

1 Effects of past climate variability on fire and vegetation in the *cerrado* savanna of the  
2 Huanchaca Mesetta, NE Bolivia  
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## 21 22 **Abstract**

23 *Cerrado* savannas have the greatest fire activity of all major global land-cover types  
24 and play a significant role in the global carbon cycle. During the 21<sup>st</sup> century,  
25 temperatures are projected to increase by ~3 °C coupled with a precipitation decrease of  
26 ~20%. Although these conditions could potentially intensify drought stress, it is unknown  
27 how that might alter vegetation composition and fire regimes. To assess how Neotropical  
28 savannas responded to past climate changes, a 14,500-year, high-resolution, sedimentary  
29 record from Huanchaca Mesetta, a palm swamp located in the *cerrado* savanna in  
30 northeastern Bolivia, was analyzed with phytoliths, stable isotopes and charcoal. A non-  
31 analogue, cold-adapted vegetation community dominated the Lateglacial-early Holocene  
32 period (14,500-9000 ka), that included trees and C<sub>3</sub> Pooideae and C<sub>4</sub> Panicoideae grasses.  
33 The Lateglacial vegetation was fire sensitive and fire activity during this period was low,  
34 likely responding to fuel availability and limitation. Although similar vegetation  
35 characterized the early Holocene, the warming conditions associated with the onset of the  
36 Holocene led to an initial increase in fire activity. Huanchaca Mesetta became  
37 increasingly fire-dependent during the middle Holocene with the expansion of C<sub>4</sub> fire  
38 adapted grasses. However, as warm, dry conditions, characterized by increased length  
39 and severity of the dry season, continued, fuel availability decreased. The establishment  
40 of the modern palm swamp vegetation occurred at 5000 cal yr BP. Edaphic factors are the  
41 first order control on vegetation on the rocky quartzite mesetta. Where soils are  
42 sufficiently thick, climate is the second order control of vegetation on the mesetta. The  
43 presence of the modern palm swamp is attributed to two factors: 1) increased  
44 precipitation that increased water table levels, and 2) decreased frequency and duration of  
45 *surazos* (cold wind incursions from Patagonia) leading to increased temperature minima.  
46 Natural (soil, climate, fire) drivers rather than anthropogenic drivers control the

47 vegetation and fire activity at Huanchaca Mesetta. Thus the *cerrado* savanna ecosystem  
48 of the Huanchaca Plateau has exhibited ecosystem resilience to major climatic changes in  
49 both temperature and precipitation since the Lateglacial period.  
50

## 51 **1. Introduction**

52 The *cerrado* savanna of central South America is the largest, richest, and likely most  
53 threatened savanna in the world (DaSilva Meneses and Bates, 2002) The *cerrado* is the  
54 second largest biome in South America covering 1.86 million km<sup>2</sup> and is home to over  
55 10,000 plant species (Myers et al., 2000). The tropical forest-savanna ecotones within the  
56 *cerrado* biome are of considerable interest to biologists because of their high habitat  
57 heterogeneity (*beta* diversity), importance in rainforest speciation (Russell-Smith et al.,  
58 1997) and sensitivity to climate change (IPCC, 2014). According to current estimates  
59 however, only 20% of the *cerrado* remains undisturbed and only 1.2% of the area is  
60 preserved in protected areas (Mittermeier et al., 1999). Additionally, *cerrado* savannas  
61 have a significant role in the modern global carbon cycle because of high CO<sub>2</sub> loss  
62 associated with frequent natural fire activity (Malhi et al., 2002). Currently savanna fires  
63 are considered the largest source of natural pyrogenic emissions, with the most fire  
64 activity of all major global land cover types (Pereira, 2003). In the last few decades,  
65 deforestation for agriculture and increased drought have resulted in increased burning in  
66 savannas, contributing to approximately 12% of the annual increase in atmospheric  
67 carbon (Van der Werf et al., 2010).

68 The *cerrado* biome comprises forest, savanna, and campestre (open field) formations  
69 (Abreu et al., 2012; Mistry, 1998). *Cerrado* sensu stricto is characterized as a woody  
70 savanna formation composed of dense, thin, and rocky outcrops with *cerrado*  
71 physiognomies that are distinguishable based on their densities, heights, and scattered  
72 tree-shrub covers with roughly 50% trees and 50% grass (Abreu et al., 2012). The  
73 principal determinants of the growth and development of the *cerrado* vegetation types are  
74 largely related to edaphic factors (Colgan et al., 2012). For example the distribution of  
75 major *cerrado* vegetation types are closely related to the geomorphology of the  
76 Precambrian Brazilian shield in South America (Killeen, 1998a). The development of the  
77 variety of *cerrado* vegetation communities is largely the result of heterogeneous nature of  
78 the edaphic features (Killeen, 1998a) including the depth of the water table, drainage, the  
79 effective depth of the soil profile, the presence of concretions (Haridasan, 2000), soil  
80 texture and the percentage of exposed rock (Junior and Haridasan, 2005).

81 In addition to edaphic constraints, climate also has a prominent role in determining  
82 *cerrado* savanna vegetation structure and fire activity (Ribeiro and Walter, 2008). The  
83 *cerrado* biome is dominated by a warm, wet-dry climate associated with the seasonal  
84 migration of the Intertropical Convergence Zone (ITCZ) (DaSilva Meneses and Bates,  
85 2002; Latrubesse et al., 2012; Vuille et al., 2012). On synoptic climatological timescales,  
86 temperature and precipitation are the most important effects of climate on fire (e.g.  
87 months to seasons to years) (Mistry, 1998). These factors govern net primary productivity  
88 (NPP) and the abundance of available fuels (Brown and Power, 2013; Marlon et al.,  
89 2013). Warmer temperatures are typically associated with increased burning through  
90 vegetation productivity and the occurrence of fire-promoting climatic conditions.  
91 However, the role of temperature can be mediated by precipitation (Brown and Power,  
92 2013). Fire responds differently to increases in precipitation depending on whether fuel is

93 initially abundant or limited in the ecosystem (Marlon et al., 2013; Mistry, 1998). In arid  
94 and semi-arid environments, such as the *cerrado*, increases in precipitation tend to  
95 increase fire, whereas increased precipitation in humid environments can reduce fire  
96 (Marlon et al., 2008, 2013).

97 The seasonality of the precipitation coupled with abundant wet-season lightning  
98 ignitions (Ramos-Neto and Pivello, 2000) is linked to high fire frequency in the *cerrado*  
99 (Miranda et al., 2009). Wet season lightning fires typically start in open vegetation (wet  
100 fields or grassy savannas) with significantly higher incidence of fire in more open  
101 savanna vegetation (Ramos-Neto and Pivello, 2000). High biomass production during  
102 the wet season results in abundant dry fuels favoring frequent fires throughout the year  
103 (Ramos-Neto and Pivello, 2000). Data show a positive correlation with fine fuel build-up  
104 and both fire temperature and fire intensity (energy output) (Fidelis et al., 2010). Thus,  
105 increased wet season fuel accumulation in the *cerrado* increases fire intensity. Based on  
106 an ecosystems adaptation to fire it can be classified as independent, fire-sensitive, and  
107 fire-dependent (Hardesty et al., 2005). In fire-independent ecosystems such as tundra and  
108 deserts, fire is rare, either because of unsuitable climate conditions or lack of biomass to  
109 burn. Fire-sensitive ecosystems such as tropical rainforests, are damaged by fire, which  
110 disrupts ecological processes that have not evolved with fire (Hardesty et al., 2005). Fire-  
111 dependent systems such as the well-drained grasslands of the *cerrado* biome, have  
112 evolved in the presence of periodic or episodic fires and depend on fire to maintain their  
113 ecological processes (Hardesty et al., 2005). Fire-dependent vegetation is fire-adapted,  
114 flammable and fire-maintained (Miranda et al., 2009; Pivello, 2011).

115 The study of fire and vegetation change in the *cerrado* is increasingly important as  
116 population, agricultural activity, and global warming create pressing management  
117 challenges to preserve these biodiverse ecosystems (Mistry, 1998). The long-term role of  
118 humans on vegetation and fire regimes of the *cerrado* remains unclear. During the late  
119 Holocene (3000 cal yr BP) there is increasing evidence for the increase in *Mauritia*  
120 *flexuosa* (*M. flexuosa*) and fire activity in Bolivia, Colombia, Venezuela and Brazil that  
121 has been attributed to both natural and anthropogenic drivers (Behling and Hooghiemstra,  
122 1999; Berrio et al., 2002a; DaSilva Meneses et al., 2013; Kahn and de Castro, 1985;  
123 Kahn, 1987, 1988; Montoya and Rull, 2011; Rull, 2009).

124 To investigate the drivers of vegetation and fire in the *cerrado* a long-term  
125 perspective is needed. The past few decades have experienced increased global  
126 temperatures, increased atmospheric CO<sub>2</sub>, and unprecedented levels of deforestation  
127 (Malhi et al., 2002). These recent changes heavily influence modern ecological studies,  
128 thus limiting the understanding of the role of natural variability in these systems. Long-  
129 term paleoecological studies can provide baseline information on processes shaping  
130 forest-savanna fire-vegetation dynamics from centennial-to-millennial timescales (Mayle  
131 and Whitney 2012). These long-term studies can inform whether recent shifts in ecotones  
132 are the result of a minor short-term oscillation around a relatively stable ecotone or a  
133 longer-term (e.g. millennial scale) unidirectional ecotonal shift forced by climate change  
134 (Mayle et al. 2000; Mayle and Whitney 2012). Additionally, long-term paleoecological  
135 records help form realistic conservation goals and identify fire management strategies for  
136 the maintenance or restoration of a desired biological state (Willis et al., 2007).

137 In this study, the long-term paleoecological perspective provides a context for  
138 understanding the role of centennial to millennial climate variability in the evolution of

139 fire and vegetation in *cerrado* savanna ecosystems. The purpose of this research is to  
140 explore long-term environmental change of *cerrado* savanna palm swamps in Bolivia  
141 from the Lateglacial (ca. 15,000 cal yr BP) to present. Paleoecological proxies including  
142 lithology, magnetic susceptibility, loss on ignition (LOI), charcoal, stable isotope, and  
143 phytolith data are used to investigate long-term ecosystem processes in the *cerrado*  
144 savanna. There are three primary hypotheses investigated in this study:

145

146 (1) Edaphic conditions are the dominant control on the presence of savanna versus  
147 forest vegetation on the Huanchaca Mesetta.

148 (2) Climate is the dominant control on savanna structure and floristic composition.

149 (3) The late Holocene rise in *M. flexuosa* was driven by climate rather than a change  
150 in human land-use.

151

### 152 1.1 Study Site

153 Noel Kempff Mercado National Park (NKMNP), a 15,230 km<sup>2</sup> biological reserve in  
154 northeastern Bolivia, is located on the Precambrian Shield near the southwestern margin  
155 of the Amazon Basin, adjacent to the Brazilian States of Rondônia and Mato Grosso  
156 (Burbridge et al., 2004). It is a UNESCO World Heritage Site, in recognition of its  
157 globally important biodiversity and largely undisturbed ecosystems, including *terra firme*  
158 (non-flooded) evergreen rainforest, riparian and seasonally-flooded humid evergreen  
159 forest, seasonally flooded savanna, wetlands, upland *cerrado* savannas, and semi-  
160 deciduous dry forests (Mayle et al., 2007). NKMNP occupies an ecotone between  
161 Amazon rainforest to the north and dry forests and savannas to the south, containing 22  
162 plant communities (Figure 1) (Burn et al., 2010). Huanchaca Mesetta palm swamp  
163 (14°32'10.66"S, 60° 43'55.92"W, elevation: 1070 m a.s.l.) is located within NKMNP on  
164 the Huanchaca Mesetta – an 800-900 m elevation table mountain. The palm swamp is  
165 approximately 200 by 50 meters, comprised entirely of a mono-specific stand of the palm  
166 *M. flexuosa*.

167

### 168 1.2 Climate

169 The climate of NKMNP is characterized by a tropical wet and dry climate (DaSilva  
170 Meneses and Bates, 2002). The mean annual precipitation at NKMNP derived from  
171 nearby weather stations (Concepción, Magdalena, San Ignacio) is ca. 1400-1500 mm per  
172 year, with mean annual temperatures between 25 and 26 °C (Hanagarth, 1993; Montes de  
173 Oca, 1982; Roche and Rocha, 1985). There is a three to five month dry season during the  
174 Southern Hemisphere winter (May to September-October), when the mean monthly  
175 precipitation is less than 30 mm (Killeen, 1990). Precipitation falls mainly during the  
176 austral summer (December to March), originating from a combination of deep-cell  
177 convective activity in the Amazon Basin from the South American Summer Monsoon  
178 (SASM) and the ITCZ (Vuille et al., 2012). The SASM transports Atlantic moisture into  
179 the basin and corresponds to the southern extension of the ITCZ. The ITCZ is driven by  
180 seasonal variation in insolation; thus, maximum southern hemisphere insolation and  
181 precipitation occur in the austral summer (Bush and Silman, 2004; Vuille et al., 2012).  
182 During winter (June, July, August), cold, dry polar advections from Patagonia, locally  
183 known as *surazos*, can cause short-term cold temperatures to frequently decrease down to  
184 10 °C for several days at a time (Latrubesse et al., 2012; Mayle and Whitney, 2012).

185 These abrupt decreases in temperature may potentially influence the distribution of  
186 temperature-limited species on the Huanchaca Mesetta.

187

### 188 1.3 Geomorphology

189 The Huanchaca Mesetta table mountain is near the western limit of the Brazilian  
190 Shield and dominates the eastern half of NKMNP. It is composed of Precambrian  
191 sandstone and quartzite (Litherland and Power, 1989). The top of the mesetta is flat, with  
192 a gently rolling surface and at elevations ranging from 500-900 m above sea level (a.s.l.)  
193 (DaSilva Meneses and Bates, 2002). The substrate of the mesetta is rocky, and soils are  
194 thin and low in organic material (Litherland and Power 1989). Continuity of the  
195 crystalline or sedimentary blocks of the mesetta is broken by an extensive network of  
196 peripheral or inter-mesetta depressions formed from a combination of erosion, dolerite  
197 dike intrusions and faulting on the mesetta (DaSilva Meneses and Bates, 2002; Litherland  
198 and Power, 1989). These depressions act as catchments for sediment and water, resulting  
199 in sediment accumulation, which supports more complex vegetation communities. High  
200 species diversity exhibited on the Huanchaca Mesetta, compared with other savanna  
201 regions of South America, is attributed to the long history of isolation of this edaphically-  
202 controlled table-mountain savanna (Mayle et al. 2007).

203

### 204 1.4 Vegetation

205 The *cerrado* savanna on Huanchaca Mesetta is dominated by a continuous grass  
206 cover with sparsely scattered small trees and shrubs that grows on the thin, well-drained,  
207 nutrient-poor soils (Killeen, 1998b). Woody species include *Byrsonima coccolobifolia*,  
208 *Caryocar brasiliensis*, *Erythroxylum suberosum*, *Vochysia haenkeana*, and *Callisthene*  
209 *fasciculata*. Trees and shrubs include *Qualea multiflora*, *Emmotum nitens*, *Myrcia*  
210 *amazonica*, *Pouteria ramiflora*, *Diptychandra aurantiaca*, *Kielmeyera coriacea*, *Ouratea*  
211 *spectabilis*, and *Alibertia edulis*. Small-shrubs include *Eugenia punicifolia*, *Senna*  
212 *velutina*, and herbaceous species include *Chamaecrista desvauxii*, and *Borreria sp.*  
213 Monocot families include the Rapateaceae (C<sub>3</sub>) (*Cephalostemon microglochis*),  
214 Orchidaceae (*Cleistes paranaensis*) (CAM, C<sub>3</sub>), Iridaceae (*Sisyrinchium spp.*) (C<sub>4</sub>),  
215 Xyridaceae (*Xyris spp.*) (C<sub>4</sub>), and Eriocaulaceae (*Eriocaulon spp.*, *Paepalanthus spp.*,  
216 *Syngonanthus spp.*) (C<sub>4</sub>) (Killeen, 1998b). In the inter-fluvial depressions organic rich  
217 soil is sufficiently deep to support humid evergreen forests islands which are typically  
218 dominated by mono-specific stands of *M. flexuosa* (DaSilva Meneses and Bates, 2002;  
219 Mayle and Whitney, 2012). *M. flexuosa* is a monocaulous, aborescent palm, averaging  
220 20-30 meters tall which is typically associated with a low, dense understory (da Silva and  
221 Bates, 2002; Furley and Ratter, 1988; Kahn, 1988;). *M. flexuosa* is confined to lower  
222 elevations (< ca. 1000 m elevation) in warm/wet climates (Rull and Montoya, 2014). *M.*  
223 *flexuosa* swamps favor inter-fluvial depressions that remain flooded during the dry  
224 season, when the surrounding terrains dry out (Huber, 1995a, 1995b; Kahn and de  
225 Granville, 1992). The abundance of *M. flexuosa* in permanently flooded, poorly drained  
226 soils is the result of pneumatophores (aerial roots) which enable its growth in anaerobic  
227 conditions (Kahn, 1988; Rull and Montoya, 2014). Seasonal water deficits saturate the  
228 soil profile in the wet season and desiccate soil during the dry season resulting in a  
229 dominance of herbaceous versus woody plants surrounding the inter-fluvial depressions  
230 (Killeen, 1998b). The seasonal dryness leads to drought, plant water stress, and frequent

231 fire activity resulting in the development of xeromorphic and sclerophyllous plant  
232 characteristics on the open mesetta (Killeen, 1998b). The spatial distribution of evergreen  
233 forest versus drought-tolerant savanna vegetation is additionally constrained by edaphic  
234 conditions limiting the expansion of forest vegetation because of the heavily weathered  
235 sandstone soils dominant outside the inter-fluvial depressions (Killeen and Schulenberg,  
236 1998). Limited soil development precludes rainforest from developing on the large, rocky  
237 expanses of the mesetta (Killeen and Schulenberg, 1998). The essentially treeless campo  
238 *cerrado* that grows around Huanchaca Mesetta palm swamp is edaphically constrained  
239 and has likely grown on this mesetta for millions of years (Mayle and Whitney, 2012).  
240 Thus, the vegetation of the Huanchaca Mesetta is influenced by both climatic and non-  
241 climatic controls including seasonal hydrologic conditions, edaphic soil constraints and  
242 frequent fire activity (Killeen and Schulenberg, 1998).

243

## 244 **2 Materials & Methods**

### 245 *2.1 Sediment core*

246 A 5.48 m-long sediment core from Huanchaca Mesetta palm swamp was collected in  
247 1995 using a Livingstone modified square-rod piston corer from the center of the swamp.  
248 The uppermost 15 cm, containing a dense root mat, was discarded because of the  
249 presence of fibrous roots and potential for sediment mixing. Huanchaca Mesetta sediment  
250 cores were transported to the Utah Museum of Natural History for analysis. They were  
251 photographed and described using a Munsell soil color chart. Visual descriptions,  
252 including sediment type, structure, texture, and organic content were undertaken to assist  
253 interpretation of the palaeoenvironmental data.

254

### 255 *2.2 Chronology*

256 The chronological framework for Huanchaca Mesetta was based on eight accelerator  
257 mass spectrometry (AMS) radiocarbon dates from non-calcareous bulk sediment and  
258 wood macrofossils analyzed at the University of Georgia Center for Applied Isotope  
259 Studies (Table 1). The uncalibrated radiometric ages are given in radiocarbon years  
260 before 1950 AD (years ‘before present’, yr BP). Radiocarbon ages were calibrated using  
261 CALIB 7.0 and the IntCal13 calibration dataset (Reimer et al., 2013). IntCal13 was  
262 selected in place of the SHcal13 calibration curve because of the latitudinal location  
263 (14°S) of Huanchaca Mesetta and the proximal hydrologic connection with the origin of  
264 the South American Monsoon in the northern hemisphere. The seasonal migration of the  
265 ITCZ is thought to introduce a northern hemisphere <sup>14</sup>C signal to the low latitude  
266 southern hemisphere (McCormac et al., 2004). This study area is located in the low  
267 latitudes (14°S) and within the range of the ITCZ migration; thus, the IntCal13  
268 calibration curve was selected for the radiocarbon calibrations. Following calibration, the  
269 mean age value of calibrated years before present (cal yr BP) of the largest probability at  
270 2 sigma standard deviation was used to reflect both statistical and experimental errors)  
271 (grey bars in Figure 2). These mean ages were used to create the smoothing spline age  
272 model using classical age-depth modeling, in the package CLAM (Blaauw, 2010) within  
273 the open-source statistical software R.

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276

277

### 278 *2.3 Loss on Ignition*

279 The variability in the organic and carbonate content of sediments is used, in  
280 conjunction with magnetic susceptibility, to identify periods of variability in sediment  
281 composition and organic content throughout the Holocene. Organic and carbonate  
282 sediment composition was determined by Loss-on-Ignition (LOI), conducted at  
283 contiguous 1 cm increments throughout the cores. For each sample, 1 cm<sup>3</sup> of sediment  
284 was dried in an oven at 100°C for 24 hours. The samples underwent a series of 2-hour  
285 burns in a muffle furnace at 550°C and 1000°C to determine the relative percentage of the  
286 sample composed of organics and carbonates. Concentration was determined by weight  
287 following standard methodology (Dean Jr, 1974).

288

### 289 *2.4 Magnetic Susceptibility*

290 Magnetic susceptibility (MS) was measured to identify mineralogical variation in the  
291 sediments (Nowaczyk, 2001). The MS of sediments is reflective of the relative  
292 concentration of ferromagnetic (high positive MS), paramagnetic (low positive MS), and  
293 diamagnetic (weak negative MS) minerals or materials. Typically, sediment derived from  
294 freshly eroded rock has a relatively high MS, whereas sediments that are dominated by  
295 organic debris, evaporites, or sediments that have undergone significant diagenetic  
296 alteration typically have a low or even negative MS (Reynolds et al., 2001). Shifts in the  
297 magnetic signature of the sediment can be diagnostic of a disturbance event (Gedye et al.,  
298 2000). Sediment cores were scanned horizontally, end to end through the ring sensor.  
299 MS was conducted at 1 cm intervals using a Barington ring sensor equipped with a 75  
300 mm aperture.

301

### 302 *2.5 Charcoal*

303 Sediment samples were analyzed for charcoal pieces greater than 125 µm using a  
304 modified macroscopic sieving method (Whitlock and Larsen, 2001) to reconstruct the  
305 history of local and extra-local fires. Charcoal was analyzed in contiguous 0.5 cm  
306 intervals for the entire length of the sediment core at 1 cm<sup>3</sup> volume. Samples were  
307 treated with 5% potassium hydroxide in a hot water bath for 15 minutes. The residue was  
308 gently sieved through a 125 µm sieve. Macroscopic charcoal (particles >125 µm in  
309 minimum diameter) was counted in a gridded petri dish at 40× on a dissecting  
310 microscope. Non-arboreal charcoal was characterized by two morphotypes: (1) cellular  
311 'graminoid' (thin rectangular pieces; one cell layer thick with pores and visible vessels  
312 and cell wall separations) and (2) fibrous (collections or bundles of this filamentous  
313 charcoal clumped together). Arboreal charcoal was characterized by three morphotypes:  
314 (1) dark (opaque, thick, solid, geometric in shape, some luster, and straight edges), (2)  
315 lattice (cross-hatched forming rectangular ladder-like structure with spaces between) and  
316 (3) branched (dendroidal, generally cylindrical with successively smaller jutting arms)  
317 (Jensen et al., 2007; Mueller et al., 2014; Tweiten et al., 2009). Charcoal pieces were  
318 grouped into non-arboreal and arboreal categories based on their morphology, which  
319 enabled the characterization of fuel sources in the charcoal record (Mueller et al., 2014).

320 Charcoal counts were converted to charcoal influx (number of charcoal particles cm<sup>-2</sup>  
321 <sup>3</sup>) and charcoal influx rates by dividing by the deposition time (yr cm<sup>-1</sup>) using CHAR  
322 Anlysis statistical software (Higuera et al., 2009). In CHAR, charcoal data was

323 decomposed to identify distinct charcoal peaks based on a standard set of threshold  
324 criteria. Low frequency variation is considered background charcoal which reflect  
325 changes in the rate of total charcoal production, secondary charcoal transport and  
326 sediment mixing (Higuera et al., 2007). If the charcoal data exceed that background  
327 threshold, it is considered a peak and interpreted here as a fire episode. Background was  
328 calculated using a 700-yr moving average.

329

## 330 2.6 Stable Isotopes

331 Stable carbon isotopes were analyzed as an additional proxy for changes in vegetation  
332 structure and composition. Carbon isotopic composition of terrestrial organic matter is  
333 determined primarily by the photosynthetic pathway of vegetation (Malamud-Roam et  
334 al., 2006). Previous research on  $\delta^{13}\text{C}$  values of the Huanchaca Mesetta have been used to  
335 determine the relative proportions of  $\text{C}_4$  savanna grasses versus  $\text{C}_3$  woody and herbaceous  
336 vegetation (Killeen et al., 2003; Mayle, Langstroth, Fisher, & Meir, 2007).

337 Sediment  $\delta^{15}\text{N}$  integrates a variety of nutrient cycling processes including the loss of  
338 inorganic N to the atmosphere through denitrification (McLauchlan et al., 2013;  
339 Robinson, 1991). Denitrification and the subsequent enrichment of  $\delta^{15}\text{N}$  requires  
340 abundant available carbon, available nitrate, and anaerobic conditions (Seitzinger et al.,  
341 2006). Thus, wet, anoxic soils tend to have enriched values of  $\delta^{15}\text{N}$ . Environmental  
342 conditions that alter from wet (anaerobic) to dry (aerobic) conditions also enrich  $\delta^{15}\text{N}$   
343 values (Codron et al., 2005). During dry periods, denitrification is shut off because of an  
344 increase in available oxygen in sediments, thus  $\delta^{15}\text{N}$  values decrease. If dry soils become  
345 hydrated, there is a preferential loss of  $^{14}\text{N}$ , enriching  $\delta^{15}\text{N}$  values (Codron et al., 2005).  
346 Stable isotope analysis was conducted at 3-cm resolution for total carbon (C) and  
347 nitrogen (N) throughout the length of the sediment core. One  $\text{cm}^3$  of bulk sediment was  
348 dried, powdered, and treated with 0.5 molar hydrochloric acid to remove carbonates. A  
349 range of 1-25 mg of the dried carbonate-free sediment was weighed into tin capsules  
350 depending on organic matter content. The samples were analyzed on a Finnigan Delta  
351 dual inlet elemental analyzer at the Sirfer Lab at the University of Utah.  $^{13}\text{C}/^{12}\text{C}$  and  
352  $^{15}\text{N}/^{14}\text{N}$  ratios are presented in delta ( $\delta$ ) notation, in per mil ( $^0/_{00}$ ) relative to the PDB and  
353  $\text{N}_2$  air standards) (Codron et al. 2005).

354

## 355 2.7 Phytoliths

356 Phytoliths preserve well in sediment records and are especially useful in areas with  
357 intermittent dry periods. Phytoliths were used as a proxy to reconstruct past vegetation  
358 composition and are especially useful in the lower taxonomic identification of grasses  
359 (Piperno and Pearsall, 1998). Grass phytoliths can provide important paleoecological  
360 information. Tropical  $\text{C}_4$  grasses, adapted to open environments with high seasonality of  
361 rainfall, typically expand at the expense of  $\text{C}_3$  grasses and other tropical forest species  
362 during drier intervals (Hartley and Slater, 1960; Hartley, 1958a, 1958b; Piperno, 1997).  
363  $\text{C}_4$  Panicoideae grasses are generally adapted to warm moist conditions, whereas  $\text{C}_4$   
364 Chloride grasses are adapted to warm, dry conditions (Hartley and Slater, 1960).  $\text{C}_3$   
365 subfamilies, including the Pooideae, are adapted to cool and moist conditions, are  
366 currently confined to temperate climates with lower temperatures (Hartley, 1961, 1973;  
367 Iriarte, 2006). The presence of  $\text{C}_3$  Pooideae grasses from phytolith data from southeastern  
368 Pampa grasslands in Uruguay have been interpreted to indicate a shorter dry season with

369 overall conditions that were cooler than during the Holocene (Iriarte, 2006). Phytolith  
370 samples were taken every 4 cm along the sediment core. The extraction and slide  
371 preparation of phytoliths were conducted at the University of Exeter, UK, following  
372 standard procedures described by Piperno (2005). Slides were scanned and counted at the  
373 University of Utah Power Paleocology Lab using a Leica EMED compound light  
374 microscope (400-1000x). The number of phytoliths counted varied from 101-320 per  
375 slide. The modern palm swamp is a monospecific stand of *M. flexuosa* that produces  
376 globular echinate phytoliths but does not produce hat-shaped phytoliths characteristic of  
377 other Arecaceae (Piperno, 2005). Although other palms produce globular echinate  
378 phytoliths, the current monospecific stand supports the identification of globular echinate  
379 phytoliths as belonging to this palm.

380 Given the abundance of *M. flexuosa* during the middle and late Holocene, phytolith  
381 percentages from globular echinate phytoliths were calculated separately. Percentages of  
382 non-*Mauritia* phytoliths were calculated on the basis of the total sum of phytoliths  
383 excluding *M. flexuosa*. Phytolith identification was made by comparison with modern  
384 plant reference collections curated at the University of Exeter Archaeobotany Lab. The  
385 classification of Poaceae implemented a three-partite morphological classification related  
386 to grass taxonomy (Panicoideae-Chloridoideae-Pooideae) (Twiss et al., 1969) and further  
387 developed in both North America (Fredlund and Tieszen, 1994) and the Neotropics  
388 (Bertoli de Pomar, 1971; Iriarte and Paz, 2009; Iriarte, 2003; Piperno and Pearsall, 1998;  
389 Piperno, 2005; Sendulsky and Labouriau, 1966; Söndahl and Labouriau, 1970; Teixeira  
390 da Silva and Labouriau, 1970; Zucol, 1999, 2000, 1996, 1998). The phytolith percentage  
391 diagrams were plotted using Tilia and Tilia Graphing software (Grimm, 1987). CONISS  
392 was used to calculate phytolith zones (Grimm, 1987). CONISS is based on cluster  
393 analysis, with the constrain that clusters are formed by hierarchical agglomeration of  
394 stratigraphically-adjacent samples to minimize dispersion within the clusters (Bennett,  
395 1996; Grimm, 1987). The divisions were chosen using a broken-stick model to determine  
396 the number of statistically significant zones at the lowest dispersion within the clusters  
397 (Bennett, 1996).

398

### 399 **3 Results**

400 Four distinct zones were identified including: Zone 1: the Lateglacial (14,500-11,800  
401 cal yr BP), Zone 2: the early Holocene (11,800-9000 cal yr BP), Zone 3: the middle  
402 Holocene (8000-3500 cal yr BP), and Zone 4 and Zone 5: the late Holocene (3500 cal yr  
403 BP to present).

404

#### 405 *3.1 Zone 1: 14,500-11,800 cal yr BP Lateglacial*

406

407 The Lateglacial vegetation on Huanchaca Mesetta was dominated by arboreal taxa,  
408 grasses and Asteraceae (Opaque Perforated platelets) phytoliths (Figure 3). The phytolith  
409 assemblage likely contains both in-situ vegetation production and wind-blown vegetation  
410 from the surrounding rocky savanna. Both C<sub>4</sub> Panicoideae and C<sub>3</sub> Pooideae grass  
411 phytoliths were present during the Lateglacial. The presence of C<sub>3</sub> Pooideae grasses is  
412 interpreted as cooler Lateglacial conditions compared to present. The Lateglacial  
413 vegetation community at Huanchaca Mesetta lacks a modern analogue plant community  
414 in NKMNP. The presence of both of C<sub>3</sub> Pooideae and C<sub>4</sub> Panicoideae grasses suggest

415 some degree of landscape heterogeneity. A consistent layer of very dark sandy silt  
416 dominated the lithology of Huanchaca Mesetta during the Lateglacial. The magnetic  
417 susceptibility and bulk density values were low and exhibit minimum variability  
418 compared to the rest of the record (Figure 4). Coupled with LOI organic values below  
419 10%, the sediment lithology was summarized as a low-energy depositional environment  
420 with relatively low nutrient input. Organic matter deposited during the Lateglacial had  
421  $\delta^{13}\text{C}$  values of -16‰ (Figure 5), indicating a contribution of  $\text{C}_4$  grasses to organic matter  
422 composition. The proportion of  $\text{C}_3$  to  $\text{C}_4$  grass contribution was calculated by using  
423 values of  $\text{C}_3$  and  $\text{C}_4$  grasses and a simple two-pool mixing model (Perdue and  
424 Koprivnjak, 2007) with end member values of -27‰ for  $\text{C}_3$  and -12‰ for  $\text{C}_4$  plants. The  
425 contribution of  $\text{C}_4$  vegetation was ca. 80%, higher than any other time in the Huanchaca  
426 record. Modern  $\delta^{13}\text{C}$  values in the basin range from -18 to -22‰. The location of these  $\text{C}_4$   
427 drought adapted grasses was likely the surrounding plateau. Organic carbon  
428 concentrations gradually increased from 1% to 4% during the Lateglacial, indicating  
429 relatively low amounts of organic matter in the system compared to those of today. The  
430 C:N ratio ranged from 20 to 30, indicating a terrestrial organic matter source. N  
431 concentrations were low from 0.1 to 0.2‰ and the  $\delta^{15}\text{N}$  values were ca. 5‰ indicating  
432 minimal denitrification during the Lateglacial. The  $\delta^{13}\text{C}$ , %  $\text{C}_4$  contribution, and high  
433 C:N values coupled with the phytolith data dominated by trees and grasses, suggest a  
434 predominantly terrestrial signal, characterized by an open savanna grassland during the  
435 Lateglacial (Figure 6). The  $\delta^{15}\text{N}$  values suggest that sediments within the swamp were  
436 drier than present creating aerobic conditions and low denitrification rates.

437 Charcoal influx levels were low during the Lateglacial (14,500-12,000 cal yr BP).  
438 The fire return interval (FRI) was 2 fire episodes per 1000 yr (Figure 7). Based on the 0.5  
439 cm sampling resolution of this record, fire “episodes” were interpreted as periods of  
440 increased fire activity rather than isolated fire “event”. The charcoal signature was  
441 consistent with frequent, low intensity fires that likely occurred in the open, grass-  
442 dominated mesetta surrounding the basin. Low charcoal influx levels coupled with low  
443 magnitude charcoal peaks, suggest that the non-analogue vegetation structure of  $\text{C}_3$   
444 Pooideae,  $\text{C}_4$  Panicoideae, and arboreal phytoliths likely created a fuel structure that  
445 lacked sufficient density or fuel connectivity to produce abundant arboreal or grass  
446 charcoal. Low charcoal influx coupled with low fire frequency suggest that the  
447 Lateglacial environment was likely fire-sensitive within the basin.

### 448 449 3.2 Zone 2: 11,800-9000 cal yr BP early Holocene

450  
451 There were decreased  $\text{C}_4$  Panicoideae grasses, with consistent levels of  $\text{C}_3$  Pooideae  
452 grasses, arboreal, and Asteraceae (Opaque perforated platelets) phytoliths. The presence  
453 of  $\text{C}_3$  grasses, and the absence of *M. flexuosa*, the dominant component of the modern  
454 basin vegetation, suggest temperatures cooler than present. The lithology, magnetic  
455 susceptibility, bulk density, and LOI values indicate minimal shift during the vegetation  
456 transition. Organic geochemistry reflected a change in organic matter source, with  $\delta^{13}\text{C}$   
457 values becoming more negative, indicating an increase in the contribution of  $\text{C}_3$   
458 vegetation ca. 11,000 cal yr BP. The  $\delta^{13}\text{C}$  contribution of  $\text{C}_4$  grasses decreased  
459 dramatically from 60 to 20% during this period (Figure 8). These data correspond to a  
460 decrease in  $\text{C}_4$  Panicoideae grass phytoliths and an increase in arboreal phytoliths. Low

461 levels of terrestrial organic input into the system were indicated by low carbon  
462 concentrations and C:N values ranging between 25 and 30. N cycling changed during  
463 this zone, with  $\delta^{15}\text{N}$  values exhibiting greater amplitude and higher frequency variability.  
464 The  $\delta^{15}\text{N}$  values ranged between 4 and 8‰ indicating increased variability in  
465 denitrification rates associated with increasing wet (anaerobic) to dry (aerobic)  
466 conditions. The N concentrations were low, between 0.05 and 0.01%, indicating minimal  
467 nitrogen availability in the system.

468 Charcoal influx at Huanchaca Mesetta increased ca. 11,200 cal yr BP coupled with an  
469 increase in the fire frequency to 5 episodes (periods of increased burning) per 1000 yr.  
470 The peak magnitude values indicated two substantial fire episodes (periods of increased  
471 burning) ca. 10,200 and 9100 cal yr BP. The lack of significant change in the lithology  
472 suggests that taphonomic conditions were consistent during this interval. The increase in  
473 grass phytoliths during this period coupled with the increase in charcoal influx and fire  
474 episodes suggest that the early Holocene vegetation community was becoming  
475 increasingly more fire dependent and vegetation was likely adapting to the increase in  
476 fire frequency associated with the period.

477

### 478 3.3 Zone 3: 8000-3750 cal yr BP middle Holocene

479

480 Significant vegetation changes occur through the middle Holocene. From 8000 to  
481 5500 cal yr BP, C<sub>4</sub> Panicoideae (warm/wet) grasses were at the lowest values in the  
482 record. C<sub>3</sub> Pooideae (cold/wet) grasses diminished after ca. 7000 cal yr BP and remain  
483 absent for the remainder of the record. Arboreal phytoliths reached the highest levels in  
484 the record at 8000 cal yr BP followed by a slight decline to 3500 cal yr BP.  $\delta^{13}\text{C}$  values  
485 ranged between -24 and -22‰ from 7900 cal yr BP to 5100 cal yr BP. These values  
486 corresponded to a diminished C<sub>4</sub> contribution to organic matter (approximately 18%).  
487 Decreased C<sub>4</sub> grass phytoliths from 8000 to 5000 cal yr BP was interpreted as a decrease  
488 in vegetation density in the open mesetta surrounding the basin caused by drying  
489 conditions on the mesetta. After 5000 cal yr BP, C<sub>4</sub> Panicoideae grasses and C<sub>4</sub> Chloride  
490 (warm/dry) grasses gradually increased in the surrounding watershed, coupled increased  
491  $\delta^{13}\text{C}$  values to -19‰. *M. flexuosa* phytoliths first appeared at 5000 cal yr BP, and  
492 gradually increased to modern levels by 3750 cal yr BP. The  $\delta^{13}\text{C}$  values decreased,  
493 potentially associated with the development of the C<sub>3</sub> *M. flexuosa* community. A dark-  
494 brown clay-sand mixture from 8000 to 3750 cal yr BP dominated the lithology that  
495 transitioned to black detrital peat ca. 3750 cal yr BP associated with the establishment of  
496 *M. flexuosa*. After 4000 cal yr BP LOI, magnetic susceptibility, and C:N values  
497 increased, indicating increased organic material. Nitrogen cycling continued to fluctuate  
498 throughout this period.  $\delta^{15}\text{N}$  values exhibited the greatest frequency and amplitude of  
499 variability from 8000 to 3750 cal yr BP ranging from 2 to 12‰ indicating repeated and  
500 extensive dry periods on the mesetta.

501 Increased charcoal influx ca. 8000 cal yr BP was followed by an abrupt decrease to  
502 the lowest values during the record from ca. 7900 to ca. 3800 cal yr BP. Peak frequency  
503 reached the highest levels of 6 fire episodes (periods of increased burning) per 1000 yr  
504 during the middle Holocene. These data corresponded to the highest levels of  $\delta^{15}\text{N}$  values  
505 indicating extended dry periods that likely promoted frequent fires on the mesetta. The  
506 first evidence of grass charcoal appeared ca. 6500 cal yr BP suggesting a change in the

507 fire ecology on the mesetta. From 5000 to 3750 cal yr BP, grass charcoal increased. This  
508 is coincident with the establishment of *M. flexuosa* palm swamp and increased C<sub>4</sub> grasses  
509 in the surrounding watershed. After 3900 cal yr BP, charcoal influx and fire frequency  
510 increased. Significant increases in grass charcoal reflected a change in the fuel  
511 composition in the watershed. Phytolith, isotope and charcoal data suggest that after 3900  
512 cal yr BP, the *M. flexuosa* within the basin became increasingly fire-sensitive and the  
513 occurrence of a fire within the palm stand would have had consequences for the  
514 vegetation not adapted to fire. The fire adapted C<sub>4</sub> grass dominated watershed continued  
515 to be fire-dependent.

516

517

#### 518 3.4 Zone 4: 3750 to 2000 cal yr BP: late Holocene

519

520 There is a decrease in arboreal taxa coupled with increased values of *M. flexuosa*. C<sub>4</sub>  
521 Panicoideae (warm, wet) grasses continued to dominate the surrounding watershed. The  
522 lithology consisted of black detrital peat ca. 2450-2050 cal yr BP associated with high  
523 LOI values (ca. 22 % organics) and magnetic susceptibility values (ca. 1000 10<sup>-5</sup> SI).  
524 After 2500 cal yr BP the %C, %N, and δ<sup>15</sup>N increased suggesting moist, anoxic  
525 conditions that enabled moderate denitrification from the swamp. These lithologic and  
526 isotopic data represented the establishment of modern palm swamp characterized by  
527 increased autochthonous organic accumulation. The δ<sup>13</sup>C values reached modern levels  
528 by 2800 cal yr BP although, values exhibit increased variability, fluctuating between -19  
529 and -24‰ co-varying with the C<sub>4</sub> grass contribution between 10-20%.

530 Charcoal influx at Huanchaca Mesetta remained low 3750 to 2000 cal yr BP with a  
531 FRI of 5 episodes (periods of increased burning) per 1000yrs. Grass charcoal reached the  
532 highest continuous levels ca. 2800 to 2000 corresponding to high levels of fire adapted C<sub>4</sub>  
533 grass phytoliths. Increased grass charcoal coupled with low peak magnitude values and  
534 high fire frequency indicated that the vegetation surrounding the palm swamp was fire  
535 dependent and fire adapted. However within the moist *M. flexuosa* palm stand, the  
536 vegetation remained fire sensitive.

537

#### 538 3.5 Zone 5: 2000 cal yr BP to Present: late Holocene

539

540 *M. flexuosa* reached the highest levels in the record in ca. 1800 cal yr BP followed by  
541 decreasing values towards present. The presence of hat shaped phytoliths ca. 200 cal yr  
542 BP indicate very low concentrations of other palm species during this time. There was a  
543 gradual decrease in *M. flexuosa* towards present coupled with the highest levels of C<sub>4</sub>  
544 Panicoideae grasses ca. 200 cal yr BP and a decrease in C<sub>4</sub> Chloridoideae (warm, dry)  
545 grasses in the surrounding watershed. The lithology was dominated by dark brown  
546 detrital peat. After ca. 800 cal yr BP δ<sup>13</sup>C values were ca. -18‰ and the % C<sub>4</sub>  
547 contribution was ca. 50%. These data corresponded to the highest levels of C<sub>4</sub>  
548 Panicoideae grass phytoliths in the record. The dark detrital peat lithology was  
549 interrupted by two coarse sand layers ca. 1550 cal yr BP and ca. 300-200 cal yr BP,  
550 followed by a shift back to black detrital peat ca. 200 cal yr BP to present. These sand  
551 layers were characterized by a decrease in LOI from ca. 22 to 2 % organics, C:N ratios  
552 from ca. 25 to 0, and δ<sup>15</sup>N from ca. 5 to 0‰ coupled with increased magnetic

553 susceptibility and bulk density values suggesting clastic flood events associated with  
554 sandy sediments low in organic material. From 300 cal yr BP %C values increased from  
555 ca. 1% to >20% reached the highest values in the record. The %N values increased from  
556 ca. 0.1 to the peak Holocene values of 1.2 at present. The dramatic increases in both %C  
557 and %N were likely the result of in situ carbon cycling and nitrogen fixation.

558 Charcoal influx increased after 2000 cal yr BP at ca. 1400 to 1200 cal yr BP, and  
559 reached peak Holocene values ca. 500-400 cal yr BP. Increased charcoal was coupled  
560 with the lowest FRI values in the record. Peak magnitude increased significantly around  
561 1200 cal yr BP and the largest peak magnitude values ca. 200 cal yr BP. These charcoal  
562 values were cropped for plotting and visualization purposes. Raw counts exceed 1200  
563 thus the values are also provided as log transformed (Figure 8). Peak frequency increased  
564 after ca. 400 cal yr BP to ca. 4 fire episodes (periods of increased burning) per 1000 yr  
565 towards present. There was a decrease in grass charcoal indicating increased woody  
566 biomass burned. The increased charcoal influx coupled with low FRI and more woody  
567 charcoal was interpreted as fire episodes that infrequently penetrated the fire sensitive  
568 palm stand and burned the *M. flexuosa* woody biomass. The charcoal, phytolith, and  
569 isotope data collectively suggest that the vegetation surrounding the palm swamp was fire  
570 dependent and fire adapted while the vegetation within the palm swamp was fire  
571 sensitive.

572

573

## 574 **4 Discussion**

575

### 576 *4.1 First Order Control: Edaphic Constraints*

577 Modern vegetation distribution of *cerrado* savannas are largely related to edaphic  
578 factors (Colgan et al., 2012; Killeen, 1998a). Since the Lateglacial, the vegetation, soil  
579 geochemistry and fire history indicate edaphic constraints were the first order of control  
580 on vegetation on Huanchaca Mesetta. Despite significant climate variability since the  
581 Lateglacial (Baker et al., 2001; Cruz et al., 2005), the open savanna surrounding the basin  
582 was continuously dominated by fire adapted C<sub>4</sub> grasses. Within the basin, soil was  
583 sufficiently thick to support more complex vegetation communities that exhibited greater  
584 response to climate variability through time. On the highly weathered quartzite plateau  
585 however, vegetation was limited to drought and fire tolerant C<sub>4</sub> grasses as indicated by  
586 the continued presence of C<sub>4</sub> Panicoideae grass phytoliths that co-varied with the  $\delta^{13}\text{C}$   
587 values.

588 The first hypothesis, that edaphic conditions are the dominant control of vegetation  
589 on the plateau, was supported. Irrespective of changes in temperature, precipitation, and  
590 fire activity, savanna vegetation has been present on the mesetta for the past 14,500  
591 years. Edaphic conditions on the open rocky plateau have limited species composition to  
592 C<sub>4</sub> drought adapted grasses. Arboreal and palm vegetation was limited to the topographic  
593 depressions present on the plateau where soil was sufficiently deep to support more  
594 complex vegetation communities.

595

### 596 *4.2 Second Order Control: Climatological Drivers*

597

#### 598 *4.2.1 Lateglacial Surazo Winds and Mauritia flexuosa*

599 Non-analogue Lateglacial vegetation communities are documented from low  
600 elevation sites including Laguna Chaplin (14° 28'S, 61° 04'W approximately 40 km west)  
601 and Laguna Bella Vista (13°, 37'S, 61°, 33W, 140 km northwest). The absence of  
602 *Anadenanthera*, a key indicator in present-day deciduous and semi-deciduous dry forests  
603 was interpreted as reduced precipitation (e.g. longer and/or more severe dry season),  
604 increased aridity and lowered atmospheric CO<sub>2</sub> concentrations. These conditions favored  
605 C<sub>4</sub> grasses, sedges and drought adapted savanna and dry forest arboreal species  
606 (Burbridge et al., 2004). Similarly, the non-analogue Lateglacial vegetation community at  
607 Huanchaca Mesetta is notable for the absence of *M. flexuosa*. *M. flexuosa* can tolerate a  
608 broad precipitation gradient ranging from 1500 mm to 3500 mm annually in areas with  
609 annual temperature averages above 21 °C, roughly coinciding with the 1000 m a.s.l.  
610 contour line (Rull and Montoya, 2014). *M. flexuosa* is dependent on local hydrology  
611 including water table depth and flooded conditions (Kahn, 1987). The presence of *M.*  
612 *flexuosa* in the lowland records at Laguna Chaplin and Laguna Bella Vista (ca. 200 m  
613 a.s.l.) during the Lateglacial (Burbridge et al., 2004), indicate conditions were sufficiently  
614 warm with a locally wet habitat below the mesetta to support the palms despite an  
615 estimated 20% decrease in precipitation (Mayle et al., 2004; Punyasena, 2008).  
616 Temperature was thus, likely a limiting factor for the establishment of *M. flexuosa* on the  
617 mesetta. However, temperature reconstructions of Lateglacial conditions from Laguna La  
618 Gaiba, (ca. 500 km SE of Huanchaca Mesetta), indicate temperatures reached modern  
619 conditions (ca. 25 to 26.5 °C) around 19,500 cal yr BP and have remained relatively  
620 stable to present (Whitney et al., 2011). However, previous studies suggest the increased  
621 frequency of *surazos* winds (Bush and Silman, 2004). An ice cap located on the  
622 Patagonian Andes generated an anomalously high pressure center in northwestern  
623 Patagonia resulting in increased *surazo* cold fronts blowing cold, dry, southerly winds  
624 northward penetrating the NKMNP region (Iriondo and Garcia, 1993; Latrubesse and  
625 Ramonell, 1994). The *surazos* may have been no more intense than those of present, but  
626 likely occurred more often and lasted more of the year (Bush and Silman, 2004).  
627 Increased frequency of *surazos* would have had little effect on the absolute temperature  
628 minima but the mean monthly and annual temperature minima may have been ca. 5 °C  
629 lower (Bush & Silman, 2004). Based on a lapse rate of 6.4 °C/km (Glickman, 2000), the  
630 400 m difference between the lowland sites (Laguna Chaplin and Laguna Bella Vista, ca.  
631 250 m a.s.l.) and Huanchaca Mesetta (ca. 650-800 m a.s.l.) could have resulted in up to  
632 ca. 2.6 °C difference in average annual temperatures. Despite near modern annual  
633 temperatures ca.19, 500 cal yr BP, the elevational lapse rate coupled with lower mean  
634 monthly and annual temperature minima accompanying more frequent *surazos*, likely  
635 resulted in climatic conditions below the thermal optimum of 21 °C for *M. flexuosa* (Rull  
636 and Montoya, 2014). Thus, during the Lateglacial, increased frequency of *surazos* likely  
637 resulted in increased biological stress on the vegetation community at Huanchaca Mesetta  
638 resulting in vegetation dominated by trees and grasses opposed to *M. flexuosa*.

639

#### 640 4.2.2 Interpreting CHAR Analysis in Paleofire Reconstructions at Huanchaca Mesetta

641 The charcoal record from the Huanchaca Mesetta provides one of the first sub-  
642 centennial paleofire records from the *cerrado* savanna ecosystem. Previous experimental  
643 studies on sedimentary charcoal from African savanna ecosystems support the use of  
644 sedimentary charcoal to reconstruct past fire activity in savanna systems (Aleman et al.,

645 2013; Duffin et al., 2008). The Huanchaca Mesetta charcoal record presents a novel  
646 approach, combining charcoal influx data, CHAR Analysis software (Higuera et al.,  
647 2007), and arboreal/non-arboreal charcoal ratios in Neotropical savanna ecosystems.  
648 Originally, CHAR Analysis was designed as a peak-detection tool for forest ecosystems  
649 with low FRI in the Northern Hemisphere (Higuera et al., 2007). Paleocological  
650 investigations in fire-prone systems such as savannas, which detect fire peaks or isolated  
651 fire events, can be challenging because of the annual to multi-annual FRI.

652 To address the challenge of reconstructing *cerrado* paleofire activity, charcoal influx  
653 was compared with the ratio of arboreal to non-arboreal grass charcoal to infer the  
654 primary fuel source during periods of elevated fire activity. Low charcoal influx values,  
655 coupled with low arboreal charcoal, were interpreted as the background component of  
656 charcoal influx data. Increased charcoal influx values and/or increased arboreal charcoal  
657 that exceeded the background threshold were identified as fire episodes. Because of the  
658 temporal resolution of the record, fire episodes were not interpreted as isolated fires but  
659 rather as periods of time that experienced increased fire activity (indicated by higher FRI  
660 values). Thus, an increase in the FRI from 2 to 5 episodes/1000 yrs, as seen from 8000 to  
661 6000 cal yr BP, represents more than a 50% increase in the periods of burning over that  
662 2000-yr period. These data indicate a substantial shift in paleofire activity during the  
663 middle Holocene, particularly as there were no significant changes in the vegetation  
664 record on the Huanchaca Mesetta during this time.

665

#### 666 4.2.3 Holocene Precipitation, Fuel Moisture and Fuel Availability

667

668 During the middle Holocene in lowland Amazonia the presence of dry forest taxa and  
669 increased charcoal influx at Laguna Chaplin and Laguna Bella Vista indicate a  
670 combination of seasonally flooded savannas and semi-deciduous dry forests (Mayle et al.,  
671 2004). At Laguna Oricore (13°20'44.02"S, 63°31'31.86"W, 335 km NW), peaks in  
672 drought tolerant arboreal taxa, coupled with maximum charcoal concentrations indicate  
673 drier and regionally more open vegetation (Carson et al., 2014). Laguna Granja  
674 (13°15'44" S, 63°, 42' 37" W) 350 km NW was also characterized by open savanna  
675 vegetation. These data suggest lower mean annual precipitation (<150 cm) and a longer  
676 dry season (>5 months with <100 cm) during the middle Holocene (Burbridge et al.,  
677 2004; Mayle et al., 2000). Additionally, water levels at Lake Titicaca were ca. 100 m  
678 below present (Figure 8) attributed to precipitation levels ca. 40% below present (Baker  
679 et al., 2001; Cross et al., 2000; D'Agostino et al., 2002).

680 The discrepancy in increased fire activity in the lowlands sites and decreased fire  
681 activity on the mesetta is attributed to fuel connectivity. In the lowland sites of Laguna  
682 Bella Vista, Laguna Chapin, and Laguna Oricore, dry forest-savanna vegetation provided  
683 sufficient fuel and increased fire activity during the middle Holocene. At Huanchaca  
684 Mesetta decreased available moisture limited vegetation growth and fuel availability,  
685 particularly in the edaphically constrained rocky mesetta surrounding the basin. The lack  
686 of fine C<sub>4</sub> grass connective fuels resulted in decreased burning on the mesetta.

687 In the late Holocene (3750 cal yr BP to present), Lake Titicaca reached modern water  
688 levels (Rowe et al., 2003) indicating wetter regional conditions with less severe dry  
689 seasons. The pollen assemblages of Laguna Bella Vista, Laguna Chaplin and Laguna  
690 Oricore, indicate an expansion of humid evergreen closed-canopy rainforest vegetation

691 coupled with significant decreases in charcoal concentrations (Burbridge et al., 2004;  
692 Burn et al., 2010; Carson et al., 2014). The rainforest–savanna ecotone is currently at its  
693 most southerly extent over at least the last 50,000 years (Mayle et al. 2000; Mayle and  
694 Whitney, 2012; Burbridge et al. et al., 2004). The progressive succession through the  
695 Holocene in the lowlands of NKMNP from savanna/semi-deciduous forest to semi-  
696 deciduous/evergreen forest to evergreen rainforest is part of a long-term uni-directional  
697 trend of climate-driven rainforest expansion associated with the regional increase in  
698 precipitation associated with a stronger SASM (Mayle et al., 2004). The basin wide  
699 increase in mean annual precipitation and reduction in the length/severity of the dry  
700 season is attributed to increasing summer insolation at 10-15°S driven by the  
701 Milankovitch precessional forcing (Mayle and Whitney, 2012). The wet conditions of the  
702 late Holocene created ideal waterlogged conditions for the establishment of the *M.*  
703 *flexuosa* palm swamp in the drainage basin.

704 During the late Holocene, the asynchrony of charcoal records between the low  
705 elevation sites and Huanchaca Mesetta is attributed to fuel flammability. Increased  
706 precipitation led to different effects on fire frequency, with decreases in the lowlands and  
707 increases on Huanchaca Mesetta. Increased precipitation in the low elevation closed  
708 canopy rainforests decreased fuel flammability along with fire activity. Whereas  
709 increased precipitation resulted in the build up of fire-adapted C<sub>4</sub> grasses on the  
710 surrounding plateau. Lightning-caused fire is common in *cerrado* savannas today and  
711 highest in more open savanna ecosystems, such as the Huanchaca Mesetta (Ramos-Neto  
712 and Pivello, 2000). Increased precipitation would have been accompanied by increased  
713 incidence of lightning-caused fire, fueled by the abundance of fire adapted grass fuels in  
714 the surrounding watershed.

715 The second hypothesis, that climate was the dominant control on savanna vegetation  
716 structure and floristic composition was supported by the vegetation and fire data. Since  
717 the Lateglacial, climate change has coincided with both the vegetation composition and  
718 fire regimes on the plateau. The asynchrony in response to regional climate forcing at  
719 Huanchaca Mesetta and the low elevation sites emphasize the need to obtain more  
720 paleorecords across an elevational gradient to determine the effects of climate variability  
721 across heterogeneous ecosystems.

#### 722 723 *4.3 Human versus Natural Drivers on the Evolution of Mauritia flexuosa*

724 The development of *M. flexuosa* swamps and increases in charcoal influx have been  
725 seen in numerous paleoecological records from savanna ecosystems in Colombia  
726 (Behling and Hooghiemstra, 1998, 1999; Berrio et al., 2002a, 2002b), Venezuela  
727 (Montoya et al., 2011b; Rull and Montoya, 2014; Rull, 1999, 2009) and Brazil (DaSilva  
728 Meneses et al., 2013). Previously two hypotheses have been proposed to account for the  
729 late Holocene development of these *M. flexuosa* palm swamps. The first hypothesis  
730 suggests that the increase in *M. flexuosa* and charcoal influx is attributed to increased  
731 precipitation and wet season lightning fires driven by strengthened SASM activity (Kahn  
732 and de Castro, 1985; Kahn and de Granville, 1992; Kahn, 1987). The second hypothesis  
733 suggest that the simultaneous rise in *M. flexuosa* and charcoal was linked to intentional  
734 planting or semi-domestication of *M. flexuosa* for human use (Behling and  
735 Hooghiemstra, 1998, 1999; Montoya et al., 2011a; Rull and Montoya, 2014). Currently  
736 there is insufficient archaeological evidence from any of these savanna sites to support a  
737 robust anthropogenic signal (Rull and Montoya, 2014). Previous paleoecological studies

738 in the lowlands demonstrate humans were the dominant driver of local-scale forest-  
739 savanna ecotonal change in those areas (e.g. Bolivian *Llanos de Moxos*) dominated by  
740 complex earth-moving pre-Columbian cultures (Carson et al., 2014; Whitney et al.,  
741 2014). These studies suggest that even in areas with extensive geometric earthworks,  
742 inhabitants likely exploited naturally open savanna landscapes that they maintained  
743 around their settlement, rather than practicing labor-intensive deforestation of dense  
744 rainforest (Carson et al., 2014). Evidence for human occupation of the lowlands has been  
745 found with ceramics from soil pits in an interfluve ca. 25 km northwest of Laguna  
746 Chaplin and abundant ceramics and charcoal dating to ca. 470 cal yr BP recovered from  
747 anthosols (terra preta) throughout La Chonta ca. 150 km west of NKMNP (Burbridge et  
748 al., 2004). Implementing a new methodology to concentrate and isolate cultigen pollen  
749 (Whitney et al., 2012), the re-analysis of pollen data from Laguna Bella Vista and Laguna  
750 Chaplin revealed *Zea mays* pollen was present around 1000 to 400 cal yr BP,  
751 approximately 2000 years after the initial increase in *M. flexuosa* at these sites (B.  
752 Whitney personal communication, 2014). Although humans were present in NKMNP,  
753 there is no evidence that they drove regionally significant ecotonal changes in forest-  
754 savanna boundaries. The patterns of forest-savanna shifts exhibited at these sites are  
755 consistent with climate forcing (Burbridge et al., 2004). The absence of archaeological  
756 data on Huanchaca Mesetta dominated by nutrient poor, rocky soil, that would have been  
757 infertile for the practice of agriculture coupled with the limited access to the mesetta  
758 would have made human habitation unlikely. Although the *M. flexuosa* swamps may  
759 have been used for hunting and gathering purposes, these data do not suggest humans  
760 were the driving mechanism behind the initial establishment or proliferation of *M.*  
761 *flexuosa* in the interfluvial depressions of the Mesetta.

762 The comparison of the Huanchaca Mesetta record to previous studies coupled with  
763 the absence of archaeological remains on the mesetta support the third hypothesis, that  
764 expansion of *M. flexuosa* at this site was largely controlled by natural drivers (edaphic,  
765 climate, lightning caused fires) opposed to anthropogenic drivers. In contrast to the  
766 conclusions from other studies, this record provides no evidence for an  
767 anthropogenically-driven fire regime, deforestation, soil erosion, or cultivation on the  
768 mesetta. These data suggest that natural drivers control the continued presence of savanna  
769 vegetation and fire activity on the Huanchaca Mesetta for the past 14,500 years.

## 770 *5.0 Implications for Savanna Ecology and Conservation*

771 The presence of savanna vegetation for the past 14,500 years at Huanchaca Mesetta  
772 has significant implications for understanding modern savanna ecology and for the  
773 implementation of conservation strategies in the 21<sup>st</sup> century. Previous research on the  
774 evolution and development of savanna ecosystems has attributed much of the  
775 development of savannas to anthropogenic origins driven by the intentional use of fire  
776 (Arroyo-Kalin, 2012; Behling and Hooghiemstra, 1999; Behling, 2002; Berrio et al.,  
777 2002a; Ramos-Neto and Pivello, 2000; Rull and Montoya, 2014) (Arroyo-Kalin, 2012;  
778 Behling and Hooghiemstra, 1998, 1999; Behling, 2002; Berrio et al., 2002a; Ramos-Neto  
779 and Pivello, 2000; Rull and Montoya, 2014). The results from this study demonstrate that  
780 the continued presence of the savanna ecosystem at Huanchaca Mesetta is attributable to  
781 edaphic and climatic controls. The presence of fire in this system for the past 14,500

782 years indicates that naturally occurring, lightning-caused fire is an integral part of the  
783 ecology of the savanna ecosystem. Despite changes in floristic composition and tree  
784 density within the drainage basin, the savanna ecosystem has been resilient to major  
785 climatic changes in both temperature and precipitation since the Lateglacial period. These  
786 data suggest that savanna ecosystems will continue to be resilient to future climate  
787 change associated with global warming. The long history of ecosystem stability in the  
788 face of dramatic climate variability attests to the fact that the Huanchaca Mesetta savanna  
789 is one of the most floristically diverse savannas anywhere in the Neotropics (DaSilva  
790 Meneses and Bates, 2002). The continued protection of the Huanchaca Mesetta savanna  
791 as a UNESCO world heritage site, coupled with the savannas natural resilience to  
792 climatic change exhibited over at least the past 14,500 years, indicates that despite  
793 significant global warming projected for the 21<sup>st</sup> century (IPCC, 2014), the future is  
794 optimistic for the conservation and preservation of biological diversity in the Huanchaca  
795 Mesetta savanna ecosystem.

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1151 **Tables and Figures**

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1153 Table 1 AMS Radiocarbon Dates from Huanchaca Mesetta

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| <b>Lab Number</b> | <b>Material</b> | <b>Depth (cm)</b> | <b><sup>14</sup>C age (yr BP)</b> | <b>δ<sup>13</sup>C Ratio</b> | <b>Intcal 13 2 sigma (cal yr BP)</b> |
|-------------------|-----------------|-------------------|-----------------------------------|------------------------------|--------------------------------------|
| UGAMS 15158       | Macrofossil     | 17                | 190 ± 20                          | -28.8                        | 0-289                                |
| UGAMS 17252       | Bulk Sediment   | 58                | 2310 ± 25                         | -18.8                        | 2211-2356                            |
| UGAMS 15264       | Bulk Sediment   | 118               | 1360 ± 20                         | -22.9                        | 1272-1305                            |
| UGAMS 12023       | Bulk Sediment   | 190               | 2480 ± 20                         | -22.62                       | 2473-2715                            |
| UGAMS 17253       | Bulk Sediment   | 225               | 3365 ± 25                         | -20.7                        | 3561-3689                            |
| UGAMS 17254       | Bulk Sediment   | 277               | 6545 ± 30                         | -22.6                        | 7422-9622                            |
| UGAMS 15159       | Bulk Sediment   | 320               | 8600 ± 30                         | -22.8                        | 9524-9622                            |
| UGAMS 17255       | Bulk Sediment   | 380               | 11905 ± 35                        | -16.3                        | 13577-13789                          |

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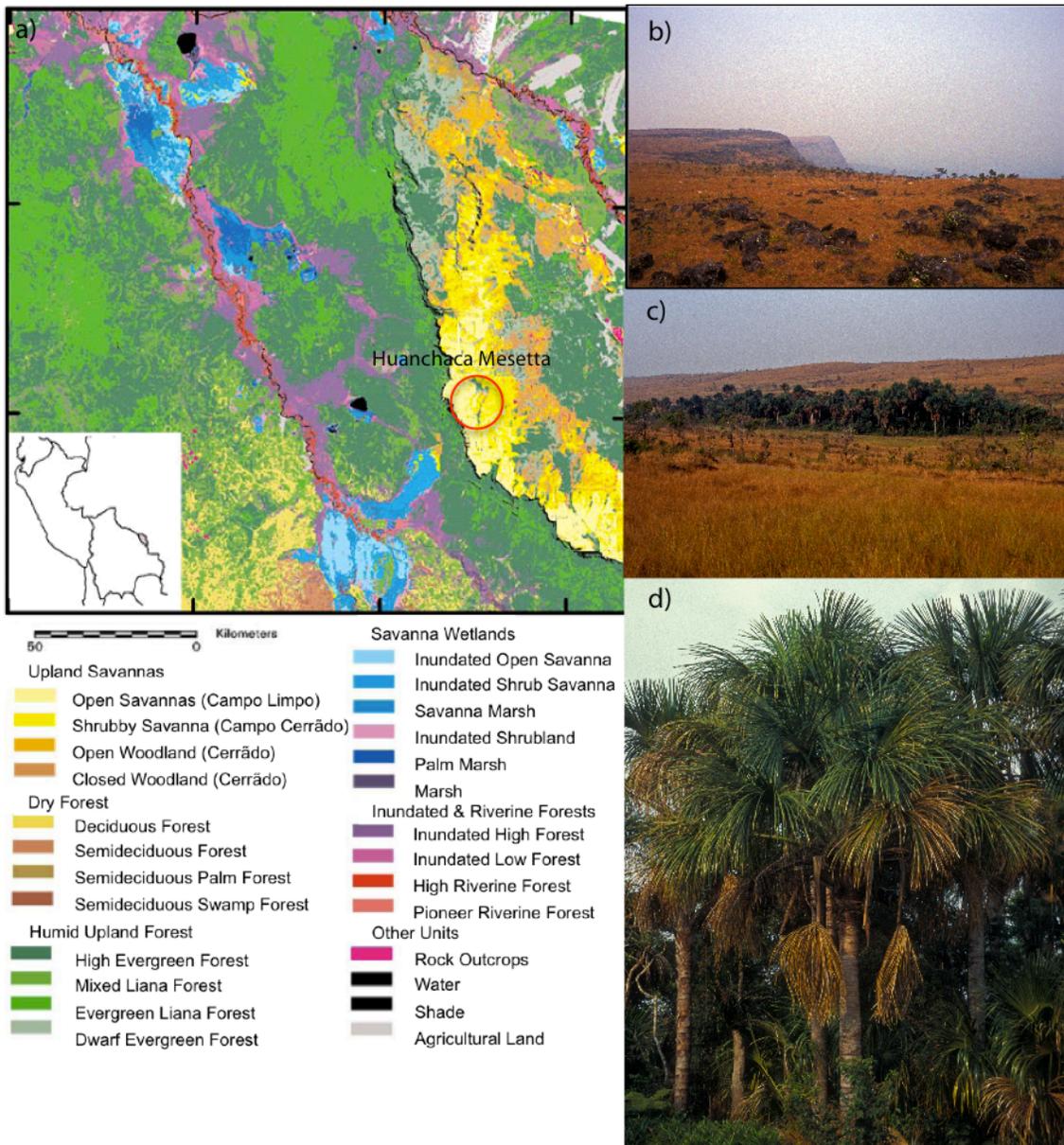
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Figure 1 Huanchaca Mesetta study site a) vegetation map of Noel Kempff Mercado National Park (NKMNP) modified from Killeen et al. 1998, b) view from a top Huanchaca Mesetta, c) Huanchaca Mesetta palm swamp, d) mono-specific stand of *Mauritia flexuosa*. Photos by F. Mayle.

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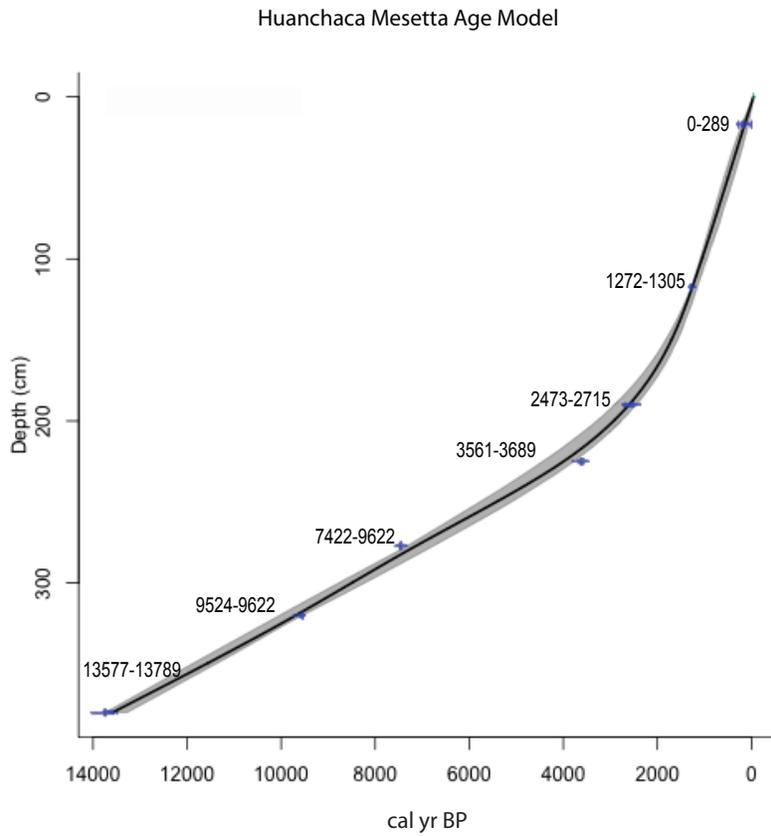
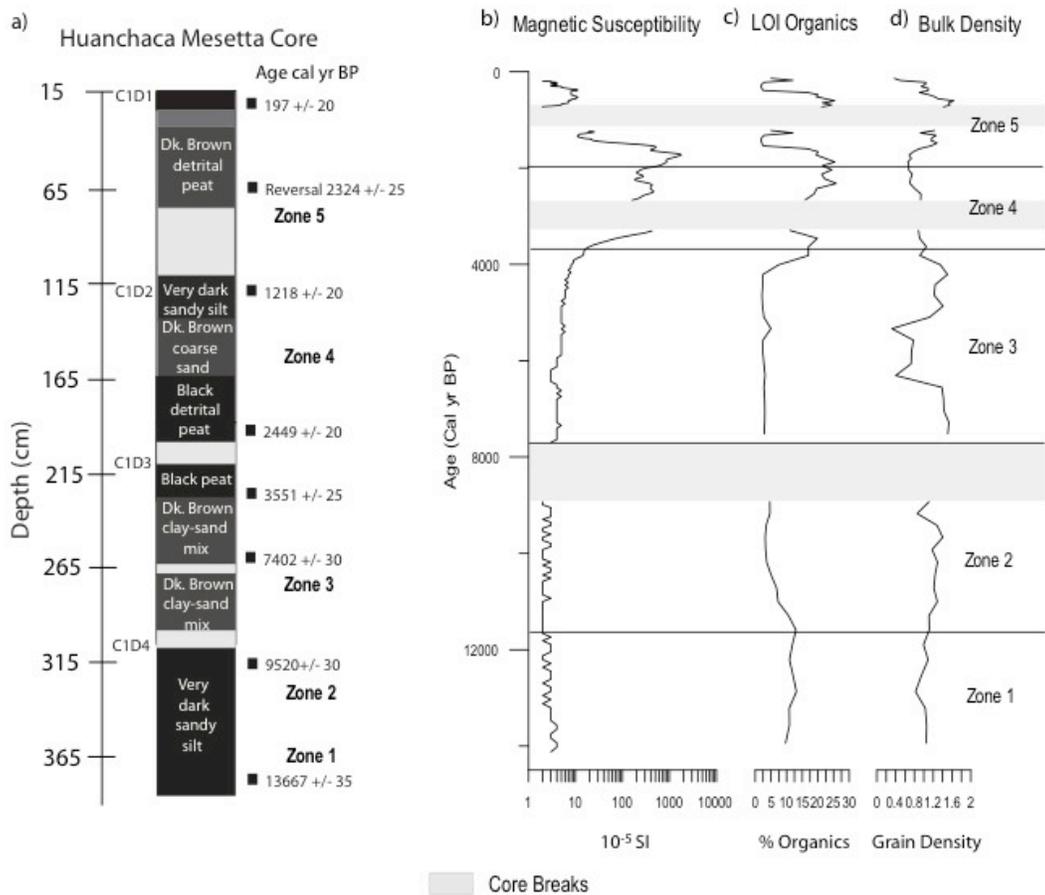


Figure 2 Clam age-depth model for Huanchaca Mesetta. Grey bars represent 2 sigma error.





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1260 Figure 4 Huanchaca Mesetta lithology a) lithological description of the core profile, b) magnetic susceptibility,  
 1261 c) loss on ignition (LOI), d) bulk density. Zones derived from phytolith data. Grey bars represent core breaks.

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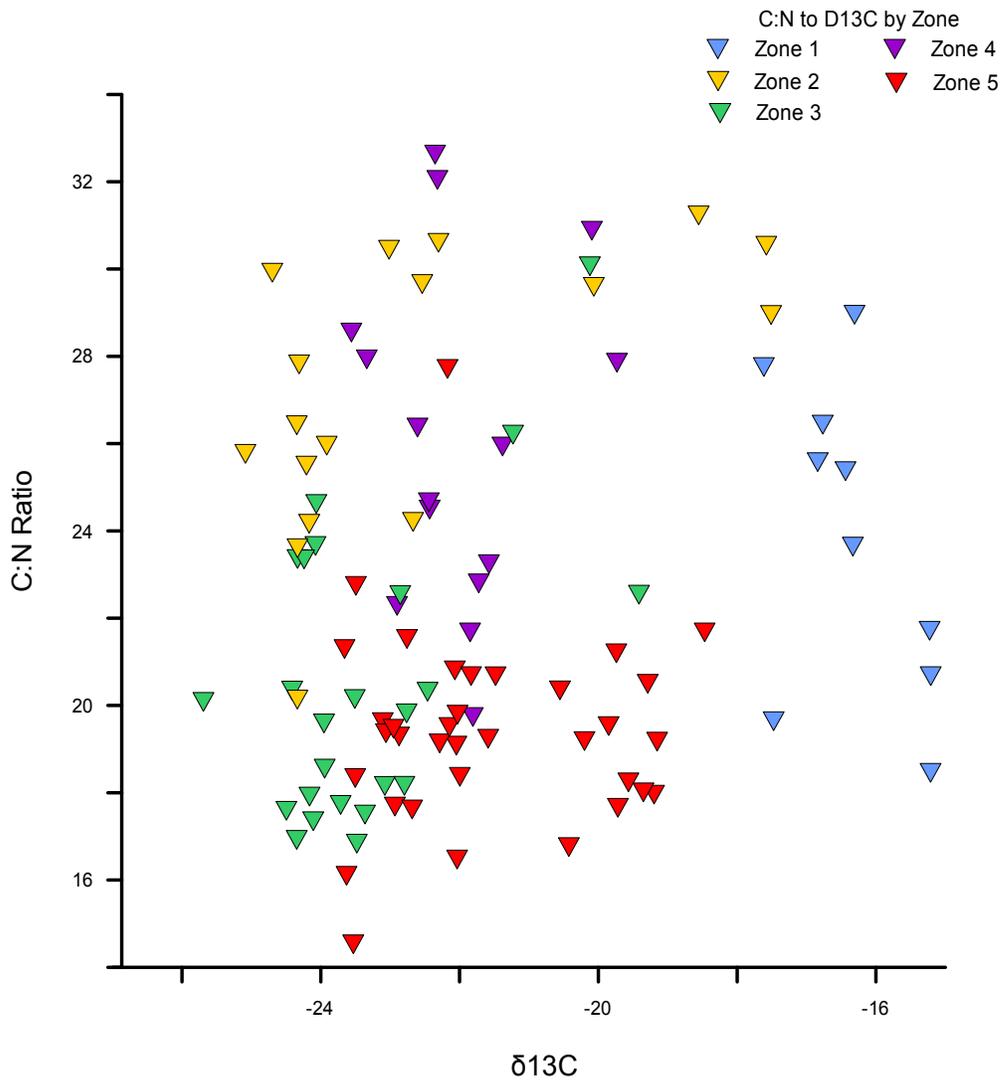


Figure 6 C:N ratio to δ13C stable isotopes by zones determined from phytolith data.

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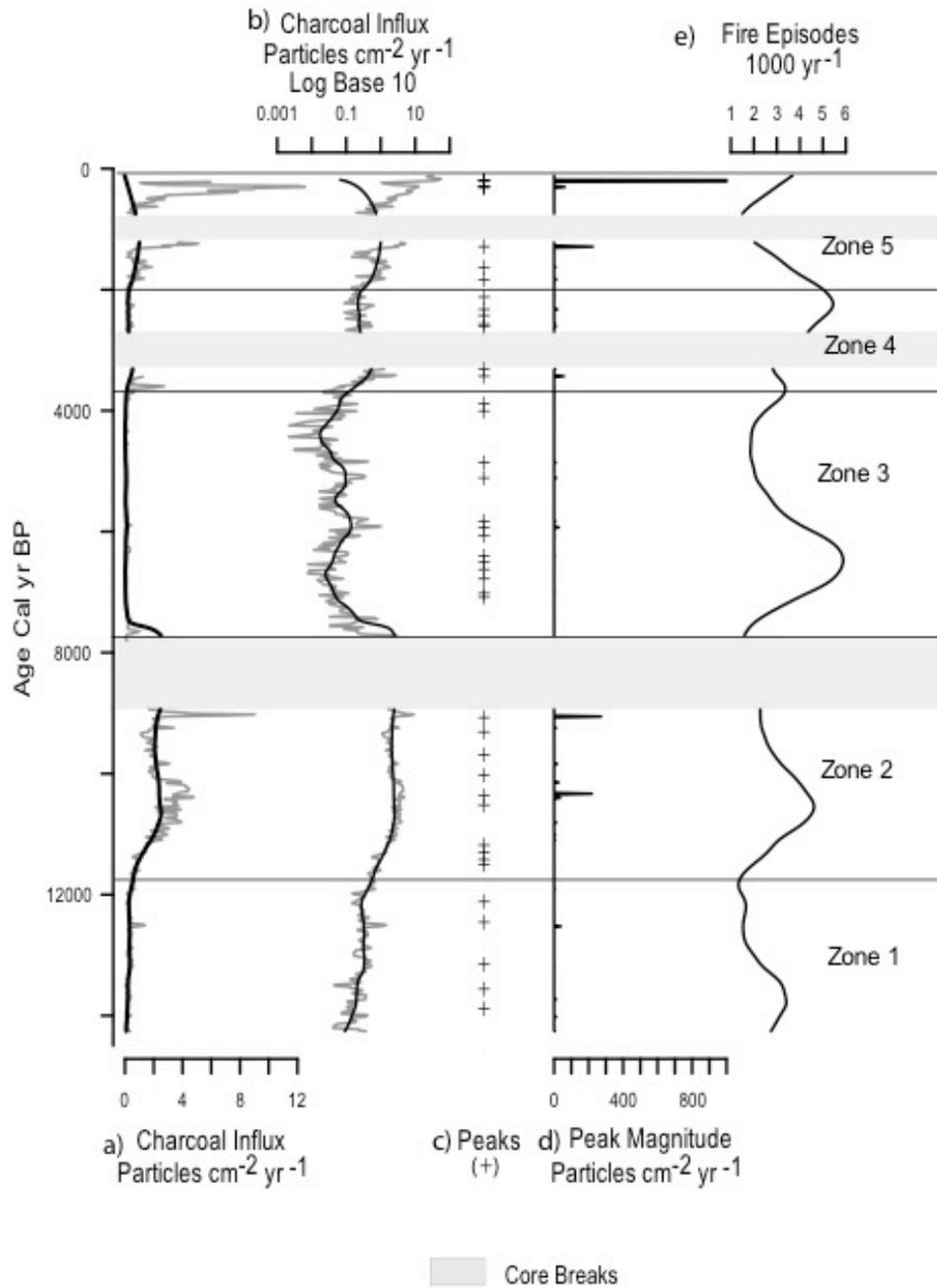


Figure 7 Huanchaca Mesetta charcoal data a) charcoal influx in grey, black background, b) charcoal influx log base 10 in grey, black background, c) peaks indicated by crosses, d) peak magnitude, e) fire episodes per 1000 years. Zones derived from phytolith data. Grey bars indicate core breaks.

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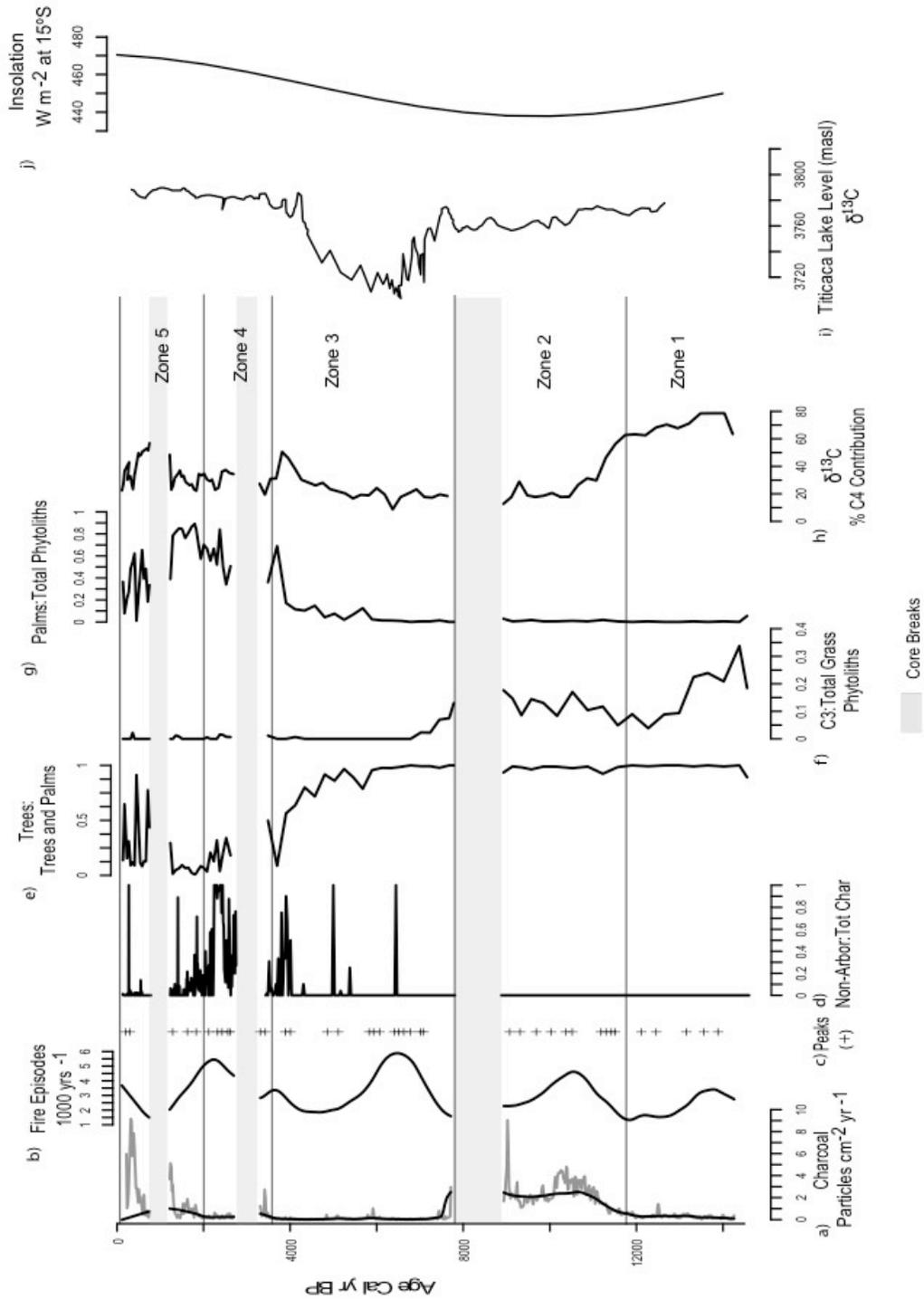


Figure 8 Huanchaca Mesetta summary figure a) charcoal influx in grey, black background, b) fire episodes per 1000 yr, c) peaks indicated by crosses, d) ratio of non-arboreal to total charcoal, e) ratio of trees to trees and palms, f) ratio of C3 to total grasses, g) ratio of palms to total phytoliths, h) % C4 contribution, i) lake level of Titicaca in m a.s.l., j) insolation at 15°S. Zones derived from phytolith data. Grey bars indicate core breaks.