

1 **A tree-ring perspective on temporal changes in the**
2 **frequency and intensity of hydroclimatic extremes in the**
3 **territory of the Czech Republic since 761 AD**

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16
17 **Abstract**

18 It is generally accepted that anthropogenic-induced climate change may affect the frequency
19 and intensity of hydrological extremes, together with a variety of subsequent impacts on
20 ecosystems and human society. Proxy records that are absolutely dated and annually resolved
21 are indispensable to a better understanding of temporal changes in the occurrence of floods
22 and droughts.

23 This contribution presents a new dataset of 3194 oak (*Quercus* spp.) ring width samples from
24 living trees and historical timbers, collected across the Czech Republic. A composite tree-ring
25 width (TRW) chronology is developed that best captures the high-frequency extremes over
26 the past 1250 years. The temporal distribution of negative and positive extremes is regular
27 with no indication of clustering. The highest number of negative extremes was found in the
28 19th century, while positive extremes were most frequent in the 12th century. The lowest

1 number of negative and positive extremes occurred in the 18th and 13th centuries
2 respectively.

3 Negative and positive TRW extremes were compared with the instrumental measurements
4 back to 1805 AD, with documentary-based temperature and precipitation reconstructions
5 from 1804 to 1500, and with documentary evidence before 1500 AD. Negative TRW
6 extremes coincided with above-average March-May and June-August temperature means and
7 below-average precipitation totals. Positive extremes coincided with higher summer
8 precipitation, while temperatures were mostly normal. Mean sea level pressure (SLP) over the
9 European/North Atlantic sector suggested drought for the negative oak TRW extremes,
10 whereas the positive extremes corresponded to wetter conditions overall. More consistent
11 patterns of synoptic SLP were found for negative rather than for positive extremes. Reasons
12 for the possible offset between the oak-based hydroclimatic extremes and their counterparts
13 from meteorological observations and documentary evidence may be manifold and emphasize
14 the need for multi-proxy approaches.

15

16 **1 Introduction**

17 Recent climate change is largely characterized by rising temperatures and spatial shifts in
18 precipitation regimes (IPCC, 2013). Climate models predict severe changes in both the
19 frequency and intensity of climatic extremes, such as heat waves, floods and drought spells
20 (Fischer et al., 2013; Roth et al., 2014). There is growing evidence for amplification of the
21 precipitation regime (Groisman et al., 2005; Knapp et al., 2008) as a consequence of increases
22 in evaporation and changes in circulation patterns. These processes may be responsible for a
23 higher probability that hydroclimatic extremes will occur at local to synoptic scales
24 (Easterling et al., 2000; Huntington, 2006).

25 Central Europe (CE) has experienced both disastrous floods and extreme droughts in recent
26 decades. There is some controversy as to whether the record-breaking floods of 1997, 2002
27 and 2013 (Ulbrich et al., 2003a, 2003b; Blöschl et al., 2013), and the widespread heat-waves
28 and droughts in western Europe in 2003 (Schär et al., 2004; Ciais et al., 2005) and in western
29 Russia in 2010 (Barriopedro et al., 2011) are directly related to recent global warming or
30 whether they demonstrate a period of higher internal variability of the (natural) climate
31 system itself (Schär et al., 2004; Zhang et al., 2007; Min et al., 2011; IPCC, 2013). At the
32 same time, it is common knowledge that hydroclimatic extremes occurring on the synoptic

1 scale are particularly critical to much of the well-being of ecological, agricultural and societal
2 systems (Reyer et al., 2012; Rammig et al., 2015).

3 In CE, systematic instrumental measurements cover 200–250 years at best (Jones, 2001; Auer
4 et al., 2007; Böhm et al., 2010). The longest precipitation series from the Czech Republic
5 (CR) originates from Brno, in the south-east of the country, and reaches back to 1803 AD
6 (Brázdil et al., 2012). This is, however, still too short to sufficiently describe the long-term
7 evolution of extreme events, including their return periods and changing frequencies. Hence,
8 long-term proxy archives are essential for a better understanding of possible changes in the
9 frequency and intensity of extreme events.

10 Valuable information concerning hydroclimatic extremes may be found in annually-resolved
11 and absolutely dated proxy archives, such as documentary evidence (Brázdil et al., 2005) or
12 tree rings (Jones et al., 2009). Numerous records from living trees, historical timber,
13 archaeological remains and sub-fossil wood have been compiled, especially for the
14 mountainous regions, and they have been used for air temperature reconstructions (Büntgen et
15 al., 2005, 2006) and the analysis of temperature extremes (Battipagila et al., 2010). However,
16 a notable number of TRW chronologies exist for sites at lower elevations, largely sensitive to
17 soil-moisture availability (Brázdil et al., 2002; Wilson et al., 2005; Büntgen et al., 2011a).

18 Several TRW chronologies were compiled from oaks (*Quercus* spp.) and their analysis
19 confirmed that they are moisture-sensitive and suitable for hydroclimatic reconstructions
20 (Cedro, 2007; Kern et al., 2009; Büntgen et al., 2010, 2011b; Cooper et al., 2013; Wilson et
21 al., 2013; Bronisz et al., 2012; Rybníček et al., 2015b). There are many more hardwood TRW
22 chronologies in Europe that have, to date, been used exclusively for dating purposes (Kolář et
23 al., 2012) but not as climate proxy archives, mainly because of inadequate sample size (see
24 Tegel et al., 2010 for a review).

25 Here, we introduce a new oak TRW chronology for the entire Czech Republic, covering the
26 last 1250 years. We hypothesize that positive TRW extremes reflect wet spring-summer
27 conditions while negative TRW extremes can be associated with dry conditions. Instrumental
28 measurements, proxy reconstructions and documentary evidence are used to verify this
29 hypothesis over the last centuries to the millennium.

30

1 **2 Data and methods**

2 TRW measurements from a total of 3194 living, historical and sub-fossil oaks were compiled
3 from 387 locations within the Czech Republic. Most of samples came from the two lowland
4 regions: central Bohemia (western part of CR) and southern Moravia (eastern part of CR),
5 where oak forests can be found. From a phytogeographical perspective, Bohemia belongs to
6 the Hercynian region while Moravia is related to the Pannonian Basin (Chytrý et al., 2001).
7 The two regions are characterized by relatively warm (mean annual temperature of 9°–10°C)
8 and dry (annual precipitation of 450–500 mm, maximum in summer) climate conditions.
9 Precipitation totals in these regions are significantly lower than reference evapotranspiration
10 (Fig. 1a). Oak growth is mainly limited by water shortage and thus sensitive to hydroclimatic
11 changes.

12 The spatial distribution of all samples reflects the general distribution of two oak species in
13 CR: the English oak *Quercus robur* and the sessile oak *Q. petraea*, which are anatomically
14 not distinguishable (Schoch et al. 2004). The natural habitat of the English oak is primarily
15 concentrated in the river valleys at lower altitudes, below 500 m asl, whereas sessile oak
16 reaches higher elevations. The hydroclimatic sensitivity of the two species is, however,
17 similar (Friedrichs et al., 2009; Büntgen et al., 2010, 2011c; Tegel et al., 2010).

18 To build the oak chronology, 527 tree-ring series from subfossil oak trunks were processed,
19 out of which 211 subfossil oak trunks were sampled over the past few years and 316
20 measurements were obtained from subfossil oak trunks found earlier in the Czech Republic.
21 The tree-ring data of subfossil oak trunks were cross-dated using foreign chronologies
22 (Friedrich et al., 2004; Tegel et al., 2010); in several cases C14 dating was also used.

23 Further, we used wooden archaeological finds, historic wooden constructions and living oak
24 stands. The prevailing part of the human-touched material came from the High Middle Ages
25 and more recent periods of settlement. In that time, oak wood was used for constructions with
26 high mechanical loading and for constructions where material with high durability was
27 needed. The highest proportion of oak can be found in constructions of belfries (bell holding
28 frames), water constructions and wall enforcements. On the other hand, oak is seldom found
29 in timber houses or ceiling constructions, where coniferous wood prevails in CR. In such
30 constructions, oak wood is only used in areas where softwood does not occur (e.g. the Elbe
31 River valley).

32

1 Compared to previous version of CR oak chronology (Kolář et al. 2012) additional sampling
2 and collecting of 1036 series from living trees was done. Finally, the well-synchronizable tree-ring
3 series were used to create a new oak chronology for the whole territory of CR.

4 The new TRW dataset continuously covers the period 761–2010 AD, with sample replication
5 varying from 10 series at the beginning of the dataset in the 8th century to 1028 series in the
6 1970s (Fig. 1b). The spatial distribution of sampling sites is relatively homogeneous over time
7 (Fig. 1c). Mean distance between sampling sites varies from 50 km at the beginning of the
8 dataset to 85 km in the late 12th and early 13th centuries.

9 The common signal strength among all individual oak TRW samples was addressed by
10 moving 31-year EPS statistics (Fig. 1d), giving results that clearly exceed the required quality
11 threshold of 0.85 (Wigley et al., 1984) back to ~780 AD. Slightly lower but statistically still
12 robust EPS values in the second half of the 10th century and the first part of the 19th century
13 correspond to periods of lower sample replication.

14 Cubic smoothing splines with a 50% frequency response cutoff at 22, 32 and 42 years were
15 used for standardization (de-trending) to remove “age-trends” from the raw oak TRW
16 measurement series (SPLs; Cook and Peters, 1981). These flexible spline functions,
17 individually fitted to each oak TRW measurement series, permit preservation of high-
18 frequency inter-annual variability but at the same rigorously remove all information in the
19 decadal and lower frequency domains (Battipaglia et al., 2010; Büntgen et al., 2011b). The
20 corresponding TRW index values were calculated, either as ratios or residuals, after power-
21 transformation (PT) between non-transformed or transformed measurements and their
22 corresponding curve fits (Cook et al., 1995; Cook and Peters, 1997). The final oak TRW
23 chronologies from each of the three de-trending procedures, with or without PT, were
24 calculated as bi-weight robust means where temporal variance changes in the resulting
25 chronologies were further stabilized with respect to fluctuations in sample size (Osborn et al.,
26 1997). All three routinely-generated chronologies from the most recent version of the
27 ARSTAN software (Cook, 1985; Cook and Krusic, 2005), the standard, residual and arstan
28 (STD, RES, ARS) chronologies were considered. Each of the 18 slightly different
29 chronologies was normalized, i.e. z-scores with a mean of zero and a standard deviation of
30 one were calculated over 761–2010 AD.

31 Negative and positive extremes were defined by years in which at least one (of a total of 18)
32 of the transformed oak TRW chronologies exceeded the ± 1.5 multiple of a standard deviation

1 (SD). This threshold value was chosen to provide a sufficient number of extreme events for
2 subsequent tree growth/climate sensitivity study in both the instrumental and pre-instrumental
3 periods. Several parameters, including the number of extremes, the interval between two
4 successive extreme events, and number of clusters (defined as uninterrupted periods of
5 extreme) were used to characterize the distribution of negative and positive extremes over the
6 761–2010 period.

7 In the study of the occurrence of TRW extremes over time, it is important to establish whether
8 a given distribution of events deviates from complete randomness or whether there is a
9 tendency to form clusters of events. Whereas deviation from randomness may indicate
10 causality linking the incidence of the TRW extremes with climate parameters, clustering of
11 extremes may indicate a tendency to higher frequency and longer duration of dry and wet
12 periods.

13 Our test for randomness in the temporal distribution of oak TRW extremes was based on
14 analysis of the intervals between two adjoining extremes. Exponential distribution was
15 employed to model these intervals, since this serves to approximate the arrival times of
16 randomly-recurring independent events. Thus, for random distribution of oak TRW extremes
17 over time, the interval (I) between these events should follow an exponential distribution. In
18 contrast, significant deviation from such an exponential model indicates either more regular-
19 than-random distribution or clustered distribution (Villarini et al., 2011). Because exponential
20 distribution is characterized by equality of mean and variance, these two parameters may be
21 combined to achieve the dispersion coefficient (Ψ) that is given by:

$$22 \quad \Psi = \frac{VAR(I)}{E(I)} - 1, \quad (1)$$

23 where $E(I)$ and $VAR(I)$ are the mean and the variance of I respectively (Mailier et al., 2006).
24 Dispersion coefficient $\Psi = 0$ indicates random distribution.

25 Possible clustering of extremes derived from the oak TRW chronology was further tested
26 using estimates of extremal index (θ), an approach that compares the number of events with
27 the number of clusters (Coles, 2001; Ferro and Segers, 2003). Interpretation of this index is
28 straightforward. If $\theta = 1$, then event occurrence is independent, while if $\theta < 1$, then there is a
29 tendency to clustering of events.

1 Growth-climate response analyses were performed separately for the instrumental and pre-
2 instrumental periods. First, the values for temperature, precipitation, drought index (only for
3 the instrumental period), and mean SLP fields in the extreme negative/positive years
4 identified in oak chronology were calculated. They were then compared with the values of
5 those variables in the 1961–1990 reference period and statistical tests were used to establish
6 significant differences. This analysis aimed to investigate whether negative/positive oak
7 growth anomalies reflect conditions predisposing to the occurrence of dry/wet seasons.

8 Long and homogenized mean monthly temperature and precipitation series from CR (Brázdil
9 et al., 2012) and monthly SPEI-1 (standard precipitation evaporation index for one month)
10 drought indices (Brázdil et al., 2015) were used for comparison with the oak TRW
11 chronology (1805–2010). Further, mean monthly SLP fields from the HADSLP2 database
12 (Allan and Ansell, 2006) covering the 1850–2010 period were employed to describe the
13 circulation patterns prevailing during negative and positive extremes. This is a gridded
14 database with a spatial resolution of $5^{\circ} \times 5^{\circ}$ that covers the Atlantic-European sector (30–
15 70°N ; 30°W – 40°E).

16 Tree growth-climate analysis for the pre-instrumental period (AD 1500–1804) employed
17 documentary evidence-based reconstructions of monthly and seasonal temperature means and
18 precipitation totals (Dobrovolný et al., 2010, 2015). Mean monthly SLP fields reconstructed
19 from various proxies by Luterbacher et al. (2002) from AD 1659 onwards were used to
20 characterize circulation patterns in the pre-instrumental period. Regional coverage and spatial
21 resolution of the reconstructed fields were the same those used for the instrumental period.

22 Since the above quantitative reconstructions of temperature and precipitation are available
23 only back to AD 1500, negative and positive extremes in the oak TRW chronology before this
24 year were compared with known documentary evidence from the CR (Brázdil and Kotyza,
25 1995) and also partly verified by reference to documentary evidence from other CE countries
26 (Alexandre, 1987; Rohr, 2007; Glaser, 2008).

27

28 **3 Results**

29 Correlation analysis between 18 variants of Czech oak chronology and three variables
30 (temperature, precipitation, SPEI-1) in the instrumental period demonstrated that the closest
31 relationship occurred to March–June precipitation totals (mean correlation coefficient 0.43),

1 comparable with SPEI-1 in April–July (mean correlation 0.42). Conversely, monthly and
2 seasonal temperatures showed weak and no significant correlation. These results and the
3 availability of precipitation reconstruction for only standard seasons dictated the use of spring
4 (March–May) and summer (June–August) values for climate-tree growth response analysis.

5 **3.1 Temporal distribution of positive and negative years**

6 A total of 144 negative TRW extremes and 134 positive TRW extremes were found back to
7 761 AD. Altogether 55 negative extremes (38%) were identified in all 18 chronologies, while
8 only 8 years (5%) were identified in a single chronology. The corresponding numbers for
9 positive extremes were 38 years (28%) and 12 years (9%). Moreover, the number of negative
10 extremes was on average 10% higher compared to positives; no matter what chronology
11 variation was used.

12 The mean interval between two successive negative extremes was 8.8 years with a maximum
13 of 26 years (1753–1779); the most frequent interval was one year. The corresponding
14 numbers for mean, maximum, and modal duration of interval between the occurrences of
15 adjacent positive extremes are 9.4 years, 40 years (1257–1297) and 3 years, respectively.
16 Intervals between two successive extremes modelled with exponential distribution show
17 significant differences from this theoretical model at a confidence level of 0.05 (Fig. 2). This
18 indicates that the occurrence of positive and negative extremes in the oak TRW chronology
19 varies from random. The same conclusion arises from the dispersion coefficients for negative
20 ($\Psi = -0.7$) and positive ($\Psi = -0.2$) extremes. These coefficients are below zero and thus
21 indicate more regular than random distribution.

22 Clusters of extremes exceeding one year were quite rare in oak growth. Ten cases of negative
23 extremes occurred in two successive years and in two periods in three consecutive years
24 (981–983 and 1636–1638). These periods may indicate tendencies to long-term drought
25 occurrence. Eight positive extremes were identified that lasted for two consecutive years.
26 Thus, comparison of number of events and number of clusters for negative (144 vs 132) and
27 positive (134 vs 126) extremes results in an extremal index of $\theta = 1$, indicating no significant
28 clustering.

29 The temporal distributions of negative and positive extremes found in TRW oak chronology
30 appear in Fig. 3a,b. The highest number of negative extremes was found for the 19th century
31 (19), while positive extremes were most frequent in the 12th century (16). The lowest number

1 of negative (8) and positive (7) extremes occurred in the 18th and 13th centuries respectively.
2 None of the positive extremes reached 3SD threshold, while three negative years (945, 1142,
3 and 1653) were lower than 3SD, representing years with the most severe TRW increment
4 reductions. The temporal distribution of negative and positive events was further addressed by
5 a simple index of extremity, calculated as the product of standardized TRW (representing
6 intensity of extremes) and number of extremes (representing frequency of extremes) for a 30-
7 year moving window (Fig. 3c,d). Thus periods with larger numbers of very narrow/wide
8 TRW are characterized by higher extremity of negative/positive events.

9 While temporal distributions of negative and positive extremes tend to be regular (as above,
10 and Figs. 2 and 3a,b) the extremity index shows periods of higher values for positive extremes
11 centred in the 12th century and at the beginning of 18th century, separated by clearly lower
12 extremity values, especially in the second half of 13th century. Extremity of negative events
13 represented by very narrow TRW was generally higher but also more variable before 1200.
14 After that time, a similar period of lower extremity to that indicated for positive events
15 occurs. Recent decades may be characterised by lower extremity values for both types of
16 extreme. Comparison of the negative and the positive extremity indices indicates that they are
17 not in phase for a substantial part of the chronology. This means that periods of lower
18 extremity in positive TRW events are not compensated for by higher extremity in negative
19 TRW events and *vice versa*. As it may be assumed that more variable climate is related to
20 higher frequency and intensity of extreme events, these aspects of oak TRW extremity indices
21 may be interpreted as periods of higher/lower hydro-climatic variability in central Europe.

22 **3.2 Climate sensitivity in the instrumental period**

23 A total of 26 years with negative TRW extremes were identified in the 1805–2010 period.
24 While MAM and JJA Czech precipitation totals and SPEI-1 values were significantly below
25 mean ($p < 0.05$), temperatures did not diverge significantly from normal patterns. Moreover,
26 temperatures fluctuated on a broad scale in both seasons (Fig. 4). Thus negative extremes
27 correspond to dry conditions, when tree growth stress is particularly related to the shortage of
28 available precipitation. In contrast, the climate patterns for the 23 years with positive
29 extremes were less pronounced. Only JJA precipitation totals and SPEI-1 show the above-
30 mean values that might indicate a surplus of moisture and favourable conditions for oak
31 growth.

1 Both MAM and JJA mean SLP fields in extremely negative (positive) seasons in the
2 instrumental period indicate circulation patterns that are highly favourable to the occurrence
3 of dry (wet) conditions in CE (Fig. 5). For negative extremes, a statistically significant
4 increase of SLP in a large part of Europe in spring emerged in comparison with the reference
5 period. The positive pressure anomaly diminished somewhat in the summer months. Positive
6 pressure anomalies signal below-mean precipitation totals and above-mean temperatures in
7 both seasons. This corresponds to the characteristic prerequisites for drought occurrence in
8 CE.

9 In spatial terms, the SLP anomalies in MAM and JJA with positive oak TRW extremes
10 exhibit an extended region of SLP decrease running diagonally from the south-west to the
11 north-east. In MAM this decrease is at its most pronounced over France and the western
12 Mediterranean; in JJA the area shifts westwards to the Atlantic mid-latitudes. Conversely, a
13 positive SLP anomaly extends over Iceland. From the analysis of above-mean oak TRWs in
14 CE, it follows that SLP distribution is associated with zonal circulation involving more
15 concentrated transport of air masses from the Atlantic and with abundance of precipitation.

16 **3.3 Climate sensitivity in the pre-instrumental period**

17 Distinctly low precipitation totals and above-mean MAM temperatures characterized negative
18 TRW extremes (Fig. 6). Low precipitation totals and above-mean temperatures also appeared
19 in JJA. However, they did not differ significantly from the reference period. On the other
20 hand, both precipitation and temperatures showed high variability in individual years, clearly
21 indicating that other drivers are also responsible for the most severe reductions in oak TRW
22 increment.

23 A more straightforward interpretation may be offered for positive extremes (very wide oak
24 TRW) in JJA. The mean precipitation total over 35 positive seasons is significantly higher
25 than the reference period. Conversely, air temperature calculated for those positive seasons is
26 significantly below the mean. In MAM, only precipitation totals were significantly below
27 mean. Temperatures and precipitation were again highly variable in individual years.

28 Typical SLP spatial distribution in both types of TRW extreme (Fig. 7) shows a very similar
29 pattern to that for the instrumental period (Fig. 5). Similarly, there is a region of distinctly
30 higher pressure in 12 negative seasons, with the positive SLP anomaly shifting westerly over
31 the British Isles and the North Sea. This kind of spatial SLP pattern corresponds to blocking

1 anticyclones bringing warm air from the south-east and low precipitation totals, with a higher
2 probability of drought occurrence in CE. In contrast, typical SLP distribution for positive
3 extremes shows an extended region of lower-than-normal anomalies in the mid-latitudes all
4 over Europe. This decrease is highly significant, especially in MAM. The significant negative
5 SLP anomaly in JJA is better localized, over a region extending from the British Isles to CE.

6 Any description of the climate leading to oak-growth extremes before AD 1500 is inevitably
7 restricted. Existing proxy reconstructions are either unrepresentative of CR territory or they
8 do not refer to hydroclimatic conditions (i.e. dry or wet periods). Among the few exceptions,
9 an MAMJJ precipitation reconstruction derived from fir TRW in southern Moravia (the south-
10 eastern part of the CR) from AD 1376, is worthy of mentioned (Brázdil et al. 2002).
11 Reconstructed precipitation totals were significantly lower in negative years and significantly
12 higher in positive years with respect to the reference period (not shown here). This indicates a
13 consistent response of both tree species to moisture regime in the dry region of southern
14 Moravia.

15 A partial verification of climate conditions in TRW extreme years before AD 1500 may be
16 based on existing documentary evidence from the CR and from the CE region (Table 1). A
17 total of 45 extremely negative and 47 extremely positive years were identified in the Czech
18 oak TRW chronology for the 761–1499 period. The first descriptions of extreme TRW years
19 in documentary evidence appear as long ago as the mid-11th century. Documentary sources
20 before AD 1500 are sporadic, so only 24 negative years and 19 positive years can be
21 identified from available evidence. Moreover, relevant information for several extreme TRW
22 years was found not for MAM or JJA but for the preceding winter or autumn; these aspects
23 are discussed in following section.

24

25 **4 Discussion**

26 Previous studies have analysed either the occurrence of extremes in temperature-sensitive
27 TRW chronologies (Battipaglia et al., 2010) or extremes in moisture-sensitive TRW
28 chronologies compiled from different tree species such as fir or pine (Opala and Mendecki,
29 2014; Opala, 2015). Several studies focused on negative (dry) extremes in moisture-sensitive
30 series (Büntgen et al., 2010, 2011a). This paper addresses extremely negative (dry) and
31 positive (wet) years, which appeared in a hydroclimate-sensitive oak TRW chronology from
32 the CR. Compared to Büntgen et al. (2011b), oak TRW extremes are not verified against

1 temperature and precipitation indices derived from documentary evidence, but relate to
2 quantitative documentary evidence-based temperature and precipitation reconstructions for
3 the pre-instrumental period. Moreover, oak TRW extremes in the instrumental period are also
4 here compared with the SPEI-1, combining temperature and moisture regime into a single
5 variable.

6 The quality of the Czech oak TRW chronology, considered in terms of number of replications
7 and EPS statistics, is stable over time. Well-replicated oak chronology and adequate spatial
8 coverage of sampling sites enables detachment from the influences of local geography.
9 Rybníček et al. (2015a) analysed the sensitivity of various oak species to climate and
10 concluded that growth response depends more on the type of site than on oak species.

11 Possible differences in oak growth due to different geography and role of environmental
12 factors (e.g. soil types, altitude) in western and eastern part of CR were tested as follows: two
13 separated chronologies were composed for Bohemia and Moravia and compared over the
14 common period 960–1826. These two chronologies are extremely similar to each other:
15 overlapping by 867 years, the t-value according to Baillie and Pilcher (1973) is 19.43 and the
16 value of Gleichlaufigkeit (Eckstein and Bauch, 1969) is 70.88% (Kolář et al. 2012).

17 The numbers of extreme events identified indicate that there is a clear tendency towards an
18 enhanced ability to capture negative rather than positive extremes in oak chronology. These
19 results accord with certain previous studies (Büntgen et al., 2011b) and also with some
20 common dendroclimatological observations (Frank et al., 2007; Battipaglia et al., 2010). More
21 consistent results for negative extremes also arise out of analysis of documentary evidence;
22 the percentage of negative TRW extremes confirmed from historical sources (53%) is
23 significantly higher compared to the positives (40%). Moreover, direct descriptions of
24 drought occurrence for a total of 19 years appear in various documentary sources.
25 Descriptions of positive extreme years are intrinsically somewhat indirect, sometimes
26 referring to temperature (1014, 1106), abundant snow cover in the preceding winter (1433,
27 1496) or generally “favourable” (1362) conditions. A similar picture emerges from
28 comparison of oak TRW extremes with the 20 most negative and positive TRW-based ZIND
29 values reconstructed from fir TRW for southern Moravia in the 1501–1932 period (Büntgen
30 et al., 2011a). Altogether 12 negative and 10 positive extremes were found in the two
31 chronologies.

1 Complete list of 144 negative and 134 positive extremes identified in Czech TRW oak
2 chronology in the 761–2010 period is provided in Table S1 in supplement. Moreover, CR oak
3 extremes were validated against hydroclimatic extremes identified in seven various datasets
4 compiled from documentary evidence, tree-rings and instrumental measurements from
5 Central Europe. Besides proxy reconstructions from the territory of CR (Brázdil et al., 2002;
6 Büntgen, 2011a; Dobrovoný et al., 2015) another datasets from Central Europe were used for
7 comparison. Several TRW hydroclimate reconstructions exist for central and southern Poland
8 – the territory that has precipitation/moisture regime comparable to CR. Cross-checking of
9 CR oak extremes against two Scots pine TRW chronologies sensitive to hydroclimate from
10 Upper Silesia and southern Poland in the 1770–2010 period (Opala and Mendecki, 2014) and
11 in the 1568–2010 period (Opala, 2015) was done.

12 As our new oak chronology covers much longer period of time compared to other datasets,
13 reasonable validation may be done not until the beginning of 14th century. Even if this type of
14 direct validation may be biased due to several factors (e.g. length of common period, different
15 way of the negative/positive year definition, different species of tree-ring chronologies and
16 their different sensitivity to hydroclimate), we were able to confirm 67% of negative and 56%
17 of positive extreme years found in CR oak chronology from the beginning of 14th century.
18 Over the common period 1770–1932 we found an agreement for 89% and 57%
19 negative/positive extremes respectively.

20 Although the response of oak TRW to the main climate variables in CE is particularly
21 interpretable for negative (dry) extremes, there exist numerous departures from the general
22 scheme outlined in the previous section when one turns to individual years. The main obstacle
23 arises out of the fact that the climate is not the only driver of tree growth (Birks et al., 2010).
24 Moreover, our ability to analyse climate-tree growth relations is restricted to only a limited
25 number of climate variables. Of the three variables used in this contribution, only MAM and
26 JJA precipitation and SPEI-1 demonstrate significant correlations to oak TRWs in the
27 instrumental period, but the degree of explained variance is rather low (about 18–20%). There
28 is no significant relation between oak TRW and air temperature. Two other problems may
29 further complicate matters:

30 (i) Tree growth-climate relations occasionally exhibit a degree of temporal instability and
31 reduced sensitivity of trees to climate. This feature has frequently been discussed, especially
32 in terms of TRW–temperature relations (Frank et al., 2007; D'Arrigo et al., 2008). However, it

1 also appears in TRW–hydroclimate analyses. Thus, for example, Büntgen et al. (2011a)
2 discussed reduced sensitivity of fir TRWs to drought in southern Moravia. Authors – among
3 other stressors – mentioned air pollution as a possible factor that may be responsible for
4 temporal instability in the growth–climate relationship. Significant tree growth reduction of
5 conifers due to high SO₂ concentrations in Northern Bohemia was already proved (Rydval
6 and Wilson, 2012). Thus one can assume that the gradual increase of air pollution in Central
7 Europe since 19th century was the factor affecting also oak TRW growth–climate
8 relationship. In the current study, correlations between precipitation totals and oak TRW are
9 also much higher for the 19th century compared with the 20th century.

10 (ii) The response of trees to climatic extremes may be even more complicated. Severe
11 droughts or exceptionally humid seasons may induce negative/positive responses in tree
12 growth that may be highly non-linear after a certain threshold (Morin et al., 2010; Gea-
13 Izquierdo et al., 2011; Loyd et al., 2013). The roles of certain factors may vary in their
14 impacts on the climate-tree growth process. For example, Jolly et al. (2005) found variations
15 in vegetation growth response in the extremely warm year of 2003 in the Alps. There was a
16 positive effect on trees at high elevations while growth suppression as a consequence of
17 extremely high summer temperatures and drought occurrence occurred at lower altitudes.

18 Of the three the most extreme negative years found in our oak TRW chronology, the first two
19 (945 and 1142) are not confirmed by documentary evidence (sparse, as indicated above,
20 before AD 1200). Only for the 1653 year does relevant information exist in documentary data.
21 This year was dry before 1 June in the Czech Lands and an extremely warm, dry May has
22 been derived for Switzerland (Pfister 1999). Moreover, 1653 was also identified as extremely
23 dry in the CE TRW chronologies analysed by Büntgen et al. (2011b).

24 Seasonality may be another source of uncertainty in extreme climate – tree growth responses.
25 Temperature and moisture distribution during the vegetation period, and the onset, duration
26 and intensity of cold/warm (wet/dry) spells are factors that may significantly influence
27 vegetation (Jones et al., 2009; Birks et al., 2010). Different seasonality may be a factor
28 explaining the occurrence of negative oak TRW extremes in a year following one with
29 extreme weather patterns documented by documentary sources. For example, 1462 was
30 identified as a year with severe TRW increment reduction, but Czech documentary sources
31 had already reported a hot, dry spring in 1461. Another example of lagged response is
32 mentioned by Pfister (1999) and Brázdil et al. (2013), when the hot, dry year of 1719 was

1 followed by narrow fir TRW in 1720 (Büntgen et al., 2011a). It is worth noting that neither of
2 these two years was identified as a negative year in Czech oak TRW chronology.

3 Such disagreement is particularly remarkable for 1540, classified by Wetter and Pfister
4 (2013), on the basis of numerous documentary sources, as one of the hottest and driest years
5 for the previous 500 years in a substantial part of Europe. The Czech oak TRW chronology
6 classifies 1541 as a negative extreme. It seems highly probable from combining information
7 from documentary sources and tree rings that the extremely warm and dry weather of 1540 is
8 at least partly “hidden” in some tree-ring datasets dating to 1541 and/or 1542. All these
9 uncertainties lead to the conclusion that the absolute intensity of such extremes is difficult to
10 estimate, at best, to evaluate from any type of proxy record.

11 These examples stress the relevance of a multi-proxy approach to the analysis of past climate
12 extremes, and also in climate reconstructions (Trachsel et al., 2012). Documentary evidence
13 proved to be a valuable source of information for the verification of negative and positive oak
14 TRW extremes in the pre-instrumental period in this study. For example, such well-known
15 dry extremes as those in 1616, 1636 and 1976, described in numerous documentary sources
16 (Brázdil et al., 2012), were also identified in the Czech oak TRW chronology. In addition to
17 1636, the following two years, 1637 and 1638, appeared as negatives in the Czech oak TRW
18 chronology. This may indicate the occurrence of drought of longer duration, but may also be
19 related to the seasonality problem discussed above.

20 However, some comparisons of TRW extremes and documentary evidence are largely
21 unambiguous, particularly for positive extremes and temperature regime, since the effects of
22 air temperature on tree growth and TRW increments may be either positive or negative,
23 depending on moisture regime. For example, after the favourable spring of 1362, a warm
24 summer could contribute to above-mean oak TRW increments. Conversely, in 1451 wider
25 TRWs were formed in the cold and rainy summer that came after the severe winter of
26 1450/1451 (Brázdil and Kotyza, 1995). Documentary sources mentioned warm summers in
27 five years with positive oak TRW increments. This is partly in contradiction to the results
28 presented in Fig. 7, where positive oak TRW increments were characterized by below-mean
29 temperatures. However, as shown in Fig. 5 for the instrumental period, MAM and JJA
30 temperatures do not differ significantly from normal conditions in years with positive oak
31 TRW extremes and they are quite widely variable. The second reason for such a contradiction
32 may be associated with the character of documentary evidence. While TRW measurements

1 are provided on an absolute scale, narrative sources are interpreted as relative departures from
2 normal conditions (Brázdil et al., 2005). Thus a reference to a “warm summer” does not
3 necessarily constitute unequivocal (if circumstantial) evidence of drought and the resulting
4 impact of temperature regime on tree growth may be significantly modified by moisture
5 regime and precipitation distribution (Büntgen et al., 2011b).

6

7 **5 Conclusion**

8 A well-replicated, 1250-year-long oak TRW chronology was compiled and used to define
9 positive (wet) and negative (dry) extremes using a simple threshold approach. Chronology
10 standardization was based on several de-trending techniques, only slightly different, to ensure
11 robustness in selection of extreme years. Distribution of extremes over time did not indicate
12 any kind of clustering, but a higher number of negative extremes compared to positive years
13 emerged.

14 Homogenized long-term series of air temperature, precipitation totals and SPEI from the
15 instrumental period provide consistent physical explanation of extremely dry seasons
16 compared to extremely wet seasons. The same was found for the pre-instrumental period after
17 AD 1500, for which comparison was based on existing temperature and precipitation
18 reconstructions relevant to the territory of the Czech Republic.

19 We found that distinctly above-mean MAM and JJA temperatures and below-mean
20 precipitation totals characterize years of TRW negative extremes. The distribution of SLP in
21 those years typically reveals patterns that are favourable to a higher probability of drought
22 occurrence in CE. Years with positive extremes in oak TRW chronology are characterised by
23 above-mean precipitation totals, especially in summer, with temperatures largely close to
24 normal.

25 However, climate in years with extreme oak TRWs show high variability. In particular, direct
26 comparisons of individual TRW extreme years with existing documentary evidence in the
27 pre-instrumental period reveal the complexity of the problem, as some extremes identified in
28 the oak TRW chronology were not confirmed by the documentary sources and *vice versa*.
29 Existing differences can be related to the fact that the various proxies may have problems
30 recording real intensity (in the sense of absolute values) or duration of extreme events due to,
31 for example, non-linear responses of proxy data to climate drivers or to seasonality shifts.

1

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1 Table 1. Negative and positive years identified in oak TRW chronology for which relevant
 2 weather information was found in documentary evidence dating to before AD 1500: A87 –
 3 Alexandre (1987); BK95 – Brázdil and Kotyza (1995); BK00 – Brázdil and Kotyza (2000);
 4 B13 – Brázdil et al. (2013); G08 – Glaser (2008); R07 – Rohr (2007).

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 6

Negative year	Description (source)
1091	Dry winter (BK95)
1121	Dry spring (BK95)
1167	Warm summer in Germany (G08)
1177	Poor harvest in Bohemia (B13)
1194	Poor harvest and high prices in Bohemia (B13)
1205	Cold spring, warm and dry summer in Germany (G08)
1217	Warm and dry summer in Germany (G08)
1252	Cold and dry spring (BK95)
1262	Dry spring, warm and dry summer (BK95)
1270	Bad harvest in Bohemia, warm and dry summer in Germany (G08)
1306	Great drought in Bohemia at 1307 (BK95), cold spring in Germany (G08)
1320	Hot summer in Bohemia (BK95)
1326	Bad harvest of spring crops in Bohemia (BR13), dry spring and summer (BK95)
1337	Warm and dry spring and summer (BK95)
1361	Bad harvest due to drought in Bohemia, hot and dry summer in Wroclaw (BK95), dry spring and summer in Austria, warm and dry spring in Silesia, hot and dry summer in Switzerland (A87), hot summer in Germany (G08)
1379	Warm summer in Switzerland (A87), hot summer in Germany (G08)
1393	Great drought in Bohemia, dry summer in Austria, hot and dry summer in

Franconia and Hessen, two dry months in spring in Switzerland (A87), extremely dry in Germany (G08)

- 1397 Dry and hot April, May and summer in Austria, dry spring and summer in Franconia and Hessen, hot summer in Switzerland (A87), early phenophases and hot summer in Germany (G08)
- 1420 Early phenophases in spring (Bohemia, Austria, Württemberg), dry and hot summer (Württemberg, Baden, Regensburg) (BK95), warm and very dry spring, early harvest in Germany (G08)
- 1426 Warm summer (BK95)
- 1448 Cold spring (BK95)
- 1462 Hot and dry spring 1461 in Bohemia and Silesia (BK95), rainy from May to August in Silesia (BK00)
- 1469 Cold and dry spring, dry and warm summer in Bohemia (BK95)
- 1485 Cold spring, warm and dry summer in Bohemia (BK95)
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Positive year	Description (source)
1040	Warm summer (BK95)
1052	Wet year in Germany (G2008)
1106	Warm spring and summer in Germany (G2008)
1122	Rainy winter, warm and dry summer (BK95)
1123	Mild winter, favourable spring, warm summer (BK95)
1187	Cold and rainy spring in Souabe, Switzerland, Alsace (A87)
1257	Rainy summer (BK95)
1316	Rainy summer and floods, also in Central Europe (BK95, R07, G08)
1321	Rainy summer (BK95)
1359	Rainy summer, also in Bavaria (R07, A87, G08)
1362	Favourable spring, warm summer (BK95)

1370	Rainy summer (BK95)
1389	Severe and snowy autumn (BK95)
1433	Severe and snowy winter, rainy summer (BK95)
1436	Rainy spring in Germany (G08)
1445	Rainy summer (BK95)
1451	Severe winter, cold and rainy summer (BK95)
1481	Rainy spring and summer (BK95)
1496	Severe snowy winter, warm rainy summer (BK95)

1 Figure 1. Spatial and temporal coverage of the Czech oak TRW chronology: (a) Map of mean
2 potential water availability estimated as the difference between annual precipitation totals and
3 annual reference evapotranspiration in the 1981–2010 period, together with the spatial
4 distribution of the 387 oak sampling sites, indicated as green points, with diameter expressing
5 the number of individual sites per region. (b) Temporal distribution of the 3194 individual
6 Czech oak TRW samples, with each horizontal line corresponding to one measurement radius.
7 (c) Temporal changes in the mean distance between sampling sites, with the broken horizontal
8 line indicating the mean distance between sampling sites (69.5 km). (d) Moving 31-year EPS
9 statistics of the 3194 oak TRW samples, with the broken horizontal line indicating the
10 commonly-used 0.85 quality threshold.

11

12 Figure 2. Intervals between successive negative (a) and positive (b) extremes from oak TRW
13 chronology modelled by exponential distribution and completed with Chi-squared test and Q-
14 Q plots (right).

15

16 Figure 3. Negative (a) and positive (b) extremes found in the set of 18 slightly different time
17 series of high-pass filtered TRW Czech oak chronology in the 761–2010 period; solid lines
18 represent extremity index of negative (c) and positive (d) years calculated for a 30-year
19 moving window (see text for details of extremity index).

20

21 Figure 4. MAM and JJA precipitation totals (a) SPEI-1 (b) and air temperatures (c)
22 characterizing 26 extremely negative and 23 extremely positive years found in the Czech oak
23 chronology for the 1805–2010 period; boxes in colour mark variables that are significantly
24 different from the 1961–1990 reference period.

25

26 Figure 5. MAM and JJA SLP anomalies (ref. 1961–1990) for 25 extremely negative and 21
27 extremely positive years found in the TRW Czech oak chronology in the 1850–2010 period;
28 black points indicate statistically significant differences ($p < 0.05$).

29

1 Figure 6. MAM and JJA precipitation totals (a) and air temperatures (b) characterizing 30
2 negative and 35 positive years found in the Czech oak chronology in the 1500–1804 period;
3 boxes in colour indicate characteristics that are significantly different from the 1961 –1990
4 reference period.

5

6 Figure 7. MAM and JJA SLP anomalies (ref. 1961–1990) for 12 negative and 19 positive
7 extreme years found in the Czech oak TRW chronology in the 1659–1804 period; black
8 points indicate statistically significant differences ($p < 0.05$).