

**Quality assessment  
of chronologies in  
Latin American  
pollen records**

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# Quality assessment of chronologies in Latin American pollen records: a contribution to centennial to millennial scale studies of environmental change

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## Abstract

The newly updated inventory of the Latin American Pollen Database (LAPD) offers an important overview of data available for multi-proxy and multi-site purposes. However, heterogeneous paleoecological databases are not suitable to be integrated without an uncertainty assessment of existing chronologies. Therefore, we collected all chronological control points and age model metadata from the LAPD literature to create a complementary chronological database of 5116 dates from 1097 pollen records. We start with an overview on chronological dating and reporting in Central and South America. Specific problems and recommendations for chronology reporting are discussed. Subsequently, we implement a temporal quality assessment of pollen records from northwest South-America to support research on climate forcings and responses at a centennial-millennial time-scale. New chronologies are generated for 233 pollen records based on updated calibration curves. Different time windows are discussed on sample resolution and temporal uncertainty. Approximately one in four pollen diagrams depicts < 500 years resolution data at the Younger Dryas/Holocene transition. Overall, our analyses suggest that the temporal resolution of multi-site syntheses of late Pleistocene fossil pollen records in the northwest South-America is ca. 240 years, a resolution which allows analysis of ecological responses to centennial-millennial-scale climate change during the last deglaciation.

## 1 Introduction

Understanding millennial-scale climate variability during the last glacial is increasingly the focus of current research into past climate change, such as earth-system responses to rapid events (Ammann et al., 2000; Clement and Peterson, 2008; Urrego et al., 2009), teleconnections (Fritz et al., 2010) and the synchronous display of paleoclimate events in different paleo-proxies (Villalba et al., 2009; Austin et al., 2012). The mechanisms of responses to events of rapid climate change provide important insights to de-

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port multi-site and multi-proxy research on centennial to millennial time-scale, a quality assessment of the chronology is evidently urgent.

Thus, accurate age-depth modelling has been identified as crucial to derive conclusions on climate change signals from paleo-archives (Seddon et al., 2014). Consequently, an increasing number of working groups have declared this their central aim to develop in the present and near future, such as the INTIMATE<sup>1</sup> initiative (Blockley et al., 2012), Neotoma Age Modelling Working Group (Grimm et al., 2014) and the INQUA<sup>1</sup> International Focus group, ACER<sup>1</sup> (Sanchez Goñi and Harrison, 2010). The Latin American version of the latter, LaACER, has proposed to integrate high quality paleo-records to improve our understanding of consequences of millennial-scale variability in the tropics (Urrego et al., 2014). Before correlating different paleo-records, the first step is to assess the chronological quality of individual records. As a contribution to the LaACER initiative (this issue), this paper starts with a brief overview of chronological dating and reporting available from pollen records in Central and South America. We describe the most commonly used dating methods, age modelling and calibration methods, and discuss fields of highest potential improvement in line with international recommendations. Secondly, we assess the chronological quality of pollen records from a specific region of the LAPD, namely the countries from northwest South America (NW-SA). We evaluate the temporal uncertainty of age models by a conceptual framework proposed by (Giesecke et al., 2012) for ranking the quality of the site chronologies and the individual <sup>14</sup>C ages. For internal consistency, all chronologies from the NW-SA are regenerated with updated calibration curves for both the Northern and the Southern Hemisphere going back to 50 kcal BP (IntCal13 and SHCal13; Reimer et al., 2013; Hogg et al., 2013). Subsequently the highest temporal resolution currently possible is estimated from a site specific and synoptic perspective, in which resolution is calculated as the time between two consecutive depths with proxy

<sup>1</sup>INTIMATE: the INTegration of Ice core, Marine and TERrestrial records of the last termination; INQUA: International Union for Quaternary Science; ACER: Abrupt Climate Changes and Environmental Responses.

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information. Based on the combined temporal quality and resolution assessment, the time windows best suitable for inter-site and inter-proxy comparison are discussed. The resulting chronologies are not assumed to be the best age models, but serve as a guidance to discuss the current resolution and temporal quality of South American pollen records for research into centennial to millennial climate variability.

## 2 Methods

### 2.1 Geochronological database of Central and South America

To obtain an overview of the control points and age modelling methods used in pollen records throughout the continent, we performed a thorough review of the LAPD and corresponding literature database (Flantua et al., 2015). A total of 1245 publications were checked on their chronological information covering 1369 sites. For 270 sites only biostratigraphic dates were mentioned, no chronological details were provided, or the original publications with specifications were not found. These sites originate primarily from the 1970s and the 1980s, although even some recent publications lack enclosing details on the chronology. All other sites consisting of at least one chronological reference point enter at this stage the geochronological database (Fig. 1). On the chronology the following metadata was collected for each site: *Site Name*, *Year of Data Preparation*, *Age Model*, *Calibration Method*, *Software*, *Material Dated*, *Depth (min, max, mean)*, *Thickness*, *Laboratory number*, *pMC (error)*,  $^{13}\text{C}$  *adjusted ( $\pm$  SD)*,  $^{14}\text{C}$  *date (min, max, errors)*, *Reservoir correction*, *Calibrated age (min, max, best age, errors)*, *Additional relevant comments from authors*. Furthermore, all additional parameters needed to reconstruct correctly the chronologies, such as presence of hiatus, slumps, contaminated control points and other outliers identified by authors, were included. As a result, the LAPD Geochronological Database (LAPD-ChronDB) currently contains at total 5116 chronological dates from 1097 sites throughout the continent.

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extend back to 50 kcal BP. However, recently the SH calibration curve was updated with new datasets (Hogg et al., 2013) and now replaces the need to use the NH curve for older dates in the SH. This provides new opportunities to recalibrate age models with updated calibration information and produce additional sample ages for reevaluation. Nevertheless, tropical regions still face an uncertainty factor open for discussion, namely the southern limit of the Intertropical Convergence Zone (ITZC). McCormac et al. (2004) defined this limit to be the boundary between NH–SH, but models need additional data to better determine its exact location through time (McGee et al., 2014). For internal consistency we assigned the curve according to the general delimitation by Hogg et al. (2013) and Hua et al. (2013), or used the preferred calibration curve by the authors for the creation of the chronology. Mayle et al. (2000) for example, explicitly explain why their site in the Bolivian Amazonia experiences NH influences. Finally, a total of 13 sites include post-bomb dating for which 5 different regional curves options exist (Hua et al., 2013). Post-bomb calibration curves were as used by original authors or assigned according to Hua et al. (2013).

*Age model method:* Depending on the number of available control points, two age-depth models were created per site. All age-depth relationships were reconstructed using the R-code CLAM version 2.2 (Blaauw, 2010; R Development Core Team, 2014), which is an R code for “classic age-modelling” (Blaauw and Heegaard, 2012). The simplest age model possible, namely the *linear interpolation* method, produces a straight-forward interpolation. It connects individual control points with straight lines which is in most cases unrealistic as it assumes abrupt changes in sedimentation rates at the dated depth in the sediment core. The second age model method we used is the *smoothing spline*, with a default smoothing factor of 0.3. This interpolation method produces a curve between two points that is also influenced by more distant control points. This method provides a smoother outline of age model and is considered to produce a more realistic model of the sedimentation process compared to the linear interpolation method. However, smoothing spline can only be performed at sites that present 4 or more control points. Furthermore, age models were not run on cores that



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were problematic from the start. Examples are: cores where a hiatus/slump disrupts the age model in a way that no linear interpolation is possible; cores with many age reversals, when an older date lies above a younger date with limited dates collected; and cores with many radiocarbon dates nearly identical regardless of depth. The two newly produced models were evaluated, selecting the more appropriate one in accordance to the authors' description, with a general preference for the smoothing spline.

The sample depths were derived from either the raw dataset or from the specifications and figures in the original publication. In a few cases, neither were available, so a 10 cm sample interval was assigned. The sample age is obtained as the highest-probability age based on the distribution of estimated ages from 1000 Monte Carlo runs and the uncertainties are provided as 95 % confidence intervals.

### 2.4 Age model evaluation

We followed the age model evaluation proposed by Giesecke et al. (2012) to define the temporal quality and uncertainty of the chronologies. An uncertainty classification based on the assignation of semi-quantitative “stars” focuses on the density of control point. The classification is additive and samples are assigned to the lowest class (a single star) where the estimated sample age is within 2000 years of the nearest control point. Additive stars are given at 1000 and 500 year proximity to the nearest control point (Table 1). In addition to the three stars that characterize proximity to the nearest control point, an extra star is given to samples that are situated in a straight section of the sequence. The “straightness” star is given to a sample where, within the nearest four control points, the modelled sediment accumulation rate changes less than 20 %. Only sequences with at least four control points can obtain such an additional star. The evaluation is based on the position of the sample relative to the control points and is independent of the interpolation procedure. Therefore stars are assigned to both the linear interpolation and smooth spline output unless insufficient control points are available for the latter.

## 2.5 Time window assessment

Rapid climate change events occurred during the Dansgaard–Oeschger (D–O) cycles spanning the last glacial cycle and during the Holocene. Changes in ocean currents and deep circulation probably play a major role in either triggering or amplifying rapid climate changes on the South American continent. The most dramatic rapid changes were initially observed in the mid to high latitude regions of the North Atlantic Ocean, and therefore much research during the 1990s on the links between ocean circulation and millennial-scale climate change was focused there (Anderson et al., 2013). Now recent studies, like at Lake Titicaca, Bolivia (Fritz et al., 2010) and Lake Fúquene, Colombia (Groot et al., 2011) show clear evidence of millennial climate variability of large-amplitude during Marine Isotope Stages (MISs) 4 to 2. It is important not only to identify those pollen records that extend back to specific events of interest, but also to assess the temporal quality and the sample resolution available. Therefore, we focused on the following time windows: MIS 5 (c. 130–70 kyr BP) thousand years (kyr BP), MIS 3 (c. 60–27 kyr BP), Heinrich event 1 (H1; c. 18–15 kyr BP), and the YD/Holocene transition (c. 12.86–11.65 kyr BP). We will discuss both the temporal resolution and control-point density (the star classification system) at these stages or events for a conclusive overview for paleoclimate reconstructions at millennial time-scale. All statistical calculations were done with the use of R (R Development Core Team, 2014).

## 3 Results

### Chronological data in Central and South America

The number of available pollen records has increased considerably in the last 20 years (Flantua et al., 2015). During recent years, the number of ages used for stratigraphic age models has trended upwards; since 2010, the mean and median number of dates per published pollen site has been five and three, respectively (Flantua et al., 2015).

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along the Eastern Cordillera capture these volcanic ashes, like Funza (Andriessen et al., 1994; Torres et al., 2005) and El Abra (Kuhry et al., 1993), while the ridge itself lacks volcanic activities (Rodriguez-Vargas et al., 2005). Otoño-Manizales Enea (Cleef et al., 1995) reports 5 events between 44 and 28.5 kyr BP and Fúquene another 6 events between 30 and 21 kyr BP (Van Geel and Van der Hammen, 1973). Fission-track ages on sparse zircons were obtained for the long cores of Funza I, Rio Frío and Facatativá (Andriessen et al., 1994; Wijninga, 1996).

Ecuador is evenly well known for its very active volcanic region. Two eruptions of the Guagua Pichincha and one of the Quilotoa were seen at pollen site Papallacta (Ledru et al., 2013). Thanks to four radiometric  $^{40}\text{Ar}$ – $^{39}\text{Ar}$  dates from tephra deposits, the chronology of the Erazo pollen record was placed within the middle Pleistocene period (Cardenas et al., 2011). An important overview of tephrochronology in southern Ecuador was provided by Rodbell et al. (2002).

The central Andes forms part of the “Central Volcanic Zone” (Stern, 2004; Rodriguez-Vargas et al., 2005) and is shared by Peru and Bolivia. Several ice cores from the Sajama Ice Cap in Bolivia use ash layers from Volcán Huaynaputina in Peru as dating control (Reese, 2003). To support the chronology of the long core of Lake Titicaca, nine aragonite-rich layers for U/Th helped correlation with the last interglacial period, MIS5e (Fritz et al., 2007).

Finally, towards the south, the “Southern Volcanic Zone” covers Chile and Argentina (Stern, 2004). An overview of the Holocene tephrochronology of an important part of this volcanic zone is presented in Naranjo and Stern (2004). The Pleistocene-Holocene transition has shown similarity in timing with an increase in volcanic activity in southern Chile (Abarzúa and Moreno, 2008). Jara and Moreno (2014) assessed the potential of volcanic events as being a driver of vegetation changes at a (sub-) millennial timescale based on 30 tephra layers since 13.5 kyr BP. Other sites with tephtras to support their chronology are at Puerto del Hambre in Chile (Clapperton et al., 1995) and Rio Rubens in Argentina (Markgraf and Huber, 2010), among others.

## Other dating techniques

An exceptional dating method was used at Ciama 2 in Brazil, through Optically Stimulated Luminescence (OSL) encompassing the period between the MIS3 (unfortunately MIS5 ages were discharged) and the last millennium (de Oliveira et al., 2012). The same technique was used at the Potrok Aike lake in Patagonia. A 65 kyr-long sediment core was recovered by the Potrok Aike Maar Lake Sediment Archive Drilling Project (PASADO; Recasens et al., 2012), where they use a combination of OSL, tephra and  $^{14}\text{C}$  to establish their chronology (Buylaert et al., 2013; Recasens et al., 2015). The pollen record from this multi-proxy study is to be published soon and will be an important comparison to other long cores from South America on Late Quaternary climate variability research.

There are two very important records that serve in South America as a key reference for regional chronology testing, which are Fúquene-9C (Groot et al., 2014) and MD03-2622 marine core from the Cariaco basin (González et al., 2008). Both cores were analysed at high resolution (Fq-9C: 60 yr; Cariaco: 350 yr) and cover 284–27 and 68–28 kyr BP, respectively. No recalibrated age model or star classification was produced as both sites implement different kinds of age models, namely frequency analyses of arboreal pollen % and orbital tuning (Fq-9C) and tuning to reflectance curve of another marine core (Cariaco, which itself has been tuned to Hulu Cave in China). Therefore the longest cores considered in this study are from Titicaca: LT01-2B and LT01-3A (Hanselman et al., 2005, 2011; Fritz et al., 2007; Gosling et al., 2008, 2009).

## Seismic activities

In some cases, significant seismic events can be observed synchronously in various pollen records. For example, over a large area in the Chocó Biogeographic region, synchronous gaps in records were probably caused by a floodplain subsidence of a delta in the Colombian Pacific region (Berrío et al., 2000; González and Correa, 2001; Urrego Giraldo and del Valle, 2002; Urrego et al., 2006). These events of high seismic

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During the process of adjustments of the age models for hiatus, outliers, and slumps, another 9 pollen records were rejected as no reliable models could be produced. In 125 cases both linear interpolation and spline could be implemented, requiring at least 4 valid geochronological dates for the latter. The star system classification did not assign stars to 4 chronologies, when the calibrated ages overlapped over a very short time range creating conflicts within the star calculation function. The median number of stars for recalibrated chronologies of NW–SA is 3, which we consider surprisingly high.

### 3.5 Temporal resolution of NW–SA

Based on the 233 checked and recalibrated age models from NW–SA (see Table S1 in the Supplement), the sample resolution (maximum, minimum, median and mean value) was estimated per pollen site and for the entire NW–SA. The resolution was calculated as the time between two consecutive depths with proxy information (sample depths). Minimum values range from 10 yr to 1 kyr, compared to the maximum value between 5 and 36 kyr (mostly due to extrapolations). The overall sample resolution estimates indicate that the temporal resolution of this multi-site synthesis is ca. 240 yr, a resolution that allows analyses of ecological responses to sub-millennial-scale climate change. From a synoptic perspective, the NW–SA pollen records do not show spatial clustering based on the assigned stars (Fig. 2a). In other words, good and poor point density chronologies can be found along all the different elevational and latitudinal ranges. The best context to the star classification system can be given in conjunction with the sample resolution estimates as chronologies might present high sample resolution but poor chronological backup, and vice versa. What is evident as a result of the recalibrated age models is the high number of pollen records within the 0–500 yr resolution with relatively high temporal quality (Fig. 2b).

### 3.6 Time window evaluation

*MIS 5 (130–70 kyr BP)*: Within this study, this time window is represented by only 5 pollen records, namely from lake Titicaca LT01-2B and LT01-3A (Hanselman et al., 2005, 2011; Fritz et al., 2007; Gosling et al., 2008, 2009), Fúquene 3 and 7 (Mommersteeg, 1998; Van der Hammen and Hooghiemstra, 2003; Vélez et al., 2003; Bogotá-A et al., 2011), and El Abra (Schreve-Brinkman, 1978). As previously mentioned, there are additional longer cores but with different chronology techniques we did not consider. Research into millennial-scale climate variability is very difficult during this time window, as sample resolution varies greatly from a few centuries to several thousands of years. For periods older than 65 kyr BP, mean resolution shifts around 2000 yr per sample with a star classification of mostly 0–1. Temporal uncertainty is high due to extrapolation of age models through limited number of control points and additional hiatus difficulties.

*MIS 3 (60–27 kyr BP)*: MIS 3 is better represented in samples (Fig. 3a) and sites (Fig. 3b), and shows a wider variation in the star classification. The median number of 1 star still indicates a relatively poor control point density in the chronologies and therefore high temporal uncertainty. This time window is characterized by relatively older sites with reduced chronological quality even though overall resolution is at centennial timescale (430 yr).

*LGM, H1 and YD/Holocene transition*: The vast majority of chronologies cover the Holocene and Lateglacial time intervals because they have been established from lakes formed after the last glaciation. Consistent with the large number of pollen records that fall within the Holocene (Flantua et al., 2015), the highest density of palynological sampling covers the last 10 kyr (Fig. 3c). Most samples fall within the category of presenting “good” control point density, namely either 3 or 4, just as the individual sites evaluated (Fig. 3d). There is an overall good point density in the NW–SA sites that cover the YD/Holocene transition but the Last Glacial Maximum (LGM) and H1 are represented by far fewer records with varying temporal quality.

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to the spatial coverage of the LAPD, for the increasing number of questions requiring multi-proxy comparison, sites can be selected based on their considered usefulness for models. There is a lose-lose situation by not including potentially important sites just because the chronology is insufficiently presented in the paper. The number of recent sites that present incomplete descriptions of their presumed age model is striking, leaving out information such as depths, calibration method, and even only presenting calibrated dates without further explanation.

The discussion on detecting synchronicity of rapid climate change events should pass from correlating chronologies with incompatible resolution and temporal quality, to understanding the causes of leads and lags between geographically different localities with high chronological settings. Future studies on detecting rapid climate changes in a multi-site and multi-proxy context can be supported in their site selection procedure by the method in Giesecke et al. (2012). The method here implemented is fully suitable for other regions and proxies that deal with geochronological dating. As the LAPD-ChronDB currently covers a much larger area of the continent, similar exercises can be done for other regions for comparison purposes.

The vast number of sites reflecting the last 10 kyr with high samples densities and well-presented chronologies offer great opportunities for current running working groups, like *International Biosphere Geosphere Programme/Past Global Change – 6k* (IGBP-Pages 6k, [www.pages-igbp.org/workinggroups/landcover6k/intro](http://www.pages-igbp.org/workinggroups/landcover6k/intro)) and *Long-Term climate REconstruction and Dynamics of South America – 2k* (LOTRED-SA-2k; [www.pages-igbp.org/workinggroups/lotred-sa/intro](http://www.pages-igbp.org/workinggroups/lotred-sa/intro)). Both multi-proxy working groups address human–environmental interactions in which pollen records in Central and South America are a vital source of information (Flantua et al., 2015).

The produced chronologies in this paper do not substitute the validity and interpretation of the authors' original chronology, but serve the purpose to present an overview of the current potential temporal resolution and quality, and contribute to the discussion on age model assessments. Users should always check the original papers and address questions on the chronologies to the main authors. At the same time, cali-



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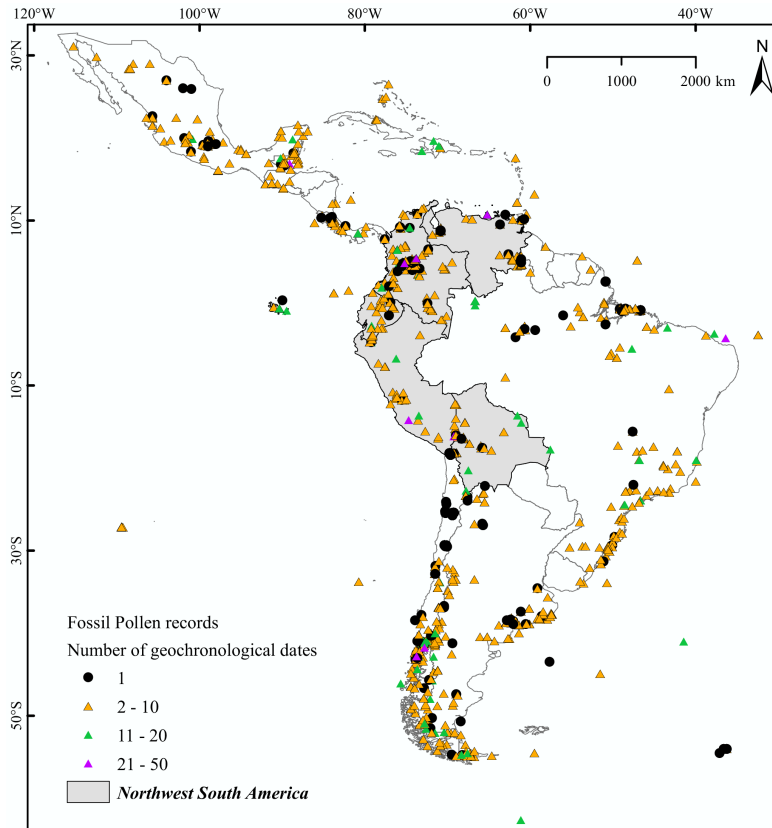
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Maximum distance to the nearest data (yrs)	Stars
2000	1
1000	2
500	3
Straight segment	+1





**Figure 1.** Pollen records currently present in the LAPD Geochronological database: all records contain at least one geochronological date.

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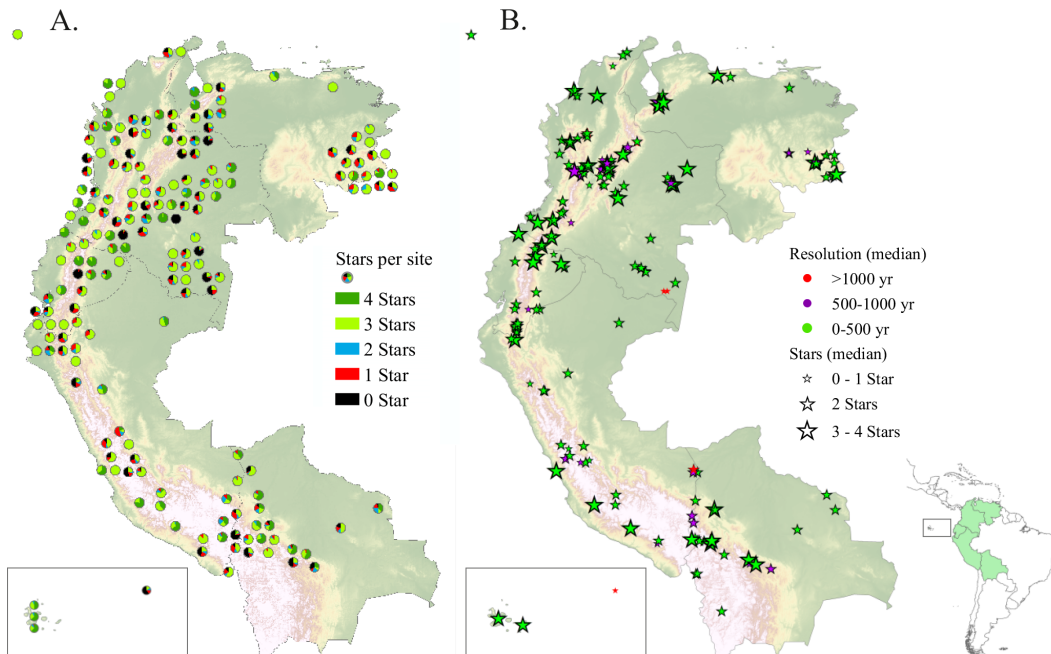
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**Figure 2.** Temporal uncertainty assessment on recalibrated control points and age models in the NW–SA. **(a)** Number of stars assigned to samples of recalibrated chronologies (normalized to 100 %). **(b)** Median value of stars and resolution of the recalibrated chronologies. The small window displays the region of the Galapagos Islands and the marine core ODP677.

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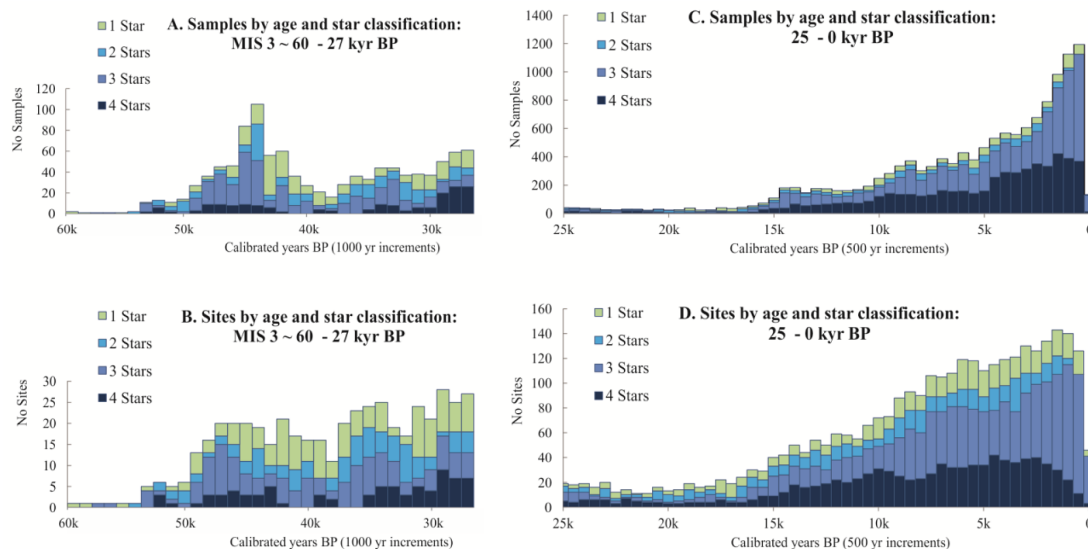
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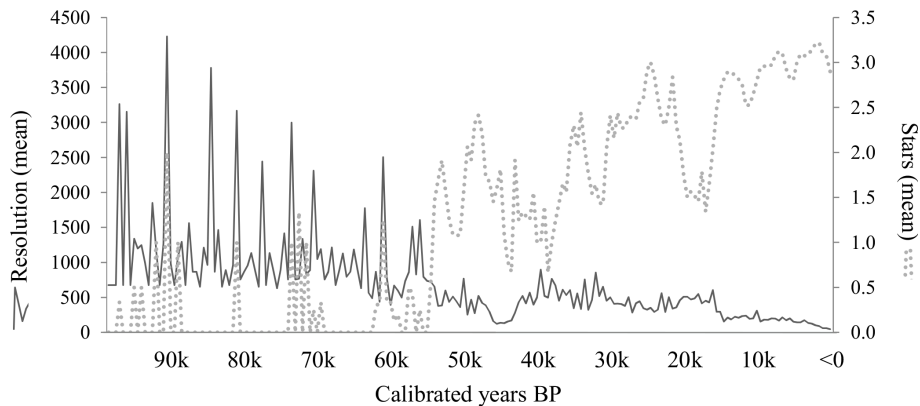
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**Figure 3.** Histograms depicting during the MIS 3 and in 1000 year increments the distribution of samples (a) and sites (b) with their corresponding star classification. Similarly, the histograms (c, d) depict the samples and sites and their corresponding star classification over the last 25 kyr in 500 year increments. The different colours illustrate the number of samples or samples in core segments that were classified with 3 and 4 stars and that indicate good control-point density for most samples.

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**Figure 4.** Changing mean sample resolution (left) and mean number of stars (right) of the pollen database of northwest South America during the period 100 kyr to –50 yr BP.

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