

1. Need approximate age

Changed as suggested.

2. Last part of sentence not clear.

Changed as suggested.

3. Last sentence needs rewriting.

Rewritten as suggested.

4. Do not see the point of the original opening sentence and references for paragraph 2.

Changed as suggested.

5. This paragraph needs rewriting to place into proper context. Right now, it does not convey a good understanding of the debate and problems.

We added a context. Hopefully, it is proper.

6. Seems awkward

Changed as suggested.

7. Why not include these in the above list??

Because these two papers reported the positive correlation between stomatal index or stomatal frequency and  $p\text{CO}_2$ .

8. Probably two good summary papers would suffice here.

Changed as suggested.

9. Not sure what this last sentence means.

We deleted some words of this sentence.

10. The last few bits can be condensed as sort of a repeat from a above.

The repeated sentence was removed.

11. Correct?

Yes, it is cited from Kouwenberg et al. (2003).

12. Things get problematic here as some of this seems to be methods. The parts on *Nageia* seemingly belong later.

The sentences should belong to the later part were deleted.

13. Split this convoluted sentence and rewrite the second bit as difficult to follow. Does this apply to *Podacarpus* or *Nageia*?

Changed as suggested.

14. Both sections? Not clear.

Yes, we are mean to “both sections”.

15. Avoid Latin abbreviations in the middle of sentences.

Changed as suggested.

16. This needs slight expansion and conformity. I would list specific locations, types of material and approximate ages.

Changed as suggested.

17. As above, not quite clear what is being suggested.

We changed the whole sentence making it easily understandable.

18. Between \*\* This important point – species specific relationships -- needs to come earlier.

Changed as suggested.

19. Between \*\*\* I think can removed as long as clearly documneted in Tabel 1

Changed as suggested.

\*\* Needs a brief paragraph here noting the Maoming Basin, rock units, depositional environment, and critically, the age.\*\*

Changed as suggested.

20. This is not crystal clear to me.

We fixed this sentence and corresponding figure 2b to make it clearly to you are readers.

21. See above. The specifics to *Nageia* should be here, but the more general procedure should be presented above.

We think it's more proper to put the specifics to *Nageia* in front of the sentence of "Both *N. maomingensis* and *N. motleyi* are amphistomatic".

Hello Xiaoyan:

In fixing the "Discussion", I think important and interesting to fully compare various stomatal indices in the modern analog to the known pCO<sub>2</sub>.

For example, is use of one leaf side better than the other, or is the combination of both sides more accurate?

Discussed as suggested.

Basically, which of multiple possible methods gives the best correlation to pCO<sub>2</sub>?

SD of the adaxial side gives the best correlation to pCO<sub>2</sub>.

You also want to briefly discuss why some of the leaves give values offset from others.

Mentioned in the discussion part.

1           **The pCO<sub>2</sub> estimates of the late Eocene in South China based on**  
2                                   **stomatal density of *Nageia* Gaertner leaves**

3  
4                   XIAO-YAN LIU<sup>1,2</sup>, QI GAO<sup>1</sup>, MENG HAN<sup>1</sup> and JIAN-HUA JIN<sup>1,2\*</sup>

5  
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7           Resources, School of Life Sciences, Sun Yat-sen University, Guangzhou 510275, China

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10  
11   **Abstract:**

12   ~~Late Eocene Atmospheric~~ pCO<sub>2</sub> concentrations ~~is~~ has been estimated for intervals of  
13   the Eocene based on using various models and proxy informationies. Here we  
14   ~~reconstructed the late Eocene (~ 40.3 XX Ma)~~ pCO<sub>2</sub> based on the fossil species leaves  
15   of *Nageia maomingensis* Jin et Liu ~~from~~ collected from the ~~late Eocene of~~ Maoming  
16   Basin, Guangdong Province, China. ~~This is the first paleoatmospheric estimates for~~  
17   ~~the late Eocene of South China using stomatal data.~~ Studies of We first determine  
18   relationships between atmospheric pCO<sub>2</sub> concentrations, stomatal density (SD) and  
19   stomatal index (SI) ~~with~~ using “modern” leaves of *N. motleyi* (Parl.) De Laub, the  
20   nearest living ~~equivalent~~ species ~~of to~~ the Eocene fossils~~-. This work indicates~~ that  
21   the SD inversely responds to pCO<sub>2</sub>atmospheric CO<sub>2</sub> concentration, while SI has

批注 [GD1]: Need approximate age

\*  
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22 almost no relationships with  $p\text{CO}_2$  atmospheric  $\text{CO}_2$  concentration. Therefore, the  
23 Eocene  $p\text{CO}_2$  concentrations can be reconstructed based on both the regression  
24 approach and the stomatal ratio method by using the SD. ~~is reconstructed based on~~  
25 ~~the SD of the fossil leaves in comparison with *N. motleyi*. The  $p\text{CO}_2$  result based on~~  
26 ~~the regression~~ The first approach gives a  $p\text{CO}_2$  of  $(351.9 \pm 6.6 \text{ ppmv})$ , whereas is more  
27 reasonable and much lower than the one based on stomatal ratio method gives a  $p\text{CO}_2$   
28 of  $537.5 \pm 56.5 \text{ (ppmv)}$ . Here, we explored the potential of *N. maomingensis* in  $p\text{CO}_2$   
29 reconstruction and obtained different results according to different methods, providing  
30 a new insight for the reconstruction of paleoclimate and paleoenvironment in conifers.  
31 Results suggest that the mean  $\text{CO}_2$  concentration was  $391.0 \pm 41.1 \text{ ppmv}$  or  $386.5 \pm$   
32  $27.8 \text{ ppmv}$  during the late Eocene, which is significantly higher than the  $\text{CO}_2$ -  
33 concentrations documented from 1968 to 1955 but similar to the values for current  
34 atmosphere indicating that the Carbon Dioxide levels during that the late Eocene at  
35 that time may have been similar to today.

批注 [GD2]: Last part of sentence not clear.

批注 [GD3]: Last sentence needs rewriting.

36  
37 **Keywords:**  $p\text{CO}_2$ , late Eocene, *Nageia*, Maoming Basin, South China.

## 38 39 1 Introduction

40  
41 The Eocene (55.8-33.9 Ma) generally was characterized by much warmer  
42 temperatures than present-day, although temperatures also varied significantly across  
43 this time interval (Zachos et al., 2008). Climate of the early Eocene to middle Eocene

44 was extremely warm, particularly during ~~the early Eocene with the evidence of~~ the  
45 early Eocene Climatic Optimum (EECO; ~~53.12 to 50.53 Ma; Zachos et al., 2001~~), ~~also~~  
46 ~~and known as~~ the Paleocene-Eocene Thermal Maximum (PETM ~~event~~; ~55.98 Ma). ~~;~~  
47 ~~Wing et al., 2005; Kato et al., 2011~~). A series of sudden and extreme global warming  
48 ~~events (hyperthermals; Deconto et al., 2012) have been described ~55.5 to 52 Ma;~~  
49 ~~and a mid-Eocene warming has been recognized, the middle Eocene Climate~~  
50 ~~Optimum (MECO; ~40 Ma; Bijl et al., 2010)~~. However, ~~the global climatic conditions~~  
51 ~~cooled significantly by occurred colder conditions during the early middle (50 to 48~~  
52 ~~Ma) and the late Eocene (40 to 36 Ma; Zachos et al., 2001)~~. ~~The appearance of Indeed,~~  
53 small, ephemeral ice-sheets ~~and Arctic sea ice likely existed~~ during the latest Eocene  
54 ~~suggests the coldest climate of the Eocene (Moran et al., 2004; Zachos et al., 2008)~~.  
55 ~~Atmospheric CO<sub>2</sub> concentrations have been well correlated with global surface~~  
56 ~~temperature change (Mann et al., 1998; Crowley, 2000; Barnett et al., 2001; Harries et~~  
57 ~~al., 2001; Levitus et al., 2001; Mitchell et al., 2001)~~. Most ~~any~~ authors ~~have link~~  
58 ~~suggested the that~~ changes in temperature ~~to atmospheric CO<sub>2</sub> concentration~~ during  
59 the ~~entire~~ Phanerozoic ~~were linked to atmospheric (pCO<sub>2</sub>)~~ (Petit et al., 1999; Retallack,  
60 2001; Royer, 2006). ~~However, Central to these discussions are records across~~ the  
61 Eocene, ~~as this epoch spans the last major change from a “greenhouse” world to an~~  
62 ~~“icehouse” world. The Eocene pCO<sub>2</sub> record remains incomplete and debated~~  
63 ~~\*\* (Beerling et al., 2002; Kürschner et al., 2001; Royer et al., 2001; Beerling et al.,~~  
64 ~~2002; Greenwood et al., 2003; Royer, 2003; Wing et al., 2005; Kato et al., 2011)~~.  
65 Most pCO<sub>2</sub> ~~reconstruction estimates works~~ have focused on the ~~Cretaceous-Tertiary~~

批注 [GD4]: Do not see the point of the original opening sentence and references for paragraph 2.

66 ~~and~~ Paleocene-Eocene ~~boundary-boundaries~~ (65 to 50 Ma; Koch et al., 1992; Stott,  
67 1992; Sinha and Stott, 1994; Royer et al., 2001; Beerling and Royer, 2002; Nordt et  
68 al., 2002; Royer, 2003; Fletcher et al., 2008; Roth-Nebelsick et al., 2012; 2014; Grein  
69 et al., 2013; Huang et al., 2013; Maxbauer et al., 2014; Beerling et al., 2002; Wing et al.,  
70 2005; Kato et al., 2011) and the middle Eocene (Maxbauer et al., 2012, 2014),  
71 while few reconstructions were conducted at the late Eocene. In addition, the pCO<sub>2</sub>  
72 reconstruction results have varied based on different proxies. Various methods having  
73 been used in pCO<sub>2</sub> reconstruction mainly include the computer modeling methods:  
74 GEOCARB-I, GEOCARB-II, GEOCARB-III, GEOCARB-SULF and the proxies: ice  
75 cores, paleosol carbonate, phytoplankton, nahcolite, Boron, and stomata  
76 parameters.\*\*

77 ~~The abundance of~~ Generally, stomatal data (stomatal density and index) cells can  
78 be easily and accurately obtained from modern leaves and well-preserved  
79 fossil ~~land leaves~~ modern leaves. Various plants showing the a negative correlation  
80 between atmospheric CO<sub>2</sub> concentration and stomatal density (SD), ~~or~~ stomatal index  
81 (SI), ~~or both~~. As such, these parameters and atmospheric CO<sub>2</sub> concentration have been  
82 determined in fossil leaves used to reconstruct past pCO<sub>2</sub>; ~~for examples include~~,  
83 including *Ginkgo* (Retallack, 2001, 2009a; Beerling et al., 2002; Royer, 2003;  
84 Retallack, 2001, 2009a; Kürschner et al., 2008; Smith et al., 2010), *Metasequoia*  
85 (Royer, 2003; Doria et al., 2011), *Taxodium* (Stults et al., 2011), *Betula* (Kürschner et  
86 al., 2001; Sun et al., 2012), *Neolitsea* (Greenwood et al., 2003), ~~and~~ *Quercus*  
87 (Kürschner et al., 1996, 2001), *Laurus* and *Ocotea* (Kürschner et al., 2008) ~~and~~

批注 [GD5]: This paragraph needs rewriting to place into proper context. Right now, it does not convey a good understanding of the debate and problems.

带格式的: 字体颜色: 绿色, 字距调整小四

88 ~~multiple trees (Kürschner et al., 2008)~~. Recently, positive correlations between  
89 stomatal index or stomatal frequency and pCO<sub>2</sub> have been reported based on fossil  
90 *Typha* and *Quercus* (Bai et al., 2015; Hu et al., 2015). However, the tropical and  
91 subtropical moist broadleaf forest conifer tree *Nageia* has ~~not~~ been ~~overlooked-used~~  
92 previously in paleobotanical estimates of pCO<sub>2</sub> concentration.

批注 [GD6]: Seems awkward

带格式的: 字体颜色: 绿色, 字距调整小四

批注 [GD7]: Why not include these in the above list??

93 Herein, we firstly document ~~the correlations~~ between ~~the SD and SI~~ stomatal  
94 properties and atmospheric CO<sub>2</sub> concentrations ~~for of using~~ ~~*Nageia* using~~ leaves of  
95 ~~the~~ extant species *Nageia motleyi* (Parl.) De Laub. ~~that were leaves and collected~~  
96 atmospheric CO<sub>2</sub> concentration over the last two centuries. This ~~to~~ provides a training  
97 dataset for application to fossil representatives of *Nageia*. ~~Furthermore, w~~ We  
98 secondly estimate a new pCO<sub>2</sub> level for the late Eocene using the stomatal density  
99 method-based measure stomatal parameters on fossil *Nageia* leaves from the late  
100 Eocene of South China to estimate past CO<sub>2</sub> levels. ~~The work,~~ provides furthering  
101 significant implications on insights for discussing Eocene ~~the~~ climate change.  
102 rhythm throughout the Eocene.

103

## 104 2 Background

105

### 106 2.1 Stomatal proxy in pCO<sub>2</sub> research

107

108 Stomatal proxy information gathered from careful examination of leaves is has  
109 been widely used in for reconstructions of past pCO<sub>2</sub> concentrations (Beerling and



批注 [GD8]: Probably two good summary papers would suffice here.

110 [Kelly, 1997; Doria et al., 2011](#) REF). The [three](#) main parameters are stomatal density  
111 (SD), which is expressed as the total number of stomata divided by area, epidermal  
112 density (ED), [which is](#) expressed as the total number of epidermal cells per area, and  
113 [the](#) stomatal index (SI), [which is calculated by the calculating defined as](#) the  
114 percentage of stomata among the total number of cells within an area [ $SI = SD \times 100 /$   
115  $(SD + ED)$ ]. Woodward (1987) considered that both SD and SI had inverse  
116 relationships with atmospheric CO<sub>2</sub> during the development of the leaves.  
117 Subsequently, McElwain (1998) created the stomatal ratio (SR) method to reconstruct  
118 pCO<sub>2</sub>. SR is a ratio of the stomatal density or index of a fossil [ $SD_{(f)}$  or  $SI_{(f)}$ ] to that of  
119 corresponding nearest living equivalent [ $SD_{(e)}$  or  $SI_{(e)}$ ], expressed as follows:

$$120 \quad SR = SI_{(e)} / SI_{(f)} \quad (1)$$

121 The stomatal ratio method is a semi-quantitative method of reconstructing pCO<sub>2</sub>  
122 concentrations under certain standardizations. [One An example](#) is the “Carboniferous  
123 standardization” (Chaloner and McElwain, 1997), [indicating that where](#) one stomatal  
124 ratio unit ~~was equals to~~ two RCO<sub>2</sub> units ~~and~~:

$$125 \quad SR = 2 RCO_2 \quad (2)$$

126 [and Where](#) the value of RCO<sub>2</sub> is the pCO<sub>2</sub> level divided by the pre-industrial  
127 atmospheric level (PIL) of 300 ppm (McElwain, 1998) or that of the year when the  
128 [nearest living equivalent \(NLE\)](#) was collected (Berner, 1994; McElwain, 1998):

$$129 \quad RCO_2 = C_{(f)} / 300 \text{ or } RCO_2 = C_{(f)} / C_{(e)} \quad (3)$$

130 [Then +](#)The estimated pCO<sub>2</sub> level [is-can then be](#) expressed as follows:

$$131 \quad C_{(f)} = 0.5 \times C_{(e)} \times SD_{(e)} / SD_{(f)} \text{ or } C_{(f)} = 0.5 \times C_{(e)} \times SI_{(e)} / SI_{(f)} \quad (4)$$

132 | ~~w~~Where  $C_{(f)}$  is the pCO<sub>2</sub> represented by the fossil leaf, and  $C_{(e)}$  is the atmospheric  
133 | CO<sub>2</sub> of the year when the leaf of the NLE species was collected (McElwain and  
134 | Chaloner, 1995, 1996; McElwain 1998). The equation adapts to the pCO<sub>2</sub>  
135 | concentration prior to Cenozoic ~~era~~.

批注 [GD9]: Not sure what this last sentence means.

136 | Another standardization, the “Recent standardization” (McElwain, 1998), is  
137 | expressed as one stomatal ratio unit being equal to one RCO<sub>2</sub> unit:

$$138 \quad SR = 1 \text{ RCO}_2 \quad (5)$$

139 | According to the equations stated above, the pCO<sub>2</sub> concentration can be expressed  
140 | as:

$$141 \quad C_{(f)} = C_e \times SD_{(e)} / SD_{(f)} \text{ or } C_{(f)} = C_e \times SI_{(e)} / SI_{(f)} \quad (6)$$

142 | ~~Where  $C_{(f)}$ ,  $C_{(e)}$ ,  $SD_{(e)}$ ,  $SD_{(f)}$ ,  $SI_{(e)}$ , and  $SI_{(f)}$  are stated above.~~ This standardization is  
143 | usually used for reconstruction based on Cenozoic fossils (Chaloner and McElwain,  
144 | 1997; McElwain, 1998; Beerling and Royer, 2002).

批注 [GD10]: The last few bits can be condensed as sort of a repeat from a above.

145 | Kouwenberg et al. (2003) proposed some special stomatal quantification methods  
146 | for the conifers leaves with stomata arranged in rows. The main terms are as follows:  
147 | stomatal number per Length (SNL) is expressed as (the number of abaxial stomata  
148 | plus the number of adaxial stomata) divided by leaf length in millimeters. Stomatal  
149 | rows (SRO) is expressed as the number of stomatal rows in both stomatal bands.  
150 | Stomatal density per length (SDL) is expressed as the equation  $SDL = SD \times SRO$ .  
151 | True stomatal density per length (TSDL) is expressed as the equation  $TSDL = SD \times$   
152 | band width (in millimeters). The band width on *Nageia motleyi* leaves was measured  
153 | as leaf blade width.

批注 [GD11]: Correct?

批注 [GD12]: Things get problematic here as some of this seems to be methods. The parts on *Nageia* seemingly belong later.

154

## 155 2.2 Review of extant and fossil *Nageia*

156

157 The genus *Nageia*, including only seven living species, is a special group of  
158 Podocarpaceae, which is a large family of conifers mainly distributed in the southern  
159 hemisphere. *Nageia* has with broadly ovate-elliptic to oblong-lanceolate, multiveined  
160 (without a midvein), spirally arranged or in decussate, and opposite or subopposite

161 leaves (Cheng et al., 1978; Fu et al., 1999). Generally, *Nageia* is divided into *Nageia*

162 Sect. *Nageia* and *Nageia* Sect. *Dammaroideae* (Mill 1999, 2001). Both sections are

163 ~~XX~~ mainly distributed in southeastern Asia and Australasia from north latitude 30° to

164 nearly the equator and coastal mountain areas and island areas of the western Pacific

165 Ocean, including South China, South Japan, Malaya and Indonesia, New Guinea, and

166 other Pacific islands (Fu, 1992; Fig. 1a). Four species of the *N.* section *Nageia*, i.e.,

167 *Nageia nagi* (Thunberg) O. Kuntze, *N. fleuryi* (Hickel) De Laub., *N. formosensis*

168 (Dummer) C. N. Page, and *N. nankoensis* (Hayata) R. R. Mill, of the *N.* section

169 *Nageia*, have hypostomatic leaves where (the stomata only distributed occur on the

170 abaxial side.) leaves, with a single exception One species of this section -- *N. maxima*

171 (De Laub.) De Laub. which is characterized by amphistomatic leaves, but where

172 only a few stomata are found on the adaxial side (Hill and Pole, 1992; Sun, 2008).

173 Both *N. wallichiana* (Presl) O. Kuntze and *N. motleyi*, of the *N.* section

174 *Dammaroideae*, are amphistomatic with abundant stomata distributed on both sides of

175 the leaf. This is, especially true for *N. motleyi*, which has approximately

批注 [GD13]: Split this convoluted sentence and rewrite the second bit as difficult to follow. Does this apply to Podocarp or *Nageia*?

批注 [GD14]: Both sections? Not clear.

批注 [GD15]: Avoid Latin abbreviations in the middle of sentences

176 equal stomata numbers on both surfaces (Hill and Pole, 1992; Sun, 2008).

177 The fossil records of *Nageia* can be traced back to the Cretaceous. Krassilov (1965)  
178 described *Podocarpus (Nageia) suffunensis* Krassilov from the Lower Cretaceous of  
179 Far East Russia. Kimura et al. (1988) reported *Podocarpus (Nageia) ryosekiensis*  
180 Kimura, Ohanaet Mimoto, an ultimate leafy branch bearing a seed, from the Early  
181 Barremian in southwestern Japan. In China, a Cretaceous petrified wood, *Podocarpus*  
182 (*Nageia*) *nagi* Pilger, was discovered from the Dabie Mountains in central Henan,  
183 China (Yang et al., 1990). Jin et al. (2010) reported a upper Eocene *Nageia* leaf  
184 named *N. hainanensis* Jin, Qiu, Zhu et Kodrul from the Changchang Basin of Hainan  
185 Island, South China. Recently, Liu et al. (2015) found another leaf species *N.*  
186 *maomingensis* Jin et Liu from upper Eocene of Maoming Basin, South China, with the  
187 evidence from Far East Russia, Japan and Henan, China (Krassilov, 1965; Matsuo,  
188 1977; Kimura et al., 1988; Yang, 1990) and be extended into the Eocene of Hainan  
189 Island and Guangdong (Maoming), South China (Jin et al., 2010; Liu et al., 2015; Fig-  
190 1a). Although some of the *Nageia* fossil materials described in the above studies  
191 (Krassilov, 1965; Jin et al., 2010; Liu et al., 2015) have well-preserved cuticles (e.g.,  
192 Jin et al., 2010; Liu et al., 2015), the above these studies are mainly concentrated on  
193 the morphology, systematics and phytogeography.

194 Here we try to reconstruct the pCO<sub>2</sub> concentration based on stomatal data of  
195 *Nageia maomingensis* Jin et Liu, ~~was reported described on the basised ofn four~~  
196 leaves with well preserved cuticles recovered from late upper Eocene sedimentary  
197 rocks of South China (Liu et al., 2015). Among the modern *Nageia* species mentioned

批注 [GD16]: This needs slight expansion and conformity. I would list specific locations, types of material and approximate ages.

批注 [GD17]: As above, not quite clear what is being suggested.

198 above, *N. motleyi* was considered as the ~~nearest extant living NLE (NEL)~~ species of *N.*  
199 *maomingensis* (Liu et al., 2015). ~~However, because of the species-specific inverse~~  
200 ~~relationship between atmospheric CO<sub>2</sub> partial pressure and SD (Woodward and~~  
201 ~~Bazzaz, 1988), it is necessary to explore whether the SD and SI of *N. motleyi* show~~  
202 ~~negative correlations with the CO<sub>2</sub> concentration before applying the stomatal method.~~  
203 Both *N. maomingensis* and *N. motleyi* are amphistomatic, ~~indicating-suggesting~~ that  
204 both upper and lower surfaces of the leaf are needed to estimate the pCO<sub>2</sub>  
205 concentration ~~during the late Eocene.~~ ~~However, because of the species-specific~~  
206 ~~inverse relationship between atmospheric CO<sub>2</sub> partial pressure and SD (Woodward~~  
207 ~~and Bazzaz, 1988), it is necessary to explore whether the SD and SI of the *N. motleyi*~~  
208 ~~show the negative correlations with the CO<sub>2</sub> concentration before applying the~~  
209 ~~stomatal method.~~ ~~\*\*~~

批注 [GD18]: Between \*\* This important point – species specific relationships -- needs to come earlier

### 211 3 Material and methods

212

#### 213 3.1 Extant leaf preparation

214

215 We examined ~~five-12~~ specimens of extant *Nageia motleyi* from different herbaria  
216 (Table 1). ~~\*\*\*~~ (1) the specimen numbered 2649 (the herbarium of the V. L. Komarov  
217 Botanical Institute of the Russian Academy of Sciences) was collected by Beccari O.  
218 from Malaysia — in 1868; (2) the specimen numbered bb. 17229 (Harvard University  
219 Herbarium) was collected by Neth. Ind. For. from Riau on Ond. Karimon, Archipel.

220 ~~Ind. in the latitude of 150 m in 1932; (3) the specimen numbered bb. 18328 (Harvard~~  
221 ~~University Herbarium) was collected from Z. O. afd. v. Borneo Tidoengsche Landen,~~  
222 ~~Archipel. Ind., Malaysia in the latitude of 5 m in 1934; (4) the specimen numbered bb.~~  
223 ~~21151 (Harvard University Herbaria) was collected from Z. O. afd. Borneo, Poeroek-~~  
224 ~~Tjahoe Tahoedjan, Archipel. Ind. in the latitude of 500 m in 1936; (5) the specimen~~  
225 ~~numbered bb. 40798 (Herbarium of Royal Botanic Garden, Edinburgh) was collected~~  
226 ~~by Sinclair, J. and Kiah bin, Salleh from Gunong Tebu Forest reserve, Malaysia in the~~  
227 ~~latitude of 51 m in 1955 (Table 1). \*\*\*~~ We removed one or two leaves from each  
228 specimen, and took three fragments (0.25 mm<sup>2</sup>) from every leaf (Fig. 2a) and  
229 numbered them for analysis.

230 The numbered fragments were boiled for 5-10 min in water. Subsequently, after  
231 being macerated in a mixed solution of 10% acetic acid and 10% H<sub>2</sub>O<sub>2</sub> (1:1) and  
232 heated in the thermostatic water bath at 85 C for 8.5 hours; the reaction was stopped  
233 when the specimens fragments turned white and semitransparent; The cuticles were  
234 then rinsed with distilled water until the pH of the water became neutral. After that the  
235 cuticles were treated in Schulze's solution (one part of potassium chlorate saturated  
236 solution and three part of concentrated nitric acid) for 30 min, rinsed in water, and  
237 then treated with 8% KOH (up to 30 min) and the abaxial and adaxial cuticles were  
238 separated with a hair mounted on needle. Finally, the cuticles were stained ~~by~~with 1%  
239 Safranin T alcoholic solution for 5 min, sealed with Neutral Balsam and observed  
240 under ~~the~~ LM.

批注 [GD19]: Between \*\*\* I think can removed as long as clearly documneted in Tabel 1

## 242 3.2 Fossil leaf preparation

243

244 ~~\*\* Needs a brief paragraph here noting the Maoming Basin, rock units, depositional~~  
245 ~~environment, and critically, the age.\*\*~~

246 Maoming Basin (21°42'33.2"N, 110°53'19.4"E) is located in southwestern  
247 Guangdong, South China including Cretaceous and Tertiary strata. Tertiary strata are  
248 fluvial and lacustrine sedimentary units, divided into the Gaopengling, Laohuling,  
249 Shangcun, Huangniuling and Youganwo formations in descending order, aged from  
250 late Eocene to early Oligocene (Wang et al., 1994).

251 Four fossil leaves of *Nageia maomingensis* were recovered from ~~the late Eocene of~~  
252 the Youganwo ~~Formation~~ (MMJ1-001) and ~~the~~ Huangniuling ~~Formation~~ (MMJ2-003,  
253 MMJ2-004 and MMJ3-003) formations of Maoming Basin, South China (Fig. 1B, 1C  
254 in Liu et al., 2015). The age from Youganwo to Huangniuling formations is late  
255 Eocene (~ 40.3 Ma). ~~the layers~~ Precise information was regarding locations are is  
256 provided shown in Fig. 1b-e in by Liu et al., (2015). Macrofossil cuticular fragments  
257 were taken from the middle part of each fossil leaf (Fig. 2c) and treated ~~by~~ with  
258 Schulze's solution for approximately 1h and 5–10% KOH for 30 min (Ye, 1981). The  
259 cuticles were observed and photographed under a Carl Zeiss Axio Scope A1 light  
260 microscope (LM). All fossil specimens and cuticle slides are housed in the Museum of  
261 Biology of Sun Yat-sen University, Guangzhou, China.

262

## 263 3.3 Stomatal counting strategy and calculation methods

264

265 ~~The basic stomatal parameters, SD, ED and SI, were counted based on analyzing~~  
266 ~~the pictures taken with the a light microscope (LM) using the standard sampling~~  
267 ~~protocol, only counting those stomata touching or straddling the left hand side and top~~  
268 ~~including the corner between them, provided by Poole and Kürschner (1999; Fig. 2b,~~  
269 ~~2d). A total of 116-2816 pictures (200× magnification of Zeiss LM) of the cuticles~~  
270 ~~from 9-21 leaves of *N. motleyi* were counted. Each counting field was 0.366 mm<sup>2</sup>. We~~  
271 ~~used a standard sampling protocol (Poole and Kürschner, 1999), and only counted~~  
272 ~~counting all full stomata in the image plus stomata straddling the left and top margins,~~  
273 ~~as presented in Figure 2(b), and (d), those stomata touching or straddling the left hand~~  
274 ~~side and top including the corner between them, provided by Fig. 2b, 2d) In *Nageia*~~  
275 ~~*maomingensis*, 112 views (400× magnification) of the abaxial side and 150 views~~  
276 ~~(400× magnification) of the adaxial side of cuticles were counted with an area of~~  
277 ~~0.092 mm<sup>2</sup>. None of the counting areas above overlapped and they were larger than~~  
278 ~~the minimum area (0.03 mm<sup>2</sup>) for statistics (Poole and Kürschner, 1999). In this study,~~  
279 ~~the stomatic data of both surfaces are applied in pCO<sub>2</sub> reconstruction because both our~~  
280 ~~fossil species and the NLE species are amphistomatic.~~

281 ~~The SNL, SRO, SDL, and TSDL were also count determined based on the pictures~~  
282 ~~taken with the light microscope (LM images.) using the strategies stated in the~~  
283 ~~background\*\*A total of 2293 pictures (200× magnification of Zeiss LM) of the~~  
284 ~~cuticles from 21 leaves of *N. motleyi* were counted. Each counting field was 0.366~~  
285 ~~mm<sup>2</sup>. None of the aforementioned counting areas overlapped and they were larger~~

批注 [GD20]: This is not crystal clear to me

批注 [GD21]: See above. The specifics to *Nageia* should be here, but the more general procedure should be presented above.



286 than the minimum area (0.03 mm<sup>2</sup>) for statistics (Poole and Kürschner, 1999). In this  
287 study, the stomatal data of both surfaces are applied in pCO<sub>2</sub> reconstruction because  
288 both the fossil and NLE species are amphistomatic.

## 291 4 Results

### 293 4.1 Correlations between the CO<sub>2</sub> concentrations and stomatal parameters of 294 *Nageia motleyi*

296 The SD and SI data of the adaxial sides of *N. motleyi* leaves are ~~shown~~presented in  
297 Table 2. The SDs and ~~Sis~~SIs average ~~range from 62.28 mm<sup>2</sup> and 3.30 %~~45.89 to  
298 78.6 (mm<sup>2</sup>) and from 2.89 to 3.94 (%), respectively. However, the SDs and SIs data  
299 of the abaxial sides, summarized in Table 3, give ~~significantly~~ higher average values  
300 (70.03 mm<sup>2</sup> 53.22–82.71 in SDs and 3.13–4.66 3.90 % in SIs) than those from the  
301 adaxial sides. The combined SD and SI of the adaxial and abaxial surfaces average  
302 66.14 mm<sup>2</sup> and 3.60 %, respectively (table 4).

303 ~~Figure~~Fig. 3 shows the relationships between the stomatal parameters (SD and SI)  
304 of modern *N. motleyi* and the atmospheric CO<sub>2</sub> concentration (SD-CO<sub>2</sub> relationships  
305 and SI-CO<sub>2</sub> relationships). R<sup>2</sup> values in the SD-CO<sub>2</sub> relationships from ~~both~~ the  
306 adaxial and abaxial surfaces of *N. motleyi* are up to ~~0.841~~0.4667 and ~~0.725~~0.3824 (Fig.  
307 3a, b), suggesting that the stomatal densities of *N. motleyi* are ~~in significant~~ inverse

308 ~~proportion~~ to the CO<sub>2</sub> concentrations. However, ~~the figure~~ Fig. 3(c) and (d) indicate no  
309 relationships between the SIs and CO<sub>2</sub> concentrations for the extremely low level of  
310 the R<sup>2</sup> values (~~0.0030,2558~~ and ~~0.06080,0248~~). Figs. 3e and 3f based on the combined  
311 data also show that SD inversely responds to the atmospheric CO<sub>2</sub> concentration (R<sup>2</sup>  
312 =0.4421), while SI has almost no relationship with the atmospheric CO<sub>2</sub> concentration  
313 (R<sup>2</sup> =0.1177).

314 The mean values of SNL, SDL and TSDL are 9.81, 326.39 and 1226.93 no.·mm<sup>-1</sup>,  
315 respectively (Table 5). Fig. 4 shows the relationships between SNL (SDL, TSDL) and  
316 CO<sub>2</sub> concentrations. The low R<sup>2</sup> values in the Fig. 4a and 4c indicate that SNL (R<sup>2</sup> =  
317 0.0643) and TSDL (R<sup>2</sup> = 0.0788) have no relationship with the CO<sub>2</sub> concentration in  
318 this study. Fig. 4b shows that there is a weak reverse relevance between SDL and the  
319 CO<sub>2</sub> concentration (R<sup>2</sup> = 0.3154).

320 Compared with the SDL method, the SD-based method shows a larger R<sup>2</sup> value,  
321 indicating a stronger relevance between the SD and CO<sub>2</sub> concentrations. In this study,  
322 the pCO<sub>2</sub> is reconstructed based on the regression equations of SD-CO<sub>2</sub> relationship.  
323 Additionally,

324 ~~According to the results stated above,~~ the stomatal ratio method can be also used in  
325 estimating pCO<sub>2</sub> concentration of the late Eocene based on ~~the~~ stomatal densities  
326 (SDs) of the fossil species *N. maomingensis* and ~~the~~ extant species *N. motleyi*.

327 ~~Beerling (1999) and Royer (2001) considered both the SD and SI vary with~~  
328 ~~economical and biological factors such as irradiance, temperature, and water supply,~~  
329 ~~but that SI is more sensitive than SD to the concentration of atmospheric CO<sub>2</sub>~~

330 ~~(Beerling, 1999) and more accurate in responding to the variation of pCO<sub>2</sub>-~~  
331 ~~concentration (Royer, 2001). However, the study of Kouwenberg et al. (2003)-~~  
332 ~~indicated that the SD better reflects the negative relationships with atmospheric CO<sub>2</sub>-~~  
333 ~~concentration.~~

334 The SD results of specimen No. 18328 are selected to reconstruct the pCO<sub>2</sub>  
335 concentration, because they are closest to the fitted equations in Fig. 3. This specimen  
336 was collected by Neth. Ind. For. Service from Riau on Ond. Karimon, Archipel. Ind.,  
337 Malaysia, in 1934 at an altitude of 5 m and CO<sub>2</sub> concentration of 306.46 ppmv  
338 (Brown, 2010). The SD results of specimen No. 40798 are closest to the fitted-  
339 equations in Fig. 3a and 3b and therefore are selected to reconstruct the pCO<sub>2</sub>-  
340 concentration. The specimen was collected by J. Sinclair. & Salleh Kiah Bin from  
341 Gunong Tebu Forest Reserve, Malaysia, in 1955 at an altitude of 51 m and a CO<sub>2</sub>-  
342 concentration of 313.73 ppmv during that time (Brown, 2010). Therefore, the SD-  
343 from the adaxial and abaxial surfaces of *N. maomingensis* and its NLE species *N.*  
344 *motleyi* are used to recover pCO<sub>2</sub> concentrations based on the stomatal ratio method.

345

## 346 **4.2 Stomatal parameters and The pCO<sub>2</sub> estimates results**

### 347 4.2.1 The regression approach

348 ~~After being projected into a long-term carbon cycle model (GEOCARB III; Berner-~~  
349 ~~and Kothavalá 2001), the results of this study compares well with the CO<sub>2</sub>-~~  
350 ~~concentrations for corresponding age within their error ranges (Fig. 4). The summary~~  
351 ~~of stomatal parameters of the ~~extant and~~ fossil *Nageia* and reconstruction results are~~

352 provided in Tables ~~26-8~~ and 3, respectively. ~~SD and SI values were calculated for all~~  
353 ~~samples of the extant and fossil *Nageia*.~~ The mean SD and SI values of the adaxial  
354 surface are ~~44.5 mm<sup>-2</sup>44.5 ± 2.9~~ and ~~1.8 %1.80 ± 0.12~~, respectively (Table ~~56~~). The  
355 mean SD ~~and SI values of the abaxial surface~~~~values of the abaxial and abaxial surface~~  
356 are ~~49.8 mm<sup>-2</sup>48.9 ± 3.0~~ and ~~2.07 %53.22 ± 2.2~~, respectively (Table ~~2, 37~~).

357 ~~Based on the regression approach, the pCO<sub>2</sub> was reconstructed as 351.9 ± 6.6 ppmv~~  
358 ~~and 365.6 ± 7.6 ppmv according to the SD of adaxial and abaxial sides. The combined~~  
359 ~~SD value is an average of 46.6 mm<sup>-2</sup> (Table 8), giving the reconstructed pCO<sub>2</sub> of~~  
360 ~~358.1 ± 5.0 ppmv.~~

361

#### 362 4.2.2 The stomatal ratio method

363 ~~The m~~Mean SR values of ~~both sides~~the adaxial side (SR=1.69 ± 0.18) ~~are~~is a little  
364 ~~larger quite similar with 1.24 ± 0.13~~than that ~~in adaxial-of the abaxial side~~ (SR=1.60 ±  
365 ~~0.11) in fossil *Nageia* leaves~~and 1.23 ± 0.09 ~~in abaxial side~~ (Tables ~~4-6~~ and ~~57~~). The  
366 ~~pCO<sub>2</sub> average-reconstruction results~~ ~~are~~of pCO<sub>2</sub> concentration in the late Eocene of  
367 ~~Maoming Basin is 391.0 ± 41.1~~~~537.5 ± 56.5~~ ppmv (Table ~~46~~) and ~~386.5 ± 27.8~~~~496.1~~  
368 ~~± 35.7~~ ppmv (Table ~~57~~) ~~with a 95% confidence interval~~ based on the adaxial and  
369 abaxial cuticles, respectively. ~~Based on the combined SD of both leaf sides, the pCO<sub>2</sub>~~  
370 ~~result is 519.9 ± 35.0 ppmv.~~ Clearly the two estimates are rather similar with a  
371 ~~difference of 5 ppmv in mean value, which is clearly less than their own standard~~  
372 ~~error, indicating that the reconstructions based on both sides are consistent in this~~  
373 ~~fossil species. Table 4 shows gradually increasing pCO<sub>2</sub> level from the lower layer to~~

374 ~~the upper ones, while the pCO<sub>2</sub> estimated results based on the abaxial side are random~~  
375 ~~with the highest result in lowest layer (Table 5).~~

376 The partial pressure of CO<sub>2</sub> decreases with elevation (Gale, 1972). Jones (1992)  
377 proposed that the relationship between elevation and partial pressure in the lower  
378 atmosphere can be expressed as  $P = -10.6E + 100$ , where  $E$  is elevation in kilometers  
379 and  $P$  is the percentage of partial pressure relative to sea level. Various studies  
380 corroborate that SI and SD of many plants have positive correlations with altitude  
381 (Körner and Cochrane, 1985; Woodward, 1986; Woodward and Bazzaz, 1988;  
382 Beerling et al., 1992; Rundgren and Beerling, 1999) while they are negatively related  
383 to the partial pressure of CO<sub>2</sub> (Woodward and Bazzaz, 1988). Therefore, it is essential  
384 to take elevation calibration into account during ~~the~~ pCO<sub>2</sub> concentration estimates.

385 However, Royer (2003) pointed out that it is unnecessary ~~make this to provide this~~  
386 conversion when ~~the~~ trees lived at <250 m in elevation. In this paper, the nearest  
387 living equivalent species, *Nageia motleyi*, grows at ~~51~~5 m in elevation with  $P$   
388 ~~=99.599.9~~, suggesting that CO<sub>2</sub> concentration estimates were only underestimated by  
389 ~~0.50.1~~%. Consequently, no correction is needed for the reconstruction result in this  
390 study. After being projected into a long-term carbon cycle model (GEOCARB III;  
391 Berner and Kothavalá 2001), the results of this study compares well with CO<sub>2</sub>  
392 concentrations for corresponding age within their error ranges (Fig. 5).

## 394 5 Discussion

## 5.1 Stomatal parameters response to CO<sub>2</sub>

Here, we find that SD decreases as atmospheric CO<sub>2</sub> concentrations increase, however, SI does not. Generally, SI is more sensitive in response to the atmospheric CO<sub>2</sub> concentration than SD (Beerling, 1999; Royer, 2001). However, the reverse case is not unfound. For example, Kouwenberg et al. (2003) reported that SD is better than SI in reflecting the negative relationships with CO<sub>2</sub> in conifer needles, accounting for the special paralleled mode of the ordinary epidermal and stomatal formation. Although *Nageia* is broad-leaved rather than needle-leaved, it also has well paralleled epidermal cells herein showing the different relationships between CO<sub>2</sub> and SD or SI. Compared with SD, the SDL has weaker correlation with CO<sub>2</sub> at a smaller R<sup>2</sup>. The SNL and TSDL have no response to the change of CO<sub>2</sub>. The insensitivity of SNL, SDL and TSDL might account for the characters of broad-leaved leaf shape and paralleled epidermal cells. The SNL should be applied to conifer needles with single file of stomata (Kouwenberg et al., 2003). The SDL and TSDL were considered as the most appropriate method when the stomatal rows grouped in bands in a hypo- or amphistomatal conifer needle species (Kouwenberg et al., 2003). Considering all the stomatal parameters above, SD appears to be the most sensitive to CO<sub>2</sub>.

The SD-CO<sub>2</sub> correlation shows one value from leaf No. 40798 offset from the others. The SI-CO<sub>2</sub> correlation shows different offset values in different leaf sides. The offset values might be affected by leaf maturity and light intensity. However, it is hard to distinguish whether a fossil leaf is young or mature, or live in the sunny or shady light regimes.

418 The R<sup>2</sup> value (0.5) of SD-CO<sub>2</sub> based on the adaxial side is higher than from the  
419 abaxial side and the combination of both sides, indicating that the correlation of  
420 SD-CO<sub>2</sub> is stronger than the others parameters herein. Therefore, the SD on the  
421 adaxial side is the best in reconstructing pCO<sub>2</sub>. The reconstruction result based on the  
422 regression approach is 351.9 ± 6.6 ppmv lower than the one based on the stomatal  
423 ratio method (Table 6), and it is relatively lower than the results based on the other  
424 proxies (Fig. 6; Freeman and Hayes, 1992; Pagani et al., 2005; Maxbauer et al., 2014).  
425 However, the result based on stomatal ratio method is 537.5 ± 56.5 ppmv which is  
426 closest to GEOCARB III (Fig. 5) and historical reconstruction trends (Fig. 6).

#### 427

#### 428 **5.1.2 Paleoclimate reconstructed history**

#### 429

430 The pCO<sub>2</sub> levels throughout the Cenozoic ~~was-were~~ relatively lower than ~~the levels~~  
431 through the Cretaceous (Ekat et al., 1999), but ~~it is~~ had an overall decreasing trend  
432 with some significantly ~~changes-~~ increases on short-time scales (e.g. in the earliest  
433 Eocene and middle Miocene, Zachos et al., 2001, 2008; Wing et al., 2005; Lowenstein  
434 and Demicco, 2006; Fletcher et al., 2008; ~~Zachos et al., 2008~~; Bijl et al., 2010; Kato et  
435 al., 2011). There is a wide range in pCO<sub>2</sub> estimates for the Paleogene, reflecting ~~both~~  
436 problems in the various proxies. Both the fractionation of carbon isotopes by  
437 phytoplankton (Freeman and Hayes, 1992) and analysis of paleosol (fossil soil)  
438 carbonates (Ekat et al., 1999) demonstrate that carbon dioxide levels were less than  
439 1000 ppmv before the Cretaceous-Tertiary boundary and have been decreasing since

440 the Paleocene.

441 Based on the measurements of palaeosol carbon isotopes, Cerling (1991) reported  
442 that pCO<sub>2</sub> levels for the Eocene and Miocene through to the present was lower than  
443 700 ppmv. Fletcher et al. (2008) also showed that ~~an~~-atmospheric CO<sub>2</sub> levels ~~of~~were  
444 approximately 680 ppmv by 60 million years ago. However, Stott (1992)  
445 reconstructed pCO<sub>2</sub> as 450–550 ppmv for the early Eocene based on phytoplankton.  
446 Additionally, ~~the~~-reconstructions using the stomatal ratio method based on ~~the leaves~~  
447 ~~of~~*Ginkgo*, *Metasequoia*, and Lauraceae leaves also revealed a low pCO<sub>2</sub> level  
448 between 300 and 500 ppmv during the early Eocene (Kürschner et al., 2001; Royer et  
449 al., 2001; Greenwood et al., 2003; Royer, 2003) except a single high estimate of about  
450 800 ppmv near the Paleocene/Eocene boundary (Royer et al., 2001).

451 Subsequently, Smith et al. (2010) reconstructed the value of pCO<sub>2</sub> ranging from 580  
452 ± 40 to 780 ± 50 ppmv using the stomatal ratio method (recent standardization) based  
453 on both SI and SD. A climatic optimum occurred in the middle Eocene (MECO): the  
454 reconstructed CO<sub>2</sub> concentrations are mainly between 700 and 1000 ppmv during the  
455 late middle Eocene climate transition (42–38 Ma) using stomatal indices of fossil  
456 *Metasequoia* needles, but concentrations declined to 450 ppmv toward the top of the  
457 investigated section (Doria et al., 2011). Jacques et al. (~~2012~~2014) used CLAMP to  
458 calibrate climate change in Antarctica during the early-middle Eocene, suggesting a  
459 seasonal alternation of high- and low-pressure systems over Antarctica during the  
460 early-middle Eocene. Spicer et al. (2014) also reconstructed a relatively lower cool  
461 temperature than δ<sup>18</sup>O records (Keating-Bitonti et al., 2011) in the middle Eocene of



462 Hainan Island, South China using CLAMP, indicating a not uniformly warm climate  
463 in the low latitude during the Eocene. The two results of our study agree well with the  
464 estimates of approximately the same period based on phytoplankton (Freeman and  
465 Hayes, 1992; Ekart et al., 1999; Pagani et al., 2005) and other stomatal studies  
466 (McElwain, 1998) (Fig. 5). The pCO<sub>2</sub> level showed an An overall decreasing trend of  
467 the pCO<sub>2</sub> level was presented after the MECO period middle Eocene, indicating the  
468 consistance with the pCO<sub>2</sub> levels herein (Fig. 56; Retallack, 2009-b).

469 The ice-sheets started to appear in the Antarctic during the Late Eocene (Zachos et  
470 al., 2001), then the temperature suffered an apparent further decrease from the late  
471 Eocene to the early Oligocene (Roth-Nebelsick et al., 2004), which resulted in the  
472 Antarctic being almost fully covered by ice-sheets. Subsequently, the climate  
473 variation was comparatively stable with a little wobbling in temperature during the  
474 Oligocene period (Fig. 56), while a small and ephemeral Late Oligocene Warming  
475 was present in the latest part of the Oligocene, resulting in reducing the ice sheets in  
476 Antarctic ice sheets to a minimum and forming a brief period of glaciation at that time  
477 (Zachos et al., 2001). During the Middle Miocene, a quick rise in temperature was  
478 shown, which was followed by a small glaciation (Fig. 56; Zachos et al., 2001;  
479 Roth-Nebelsick et al., 2004; Beerling and Royer, 2011). Subsequently, the CO<sub>2</sub>  
480 concentration decreased gradually and reached 280 ppmv until the period of the  
481 industrial revolution (Fig. 56). Since then, however, the CO<sub>2</sub> concentration rebounded  
482 to present day level.

483 In conclusion, although various results were made by different pCO<sub>2</sub> reconstruction

484 proxies at the same time, their entire decreasing tendency of pCO<sub>2</sub> level are  
485 remarkably consistent with each other since the Eocene (Fig. 56). Furthermore, ig. 6  
486 shows that during the Eocene the temperature was higher than at present. The  
487 reconstructed pCO<sub>2</sub> concentration of 351.9 ± 6.6 ppmv based on the regression  
488 approach SD of fossil *Nageia* are 391.0 ± 41.1 ppmv and 386.5 ± 27.8 is ppmv,  
489 showing shows a remarkably low pCO<sub>2</sub> level during the early late Eocene. The result  
490 based on the stomatal ratio method of 537.5 ± 56.5 ppmv is closely consistent with  
491 the pCO<sub>2</sub> changes over the geological ages (Fig. 6).

492

## 493 **5.2 Implications from *Nageia motleyi* ecology**

494

495 ~~*Nageia motleyi* is restrictedly distributed in the southern half of Malay Peninsula,~~  
496 ~~adjacent Sumatra, and southern Borneo (Fig. 1a) with the mean annual temperature of~~  
497 ~~ca. 25–30 °C which is higher than South China (ca. 20–25 °C; Fig. 1a). This species is~~  
498 ~~generally scattered in the canopy of primary and secondary rainforests on massive~~  
499 ~~substrates and situations from well-drained, even arid, slopes to waterlogged peat~~  
500 ~~swamps at elevations of 15–500 (~1000) m (Eckenwalder, 2009) and in Borneo~~  
501 ~~surviving where there is deep peat in a mixed ramin-peat swamp, ridges, and hill sides~~  
502 ~~in bindang dipterocarp forest, and 1,000 m on podsolie sandy loam (Coomes and~~  
503 ~~Bellingham, 2011). All the living ecological characteristics of *N. motleyi* provide a~~  
504 ~~significant implication that the temperature during the Late Eocene might have been~~  
505 ~~similar to that in the area where *N. motleyi* grows today.~~

506 Palynological assemblages from the late Eocene of Maoming Basin of Guangdong  
507 (Aleksandrova et al., 2012) suggest that the Youganwo Formation was humid, and the  
508 Huangniuling Formation had an increase of average annual temperatures and  
509 humidity during this period. Additionally, according to the winged fruits *Shorea*  
510 *maomingensis* Feng, Kodrul et Jin (Dipterocarpaceae) recovered from the late Eocene  
511 of the Huangniuling Formation of the Maoming Basin and the living conditions of  
512 modern *Shorea*, Feng et al. (2013) point out the occurrence of seasonally dry climate  
513 at that time and a temperature higher than today.

514 In this article, we reconstructed the pCO<sub>2</sub> of the late Eocene as 391.0 ± 41.1 ppmv  
515 and 386.5 ± 27.8 ppmv, which are distinctly higher than the CO<sub>2</sub> level of  
516 289.23–313.73 ppmv from extant leaves collected from 1968 to 1955 (Table 1), but  
517 similar to the extant CO<sub>2</sub> concentration of 387.35–401.52 ppmv from 2009 to 2015  
518 (Brown, 2010; Pieter and Keeling, 2015). Compares with the reconstruction results in  
519 figure 5, our estimates show comparatively low pCO<sub>2</sub> concentration during the late  
520 Eocene. Combined with the low pCO<sub>2</sub> and the living conditions of *N. sect.*  
521 *Dammaroideae* (adapted to warm areas of East Asia) (Fig. 1), we conclude that the  
522 other factors may have played a role in the global climate changing process. Owing to  
523 the totally decreasing trend of the global climate change from the late Eocene  
524 reconstructed based on the proxies of stomata, paleosols, phytoplankton and B/Ca  
525 (Fig. 5), the plants of *N. Sect. Dammaroideae* migrated toward south and ultimately  
526 disappeared from South China (Fig. 1).

527

## 528 **6 Conclusion**

529

530 In this study, we reconstructed the late Eocene pCO<sub>2</sub> based on the fossil leaves of  
531 *Nageia maomingensis* Jin et Liu from the late Eocene of Maoming Basin, Guangdong  
532 Province, China. *Nageia* is a special element in conifers by its broad multi-veined leaf  
533 that lacks mid-vein. The stomatal data analysis suggests that only stomatal densities  
534 (SD) from both sides of *Nageia motleyi* leaves have significant negative correlations  
535 with the atmospheric CO<sub>2</sub> concentration. The SD from the adaxial side gives the best  
536 correlation to the CO<sub>2</sub>. Based on SDs, the pCO<sub>2</sub> concentration is reconstructed using  
537 both the regression approach and the stomatal ratio method. The pCO<sub>2</sub> result based on  
538 the regression approach is 351.9 ± 6.6 ppmv, showing a relatively lower CO<sub>2</sub> level.  
539 The reconstructed result based on the stomatal ratio method is 537.5 ± 56.5 ppmv  
540 consistent with the variation trends based on the other proxies. Here, we explored the  
541 potential of *N. maomingensis* in pCO<sub>2</sub> reconstruction and obtained different results  
542 according to different methods, providing a new insight for the reconstruction of  
543 paleoclimate and paleoenvironment in conifers. The stomatal data analysis suggests  
544 only the stomatal densities from both sides of *Nageia motleyi* leaves have significant  
545 negative correlations with the atmospheric CO<sub>2</sub> concentration, suggesting that we  
546 can estimate the pCO<sub>2</sub> of the Eocene in South China based on the stomatal densities  
547 of the Eocene fossil leaves of *N. maomingensis* and their nearest living equivalent  
548 species *N. motleyi*. Based on the stomatal ratio method, pCO<sub>2</sub> concentration of the late  
549 Eocene of Maoming Basin, Guangdong Province, is reconstructed as 391.0 ± 41.1

550 ~~ppmv (based on the adaxial side of leaf cuticles) and  $386.5 \pm 27.8$  ppmv (based on the~~  
551 ~~abaxial side of leaf cuticles), showing low pCO<sub>2</sub> levels during the globally warm~~  
552 ~~epoch of the Eocene, which is significantly higher than the historical CO<sub>2</sub>~~  
553 ~~concentrations from 1868 to 1955 (around the industrial atmospheric level, 300 ppmv)~~  
554 ~~and similar to the concentration of today.~~

555

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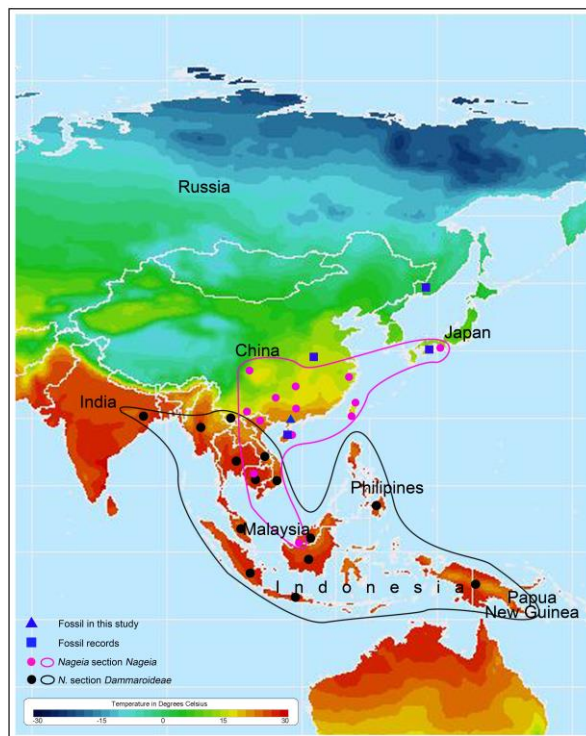
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913 Figure 1. Map showing the distribution of extant and fossil *Nageia* and their mean annual

914 temperature (Modified after the map from

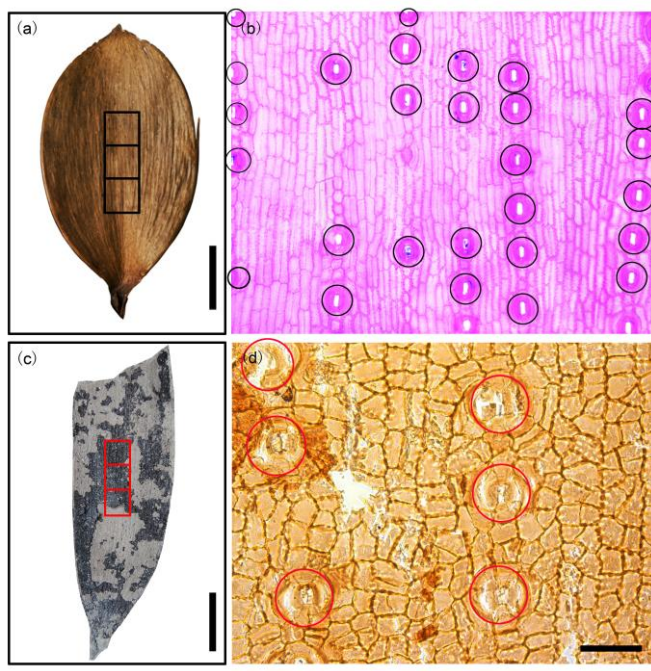
915 <http://www.sage.wisc.edu/atlas/maps.php?datasetid=35&includerelatedlinks=1&dataset=35>).



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917

918 Figure 2. Sampling areas and counting rules are shown. (a) *Nageia motleyi* (Parl.) De Laub.leaf.  
919 Black squares in the middle of the leaf show the sampling areas for preparing the cuticles. (b) The  
920 abaxial side of the cuticle from *N. motleyi* leaf. Black circles show the counted stomatal  
921 complexes. (c) *N. maomingensis* Jin et Liu. Red squares in the middle of the leaf indicate the  
922 sampling areas. (d) The abaxial side of the fossil cuticle. Red circles show the counted stomatal  
923 complexes. Scale bars: (a) and (c) = 1 cm; (b) and (d) = 50  $\mu\text{m}$ .



924

925



926 Figure 3. Correlation between SD and SI versus CO<sub>2</sub> concentration for modern *Nageia motleyi*. (a)

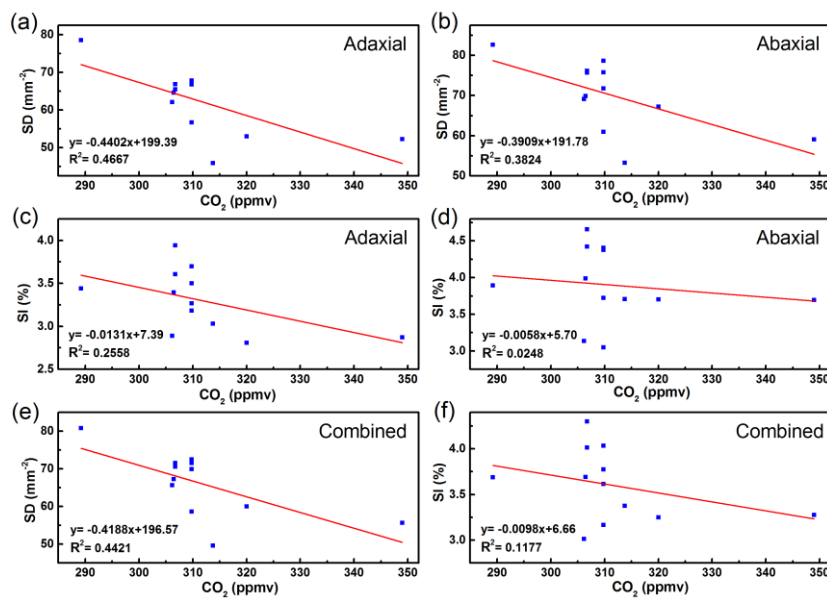
927 Trends of SD with CO<sub>2</sub> concentration for the adaxial surface. (b) Trends of SD with CO<sub>2</sub>

928 concentration for the abaxial surface. (c) Trends of SI with CO<sub>2</sub> concentration for the adaxial

929 surface. (d) Trends of SI with CO<sub>2</sub> concentration for the abaxial surface. (e) Trends of SD with

930 CO<sub>2</sub> concentration for the combined data of both leaf surfaces. (f) Trends of SI with CO<sub>2</sub>

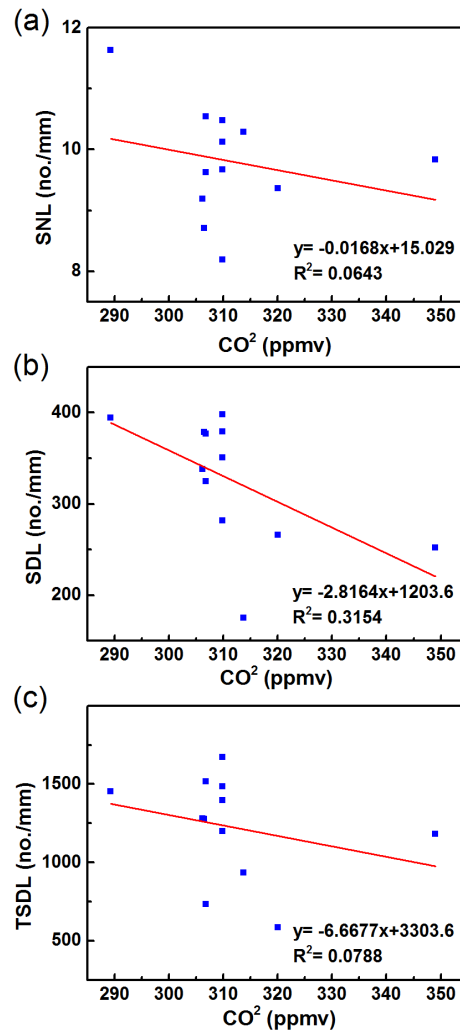
931 concentration for the combined data of both leaf surfaces.



932

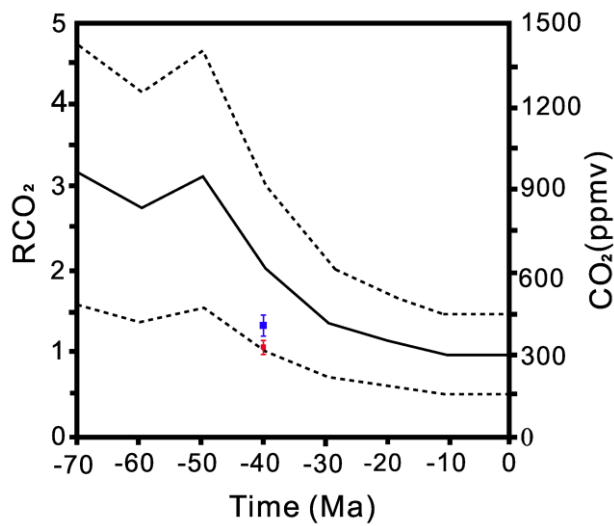
933

934 [Figure 4. Correlation between SNL, SDL and TSDL versus CO<sub>2</sub> concentration for modern \*Nageia\*](#)  
 935 [motleyi. \(a\) Trends of SNL with CO<sub>2</sub> concentration for the adaxial surface. \(b\) Trends of SDL with](#)  
 936 [CO<sub>2</sub> concentration for the adaxial surface. \(c\) Trends of TSDL with CO<sub>2</sub> concentration for the](#)  
 937 [adaxial surface.](#)



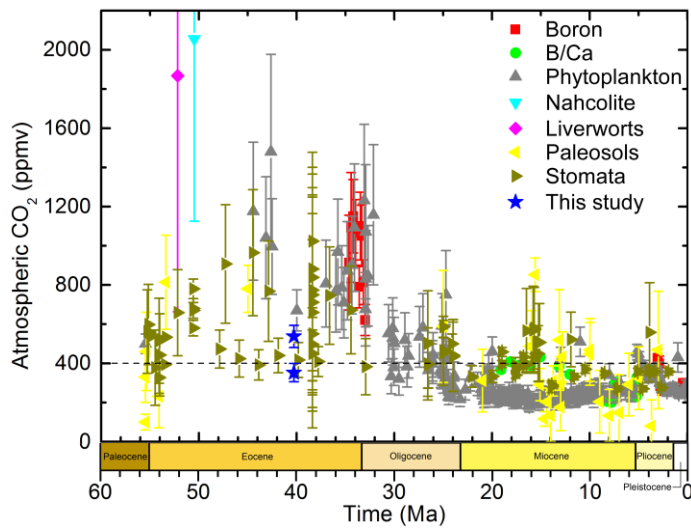
938  
 939

940 Figure 45. The pCO<sub>2</sub> reconstruction results and extant CO<sub>2</sub> concentrations are projected onto the  
 941 long-term carbon cycle model (GEOCARB III; Berner and Kothaval á 2001). The pCO<sub>2</sub> results  
 942 based ~~on the adaxial and abaxial surfaces on the regression approach and stomatal ratio method~~  
 943 are represented by ~~red and blue squares, respectively, and red, respectively. The CO<sub>2</sub>-~~  
 944 ~~concentrations from 1968 to 1955 are showed by light red and those from 2009 to 2015 are in light~~  
 945 ~~blue (they are not the CO<sub>2</sub> concentrations of Holocene).~~



946  
 947

948 | Figure 56. Atmospheric CO<sub>2</sub> estimates from proxies over the past 60 million years. The horizontal  
 949 | dashed line indicates monthly atmospheric CO<sub>2</sub> concentration for March 2015 at Mauna Loa,  
 950 | Hawaii (401.52 ppmv) (Pieter and Keeling, 2015). The vertical lines show the error bars. The data  
 951 | were ~~are~~ from the supporting data of Beerling and Royer (2011) and ~~the~~ references in Table 69.  
 952 | The lower blue star shows the reconstructed result based on the regression approach. The higher  
 953 | one presents the result of stomatal ratio method.



954

Table 1. Modern *Nageia motleyi* (Parl.) De Laub samples and atmospheric CO<sub>2</sub> values of their collection dates from ice core data (Brown, 2010).

Herbarium	Collection-number	Collecting locality	Collectors	Number-of-leaf samples	Collection date	CO <sub>2</sub> (ppmv)
LE	No. 2649	Malaysia	Beccari, O.	1	1868	289.23
A/GH	No. bb. 17229	150 m, Riau on Ond. Karimon, Archipel. Ind.	Neth. Ind. For. Service	2	1932	306.19
A/GH	No. bb. 18328	5 m, Z. O. afd. v. Borneo Tidoengsche Landen, Archipel. Ind.	Neth. Ind. For. Service	2	1934	306.46
A/GH	No. bb. 21151	500 m, Z. O. afd. Borneo, Poeroek Tjahoe Tahoedjan, Archipel. Ind.	Neth. Ind. For. Service	2	1936	306.76
E	No. bb. 40798	51 m, Kuala Trengganu Besut Road, Bukit Bintang Block, Gunong Tebu Forest reserve, Malaysia	Sinclair, J. and Kiahbin, Salleh	2	1955	313.73

Note: A/GH—Harvard University Herbarium, Harvard University, 22 Divinity Avenue, Cambridge, Massachusetts 02138, USA ([www.huh.harvard.edu](http://www.huh.harvard.edu)).

E—The Herbarium of Royal Botanic Garden, Edinburgh EH3 5LR, Scotland, UK ([www.rbge.org.uk](http://www.rbge.org.uk)).

LE—The Herbarium of the V.L. Komarov Botanical Institute of the Russian Academy of Sciences, Prof. Popov Street 2, Saint Petersburg 197376, Russia ([www.binran.ru](http://www.binran.ru)).

Herbarium	Collection number	Collecting locality	Collectors	Number of leaf samples	Collection date	CO <sub>2</sub> (ppmv)
LE	No. 2649	Malaysia	Beccari, O.	1	1868	289.23
A/GH	No. bb. 17229	150 m, Riau on Ond. Karimon, Archipel. Ind.	Neth. Ind. For. Service	2	1932	306.19
A/GH	No. bb. 18328	5 m, Z. O. afd. v. Borneo Tidoengsche Landen, Archipel. Ind.	Neth. Ind. For. Service	2	1934	306.46
A/GH	No. bb. 21151	500 m, Z. O. afd. Borneo, Poeroek Tjahoe Tahoedjan, Archipel. Ind.	Neth. Ind. For. Service	2	1936	306.76
KEP	No. 30887	Kata Tinggi, Johor, Malaysia	Comer, E.J.H.	1	1936	306.76
KEP	No. 57329	Batang Padang, Perak, Malaysia	Unkonwn	2	1947	309.82
KEP	No. 57330	Batang Padang, Perak, Malaysia	Unkonwn	2	1947	309.82
KEP	No. 55897	Batang Padang, Perak, Malaysia	Unkonwn	2	1947	309.82
KEP	No. 61064	Batang Padang, Perak, Malaysia	Syed Woh	2	1947	309.82

<u>E</u>	<u>No. bb. 40798</u>	<u>51 m, Kuala Trengganu-Besut Road, Bukit Bintang Block,</u> <u>Gunong Tebu Forest reserve, Malaysia</u>	<u>Sinclair, J. and Kiah</u> <u>bin, Salleh</u>	<u>2</u>	<u>1955</u>	<u>313.73</u>
<u>KEP</u>	<u>No. 80548</u>	<u>Gombak, Selangor, Malaysia</u>	<u>Rahim</u>	<u>1</u>	<u>1965</u>	<u>320.04</u>
<u>KEP</u>	<u>No. 33343</u>	<u>Jelebu, Negeri Sembilan, Malaysia</u>	<u>Yap, S.K.</u>	<u>2</u>	<u>1987</u>	<u>348.98</u>

*Note:* A/GH—Harvard University Herbarium, Harvard University, 22 Divinity Avenue, Cambridge, Massachusetts 02138, USA ([www.huh.harvard.edu](http://www.huh.harvard.edu)).  
E—The Herbarium of Royal Botanic Garden, Edinburgh EH3 5LR, Scotland, UK ([www.rbge.org.uk](http://www.rbge.org.uk)).  
LE—The Herbarium of the V.L. Komarov Botanical Institute of the Russian Academy of Sciences, Prof. Popov Street 2, Saint Petersburg 197376, Russia ([www.binran.ru](http://www.binran.ru)).  
KEP—Kepong Herbarium, Forest Research Institute Malaysia, 52109 Kepong, Selangor, Malaysia (<http://www.frim.gov.my/>).

957 Table 2. Summary of stomatal parameters of the adaxial surface ~~form from~~ modern *Nageia motleyi* (Parl.) De Laub.  
 958

<u>Collection number</u>	<u>Collection date</u>	<u>CO<sub>2</sub> (ppmv)</u>	<u>SD (mm<sup>-2</sup>)</u>					<u>SI (%)</u>				
			<u><math>\bar{x}</math></u>	<u><math>\sigma</math></u>	<u>s.e.</u>	<u>t*s.e.</u>	<u>n</u>	<u><math>\bar{x}</math></u>	<u><math>\sigma</math></u>	<u>s.e.</u>	<u>t*s.e.</u>	<u>n</u>
<u>No.2649</u>	<u>1868</u>	<u>289.23</u>	<u>78.60</u>	<u>15.44</u>	<u>1.41</u>	<u>2.76</u>	<u>120</u>	<u>3.44</u>	<u>0.66</u>	<u>0.06</u>	<u>0.12</u>	<u>120</u>
<u>No.bb.17229</u>	<u>1932</u>	<u>306.19</u>	<u>62.14</u>	<u>17.20</u>	<u>1.78</u>	<u>3.50</u>	<u>93</u>	<u>2.89</u>	<u>0.68</u>	<u>0.07</u>	<u>0.14</u>	<u>93</u>
<u>No.bb.18328</u>	<u>1934</u>	<u>306.46</u>	<u>64.57</u>	<u>15.05</u>	<u>1.58</u>	<u>3.11</u>	<u>90</u>	<u>3.39</u>	<u>1.01</u>	<u>0.11</u>	<u>0.21</u>	<u>90</u>
<u>No.bb.21151</u>	<u>1936</u>	<u>306.76</u>	<u>65.45</u>	<u>11.14</u>	<u>1.17</u>	<u>2.30</u>	<u>90</u>	<u>3.94</u>	<u>0.74</u>	<u>0.08</u>	<u>0.15</u>	<u>90</u>
<u>No.SFN30887</u>	<u>1936</u>	<u>306.76</u>	<u>66.90</u>	<u>16.10</u>	<u>1.27</u>	<u>2.49</u>	<u>161</u>	<u>3.61</u>	<u>0.92</u>	<u>0.07</u>	<u>0.14</u>	<u>161</u>
<u>No.61064</u>	<u>1947</u>	<u>309.82</u>	<u>56.71</u>	<u>16.81</u>	<u>1.95</u>	<u>3.83</u>	<u>74</u>	<u>3.27</u>	<u>1.26</u>	<u>0.15</u>	<u>0.29</u>	<u>74</u>
<u>No.57330</u>	<u>1947</u>	<u>309.82</u>	<u>67.37</u>	<u>15.97</u>	<u>2.04</u>	<u>4.01</u>	<u>61</u>	<u>3.70</u>	<u>0.82</u>	<u>0.10</u>	<u>0.20</u>	<u>61</u>
<u>No.57329</u>	<u>1947</u>	<u>309.82</u>	<u>67.85</u>	<u>15.61</u>	<u>1.70</u>	<u>3.34</u>	<u>84</u>	<u>3.50</u>	<u>0.90</u>	<u>0.10</u>	<u>0.20</u>	<u>84</u>
<u>No.55897</u>	<u>1947</u>	<u>309.82</u>	<u>66.74</u>	<u>14.10</u>	<u>1.78</u>	<u>3.48</u>	<u>63</u>	<u>3.18</u>	<u>0.66</u>	<u>0.08</u>	<u>0.16</u>	<u>63</u>
<u>No.40798</u>	<u>1955</u>	<u>313.73</u>	<u>45.89</u>	<u>13.81</u>	<u>1.12</u>	<u>2.20</u>	<u>151</u>	<u>3.03</u>	<u>0.87</u>	<u>0.07</u>	<u>0.14</u>	<u>151</u>
<u>No.KEP80548</u>	<u>1965</u>	<u>320.04</u>	<u>52.94</u>	<u>11.25</u>	<u>0.85</u>	<u>1.67</u>	<u>175</u>	<u>2.81</u>	<u>0.61</u>	<u>0.05</u>	<u>0.09</u>	<u>175</u>
<u>No.FRI33343</u>	<u>1987</u>	<u>348.98</u>	<u>52.25</u>	<u>12.05</u>	<u>0.77</u>	<u>1.51</u>	<u>242</u>	<u>2.87</u>	<u>0.69</u>	<u>0.04</u>	<u>0.09</u>	<u>242</u>
<u>Mean</u>	<u>=</u>	<u>=</u>	<u>62.28</u>	<u>14.54</u>	<u>1.45</u>	<u>2.85</u>	<u>117</u>	<u>3.30</u>	<u>0.52</u>	<u>0.08</u>	<u>0.16</u>	<u>117</u>

Note:  $\bar{x}$ —mean;  $\sigma$ —standard deviation; s.e. —standard error of mean; n— numbers of photos counts (40×); t\*s.e.— 95% confidence interval.

Table 3. Summary of stomatal parameters of the abaxial surface ~~form from~~ modern *Nageia motleyi* (Parl.) De Laub.

<u>Collection number</u>	<u>Collection date</u>	<u>CO<sub>2</sub> (ppmv)</u>	<u>SD (mm<sup>-2</sup>)</u>					<u>SI (%)</u>				
			<u><math>\bar{x}</math></u>	<u><math>\sigma</math></u>	<u>s.e.</u>	<u>t*s.e.</u>	<u>n</u>	<u><math>\bar{x}</math></u>	<u><math>\sigma</math></u>	<u>s.e.</u>	<u>t*s.e.</u>	<u>n</u>
<u>No.2649</u>	<u>1868</u>	<u>289.23</u>	<u>82.71</u>	<u>12.23</u>	<u>1.02</u>	<u>2.00</u>	<u>144</u>	<u>3.89</u>	<u>0.58</u>	<u>0.05</u>	<u>0.09</u>	<u>144</u>
<u>No.bb.17229</u>	<u>1932</u>	<u>306.19</u>	<u>69.16</u>	<u>14.23</u>	<u>1.48</u>	<u>2.90</u>	<u>93</u>	<u>3.13</u>	<u>0.58</u>	<u>0.06</u>	<u>0.12</u>	<u>93</u>
<u>No.bb.18328</u>	<u>1934</u>	<u>306.46</u>	<u>69.92</u>	<u>14.38</u>	<u>1.52</u>	<u>2.97</u>	<u>90</u>	<u>3.99</u>	<u>1.08</u>	<u>0.11</u>	<u>0.22</u>	<u>90</u>
<u>No.bb.21151</u>	<u>1936</u>	<u>306.76</u>	<u>75.68</u>	<u>15.74</u>	<u>1.66</u>	<u>3.25</u>	<u>90</u>	<u>4.66</u>	<u>0.88</u>	<u>0.09</u>	<u>0.18</u>	<u>90</u>
<u>No.SFN30887</u>	<u>1936</u>	<u>306.76</u>	<u>76.18</u>	<u>12.51</u>	<u>0.99</u>	<u>1.93</u>	<u>161</u>	<u>4.42</u>	<u>0.89</u>	<u>0.07</u>	<u>0.14</u>	<u>161</u>
<u>No.61064</u>	<u>1947</u>	<u>309.82</u>	<u>60.93</u>	<u>11.02</u>	<u>1.39</u>	<u>2.72</u>	<u>63</u>	<u>3.05</u>	<u>0.62</u>	<u>0.08</u>	<u>0.15</u>	<u>63</u>
<u>No.57330</u>	<u>1947</u>	<u>309.82</u>	<u>75.82</u>	<u>14.14</u>	<u>1.82</u>	<u>3.58</u>	<u>60</u>	<u>4.38</u>	<u>0.84</u>	<u>0.11</u>	<u>0.21</u>	<u>60</u>
<u>No.57329</u>	<u>1947</u>	<u>309.82</u>	<u>71.74</u>	<u>16.84</u>	<u>1.75</u>	<u>3.42</u>	<u>93</u>	<u>3.72</u>	<u>0.62</u>	<u>0.06</u>	<u>0.13</u>	<u>93</u>
<u>No.55897</u>	<u>1947</u>	<u>309.82</u>	<u>78.63</u>	<u>13.41</u>	<u>1.75</u>	<u>3.42</u>	<u>59</u>	<u>4.41</u>	<u>1.00</u>	<u>0.13</u>	<u>0.26</u>	<u>59</u>
<u>No.40798</u>	<u>1955</u>	<u>313.73</u>	<u>53.22</u>	<u>13.88</u>	<u>1.12</u>	<u>2.19</u>	<u>155</u>	<u>3.71</u>	<u>0.93</u>	<u>0.07</u>	<u>0.15</u>	<u>155</u>
<u>No.KEP80548</u>	<u>1965</u>	<u>320.04</u>	<u>67.22</u>	<u>13.97</u>	<u>1.07</u>	<u>2.09</u>	<u>171</u>	<u>3.70</u>	<u>0.80</u>	<u>0.06</u>	<u>0.12</u>	<u>171</u>
<u>No.FRI33343</u>	<u>1987</u>	<u>348.98</u>	<u>59.09</u>	<u>12.10</u>	<u>0.79</u>	<u>1.55</u>	<u>233</u>	<u>3.69</u>	<u>0.86</u>	<u>0.06</u>	<u>0.11</u>	<u>233</u>
<u>Mean</u>	<u>=</u>	<u>=</u>	<u>70.03</u>	<u>13.70</u>	<u>1.36</u>	<u>2.67</u>	<u>118</u>	<u>3.90</u>	<u>0.81</u>	<u>0.08</u>	<u>0.16</u>	<u>118</u>

Note:  $\bar{x}$ —mean;  $\sigma$ —standard deviation; s.e. —standard error of mean; n— numbers of photos counts (40×); t\*s.e.— 95% confidence interval.



960 ~~Table 4. Summary of stomatal parameters of the adaxial surface of fossil *Nageia* and pCO<sub>2</sub> [C<sub>f</sub>] estimates results.~~

961

962 Table 4. Summary of stomatal parameters of the combined data of the adaxial and abaxial surfaces from modern *Nageia motleyi* (Parl.) De Laub.

<u>Collection number</u>	<u>Collection date</u>	<u>CO<sub>2</sub> (ppmv)</u>	<u>SD (mm<sup>-2</sup>)</u>					<u>SI (%)</u>				
			<u><math>\bar{x}</math></u>	<u><math>\sigma</math></u>	<u>s.e.</u>	<u>t*s.e.</u>	<u>n</u>	<u><math>\bar{x}</math></u>	<u><math>\sigma</math></u>	<u>s.e.</u>	<u>t*s.e.</u>	<u>n</u>
<u>No.2649</u>	<u>1868</u>	<u>289.23</u>	<u>80.84</u>	<u>13.74</u>	<u>0.85</u>	<u>1.66</u>	<u>264</u>	<u>3.69</u>	<u>0.66</u>	<u>0.04</u>	<u>0.08</u>	<u>264</u>
<u>No.bb.17229</u>	<u>1932</u>	<u>306.19</u>	<u>65.65</u>	<u>16.13</u>	<u>1.18</u>	<u>2.32</u>	<u>186</u>	<u>3.01</u>	<u>0.64</u>	<u>0.05</u>	<u>0.09</u>	<u>186</u>
<u>No.bb.18328</u>	<u>1934</u>	<u>306.46</u>	<u>67.24</u>	<u>14.92</u>	<u>1.11</u>	<u>2.18</u>	<u>180</u>	<u>3.69</u>	<u>1.08</u>	<u>0.08</u>	<u>0.16</u>	<u>180</u>
<u>No.bb.21151</u>	<u>1936</u>	<u>306.76</u>	<u>70.57</u>	<u>14.53</u>	<u>1.08</u>	<u>2.12</u>	<u>180</u>	<u>4.30</u>	<u>0.89</u>	<u>0.07</u>	<u>0.13</u>	<u>180</u>
<u>No.SFN30887</u>	<u>1936</u>	<u>306.76</u>	<u>71.54</u>	<u>15.12</u>	<u>0.84</u>	<u>1.65</u>	<u>322</u>	<u>4.01</u>	<u>0.99</u>	<u>0.05</u>	<u>0.11</u>	<u>322</u>
<u>No.61064</u>	<u>1947</u>	<u>309.82</u>	<u>58.65</u>	<u>14.54</u>	<u>1.24</u>	<u>2.43</u>	<u>137</u>	<u>3.17</u>	<u>1.02</u>	<u>0.09</u>	<u>0.17</u>	<u>137</u>
<u>No.57330</u>	<u>1947</u>	<u>309.82</u>	<u>71.56</u>	<u>15.61</u>	<u>1.42</u>	<u>2.78</u>	<u>121</u>	<u>4.03</u>	<u>0.89</u>	<u>0.08</u>	<u>0.16</u>	<u>121</u>
<u>No.57329</u>	<u>1947</u>	<u>309.82</u>	<u>69.90</u>	<u>16.33</u>	<u>1.23</u>	<u>2.41</u>	<u>177</u>	<u>3.62</u>	<u>0.77</u>	<u>0.06</u>	<u>0.11</u>	<u>177</u>
<u>No.55897</u>	<u>1947</u>	<u>309.82</u>	<u>72.49</u>	<u>14.95</u>	<u>1.35</u>	<u>2.65</u>	<u>122</u>	<u>3.77</u>	<u>1.04</u>	<u>0.09</u>	<u>0.18</u>	<u>122</u>
<u>No.40798</u>	<u>1955</u>	<u>313.73</u>	<u>49.60</u>	<u>14.31</u>	<u>0.82</u>	<u>1.60</u>	<u>306</u>	<u>3.37</u>	<u>0.96</u>	<u>0.05</u>	<u>0.11</u>	<u>306</u>
<u>No.KEP80548</u>	<u>1965</u>	<u>320.04</u>	<u>60.00</u>	<u>14.53</u>	<u>0.78</u>	<u>1.53</u>	<u>346</u>	<u>3.25</u>	<u>0.84</u>	<u>0.05</u>	<u>0.09</u>	<u>346</u>
<u>No.FRI33343</u>	<u>1987</u>	<u>348.98</u>	<u>55.61</u>	<u>12.53</u>	<u>0.58</u>	<u>1.13</u>	<u>475</u>	<u>3.28</u>	<u>0.88</u>	<u>0.04</u>	<u>0.08</u>	<u>475</u>
<u>Mean</u>	<u>=</u>	<u>=</u>	<u>66.14</u>	<u>14.77</u>	<u>1.04</u>	<u>2.08</u>	<u>235</u>	<u>3.60</u>	<u>0.89</u>	<u>0.06</u>	<u>0.12</u>	<u>235</u>

Note:  $\bar{x}$ —mean;  $\sigma$ —standard deviation; s.e. —standard error of mean; n— numbers of photos counts (40×); t\*s.e.— 95% confidence interval.

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964 ~~Table 5. Summary of stomatal parameters of the abaxial surface of fossil *Nageia* and pCO<sub>2</sub> [C<sub>eff</sub>] estimates results.~~  
 965 ~~Table 5. Summary of stomatal parameters from modern *Nageia motleyi* (Parl.) De Laub (Kouwenberg et al., 2003).~~

<u>Collection number</u>	<u>Collection date</u>	<u>CO<sub>2</sub> (ppmv)</u>	<u>SNL</u>	<u>SDL</u>	<u>TSDL</u>	<u>n</u>
<u>No.2649</u>	<u>1868</u>	<u>289.23</u>	<u>11.64</u>	<u>394.38</u>	<u>1455.10</u>	<u>264</u>
<u>No.bb.17229</u>	<u>1932</u>	<u>306.19</u>	<u>9.19</u>	<u>337.98</u>	<u>1280.12</u>	<u>186</u>
<u>No.bb.18328</u>	<u>1934</u>	<u>306.46</u>	<u>8.71</u>	<u>378.92</u>	<u>1277.63</u>	<u>180</u>
<u>No.bb.21151</u>	<u>1936</u>	<u>306.76</u>	<u>9.62</u>	<u>376.93</u>	<u>1517.21</u>	<u>180</u>
<u>No.SFN30887</u>	<u>1936</u>	<u>306.76</u>	<u>10.55</u>	<u>325.08</u>	<u>735.38</u>	<u>240</u>
<u>No.61064</u>	<u>1947</u>	<u>309.82</u>	<u>8.19</u>	<u>282.04</u>	<u>1200.66</u>	<u>133</u>
<u>No.57330</u>	<u>1947</u>	<u>309.82</u>	<u>9.67</u>	<u>397.83</u>	<u>1397.33</u>	<u>119</u>
<u>No.57329</u>	<u>1947</u>	<u>309.82</u>	<u>10.13</u>	<u>350.98</u>	<u>1672.50</u>	<u>176</u>
<u>No.55897</u>	<u>1947</u>	<u>309.82</u>	<u>10.48</u>	<u>379.06</u>	<u>1486.13</u>	<u>122</u>
<u>No.40798</u>	<u>1955</u>	<u>313.73</u>	<u>10.29</u>	<u>175.14</u>	<u>933.85</u>	<u>305</u>
<u>No.KEP80548</u>	<u>1965</u>	<u>320.04</u>	<u>9.36</u>	<u>266.16</u>	<u>585.72</u>	<u>263</u>
<u>No.FRI33343</u>	<u>1987</u>	<u>348.98</u>	<u>9.84</u>	<u>252.20</u>	<u>1181.51</u>	<u>125</u>
<u>Mean</u>	<u>=</u>	<u>=</u>	<u>9.81</u>	<u>326.39</u>	<u>1226.93</u>	<u>191</u>

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Table 6. The pCO<sub>2</sub> estimates proxies and corresponding references.

Proxies	References
Boron	Pearson et al., 2009; Seki et al., 2010
B/Ca	Tripati et al., 2009
Phytoplankton	Freeman and Hayes, 1992; Stott, 1992; Pagani et al., 1999, 2005; Henderiks and Pagani, 2008; Seki et al., 2010
Nahcolite	Lowenstein and Demicco, 2006
Liverworts	Fletcher et al., 2008
Paleosols	Cerling, 1992; Koch et al., 1992; Ekart et al., 1999; Retallack, 2009b; Royer et al., 2001
Stomata	Van der Burgh et al., 1993; Kürschner et al., 1996; McElwain, 1998; Kürschner et al., 2001; Greenwood et al., 2003; Royer, 2003; Kürschner et al., 2008; Beerling et al., 2009; Royer et al., 2001; Retallack, 2009a; Smith et al., 2010; Doria et al., 2011

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Table 6. Summary of stomatal parameters of the adaxial surface of fossil *Nageia* and pCO<sub>2</sub> [*C<sub>f</sub>*] estimates results.

Species	Age	SD (mm <sup>2</sup> )				SI (%)				SR		pCO <sub>2</sub> (ppmv)		<i>C<sub>f</sub></i> (ppmv)	
		$\bar{x}$	$\sigma$	s.e.	n	$\bar{x}$	$\sigma$	s.e.	n	$\bar{x}$	t*s.e.	$\bar{x}$	t*s.e.	$\bar{x}$	t*s.e.
<u>MMJ1-001</u>	<u>Late Eocene</u>	<u>52.5</u>	<u>17.1</u>	<u>3.1</u>	<u>30</u>	<u>2.08</u>	<u>0.7</u>	<u>0.1</u>	<u>30</u>	<u>1.35</u>	<u>0.19</u>	<u>333.6</u>	<u>13.9</u>	<u>412.1</u>	<u>62.0</u>
<u>MMJ2-003</u>	<u>Late Eocene</u>	<u>42.3</u>	<u>12.9</u>	<u>2.4</u>	<u>30</u>	<u>1.80</u>	<u>0.6</u>	<u>0.1</u>	<u>30</u>	<u>1.75</u>	<u>0.39</u>	<u>356.8</u>	<u>10.5</u>	<u>536.1</u>	<u>126.2</u>
<u>MMJ2-004</u>	<u>Late Eocene</u>	<u>39.9</u>	<u>13.6</u>	<u>2.5</u>	<u>30</u>	<u>1.66</u>	<u>0.6</u>	<u>0.1</u>	<u>30</u>	<u>1.81</u>	<u>0.32</u>	<u>362.4</u>	<u>11.0</u>	<u>554.3</u>	<u>101.9</u>
<u>MMJ3-003a</u>	<u>Late Eocene</u>	<u>43.2</u>	<u>17.7</u>	<u>3.2</u>	<u>30</u>	<u>1.67</u>	<u>0.7</u>	<u>0.1</u>	<u>30</u>	<u>1.84</u>	<u>0.43</u>	<u>354.8</u>	<u>14.4</u>	<u>564.6</u>	<u>135.7</u>
<u>Mean</u>	<u>Late Eocene</u>	<u>44.5</u>	<u>16.3</u>	<u>1.5</u>	<u>120</u>	<u>1.80</u>	<u>0.7</u>	<u>0.1</u>	<u>120</u>	<u>1.69</u>	<u>0.18</u>	<u>351.9</u>	<u>6.6</u>	<u>516.8</u>	<u>56.5</u>

*Note:*  $\bar{x}$ —mean;  $\sigma$ —standard deviation; s.e. —standard error of mean; n— numbers of photos counts (400×); t\*s.e.— 95% confidence interval. pCO<sub>2</sub>— the result based the regression approach; *C<sub>f</sub>*— the result based on the stomatal method.

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972 | Table 7. Summary of stomatal parameters of the abaxial surface of fossil *Nageia* and pCO<sub>2</sub> [*C<sub>f</sub>*] estimates results.

<u>Species</u>	<u>Age</u>	<u>SD (mm<sup>-2</sup>)</u>				<u>SI (%)</u>				<u>SR</u>		<u>pCO<sub>2</sub>(ppmv)</u>		<u><i>C<sub>f</sub></i> (ppmv)</u>	
		<u><i>x</i></u>	<u><i>σ</i></u>	<u>s.e.</u>	<u><i>n</i></u>	<u><i>x</i></u>	<u><i>σ</i></u>	<u>s.e.</u>	<u><i>n</i></u>	<u><i>x</i></u>	<u>t*s.e</u>	<u><i>x</i></u>	<u>t*s.e</u>	<u><i>x</i></u>	<u>t*s.e</u>
<u>MMJ1-001</u>	<u>Late Eocene</u>	<u>47.7</u>	<u>17.7</u>	<u>3.2</u>	<u>30</u>	<u>2.11</u>	<u>0.8</u>	<u>0.2</u>	<u>30</u>	<u>1.66</u>	<u>0.23</u>	<u>368.6</u>	<u>16.2</u>	<u>515.6</u>	<u>72.3</u>
<u>MMJ2-003</u>	<u>Late Eocene</u>	<u>50.9</u>	<u>18.3</u>	<u>3.3</u>	<u>30</u>	<u>2.12</u>	<u>0.8</u>	<u>0.1</u>	<u>30</u>	<u>1.57</u>	<u>0.23</u>	<u>360.9</u>	<u>16.6</u>	<u>486.0</u>	<u>70.7</u>
<u>MMJ2-004</u>	<u>Late Eocene</u>	<u>48.2</u>	<u>15.8</u>	<u>2.9</u>	<u>30</u>	<u>2.14</u>	<u>0.7</u>	<u>0.1</u>	<u>30</u>	<u>1.63</u>	<u>0.25</u>	<u>367.4</u>	<u>14.5</u>	<u>504.6</u>	<u>77.3</u>
<u>MMJ3-003a</u>	<u>Late Eocene</u>	<u>48.9</u>	<u>12.6</u>	<u>2.7</u>	<u>22</u>	<u>1.85</u>	<u>0.5</u>	<u>0.1</u>	<u>22</u>	<u>1.52</u>	<u>0.19</u>	<u>365.4</u>	<u>13.5</u>	<u>472.3</u>	<u>59.0</u>
<u>Mean</u>	<u>Late Eocene</u>	<u>48.9</u>	<u>16.2</u>	<u>1.5</u>	<u>112</u>	<u>2.07</u>	<u>0.7</u>	<u>0.1</u>	<u>112</u>	<u>1.60</u>	<u>0.11</u>	<u>365.6</u>	<u>7.6</u>	<u>496.1</u>	<u>35.7</u>

Note: *x*—mean; *σ*—standard deviation; s.e. —standard error of mean; *n*— numbers of photos counts (400×); t\*s.e.— 95% confidence interval. pCO<sub>2</sub>— the result based the regression approach; *C<sub>f</sub>*— the result based on the stomatal method.

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974 Table 8. Summary of stomatal parameters of the combined data of the adaxial and abaxial surfaces of fossil *Nageia* and pCO<sub>2</sub> [*C<sub>f</sub>*] estimates  
 975 results.

<u>Species</u>	<u>Age</u>	<u>SD (mm<sup>-2</sup>)</u>				<u>SI (%)</u>				<u>SR</u>		<u>pCO<sub>2</sub> (ppmv)</u>		<u>C<sub>f</sub> (ppmv)</u>	
		<u><i>x</i></u>	<u><i>σ</i></u>	<u>s.e.</u>	<u><i>n</i></u>	<u><i>x</i></u>	<u><i>σ</i></u>	<u>s.e.</u>	<u><i>n</i></u>	<u><i>x</i></u>	<u>t*s.e</u>	<u><i>x</i></u>	<u>t*s.e</u>	<u><i>x</i></u>	<u>t*s.e</u>
<u>MMJ1-001</u>	<u>Late Eocene</u>	<u>50.1</u>	<u>17.5</u>	<u>2.3</u>	<u>60</u>	<u>2.09</u>	<u>0.8</u>	<u>0.1</u>	<u>60</u>	<u>1.50</u>	<u>0.15</u>	<u>349.7</u>	<u>10.6</u>	<u>471.2</u>	<u>47.8</u>
<u>MMJ2-003</u>	<u>Late Eocene</u>	<u>46.5</u>	<u>16.3</u>	<u>2.1</u>	<u>60</u>	<u>1.96</u>	<u>0.7</u>	<u>0.1</u>	<u>60</u>	<u>1.67</u>	<u>0.24</u>	<u>358.3</u>	<u>9.8</u>	<u>524.1</u>	<u>75.7</u>
<u>MMJ2-004</u>	<u>Late Eocene</u>	<u>44.0</u>	<u>15.8</u>	<u>2.0</u>	<u>60</u>	<u>1.90</u>	<u>0.7</u>	<u>0.1</u>	<u>60</u>	<u>1.73</u>	<u>0.17</u>	<u>364.3</u>	<u>9.5</u>	<u>542.9</u>	<u>52.6</u>
<u>MMJ3-003a</u>	<u>Late Eocene</u>	<u>45.6</u>	<u>16.1</u>	<u>2.2</u>	<u>52</u>	<u>1.75</u>	<u>0.6</u>	<u>0.1</u>	<u>52</u>	<u>1.73</u>	<u>0.28</u>	<u>360.5</u>	<u>10.4</u>	<u>544.6</u>	<u>88.3</u>
<u>Mean</u>	<u>Late Eocene</u>	<u>46.6</u>	<u>16.4</u>	<u>1.1</u>	<u>232</u>	<u>1.93</u>	<u>0.7</u>	<u>0.1</u>	<u>232</u>	<u>1.66</u>	<u>0.11</u>	<u>358.1</u>	<u>5.0</u>	<u>519.9</u>	<u>35.0</u>

Note: *x*—mean; *σ*—standard deviation; s.e. —standard error of mean; *n*— numbers of photos counts (400×); t\*s.e.— 95% confidence interval. pCO<sub>2</sub>— the result based the regression approach; C<sub>f</sub>— the result based on the stomatal method.

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Table 9. pCO<sub>2</sub> estimates proxies and corresponding references.

<u>Proxies</u>	<u>References</u>
<u>Boron</u>	<u>Pearson et al., 2009; Seki et al., 2010</u>
<u>B/Ca</u>	<u>Tripati et al., 2009</u>
<u>Phytoplankton</u>	<u>Freeman and Hayes, 1992; Stott, 1992; Pagani et al., 1999, 2005; Henderiks and Pagani, 2008; Seki et al., 2010</u>
<u>Nahcolite</u>	<u>Lowenstein and Demicco, 2006</u>
<u>Liverworts</u>	<u>Fletcher et al., 2008</u>
<u>Paleosols</u>	<u>Cerling, 1992; Koch et al., 1992; Ekart et al., 1999; Royer et al., 2001; Nordt et al., 2002; Retallack, 2009b; Huang et al. 2013</u>
<u>Stomata</u>	<u>Van der Burgh et al., 1993; Kürschner et al., 1996, 2001, 2008; McElwain, 1998; Royer et al., 2001, 2003; Greenwood et al., 2003; Beerling et al., 2009; Retallack, 2009a; Smith et al., 2010; Doria et al., 2011; Roth-Nebelsick et al., 2012; 2014; Grein et al., 2013; Maxbauer et al., 2014</u>

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