

Reply to comments on “Constraints on ocean circulation at the Paleocene-Eocene Thermal Maximum from neodymium isotopes”

A.N. Abbott, B.A. Haley, A.K. Tripathi, and M. Frank

Editor Comments to the Author:

Dear Authors,

Based on the two referee reports, I opt for reconsidering your submission after major revisions. Your contribution is certainly significant in terms of providing a new Nd isotopic data set and applying it to the PETM, but I ask you to revise your manuscript and carefully pay attention to the points brought up by the two referees, with respect to your methods and, in particular, your conclusion (referee #1, point 4) or hypothesis (referee #2, point 3).

Yours sincerely,

André Paul

We thank the reviewers and editor for their constructive feedback, which we have used to prepare the revised manuscript and have discussed in detail below. Please note that line numbers correspond to the clean version of the revised manuscript.

General Remarks

1) We have improved our method section to include more detailed descriptions of the reagents and the leaching procedure. The best practice for leaching sediments to obtain reliable bottom water ϵNd signatures is currently still debated (Wilson et al., 2013), however we used the standard leaching practice at the time of analysis (pre-Wilson, 2012), which has also been used in a series of other studies, including (Rutberg et al., 2000; Bayon et al., 2002, 2004), and this is now stated in lines (140-142). Sample limitations prevent re-leaching samples in response to the more recent work. While we present hydroxylamine leachate results, we collected both the buffered acetic acid and the hydroxylamine leaches (now stated in lines 150-154). Because the results from the acetic leaches that were analyzed for ϵNd were within error identical (lines 150-154) and we thus consider our leaches to reliably reflect bottom water signatures. The improvements to our methods section are further discussed in our response to reviewer 1, point 1 below.

2) In terms of conclusions, we now discuss the possibility of the observed differences in ϵNd being present in the absence of a topographic barrier in the manuscript (see lines 250-252). Our point with the original statement was that we are interpreting the global ocean subdivided into 3 basins with distinct ϵNd records, and this is now clearly stated (line 248). We have added additional text discussing circulation as a trigger for the carbon release. Specifically, we have added text discussing the excursion seen in the ϵNd from fish teeth in the Southern Ocean at the onset of the PETM, a time for which sediment samples were unavailable for the Southern Ocean sites. We note that the excursions in ϵNd for the Pacific and Southern Ocean are of similar magnitude (~2

units). All of these ϵ Nd excursions precede the CIE (lines 213-217).

We respond to specific reviewer remarks below. Please note that our responses to the reviewers have been updated to reflect additional changes made after the editor's remarks (including updated line numbers).

Anonymous Referee #1

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General comments In order to better constrain changes in thermohaline circulation across the PETM, this study provides 103 Nd isotopic compositions obtained from reductively leached decarbonated marine sediments. By combining new data with previously reported benthic foraminiferal ^{13}C and ^{14}Nd values measured on fish teeth/debris as well as model simulations, the authors propose that a circulation changes in the Pacific Ocean was a trigger for carbon release. The large number of new Nd data has a potential to better understand the role of oceanic circulation during the PETM period. One of the essential contributions of this study is the application of leachate Nd isotopic ratios to reconstruct bottom water masses, which is not limited to the occurrence of fish teeth/debris. Consequently, the validation of the approach and usefulness of new data to constrain the ocean circulation are two major points. I, however, found that these issues were not enough discussed in the present manuscript. Below I develop my suggestions and questions.

We thank this anonymous reviewer for helpful comments, which were used to revise the manuscript.

1. About faithfulness of leachate Nd isotopic compositions as a proxy of bottom water masses. The authors state that new ^{14}Nd values of leachates generally agree with fish teeth values. I rather observe the offsets up to 1 ϵ -unit for sites 401, 527 and 690 in the early PETM (Figure 2). Indeed, comparison between leachates and fish teeth values is not always straightforward because of distinct temporal resolution. Furthermore, some recent studies pointed out the difficulty to extract bottom water ^{14}Nd values using reductive leaching if samples contain volcanogenic material (Elmore et al., 2011). Possible bias caused by decarbonation (Molina-Kescher et al., 2014; Wilson et al., 2012) are also suggested. Did the authors optimize the leaching method for their samples taking into account these studies? This is a critical point in particular for Pacific samples because Martin et al. (2010) reported ^{14}Nd comparison between fish teeth and leachate for site 690 in the South Atlantic but not for Pacific samples. More description about the leaching procedures is necessary including concentration and volume of leaching reagents as well as leaching time. Also, "This may be. . . combination of the two" (P.2563, lines 20-23) is unclear and required to be further explained.

We recognize the reviewer's concerns about the leaching methods. While the best

practice for leaching sediments to obtain reliable bottom water ϵNd signatures is currently still debated (Wilson et al., 2013), we used the standard leaching practice at the time of analysis (pre-Wilson, 2012, lines 140-142), which has also been used in a series of other studies, including (Rutberg et al., 2000; Bayon et al., 2002, 2004). As no additional sediment was available, the leaching procedure could not be altered or repeated after the Molina-Kescher et al., 2014 or Wilson et al., 2012 methods, and this is now explicitly stated lines 162-164.

*We have improved our descriptions of the reagents and the leaching procedure to strengthen the methods section (lines 142-144), and we have no evidence for the presence of volcanogenic material in our sediments. We present hydroxylamine leachate results, but we collected both the buffered acetic acid and the hydroxylamine leaches (now stated in lines 150-154). Because the results from the acetic leaches that were analyzed for ϵNd were within error identical (lines 150-154) and we thus consider our leaches to reliably reflect bottom water signatures. This was recently supported by publications on Atlantic records by Khelifi and Frank (2014) in *Climate of the Past* and Böhm et al. (2015) in *Nature*, which clearly showed that in the absence of volcanogenic material reliable bottom water signatures are extracted by leaching with hydroxylamine. Specifically, the leach ϵNd signatures agree well with other methods, such as the extraction from coatings of planktonic foraminiferal shells. This is now stated in lines 154-156.*

We now acknowledge in the edited text that there are potential uncertainties associated with leaching sediments that may have undergone late-stage diagenesis, although to our knowledge no concrete examples exist in the literature for the influence of late stage diagenesis on ϵNd signatures recorded in Cenozoic sediments. This is now stated in lines 156-160. Importantly, for these reasons, we do not base any of our interpretations on absolute ϵNd signatures of individual samples. Instead, we focus on the relative change in ϵNd between sites and over time at each site, and our interpretations are all based on these relative changes. Similarly we recognize that there may be offsets between leach and fish teeth data, which is why we only focus on the interpretation of relative trends in ϵNd signatures for both data sets (see also response to anonymous referee #2, point 1 for further explanation). This is now stated in lines 205-207.

2. Insufficient explanation about the link between proposed circulation scenarios (Figure 3) and the new Nd data. The authors describe “a fundamentally different circulation system than present during the PETM (section 3.3)” but this statement is not clearly shown by new Nd isotopic data. Considering uncertainty of extracted seawater Nd isotopic signals (difference between fish teeth/debris and leachates), it is not obvious which changes are significant. The scenarios shown in Figure 3 are ambiguous and incomplete. I suggest that the authors add ^{14}Nd values (and ^{13}C values) to each step in Figure 3 to clarify the link between the hypothesis and the data. Also, it would be useful to indicate already published data in Figure 3 to improve the spatial coverage even if the previous data do not totally cover the study time interval. Another issue about the interpretation of ^{14}Nd records is a lack of alternative hypothesis. For instance, the distinct ^{14}Nd values in the Pacific (^{14}Nd of -6 to -3.7) and the Southern Ocean (^{14}Nd of -9.2) are interpreted as a sign of restricted water mass exchange between the two basins due to the

shallow seas between Asia and Australia. Nevertheless, the present-day mean ϵNd values for the Southern Ocean, the equatorial Pacific and the North Pacific are -8.7, -3.9 and -3.8, respectively (Lacan et al., 2012). The difference of ϵNd values of about 5 ϵ -units between the Southern Ocean and the Pacific Ocean can be explained without any additional topographic barrier.

- *We have improved our link between the new ϵNd data and the circulation scenarios with the addition of the ϵNd values to Figure 3 as recommended below in the next comment.*
- *We have not included carbon isotope data nor existing published data in the interest of simplifying Figure 3. The fundamental shift we refer to is active versus absent deep-water formation in the North Pacific. Specifically, we propose that when the deep-water ϵNd signatures at site 1220 in the South Pacific became more positive (i.e. -3.4 to -4.0) there was deep-water formation in the North Pacific (Pre-PETM and during the PETM, temporarily “off” or minimal during the trigger event). These proposed on/off changes in North Pacific Deep Water formation agree well with the changes predicted by the model of Lunt et al. (2011). The periods of active deep-water formation in the North Pacific are shown in Figure 3 (arrows).*
- *Without a change in circulation, we would expect that the ϵNd signals would have remained constant over the entire record or showed a slow and predictable trend with any long-term changes (i.e., non-circulation) that may have occurred simultaneously (e.g. differing weathering inputs). We have modified the text to state this expectation (lines 243-246).*

3. The role of a circulation change in the Pacific as a trigger of carbon release. This is an important hypothesis but not enough discussed in the present manuscript. Even if consistency exists with some previous studies, only site 1220 record shows the corresponding ϵNd variability and there is no discussion whether the observed variability is local/regional or basin-scale. I would suggest add a figure to discuss this point in more detail by comparing the site 1220 data with other reconstructed climate parameters.

We agree the new dataset might also be consistent with other alternative hypotheses. However, we present and discuss in detail the hypothesis we feel best explains our observations. We note that our data lend support to the modeling results of Lunt et al. 2011 (lines 60-63), which did not incorporate neodymium isotope evidence at all (see lines 79-81). We have added additional discussion to incorporate fish teeth neodymium isotope evidence into our discussion of circulation as a trigger for the carbon release, while recognizing the potential for offset between fish teeth data and our leachate data.

Figure 3 now includes ϵNd values to provide clear links between Figure 2 and Figure 3 and illustrate missing portions of the leach record. However, we emphasize again that the relative changes of ϵNd are more important than the absolute value of ϵNd for our reconstruction of the circulation patterns displayed in Figure 3.

We now acknowledge the observed differences in ϵNd can be present in the absence of a topographic barrier in the manuscript (see lines 250-252). The important point is that we are interpreting the global ocean having been subdivided into 3 basins with distinct ϵNd records, as now clearly stated (line 246-249).

Section 3 contains a number of statements that require more explanation (see my specific comments below). Since there exist already proxy reconstruction and modelling studies, synthesis of previous data and possible mechanism of inferred circulation changes would be appreciated.

Overall, it is necessary to clarify the original contribution of the new data. I believe that it will reinforce this work.

Minor or specific comments P. 2562, line 1, “ Scher and Martin, 2006” would be deleted since the work uses fish teeth, not sediment leachates.

“Scher and Martin, 2006” removed

P. 2563, line 7, “Martin et al., 2012” would be deleted since the work uses fish teeth, not sediment leachates.

“2012” removed

P. 2564, lines 3-4, “convection occurred in both the North and South Pacific”. It is not clear which data support this statement, which time interval is concerned. ϵ_{Nd} values of sites 1220 decreased just before and at the onset of PETM (Figure 2) but there is no data for site 1209 for the same time interval. The authors cite Thomas et al. (2014) for distinct overturning in the North and South Pacific but the paper discussed the Pacific trend for 70-30 Ma.

We have clarified that the time interval we were referring to is the PETM and we have removed the Thomas et al. (2014) citation.

P. 2564, lines 9-11, “While. . . (Fig. 2)”. This sentence is unclear, in particular, “comparable changes”.

We have clarified the sentence (lines 223-225); it now reads “While the change in the fish teeth ϵ_{Nd} record is not a step-function, the observed magnitude and direction of change in leachate ϵ_{Nd} signatures is consistent with those changes reported for fish teeth ϵ_{Nd} signatures (Thomas et al., 2003) (Figure 2).”

P. 2564, lines 4-5, about insignificant contribution of Tethyan deep-waters. More explanation is necessary for this point using the new data.

This sentence addressing the Tethyan deep-waters has been removed.

P. 2566, lines 8-9. Here the authors state that co-variation of ϵ_{Nd} values from the three sites in the southern hemisphere (213, 527 and 690) was enhanced during the PETM (Figure 1a). I notice that the co-variation continued after the PETM and extended to 55Ma. The co-variation is not specific for the PETM.

We have clarified the text to read that the covariation “was enhanced immediately before, during, and following the PETM”

P. 2566, lines 18-19, about “the contrast” between Southern Ocean and North Atlantic. The authors interpret that “the contrast” of ϵ_{Nd} values as a sign of little water mass exchange between these basins. But the ϵ_{Nd} values for the Southern Ocean is about -9.2 whereas the North Atlantic value is around -9.3 during the PETM. Consequently, the close values could be interpreted by the existence of water mass exchange.

We understand the confusion and have added clarification to the text. In short, we were referring the different trends in ϵ_{Nd} observed between the two basins and not the absolute ϵ_{Nd} value.

P. 2566, lines 26-27, “a corresponding sensitivity... variable deep-water masses”. Please add more explanation.

The sentence was reworded for clarification to “The differences between the two North Atlantic ϵ_{Nd} records can be readily explained by a weak North Atlantic deep-water overturning cell, resulting in higher sensitivity to local changes in Nd inputs or locally variable deep-water masses.”

P. 2567, line 7-9, about the difference of ϵ_{Nd} values between the North and South Atlantic. Please indicate reference(s) showing the difference of 2 ϵ_{Nd} -units. According to Lacan et al. (2012), the mean values for the North and the South Atlantic are -11.5 and -10.5, respectively.

We have replaced the values with the reviewer’s recommended values for the North and South Atlantic.

Figure 1. Add ticks of ϵ_{Nd} and $\delta^{13}C$ axis to all the three figures to improve the clarity.

These ticks have been added.

Figure 2. It is confusing that age axis, symbols and the order of oceanic basins are different between Figures 1 and 2.

We have changed the order of oceans to match the order of the oceans in Figure 2.

The axis values are different between Figure 1 and Figure 2 to provide more detail of the ϵ_{Nd} values over the PETM in figure 1, while figure 2 is zoomed out to better place the sites in context with each other and the carbon record

References

Elmore, A. C., Piotrowski, A. M., Wright, J. D., and Scrivner, A. E.: Testing the extraction of past seawater Nd isotopic composition from North Atlantic deep sea sediments and foraminifera, *Geochem. Geophys. Geosyst.*, 12, Q09008, 2011.

Lacan, F., Tachikawa, K., and Jeandel, C.: Neodymium isotopic composition of the oceans: A compilation of seawater data, *Chem. Geol.*, 300-301, 177-184, 2012.

Molina-Kescher, M., Frank, M., and Hathorne, E. C.: Nd and Sr isotope compositions of different phases of surface sediments in the South Pacific: Extraction of seawater signatures, boundary exchange, and detrital/dust provenance, *Geochem. Geophys. Geosyst.*, 15, 3502-3520, 2014.

Wilson, D. J., Piotrowski, A. M., Galy, A., and McCave, I. N.: A boundary exchange influence on deglacial neodymium isotope records from the deep western Indian Ocean, *Earth and Planetary Science Letters*, 341-344, 35-47, 2012.

Anonymous Referee #2

This paper presents ¹⁴³Nd data measured on leachates of samples from 7 cores which span the Paleocene-Eocene Thermal Maximum and surrounding time periods. The paper uses this data to investigate the role that changes in ocean circulation played in the PETM. As such it addresses a question within the scope of this journal, and given the size of the new data set I believe this paper is appropriate for publication in *Climate of the Past*; however, there are a number of points which I think the authors should address in order to make their results and interpretations clearer for the reader.

We thank this reviewer for their helpful feedback.

Major comments include:

1. The comparison of the new leachate data presented in this study with published fish debris data from the same cores could be improved. It is unclear which depths (if any) have both fish debris and leachate data. If there are sufficient depths with values for both archives then a cross plot would seem the best way to compare leachate and fish debris data. If there is little data from the same depths then this won't be possible. In that case any apparent offset could be an artefact of sampling resolution, this may be the "higher-order variability" (p 2563 line 21) the authors refer to, but this could be elaborated upon. Although their results do not appear to show such a significant offset as has been reported by others (Elmore et al. 2011; Wilson et al. 2013), the authors should mention that decarbonated leachates have been shown to be susceptible to detrital contamination.

We recognize the offset between the fish teeth and the leachate data, but while the reason for the offset is not entirely clear the data are not contradictory. The fish teeth and sediments were generally collected from the same sites (213, 690, 527, and 401 are the same IODP sites for both fish teeth and leach samples), but the sediment core sections containing the fish teeth samples were not available for direct comparison (section data is not included in Thomas et al. 2003 or Stott et al. 1990). While there is some

uncertainty associated with the absolute ϵNd from both archival phases, we think that the common trends in the datasets are robust. Therefore, the discussion focuses on these trends (lines 205-207, see also anonymous reviewer 1 response 1).

2. If the fish debris versus leachate question is dealt with separately using a cross plot then Figure 2 can focus more directly upon the paleoceanographic interpretation of the data. If the authors believe that both fish debris and leachates are predominantly a seawater signal then I suggest the two data sets could be made into composite records for each core in Figure 2 (but keeping the hollow/filled symbol key for leachates/fish debris suggested in minor comments below). At present the existing fish debris data is under-utilised and the variability in the data across the PETM is not fully captured by not connecting the two data sets. If the authors do not wish to connect the two data sets in case of a possible systematic offset between the two, a dashed line of the same colour connecting only the fish debris would be helpful.

We have tried to add a dashed line for the fish teeth data but the figure becomes even harder to read and therefore have chosen not to include lines connecting the fish teeth data points.

3. The connection between the data sets in Figures 1 and 2 and the circulation schemes presented in Figure 3 could then be made much more explicit by either colour scaling the core location dots in Figure 3 with the ϵNd values for each time interval with a single scale bar on the side, or alternatively writing the ϵNd value next to each core site. This would also help to clarify if there are time intervals where some cores have no data, for example site 213 prior to the PETM. At present the reader is made to work quite hard to establish how the authors arrived at the circulation schematics shown.

Thank you for the feedback on how to improve the clarity of the relationship between figures. We have altered figure 3 to include ϵNd values near the location of each core.

4. Although they argue in the conclusion that the Paleocene-Eocene ocean should not be compared to a conveyor-belt-like circulation regime (p2569 line 21), some consideration should be given to the modern circulation regime and the resultant ϵNd values. In particular the fact that there is communication between the Atlantic, Southern and Pacific Oceans in the present day yet they display isotopically distinct values (Goldstein & Hemming 2003). Therefore, different ϵNd values in different ocean basins alone cannot rule out water mass exchange between the basins.

We now acknowledge the observed differences in ϵNd can be present in the absence of a topographic barrier in the manuscript (see lines 250-252). The important point is that we are interpreting the global ocean subdivided into 3 basins with distinct ϵNd records, as now clearly stated (line 246-250).

Minor comments:

Although they are listed in Table 1, no mention of the paleodepths of each site is made in

the text. It is worth explicitly stating that the sites were all estimated to have been at similar paleodepths which means they are not sampling water masses at different depths, especially for core sites within the same ocean basin.

We have added a sentence to the text pointing out the similarity of paleodepths (see lines 122-125).

p 2562 Section 2.3 Line 21. The authors could state that typical corrections made for this time period are small, approximately 0.5 " units, thus can be neglected as they have done (Thomas et al. 2003).

Following the advice of the reviewer, we have added a statement on the typical corrections for Nd isotopic compositions of PETM age (lines 176-178).

p 2563 section 3.2 line 11. Is site 1051B really in the eastern Atlantic? It appears to be western Atlantic in Figure 3.

We have corrected "eastern" to "western."

P 2568 lines 11-13 The authors argue that similar eNd shifts to those seen at site 1220 in the Pacific are not seen in the South Atlantic, however they have no leachate data from the corresponding time period (Figure 2). The only data in that time period is the published fish debris data which does potentially show a shift immediately prior to the PETM although it may not be coincident with the Pacific shift.

We have added text to discuss this point. See general response above. Specifically, we have noted that the Southern Ocean appears to have had an isotopic excursion of similar magnitude to that observed in the leachate data from the Pacific. We additionally note a smaller, less defined excursion is also visible in the Atlantic site 401 data. Without more constraints on the offset between the fish teeth and the leachates we are hesitant to speak to the relative timings of these shifts between basins, but in all cases the excursion in the neodymium isotope record precedes the CIE (see also response to question 1). Text added lines 212-217, 275-277, 299-302, and 320-322.

Table 1: It should be stated somewhere on the table that these are DSDP/ODP/IODP cores; although this is stated in the text, putting it at least in the table caption would make it clearer to the reader.

We have added 'IODP' to the table description.

Table 1: Rounding the coordinates to the nearest minute would be easier to read. Modern depths could also be rounded consistently (to the nearest metre).

We have taken the reviewer's advice. Specifically, we have rounded the coordinates as advised and we have rounded the modern depths to the nearest meter.

Figures 1 and Figure 2: Although the errors are stated in the text, average error bars should be included somewhere on all ¹⁴³Nd plots. This would make it easier for the reader to interpret whether any offsets between records from within the same ocean basins are significant.

We have added error bars to figures 1 & 2

Figures 1 and Figure 2: It would make it simpler to interpret these figures together if each core had consistent colour/symbol between the two figures.

We have changed the colors to match figure 2 and figure 3 and we have added epsilon and carbon ticks to all panels on figure 2.

Figure 2: It would be easier to interpret the records if the same pattern of filled symbols for fish debris and hollow symbols of the same colour for leachates were adopted for each core as is done in Figure 1.

We have changed the symbols in Figure 2 to match figure 1 (specifically, solid for fish teeth, hollow for leachate).

Typographic errors p 2560 line 15 “. . .at the PETM, Specifically. . .” should presumably be: “. . .at the PETM. Specifically. . .”

Typographic error corrected

References:

Elmore, A.C. et al., 2011. Testing the extraction of past seawater Nd isotopic composition from North Atlantic deep sea sediments and foraminifera. *Geochemistry Geophysics Geosystems*, 12(9), p.Q09008.

Goldstein, S.L. & Hemming, S.R., 2003. Long-lived Isotopic Tracers in Paleoclimatology and Ice Sheet Dynamics. In *Treatise on Geochemistry*. Elsevier Science Publishers B.V., pp. 453–489.

Thomas, D.J., Bralower, T.J. & Jones, C.E., 2003. Neodymium isotopic reconstruction of late Paleocene-early Eocene thermohaline circulation. *Earth and Planetary Science Letters*, 209(3-4), pp.309–322.

Wilson, D.J. et al., 2013. Reactivity of neodymium carriers in deep sea sediments: Implications for boundary exchange and paleoclimatology. *Geochimica et Cosmochimica Acta*, 109, pp.197–221.

25 **ABSTRACT**

26 **Global warming during the Paleocene Eocene Thermal Maximum (PETM) ~55**
27 **million years ago (Ma) coincided with a massive release of carbon to the ocean-atmosphere**
28 **system, as indicated by carbon isotopic data. Previous studies have argued for a role for**
29 **changing ocean circulation, possibly as a trigger or response to climatic changes. We use**
30 **neodymium (Nd) isotopic data to reconstruct short high-resolution records of deep-water**
31 **circulation across the PETM. These records are derived by reductively leaching sediments**
32 **from seven globally distributed sites and comparing data with published data from fossil**
33 **fish debris to reconstruct past deep ocean circulation across the PETM. The Nd data for**
34 **the leachates are interpreted to be consistent with previous studies that have used fish teeth**
35 **and benthic foraminiferal $\delta^{13}\text{C}$ to constrain regions of convection. There is some evidence**
36 **from combining Nd isotope and $\delta^{13}\text{C}$ records that the three major ocean basins may not**
37 **have had substantial exchanges of deep waters. If the isotopic data are interpreted within**
38 **this framework, then the observed pattern may be explained if the strength of overturning**
39 **in each basin varied distinctly over the PETM, resulting in differences in deep-water aging**
40 **gradients between basins. Results are consistent with published interpretations from proxy**
41 **data and model simulations that suggest modulation of overturning circulation had an**
42 **important role for global recovery of the ocean-atmosphere system after the PETM.**

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45 **1.0 Introduction**

46 The PETM was the warmest time of the past 65 million years (myrs) representing a
47 climatic extreme in which ocean temperatures increased globally by at least 4°C (Kennett and
48 Stott, 1991; Sluijs et al., 2006; Tripathi and Elderfield, 2004, 2005; Zachos et al., 2001, 2003,
49 2006) in response to the rapid release in less than 10,000 years (e.g. Farley and Eltgroth, 2003;
50 murphy et al., 2010; Röhl et al., 2007) of a large amount of carbon from an isotopically light
51 reservoir. Proposed sources for this carbon release include the dissociation of methane hydrates,
52 volcanic exhalations, and changes in deep ocean circulation (e.g. Kennett and Stott, 1991; Bice
53 and Marotzke, 2002; Dickens et al., 1995; Lunt et al., 2011, 2012; McInerney and Wing, 2011;
54 Nunes and Norris, 2006; Sluijs et al., 2007; Tripathi and Elderfield, 2005; Winguth et al., 2010;
55 Zachos et al., 2001). The PETM represents a time of profound global change with deep sea
56 temperatures increasing 4-8°C (Katz et al., 1999; Kennett and Stott, 1991; Sluijs et al., 2006;
57 Tripathi and Elderfield, 2004, 2005; Zachos et al., 2001, 2003, 2006), widespread biological
58 extinctions (e.g. Kennett and Stott, 1991), and ocean acidification marked by widespread
59 carbonate dissolution occurring ~ 55 Ma (Dickens, 2000; Kump et al. 2009; Ridgwell and
60 Schmidt, 2010; Zachos et al., 2005, 2008; Zeebe and Zachos, 2007). In general, the timing and
61 global distribution of temperature records across the PETM are consistent with strong
62 greenhouse forcing (Kennett and Stott, 1991; Tripathi and Elderfield, 2004, 2005; Zachos et al.,
63 2001, 2003; Sluijs et al., 2007) although the amount of carbon released, the type of carbon
64 (Zeebe et al., 2009), and the possible role of other forcing agents (e.g., water vapor, aerosol
65 loading, surface albedo feedbacks) is unclear (Bowen et al., 2004; Lunt et al., 2012). Changes in
66 deep ocean circulation, orbital cycles, and volcanic exhalations are proposed causes of the initial
67 warming (e.g., Kennett and Stott, 1991; Bice and Marotzke, 2002; Dickens et al., 1995; Lunt et

68 al., 2011, 2012; McInerney and Wing, 2011 Nunes and Norris, 2006; Sluijs et al., 2007; Tripathi
69 and Elderfield, 2005; Winguth et al., 2010; Zachos et al., 2001). Climate simulations even
70 suggest that the magnitude and pacing of the PETM and subsequent smaller events (ETM2 and
71 ETM3) can be explained by orbitally induced changes in water temperature and circulation
72 controlling the destabilization of methane hydrates (e.g. Lunt et al., 2010).

73 ~~Perhaps the most~~ A striking characteristic of the PETM is a pronounced global negative
74 stable carbon isotope ($\delta^{13}\text{C}$) excursion (CIE) (Kennett and Stott, 1991; Koch et al., 1992; Bowen
75 et al., 2001; Nunes and Norris, 2006; McCarren et al., 2008; McInerney and Wing, 2011; Zachos
76 et al., 2001). This isotopic excursion resulted from a rapid release (in less than 10,000 years) of
77 carbon from an isotopically light reservoir, likely resulting from the warming climate (e.g.,
78 Farley and Eltgroth, 2003; Murphy et al., 2010; Röhl et al., 2007). Based on basinal gradients of
79 available benthic $\delta^{13}\text{C}$ data (Nunes and Norris, 2006; Tripathi and Elderfield, 2005), widespread
80 carbonate dissolution (Dickens, 2000; Kump et al. 2009; Ridgwell and Schmidt, 2010; Zachos et
81 al., 2005, 2008), inferred deep-sea carbonate ion gradients (Zeebe and Zachos, 2007), as well as
82 numerical modeling studies (Bice and Marotzke, 2002; Lunt et al., 2012), it has been argued that
83 a change in thermohaline circulation may have been associated with the PETM, ~~and served as a~~
84 ~~trigger or amplifier of carbon release~~. Such studies have postulated circulation regimes
85 fundamentally different than the modern ocean operating before and after the PETM (Kennett
86 and Stott, 1991, Lunt et al., 2011). Specifically, studies have proposed the existence of Southern
87 Ocean deep-water formation (Kennett and Stott, 1991) based on high-resolution carbon isotope
88 records that are used to infer basinal deep-water aging gradients (Nunes and Norris, 2006; Tripathi
89 and Elderfield, 2005) and have suggested intermittent deep water formation in the North Pacific
90 based on a fully coupled atmosphere-ocean general circulation model based on pCO₂

91 simulations (Lunt et al., 2011). It is hypothesized that due to gradual changes in the temperature
92 and hydrology of high-latitude surface waters, these southern-sourced waters were displaced
93 during the PETM with the development of convection in the northern hemisphere (Alexander et
94 al., 2015; Bice and Marotzke, 2002; Nunes and Norris, 2006; Tripathi and Elderfield, 2005). The
95 combination of warmer deep water and circulation changes may have served as a trigger or
96 amplifier of the massive carbon release that resulted in the global CIE, possibly through the
97 destabilization of methane hydrates (e.g. Bice and Marotzke, 2002; Lunt et al., 2011).

98 However, interpreting past benthic $\delta^{13}\text{C}$ records in benthic foraminifera of the PETM as
99 solely reflecting a strict indicator of thermohaline circulation is complicated by possible
100 contributions of fractionated carbon sources (e.g. methane hydrates, burned terrestrial carbon, or
101 volcanic sources) (Higgins and Schrag, 2006; Kurtz et al., 2003; Nunes and Norris, 2006; Storey
102 et al., 2007; Svensen et al., 2004) or, changes in marine productivity (Paytan et al., 2007), -
103 Benthic $\delta^{13}\text{C}$ records can also be influenced by potential changes in contemporaneous surface
104 ocean productivity and deeper-deep water carbon export (McCarren et al., 2008), by extinction
105 and migration events of the biota, and potential signal loss through dissolution in highly
106 corrosive bottom waters (Alexander et al., 2015; McCarren et al., 2008; Pagani et al., 2006;
107 Zeebe and Zachos, 2007). In contrast, the geochemical cycling of Nd in the oceans allows Nd
108 isotopes to be used as a quasi-conservative tracer of water mass distributions that is generally not
109 affected by biogeochemical processes that can be used to reconstruct past ocean circulation (e.g.,
110 Frank, 2002; Goldstein et al., 2003; Thomas, 2004).

111 Published records of past seawater Nd isotope compositions (ϵ_{Nd}) extracted from fossil
112 fish teeth serve as a quasi-conservative proxy for past deep-water mass distributions and mixing
113 and do not show evidence for changes at the PETM. Specifically, the low-resolution ϵ_{Nd} data

114 from fish teeth have been interpreted as possibly reflecting an uninterrupted contribution from a
115 Southern Ocean deep-water source in multiple basins across the PETM (Thomas et al., 2003).
116 This apparent disparity between proxy data may reflect the non-conservative nature of
117 interpreting benthic foraminiferal $\delta^{13}\text{C}$, or could arise from the low-resolution nature of the
118 published Nd isotope records.

119 To address whether there is Nd isotope evidence for changes in water mass distributions,
120 we developed high-resolution records of the ϵ_{Nd} composition of Fe-Mn leachates from seven
121 sites and compare these results to published ϵ_{Nd} data for fish teeth (Thomas et al., 2003) and
122 benthic foraminiferal $\delta^{13}\text{C}$ (Nunes and Norris, 2006; Tripathi and Elderfield, 2005; Zachos et al.,
123 2001). The Nd isotope composition of Fe-Mn oxide leachates from core-top sediments has been
124 used to accurately reconstruct bottom water values (Rutberg et al., 2000; Bayon et al., 2002;
125 Gutjahr et al., 2007). This technique has also been applied to downcore sediments to study
126 variations in bottom water circulation during the Pleistocene (Rutberg et al., 2000; Piotrowski et
127 al., 2004, 2005, 2008). Measurements on older sediments ranging from Cenozoic (Martin et al.,
128 2010) to Cretaceous (Martin et al., 2012) in age has shown that sequences from multiple
129 localities can preserve a Nd isotope signal similar to fish teeth and can be used to develop much
130 higher-resolution paleoceanographic records.

131

132 **2. Materials and Methods**

133 *2.1 Sample and locality information*

134 Details on the core locations, depths and paleo-depths are given in Table 1. Sites were
135 located at similar water depths during the PETM, with paleodepths between 2400 and 3200 m in
136 the Pacific, between 1900 and 2000 m in the North Atlantic, and between 1900 and 3400 m in

137 ~~the Southern and Indian Oceans (Table 1)~~. Sources for the carbon isotope data referred to in this
138 study is reported in this table. The age models used to plot all the data (including $\delta^{13}\text{C}$) are
139 shown in Table 2, and are derived from the information given in the publications of the $\delta^{13}\text{C}$ data
140 (Thomas et al., 2003; Nunes and Norris, 2006; Tripathi and Elderfield, 2005). For completeness,
141 we show in Table 2 the core depth-age curve fits that describe the age models for each core,
142 where:

$$143 \text{Age (Myr)} = m(\text{Core Depth in mbsf}) + b.$$

144 Several segments are listed if sedimentation rates varied down core (the depth ranges of
145 these segments are listed in Table 2). In all cases, simple linear sedimentation rates were used.
146 These age models were also used to calculate the ages used here for the fish teeth/debris data
147 (Thomas et al., 2003).

148

149 *2.2 Sample preparation*

150 Freeze-dried sediment samples were obtained from the Integrated Ocean Drilling
151 Program (IODP). One to two grams dry weight of sediment was then rinsed with ultra-high
152 purity (Milli-Q) water, and then processed following established sediment leaching protocols
153 (e.g., Bayon et al., 2002, 2004; Haley et al., 2008a; Jacobsen and Wasserburg, 1979; Martin et
154 al., 2010; Piotrowski et al., 2008; Rutberg et al., 2000; Scher and Martin, 2006). ~~This procedure~~
155 ~~basically involves the removal of carbonate phases using~~ Briefly, we thoroughly rinse the
156 ~~sediments with Milli-Q water, leach with buffered acetic acid, followed by thorough rinsing for~~
157 ~~2.5 hours and collect the leachate, then rinse thoroughly~~ with Milli-Q water ~~and then the again~~
158 ~~before the~~ reduction of early diagenetic metal oxide coatings that carry the bottom water Nd
159 isotope signatures with a dilute buffered hydroxylamine.HCl-acetic acid solution. ~~This buffered~~

160 hydroxylamine.HCl-acetic acid solution leaches the authigenic metal oxide coatings, which are
161 then removed from the sediment and run through standard chromatographic procedures to extract
162 a pure Nd solution for mass spectrometric analyses (AG 50W X12 resin for cation separation
163 followed by di-2-ethylexyl-phosphate resin for rare earth element separation; see Gutjahr et al.
164 2007 for details). Many of the initial acetic leachates were run through the same
165 chromatographic procedures for the same Nd analysis. ϵ_{Nd} of the first (buffered acetic) and
166 second (hydroxylamine.HCl) leachates were dominantly within error of each other ($0.5 \epsilon_{Nd}$), thus
167 we consider our hydroxylamine.HCl leaches to reliably reflect bottom water signatures. The
168 reliability of these signatures is further supported by recent publications demonstrating the
169 validity of hydroxylamine.HCl leaches in the absence of volcanic material (e.g. Khélifi and
170 Frank, 2014; Böhm et al., 2015). Still potential uncertainties are associated with leaching
171 sediments that may have undergone late stage diagenesis, although to our knowledge no concrete
172 examples exist in the literature for the influence of late stage diagenesis on the recorded ϵ_{Nd}
173 signature. For this reason we focus our interpretations on the relative changes in the Nd isotope
174 signature rather than on absolute values. All sediments that could be sampled from the seven
175 sites were analyzed and are presented here. No additional sediment was available to alter or
176 repeat the leaching procedure after our original analysis (pre 2012) to account for new findings
177 in leaching methods (Molina-Kescher et al., 2014; Wilson et al., 2012).

178

179 2.3 Sample analysis

180 Nd was analyzed on two instruments: a Triton Thermal-Ionization Mass Spectrometer at
181 IFM-GEOMAR, using $^{146}\text{Nd}/^{144}\text{Nd} = 0.7219$ to correct for instrument fractionation, and a Nu
182 Instruments multi-collector inductively coupled mass spectrometer at Oregon State University.

183 Nd isotopes are expressed in ϵ_{Nd} notation, defined as the deviation of measured $^{143}\text{Nd}/^{144}\text{Nd}$ ratios
184 from a bulk Earth value of CHUR (chondritic uniform reservoir) in the 5th decimal place
185 (Thomas et al., 2003). Long-term reproducibility of a Nd standard solution (SPEX source) gave a
186 2σ error of 0.5 ϵ_{Nd} units representing the total error of analyses and normalization, exactly as the
187 samples. Analyses of the JNdi standard used for normalization had a lower 2σ error of 0.3 ϵ_{Nd}
188 units. Nd isotope data are not corrected for decay of samarium given the limited temporal range
189 of the data and the lack of constraints on sample Sm/Nd isotope ratios. Nd isotope corrections for
190 the PETM are typically small (<0.5 ϵ_{Nd} units; Thomas et al. 2003) and therefore do not influence
191 our interpretations.

192

193 **3. Results and Discussion**

194 We have applied a leaching technique (Gutjahr et al., 2007; Haley et al., 2008a; Haley et
195 al., 2008b; Rutberg et al., 2000) that allows the extraction of past bottom water Nd isotope
196 compositions from the Fe-Mn oxide component of marine sediments, expressed as ϵ_{Nd} units
197 (Jacobsen and Wasserburg, 1979). Such data can provide high-resolution records of changes in
198 past deep-water mass mixing and used to interpret changes in circulation (Martin et al., 2010,
199 2012; Piotrowski et al., 2004, 2005, 2008; Thomas et al., 2014). Below we compare results from
200 leachates to data from fish teeth. We also compare results to carbon isotope data from the same
201 cores. By combining high-resolution ϵ_{Nd} and benthic $\delta^{13}\text{C}$ records from the same locations it is
202 possible to elucidate the causes and controls of $\delta^{13}\text{C}$ variations in the past deep oceans
203 (Piotrowski et al., 2005). Our new isotopic Nd data are combined with existing $\delta^{13}\text{C}$ records and
204 published Nd isotope data from fossil fish teeth of the Atlantic, Indian and Southern Oceans

205 (Thomas et al., 2003), in order to reconstruct global Nd isotope distributions across the PETM at
206 a resolution comparable to the corresponding $\delta^{13}\text{C}$ data (Figure 1).

207

208 *3.1 Comparison of neodymium isotope data obtained using different archives*

209 Consistent with published results for a number of different sedimentary environments
210 (Martin et al., 2012), we find evidence (Figure 1) for a general agreement between Nd isotopic
211 data from sediment leaches and fish teeth (Thomas et al., 2003). There appears to be a small,
212 possibly systematic offset at Site 401, and at 690B. This may be the result of higher-order
213 variability at these locations, or the result of a temporal difference between the uptake or the
214 retention of Nd in teeth and the ferromanganese coatings during sedimentation and diagenesis, or
215 a combination of the two. In the first instance, model studies (Lunt et al., 2011; Winguth et al.,
216 2010) have confirmed that locations near the Antarctic continent, such as at Site 690B, were
217 sensitive to changes in climate conditions, and, as such, likely to have varied more substantially
218 during the PETM (55.14-55.23 Ma for this study based on the CIE; Figure 2). Due to limited
219 constraints of the offsets between fish teeth and leach records, we focus our interpretations on the
220 relative trends in the ϵ_{Nd} signatures and their relation to the CIE.

221

222 *3.2 Broad patterns in Nd isotope records*

223 The broad patterns in these data support previous studies that have inferred convection
224 occurred in the Southern Ocean, and that convection occurred in both the North and South
225 Pacific during the PETM (Thomas et al., 2014). The results are consistent with a relatively
226 insignificant contribution of any Tethyan deep waters. The ϵ_{Nd} records cover the three major
227 ocean basins (Figure 21) and reveal distinct and basin-specific changes in deep circulation across

228 the PETM. The ϵ_{Nd} records for eastern North Atlantic Site 401 stabilize at ~ -9.3 during the
229 PETM and then at ~ -8.2 post-PETM. While the fish teeth ϵ_{Nd} record is not a step function, these
230 are comparable changes to those reported from fish teeth ϵ_{Nd} (Thomas et al., 2003) (Fig. 2). Deep
231 waters at central (eastern) Atlantic Site 1051B, located near the proto-Caribbean, had a more
232 positive ϵ_{Nd} (~ -8) than site 401 prior to the PETM, but post-PETM ϵ_{Nd} from both sites
233 converged. The record from Site 1051B exhibits similarities to post-PETM data from Site 401,
234 which could reflect more intense mixing within the North Atlantic following the recovery (Fig.
235 4). These data suggest that a change in ocean circulation may have triggered the carbon release
236 associated with the PETM. Specifically, leachate ϵ_{Nd} records from Pacific Site 1220 show a
237 negative excursion of ~ 2 units prior to the CIE while fish teeth ϵ_{Nd} records indicate a similarly
238 sized excursion in the Southern Ocean.

239 Southern Sites 527 (subtropical South Atlantic) and 690 (Atlantic sector of the Southern
240 Ocean) fluctuated (1 to 1.3 ϵ_{Nd} units) around a mean ϵ_{Nd} of ~ -9 throughout the record, with
241 indications of a switch to in-phase co-variation during and after the PETM, also reflected by the
242 evolution of Site 213 (Figure 2-1). The ϵ_{Nd} records for eastern North Atlantic Site 401 stabilize at
243 ~ -9.3 during the PETM and then at ~ -8.2 post-PETM, with the trend towards radiogenic values
244 occurring at the end of the PETM. While the fish teeth ϵ_{Nd} record is not a step-function, the
245 observed magnitude and direction of change in leachate ϵ_{Nd} signatures is consistent with those
246 changes reported from fish teeth ϵ_{Nd} signatures (Thomas et al., 2003) (Figure 2). Deep waters at
247 central (western) Atlantic Site 1051B, located near the proto-Caribbean, had a more positive ϵ_{Nd}
248 (~ -8) than Site 401 prior to the PETM, but post-PETM ϵ_{Nd} from both sites converged. The

249 record from Site 1051B exhibits similarities to post-PETM data from Site 401, which could
250 reflect more intense mixing within the North Atlantic following the recovery (Figure 2). Pacific
251 sites 1209B and 1220B were more radiogenic than the other basins (ϵ_{Nd} from -6 to -2). With the
252 exception of one data point (-2.1 ϵ_{Nd} at 55.02 Ma), the western Pacific (Site 1209B) had a
253 remarkably constant ϵ_{Nd} signature of -3.7 pre- and post-PETM. In contrast, the eastern Pacific
254 (Site 1220B) ϵ_{Nd} shows a short excursion from \sim -4 to \sim -5.5 ϵ_{Nd} at the onset of the PETM and
255 remained near unradiogenic end member values post-PETM ($\epsilon_{Nd} = -5$) (Figure 2).

256

257 *3.3 A conceptual model to explain the records*

258 The dissimilarities between the ϵ_{Nd} records confirm that the globally similar CIE
259 dominantly reflects a change in source of oceanic carbon (Thomas et al., 2002). This change is
260 not directly from a volcanic or extra-terrestrial source, as these would also be seen the ϵ_{Nd} records
261 (Cramer and Kent, 2005). However, the ϵ_{Nd} data also clearly indicate that changes in water mass
262 distributions and mixing were associated with the PETM and suggest a fundamentally different
263 circulation system than present, with intermittent deep-water formation in the North Pacific,
264 must have existed during the PETM if we interpret the ϵ_{Nd} record as strictly indicative of
265 circulation. Without a change in circulation, we would expect that the ϵ_{Nd} signals would remain
266 constant over the entire record or show a slow and predictable trend with any changes other than
267 circulation that may have occurred simultaneously (e.g. differing weathering inputs). Figure 3
268 illustrates our reconstruction of the evolution of global deep-water mass exchange during the
269 PETM, based on the interpretation of the global ocean as three distinct deep-water basins ~~that~~
270 ~~only had restricted water mass exchange between them~~: the “Southern Ocean,” the North

271 Atlantic and the Pacific. While in this model we hypothesize the basins only had restricted water
272 mass exchange between them, we cannot eliminate the possibility of unrestricted exchange since
273 similar scale inter-basinal differences in ϵ_{Nd} are observed in the modern ocean without this
274 restriction.

275 This conceptual model, based on both $\delta^{13}C$ and ϵ_{Nd} data, supports changes in areas of
276 convection that are consistent with simulations of the PETM with coupled climate models (Lunt
277 et al., 2012, Thomas et al., 2014) and with a comprehensive climate model (Winguth et al.,
278 2010). It is impossible to interpret from the Nd isotope data alone whether there was reduced or
279 increased overturning associated with carbon release, as these data reflect water mass geometries
280 and not rates of overturning. It is of note that model simulations support a weakening of the
281 meridional overturning circulation with increased greenhouse gases, which might result in a
282 water mass geometry similar to what is reconstructed. However, changes in the exchange
283 between ocean basins will be dependent on several factors, including buoyancy-induced and
284 wind-stress induced changes in overturning, as well as topography. Below we discuss whether
285 there is evidence for changes in ventilation from basinal deep-water aging gradients, and what
286 the nature of topographic barriers ~~may would~~ have been to produce the observed patterns in the
287 data.

288

289 3.3.1. Southern records

290 An ϵ_{Nd} signature of -9.2 in the “Southern Ocean” most likely reflects an Antarctic margin
291 source, similar to present day Antarctic-sourced intermediate waters (Stichel et al., 2012;
292 Thomas et al., 2003). In agreement with previous inferences from $\delta^{13}C$ data (Tripathi and
293 Elderfield, 2005; Zeebe and Zachos, 2007), such a deep-water source can readily explain the

294 post-PETM similarity of the ϵ_{Nd} records from both the Southern Atlantic (Site 527) and Indian
295 Ocean (Site 213) with the Atlantic sector of the Southern Ocean (Site 690) (Figure 3). There are
296 indications that the co-variation of ϵ_{Nd} at Sites 213, 527, and 690 was enhanced **immediately**
297 **before, during, and following** the PETM, which could be consistent with intensification of
298 Southern Ocean-sourced ventilation (Figure 4 2a). **Furthermore, ϵ_{Nd} signatures from fish teeth**
299 **from site 690 and 527 suggest rapid changes in circulation leading into and during the early part**
300 **of the PETM (Figure 1). The** previously proposed formation of low-latitude Tethyan deep-water
301 (e.g., Cope and Winguth, 2011; Huber and Sloan, 2001), or slower overturning circulation
302 (Winguth et al., 2010) are unlikely to have generated such range and similarity in evolution of
303 ϵ_{Nd} signatures at these three sites. Furthermore, numerical simulations indicate strong
304 overturning circulation with multiple deep convection sites provide the best match to the ϵ_{Nd}
305 record (Thomas et al., 2014).

306

307 3.3.2. Atlantic Ocean records

308 The contrast **in ϵ_{Nd} trends** between the Southern Ocean ϵ_{Nd} records and those of the North
309 Atlantic (Figure 2) indicates that there was little exchange between these basins. The young
310 Mid-Atlantic Ridge (MAR) between Africa and South America most likely represented an
311 efficient barrier for north-south intermediate and deep-water exchange (Bice and Marotzke,
312 2002). This hypothesis differs from previous interpretations of overturning circulation in the
313 Atlantic (Bice and Marotzke, 2002; Nunes and Norriz, 2006; Thomas et al., 2003), but confirms
314 recent modeling results (Winguth et al., 2010). The differences between the two North Atlantic
315 ϵ_{Nd} records **are most simply can be readily** explained by a weak North Atlantic deep-water

316 overturning cell, ~~with a corresponding~~ resulting in higher sensitivity to local changes in Nd
317 inputs or locally variable deep-water masses. Assuming weak, low-latitude, halothermally-
318 driven downwelling in the North Atlantic basin, we would expect Site 401 to show a different
319 ϵ_{Nd} evolution compared to Site 1051B, which is indeed documented in Figure 4 2. The
320 contrasting North Atlantic ϵ_{Nd} records (Figure 2c) also support model predictions (Winguth et
321 al., 2010) that the North Atlantic was well-stratified until after the PETM, which is reflected by
322 the convergence of the Nd isotope records indicating more efficient vertical mixing. This
323 stratification was potentially interrupted briefly near the onset of the PETM. Specifically, ϵ_{Nd}
324 signatures from fish teeth from site 401 indicate the Atlantic may have experienced rapid
325 circulation changes early in the PETM (Figure 1) with ϵ_{Nd} briefly shifting from ~ -9 to ~ -10 . A
326 caveat is that while it is possible that bathymetric barrier impeded exchange within the Atlantic,
327 the isotopic composition of modern North Atlantic Deep Water increases by about 1 ϵ_{Nd} units
328 from the North ($-11.5 \epsilon_{Nd}$) to South Atlantic ($-10.5 \epsilon_{Nd}$; Lacan et al., 2012), which is ~~larger than a~~
329 ~~similar magnitude as~~ the offset observed in these data ($< 1 \epsilon_{Nd}$ unit).

330

331 3.3.3. Pacific Ocean records

332 The distinct ϵ_{Nd} records of the Pacific point to restricted Pacific intermediate water mass
333 exchange with the “Southern Ocean” (Figure 3). In this case, a possible barrier preventing
334 substantial exchange between the Southern Ocean and Pacific Ocean might have been the
335 shallow seas between southern Asia and Australia (Bice and Marotzke, 2002). Within the
336 Pacific Ocean, times of convergent ϵ_{Nd} can be explained by a weakened southern Pacific
337 ventilation which would allow northern Pacific water to influence both sites. With intensified

338 Southern Ocean sourced ventilation, Pacific Site 1220B ϵ_{Nd} would have diverged from the
339 signatures of the northern source (Bice and Marotzke, 2002).

340 It follows that a Pacific circulation pattern, consistent with both $\delta^{13}C$ and ϵ_{Nd} data, must
341 involve distinct southern- and northern-Pacific sources of deep waters, as predicted in previous
342 studies (Lunt et al., 2011; Thomas, 2004; Thomas et al., 2008; Winguth et al., 2010). A sudden
343 shift in the ϵ_{Nd} record at site 1220 immediately prior to the PETM may reflect the sudden onset
344 of deep-water formation in the North Pacific (Figure 3). The initial change in ϵ_{Nd} from ~ -4 to \sim
345 -6 at Site 1220B is recorded. ~~The ϵ_{Nd} record at site 1220B are~~ stratigraphically below the negative
346 carbon isotope excursion, consistent with the hypothesis that circulation changes triggered
347 PETM carbon release. ~~This observation~~ Alternatively, the more negative ϵ_{Nd} at the beginning of
348 the PETM may reflect a dramatic change in ventilation from a southern source that occurred just
349 prior to the PETM. ~~Alternatively, this site~~ Also possible, the ϵ_{Nd} record at site 1220 may record
350 significant changes in deep-ocean redox conditions due to its abyssal location in the tropical
351 Pacific and the well-documented changes in dissolved oxygenation at the onset of the PETM. In
352 that case, this Nd isotope shift might simply reflect the progression of a redox front in sediments.
353 Unfortunately, no additional samples are available from site 1220B to further investigate these
354 trends.

355 However several factors suggest this Nd isotope shift reflects changes in bottom water
356 sourcing and not changes in the position of a sediment redox front. First, a ventilation change in
357 the deep Pacific is in agreement with interpretations of carbon isotope data that support a
358 reversal or large change in deep-water aging gradients between basins (Tripathi and Elderfield,
359 2005). Secondly, carbonate geochemical data exist which suggest a reversal or dramatic change

360 in deep ocean carbonate saturation gradients between basins, consistent with a circulation change
361 (Zeebe and Zachos, 2007). Thirdly, substantially larger redox changes are observed in PETM
362 sequences from other basins (i.e., the South Atlantic; Chun et al., 2010) that do not exhibit
363 similar corresponding shifts in records of ϵ_{Nd} . Fourthly, similar types of changes are observed
364 during Cretaceous ocean anoxic events (Martin et al., 2012) where there also is no clear-cut
365 evidence for redox fronts driving the sediment leachate ϵ_{Nd} record. Finally, core photographs
366 show that transitions in sediment redox at Site 1220B are not directly coincident with the ϵ_{Nd}
367 change.

368 Thus we conclude these Nd isotope data are consistent with proxy data (Nunes and
369 Norris, 2006; Tripathi and Elderfield, 2005; Zeebe and Zachos, 2007) and models (Bice and
370 Marotske, 2002; Lunt et al, 2011) and reflect a circulation change at the PETM. These data may
371 record a Pacific circulation ‘trigger’ for carbon release. Some simulations indicate that at the
372 PETM, a change in state of a Southern Pacific deep-water source could contribute to hydrate
373 destabilization, which these data may reflect. Such changes in Southern Ocean water mass
374 characteristics could ultimately have arisen from a gradual forcing such as volcanic outgassing
375 (Kennett and Stott, 1991; Bice and Marotske, 2002; Lunt et al., 2011; Tripathi and Elderfield,
376 2005).

377

378 CONCLUSIONS

379 Our study provides new neodymium isotope data from Fe-Mn leachates constraining
380 changes in ocean circulation associated with the PETM. The novelty of these Nd isotope data
381 reflect advances in our ability to extract such data from pelagic sediments, which has opened
382 new avenues of paleoceanographic research. Using Nd isotopes, a proxy independent of carbon

383 cycle processes, we unravel oceanographic changes during the PETM and are able to isolate
384 competing factors controlling the carbon-isotope record during the PETM. In general, we find
385 these data are similar to results from fish teeth (a more widely used proxy), and discuss the
386 combined high-resolution records for seven sites.

387 The high-resolution combined Nd isotope records provide further evidence for changes in
388 thermohaline circulation associated with the PETM, as previously inferred from basinal carbon
389 isotope gradients (Nunes and Norris, 2006; Tripathi and Elderfield, 2005) and constraints on deep-
390 water carbonate ion concentrations (Zeebe and Zachos, 2007). In addition, these new records
391 provide additional constraints on the timing and the nature of changes in circulation. The records
392 are consistent with variations in bottom water mass mixing in each basin associated with the
393 PETM, with water mass distributions implying intermediate and deep-water circulation changes.
394 We find ~~that changes in deep ocean circulation appear to reflect processes in distinct basins of~~
395 ~~the Paleocene-Eocene oceans, and as such, may be oversimplified if interpreted in terms of a~~
396 ~~modern “global conveyor belt-like” thermohaline circulation occurred during the Paleocene-~~
397 ~~Eocene, and that these circulation changes likely preceded the carbon release, based on ϵ_{Nd} shifts~~
398 ~~observed stratigraphically below the carbon isotope excursion. Data from the Pacific exhibit a~~
399 ~~shift just prior to the carbon isotope excursion, which could indicated that circulation changes~~
400 ~~preceded the carbon release.~~ Together with modeling results (Bice and Marotzke, 2002; Lunt et
401 al., 2011, 2012; Winguth et al., 2010) and Mg/Ca-based bottom water temperature estimates
402 (Tripathi and Elderfield, 2005), Nd isotope data provide further evidence for thermohaline
403 changes that may have served as a “trigger” of carbon release.

404

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414

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620 **Figure Captions:**

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622 **Figure 1:** Sediment leach ϵ_{Nd} and available fish teeth ϵ_{Nd} data from 55.1 to 55.4 Ma for all three
623 ocean basins. Sediment ϵ_{Nd} values are connected with a dotted line and fish teeth data are
624 represented by unconnected dots. The green shaded area represents the PETM as marked by the
625 carbon isotope excursion from each core. These data sources are generally consistent, although
626 higher-order differences, likely intrinsic to the natures of the archival sources, are present.

627

628 **Figure 2:** Nd and C isotope data (ϵ_{Nd} and $\delta^{13}C$) across the PETM from the Southern Ocean (a),
629 Pacific Ocean (b), and Atlantic Basins (c). The sediment leach ϵ_{Nd} are shown with circles and
630 solid lines; the fish teeth/debris ϵ_{Nd} from Thomas et al. (2003) are shown as dots. All data are
631 presented on directly comparable scales for both ϵ_{Nd} and $\delta^{13}C$. The sample ages are based on the
632 $\delta^{13}C$ age models. In (b) the age model of Site 1209B has been slightly adjusted (second x axis)
633 such that the $\delta^{13}C$ excursion coincides with the age of the PETM in the other cores. The shaded
634 vertical bar indicates the timing of the PETM as defined by the CIE in the cores.

635

636 **Figure 3:** A conceptual model of the intermediate/deep-water mixing changes across the PETM
637 as inferred from ϵ_{Nd} records of intermediate/deep-water mass geometries. Arrow boldness
638 reflects overturning circulation that could produce water mass geometries. Dashed arrows
639 represent weak overturning. *Italicized numbers indicate the interpreted ϵ_{Nd} from each site for the*
640 *time period.* The arrow directions reflect our interpretation of the general direction of flow, and
641 are not meant to be viewed as precise flow-paths. The paleogeographic distribution of the
642 continents is from Ocean Drilling Stratigraphic Network (ODSN).

643

644 **List of tables**

645

646 **Table 1:** Core information; all cores were collected as part of the Integrated Ocean
647 Drilling Program (IODP).

648 **Table 2:** Age Models

649 **Table 3:** Summary of neodymium isotope data