1273 | savanna. The occurrence of [frequent fires](#) during the last 2 ka is a common feature of mostly  
1274 | all the upland records analysed so far, regardless the plant association present at each location.  
1275 | Synchronous with this increase in fire regime, those records that nowadays are characterised  
1276 | by *Mauritia* palm swamps, showed parallel a sudden appearance and establishment of  
1277 | *Mauritia*. Human activities have been proposed as the likely cause of this high abundance of  
1278 | fires, and thereby of the consequences that produced upon the landscape. In this sense, the  
1279 | repeated use of fires would have promoted the reduction of forests and expansion of the  
1280 | savanna, favouring the establishment of *Mauritia* swamps after clearing. Two records are  
1281 | particularly relevant regarding the human influence on the Venezuelan Guayana uplands.  
1282 | Lake Chonita sequence (Table 3) registered among the earliest *Mauritia* establishment coeval  
1283 | with a significant increase in the fire regime during a likely local wet period around 2 ka. In  
1284 | the southernmost boundary of the Venezuelan Guayana, El Paují (Table 3) was interpreted as  
1285 | potentially reflecting human activities since the mid Holocene. This location is characterised  
1286 | today by treeless savanna surrounded by dense rainforests that established ~1.4 ka as shown  
1287 | by the highest abundance of algal remains (local wet conditions) and charcoal particles (fire  
1288 | regime). The establishment of the present-day landscape was interpreted as mainly  
1289 | anthropogenically driven, with the arrival [of the current inhabitants](#). The occurrence of a  
1290 | previous secondary dry forest was interpreted as the result of climate-human interplay, linking  
1291 | land abandonment and likely drier climate as the main responsible favouring the vegetation  
1292 | shift. From the Colombian savannas, human occupation is attested since the mid Holocene  
1293 | (Berrio *et al.*, 2002). At site Loma Linda a plausible signal of human interference in the last 2  
1294 | ka is shown by increased savanna, although precipitation increase during the same period

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1297 | ([Behling and Hooghiemstra, 1998, 1999](#); Marchant *et al.*, 2001, 2002) could be interfering  
1298 | with that signal.

1299 | The human history in the N Andean region goes back to the Lateglacial (Van der  
1300 | Hammen and Correal Urrego, 1978). The high plains of the Colombian Cordilleras provided  
1301 | suitable conditions for human settlements since the start of the Holocene. Increasing human  
1302 | occupation became evident in pollen records after ~3 ka, such as [Fúquene-2](#) and [Pantano de](#)  
1303 | [Genagra](#). In several Andean diagrams, *Rumex acetocella* marked the [arrival](#) of [Europeans](#)  
1304 | since 0.4 ka (Bellwood, 2004; [Bakker et al., 2008](#)). Before these dates, indigenous  
1305 | populations were scarce and their practices negligible in terms of impact, especially at high  
1306 | elevations sites such as [Piedras Blancas](#) in Venezuela.

**Deleted:** (van Geel and Van der Hammen, 1973)

**Deleted:** (Behling *et al.*, 1998b).

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**Deleted:** more intense land use by

1307 | [In the tropical lowlands along the Pacific coast, increases in the presence of palms](#)  
1308 | (mainly *Euterpe/Geonoma*), are commonly interpreted as a result from more intensive forest  
1309 | use, e.g. [Lake Piusbi](#). Pollen grains from crops like *Zea mays*, *Phaseolus* and *Ipomoea* are  
1310 | found in [Piagua](#) (Vélez *et al.*, 2001). Human disturbance to the forest is considered indicated  
1311 | by high percentages of abundance of *Cecropia*, ferns and palms. Decreases in human impact  
1312 | during the last 2 ka has been described by sites like [Pitaliton](#), [Timbio](#), [La Genagra](#), [Quilichao](#)  
1313 | and [La Teta](#), as grassy vegetation (Poaceae) and *Zea mays* disappeared and forest started to  
1314 | recover. This vegetation change could be related to the first arrival of the Spanish  
1315 | ‘conquistadors’ (González-Carranza *et al.*, 2012), or a set of different causes (Wille and  
1316 | Hooghiemstra, 2000).

**Deleted:** In the C Andes a high level of human activity, spatially variable in intensity, has been shaping the landscape for the last 2 ka. Chen/Am and *Zea mays* generally appear in all the records in the Central Andes after 4 ka, e.g. [Pacucha](#), [Marcacocha](#), [Chicha-Soras](#) and [Urpi Kotcha](#). After 2 ka, *Alnus* and agroforestry practices are observed ([Marcacocha](#), [Pacucha](#)). When irrigation started to be developed in sites without a nearby lake as for instance ~1 ka at [Coropuna](#), *Ambrosia* may be used as a terrace consolidator. ¶ Evidence of afforestation in two sites with high human influence (Marcacocha and Pacucha) are observed. Indeed *Alnus acuminata* is a tree planted by the Inca to stabilise landscapes (Chepstow-Lusty, 2011). At lower elevation, in the Andean forest, the last 2 ka pollen data indicate little change in woodland cover which remains high on the eastern Andean flank ([Consuelo](#)), and low in the west ([Urpi Kocho](#); 52 masl).¶  
*et al.*

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1317 | [In the C Andes a high level of human activity, spatially variable in intensity, has been](#)  
1318 | [shaping the landscape for the last 2 ka. Chen/Am and \*Zea mays\* generally appear in all the](#)  
1319 | [records in the Central Andes after 4 ka, e.g. Pacucha, Marcacocha, Chicha-Soras and Urpi](#)  
1320 | [Kotcha. After 2 ka, \*Alnus\* and agroforestry practices are observed \(Marcacocha, Pacucha\).](#)  
1321 | [When irrigation started to be developed in sites without a nearby lake as for instance ~1 ka at](#)  
1322 | [Coropuna, \*Ambrosia\* may be used as a terrace consolidator. Evidence of afforestation in two](#)  
1323 | [sites with high human influence \(Marcacocha and Pacucha\) is observed. Indeed \*Alnus\*](#)  
1324 | [acuminata is a tree planted by the Inca to stabilise landscapes \(Chepstow-Lusty, 2011\). At](#)  
1325 | [lower elevation, in the Andean forest, the last 2 ka pollen data indicate little change in](#)  
1326 | [woodland cover which remains high on the E Andean flank \(Consuelo\), and low in the west](#)  
1327 | [\(Urpi Kocho\).](#)

1328 | Of the 42 pollen records identified from the lowland Amazon basin, 15 show evidence

1360 of pre- and post-European land use within the last millennia. Human land use is inferred from  
1361 these records from cultigen pollen grains, charcoal and forest clearance (Table 3). In some  
1362 cases there is also archaeological and archaeobotanical evidence for human land use. At many  
1363 of the sites occupied by native Amazonians, evidence of decreased land use shows as a  
1364 decline in burning by or before 0.5 ka, probably in relation to first European contact.  
1365 However, some sites, such as French Guiana VII and Granja show evidence of continued  
1366 post-European land use.

1367 In SE-S Brazil, the modern vegetation is strongly affected by the logging of forests  
1368 and different agricultural land-use practices. During the last few decades large-scale  
1369 afforestation of grassland by *Pinus* is seen on the highlands. Similar to SE-S Brazil, the  
1370 Pampa region has a relatively short farming history, since most of the area remained as native  
1371 grassland until the end of the 19<sup>th</sup> and the beginning of the 20th century (Viglizzo and Frank,  
1372 2006). Today, only around 30% of the region is covered by natural or semi-natural grassland.  
1373 Pampa vegetation does not show evidence of human impact prior to European settlement at  
1374 0.4 ka. Europeans introduced several tree species (e.g. *Eucalyptus*, *Pinus*), as well as cattle  
1375 (*Bos taurus* and *Equus*) and crops (*Triticum aestivum*, *Helianthus annuus*), but the intensive  
1376 agricultural activities only began 0.05 ka (Ghersa and León, 2001). The palaeoenvironmental  
1377 history of shallow lakes shows a change to more productive systems (higher mass of  
1378 phytoplankton and organic matter content) during the last 0.1-0.08 ka probably due to  
1379 agricultural activities. On the other hand, pollen records show an increase of pollen types  
1380 associated with overgrazing (*Plantago* and/or Asteraceae Asteroideae) and exotic trees during  
1381 the last 0.1 ka.

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- Deleted: horse
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1382 In S Andes and Patagonia, anthropogenic activities during the last century have caused  
1383 a range of disturbances (e.g. fire, forest clearance, grazing, agriculture) and major vegetation  
1384 changes in forest and steppe areas have occurred. There is not conclusive evidence of native  
1385 human activities in the pollen records and native-fire disturbance has been long discussed.  
1386 Charcoal records from the E Andes flank have not revealed fire activity associated with native  
1387 populations. A probable explanation for this lack of evidence is a low density of populations  
1388 associated with sporadic forest impact (Iglesias and Whitlock, 2014). In general, human  
1389 activities indicators are forest decrease, presence of exotic pollen types (e.g. *Rumex*) and  
1390 increase of some pollen types (e.g. Asteraceae subf. Cichoroideae, Chenopodiaceae)

- Deleted: In S Andes and Patagonia,

1396 associated to European presence in the region. The time of colonization varied among S  
1397 Andes and Patagonian sites, but ~0.1 ka can be considered the start of European activities in  
1398 Patagonia. Differences in timing of the first appearance of human indicators in pollen records  
1399 could reflect European settlement dynamics, with earlier presence in more northerly sites and  
1400 later more isolated areas (in the south of continent). The first human indicator is recorded at  
1401 Rio Rubens (52°S) with the appearance of the European weed pollen *Rumex acetosella*-type  
1402 appearance in the early European era (~0.3 ka).

1403

## 1404 5. Discussion of the regional assessments

### 1405 General observations for 2 ka pollen compilations

1406 This review reveals that those records with better dating resolution in the late Holocene are  
1407 often from cores that span a shorter time period, while longer temporal records have less well  
1408 resolved Holocene chronologies. This likely reflects: (i) the need to spread limited numbers of  
1409 radiocarbon dates in order to provide robust age models for these deeper time records, (ii) the  
1410 greater interest of previous researchers in potential large-scale palaeovegetation changes,  
1411 driven by glacial-interglacial climate cycles, and other significant periods of climatic change,  
1412 such as the early-to-mid Holocene drought, and (iii) the low sedimentation rate during the last  
1413 millennia in certain regions, e.g. lowland Amazonia. Furthermore strong anthropogenic  
1414 interference during the last 2 ka complicates the interpretation of many records from a  
1415 palaeoclimate perspective, but with expert knowledge climate signals can be filtered.  
1416 Additional difficulties arise from the 'one topic focus' of many studies and authors do not  
1417 often present the full range of data in their publications that are required for a comprehensive  
1418 reconstruction of vegetation, climate and human impacts over the last 2 ka.

Deleted: (e.g. Granja, French Guiana K-VIII in the Amazon basin)

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1419

### 1420 Venezuelan Guayana highlands and uplands

1421 For the Venezuelan Guayana region, here we discuss the highland and upland areas separately  
1422 due to the significant differences in physiographical, climatic and ecological features, as well  
1423 as in the intensity of human pressure on their respective ecosystems.

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1424 Highlands are virtually pristine and, according to the palaeoecological records, they  
1425 have remained in this state at least since the early Holocene. Therefore, climate has been the

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1436 | main driver of change. Palaeoecological records for the last 2 ka are scarce and generally of  
 1437 | low resolution but a common trait is the ecological stability as expressed in the vegetation  
 1438 | constancy. The following hypotheses have been suggested to explain these observations: (i)  
 1439 | environmental changes were insufficient to affect the highland vegetation, (ii) the high  
 1440 | precipitation and relative humidity of the Chimantá summits (Briceño *et al.*, 1990) have  
 1441 | buffered climatic changes, and (iii) the study sites are unsuitable for recording significant  
 1442 | vegetation changes because there are no vegetation ecotones nearby (Rull, 2015). Further  
 1443 | work is needed focused test these hypotheses. So far, palaeoecological fieldwork atop the  
 1444 | tepuis has been carried out in an exploratory, non-systematic manner due to the remoteness of  
 1445 | the tepuis, and the logistic and administrative constraints (Rull *et al.*, 2008). In the LOTRED-  
 1446 | SA framework, the issue of vegetation constancy emerges as a priority and should be  
 1447 | addressed properly by finding suitable coring sites to be analysed with high-resolution  
 1448 | multiproxy tools. The use of physical-chemical proxies independent from pollen and spores is  
 1449 | essential to record climatic shifts. Lake sediments would be excellent for this purpose but,  
 1450 | unfortunately, lakes are absent on tepui summits, the only permanent lake known so far is  
 1451 | Lake Gladys atop the Roraima tepui, of which age and origin remain unknown (Safont *et al.*,  
 1452 | 2014). At present, the analysis of the Apakar PATAM9-A07 core, which meet the PAGES-  
 1453 | 2k criteria, is in progress. The preliminary study of this core showed the main Holocene  
 1454 | vegetation trends at millennial resolution (Rull *et al.*, 2011), and the current analysis is being  
 1455 | performed at multidecadal resolution. A new core obtained in the Uei summit (PATAM8-  
 1456 | A07; not included in the Chimant massif) containing a decadal record for the last 2 ka is also  
 1457 | being currently analysed (Safont, *et al.*, submitted).

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1458 | In the GS uplands, the situation is very different and the main driver of ecological  
 1459 | change is fire caused by humans. This does not mean that climatic shifts have been absent or  
 1460 | that they have not affected the vegetation but the action of anthropogenic fires overwhelms  
 1461 | and obscures the action of climate (Montoya and Rull, 2011). So far, regional palaeoclimatic  
 1462 | trends, based on independent data obtained from the Cariaco basin (~680 km to the north;  
 1463 | Gonzlez *et al.*, 2008), have been used as a reference for past climate change on the GS  
 1464 | uplands (Rull *et al.*, 2013). Unfortunately, a more local independent palaeoclimatic record for  
 1465 | the GS uplands is still lacking, not only for the last 2 ka but also for the entire Holocene.  
 1466 | Another limitation is that most palaeoecological records available for the GS uplands are from  
 1467 | its southern sector, which is the lowermost part of the peneplains, and has a different climate

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1483 and vegetation regime as compared to the northern sector. Some records from the northern  
1484 sector are available that fit with the chronological PAGES-2k requirements (Leal *et al.*, 2011)  
1485 but only summary diagrams are provided in peer-review publications and [therefore they](#)  
1486 cannot be used in this reconstruction. The decadal to multidecadal analysis of a new core  
1487 obtained in Kamoirán (PATAM10-A07), in the northern GS uplands, is in progress.

Deleted: (Rull *et al.*, in prep.).

1488 It should be stressed that the last 2 ka seem to have been critical for the ecological  
1489 history of the GS uplands and its detailed knowledge may be crucial to understand the origin  
1490 of the present-day landscape. The reason is intimately linked to the temporal patterns of  
1491 human impact using fire. The date of arrival of the current indigenous culture (Pemón) at GS  
1492 is still unknown. Based mainly on historical documents, it has been postulated that this  
1493 culture settled in GS ~0.6 to 0.3 ka, coming from Guyana or Brazil (Thomas, 1982; Colson,  
1494 1985, Huber, 1995a). But these could be considered minimal ages, as recent palaeoecological  
1495 studies suggest that human groups with landscape management practices similar to the Pemón  
1496 people would have been present in the GS since ~2 ka (Montoya and Rull, 2011; Montoya *et*  
1497 *al.*, 2011a). Before that time, the GS landscape was different from the present, including  
1498 larger extents of forested areas since the [late-glacial \(22-11.7 ka\)](#) and the absence of *Mauritia*  
1499 palm swamps until ~2 ka. The same time period seems to have been a landmark in  
1500 Neotropical history for similar reasons as Rull and Montoya (2014) showed a generalized  
1501 increase of *Mauritia* pollen abundances in northern South America during the last 2 ka.

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1502 Given the northern position of the [Venezuelan Guyana](#), the vegetation responses  
1503 studied have been normally related to ENSO and ITCZ movements. These two main drivers  
1504 are [represented by the](#) Niño 3.4, AMO, IPO and TNA modes, which are [indeed the exerting](#)  
1505 [the main influence](#) in the area as shown in Figs. 2-5 (especially [with respect to](#) temperature).  
1506 The lack of [a significant influence of AMO on precipitation](#) in the region is surprising. It is  
1507 [worthwhile](#) to compare the climatic inferences made through fossil pollen records [with](#) the  
1508 climate [modes'](#) effect on the area. Fossil pollen records have suggested available moisture (or  
1509 precipitation/evapotranspiration ratio: P/E) as the main climatic driver to take into account for  
1510 vegetation responses. However, these inferences are based on very local spatial scale proxies  
1511 (e.g. algal remains) and P/E is a complex process that relies [on](#) a wide range of factors,  
1512 including both temperature and precipitation ([Van Boxel \*et al.\*, 2013](#)). Its interpretation in the  
1513 fossil record is therefore complex and sometimes ambiguous. On the other hand, [both Pacific](#)  
1514 [and Atlantic](#) climate modes [appear to have](#) [a potentially](#) large effect [on](#) both temperature and

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1534 precipitation in the region. Such findings suggest that the variations of P/E inferred from the  
 1535 fossil record could be caused by either of these two factors, or by both. Additional higher  
 1536 resolution multi-proxy analyses should shed a light on previously undetected modes in the  
 1537 region as well as disentangling the combined effect of several forcing factors. Nevertheless,  
 1538 upland records have been interpreted as primarily human-driven vegetation responses, so for  
 1539 the last 2 ka the climatic conclusions are constrained. Highland records have been described  
 1540 as an example of constancy, even insensitive to temperature change during the last 2 ka,  
 1541 which could confirm that the temperature variability related to climate modes in this region  
 1542 has been of a lesser magnitude than those required to cross the vegetation tolerance ranges.  
 1543 Alternatively the intrinsic characteristics of the sites studied so far, has inhibited detecting any  
 1544 change.

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## 1545 Northern Andes

1547 Study sites without human presence have been not identified with certainty within the  
 1548 northern Andean region, inhibiting detection of a clear signal of climate tendencies in the last  
 1549 2 ka. Drier conditions prevailed in Colombian savanna lowlands, although the increased  
 1550 presence of *Mauritia* suggests either increased humidity and/or human influence. Along the  
 1551 Pacific coast, generally wetter conditions prevailed (Fig. 8B), but tectonic events might be  
 1552 masking clear patterns. Interpretation of some records should be made with care due to the  
 1553 noisiness of the data. Furthermore, due to the geomorphological complexity of the landscape  
 1554 and its latitudinal characteristics, this region is prone to a combination of strongly overlapping  
 1555 climate signals within and between years (Figs. 2-5; Marchant *et al.*, 2001).

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1556 For the northern Andes the position of the ITCZ and the ENSO phenomenon are most  
 1557 important in driving changes in precipitation as clearly illustrated in the La Cocha record  
 1558 Figs. 4 and 5). The altitudinal gradient in temperature is most importantly modulated by  
 1559 ENSO and the TNA. This is shown by the increased temperature variability around 5 ka when  
 1560 the ENSO signal starts (Figs. 2 and 3). The Papallacacta record highlights the two modes,  
 1561 which affect precipitation variability in this region, namely the E equatorial Pacific and the  
 1562 tropical Atlantic, SST anomalies in both basins have been related to climate variability in the  
 1563 N Andes until 0.45 ka, with inter-decadal variability dominating during the last 0.5 ka. Also  
 1564 Pallacocha in S Ecuador shows a close match with ENSO, recording its strength during the

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1587 last 15 ka. Similarly associated with ENSO are the changes in the plant assemblages detected  
1588 in the high-resolution record of El Junco on the Galápagos Islands.

1589 Comparing vegetation-climate signals between the Colombian lowlands and E  
1590 Venezuela and NE Brazil has shown opposite climate conditions. Dry conditions identified in  
1591 the Colombian savannas (suggesting an ENSO - La Niña), concur with similar conditions in  
1592 the Bolivian pollen records. During an El Niño setting, when Bolivian savannas indicated wet  
1593 conditions, the signal from Lake Valencia in Venezuela reflected dry conditions (Martin et  
1594 al., 1997; Wille *et al.*, 2003). Lowland sites generally show similar patterns of climate change  
1595 during the last 2 ka and apparent synchronous events are observed over a larger spatial scale.  
1596 This climate-sensitive transition zone is thought to reflect precession-forced changes in  
1597 seasonality, latitudinal migration of the ITCZ, and changes in the ENSO (Figs. 3 and 4). The  
1598 sites in the Andean region on the other hand are much more influenced by local geographical  
1599 variability, causing a more variable response mechanism.

Deleted: The sites in the Andean region

## 1600

## 1601

## 1602 Central Andes

1603 The records from the Central Andean Altiplano suggest an oscillation in moisture availability  
1604 (precipitation) on a multi-centennial timescale during the last 2 ka (Fig. 9B). These  
1605 oscillations are probably due to differences in the strength of the summer precipitation. The  
1606 timing of wet and dry events is not uniform between sites, probably due to local micro-  
1607 climates and differences in vegetation sensitivity to climate change; i.e. the high elevation  
1608 grassland (puna) versus mid-elevation Andean forest. The high elevation peatland site of  
1609 Cerro Llamoca is the only Altiplano site with no discernible local human impact and is the  
1610 most robustly dated record used in this study; 33 radiocarbon ages in the last 2000 years. The  
1611 Cerro Llamoca record therefore likely represents the clearest palaeoclimate signal for the C  
1612 Andean region. For example, records of glacial advance and retreat, and associated vegetation  
1613 changes, from the Altiplano associated with the LIA, are not discernible in any record, apart  
1614 from Cerro Llamoca, because they are masked by changes associated with the arrival of  
1615 Europeans; i.e. abandonment of the sites, and/or changes in agricultural practices.

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Deleted: ); however, the strong local human influence means that climatic interpretations of palaeological evidence should be done with caution.

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1616 Interpretation of the climate signal from the C Andes fossil pollen records suggests  
1617 that during the last 2 ka precipitation, rather than temperature, was the key natural driver of

1636 vegetation change. Nonetheless, the increase in temperature observed at Nevado Coropuna  
 1637 during the Inca period, after 0.85 ka, could correspond to El Niño or IPO forcing.  
 1638 Furthermore, the decrease in temperature observed at Marcacocha between 1.85 and 0.85 ka  
 1639 could be related to La Niña. The Pacific modes (Figs. 2 and 3) show a strong influence along  
 1640 the coast, which is in agreement with the results of the coastal pollen record (Urpi Cocha),  
 1641 where ENSO is considered responsible for extreme flooding events.

1642 The greater sensitivity to precipitation seen in the pollen records is probably because  
 1643 moisture availability is in most areas the limiting factor for both vegetation and human  
 1644 settlement. On the Altiplano variations in the SASM have been attributed as a major driver of  
 1645 changes in moisture balance at Cerro Llamoca, Nevado Coropuna, and Pacucha, through  
 1646 altering the summer precipitation. The SASM is also responsible for precipitation variations  
 1647 along the E Andean flank, as seen at Consuelo. As noted earlier, the highly seasonal  
 1648 precipitation in the C Andean region leads to rather weak correlations with ENSO and the  
 1649 IPO on an annual scale (Figs. 4 and 5). Notwithstanding this ENSO has been shown to have a  
 1650 significant influence in the C Andean region (both for temperature and precipitation) in  
 1651 numerous studies. It should also be noted that ENSO and IPO influence the intensity of the  
 1652 SASM (Garreaud *et al.*, 2003; Vuille and Werner, 2005) thereby affecting moisture delivery  
 1653 to the Altiplano region, but because both ENSO and monsoon rainfall tend to peak during a  
 1654 fairly short time window between November and February, this connection is not clearly  
 1655 expressed in Figs. 4 and 5.

1657 **Lowland Amazon basin**

1658 The lowland Amazon basin shows a high spatial complexity to the expression of the various  
 1659 modeled climate modes (Figs. 2-5). ENSO and IPO, for example, both indicate strong  
 1660 negative relationships with precipitation in the NE quarter of Amazonia, where they induce  
 1661 drying over this region during their positive phase. Conversely, TSA shows a positive  
 1662 relationship with precipitation over the NE Amazon. Precipitation in the NE Amazon region  
 1663 is clearly strongly linked to tropical sea-surface temperatures and ENSO variability. There are  
 1664 two pollen records in this region (Les Nouragues and French Guiana VII), both of which  
 1665 display a more local-scale forest dynamics with additional human interference. Therefore  
 1666 these records are not considered suitable to investigate the effect of these modes on vegetation

**Deleted:** However, modelling suggests that on an annual scale temperature (Figs. 2 and 3), rather than precipitation (Figs. 4 and 5) is more likely to have altered due to switch in climate mode; particularly in

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**Deleted:** Also the TSA mode shows a strong influence on the Bolivian Altiplano.

**Deleted:** communities

**Deleted:** populations. The increase of temperature induced by Niño and IPO on the Figs. 2 and 3 show no link with the precipitation.

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**Deleted:** Precipitation patterns (Figs. 4 and 5) are less pronounced

**Deleted:** it occurs here during a short

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**Deleted:** Figs. 2-5 reinforce the importance of the SAM in Amazonia, a pattern that has been identified and discussed extensively in the palaeoecology literature of lowland Amazonia. The SAM is recognised as a key driver of precipitation and attributed to the expansion of rainforest during the late Holocene, which is observed in the large lake records from S Amazonia (Chaplin, Bella Vista, Orícore, Carajás). However, these sites do not meet the PAGES-2k dating criteria. As for its effect on temperature, in this region vegetation records are probably not sensitive to small temperature changes (e.g. 0.5°C) that might relate to SAM strength. The sensitivity of the records could be related to the temperature and precipitation ranges of tropical plant families (Punyasena, 2008; Punyasena *et al.*, 2008). Figs. 2-5 also show considerable spatial complexity in climate over lowland Amazonia, in terms of the impact of different climate modes, especially ENSO. However, if modes such as ENSO do have a long term drying effect over the past 2 ka in lowland Amazonia, it does not appear to affect vegetation in a way that is visible [...]

1759 [over the last millennia. New pollen-based reconstructions should be prioritized in this region](#)  
1760 [to uncover the long-term drying effect of dominant ENSO/IPO or TSA modes on tropical](#)  
1761 [lowland vegetation in the NE. The most significant late Holocene vegetation changes are](#)  
1762 [observed in records from the ecotonal areas of the S Amazon \(Chaplin, Bella Vista, Orícore,](#)  
1763 [Carajás\), where rainforest vegetation is located near the edge of its climatic range therefore,](#)  
1764 [vegetation response to precipitation change is most likely to be observed. This rainforest](#)  
1765 [expansion during the mid-to-late Holocene resulted from increasing insolation over the S](#)  
1766 [Tropics and strengthening/migration of the SASM; a complex component of the climate](#)  
1767 [system that is influenced by several dominant modes. Figures 4-5 show a weak negative](#)  
1768 [precipitation anomaly across the lowland Amazon associated with the TNA mode. It is](#)  
1769 [thought that higher sea surface temperatures in the tropical North Atlantic cause a reduction in](#)  
1770 [Atlantic moisture reaching the Amazon during austral winter, thus extending the](#)  
1771 [length/severity of the dry season; especially in S and SW Amazonia \(Lewis \*et al.\* 2011\). The](#)  
1772 [influence of the TNA mode may therefore be important to consider in Amazonian pollen](#)  
1773 [records given the known sensitivity of vegetation in these ecotonal areas to seasonal rainfall.](#)

1774 [Most modes in Figures 2-3 show high correlation and regression coefficients with](#)  
1775 [temperature anomalies over the lowland Amazon. Temperature anomalies can play a role, but](#)  
1776 [rainforest vegetation is unlikely to have shown sensitivity to temperature changes of <1°C](#)  
1777 [\(Punyasena, 2008; Punyasena \*et al.\* 2008\), but would show greater sensitivity to reductions in](#)  
1778 [minimum annual temperature \(i.e. frost\).](#)

1779 Better-resolved late Holocene records [originate](#) from small lake basins (e.g. oxbows  
1780 [like Maxus-1, Laguna El Cerrito and Laguna Frontera](#)), which have small pollen catchment  
1781 areas. This means that they reflect predominantly local-scale changes and are, therefore, more  
1782 susceptible to having their [record of past environmental change](#) dominated by signals of  
1783 ancient human land use and local hydrology (e.g. savanna gallery forest), rather than regional  
1784 climate. Many of these smaller records were specifically selected in the original study to  
1785 investigate local-scale human impacts around known occupation [sites](#) (Iriarte *et al.*, 2012;  
1786 Whitney *et al.*, 2014; Carson *et al.*, 2014, 2015). [Examples of continuous anthropogenic](#)  
1787 [signals during the last 2 ka are Laguna El Cerrito, Laguna Frontera and Laguna San José](#)  
1788 [\(Fig.10\).](#)

1789 In order to address these complicating factors of pollen catchment area and the  
1790 anthropogenic signal, any future effort to obtain better-resolved Holocene pollen records in

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1795 the lowland Amazon should make careful consideration of the sampling methodology  
1796 employed. Carson *et al.* (2014) demonstrated that sampling a combination of small and large  
1797 lake basins from within the same catchment allows a distinction to be made between local-  
1798 scale, anthropogenic impact and regional-scale, climate-induced vegetation changes. In  
1799 regions such as the C Amazon, where lakes are predominantly limited to small oxbows, a  
1800 sampling approach might be to analyse cores from multiple records within the same locality,  
1801 and to compare those records, in order to identify any regionally significant pattern of  
1802 palaeovegetation change (Cohen *et al.*, 2012; Whitney *et al.*, 2014). Oxbow lakes are dynamic  
1803 features, and so require careful interpretation. However, their higher sedimentation rate means  
1804 that they have the potential to provide the high temporal resolution palaeovegetation records  
1805 of the late Holocene, which currently are largely absent from the Amazon lowlands.

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1806 Considering the large area of the Amazon basin, the number of pollen records is very  
1807 small, and by applying the PAGES-2k criteria, those numbers are further reduced.  
1808 Furthermore, the records which are excluded from the analysis by these criteria include some  
1809 of the most important records of climate-driven vegetation change in the Amazon basin, e.g.  
1810 Lakes Oricore (Carson *et al.*, 2014), Carajás (Hermanowski *et al.*, 2012), and lakes Bella  
1811 Vista and Chaplin (Mayle *et al.*, 2000).

1812 In order to avoid a “black hole” situation over the Amazon lowlands in any regional  
1813 synthesis, one approach may be to apply a lower threshold of dating criteria. If the selection  
1814 criteria are relaxed to allow for those records that are >500 years old and have at least two  
1815 chronological control points within the last 2000 years, a further 14 records are added to the  
1816 list of qualifying records. Also, if the criteria are stretched further to allow records with a  
1817 lower date which is older than, but close to 2 ka, the Lake Chaplin and Gentry records would  
1818 also be included. Considering these records would provide coverage from the central Amazon  
1819 River region, the N Brazilian Amazon, the E and NE coastal Amazon and the SE and SW  
1820 basin. However, even with these relaxed criteria, a number of key records would still be  
1821 excluded, e.g. Pata (Bush *et al.*, 2004; D’Apolito *et al.*, 2013), La Gaiba (Whitney *et al.*,  
1822 2011) and Bella Vista (Mayle *et al.*, 2000).

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1823 Any future investigation of late-Holocene climate-vegetation interaction may require  
1824 new dating efforts to improve the age models of these key records. A Holocene aged record  
1825 from lake La Gaiba produced by McGlue *et al.* (2012) has produced a better-resolved age  
1826 model than the longer record from Whitney *et al.* (2011), which would meet the PAGES-2k

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1830 criteria. However, McGlue *et al.* (2012) analysed the geochemical properties of sediments  
1831 from a new core taken after the Whitney *et al.*, (2011) study, and did not include any pollen  
1832 data. No attempt has been made subsequently to correlate the chronologies of the two records.

1833 Although the dating resolution in the late Holocene is poor in many lowland  
1834 Amazonian pollen records, it should be noted that the majority also show little variation in  
1835 vegetation over the past ~1 or 2 ka. Whether this reflects genuine ecosystem (and climate)  
1836 stability over the late Holocene, or is a product of low sampling resolution within these long  
1837 records is unclear. Most of these deep temporal pollen records, as they are published now,  
1838 likely have sub-sample intervals of insufficient resolution to be able to discern high-frequency  
1839 events, such as vegetation changes associated with ENSO variability. However, in some  
1840 cases, such as Bella Vista (Burbridge *et al.*, 2004) and Orícore (Carson *et al.*, 2014), the  
1841 potential for such fine temporal reconstructions may be limited by the low sedimentation rate  
1842 of the basins. Often these records come from short sediment cores, in which the Holocene  
1843 time interval is contained within a short depth range (i.e. <1 m). A number of shorter records,  
1844 spanning Holocene time periods, exist in the E coastal Amazon, and could potentially provide  
1845 high temporal-resolution reconstruction over the last 2k. However, most do not currently meet  
1846 the PAGES-2k dating criteria.

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### 1848 Southern and Southeastern Brazil

1849 The limited number of pollen records from S/SE Brazil for LOTRED-SA-2k has several  
1850 reasons besides the insufficiently dated cores: (i) many archives, in particular peat bogs, have  
1851 very low sedimentation rates, i.e. often 100 cm of peat deposits encompass the complete  
1852 Holocene (last 11.7 ka), and (ii) the upper part of peat archives contains actively growing  
1853 roots and is therefore difficult to date.

1854 Despite the limited number of study site available general vegetation changes in S/SE  
1855 Brazil can be established (Fig. 11). Pollen assemblage shifts suggest a change toward wetter  
1856 conditions over the last 2 ka, in particular due the reduction of the dry season length. The  
1857 increased moisture availability is generally thought to commence in SE Brazil between 6 and  
1858 4 ka, but is particularly pronounced in S/SE Brazil during the last ~ 1 ka. Sites located in the  
1859 highlands of S/SE Brazil along the transition zone between the subtropics and tropics are  
1860 sensitive to both temperature and precipitation, but in the lower elevations the length of the

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Deleted: are in process or to be studied in the next years. These records will most likely present improved chronology for the last 2 ka. Additional studies on this period are considered relevant for regional vegetation conservation

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Deleted: Pillar, 2007) *et al.*.

1886 dry season plays a more important role than temperature. This dry season length is modulated  
1887 by the interplay between SASM and SACZ, which bring abundant rainfall to SE Brazil during  
1888 the summer months (October-March) and the South Atlantic Anticyclone, a semi-permanent  
1889 high-pressure system located over the subtropical South Atlantic. The main pacemaker for  
1890 rainfall on inter-annual time scales is ENSO, as El Niño events tend to bring copious rainfall  
1891 to the region (Figs. 4 and 5).

1892 According to the pollen records the intra-annual variability plays an important role in  
1893 SE and S Brazil. The generally long annual dry period during the early and mid-Holocene  
1894 limited the expansion of different forest ecosystems, while a much shorter annual dry period  
1895 during the late Holocene allowed a strong expansion of forests, in particular of the *Araucaria*  
1896 forest in southern Brazil. Inter-annual variability, influenced by the ENSO frequency, which  
1897 increased during the late Holocene, may also have a certain effect on the vegetation in the  
1898 region. El Niño events cause high rainfall rates in S/SE Brazil (Garreaud *et al.*, 2009). This is  
1899 consistent with results in Fig. 4, which show a positive correlation between precipitation in  
1900 the region and Nino3.4 and the IPO, and to a lesser extent also the TSA. The effect of the  
1901 slightly increasing precipitation in southern Brazil may be rather small, however, as rainfall is  
1902 already relatively high inferred from the records of past environmental change from S Brazil.

1903 According to Fig. 2, the correlation of annual mean temperature over SA with the  
1904 climate modes Nino3.4, IPO, AMO and TNA suggest a slight warming associated with the  
1905 positive phase of these modes (Fig. 3). Increasing temperatures sustained over a long period  
1906 may cause a slight shift of tropical plant populations to higher elevations on the mountains in  
1907 SE Brazil and a slight expansion of tropical plants on the southern highlands.

**Deleted:** of the continent display a relatively simple pattern within this region as the degree of overlap is minimal.

1908  
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1910 **Pampean plain**

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1911 There are several pollen records in the Pampean plain that span Holocene times, but few of  
1912 them have well resolved chronologies for the last 2 ka. Just one site fulfills all PAGES-2k  
1913 criteria. Previously, pollen analyses in the Pampean plain region has been carried out on  
1914 alluvial sequences, or archaeological sites, which usually contain sedimentological  
1915 discontinuities that impede a good chronological control. These pollen records show regional  
1916 vegetation changes and climate inferences related to precipitation changes (humid/dry/arid

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1926 conditions) or sea level fluctuations, mainly at millennial or centennial scale. Until today, few  
1927 studies have focused on elucidating palaeoenvironmental changes at high temporal resolution  
1928 during the last 2 ka. Furthermore, the Pampean plain have a high number of potential sites;  
1929 shallow lakes characterized by a continuous sedimentation that would provide robust age  
1930 models and high quality pollen records. Conversely, the current pollen records do not have  
1931 the necessary resolution to identify vegetation-human interaction during the last 0.3 ka and  
1932 therefore improved chronological control and higher resolution is necessary.

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1933 General climatic tendencies in the region can be inferred although few accurately dated  
1934 pollen records are available. While individual palaeoecological studies reveal local  
1935 developments, general patterns emerge when information from several sites is combined, such  
1936 as Lonkoy and Hinojales-San Leoncio (Fig. 12B). A multi-proxy approach, including pollen  
1937 analyses, shows synchronous changes in these shallow lakes from SE Pampa that are mainly a  
1938 response to precipitation variations. Thus, between 2 and 0.5 ka drier conditions than present  
1939 are inferred, then a transition phase towards more humid conditions is observed, which  
1940 stabilizes between ~0.3 and 0.1 ka, with values close to modern (Stutz *et al.*, 2014). These  
1941 climatic inferences are valid for the SE region but do not extend to the entire Pampean plain.  
1942 At S Pampean plain, multi-proxy interpretation at Sauce Grande (Fontana, 2005) shows a  
1943 similar change to more humid conditions at 0.66 ka, and similar conditions to present day  
1944 after 0.27 ka, but pollen composition shows low responsiveness to change (Fig. 12A). New  
1945 palaeoenvironmental reconstructions based on pollen records are needed to disentangle the  
1946 intrinsic ecosystem variability from climate, and to elucidate if climatic events such as the  
1947 MCA or LIA had different expressions in the Pampean plain.

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1948 As seen in Figures 2-5, these plains fall outside the areas that are strongly influenced by  
1949 the investigated climate modes. Nonetheless, Figures 2 and 3 indicate that the positive phase  
1950 of the TSA (a warm tropical South Atlantic) leads to a cooling over the region, while a slight  
1951 warming is associated with the positive phase of the IPO. In Figure 5, a weak positive  
1952 correlation between rainfall in the region and the Niño 3.4 and IPO modes is observed, which  
1953 was previously also discussed by Barros *et al.* (2006). The SAM on the other hand is  
1954 negatively correlated with precipitation in the region (Fig. 4), consistent with results by  
1955 Silvestri and Vera (2003), although this relationship has not yet been explored in pollen  
1956 records as a possible influence in the region. Hitherto studies from the Pampean plain only  
1957 discuss dry or humid conditions associated with reduced or increased precipitation, but no

1973 [attempt to link these observations to large-scale climate variability is made. The situation in](#)  
 1974 [this region is further complicated by the fact that the moisture supply to the region stems from](#)  
 1975 [two distinct sources, the South Atlantic \(Labraga \*et al.\*, 2002\) during austral winter and the](#)  
 1976 [SA monsoon system \(Vera \*et al.\*, 2006\) during the austral summer. Hence pollen-based](#)  
 1977 [precipitation reconstructions also need to consider changes in seasonality of precipitation and](#)  
 1978 [not just climate variability associated with external influences from ENSO or the SAM.](#)  
 1979 [Seasonally stratified analyses of the influence of ENSO or the SAM could therefore provide](#)  
 1980 [additional insights into the climate-vegetation interpretation as focusing on annual mean](#)  
 1981 [values may mask strong seasonal signals in the same way as discussed above for the C Andes.](#)

1982  
 1983

1984 **Southern Andes and Patagonia**

1985 Even though a [large](#) number of pollen records are available in the [southern Andes and](#)  
 1986 [Patagonia](#) region, just 19 (between 32-54°S) fulfil the PAGES-2k criteria. In Patagonia most  
 1987 pollen studies have been carried out [with a focus](#) on [vegetation and climate change over](#)  
 1988 [different or longer timescales, i.e.](#) the Pleistocene-Holocene transition [\(c. 11.7 ka\)](#), or the  
 1989 entire Holocene [\(last 11.7 ka\)](#). The pollen records are considered to mainly reflect [changes in](#)  
 1990 the SWWB [and hence indicative of the polarity of the SAM](#). Southern records receive  
 1991 precipitation related to the SWWB, whereas those located to the north (40-32°S) are also  
 1992 influenced by the Subtropical Pacific Anticyclone (SPA) that blocks winter precipitation  
 1993 [along](#) a latitudinal gradient (decreasing precipitation during JJA in the S part to scarce  
 1994 precipitation during DJF in the N part). [Furthermore the Andean ridge provides for a](#)  
 1995 [fundamental climatic divide with stronger westerlies leading to enhanced precipitation to the](#)  
 1996 [W of the divide, while](#) sites located in [Patagonia E of the Andean divide](#) receive [enhanced](#)  
 1997 precipitation [associated with winds](#) from [the E \(Garreaud \*et al.\*, 2013\)](#). [In addition to this E-W](#)  
 1998 [asymmetry](#), the comparison between N and S records could [also](#) shed light on [the](#)  
 1999 expansion/retraction and/or latitudinal shifts of the SWWB, or [a](#) differential influence of [the](#)  
 2000 SPA. For example, records S of 46°S show relatively dry conditions between ~1-0.5 ka  
 2001 whereas drought occurs between 2-1.5 ka at sites N of this latitude [\(Fig. 13B\)](#). Differences in  
 2002 seasonality [are another](#) key feature [distinguishing](#) precipitation records [in](#) N Patagonia  
 2003 (summer rainfall, e.g. Lago San Pedro) [from records further north in](#) central Chile (winter

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Deleted: long temporal records (in many cases until end of Last Glacial Maximum) focusing on

Deleted: vegetation and climate dynamics. Moreover, appropriate records in areas of northern S Andes and Patagonia, are scarce because of the absence of depositional sites and archives or the lack of palaeo-research. ¶

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2030 rainfall, e.g. Lake Aculeo and Palo Colorado). Due to the regional complexity of the climate,  
2031 the region cannot easily be characterized by a single climate mode. Different patterns are  
2032 distinguished (Fig. 13B), due to their geographical position, latitude and E/W side of the  
2033 Andes, and the intrinsic sensitivity of each record to climatic variability.

2034 Superimposed on the seasonally changing SWWB and SPA dynamics are the  
2035 interannual influences of the SAM/Antarctic Oscillation and ENSO (Figs. 2-5). The positive  
2036 phase of the latter (El Niño) is associated with humid winters in subtropical Chile and with  
2037 dry summers in NW Patagonia (Montecinos and Aceituno, 2003). Sites in N Patagonia and C  
2038 Chile therefore might be suitable to study this asynchronous behaviour with regard to ENSO  
2039 activity (e.g. Lagos San Pedro and Aculeo).

2040 The strongest influence in the region on interannual time scales, however, is exerted by  
2041 the SAM. Figures 2-5 showcase a highly inverse correlation with precipitation and a positive  
2042 correlation with temperature over the southern tip of South America (especially south of  
2043 40°S). The strong influence of the SAM on Patagonian climate, with drier and warmer than  
2044 average conditions associated with its positive phase, is well known and consistent with  
2045 previous analyses by Gillet *et al.* (2006) and Garreaud *et al.* (2009). Southernmost Patagonia  
2046 therefore appears as a key area to study climate-vegetation variability associated with the  
2047 SAM (e.g. Lago Cipreses). LIA and MCA chronozones are well recorded both in southern  
2048 and northern Patagonia (e.g. Lago Cipreses, Peninsula Avellaneda Bajo, San Pedro), however  
2049 not in central Chile.

## 2051 6. Synthesis and Conclusions

2052 Through this review and analysis c. 180 fossil pollen records that fulfill at least two of the  
2053 PAGES-2k criteria for robust climate reconstruction were identified for SA. Although this is  
2054 still relatively small number, compared to the total number of fossil pollen records available  
2055 from SA (c. 1400; Flantua *et al.*, 2015a), we expect that the number of high quality sites for  
2056 reconstruction of climate over the last 2 ka is likely to increase rapidly as new work is  
2057 produced. To conduct a review on this scale it was necessary to break SA down into 7 sub-  
2058 regions. Firstly, we summarize the finding from each region, and then draw broad conclusions  
2059 regarding the patterns across the whole of SA.

2060 The Venezuelan Guyana highlands and uplands (7 study sites reviewed, Fig. 7):  
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**Deleted:** Furthermore, southernmost Patagonia arises as a key area

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**Deleted:** -vegetation variability associated to SAM (e.g. Lago Cipreses). Sites in N Patagonia and C Chile reflect synchronicity with ENSO activity (e.g. Lagos San Pedro and Aculeo) given the relationship between high precipitation/El Niño phase and low precipitation/La Niña phase (Montecinos and Aceituno, 2003). LIA and MCA chronozones are well recorded both in southern and northern Patagonia (e.g. Lagos Cipreses, Peninsula Avellaneda Bajo, San Pedro), however not in central Chile.

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- Moisture balance and temperature: Records show a higher sensitivity to moisture than to temperature. Two drought intervals were detected coeval to the Little Ice Age (LIA) in the Venezuelan Andes. Wet conditions prevailed on the tepuian summits during the last 1 ka.
  - Humans: Impact has been inferred from the charcoal record, without any evidence of crops (4 of 7 records). Use of fires can promote the reduction of forests and expansion of the savanna, favoring the establishment of *Mauritia* swamps after clearing. Earliest *Mauritia* establishment was observed around 2 ka, but humans might have been present since the mid-Holocene leaving their signature on the present-day landscape.
  - Climate modes (Table 1): Both Pacific and Atlantic climate modes (Niño 3.4, AMO, IPO and TNA modes) are predicted to have a large effect on Venezuelan Guyana, especially with regard to temperature. However, the fossil pollen records from the highland show no responses to temperature variability suggesting that tolerance ranges were not surpassed to produce vegetation shifts. The precipitation/ evapotranspiration ratio may play an additional important role not yet studied.

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2108 Northern Andes region (21 study sites reviewed; Fig. 8):

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- Moisture balance and temperature: Fossil pollen records are both moisture balance and temperature sensitive, with tropical lowlands more sensitive to moisture and Andean areas more sensitive to temperature. Overall wetter conditions with warm and cold episodes are seen during the last 2 ka. These shifting temperatures are displayed asynchronous in the records, and changes in ENSO frequency have been detected in multiple records.
  - Humans: Andean records without human impact are rare (just 4 of the 21 records) and a wide range of indicators for human activity is found, these include deforestation (loss of tree taxa) and the appearance of introduced taxa, e.g. palms, crops and disturbance taxa. The high level of evidence of humans in this region is not surprising given that the history of the human occupation of the Andes goes back to the Lateglacial (c. 10 ka; Van der Hammen and Correal Urrego, 1978).

2125 • Climate modes: The altitudinal gradient in temperature is most importantly modulated  
2126 by Pacific modes (Niño3,4) and the TNA. Records show a close match with  
2127 precipitation variability triggered by ENSO that displays a highly diverse spatial pattern  
2128 throughout the region (Fig. 4).

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2130 *The Central Andes (7 study sites reviewed; Fig. 9):*

2131 • Moisture balance and temperature: Fossil pollen records are more sensitive to changes  
2132 in moisture balance than temperature. The records on the E Andean flank (Amazon  
2133 flank) suggest overall moist conditions during the last 2 ka, while the W Andean flank  
2134 (valleys and Pacific flank) shows a succession of dry and moist episodes. Generally  
2135 drier conditions occurred in the C Andes between 1.2 and 0.7 ka when compared  
2136 with the rest of the last 2 ka.

2137 • Humans: Only two of the seven records reviewed were found not to contain any  
2138 evidence of human activity. Human presence and land-use provides hints on changes  
2139 in temperature, i.e. the climate became more favorable for human populations.  
2140 However, arid conditions during 1.5-0.5 ka may have forced humans to abandon the  
2141 Andean valleys, as there is evidence of afforestation in two sites with high human  
2142 influence. Human indicators are mostly from the occurrence of crop pollen, e.g. *Zea*  
2143 *mays*.

2144 • Climate modes: Pacific modes show a strong influence along the coast in the C  
2145 Andean region. The SASM is responsible for precipitation variations along the E  
2146 Andean flank leading to weak correlation of ENSO and the IPO on an annual scale.  
2147 Nevertheless, ENSO and IPO influence the intensity of the SASM and have shown to  
2148 influence significantly both temperature and precipitation.

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2150 *Lowland Amazon Basin (19 study sites reviewed; Fig. 10)*

2151 • Moisture balance and temperature: Fossil pollen records from the lowland Amazon  
2152 basin are moisture sensitive and indicate continuously wet climate throughout the last  
2153 2 ka; however, centennial-scale shifts are observed in terms of forest composition  
2154 attributed to hydrological change.

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- Humans: Human activity has been detected in most records (15 of 19 sites), evidenced by fire (charcoal abundances), forest clearance, and crops, e.g. *Zea mays* and *Manihot esculenta*. After European contact, land use decreases as shown by a decline in burning around 0.5 ka.
  - Climate modes: Precipitation in the NE Amazon region is strongly linked to tropical sea-surface temperatures and ENSO variability. ENSO and IPO induce drying in the NE Amazonia during their positive phase, while TSA induces precipitation. Both Pacific as Atlantic modes show high correlation and regression coefficients with temperature anomalies over the lowland Amazon.

2165 *Southern and Southeastern Brazil (7 study site reviewed; Fig. 11):*

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- Moisture balance and temperature: Records are moisture sensitive and indicate continuously wet climate throughout the last 2 ka. Changes in forest composition suggest a relative humid and warm phase during the LIA, in contrast to other regions.
  - Humans: Most human impact occurred during the last 0.4 ka as indicated by increased use of fire. Furthermore, in the southern part of Brazil, human modification of ecosystems is indicated by the appearance of introduced taxa such as *Pinus* and *Eucalyptus*.
  - Climate modes: Nino3.4, IPO, AMO and TNA suggest a slight warming associated with the positive phase of these modes. There is a positive correlation between precipitation in the region and Nino3.4 and the IPO, and to a lesser extent also the TSA. The ENSO frequency influences the inter-annual variability of precipitation and may affect the vegetation in the region where the duration of the dry season is more important than temperature.

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2180 *Pampean plain (3 study sites reviewed; Fig. 12):*

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- Moisture balance and temperature: Fossil pollen records are moisture sensitive and do not detect temperature shifts. From 2 to 0.7-0.4 ka drier climatic conditions than present are inferred while after 0.3 ka a noticeable increase of precipitation occurred (more positive moisture balance).

- Humans: All records have human impact but this widespread impact only occurs during the last 0.1 ka, and is a consequence of the introduction of exotic tree species such as *Eucalyptus* and *Pinus*.
- Climate modes: Models suggest that the climate modes explored here exert only weak influences over the Pampean region. Precipitation seasonality probably plays a more important role as moisture supply stems from distinct sources during the year.

Southern Andes and Patagonia (23 study sites reviewed; Fig. 13):

- Moisture balance and temperature: Fossil pollen records are both moisture and temperature sensitive, showing a highly diverse pattern of alternating phases during the last 2 ka. One record displays major ENSO frequency between 1.8-1.3 ka and 0.7-0.3 ka.
- Humans: Impact is present in most records (17 out of 23). Only the last centuries show clear human intervention associated to European arrival through the occurrence of *Plantago* (indicator of overgrazing), increased grasses, introduced taxa (*Pinus*) and crop-related herbs (*Rumex*). European colonization followed a clear north to south migration pattern while evidence for the presence of earlier human populations in the region is not conclusive from palaeoecological records.
- Climate modes: The strongest influence in the region is exerted by the SAM for both temperature as precipitation. The pollen records are considered to mainly reflect changes in the Southern Westerly Wind Belt and hence indicative of the SAM. ENSO influences mostly precipitation.

On the basis of the region-by-region assessments from SA we conclude more generally that:

- The low number of SA records that fulfill all the PAGES-2k criteria (only 44) is a consequence of the age and quantity of the sediments recovered (which place fundamental limits on the duration and resolution of any study), and the focus of the original research. Many SA records have been excluded because their long time span (>10 ka) coupled with a relatively slow sedimentation allows only low temporal resolution of sampling; furthermore, slow sedimentation rates mean that many records do not have radiocarbon ages from within the last 2 ka.

- Deleted: lack
- Deleted: South American
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- Deleted: short versus long records. Most of the more important
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- Deleted: of the sedimentary archives,
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- Deleted: two millennia are mostly missing

2229 • Pollen records in SA can detect long-distance (between sites) synchronicity  
 2230 (differences and similarities) in vegetation changes as an indication of regional  
 2231 precipitation and temperature variability; however, they can also detect the local-scale  
 2232 change/variability; which needs to be understood to determine if a long-distance signal  
 2233 is present. This interaction between long-distance and local-scale signal has long been  
 2234 a problem for palynologists (e.g. Jacobson & Bradshaw, 1981), but interestingly in SA  
 2235 it seems that the degree of variation in signal varies between regions, i.e. in lowland  
 2236 regions there seems to be less between site variability (consistent long-distance signal)  
 2237 compared with Andean sites (high local site specific variability). This variation  
 2238 between lowland and Andean sites is probably a function of topographic complexity  
 2239 and hence lowland pollen records provide a relatively cleaner long-distance signal  
 2240 from which large-scale atmospheric circulation (climate) change can be assessed.  
 2241 However, we show that fossil pollen records from all regions of SA can be compared  
 2242 to help better understand past changes in the intensity and area of influence of  
 2243 different climate modes, such as ENSO or the AMO.

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Deleted: . Diverse patterns of vegetation response to climate change are observed, with more similar patterns of change in the lowlands and varying intensity and direction of responses in the highlands. Hence pollen records can serve as integrating proxies over

Deleted: distances and allow assessing changes in the

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2244 • Throughout SA a number of overlapping climate modes operate; We assess the  
 2245 correlation and regression coefficients of the six most relevant climate modes to  
 2246 identify the modes with the most significant influence on interannual temperature and  
 2247 precipitation variability. Every single pollen record most likely captures the signal of  
 2248 various climate modes (Figs. 2-5), although they do not all operate in the same  
 2249 frequency bands and modes interact with one another through constructive  
 2250 interference. The causes of ambiguous climate-vegetation responses observed in  
 2251 pollen records can therefor probably be ascribed to the degree of climate mode  
 2252 interaction at a location.

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2253 • The geographical location (latitude, longitude, and altitude) of a record naturally  
 2254 affects the sensitivity of a study site to temperature- or precipitation- related forcing  
 2255 (Figs. 7-13). The baseline for understanding climate-driven changes in vegetation is  
 2256 related to either of these variables, but interpreting pollen records in terms of a  
 2257 response to large-scale climatic forcing may yield further insights as it allows for an  
 2258 attribution of temperature- and/or precipitation- driven changes to forcing from  
 2259 climate modes originating in either the Atlantic or Pacific Ocean.

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## 7. Recommendations

2284 Below we list a few specific recommendations for future engagements between climate\_ and  
2285 pollen-related studies:

2286

2287 1. Quantitative translation from pollen metrics to climate variables: Assembling a  
2288 meaningful multi-site and multi-proxy dataset is hampered by the current gap between  
2289 the palynological and the climate dynamics and modeling community, both in terms of  
2290 interpretation and quantitative translation of pollen data into climate indicators. This  
2291 gap can be narrowed when pollen studies provide if the data is suitable for that  
2292 purpose, their own temperature or precipitation approximations. There are only a few  
2293 pollen studies that provide a quantitative interpretation of their pollen data in terms of  
2294 a climate variable. In the Andes, La Cocha-1 (González *et al.*, 2012) and Papallacta  
2295 PA1-08 (Ledru *et al.*, 2013a) provide such estimates of climatological changes. In  
2296 both cases the percentage of arboreal pollen was used as a measurement of moisture or  
2297 temperature changes. Similarly Punyasena *et al.* (2008) and Whitney *et al.* (2011)  
2298 present innovative methodologies for climate reconstructions in the lowland tropics,  
2299 and Markgraf *et al.* (2002), Tonello and Prieto, (2008) Tonello *et al.* (2009, 2010) and  
2300 Schäbitz *et al.* (2013) in the southern SA. Providing additional climate estimates is not  
2301 a common feature in palynological studies and this missing link becomes more  
2302 obvious when the palynology community is being engaged in a multi-disciplinary  
2303 effort such as LOTRED-SA and PAGES-2k.

2304 2. Multi-proxy based research should become a mandatory goal for all further  
2305 investigations. Caution should be exercised when interpreting apparently contradictory  
2306 records provided by different groups for the same region; the interpretation of climatic  
2307 and anthropogenic signals in each record may be based on very different (indirect)  
2308 proxies. Hence the apparent asynchronies or contradictory interpretations could simply  
2309 occur as a result of methodological artifacts (e.g. by not including charcoal records,  
2310 non-pollen palynomorphs, geochemical analyses, etc.). On the other hand, this is  
2311 especially relevant for those areas where human impact has been found for the last 2  
2312 ka, yet a climatic interpretation is the aim of the study. Developing proxies suitable for  
2313 generating independent climate reconstructions from lake sediments in SA include

**Deleted:** <#>For human impact studies, it is important to consider a set of different proxies to make more confident interpretations in terms of climate vs human drivers of vegetation changes.¶  
<#>There is a need to increase efforts in high- resolution studies with accurate chronology for the last 2 ka.¶  
<#>The PAGES-2k criteria should be adjusted for pollen records, especially by applying a lower threshold of dating criteria. A region such as the lowland Amazon is notorious known for its paucity of records with good dating (e.g. Ledru *et al.*, 1998). Therefore the few valuable sites available should be considered for the overall purpose of understanding vegetation-climate linkages.¶

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2346 [Chironomids \(Matthews-Bird et al., 2015; Williams et al., 2012\), while indications of](#)  
2347 [humans can come from non-pollen palynomorphs, such as the dung fungus](#)  
2348 [Sporomiella \(Williams et al., 2011\).](#)

2349 3. For the stated purposes of the current and future PAGES initiatives, researchers should  
2350 be motivated to further improve chronologies for existing sites. [There is a need to](#)  
2351 [increase efforts in high- resolution studies with accurate chronology for the last 2 ka.](#)  
2352 [At the same time, the PAGES-2k criteria should be adjusted for pollen records,](#)  
2353 [especially by applying a lower threshold of dating criteria. A region such as the](#)  
2354 [lowland Amazon is notoriously known for its paucity of records with good dating \(e.g.](#)  
2355 [Ledru et al., 1998\). Therefore additional valuable sites available should be considered](#)  
2356 [for the overall purpose of studying vegetation-climate linkages.](#)

2357 4. Further advances in understanding climate-human relationships are also likely to be  
2358 made by the integration of palaeoecological and archaeological data (e.g. Mayle and  
2359 Iriarte, 2014) through conceptual [modeling](#), which can provide a framework for  
2360 identifying patterns and trajectories of change (e.g. Gosling and Williams, 2013).

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2361 5. Multi-proxy studies should compare data between different regions and records (but  
2362 comparable in terms of chronology and resolution) as it may yield insight into anti-  
2363 phased climate variability resulting from certain dominant climate modes (e.g. a  
2364 comparison between the coast of Colombia and NE Brazil-Guianas versus Brazil and  
2365 E Argentina).

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2366 6. All Andean zones are quite active from tectonic and volcanic points of view, and those  
2367 drivers will have had [significant](#) impacts on the vegetation and maybe in the fossil  
2368 pollen records [as well](#). However, this [aspect was](#) only [discussed for](#) the southern  
2369 region of [the](#) Andes. A chronology database focused on tephra control points could  
2370 support current chronology constraints and improve comparison between records. The  
2371 recent geochronological database of the LAPD can support [such a](#) multi-proxy  
2372 approach for [palaeoecological](#) integration (Flantua et al., 2015b).

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2373 7. In this paper we focused less on the seasonal contrasts throughout the continent, but in  
2374 southern SA the seasonal component is extremely important, as [precipitation shifts](#)  
2375 [latitudinally over](#) the [course of the year](#). Precipitation in this region is the limiting  
2376 factor for [vegetation](#) growth and [pollen](#) production. Key questions that need further  
2377 study include a) a better understanding of the relationship between winter and summer

Deleted: latitudinal gradient heavily influences

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2393 rainfall, b) if this relationship has remained stationary over the last 2 ka, c) if changes  
2394 in the intensity or location (latitudinal shift) of rainfall have occurred.

2395 [8. High-resolution time series should be explored with frequency analysis to find support](#)  
2396 [for operating climate modes.](#)

2397 [9. Optimal exploration of the presence of climate modes in pollen records requires a](#)  
2398 [specific research design. Temporal resolution should be increased to below decadal](#)  
2399 [scale, chronological control of the sediments optimized, main frequencies in the time](#)  
2400 [series analysed and compared with a frequency spectrum to be developed that shows](#)  
2401 [characteristics of the climate modes.](#)

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2404 [The Supplementary Information related to this article is available online.](#)

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2407 [\*\*Author contributions.\*\* S. G. A. Flantua, C. González-Arango, H. Hooghiemstra conceived](#)  
2408 [the paper and H. Hooghiemstra supervised the project. M. Vuille developed the climate](#)  
2409 [modes and corresponding figures, supported the climate interpretations at a regional level and](#)  
2410 [edited the English writing throughout the paper. Hoyos supported the interpretation of the](#)  
2411 [climate settings of the N and C Andes; V. Rull and E. Montoya the palaeoecological and](#)  
2412 [climate interpretation of the Venezuelan Guayana; S. G. A. Flantua, V. Rull, H.](#)  
2413 [Hooghiemstra the N Andes sections; W. D. Gosling, M. P. Ledru the C Andes sections; H.](#)  
2414 [Behling the S and SE Brazil sections; J. F. Carson, F. Mayle, B. S. Whitney the lowland](#)  
2415 [Amazon sections; A. Maldonado and M. S. Tonello the Patagonia and S Andes sections; M.](#)  
2416 [S. Tonello the Pampa sections; C. González-Arango and S. G. A. Flantua provided the initial](#)  
2417 [drafts of the climate summary figures and all authors discussed the results and implications;](#)  
2418 [S. G. A. Flantua, C. González-Arango, M. Vuille, B. S. Whitney, J. F. Carson, W. D. Gosling](#)  
2419 [and H. Hooghiemstra structured and edited the manuscript during all phases.](#)

2420

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Contributions¶  
S.F., C.G., H.H. conceived the paper and H.H. supervised the project. M.V. developed the climate modes and corresponding figures, IO supported the interpretation of the climate settings of the N and C Andes; HB the S and SE Brazil sections; JFC, FM, BSW the lowland Amazon sections; WDG, MPL the C Andes sections; SF, VR, HH the N Andes sections; AM and MST the Patagonia and S Andes sections; MST the Pampa sections; CGZ and SF provided the initial drafts of the climate summary figures and all authors discussed the results and implications; SF, CH, MV, BSW, JFC, HH structured and edited the manuscript; All authors commented on the manuscript at all stages.

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Abbreviation	Mode	Methods	Description	Reference
<b>Niño 3.4</b>	Niño3.4 index	SST averaged over 5°N-5°S, 170°W-120°W calculated from Hadisst data	Describes inter-annual (2-7 yr) variability of tropical Pacific SST	Rayner <i>et al.</i> , 2003
<b>AMO</b>	Atlantic Multi-decadal Oscillation	Defined as the area-averaged SST in the Atlantic north of the equator, calculated from Kaplan SST V2	Describes coherent variations in North Atlantic SST on multi-decadal (50-70 yr) time scales	Enfield <i>et al.</i> , 2001
<b>IPO</b>	Inter-decadal Pacific Oscillation	Multi-decadal Pacific-wide mode of SST variability, calculated as the 2 <sup>nd</sup> EOF of low-frequency filtered HadSST data	Describes joint variations of Pacific SST in both hemispheres on multi-decadal (20- 30 yr) time scale	Folland <i>et al.</i> , 2002
<b>SAM</b>	Southern Annular Mode or Antarctic Oscillation	Calculated as leading principal component (PC) of 850 hPa geopotential height anomalies south of 20S	Determines strength and location of circumpolar vortex (location of the extratropical westerly storm tracks)	Thompson and Wallace, 2000
<b>TNA</b>	Tropical North Atlantic SST	Defined as SST averaged over 5.5°N-23.5°N, 15°W-57.5°W and calculated from HadISST and NOAA OI 1x1 datasets	Describes inter-annual variability of SST variations in the tropical North Atlantic	Enfield <i>et al.</i> (1999)
<b>TSA</b>	Tropical South Atlantic SST	Defined as SST averaged over 0-20°S, 10°E-30°W (TSA), calculated from HadISST and NOAA OI 1x1 datasets	Describes inter-annual variability of SST variations in the tropical South Atlantic	Enfield <i>et al.</i> (1999)

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3147 Table 1. Climate modes used relevant for South America

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Criteria	PAGES 2k	This paper	Criteria abbreviations for Table 3
	<b>A</b>	Described in peer-reviewed publication	Described in peer-reviewed publication
<b>B</b>	Resolution $\leq$ 50 yr	Resolution $\leq$ 300 yr	(not specified)
<b>1</b>	Minimum duration of record $\geq$ 500 yr	Minimum duration of record $\geq$ 500 yr	DUR500
<b>2</b>	Not specified	More than two chronological tie-points within the last 2 ka	CONTROL2
<b>3</b>	Tie points near the end part (most recent) of the records and one near the oldest part	Tie points near the end part (most recent) of the records and one near the oldest part	TOP_END
<b>4</b>	Records longer than 1 ka must include a minimum of one additional age midway between the other two.	Records longer than 1 ka must include minimum of one additional age midway between the other two.	1000-MID3

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Table 2. Comparison of PAGES 2k criteria with criteria implemented in this study.

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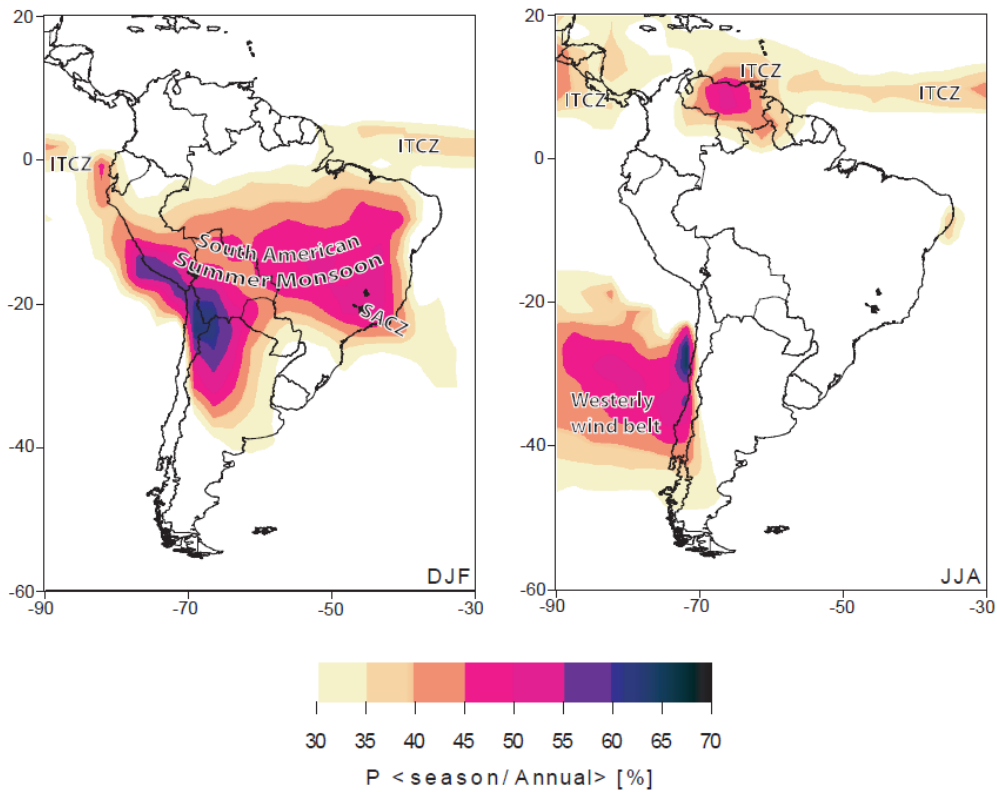
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Table 3. List of pollen records used and metadata. For each record it is indicated which criteria has been fulfilled (Table 2), the human indicators observed during the last 2ka, and if the pollen record is considered sensitive to precipitation (humidity) and/or temperature.

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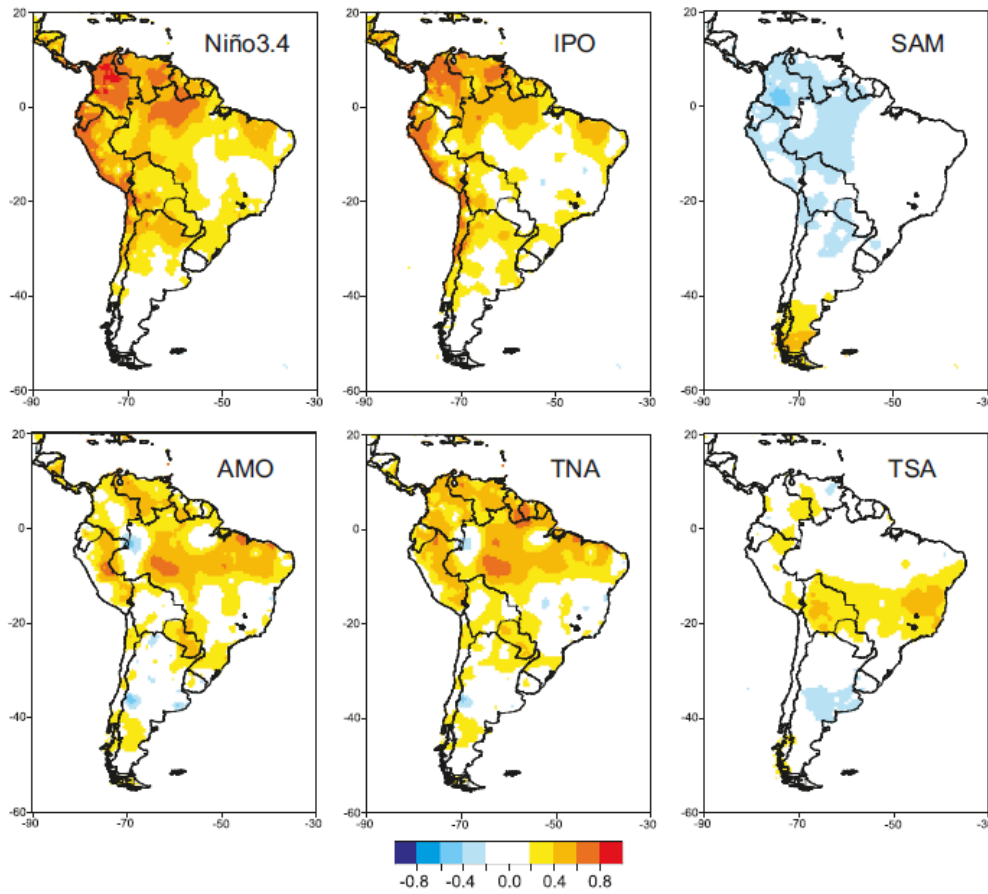


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Figure 1. Map showing the relative precipitation amount over South America during the key seasons DJF (austral summer and mature monsoon phase) and JJA (dry season over much of tropical South America), highlighting the Intertropical Convergence Zone (ITCZ), South American Summer Monsoon (SASM), South Atlantic Convergence Zone (SACZ) and extratropical westerlies. Figure based on CMAP precipitation data. Adapted after Vuille *et al.*

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3175 Figure 2. Correlation of annual mean temperature over South America with climate modes  
 3176 Niño3.4, IPO (Interdecadal Pacific Oscillation), SAM (Southern Annular Mode), AMO  
 3177 (Atlantic Multidecadal Oscillation), TNA (Tropical North Atlantic SST) and TSA (Tropical  
 3178 South Atlantic SST). High positive values of the correlation coefficient indicate both  
 3179 increasing/decreasing values of the mode in question and the local temperature at each grid  
 3180 cell. High negative values indicate that the increasing (decreasing) mode in question cause a  
 3181 significant decrease (increase) in temperature at the grid cell. Gridded temperature fields are  
 3182 from University of Delaware (1958–2008). Only correlations in excess of  $\pm 0.2$  are shown  
 3183 (roughly the threshold of the 95% significance level).

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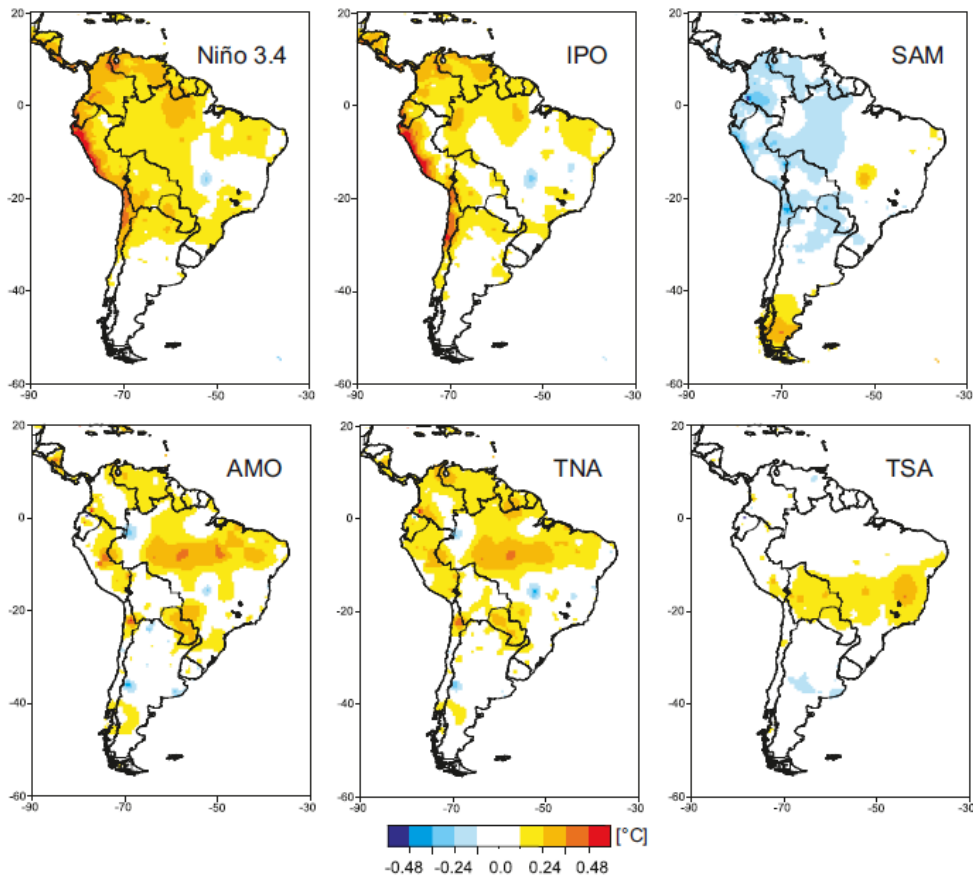
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3194 Figure 3. Annual mean temperature regressed upon Niño3.4, IPO (Interdecadal Pacific  
 3195 Oscillation), SAM (Southern Annular Mode), AMO (Atlantic Multidecadal Oscillation), TNA  
 3196 (Tropical North Atlantic SST), TSA (Tropical South Atlantic SST). High positive values of  
 3197 the regression coefficient indicate that positive (negative) temperature anomalies occur during  
 3198 the positive (negative) phase of the mode in question. High negative values indicate that the  
 3199 positive (negative) phase of a mode leads to a decrease (increase) in temperature at the grid  
 3200 cell. Gridded temperature fields are from University of Delaware (1958–2008).

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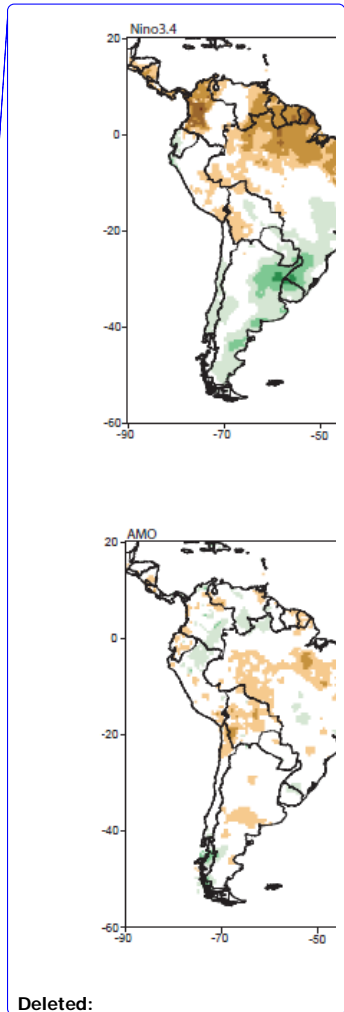
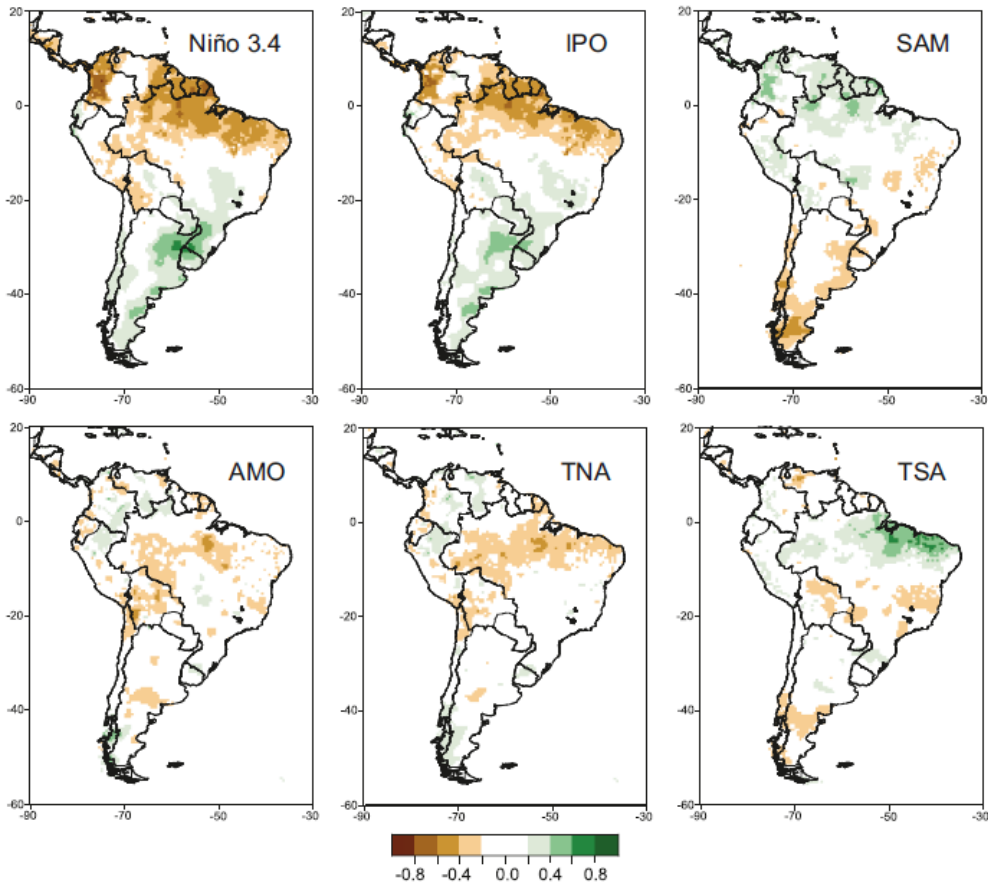
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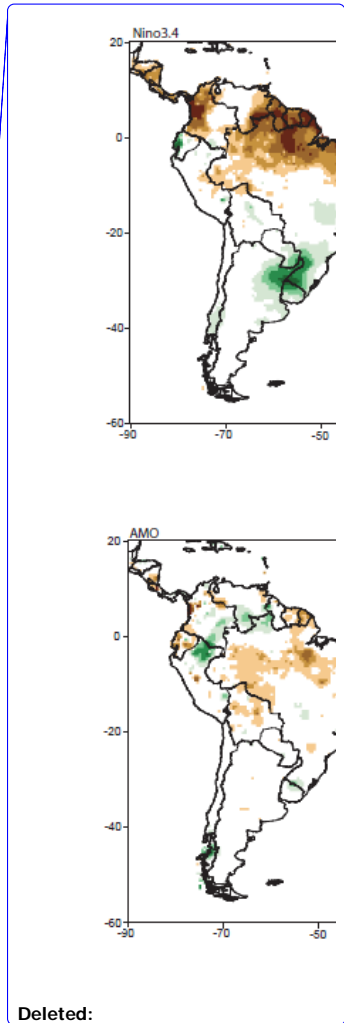
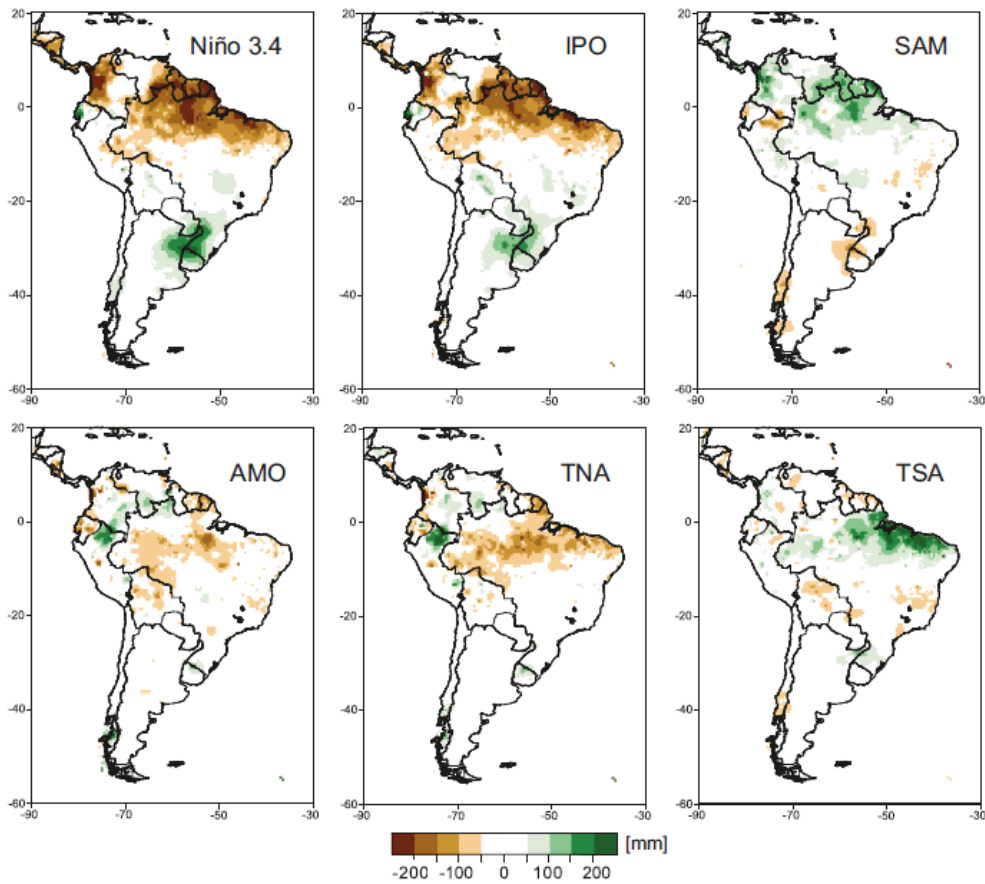
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 3209 Figure 4. Precipitation correlation with modes Niño3.4, IPO (Interdecadal Pacific  
 3210 Oscillation), SAM (Southern Annular Mode), AMO (Atlantic Multidecadal Oscillation), TNA  
 3211 (Tropical North Atlantic SST), TSA (Tropical South Atlantic SST). High positive values of  
 3212 the correlation coefficient indicate both increasing/decreasing values of the mode in question  
 3213 and the local precipitation at each grid cell. High negative values indicate that the increasing  
 3214 (decreasing) mode in question cause a significant decrease (increase) in precipitation at the  
 3215 grid cell.

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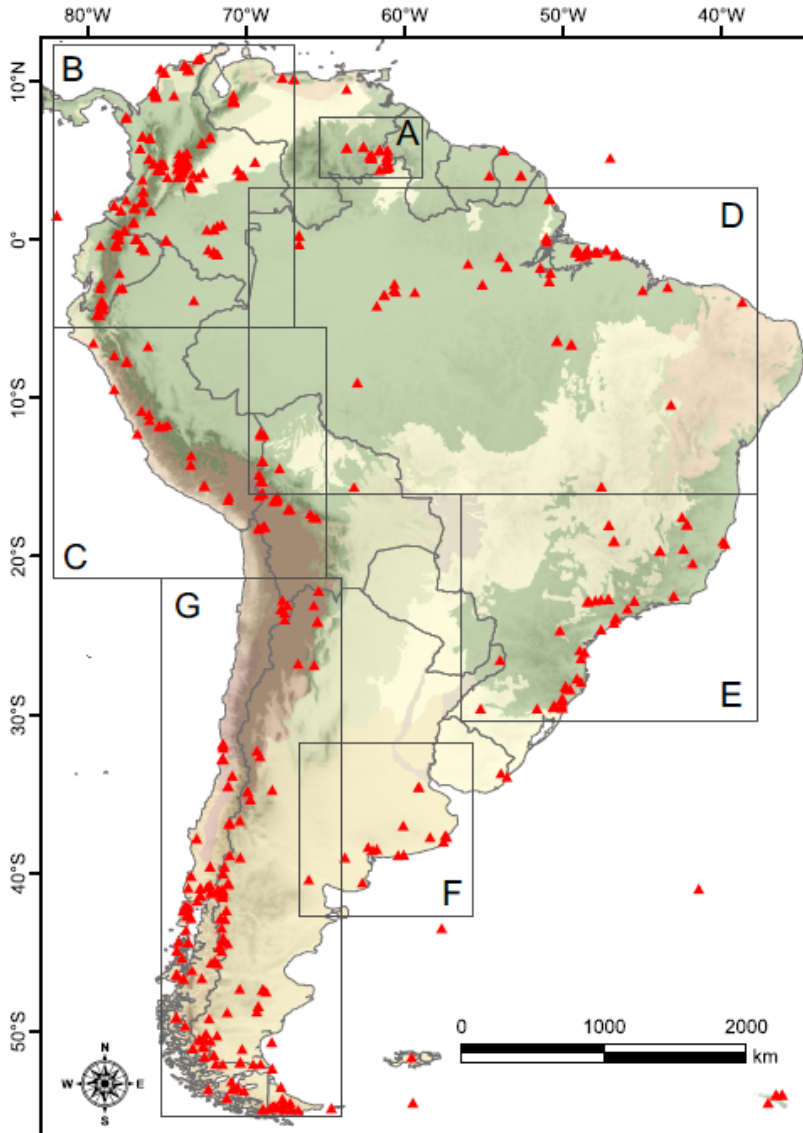


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Figure 5. Precipitation regression with modes Niño3.4, IPO (Interdecadal Pacific Oscillation), SAM (Southern Annular Mode), AMO (Atlantic Multidecadal Oscillation), TNA (Tropical North Atlantic SST), TSA (Tropical South Atlantic SST). High positive values of the regression coefficient indicate that positive (negative) precipitation anomalies occur during the positive (negative) phase of the mode in question. High negative values indicate that the positive (negative) phase of a mode leads to a decrease (increase) in precipitation at the grid cell.

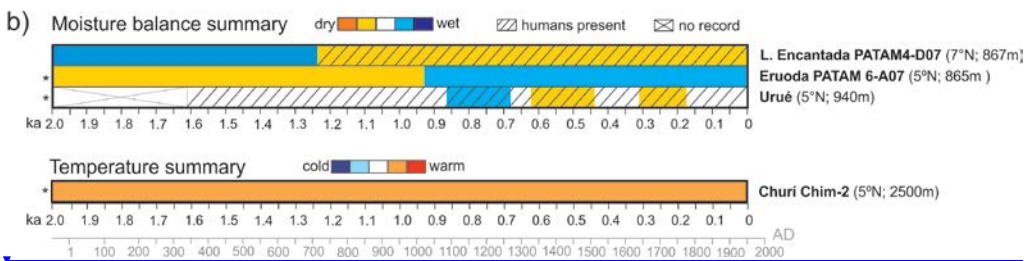
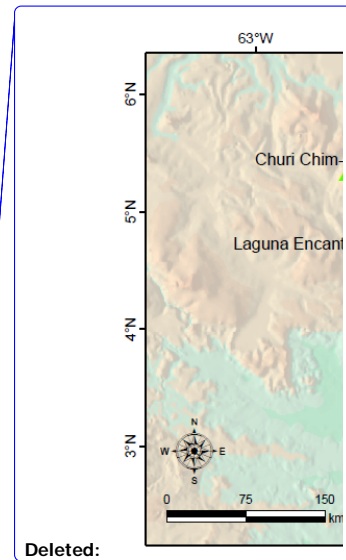
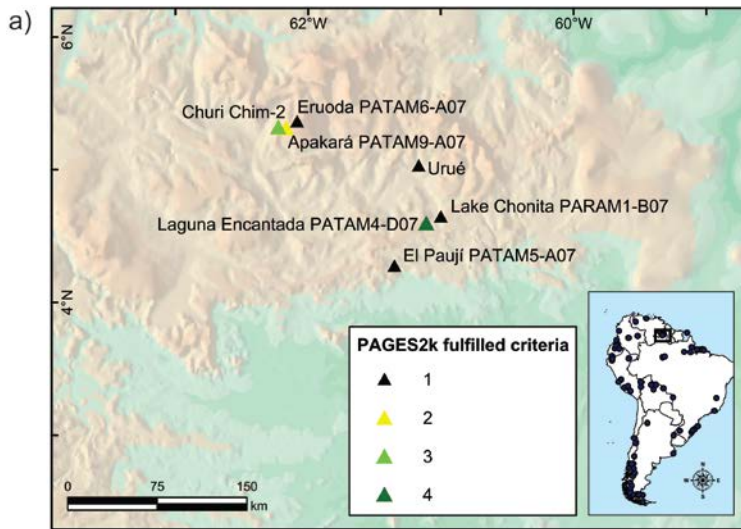
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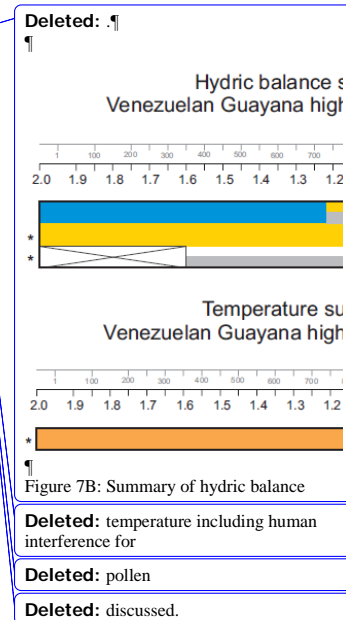
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 3237 Figure 6. Map showing the location of LAPD pollen records that cover the last 2 ka (after  
 3238 Flantua *et al.*, 2015a). General regional delimitations as discussed in this paper are shown; A:  
 3239 Venezuelan Guyana highlands and uplands; B: Northern Andes; C: Central Andes; D:  
 3240 Lowland Amazon; E: Southern and southeastern Brazil; F: Pampean plain; G: Southern  
 3241 Andes and Patagonia.  
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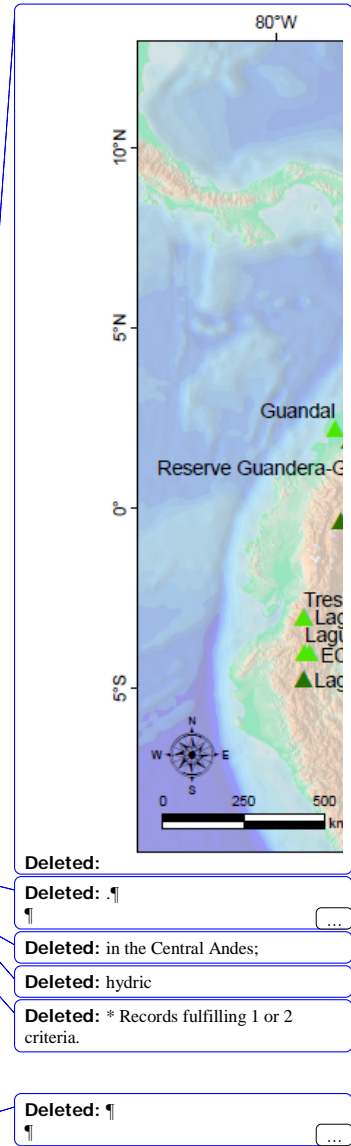
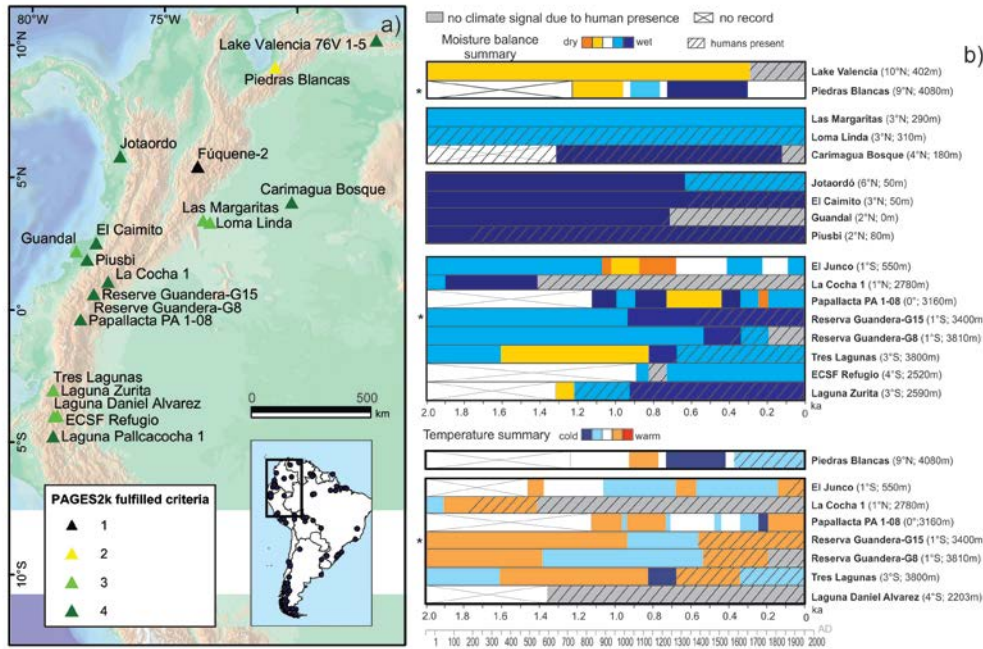
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Figure 7A: Map showing the discussed pollen records in the Venezuelan Guayana highlands and uplands, and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are totally greyed out when the climate signal is totally obscured by human interference. \* Records fulfilling 1 or 2 criteria indicated by star.

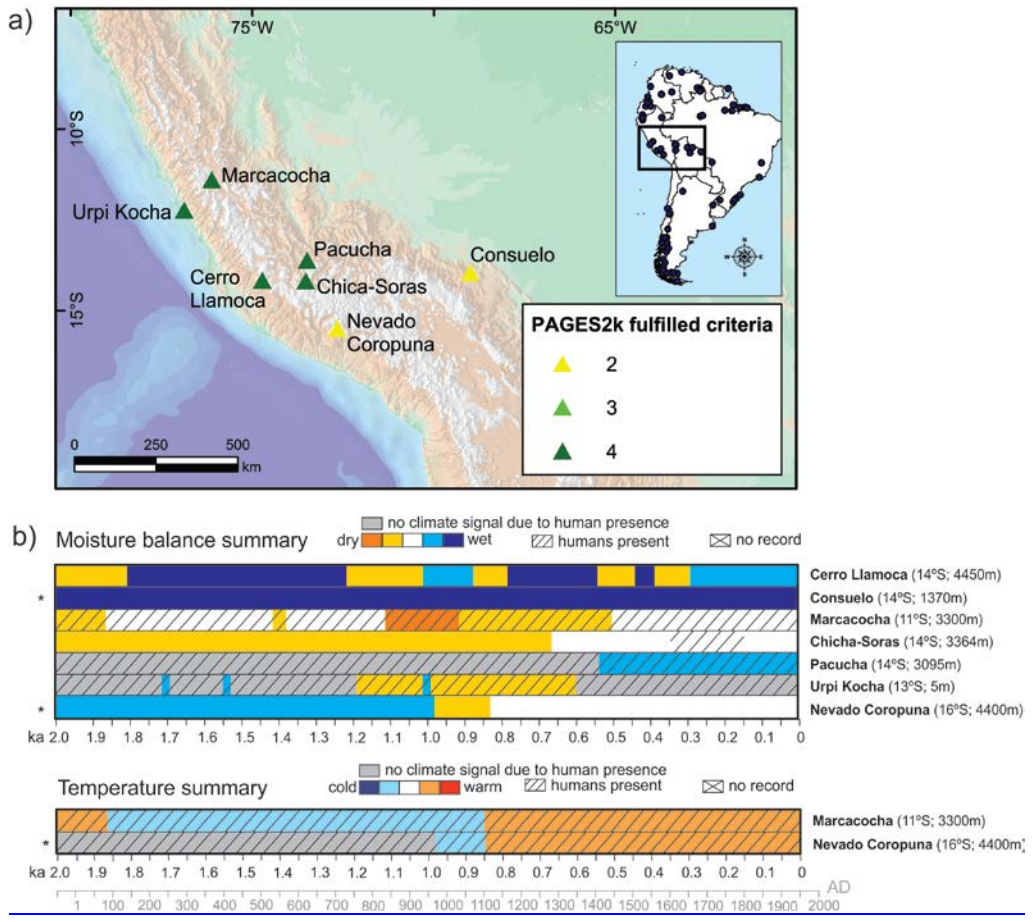




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Figure 8A: Map showing the discussed pollen records in the Northern Andes, and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. \* Records fulfilling 1 or 2 criteria indicated by star. Galapagos Islands not shown.

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Figure 9A: Map showing the discussed pollen records in the Central Andes and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. \* Records fulfilling 1 or 2 criteria indicated by star.

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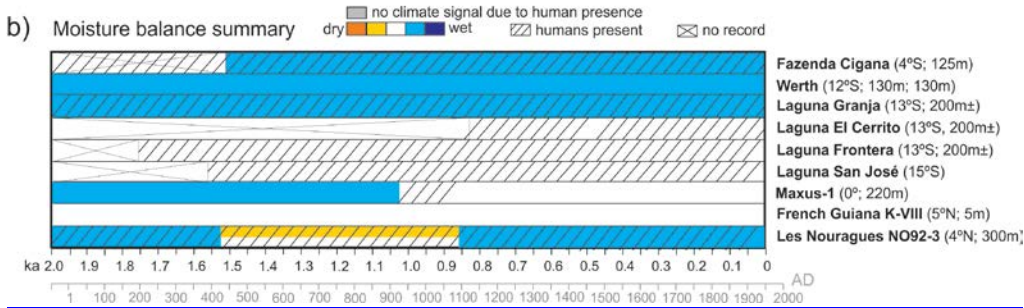
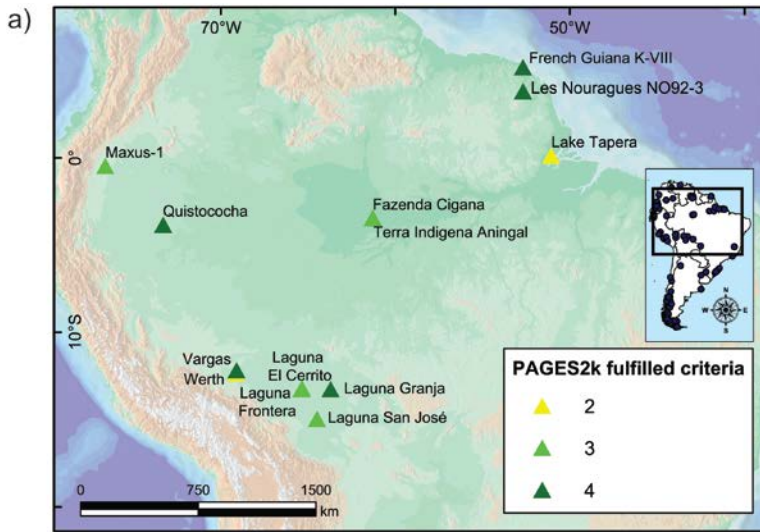
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Figure 10B

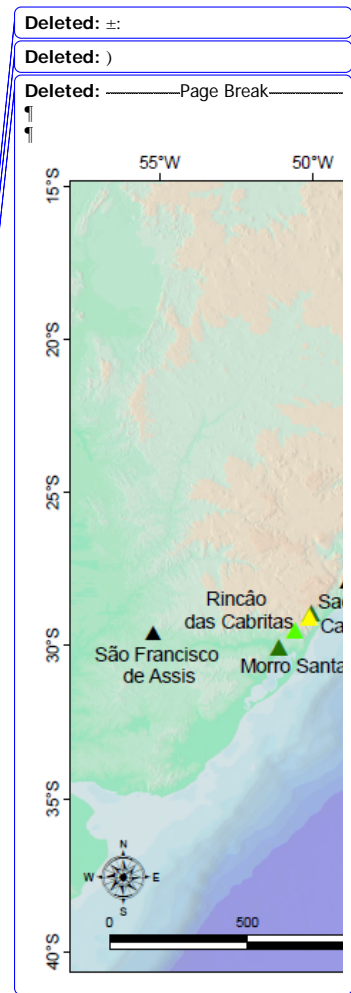
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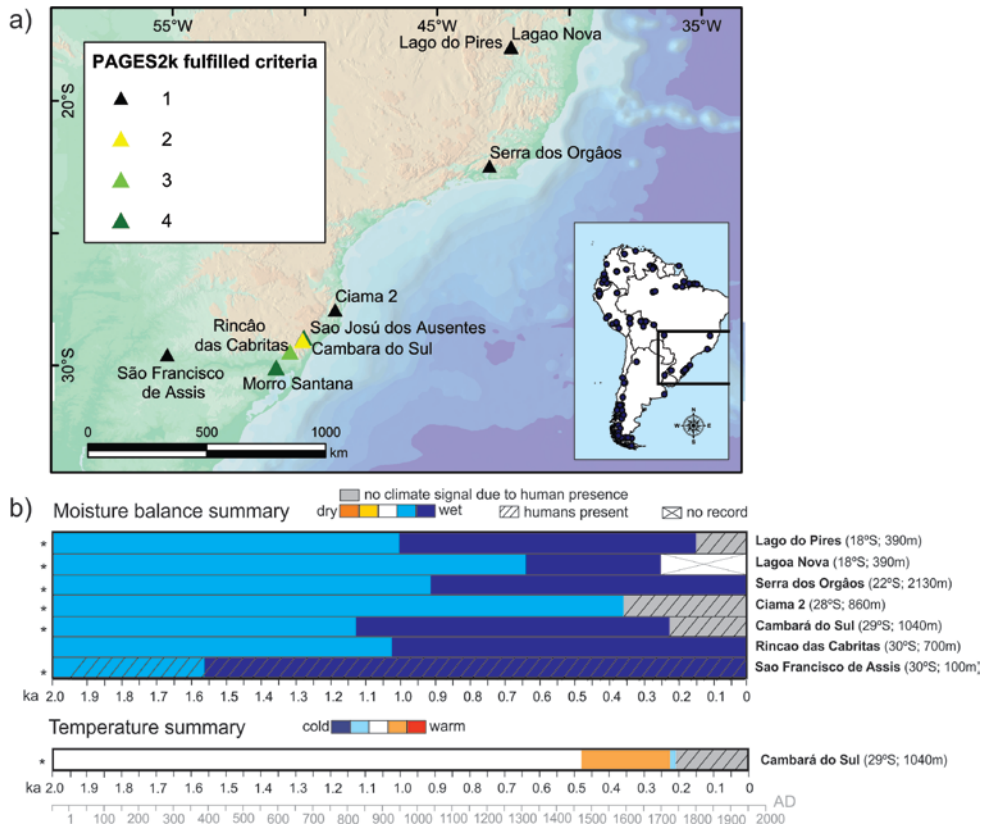
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3321 [Figure 10A: Map showing the discussed pollen records in the lowland Amazon Basin and the](#)  
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 3323 [temperature including human interference for the pollen records discussed. Not all records are](#)  
 3324 [suitable to derive both moisture and temperature signal. Climate and human presence is](#)  
 3325 [shown overlapping when the pollen record is not conclusive on the derived signal. Bars are](#)  
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Figure 11A: Map showing the discussed pollen records in the Southern and Southeastern Brazil and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. \* Records fulfilling 1 or 2 criteria indicated by star.

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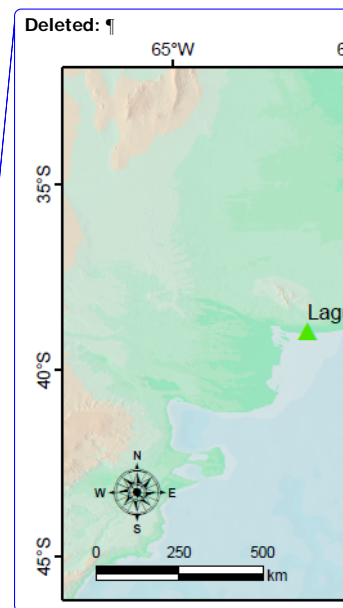
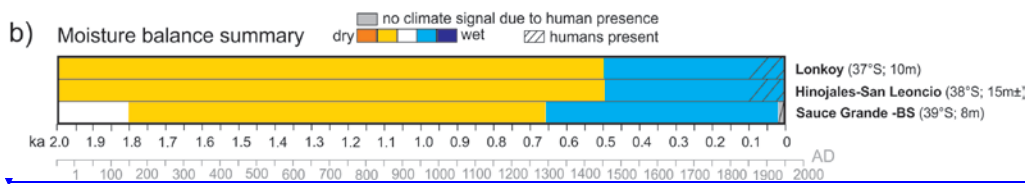
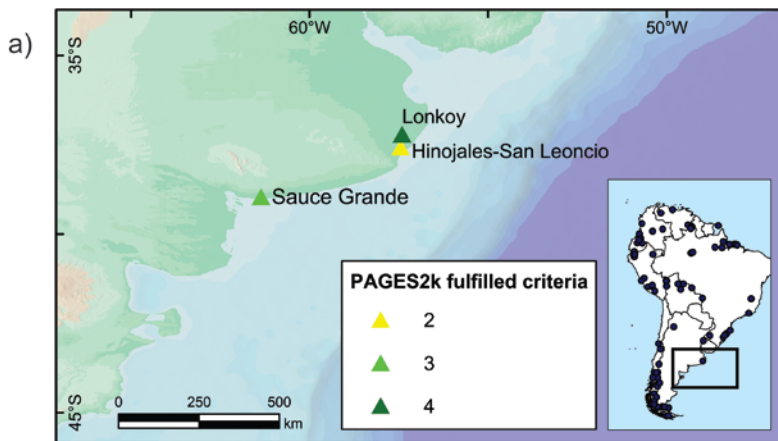
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Figure 11B

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Figure 12A: Map showing the discussed pollen records in the Pampean plain and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. m± : masl based on coordinates.

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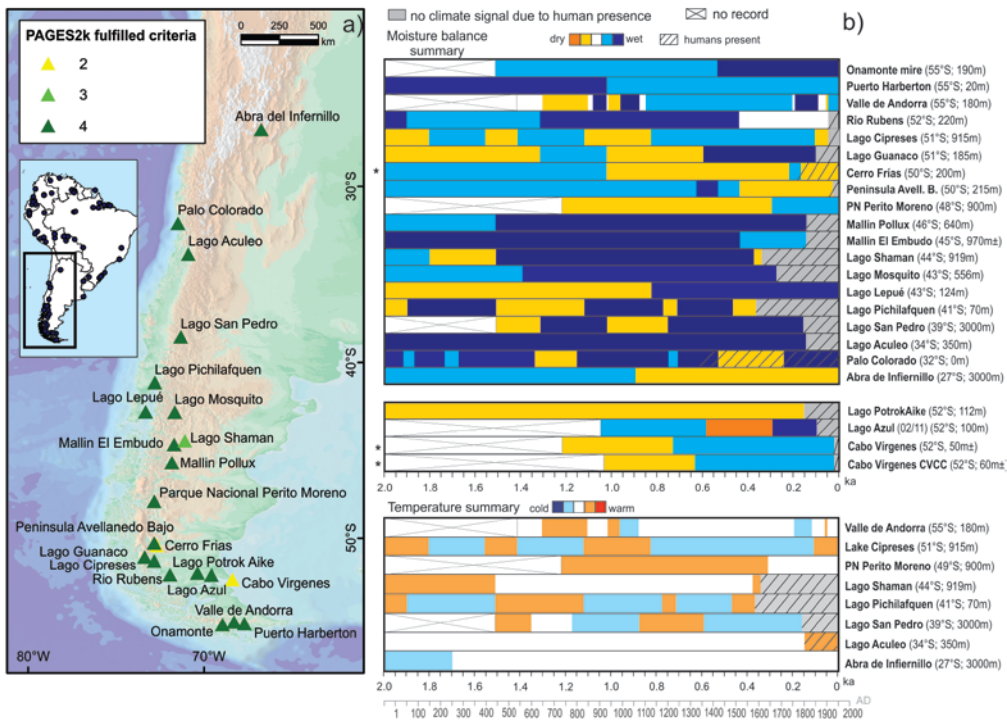
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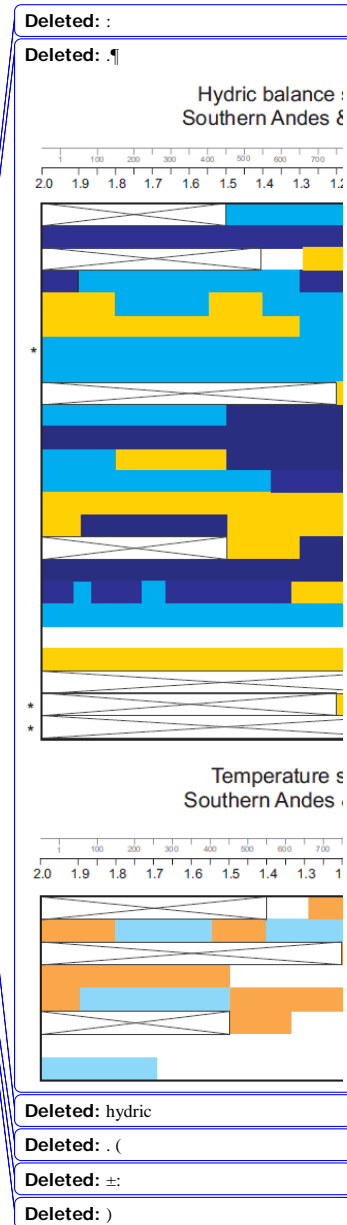
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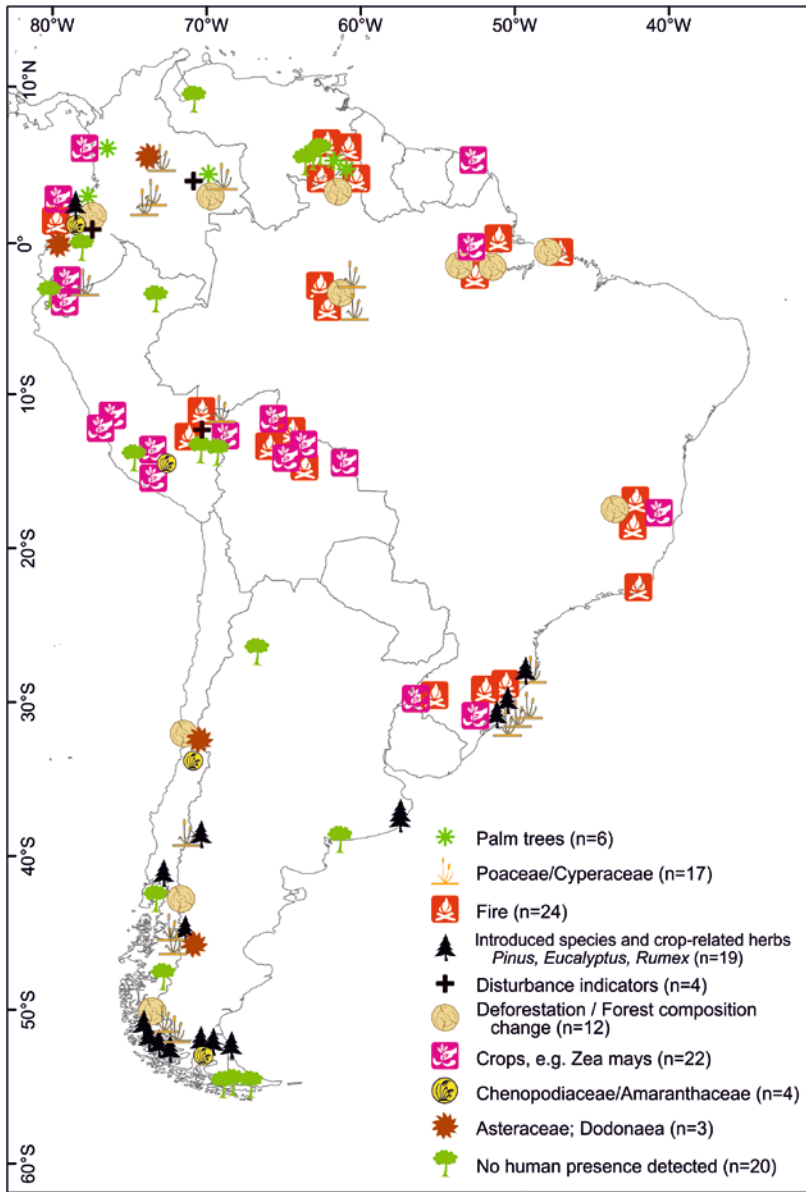
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Figure 13A. Map showing the discussed pollen records in the Southern Andes and Patagonia, and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. \* Records fulfilling 1 or 2 criteria indicated by star. m<sub>±</sub>: masl based on coordinates.





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3398 Figure 14. Map showing human indicators observed in the discussed pollen records [\(n = 68\)](#).

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3399 [The number of pollen records for each human indicator is shown in the figure legend. A](#)

3400 [pollen record can have different human indicators and therefor the symbols may be show an](#)

3401 [offset relative to their exact location to avoid overlapping point symbols.](#) Details are found in

3402 Table 3.