

# On the state-dependency of the equilibrium climate sensitivity during the last 5 million years

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**Abstract.** It is a still open question how equilibrium warming in response to increasing radiative forcing – the specific equilibrium climate sensitivity  $S$  – depends on background climate. We here present paleo-data based evidence on the state-dependency of  $S$ , by using CO<sub>2</sub> proxy data together with 3-D ice-sheet model-based reconstruction of land ice albedo over the last 5 million years (Myr).

5 We find that the land-ice albedo forcing depends non-linearly on the background climate, while any non-linearity of CO<sub>2</sub> radiative forcing depends on the CO<sub>2</sub> data set used. This non-linearity was in similar approaches not accounted for due to previously more simplistic approximations of land-ice albedo radiative forcing being a linear function of sea level change. The latitudinal dependency of ice sheet area changes is important for the non-linearity between land-ice albedo and sea level. In  
10 our setup, in which the radiative forcing of CO<sub>2</sub> and of the land-ice albedo (LI) is combined, we find a state-dependence in the calculated specific equilibrium climate sensitivity  $S_{[\text{CO}_2, \text{LI}]}$  for most of the Pleistocene (last 2.1 Myr). During Pleistocene intermediate glaciated climates and interglacial periods,  $S_{[\text{CO}_2, \text{LI}]}$  is on average  $\sim 45\%$  larger than during Pleistocene full glacial conditions. In the Pliocene part of our analysis (2.6–5 Myr BP) the CO<sub>2</sub> data uncertainties prevent a well-supported  
15 calculation for  $S_{[\text{CO}_2, \text{LI}]}$ , but our analysis suggests that during times without a large land-ice area in the Northern Hemisphere (e.g. before 2.82 Myr BP) the specific equilibrium climate sensitivity  $S_{[\text{CO}_2, \text{LI}]}$  was smaller than during interglacials of the Pleistocene. We thus find support for a previously proposed state-change in the climate system with the wide appearance of northern hemispheric ice sheets. This study points for the first time to a so far overlooked non-linearity in the land-ice  
20 albedo radiative forcing, which is important for similar paleo data-based approaches to calculate climate sensitivity. However, the implications of this study for a suggested warming under CO<sub>2</sub> doubling are not yet entirely clear since the necessary corrections for other slow feedbacks are in

detail unknown and the still existing uncertainties in the ice sheet simulations and global temperature reconstructions are large.

## 25 1 Introduction

One measure to describe the potential anthropogenic impact on climate is the equilibrium global annual mean surface air temperature rise caused by the radiative forcing of a doubling of atmospheric CO<sub>2</sub> concentration. While this quantity, called equilibrium climate sensitivity (ECS), can be calculated from climate models (e.g. Vial et al., 2013), it is for model validation also important to  
30 make estimates based on paleo-data. This is especially relevant since some important feedbacks of the climate system are not incorporated in all models. For example, when coupling a climate model interactively to a model of stratospheric chemistry, including ozone, the calculated transient warming on a hundred-years time scale differs by 20 % from results without such an interactive coupling (Nowack et al., 2015).

35 Both approaches, model-based (Stocker et al., 2013) and data-based (PALAEOSENS-Project Members, 2012; Hansen et al., 2013), still span a wide range for ECS, e.g. of 1.9–4.4 K (90 % confidence interval) in the most recent simulations compiled in the IPCC assessment report (Stocker et al., 2013), or 2.2–4.8 K (68 % probability) in a paleo data compilation covering examples from the last 65 million years (PALAEOSENS-Project Members, 2012). Reducing the uncertainty in ECS  
40 is challenging, but some understanding of model-based differences now emerges (Vial et al., 2013; Shindell, 2014).

The ultimate cause for orbital-scale climate change are latitudinal and seasonal changes in the incoming solar radiations (Milankovitch, 1941; Laskar et al., 2004), which are then amplified by various feedbacks in the climate system (Hays et al., 1976). So far, seasonality in incoming solar  
45 radiation is not resolved in our approach.

A major restriction of any geological data-based estimate of climate sensitivity is that there was no period in Earth's history during which the atmospheric CO<sub>2</sub> concentration and global temperature varied as rapidly as today. Therefore, in all these data-based approaches (including our study here) ECS defined as global equilibrium temperature rise in response to a doubling of atmospheric CO<sub>2</sub>  
50 can only be roughly estimated. Such data-based studies are nevertheless important to find any specific pattern how global temperature changed with respect to a given variation in the radiative forcing. Our approach focuses on the contribution of various climate feedbacks to the reconstructed global temperature changes (PALAEOSENS-Project Members, 2012). When using paleo-data to calculate climate sensitivity one has to correct for slow feedbacks, whose impacts on climate are incorporated  
55 in the temperature reconstructions. Slow feedbacks are of interest in a more distant future (Zeebe, 2013), but are not yet considered in climate simulations using fully coupled climate models underlying the fifth assessment report of the IPCC (Stocker et al., 2013). More generally, from paleo-data

the specific equilibrium climate sensitivity  $S_{[X]}$  is calculated, which is, in line with the proposed nomenclature of PALAEOSENS-Project Members (2012), the ratio of the equilibrium global (g) surface temperature change  $\Delta T_g$  over the specific radiative forcing  $\Delta R$  of the processes  $X$ , hence  $S_{[X]} = \Delta T_g \cdot \Delta R_{[X]}^{-1}$ . In this concept “slow feedbacks” are considered as forcing. The division in “forcing” and “feedback” is based on the time scale of the process. PALAEOSENS-Project Members (2012) found that a century is a well justified time scale that might distinguish fast feedbacks from slow forcings. All relevant processes that are not considered in the forcing term  $X$  will impact on climate change nevertheless as feedbacks and are contained in the calculated climate sensitivity. This has to be kept in mind for comparing model-based and data-based approaches and makes their comparison difficult, since in model-based results only those processes implemented in the model have an impact on calculated temperature change.

In practical terms, the paleo-data that are typically available for the calculation of  $S$  are the radiative forcing of  $\text{CO}_2$  and surface albedo changes caused by land ice (LI) sheets. Thus  $S_{[\text{CO}_2, \text{LI}]}$  can be calculated containing the radiative forcing of two processes, which are most important during glacial/interglacial timescales of the late Pleistocene (Köhler et al., 2010). The whole approach therefore relies on the simplification that the climate response of the  $\text{CO}_2$  radiative forcing and the surface albedo radiative forcing are similar. We are aware that such a simplification might not be possible for every radiative forcing, since Shindell (2014) showed that the per unit radiative forcing of well-mixed greenhouse gases (e.g.  $\text{CO}_2$  or  $\text{CH}_4$ ) leads to a different climate response than that of aerosols or ozone. However, we are not aware that a difference in the response has been shown for radiative forcing from surface albedo changes ( $\Delta R_{[\text{LI}]}$ ) and  $\text{CO}_2$  ( $\Delta R_{[\text{CO}_2]}$ ). Hence we combine them linearly.

Both model-based (e.g. Crucifix, 2006; Hargreaves et al., 2007; Yoshimori et al., 2011; Yin and Berger, 2012; Caballero and Huber, 2013; Goldner et al., 2013; Kutzbach et al., 2013; Meraner et al., 2013) and paleo-data-based (PALAEOSENS-Project Members, 2012; von der Heydt et al., 2014) approaches have already indicated that  $S$  varies for different background climates. See also a recent review of Knutti and Rugenstein (2015) on the limits of linear models to constrain climate sensitivity. The majority of simulation studies shows a rise in climate sensitivity for a warmer background climate. One of the exceptions based on analysis for mainly colder than present climates (Kutzbach et al., 2013) finds the opposite (rise in climate sensitivity for colder climate) with various versions of the CCSM model, which points to the still existing disagreements among models. However, Caballero and Huber (2013) using the same model find rising climate sensitivity for a warmer climates as the majority of studies.

The state-dependent character of  $S$  based on paleo-data was only recently investigated more systematically in von der Heydt et al. (2014). It was found that the strength of some of the fast feedbacks depends on the background climate state. This is in agreement with other model-based approaches which proposed a state-dependency of water vapour (Meraner et al., 2013) or clouds (Crucifix, 2006;

95 Hargreaves et al., 2007). Distinguishing different climate regimes in paleo-data covering the last  
800 000 years (0.8 Myr), the time window of the ice core records, von der Heydt et al. (2014) re-  
vealed a  $\sim 36\%$  larger  $S_{[\text{CO}_2, \text{LI}]}$  for “warm” background climates when compared to “cold” climates.  
However, a limitation in this analysis was that average “warmer” climates were still colder than  
present day and interglacial periods were largely undersampled. A recent investigation (Martínez-  
100 Botí et al., 2015) found that  $S_{[\text{CO}_2, \text{LI}]}$  for the late Pleistocene and the Plio–Pleistocene transition  
have been similar suggesting that no state-dependency in the specific equilibrium climate sensitivity  
is observed in their proxy data.

Here we consider changes in  $S_{[\text{CO}_2, \text{LI}]}$  over the last 5 Myr. We go beyond previous studies in  
various ways. First, we increase the amount and spread of the underlying data, which offers the pos-  
105 sibility to calculate  $S_{[\text{CO}_2, \text{LI}]}$  based on paleo-data covering the Pleistocene and most of the Pliocene.  
The latter is the rather warm epoch between  $\sim 2.6$  and 5.3 Myr BP that has been suggested as a  
paleo-analogue for the future (Haywood et al., 2010). Second, we calculate the radiative forcing of  
the land ice albedo from a detailed spatial analysis of simulated land ice distribution obtained with  
3-D ice-sheet models enhancing the embedded complexity of the underlying physical climate system  
110 with respect to previous studies. Third, polar amplification was previously assumed to be constant  
over time (e.g. van de Wal et al., 2011). However, climate models (Haywood et al., 2013) indicate  
that during the Pliocene, when less ice was present on the Northern Hemisphere, the temperature  
perturbations were more uniformly spread over all latitudes. We incorporate this changing polar am-  
plification in our global temperature record. Fourth, we explicitly analyse for the first time whether  
115 the relationship between temperature change and radiative forcing is better described by a linear  
or non-linear function. If the applied statistics inform us that the  $\Delta T_g$ – $\Delta R$ -relationship contains  
a non-linearity, then the specific equilibrium climate sensitivity is state-dependent. Any knowledge  
on a state-dependency of  $S$  is important for the interpretation of paleo data and for the projection of  
long-term future climate change.

## 120 **2 Methods**

We calculate the radiative forcing of  $\text{CO}_2$  and land-ice albedo,  $\Delta R_{[\text{CO}_2, \text{LI}]}$ , by applying the same  
energy balance model as used before for the late Pleistocene (Köhler et al., 2010). This approach  
uses  $\text{CO}_2$  data from ice cores, as well as from proxies from three different labs published for the  
last 5 Myr and calculates changes in surface albedo from zonally-averaged changes in land ice area.  
125 The latter are here based on results from 3-D ice-sheet model simulations (de Boer et al., 2014)  
that deconvolved the benthic  $\delta^{18}\text{O}$  stack LR04 (Lisiecki and Raymo, 2005) into its temperature  
and sea level (ice volume) component. The time series of global temperature change  $\Delta T_g$  over the  
last 5 Myr used here is also based on this deconvolution. The reconstructed records of ice volume  
and temperature changes are therefore mutually consistent. A state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$  is then

130 supported by the data, if a non-linear function (higher order polynomial) gives a statistically better fit to the scattered data of  $\Delta T_g$  versus  $\Delta R_{[CO_2,LI]}$  than a linear fit.

## 2.1 Ice-sheet models, changes in surface albedo and radiative forcing $\Delta R_{[LI]}$

Using an inverse modelling approach and the 3-D ice-sheet model ANICE (de Boer et al., 2014), the benthic  $\delta^{18}O$  stack LR04 (Lisiecki and Raymo, 2005) is deconvolved in deep-ocean temperature, ice volume-based sea-level variations, and a representation of the four main ice sheets in Antarctica, Greenland, Eurasia, and North America. The spatial resolution (grid cell size) for the Antarctic, Eurasian, and North American ice sheets is  $40\text{ km} \times 40\text{ km}$ , while Greenland is simulated by cells of  $20\text{ km} \times 20\text{ km}$ . In the vertical dimension velocities and temperature are calculated at 15 layers. In ANICE shallow ice and shallow shelf approximations are used. With respect to the full Stokes 3-D description that completely describes the temporal and spatial evolution of an ice body some higher-order stress terms are therefore neglected in ANICE in order to allow for long transient runs. A detailed description of the model is found in de Boer et al. (2013).

This approach combines paleo-data and mass conservation for  $\delta^{18}O$  with physical knowledge on ice sheet growth and decay. It therefore includes a realistic estimate of both volume and surface area of the major ice sheets. The calculated change in deep-ocean temperature is in this ice sheet-centred approach connected with temperature anomalies over land in the Northern Hemisphere (NH) high latitude band ( $40\text{--}85^\circ\text{N}$ ,  $\Delta T_{NH}$ ), in which the Greenland, Eurasian, and North American ice sheets grow. Temporal resolution of all simulation results from the 3-D ice-sheet models is 2 kyr.

From these results, published previously (de Boer et al., 2014) the latitudinal distribution of land-ice area in latitudinal bands  $i$  of  $5^\circ$  ( $\Delta A_{LI}(i)$ ) is calculated (Fig. 1b) which leads to changes in the land-ice sheet-based radiative forcing,  $\Delta R_{[LI]}$ , with respect to preindustrial times.  $\Delta R_{[LI]}(i)$  for every latitudinal band (Fig. 1c) is calculated from local surface insolation  $I_S(i)$ , changes in ice-sheet area  $\Delta A_{LI}(i)$ , and surface albedo anomalies ( $\Delta\alpha$ ), normalized to its global impact (by division to the Earth's surface area  $A_{Earth}$ ,  $\Delta R_{[LI]}(i) = -I_S(i) \times \Delta A_{LI}(i) \times (\Delta\alpha)/A_{Earth}$ ) and integrated thereafter. For the calculation of  $I_S(i)$  the annual mean insolation at the top of the atmosphere (TOA) at each latitude,  $I_{TOA}(i)$ , (Fig. 1a) is reduced by absorption  $a$  and reflection  $\alpha_A$  within the atmosphere ( $I_S(i) = I_{TOA}(i) \times (1 - (\alpha_A + a))$ ). The values of the parameters  $a = 0.2$  and  $\alpha_A = 0.212$  are here held constant on their present values derived in Köhler et al. (2010). This approach to calculate  $\Delta R_{[LI]}$  is based on surface albedo anomalies ( $\Delta\alpha$ ), implying that always ice-free latitudes contribute nothing to  $\Delta R_{[LI]}$ . It is assumed that ice sheets cover land when growing, thus local surface albedo  $\alpha$  rises as applied previously (Köhler et al., 2010) from 0.2 to 0.75. For calculating  $I_{TOA}(i)$  (Fig. 1a), which varies due to orbital configurations (Laskar et al., 2004), we use a solar constant of  $1360.8\text{ W m}^{-2}$ , the mean of more than 10 years of daily data satellite since early 2003 as published by the SORCE project (<http://lasp.colorado.edu/home/sorce>) (Kopp and Lean, 2011). Changes in solar energy output are not considered, but are based on present knowledge (Roth and Joos, 2013)

smaller than  $1 \text{ W m}^{-2}$  during the last 10 kyr, and, following our earlier approach (Köhler et al., 2010), presumably smaller than 0.2 %.

For validation of the ANICE ice sheet model we compare the spatial and temporal variable results in  $\Delta R_{\text{[LL]}}$  obtained for Termination I (the last 20 kyr) with those based on the land ice sheet distribution of Peltier (2004). This paper describes an approach called ICE-5G in which data on sea level change which include the contribution from glacial isostatic adjustment are used to obtain a physically consistent picture, that also considers viscoelastic modelling of the mantle of Earth, how the land ice sheet distribution during the last deglaciation might have looked like. For this comparison the ICE-5G data are treated similarly as those from ANICE, e.g. only data every 2 kyr are considered and averaged on latitudinal bands of  $5^\circ$ . The spatial distribution of land ice in the most recent version of ICE-6G (Peltier et al., 2015) are similar to ICE-5G and therefore no significant difference to ICE-6G are expected and the comparison to that version is omitted.

## 2.2 Global temperature change $\Delta T_g$

In the ANICE model (de Boer et al., 2014) the temperature anomaly of the deep ocean (deconvolved from the benthic  $\delta^{18}\text{O}$  stack) is coupled to the NH temperature change  $\Delta T_{\text{NH}}$  by a fixed ratio that has been derived in a series of transient climate runs. A more extensive analysis of this parameterisation is presented in de Boer et al. (2010).

We calculate global surface temperature change  $\Delta T_g$  from these ANICE-based NH temperature anomalies,  $\Delta T_{\text{NH}}$ , using a polar amplification factor  $f_{\text{pa}}$  which itself depends on climate (Fig. 2).

Based on results from two modelling inter-comparison projects  $f_{\text{pa}}$  was determined to be  $2.7 \pm 0.3$  for the Last Glacial Maximum (LGM, about 20 kyr BP) (PMIP3/CMIP5 (Braconnot et al., 2012)) and  $1.6 \pm 0.1$  for the mid Pliocene Warm Period (mPWP, about 3.2 Myr BP) (PlioMIP (Haywood et al., 2013)). In our standard setup (calculating  $\Delta T_{g1}$ ) we linearly inter- and extrapolate  $f_{\text{pa}}$  as function of  $\Delta T_{\text{NH}}$  based on these two anchor values for all background climates found during the last 5 Myr (insert in Fig. 2a). Climate models already suggest that polar amplification is not constant, but how it is changing over time is not entirely clear (Masson-Delmotte et al., 2006; Abe-Ouchi et al., 2007; Hargreaves et al., 2007; Yoshimori et al., 2009; Singarayer and Valdes, 2010). We therefore calculate an alternative global temperature change  $\Delta T_{g2}$  in which we assume polar amplification  $f_{\text{pa}}$  to be a step function, with  $f_{\text{pa}} = 1.6$  (the mPWP value) taken for times with large northern hemispheric land ice (according to our results before 2.82 Myr BP), and with  $f_{\text{pa}} = 2.7$  (the LGM value) thereafter. This choice is motivated by investigations with a coupled ice sheet-climate model, from which northern hemispheric land ice was identified to be the main controlling factor for the polar amplification (Stap et al., 2014).

At the LGM  $\Delta T_g$  was, based on the eight PMIP3 models contributing to this estimate in  $f_{\text{pa}}$ ,  $-4.6 \pm 0.8 \text{ K}$ , so slightly colder, but well overlapping the most recent LGM estimate (Annan and Hargreaves, 2013) of  $\Delta T_g = -4.0 \pm 0.8 \text{ K}$ . If we take into consideration that the MARGO sea sur-

face temperature (SST) data underlying this LGM temperature estimate (Annan and Hargreaves, 2013) are potentially biased towards too warm tropical SSTs (Schmidt et al., 2014), the PMIP3 results are a good representation of LGM climate. For both choices of  $f_{\text{pa}}$  (varying linear as function of  $\Delta T_{\text{NH}}$  or as step function over time) the global temperature change at LGM obtained in our reconstruction is  $\Delta T_{\text{g}} = -5.7 \pm 0.6 \text{ K}$ , so slightly colder than other approaches, but within the uncertainties overlapping with the PMIP3-based results.

The global temperature changes obtained with both approaches on  $f_{\text{pa}}$  are very similar and mainly differ for some glacial periods in the late Pliocene and some interglacial periods in the Pleistocene (Fig. 2c). Results from the eight models (CCSM4, CNRM-CM5, FGOALS-g2, GISS-E2-R, IPSL-CM5A-LR, MIROC-ESM, MPI-ESM-P, MRI-CGCM3) which contributed the relevant results to the PMIP3/CMIP5-database until mid of January 2014 were analysed averaging uploaded results over the last available 30 years. Warming within the mPWP based on PlioMIP was  $+2.7 \pm 1.2 \text{ K}$ , overlapping with our calculated global surface temperature change within the uncertainties (Fig. 2c). The models contributing to PlioMIP, experiment 2 (coupled atmosphere–ocean models) are CCSM4, COSMOS, GISS-E2-R, HadCM3, IPSL-CM5A, MIROC4m, MRI-CGCM2.3 and NorESM-L.

As third alternative ( $\Delta T_{\text{g}3}$ ) we constrain the global temperature changes by the values from PMIP3 for the LGM ( $-4.6 \text{ K}$ ) and from PlioMIP for the mPWP ( $+2.7 \text{ K}$ ) and vary  $f_{\text{pa}}$  freely. If done so,  $f_{\text{pa}}$  rises to  $\sim 3.3$  during glacial maxima of the Pleistocene and to  $\sim 1.0$  during the Pliocene. Our approach based on the  $\Delta T_{\text{NH}}$  reconstruction is not able to meet all four constraints given by PMIP3/PlioMIP ( $\Delta T_{\text{g}}$ ,  $f_{\text{pa}}$  for both the LGM and the mPWP) at the same time. This mainly illustrates that the approach used in de Boer et al. (2014), although coherently solving for temperature and ice volume, underestimates polar temperature change prior to the onset of the NH glacial inception, since it only calculates ice-volume and deep-water temperature change from benthic  $\delta^{18}\text{O}$ .

Throughout the following our analysis is based on the temperature time series  $\Delta T_{\text{g}1}$ . However, we repeat our analysis with the alternative temperature time series to investigate the robustness of our approach to the selected time series. As can be seen in the results our main conclusions and functional dependencies are independent from the choice of  $\Delta T_{\text{g}}$  and are also supported if based on either  $\Delta T_{\text{g}2}$  or  $\Delta T_{\text{g}3}$  (see Table 1).

The modelled surface–air temperature change  $\Delta T_{\text{NH}}$  was already compared (de Boer et al., 2014) with three independent proxy-based records of sea surface temperature (SST) change in the North Atlantic (Lawrence et al., 2009), equatorial Pacific (Herbert et al., 2010) and Southern Ocean (Martínez-García et al., 2010) which cover at least the last 3.5 Myr. The main features of the simulated temperature change and the data-based SST reconstruction agree: the overall cooling trend from about 3.5 to 1 Myr ago is found in the simulation results and in all SST records, and so is the strong glacial–interglacial (100 kyr) variability thereafter.

### 2.3 Radiative forcing of CO<sub>2</sub>, $\Delta R_{[\text{CO}_2]}$

Several labs developed different proxy-based approaches to reconstruct atmospheric CO<sub>2</sub> before the ice-core time window of the last 0.8 Myr. Since we are interested how CO<sub>2</sub> might have changed over the last 5 Myr and on its relationship to global climate we only consider longer time series for our analysis. Thus, some approaches, e.g. based on stomata, with only a few data points during the last 5 Myr are not considered (see Beerling and Royer, 2011). The considered CO<sub>2</sub> data are in detail (Fig. 3):

- a. ice core CO<sub>2</sub> data were compiled by Bereiter et al. (2015) into a stacked ice core CO<sub>2</sub> record covering the last 0.8 Myr including a revision of the CO<sub>2</sub> data from the lowest part of the EPICA Dome C ice core. Originally, the stack as published (Bereiter et al., 2015) contains 1723 data points before year 1750 CE, the beginning of the industrialisation, but was here resampled to the 2 kyr time step of the ice-sheet simulation results by averaging available data points, and reducing the sample size to  $n = 394$ . The stack contains data from the ice cores at Law Dome (Rubino et al., 2013; MacFarling-Meure et al., 2006) (0–2 kyr BP), EPICA Dome C (Monnin et al., 2001, 2004; Schneider et al., 2013; Siegenthaler et al., 2005; Bereiter et al., 2015) (2–11 kyr BP, 104–155 kyr BP, 393–806 kyr BP), West Antarctic Ice Sheet Divide (Marcott et al., 2014) (11–22 kyr BP), Siple Dome (Ahn and Brook, 2014) (22–40 kyr BP), Talos Dome (Bereiter et al., 2012) (40–60 kyr BP), EPICA Donning Maud Land (Bereiter et al., 2012) (60–104 kyr BP) and Vostok (Petit et al., 1999) (155–393 kyr BP).
- b. CO<sub>2</sub> based on  $\delta^{11}\text{B}$  isotopes measured on planktonic shells of *G. sacculifer* from the Hönisch-lab (Hönisch et al., 2009) ( $n = 52$ ) is obtained from ODP668B located in the eastern equatorial Atlantic. The data go back until 2.1 Myr BP and agree favourably with the ice core CO<sub>2</sub> during the last 0.8 Myr.
- c. CO<sub>2</sub> data from the Foster-lab (Foster, 2008; Martínez-Botí et al., 2015) are available for the last 3.3 Myr ( $n = 105$ ) obtained via  $\delta^{11}\text{B}$  from ODP site 999 in the Caribbean Sea. CO<sub>2</sub> purely based on *G. ruber*  $\delta^{11}\text{B}$  was reconstructed for the last glacial cycle (Foster, 2008) and for about 0.8 Myr during the Plio–Pleistocene transition (Martínez-Botí et al., 2015). We take both these data sets using identical calibration as plotted previously (Martínez-Botí et al., 2015). The overlap of the data with the ice core CO<sub>2</sub> is reasonable with the tendency for overestimating the maximum anomalies in CO<sub>2</sub> (by more than +50 ppmv during warm previous interglacials and by –25 ppmv during the LGM, Fig. 3b).
- d. CO<sub>2</sub> reconstructions based on alkenone from the Pagani-lab (Pagani et al., 2010; Zhang et al., 2013) ( $n = 153$ ) cover the whole 5 Myr and are derived from different marine sediment cores. Site 925 is contained in both publications, although with different uncertainties. From site 925 we use the extended and most recent CO<sub>2</sub> data of Zhang et al. (2013) containing 50 data



points over the last 5 Myr, 18 points more than initially published. Data from the sites 806, 925 and 1012 are offset from the ice core CO<sub>2</sub> reference during the last 0.8 Myr by +50 to +100 ppmv, while data from site 882 have no overlapping data points with the ice cores. It is not straightforward how these CO<sub>2</sub> data from the Pagani-lab that are offset from the ice core CO<sub>2</sub> might be corrected. Therefore, we refrain from applying any corrections but keep these offsets in mind for our interpretation.

Other CO<sub>2</sub> data based on B/Ca (Tripathi et al., 2009) are not considered here, since critical issues concerning its calibration have been raised (Allen et al., 2012). A second δ<sup>11</sup>B-based record of the Hönisch-lab (Bartoli et al., 2011) from *G. sacculifer* obtained from ODP site 999 is not used for further analysis, because δ<sup>11</sup>B was measured on other samples than proxies that are necessary to determine the related climate state (e.g. δ<sup>18</sup>O). Thus, a clear identification if glacial or interglacial conditions were prevailing for individual data points was difficult. Furthermore, these calculated CO<sub>2</sub> values (Bartoli et al., 2011) have very high uncertainties, 1σ is 3× larger than in the original Hönisch-lab data set (Hönisch et al., 2009). These CO<sub>2</sub> data of Bartoli et al. (2011) disagrees with the most recent data from the Foster-lab (Martínez-Botí et al., 2015), especially before the onset of northern hemispheric glaciation around 2.8 Myr ago. Another CO<sub>2</sub> time series from the Foster-lab (Seki et al., 2010) based on a mixture of both alkenones or δ<sup>11</sup>B approaches covering the last 5 Myr is not considered here, since the applied size-correction for the alkenone producers has subsequently been shown to be incorrect (Badger et al., 2013).

Radiative forcing based on CO<sub>2</sub> is calculated using  $\Delta R_{[\text{CO}_2]} = 5.35 \text{ W m}^{-2} \cdot \ln(\text{CO}_2/\text{CO}_{2,0})$  with CO<sub>2,0</sub> = 278 ppmv being the preindustrial reference value (Myhre et al., 1998).

#### 2.4 How to calculate the specific equilibrium climate sensitivity $S_{[\text{CO}_2, \text{LI}]}$

The specific equilibrium climate sensitivity for a forcing  $X$  is defined as  $S_{[X]} = \Delta T_g \cdot \Delta R_{[X]}^{-1}$ . In an analysis of  $S_{[X]}$  when calculated for every point in time for the last 0.8 Myr based on ice core data PALAEOSENS-Project Members (2012) revealed the range of possible values, which fluctuated widely not following a simple functionality, even when analysed as moving averages. This study also clarified that  $S_{[X]}$  based on small disturbances in  $\Delta T_g$  or  $\Delta R_{[X]}$  are due to dating uncertainties prone to unrealistic high/low values. Only when data are analysed in a scatter-plot a non-linear functionality between  $\Delta T_g$  and  $\Delta R_{[X]}$ , and therefore a state-dependency of  $S_{[X]}$ , emerges as signal out of the noisy data (von der Heydt et al., 2014).

Here,  $\Delta T_g$  is approximated as a function of  $\Delta R_{[X]}$  by fitting a non-linear function (a polynomial up to the third order,  $y(x) = a + bx + cx^2 + dx^3$ ) to the scattered data of  $\Delta T_g$  vs.  $\Delta R_{[X]}$ . The individual contribution of land ice albedo and CO<sub>2</sub> to a state-dependency of  $S_{[\text{CO}_2, \text{LI}]}$  can be investigated by analysing both  $S_{[\text{CO}_2]}$  and  $S_{[\text{CO}_2, \text{LI}]}$ . If the best fit follows a linear function, e.g. for state-independent behaviour of  $S_{[X]}$ , its values might be determined from the slope of the regression line in the  $\Delta T_g$ - $\Delta R_{[X]}$ -space. However, note that here a necessary condition for the calculation of

$S_{[X]}$  over the whole range of  $\Delta R_{[X]}$ , but not for the analysis of any state-dependency is, that any fitting function crosses the origin with  $\Delta R_{[\text{CO}_2, \text{LI}]} = 0 \text{ W m}^{-2}$  and  $\Delta T_g = 0 \text{ K}$ , implying for the fitting parameters that  $a = 0$ . This is also in line with the general concept that without any change in the external forcing no change in global mean temperature should appear. Since the data sets have apparent offsets from the origin we first investigate which order of the polynomial best fits the data by allowing parameter  $a$  to vary from 0.

For the calculation of mean values of  $S_{[\text{CO}_2, \text{LI}]}$  we then analyse in a second step the  $S_{[\text{CO}_2, \text{LI}]} - \Delta R_{[\text{CO}_2, \text{LI}]}$ -space, where  $S_{[\text{CO}_2, \text{LI}]} = \Delta T_g \cdot \Delta R_{[\text{CO}_2, \text{LI}]}^{-1}$  is first calculated individually for every data point and then stacked for different background conditions (described by  $\Delta R_{[\text{CO}_2, \text{LI}]}$ ). In doing so we circumvent the problem that the regression function needs to meet the origin, that appeared in the  $\Delta T_g - \Delta R_{[X]}$ -space. Some of the individual values of  $S_{[\text{CO}_2, \text{LI}]}$  are still unrealistically high/low, therefore values in  $S_{[\text{CO}_2, \text{LI}]}$  outside the plausible range of  $0-3 \text{ K W}^{-1} \text{ m}^2$  are rejected from further analysis.

The scattered data of  $S_{[\text{CO}_2, \text{LI}]}$  as function of  $\Delta R_{[\text{CO}_2, \text{LI}]}$  are then compiled in a probability density function (PDF), in which we also consider the given uncertainties of the individual data points. For the calculation of the PDFs we distinguish between a few different climate states, when supported by the data. For the time being the data coverage is too sparse and uncertainties are too large to calculate any state-dependent values of  $S_{[\text{CO}_2, \text{LI}]}$  in greater detail.

The fitting routines (Press et al., 1992) use the method of general linear least squares. Here, a function  $\chi^2 = \sum_i^n \frac{(y_i - y(x))^2}{\sigma_y^2}$  is minimised, which calculates the sum of squares of the offsets of the fit from the  $n$  data points normalised by the average variance  $\sigma_y^2$ . Since established numerical methods for calculating a non-linear fit through data cannot consider uncertainties in  $x$  we base our regression analysis on a Monte-Carlo approach. Data points are randomly picked from the Gaussian distribution described by the given  $1\sigma$  standard deviation of each data point in both directions  $x$  ( $\Delta R_{[X]}$ ) and  $y$  ( $\Delta T_g$ ). We generated 5000 of these data sets, calculated their individual non-linear fits and further analysed results based on averages of the regression parameters. The Monte-Carlo approach converges if the number of replicates exceeds 1000, e.g. variations in the mean of the parameters are at least an order of magnitude smaller than the uncertainties connected with the averaging of the results. We used the  $\chi^2$  of the fitting routines in  $F$  tests to investigate if a higher order polynomial would describe the scattered data in the  $(\Delta T_g - \Delta R_{[X]})$ -parameter space better than a lower order polynomial and use the higher order polynomial only if it significantly better describes the data at the 1% level ( $p$  value of  $F$  test:  $p \leq 0.01$ , Table 1).

## 340 2.5 Uncertainty estimates

As previously described in detail (Köhler et al., 2010) standard error propagation is used to calculate uncertainties in  $\Delta T$  and  $\Delta R$ . For  $\Delta R_{[\text{LI}]}$ , changes in surface albedo are assumed to have a  $1\sigma$ -uncertainty of 0.1. Simulated changes in land-ice-area have a relative uncertainty of 10%

in the various simulation scenarios performed in de Boer et al. (2014). The different approaches  
 345 to reconstruct CO<sub>2</sub> all have different uncertainties as plotted in Fig. 3. Ice core CO<sub>2</sub> has a 1σ  
 uncertainty of 2ppmv, while those based on other proxies have individual errors connected with  
 the data-points that are on the order of 20–50ppmv. Radiative forcing based on CO<sub>2</sub>,  $\Delta R_{[\text{CO}_2]} =$   
 $5.35 \text{ W m}^{-2} \cdot \ln(\text{CO}_2/\text{CO}_{2,0})$  has in addition to the uncertainty in CO<sub>2</sub> itself also another 10 % 1σ-  
 uncertainty (Forster et al., 2007). The uncertainty in the incoming insolation is restricted to known  
 350 variations in the solar constant to be of the order of 0.2%. Annual mean global surface temperature  
 $\Delta T_g$  is solely based on the polar amplification factor  $f_{\text{pa}}$  and  $\Delta T_{\text{NH}}$ . Uncertainty in  $\Delta T_{\text{NH}}$  is esti-  
 mated based on eight different model realisations of the deconvolution of benthic  $\delta^{18}\text{O}$  into sea level  
 and temperature (de Boer et al., 2014). Based on the analysis of the PMIP3 and PlioMIP results the  
 polar amplification factor  $f_{\text{pa}} = \Delta T_{\text{NH}} \cdot \Delta T_g^{-1}$  has a relative uncertainty of 10 % (see Fig. 2a).

355 These uncertainties used in an error propagation lead to the  $\sigma_{\Delta T_g}$ ,  $\sigma_{\Delta R_{[\text{CO}_2]}}$  and  $\sigma_{\Delta R_{[\text{CO}_2, \text{LI}]}}$  of the  
 individual data points and are used to constrain the Monte-Carlo statistics. The stated uncertainties  
 of the parameters of the polynomials fitting the scattered  $\Delta T$ - $\Delta R$ -data given in Table 1 and used to  
 plot and calculate  $S_{[\text{CO}_2, \text{LI}]}$  are derived from averaging results of the Monte-Carlo approach. Note,  
 that higher order polynomials give more constrains on the parameters and therefore lead to smaller  
 360 uncertainties.

### 3 Results

#### 3.1 Individual radiative forcing contributions from land ice albedo and CO<sub>2</sub>

We calculate a resulting radiative forcing of CO<sub>2</sub>,  $\Delta R_{[\text{CO}_2]}$ , that span a range from -2.8 to  
 +2.5 W m<sup>-2</sup> compared to preindustrial conditions (Fig. 4b). The uncertainty in  $\Delta R_{[\text{CO}_2]}$  depends  
 365 on the proxy. It is about 10% in ice cores, and generally less than 0.5 W m<sup>-2</sup> for other proxies with  
 the exception of some individual points from the Pagani-lab with uncertainties around 1 W m<sup>-2</sup>.

In contrast to these rather uncertain and patchy results the ice-sheet simulations lead to a contin-  
 uous time series of surface albedo changes and  $\Delta R_{[\text{LI}]}$  ranging between -4 W m<sup>-2</sup> during ice ages  
 of the late Pleistocene and +1 W m<sup>-2</sup> during interglacials of the Pliocene (Fig. 4c). During warmer  
 370 than preindustrial climate  $\Delta R_{[\text{LI}]}$  is thus rather small and between 4.2 and 3.0 Myr ago only slightly  
 higher than  $\Delta R_{[\text{orbit}]}$ , the radiative forcing due to global annual mean insolation changes caused by  
 variations in the orbital parameters of the solar system (Laskar et al., 2004) (Fig. 4c).

Reconstructed  $\Delta R_{[\text{LI}]}$  for the last 20 kyr agrees reasonably well with an alternative based on the  
 ICE-5G ice sheet reconstruction of Peltier (2004) (Fig. 5). Changes in land ice fraction in the north-  
 375 ern high latitudes around 15 kyr are more abrupt around 70° N in ICE-5G than in ANICE (Fig. 5b,  
 e). The northward retreat of the southern edge of the NH ice sheets happens later in ICE-5G than  
 in ANICE. In combination, both effects lead to only small differences in the spatial and temporal  
 distribution of the radiative forcing  $\Delta R_{[\text{LI}]}$  when based on either ANICE or ICE-5G (Fig. 5c and f).

The ice-albedo forcing  $\Delta R_{[LI]}$  has a non-linear relationship to sea level change (Fig. 6a), which is  
 380 caused by the use of the sophisticated 3-D ice-sheet models. Hence other approaches which approxi-  
 mate  $\Delta R_{[LI]}$  directly from sea level (Hansen et al., 2008; Martínez-Botí et al., 2015), simpler 1-D ice  
 sheet models or calculate  $\Delta R_{[LI]}$  from global land ice area changes without considering latitudinal  
 dependency (Köhler et al., 2010; von der Heydt et al., 2014) lack an important non-linearity of the  
 climate system. This non-linearity in the  $\Delta R_{[LI]}$ -sea level relationship is also weakly contained in  
 385 results based on ICE-5G for Termination I (Fig. 6a). However, when plotting identical time steps  
 of Termination I from results based on ANICE, the non-linearity is not yet persisting. This implies  
 that a larger pool of results from various different climates need to be averaged in order to obtain  
 a statistically robust functional relationship between  $\Delta R_{[LI]}$  and sea level (as done in this study).

The combined forcing  $\Delta R_{[CO_2,LI]}$  can only be obtained for the data points for which  $CO_2$  data ex-  
 390 ist (Fig. 4d). The combined forcing ranges from  $-6$  to  $-7 W m^{-2}$  during the Last Glacial Maximum  
 (LGM) to, in general, positive values during the Pliocene with a maximum of  $+3 W m^{-2}$ . Between  
 5.0 and 2.7 Myr ago (most of the Pliocene) the ice sheet area and  $\Delta R_{[LI]}$  are continuously smaller  
 than today, apart from two exceptions around 3.3 Myr and after 2.8 Myr ago, (Fig. 4c) suggesting  
 warmer temperatures throughout. Proxy data suggest that  $CO_2$  and  $\Delta R_{[CO_2]}$  were in the Pliocene  
 395 mostly higher than during preindustrial times.

### 3.2 Detecting any state-dependency in $S_{[CO_2,LI]}$

As explained in detail in Sect. 2.4  $S_{[CO_2,LI]}$  can be considered state-dependent if the scattered data of  
 $\Delta T_g$  against  $\Delta R_{[CO_2,LI]}$  are better described by a non-linear rather than a linear fit. The plots for the  
 different  $CO_2$  approaches reveal proxy-specific results (Fig. 7). Ice core data ( $r^2 = 0.72$ ) are best  
 400 described by a third order polynomial, the Hönisch data ( $r^2 = 0.79$ ) by a second order polynomial,  
 while for the Foster ( $r^2 = 0.61$ ) and Pagani ( $r^2 = 0.45$ ) data a second order fit is not statistically  
 significantly better than a linear fit (Table 1).

The fit through the Hönisch data agrees more with the fit through the ice core  $CO_2$  data than with  
 the fit through the other  $CO_2$ -proxy-based approaches, however the Hönisch data set extends only  
 405 2.1 Myr back in time and contains no  $CO_2$  data in the warm Pliocene. Thus, the finding of a state-  
 dependency in climate sensitivity obtained from the ice core data covering predominately colder  
 than present periods which we find here – and for which a first indication was published in von der  
 Heydt et al. (2014) – is extended to the last 2.1 Myr, where the climate states similar to the present  
 climate are better sampled than in the late Pleistocene record as used in von der Heydt et al. (2014).  
 410 However, we can still not extrapolate this finding to the warmer than present climates of the last  
 5 Myr since the ice core and Hönisch data do not cover these periods and the Foster and Pagani data  
 do not suggest a similar relationship. These findings remain qualitatively the same if our analyses  
 are based on the alternative global temperature changes  $\Delta T_{g2}$  or  $\Delta T_{g3}$  (Table 1).

When analysing the contribution from land ice albedo ( $\Delta R_{[LI]}$ ) and CO<sub>2</sub> radiative forcing ( $\Delta R_{[CO_2]}$ ) separately, we find a similar non-linearity in the  $\Delta T_g - \Delta R_{[CO_2]}$  scatter plot only in the CO<sub>2</sub> data from ice cores (Fig. 7a). The relationship between temperature and radiative forcing of CO<sub>2</sub> are best described by a linear function in the Hönisch and Pagani data sets (Fig. 7c and g, Table 1) or in data from the Foster-lab even by a second order polynomial with inverse slope leading to a decline in  $S_{[CO_2]}$  for rising  $\Delta R_{[CO_2]}$  (Fig. 7e). This inverse slope obtained for the Foster data between  $\Delta T_g$  and  $\Delta R_{[CO_2]}$  is the only case in which a detected nonlinearity partly depends on the use of the temperature change time series, e.g. the relationship is linear when based on  $\Delta T_{g3}$  (Table 1). Furthermore, this inverse slope might be caused by the under-representation of data for negative radiative forcing. However, when data in the  $\Delta T_g - \Delta R_{[X]}$ -scatter plots are binned in  $x$  or  $y$  direction to overcome any uneven distribution of data no change in the significance of the non-linearities are observed. The data scatter is large and regression coefficients between  $\Delta R_{[CO_2]}$  and  $\Delta T_g$  for Foster ( $r^2 = 0.42$ ) and Pagani ( $r^2 = 0.03$ ) are small. This large scatter and weak quality of the fit in the Pagani data is probably caused by some difficulties in the alkenone-based proxy, e.g. size dependency, and variations in the degree of passive vs. active uptake of CO<sub>2</sub> by the alkenone-producing coccolithophorids (Bolton and Stoll, 2013). Furthermore, van de Wal et al. (2011) already showed that the relationship of CO<sub>2</sub> to temperature change during the last 20 Myr is opposite in sign for alkenone-based CO<sub>2</sub> than for other approaches.

The ice-albedo forcing  $\Delta R_{[LI]}$  in our simulation results based on 3-D ice-sheet models (de Boer et al., 2014) has a specific relationship to global temperature change. Here both a step function or linear change in the polar amplification factor  $f_{pa}$  lead to similar results (Fig. 6b). However, when overly simplified approaches to calculate  $\Delta R_{[LI]}$  are applied (e.g. based on 1-D ice-sheet models (de Boer et al., 2010), related to sea level (Hansen et al., 2008; Martínez-Botí et al., 2015), or based on global land ice area changes without considering their latitudinal changes in detail (Köhler et al., 2010; PALAEOSENS-Project Members, 2012; von der Heydt et al., 2014)) the  $\Delta T_g - \Delta R_{[LI]}$ -relationship is more linear. The range of  $\Delta R_{[LI]}$  proposed for the same range of  $\Delta T_g$  is then reduced by 30 % (Fig. 6b and c).  $\Delta R_{[LI]}$  is effected by ice-sheet area rather than ice sheet volume. 3-D ice-sheet models include this effect better than calculations based on 1-D ice sheet models or directly from sea level variations. This non-linearity between ice volume (or sea level) and ice area is supported by data and theory of the scaling of glaciers (Bahr, 1997; Bahr et al., 2015). In addition, latitudinal variation of land-ice distribution affects the radiative forcing  $\Delta R_{[LI]}$  in a non-linear way (Fig. 1), and thereby likely contributes to a state-dependency in the equilibrium climate sensitivity  $S_{[CO_2,LI]}$ .

To verify the robustness of our findings with respect to the uncertainties attached to all data points we performed an additional sensitivity study by artificially reducing the uncertainties in  $\Delta T_g$  ( $\sigma_{\Delta T_g}$ ) and  $\Delta R_{[CO_2,LI]}$  ( $\sigma_{\Delta R}$ ) by a factor of 2 or 10. For both reduction factors we find statistically the same non-linearities in the  $\Delta T_g - \Delta R_{[CO_2,LI]}$ -scattered data than with the original uncertainties in all four CO<sub>2</sub> data sets (non-linearity in data sets based on CO<sub>2</sub> in ice cores and from Hönisch-lab, only linear

if based on Foster- or Pagani-lab CO<sub>2</sub> data, Table 2). Our proposed state-dependency of  $S_{[\text{CO}_2, \text{LI}]}$  is therefore independent of the assumed uncertainties. Any calculated value of  $S_{[\text{CO}_2, \text{LI}]}$  nevertheless depends in detail on the assumed uncertainties in the underlying data.

Since a first detection of any state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$  has already been performed for the ice  
455 core CO<sub>2</sub> data in von der Heydt et al. (2014) it is of interest to investigate which of our improvements  
with respect to this earlier analysis are most important. We therefore performed a further sensitivity  
study in which some of the three time series  $\Delta T_g$ ,  $\Delta R_{[\text{CO}_2]}$ , and  $\Delta R_{[\text{LI}]}$  were identical to the  
approach of von der Heydt et al. (2014). However, since in this earlier study all data have been  
resampled to 100 yr, we have to pre-process these data sets prior to Monte-Carlo statistics to 2-kyr  
460 averages to match the temporal resolution of the 3-D ice-sheet models used here. In this additional  
analysis (Table 2) we find that even when all three data sets are substituted with those used in  
von der Heydt et al. (2014) we find a non-linearity in the  $\Delta T_g$ - $\Delta R_{[\text{CO}_2, \text{LI}]}$ -scatter plot that points to  
a state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$ . However, the  $r^2$  is then 10% smaller than in our results indicating  
a weaker correlation between temperature change and radiative forcing and a 2nd order polynomial  
465 is sufficient to fit the data, while in our best guess these ice core based CO<sub>2</sub> data are best described  
by a 3rd order polynomial. If data are binned before analysis, similarly as in von der Heydt et al.  
(2014), we find a non-linearity in the scattered data only for the data sets used in this study, or when  
CO<sub>2</sub> is substituted by the previous time series, but not when the previous versions of  $\Delta R_{[\text{LI}]}$ , or  $\Delta T_g$   
are used. In these binned data both our improved time series of  $\Delta T_g$  and  $\Delta R_{[\text{LI}]}$  are necessary to  
470 generate this non-linearity indicating a state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$ . The analysis of both studies are  
still in detail different (higher order polynomial versus piece-wise linear regressions) and therefore  
the absence of any non-linearity in the binned data when all three time series have been substituted  
by those from the previous study are not contradictory to our stated non-linearity.

In model-based approaches the final radiative forcing  $\Delta R$  including all feedbacks from an ob-  
475 tained temperature change leads to a different nomenclature in which temperature change is the  
independent variable, typically plotted on the x-axis (e.g. Bloch-Johnson et al., 2015). Our approach  
differs from those studies since feedbacks are not contained in  $\Delta R$  (but in  $S$ ) which we only under-  
stand as the forcing terms. Therefore,  $\Delta R$  is in our study the independent variable that determines  
the background condition of the climate system.

### 480 3.3 Calculating the specific equilibrium climate sensitivity $S_{[\text{CO}_2, \text{LI}]}$

The non-linear regression of the  $\Delta T_g$ - $\Delta R_{[\text{CO}_2, \text{LI}]}$  scatter plot revealed that both the ice core CO<sub>2</sub>  
and the Hönisch-lab data contain a state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$ . As explained in Sect. 2.4 we  
analyse for both data sets the mean and uncertainty in  $S_{[\text{CO}_2, \text{LI}]}$  from probability density functions  
for different background climate states represented by  $\Delta R_{[\text{CO}_2, \text{LI}]}$  based on the point-wise results  
485 (Fig. 8). For both the Pagani and Foster data sets the slopes of the linear regression lines in  $\Delta T_g$ -  
 $\Delta R_{[\text{CO}_2, \text{LI}]}$  might in principle be used to calculate  $S_{[\text{CO}_2, \text{LI}]}$ . However both data sets have a rather

large offset in the  $y$  direction ( $\Delta T_g$ ) ( $y$  interception is far away from the origin), that might bias these results. These offsets are nearly identical when calculations are based on the alternative global temperature changes  $\Delta T_{g2}$  or  $\Delta T_{g3}$  (Table 1). Note that  $S_{[\text{CO}_2, \text{LI}]}$  as calculated for each data point  
 490 in Fig. 8 also contains 20 and 11 outsiders in the ice core and Hönisch data sets, respectively, that fall not in the most plausible range of  $0.0\text{--}3.0 \text{ K W}^{-1} \text{ m}^2$ . These outsiders are typically generated when dividing smaller anomalies in  $\Delta T_g$  and  $\Delta R_{[\text{CO}_2, \text{LI}]}$  during interglacials, when already small uncertainties generate a large change in the ratio in  $\Delta T_g \cdot \Delta R_{[\text{CO}_2, \text{LI}]}^{-1}$ . They are neglected from further analysis.

495  $S_{[\text{CO}_2, \text{LI}]}$  based on the ice core and Hönisch-lab data rarely falls below  $0.8 \text{ K W}^{-1} \text{ m}^2$  (Fig. 8). We distinguish in both data sets “cold” from “warm” conditions using the threshold of  $\Delta R_{[\text{CO}_2, \text{LI}]} = -3.5 \text{ W m}^{-2}$  to make our results comparable to the piece-wise linear analysis of “warm” and “cold” periods in von der Heydt et al. (2014). For the ice core data of the last 0.8 Myr the  $S_{[\text{CO}_2, \text{LI}]}$  is not normally distributed, but has a long tail towards higher values (Fig. 8c). However, this long  
 500 tail is partially caused by data points with  $\Delta R_{[\text{CO}_2, \text{LI}]}$  not far from  $0 \text{ W m}^{-2}$ , which are prone to high uncertainties. Only conditions during “cold” periods, representing glacial maxima, have a nearly Gaussian distribution in  $S_{[\text{CO}_2, \text{LI}]}$  with a mean value of  $1.05^{+0.23}_{-0.21} \text{ K W}^{-1} \text{ m}^2$ . For “warm” periods the PDF is skewed with  $S_{[\text{CO}_2, \text{LI}]} = 1.56^{+0.60}_{-0.44} \text{ K W}^{-1} \text{ m}^2$ . Results based on the Hönisch data covering the last 2.1 Myr are nearly identical with  $S_{[\text{CO}_2, \text{LI}]} = 1.07^{+0.29}_{-0.24} \text{ K W}^{-1} \text{ m}^2$  (“cold”) and  $S_{[\text{CO}_2, \text{LI}]} = 1.51^{+0.68}_{-0.55} \text{ K W}^{-1} \text{ m}^2$  (“warm”). Both data sets thus consistently suggest that during  
 505 Pleistocene warm periods  $S_{[\text{CO}_2, \text{LI}]}$  was about  $\sim 45\%$  larger than during Pleistocene cold periods.

In a piece-wise linear regression analysis of data covering the last 0.8 Myr a state-dependency in climate sensitivity was already detected (von der Heydt et al., 2014), including a rise in  $S_{[\text{CO}_2, \text{LI}]}$  from  $0.98 \pm 0.27 \text{ K W}^{-1} \text{ m}^2$  during “cold” periods to  $1.34 \pm 0.12 \text{ K W}^{-1} \text{ m}^2$  during “warm” periods  
 510 of the late Pleistocene. To allow a direct comparison with our study we here cite results shown in the Supplement of von der Heydt et al. (2014) in which the global temperature anomaly was similar to our  $\Delta T_g$ . Some important details, however, of our study and the previous study (von der Heydt et al., 2014) differ because (i) the assumed changes in temperature and land ice albedo are based on different time series and (ii) we here use  $\text{CO}_2$  as resampled to the 2 kyr temporal spacing of the 3-D  
 515 ice-sheet models while all data are resampled at 100 years time steps and binned before analysis in von der Heydt et al. (2014). Note, that we tested that data binning does not lead to large changes in our results and conclusions. Nevertheless, the calculated  $S_{[\text{CO}_2, \text{LI}]}$  of the “cold” periods (von der Heydt et al., 2014) matches within the uncertainties our glacial values derived from the ice cores, but the values for the “warm” periods are smaller in the previous estimates of von der Heydt et al. (2014)  
 520 than in our results (Fig. 9). This difference in the “warm” period for both studies is caused by the revised  $\Delta R_{[\text{LI}]}$ , which mainly leads to differences with respect to previous studies for intermediate glaciated and interglacial climates.

The calculated PDFs of  $S_{[\text{CO}_2, \text{LI}]}$  (Fig. 9) based on ice cores or Hönisch-lab data are qualitatively the same if based on the alternative assumptions on polar amplification which also includes a case with a constant polar amplification during the Pleistocene. The mean values of the PDF of  $S_{[\text{CO}_2, \text{LI}]}$  are then shifted by less than  $0.15 \text{ K W}^{-1} \text{ m}^2$  for “cold” periods and by less than  $0.25 \text{ K W}^{-1} \text{ m}^2$  for “warm” periods towards smaller values.

The 5 Myr-long data sets from the Foster- and Pagani-lab show no indication of state-dependency. One might argue that these 5 Myr-long time series should be split in times when large ice sheets in the NH were present or not, because their presence should have an influence on climate and its sensitivity. According to our simulation results (Fig. 1b) the appearance of large NH land ice first happened around 2.82 Myr BP, also the time which has been suggested by Sarinthein (2013) for the onset of NH land ice and when Martínez-Botí et al. (2015) found a pronounced decline in  $\text{CO}_2$ . Note that the start of northern hemispheric glaciation in our 3-D ice-sheet simulations was first gradual and intensified around 2.7 Myr ago (Fig. 1b), in agreement with other studies (Raymo, 1994; Haug et al., 2005). We tested the Foster-lab data for any changes in the regression analysis, when the data set was split in two time windows, one with and one without NH ice sheets. We found significantly different relationships between temperature change and radiative forcing for most of the Pleistocene than for either an ice-free NH Pliocene (Foster-lab data 2.82–3.3 Myr BP) or all available Pliocene data (Foster-lab data 2.5–3.3 Myr BP) (Fig. 10). For the Pleistocene  $\Delta T_g - \Delta R_{[\text{CO}_2, \text{LI}]}$  data are in themselves non-linear (thus  $S_{[\text{CO}_2, \text{LI}]}$  is state dependent), and for the Pliocene the relationship seems to be linear (thus  $S_{[\text{CO}_2, \text{LI}]}$  to be constant) over the time window. However, the fit through  $\Delta T_g - \Delta R_{[\text{CO}_2, \text{LI}]}$  is of low quality ( $r^2 = 0.04$  for 2.82–3.3 Myr BP and  $r^2 = 0.23$  for 2.5–3.3 Myr BP) which prevents us from calculating any quantitative values of  $S_{[\text{CO}_2, \text{LI}]}$  based on them. Remember, that in all regression analyses we consider the uncertainties in both  $x$  and  $y$  direction in all data points by the application of Monte-Carlo statistics, something which also distinguishes our approach from Martínez-Botí et al. (2015) and possibly contributes to different results.

Nevertheless, our data compilation clearly points to a regime shift in the climate system with different climate sensitivities before and after 2.82 Myr BP. From the available proxy-based data indicating  $\text{CO}_2$  around 400 ppmv in large parts of the Pliocene, together with our simulated global temperature change of around 2 K and ice-sheet albedo forcing of about  $0.5 \text{ W m}^{-2}$  (Fig. 4) we can estimate that in the NH-ice free Pliocene  $S_{[\text{CO}_2, \text{LI}]}$  was around  $1 \text{ K W}^{-1} \text{ m}^2$ , in agreement with Martínez-Botí et al. (2015). This is of similar size as our results for full glacial conditions of most of the Pleistocene, but smaller than during intermediate glaciated to interglacial conditions of the late Pleistocene. A possible reason could be that in the warm Pliocene the sea ice-albedo feedback might have been weaker or even absent (von der Heydt et al., 2014), but some studies (Stevens and Bony, 2013; Fedorov et al., 2013) also suggest that processes are missing in state-of-the-art climate models. A recent study (Kirtland Turner, 2014) concluded that at the onset of the northern hemispheric glaciation a fundamental change in the interplay of the carbon cycle and the climate



560 system occurred leading to a switch from in-phase glacial/interglacial changes in deep ocean  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  to anti-phase changes. If true such a change in the carbon cycle/climate system might also affect climate sensitivity.

A more direct calculation of the specific equilibrium climate sensitivity  $S_{[\text{CO}_2, \text{LI}]}$  as a function of background climate state that goes beyond the PDFs provided so far is desirable but with the  
565 available data and within the given theoretical and methodological framework not yet possible.

#### 4 Discussion

Martínez-Botí et al. (2015) recently analysed the ice core  $\text{CO}_2$  and the new  $\text{CO}_2$  data from the Foster-lab around the end of the Pliocene separately finding  $S_{[\text{CO}_2, \text{LI}]}$  of  $0.91 \pm 0.10$  and  $1.01 \pm 0.19 \text{ K W}^{-1} \text{ m}^2$ , respectively. Both results are within their uncertainties nearly indistinguishable,  
570 thus Martínez-Botí et al. (2015) concluded that  $S_{[\text{CO}_2, \text{LI}]}$  is not state-dependent, since it did not change between Pliocene and Pleistocene. However, since they based the radiative forcing of land-ice albedo ( $\Delta R_{[\text{LI}]}$ ) on a linear function of sea level they miss an important non-linearity of the climate system. We find that the large uncertainty in  $\Delta R_{[\text{CO}_2]}$  might also be another reason for state-independency in  $S_{[\text{CO}_2, \text{LI}]}$  in the Foster-lab data set.  $S_{[\text{CO}_2, \text{LI}]}$  based on the ice core analysis of  
575 Martínez-Botí et al. (2015) is slightly smaller than our results based on the cold periods from the ice core data set (Fig. 9). This indicates that the information which is relevant to suggest any state dependency in  $S_{[\text{CO}_2, \text{LI}]}$  are mainly contained in data covering the so-called “warm” climates of the Pleistocene. Thus, especially the land-ice area distribution and  $\Delta R_{[\text{LI}]}$  from intermediate glaciated states are important here. However, it should be emphasized that Martínez-Botí et al. (2015) never  
580 attempted to detect any state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$  within either the Pleistocene or the Pliocene data sets. In searching for non-linearities in the scattered data of  $\Delta T_g$  versus  $\Delta R_{[\text{CO}_2, \text{LI}]}$  by statistical methods we here go beyond their approach.

Comparing data-based estimates of  $S_{[\text{CO}_2, \text{LI}]}$  directly with climate model results (e.g. Lunt et al., 2010) is not straightforward and in the following not performed, because in climate mod-  
585 els only those processes considered explicitly as forcing will have an impact on calculated temperature change, while the data-based temperature reconstruction contains the effect of all processes (PALAEOSENS-Project Members, 2012). Furthermore, in Fedorov et al. (2013) climate simulation results have been discussed to understand which processes and mechanisms were responsible for the spatially very heterogeneous changes observed during the last 5 Myr, e.g. the increase in the polar  
590 amplification factor over time. Since the results of Fedorov et al. (2013) were unable to explain all observations it was concluded that a combination of different dynamical feedbacks are underestimated in the climate models. We are not able to generate spatially explicit results. However, from our analysis we could conclude that equilibrium climate sensitivity represented by  $S_{[\text{CO}_2, \text{LI}]}$  was

a function of background climate state and probably changed dramatically between conditions with  
595 and without Northern Hemisphere land ice.

The contribution of greenhouse gas radiative forcing and of seasonally and latitudinally variable  
incoming solar radiation to the simulated global temperature anomalies of the last eight interglacials  
have been analysed individually before (Yin and Berger, 2012). It was found that the greenhouse gas  
forcing was the main driver of the simulated temperature change with the incoming solar radiation  
600 amplifying or dampening its signal for all but one interglacials (Marine Isotope Stage (MIS) 7), with  
two interglacials (MIS 1 and MIS 19) having variations close to zero. Furthermore, they calculated  
the ECS (temperature rise for a doubling of  $\text{CO}_2$ ) for the different interglacial background conditions  
and found ECS to decrease with increasing background temperature. A calculation of climate sen-  
sitivity for individual points in time has been performed before (PALAEOSENS-Project Members,  
605 2012) but has been rejected due to large uncertainties, mainly during interglacials since in the defini-  
tion of  $S$  one then needs to calculate the ratio of two small numbers in  $\Delta T_g$ , and  $\Delta R_{[\text{CO}_2, \text{LI}]}$ , which  
has typically a low signal-to-noise-ratio. At first glance this might seem contrary to our finding with  
larger climate sensitivity during late Pleistocene interglacials when compared to late Pleistocene  
full glacial conditions. However, as mentioned already in the previous paragraph the comparison of  
610 (paleo) data-based calculations of  $S$  with ECS calculated from climate models is not directly possi-  
ble. Furthermore, in our approach we include changes in land ice sheet (albedo forcing or  $\Delta R_{[\text{LI}]}$ )  
while Yin and Berger (2012) kept ice sheets at present state. When investigating  $S_{[\text{CO}_2, \text{LI}]}$  over the  
whole range of climate states (from full glacial conditions to a warm Pliocene with a (nearly) ice-  
free northern hemisphere resulting in a variable forcing term  $\Delta R_{[\text{LI}]}$ ) we therefore probe a complete  
615 different climate regime, which is not directly comparable with results obtained from simulations of  
interglacials only.

There exist some intrinsic uncertainties in our approach based on the underlying data sets which  
are not included in the Monte-Carlo statistic. For example, the global temperature anomaly in the  
LGM still disagrees between various approaches (Annan and Hargreaves, 2013; Schmittner et al.,  
620 2011; Schmidt et al., 2014) and Pliocene sea level and ice sheet dynamics are still a matter of debate  
(Rohling et al., 2014; Dolan et al., 2015; Koenig et al., 2015; Rovere et al., 2014; de Boer et al.,  
2015). Taking these issues into account might lead to changes in our quantitative estimates, but  
not necessarily to a revision of our main finding of state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$ . In the light of the  
existing uncertainties, our findings must be supported by other modelling approaches to come to firm  
625 conclusions. Furthermore, our assumption that we can estimate equilibrium climate sensitivity from  
paleo data implicitly assumes that these data represent predominately equilibrium climate states.  
This might be a simplification, but since filtering out data points in which temperature changed  
abruptly led to similar results (PALAEOSENS-Project Members, 2012), it should have only minor  
effect on the conclusions.

630 To calculate in detail the effect of climate change on temperature it would be important to also include other forcing agents, e.g. CH<sub>4</sub>, N<sub>2</sub>O or aerosols. For the Pliocene strong chemistry-climate feedbacks have been proposed (Unger and Yue, 2014) suggesting high ozone and aerosol levels and potentially high CH<sub>4</sub> values. This implies that the relationship of CO<sub>2</sub> to other forcing agents might have been different for cold climates of the late Pleistocene than for warm climates of the  
635 Pliocene. Therefore, assumptions on the influence of other slow feedbacks based on data of the late Pleistocene (Köhler et al., 2010) cannot be extrapolated to the Pliocene. Hence, we restrict our analysis of the Pliocene data to  $S_{[\text{CO}_2, \text{LI}]}$  and again emphasize that an estimate of climate sensitivity for “actuo” or present day,  $S^a$ , from our paleo sensitivity (PALAEOSSENS-Project Members, 2012) is not straightforward, especially for these data.

640 For the Pleistocene data we might roughly approximate the implications of our findings for equilibrium temperature changes under CO<sub>2</sub> doubling, or ECS, by considering the so far neglected feedbacks (CH<sub>4</sub>, N<sub>2</sub>O, aerosols, or vegetation). However, we are aware that this is a simplification, since it was already shown that the per unit radiative forcing climate effect of well-mixed greenhouse gases and aerosols differs (Shindell, 2014). In paleo-data of the last 0.8 Myr the equilibrium climate  
645 sensitivity considering all feedbacks was only about two thirds of  $S_{[\text{CO}_2, \text{LI}]}$  (PALAEOSSENS-Project Members, 2012). A CO<sub>2</sub> doubling would then lead to an equilibrium rise in global temperature of on average 2.5 K (68 % probability range: 2.0–3.5 K) or to on average 3.7 K (68 % probability range: 2.5–5.5 K) during Pleistocene full glacial climates (“cold”) or Pleistocene “warm” climates (intermediate glaciated to interglacial conditions), respectively. Both average values of ECS are well  
650 within the range proposed by paleo data and models so far (PALAEOSSENS-Project Members, 2012; Stocker et al., 2013), but we especially emphasise the potential existence of a long tail of  $S_{[\text{CO}_2, \text{LI}]}$  towards higher values. Such estimates of ECS are due to the different effect of various forcings very uncertain and for Pliocene climate states not yet possible (see above). These long-term temperature change estimates for a doubling of CO<sub>2</sub> are mainly of interest for model validation. To be applicable  
655 to the not so distant future these equilibrium estimates need to be corrected for oceanic heat uptake to calculate any transient temperature response (Zeebe, 2013). Whether climate in the future is more comparable to climate states of interglacials of the late Pleistocene or to the warm Pliocene is difficult to say, although this has, according to our results, major implications for the expected equilibrium temperature rise. The Greenland ice sheet might completely disappear (Levermann et al.,  
660 2013) on the long-term for the projected future greenhouse gas emissions, but it might reduce its ice volume in the next two thousand years by less than 50%. Another study (Loutre and Berger, 2000) suggests that the Greenland ice sheet might also disappear on the long run for atmospheric CO<sub>2</sub> concentrations between 200 and 300 ppmv. These studies suggest that for the coming millennia the Earth might still contain a significant amount of northern hemispheric land ice and thus climate and  
665 the proposed climate sensitivity  $S_{[\text{CO}_2, \text{LI}]}$  are probably more comparable to interglacials of the late

Pleistocene, before the system might switch in the more distant future towards an ice-free Northern Hemisphere more comparable to the warm Pliocene.

When compared to the two most recent contributions to this topic (von der Heydt et al., 2014; Martínez-Botí et al., 2015) our study goes beyond them by four improvements that have been laid  
670 out in detail in the introduction. The most important of these improvements is the systematical detection of state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$  using Monte-Carlo statistics. However, only by analysing more data we have been able to extend the finding of state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$  from the ice core data of the last 800 kyr to the last 2.1 Myr. Furthermore, the improvements in the underlying time series of  $\Delta R_{[\text{LI}]}$  have been important to obtain a data set in which the state-dependency  $S_{[\text{CO}_2, \text{LI}]}$  can be  
675 detected. The role of the  $\Delta T_g$  time series seems at first glance to be of similar importance than that of  $\Delta R_{[\text{LI}]}$ . However, state-dependency in  $S_{[\text{CO}_2, \text{LI}]}$  was also obtained for the alternative temperature time series  $\Delta T_{g2}$  or  $\Delta T_{g3}$  and therefore a detailed knowledge of  $\Delta T_g$  is of minor importance for our overall conclusions.

## 5 Conclusions

680 In conclusion, we find that the specific equilibrium climate sensitivity based on radiative forcing of  $\text{CO}_2$  and land ice albedo,  $S_{[\text{CO}_2, \text{LI}]}$ , is state-dependent, if  $\text{CO}_2$  data from ice cores or from the Hönisch-lab, based on  $\delta^{11}\text{B}$ , are analysed. The state-dependency arises from the non-linear relationship between changes in radiative forcing of land ice albedo,  $\Delta R_{[\text{LI}]}$ , and changes in global temperature. Previous studies were not able to detect such a state-dependency because land ice albedo  
685 forcing was not based on results from 3-D ice-sheet models which contain much of this non-linearity. So far, the state-dependency of  $S_{[\text{CO}_2, \text{LI}]}$  based on ice core  $\text{CO}_2$ , which was derived from predominantly glacial conditions of the late Pleistocene, can be extrapolated to the last 2.1 Myr. During intermediate glaciated and interglacial periods of most of the Pleistocene  $S_{[\text{CO}_2, \text{LI}]}$  was on average by about  $\sim 45\%$  higher (mean:  $1.54 \text{ K W}^{-1} \text{ m}^2$ ; 68% probability range:  $1.0\text{--}2.2 \text{ K W}^{-1} \text{ m}^2$ ) than  
690 during full glacial conditions of the Pleistocene (mean  $1.06 \text{ K W}^{-1} \text{ m}^2$ ; 68% probability range:  $0.8\text{--}1.4 \text{ K W}^{-1} \text{ m}^2$ ). Before 2.1 Myr BP the published  $\text{CO}_2$  data are too sparse, depend on the applied methodology, and have too large uncertainties to come to a statistically well-supported conclusion on the value of  $S_{[\text{CO}_2, \text{LI}]}$ . The data available so far suggest that the appearance of northern hemispheric land-ice sheets changed the climate system and accordingly influenced climate sensitivity.  
695 In the Pliocene,  $S_{[\text{CO}_2, \text{LI}]}$  was therefore probably smaller than during interglacials of the Pleistocene.

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**Table 1.** Fitting a linear or a non-linear function to the data. 5000 Monte-Carlo-generated realisations of the scattered  $\Delta T_g - \Delta R_{[\text{CO}_2]}$  or  $\Delta T_g - \Delta R_{[\text{CO}_2, \text{L}]}$  were analysed. The data are randomly picked from the entire Gaussian distribution described by the  $1\sigma$  of the given uncertainties in both  $\Delta T_g$  and  $\Delta R_{[X]}$ . The parameter values of fitted polynomials are given as mean  $\pm 1\sigma$  uncertainty from the different Monte-Carlo realisations. Data sets differ in the underlying  $\Delta T_g$  and  $\text{CO}_2$  data.  $\Delta T_g$ : either  $\Delta T_g$  or polar amplification  $f_{\text{pa}}$  are fixed at LGM and mPWP at values from PMIP3 and PlioMIP with different functionality for  $f_{\text{pa}}$  (see methods for details).  $\text{CO}_2$  data from ice cores and Hönisch-, Foster- and Pagani-labs.

Data set	$n$	$\chi^2$		$F$	$p$	$L$	$r^2$	$a$	$b$	$c$	$d$
		1st	2nd								
$\Delta T_{g1}$ : fixed polar amplification factor $f_{\text{pa}}$ at LGM and mPWP, else a linear function of $\Delta T_{\text{NH}}$											
analysing $\Delta T_g$ vs. $\Delta R_{[\text{CO}_2]}$											
ice cores	394	2123	1839	60.4	< 0.001	**	56	$-1.28 \pm 0.09$	$3.67 \pm 0.18$	$0.89 \pm 0.08$	0
Hönisch	52	580	545	3.2	0.08	/	53	$-2.15 \pm 0.13$	$1.36 \pm 0.12$	0	0
Foster	105	4199	3845	9.4	< 0.01	*	42	$-1.73 \pm 0.11$	$0.95 \pm 0.09$	$-0.19 \pm 0.05$	0
Pagani	153	9152	9109	0.7	0.40	/	3	$-2.29 \pm 0.11$	$0.30 \pm 0.11$	0	0
analysing $\Delta T_g$ vs. $\Delta R_{[\text{CO}_2, \text{L}]}$											
ice cores <sup>a</sup>	394	1219	1176	14.3	< 0.001	**	72	$-0.43 \pm 0.07$	$2.16 \pm 0.10$	$0.36 \pm 0.04$	$0.02 \pm 0.00$
Hönisch	52	327	256	13.6	< 0.001	**	79	$-1.15 \pm 0.14$	$1.27 \pm 0.12$	$0.10 \pm 0.02$	0
Foster	105	2589	2569	0.8	0.38	/	61	$-1.53 \pm 0.05$	$0.63 \pm 0.03$	0	0
Pagani	153	5125	5040	2.5	0.11	/	45	$-2.19 \pm 0.07$	$0.82 \pm 0.04$	0	0
$\Delta T_{g2}$ : fixed polar amplification factor $f_{\text{pa}}$ at LGM and mPWP, else a step function											
analysing $\Delta T_g$ vs. $\Delta R_{[\text{CO}_2]}$											
ice cores	394	2668	2415	41.0	< 0.001	**	56	$-0.92 \pm 0.08$	$3.41 \pm 0.17$	$0.74 \pm 0.07$	0
Hönisch	52	725	697	2.0	0.17	/	55	$-1.78 \pm 0.12$	$1.36 \pm 0.11$	0	0
Foster	105	4911	4369	12.7	< 0.001	**	39	$-1.47 \pm 0.11$	$0.09 \pm 0.09$	$-0.21 \pm 0.05$	0
Pagani	153	9729	9683	0.7	0.40	/	02	$-2.08 \pm 0.11$	$0.27 \pm 0.10$	0	0
analysing $\Delta T_g$ vs. $\Delta R_{[\text{CO}_2, \text{L}]}$											
ice cores	394	1874	1568	76.3	< 0.001	**	72	$-0.46 \pm 0.06$	$1.41 \pm 0.05$	$0.11 \pm 0.01$	0
Hönisch	52	370	317	8.2	< 0.01	*	80	$-0.85 \pm 0.13$	$1.13 \pm 0.11$	$0.07 \pm 0.02$	0
Foster	105	3243	3146	3.1	0.08	/	55	$-1.37 \pm 0.08$	$0.58 \pm 0.05$	0	0
Pagani	153	5778	5704	2.0	0.17	/	43	$-2.00 \pm 0.06$	$0.76 \pm 0.04$	0	0
$\Delta T_{g3}$ : fixed $\Delta T_g$ at LGM and mPWP, polar amplification factor $f_{\text{pa}}$ is a linear function of $\Delta T_{\text{NH}}$											
analysing $\Delta T_g$ vs. $\Delta R_{[\text{CO}_2]}$											
ice cores	394	1788	1482	81.2	< 0.001	**	53	$-1.39 \pm 0.08$	$3.15 \pm 0.16$	$0.84 \pm 0.07$	0
Hönisch	52	471	431	4.6	0.04	/	50	$-2.10 \pm 0.11$	$1.09 \pm 0.10$	0	0
Foster	105	3967	3793	4.7	0.03	/	30	$-1.90 \pm 0.06$	$0.76 \pm 0.06$	0	0
Pagani	153	9660	9620	0.62	0.43	/	2	$-1.99 \pm 0.11$	$0.30 \pm 0.11$	0	0
analysing $\Delta T_g$ vs. $\Delta R_{[\text{CO}_2, \text{L}]}$											
ice cores <sup>a</sup>	394	1038	944	39.0	< 0.001	**	70	$-0.50 \pm 0.07$	$2.17 \pm 0.10$	$0.44 \pm 0.04$	$0.03 \pm 0.00$
Hönisch	52	305	222	18.3	< 0.001	**	76	$-1.26 \pm 0.13$	$1.13 \pm 0.11$	$0.10 \pm 0.02$	0
Foster	105	2778	2752	1.0	0.33	/	51	$-1.44 \pm 0.04$	$0.56 \pm 0.03$	0	0
Pagani	153	6063	5883	4.6	0.03	/	39	$-1.89 \pm 0.07$	$0.81 \pm 0.05$	0	0

$n$ : number of data points in data set.

$\chi^2$ : weighted sum of squares following either a linear fit (1st order) or a non-linear fit (2nd order polynomial), for some data sets (labelled: <sup>a</sup>) also of 2nd or 3rd order polynomials.

$F$ :  $F$  ratio for  $F$  test to determine, if the higher order fit describes the data better than the lower order fit (1st vs. 2nd order polynomial or 2nd vs. 3rd order polynomial).

$p$ :  $p$  value of the  $F$  test.

$L$ : significance level of  $F$  test (/: not significant ( $p > 0.01$ ), \*: significant at 1% level ( $0.001 < p \leq 0.01$ ), \*\*: significant at 0.1% level ( $p \leq 0.001$ )).

$r^2$ : correlation coefficient of the fit.

$a, b, c, d$ : derived coefficients of fitted polynomial  $y(x) = a + bx + cx^2 + dx^3$ .

**Table 2.** Sensitivity analyses: (1): Investigating the importance of the uncertainties on the regression results by artificially reducing both  $\sigma_{\Delta T_g}$  and  $\sigma_{\Delta R}$  by a factor of 2 or 10. (2): Investigating the importance of the three variables  $\Delta T_g$ ,  $\text{CO}_2$ ,  $\Delta R_{[\text{L}]}]$  with respect to the previous analysis of the ice-core based  $\text{CO}_2$  data of von der Heydt et al. (2014) (cited here as vdH2014). Here, all data are resampled to 2kyr while in vdH2014 data are resampled to 100 yrs and binned  $\Delta T_g$  before any regression analysis. Fitting a linear or a non-linear function to the data. 5000 Monte-Carlo-generated realisations of the scattered  $\Delta T_g - \Delta R_{[\text{CO}_2, \text{L}]}]$  were analysed. The data are randomly picked from the entire Gaussian distribution described by the  $1\sigma$  of the given uncertainties in both  $\Delta T_g$  and  $\Delta R_{[\text{CO}_2, \text{L}]}]$ . The parameter values of fitted polynomials are given as mean  $\pm 1\sigma$  uncertainty from the different Monte-Carlo realisations. In all scenarios summarised here  $\Delta T_g$  vs.  $\Delta R_{[\text{CO}_2, \text{L}]}]$  with  $\Delta T_g = \Delta T_{g1}$  was investigated.

Data set	$n$	$\chi^2$		$F$	$p$	$L$	$r^2$	$a$	$b$	$c$	$d$
		1st	2nd								
Sensitivity analysis 1: Investigating the importance of the uncertainties											
ice cores <sup>a</sup> , original uncertainties	394	1219	1176	14.3	< 0.001	**	72	$-0.43 \pm 0.07$	$2.16 \pm 0.10$	$0.36 \pm 0.04$	$0.02 \pm 0.00$
ice cores <sup>a</sup> , uncertainties $\times 1/2$	394	3268	3105	210.6	< 0.001	**	80	$-0.36 \pm 0.04$	$2.23 \pm 0.06$	$0.41 \pm 0.03$	$0.03 \pm 0.00$
ice cores <sup>a</sup> , uncertainties $\times 1/10$	394	83489	77553	30.0	< 0.001	**	83	$-0.31 \pm 0.01$	$2.34 \pm 0.01$	$0.47 \pm 0.01$	$0.04 \pm 0.00$
Hönisch, original uncertainties	52	327	256	13.6	< 0.001	**	79	$-1.15 \pm 0.14$	$1.27 \pm 0.12$	$0.10 \pm 0.02$	0
Hönisch, uncertainties $\times 1/2$	52	850	598	20.7	< 0.001	**	87	$-1.01 \pm 0.08$	$1.37 \pm 0.07$	$0.10 \pm 0.01$	0
Hönisch, uncertainties $\times 1/10$	52	16235	10712	25.3	< 0.001	**	89	$-0.97 \pm 0.02$	$1.40 \pm 0.01$	$0.11 \pm 0.00$	0
Foster, original uncertainties	105	2589	2569	0.8	0.38	/	61	$-1.53 \pm 0.05$	$0.63 \pm 0.03$	0	0
Foster, uncertainties $\times 1/2$	105	8972	8954	0.2	0.65	/	61	$-1.53 \pm 0.03$	$0.67 \pm 0.02$	0	0
Foster, uncertainties $\times 1/10$	105	306105	306079	0.1	0.93	/	61	$-1.53 \pm 0.00$	$0.69 \pm 0.00$	0	0
Pagani, original uncertainties	153	5125	5040	2.5	0.11	/	45	$-2.19 \pm 0.07$	$0.82 \pm 0.04$	0	0
Pagani, uncertainties $\times 1/2$	153	15283	14795	5.0	0.03	/	56	$-2.23 \pm 0.04$	$1.00 \pm 0.03$	0	0
Pagani, uncertainties $\times 1/10$	153	343134	329292	6.3	0.01	/	60	$-2.24 \pm 0.01$	$1.07 \pm 0.01$	0	0
Sensitivity analysis 2: Investigating the importance of $\Delta T_g$ , $\text{CO}_2$ , $\Delta R_{[\text{L}]}]$ in the data set from ice cores with respect to the vdH2014 data at 2 kyr intervals (if available)											
this study <sup>a</sup>	394	1219	1176	14.3	< 0.001	**	72	$-0.43 \pm 0.07$	$2.16 \pm 0.10$	$0.36 \pm 0.04$	$0.02 \pm 0.00$
$\text{CO}_2$ as in vdH2014 <sup>a</sup>	390	1283	1235	15.0	< 0.001	**	70	$-0.42 \pm 0.06$	$2.17 \pm 0.10$	$0.37 \pm 0.04$	$0.02 \pm 0.00$
$\Delta R_{[\text{L}]}]$ as in vdH2014	390	1684	1373	87.7	< 0.001	**	67	$-0.49 \pm 0.08$	$1.70 \pm 0.06$	$0.16 \pm 0.01$	0
$\Delta T_g$ as in vdH2014	390	742	658	49.4	< 0.001	**	66	$0.13 \pm 0.12$	$1.13 \pm 0.08$	$0.08 \pm 0.01$	0
$\Delta T_g$ , $\text{CO}_2$ , $\Delta R_{[\text{L}]}]$ as in vdH2014	390	788	744	22.9	< 0.001	**	62	$0.25 \pm 0.14$	$1.12 \pm 0.10$	$0.07 \pm 0.01$	0
data binned in $\Delta R_{[\text{CO}_2, \text{L}]}]$ to bins of $0.2 \text{ W m}^2$											
this study	31	56	37	14.4	< 0.001	**	81	$-0.66 \pm 0.37$	$1.61 \pm 0.26$	$0.14 \pm 0.04$	0
$\text{CO}_2$ as in vdH2014	31	60	42	12.0	0.002	*	80	$-0.68 \pm 0.36$	$1.56 \pm 0.25$	$0.14 \pm 0.04$	0
$\Delta R_{[\text{L}]}]$ as in vdH2014	27	43	32	8.3	0.008	*	79	$-0.41 \pm 0.43$	$1.75 \pm 0.34$	$0.16 \pm 0.06$	0
$\Delta T_g$ as in vdH2014	31	42	35	5.6	0.025	/	73	$-0.34 \pm 0.23$	$0.63 \pm 0.08$	0	0
$\Delta T_g$ , $\text{CO}_2$ , $\Delta R_{[\text{L}]}]$ as in vdH2014	28	35	32	2.3	0.138	/	74	$-0.07 \pm 0.26$	$0.72 \pm 0.09$	0	0
data binned in $\Delta T_g$ to bins of $0.2 \text{ K}$											
this study	32	203	148	10.8	0.003	*	87	$-0.20 \pm 0.18$	$1.70 \pm 0.20$	$0.14 \pm 0.04$	0
$\text{CO}_2$ as in vdH2014	32	213	160	9.6	0.004	*	85	$-0.20 \pm 0.19$	$1.67 \pm 0.21$	$0.13 \pm 0.04$	0
$\Delta R_{[\text{L}]}]$ as in vdH2014	32	193	164	5.1	0.031	/	82	$-0.39 \pm 0.16$	$1.08 \pm 0.08$	0	0
$\Delta T_g$ as in vdH2014	24	40	34	3.7	0.068	/	77	$-0.05 \pm 0.25$	$0.70 \pm 0.09$	0	0
$\Delta T_g$ , $\text{CO}_2$ , $\Delta R_{[\text{L}]}]$ as in vdH2014	24	42	39	1.6	0.218	/	76	$0.23 \pm 0.30$	$0.80 \pm 0.11$	0	0

$n$ : number of data points in data set.

$\chi^2$ : weighted sum of squares following either a linear fit (1st order) or a non-linear fit (2nd order polynomial), for some data sets (labelled: <sup>a</sup>) also of 2nd or 3rd order polynomials.

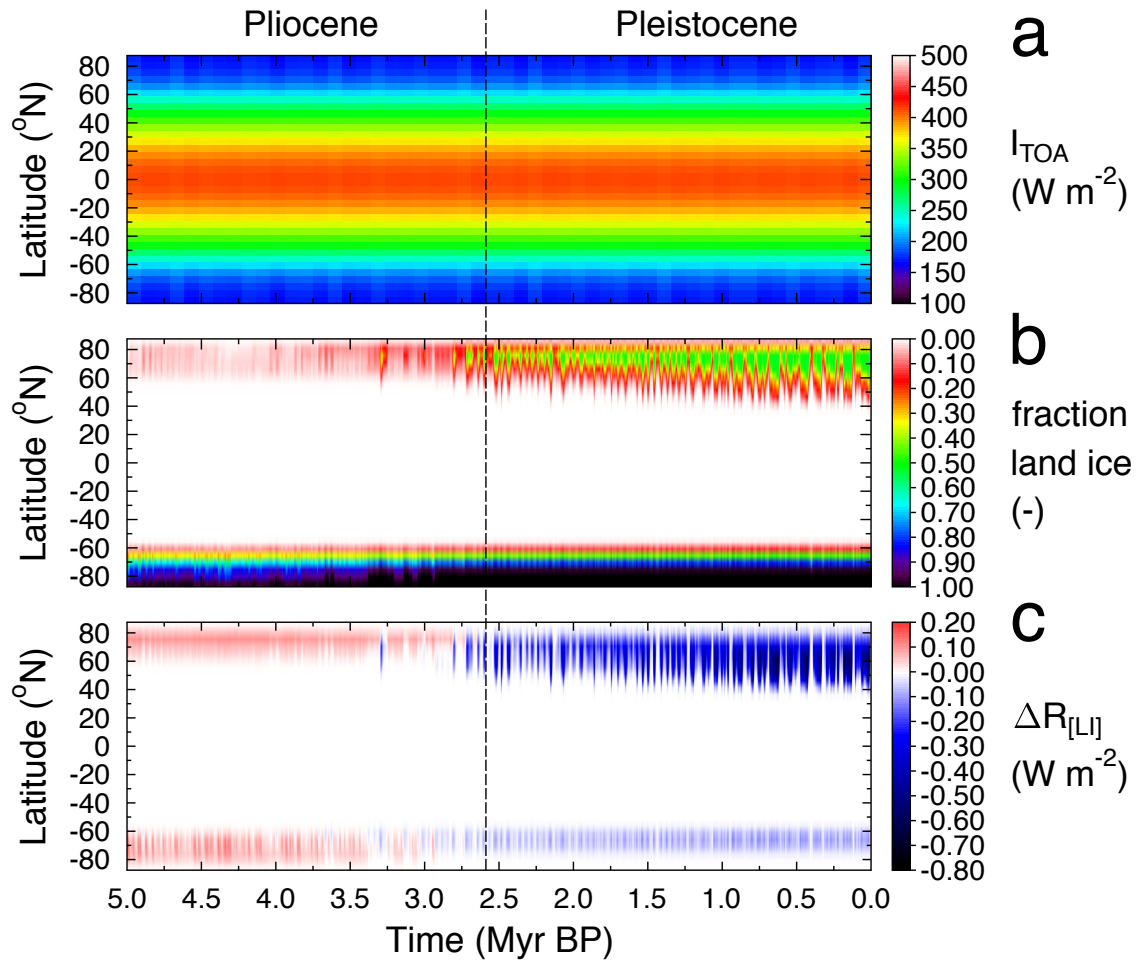
$F$ :  $F$  ratio for  $F$  test to determine, if the higher order fit describes the data better than the lower order fit (1st vs. 2nd order polynomial or 2nd vs. 3rd order polynomial).

$p$ :  $p$  value of the  $F$  test.

$L$ : significance level of  $F$  test ( $/$ : not significant ( $p > 0.01$ ); \*: significant at 1% level ( $0.001 < p \leq 0.01$ ); \*\*: significant at 0.1% level ( $p \leq 0.001$ )).

$r^2$ : correlation coefficient of the fit.

$a$ ,  $b$ ,  $c$ ,  $d$ : derived coefficients of fitted polynomial  $y(x) = a + bx + cx^2 + dx^3$ .



**Figure 1.** Radiative forcing of land ice sheets averaged for latitudinal bands of  $5^{\circ}$ . **(a)** Annual mean insolation at the top of the atmosphere  $I_{\text{TOA}}$  based on orbital variations (Laskar et al., 2004). **(b)** Fraction of each latitudinal bands of  $5^{\circ}$  covered by land ice as simulated by the 3-D ice-sheet model ANICE (de Boer et al., 2014). **(c)** Calculated radiative forcing of land ice sheets  $\Delta R_{[\text{LI}]}$  normalised to global-scale impact.

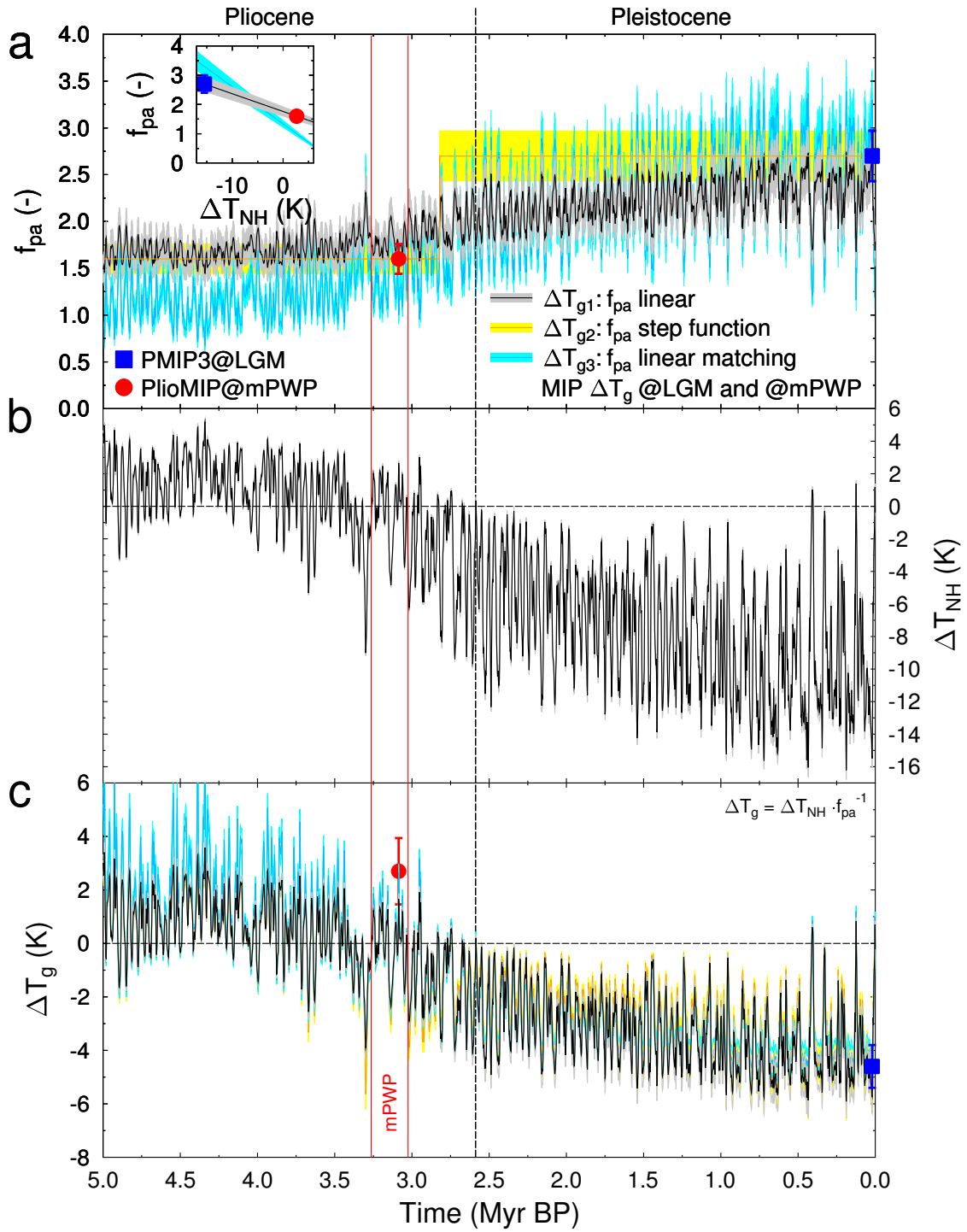


Figure 2. See next Page.

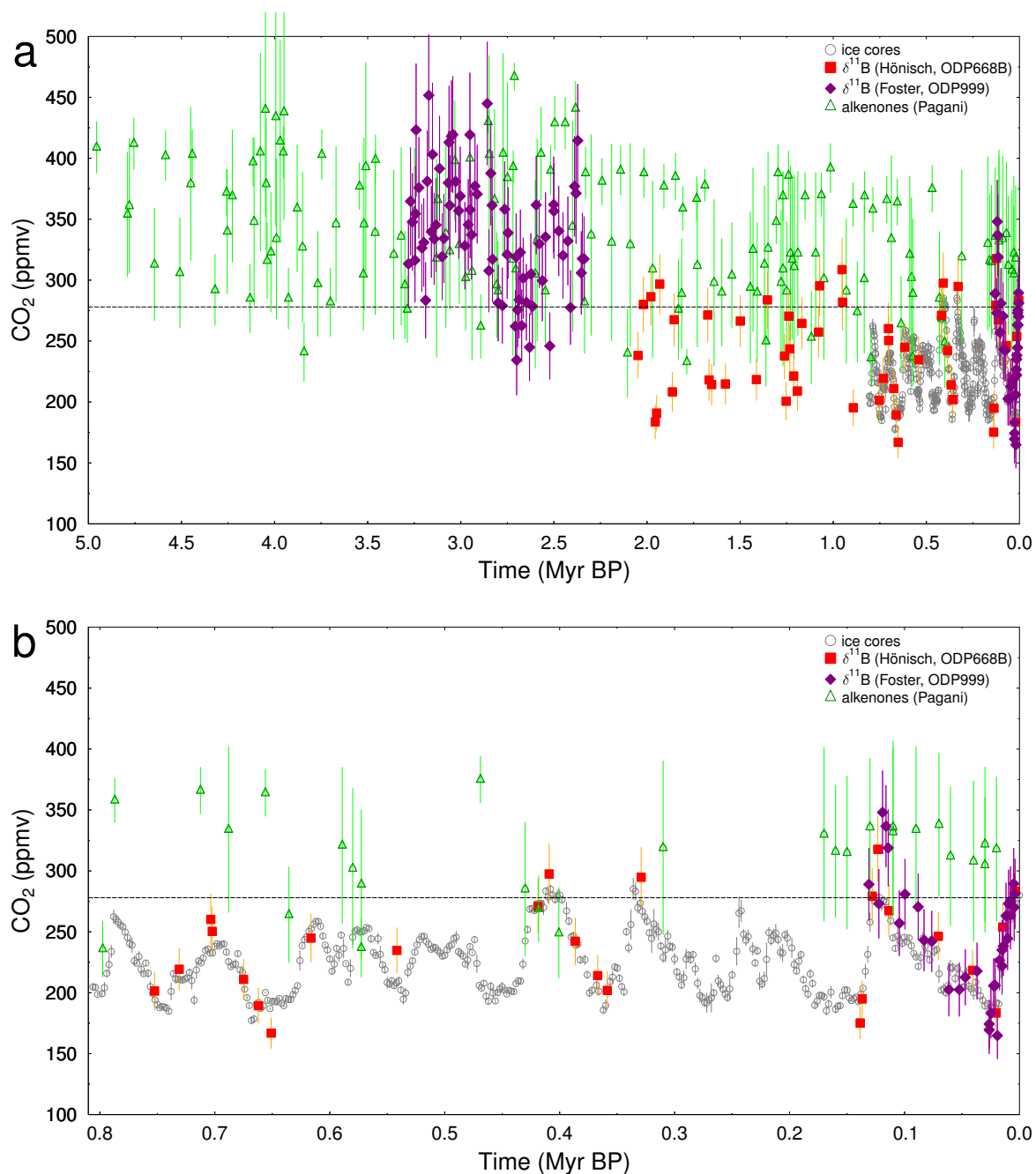


**Caption Figure 2:** Calculating global surface temperature change  $\Delta T_g$ . **(a)** Polar amplification factor  $f_{pa}$ , the ratio between Northern Hemisphere (NH) land temperature change  $\Delta T_{NH}$  and global temperature change  $\Delta T_g$ , as function of time based on values for LGM (blue square) and mid-Pliocene Warm Period (mPWP) (red circle) derived from the Model Intercomparison Projects (MIP) PMIP3/CMIP5 and PlioMIP (Haywood et al., 2013), respectively. In our standard application  $\Delta T_{g1}$  (black line)  $f_{pa}$  is calculated as a linear function depending on northern hemispheric temperature change  $\Delta T_{NH}$  (insert), inter- and extrapolated between these two PMIP3 and PlioMIP-based values. 955

Alternatively ( $\Delta T_{g2}$ , orange line),  $f_{pa}$  varies as a step function with high values for the Pleistocene (periods with Northern Hemisphere land ice sheets) and low values for the Pliocene (periods mainly without NH land ice sheets) with the step between both values occurring at 2.82 Myr BP, when our results indicate large changes in NH land ice. In  $\Delta T_{g3}$  (blue line)  $f_{pa}$  varied freely to meet  $\Delta T_g$  reconstructed for LGM by PMIP3 ( $-4.6\text{K}$ ) and for the mPWP by PlioMIP ( $+2.7\text{K}$ ). See 960

965 methods for further details. **(b)** NH temperature change  $\Delta T_{NH}$  as deconvolved from the benthic  $\delta^{18}\text{O}$  stack LR04 (Lisiecki and Raymo, 2005) by applying a 3-D ice-sheet model in an inverse mode (de Boer et al., 2014). Uncertainty in  $\Delta T_{NH}$  (grey) is the  $1\sigma$  error calculated from 8 different model realisations (de Boer et al., 2014). **(c)** Global surface temperature change  $\Delta T_g$  as used here based on  $\Delta T_g = \Delta T_{NH} \cdot f_{pa}^{-1}$ . Results for  $\Delta T_g$  based on all three approaches for  $f_{pa}$  are given (same colour 970

code as in sub-figure **(a)**). Symbols show  $\Delta T_g \pm 1\sigma$  as derived within PlioMIP (mPWP, red circle) and PMIP3/CMIP5 (LGM, blue square). Red vertical lines mark the time period of the mPWP.



**Figure 3.** CO<sub>2</sub> data. **(a)** CO<sub>2</sub> data from ice cores (Rubino et al., 2013; MacFarling-Meure et al., 2006; Monnin et al., 2001, 2004; Schneider et al., 2013; Siegenthaler et al., 2005; Bereiter et al., 2015, 2012; Marcott et al., 2014; Ahn and Brook, 2014; Petit et al., 1999) at Law Dome, EPICA Dome C, West Antarctic Ice Sheet Divide, Siple Dome, Talos Dome, EPICA Dronning Maud Land and Vostok (resampled to time steps of 2 kyr), and based on either  $\delta^{11}\text{B}$  (Hönisch et al., 2009; Foster, 2008; Martínez-Botí et al., 2015) or alkenones (Pagani et al., 2010; Zhang et al., 2013) from the three labs Hönisch, Foster and Pagani. **(b)** Zoom-in on ice core window of the last 0.8 Myr.

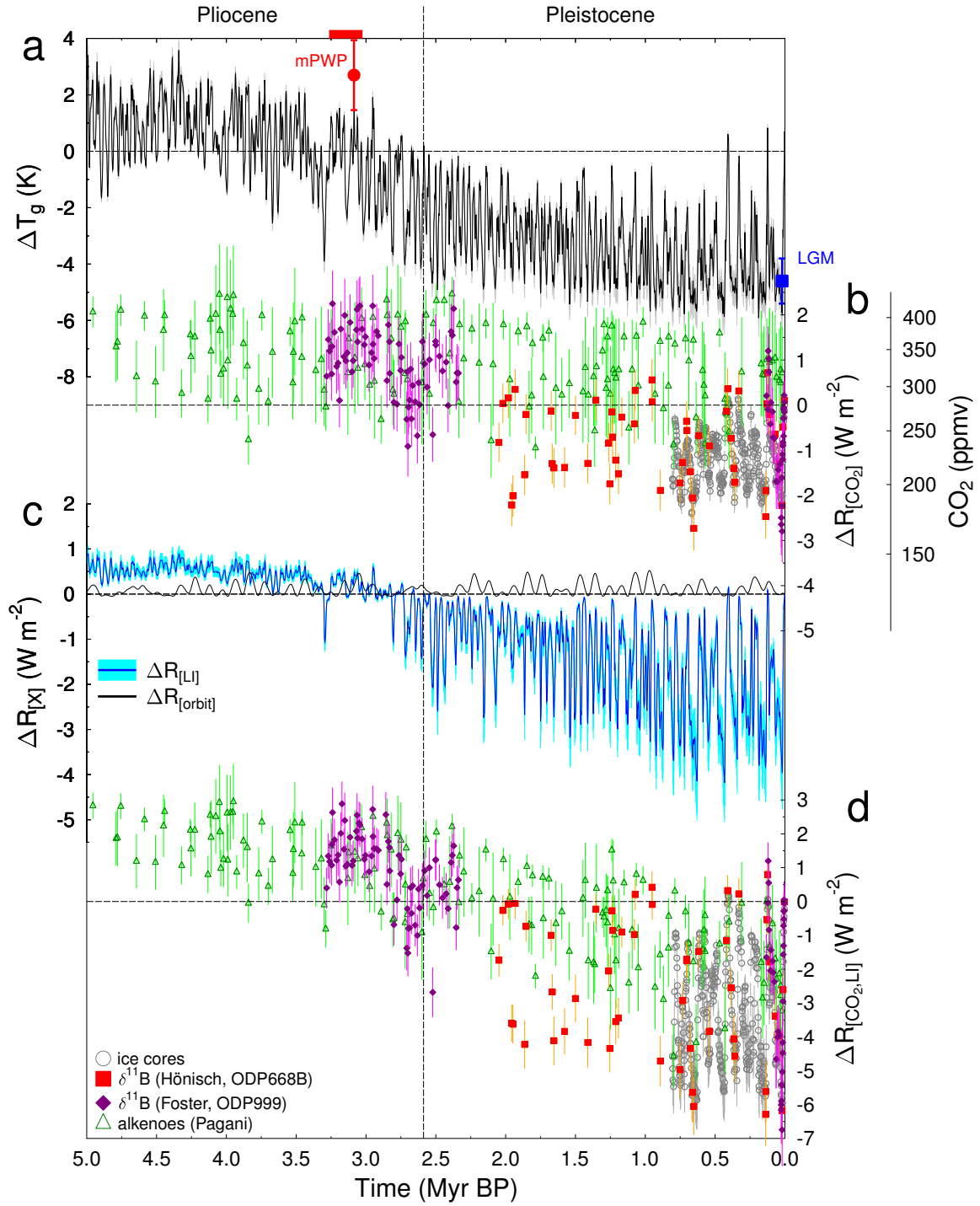
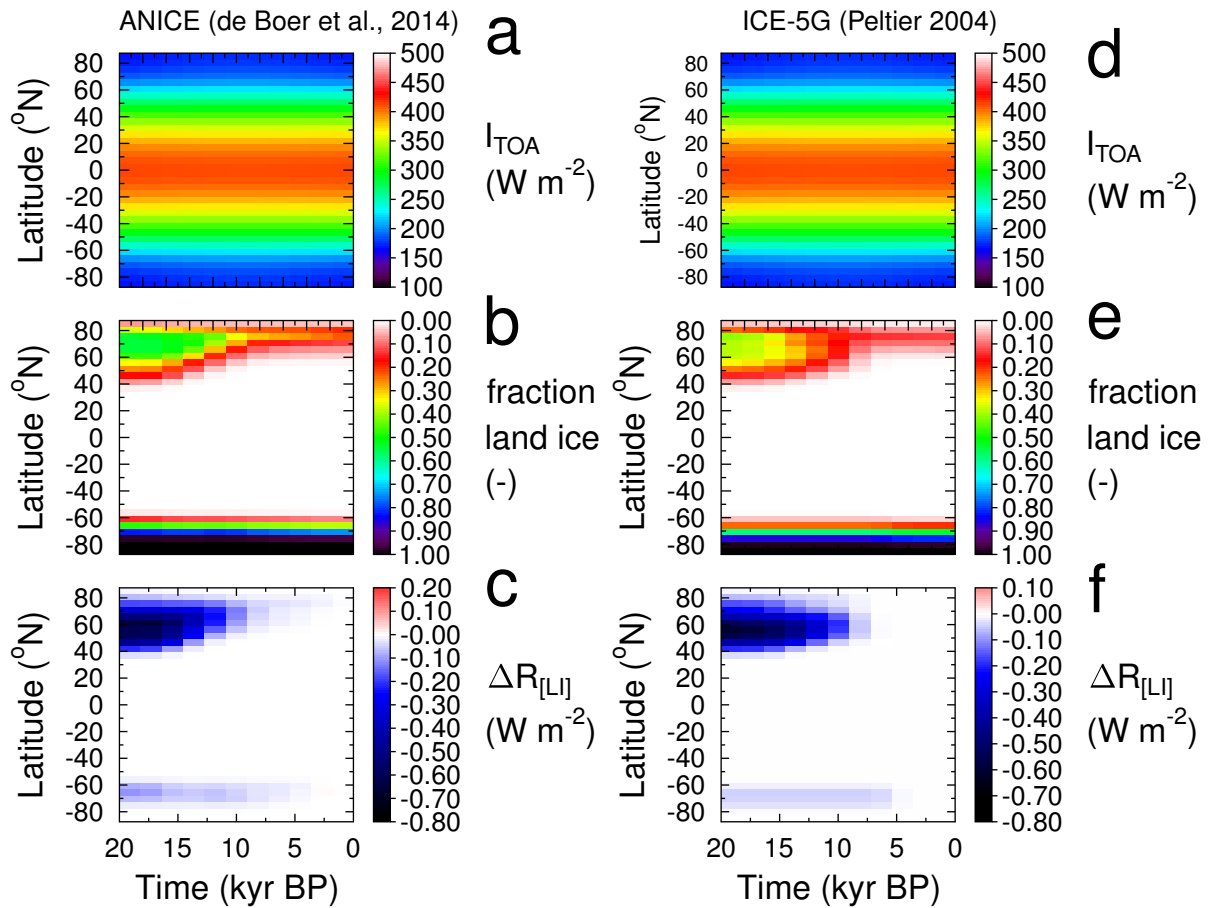
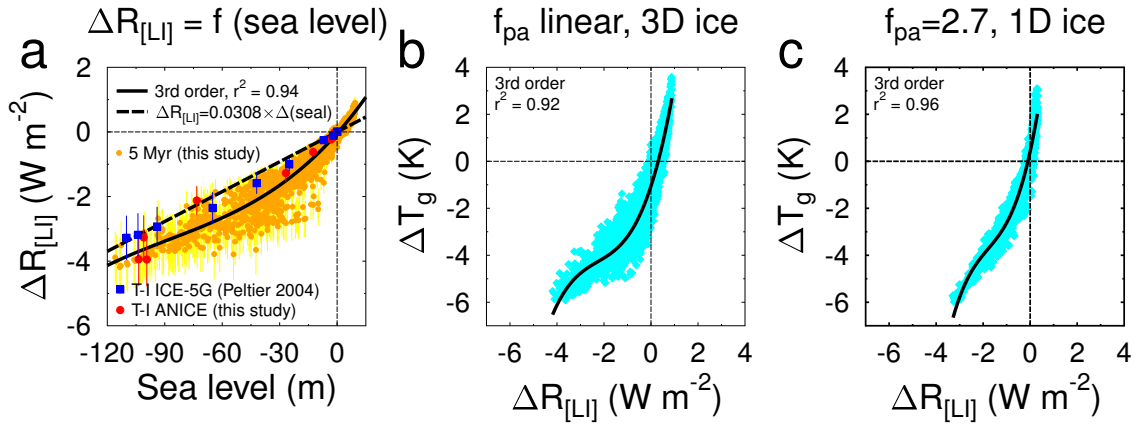


Figure 4. See next page.

**Caption Figure 4:** Changes in temperature and radiative forcing over the last 5 Myr. **(a)** Global mean surface temperature change  $\Delta T_g$  calculated with the polar amplification factor  $f_{pa}$  being a linear function of the Northern Hemisphere land temperature change  $\Delta T_{NH}$ . Marked are the mid-975 Pliocene Warm Period (mPWP) (red horizontal bar), global warming calculated within PlioMIP (red circle), and global cooling during the LGM derived from PMIP3/CMIP5 (blue square). **(b)** Changes in radiative forcing based on atmospheric  $CO_2$  ( $\Delta R_{[CO_2]}$ ).  $CO_2$  data from ice cores (Bereiter et al., 2015) and based on  $\delta^{11}B$  (Hönisch-lab (Hönisch et al., 2009), Foster-lab (Foster, 2008; Martínez-Botí et al., 2015)) and on alkenones (Pagani-lab (Pagani et al., 2010; Zhang et al., 2013)), **(c)** radiative 980 forcing of land ice  $\Delta R_{[LI]}$  and for comparison global annual mean insolation changes due to orbital variation  $\Delta R_{[orbit]}$ . **(d)** The sum of the radiative forcing changes due to  $CO_2$  and land ice sheets ( $\Delta R_{[CO_2,LI]}$ ) whenever  $CO_2$  data allow its calculation. Uncertainties show  $1\sigma$ .



**Figure 5.** Comparing the calculation of radiative forcing of land ice sheets for the last 20 kyr for two different ice sheet setups. Left: the 3-D ice sheet model ANICE used in this study (de Boer et al., 2014); right: based on  $1^\circ \times 1^\circ$  model output from ICE-5G (Peltier, 2004), results for radiative forcing of land ice sheets  $\Delta R_{[LI]}$  is then based on similar aggregation to latitudinal bands of  $5^\circ$  as for ANICE. **(a, d)** Annual mean insolation at the top of the atmosphere  $I_{TOA}$  based on orbital variations (Laskar et al., 2004). **(b, e)** Fraction of each latitudinal bands of  $5^\circ$  covered by land ice as simulated by the 3-D ice-sheet models. **(c, f)** Calculated radiative forcing of land ice sheets  $\Delta R_{[LI]}$  normalised to global-scale impact.



**Figure 6.** Details on land ice-albedo forcing ( $\Delta R_{[LI]}$ ). **(a)** Scatter plot of sea level change (de Boer et al., 2014) against land ice albedo forcing  $\Delta R_{[LI]}$  (this study) based on the 3-D ice-sheet model ANICE. Data are approximated with a third order non-linear fit. For comparison a fit based on sea level change as applied in other applications (Hansen et al., 2008; Martínez-Botí et al., 2015) is shown as dashed line. Furthermore, for Termination I (T-I) results based on ANICE and on ICE-5G (Peltier, 2004) are compared. **(b, c)** Relationship between global surface temperature change  $\Delta T_g$  and land ice-albedo forcing  $\Delta R_{[LI]}$  for different setups. Results plotted over the whole last 5 Myr (one data points every 2 kyr). **(b)** Standard setup with  $\Delta T_g = \Delta T_{g1} = \Delta T_{NH} \cdot f_{pa}^{-1}$  using a polar amplification  $f_{pa}$  that varies linearly as a function of  $\Delta T_{NH}$ .  $\Delta R_{[LI]}$  as based on 3-D ice-sheet models as calculated in this study (see Fig. 1c). **(c)** Setup with a constant  $f_{pa} = 2.7$  as applied previously in van de Wal et al. (2011).  $\Delta R_{[LI]}$  is based on 1-D ice-sheet model results and is calculated from sea level change with  $0.0308 \text{ W m}^{-2}$  per m sea level change. Underlying 1-D ice-sheet model results of  $\Delta T_{NH}$  and sea level were published before in de Boer et al. (2010) and used elsewhere (Martínez-Botí et al., 2015).

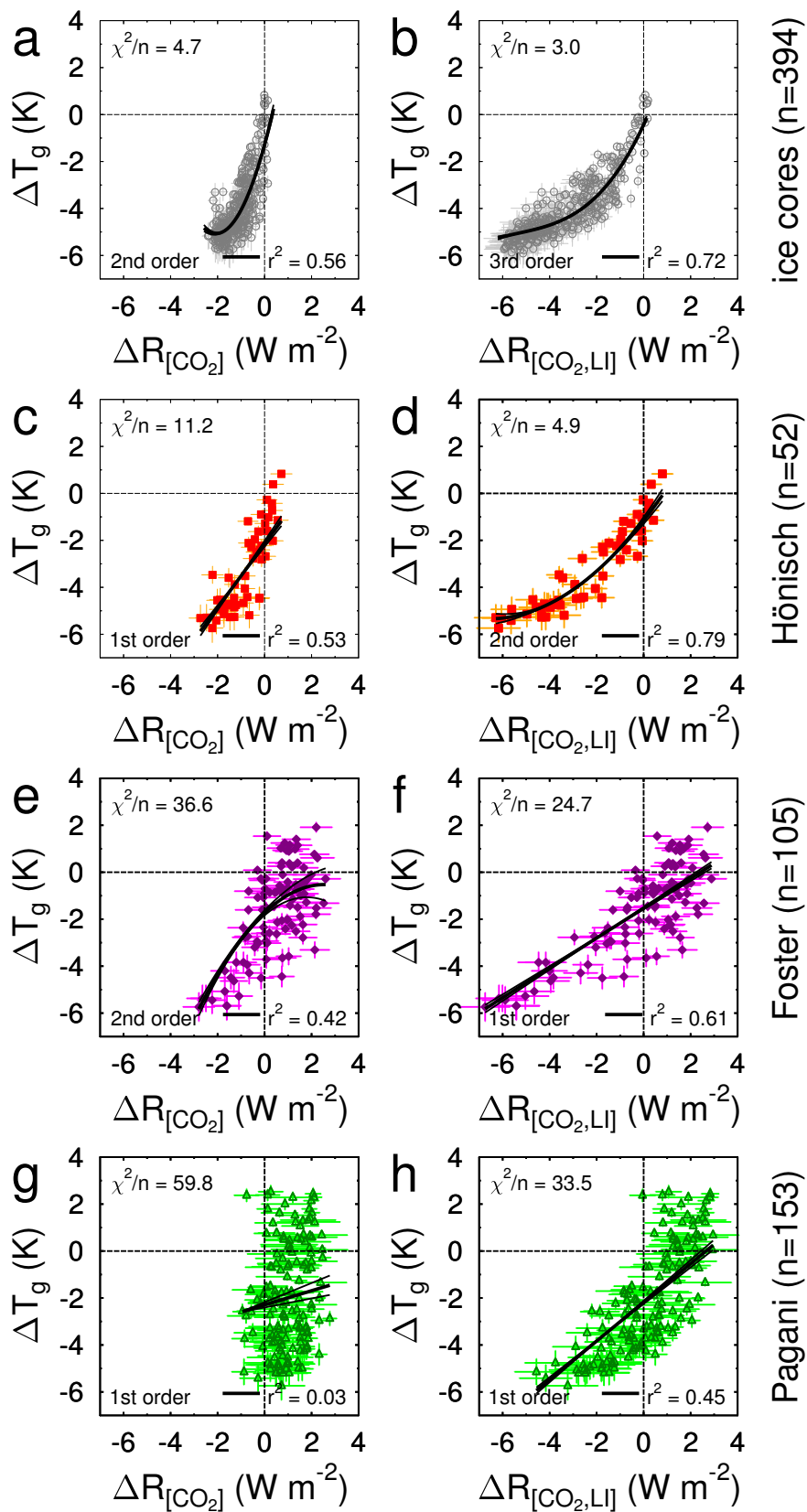


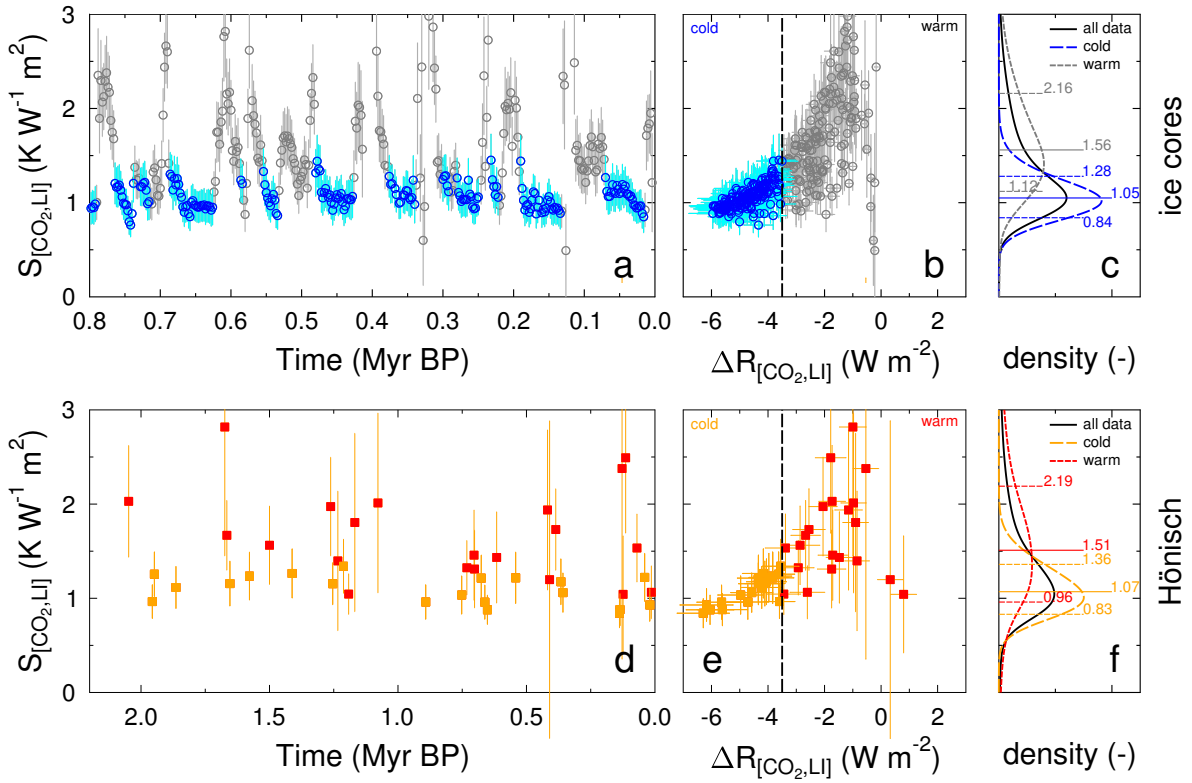
Figure 7. See next page.

**Caption Figure 7:** Scatter-plots of data of global temperature change  $\Delta T_g$  against radiative forcing  $\Delta R_{[X]}$ .  $\Delta T_g$  is calculated with the polar amplification factor  $f_{pa}$  being a linear function of  $\Delta T_{NH}$ .

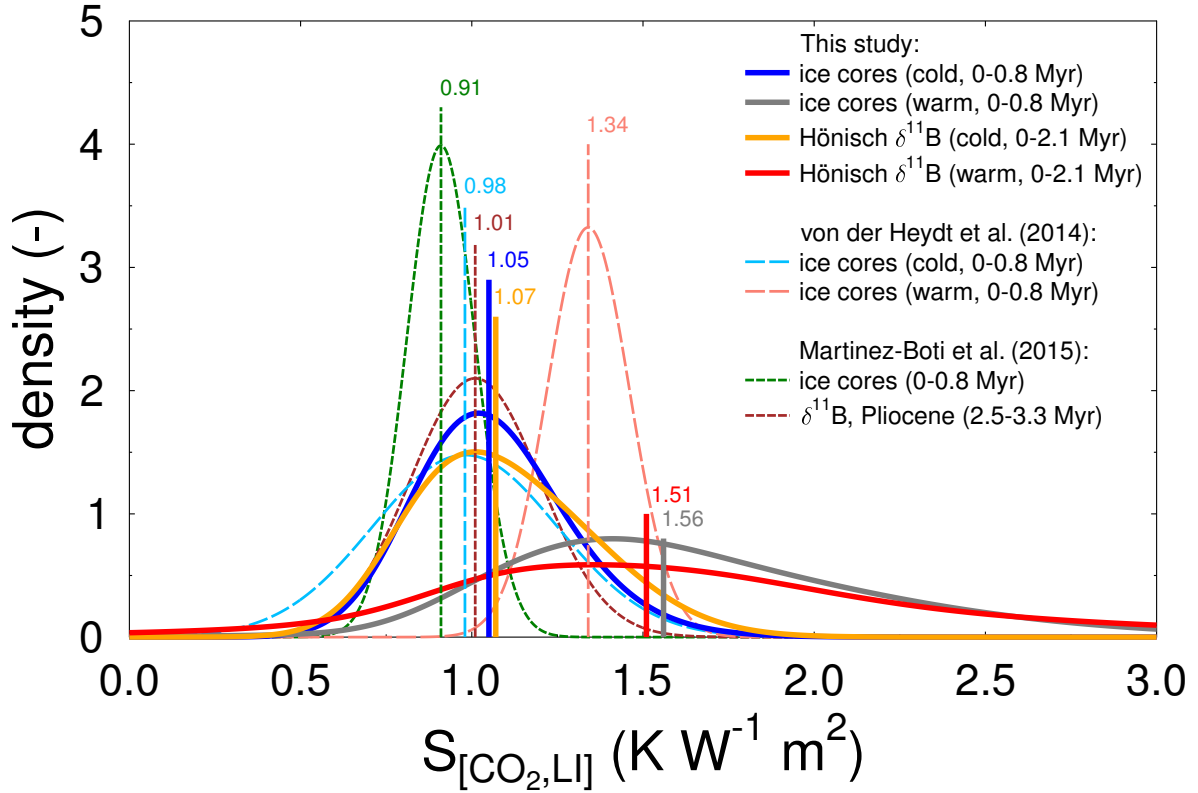
985 Left column (**a, c, e, g**): radiative forcing of  $\text{CO}_2$  ( $\Delta R_{[\text{CO}_2]}$ ). Right column (**b, d, f, h**): radiative forcing of  $\text{CO}_2$  and land-ice albedo ( $\Delta R_{[\text{CO}_2, \text{LI}]}$ ). Lines show average best fits (1st, 2nd, or 3rd order polynomials) to 5000 Monte-Carlo realisations of the data (details in Table 1). Sub-figures differ by the  $\text{CO}_2$  data they are based on: (**a, b**) ice cores (Bereiter et al., 2015); (**c, d**)  $\delta^{11}\text{B}$  from Hönisch-lab (Hönisch et al., 2009); (**e, f**)  $\delta^{11}\text{B}$  from Foster-lab (Foster, 2008; Martínez-Botí et al., 2015);

990 (**g, h**) alkenones from Pagani-lab (Pagani et al., 2010; Zhang et al., 2013); each row contains information on the number of data points  $n$ , each sub-figure the mean uncertainty of the fit by dividing  $\chi^2$  (the weighted sum of squares from the regression analysis) by  $n$  and the correlation coefficient  $r^2$ . Uncertainties show  $1\sigma$ .

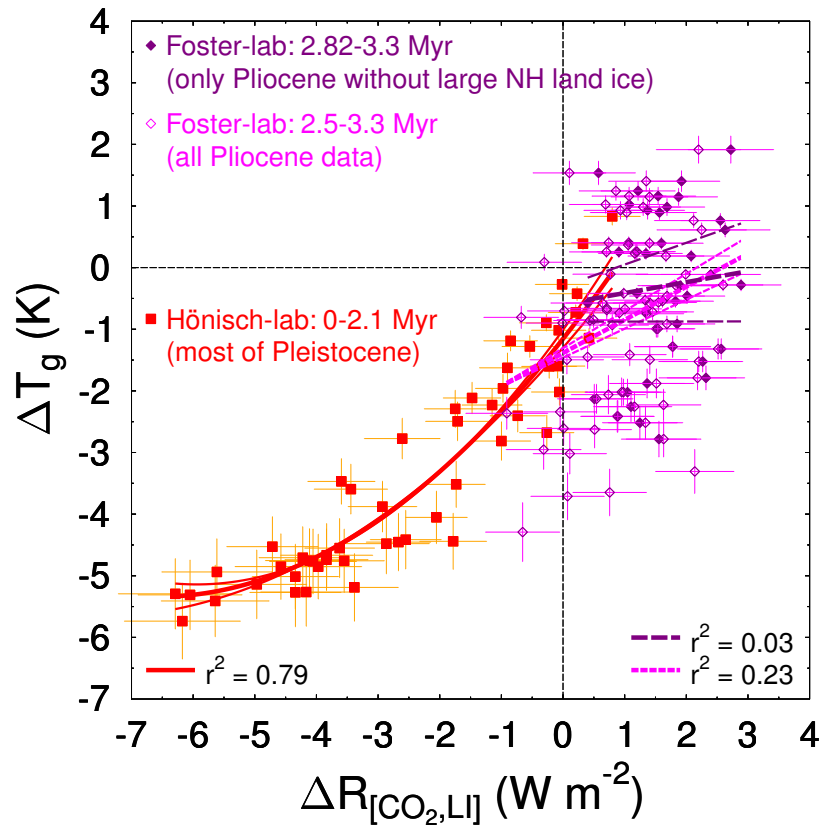




**Figure 8.** Calculating specific equilibrium climate sensitivity  $S_{[\text{CO}_2, \text{LI}]}$ . Only data with their mean in  $S_{[\text{CO}_2, \text{LI}]}$  in the range  $[0, 3] \text{ K W}^{-1} \text{ m}^2$  are analysed and plotted. **(a)** Ice core-based time series of point-wise calculations of  $S_{[\text{CO}_2, \text{LI}]}$  for the last 0.8 Myr. **(b)** Same data as in **(a)** in a scatter plot of  $S_{[\text{CO}_2, \text{LI}]}$  against radiative forcing  $\Delta R_{[\text{CO}_2, \text{LI}]}$ . **(c)** Probability density distribution of ice core-based  $S_{[\text{CO}_2, \text{LI}]}$ . Data from “cold” periods ( $\Delta R_{[\text{CO}_2, \text{LI}]} < -3.5 \text{ W m}^{-2}$ ) and “warm” periods ( $\Delta R_{[\text{CO}_2, \text{LI}]} > -3.5 \text{ W m}^{-2}$ ) are analysed separately. Labels in **(c)** denote 16th, 50th and 84th percentile. **(d, e, f)** Same as **(a, b, c)**, but for Hönisch data over the last 2.1 Myr.



**Figure 9.** Probability density function of different approaches to calculate specific equilibrium climate sensitivity  $S_{[CO_2,LI]}$ . Results of this study are based on point-wise analysis of the ice core (last 0.8 Myr) and Hönisch (last 2.1 Myr) data for “cold” periods ( $\Delta R_{[CO_2,LI]} < -3.5 \text{ W m}^{-2}$ ) and “warm” periods ( $\Delta R_{[CO_2,LI]} > -3.5 \text{ W m}^{-2}$ ). von der Heydt et al. (2014) calculated  $S_{[CO_2,LI]}$  based on ice core data for similar split of the data. We show their results based on similar  $\Delta T_g$  than obtained here published in the SI in von der Heydt et al. (2014). Martínez-Botí et al. (2015) calculated  $S_{[CO_2,LI]}$  for either ice core data of the whole last 0.8 Myr or based on  $\delta^{11}\text{B}$  for 0.8 Myr of the Pliocene between 2.5–3.3 Myr BP. Vertical lines and labels give the mean of the different results.



**Figure 10.** Best-guess 3.3Myr scatter-plot of global temperature change  $\Delta T_g$  against the radiative forcing of  $\text{CO}_2$  and land-ice albedo ( $\Delta R_{[\text{CO}_2, \text{LI}]}$ ). The Höfnisch-lab (Höfnisch et al., 2009) data for the last 2.1Myr (most of the Pleistocene) and the Pliocene part of the Foster-lab data (Martínez-Botí et al., 2015), complete (2.5–3.3 Myr BP) and only for the almost land-ice free Northern Hemisphere times (2.82–3.3 Myr BP) are compiled to illustrate how the functional dependency between  $\Delta T_g$  and  $\Delta R_{[\text{CO}_2, \text{LI}]}$  changed as function of background climate state.