

1 Millennial Mean Temperature Variations in the Qilian Mountains,
2 China: Evidence from Tree rings

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1 Abstract:

2 A 1342-year-long tree-ring chronology was developed from Qilian junipers in the central Qilian
3 Mountains of the north-eastern Tibetan Plateau, China. The climatic implications of this
4 chronology were investigated using simple correlation and response function analyses. The
5 chronology was significantly positively correlated with temperature variables during the pre- and
6 current growing seasons. The variability of the mean temperature from July to September since
7 AD 670 was then reconstructed based on the tree-ring chronology. The reconstruction explained
8 59% of the variance in the instrumental temperature records during the calibration period
9 (1951-2012) and captured the variation patterns in mean temperature at the annual to centennial
10 time scales over the past millennium. The most recent 50 years were the warmest period, while
11 1690-1880 was the coldest period since AD 670. Comparisons with other temperature series from
12 neighbouring regions and for the Northern Hemisphere as a whole supported the validity of our
13 reconstruction and suggested that it provided a good regional representation of temperature change
14 in the north-eastern Tibetan Plateau. The results of wavelet analysis showed the occurrence of
15 significant quasi-periodic behaviour at a number of periods (2-4, 40-50, and 90-170 years), which
16 were consistent with those associated with El Niño-Southern Oscillation (ENSO), Pacific Decadal
17 Oscillation (PDO) and solar activity. The Comparison between reconstructed temperature and the
18 index of tropical volcanic radiative forcing indicated some cold events recorded by tree ring may
19 be due to the impact of tropical volcanic eruptions.

20 Key words: tree rings; temperature; reconstruction; Qilian Mountains

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1. Introduction

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Understanding temperature variations over the past 1000 years is imperative for evaluating the current global warming and forecasting future temperature changes. Numerous temperature reconstructions based on multiple proxies make it possible to understand the temperature changes during the past millennium (Esper et al., 2012; Jones et al., 1998; Mann et al., 1999; Crowley, 2000; Moberg et al., 2005; D'Arrigo et al., 2006). However, due to the uneven distribution of sample locations, knowledge of temperature variations during the past thousand years remains poor for some areas of the world, such as the Tibetan Plateau (TP).

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The TP is well known for its profound influences on both regional and global climate through thermal and dynamical forcing (Ding, 1992; Manabe and Broccoli, 1990; Webster et al., 1998; Zhou et al. 2009). Since the mid-1950s, most of the Plateau has experienced a dramatic warming of the climate (Liu and Chen, 2000), which has caused significant changes in the environmental conditions and ecosystems of this area (Yao et al., 2004, 2012; Cyranoski, 2005; Cheng and Wu, 2007; Xu and Liu, 2007). High-resolution millennium-long records of past temperature variation are urgently needed to better understand recent warming trends in the TP. Tree rings are natural records with annual resolution that provide proxy data for palaeo-environmental studies and reconstructions of various climatic events (Jones et al., 2009). During recent decades, many multiple-century-long temperature reconstructions have been established for areas within the TP, such as the Qilian Mountains (Tian et al., 2009), the Anemaqin Mountains (Gou et al., 2007a, b), the Hengduan Mountains (Fan et al., 2008, 2009, 2010; Li et al., 2011), the Nyainqentanglha Mountains (Zhu et al., 2011a), the Tanggula Mountains (Zhu et al., 2011b; Liang et al., 2008), the

1 Sygera Mountains (Liang et al., 2009), and the Himalaya Mountains (Yang et al., 2009a, 2010;
2 Cook et al., 2003; Hughes, 2001; Yadav et al., 2004). However, few millennial-scale temperature
3 series are available. Using the ring widths and stable carbon isotope ratios ($\delta^{13}\text{C}$) of Qilian juniper
4 from the upper treeline, Liu et al. (2007) reconstructed the December-April temperature in the
5 Qilian Mountains with a 3-yr resolution over the past 1000 years. Zhu et al. (2008), using ring
6 width data from Qilian juniper at the upper treeline, reconstructed mean September-to-April
7 temperatures for the Wulan area, Qinghai Province, since AD 1000. The two reconstructions
8 showed the occurrences of generally low temperatures during AD 1600-1800 and the abrupt
9 warming toward the end of past millennia. However, there were some discrepancies between these
10 series before AD 1500, and the long-term trends were even reversed in the period of A.D.
11 1060-1200. Yadav et al. (2011) reconstructed the mean summer temperature extending back to AD
12 940 derived from tree-ring width data in the western Himalaya, and the centennial-scale variations
13 in the reconstruction revealed the warm periods encompassing the 11-15th centuries, which was
14 different from those in the two reconstructions mentioned above. In addition, combining samples
15 of archaeological wood and living trees in eastern Qaidam Basin, Qinghai Province, Liu et al.
16 (2009), reconstructed the annual mean temperature in a large region of the mid-eastern Tibetan
17 Plateau over the past 2485 years. However, the small sample size led to substantial uncertainties
18 for the period of approximately AD 700-900 (Liu et al., 2009). Additionally, it was controversial
19 whether the archaeological samples used in the research were temperature-sensitive or
20 moisture-sensitive (Shao et al, 2010). The temperature variability in the TP during the past 1500
21 years, especially before AD 1050, remains poorly understood and substantially uncertain (Ge et al.,
22 2010). Whether temperatures were higher in the earlier periods than today or whether the current

1 warming is unprecedented in the context of the past millennium is still unclear in this area.

2 Previous studies have indicated that tree ring samples obtained from low-temperature sites, such
3 as mid-latitude upper treelines and high latitude regions, tend to best reflect past temperature
4 variations (Fritts, 1976; Körner and Paulsen, 2004; Di Filippo et al., 2007; Sazler et al., 2009). To
5 address the need to expand spatial coverage of millennial length proxies of past temperatures, we
6 collected tree-ring samples from the upper treeline in the Qilian Mountains in the north-eastern TP
7 and developed a new ring-width chronology to investigate the temperature variability in the past.
8 The objectives of this study are (1) to develop a new tree-ring chronology for the timberline
9 forests of the Qilian Mountains in the north-eastern TP, (2) to evaluate the validity of this
10 millennial-scale reconstruction; and (3) to reveal the characteristics of past temperature changes
11 using the tree-ring chronology. This reconstruction should improve our understanding of
12 temperature variability in the north-eastern TP for the past millennium.

13 2. Data and methods

14 2.1 Study area

15 The study area is located in the Qilian Mountains National Nature Reserve, which are located
16 along the north-eastern boundary of the TP bordering the Inner Mongolia-Xinjiang Plateau and the
17 Loess Plateau, with elevations between 3000 and 5000 m above sea level (hereafter as a.s.l.) in
18 general. The climate of the region varies with elevation, forming distinct zones of different
19 vegetation (Chen, 1990). The lower portion of the mountains (2000-2600 m) has a semi-arid
20 steppe climate, with annual mean temperature ranging from 2°C to 5°C and annual precipitation
21 of approximately 235 mm to 330 mm; the upper portion of the mountains (2600-3200 m) has a
22 semi-humid forest and steppe climate, with annual mean temperature ranging from -0.7°C to 2°C

1 and annual precipitation of approximately 400 mm to 500 mm; and the subalpine and alpine zones
2 (3200 m and higher) have a cold and humid climate, with annual mean temperature of
3 approximately -1.5 to -0.7 °C and annual precipitation of approximately 500 mm (Chen,
4 1990). The dominant tree species in the study area are Qilian juniper (*Sabina przewalskii* Kom.)
5 and Qinghai spruce (*Picea crassifolia* Kom.) (Yang et al., 2008).

6 Figure 1 near here

7 2.2 Tree-ring data

8 The tree-ring samples were collected on the northern slope of the central Qilian Mountains (Fig.
9 1). Based on repeated field observations, we found that Qilian junipers grow between
10 approximately 2700 m and 3600 m a.s.l in this area. Because most of the trees in the upper treeline
11 are located around 3400 m a.s.l., four sampling sites were selected with elevations above 3300 m
12 (Table 1) . Standard 5-mm increment cores were collected from living and relict trees along the
13 local upper treeline and taken to the laboratory for processing. The samples were prepared using
14 standard dendrochronological techniques (Stokes and Smiley, 1968). After measuring each ring to
15 the nearest 0.01 mm, we statistically verified the cross-dating accuracy using the program
16 COFECHA (Holmes, 1983). Because the four sites were located with close proximity (the longest
17 distance is 6 km between sites HY0 and HY6) and the mean correlation of all cores was 0.6, all of
18 the raw measurements were used to develop a single standard chronology (hereafter as HY). Two
19 criteria were used to exclude certain series in order to ensure high signal-to-noise level and obtain
20 the strong temperature signal from the tree-ring series. First, series that exhibited low correlations
21 with the master series ($r < 0.4$) were excluded from being used in the chronology. Second, series
22 from trees growing in rocks or crevices with mean sensitivity values greater than 0.45 were also

1 excluded. The reason for this is because the mean sensitivity values of most sample series are
2 range from 0.15-0.45, while these excluded tree ring series show higher mean sensitivity values
3 with more absent rings. Mean sensitivity is a measure of the relative difference in ring width
4 between adjacent rings, and precipitation-sensitive ring width samples tend to have high
5 variability between adjacent rings (Fritts, 1976). After applying these criteria, 152 cores from 82
6 trees (out of 250 cores/118 trees, Table 1) were selected to construct the chronology using the
7 program ARSTAN (Cook, 1985), which had the potential to be temperature sensitive.

8 The negative exponential curve and linear curve with negative slope were used to fit age-related
9 growth trends from the individual tree-ring series for cores that were close to the piths (93 cores).
10 For cores that were not close to the piths (47 cores), their growth trends were fitted based on the
11 horizontal lines through the mean. For cores that reached the piths, the Hugesshoff growth curve
12 (4 cores) or a general negative exponential curve (8 cores) was used to fit the growth trends (Cook
13 and Kairiukstis, 1990; Fritts, 1976; Warren, 1980). The final ring-width chronology was obtained
14 by calculating the ratios of the ring-width measurements over the fitted values for each year,
15 producing dimensionless indices with a mean of 1.0. Signal strength of the standard chronology
16 was assessed by the mean inter-series correlation (R_{bar}), and the associated expressed population
17 signal (EPS) (Wigley, 1984). To reduce the possible influence of the variable sample size, the
18 variance of the chronology was stabilised using the method described by Osborn et al. (1997). The
19 subsample signal strength (SSS) with a threshold value of 0.85 was used to assess the adequacy of
20 the replications in the early years of the chronology (Wigley, 1984). The SSS estimates the
21 agreement between an average series made from a few samples with one made from an optimum
22 or larger number of series.

1 2.3 Climatic data

2 Base on the China Meteorological Data Sharing Service System
3 (<http://cdc.cma.gov.cn/home.do>), four climatic variables from two meteorological stations
4 (Yeniugou and Zhangye) near our tree-ring sites were used here (Table 1), including monthly
5 mean temperature (Tmean), monthly minimum temperature (Tmin), monthly maximum
6 temperature (Tmax), and monthly total precipitation (PRCP)(Fig. 2). To be mentioned, the nearest
7 meteorological station before 2012 was Sunan station, however, its climatic data now is
8 unavailable in the China Meteorological Data Sharing Service System, and accordingly, is not
9 considered in this study.

10 To assess the regional significance of our reconstruction, the CRU gridded dataset (TS3.21)
11 (Mitchell and Jones, 2005) was correlated with the instrumental and the reconstructed series,
12 respectively, using the research tool known as KNMI Climate Explorer (<http://climexp.knmi.nl>,
13 latest access on May 15, 2014).

14 Figure 2 near here

15 2.4 Statistical methods

16 Correlation and response function analyses (Fritts, 1976) were used to investigate the
17 relationships between the tree-ring data and climatic variables for the 12-month period extending
18 from October of the prior year to September of the current year using the program
19 DENDEOCLIM2002 (Biondi and Waikul, 2004).

20 To reconstruct the past climate variations, the instrumental climatic records were regressed
21 against the HY chronology. The climate variables for the successive months from the previous
22 October to current September in two stations were examined to identify the best climatic variable

1 and season for reconstruction. The accuracy of the model was evaluated by splitting the samples
2 into two sub periods for separate calibration and verification. Statistical tests, including Pearson's
3 correlation coefficients (r), explained variance (R^2), reduction of error (RE), and coefficient of
4 efficiency (CE) (Cook et al., 1999) were applied.

5 Wavelet analysis, using a Morlet wavelet coupled with a 5% red-noise reduction, was employed
6 to reveal the variability of the temperature reconstruction in the frequency domain
7 (<http://paos.colorado.edu/research/wavelets/>; Torrence and Compo, 1998).

8

9 3. Results

10 3.1 STD chronology statistics

11 The chronology covers the period from AD 450 to 2012 (Fig. 3). Based on the subsample signal
12 strength threshold of 0.85, the chronology was considered reliable when the sample size reached
13 11 cores, corresponding to the period from AD 670 to 2012. The median segment length of the
14 chronology was 516 years, indicating its ability to resolve inter-annual to centennial variations in
15 tree growth that were likely related to climate variability. The mean sensitivity was approximately
16 0.175 that was relatively low due to the criteria applied in selecting the sample cores used in
17 chronology construction. The signal-to-noise ratio and the expressed population signal were 30.83
18 and 0.969, respectively, indicating that the chronology was appropriate for dendroclimatic studies
19 (Wigley et al., 1984).

20 Figure 3 near here

21 Table 2 near here

22 Figure 4 near here

1 3.2 Correlation and response-function analyses between tree growth and climate

2 The results of the correlation analyses between the ring width index and the climatic variables
3 (Fig. 4, left) indicated strong relationships between tree growth and temperature at the two stations.
4 Except for the negative correlations between the tree ring chronology and Tmax at Yeniugou
5 during May of the current year, all of the temperature variables were positively correlated with the
6 tree-ring index. Significantly positive correlations with Tmean and Tmin occurred in almost all
7 months. The correlations between the tree-ring index and PRCP were weak and not statistically
8 significant in most months except for a positive correlation in current March and current May at
9 Yeniugou and current January at Zhangye. The response-function analysis showed a similar
10 pattern (Fig. 4, right). The tree-ring index was not significantly correlated with PRCP at the two
11 stations in all months, while the monthly temperature variables in most of months were positively
12 correlated with the tree-ring index. The most significant relationships occurred at February, June
13 and July of the current year. The results of correlation and response-function analyses of
14 temperature variables in two stations with tree-ring index indicated that the tree-ring growth in our
15 sites was temperature-sensitive.

16 Figure 4 near here

17 Figure 5 near here

18 3.3 Calibration and reconstruction of the mean temperature

19 The resulting statistics of split-sample calibration-verification test for different seasonal and
20 annual mean temperature variables in the two stations were shown partially in Table 3, where all
21 values of RE and CE were significant at the 0.1 level. The regression models based on temperature
22 variables in Zhangye and tree-ring index were more reliable. Although the elevation of Zhangye

1 station is much lower than that of Yeniugou station, the correlations between monthly temperature
2 variables of two stations for the same months are high, especially in warm season. The mean of
3 correlation coefficients of Tmean in 12 months is 0.628 (Tmin: 0.717; Tmax: 0.607). Additionally,
4 Temperature data in Zhangye station can be a good representative of temperature variations in
5 agricultural region of the Hexi Corridor in China, therefore, temperature data in Zhangye station
6 was employed to reconstruct the past temperature history. Based on the phonological investigation
7 of Qilian Juniper in the Qilian Mountains (Liu et al., 2006), the Qilian Juniper leaves sprout in late
8 May or early June when average daily temperature reaches 8-10°C, radial growth will continue
9 into middle September, and stop in late September. Considering the effects of precipitation in
10 early spring and the reliability of the regression model, we finally chose the mean temperature at
11 Zhangye from current July to current September for the reconstruction. A transfer function was
12 estimated by linear regression using July-September mean temperature at Zhangye as the
13 dependent variable and the standard tree ring chronology as the independent variable.

14 Fig. 5 compared the observed and estimated Tmean series during 1951-2012, the scatter plot
15 clearly presented the linear relationship between the instrumental and estimated data (Fig. 5a), the
16 estimated temperatures closely matched the instrumental record in most of years except in 4
17 abnormal years(1952,1979,1993,1995)(Fig. 5b). According to instrumental records of Zhangye
18 stations, the observed July-September precipitation in the first 3 years were abnormally high
19 during the past 62 years (122mm in 1952, 141mm in 1979, 130 mm in 1953, while the mean
20 during 1951-2012 is 75 mm), abnormally high precipitation will lead to low temperature, but in
21 some extent, will reduce the influence of lower temperature on tree-growth, which might explain
22 the discrepancies between actual and estimate values in the 3 years. Both spring precipitation in

1 1995 (6 mm) and summer precipitation in 1994 (70 mm) were very low, total precipitation in July
2 and August of 1994(28 mm) was the lowest in the same months during 1951-2012. The severe
3 droughts in growing period in previous year and pre-growing period in current year would
4 influence the tree-growth significantly although temperature in growing season was nearly normal
5 in this year. Considering these abnormal years, a new transfer function was re-estimated by linear
6 regression excluding the 4 years, the final transfer function was

$$7 \quad T_{7-9} = 15.6 + 2.43STD$$

8 where T_{7-9} is the average July-September mean temperature and STD is the index of HY
9 chronology.

10 The final calibration model accounted for 59% ($p < 0.001$) of the total variance of the mean
11 July-September mean temperature over the calibration period from 1951 to 2012. As shown in
12 Table 3(bottom), both RE and CE are positive, indicating that our regression model is stable and
13 reliable, and is acceptable to reconstruct the annual-to-centennial variability of the mean
14 July-September mean temperature in the central Qilian Mountains since AD 670.

15 Table 3 near here

16 Figure 5 near here

17 The 31-year running means of the reconstructed series revealed multi-decadal to
18 centennial-scale variation patterns (Fig. 6a). The July-September mean temperature fluctuated
19 with relatively low variability from approximately AD 670 to 780 and from AD 1100 to 1400,
20 while some larger fluctuations were found in the periods of approximately AD 850-1100 and AD
21 1400-1600. A significant long-term cooling occurred with several short warmer periods, from the
22 late 1500s to the end of the 19th century. Temperature increased gradually after AD 1850, and the

1 increase during the most recent 100 years was the most rapid in the past millennium with a
2 warming trend of 0.26°C per 100 years. Based on the overall mean and 31-year running means,
3 several distinct warm and cold periods were identified. The warm periods included approximately
4 AD 920-1000, 1310-1450, 1490-1570, and 1930-2011, while the cold periods were approximately
5 AD 780-890, 1000-1060, 1110-1170, 1260-1300, 1450-1490, 1570-1650, 1690-1880, and
6 1900-1930. The most recent 50 years was the warmest period, and AD 910-1000 was the
7 second-warmest period over the past 1342 years. The period from AD 1690 to 1880 was the
8 coldest period over the past millennium. There seemed to be a centennial scale cyclic pattern in
9 the reconstructed series, especially during AD 1000-1700 (Fig. 6a). Wavelet analysis was then
10 used to analyse the reconstruction series jointly in the time and frequency domains for
11 time-varying signals. The results revealed the persistence of high-frequency (appr. 2-4 years,
12 mainly exists during AD 1000-1600) trends and low-frequency century-scale (appr. 90-170 years,
13 mainly exists during AD 1350-1700; appr. 40-50 years, exists during AD 900-1000) (Fig. 6b).

14 Figure 6 near here.

15 4. Discussion:

16 4.1 Climatic implications of the upper treeline chronologies

17 The significant positive correlations between the tree-ring data and Tmean and Tmin in most
18 months (Fig. 4) indicated that the HY chronology was temperature-sensitive. A similar climatic
19 response has been reported for the timberline forests on the eastern and north-eastern TP (Shao
20 and Fan, 1999; Bräuning, 2006; Liu et al., 2007; Liang, 2006, 2008, 2009). Low temperature
21 limited respiration, photosynthesis, and other biochemical process which were essential for rapid
22 growth of trees (Fritts, 1976). For instance, low summer soil temperatures at the timberline can

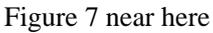
1 limit the growth of roots and their function in water uptake (Körner, 1999; Mayr, 2007). In
2 addition, even though the cambium tissues of trees are dormant in winter and early spring, the
3 phloem sap may have freezing damage when temperatures are low during this period (Kimmins,
4 1987). Accordingly, tree growth at the upper forest limit responded strongly to temperature
5 variation in our study region. However, the influence of growing-season precipitation should be
6 noted in this area as well. The positive correlations of the tree-ring index with precipitation and
7 negative correlations with maximum temperature in May indicated the presence of moisture stress
8 when the trees begin to grow in the early growing season. Although precipitation is higher and
9 temperatures are lower at the upper forest limit than at low elevations, rainfall during this time
10 may not meet the demand for tree growth in the arid and semi-arid areas. Nevertheless, the
11 influence of precipitation seems to occur only in the early growing season or some abnormal years,
12 and tree growth is mainly influenced by temperature at our study sites.

13 4.2 Validation of the reconstruction

14 4.2.1 Spatial representativeness of the reconstructed series

15 Figure 7 shows the results of spatial pattern of the correlations of the CRU gridded mean
16 July-September Tmean over western China with the actual and reconstructed July-September
17 Tmean for the period 1951-2012. The instrumental data significantly ($p < 0.1$) correlated with the
18 gridded mean July-September Tmean over most areas in western China, with stronger correlations
19 being found mainly in the TP and the north-central part of western China (Fig. 7). For the
20 reconstructed series, the spatial pattern of the correlation was quite similar to that of the
21 instrumental data, with somewhat lower correlation coefficients and smaller spatial coverage of
22 statistical significance (Fig. 7). However, the TP was still a prominent area with high correlation

1 coefficients. The spatial correlation results demonstrated that our temperature reconstruction could
2 reflect temperature variability in a large region, especially in the TP.

3 

4 4.2.2 Comparisons with other reconstructions and Northern Hemisphere temperature

5 To further assess the validity of our temperature reconstruction for the Qilian Mountains (Fig.
6 8a), we compared it with several millennium-long temperature reconstructions in the region.
7 Closest to our study sites is the Sidalong reconstruction series of December-April temperature
8 (Liu et al., 2007), based on a combination of ring width and stable carbon isotope with a 3-year
9 resolution (Fig. 8b). This reconstruction agreed closely with ours (Fig. 8a) with a correlation
10 coefficient of 0.58 ($p < 0.01$) between the two series for the period of AD 1066-1999 and the
11 variation patterns were similar on an interdecadal time scale. However, some differences in the
12 low-frequency domain existed during AD 1100-1200 and 1350-1410, which may be due to the
13 different standardisation methods used and the response mechanisms of carbon isotope and radial
14 growth to climate factors. Figure 8c shows another millennial-scale temperature reconstruction of
15 previous September to current April (Zhu et al., 2008), using Qilian juniper samples from the
16 upper treeline in Wulan approximately 200 km south of our sites. Like our temperature
17 reconstruction, this reconstruction series indicated that cold conditions prevailed from the early
18 17th century to the middle of the 19th century and that the rate of warming rapidly increased during
19 the most recent century. The Wulan reconstruction was also significantly correlated with our
20 reconstruction for the period of AD 1060-2004 ($r=0.44$, $p<0.01$). This series and our series also
21 showed consistent multi-decadal variations, such as the cold period at the end of the 13th century,
22 warm period during the 16th century, cool period from the end of the 16th century to the early 17th

1 century, and the cold period during the 1800s. The two reconstructions showed certain
2 discrepancies in multi-decadal trends during several periods, such as AD 1100-1200. Since the
3 differences mainly existed before AD 1200 when the sample depth of Wulan reconstruction was
4 low, the sample depth may also contribute to these discrepancies (Shao et al., 2010). Additionally,
5 inner-annual variability may have caused discrepancies between the series as the seasons covered
6 by the reconstructions were different (current July - September vs. previous September-current
7 April).

8  Figure 8 near here

9 In addition to the tree-ring-based reconstructions, we compared our reconstruction to an
10 ice-core $\delta^{18}\text{O}$ series with a 10-yr resolution reflecting the temperature variations at Dunde in the
11 Qilian Mountains (Thompson et al., 2003) (Fig. 8d). Both series showed strong warming trends
12 since the late 18th century. The cold periods of approximately AD 1100-1200, 1250-1300,
13 1450-1500, and 1750-1800 and the warm periods of approximately AD 1050-1100, 1500-1600,
14 and 1950-2009 in our reconstruction were all confirmed by the corresponding cold and warm
15 periods in the ice-core series. In general, the overall agreement between our reconstruction and
16 other temperature reconstructions suggests that our series is reliable over the past millennium.

17 We also compared our reconstruction to broad-scale temperature reconstructions for the
18 Northern Hemisphere (NH) (Jones et al., 1998; Mann et al., 1999; Crowley, 2000; Moberg et al.,
19 2005; D'Arrigo et al., 2006). As shown in Fig. 8e, the temperature reconstructions for the NH
20 generally showed a cold period during approximately AD 700-950 and a warm period during
21 approximately 950-1100 (the Medieval Climate Anomaly). Another cold period can be seen during
22 approximately AD 1100-1400, followed by the Little Ice Age (LIA) (approximately 1450-1850).

1 Temperature then rapidly increased after approximately 1810. Our reconstruction showed a similar
2 long-term trend of temperature variability over the past 1300 years. Certain decadal-scale cold and
3 warm episodes in the NH, including those of the AD 840s (cold), 910s (cold), 980s (warm), 1090s
4 (warm), 1210s (cold), 1240s (warm), 1290s (cold), 1420s (warm), 1470s (cold), 1540s (warm),
5 1590s (cold), 1710s (cold), and 1990s (warm) were also found in our reconstruction, suggesting
6 that the temperature variations in the north-eastern TP were highly synchronous with those of the
7 NH. Several of these cold or warm events are recorded by temperature series in other regions. For
8 example, the cold periods during approximately the AD 840s and 910s can be seen in a stalagmite
9 series from Beijing (Tan et al., 2003) and in historical documents from eastern China (Ge et al.,
10 2003). The warm periods during approximately the AD 1240s, 1540s and 1990s were recorded
11 both in eastern China and in the TP (Yang et al., 2002, 2009b). These agreements not only
12 suggested the occurrence of climatic events at a continental or even semi-hemispheric scale but
13 also reinforced the validity of our temperature reconstruction. The differences between the NH
14 temperature series and our reconstruction probably reflected local climatic variability. For
15 example, our series showed that the Medieval Climate Anomaly was not as continuous in the
16 Qilian Mountains and that it probably occurred earlier in this region than elsewhere in the NH.
17 The magnitudes of the temperature fluctuations and the multi-decadal trends during some periods
18 also differed between our series and the NH temperature series.

19 4.3 The periodicity of the reconstruction and the possible forcing factors of 20 temperature variations

21 The cycles of 2-4 years (Fig. 6b) in our reconstruction are typically associated with El
22 Niño-Southern Oscillation (ENSO) (Allan et al., 1996), similar periodicities can be identified in

1 other temperature and precipitation reconstruction series in China (Fang et al., 2009; Li et al.,
2 2011; Zhang et al., 2011; Sun and Liu, 2012; Deng et al., 2013). The results of instrumental data
3 based researches on ENSO and temperature in the Qilian Mountains showed air temperature in the
4 El Niño years was increased, while in the La Niña years air temperature was distinctly decreased
5 in this area (Lan et al., 2003; Zhang et al., 2011; Yang and Zhao, 2012). However, it seems that the
6 relationship between ENSO and temperature was unstable for the past millennium. Cross
7 correlation between a reconstructed inter-decadal ENSO variation series (Li et al., 2011) and our
8 reconstruction for the period 900-2002 was not statistically significant with lags up to 10 years
9 ($r=-0.017$, lag = 0), while some significant correlations between ENSO index and reconstructed
10 temperature were found in some periods using 50yr moving-correlation. A series of significant
11 positive correlations ($p<0.01$) were found in approximately AD 1340-1410, 1553-1631, and
12 1798-1869, the highest correlation coefficient was 0.32 in AD 1355-1404, and meanwhile,
13 continuous significant negative correlation ($p<0.01$) were found in AD 1060-1130, 1591-1656,
14 and 1840-1927, with the highest correlation coefficient of -0.33 during AD 1849-1898.

15 The significant cycles at around 40-50 years (Fig. 6b) might be linked to Pacific Decadal
16 Oscillation (PDO) (Mantua et al. 1997; Minobe, 1997). We then calculated the correlation
17 between our reconstruction and PDO index (MacDonald and Case, 2002) for the period of
18 993-1996, but the relationship between the two series was not significant ($r=0.08$). However, it
19 was interesting to note that significantly negative correlation were found in several periods when
20 we calculated the moving-correlation between the two series for different 100-yr intervals,
21 especially during 1370-1510, when continuous highly negative correlation coefficients were found
22 with the highest value of -0.564 ($p<0.01$) in 1389-1488. Meanwhile, a series of significantly

1 positive correlations were found during AD 1068-1210, 1282-1386, and 1509-1654, and the
2 highest positive correlation coefficient was 0.456 ($p < 0.01$) for AD 1528-1627. Hence the
3 relationship between PDO and temperature variability in our study area probably changed over
4 time.

5 It is well known that solar irradiance and volcanism are the important forcing factors of global
6 temperature variations (Crowley, 2000; Jones and Mann, 2004). The centennial cycles identified in
7 our study were possibly associated with the frequencies of solar variations (Stuiver and Braziunas,
8 1989; Hoyt and Schatten, 1997; Raspopov et al., 2008). The cycles similar to the periodicities of
9 90-170 years have been found in other tree ring reconstructions in China (Wang et al., 2008; Gou
10 et al., 2010; Zhang et al., 2011). These significant low-frequency cycles were prominent in the
11 periods of AD 1350-1650 and before AD 1150, corresponding to the Sporer minimum (AD
12 1460-1550) and Oort minimum (AD 1040-1080) period of lower solar activities. Although the
13 low-frequency signal depressed since AD 1650, low temperatures during the LIA should be linked
14 to the Maunder Minimum of solar activity (Shindell et al., 2001).

15 The relationship between volcanism and temperature variations cannot be revealed via the
16 spectrum analysis, however, some extreme cold events in our reconstruction maybe associate with
17 the volcanic eruptions. Because the effects of some volcanoes may take a couple of years to
18 impact around the globe (Robock and Mao, 1995; Salzer and Hughes, 2007), the reconstructed
19 cold events which occurred in current and/or the next two years of volcano eruptions were counted
20 (Table 4). The tropical volcanic radiative forcing (Mann et al., 2005) were used here, and a single
21 negative value year or successive negative value years was regarded as a volcanic event, 21
22 volcanic events were then identified during the period of AD 1000-1999. Meanwhile our

1 reconstruction series was normalized by its mean and standard deviation, the years with the value
2 less than 0.5 were regarded as cold years in this standardized series. In this case, the
3 corresponding cold events can be found in 15 volcanic event years for the past millennium. Some
4 of them were also supported by the factual evidences, such as these volcanic eruptions since AD
5 1815. It seems that the volcanic eruption in Indonesia played an important role in temperature
6 change in our study area. Undoubtedly, solar activity and volcanism have great influences on
7 global temperature change. However, the forcing mechanism of both factors on local temperature
8 variations is complex and still unclear. More temperature-related tree-ring series are urgently
9 needed for the further analysis.

10 5. Conclusion

11 In this study we sampled four upper-treeline sites (> 3300 m a.s.l.) for tree ring cores of
12 Qilain juniper in the Qilian Mountains of the north-eastern Tibetan Plateau. After carefully
13 screening sample cores that are less sensitive to precipitation, we selected 152 cores from 82 trees
14 to construct a potentially temperature-sensitive ring width chronology through correlation and
15 response-function analyses between the chronology and climatic variables (Tmax, Tmin, Tmean,
16 and PRCP), we determined that the radial growth of the trees was mostly controlled by
17 temperature. The correlations between the tree ring chronology and mean temperatures at near-by
18 weather stations can be as high as 0.6 and, therefore, it can be used to infer the variations in mean
19 July-September mean temperature over the past millennium for the study region. For the
20 calibration period of 1951-2011, the transfer function explained 59% of the total variance in
21 average July-September mean temperature. This temperature reconstruction covered the period
22 AD 670-2011 and revealed temperature variation patterns at the inter-annual to centennial

1 timescales over the past 1342 years. The comparisons with other reconstructions in the region and
2 those of the Northern Hemisphere displayed strong consistencies, suggesting good reliability.

3 According to the reconstructed series, distinct warm periods were identified during AD
4 920-1000, 1310-1450, 1490-1570, and 1930-2011, while cool periods were identified in AD
5 780-890, 1000-1060, 1110-1170, 1260-1300, 1450-14900, 1570-1650, 1690-1880, and 1900-1930.
6 The warming during the most recent 50 years was unprecedented within the past millennium; even
7 during the dramatic warming from AD 900 to 1100, the reconstructed temperatures did not exceed
8 those observed today. The period from AD 1690 to 1880 was the coldest and longest-lasting cold
9 period during the past 1342 years.

10 Significant periodicities were found in the reconstructed series using the wavelet analysis,
11 including those of 2-4, 40-50, and 90-170 years. We examined the relationships between the
12 reconstructed temperature series and several forcing mechanisms of temperature variability at
13 different temporal scales, including ENSO and PDO, solar activities, and volcanic eruption
14 records. We found that the influences of both ENSO and PDO might have been variable over time.
15 The periodicities of solar activity have good agreement with those in our reconstruction. The
16 tropical volcanic eruptions have good corresponding relationships with the cold events recorded
17 by our reconstruction.

18

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Tables

Table1 Tree-ring sampling sites and meteorological stations

	Name	Longitude (E)	Latitude (N)	Elevation (m a.s.l.)	Time span	Cores (Trees)
Tree-ring sites	Hy0	99.70	38.69	3371-3489	450-2009	64(27)
	Hy1	99.68	38.70	3300-3420	486-2012	80(39)
	Hy3	99.69	38.71	3301-3341	490-2009	24(11)
	Hy6	99.67	38.72	3369-3578	1076-2012	82(41)
Meteorological stations	Yeniugou	99.58	38.42	3320.0	1960-2012	
	Zhangye	100.43	38.93	1482.7	1951-2012	

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Table 2 Statistical features of the HY chronology

Statistics	HY
Total number of cores	152
Mean sensitivity	0.175
Mean	0.982
Standard deviation	0.22
First-order autocorrelation	0.535
Median length	516
Statistical features of the common-period analyses (1701-2000)	
Number of cores	68
Mean correlation between all series	0.312
Mean correlation between trees	0.306
Mean correlation within trees	0.823
Signal-to-noise ratio	30.83
Variance explained by the first principal component (%)	40.9
Expressed population signal (EPS)	0.969
First year of subsample signal strength > 0.85 (Number of Cores)	670(11)

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Table3 Calibration and verification statistics of the monthly/seasonal temperature models for the reconstruction

Station	Season	Calibration period	R ²	Verification period	r	RE	CE
Yeniugou	C6-C9	1960-1986	0.157 ^b	1987-2012	0.504 ^a	0.474 ^a	-0.873 ^c
	C6-C9	1987-2012	0.254 ^b	1960-1986	0.396 ^a	0.501 ^a	-1.719 ^c
	P10-C9	1952-1981	0.14 ^b	1982-2012	0.683 ^a	0.469 ^a	-0.536 ^c
		1982-2012	0.466 ^a	1952-1981	0.375 ^a	0.571 ^a	-0.881 ^c
	P11-C8	1952-1981	0.138 ^b	1982-2012	0.614 ^a	0.449 ^a	-0.678 ^c
		1982-2012	0.377 ^a	1952-1981	0.371 ^a	0.523 ^a	-1.107 ^c
Zhangye	P11-C4	1952-1981	0.15 ^b	1982-2012	0.437 ^a	0.497 ^a	-0.353 ^c
		1982-2012	0.191 ^b	1952-1981	0.387 ^a	0.374 ^a	-0.59 ^c
	C2-C4	1951-1981	0.141 ^b	1982-2012	0.396 ^a	0.431 ^a	-0.089 ^c
		1982-2012	0.157 ^b	1951-1981	0.376 ^a	0.402 ^a	-0.349 ^c
C7-C9	1951-1981	0.153 ^b	1982-2012	0.641 ^a	0.517 ^a	0.17 ^b	
	1982-2012	0.41 ^a	1951-1981	0.391 ^a	0.584 ^a	-0.005 ^c	
After excluding the 4 years(1952,1979,1993,1995)							
Zhangye	C7-C9	1951-1979	0.41 ^a	1980-2008	0.718 ^a	0.68 ^a	0.44 ^a
		1980-2008	0.516 ^a	1951-1979	0.641 ^a	0.789 ^a	0.369 ^a
		1951-2008	0.59 ^a	--	--	--	--

r: Pearson's correlation coefficient; R²: the explained variance; RE: the reduction of error; CE: coefficient of efficiency.

^a significant at P<0.01; ^b significant at P<0.05; ^c significant at P<0.1

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Table 4 Tropical volcanic eruptions and the possible corresponding cold events recorded by tree ring (AD 1000-1999)

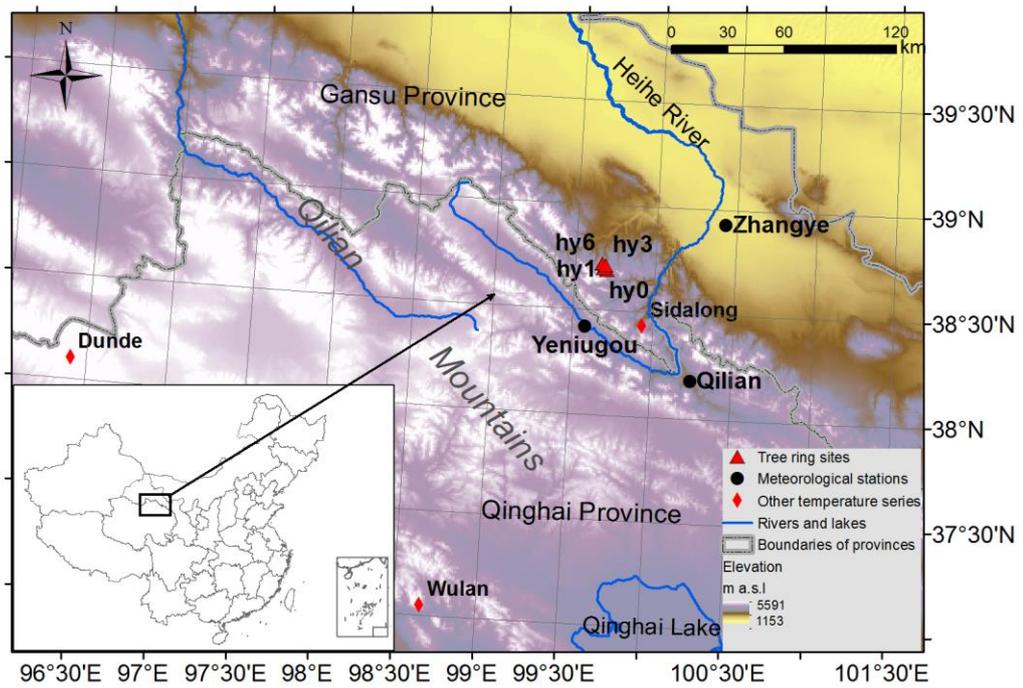
	Year (Tropical Volcanic Radiative Forcing, W/m2) (Mann et al., 2005)	Year (Standardized Tmin)	Volcanic eruption*
1	1195(-2.42),1196(-0.9)	1197(<-1.5),1198(<-1)	
2	1259(-11.82),1260(-4.4),1261(-1.6)	1259(<-1.5),1260(<-0.5), 1262(<-0.5)	
3	1285(-3.75),1286(-1.4)	1285(<-1.5),1286(<-0.5)	
4	1453(-4.4)	1453(<-1.5)	
5	1465(-1.1),1466(-0.4)	1465(<-1),1467(<-1.5), 1468(<-0.5)	
6	1601(-5.43),1602(-2)	1602(<-1)	
7	1641(-5.5),1642(-2),1643(-0.8)	1641(<-1), 1643(<-0.5)	Philippines – Mindanao (1641 Jan 4) Java (Indonesia) (1641)
8	1674(-3.37),1675(-1.2)	1674(<-0.5),1675(<-1), 1676(<-1.5)	
9	1681(-2.79)	1681(<-1.5)	
10	1809(-5.5),1810(-2)	1810(<-1)	
11	1815(-5.98),1816(-2.2),1817(-0.8)	1818(<-1)	Lesser Sunda Islands (Indonesia) (1815 Apr 10) Java (Indonesia) (1817 Jan 16)
12	1831(-4.86),1832(-1.8)	1831(<-1.5),1833(<-0.5)	North of Luzon (Philippines) (1831)
13	1883(-3.7),1884(-1.4)	1883(<-1.5),1884(<-1.5)	Indonesia (1883 Aug 27)
14	1969(-1.06),1970(-0.51),1971(-0.2)	1971(<-0.5)	Java (Indonesia) (1982 May 17) Sulawesi (Indonesia) (1983 Jul 23)
15	1993(-1.39), 1994(-0.56),1995(-0.26)	1995(<-1.5)	Northern Chile (1993 Apr 19), New Britain (1994 Sep 19)

11 *volcanic eruption data were downloaded from Global Volcanism Program, Department of Mineral Sciences, National Museum of
12 Natural History, Smithsonian Institution (<http://www.volcano.si.edu/>).

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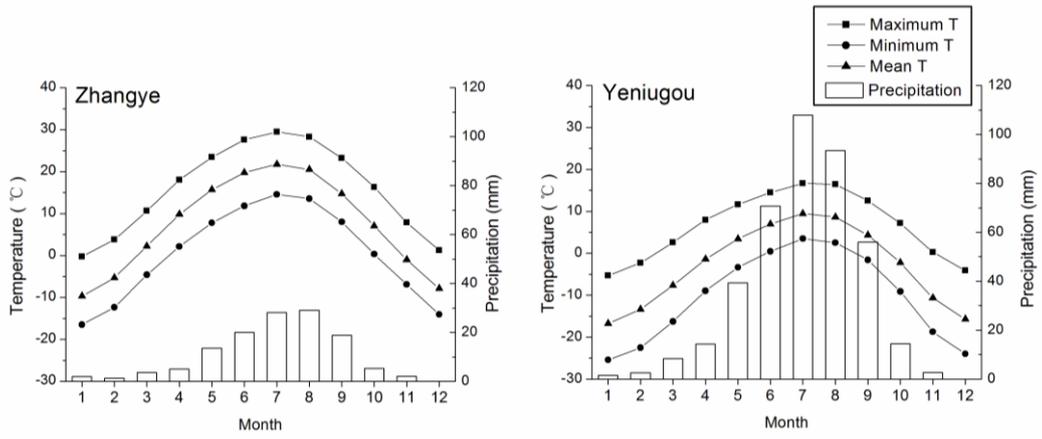
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9 Figures and captions



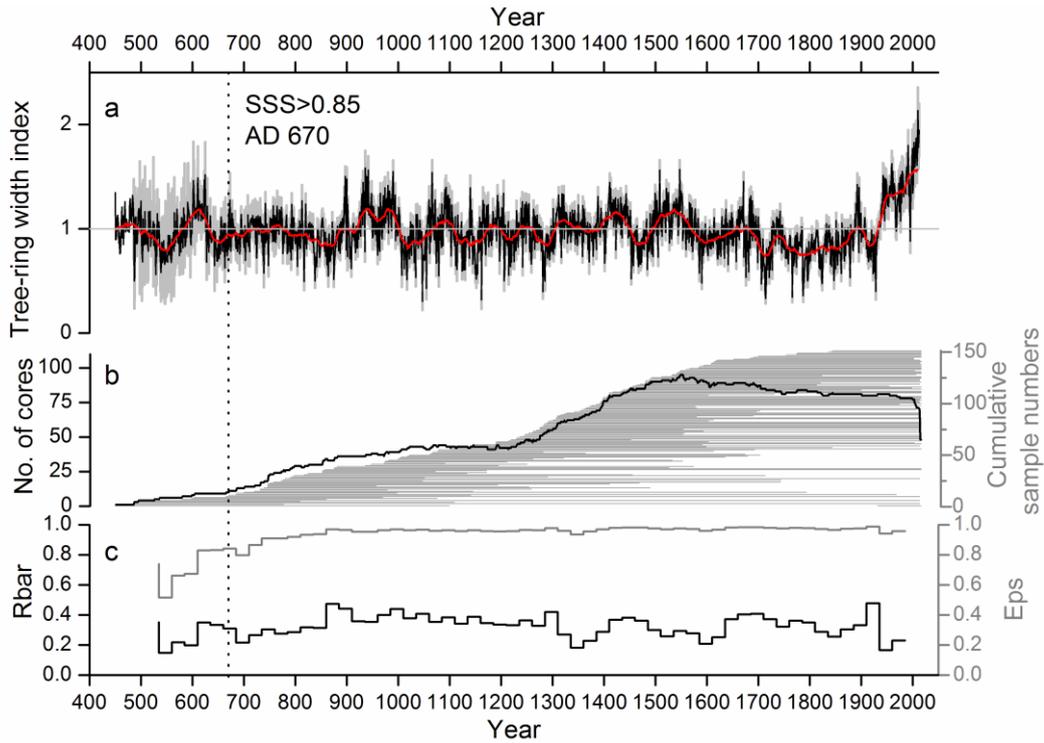
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Fig. 1 Locations of tree-ring sampling sites, meteorological stations, and other temperature-related series in the Qilian Mountains (Sidalong: Liu et al., 2007; Wulan: Zhu et al., 2008; Dunde: Thompson et al., 2003)



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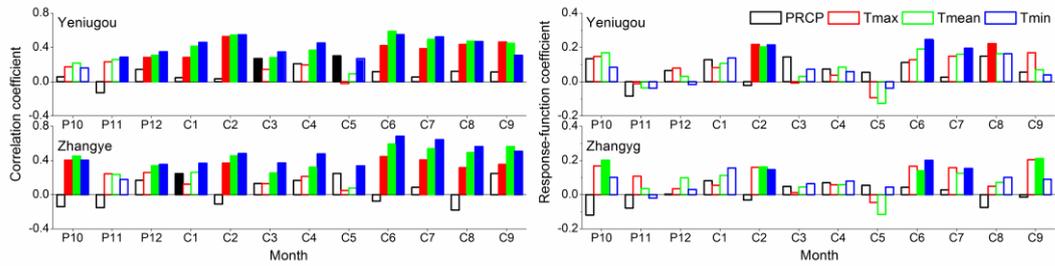
Fig. 2 Monthly maximum, minimum, and mean temperatures and precipitation at Zhangye and Yeniugou meteorological stations.



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 2 Fig. 3 a. Ring-width chronology (HY), the red line indicates the 31-year running mean, the grey
 3 area indicates the 2-standard errors of the ring-width chronology. b. Changing sample size over
 4 time (dark line) and cumulative sample numbers. c. Expressed Population Signal (EPS) (Wigley et
 5 al., 1984) and the mean inter-series correlation (Rbar) values. The dotted vertical line denotes the
 6 year AD 670, when the SSS value exceeded the threshold of 0.85(SSS means the subsample signal
 7 strength).

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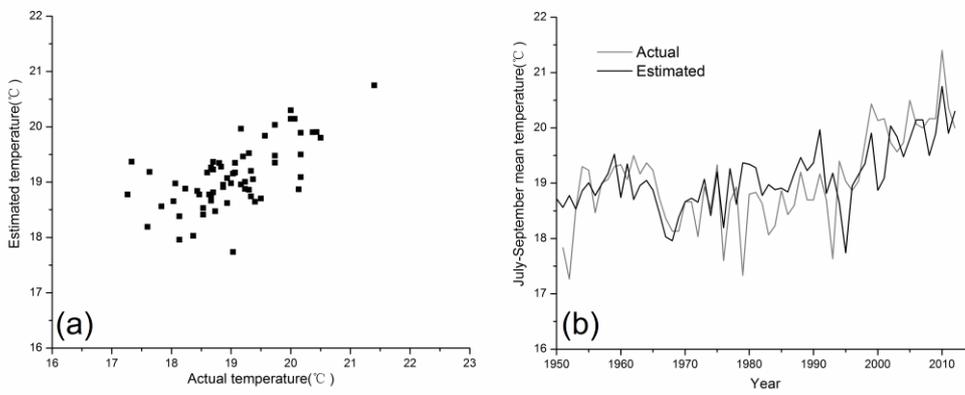


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7 Fig. 4 Correlation (left) and response-function (right) analysis plots between the HY chronology
8 and the monthly climatic data from Yeniuogou and Zhangye. Months P10 through P12 are October
9 through December of the previous year, and months C1 through C9 are January through
10 September of the current year. The filled colour bars mean the significance level of 0.05. The
11 monthly climatic data include monthly mean temperature (Tmean), monthly minimum
12 temperature (Tmin), monthly maximum temperature (Tmax), and monthly total precipitation
13 (PRCP).

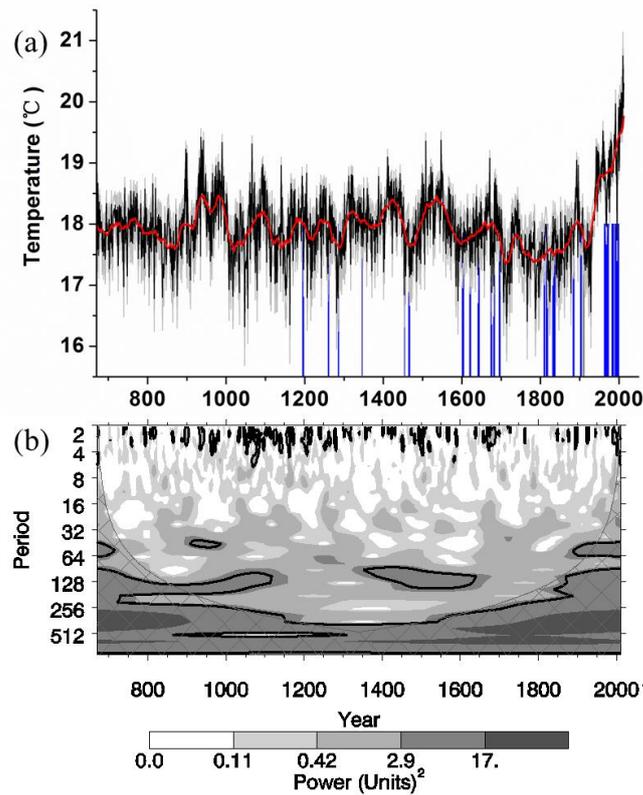
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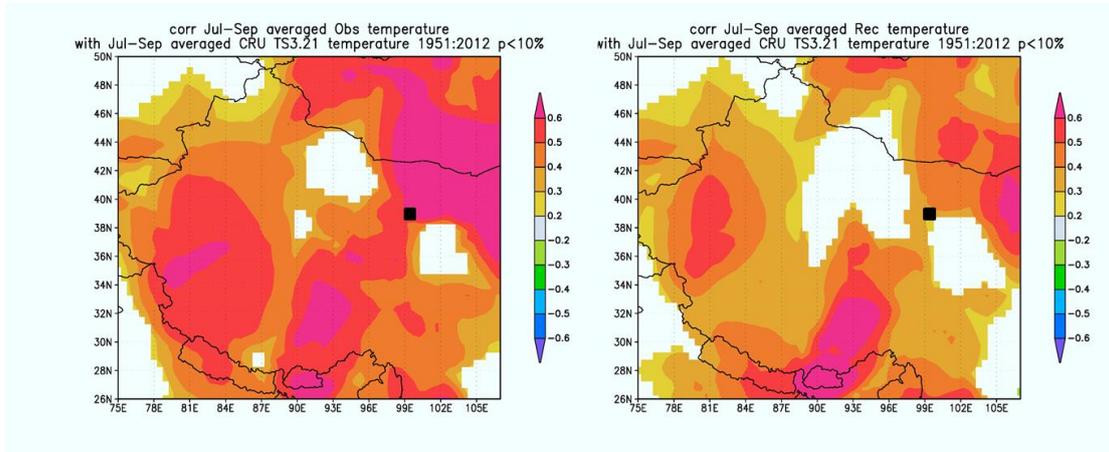
Fig. 5 Scatter plot (a) and time series (b) of the actual and estimated mean temperature during the calibration period from 1951 to 2012.



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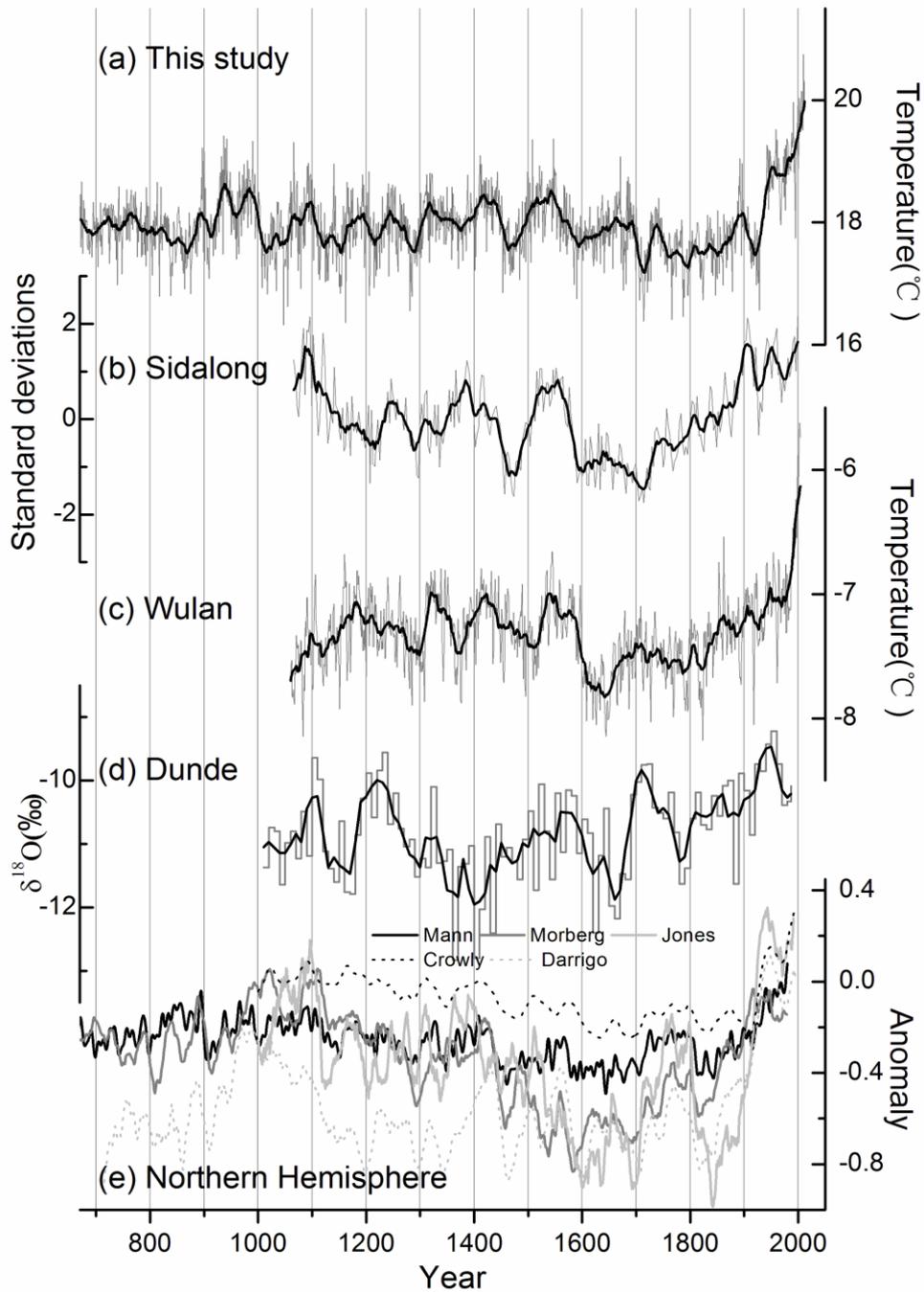
Fig. 6 (a), our reconstructed temperature in the central Qilian Mountains and 95 % confidence level (grey bars), the red dark lines indicate the 31-year running mean of our reconstruction. The vertical blue lines indicate 21 volcanic events during AD 1000-1999. (b), the wavelet power spectrum of our temperature reconstruction series. Cross-hatched regions represent the cone of influence where zero-padding of the data was used to reduce variance using a Morlet wavelet. Black contours indicate significant modes of variance with a 5% significance level using an autoregressive lag-1 red-noise background spectrum (Torrence and Compo, 1998).

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Fig. 7 Correlations of the instrumental (left) and reconstructed (right) July-September mean temperature with the CRU gridded July-September mean temperatures for western China during the period 1951-2012. The black square indicates the location of this study.



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2 Fig. 8 Comparison between the reconstruction presented here (a) and other temperature series for
3 the Qilian Mountains (b, c, and d) (Liu et al., 2007; Zhu et al., 2008; Thompson et al., 2003), and
4 the Northern Hemisphere (e) (Jones et al., 1998; Mann et al., 1999; Crowley, 2000; Moberg et al.,
5 2005; D'Arrigo et al., 2006). The dark lines indicate the 20-year running mean of each series
6 except for the 21-year running mean of Sidalong series (Liu et al., 2007).