

# Assessment of simulations of Arctic sea ice in the PlioMIP models

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**Abstract.** Eight general circulation models have simulated the mid-Pliocene Warm Period (mid-Pliocene, 3.264 to 3.025 Ma) as part of the Pliocene Modelling Intercomparison Project (PlioMIP). Here, we analyse and compare their simulation of Arctic sea ice for both the pre-industrial and the mid-Pliocene. Mid-Pliocene sea ice thickness and extent is reduced and displays greater variability within the ensemble compared to the pre-industrial. This variability is highest in the summer months, when the model spread in the mid-Pliocene is more than three times larger than during the rest of the year. As for the proxy-record, the simulated predominant sea ice state is ambiguous; half of the models in the ensemble simulate ice-free conditions in the mid-Pliocene summer, in contrast to proxy data evidence that suggests the possibility of perennial sea ice. Correlations between mid-Pliocene Arctic temperatures and sea ice extents are almost twice as strong as the equivalent correlations for the pre-industrial simulations, suggesting that the dominant atmospheric and oceanic influences on the sea ice may be different in the pre-industrial and mid-Pliocene simulations. The need for more comprehensive sea ice proxy data is highlighted, in order to better compare model performances.

## 1 Introduction

The mid-Pliocene warm period (mid-Pliocene), spanning 3.264 to 3.025 Myr ago (Dowsett et al., 2010) was a period exhibiting episodes of global warmth, with estimates of an increase of 2 to 3°C in global mean temperatures in comparison to the pre-industrial period (Haywood et al., 2013). The mid-Pliocene is the most recent period of earth history that is thought to have atmospheric

CO<sub>2</sub> concentrations resembling those seen in the 21st century, with concentrations estimated to be  
20 between 365 and 415 ppm (e.g. Pagani et al. (2010); Seki et al. (2010)). Therefore, this time period  
is a useful interval in which to study the dynamics and characteristics of sea ice in a warmer world.

September 2012 saw Arctic sea ice fall to a minimum extent of  $3.4 \times 10^6$  km<sup>2</sup>, a reduction of  
4.2 × 10<sup>6</sup> km<sup>2</sup> since the beginning of satellite observations in 1979 (Parkinson and Comiso, 2013;  
Zhang et al., 2013). The Arctic is widely predicted to become seasonally ice free before the end of the  
25 21st century (e.g. Stroeve et al. (2012); Massonnet et al. (2012)), with some projections suggesting  
an ice free Arctic by 2030 (Wang and Overland, 2012), whilst other studies (e.g. Boé et al. (2009))  
suggest a later date for the disappearance of summer Arctic sea ice.

There is debate concerning whether the Arctic sea ice in the mid-Pliocene was seasonal or peren-  
nial. Darby (2008) suggests that the presence of iron grains in marine sediments extracted from the  
30 Arctic Coring Expedition (ACEX) core, located on the Lomonosov Ridge (87.5°N, 138.3°W), shows  
that there was year round coverage of sea ice at this location, whilst there are indications from ostra-  
code assemblages and ice rafted debris sediments as far north as Meighen Island (approx. 80°N) that  
Pliocene Arctic sea ice was seasonal (Cronin et al., 1993; Moran et al., 2006; Polyak et al., 2010).  
The prospect of the Arctic becoming ice-free in summer in the future increases the importance of  
35 the investigation of past climates which may have had seasonal Arctic sea ice. Of particular interest  
is an understanding of the processes and sensitivities of Arctic sea ice under such conditions and of  
the general impact of reduced summer Arctic sea ice on climate.

The Pliocene Modelling Intercomparison Project (PlioMIP) is a multi-model experiment which  
compares the output of different models' simulations of the mid-Pliocene, each following a standard  
40 experimental design, set out in Haywood et al. (2011a, b). Two different experiments are defined  
— Experiment 1 is for atmosphere only simulations with prescribed sea ice, with Experiment 2 for  
coupled atmosphere-ocean general circulation models (GCMs) where the sea ice is explicitly simu-  
lated. All simulations use for the mid-Pliocene a modern orbital configuration, 405 ppm atmospheric  
CO<sub>2</sub>, and PRISM3D boundary conditions (Dowsett et al., 2010). Each modelling group also ran a  
45 pre-industrial control simulation.

In this study we analyse the simulation of Arctic sea ice in each of the participating models in  
PlioMIP Experiment 2 (see Table 1), focusing on both the pre-industrial and mid-Pliocene outputs.  
We quantify the variability of sea ice extent and thickness in both simulations, and identify possible  
mechanisms that define the result of the sea ice simulations.

## 50 2 Methods

The simulation of Arctic sea ice by the individual models in the PlioMIP ensemble (see Table 1  
for details) for both their pre-industrial and mid-Pliocene simulations is investigated. Pre-industrial  
results provide an additional climatology against which differences in the models' sea ice outputs

can be compared. The consistent experimental design followed by each model reduces the possible  
55 causes of disagreement between ensemble members (Haywood et al., 2011a, b).

We focus on the key sea ice metrics of extent (defined as the area of ocean where sea ice concentration is at least 15%), thickness, and volume. We follow the example of Berger et al. (2013) and examine the mean sea ice thickness north of 80°. Mean sea ice thickness is calculated by dividing  
60 the modelled sea ice thickness in each grid cell by the corresponding sea ice concentration. Mean sea ice volume is computed by multiplying the modelled sea ice thickness in each grid cell by the area that the grid cell covers.

The coefficient of variation (CV), defined as the standard deviation (SD) of different simulations divided by their mean, is calculated to assess the variability among the ensemble members for both metrics. Unlike the standard deviation, the CV allows comparisons of data sets with different mean  
65 values, which is a necessity due to offsets in the mean sea ice characteristics between members of the PlioMIP model ensemble. Calculation of the CV identifies the differences in spread between models in each month in the ensemble. The CV has been used in other studies of sea ice simulations, such as Stroeve et al. (2014), who use the CV to evaluate variability in March sea ice thickness in the ensemble, describing it as a “normalized measure of variability so that variability can be compared  
70 spatially and between models”.

To understand differences in the models’ simulation of sea ice, we quantify correlations between the sea ice metrics and sea surface and surface air temperatures. We also compare the pre-industrial and mid-Pliocene sea ice extents to establish how closely correlated they are. This enables us to determine to which degree the mid-Pliocene sea ice cover is influenced by the temperatures and  
75 control simulations.

In our analysis, we define winter as the months February to April (FMA), and summer as the months August to October (ASO). The rationale is that in at least half of the models these are the three months with the highest and lowest mean sea ice extents respectively. This is in contrast to the typical seasonal definitions of winter (December to February) and summer (June to August).

## 80 **3 Results**

### **3.1 Pre-industrial sea ice simulations**

#### **3.1.1 Sea ice extent**

Plots of the mean summer and winter pre-industrial Arctic sea ice concentrations are shown in Figures 1 and 2 respectively. Across the eight-member ensemble, the multi-model mean annual sea ice  
85 extent is  $16.17 \times 10^6 \text{ km}^2$  (Table 2), with a winter (FMA) multi-model mean of  $20.90 \times 10^6 \text{ km}^2$ , and a summer (ASO) multi-model mean of  $10.98 \times 10^6 \text{ km}^2$ . The individual models’ annual means range from  $12.27 \times 10^6 \text{ km}^2$  (IPSLCM5A, hereafter IPSL) to  $19.85 \times 10^6 \text{ km}^2$  (MIROC4m, here-

after MIROC) (Table 2), and monthly multi-model means range from a minimum of  $10.01 \times 10^6 \text{ km}^2$  (September) to a maximum of  $21.24 \times 10^6 \text{ km}^2$  (March, Figure 3). The lowest individual monthly extent is  $7.00 \times 10^6 \text{ km}^2$  (HadCM3, September), with the highest monthly extent produced by MRI-CGCM (hereafter MRI) (March), measuring  $27.01 \times 10^6 \text{ km}^2$  (Figure 3).

Figure 3 reveals the differences in the annual sea ice extent cycles across the ensemble. The sea ice extent amplitudes of NorESM-L (hereafter NorESM) and IPSL are  $6.39$  and  $7.36 \times 10^6 \text{ km}^2$  respectively (Table 2). These are the only models in the ensemble with seasonal amplitudes below  $10 \times 10^6 \text{ km}^2$ . Other models in the ensemble show a much larger seasonal cycle, in particular GISS-E2-R (hereafter GISS), MIROC and MRI, which have sea ice extent amplitudes of  $14.03$ ,  $14.05$ , and  $15.91 \times 10^6 \text{ km}^2$  respectively (Table 2). The ensemble mean sea ice extent amplitude is  $11.18 \times 10^6 \text{ km}^2$ .

### 3.1.2 Sea ice thickness

North of  $80^\circ\text{N}$ , the multi-model mean annual thickness is  $3.20 \text{ m}$ , with a winter multi-model mean of  $3.45 \text{ m}$  and a summer multi-model mean of  $2.81 \text{ m}$ . Across the ensemble, the annual mean thickness varies from  $2.50 \text{ m}$  (NorESM) to  $3.98 \text{ m}$  (CCSM4, hereafter CCSM). The winter thicknesses range from  $2.61 \text{ m}$  (NorESM) to  $4.08 \text{ m}$  (CCSM), with summer between  $1.66 \text{ m}$  (GISS) and  $3.84 \text{ m}$  (IPSL).

In the ensemble mean, the regions of thickest sea ice are located polewards from the northern coast of Greenland, and surrounding the more northerly isles of the Canadian Arctic Archipelago. Also along the Greenwich meridian, between  $80^\circ\text{N}$  and  $90^\circ\text{N}$ , is a region of thicker sea ice (Figure 4). The annual thickness in these regions differs little from the winter sea ice thickness, with only slightly thinner summer sea ice, suggesting a very consistent year round sea ice coverage in these regions.

The winter spatial thickness pattern shows that sea ice in the Beaufort, Chukchi and East Siberian seas is particularly thick, with thicknesses of  $2\text{-}4 \text{ m}$ , which is thicker in comparison to other regions of comparable latitude — such as the Kara and Barents seas, and in particular the Norwegian sea, where the ice is often less than  $1 \text{ m}$  thick, if present at all. The annual and summer thicknesses also broadly show this qualitative pattern.

Most of the models display patterns of sea ice thickness that are broadly similar to the overall ensemble mean shown in Figure 4. Yet, there is appreciable variation with respect to the location of maximum ice thickness across the ensemble (Figures 5 and 6). The thickest ice in CCSM is located north of Greenland and the Canadian Arctic Archipelago, and the ice thins consistently with distance from this region. For IPSL a similar pattern is found in the summer, although for both summer and winter spatial patterns the region of thicker ice extends much further into the Arctic Basin. The thickest ice in COSMOS, GISS, MRI and NorESM is located in approximately the same region as the thickest ice in the ensemble mean. In COSMOS, the thickest ice is concentrated into a smaller area, and with the exception of this region, the ice thickness reduces with distance from the pole, in

contrast to CCSM. For GISS, the region of thickest ice extends in winter in a band from Greenland  
125 towards Eastern Siberia, passing over the pole. The thinner ice is seen in the Barents Sea and the  
region north of Alaska and the Canadian mainland. Like in COSMOS, the sea ice in MRI generally  
thins outwards from the pole, with the areas of greatest thickness also extending further south into the  
region between western Greenland and Baffin Island. This is also seen in the NorESM simulations,  
where the winter sea ice is thicker in the region to the west of Greenland than in the band to the north.  
130 The sea ice in NorESM generally also thins with distance from the pole, a clear deviation from this  
trend being the region of maximum sea ice thickness between the North Pole and the Chukchi Sea.

The MIROC and HadCM3 models simulate thickness spatial patterns that are noticeably different  
from the ensemble mean and the other six models. The pattern displayed by MIROC is almost  
a 180°-rotation of the ensemble mean sea ice distribution with respect to the location of sea ice  
135 extremes. The thickest ice is present north of Eastern Siberia in winter, and thins gradually outwards  
from a wedge bounded by the 170°E and 130°W lines of longitude. There is also a small patch of  
thicker ice in the region between Greenland and Baffin Island. The HadCM3 sea ice pattern is not  
at all similar to the ensemble mean. The thickest ice is situated in a region north of approximately  
70°N between 120°W and 150°E and around the North Pole. In winter, the ice thickness reduces  
140 dramatically outside of this region, dropping by around 2 m, with further thinning southwards. In the  
summer the contrast is not quite as large, but the general pattern is replicated. Figure 6 illustrates that  
the PlioMIP ensemble consists of two realisations of pre-industrial summer sea ice, with pronounced  
sea ice cover in CCSM, IPSL and MRI, and relatively reduced sea ice in the other models.

Observations of the sea ice thickness detailed in Kwok et al. (2009) give an indication as to the spa-  
145 tial pattern of sea ice thickness within the Arctic and enable an evaluation of modelled pre-industrial  
sea ice in the PlioMIP ensemble. Figure 6 in Kwok et al. (2009) shows that the thickest sea ice is  
situated in a narrow band north of Greenland and the most northerly islands of the Canadian Arctic  
Archipelago, resembling the pattern simulated by CCSM. In general, the observed ice becomes thin-  
ner with greater distance from the region of highest thickness. Whilst the regions of thickest sea ice  
150 are similar in ensemble mean and observations, the simulated pattern for the Arctic basin indicates  
rather a reduction in thickness with distance from the pole. Aside from this difference, the ensemble  
mean thickness patterns appear to broadly match the observations from Kwok et al. (2009).

The degree to which individual models match the observed thickness patterns is variable. CCSM  
produces what appears to be the closest pattern to observations, with IPSL being similar in the  
155 summer. Yet, the extension of the large region of thicker ice particularly in winter prevents IPSL  
from being as close to the observations as CCSM. The spatial patterns of sea ice thickness simulated  
by COSMOS, GISS, MRI and NorESM show some similarity to patterns of CCSM, and therefore  
also to the observations. As MIROC and HadCM3 show very different patterns to the other models,  
their thickness spatial patterns are less similar to the observational spatial patterns from Kwok et al.  
160 (2009).

## 3.2 Pliocene simulations

### 3.2.1 Sea ice extent

In agreement with enhanced greenhouse forcing each model in the ensemble simulates a smaller sea ice extent in the mid-Pliocene simulation in comparison to the pre-industrial (Figures 1, 2, 7, and 8). The multi-model mean annual extent for the mid-Pliocene simulations is  $10.84 \times 10^6 \text{ km}^2$ , a reduction of  $5.33 \times 10^6 \text{ km}^2$  (33.0%) in comparison to the respective multi-model mean of the pre-industrial simulations. Annual means in the ensemble range from  $7.60 \times 10^6 \text{ km}^2$  (NorESM), to  $15.84 \times 10^6 \text{ km}^2$  (MRI) (Table 1).

The lowest multi-model monthly mean extent is  $3.15 \times 10^6 \text{ km}^2$  (September), and the highest is  $16.59 \times 10^6 \text{ km}^2$  (March). In comparison to the pre-industrial simulation, the lowest multi-model monthly mean extent is reduced by  $6.86 \times 10^6 \text{ km}^2$  (69%). The reduction for the highest monthly multi-model mean is  $4.65 \times 10^6 \text{ km}^2$  (22%). The relative change in the lowest extent is therefore over three times greater than the relative change in the highest extent. Therefore, the mid-Pliocene is characterized by an enhanced seasonal cycle of sea ice extent, with severely reduced sea ice during boreal summer.

In four of the eight models (COSMOS, GISS, MIROC and NorESM) the mid-Pliocene Arctic Ocean is ice-free at some time during the summer (August – September, Figure 9). In contrast to this, CCSM and MRI simulate minimum sea ice extents of  $8.90 \times 10^6 \text{ km}^2$  and  $8.26 \times 10^6 \text{ km}^2$  respectively, which both exceed the pre-industrial minimum of HadCM3 ( $7.00 \times 10^6 \text{ km}^2$ ), with the CCSM minimum also exceeding the NorESM pre-industrial minimum ( $8.34 \times 10^6 \text{ km}^2$ ). Consequently, there is an overlap in sea ice extents between the mid-Pliocene and pre-industrial simulations.

MRI, CCSM and MIROC simulate the highest maximum mid-Pliocene sea ice extents in the ensemble. Both CCSM and MRI also provide the highest two minimum extents, but MIROC is one of the four models that simulates an ice-free Arctic summer. As a result, the sea ice extent amplitude in MIROC in the mid-Pliocene simulations is  $\approx 64\%$  greater than the pre-industrial simulation extent amplitude (Table 2). This finding also holds for the ensemble mean, although at a lower amplitude extent amplitude. The ensemble mean extent amplitude of the mid-Pliocene simulations is by  $\approx 20\%$  greater than the pre-industrial ensemble mean amplitude, further indication of the enhanced seasonal sea ice extent cycle in the mid-Pliocene simulations. Not all of the models, however, show this trend. Only five models (the four with ice-free summers and HadCM3) simulate a higher mid-Pliocene sea ice extent amplitude, the remaining three models simulate a (slightly) lower annual cycle in the mid-Pliocene simulations (Table 2).

### 3.2.2 Sea ice thickness

195 Plots of the mean summer and winter mid-Pliocene Arctic sea ice thicknesses are shown in Figures 10 and 11 respectively. The multi-model mean annual sea ice thickness is 1.48 m, which, compared to the pre-industrial simulations, is a reduction of 1.72 m (53.9%). Across the ensemble, the annual mean thicknesses range from 0.46 m (NorESM) to 2.08 m (MRI). The multi-model winter mean thickness is 1.85 m, 1.60 m (46.4%) less than the pre-industrial, whereas the summer multi-model  
200 mean thickness drops by 1.81 m (64.4%) to 1.00 m. Similarly to the sea ice extent, the summer sea ice thickness shows a greater relative decline with respect to pre-industrial than during the winter, although the contrast is not as stark for the thickness. The individual model winter sea ice thicknesses range from 0.90 m (NorESM) to 2.80 m (MRI), with the summer sea ice thicknesses between 0.08 m (NorESM) and 2.30 m (MRI).

205 Many of the models display similar spatial patterns of sea ice thickness in the mid-Pliocene simulations as they do in the pre-industrial, although the thickness values are reduced, particularly in the summer. The sea ice thickness spatial patterns simulated by CCSM, HadCM3, IPSL and MRI are very similar to their pre-industrial equivalents in both summer and winter. The other four model simulations are ice-free for the majority of the summer in the mid-Pliocene, so no thickness pattern  
210 is detectable. In MIROC the mid-Pliocene simulation shows similar patterns in the winter to its pre-industrial counterpart. Similar findings hold for COSMOS, although in this model the central Arctic sea ice thins by a greater amount in comparison to the ice in other regions. In GISS, the ice north of Greenland and the Canadian Arctic Archipelago thins more than in other regions, so during the mid-Pliocene the region of greatest sea ice thickness is in this simulation north of Eastern Siberia. In  
215 the simulation with NorESM all sea ice to the north and east of Greenland is lost, and the thick sea ice, that is in the pre-industrial simulation located to the west of Greenland, thins considerably.

### 3.3 Variability across the ensemble

Figures 7 and 8 suggest that there is greater variability across the eight PlioMIP models in their mid-Pliocene simulation of summer sea ice compared to winter sea ice. This inference is in the following  
220 further studied in Figure 12, which shows the CV of both the sea ice extent and thickness in the ensemble for each month, for both the pre-industrial and mid-Pliocene simulations.

The pre-industrial sea ice extent CV is low and relatively stable throughout the year, with nine of the months having values between 0.19 and 0.22. The June to August CV is slightly lower, a minimum of 0.116 occurring in July. The mid-Pliocene simulation shows a much greater contrast between  
225 the monthly extremes, with a minimum of 0.181 in June, and a maximum of 1.16 in September. There is a sharp increase in CV during the summer months, which contrasts with the pre-industrial simulation where the summer is characterized by slightly lower CV values than the rest of the year. The large increase in the mid-Pliocene summer CV supports the initial impression that across the

ensemble there is greater variability of sea ice extent in the mid-Pliocene summer if compared to the  
230 remaining months in the mid-Pliocene or the entirety of the pre-industrial simulation.

The CV of the mid-Pliocene sea ice thickness is greater than in the pre-industrial ensemble for  
each month (Figure 12). In both experiments, the highest CV occurs during the summer months,  
which is also when the difference between the mid-Pliocene and pre-industrial CV is greatest. The  
pre-industrial thicknesses show greater overall variation in comparison to the pre-industrial extent.  
235 For mid-Pliocene sea ice thickness and extent the peak CV values are similar, but over the year there  
is more variability in simulated sea ice thickness than in sea ice extent.

### 3.4 Correlation of sea ice characteristics in the ensemble

The correlation coefficient between the mean summer sea ice extents of the pre-industrial and mid-  
Pliocene simulations is 0.47, compared to a correlation coefficient of 0.87 between the mean winter  
240 sea ice extents of both time slices (Figure 13 a,b). The models' annual mean sea ice extents for  
the two climate states show a correlation coefficient of 0.74 (not shown). Sea ice thicknesses simu-  
lated by the pre-industrial and mid-Pliocene simulations are strongly correlated in both summer and  
winter, with correlation coefficients of 0.82 and 0.85 respectively (Figure 13 c,d). Whilst the winter  
pre-industrial sea ice thickness shows a weak relationship with the mid-Pliocene winter sea ice ex-  
245 tent (Figure 13 f), with a correlation coefficient of just 0.30, the relationship between the summer  
values is stronger, with a correlation coefficient of 0.81 (Figure 13 e). It should be noted that with a  
sample size of just 8, only correlation coefficients greater than 0.70 are significant at the 95% level,  
and only those greater than 0.83 are significant at the 99% level.

The simulated mid-Pliocene sea ice extent and sea ice volume appear to show a stronger rela-  
250 tionship with both surface air temperatures (SATs) and sea surface temperatures (SSTs) than the  
pre-industrial sea ice extent and sea ice volume (Figure 14). The correlation coefficient of the mid-  
Pliocene mean annual sea ice extent and the SAT, is -0.76, the correlation coefficient of the pre-  
industrial sea ice extent with SAT is -0.18. For SST the correlation with mid-Pliocene sea ice extents  
is -0.73, for pre-industrial sea ice extent the correlation coefficient is -0.26. For the summer, the mid-  
255 Pliocene sea ice extents have a correlation coefficient of -0.88 with both SAT and SST (not shown).  
In contrast, the pre-industrial sea ice extents have correlation coefficients of -0.27 (SAT) and -0.32  
(SST) respectively (not shown). Mean annual pre-industrial SATs and SSTs have correlations with  
mean annual pre-industrial sea ice volume of -0.12 and -0.29 respectively. This contrasts to the re-  
spective mid-Pliocene correlation coefficients of -0.83 and -0.82. This confirms that the simulated  
260 mid-Pliocene sea ice extents and volumes have — independently from the season — a stronger  
negative correlation with temperatures than the simulated pre-industrial sea ice extents.



## 4 Discussion

### 4.1 Assessment of pre-industrial simulations

Before examining the simulations of Arctic sea ice for the mid-Pliocene, the simulations of pre-  
265 industrial sea ice cover by individual models are assessed. A comparison with observed sea ice  
characteristics is a suitable methodology. Ideally, we would have compared the output of the pre-  
industrial simulations to observations of sea ice from the same time period. However, the most  
spatially and temporally comprehensive observations of sea ice originate from satellites. Respective  
data sets date back only as far as 1979, which is more than 100 years after the time period that the  
270 pre-industrial simulations represent.

Whilst there are observations of sea ice characteristics available dating back to the early 20th  
century, that could have been used for the comparison, most, particularly the earliest, are ship-based  
observations of ice margins. These observations are only available for the spring and summer months  
(e.g. Thomsen (1947); Walsh and Chapman (2001)), and the sea ice extent in the remaining months  
275 must be estimated by extrapolation. Frequency and location of these observations are determined by  
shipping patterns, rather than by the scientific need for spatial and temporal coverage. Hence, the  
historical data sets are ignored here, and the analysis is performed with satellite-based recent sea ice  
data.

Due to the differences between the climate states represented by models and the chosen obser-  
280 vations, we do not make any direct comparisons. However, all of the PlioMIP models, with the  
exception of COSMOS, are represented in the CMIP5 ensemble, for which historical simulations  
exist that can be directly compared to modern observations.

First, we assess the simulated pre-industrial sea ice extent. Shu et al. (2015) provides an analysis  
of the simulation of Arctic sea ice by the CMIP5 models. Of the 7 PlioMIP models represented  
285 in CMIP5, MRI simulates the highest mean annual sea ice extent ( $15.01 \times 10^6 \text{ km}^2$ ), compared to  
the observational mean of  $12.02 \times 10^6 \text{ km}^2$ . MRI simulates the second highest pre-industrial mean  
annual sea ice extent (just  $0.05 \times 10^6 \text{ km}^2$  less than MIROC), and the highest mid-Pliocene mean  
annual sea ice extent. The CMIP5 historical extent simulated by MRI is almost 25% greater than the  
observational mean, which may suggest that Arctic sea ice simulated by MRI is too extensive.

290 In contrast, MIROC simulates a pre-industrial mean annual sea ice extent that is similar to the  
MRI simulation, and represents the lowest mean annual sea ice extent of the CMIP5 models that are  
included in the PlioMIP ensemble ( $10.66 \times 10^6 \text{ km}^2$ ). The NorESM, which simulates both the lowest  
pre-industrial and mid-Pliocene mean annual sea ice extents, is the CMIP5 model that simulates  
the sea ice extent that is closest to the observations ( $12.01 \times 10^6 \text{ km}^2$ ) — although, like in the pre-  
295 industrial simulations, NorESM simulates the lowest sea ice extent amplitude of the PlioMIP models  
in CMIP5.

The HadCM3 CMIP5 pre-industrial simulation has a greater mean annual extent than the observations and exceeds the mean CMIP5 extent of the PlioMIP models. This contrasts to its pre-industrial and mid-Pliocene simulations in PlioMIP that are lower than the ensemble mean. Similarly, the  
300 CCSM CMIP5 pre-industrial mean annual sea ice extent is less than the PlioMIP mean sea ice extent, whereas CCSM simulates an extent that is above the mean in both pre-industrial and mid-Pliocene simulations. The GISS CMIP5 pre-industrial extent is greater than the PlioMIP mean, but its mid-Pliocene simulation is below the PlioMIP ensemble mean. For IPSL it is found that the simulation is below the mean in pre-industrial, mid-Pliocene and CMIP5, although the CMIP5 simulation  
305 is closer to the respective ensemble mean than the other two simulations.

It is important to note that the versions of MIROC, MRI and NorESM used for CMIP5 are slightly different to the versions used for PlioMIP. The version MIROC4h (Sakamoto et al., 2012) is used for CMIP5. It represents a higher resolution version of MIROC4m, which was used for PlioMIP. The version of NorESM used for CMIP, NorESM-M (Bentsen et al., 2013), is similarly a higher  
310 resolution version of NorESM in PlioMIP. The MRI-CGCM3 (Yukimoto et al., 2012) of CMIP5 is an updated version of the MRI-CGCM2.3 model used in PlioMIP.

In the following, we also assess the simulated pre-industrial sea ice thickness. The simulation of Arctic sea ice thickness in the CMIP5 simulations is analysed in Stroeve et al. (2014). The correlations between the spatial patterns of Arctic sea ice thickness in the simulations (average over the  
315 years 1981-2010) and observations from Kwok et al. (2009) are less than 0.4 for all the considered PlioMIP models — with the exception of CCSM4, which has the highest spatial pattern correlation of the entire CMIP5 ensemble. For each PlioMIP model, the spatial patterns of sea ice thickness in the pre-industrial simulation resembles the thickness spatial pattern in that model’s CMIP5 simulation, shown in Stroeve et al. (2014). It has been noted that the spatial pattern correlation between differ-  
320 ent ensemble simulations with the same model is significantly higher than the correlation between one model and the observations, which suggests that poor correlations are more likely explained by biases within the models, rather than by natural variability.

## 4.2 Assessment of mid-Pliocene simulations

Four models out of the eight-member PlioMIP ensemble (COSMOS, GISS, MIROC and NorESM)  
325 simulate ice-free conditions in the mid-Pliocene summer, whereas the remaining four models simulate year-round sea ice coverage. For those models that simulate summer sea ice in the mid-Pliocene the summer sea ice conditions vary. The summer sea ice in HadCM3 is confined to the Arctic basin, with concentrations that do not exceed 60%. The summer sea ice margin in MRI, on the other hand, extends almost to the southern tip of Greenland, and a large proportion of the sea ice cover is char-  
330 acterized by concentrations greater than 90% (Figure 8).

Given the pronounced disagreement within the ensemble with regard to the nature of mid-Pliocene sea ice particularly in summer, the comparison of the different models’ sea ice simulation with a re-

construction of mid-Pliocene Arctic sea ice from proxy data could prove insightful. An independent data set, like a reconstructed palaeo sea ice characteristic, may indicate which models simulate the mid-Pliocene climate more realistically. A reasonable performance of a model in simulating mid-Pliocene sea ice may also improve confidence in its prediction of future sea ice. If on the other hand a model simulation of present day sea ice matches observations closely, this may not necessarily be due to a good model performance — rather, the model may be producing “the right answers for the wrong reasons”, such as error compensation (Massonnet et al., 2012). A greater degree of confidence could be held in the predictions from a model which produces sea ice simulations that closely match both modern observations in a modern simulation and proxy data-based reconstructions in a mid-Pliocene simulation.

Relating proxy data to mid-Pliocene sea ice is, however, subject to limitations due to uncertainty in the proxy itself. Darby (2008) demonstrates evidence for perennial Arctic sea ice in the mid-Pliocene, whilst the presence of  $IP_{25}$ , a biomarker proxy for sea ice coverage (Belt and Müller, 2013) in mid-Pliocene sediments, recovered from two boreholes in the Atlantic-Arctic gateway (located at 80.16°N, 6.35°E and 80.28°N, 8.17°E), implies that the maximum sea ice margin during the mid-Pliocene extended southwards beyond these two sites, but the minimum margin did not (Knies et al., 2014). The locations of these sites are within the maximum mid-Pliocene sea ice margins simulated by all of the PlioMIP models, but also within the minimum sea ice margins simulated by three of the models that simulate summer sea ice (CCSM, IPSL and MRI) — although the sea ice concentration at these sites is less than 50% in the CCSM and IPSL simulations. The extent of the sea ice minimum in HadCM3 does not reach the location of the sites analysed in Knies et al. (2014), and so is consistent with the conclusions drawn from proxy data in both the studies by Darby (2008) and Knies et al. (2014).

A greater spatial coverage of sea ice proxy data, such as that used in Knies et al. (2014), would improve the analysis of the simulation of sea ice by the PlioMIP models. At the moment, limited data availability does not allow for robust model-proxy comparisons. The sea ice simulated by HadCM3 appears to be in the closest agreement with the proxy data indications from Darby (2008) and Knies et al. (2014), but greater data coverage may provoke a different conclusion.

### **4.3 Causes of PlioMIP ensemble variability**

#### **4.3.1 Influence of the sea ice models**

The sea ice components of each model differ in resolution, representation of sea ice dynamics and thermodynamics, and formulation of various parameterisations, such as sea ice albedo. The key details of each model’s sea ice component are summarised in Table 1. The models CCSM and NorESM use the same sea ice component, based on CICE4 (Hunke, 2010), although NorESM has a coarser

model grid in the atmosphere than CCSM, and furthermore employs a completely different ocean component (Table 1).

The sea ice dynamics of the ensemble members can be categorised into three groups. First, CCSM, 370 NorESM, and MIROC, that all use the elastic-viscous-plastic (EVP) rheology of Hunke and Dukowicz (1997). Second, COSMOS, GISS, and IPSL, that are based on viscous-plastic (VP) rheologies (Marsland et al., 2003; Zhang and Rothrock, 2000; Fichefet and Morales Maqueda, 1999). Third, HadCM3 and MRI, that do not consider any type of sea ice rheology, the sea ice following simple free drift dynamics (Cattle and Crossley, 1995; Mellor and Kantha, 1989). In PliomIP, there does 375 not appear to be any link between the type of dynamics of the sea ice components and the simulated sea ice extents — MRI and MIROC produce the two highest annual means for pre-industrial whilst having very different sea ice dynamics. The three models that produce pre-industrial extents lower than some of the observations, i.e. NorESM, IPSL, and HadCM3, as well employ different rheology — EVP, VP and no rheology respectively.

380 The dynamics also do not appear to be a strong influencing factor on the simulated sea ice thickness. We might expect the models with the most basic sea ice dynamics to simulate thickness most poorly, as the model would not account for higher-order effects, such as ridging in the ice. However, whilst the spatial pattern of sea ice thickness simulated by HadCM3 compares poorly with observations, the spatial patterns simulated by MRI resemble some aspects of the observational patterns, 385 despite the lack of sea ice rheology. The sea ice thickness spatial patterns in MIROC, which uses the more sophisticated EVP rheology, do not compare favourably to the sea ice observations.

Most of the models use a leads parameterisation in their sea ice thermodynamics component, with only CCSM and NorESM employing explicit melt pond schemes. The models HadCM3 and COSMOS both use the leads parameterisation based on Hibler (1979). The models HadCM3, MIROC 390 and MRI all utilise the 'zero-layer' model developed by Semtner (1976). Similarly to the considered sea ice dynamics, there is no clear influence of the thermodynamics schemes used in the models on the simulated pre-industrial sea ice extent.

General circulation models are tuned to best reproduce modern day climate conditions, and parameterisations are based on modern observations (Hunke, 2010; Mauritsen et al., 2012). When simulating the climate of time periods with different climate states, such as the mid-Pliocene, models 395 that are tuned towards present day conditions may be biased in some regions. However, it is disputed to which extent the adjustment of parameters, such as sea ice albedo, within the limits of observational uncertainties can affect the overall sea ice cover and compensate for other shortcomings in the model (Eisenman et al., 2007; DeWeaver et al., 2008; Eisenman et al., 2008).

#### 400 4.3.2 Influence of the control simulation

Massonnet et al. (2012) describe the characteristics of Arctic sea ice simulated by the CMIP5 ensemble for the time period from 1979-2010 as being related in a 'complicated manner' to the simu-

lated future change in September Arctic sea ice extent. Figure 13 demonstrates, based on correlation values, that some combinations of sea ice characteristics in the pre-industrial and mid-Pliocene simulations are much stronger related to each other than others. In section 4.1 it was highlighted that in CMIP5 the relative performance of the PlioMIP models' simulation of sea ice in CMIP5 is not the same as in the pre-industrial or mid-Pliocene simulations in the PlioMIP ensemble.

All of the models that simulate thinner pre-industrial summer sea ice than the ensemble mean also simulate ice-free conditions during the mid-Pliocene summer, with the exception of HadCM3. Holland and Bitz (2003) demonstrate that the thickness of sea ice in control simulations has a stronger influence on the climate state of the Northern Hemisphere polar region in simulations of future climates than sea ice extent. Massonnet et al. (2012) find that those CMIP5 models that predict an earlier disappearance of September Arctic sea ice generally have a smaller initial September sea ice extent. In PlioMIP, mean summer pre-industrial sea ice thicknesses have correlation coefficients of 0.81 and 0.82 with mean summer mid-Pliocene sea ice extents and thicknesses, respectively. Mean summer pre-industrial sea ice extents on the other hand show weaker correlations with mean summer mid-Pliocene sea ice extents and thicknesses, with respective correlation coefficients of 0.47 and 0.51. The relatively thin pre-industrial summer sea ice simulated in PlioMIP by COSMOS, GISS, MIROC and NorESM therefore appears to be an important factor for the ability of those models to simulate an ice-free mid-Pliocene summer. An exception is HadCM3, that simulates perennial sea ice in the mid-Pliocene, despite simulating relatively thin (within the PlioMIP ensemble) pre-industrial sea ice.

### 4.3.3 Influence of atmosphere and ocean on the sea ice simulation

In the mid-Pliocene simulations, the correlation between Arctic surface temperatures and simulated sea ice extent is much stronger than the corresponding correlation in the pre-industrial simulations (Figure 14 a,b). Pre-industrial sea ice is thicker than mid-Pliocene sea ice, which could explain the lower sensitivity of the pre-industrial sea ice extent to surface temperatures. However, similar differences in correlation strength between the pre-industrial and mid-Pliocene simulations are also seen for mean sea ice volume (Figure 14, c,d), so there is no strong relationship between warmer pre-industrial simulations and those with less total ice.

In the pre-industrial simulations, much of the ocean north of 60°N is fully covered with sea ice, so all SSTs will be -1.8°C. The uniformity of the SSTs in this region could be a plausible explanation for the weak correlation between the overall Arctic sea ice extents and SSTs north of 60°N in the pre-industrial simulations of the PlioMIP ensemble. The reduced sea ice coverage in the mid-Pliocene simulations, particularly during the summer months, enables on the other hand a greater range of possible SST values. This is potentially the reason for a much stronger correlation with the simulated mid-Pliocene sea ice extents (Figure 14). This explanation does not apply, however, to the SATs, for

which a similar difference in correlation strengths with sea ice extent between the pre-industrial and mid-Pliocene is present.

440 In addition to SATs and SSTs, there are of course other atmospheric and oceanic influences on the simulation of Arctic sea ice. The Atlantic Meridional Overturning Circulation (AMOC) contributes significantly to poleward oceanic heat transport and has been shown to have a strong impact on Arctic sea ice (e.g. Mahajan et al. (2011); Day et al. (2012); Miles et al. (2014)). Zhang et al. (2013) analyse the simulation of the AMOC in both pre-industrial and mid-Pliocene simulations of  
445 the PlioMIP ensemble and find that there is little difference between each model's pre-industrial and mid-Pliocene AMOC simulation. There is no consistent change in northward ocean heat transport, with half the models simulating a slight (less than 10%) increase, and half the models simulating a slight decrease (less than -15%). Of the models which simulate increased northward ocean heat transport (COSMOS, GISS, IPSL and MRI), only two (COSMOS and GISS) simulate an ice-free  
450 mid-Pliocene summer. This suggests that the influence of AMOC and northward oceanic heat transport on the ensemble variability of sea ice in the mid-Pliocene simulation of PlioMIP is not the most important factor.

The simulation of Arctic sea ice by means of GCMs has been demonstrated to be very sensitive to the parameterisation of sea ice albedo. This has been observed in the case of variations of albedo  
455 in different models (Hodson et al., 2013), and adjusting the parameterisation in one specific model (Howell et al., 2014). Hill et al. (2014) show that clear sky albedo is the dominant factor in high latitude warming in the PlioMIP ensemble. The four models that display the highest warming effect from the clear sky albedo are those four models that simulate an ice-free mid-Pliocene summer (COSMOS, GISS, MIROC, and NorESM). The NorESM shows the largest warming due to clear  
460 sky albedo, CCSM on the other hand shows the smallest clear sky albedo effect. Both NorESM and CCSM use the same sea ice component, based on CICE4 (Hunke and Lipscomb, 2008). This sea ice model employs a shortwave radiative transfer scheme to internally simulate the sea ice albedo, and by that produce a more physically based parameterisation (Holland et al., 2011).

Yet, it appears that the performance of this albedo scheme is very sensitive to differences in other  
465 components of the climate models: NorESM (that shows a large contribution of clear sky albedo) uses the same atmosphere component as CCSM4 (low contribution of clear sky albedo), albeit at a lower resolution version in the PlioMIP experiment, but it employs a different ocean component, that also has a lower resolution than the ocean component used in CCSM4. The contrast in the contribution of clear sky albedo to high latitude warming between NorESM and CCSM4 is reflected  
470 in the large difference in their simulations of summer mid-Pliocene sea ice. One cause is certainly the nature of the sea-ice albedo feedback mechanism (Curry et al., 1995). Reduced albedo at high latitudes can be both a cause of and a result of a reduced sea ice extent. Models with parameterisations that produce lower sea ice albedo have therefore a greater potential to amplify the warming that originates from other sources in simulations of the mid-Pliocene, such as greenhouse gas emissivity.

475 The low sea ice albedo assumed in NorESM is a likely explanation for the low sea ice extents it  
simulates (Figures 3 and 9), both in mid-Pliocene and pre-industrial simulations.

Second to NorESM, for MIROC clear sky albedo has the highest contribution to high latitude  
warming. In MIROC there is a fixed albedo of 0.5 for bare sea ice, with higher albedo for snow-  
covered sea ice, that furthermore varies according to ambient surface air temperature (K-1 Model  
480 Developers, 2004). Of the six models that do not use a radiative transfer scheme to internally simulate  
sea ice albedo (those except NorESM and CCSM), only GISS has an albedo minimum lower than  
0.5. Yet, this model allows the albedo to vary between 0.44 and 0.84 (Schmidt et al., 2006). All other  
models also allow the sea ice albedo to vary, and consequently MIROC has a lower overall albedo.  
This may help to explain the ability of MIROC to simulate an ice-free mid-Pliocene summer, despite  
485 simulating one of the highest winter sea ice extents for both pre-industrial and mid-Pliocene.

As the parameterisation of sea ice albedo is kept unchanged between pre-industrial and mid-  
Pliocene simulations, differences in the parameterisation between the models should have similar  
effects in both simulations. However, if there is a temperature threshold above which the ice-albedo  
feedback becomes more dominant in some of the models, then this could explain the different influ-  
490 ence of the sea ice parameterisation on pre-industrial and mid-Pliocene simulations.

Finally, atmospheric and oceanic variability, such as the North Atlantic Oscillation (Hurrell et al.,  
2001) and Atlantic multi-decadal oscillation (Schlesinger and Ramankutty, 1994), have been demon-  
strated to influence Arctic sea ice extent (Kwok, 2000; Day et al., 2012). Further study of their effect  
on sea ice simulation in PlioMIP is not possible since run lengths and averaging periods of the  
495 PlioMIP simulations are not equal (Table 1). This makes determining the effect, that any multi-  
decadal variability has on the simulations, difficult to determine.

## 5 Conclusions

We have presented a detailed analysis of the simulation of Arctic sea ice in the PlioMIP model  
ensemble, for both pre-industrial control and mid-Pliocene simulations. The sea ice in the mid-  
500 Pliocene simulations is overall less extensive and thinner than the pre-industrial sea ice, with a 33%  
decrease in mean annual sea ice extent for the ensemble mean, and a 54% reduction in the ensemble  
mean annual sea ice thickness. The changes in the mid-Pliocene, relative to the pre-industrial, are  
largest during the summer months, both in absolute and relative terms, and for both sea ice extent  
and sea ice thickness.

505 For the pre-industrial simulations there is a relatively consistent level of inter-model variability in  
the simulation of sea ice extent over the year, with only a slight decrease in the summer. In contrast,  
the inter-model variability in the simulated mid-Pliocene sea ice extent is much enhanced in the  
summer months. Thickness variability is highest during summer in both climate states, and is higher  
for the mid-Pliocene simulations throughout the year.

510 The simulated mid-Pliocene sea ice extents are strongly negatively correlated with the Arctic temperatures. In contrast, there is only a weak correlation between pre-industrial sea ice extents and temperature. Hill et al. (2014) identified clear sky albedo as the dominant driver of high latitude warming in the mid-Pliocene simulations of PlioMIP, particularly in those models that simulate an ice-free mid-Pliocene summer. Sea ice-albedo feedbacks may contribute to the stronger relationship  
515 between surface temperatures and sea ice in the mid-Pliocene simulations, as the feedback mechanism enhances the warming that originates from increased greenhouse gas concentrations. The effect of the sea ice-albedo feedback does not appear to be similarly pronounced in the pre-industrial simulations. If it is the case that some models see an enhanced ice-albedo feedback in warmer climates, then this is likely to affect those models' prediction of future Arctic sea ice change.

520 Most models show similar patterns in the distribution of relative ice thickness, with HadCM3 and MIROC being obvious exceptions. HadCM3 also produces the thinnest pre-industrial sea ice, suggesting that the model generally has difficulty in simulating observed sea ice thickness. It is particularly noteworthy that this general difficulty does not prevent the model from simulating perennial sea ice in the mid-Pliocene Arctic Ocean, which is in contrast to half of the models in the ensemble.  
525 HadCM3 is therefore consistent with the findings of perennial Arctic sea ice in the mid-Pliocene by Darby (2008).

The HadCM3 is the only model that simulates both perennial mid-Pliocene Arctic sea ice and a minimum sea ice extent that is completely located north of the location of the two sites studied in Knies et al. (2014), located at 80.16°N, 6.35°E and 80.28°N, 8.17°E, where IP<sub>25</sub> proxy data indicates the presence of a sea ice margin in the mid-Pliocene. This appears to suggest that HadCM3  
530 produces the mid-Pliocene simulation that is in best agreement with both inferences from the proxy record, i.e. presence of perennial sea ice and a relatively northern location of summer sea ice during the mid-Pliocene. Yet, it should be noted that the proxy evidence is sparse, with available data originating from just two sites in the same region. Furthermore, the understanding of mid-Pliocene sea  
535 ice is still too low to have confidence in this simulation, particularly considering that the HadCM3 CMIP5 simulation is not closest to the observations.

Given the limited amount of suitable proxy data, we are currently not able to make firm judgments with respect to a selection of models that simulate a more accurate mid-Pliocene Arctic sea ice cover if compared to the geologic record. The availability of additional proxy data may enable such  
540 conclusion in the future, could help to identify strengths and weaknesses in the different models' simulations of sea ice, as well as gauge confidence in their predictions of future sea ice.

However, as discussed in section 4.3.3, there are numerous atmospheric and oceanic factors that influence the simulation of Arctic sea ice. As highlighted by Massonnet et al. (2012), a model can simulate the 'right' results for the wrong reasons, perhaps due to error compensation. This does  
545 not mean that the analysis of sea ice simulations for past climates, such as the mid-Pliocene, is not valuable and justified, but that it is important to highlight that the forcings behind the sea ice



simulation have to be better understood. Future studies must particularly aim at quantifying the contribution of the various forcings on the sea ice in warmer climates.

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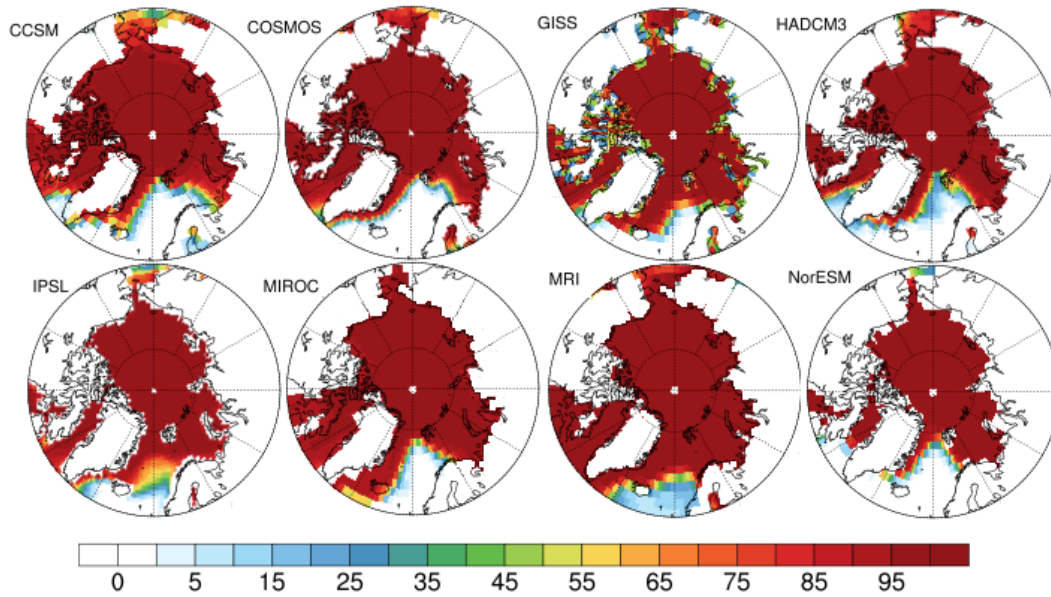
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**Table 1.** Technical details of the PlioMIP model ensemble: atmosphere and ocean resolutions, details of the sea ice component, and references for each of the eight PlioMIP Experiment 2 simulations.

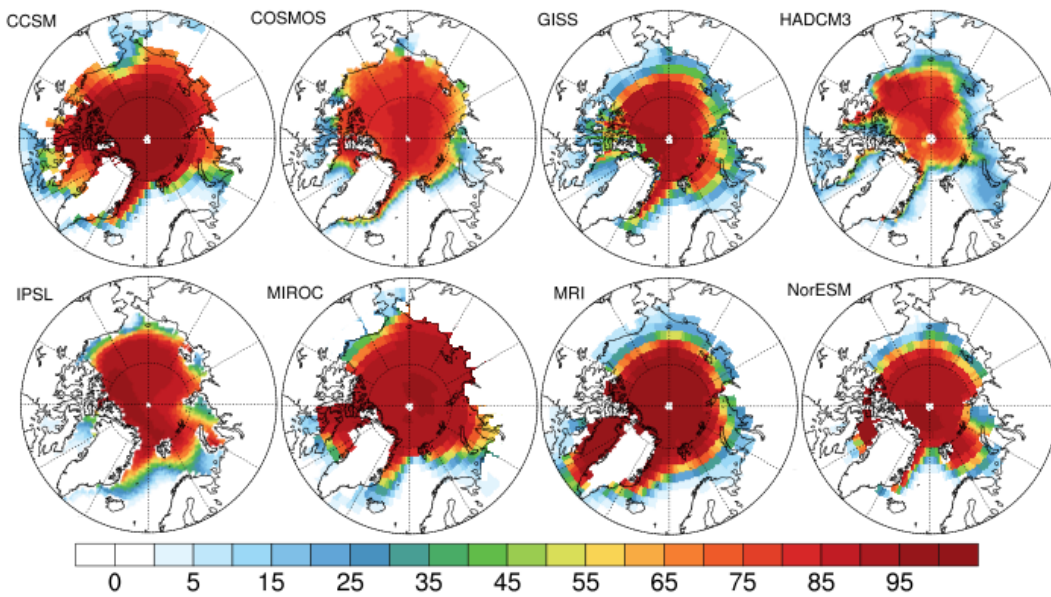
model	Atmosphere	Ocean	Length of run/ averaging period (years)		Sea Ice components and references	Reference
	resolution (° lat × ° long)	resolution (° lat × ° long)	Pre-industrial	mid-Pliocene		
CCSM4	0.9 × 1.25	1 × 1	1300/100	550/100	EVP rheology, melt ponds Hunke and Dukowicz (1997); Hunke (2010); Holland et al. (2011)	Rosenbloom et al. (2013)
COSMOS	3.75 × 3.75	3 × 1.8	3000/30	1000/30	VP rheology, leads Marsland et al. (2003)	Stepanek and Lohmann (2012)
GISS-E2-R	2 × 2.5	1 × 1.25	950/30	950/30	VP rheology, leads Zhang and Rothrock (2000); Liu et al.	Chandler et al. (2013)
HadCM3	2.5 × 3.75	1.25 × 1.25	200/50	500/50	Free drift, leads Cattle and Crossley (1995)	Bragg et al. (2012)
IPSLCM5A	3.75 × 1.9	0.5 – 2 × 2	2800/100	730/30	VP rheology, leads Fichefet and Morales Maqueda (1999)	Contoux et al. (2012)
MIROC4m	2.8 × 2.8	0.5 – 1.4 × 1.4	3800/100	1400/100	EVP rheology, leads K-1 Model Developers (2004)	Chan et al. (2011)
MRI-CGCM	2.8 × 2.8	0.5 – 2 × 2.5	1000/50	500/50	Free drift, leads Mellor and Kantha (1989)	Kamae and Ueda (2012)
NorESM-L	3.75 × 3.75	3 × 3	1500/200	1500/200	Same as CCSM4	Zhang et al. (2012)

**Table 2.** Mean annual sea ice extents and amplitude of sea ice extent (maximum annual sea ice extent minus minimum annual sea ice extent) for the pre-industrial (PI) and mid-Pliocene simulations, for each participant model in PlioMIP Experiment 2 and for the ensemble mean.

Model	PI mean annual	PI extent amplitude	mid-Pliocene mean annual	mid-Pliocene extent
	extent ( $\times 10^6$ km <sup>2</sup> )	( $\times 10^6$ km <sup>2</sup> )	extent ( $\times 10^6$ km <sup>2</sup> )	amplitude ( $\times 10^6$ km <sup>2</sup> )
CCSM4	18.35	10.94	14.99	10.26
COSMOS	15.52	11.66	7.72	12.75
GISS-E2-R	17.30	14.03	9.63	15.43
HadCM3	13.76	12.42	10.38	14.17
IPSLCM5A	12.27	7.36	9.06	7.05
MIROC4m	19.85	14.05	11.48	21.98
MRI-CGCM	19.80	15.91	15.84	13.69
NorESM-L	12.52	6.39	7.60	12.86
Ensemble mean	16.17	11.18	10.84	13.44

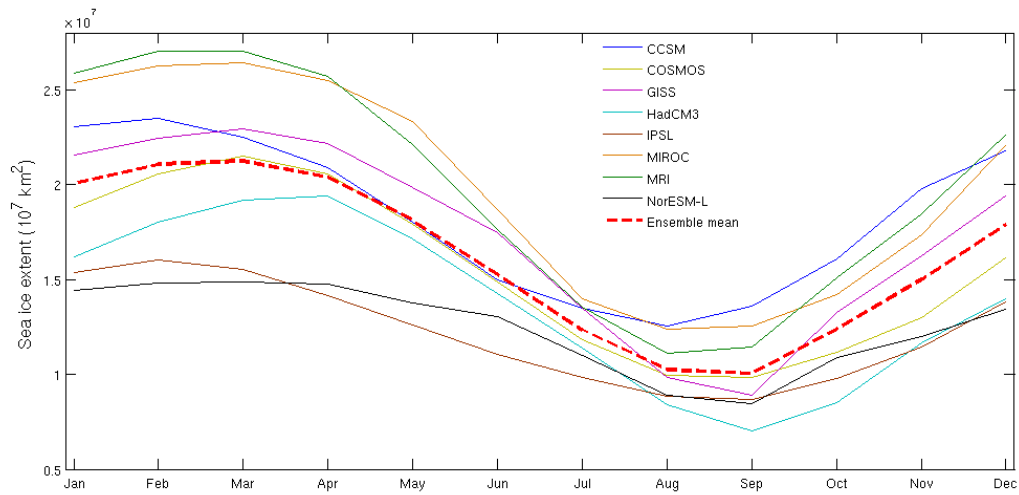


**Figure 1.** Mean winter (FMA) sea ice concentrations (%) in the pre-industrial control simulations for each PlioMIP Experiment 2 model. Missing data at the poles is a plotting artefact (seen also in Figures 2, 4, 5, 6, 7, 8, 10 and 11).

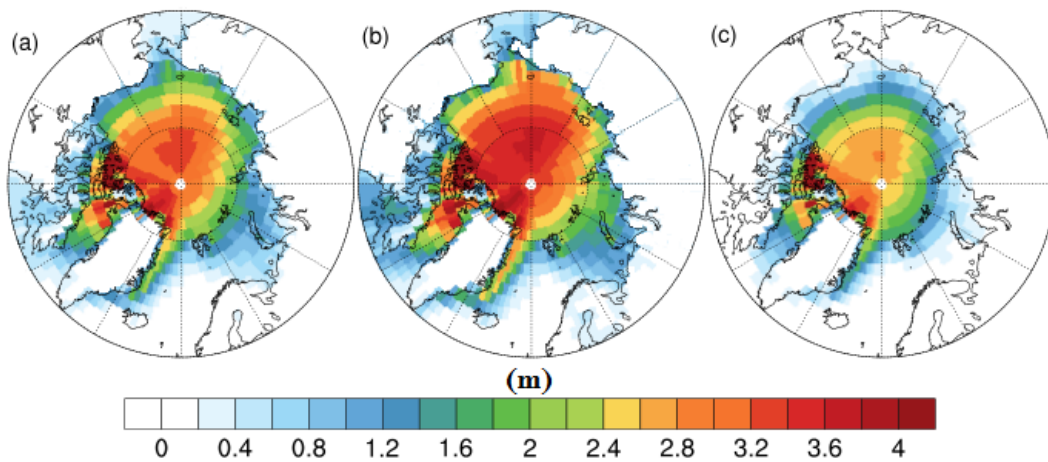


**Figure 2.** As Figure 1, but for mean summer (ASO) sea ice concentrations (%).

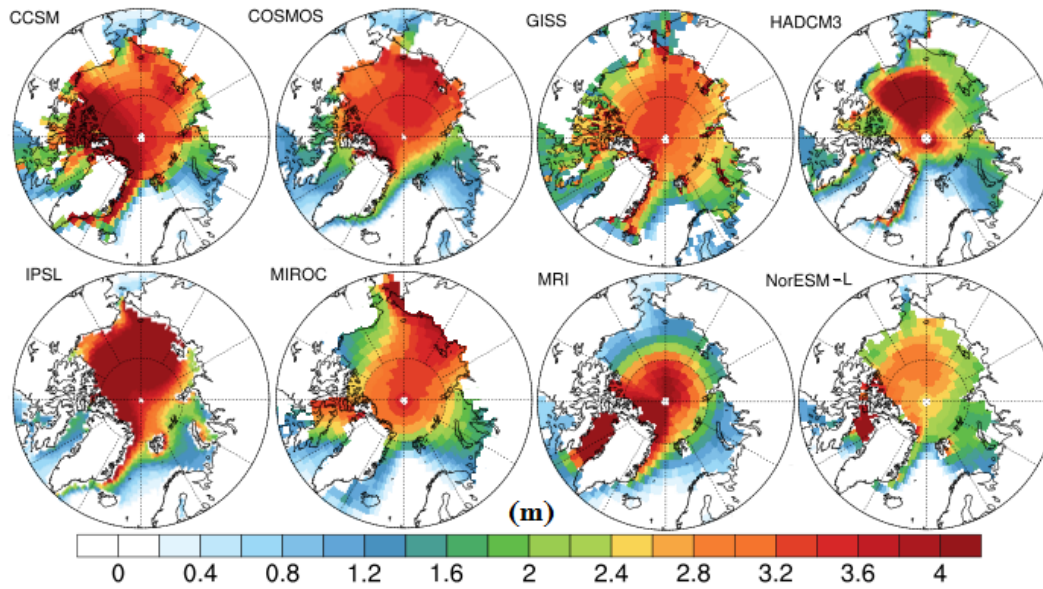




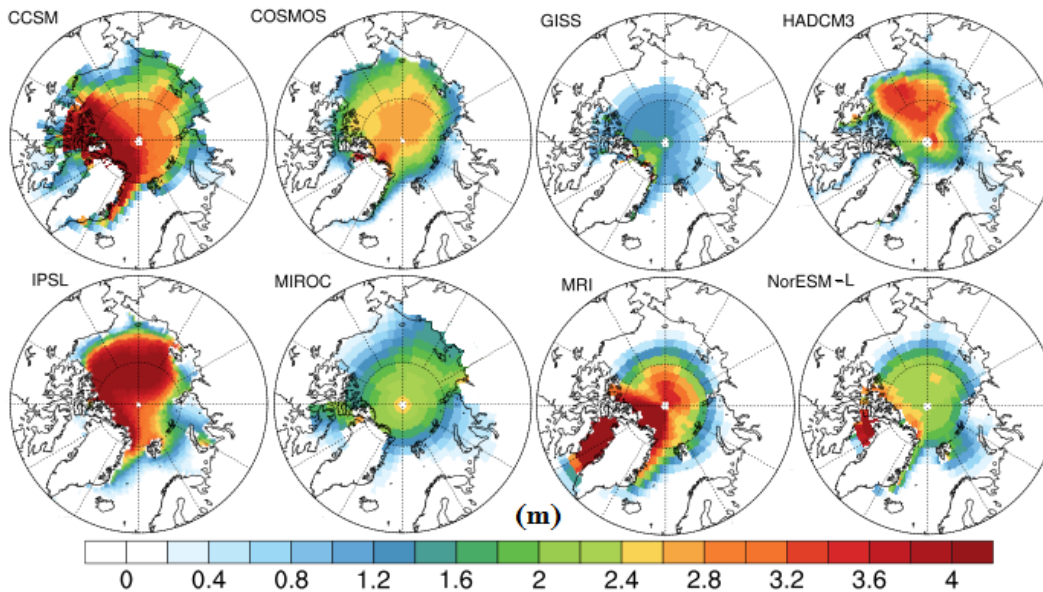
**Figure 3.** Annual cycle of total Arctic sea ice extent in the pre-industrial simulations for each participating model in PlioMIP Experiment 2, and the ensemble mean.



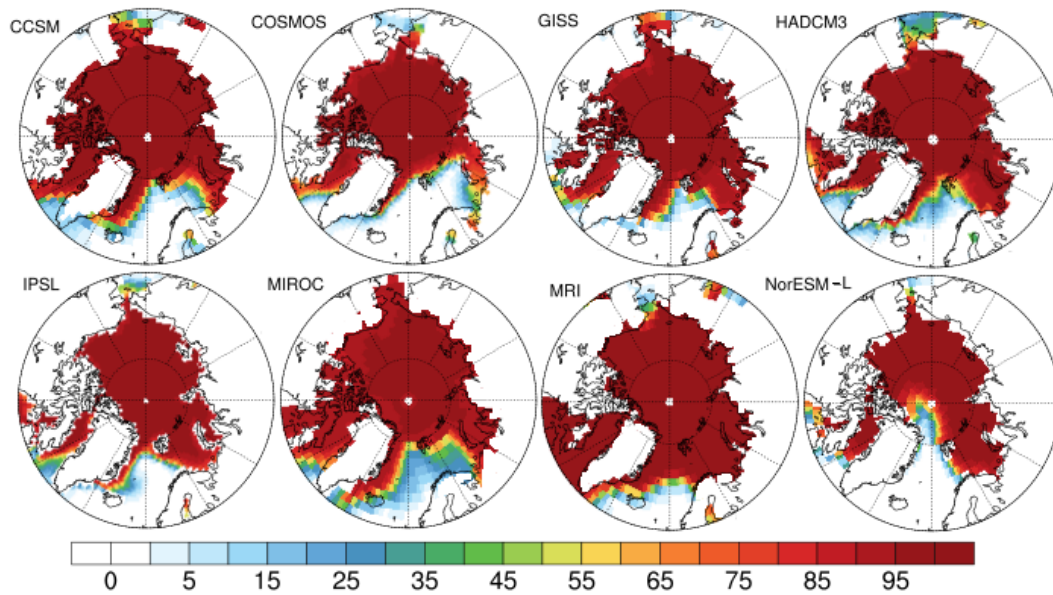
**Figure 4.** Mean sea ice thickness (m) in the pre-industrial simulations for the entire PlioMIP Experiment 2 ensemble, for (a) annual, (b) winter (FMA), and (c) summer (ASO).



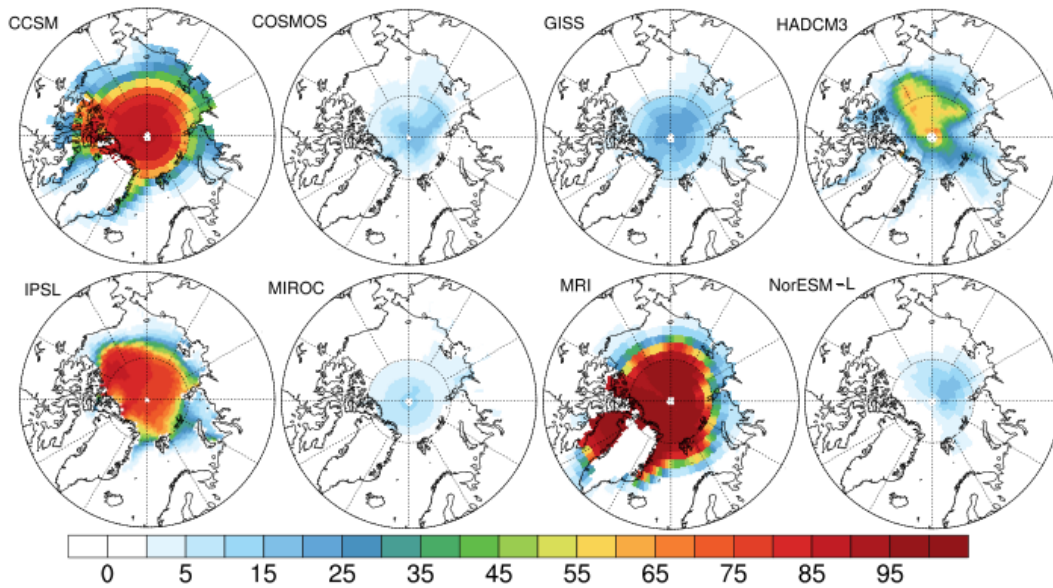
**Figure 5.** Mean winter (FMA) sea ice thicknesses (m) in the pre-industrial control simulations for each PlioMIP Experiment 2 model.



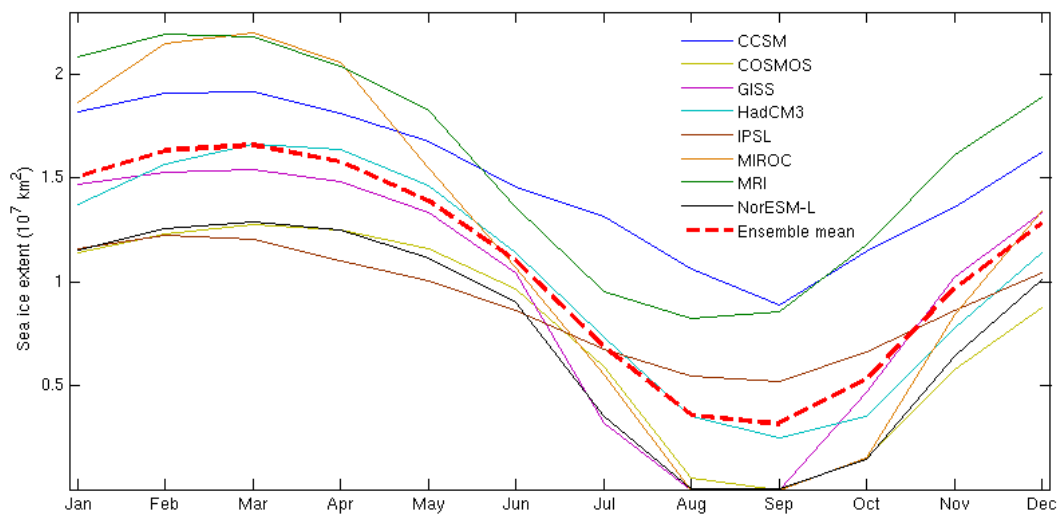
**Figure 6.** As Figure 5, but for mean summer (ASO) sea ice thicknesses (m).



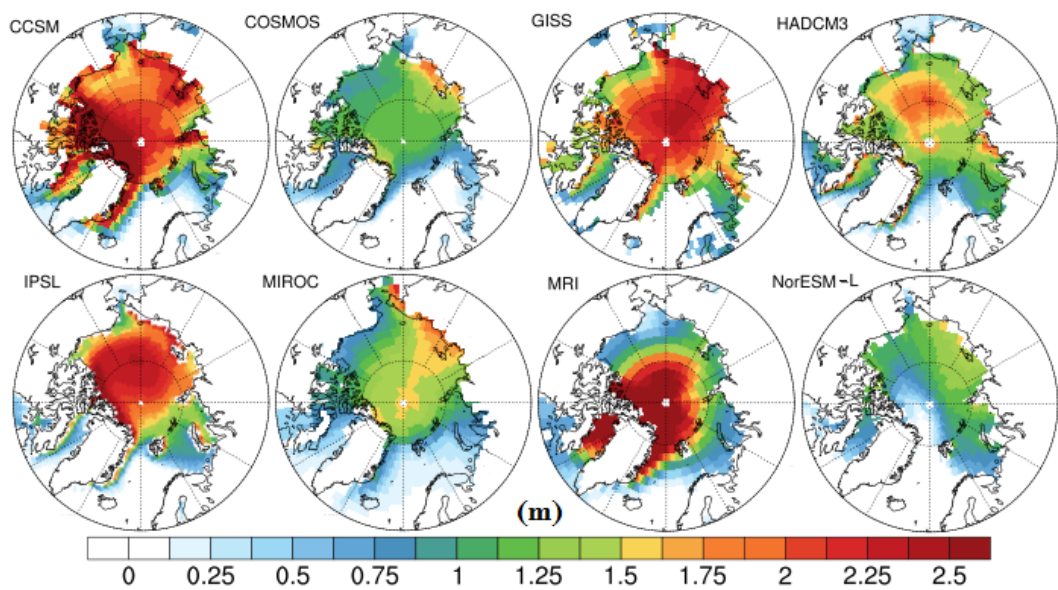
**Figure 7.** Mean winter (FMA) sea ice concentrations (%) in the mid-Pliocene simulations for each PlioMIP Experiment 2 model.



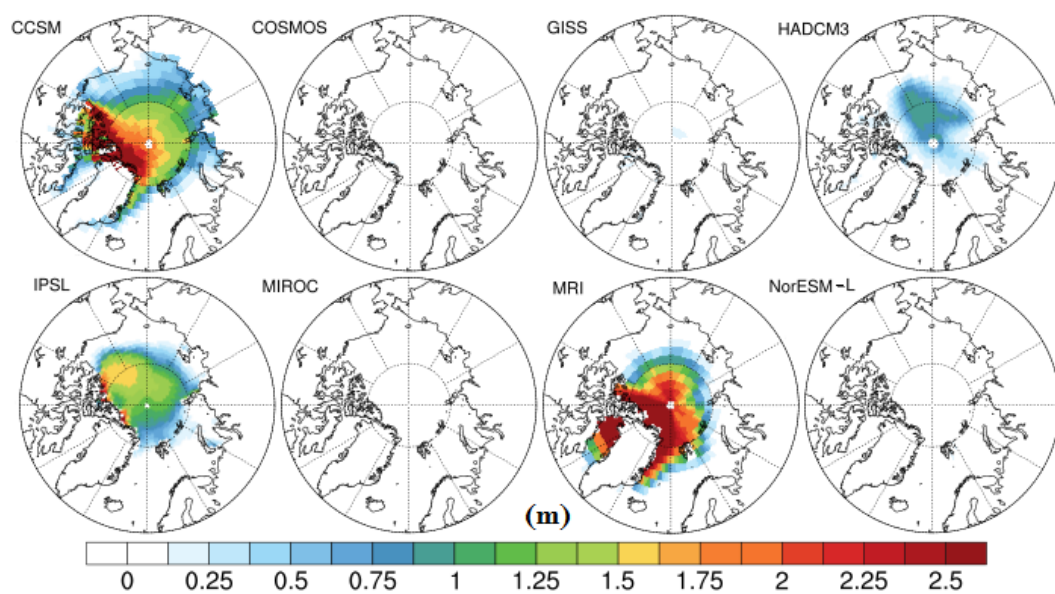
**Figure 8.** As Figure 7, but for mean summer (ASO) sea ice concentrations (%).



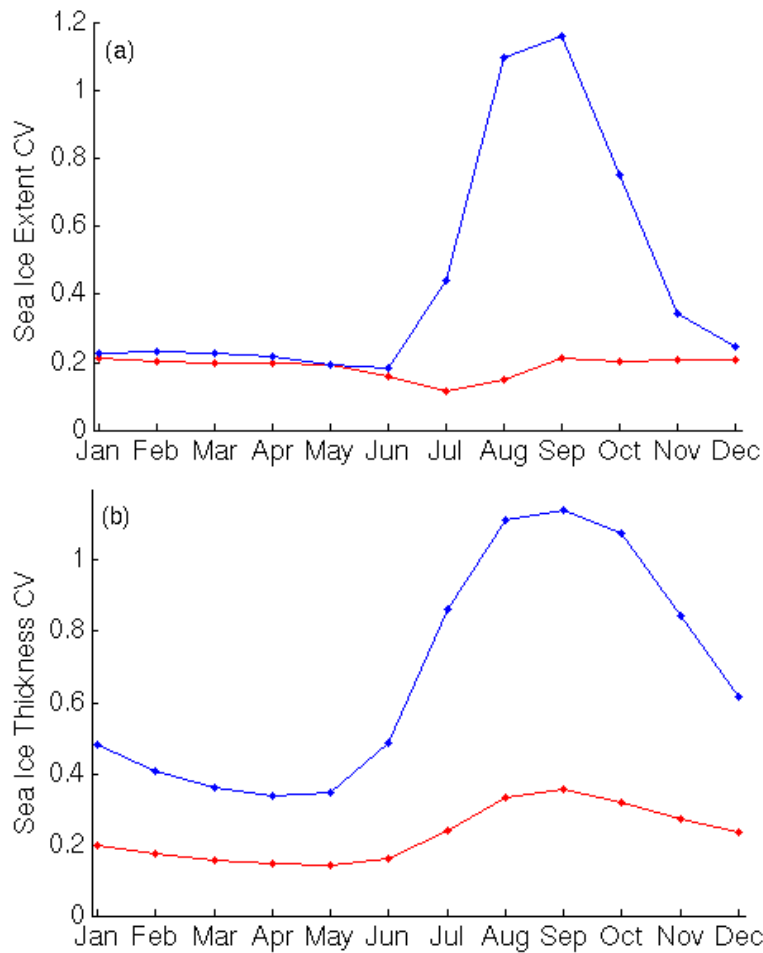
**Figure 9.** Annual cycle of sea ice extent in the mid-Pliocene simulations for each participating model in PlioMIP Experiment 2 and for the ensemble mean.



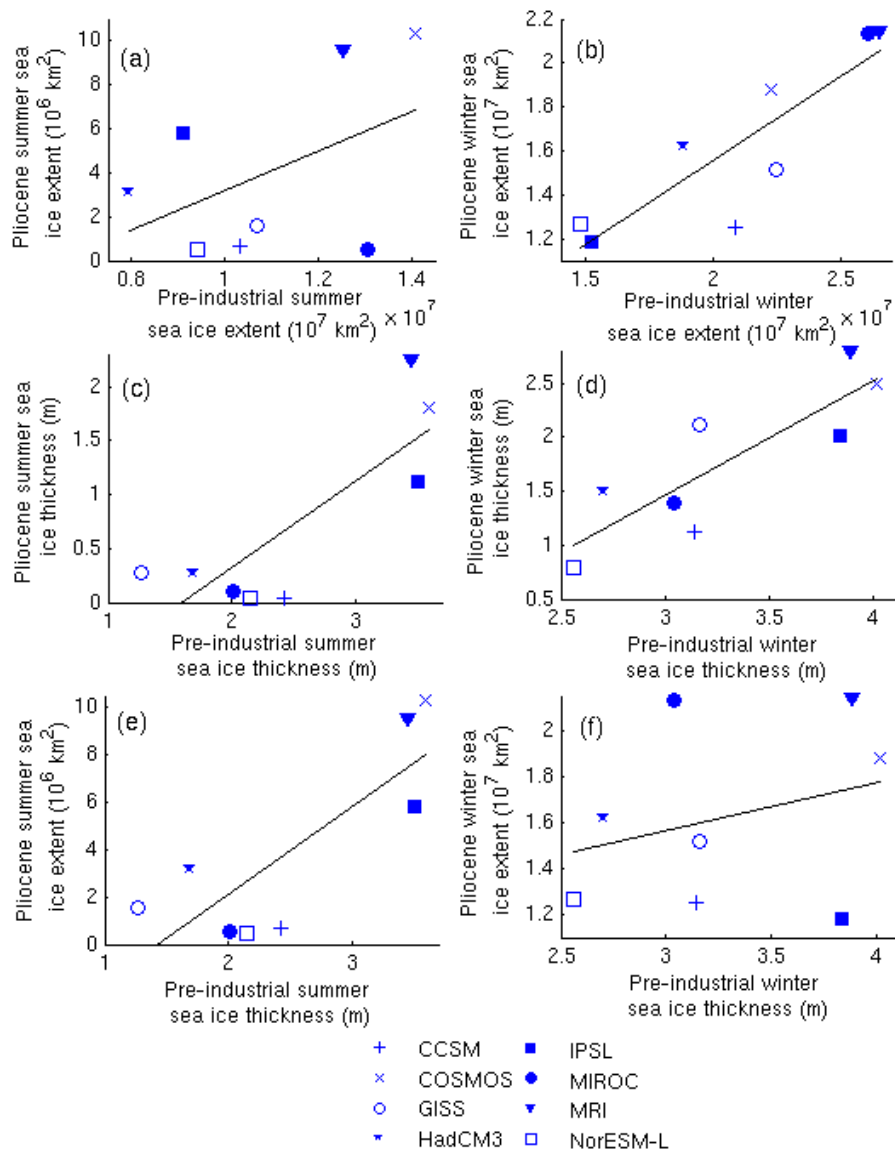
**Figure 10.** Mean winter (FMA) sea ice thicknesses (m) in the mid-Pliocene simulations for each PlioMIP Experiment 2 model.



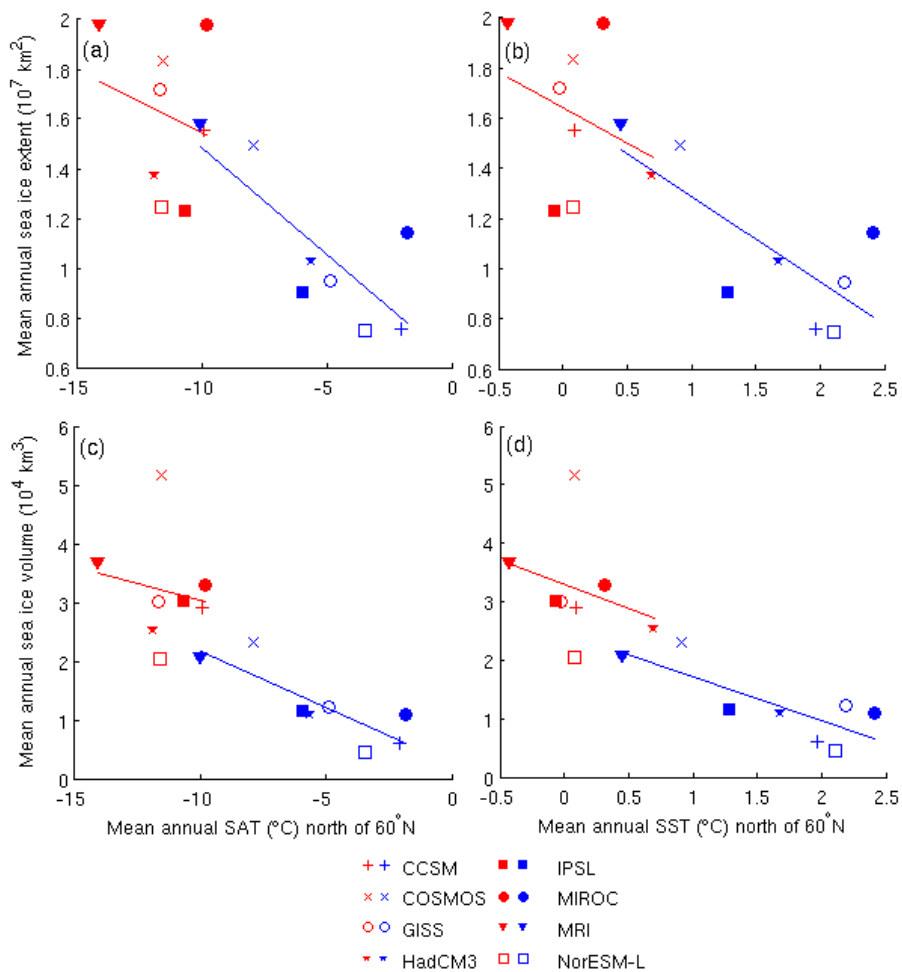
**Figure 11.** As Figure 10, but for mean summer (ASO) sea ice thicknesses (m). Low sea ice concentrations in COSMOS, GISS, MIROC and NorESM result in mean thicknesses very close to zero in each model grid cell.



**Figure 12.** Annual cycle of the coefficient of variation (CV) of (a) sea ice extent and (b) sea ice thickness for the PlioMIP Experiment 2 ensemble. Red lines represent the pre-industrial annual cycle, blue lines represent the mid-Pliocene annual cycle.



**Figure 13.** Relationship between various sea ice characteristics. Shown are pre-industrial values vs. mid-Pliocene values for (a) and (b) sea ice extent vs. sea ice extent, (c) and (d) sea ice thickness vs. sea ice thickness, (e) and (f) sea ice thickness vs. sea ice extent. (a), (c), and (e) illustrate summer conditions, (b), (d), and (f) illustrate winter conditions. Correlation coefficients for each plot are (a) 0.47, (b) 0.87, (c) 0.82, (d) 0.85, (e) 0.81, (f) 0.30



**Figure 14.** Mean annual surface temperatures north of  $60^{\circ}\text{N}$  vs. mean annual total Arctic sea ice extent(a,b), and mean annual surface temperatures north of  $60^{\circ}\text{N}$  vs. mean annual total Arctic sea ice volume(c,d) in both pre-industrial and mid-Pliocene simulations, for (a,c) SAT and (b,d) SST. Pre-industrial experiments are marked red, mid-Pliocene experiments are marked blue. Correlation coefficients for the pre-industrial simulations in each plot are (a) -0.18, (b) -0.26, (c) -0.12, (d) -0.29. Correlation coefficients for the mid-Pliocene simulations in each plot are (a) -0.76, (b) -0.73, (c) -0.83, (d) -0.82