

Response to Referees and short comments and Revised Manuscript

We provide herein a detailed point-by-point response to all referee comments and short comments and we specify the changes made in the revised manuscript. The response to the comments is structured as: (1) comments from Referees, (2) author's response, and changes in manuscript. We include a marked-up manuscript version showing the changes made (using track changes in Word).

Change to MS: we modified the previous title as follow “*Microfossil evidence for trophic changes during the Eocene-Oligocene transition in the South Atlantic (ODP Site 1263, Walvis Ridge)*” because it better reflects the conclusions. We used “microfossil” since we studied both planktonic and benthic foraminifers and nannoplankton.

Response to Referees and short comments

Short comments by P. Pearson

Comment 1) The first issue relates to the isotope shift and the term ‘Oi1’. [...] In my opinion, the several ambiguities associated with the term Oi1 are not conducive for clarity in discussion hence I recommend abandoning the term Oi-1 by which I mean no disrespect to the work of Miller et al. (1991) which is one of the seminal papers in the field.

Response: It is true that some confusion and misuse of the term Oi-1 occurred in the text. This was also related to a graphical mistake in the figures: the placement of the term “Oi-1” was intended to highlight a 400-kyr interval (rather than an “event”), including the positive peak in $\delta^{18}\text{O}$. We recognize that this use of “Oi-1” in the figure leads to misunderstanding and that it does not add more information to the figure. For this reason we omitted it from the revised figures. We adopted the use of Step 1 (first step of the cooling) and Step 2 (the second and more marked step of the cooling), as used also in Pearson et al. (2008) and Bohaty et al. (2012).

Change to MS (with reference to the lines in the marked-up version): We clarified this definition in the text as follow “*To avoid confusion with previous definitions of these two steps, here we follow Pearson et al. (2008) and Bohaty et al. (2012): thus, Step 1 which is the first $\delta^{18}\text{O}$ step related to a global cooling with a modest ice growth component, and Step 2 which is the second step representing the major ice growth leading to a continental scale ice sheet over Antarctica (Miller et al., 2009).*” (Lines 93-97).

Comment 2a) Correlating the EOB. [...] Given the fact that the *Hantkenina* / *Cribrohantkenina* extinction occurs clearly and sharply between the steps in Tanzania (Pearson et al., 2008), my suspicion is the EOB is best correlated to Site 1263 using a combination of the Tanzania constraint and the isotope stratigraphy to about 93.5 mcd. The lack of *Hantkenina* fragments in the few metres below this could be sampling. A formal correlation to Site 522 would be an interesting exercise.

Response: We agree that the position of the boundary based only on the Top of *Hantkenina* may not be correct in this case. Indeed, *Hantkenina* has been affected by dissolution, and placing the top just on the basis of the spines presence may not be reliable. On the other hand, we cannot rely on the isotope stratigraphy because at this site the $\delta^{18}\text{O}$ data available record clearly just Step 2. We can say that the boundary should be placed in between these two datums: above the Top of *Hantkenina* and below the peak in $\delta^{18}\text{O}$ (Step 2; 93.4 mcd). An indication that the boundary could be placed in between these two depths comes also from the acme of *Cl. subdistichus*, which occurs just above the boundary (Agnini et al., 2014), and at Site 1263 at 96.92 mcd (well below the Step 1 defined by Peck et al. 2010 at 93.8 mcd).

Change to MS: The comparison with Site 1263 and Site 522 oxygen isotope records allowed to confirm that the placement of Step 1 made by Peck et al. (2010) is not correct. Indeed, the two sites are very close and with similar sedimentation rates. The complete $\delta^{18}\text{O}$ curve at Site 522 records the two steps of cooling within 4 meters. We can conclude that also Site 1263 might have recorded a similar $\delta^{18}\text{O}$ signal, and thus, Step 1 may fall in between 97.5 and 98.5 mcd. (**Lines 529-549**). This position is also confirmed by the additional planktonic foraminiferal analyses performed, which confirmed the position of the EOB at 97.5 mcd (see reply to comment #3 below).

We also revised **Figure 2** as follow: we added the $\delta^{18}\text{O}$ data from Site 522, the absolute and relative abundance of *Cl. subdistichus* together with the smoothed curves on 5 five samples to help the identification of the acme, and the biozones of Agnini et al. (2014). All the figures have been modified with the new position of the EOB.

Comment 2b) However there are other ways to improve the planktonic foram biostratigraphy, which is to find the level of the *Pseudohastigerina* size reduction and the *T. cerroazulensis* group extinctions in Site 1263, not done in this study. I would like to know where these are in the core before confirming correlation of the boundary. My prediction is they would be found at a higher level than the top *Hantkenina* so far found. [...].

Response: We performed the suggested analysis to solve this issue of the placement of the EOB. The new analyses on planktonic foraminifers show that the Top of *Hantkenina* and *T. cerroazulensis*, and the dwarfing of *Pseudohastigerina* occur between 97.14-97.91 mcd at Site 1263. A spacing of these bioevents in between 80 cm is possible, but the resolution of our sampling does not allow to identify it. Nevertheless, we can now place with certainty the position of EOB in between 97.14-97.91 mcd. This also confirmed by the isotope comparison with Site 522 (see reply above to comment #2).

Change to MS: we describe the new planktonic foraminifer data and discuss the position of the EOB (**Lines 506-528**).

Comment 3) the Tanzania cores in Fig 1 seem to have been transposed to Somalia.

Change to MS: Correct. **Figure 1** has been modified as suggested.

Referee T. Dunkley Jones

Comment 1) My primary concern is the placement of the Eocene/Oligocene boundary within this section (see also the comment of Pearson and other reviewers). [...] In fact, the pattern they observe is actually consistent with the calcareous nannofossil assemblage record from Tanzania, with major assemblage changes actually preceding the first oxygen isotope step and the major planktonic foraminifera extinctions (Dunkley Jones et al. 2008).

Reply: See reply #2 and 3 to P. Pearson for the placement of EOB at 97.5 mcd. The nannoplankton assemblage changes occur above the EOB and before Step 2, as in Dunkley Jones et al. (2008) where they recorded two changes in the nannoplankton assemblage: one before Step 1 and one (more marked) between EOB and Step 2.

Change to MS: we changed the text on the nannofossil assemblage variations according to the new evidence for the position of the EOB (**Section 4.1, and Discussion, in particular Section 5.1**).

Comment 2) I would reinforce the comment of Paul Pearson - the authors need to be careful about their use of “Oi-1”.

Reply and change to MS: See response #1 to P. Pearson.

Comment 3) I’m intrigued as to why two independent samples sets were worked on by two different nannofossil workers. [...] I think this shows a positive willingness to collaborate that shouldn’t be “covered up” or reengineered into an a priori experimental test. It has proved to be a very informative test in its own right, and I strongly support its publication, however it came about. To me it demonstrates that, although there are some minor differences, the primary signals are consistent and recovered. This is reassuring.

Reply: The two groups/researchers collected the two datasets independently. The collaboration came about when all the analyses were performed. We agree that it is very interesting to show how, independently from the operator or the sample preparation or the (taxonomic) criteria adopted during the counts, the primary signals were recorded by both researchers.

Change to MS: We clarify this point in the text (**Lines 205-208**).

Comment 4) P1619 – increase in $\delta^{13}\text{C}$ benthic as a change in storage of organic carbon in the lithosphere through increased organic carbon burial – maybe, but check other mechanisms of Merico et al (2008). Simple driver of this shift by carbon burial alone appears hard to reconcile with carbon cycle box models.

Reply and change to MS: Correct. We mentioned the effects of the glacioeustatic sea-level fall and sea water carbonate chemistry dynamics citing Merico et al. (2008) (**Line 64**).

Comment 5) the explanation of H diversity could be clearer: really a combination of evenness and diversity rather than “taking into account the relative abundances”.

Change to MS: We changed the text following the suggestion of the referee (**Lines 389-391**).

Comment 6) the placement of Oi-1; as noted above the base of this should be placed at the maximum $\delta^{18}\text{O}$ value in the basal Oligocene. This seems clear in the Riesselman et al. 2007 paper, but my impression is that the current authors are sliding into a usage for Oi-1 that includes the isotope shift itself.

Reply and change to MS: See response #1-2 to P. Pearson.

Comment 7) Why are the authors using Okada and Bukry nannofossil zonations? Given that they are citing the new Agnini et al. 2014 zonations, and this zonation scheme seems to give better resolution around the E/OB, I would suggest either they use this scheme or justify why it is better not to. (Or at least show both).

Reply and change to MS: We followed the suggestion of the referee and adopted the zonation of Agnini et al. (2014) both in the text (**Paragraph 3.1**) and figures.

Comment 8) does the softness of the sediment really control the presence and / or preservation of palaeomagnetic signals?

Reply: In the Shipboard report (Zachos et al., 2004) this issue with the paleomagnetic data was mentioned. The softness of sediments may affect the magnetic signal because the grains tend to re-orient with the polarity shift. Moreover, the high carbonate content (thus, low % of clay material) led to poor paleomagnetic resolution.

Comment 9) I don't like these references to the calibrated ages. I would much rather the authors use the properly compiled calcareous nannofossil bioevents and calibrations given in Agnini et al. (2014). The authors would then need to make it explicitly clear which timescale they are using and why, and insure that all nannofossil datums are consistently calibrated with the chosen timescale.

Reply: We adopted now the zonations of Agnini et al. (2014), and the calibrated ages from Pälike et al. (2006) for the nannofossil bioevent available. We also clearly state that the timescale used is the one in Agnini et al. (2014) for the nannofossil bioevents and Gradstein et al (2012) for the foraminifer bioevents. We adopted the age from Lyle et al (2002) for the Top of *I. recurvus* because it is not available in Pälike et al. (2006).

Change to MS: See the modified text (**Lines 412-418**) and **Table 1**.

Comment 10) Table 1 – typo in “Massignano”.

Change to MS: The sites references have been removed from **Table 1**.

Comment 11) use of abbreviations “B” and “T” for base and top within the text. I am happy with the use of Base and Top, [...].

Reply and change to MS: We agree and changed the text accordingly using Top and Base (**Section 3**).

Comment 12) using top *D. saipanensis* to approximate the EOB, when this is clearly some way below the EOB (Dunkley Jones et al. 2008; Agnini et al. 2014). And in the figures, (e.g. Fig. 2) they clearly haven't used this event to approximate the EOB, but place the EOB 6 meters above it! If they haven't used this (wrongly) to approximate the EOB, why say they have? [...].

Reply and change to MS: The reviewer is right and we made the necessary corrections in the text (**Lines 450-453**).

Comment 13) identification of *Sph. tribulosus* – the figured specimen in the supplementary information (Fig. S1, 8) is not *Sph. tribulosus*, but looks like *Sph. predistentus* with somewhat overgrown upper spines. [...].

Reply and change to MS: We agree that the photograph in itself in the supplement is not enough to justify the classification as *S. tribulosus*. Thus, we added in the Supplement a photo to show the same specimens oriented parallel to the crossed nicols (**Fig. S1 photos 9-10**). With the two orientations of the specimens, the identity should now be indisputable.

Comment 14) I also agree with Guiliana Villa – Fig. S1, Fig15 isn't a dissolved *Dictyococcites* but a (slightly overgrown?) grill-bearing reticulofenestrid.

Reply and change to MS: Correct. We removed the misleading photo from **Fig. S1** in the supplements. See also reply #6 to G. Villa.

Comment 15) use of *Clausicoccus obrutus*. I would like a little more detail on the species concept here and on the differentiation (if any) between this species and *Cl. subdistichus* and *Cl. obrutus*. Do the authors differentiate between these two species at a size of 5.7 μm ? Or by number of plates visible in the central area? Based on their distinction, what is the difference between the acme events in *Cl. obrutus* and *Cl. subdistichus*? At ODP 1263, is this increase in abundance more marked in the larger forms, for example?

Reply: We divided *C. obrutus* and *C. subdistichus* on the base of size (*C. obrutus* >5.7 μm). There is a difference between the acmes of the two species: in Fig. S2 it is clear that *S. subdistichus* has an acme slightly before *C. obrutus*. The specimens of *C. subdistichus* are usually very small, so its abundance can be also affected by dissolution. In the end, we used the definition of Agnini et al. (2014) for *C. subdistichus*, by combining the two species in one group. It is also important to notice that the % of *C. subdistichus* is always very low (see Fig. S2) – average 1% - with a maximum peak of 6%.

Change to MS: we specified the use of *C. subdistichus* of Agnini et al., (2014) (**Lines 454-456**). We changed **Fig. 2** plotting the curve of *C. subdistichus* (sum of *C. subdistichus* and *C. obrutus*). We also added the smoothed curves on 5 five samples to help the identification of the acme.

Comment 16) Also be careful with previous zonal schemes – Okada & Bukry (1980) (based on Bukry 1975) – the base of the zone is defined by *Cl. subdistichus* not *Cl. obrutus*. [...].

Reply and change to MS: We use the definition of Agnini et al. (2014) for *Cl. subdistichus*, by combining the two species in one group (**Lines 454-456**).

Comment 17) consistent presence of hantkeninid spines below 96.41 mcd. Linked to discussions above - were these observed in absolutely every sample studied below the last occurrence in this section? This is important, and if there are samples without spines below this level, they should also be plotted in Figure 2 along with the crosses identifying the presence of spines. Unless of course all samples truly did show spines, in which case I'd like clear confirmation of this from the authors in the text.

Reply: We do not see spines above 97.14 mcd, but they are present in all the samples below.

Change to MS: We now made it clearer in the text (**Lines 512-515**) and added in **Table S1** in Supplement.

Comment 18) “dissolution may be intense”; I think this is over-estimating the dissolution; with “intense” dissolution, I'd expect to see nothing but some robust placolith rims and heavily calcified nannoliths. [...].

Reply and change to MS: Correct. We modified in the text referring to a “dissolution slightly increased”. (**Lines 598-609**).

Comment 19) I have significant concerns about the discussion of nannofossil abundance (and assemblage) changes relative to the EOB. [...].

Reply and change to MS: Now that the EOB position is well constrained, the major events recorded by the nannofossil assemblage occurred after the EOB (and also Step 1). The discussion (**Section 5**) on nannofossil abundance and variations also of PC1 and PC2 were revised to make clear that the changes occurred above the EOB and pre-dated the major cooling (Step 2).

Comment 20) Section 5.3. As above the placement of nannofossil assemblages changes in association with the EOB. For the reasons outlined above, I think the nannofossil assemblage changes significantly precede the EOB, as evidenced by their relationship to the oxygen isotope stratigraphy in this section.

Reply and change to MS: **Section 5.3** has been revised considering the new evidences for the placement of the boundary. The nannoplankton response occurs after EOB and before Step 2.

Referee G. Villa

Comment 1) I suggest to use the Eocene–Oligocene transition (EOT) whenever it is not strictly referred to the E/O boundary. Many of the changes reported occur across the boundary, not exactly at the boundary.

Reply and change to MS: We revised the text using the term EOT where possible (e.g. **Line 90**). See reply to P. Pearson for the placement of the EOB at 97.5 mcd.

Comment 2a) Biostratigraphy - Line 292 ...Reisselman et al. (2007) placed Oi-1 on the basis of an increase in the benthic $\delta^{18}\text{O}$ records from $\sim 1.5\text{‰}$ (94.49 mcd, uppermost Eocene) to $\sim 2.6\text{‰}$ (93.14 mcd, lowermost Oligocene). The Oi-1 according to Reisselman (2007) is instead between 93 and 89 mcd. Also in Peck et al. 2010 is placed between 94 and 93 (fig.6).

Reply and change to MS: We agree that in the previous version of the text the depth of the increase in $\delta^{18}\text{O}$ and of the Oi-1 were not clear. We changed the text to make it clearer (**Lines 531-533**).

Comment 2b) In your Fig. 2 steps 1, 2 and Oi-1 are indicated as 3 separated events. Step 2 is reported at the same depth as Peck et al., 2010 and therefore Oi-1 should coincide with step 2 (eg. Ladant et al. 2014 Paleooceanography). I cannot understand if it a graph error or if you consider Oi-1 as a third event. Please verify or discuss.

Reply: In Fig. 2 (also other figures) there was indeed a graphical mistake: with the term “Oi-1” we intended to indicate the entire duration of the cooling from above the Oi-1 (=Step 2) and following 400 kyr, but we realize that, as was, the figures might have been somewhat misleading.

Change to MS: We revised **all the figures**, reporting the depth of Step 2, as placed by Peck et al (2010), and we erased the term “Oi-1”.

Comment 3) Line 412 the dissolution index shows more intense dissolution from 87 mcd.

Reply and change to MS: Correct. The values of the coccolith dissolution index are lower above 87 mcd, but there is also an important dissolution episode at 90.5 mcd. We changed the text (**Lines 598-599**) and **figs 3 and 6** (grey bar of intense dissolution).

Comment 4) *R. daviesii* is here considered a large species, while it is a medium sized species (5-8 microns). This should be changed.

Reply and change to MS: We agree that *R. daviesii* is a medium sized species, and changed in the text and figures (i.e. **Fig. 5**, V:SA for “large species” re-calculated without *R. daviesii*). Despite this, it has to be noted that the abundance of *R. daviesii* is not so high as to significantly affect the dotted green curve in Fig. 5, which remained very similar. (**Line 637**).

Comment 5) *R. daviesii* is here reported as decreasing at the EOT, while other researchers evidenced a neat increase at the boundary, in particular in the Southern Ocean Sites and at Site 1090, which is quite close to 1263. In Fig S1-15 a specimen classified as *Dictyococcites* with signs of dissolution does not look like a *Dictyococcites*, and could be a slightly dissolved *R. daviesii*. If this is the case, *R. daviesii* could have been over looked. [...].

Reply: We agree that the photo in Fig. S1-15 is ambiguous. Nevertheless, the specimens classified as “dissolved *Dictyococcites*” are very few (1-3 specimens on 300 counted) and very sporadic along the sequence. Even if we would combine the dissolved *Dictyococcites* with *R. daviesii*, the trend of the curve would not change. Thus, we cannot say that *R. daviesii*

was overlooked, also because similar trends of this species were independently obtained by two of the authors.

Change to MS: we erased the photo Fig. S1-15. We mentioned in the text the different results reported at other sites for this species (**Lines 638-641**).

Comment 6) In the dataset B the presence of *R. circus* is indicated from about 98 mcd. The specimen illustrated in Fig S 1-20 looks like a *R. circus*. The graphs of dataset A (Fig. 3) of Ret sp.1 shows a very similar pattern of *R. circus* of data set B, [...].

Reply: We agree with this suggestion. The specimens in **Fig S1-20** is *R. circus* var. *lata* (Marino and Flores, 2002), according to nannotax3 definition. In database B some *R. circus* (medium size) were detected, but also in Tori (2008) this bioevent was considered unreliable because its presence is discontinuous and it is associated with similar specimens of *Cyclicargolithus* and *Reticulofenestra* (Tori, 2008). For the complexity and very low reliability of this datum it was not discussed in the biostratigraphy section. The graphs of dataset A (Fig. 3) of Ret sp.1 shows a very similar pattern of *R. circus* of data set B, except that it occurs 2 meters below, but it could be the effect of more resolution sampling. It is very likely that R.sp.1 is a *R. circus* and it could be demonstrated that it has an older first appearance. Marino and Flores (2002), at Site 1090, report of a circular *Reticulofenestra* sp. before the FO of *R. circus* that they considered related to the taxon *R. circus*.

Change to MS: we changed *Reticulofenestra* sp 1 for *R. circus* group in text (**Lines 636 and 799**), **Fig. S1 (photo 20)**, and **taxonomical remarks** (we explained the difference between taxonomical criteria in datasets A and B for this nannofossil group, mainly based on size ranges).

Comment 7) line 494: PC1 is better mirrored more by the red line than that of all placoliths bearing....

Reply: The two curves in Fig. 5 (red and green area) are very similar in terms of trends. The correlation coefficients between the PC1 and V:SA of *Cyclicargolithus* (red curve Fig. 5; $r=0.799$) and between PC1 and all placolith-bearing taxa (green area Fig. 5; $r=0.79$) are also very similar. Thus, we can say that both curves correspond very well to PC1.

Change to MS: we changed the colour of the placolith-bearing taxa curve from green area to a blue line in **Fig. 5**. In this way it is more evident also graphically that they are similar.

Comment 8) Fig caption 3 add if these data are form dataset A only.

Reply and change to MS: Yes, they are just from dataset A. Added in the caption.

Comment 9) Fig .5 there is not the graph of the total abundance (mentioned in Fig caption). The legend of black and white circles are inverted. TDP must be changed in TDP 17/12.

Reply and change to MS: We changed the caption.

Anonymous referee

We edited the text accordingly, for the comments #4-5-7-12-13-15-17-19-21-23-24-25-26-28-30-35-38-39-40-42-43-46-47-48-50-53-55-59-60-66-70.

A) The Oi1/EOB issues

I totally agree with Paul Person (reviewer #1) on the Oi1/EOB issue. The position of EOB at Site 1263 is quite suspicious and the Top of *Hankkenina* and *Cribrantkenina*, the authors use to mark the boundary, is more likely anticipated because of dissolution and/or ecological factors. In addition, the use of different nomenclatures makes the reading very difficult and confusing.

Reply and change to MS: This was clearly an issue picked up by all reviewers. See reply 1-2-3 to P. Pearson for EOB placement and Oi-1 issue.

B) The biostratigraphic issues

There are many comments on taxonomy, reliability and positioning of biohorizons, misleading use of biostratigraphic concept, mistaken use of biozone definition, age model, etc

Reply and change to MS: We followed the suggestions of the reviewer, paying attention to the different concepts used for the biostratigraphy (changes have been done in Section 3).

1) Pag. 1617, line 14. Do you have evidences for that?

Reply: It is possible that smaller, less calcified taxa have lower PIC/POC ratios on a cellular level than larger, heavily calcified taxa (compare for example modern species *Gephyrocapsa oceanica* vs. *Coccolithus pelagicus*, e.g. Zondervan et al. 2001; Langer et al., 2006; Rickaby et al. 2010; Müller et al. 2010). Smaller individual nannofossils/coccoliths relates to smaller carbonate mass (per individual) and may also relate to lower carbonate production per cell, but the net amount of carbonate produced is not only linked to the coccolith's size but also to the overall productivity of coccolithophores.

Change to MS: Since we don't undertake any modelling in this paper to deepen this aspect, we will erase this statement here to avoid any over-interpretation (**Lines 33-34**).

2) Pag. 1617, lines 16-23 E/I is sensitive to carbonate saturation and O₂ not just food supply. How can you disentangle the role played by these three parameters?

Reply: We should not address this question in the abstract, but in the text.

Change to MS: We changed section 4.3 (**Lines 751-762**) to make this concept clearer.

3) Pag. 1617, line 24. planktonic calcareous nannofossil. Too much general, in principal includes planktonic forams, but the authors do not present any new planktonic foram assemblage result, [...].

Reply and change to MS: Correct. We changed for "calcareous nannofossil" (**Line 43**).

6) Pag. 1619, lines 5-8. I would agree with Paul Person. Though the formal definition of Rupelian GGSP includes the Top of *Hantkenina* and *Cribrohantkenina*. These biohorizons better play the role of primary markers, which denote rather define the boundary. The recognition of the Top of *Hantkenina* and *Cribrohantkenina* could be problematic in some cases but the use of alternative markers could serve to better constrain the position of the EOB.

Reply: We agree that by using only the T of *Hantkenina* we cannot accurately assess the position of the boundary, so we performed more analyses. See replies 2-3 to P. Pearson.

Change to MS: we moved the explanation on the planktonic marker species in **Section 3.2 (Lines 494-505)**, to make this paragraph more effective and shorten the introduction. See also reply #2-3 to P. Pearson.

8) Pag. 1619, lines 15-16. This concept is non-intuitive and should be explained by the authors. The increase of C_{org}/C_{inorg} ratio can surely be the result of enhanced export productivity but can also be related to the increase in C_{org} preservation. [...].

Reply: Coxall and Wilson (2011) documented how the increase in C_{org}/C_{inorg} at the EOB is related to increased surface biological production and/or increased efficiency of organic carbon export by comparing the $\delta^{13}\text{C}$ signal and the BFA results which “appears to correlate predictably with export production in a variety of modern locations [Herguera, 1992; Loubere, 1994; Jorissen et al., 2007] and the method has been used widely as a qualitative/semiquantitative proxy of paleoproductivity [...] and early Cenozoic [...]”.

Change to MS: We changed the text to make it clearer: “*an increased ratio in the burial of organic versus inorganic carbon (calcite) due to enhanced marine export production and/or increased preservation of organic matter*” (**Lines 99-104**).

9) Pag. 1619, line 20. The CCD deepening is a consequence more than a cause, as inferred by the authors. The sentence should be probably re-write in order to make this clear [...].

Reply and change to MS: We re-phrased the sentence (**Lines 105-109**).

10) Pag. 1619, line 21. The use of term response sounds strange.

Reply: The word “response” is commonly used to indicate how a biotic community is behaving/changing to environmental/climatic variations. So the term is correct in this sentence. See also the use of “response” in e.g. Flores et al. (1995), Villa et al. (2008; 2014), Dunkley Jones et al., (2008).

11) Pag. 1619, lines 22-23. Extinctions always occur in the geological time. What the authors might mean is that rate of this extinctions either increases in its absolute number or increase if compared the speciation rate of the same interval.

Reply and change to MS: Correct. The extinctions across the EOT increased if compared to the speciation rate. Changed in the text (**Line 112**).

14) Pag. 1620, lines 9-14. I'm not aware of any comprehensive species diversity study. This would include a measure of both species number and 'equitability' (or 'evenness') (e.g., Simpson Index, Fisher's alpha).

Reply: Several studies on nannofossil assemblages displayed the Shannon Weaver Index – i.e., H index (e.g., Persico and Villa, 2004; Dunkley Jones et al., 2008; Pearson et al., 2008), so we report here what is available in the literature for this time interval. It is possible to show also other indices, but they do not add different/ or new information to the H index.

16) Pag. 1620, line 15. I'm not sure they were more abundant but for sure they dominated the marine phytoplankton and show a higher species diversity with a maximum recorded in the early-middle Eocene (Bown et al., 2004).

Reply and change to MS: We followed the suggestion and modified the text (see **Lines 132-137**).

18) Pag. 1620, line 17. The increase in abundance and species richness of diatoms started well before the EOB and coincide with the general decline displayed by calcareous nannoplankton since the early/middle Eocene (e.g Bown et al., 2004; Spencer-Cervato, 1998).

Reply and change to MS: Correct; now this is better specified in the text (see **Lines 145-148**).

20) Pag. 1620, line 26. What do you mean with "driven"? A macroevolutionary trend observed in group can not be "driven" by a part of the that group. Rather, it could be the result of something affecting selectively a part of the group. That is a complete different concept. Not sure what the authors mean.

Reply and change to MS: In this context "driven" means that the trend is linked or mainly due to the decrease in abundance of large reticulofenestrads. We changed "driven" to "caused by" in the text (**Line 157**).

22) Pag. 1621, line 1. The authors should strengthen their point using modern ocean analogues that are easily findable in literature.

Reply and change to MS: We changed the text adding also analogues from recent culture experiments, in particular referring to the relationships between pCO₂ and cell size variations. (**Lines 161-169**).

27) Pag. 1621, lines 1 6-17. This is crucial. The number of forms per gram does not provide an estimate of fluxes, it is rather an evaluation of absolute abundance. The definition of paleofluxes are very different since it implies to put absolute abundance in a time tuned series (g10-6mm-2 y-1).

Reply: The number of nannofossils per gram does not provide an estimate of fluxes, and in the text we always refer to these data as absolute abundances, not as fluxes. The calculation of paleo-fluxes in this context is not possible in our opinion, because it requires a very detailed and well-constrained age model. Unfortunately, this is not the case at Site 1263. We identified several bioevents, but the magnetostratigraphy is not available (Zachos et al., 2004). Thus, by

adding an estimated sedimentation rate that is below the resolution of the data, we would introduce a substantial error in the evaluation of paleo-fluxes. For these reasons we decided to present only absolute abundances.

29) Pag. 1622, lines 6-15. The authors should explain why they follow this approach, which is the bonus of having two datasets of “virtually” the same material? This is non-intuitive.

Reply and change to MS: We now explained better in the text why we compared two different datasets (see also reply #3 to T. Dunkley Jones). Two groups worked independently at the same time interval for this site, although the amount of samples and the temporal resolution are different. This approach shows how, independently from the sample preparation and operator, the primary signals are documented and consistent. **(Lines 205-208)**.

31) Pag. 1622, line 22. How the authors avoid the selective settling effect? This step should be described in much more detail.

Reply: For sample preparation we followed the protocol described in Bordiga et al. (2015), where the technique was accurately tested. Any further description is not required. The settling is not an issue in this technique as we start from bulk sediment and all the suspension (sediment and buffered water, well mixed by short sonification intervals) is evenly placed on the cover slip.

Change to MS: we changed the text referring to Bordiga et al. (2015) protocol. **(Lines 220-235)**.

32) Pag. 1623, lines 3-4. It is quite significant considering the relative abundance changes observed for most of the taxa (see Fig. 3). The author should comment on this issue. CV => Please in full (coefficient of variation), at least the first time.

Reply: We will define “CV” as coefficient of variation in the text. The CV of 11% refers to the absolute abundances, not to relative abundances which are highly comparable on replicates and when compared with different techniques (see Bordiga et al., 2015). The variation among abs. ab. replicates of 11% is comparable to results obtained in previous studies (Bollmann et al., 1999; Geisen et al., 1999; summary table in Bordiga et al., 2015). This explanation is no longer necessary in the text, as we referred to Bordiga et al. (2015).

33) Pag. 1623, lines 4-6. This is not correct. The number of specimens per gram counted in a prefixed area is an absolute abundance but this index does not take into account the time. What I mean it is that we know the number of forms per gram (absolute abundance) but we do not know the flux. i.e. number of forms \times $g_{10^{-6}mm^{-2}y^{-1}}$. This value could have changed substantially if the mass accumulation rates change. In other words, if the authors have not a good highly-resolved age model for their study profile then they can say very little about paleofluxes /paleoproductivity. [...].

Reply: It is correct that the number per gram does not take into account the time. We did not use the absolute abundances with this connotation in the paper, and we cannot calculate paleofluxes for the low resolution of the age model (see the reply #27).

On the other hand, we were able to discuss paleoproductivity using the assemblage data (treated with the PCA) because we compared them with the independent proxies - the isotopes ($\Delta\delta^{13}\text{Cp-b}$) and benthic assemblage data - to reinforce our observations.

34) Pag. 1623, lines 6-10. I would agree with the authors but this issue is rather more complicated than explained here. See for instance (discussion paper of Gibbs et al. 2012 <http://www.biogeosciencesdiscuss.net/9/C618/2012/bgd-9-C618-2012.pdf>). In the following the authors eventually decide to use relative abundance data quite heavily (though with some transformations), so why to destroy this kind of data. This seems incoherent. Please comment.

Reply: We made this point more clear. The reviewer is right that the wording we used before could be construed as to “destroy” the relative abundances. Here we used both absolute and relative abundances and by comparing them we can investigate the influence of dilution and sedimentation rate. Indeed, as pointed by the reviewer, Gibbs et al. (2012) correctly argue that “Relative abundance provides ‘biological’ information, i.e. relative abundances of taxa within the populations, which is independent of modifying sedimentological effects, such as varying accumulation rate.” But we disagree that “changing the relative abundances into a more ‘absolute’ abundance form, such as numbers per gram does not provide any additional information and is actually adding a level of degradation. For example, to convert to numbers per gram would mean we introduce a dilution signal to our data that isn’t necessarily anything to do with a biotic response.” It is true that absolute abundances (N g^{-1}) are affected by dilution and sedimentation rates, but by comparing the absolute with the relative abundances (see results section and supplement Fig. S2) we documented that their trends are very similar. This suggests that the dilution/sed. rates at this particular Site were constant over time, thus we can refer to the absolute abundances as linked to biological processes. (The constant sedimentation rate is also documented by the age model which, although not highly-resolved, provides a relatively constant sed. rate). In our case, the absolute abundances provide additional information about the total coccolith absolute abundance, which it is not possible to derive from other type of data (such as the relative abundances), and it is an important part to define the calcareous nannoplankton productivity. Indeed, if the trends of absolute and relative abundances of single species are similar, we can infer that the total absolute abundance is linked to biological processes.

Change to MS: we better specify in the text (both in the methods and results sections) the benefits of using absolute and relative abundances. (**Lines 236-244 and Lines 627-630**).

36) Pag. 1623, lines 22-23. I would suggest that this information (number of fields of view (FOV) observed) would be added to the supplementary material.

Reply and change to MS: The number of FOVs and its relative mm^2 area observed are added in the text, not in the supplementary material (**Lines 261-263**).

37) Pag. 1623, lines 22-23. This is not clear to me. The authors first claimed that relative abundance data are problematic and now they decide to use these data to describe the composition of nannofossil assemblages. This is awkward. Why they do not use their absolute abundance data? This point should be better explained and justified.

Reply and change to MS: We did not want to claim that relative abundances are problematic, but we agree that the sentence might have led to this misunderstanding. Now we rephrased it. See reply to #34 above for more details on the use of relative and absolute abundances. We used the relative abundances mainly for PCA: that was necessary because we wanted to compare two different datasets, and the absolute abundances were available only for one of these datasets. (See changes at **Lines 236-244** and **Lines 662-666**).

41) Pag. 1625, lines 2-6. I think this is a very nice approach but then, again, the authors should rethink about their statements on the poor validity of relative abundance data. Either they are a good proxy of what is going on or they possibly lead to loss of information and misinterpretation of the results (as stated above). You cannot have your cake and eat it, you have to reformulated your sentence...

Reply: See comment #34 and 37, we do support the validity of relative abundances. Considering the explanation now given in **Paragraph 2.2.1**, the sentence does not need to be reformulated.

44) Pag. 1628, lines 11-13. I do not get the point here, which kind of bias do you mean? In addition, Set A and Set B area quite different one to each other and even if consistent results finally popped out, these should be discussed properly. Just as a note, if you think something can bias your data, as it is generally written in your sentence, then, in principal, you have to be worried about the possible misleading alteration due to “the two operators effect”. Again, I do not see the real point in following this approach (duplicating datasets).

Reply and change to MS: We erased that sentence as it could lead misunderstanding (**Lines 405-406**). We meant that we wanted to compare the occurrence (detection) of marker species, especially the rare ones. The use of the two datasets is also important to compare the results of PCA, and to observe if the primary signals are documented by both datasets. About the use of two datasets see reply #29.

45) Pag. 1628, lines 20-26. The age model as constructed by the authors to compare the two dataset followed a quite circular reasoning, without any independent correlation tool (e.g., magnetostratigraphy, isotope stratigraphy,...) in support of their chronological framework. Now, it is quite clear that this will not going to affect the correlation between set A and set B too much because the two series are recovered by different holes of the same Site and they hopefully recorded the same geohistory, but what could instead happen if these datasets (without any independent age constrains, but derivated nanno biochronology) will be correlated using the same rationale? I would suggest the authors to add a sentence about this issue.

Reply and change to MS: The correlation with the oxygen isotope curve is inserted in the text (**Lines 529-549**) and figures (fig. 2). The datums from planktonic forams and nannofossils well constrain the age model. Unfortunately, no magnetic data are available for Site 1263.

49) Pag. 1629, lines 8-9. The stratigraphic range is related to a species not to a bioevent. The position of bioevent (e.g., *B. S. trilobosus*) is the consequence of the stratigraphic range of *S.*

trilobus. In other word, a biohorizon has not a stratigraphic range is rather a stratigraphic level, in this case the stratigraphic level where *S. trilobosus* first occurred.

Reply and change to MS: Correct. Modified in the text (**Line 437**).

52) Pag. 1629, lines 12-14. abundant and it's the poor preservation of the study material is commonly compromising the identification at the species level and thus possibly, its B. Again, this sentence sounds strange. Abundant? This is an euphemism. I would say that this species is rare to very rare and sporadic.

Reply and change to MS: Yes, the species is rare and sporadic. Modified (**Lines 440-442**).

54) Pag. 1629, lines 18-19. Looking at the abundance pattern, I would say that the Top of *D. saipanensis* should be positioned at ca. 104 mcd, where this species goes to 0. [...].

Reply: The T of *D. saipanensis* had been placed at 102.27 mcd because specimens of *D. saipanensis* had been continuously found until 102.52 mcd, although outside the count of 300 specimens.

Change to MS: We added the presence of *D. saipanensis* between 104 and 102.27 mcd (green crosses) in **Fig. 2** and specified in the text (**Lines 448-449**).

56) Pag. 1629, line 24. Please consider to use *C. subdistichus* in place of *C. obrutus*.

Reply and change to MS: Modified in the text (**Lines 454-456**): according to Agnini et al. (2014) we combined *C. obrutus* and *C. subdistichus* because *Clausicoccus obrutus* is considered to be a junior synonym of *C. subdistichus* (www.nannotax.org).

57) Pag. 1630, lines 2-3. This is not correct. The base of Zone CP16b is defined by the T of *C. obrutus*. Recently, Agnini et al (2014) proposed to use the B of *C. subdistichus* (whose definition include also *C. obrutus*) to define their Zone CNO1. Backman (1987) never emended the original definition of the base of CP16b. In his key paper, he emphasized the potential of the Bacme of *C. subdistichus* and suggested that this biohorizon could be used to subdivide Zone NP21. He did not mentioned about the base of CP16b, whose application is for sure difficult since the Tacme of *C. subdistichus*. All this issue should be managed.

Reply and change to MS: We modified the text (**Lines 454-456**) and **Fig. 2** according to the definition of Agnini et al., (2014).

58) Pag. 1630, line 10. The B of *C. altus* can be *is tentatively* placed with certainty at 89.4 mcd. [...], I would say that the use of "with certainty" should be avoided.

Reply and change to MS: Correct. Modified in the text (**Line 473**).

61) Pag. 1630, lines 16-17. B and Bc were identifiable I would agree for Bc of *Sphenolithus akropodus* but B of *Sphenolithus akropodus* is very very tentative. Do you really think this is a reproducibile event?

Reply and change to MS: Just few and sporadic species of *S. akropodus* are present below its acme. We think that it is important to also report the first occurrence of this species, but we specified in the text that this is tentative due to the rarity of this species (**Lines 478-480**).

62) Pag. 1630, lines 20-22. This is tricky. The abundance plot stops exactly where *E. formosa* goes to 0. My point is how can you be sure that 85.15 mcd actually corresponds to the Top of the species. Is this just because of the shipboard data. I cannot see any other independent evidence for this statement. Please comment.

Reply and change to MS: Considering both datasets A and B, six samples above 85.15 (up to 83 mcd) were analysed to detect the disappearance of *C. formosus*. We consider this good evidence for the position of this datum. Moreover, this depth is very close to the one detected by the Shipboard Party (at 86 mcd). We modified **Fig. 2** plotting also the six samples where *C. formosus* is not present.

63) Pag. 1630, lines 23-27. See comment above.

Reply: For the case of *I. recurvus* we cannot be sure that the depth of 83.19 mcd truly corresponds to its disappearance because just one sample above the last observed specimen was analysed. This is why we stated in the text that this depth is “an approximation”.

64) Pag. 1631, lines 11 -13. I totally agree with Paul Pearson. The Top of *Cribohantkenina* and *Hantkenina* are in fact a marker of the EOB but they should be used with extreme caution and, if possible, integrated with other additional biohorizons that would strengthen the datum.

Reply: We agree with this observation. See also reply #2-3 to P. Pearson for the placement of the EOB and **Lines 506-528** for the explanation of the new data.

65) Pag. 1632, lines 5-7. This inconsistency could be related to a change in carbonate source (more forams) but this is not the only possible explanation. The authors should take into account the different amount of carbonate produced by different taxa. [...].

Reply and change to MS: We agree with the reviewer. We modified the text specifying that we don't know the amount of carbonate produced by foraminifers and calcareous nannoplankton, so that the real contribution of each group cannot be unravelled in this study (**Lines 576-581**).

67) Pag. 1632, lines 17-18. How can you say that? During the late Eocene - early Oligocene, small placoliths are by far the dominant taxa in the ocean, the total absence of small placoliths would have an (important) impact on the paleoecological interpretation of CN data. This is the endless debate pristine signal vs dissolution. Please comment.

Reply: The small placoliths were not abundant in the studied interval, particularly during the late Eocene. It is true that the absence of small placoliths might be indicative of dissolution, and clearly dissolution is present at this site. For comparison we calculated also the coccolith dissolution index which shows intervals of increased levels of dissolution (although overall still “moderate to good preservation” Zachos et al., 2004), but not always corresponding to

lower absolute abundances. Of course such hypothetical/possible signal from small placoliths is not detectable anymore, but by calculating the coccolith dissolution we provide an alternative and valid index for comparison between samples and with other data. Nevertheless, the lack of small placoliths does not prevent the identification of the main features of the medium-large sized taxa

Change to MS: Text has been changed following the suggestion (**Lines 593-597**).

68) Pag. 1632, line 20. (Fig. 3) => I would add the isotope curve...

Reply and change to MS: Due to the new evidence on the position of the EOB and the comparison with Site 522 for the $\delta^{18}\text{O}$, it is not relevant to insert the isotope curve in Fig. 3. Nevertheless, we modified **Fig. 3** plotting the position of the Step 2.

69) Pag. 1632, lines 24-26. The absolute abundance of CN is not preserved. As you stated just few lines above, many small placoliths were dissolved. What you can try is to support the idea that the relative abundance of the different taxa remained the same, but this is obviously not true because, as you said, dissolution is selective, which in turn implies it does not affect different taxa in the same way.

Reply and change to MS: It is correct that the absolute abundance may have been affected by dissolution, because we cannot reconstruct the story of small placoliths. But we can say that moments of intensified dissolution do not correspond to moments of lower abundances: thus, we can still discuss about the main features of the assemblage. See also comment #18 from T. Dunkley Jones: the dissolution was not so intense, otherwise “we would expect to find just some robust placoliths and heavily calcified nannoliths”. Instead, we find abundant small-medium sized *Cyclicargolithus* and also holococcoliths (which are recognized to be very prone to dissolution; Blaj et al., 2009; Bown et al., 2008; Young et al., 2005) in our samples. (**Lines 588-597**).

71) Pag. 1632, lines 25-28. This is actually not clear to me. At the EOB, the large placoliths increase, this is crystalline, but if I look at the total absolute abundance the decrease is much less marked, may be because of the increase of *C. pelagicus* that, at least in part, counterbalances the trend of large placoliths. It is likely, however, that the export carbonate productivity decreases because larger coccoliths produce more carbonate. So again, coccolith absolute abundance and carbonate export productivity are different concept.

Reply and change to MS: It is correct that *C. pelagicus* slightly increase when the large reticulofenestrads decrease (above the boundary), and this is why the decrease of total abundance is less marked than the abundance curves of large reticulofenestrads. We better explained this point in the text, being careful to refer to the decrease of large “reticulofenestrads” and not decrease of large species in general. (**Lines 650-655**).

72) Pag. 1636, lines 8-9. I would say that *Cycligarlolithus* mean cell size drives the high correspondence between in V:SA and PC1.

Reply: We do not show “*Cyclicargolithus* mean cell size” as a separate curve, but we agree with the reviewer that the abundance and medium size of *Cyclicargolithus* influences the red curve most (since it is the most abundant species in the mix). However, in similar fashion one could argue that the contribution (abundance) of large-sized reticulofenestrads (which, as shown in the green dashed curve in Fig. 5, do not drastically vary in size, but rather, decrease in abundance (as shown in Fig. 3) is “driving” the curve.

The comment prompted us to clarify the point that the mean V:SA values are derived from the relative abundances of differently sized morphotypes, so that both changes in relative abundance and potential size shifts within morphotypes may influence the results (although the latter could also mean jumping into a different size class and thus be seen as a relative abundance shift). For example, the large retics actually do not “decrease in size” above the EOT, but they contribute less to the mean V:SA value above the EOT.

Change to MS: the text is now clearer (**Lines 717-720**). We changed the colour of the placolith-bearing taxa V:SA in **Fig. 5** to make more evident the similarity with the red curve.

73) Pag. 1636, lines 15-18. I would reiterate my point. You do not have any information of dominant taxa, just because they are not in the assemblage anymore. It might be the case that smaller placoliths show a particular trend. For instance, if they would be very abundant, where larger coccolith are very rare then your hypothesis is collapsing like a house of cards.

Reply and change to MS: The information about the very small placoliths is not available, either because they indeed were rare or, more probably, because of dissolution. But we think that it is encouraging that the independent proxy for dissolution, i.e. the coccolith dissolution index, does not correspond to increases in mean size (which could be seen as the result of dissolving away the smaller sizes of the assemblage).

Moreover, the loss of large reticulofenestrads cannot be linked to a dissolution bias – since they are more robust and resistant to dissolution than smaller (medium) forms such as *Cyclicargolithus* spp. The trend of mean V:SA under discussion is not affected by the presence or absence of small placoliths, that are irrelevant in this consideration of mean size variation within the medium to large reticulofenestrads. (**Lines 730-732**). See also our response above, #72.

Other authors did not either document a substantial or consistent presence of small (<3µm) specimens in the same time interval (late Eocene-early Oligocene) at various latitudes (Persico and Villa (2004) and Villa et al. (2008) in the Southern Ocean, Fioroni et al. (2015) in the equatorial Indian Ocean). (**Lines 594-595**).

74) Pag. 1636, line 9. This paragraph is a long dissertation on what is going on in the placolith world where CO₂ values are decreasing. This is really fascinating but I would like that the authors look at the entire assemblage. Are the changes observed in non-placolith taxa (e.g. *Sphenolithus*, *Discoaster*, *Z. bijugatus*) confirming their interpretation? This is would be really interesting to see. The authors may claimed that these taxa represent a minor

component but they are ca. 20% (on average) of entire assemblage and, even more importantly, they produced much more carbonate than a small placolith. Finally...

What about *C. pelagicus*? It is a placolith (a major component of the assemblage) but it does not seem to follow the same trend observed for reticulofenestrads (Fig.3). How can you explain that? Why V:SA ratio of *C. pelagicus* increase when reticulofenestrads decrease in their cell size?

Reply and change to MS: Other species, such as *Sphenolithus* spp. (all species grouped together), do not show any particular abundance variations at the same depth as the large reticulofenestrads. *Z. bijugatus* shows a slight decrease before the decrease of large reticulofenestrads and in correspondence of the decrease in abundance of *Discoaster* spp. (Fig. S3). Considering the paleoecological significance of *Sphenolithus* and *Discoaster*, as reported in the literature, their higher abundances may relate to the more oligotrophic conditions during the late Eocene. A relationship with the reconstructed trends in paleo-CO₂ cannot be seen. These species are better discussed in the **section 5.2 (Lines 846-862)**, and in the text we refer to the species (reticulofenestrads) that have a clear relationship with the CO₂.

We re-iterate that mean V:SA values are derived from the relative abundances of differently sized morphotypes – whether for (groups of) reticulofenestrads or *C. pelagicus*. *Coccolithus pelagicus* shows little variation in abundance and “size composition” (differently sized morphotypes), and thus not either in mean V:SA estimates. Why this is the case, from a paleobiological perspective, is of course a very interesting question. We don't know why this taxon would be less affected across the EOT, but it seems evident that *Coccolithus* vs reticulofenestrads tend to respond in different ways, likely linked to differences in physiology between the two families (e.g. Rickaby et al. 2010; Krug et al. 2011; Lohbeck et al. 2012; Gibbs et al., 2013).

75) Pag. 1637, lines 10-12. I would stress this point because this really supports the authors' scenario. Larger forms are proved to be less prone to dissolution. A general decrease in size would not be caused by dissolution, which works the other way around.

Reply and change to MS: Correct. We modified the text as follows, making this point clearer (**Lines 767-771**): “The distinct variation in nannoplankton abundance and average size of medium to large placoliths above the EOB at Site 1263 cannot be explained by dissolution – which would affect smaller coccoliths preferentially and lead to an increase of the mean size of the whole assemblage, opposite to what is observed.”.

76) Pag. 1640, lines 8-13. a) The positive loading of PC2 is bizarre. How can you explain the fact that the major component of the assemblage (even considering that clr is applied to the dataset), the reticulofenestrads, show very little load capacity?

b) How can you explain that *Sphenolithus* and *Discoaster*, two warm oligotrophic taxa, have a positive component loading in PC2. If your interpretation is correct, PC2 represents paleoproductivity, then I would expect the opposite behavior. This result points for a strong eutrophic affinity for sphenoliths and discoasters.

c) How can you explain that *D. stavensis* and *D. bisectus* show an opposite behaviour if compare with that of *R. scrippsae*? The reason why I ask this question is that if you accept the taxonomic validity of genus *Dictyococcites*, you should consequently ascribed *scrippsae* to *Dictyococcites* not to *Reticulofenestra*. As a note, *D./R. scrippsae* (Fig. 4) is possibly considered a junior synonym of *D. hesslandii*, so please consider to revise its taxonomy.

d) Are you sure that PC2 (by the way, PC2 could account just for the 14% of the variance of the entire assemblage) could be correlated with paleoproductivity so straightforwardly?

Reply a) The reticulofenestrids show little loadings with PC2 because two components retained through the PCA are, by definition, uncorrelated variables (Quinn and Keough, 2002 – Data analysis for biologists). Thus, the species strongly loading the PC1 will not necessarily load also the other components (as it is the case here). It is not always the case that the most abundant species have to load both (or several) components of the PCA. Moreover, we cannot really say that PC2 is loaded by “not abundant” species, because *C. pelagicus*, *L. minutus*, *Sphenolithus* together represent the 20-40% of the assemblage.

Reply and change to MS b) Recently *Sphenolithus* has been related to more nutrient conditions than to temperature control (**Lines 857-862**). We can infer that probably *Sphenolithus* was an opportunistic taxon, as also documented by Wade and Pälike (2004) and Dunkley Jones et al. (2008) across the EOT. This interpretation is well in agreement with the loading of *Sphenolithus*, opposite to the oligotrophic *L. minutus* (**Lines 860-862**). The positive loading of *Discoaster* is not strong enough to be meaningful for explaining the PC2.

Reply and change to MS c) We agree that from a taxonomical point of view it is better to ascribe *R. scrippsae* as *Dictyococcites scrippsae*, we changed in **Fig. 4**. We cannot fully define the different behaviours of the different loadings in PC2, especially because the loading of *D. scrippsae* is very small, thus it does not have to be taken into account for the interpretation of PC2. We maintained the name species “*scrippsae*” because our specimens can be described as “coccoliths with a solid central plug” (nannotax3 website), while *D. hesslandii* is defined as “Coccoliths small to medium sized (3-7µm) with central area nearly closed by inner tube” cycle (nannotax3 website). We did not observe a nearly closed inner tube but a solid central plug.

Reply d) We correlate PC2 to paleoproductivity not only on the basis of the species loadings, but also from the comparison with carbon isotope data and data assemblage from the benthic foraminifers (**Lines 864-882**). Indeed, PC2 is not the primary factor, so it is not mainly driving the assemblage.

77) Pag. 1640, lines 19-20. ...Or just because this correlation doesn't work. I do not mean that the final interpretation is incorrect but it shouldn't be based on so weak an argument. The authors have a stronger potential defense for their interpretation.

Reply and change to MS: The low correlation between the two curves is due to the low number of samples in common between PC2 and carbon isotopic gradient (indeed the use of the same samples is required to make a correct correlation analysis). But from a visual

comparison between the two curves we can see that both recorded an evident decrease around 92 mcd. We have a good comparison with the benthic foraminifer assemblage, which confirms the interpretation of PC2 as paleoproductivity or nutrient supply. Indeed, the increase of phytodetritus species corresponds very well to the positive peak of the PC2. So, we have two independent proxies to strengthen the interpretation of PC2 derived from the nannofossil data (**Paragraph. 5.2**).

78) Pag. 1640, lines 24-25. This is counter-intuitive. Looking at the Pacific record (Coxall et al., 2005) as many others, I would expect an increase in productivity. If the authors claimed for the opposite, they should provide an explanation for this inconsistency. Is this a local effect? And, if this is the case, Can they provide a global paleoproductivity model in which their dataset could be included? Is there any chance that their results could be interpreted in a different way?

Reply: We reported in the text several previous studies where the paleoproductivity has a similar trend as we recorded (**Lines 924-931**). This confirms that similar conditions occurred also at other tropical and equatorial latitudes. Of course we cannot completely exclude the influence of some local effects. The text had been changed to make this point more clear. About providing a global paleoproductivity model: this would imply another level of analysis and it is not the main aim of this paper. We think that our interpretation is well supported given the two fossil groups and isotope data.

79) Pag. 1641, line 3. This data set could nicely account for what is going on in bottom waters not in sea surface waters. It often happens that these two domains are “disconnected”, especially during dramatic changes in paleoenvironmental conditions, as the EOB.

Reply: Although the two domains might be disconnected, the benthic foraminifers have been used as indicator of paleoproductivity at the surface also at the EOB (e.g. Coxall and Wilson, 2011).

We previously used “nutrient supply” which suggests supply in N and P to photosynthesizers, but here we are talking about food supply to the benthos, which is not ‘nutrient supply’. To make this point clearer we changed **Lines 883-885** to: “The benthic foraminifer assemblage confirms the interpretation of the PC2, adding information on the nature of supply of organic matter to the seafloor (Fig. 6).” We specify better and separate nutrient supply to the nanoplankton from food supply to the benthos (**Lines 899-910**).

80) Pag. 1641, lines 12-14. If I have understood correctly, the decrease in coccolith size is driven by decreasing CO₂ values, Am I wrong? Which is the driving forcing for this change? The CO₂ or the paleoproductivity? And, in case they are both responsible for this change, which is the factor commanding the decrease in coccolith size?

Reply and change to MS: We postulate that the decrease in mean cell size of placolith-bearing coccolithophores could have been driven both by varying levels of CO₂ and/or paleoproductivity (or, rather, nutrient supply). We divided the discussion of the two factors in two paragraphs, but it is probably better to state again the influence of pCO₂ (**Lines 894-896**).

In the previous section 5.1 we discuss that other abiotic factors might have affected the decrease in cell size, and that they are deemed to be dominant.

81) Pag. 1641, lines 16-20. I do not get the point here. The decrease in coccolith size occurred at ca. 96 mcd and coincides with high seasonal productivity in benthic communities (Fig. 6 and Fig.7=> phytodetritus abundance). Is there a possibility that buliminids show a relative decrease in abundance because they are temporary overcompeted by phytodetritus species, in a different but still high productivity regime? Did I miss something?

Reply: As explained in the reply to comment #79, benthic forams cannot be directly linked to nutrients (N, P) since they are not autotrophs.

Change to MS: we made this point clearer in the **Lines 883-910**.

82) Pag. 1641, lines 21 -24. What about the missing part of the story, the smaller placoliths. If I follow your reasoning, I would imagine that smaller placoliths (3-4 μm), which are absent from the fossil record, should have been very abundant at that time, may be increasing in number as their larger counterpart (4-7 μm) did.

Reply: With “small placoliths” we meant specimens smaller than 3 μm . Specimens with a size of 3-4 μm are present and well-represented in the assemblage. It is possible that during moments of higher productivity the small nanoplankton could have flourished or increased its abundance, and it is true that we don't have this information at the Site 1263. But, also other sites, with a good preservation, high abundances of small placoliths have not been recorded during the same time interval. See reply #73.

83) Pag. 1642, lines 0-13. As I commented above, you need to synthesize all these data in more global perspective.

Reply: In the text we present general synthesis of the data available also from other sites (**Lines 920-932**), inferring a “meridional gradient in biotic response”. A wider synthesis, which would include also a modelling (as suggested in comment #78), is outside of the scope of this paper.

84) Pag. 1644, lines 12-15. See comments above on the same issues.

Reply: See reply #83. We have a good comparison with previous studies (**Lines 965-976 and 1006-1019**).

85) Pag. 1645, line 13. I commented above on each of the main results reported in the conclusions. Some should be revised.

Reply and change to MS: The **Conclusions** have been revised/clarified following all discussions in this open online forum. In particular, we will clarify the position of the biotic changes relative to the EOB (updated with new planktonic foram data) and Step 2, as discuss the paleoproductivity issues raised by this referee.

1 ~~The Eocene-Oligocene transition at ODP Site 1263, Atlantic Ocean: decreases in~~
2 ~~nannoplankton size and abundance and correlation with benthic foraminiferal assemblages~~
3 Microfossil evidence for trophic changes during the Eocene-Oligocene transition in the South
4 Atlantic (ODP Site 1263, Walvis Ridge)

5
6 M. Bordiga ¹, J. Henderiks ¹, F. Tori ², S. Monechi ², R. Fenero ³, [A. Legarda-Lisari](#)^{1,3} and E.
7 Thomas ^{4,5}

8
9 [1] Department of Earth Sciences, Uppsala University, Villavägen 16, 752 36, Uppsala (Sweden)

10 [2] Dipartimento di Scienze della Terra, Università di Firenze, Via la Pira 4, I-50121, Florence
11 (Italy)

12 [3] Departamento de Ciencias de la Tierra and Instituto Universitario de Investigación en Ciencias
13 Ambientales de Aragón, Universidad [de Zaragoza](#), [Calle](#) Pedro Cerbuna 12, E-50009, Zaragoza
14 (Spain)

15 [4] Department of Geology and Geophysics, Yale University, New Haven, CT 06520 (USA)

16 [5] Department of Earth and Environmental Sciences, Wesleyan University, Middletown, CT 06459
17 (USA)

18
19 Correspondence to: M. Bordiga (manuela.bordiga@geo.uu.se)

20

21 **Abstract**

22 The biotic response of calcareous nannoplankton to environmental and climatic changes during the
23 Eocene-Oligocene transition (~~~34.8-32.7 Ma~~) was investigated at high resolution at Ocean Drilling
24 Program (ODP) Site 1263 (Walvis Ridge, South East Atlantic Ocean), and compared with a lower
25 resolution benthic foraminiferal record. During this time interval, ~~the~~ global climate, which had
26 been warm ~~during the Eocene~~, under high levels of atmospheric CO₂ (pCO₂) during the Eocene,
27 transitioned into the cooler climate of the Oligocene, with at overall lower pCO₂. At Site 1263, the
28 absolute nannofossil abundance (coccoliths per gram of sediment; N g⁻¹) and the mean coccolith
29 size decreased distinctly ~~across~~ after the E-O boundary (EOB; 33.89 Ma), mainly due to a sharp
30 decline in abundance of large-sized *Reticulofenestra* and *Dictyococcites*, occurring within a time-
31 span ~53-47 kyr. ~~Since~~ eCarbonate dissolution did not vary much across the EOB, thus the decrease
32 in abundance and size of nannofossils may highlight-reflect an overall decrease in their export
33 production, which could have led to an increased ratio of organic to inorganic carbon (calcite)
34 burial, as well as variations in the food availability for benthic foraminifers.

35 The benthic foraminiferal assemblage data ~~show-are consistent with at~~ the global decline in
36 abundance of rectilinear species with complex apertures in the latest Eocene (~34.5 Ma), potentially
37 reflecting changes in the food source, thus phytoplankton, This was followed by transient increased
38 abundance of species indicative of seasonal delivery of food to the sea floor (*Epistominella* spp.;
39 ~~~33.9-33.4~~ 34.04-33.54 Ma), with a short peak in overall food delivery at the EOB (buliminid taxa;
40 ~~~33.9-8~~ Ma). After Oi 1 (starting at ~~~33.4~~ Ma), a high increased abundance of *Nuttallides*
41 *umbonifera* (at ~33.3 Ma) indicates the presence of more corrosive bottom waters, possibly
42 combined with arrival of less food arriving at the sea floor after the second step of cooling, (Step 2).

43 The most important ~~signals-changes~~ in the calcareous nannofossil and benthic communities, ~~i.e. the~~
44 ~~marked decrease of large reticulofenestrids, extinctions of planktonic foraminifer species and more~~
45 ~~pronounced seasonal influx of organic matter, preceded- occurred ~120 kyr after the EOB. the~~
46 ~~major expansion of the Antarctic ice sheet (Oi 1) by ~440 kyr. During Oi 1~~ After, our data show
47 There was no major change in nannofossil abundance or assemblage composition at Site 1263
48 occurred at Site 1263 after Step 2, although benthic foraminifera indicate more corrosive bottom
49 waters following during this event time. During the onset of latest Eocene-earliest Oligocene climate
50 change, mMarine phytoplankton thus showed high sensitivity to fast-changing conditions, as well
51 as to possibly enhanced, but pulsed nutrient supply, ~~during the early onset of latest Eocene-earliest~~
52 ~~Oligocene climate change~~, or to the crossing a-of a climatic threshold ~~in these changes~~ (e.g. pCO₂
53 decline, high-latitude cooling and changes in ocean circulation).

55 1 Introduction

56 The late Eocene-early Oligocene was marked by an important change in global climate and in
57 oceanic environments, reflected in significant biotic turnovers in marine and terrestrial biota. The
58 Earth's climate was driven from a warm “greenhouse” with high pCO₂ during the middle Eocene
59 through a transitional period in the late Eocene to a cold “icehouse” with-at low pCO₂ in the earliest
60 Oligocene (e.g. Zachos et al., 2001; DeConto and Pollard, 2003; Pearson et al., 2009; Pagani et al.,
61 2011; Zhang et al., 2013). During this climate shift, Antarctic ice sheets first reached sea level, sea
62 level dropped, and changes occurred in ocean chemistry and plankton communities, while the
63 calcite compensation depth (CCD) deepened rapidly, at least in the Pacific Ocean (e.g. Zachos et
64 al., 2001; Coxall et al., 2005; Pälike et al., 2006; Coxall and Pearson, 2007; Merico et al 2008).
65 There is ongoing debate whether the overall cooling, starting at high latitudes in the middle Eocene
66 while the low latitudes remained persistently warm until the end of the Eocene (Pearson et al.,
67 2007), was mainly caused by changes in oceanic gateways (opening of Drake Passage and the
68 Tasman gateway) leading to initiation of the Antarctic Circumpolar Current as-proposed-by (e.g.
69 Kennett, (1977), or by declining atmospheric CO₂ levels that favored ice sheet growth as-proposed
70 by (e.g. DeConto and Pollard, (2003);, Barker and Thomas, (2004);, Katz et al., (2008);) and
71 Goldner et al., (2014); in combination with specific orbital configurations (Coxall et al., 2005), or
72 by some combination of both these factors (Sijp et al., 2014). Recently, it has been proposed that
73 the glaciation itself caused further oceanic circulation changes (Goldner et al., 2014; Ladant et al.,
74 2014; Rugenstein et al., 2014).

75 The Eocene-Oligocene boundary (EOB; ~33.89 Ma, Gradstein et al., 2012) is formally defined
76 denoted? by the extinction of two planktonic foraminiferal genera (specifically, the genus
77 Hantkenina and Cribrohantkenina; Premoli-Silva and Jenkins, 1993)), and falls within this climate
78 revolution, followed after ~450 kyr by a positive peak in δ¹⁸O, referred to as the Oi-1 event (Miller
79 et al., 1991) which lasted for ~400 kyr and reflects intensified Antarctic glaciation (Zachos et al.,
80 1996; Coxall et al., 2005), probably associated with cooling (e.g. Liu et al., 2009; Bohaty et al.,
81 2012). Pearson et al. (2008), however, recorded the extinction of Hantkeninidae, thus by definition
82 the EOB, in the plateau between the two main steps in the stable isotope records (i.e. within Oi-1) at
83 Tanzania Drilling Project (TDP) Sites 11, 12 and 17. An Hantkenina spp. and Cribrohantkenina spp.
84 fragmentation and At several well studied, for example Site . In such cases,
85 additional could be considered in order to identify and correlate the EOB between sites the possible
86 explanation of this inconsistency might be that the The highest occurrence of Hantkenina spp. and
87 Cribrohantkenina spp. may be may be influenced affected by preservation, since the taxon is
88 sensitive to dissolution.

Comment [m1]: Moved in section 3.2

89 ~~Recently, several high-resolution, benthic foraminiferal $\delta^{18}\text{O}$ records foraminifera based~~
90 ~~geochemical studies across the Eocene-Oligocene transition (EOEOT; ~33.8934-33.5 Ma, Pearson~~
91 ~~et al., 2008), at different latitudes, have provided shown a two-step cooling at several latitudes~~
92 ~~detailed information on the stepwise cooling~~ (e.g. Coxall et al., 2005; Katz et al., 2008; Lear et al.,
93 2008; Riesselman et al., 2007; Peck et al., 2010; Coxall and Wilson, 2011; Bohaty et al., 2012). To
94 ~~avoid confusion with previous definitions of these two steps, we follow Pearson et al. (2008) and~~
95 ~~Bohaty et al. (2012): Step 1 is the first $\delta^{18}\text{O}$ increase related to global cooling with a modest ice~~
96 ~~growth component, and Step 2 is the second increase in $\delta^{18}\text{O}$ representings the major ice growth~~
97 ~~leading to a continental-scale ice sheet over Antarctica (Miller et al., 2009). Foraminifer-based~~
98 ~~geochemical studies documented the dynamics of the oceanic carbon cycle during the EOT, with~~
99 ~~A an increase in benthic foraminiferal $\delta^{13}\text{C}$ $\delta^{13}\text{C}$. This $\delta^{13}\text{C}$ increase which, on kyr-time scales, could~~
100 ~~relate to is a major indication of changes in the carbon cycle, e.g. storage of organic matter in the~~
101 ~~lithosphere, through an increased ratio in the burial of organic versus inorganic carbon (calcite) an~~
102 ~~increased ratio of organic to inorganic carbon (calcite) burial due to enhanced marine export~~
103 ~~production and/or increased preservation of organic matter-~~ (e.g. Diester-Haass, 1995; Zachos et al.,
104 1996; Coxall and Wilson, 2011). ~~There is, however, evidence that e~~Enhanced export production,
105 ~~however, may not have been was not~~ global (e.g. Griffith et al., 2010; Moore et al., 2014). The $\delta^{13}\text{C}$
106 shift and ~~oceanic carbon cycle reorganization, linked to increased biological production and~~
107 ~~deepening of the CCD, have also been related to a rapid drop in pCO_2 again linked which that would~~
108 ~~have been lead to higher increased biological production and CCD deepening-~~ (Zachos and Kump,
109 2005).

110 There is a strong link between ~~late Eocene-early Oligocene~~ climate change and ~~the~~ response of ~~the~~
111 marine and ~~land biota~~terrestrial -biota during the late Eocene-early Oligocene. ~~The global cooling,~~
112 ~~with This was a time of high substantial extinction rates extinction~~ and ecological reorganization,
113 ~~in-affected~~ many biological groups, ~~including~~: calcifying phytoplankton (coccolithophores; e.g.
114 Aubry, 1992; Persico and Villa, 2004; Dunkley Jones et al., 2008; ~~Feri, 2008~~; Villa et al., 2008),
115 siliceous plankton (diatoms and radiolarians; e.g. Keller ~~et al.~~, 1986; Falkowski et al., 2004),
116 planktonic and benthic foraminifers (e.g. Coccioni et al., 1988; Thomas, 1990, 1992; Thomas and
117 Gooday, 1996; Thomas, 2007; Pearson et al., 2008; Hayward et al., 2012), large foraminifers
118 (~~nummulites~~Nummulites; e.g. Adams et al., 1986), ostracods (e.g. Benson, 1975), marine
119 invertebrates (e.g. Dockery, 1986), and mammals (e.g. Meng and McKenna, 1998). Among the
120 marine biota, the planktonic foraminifers experienced a synchronous extinction of five species in
121 the Family Hantkeninidae (e.g. Coccioni et al., 1988; Coxall and Pearson, 2006), ~~the extinction of -~~
122 ~~Turborotalia cerroazulensis group and the reduction in size of the Pseudohastigerina lineage~~

123 ([Wade and Pearson, 2008](#) and references therein). Benthic ~~foraminiferal-foraminifers assemblages~~
124 ~~recorded-experienced~~ a gradual turnover, marked by an overall decline in diversity, largely due to
125 the decline in ~~the relative~~ abundance of cylindrical taxa with a complex aperture (Thomas, 2007;
126 Hayward et al., 2012), and an increase of species which preferentially use fresh phytodetritus
127 delivered to the seafloor in strongly seasonal pulses (e.g. Thomas, 1992; Thomas and Gooday,
128 1996; Pearson et al., 2008).

129 ~~The calcareous-Calcareous~~ nannoplankton ~~assemblages community~~ underwent significant ~~global~~
130 ~~restructuring changes at during~~ the ~~EOBEOT,- aA~~ Although the group did not suffer extinctions ~~right~~
131 ~~exactly~~ exactly at the ~~Eocene-Oligocene~~ boundary (EOB) ~~in contrast withas the~~ planktonic
132 foraminifers~~-, the structure of the assemblages underwent global reorganization. Calcareous~~
133 ~~nannoplankton -flourished and diversified during the warm-oligotrophic Eocene, with species~~
134 ~~diversity at maximum during the early-middle Eocene, decreasing during the cold-eutrophic early~~
135 ~~Oligocene (Bown et al., 2004). Furthermore, coccolithophores were globally more common and~~
136 ~~widespread in the Eocene, distinctly declining in (common) occurrence since the early Oligocene~~
137 ~~(Hannisdal et al., 2012). Species diversity decreased through the lossat the expenses of K-selective,~~
138 ~~specialist taxas, taxa and the favoring the increase of abundance of opportunistic species that were,~~
139 ~~more adapted to the new climate/environmental; increased conditions (e.g. Persico and Villa, 2004;~~
140 ~~Dunkley Jones et al., 2008; Tori, 2008). Calcareous nannoplankton, overall, flourished and~~
141 ~~diversified during the warm oligotrophic Eocene—with a maximum in species diversity during the~~
142 ~~early middle Eocene—rather than during the cold eutrophic early Oligocene (Bown et al., 2004).~~
143 ~~Furthermore, coccolithophores were globally more common and widespread during the Eocene,~~
144 ~~distinctly declining in (common) occurrence since the early Oligocene (Hannisdal et al., 2012). In~~
145 ~~the late Eocene and Oligocene TThe decline in diversity displayed byinof nannoplankton since the~~
146 ~~middle Eocene coincided with; a diversity whenincrease in the siliceous diatoms, which s-become~~
147 ~~more abundanteventually overoutcompeted the nannoplankton groups as the dominant phytoplankton~~
148 ~~group (e.g. Spencer-Cervato, 1999; Bown et al., 2004; Falkowski et al., 2004). Time series analysis~~
149 ~~(Hannisdal et al., 2012) has confirmed that coccolithophores were globally more common and~~
150 ~~widespread during the Eocene, declining since the early Oligocene. On million-year time scales,~~
151 ~~atmospheric CO₂ levels appear to have influenced coccolithophore macroevolution more than~~
152 ~~related long-term changes in temperature, sea level, ocean circulation or global carbon cycling~~
153 ~~(Hannisdal et al., 2012).~~

154 In addition, the late Eocene to early Oligocene decrease in the average cell size of reticulofenestrads
155 (~~presumed~~ ancestors of modern-day alkenone producing coccolithophores *Emiliania huxleyi* and
156 *Gephyrocapsa oceanica*) corresponds to a decline in pCO₂ (Henderiks and Pagani, 2008; Pagani et

157 al., 2011). This macroevolutionary trend appears ~~to have been~~ global and ~~primarily driven~~ ~~realtdby~~
158 ~~caused by~~ ~~from to~~ the ecological decline of large reticulofenestrid species. Henderiks and Pagani
159 (2008) hypothesized that large-celled coccolithophores were adapted to high pCO₂ and CO_{2(aq)}
160 conditions (late Eocene), whereas small-sized species ~~became~~ ~~are~~ more competitive at lower pCO₂
161 (early Oligocene). ~~However,~~ ~~†~~ This hypothesis has not yet been tested in detail in the fossil record.
162 Culture experiments, however, provide evidence that elevated levels of CO₂ alleviate carbon-
163 limitation in *E. huxleyi* and *G. oceanica*, and that even these small-celled, bloom-forming
164 coccolithophores operate carbon concentrating mechanisms (CCMs) under today's natural
165 conditions (e.g. Rost et al., 2003; Moolna and Rickaby, 2012). The adaptations in algal carbon
166 acquisition due to lower pCO₂ may have occurred as late as during the late Miocene (about 7-5
167 million years ago; Bolton and Stoll, 2013), suggesting that Paleogene coccolithophores did not (yet)
168 operate CCMs and that diffusive uptake of CO₂ and growth rates were mainly determined by the
169 volume-to-surface area of the cells.

170 ~~Only~~ ~~To date, only~~ few high-resolution studies ~~have~~ ~~described~~ the response of coccolithophores to
171 environmental change ~~aeross along~~ the ~~EOB-EOT~~ at high- (Southern Ocean; Persico and Villa,
172 2004; Villa et al., 2008, 2014; ~~Fioroni et al., 2015~~) and low latitudes (Tanzania; Dunkley Jones et
173 al., 2008; ~~Fioroni et al., 2015~~). These studies have highlighted distinct ~~compositional~~ shifts in the
174 composition of the assemblages and ~~changes~~ ~~decreasing~~ in species diversity at or close to the
175 boundary. ~~Here, we present a new high-resolution record (<10,000 kyr across the EOB) from Ocean~~
176 ~~Drilling Program (ODP) Site 1263, at mid-latitudes in the southeast Atlantic Ocean.~~

177 ~~Here, we~~ ~~We~~ report on calcareous nannofossil and foraminiferal biotic events between 34.768-32.7
178 Ma ~~at Ocean Drilling Program (ODP) Site 1263, recovered in the southeast Atlantic Ocean. In~~
179 ~~particular, we,~~ ~~to~~ refine the shipboard biostratigraphy published in Zachos et al. (2004), including
180 new data on planktonic foraminifers, and describe the ecological response of calcareous
181 nannoplankton and benthic foraminifers to environmental change during the EOT. The ~~ealcareous~~
182 ~~nannofossil assemblages~~ reveal distinct fluctuations in total abundance and ~~species taxonomic~~
183 composition of the calcareous nannofossil assemblages are, ~~which we~~ ~~compared~~ to stable isotope
184 data (Riesselman et al., 2007; Peck et al., 2010), and to benthic foraminiferal assemblage data ~~from~~
185 ~~the same site~~. For the first time, estimates of the number of nannofossils per gram of dry sediment
186 ~~were~~ ~~are~~ calculated for the Eocene-Oligocene time interval to investigate evaluate how paleo-export
187 fluxes and food supply to the benthic community were affected. This ~~record~~ is also the first high-
188 resolution (<10,000 yr) record ~~to of investigate~~ coccolith size variations (and related changes in
189 mean cell size, cf. Henderiks and Pagani, 2007) across the ~~EOBEOT~~ in greater detail.

190

191 2 Material and methods

192 2.1 ODP Site 1263

193 ODP Leg 208 Site 1263 (28°31.97'S and 2°46.77'E, Atlantic Ocean; ~~Fig. 1~~) was drilled at a water
194 depth of 2717 m on the southern flank of Walvis Ridge, an aseismic ridge west of the African coast
195 (~~Fig. 1~~). This site provides one of the most continuous sediment sequences of the ~~early-lower~~
196 Cenozoic in the Atlantic Ocean, and was at least 1 km above the lysocline prior to the lowering of
197 the CCD during the E-O₁ ~~transition~~ (Zachos et al., 2004). Foraminifer-bearing nannofossil ooze
198 and nannofossil ooze are the dominant lithologies in the studied interval (Zachos et al., 2004).

199 The Eocene-Oligocene sediments of ODP Site 1263 generally have a ~~high-high~~ carbonate content
200 (CaCO₃ wt%), ranging from 88 to 96% through 84.2-100.8 mcd (~~Fig. 2~~; Riesselman et al., 2007).
201 Only a few ~~samples with~~ lower values ~~in-of~~ CaCO₃ (~~~87%~~) ~~have been recorded occur~~ prior to the
202 EOB, ~~below the O₁ δ¹⁸O excursion at 99.19 and 99.49 mcd~~ (~~Fig. 2~~; Riesselman et al., 2007)
203 (~~Riesselman et al., 2007~~).

204 A total of 190 samples was used for nannofossil analyses across the EOB ~~in Holes 1263A and~~
205 ~~1263B~~. ~~Two datasets, A and B, were independently produced at two laboratories and are here~~
206 ~~combined in a collaborative effort to also demonstrate whether, and how, the primary nannofossil~~
207 ~~signals are consistently detected from the same sediment cores independent from sample spacing,~~
208 ~~microscopy slide preparation and operator. These samples were studied in two sets, A and B.~~
209 ~~Dataset~~ ~~Set~~ A includes 114 samples from 83.19 to 101.13 meters composite depth (mcd). The
210 sampling resolution is high across the EOB (5-10 cm), and decreases above and below it: 20-90 cm
211 between 83.19-89.6 mcd, and 20-50 cm between 97.44-101.13 mcd. ~~Dataset B includes An an~~
212 ~~additional total of~~ 76 samples ~~were analysed in set B~~ (83.59-105.02 mcd, sampling resolution of 10-
213 50 cm). For analyses on ~~benthic~~ foraminiferal ~~al~~ assemblages, 27 samples ~~from Hole 1263A~~
214 ~~between 80.89 mcd to 109.79 mcd~~ were used, ~~while for planktonic foraminiferal analyses 16~~
215 ~~samples between 93.42 and 107.29 mcd were analysed studied~~ ~~from 1263A-9H-1-32-34cm (80.89~~
216 ~~med) to 1263A-11H-CC (109.79 med)~~ (see Table S1, Supplement).

217

218 2.2 Microfossil preparation and assemblage counts

219 2.2.1 Nannofossils

220 Sample set A was prepared by weighing 5 mg of dried bulk sediment and diluting with 50 mL of
221 buffered water. Then, 1.5 mL of well-mixed suspension was placed on a cover slip with a high-
222 precision pipette, and the sample was dried on a hotplate at 60°C. This technique (called the “drop
223 technique” by Bordiga et al., 2015; modified after Koch and Young, 2007) assures an even
224 distribution of particles, and avoids selective settling effects as because all the 1.5 mL selected of
225 well-mixed suspension volume was is evenly placed evenly on a cover slip and left to settle and dry
226 under low heat (see Bordiga et al., 2015 for details on sample preparation). Besides assuring slides
227 with an even particle distribution, this preparation technique also, and allows calculation of the
228 absolute coccolith abundances per gram of dry sediment ($N\ g^{-1}$). Repeated sample preparation and
229 counting revealed a coefficient of variation (CV) of 6-10% for absolute abundances (Bordiga et al.,
230 2015), which is comparable to other techniques (e.g. Bollmann et al., 1999; Geisen et al., 1999).
231 The drop method also provides a good reproducibility for the relative species abundances (Bordiga
232 et al., 2015). Five samples along the studied sequence were also prepared with the filtration
233 technique (Andruleit, 1996) and spiked with microbeads to investigate the reproducibility of
234 absolute abundances obtained with our technique. This resulted in similar temporal trends between
235 the techniques (mean CV=11%).

236 HereIn this study we used report and on displayed both absolute ($N\ g^{-1}$) and relative species
237 abundances (%). Indeed, the rRelative abundances are independent from sedimentological effects
238 and estimates of sedimentation rate (e.g. Gibbs et al., 2012), but in contrast with to the absolute
239 abundances %-values they are related to the represent a closed-sum, as each percentage value refers
240 to how common or rare a species is relative to other species without knowing whether a species
241 truly increased or decreased in absolute abundance. For these reasons a comparison of both absolute
242 and relative abundances allow us to may help us identify is helpful to evaluate the influence of
243 dilution and sedimentation rate variations, and The estimates of absolute abundances ($N\ g^{-1}$) allow
244 us to better identify the real real fluctuations in absolute abundance of single species, within
245 the sediment. In contrast, the use of the relative abundances (%) could lead to loss of information
246 and misinterpretation of the results through are related to the closed sum problem, as each
247 percentage value refers to how common or rare a species is relative to other species without
248 knowing whether a species truly increased or decreased in abundance. Sample set B was prepared
249 with the standard smear slide technique (Bown and Young, 1998), and the results are given as
250 relative species abundances (%) only.

251 In both datasets A and B, calcareous nannofossils were examined under crossed polarized light
252 microscopy (LM) at 1000X magnification. Quantitative analyses were performed by counting a
253 at least 300 specimens were counted in each slide. Additional observations were performed on the

254 slide to detect the occurrence of rare species, especially biostratigraphical markers. All specimens
255 were identified at species or genus level, depending on the coccolith preservation. We used
256 *Cyclicargolithus* spp. to group the specimens with dissolved central area that can be associated to
257 the genus *Cyclicargolithus* but not directly to the species *Cyclicargolithus floridanus* (Fig. S1, ~~in~~
258 ~~the~~ Supplement). Taxonomy of the calcareous nannofossils follows the references contained in the
259 web-site <http://ina.tmsoc.org/Nannotax3> (edited by Young et al., 2014). Additional taxonomical
260 remarks are given in the Supplement. For dataset A, the number of fields of view (FOV) observed
261 were also noted in order to calculate absolute abundances. An average of 26 FOVs (=0.31 mm²)
262 was observed along the sequence, from a minimum of 18 FOVs (=0.21 mm²) to a maximum of 44
263 FOVs (=0.52 mm²).

264 Both datasets were used to provide biostratigraphical information: dataset A with a more detailed
265 resolution across the EOB, and dataset B covering a longer interval below the EOB. ~~For~~
266 ~~quantitative description of the nannofossil assemblage, relative abundances (%) for all the identified~~
267 ~~species were calculated for both datasets A and B.~~

268

269 2.2.2 Foraminifers

270 The ~~27~~ samples were oven-dried at 60°C, then washed over a 63 µm sieve. The complete > 63 µm
271 size fraction ~~63 µm~~ was ~~used for the study studied for of~~ benthic ~~and planktonic~~ foraminifers. ~~Taxa~~
272 ~~were generally determined at species level (Fenero et al., 2010) and relative abundances were~~
273 ~~calculated.~~ The benthic foraminiferal studies were on the number of foraminifers in the full sample.
274 All specimens were picked from material spread out in a picking tray, and mounted on microslides
275 for identification, then deposited in the Department of Earth Sciences, University of Zaragoza
276 (Spain).- The planktonic foraminiferal assemblages were observed in the >63 µm fraction to
277 determine the presence of biostratigraphical markers, such as the *Turborotalia cerroazulensis* group
278 and species of the Family Hantkeninidae. The presence or absence of tubulospines was noted (Table
279 S1, Supplement). The reduction in size of the *Pseudohastigerina* lineage was observed by counting
280 the number of *Pseudohastigerina micra* and *Pseudohastigerina naguewichiensis* in a total of 300
281 planktonic foraminifers in the 150-250 µm and 125-150 µm fractions (cf. Wade and Pearson, 2008;
282 Table S1, Supplement).

283

284 2.3 Biotic proxies

285 2.3.1 Nannofossil dissolution index and cell size estimates

286 Sample set A was ~~also~~ used to characterize nannofossil dissolution across the investigated interval.
287 A coccolith dissolution index was calculated using the ratio between entire coccoliths and
288 fragments (cf. Beaufort et al. 2007; Blaj et al., 2009; Pea, 2010). This index is indicative of the
289 preservation/dissolution state of the nannofossil assemblages: higher values correspond to better
290 preservation. Entire coccoliths and all fragments were counted until at least 300 entire coccoliths
291 had been counted. Only pieces bigger than 3 μm were considered as fragments.

292 Mean coccolith and cell size estimates (volume-to-surface area ratio, V:SA; cf. Henderiks and
293 Pagani, 2007; Henderiks, 2008) were calculated based on the relative abundance and size range (3-7
294 μm , 7-11 μm and 11-16 μm for *Coccolithus*; 3-5 μm , 5-7 μm and 7-9 μm for all the other species)
295 of placolith-bearing taxa (*Coccolithus*, *Cyclicargolithus*, *Dictyococcites* and *Reticulofenestra*) ~~and~~
296 ~~the different size groups within each (3-7 μm , 7-11 μm and 11-16 μm for *Coccolithus*; 3-5 μm , 5-7~~
297 ~~μm and 7-9 μm for all the other species).~~

298

299 **2.3.2 Calcareous Nannofossils proxies paleoecology**

300 The distribution of coccolithophores in sea surface waters is controlled by the availability of light,
301 temperature, salinity and nutrient availability (e.g. Winter et al., 1994). ~~Based on s~~Studies of
302 modern and past paleogeographic distributions of coccolithophores, allow determination of
303 (paleo)environmental tolerances of various taxa ~~may be determined~~ (see Table 3 in Villa et al.,
304 2008). However, some paleoecological labels interpretations remain unresolved, or ~~contrasting~~
305 ~~contradictory between~~ in different regions (see Table 3 in Villa et al., 2008). ~~Therefore, we, so our~~
306 ~~analyses~~ aimed to circumvent problems in interpretation such issues by not tagging certain (groups
307 of) species *a priori*, but instead investigating the behaviours within ~~total~~-assemblages (see Section
308 2.4) and then compare these with independent proxies (i.e. geochemical ~~data~~ and benthic
309 foraminiferal assemblage data).

310

311 **2.3.3 Foraminifera-based The $\delta^{13}\text{C}$ gradient in foraminiferal tests**

312 The difference between planktonic and benthic foraminiferal carbon isotope values ($\Delta\delta^{13}\text{C}_{\text{p-b}}$) was
313 proposed ~~by Sarnthein and Winn (1990)~~ as a semi-quantitative proxy of paleoproductivity
314 ~~(Sarnthein and Winn 1990)~~. It provides information about the surface to deep-water $\delta^{13}\text{C}$ -gradient in
315 $\delta^{13}\text{C}$ in Dissolved Inorganic Carbon (DIC), reflecting a combination of surface paleoproductivity
316 and ocean circulation and stratification (e.g. Zhang et al., 2007; Bordiga et al., 2013). We calculated
317 the $\Delta\delta^{13}\text{C}_{\text{p-b}}$ using ~~the foraminifer~~ data in Riesselman et al. (2007) and Peck et al. (2010).

318

319 **2.3.4 Benthic foraminifera as paleoenvironmental proxies**

320 We determined the relative abundances of benthic foraminiferal taxa, and the diversity of the
321 assemblages, ~~was~~ expressed as the Fisher's alpha index (Hayek and Buzas, 2010). ~~We used~~
322 ~~e~~Changes in the relative abundances and diversity were used to infer changes in carbonate
323 saturation state, oxygenation and food supply (e.g. Bremer and Lohmann, 1982; Jorissen et al.,
324 1995, 2007; Gooday, 2003; Thomas, 2007; Gooday and Jorissen, 2012).

325 The relative abundance of infaunal benthic foraminiferal taxa has been linked to a combination of
326 oxygenation and food supply ('Trox model; Jorissen et al., 1995, 2007; Gooday, 2003), with high
327 relative abundances reflecting a high food supply, extreme low oxygenation levels, or some
328 combination of both. In addition, calcifying infaunal dwellers may gain an advantage over epifaunal
329 dwellers during deep-water acidification (Foster et al., 2013). We have no sedimentological or
330 stable isotope evidence for low oxygen conditions, and CaCO₃ remains high over the studied
331 interval (Riesselman et al., 2007). Therefore, we interpret a high relative abundance of the infaunal,
332 taxa (including the triserial buliminids) as indicative of a high, year-round food supply (Jorissen et
333 al., 1995, 2007; Gooday, 2003). High relative abundances of phytodetritus-using taxa indicate an
334 overall more moderate, as well as highly fluctuating but highly (seasonally or episodically) flux of
335 non-refractory particulate organic matter (e.g. Gooday, 2003; Jorissen et al., 2007). ~~A~~ and a high
336 relative abundance of *Nuttallides umbonifera* indicates waters ~~which are~~ highly corrosive to CaCO₃
337 in generally low-food supply settings (Bremer and Lohmann, 1982; Gooday, 2003).

338 Comparisons between past and recent benthic assemblages as indicators for features of deep-sea
339 environments need careful evaluation, because Eocene deep-sea benthic foraminiferal assemblages
340 were structured very differently from those living today, and the ecology even of living species is
341 not well known. For instance, in the Paleogene, taxa reflecting highly seasonal or episodic
342 deposition of organic matter (phytodetritus) were generally absent or rare, increasing in relative
343 abundance during the ~~E-O transition~~EOT (e.g. Thomas and Gooday, 1996; Thomas, 2007). At
344 Walvis Ridge, these species did occur at much lower abundances ~~than during the EOT in the~~
345 ~~interval studied here during~~ than around after Eocene hyperthermal event 2 (Jennions et al., 2015),
346 during the transition from early into middle Eocene (Ortiz and Thomas, 2015) and during the
347 middle Eocene climatic ~~maximum~~optimum (MECO; Boscolo-Galazzo et al., 2015). During the
348 time interval from the early-late Eocene through the EOT their abundance thus increased overall,
349 though episodically and with considerable fluctuations.

350 | In contrast, in the Paleogene cylindrically-shaped taxa with complex apertures (called ‘Extinction
351 | Group’-taxa by Hayward et al., 2012) were common (e.g. Thomas, 2007). These taxa globally
352 | declined in abundance during the increased glaciation of the earliest Oligocene and middle Miocene
353 | to become extinct during the middle Pleistocene (Hayward et al., 2012). The geographic distribution
354 | of these extinct taxa resembles that of buliminids but differs in detail (e.g. Hayward et al., 2012).
355 | These taxa, and they, were probably infaunal, as confirmed by their $\delta^{13}\text{C}$ values (Mancin et al.,
356 | 2013). It is under debate what caused their Pleistocene extinction and decline in abundance across
357 | the EOB (Hayward et al., 2012; Mancin et al., 2013). Changes in the composition of phytoplankton,
358 | their food source, have been mentioned as a possible cause, as well as declining temperatures,
359 | increased oxygenation or viral infections (Hayward et al., 2012; Mancin et al., 2013).

360

361 | **2.4 Statistical treatment of the nannoplankton fossil data**

362 | Relative species abundances are commonly observed as lognormally distributed distributions (MacArthur,
363 | 1960). To generate suitable datasets for statistical analysis, different transformations yielding
364 | Gaussian distributions must be applied, such as log transformation (e.g. Persico and Villa, 2004;
365 | Saavedra-Pellitero et al., 2010), centered log-ratio (e.g. Kucera and Malmgren, 1998; Buccianti and
366 | Esposito, 2004), arcsine (e.g. Auer et al., 2014), etc.

367 | The nannofossil species percentages were used in the statistical treatment to compare the datasets A
368 | and B. We applied tTwo transformations were tested: to the nannofossil species percentage
369 | abundances: i) log-transformation by $\log(x+1)$, which amplifies the importance of less abundant
370 | species, and minimizes the dominance of few abundant species (Mix et al., 1999), and ii) centered
371 | log-ratio (clr) transformation (Aitchison, 1986; Hammer and Harper, 2006), which opens a closed
372 | data matrix and retains the true covariance structure of compositional data as well. The normal
373 | distribution of each species before and after the transformations was verified using SYSTAT 13.0
374 | software. Datasets A and B were treated the same, but were analysed independently.

375 | Principal component analysis (PCA) was performed on the transformed data using the statistics
376 | software PAST (PAleontological STatistic; Hammer et al., 2001). Species with an abundance <1%
377 | in all samples were not included in the PCA. The PCA (Q-mode) was performed to identify the
378 | major loading species and to evaluate the main factors affecting the changes on fossil
379 | coccolithophore assemblages.

380 | The closed-sum problem, or constant-sum constraint, may obscure true relationships among
381 | variables as first noted by (-Pearson, (1896), when performing statistical data analysis of

382 | ~~compositional data~~. The clr transformation retains a major problem in carrying out the PCA on the
383 | covariance matrix, and the goal of keeping the most important data information with only few
384 | principal components (PCs) can fail using clr transformation in associations containing many
385 | outliers (e.g. Maronna et al., 2006), as ~~is~~ often the case in nannofossil assemblages. To minimize the
386 | presence of outliers we worked with abundant species and groups of nannofossils, instead of with
387 | single species.

388

389 | The PAST software was also used to calculate the Shannon Index, H, a diversity index taking into
390 | account ~~a combination of evenness and diversity, the relative abundances as well as the number of~~
391 | ~~taxa~~. High values indicate high ~~diversity, evenness and/or high richness~~.

392

393 | **3 Biostratigraphy**

394 | The EOB at Site 1263 was tentatively placed between 83 and 110 mcd by the Leg 208 Shipboard
395 | Scientific Party (Zachos et al., 2004). ~~Riesselman et al. (2007) and later Peck et al. (2010) placed~~
396 | ~~“Oi 1” the Step 2 XX in correspondence of the maximum value recorded by the benthic $\delta^{18}\text{O}$ on the~~
397 | ~~basis of an increase in the benthic $\delta^{18}\text{O}$ records from -1.5% (94.49 med, uppermost Eocene) to~~
398 | ~~-2.6% (93.14 med, lowermost Oligocene). The $\delta^{18}\text{O}$ values remained high up section, to 88.79~~
399 | ~~med. Steps 1 and 2 in the $\delta^{18}\text{O}$ increase were identified by (Riesselman et al., 2007; Peck et al.,~~
400 | ~~(2010), although these steps are not as clearly defined as at Site 1218 in the Pacific Ocean (Coxall~~
401 | ~~et al., 2005) and Site 522 at Walvis Ridge (Zachos et al., 1996; Coxall and Wilson, 2011). (Fig. 2)~~
402 | ~~See section 3.2 for a detailed discussion on EOB and isotope data.~~

403 | Our high-resolution sampling allowed refining the position of the EOB by locating nannofossil and
404 | planktonic foraminiferal bioevents (Fig. 2; Table 1), including some ~~nannofossil~~ bioevents not yet
405 | reported in Zachos et al. (2004). ~~To avoid bias, sample sets A and B were analysed by two different~~
406 | ~~operators for the occurrence of nannofossil marker species, especially the rare ones (Fig. 2).~~

407 | The identified bioevents are delineated as Base (~~B~~, stratigraphic lowest occurrence of a taxon), Top
408 | (~~T~~, stratigraphic highest occurrence of a taxon), and Base common (Bc, first continuous and
409 | relatively common occurrence of a taxon) ~~according following to~~ Agnini et al. (2014), ~~and acme~~
410 | ~~beginning (AB, base of the acme of a taxon) according to Raffi et al. (2006)~~. No correlation with
411 | magnetochrons was possible because the ~~soft~~-nannofossil oozes ~~at Site 1263 does did~~ not carry a
412 | clear signal (Zachos et al., 2004). The depths of all identified nannofossil and planktonic
413 | foraminiferal datums, together with the ages assigned to the most reliable datums as defined in

Comment [mb2]: Moved and better discussed in foram section (3.2)

414 Pälike et al. (2006) and Gradstein et al. (2012) are displayed in Table 1. ~~For~~ Only one bioevents -
415 the Top of *Isthmolithus recurvus* - is - which are diachronous or - not reported in Pälike et al. (2006):
416 thus, we adopted the age given in Lyle et al. (2002)Gradstein et al. (2012), the most recent literature
417 was selected, considering the datums recorded at latitudes as close as possible to the studied site
418 (Table 1).

419 Based on the identified bioevents (see below for details), ~~we documented that the studied~~
420 succession spans from 32.7 Ma (TopHO of *Isthmolithus recurvus*, Lyle et al., 2002) to 34.76-77
421 Ma (HO-Top of *Discoaster barbadiensis*, Gradstein et al., 2012Pälike et al., 2006). The estimated
422 average sedimentation rate is 9.8-12 m/myr, somewhat lower than close to the average value of
423 ~10.8 m/myr in Zachos et al. (2004). In dataset A, where the sample distribution is more
424 homogeneous, the sampling resolution is ~10.000 years across the EOT (from 97.29 to 90.02
425 mcd).

426

427 3.1 Calcareous nannofossils

428 The results from both datasets A (higher-resolution) and B (longer time interval) render similar
429 biostratigraphical evidence and well-constrained bioevents, especially for the rare species. Using
430 the absolute (N g⁻¹) and the relative (%) abundances of both datasets, we identified nine calcareous
431 nannofossil datums (Fig. 2; Table 1). The studied interval spans from CNE20P15b (pars) Zone to
432 CP16e-CNO2 (pars) Zone, according into the recent biozonation of Okada and Bukry (1980Agnini
433 et al. (2014)). The bioevents include:

- 434 • Base of *Sphenolithus tribulosus*, the lowermost datum identified (at 103.11 mcd, Table 1). We
435 detected The range for of this bioevent index species (Bown and Dunkley Jones, 2006) is from
436 Zones NP21 to NP23 (biozonation of Martini, 1971), corresponding to CP16-18 Zones (). ~~We~~
437 detected ~~+~~ this event species at the top of CP15b-CNE20 Zone (Fig. 2), ~~is~~ slightly below the
438 range reported by Bown and Dunkley Jones (2006), who-They- documented it the occurrence of
439 *S. tribulosus* between the NP21 and NP23 Zones (biozonation of Martini, 1971) corresponding to
440 the CNE21-CNO4 Zones (Agnini et al., 2014)range)Fori, 2008). At Site 1263, this species is ~~not~~
441 abundant ~~rare and sporadic~~ and ~~its~~ poor preservation of the studied material is commonly
442 compromises ing the identification at the species level and thus, possibly, its Base.
- 443 • Top of *Discoaster barbadiensis* and *Discoaster saipanensis*. The rosette-shaped discoasterids
444 at the bottom of the succession are usually well preserved without overgrowth (Fig. S1₁ in the
445 Supplement). The Top of *D. barbadiensis* was not identified-reported by the Shipboard Scientific

446 Party (Zachos et al., 2004), and we placed ~~it~~ this bioevent one meter below the Top of *D.*
447 *saipanensis* (Fig. 2), identified by Zachos et al. (2004) two meters below our datum (Table 1).
448 ~~We placed the Top of *D. saipanensis* at 102.27 mcd because specimens of *D. saipanensis* had~~
449 ~~been continuously found until 102.52 mcd, although outside the count of 300 specimens (Fig. 2).~~
450 These two bioevents were usually considered concurrent, but high-resolution studies (Berggren
451 et al., 1995; Lyle et al., 2002; Tori, 2008; Blaj et al., 2009; Fioroni et al., 2015) show that they
452 are ~~not coeval~~ shortly spaced. The Top of *D. saipanensis* is used ~~to approximate the EOB and~~ to
453 define the ~~CP15b/CP16a~~ CNE20/21 zonal boundary.

- 454 • ~~AB-Base common~~ of *Clausicoccus obrutus subdistichus* ($>5.7\ \mu\text{m}$). ~~We included *Clausicoccus*~~
455 ~~*obrutus* in the *C. subdistichus* concept following Agnini et al. (2014), although *C. obrutus* is the~~
456 ~~most abundant of the two species at Site 1263 (see Fig. S2, Supplement).~~ The absolute
457 abundance variations, together with the relative abundance in the more detailed dataset A,
458 identify the ~~AB-Bc~~ at 96.92 mcd, ~~~201~~ m below the depth reported by the Leg 208 Shipboard
459 Scientific Party (94.77 mcd; Table 1; Fig. 2) and ~~~160 cm~~ slightly above the observed Top of
460 *Hantkenina* spp. and reduction in size of *Pseudohastigerina* (Fig. 2; see the foraminifers
461 section) ~~—i.e. it approximates the EOB (Backman, 1987). AB-The Bc of *C. obrutus subdistichus*~~
462 ~~defines the base of CP16b~~ NO1 (Agnini et al., 2014), which corresponds to the upper zone NP21
463 (Martini, 1971). The Bc of *C. subdistichus* (referred to as *C. obruta*) has been observed shortly
464 after the EOB at Deep Sea Drilling Project (DSDP) Sites 522 and 523 in the SE Atlantic
465 (Backman, 1987); - in the vicinity of Site 1263 - as well as - (Okada and Bukry, 1980) as
466 suggested by Backman (1987). This bioevent increase in abundance is has been well recognized
467 shortly above the EOB also in the Tethys Massignano GSSP and Monte Cagnero sections (Tori,
468 2008; Hyland et al., 2009), ~~and also at the high-latitudes Site 1090 (Marino and Flores, 2002)~~
469 and in the NW Atlantic (Norris et al., 2014).
- 470 • Base of *Chiasmolithus altus*. The rare and discontinuous presence of *C. altus* creates some bias
471 in the detection of its Base. Moreover, *C. altus* specimens are highly affected by dissolution as
472 their central-area is commonly completely dissolved (Fig. S1 ~~in the~~ Supplement). The Base of
473 *C. altus* ~~can be~~ tentatively placed ~~with certainty~~ at 89.4 mcd where a specimen with whole
474 central crossbars meeting at 90° was observed (Fig. S1 ~~in the~~ Supplement). At Site 1263, the
475 Base of *C. altus*, the youngest representative of the genus, falls inside the lower Oligocene (Zone
476 ~~CP16b~~ CNO1; Fig. 2), as also documented NE Atlantic by (de Kaenel and Villa, (1996); and at
477 high-latitudes (Persico and Villa, (2004);, and Villa et al., (2008).
- 478 • Base and Bc of *Sphenolithus akropodus*. ~~The~~ Rare sporadic occurrence and poor preservation
479 affect the recognition of this species, but ~~B and~~ Bc was ere identifiable (Fig. 2; Table 1). We

480 ~~tentatively placed the Base also, but just few and sporadic species were detected (Fig. 2).~~ The Bc
481 is ~~well-related-consistent~~ with the ~~first-occurrence as~~ identified datum reported in de Kaenel and
482 Villa (1996), who used this bioevent to approximate the Zone NP21/22 ~~(or CP16b/CP16e)~~
483 boundary, and the Top of *Coccolithus formosus*.
484 • Top of *Coccolithus formosus*. This bioevent was easily detectable, as *C. formosus* is abundant
485 and well preserved. Its Top defines the ~~CP16b/CP16e~~CNO1/CNO2 zonal boundary (Fig. 2),
486 close to the depth suggested on board ship (Table 1).
487 • Top of *Isthmolithus recurvus*, the highest datum identified (Fig. 2). Its abundance is low, so that
488 its distribution becomes discontinuous towards the top of the studied interval. The 83.19 mcd
489 depth (Table 1), 3 m above that reported by the Shipboard Scientific Party (Zachos et al., 2004),
490 is an approximation because just one sample above the ~~last-highest~~ observed specimens of *I.*
491 *recurvus* was analysed.

492

493 3.2 Planktonic foraminifers

494 The Eocene-Oligocene boundary (EOB; ~33.89 Ma, Gradstein et al., 2012) is denoted at its Global
495 Stratotype Section and Point (GSSP) at Massignano in Italy by the extinction of the Family
496 Hantkeninidae (specifically of species in the genera *Hantkenina* and *Cribrohantkenina*; Premoli
497 Silva and Jenkins, 1993). Unless well-preserved material is available (as for e.g. the Tanzania
498 Drilling Project (TDP) sites; Pearson et al., 2008), the sensitivity of hantkeninids to fragmentation
499 and dissolution may lead to a misplacement of its true highest occurrence. At several well-studied
500 sites, for example ODP Site 744 (Zachos et al., 1996) and Site 1218 (Coxall et al., 2005),
501 hantkeninids are not present. In such cases, additional planktonic foraminifer bioevents must be
502 considered to identify and correlate the EOB between sites: i) the extinction of the *Turborotalia*
503 *cerroazulensis* group which preceded the EOB (Berggren and Pearson, 2005; Pearson et al., 2008),
504 and ii) the reduction in size of the *Pseudohastigerina* lineage which occurred at the EOB (Wade and
505 Pearson, 2008 and references therein).

506 At Site 1263, Planktonic foraminifers are abundant- and their Ppreservation is generally good to
507 moderate. Samples from 109.79 to 99.97 mcd, however, contain strongly fragmented planktonic
508 foraminifers, with non-broken specimens dominated by heavily calcified *Globigerinatheca* spp.
509 (Zachos et al., 2004). At Site 1263, Unfortunately, species of the hantkeninid group are not well
510 preserved, and occur as fragments of variable size, including tubulospines and partial specimens
511 (several chambers). Entire or partially preserved specimens of one of the primary marker species for
512 the EOB, the- of hantkeninids as well as loose tubulospines, (the genera *Cribrohantkenina* and

513 *Hantkenina*) have been observed from the bottom sample (107.29 mcd) up to 97.91 mcd. No
514 specimen of *Hantkenina* spp. nor even tubulospine were seen from 97.14 mcd upward (Fig. 2; Table
515 S1, Supplement). are not well preserved, and occur as fragments of variable size, including
516 hantkeninid spines and partial specimens (several chambers). We primarily studied benthic
517 foraminifera, so that we scanned through large samples, containing thousands of specimens of
518 planktonic foraminifera. Therefore, we focused on the Top of *T. cerroazulensis* group (comprising
519 *T. cerroazulensis*, *T. cocoaensis*, and *T. cunialensis*) and the size reduction of the
520 *Pseudohastigerina* lineage, characterized as the Top of >125 μm -sized *Pseudohastigerina micra*.
521 These two bioevents were detected at the same depth as the Top of *Hantkenina* spp., i.e. the three
522 bioevents all fall in between 97.91 and 97.14 mcd (Fig. 2; Table S1, Supplement). Due to the lower
523 resolution of the sampling for planktonic foraminifers than for nannofossils, the three bioevents
524 may not be exactly coeval, but occur with that interval of less than 1 meters (~70 kyr).
525 Nevertheless, we can refine the position of the EOB reported in Zachos et al. (2004), where only
526 core catcher samples were studied, and place the EOB between 97.91 and 97.14 mcd, i.e. at 97.53
527 mcd (Fig. 2). This position of the EOB is in agreement with the nannofossil bioevent, Bc of *C.*
528 *subdistichus*, just above that level (96.92 mcd; see Section 3.1).

529 A further confirmation of this placement of the EOB comes from the benthic foraminifer oxygen
530 isotope data. The EOB occurs between the two main steps in $\delta^{18}\text{O}$ characterizing the EOT cooling
531 and glaciation in at TDP Sites 12 and 17, where assemblage are pristine (Pearson et al., 2008). At
532 Site 1263, high resolution $\delta^{18}\text{O}$ data are available only from 96 mcd up. Step 2 is identifiable at 93.4
533 mcd, at the maximum value of benthic $\delta^{18}\text{O}$ (Fig. 2; Riesselman et al., 2007; Peck et al., 2010).
534 Step 1 was tentatively placed by Peck et al. (2010) at ~93.8 mcd, but the $\delta^{18}\text{O}$ curve does not reveal
535 a signal of the first cooling step as clear as at Pacific Site 1218 (Coxall et al., 2005) and nearby Site
536 522 at Walvis Ridge (Zachos et al., 1996; Coxall and Wilson, 2011). We argue that Step 1 should
537 be placed below 97.53 mcd at Site 1263, not only on the basis of the planktonic foraminiferal and
538 nannofossil bioevents, but also by comparison with the oxygen isotope curve at Site 522, which
539 records a complete and clear $\delta^{18}\text{O}$ signal for the entire EOT (Fig. 2). The two sites are
540 geographically close, and have comparable sedimentation rate across the EOT (12 m/myr at Site
541 1263; 9 m/myr at Site 522, Hsü et al., 1984). Because Step 1 and Step 2 occur within ~4 meters at
542 Site 522 (Zachos et al., 1996; Coxall and Wilson, 2011), we can infer that a similar pattern is
543 present at Site 1263, placing the Step 1 between 97.5 and 98.5 mcd (Fig. 2). A $\delta^{18}\text{O}$ signal similar to
544 the one at Site 522, with Step 1 placed ~2 meters below the EOB, but with lower resolution, is
545 recorded at Site 1265 on the Walvis Ridge (lower sampling resolution; sedimentation rate 5.7
546 m/myr;), where Step 1 can be placed ~2 meters below the EOB (Liu et al., 2004). These evidences

547 do not agree with the previous proposed position for Step 1 at only 40 cm below Step 2 (Peck et al.,
548 2010). More oxygen isotope analyses are necessary to definitely place Step 1 in the sediment
549 column at Site 1263.

550 From 96.41 med up section (the first higher sample being at 96.27 med) we did not find any
551 fragments of hantkeninid tests and/or loose spines (*Cribohantkenina* and *Hantkenina alabamensis*),
552 whereas these were consistently present in samples below that level (Fig. 2). The sample at 96.41
553 med contained rare spines, but no partial specimens (Fig. 2). We thus recorded the Top of *H.*
554 *alabamensis*, the traditional marker for the EOB (e.g. Coccioni, 1988; Premoli-Silva and Jenkins,
555 1993; Pearson et al., 2008), at 97.91 med, and placed the EOB above 96.41 med (1263A-10H-5,
556 32-34cm, 96.27 med; Table 1; Fig. 2). The benthic foraminifera at Site 1263 show some evidence of
557 reworking (Zachos et al., 2004), but this was limited to a few samples, so we consider that the
558 uppermost sample with partial tests of hantkeninids marks the uppermost Eocene. This observation
559 differs from that in Zachos et al. (2004), where only core-catcher samples were studied and the
560 partial specimens in Sample 1263A-10H-CC were not observed (Table 1). Samples from Core
561 1263A-11H and sample 1263A-10H-CC (99.97-109.79) contain strongly fragmented planktonic
562 foraminifera, with non-broken specimens dominated by heavily calcified *Globigerinatheca* spp.
563 (Zachos et al., 2004).

564

565 **4 Biotic responses**

566 **4.1 Calcareous nannofossil preservation and assemblages**

567 At ODP Site 1263 ~~no consistent increase in the~~ carbonate content did not increase above the EOB
568 ~~was recorded (Fig. 3; Riesselman et al., 2007), in contrast to other sites, specifically in the Pacific~~
569 ~~Ocean (e.g. Salamy and Zachos, 1999; Coxall et al., 2005; Coxall and Wilson, 2011).~~ This lack of
570 response is probably due to the location of probably because this sSite 1263 was well above the
571 lysocline since the late Eocene (Zachos et al., 2004) ~~, so that. The carbonate accumulation was not~~
572 ~~strongly affected by potential CCD deepening, because the~~ CaCO_3 (wt%) was and remained
573 generally high, ~~and was not affected by CCD deepening (Fig. 3; Riesselman et al., 2007). The~~
574 deeper Site 1262, close to Site 1263, was below the lysocline before the rise in CCD, and shows a
575 strong increase in CaCO_3 (wt%) across the EOB (from ~5 to > 90%; Liu et al., 2004).

576 However, tThe CaCO_3 (wt%) at Site 1263 does not reflect the total coccolith absolute abundance
577 (Fig. 3). This, suggesting supports that also other calcifying organisms (mainly planktonic
578 foraminifera) contributed consistently to the calcite accumulation in the sediments. To unravel the

579 “true” contribution of each calcifying group to the accumulated CaCO₃ (wt%), we need to know the
580 total amount of carbonate produced by calcareous nannoplankton and foraminifers, which is beyond
581 the scope of this study.

582 Although the site was above the lysocline during the studied time interval, the nannofossil and
583 foraminiferal assemblages show signs of dissolution ~~all-throughout along~~ the sequence. ~~Such~~
584 ~~d~~Dissolution may occur above the lysocline (e.g. Adler et al., 2001; de Villiers, 2005), leading to a
585 reduction in species numbers and an increase of fragmentation with depth, in both nannoplankton
586 (e.g. Berger, 1973; Milliman et al., 1999; Gibbs et al., 2004) and planktonic foraminiferal
587 ~~communities assemblages~~ (e.g. Peterson and Prell, 1985).

588 At Site 1263 signs of dissolution were detected, in particular, ~~in~~ specimens of *Cyclicargolithus*
589 (Fig. S1, ~~in the Supplement~~) – one of the least resistant nannoplankton species (Blaj et al., 2009),
590 but also ~~in~~ more robust species ~~like such as~~ *Dictyococcites bisectus* (Fig. S1 ~~in the Supplement~~).
591 Despite these signs, holococcoliths and abundant small-medium sized *Cyclicargolithus* – which are
592 prone to dissolution (Young et al., 2005; Bown et al., 2008; Blaj et al., 2009) – are present in all
593 samples. We did not see small placoliths (<3 μm) at Site 1263 (<3 μm), possibly due to dissolution,
594 but these were not dominant in the late Eocene (e.g. Persico and Villa, 2004; Villa et al., 2008;
595 Fioroni et al., 2015). The lack of such placoliths does not ~~but does not~~ prevent the identification of
596 the main features ~~in-of~~ the medium-large sized assemblage taxa. To have more information on
597 dissolution we calculated the

598 Our coccolith dissolution index, ~~which~~ The coccolith dissolution index does not show any large
599 major changes across the EOT (91-98.5 mcd) ~~at~~ the EOB, but at 90.2 mcd and from 87 mcd
600 upward during and after the Oi-1 ~~nannofossil~~ dissolution slightly ~~increased~~ intensified (Fig. 3). The
601 correlation between the dissolution index and total coccolith abundance is positive (entire interval r
602 $= 0.32$; p -value $= 0$) and stronger in the upper interval of the studied sequence ($r = 0.59$; p -value $=$
603 0.002), but not significant across the EOB. ~~In fact~~ For example, from 90.5 mcd upward the
604 correlation value, r , ~~is~~ is 0.59 (p value = 0.002), instead for the entire interval $r = 0.32$ (p value =
605 0). ~~Intervals of increased dissolution did not necessarily correspond to lower values in the total~~
606 absolute abundances, so that ~~This confirms that the total coccolith abundance and we can infer that~~
607 the primary signals of the nannofossil-nannoplankton assemblage features are preserved in the
608 fossil ~~record assemblages~~, at least across the EOB, with the exception of the primary
609 presence/absence of small specimens, ~~although nannofossil intervals of higher dissolution may be~~
610 intense ~~have affected the nannofossil assemblage. (at the episode recorded From at 90.5 mcd med~~
611 up section, dissolution appears to have more strongly affected the assemblages slightly more.

612 ~~The (Total absolute coccolith abundances records show a marked decrease across the EOB: within~~
613 ~~60 cm (from 96.39 to 95.79 mcd) the abundance rapidly drops by 45%, mainly driven by the loss of~~
614 ~~large sized species, in particular of *D. bisectus* (Fig. 3).~~

615 Nannofossil diversity, as expressed in based on the H index, does not ~~record vary~~ significantly
616 ~~variations across~~ the EOB, but decrease gradually: within 1.5 m above the EOB. A more distinct
617 step-wise decrease is recorded at 90 mcd (grey bar in Fig. 3) → reflects a community structure with
618 fewer dominant species, possibly due which could be explained by the to increased dissolution in
619 this interval, and by a community structure with fewer dominant species. Actually, in this interval
620 *Cyclicargolithus* became more dominant in the assemblage this interval, while large
621 *Reticulofenestra* decreased in abundance significantly (Fig. 3). The calcareous nannofossil
622 assemblage variations recorded in sample sets A and B are comparable despite the different
623 sampling resolution (Figs. S2 and S3, in the Supplement). ~~Total absolute coccolith abundances show~~
624 ~~a marked decrease ~1.5 m above the EOB: within 60 cm (from 96.39 to 95.79 mcd) the abundance~~
625 ~~rapidly drops by 45%, mainly driven by the loss of large sized species, in particular of *D. bisectus*~~
626 ~~(Fig.~~

627 ~~By comparing~~ The trends in the absolute and relative abundances: we documented that their trends
628 are very similar (Fig. S2, in the Supplement). Thus, we can infer conclude that the
629 dilution/sedimentation rates at Site 1263 were close to constant over time, and that the variations in
630 absolute abundance were linked to biological processes. Total absolute coccolith abundances show
631 a marked decrease ~1.5 m above the EOB (Fig. 3): within 60 cm (from 96.39 to 95.79 mcd) the
632 abundance rapidly drops by 45%, mainly driven by the loss of large-sized species, in particular of
633 *D. bisectus* (Fig. 3). The absolute abundances of all most the large-sized species decreased markedly
634 across 1.5 m above the EOB (Fig. 3), including the species *D. bisectus*, *Dictyococcites stavensis*,
635 *Reticulofenestra umbilicus*, *Reticulofenestra samodurovii*, *Reticulofenestra hillae*, and
636 *Reticulofenestra* sp.-*Circus* group (see taxonomical remarks in the Supplement), and
637 *Reticulofenestra daviesii*. Among these, *D. bisectus* and *D. stavensis* constitute a significant part (up
638 to 28%) of the assemblage. The medium-sized *Reticulofenestra daviesii* also shows a decrease ~1.5
639 m above the EOB, contrary to what was reported at ODP Sites 744 (Persico and Villa, 2004), Site
640 748 (Villa et al., 2008), Site 711 (Fioroni et al., 2015), and Site 1090 (Pea, 2010-) for the same time
641 interval.

642 The small-medium *Cyclicargolithus* spp. and *C. floridanus* are the most abundant species (up to
643 50%), and the 5-7 µm size group is dominant. This group increases in absolute abundance slightly
644 from the bottom upwards of the studied section upwards, and just above the EOB it records an

645 ~~increase in abundance then it increases more, but at the EOB only a slight decrease in absolute~~
646 ~~abundance is recorded. Also, *Coccolithus pelagicus* is one of the most~~ another important
647 components of the nanofossil assemblage, at a maximum abundance of 27% (Fig. 3). This species
648 increases ~~its-in absolute~~ abundance between 96.7992-92.6 mcd, i.e. ~~across and~~ above the EOB, and
649 then it decreases from 88 mcd upwards. *Sphenolithus* spp. ~~also~~ does not show any marked variation
650 at the EOB, even if this group is not very abundant. The increase of *Cyclicargolithus* and *C.*
651 *pelagicus* does not coincide with the marked decrease of large reticulofenestrids indicating ~~that this~~
652 ~~lack of any species that increase in abundance above the EOB at Site 1263 suggests that the loss of~~
653 ~~the latter group in large reticulofenestrids was not compensated for by other taxa, leading to a~~
654 ~~sustained decrease in~~ The total coccolith abundance (and export production) thus decreased since
655 above the EOB.

656 Another component of the assemblage, *Lanternithus minutus*, is generally not abundant, but peaks
657 between 89.6 and 87.12 mcd. *Zygrablithus bijugatus* and *Discoaster* spp. both decreased in
658 abundance ~~before below~~ the EOB (at 98 and 99 mcd, respectively) and, ~~thereafter,~~ higher in the
659 section never reached abundances as high as in the late upper Eocene (Fig. 3).

660

661 4.1.1 Principal component analysis

662 The Results from the PCAs performed on datasets A and B give fairly ~~are~~ comparable ~~results, either~~
663 both using the log- or clr-transformation. For dataset A, the Pearson correlation value (r) between
664 the components from the two transformations is 0.90 (p -value=0), confirming that the primary
665 signals in the assemblage are revealed-reflected in ~~by~~ the multivariate statistical analysis, as long as
666 ~~the~~ normal distribution of the species is maintained. We also compared the PCA results with or
667 without the presence of the marker species, because stratigraphically-controlled species are not
668 distributed along the entire succession, thus affecting PCA outcomes (e.g. Persico and Villa, 2004;
669 Maiorano et al., 2013). ~~Nonetheless,~~ The results obtained with and without the marker species ~~still~~
670 provide similar trends for both datasets because in the studied interval the marker species are not
671 very abundant (Fig. 4; Table S1-S2, ~~in the~~ Supplement).

672 In the following discussion, we will focus on the PCA results and the loading species using the log-
673 transformation for datasets A and B (Fig. 4; Tables S1-S2 and S2-S3, ~~in the~~ Supplement). The only
674 two significant principal components explain 50% of the total variance in dataset A, and
675 respectively account for 36% and 14%. For dataset B the two components explain 35% (26% and
676 11% respectively).

677 Principal component 1 (PC1) of dataset A shows positive values below 96 mcd. A pronounced
678 decrease occurs ~~at 1.5 m above~~ the EOB, and from 96 mcd upwards the PC1 maintains mainly
679 negative values (Fig. 4a). PC1 is negatively loaded by *C. obrutus*, *C. floridanus* small and medium
680 size, and positively by *D. stavensis*, *D. bisectus*, *R. daviesii*, and *R. umbilicus* (Fig. 4a; Table ~~S1-S2,~~
681 ~~in the~~ Supplement). The loadings of the other species are too low to be significant. The PC1 of
682 dataset B does not record the same marked drop ~~at above~~ the boundary, but rather a gradual
683 decrease ~~all~~ along the ~~whole~~ sequence (Fig. 4a). Although the main loading species are the same for
684 both datasets (i.e. *C. obrutus*, *Cyclicargolithus* versus *D. bisectus* and *R. umbilicus*), ~~there are~~ some
685 differences ~~can be identified~~ (Tables ~~S2 and S2S3, in the~~ Supplement). ~~In particular~~ ~~Specifically~~, the
686 ~~size groups influence~~ of *Cyclicargolithus* ~~size groups do not influence on~~ PC1 ~~in dataset B cannot~~
687 ~~be detected in dataset B~~ because the size subdivision was not included in the counts ~~in of that~~
688 ~~dataset~~. As the distribution of large ~~versus~~ small-medium sized species on the PCA seems to be
689 important for both datasets, and *Cyclicargolithus* is one of the most abundant species, ~~it is possible~~
690 ~~that~~ the lack of a detailed size grouping within this genus in dataset B ~~could lead~~ ~~might be the cause~~
691 ~~of the~~ ~~the~~ difference in the PC1 curves ~~at above~~ the EOB. The higher abundances of *Discoaster*
692 and *R. umbilicus* from the bottom up to 102 mcd in dataset B could also explain some differences in
693 the loading species between the two datasets (Tables ~~S1-S2 and S2S3,~~ and Fig. S3, ~~in the~~
694 Supplement).

695 Principal component 2 (PC2) of dataset A also records an abrupt variation ~~aeross~~ ~~above~~ the EOB (~~at~~
696 ~~96 mcd~~): the negative values at the bottom of the succession turn toward positive values above the
697 boundary, ~~and~~ ~~remain~~ ~~ing~~ positive up to 89.95 mcd. From ~~89-90~~ mcd upwards, PC2 displays mainly
698 negative values ~~again~~, except for a peak between 85.68-86.42 mcd (Fig. 4b). The most meaningful
699 species loading on PC2 is *L. minutus* (negative loading). The PC2 is also loaded negatively by *D.*
700 *stavensis* and *C. floridanus* (5-7 μm), and positively by *C. pelagicus* (3-7 μm and 7-11 μm), *I.*
701 *recurvus* and *Sphenolithus* spp. (Fig. 4b; Table ~~S1-S2, in the~~ Supplement). The PC2 for dataset B
702 shows a ~~trend~~ similar ~~trend as to that for~~ dataset A from 98 mcd upward (Fig. 4b), but it distinctly
703 differs in the lower part of the succession. Again, the PC2 is resolved by the same main loading
704 species *L. minutus* versus *C. pelagicus*, ~~(but note that~~ the relative direction (positive or negative) of
705 the loadings is ~~swapped~~ ~~reversed~~ between datasets A and B; (Tables ~~S1-S2 and S2S3, in the~~
706 Supplement). In particular, *L. minutus* has very strong loadings in both datasets. In dataset B, *L.*
707 *minutus* has its maximum abundance in the upper Eocene interval ~~that was~~ not sampled in dataset A
708 (Figs. ~~S2 and S3, in the~~ Supplement), likely driving the differences between the two PC2 curves
709 below the EOB (Fig. 4b). ~~The distribution of L. minutus becomes more comparable between the~~

710 ~~datasets above 100 mcd, reaching a peak between 89.6 and 87.12 mcd although not as high as~~
711 ~~during the upper Eocene (Figs. S2 and S3, in the Supplement).~~

712 In the following discussion, we used the PCA results for dataset A (without ~~the~~ marker species)
713 only, because of its more even sample distribution and direct comparison to the other available
714 nannofossil proxies, i.e. dissolution index, coccolith size distribution and absolute abundance.

715

716 4.2 Mean coccolithophore cell size variations

717 The PC1 curve is mirrored ($r=0.8479$; p -value=0) by mean cell size estimates (V:SA ratio) of all
718 medium to large-sized (>3 μm) placolith-bearing coccolithophores within the assemblages and of
719 those all ancient alkenone producers combined (i.e. *Cyclicargolithus*, *Reticulofenestra* and
720 *Dictyococcites*; Plancq et al., 2012) (Fig. 5). Fluctuations in mean size are mainly driven by the
721 relative abundance of the different placolith-bearing taxa and their respective size groups, rather
722 than by intra-specific size variations. The mean V:SA ratios were higher (species with large cells
723 were more abundant) during the latest ~~Eocene~~ Eocene and early Oligocene, and the size decreased
724 (due to the loss of large species) by 8% ~~across~~ between 96.39 to 95.79 mcd (within ~47 kyr), which
725 is according to our age model ~120 kyr after the EOB, within 60 cm above (from 96.39 to 95.79
726 mcd), or ~53-47 kyr.

727 ~~The~~ Our coccolith dissolution index confirms that preferential dissolution of small species did not
728 bias the V:SA results, as intervals of increased dissolution did not generally correspond to large
729 V:SA ($r = -0.12$). The only exception is the top, 90-90.3 mcd, interval where a high dissolution peak
730 corresponds to an increase in mean size. In either case, the above V:SA considerations do not
731 include small placoliths (<3 μm), so that our analysis is free from any bias due to the (original)
732 presence or absence of this most dissolution-prone group.

733

734 4.3 Benthic foraminiferal assemblage

735 ~~Benthic foraminifers show partial dissolution or etching, especially between 94.42 mcd and 109.79~~
736 ~~mcd, but are generally well preserved, i.e. sufficient for determination at species level (Fenero et al.,~~
737 ~~2010). The low-low-resolution data on benthic foraminifers~~ show that the diversity of the
738 assemblages (see Fisher's alpha index curve; Fig. 6) started to decline in the late Eocene (~34.5 Ma;
739 102.79 mcd), reached its lowest values just below the EOB, then slowly recovered, but never to its
740 Eocene values (Fenero et al., 2010). The decline in diversity was due in part to a decline in relative

741 | abundance of the generally rare but species-rich group of rectilinear species with complex apertures
742 | ('extinction group' species). Such a decline is observed globally at the end of the Eocene (Thomas,
743 | 2007; Hayward et al., 2012). The declining diversity (decreased evenness) was also due to a
744 | transient increase in abundance of species indicative of seasonal delivery of food to the sea floor
745 | (phytodetritus species, mainly *Epistominella* spp.; ~~~34.04~~33.9-33.51 Ma; 97.91-91.91 mcd), with
746 | a short peak in overall, year-round food delivery ~~at above~~ the ~~E/O boundary~~EOB (buliminid taxa;
747 | ~~~33.9-8~~ Ma; 96.41-96.27 mcd). ~~From ~3 meter After Oi-1 above Step 2 (starting at ~33.4-3~~ Ma;
748 | 90.41 mcd) up, the abundance of *N. umbonifera*, an indicator of carbonate corrosive bottom waters,
749 | increased. Due to this evidence for dissolution, benthic foraminiferal accumulation rates can-not be
750 | used to estimate food supply quantitatively and reliably throughout the studied interval.

751 | Evidence for effects of dissolution on benthic foraminiferal assemblages is seen in the interval
752 | where *N. umbonifera* is common, but not in the interval with peak abundance of phytodetritus
753 | species (thin-walled, solution-sensitive species), and the interval where buliminids peak. These
754 | intervals are also not recognized as influenced by carbonate corrosivity in the pore waters
755 | (Riesselman et al., 2007). Thus, we conclude that the increased percentage of infaunal taxa is, in
756 | this studied section, not due to dissolution, although such an effect is seen in sections with much
757 | more severe dissolution effects, such as the Paleocene-Eocene interval of ocean acidification
758 | (Foster et al., 2013). Increased abundance of buliminid taxa (though not of phytodetritus taxa) could
759 | possibly result from lowered oxygen conditions in bottom or pore waters (e.g. Jorissen et al., 2007).
760 | However, there are no indications in the sediment of low oxygen conditions (e.g. lamination), and
761 | the overall benthic assemblage does not indicate low oxygen conditions (e.g. the diversity is too
762 | high).

763 |

764 |

765 | 5 Discussion

766 | 5.1 Nannoplankton abundance and cell size decrease ~~at~~after the EOB

767 | The distinct variation in nannoplankton abundance and average ~~coecolith~~ size of medium to large
768 | placoliths above the EOB at Site 1263 cannot be explained by dissolution – which would affect
769 | smaller coccoliths preferentially and lead -to an increase of the mean size of the whole assemblage,
770 | opposite to what is observed. It can also not be explained—nor by a change in species diversity, but
771 | is mainly linked to changes in community structure (Fig. 3). The drop in total nannofossil
772 | abundance (Fig. 3) and mean cell size (Fig. 5) is mainly driven by the decrease in abundance of

773 | large *Reticulofenestra* and *Dictyococcites* ~~aeross-1.5 m (~120 kyr) above~~ the EOB. The mean V:SA
774 | estimates for all ancient alkenone producers combined (i.e. *Cyclicargolithus*, *Reticulofenestra* and
775 | *Dictyococcites*; Planq et al., 2012) tightly overlap (Fig. 5) with biometric data of the same group in
776 | the Equatorial Atlantic (Ceara Rise, ODP Sites 925 and 929; Pagani et al., 2011; [Zhang et al.,](#)
777 | [2013](#)), while the mean size estimates for combined *Reticulofenestra* and *Dictyococcites* remained
778 | relatively stable and coincide with mean values measured at ODP Site 1090 in the Subantarctic
779 | Atlantic, where *Cyclicargolithus* spp. were not present and assemblages are likely severely affected
780 | by dissolution (Pea, 2010; Pagani et al., 2011). This highlights that the observed patterns in average
781 | placolith size at Site 1263 are driven by the decrease in abundance, rather than (intra-specific) size
782 | variations of *Reticulofenestra* and *Dictyococcites*.

783 | The assemblages also records illustrate the mid-latitude location of Site 1263, hosting both
784 | “subantarctic” and “equatorial” taxa. A striking correspondence with-between the mean V:SA of
785 | ancient alkenone producers at Site 1263 and Sites 929 and 925 (Fig. 5) would suggest more affinity
786 | with tropical assemblages than with high-latitude ones, south of the Subtropical Convergence
787 | (STF). The abundance patterns of the larger reticulofenestrids, however, are strikingly more similar
788 | to those at Southern Ocean sites (Persico and Villa, 2004; Villa et al., 2008). The mid-latitudinal
789 | Site 1263 thus probably records paleobiogeographic patterns in the nannofossil assemblage
790 | intermediate between those in equatorial-tropical and subantarctic regions.

791 | The coccolith size-shift and the decreased abundance of large reticulofenestrids ~~aeross-after~~ the
792 | EOB may be related to different bio-limiting factors. Under growth-limiting environmental
793 | conditions, phytoplankton (coccolithophores) with small cell volume-to-surface area ratios may
794 | outcompete larger cells due to lower resource requirements (lower C, P and N cell quota) and
795 | generally higher growth rates (e.g. Daniels et al., 2014). A change in overall nutrient regime, such
796 | as in coastal upwelling ~~vs-ersus~~ oligotrophic, stratified gyre systems, may also cause a shift in
797 | opportunistic ~~versusvs-~~ specialist taxa (e.g. Falkowski et al., 2004; Dunkley Jones et al., 2008;
798 | Henderiks et al., 2012). The 16-37% absolute abundance declines of the reticulofenestrid species ~~D-~~
799 | ~~bisectus~~, *R. umbilicus*, ~~and~~ *R. hillae-samodurovii* *R. hillae* and *R. circus* group and *R. daviesii* (Figs.
800 | [3 and 3S2, Supplement](#)), are strong indications that these large-celled coccolithophores were at a
801 | competitive disadvantage already during or shortly after the EOB. Earlier biometric studies of
802 | reticulofenestrid coccoliths point to a similar scenario (Fig. 5), postulating that the
803 | macroevolutionary size decrease reflects the long-term decline in pCO₂ (Henderiks and Pagani,
804 | 2008; Pagani et al. 2011; [Hannisdal et al., 2012](#)). High CO₂ availability during the late Eocene
805 | could have supported high diffusive CO₂-uptake rates and photosynthesis even in the largest cells,
806 | assuming that Paleogene ancient coccolithophores had no or inefficient CO₂-concentrating

807 mechanism, similar to modern species today (Rost et al., 2003; [Bolton and Stoll, 2013](#)), and due to
808 the fact that [RUBISCO](#)'s specificity for CO₂ increases at higher CO₂ levels (Giordano et al.,
809 2005).

810 Available paleo-pCO₂ proxy reconstructions from Equatorial regions (Pearson et al., 2009; Pagani
811 et al., 2011; Zhang et al., 2013) indicate a transient decrease in pCO₂ across the studied interval,
812 rather than a distinct drop in pCO₂ ~~at~~ after the EOB, which ~~would appear to be suggested~~ supported
813 by our high-resolution assemblage (PC1) and mean V:SA time series (Fig. 5). ~~Nevertheless,~~ The
814 paleo-pCO₂ proxy data, ~~however,~~ are at much lower time resolution, based on a range of
815 geochemical proxies and assumptions (Pearson et al., 2009; Pagani et al., 2011; Zhang et al., 2013).
816 ~~Therefore,~~ and ~~may therefore they may~~ not record the drop in pCO₂ as accurately as our
817 comparative analysis would require. The range of estimated pCO₂ values is fairly wide: mean
818 values are 940 ppmv ~~below~~ before the EOB (standard deviation range 740-1260 ppmv) and 780
819 ppmv ~~above~~ after the boundary (s.d. range 530-1230 ppmv) ([Pearson et al., 2009; Pagani et al.,](#)
820 [2011; Zhang et al., 2013](#); Fig. 5).

821 Possibly, ~~during~~ shortly after the EOB a threshold level in pCO₂ was reached, below which large
822 reticulofenestrids became limited in their diffusive CO₂-uptake, or other, fast-changing (a)biotic
823 environmental factors limited the ecological success of ~~the same is~~ group. On million-year time
824 scales, atmospheric CO₂ levels appear to have influenced coccolithophore macroevolution more
825 than related long-term changes in temperature, sea level, ocean circulation or global carbon cycling
826 ([Hannisdal et al., 2012](#)). Between biotic and abiotic factors, the latter (i.e. nutrient supply,
827 temperature, salinity, etc.) are deemed to be dominant (Benton, 2009), and may have led to a more
828 successful adaptation of the smaller taxa at the expenses of ~~the~~ large ones (see discussion below,
829 Section 5.2).

830 This would not exclude a transient, long-term pCO₂ forcing on coccolithophore evolution
831 ([Hannisdal et al., 2012](#)). Interestingly, the decline of large *R. umbilicus* occurred earlier at Site 1263
832 (~~across the EOB~~ ~33.89-8 Ma) than at higher latitudes in the Southern Ocean (~~just above the EOB:~~
833 ~33.3 Ma at Site 689, [Persico and Villa, 2004](#); ~33.5 Ma at Site 748, [Villa et al., 2008](#)). A similar
834 pattern is documented in the timing of its subsequent extinction, occurring earlier at low- and mid-
835 latitudes (32.02 Ma; ~~Gradstein et al., 2012~~ [Pälike et al., 2006](#)) and later ~~in~~ at high latitudes (31.35
836 Ma; [Gradstein et al., 2012](#)). [Henderiks and Pagani \(2008\)](#) suggested that the generally higher
837 content of CO₂ in polar waters may have sustained *R. umbilicus* populations after it had long
838 disappeared from the tropics.

839

840 5.2 Paleoproductivity at Site 1263: nannoplankton and benthic foraminifer signals

841 At Site 1263, no other phytoplankton than calcareous nannoplankton was detected, and diatoms
842 were ~~also~~ absent in coeval sediments at near-by ~~Deep Sea Drilling Program (DSDP)~~ Walvis Ridge
843 Sites ~~525522~~-529 (Hsü et al., 1984; Moore et al., 1984). Therefore, our inferences of paleo-primary
844 productivity and export production are based on the nannoplankton and benthic foraminifer~~a~~
845 assemblages.

846 PC2 of the calcareous nannoplankton analysis could be correlated with paleoproductivity and total
847 water column stratification. The strongest negative loading on PC2 is the holococcolith *L. minutus*
848 (Fig. 4b; Table S1, ~~in the~~ Supplement). In modern phytoplankton, the holococcolith-bearing life
849 stages proliferate under oligotrophic conditions (e.g. Winter et al., 1994). Moreover, holococcoliths
850 such as *L. minutus* and *Z. bijugatus* are quite robust (Dunkley Jones et al., 2008), so that dissolution
851 is unlikely to affect their distribution ~~which may be mainly linked to low nutrient availability~~.

852 The positive loadings on PC2 are the species *C. pelagicus*, *I. recurvus* and *Sphenolithus* spp. A high
853 abundance of *C. pelagicus* has often been considered as indicative for warm-to-temperate
854 temperatures at high-latitudes (e.g. Wei and Wise, 1990; Persico and Villa, 2004; Villa et al., 2008).
855 In the modern oceans, *C. pelagicus* seems to be restricted to temperate-to-cool-water, high-nutrient
856 conditions (e.g. Cachao and Moita, 2000; Boeckel et al., 2006), but during the Paleogene it was
857 cosmopolitan (Haq and Lohmann, 1976). The paleoecological preferences of *Sphenolithus* are still
858 controversial, but it has been related to oligotrophic conditions inferring a major nutrient control
859 over rather than temperature control on this species during the Paleocene-Eocene thermal maximum
860 (PETM; Agnini et al., 2006) and the EOT (Villa et al., 2008). Increased abundances of *Sphenolithus*
861 have been also related to high-productivity intervals in the early Oligocene (Wade and Pälike, 2004)
862 and across the EOT (Dunkley Jones et al., 2008).

863

864 We compared PC2 with the proxy for regional paleoproductivity $\Delta\delta^{13}\text{C}_{\text{P-B}}$ (Fig. 6), with lower
865 values corresponding to lower productivity and/or higher stratification. ~~The~~ $\Delta\delta^{13}\text{C}_{\text{P-B}}$ data are not
866 available for the interval below 96 mcd (upper Eocene lower Oligocene), but lower
867 paleoproductivity in general corresponds to negative loadings on PC2, and vice versa. The
868 correlation coefficient between the two curves is 0.33 (p -value = 0.05), i.e. a significant but not ~~a~~
869 very strong correlation, possibly due to the lower number of stable isotope data points than
870 nannofossil data points. We infer that PC2 probably reflects lower productivity during the latest
871 Eocene, and with both PC2 and $\Delta\delta^{13}\text{C}_{\text{P-B}}$ curves showing a higher productivity signal within at the

872 ~~onset of Oi-1~~ the EOB and the onset of Step 2 (Fig. 6). In particular, PC2 records a longer interval of
873 positive loadings (higher productivity) above-after the EOB, and an initial decrease before in
874 corresponding to the highest peak in $\delta^{18}\text{O}$ (at ~93 mcd; ~33.56 Ma), as recorded also by
875 $\Delta\delta^{13}\text{C}_{\text{P-B}}$. According to the $\Delta\delta^{13}\text{C}_{\text{P-B}}$, paleoproductivity remained constant above 90 mcd upward,
876 and lower than below Step 2, subsequently remained lower from the end of Oi-1 upward. The PC2
877 different trend by in PC2 curve from 90 mcd and $\Delta\delta^{13}\text{C}_{\text{P-B}}$ curves differ from 90.5 mcd upward may
878 be, possibly, related to increased nannofossil dissolution, in particular above 87 mcd. The increase
879 of dissolution is confirmed by the increased abundance of the benthic foraminifer species *N.*
880 *umbonifera* (Fig. 6), indicative of more corrosive bottom waters, and or possibly a lower food
881 supply. This is thus in agreement with the intensified dissolution interval recorded by the coccolith
882 dissolution index (compare Figs. 3 and 6).

883 The benthic foraminiferal assemblage confirms the above interpretation of the PC2, adding
884 information on the nature of the nutrient supply of organic matter to the seafloor, i.e. export
885 productivity (Fig. 6). The increase across the EOB in abundance of the phytodetritus-
886 consuming species across the EOB indicates an increase in seasonality of food delivery of
887 food to the seafloor, correlated to the interval with positive scores in PC2 (Fig. 6). The interval
888 was, though, interrupted by a short interval period of increased productivity across the EOB (as
889 showed by the peak in the buliminid species curve at 96.27 mcd; Fig. 6), indicating- high, less
890 seasonally interrupted food supply. Seafloor conditions changed A after the Oi-1/Step 2, when the
891 high abundance of *N. umbonifera* and the decrease of phytodetritus and buliminid species are
892 indicative-indicate of more corrosive bottom waters, possibly combined with less food arriving at
893 the sea floor and or a less pronounced seasonality (Fig. 6).

894 The variations in nutrient supply to the photic zone, as reflected in both nannofossil and benthic
895 foraminifer assemblages, is a factor that could possibly have driven combined with the declining
896 pCO₂ to cause the decrease in the mean coccolith size decrease across-after the EOB. In fact, the
897 transient higher availability of nutrients at the-between the EOB and the onset of Step 2/Oi-1 (~330
898 kyr), may have made it possible for given small opportunistic nannoplankton species a competitive
899 advantage over above the EOB to outcompete large specialist species after this time. The decrease
900 of mean cell size (less biomass per individual) and overall, also, decrease in of total nannofossil
901 abundance could have led to less available organic matter or less efficient ballasting of organic
902 matter during transport to the sea floor and, thus, less food for the benthic foraminifers, and smaller
903 nannoplankton could have caused a decrease in delivery of organic matter to the seafloor (and/or
904 higher remineralization). If the smaller size led to decreased efficiency in ballasting, the time of
905 transport from surface to the sea floor could have increased, making remineralization more efficient

906 despite the declining temperatures. Ecosystem structure is the main determinant of efficiency of
907 transfer of organic matter to the sea floor (e.g. Henson et al., 2012), and such important changes as
908 observed in the nannofossil assemblages could have strongly impacted transfer of food to the
909 seafloor, hence benthic foraminiferal assemblages, and influenced the decline in ‘Extinction Group’
910 species (Hayward et al., 2013; Mancin et al., 2013).

911 Possibly, major climate-driven instability of the water column during the within 330 kyr after the
912 EOB onset of Oi-1 favoured seasonal or episodic upwelling, thus primary productivity in this area,
913 which may also be reflected by the (slightly) increasing trends in but an increase in productivity at
914 the Oi-1 onset is not reflected in the absolute coccolith abundance of (medium-sized)
915 Cyclicargolithus spp., Coccoolithus pelagicus and Sphenolithus spp. (Fig. 3). After the major peak
916 in $\delta^{18}\text{O}$ (Oi-1 Step 2) a more stable system, related also to the onset of North Atlantic Deep Water
917 (NADW) production in the early Oligocene (Via and Thomas, 2006), may have allowed the
918 proliferation of more oligotrophic taxa, including holococcoliths, and the establishment of more
919 oligotrophic, stable environmental conditions (Fig. 6).

920 Previous studies have documented an increase in primary productivity during the late Eocene-early
921 Oligocene, in particular in the Southern Ocean (e.g. Diester-Haass, 1995; Diester-Haass and Zahn,
922 1996; Salamy and Zachos, 1999; Persico and Villa, 2004; Schumacher and Lazarus, 2004;
923 Anderson and Delaney, 2005). At tropical latitudes, both transient increases (equatorial Atlantic;
924 Diester-Haass and Zachos, 2003) and decreases (e.g. Griffith et al., 2010; Moore et al., 2014) in
925 paleoproductivity have been recorded during the early Oligocene, with a sharp drop in the export
926 productivity during the early Oligocene at ~33.7 Ma (Moore et al., 2014), similar to what we
927 observed in the southeastern-SE Atlantic. Schumacher and Lazarus (2004) did not record a
928 significant shift of paleoproductivity at after the EOB in equatorial oceans, but noted a decrease in
929 the early Oligocene (after 31 Ma). An increase in seasonality at after the EOB, similar to the one we
930 recorded at mid-latitude Site 1263, was documented at Site 689 in Southern Ocean (Schumacher
931 and Lazarus, 2004), and while seasonality increased just before Oi-1 Step 2 in the northern high
932 latitudes as well (Eldrett et al., 2009).

933

934 **5.3 Timing and possible causes of the biotic response at the EOB**

935 Marine faunal and floral species extinctions and community changes were coeval with the climatic
936 deterioration during the late Eocene-early Oligocene (e.g. Adams et al., 1986; Coccioni, 1988;
937 Berggren and Pearson, 2005; Dunkley Jones et al., 2008; Pearson et al., 2008; Tori, 2008; Villa et

938 | al., 2008, 2014). At ODP Site 1263, we ~~also~~ see close correspondence between marked changes in
939 | the nannoplankton assemblages (i.e. nannofossil abundance and coccolith size decrease) and the
940 | ~~extinction of the hantkeninid planktic benthic foraminiferal assemblages. Both events occurred at the~~
941 | ~~EOB, pre-dating the onset of Oi-1, i.e. the first major ice sheet expansion on Antarctica. Extinction~~
942 | ~~events are usually rapid (10-100 kyr; Gibbs et al., 2005; Raffi et al., 2006).~~ The nannoplankton did
943 | not suffer significant extinctions at the ~~same boundary EOB as the planktonic foraminiferal~~
944 | ~~assemblage did~~, but the change in the community was ~~as relatively fast as extinction events (which~~
945 | ~~occur within 10-100 kyr; Gibbs et al., 2005; Raffi et al., 2006)~~, taking place within ~~~53-47~~ kyr.

946 | The ~~timing of the~~ main shifts in the nannoplanktonic community ~~was occurred during the EOT~~
947 | ~~climatic transition, after the beginning of the climate change of the EOT (i.e. probably ~250 kyr~~
948 | ~~after the Step 1 relatively early, and ~120 kyr after the EOB) during the transient climate change~~
949 | ~~across the EOB, and but~~ pre-dated ~~significant the major~~ cooling and increase in Antarctic ice sheet
950 | volume (i.e. Step 2) by about ~~440-200~~ kyr (i.e. Oi-1). Therefore, ~~fossil planktonic nannofossil~~
951 | assemblages ~~prove to be~~ fundamentally important sensitive and accurate tools to investigate
952 | climate thresholds and the early impacts of climate change on biotic systems ~~or crossing of threshold~~
953 | ~~levels during climate change on biotic systems.~~

954 | Benthic foraminiferal changes at Site 1263 ~~likewise~~ started before the EOB, as observed at other
955 | sites (Thomas, 1990, 2007), and the faunal turnover persisted into the early Oligocene. The benthic
956 | faunas in general show a decline in abundance of rectilinear species with complex apertures,
957 | possibly linked to the decline in nannoplankton species which they may have consumed ~~which may~~
958 | ~~have been used by the rectilinear benthics~~ (as e.g. hypothesized by Hayward et al., 2012, Mancin et
959 | al., 2013). The increase in phytodetritus-using species was possibly linked to more episodic
960 | upwelling and thus productivity and transport to the sea floor, and potentially blooming of more
961 | opportunistic nannoplankton species. Unfortunately, the lower resolution of the benthic foraminifer
962 | data compared to the nannofossil data does not allow to unravel the exact timing of the benthic
963 | fauna response ~~across the EOB along during the EOT, and also does not allow exact correlation to~~
964 | ~~changes in nannofossil assemblages.~~

965 | At Site 1263 and in Southern Ocean records (Persico and Villa, 2004; Villa et al., 2008) the large
966 | reticulofenestrids declined in abundance rapidly ~~at above~~ after the EOB. Persico and Villa (2004)
967 | and Villa et al. (2008, 2014) inferred a strong influence of SST cooling on coccolithophores, and
968 | the drop in SST ~~across~~ shortly after the EOB at high-latitudes is ~~also~~ confirmed by a decrease of
969 | 5°C in U^K₃₇-based SST (Liu et al., 2009). In contrast, at Site 1263 planktonic foraminifer Mg/Ca
970 | data record no significant change in SST at that time (Peck et al., 2010; Fig. 5), as at ODP Sites 925

971 and 929 (tropical western Atlantic) where U^K₃₇-based SSTs ~~also~~ show no ~~relevant-significant~~
972 cooling (Liu et al., 2009; Fig. 5). Fairly stable SSTs were also documented in the tropics, using
973 Mg/Ca-based SST reconstructions (Lear et al., 2008). The temperatures at mid-latitude Site 1263
974 thus may have been stable, like those in the tropics, rather than cooling, as inferred for high
975 latitudes in the Southern Ocean (e.g. Persico and Villa, 2004; Villa et al., 2008; Liu et al., 2009;
976 Villa et al., 2014).

977 If this is true, SST may not have been the main environmental factor affecting the nannoplankton
978 assemblages at Site 1263 ~~across-after~~ the EOB. Andruleit et al. (2003) documented that ~~for modern~~
979 ~~ecocolithophores in tropical-subtropical regions~~ temperature changes may be of less importance ~~for~~
980 ~~modern coccolithophores in tropical-subtropical regions~~, but the lower temperature at high latitudes
981 can approach the vital limits for coccolithophores (Baumann et al., 1997), and become important as
982 a bio-limiting factor.

983 Changes in the phytoplankton community could be related to a global influence of declining pCO₂.
984 Unfortunately the estimates ~~available~~ from alkenone- and boron isotopes lack the resolution to
985 unravel the variation ~~at-across and after~~ the EOB (Fig. 5) ~~in detail, but-and~~ leave open the possibility
986 that ~~falling~~ pCO₂ ~~falling~~ below a certain threshold-level could have played a role in driving the
987 reorganization in the nannoplankton community. Alternatively, our combined biotic and
988 geochemical proxy data (i.e. nannofossil and benthic foraminiferal assemblages, and $\Delta\delta^{13}\text{C}_{\text{P-B}}$)
989 suggest an increase in nutrient and food supply just ~~after-after~~ the EOB (Fig. 6), which would have
990 favored opportunistic taxa over low-nutrient selected, specialist species. ~~We conclude that the large~~
991 ~~reticulofenestrads were clearly at an ecological disadvantage, either due to changes in nutrient~~
992 ~~supply and/or pCO₂, whereas *Cyclicargolithus* and *Coccolithus* remained unaffected, or slightly~~
993 ~~increased in absolute abundance.~~ Most large reticulofenestrads (except *R. hillae* and *R. circus*
994 ~~group~~*reticulofenestra* sp.) never recovered to ~~pre-EOB~~ previous abundances, despite a return to
995 more stratified conditions after ~~the Oi-1 event~~ Step 2. ~~It is unlikely that~~ increased dissolution ~~after~~
996 ~~the Oi-1 above 87 mcd (33 Ma) event~~ unlikely explains the loss of large, heavily calcified taxa, but
997 ~~the decrease in size of coccoliths may have~~ may also have led to enhanced remineralization of
998 organic matter and less food supply to the benthic communities.

999 ~~A regional increase in nutrients shortly after the EOB was also postulated to have occurred at low~~
1000 ~~latitudes, based on a decrease in nannofossil species diversity at Tanzanian sites (Dunkley Jones et~~
1001 ~~al., 2008). At Site 1263, no marked change in diversity was recorded at the EOB (Fig. 3). The~~
1002 ~~diversity and species richness of fossil assemblages, however, are strongly affected by dissolution,~~
1003 ~~or by reworking and taxonomic errors (Lazarus, 2011; Lloyd et al., 2012). The Tanzanian sites~~

1004 | indeed reveal remarkable and pristine marine microfossil preservation (Dunkley Jones et al., 2008;
1005 | Pearson et al., 2008), rarely matched by other Eocene-Oligocene deep-sea records.

1006 | There appears to be a latitudinal gradient in the timing of nannofossil abundance decreases. The
1007 | total abundance decreases were first detected in the Southern Ocean (late Eocene; Persico and Villa,
1008 | 2004), then at mid-latitude (~~at~~ after the EOB; this study), and finally at the equator (after Step 2, the
1009 | ~~O₁~~ ~~is inferred from a decrease in nannofossil species diversity at Tanzanian sites~~; Dunkley Jones
1010 | et al., 2008). ~~So that~~ This observation may suggest a direct temperature effect on nanoplankton
1011 | abundance since ~~nannofossil floras reflect the pattern of cooling, which started~~ the cooling started
1012 | and was most pronounced at high latitudes. ~~On the other hand, or indirect~~ high-latitude cooling
1013 | ~~may have impacted~~ ~~on the~~ global nutrient regimes and ocean circulation. Since regional dissolution
1014 | bias may also ~~have affected~~ the comparison of absolute coccolith abundance, additional studies on
1015 | well-preserved material will be necessary to confirm the timing and character of the response at
1016 | different latitudes and in different ocean basins. Nevertheless, a meridional gradient in biotic
1017 | response is expected, given the different environmental sensitivities and biogeographic ranges of
1018 | different phytoplankton species (e.g. Wei and Wise, 1990; Monechi et al., 2000; Persico and Villa,
1019 | 2004; Villa et al., 2008), and the diachroneity of the onset of cooling (Pearson et al., 2008).

1020 |

1021 | 6 Conclusions

1022 | High-resolution analyses of the calcareous nannofossil and foraminiferal assemblages refine the
1023 | biostratigraphy at ODP Site 1263 (Walvis Ridge), and demonstrate distinct assemblage and
1024 | abundance changes in marine biota ~~at~~ across the Eocene-Oligocene boundary transition. The biotic
1025 | response of calcareous nanoplankton was very rapid (~~~53-47~~ kyr), ~~similar to the hantkenid~~
1026 | ~~extinction event, and following the EOB of~~ by ~120 kyr and pre-dated the climatic ~~O₁~~ Step 2
1027 | event by ~~44~~ 200 kyr.

1028 | The ecological success of ~~the~~ smaller-sized coccolithophore species *versus* the drastic decrease of
1029 | large ~~ones~~ reticulofenestrads, and the overall decrease of nanoplankton productivity ~~aeross~~ after the
1030 | EOB ~~likely may have~~ affected the benthic foraminiferal community (e.g. decrease in rectilinear
1031 | species due to changes in nanoplankton floras), with increased seasonality driving the transient
1032 | increased abundance of phytodetritus-using species. After Step 2 and in particular after 33.3 Ma ~~O₁~~
1033 | ~~+~~, both nanoplankton and benthic records at Site 1263 ~~were~~ affected by intensified dissolution
1034 | and corrosivity of bottom waters.

1035 | We conclude that the planktonic community reacted to ~~some~~ fast-changing environmental
1036 | conditions, possibly seasonally increased nutrient supply to the photic zone, global cooling or
1037 | lowered CO₂-availability, ~~and~~/or the crossing of a threshold-level ~~along in~~ the longer-term
1038 | (~~transient~~)-climate and environmental changes suggested by available proxy data, such as the
1039 | ~~transient~~ pCO₂ decline during the late Eocene-early Oligocene.

1040

1041 | **Supplement data file contains:** [Table S1 \(planktonic foraminiferal marker species\)](#); Tables [S1-S2](#)
1042 | and [S2-S3](#) (loading species for datasets A and B); taxonomic remarks; Fig. S1 (plate of main
1043 | species); Figs. S2 and S3 (plotted curves of all the distinguished species in datasets A and B).

1044

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1058

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1482

1483 **Table caption**

1484 **Table 1.** Calcareous nannofossil and planktonic foraminiferal (underlined) bioevents as identified in
1485 this study (at meter composite depth, mcd), and the mcd reported by the Shipboard Scientific Party
1486 (Zachos et al., 2004). Note that for the planktonic foraminiferal bioevents the average depth is
1487 reported. For each bioevent, the ages available in the most recent literature are given, as well as the
1488 location of the reference sites. N.A.: not available datum; *: ages not included in the sedimentation
1489 rate estimate.

1490

1491 **Figure captions**

1492 **Figure 1.** Paleogeographic reconstruction at 33 Ma (modified from Ocean Drilling Stratigraphic
1493 Network, Plate Tectonic Reconstruction Service,
1494 www.odsn.de/odsn/services/paleomap/paleomap.html) showing location of ODP Site 1263 (black
1495 dot) on Walvis Ridge. The positions of the other sites (white squares) used for comparison and cited
1496 in the text are also given.

1497

1498 **Figure 2.** Eocene-Oligocene stratigraphy of Site 1263 and DSDP Site 522 (Walvis Ridge). Stable
1499 oxygen isotope stratigraphy ($\delta^{18}\text{O}$, ‰) DSDP Site 522 (Zachos et al., 1996) compared to that at Site

1500 | 1263 (Riesselman et al., 2007), ~~nannofossil~~ Absolute abundances of ~~N~~nannofossil marker species
1501 | absolute abundances (N g⁻¹; note 10⁷-10⁸ change in scale among curves) for dataset A (grey line)
1502 | and their relative percentages (%) for datasets A (black line) and B (black dashed). ~~A 5 pt.~~
1503 | ~~smoothed curve is shown for the species C. subdistichus~~; ~~number of specimens > 3 chambers per~~
1504 | ~~gram of sediment and presence of spines of the planktonic foraminifer Hantkenina alabamensis.~~
1505 | Note the changes in horizontal scales among curves. Calcareous nannofossil and planktonic
1506 | foraminiferal datums are highlighted. B: Base occurrence; T: Top occurrence; Bc: Base common
1507 | occurrence.

1508

1509 | **Figure 3.** Calcareous nannofossil abundance and distribution against depth (mcd) at Site 1263
1510 | (dataset A). CaCO₃ (wt%; Riesselman et al., 2007), coccolith dissolution index (%), H index, and
1511 | the total absolute coccolith abundance (N g⁻¹). Error bars indicates the and the mean-standard
1512 | deviation (± 1 s.d., in % of replicate counts percentage on 5 samples are plotted against depth). The
1513 | absolute (N g⁻¹, black solid-line) and relative (% , grey dotted green line) abundances of the main
1514 | species which constitutinge the assemblage are displayed shown. For *Cyclicargolithus* spp. and *C.*
1515 | *pelagicus* also the absolute abundances of the different size groups are shown. The grey vertical bar
1516 | close to the dissolution index identifies marks an interval of major dissolution (87 to 83 mcd). The
1517 | positions of EOB and Step 2 are reported.

1518

1519 | **Figure 4.** Distribution patterns of PC1 (**a**) and PC2 (**b**) obtained from the PCA for the datasets A
1520 | and B (light green curves). Loadings of calcareous nannofossil taxa on the two principal
1521 | components of the whole studied succession for dataset A are reported. The shaded boxes represent
1522 | the most relevant loaded species. Shaded area: PCs (dataset A) obtained omitting the marker species
1523 | in the dataset. Red line: PCs (dataset A) obtained inserting also the marker species. The positions of
1524 | EOB and Step 2 are reported.

1525

1526

1527 | **Figure 5.** Coccolith total abundance (N g⁻¹), PC1 and cell-size trends during the Eocene-Oligocene
1528 | at Site 1263. The average cell V:SA (µm) of all placolith-bearing species (green area),
1529 | *Reticulofenestra-Dictyococcites-Cyclicargolithus* (red solid line) and *Reticulofenestra-*
1530 | *Dictyococcites* (green dotted line) are reported. The average cell V:SA of ODP 925 (black circles;
1531 | Pagani et al., 2011), DSDP 516 (white triangles; Henderiks and Pagani, 2008), DSDP 511-277

1532 (white squares) and ODP 1090 (black squares) from the southern ocean (Pagani et al., 2011), and
 1533 pCO₂ (ppm) alkenone-based from ODP 925 (black-white circles; Pagani et al., 2011; Zhang et al.,
 1534 2013), ODP 929 (white-black circles; Pagani et al., 2011), and pCO₂ boron isotope-based from
 1535 TDP172/127 (grey triangles; Pearson et al., 2009) are also shown. For comparison with sea surface
 1536 temperature (SST) proxies, the Mg/Ca (mmol/mol; Peck et al., 2010) at Site 1263 and the SST from
 1537 U^k₃₇ at low latitude in the Atlantic Ocean (Liu et al., 2009) are also displayed. [The positions of](#)
 1538 [EOB and Step 2 at Site 1263 are reported.](#)

1539

1540

1541 **Figure 6.** Paleoproductivity indices from nannofossil (PC2) and benthic foraminifer ($\Delta\delta^{13}\text{C}_{\text{P-B}}$
 1542 calculated from data in Riesselman et al., (2007) and Peck et al., (2010); Fisher's alpha index -
 1543 diversity proxy, extinction group species, phytodetritus-using species, buliminid species and the
 1544 species *Nuttalides umbonifera*) datums are plotted against depth. [The positions of EOB and Step 2](#)
 1545 [are reported.](#)

1546

[Table 1](#)

	This study	Shipboard Scientific Party (Zachos et al., 2004)	Ages
Datum	Interval (hole-core-section, cm)	Depth (mcd)	Average Depth (mcd)
T <i>Isthmolithus recurvus</i>	B-3H-5, 115-116	83.19	86
T <i>Coccolithus formosus</i>	A-9H-4, 9-10	85.16	86
Bc <i>Sphenolithus akropodus</i>	A-9H-4, 100-102	86.34	N.A.
B <i>Chiasmolithus altus</i>	B-4H-2, 131-132	89.4	N.A.
B <i>Sphenolithus akropodus</i>	B-4H-3, 50-52	90.09	N.A.
Bc <i>Clasicoccus subdistichus</i>	A-10H-4, 141-142	96.92	94.77
T <i>Hantkenina</i> spp.	A-10H-5, 32-34/B-4H, CC	97.53	104.5
Pseudohastigerina size reduction	A-10H-5, 32-34/B-4H, CC	97.53	N.A.
T <i>Turborotalia cerroazulensis</i> group	A-10H-5, 32-34/B-4H, CC	97.53	N.A.
T <i>Discoaster saipanensis</i>	B-5H-3, 50-52	102.2	104.1
T <i>Discoaster barbadiensis</i>	B-5H-4, 0-2	7	7

1547

B. Sphenolithus tribulosus B-5H-4, 50-52 $\frac{103.7}{7}$ N.A. - -

1548

Table 1

	This study		Shipboard Scientific Party (Zachos et al., 2004)		Ages	
Datum	Interval (hole-core-section, cm)	Depth (med)	Average Depth (med)	Age (Ma)	Site/Area	References
<i>T. Isthmolithus recurvus</i>	B-3H-5, 115-116	83.19	86	32.7	Leg-199	Lyle et al. (2002)
<i>T. Coccolithus formosus</i>	A-9H-4, 9-10	85.16	86	32.92	Site-1218	Gradstein et al. (2012)
<i>Be. Sphenolithus akropodus</i>	A-9H-4, 100-102	86.34	N.A.			
<i>B. Chiasmolithus altus</i>	B-4H-2, 131-132	89.4	N.A.	33.31*	Site-1218	Pälike et al. (2006)
<i>B. Sphenolithus akropodus</i>	B-4H-3, 50-52	90.09	N.A.			
<i>AB. Clausiocecus obrutus</i>	A-10H-4, 141-142	96	94.77	33.85*	Massignan GSSP	Brown et al. (2009)
<i>T. Hantkenina spp.</i>	A-10H-5, 32-34	96.27	104.5	33.89	Mediterranean	Gradstein et al. (2012)
<i>T. Discoaster saipanensis</i>	B-5H-3, 50-52	102.27	104.1	34.44	Site-1218	Gradstein et al. (2012)
<i>T. Discoaster barbadiensis</i>	B-5H-4, 0-2	103.27	N.A.	34.76	Site-1218	Gradstein et al. (2012)
<i>B. Sphenolithus tribulosus</i>	B-5H-4, 50-52	103.77	N.A.	-	-	-

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