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46 000 years of alternating wet and dry phases on decadal to orbital timescales in the cradle of modern humans: the Chew Bahir project, southern Ethiopia

V. Foerster¹, A. Junginger², A. Asrat³, H. F. Lamb⁴, M. Weber⁵, J. Rethemeyer⁵,
U. Frank⁶, M. C. Brown⁶, M. H. Trauth², and F. Schaebitz¹

¹University of Cologne, Seminar for Geography and Education, Gronewaldstrasse 2,
50931 Cologne, Germany

²University of Potsdam, Institute of Earth and Environmental Science, Germany

³Addis Ababa University, Department of Earth Sciences, P.O. Box 1176, Addis Ababa, Ethiopia

⁴Aberystwyth University, Department of Geography and Earth Sciences, Aberystwyth
SY23 3DB, UK

⁵University of Cologne, Institute of Geology and Mineralogy, Zùlpicher Str. 49A,
50674 Cologne, Germany

⁶Helmholtz-Zentrum Potsdam, Deutsches GeoForschungsZentrum – GFZ, 14473 Potsdam,
Germany

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Correspondence to: V. Foerster (v.foerster@uni-koeln.de)

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Abstract

Rapid changes in environmental conditions are considered to be an important driver for human evolution, cultural and technological innovation, and expansion out of Africa. However, the nature of these environmental changes, their amplitude and correlation with steps in human evolution is the subject of current debates. Here we present a high-resolution (~3–12 yr) and well-dated (32 AMS ^{14}C ages) lake-sediment record of the last 46 000 yr from the Chew Bahir basin in the southern Ethiopian Rift. The record was obtained from six cores along a NW–SE transect across the basin, which has been selected as the drilling location within the ICDP Hominin Sites and Paleolakes Drilling Project (HSPDP). Multi-proxy data and the comparison between the transect coring sites provide initial insight into intra-basin dynamics and major mechanisms controlling the sedimentation of the proxies that was used to develop a basic proxy concept for Chew Bahir for the last two wet-dry cycles. The environmental response to orbitally induced sinusoidal insolation changes is usually nonlinear, as climate changes abruptly compared to changes in the forcing, or gradual but punctuated by multi-decadal intervals of drier conditions. The second major control on the environment is millennial-scale climate variability lasting ~1500 yr, similar in duration to the high-latitude Dansgaard–Oeschger cycles and Heinrich events including the Younger Dryas cold reversal at the end of the last glacial, mostly causing abrupt shifts from extreme arid to wet conditions. The duration and character of orbitally induced, high-latitude controlled, and multi-decadal climate shifts provides important constraints for the adaptation of humans to the changing environment. Therefore, Chew Bahir is a perfect site to study and understand climatic variability on different timescales.

1 Introduction

The *cradle of humankind* is today widely agreed to be located in the climatically and topographically diverse East African Rift System (EARS) that provided a highly variable environment to enable and push the evolution of mammals (Tishkoff and Verrelli, 2003;

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Trauth et al., 2010). The oldest known fossil remains of anatomically modern humans (AMH), dated to 195 kaBP were found in the Omo Region in the southwestern part of Ethiopia (White et al., 2003; McDougall et al., 2005). From here, modern humans dispersed into Asia and Europe to eventually populate the world (Stringer et al., 2003; Oppenheimer, 2009).

Determining the nature, character, and pace of a changing environment of early humans is crucial to understanding the factors that influenced human evolution (Vrba, 1985; Potts et al., 1998; Maslin and Trauth, 2009) and dispersal, including cultural and technological innovations (Hildebrand and Grillo, 2012; Vogelsang and Keding, 2013). The timing and synchronicity of the dry-wet cycles that have significantly modulated the East African climate is of particular interest, as they would also have had important implications for human adaptation and survival in refugia (Ambrose et al., 1998; Hildebrand et al., 2010) or might have pushed the dispersal beyond Africa during major droughts (Carto et al., 2009) or enabled migration along possible green corridors (Castañeda et al., 2009).

However, understanding the complex mechanisms driving climate shifts is a challenging task considering the uncertainties in reconstruction and attribution to the possible driving mechanisms. Numerous recent studies (e.g. Mulitza et al., 2008; Verschuren et al., 2000; Tierney et al., 2011; Junginger and Trauth, 2013) show that the system is far more complex than assumed years ago. For instance, the timing and abruptness of the transition and internal variability of the youngest and therefore best-studied dry-wet cycle, the so-called African Humid Period (AHP, 15–5 kaBP) has been debated for decades (e.g. deMenocal et al., 2000; Kröpelin et al., 2008; Tierney and deMenocal, 2013; Junginger et al., 2014). For Lake Turkana alone more than three different possible terminations of the AHP have been reconstructed (Johnson et al., 1991; Brown and Fuller, 2008; Garcin et al., 2012). Generally, issues include the non-continuity of records where gaps in the record during dry phases hamper the understanding of the climatic history, dating uncertainties, site or proxy-specific responses to climate change or comparing marine and terrestrial archives.

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the East (Fig. 1b). Its northern sector is formed by the narrower Weyto basin bounded by the southwestern (Gofa) highlands to the west and the Gamo-Chencha horst to the east. In the northern, northwestern and northeastern part of the catchment Oligocene basalt flows with subordinate rhyolite, trachyte, tuffs and ignimbrites are the dominant lithology. To the south, the Chew Bahir basin extends to the broadly rifted zone that lies between the Ethiopian and Kenyan uplifted domes (WoldeGabriel and Aronson, 1987; Pik, 2008; Corti, 2009). The perennial rivers Weyto and Segen wash in deposits from the $\sim 32\,400\text{ km}^2$ catchment, though their influence today is limited to the northern part of the basin by forming a delta further into the basin (Fig. 1e) (Foerster et al., 2012). Today the basin is merely episodically covered by a few centimetres of water immediately after the rainy season, mostly due to an overflow of the Weyto into the basin. In addition, Chew Bahir receives deposits via the extensive alluvial fans off the escarpment flanks: the Hammar Range to the west and the Teltele Plateau to the east. However, the small drainage networks at the border faults and the strong seasonality in rainfall make this sediment and water influx highly episodic, because the runoff is closely connected to strong rainfall events during the two rainy seasons and occasional orographic rains. During humid phases Chew Bahir represented the southernmost end point of the drainage system of the Ethiopian Rift Lakes (Junginger and Trauth, 2013; Junginger et al., 2014), and is known to have an overflow level $\sim 50\text{ m}$ above the present basin floor into Lake Turkana (Fig. 1b; Foerster et al., 2012). The basin has sensitively reacted in the past to the drastic moisture fluctuations with a variable lake filling: from an extensive fresh water lake to a saline mudflat.

2.2 Present climate

East Africa's climate is governed by moisture availability driven by strong rainfall seasonality, closely tied to the annual latitudinal migration of the Intertropical Convergence Zone (ITCZ) between 15° N in July and 15° S in January. The ITCZ follows maximum insolation values of the overhead sun with a four to six week time lag (Nicholson, 1996) (Fig. 1), and thus, creates a largely zonal rainfall pattern. The

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seasonal migration of the ITCZ attracts moisture through large-scale advection from the Indian Ocean (Levin et al., 2009) resulting in a bimodal annual climatic cycle, with “long rains” from March to May and “short rains” in October–November in the equator regions and only one rainy season at the northern and southern migration margins (Nicholson, 1996). The south-westerly humid Congo air stream delivers additional rainfall to the western parts of equatorial East Africa and Northwestern Ethiopia roughly between July and September, when the ITCZ has reached its northernmost position (known as the “September rains” in some areas of Kenya and “Kiremt” in Ethiopia; Nicholson, 1996; Camberlin, 1997; Segele and Lamb, 2005). Moisture delivered by the Congo air stream is sourced from the Atlantic Ocean and associated with the West African summer Monsoon (WAM). It is in part recycled from vegetation to the atmosphere during its transit across the Congo basin (Nicholson, 1996). This unstable flow from the Atlantic converges with drier air masses from the Indian Ocean along a north-south trending zone known as the Congo Air Boundary (CAB). Due to diverse topography of EARS and the relative position to the equator, temperatures vary only slightly, merely across elevation gradients. Various microclimates (Fig. 1) with a pronounced evaporation/precipitation gradient are thus created also for the Chew Bahir catchment with generally cooler highlands receiving most rainfall that runs off into the hotter and drier lowlands (Gasse et al., 2000; Seleshi and Zanke, 2004). Figure 1 demonstrates that even adjacent to the catchment of Chew Bahir rainfall is highly dependent on elevation and position towards the influence of ITCZ and Congo Air Boundary (CAB).

3 Material and methods

3.1 Field campaign

Five short cores (CB-02–CB-06) of 9–11 m in length were retrieved with a percussion corer in November 2010 along a NW–SE transect through the now desiccated floor of the Chew Bahir basin (Table 1 and Fig. 1b, d and e). Supplemented by the 18.86 m long

back to +46 kaBP and CB-03 from the intermediate basin area, while CB-03 provides ~3 yr resolution during the AHP. We interpret those cores together with the previously described pilot core CB-01 (Foerster et al., 2012) from the western margin of the basin.

3.3 Sedimentology and geochemistry

All core sections were split lengthwise with a manual core splitter, then described, scanned and later subsampled at 1–2 cm intervals largely following the established principles of Ohlendorf et al. (2011). Samples were freeze-dried to guarantee adequate storage of the samples to preserve them for future analysis and facilitate fine grinding without compromising the mineral structure. Smear slides were prepared in ~30 cm intervals to provide a first insight into the composition, microfossil occurrences (diatoms, pollen, charcoal), volcanic glasses and accomplish scanning results so that sections with prioritised interest could be identified for further analysis.

Elemental composition of the cores was determined at 500 µm resolution with an Itrax X-ray fluorescence (XRF) core scanner (Cox Analytical Systems) using a Chromium (Cr) tube as radiation source, a tube voltage of 30 kV, a current of 30 mA and, an exposure time of 20 s. As argued already in Foerster et al. (2012), the common practise of using element ratios (e.g. Croudace et al., 2006) was not applied stringently, in order to avoiding the mixing of different processes behind elemental composition. For comparison with the pilot core, which was measured with a Mo tube, all records were standardised (mean = 0, standard = 1).

Grain sizes were estimated semi-quantitatively by finger test, with the sediment composition subdivided into 7 fractions (clay = 1 to sandy gravel = 7; Fig. 3). XRD analysis with a Siemens D5000 diffractometer was applied to finely ground bulk samples from all cores. No further treatment or the addition of a standard indicator was necessary for using this semi-quantitative approach. EVA (DIFFRAC-AT) was used for phase identification. Total nitrogen (TN), total carbon (TC), and total organic carbon (TOC) contents were determined, but with distinctly low organic content ranging around

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0.2 wt%, values were in general too low to be used as an environmental parameter and thus will be excluded from further discussion (Meyers, 2003).

After diatom assemblages were outlined by smear slide analyses, samples at 2–10 cm resolution were treated with hydrogen peroxide (H₂O₂, 30 %) and hydrochloric acid (HCl, 37 %) to remove remaining organic components and the fine sticky clays from the diatom frustules and to dissolve carbonates, respectively. After thorough washing and adding microspheres to the solution, the diatom suspension was mounted on microscope slides and fixed with Naphrax for an optimised light refraction. A light microscope complemented with a polarizer and REM pictures were used for taxa identification.

3.4 Rock magnetic measurements and core correlation

Rock magnetic and high resolution susceptibility measurements on the Chew Bahir transect cores were carried out at the Helmholtz Center Potsdam – Deutsches GeoForschungsZentrum GFZ (Frank et al., 2011; Brown et al., 2012). Remanence measurements (NRM, ARM, IRM, S-ratio, HIRM) and susceptibility were used in combination with the XRF records and MSCL analyses to find the most reliable proxy set to correlate the transect cores. Eventually, the potassium record (depth) of cores CB03-06 has been tuned to the potassium record of the master core CB01 assuming that K, as a weathering product of feldspar, feldsparthoids and mica, is transported instantaneously in solution from source to sink, and complete mixing in the water body of paleo-lake Chew Bahir. We restricted the choice of tie points to < 15 critical turning points and distinct peaks to restrict the error of prediction (Figs. 5 and 6). The distinct event markers in the Ca record and anti-correlated trends in the Cl record were used to provide cross control.

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3.5 Chronology

Age control is principally provided by 32 AMS ^{14}C ages derived from biogenic carbonates (mostly the common gastropod *Melanoides tuberculata*), organic sediment, and detrital charcoal (Table 2, Fig. 2). Our best estimate of age was obtained by calculating the weighted mean of the probability density function (PDF) of each age. To get the PDF we used OxCal (Bronk Ramsey, 1995) with 1-sigma error and converted radiocarbon dates to calendar years taking all probabilities into account. Ages shown in the age-depth model in Fig. 2 correspond to the weighted means out of this PDF. Additionally, we present radiocarbon ages in Table 2 with a 2-sigma error and the 2-sigma interval of ages calibrated using the IntCal09 data set (Reimer et al., 2009) within the CALIB 6.0.html calibration programme (Stuiver et al., 2005) to demonstrate the maximal possible probability interval induced by the calibration. Furthermore this demonstrates that some of the outlier ages cannot be attributed to the calibration process or even the calibration programme that was used. For several dates calibration resulted in multiple possible age ranges with differing probabilities (Table 2).

No age control for the surface is available, but since large parts of the basin were covered by water until the late 60's of the last century and the inclination of the basin slopes is almost even, we anticipate that large-scale erosion in the recent past can be excluded. We thus assume an age of 1960 AD for the surface of the Chew Bahir basin.

To assess a possible reservoir effect on the dated carbonates we scanned CB-03 in 20 cm intervals for sufficient charcoal particles (as terrestrial plant remains; Geyh et al., 1998) and/or shell fragments from the same horizons. The paired dating approach was not successful as charcoal is almost absent throughout the cores. Only at 204 cm depth in CB-03 was just enough material found for parallel dating of shell fragments and charcoal. Parallel bulk/shell sampling was also used as an alternative approach to determine a possible reservoir effect in the carbonate material.

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sediment sample COL1632 from the same horizon, the pair dated samples from CB-03 show the opposite tendency, with the mollusc being younger than the bulk material.

Following, the ages that are not in line with the reliable age determinations are attributed to possible reasons that might have caused the offset, from bottom to top of the composite depth of the age model in Fig. 2.

COL 1631 from CB-05 (1890 cm composite depth in Fig. 2) is very likely to have been compromised by modern carbon after coring and shows the maximal offset of ~20 ka. The two apparently anomalously young Holocene ages from CB-05 (COL1632 and COL1830) at 617 and 711 cm composite depth may have been subject to reworking or stratigraphical mixing during the AHP lake phase as suggested by the rather noisy proxy records and sedimentological structure in parts of the Holocene deposits of CB-05 that indicate that the material has been heavily reworked. A series of radiocarbon ages derived from organic sediment between 650 and 300 cm composite depth are slightly but consistently too old. These three ages from CB-01 (Beta 347311–347313) and five ages from CB-03 (Beta 347314–347318) are slightly offset by ~100 cm compared with the African Humid Period (15–5 ka, ~750–400 cm composite depth) suggesting temporary storage and remobilisation of organic matter in the densely vegetated catchment during a wetter climate (Fig. 2b). Several ages, all derived from the distinct gastropod horizon (see also Sect. 4.5) deposited briefly after the YD, show a temporal offset (< 500 yr) towards being slightly too old (COL 1228, 1231, 1630, 1235, 1832; note the several too old mollusc ages with green symbols around 11–12 kaBP in Fig. 2). These five ages though, are dated to a time interval within the termination phase of the YD when a shallow lake (< 10 m) provided a suitable habitat for *M. tuberculata*. Assuming however, that the radiocarbon dates themselves are reliable, redeposition within a rapidly re-establishing lake as cause for the offset seems most likely. Therefore we treat all ages from this layer as a reliable biological age control point, strongly supporting our correlation, but have to refrain from including them in the age model as the original depth cannot be attributed due to redeposition.

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The most reliable age model for our ages from the Chew Bahir records is a conservative composite age-depth model using a linear interpolation technique. We prefer a linear over a spline model as abrupt variations between low or high sedimentation rates, even episodes without deposition, may actually exist in rift environments, but are smoothed out by splines and age modelling techniques introducing an arbitrary chosen memory (e.g., Bronk Ramsey, 2008, 2009; Blaauw and Christen, 2011; Trauth, 2014). However, we used linear and cubic spline interpolation techniques while tuning the individual records but we have found no significant difference in the final result. Finally, the CB proxy records were interpolated upon the composite age model, also using a linear interpolation technique.

The composite age-depth model shows a two-time change in the sedimentation rate (Fig. 2). The changes in the sedimentation rates are governed by the dry-wet variations, as higher sedimentation is coinciding with wet phases, whereas aridity is expressed in significantly lower sedimentation per year. The composite age model shows clearly that two wet episodes with five to six-fold sedimentation rates bracket an episode of a drier climate during the last glacial maximum with sedimentation rates of $\sim 0.1 \text{ mm yr}^{-1}$. The sedimentation rates vary not only through time, but also within the basin from the shore to the paleo-lake center: the highest mean sedimentation rate was identified for the pilot core (CB-01) at the margin of the basin with $\sim 0.7 \text{ mm yr}^{-1}$, whereas for CB-05, 16.2 km southeast into the center of the mudflat, we deduct a mean of $\sim 0.2 \text{ mm yr}^{-1}$. Intermediate values apply for CB-03 with $\sim 0.4 \text{ mm yr}^{-1}$. There is therefore a clear decrease of sedimentation rate towards the basin centre, which naturally affects the duration and resolution of the records. Extrapolating the sedimentation rate linearly, CB-03 has a maximum age of $\sim 26.5 \text{ ka cal BP}$ at 11 m depth. CB-05 covers a maximum of ~ 46 of sediment history in 10 m sediment depth.

According to the core chronology, the sedimentary records span climate history from ~ 46 to $\sim 300 \text{ yr BP}$ and thus cover the expression of global climate events in southern Ethiopia, including the timing and character of the Last Glacial Maximum (LGM, 23–18 kaBP), the African Humid Period (AHP, 15–5 ka), the Younger and the Older Dryas

(YD, 12.8–11.6 ka BP and OD, around 14 ka BP) and towards the top of the records the Medieval warm period (MWP, 700–1000 BP).

4.2 Physical parameters and mineralogy

The magnetic susceptibility (MS) logs show a distinct signature that probably could indicate rather large climate transitions than small scale changes as a correlation between grain sizes is not significant amongst the cores. Grain-size variations show a correlation between coarser material and drier intervals especially towards the onset of arid conditions. The products of prevailing physical weathering during aridity may have been transported into the basin in highly episodic but strong rainfall events. Furthermore, up to 5 mm big Ca-rich precipitates occur during dry intervals that were probably formed during intervals with strongly increased evaporation.

The qualitative XRD measurements on selected samples show the overall composition of the material and changes in the mineral assemblages of different sections (for full account of mineral assemblages and their attributed provenance see Foerster et al., 2012). The sediment contains calcite, analcime, sanidine, albite, anorthite, montmorillonite, muscovite, illite, quartz, epidote and orthoclase. There are variations in the proportions of members of the feldspar family and weathering products of volcanic materials, mainly basalts. The most characteristic sets are composed of analcime, calcite and montmorillonite or of orthoclase, quartz and illite. XRD results show that mineral assemblages vary significantly more along the core than across the core transect.

4.3 Chemical composition

The XRF scanning scans provided data on the elemental content of 26–47 elements, depending on the setting of the Itrax core scanner. Out of this, only the significant elements showing a clear paleoenvironmental signal were selected for further interpretation.

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As Fig. 3a and b shows, the K records of CB-03 and CB-05 show distinct variations and trends throughout the record, punctuated by some noticeable markedly abrupt transitions, especially at 7.78 m in CB-03 (~15 ka; onset of the AHP) and 5.48 m in CB-03 (~11.8 ka; return to full humid conditions after YD). Furthermore, several short-term events expressed in sharp peaks in the K record modulate the long-term trends. K has previously been interpreted in core CB-01 as a reliable proxy for aridity, with increased input of K due to enhanced activity of the extensive alluvial fans off the slopes of the Hammar Range, when vegetation cover was reduced. The Hammar Range mainly composed of potassium-rich orthoclase feldspar-biotite-muscovite gneisses and two-mica granites, represents a restricted provenance, and during arid intervals, scarce strong rainfall events cause high input of these K-rich mineral particulates, while the continuous fluvial inwash into the basin decreases. As suggested by Burnett et al. (2011) and Govin et al. (2012), the prevailing mechanical weathering in more arid regions results in a characteristic abundance of illite and potassium feldspar, both being the most likely source of K. Furthermore, the dilution effect seems to be yet another major factor to drive a dramatic drop of K as soon as the big rivers draining into the CB basin become activated again with the onset of more evenly distributed and increased precipitation (Fig. 6).

The values for titanium (Ti), silica (Si) and partly iron (Fe) variations along the record are highly correlated (Fig. 3) with K, all reacting towards decreased moisture with higher values. They seem to be largely controlled by similar processes in the catchment and in the sediment, but are buffered, lagged or overprinted by other processes especially with a stabilising wet climate (lake phase). Besides a less constrained provenance of Ti, Si, and Fe we also suggest post-sedimentary diagenetic redox processes overprinting the Fe signal, and autochthonous Si enrichments due to biogenic production such as diatom blooms.

The Chlorine (Cl) content is clearly anti-correlated to the elements named above responding with high values to wetter conditions (Figs. 3 and 6). Humidity proxy Cl reacts very sensitively with a sharp decrease to the onset of dry spells (Fig. 6). Cl has

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episodically in a clayey matrix throughout the cores (Fig. 3), but are also deposited densely in some sharply defined layers that seem to correlate with a receding lake (e.g. end of the pre-YD lake phase and the pre-H1 lake phase recorded in 4.32–4.39 m in CB-05, correlating with fossilised fish remains in CB-03 at 7.38 and 7.68 m).

5 Diatoms (Figs. 4 and 5) in both cores are restricted to the horizon that can roughly be attributed to the AHP lake phase (CB-03: 1.90–5.10 m; CB-05: 1.12–3.10 m; Fig. 3), with slightly changing taxa spectra within that layer. The spectrum comprises both, centric and pennate species, with a clear dominance of the genera *Aulacoseira* and *Cyclostephanus*. Furthermore, species of the genera *Nitzschia*, *Synedra*, *Cymbella*,
10 *Cyclotella* and *Achnanthes* could be identified, with the more habitat specific species restricted to the stable fresh water lake phase. *Aulacoseira* with a broader alkalinity and salinity tolerance occur almost throughout the entire section with diatoms and show dominance during shallow lake phases. The YD interval that caused the paleo-lake CB to regress to shallow and presumably highly saline and alkaline pools, is marked
15 by a sharp decline of preserved frustules, expressed in the disappearance of diatom occurrences at the margin of the basin and the reduction to very few *Aulacoseira* frustules/no diatoms at all in that section. After the YD, with the reestablishment of a freshwater lake, diatoms are quickly found again in great abundance and an increased variety of species for the entire AHP phase. This is also expressed in the Si
20 curve reflecting the increase of biogenic silica in the lake by the autogenic production of silica rich frustules (Fig. 3).

5 Interpretation of proxies during a complete dry-wet cycle

Figure 4 shows a conceptual model of the physical and chemical responses of the Chew Bahir basin to wet and dry conditions.

25 During arid phases in Chew Bahir (Fig. 4), we postulate a desiccated or strongly regressed lake, framed by a higher lithogenic fraction, and a lower productivity, high K due to the dominance of physical weathering and activation of alluvial fans transporting

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K from the constrained source in the western part of the catchment (i.e., the Hammar Range, Fig. 1) and lesser dilution. *During wet phases*, we postulate a freshwater lake with max. 50 m water level, fine grain deposits, freshwater diatom occurrences, high CI (most likely due to enhanced chemical weathering), and low K due to a dense vegetation cover on the slopes of the Hammar range (and the whole catchment area) strongly restricting the erosion of K-bearing weathered products into the basin. Sharp Ca peaks mark the onset of wet conditions, while their terminations (and onsets) are mostly accompanied by rich mollusc occurrences that require shallow-water habitats (max. 10–15 m). The main input system is fluvial, with the major provenance of deposits being northern, northeastern and northwestern catchment, depending on the magnitude of humidity.

Comparing the climate records CB01, CB03 and CB05 from Chew Bahir (Fig. 6), derived from different proxies and from different sites in the same archive, it becomes apparent that the deposits have largely recorded the same climatic events, though with differing expressions. We postulate that minor lead or lags in the responses and the abruptness of the shifts are enhanced by proxy specificity, whereas the magnitude of the climate signal recorded in the CB deposits are site specific.

The onset of the AHP for example, illustrates the mechanism that is controlling the shifts in the CI and the K record. Especially in CB-03, the proxy specific response towards moisture increase becomes apparent: whereas humidity proxy CI indicates an *increase of moisture* by increased values, the K record shows a multiple enhanced response, as the dilution effect drives a rapid *drop* of elemental counts. Thus the aridity proxy K shows an intensified response to the increase of moisture availability by *decreased* values. However, both proxies show that a clear shift from dry to wet has been recorded aside from the nature of the chosen proxy. The same is applicable for the return to humid conditions at the end of the YD: in CB-03 for instance, the response of K to increased moisture influx is more pronounced than in CI, although again both proxies indicate an alternation dry to wet. The reversed principle can be applied to the shift from wet to dry as shown for the onset of arid conditions during the YD: here

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humidity proxy CI reacts more sensitively and shows an enhanced response. Whereas the increase of K in the deposits seems less sharply defined as here an actual increase in K-bearing weathering products is the major mechanism driving the shift instead of dilution by a developing water body. The aim of these findings is to illustrate that it is important to understand that for a climatic reconstruction both proxies are evaluated as reliable and sensitive climate indicators, but each has important peculiarities that need to be considered when discussing climatic history.

The proxies are controlled by three major factors bound in a complex interplay: distance to and restriction of the source (provenance), prevailing erosion and transport mechanism (fluvial, alluvial fan activity, aeolian) that is heavily influenced by density and type of vegetation and weathering (chemical vs. mechanical).

Comparing the same dry-wet alternations named above in between the cores in Fig. 6, makes clear that each coring site although just a few km apart and all belonging to the same sedimentary archive in the same catchment, still show remarkable site specific expressions. We assume the shifting dominance of the transport and erosional mechanisms to be responsible for that. Whereas CB-01 at the marginal area of the basin has a 2–3 fold resolution, is controlled by less processes and has a restricted provenance, CB-05 in the middle of the paleo-lake Chew Bahir has been subdued to redistribution processes in the former lake as both, the sedimentary composition and the partially overprinted elemental record during lake-phases indicate. But again, all cores show the major dry-wet cycles and mostly the short-term climate events that are discussed in the following chapter. By integrating the results from all three areas in the basin in the following discussion, we essay to provide cross control to avoid misinterpretation of one bore site-specific disturbance in the deposits as an actual climate event.

6 Discussion of climatic variability recorded in Chew Bahir

The Chew Bahir records provide insights into the velocity and character of wet-dry-wet transitions over the past 46 000 yr in the source region of AMH on different time scales. Three different modes were identified showing variability on precessional, millennial as well as decadal time scales all characterized by either abrupt or gradual changes of different magnitudes, which will be discussed in detail within the next chapter using the potassium record as a representative proxy of the studied records.

6.1 Variability on orbital time scales

On long-term times scales (10^4 yr), the Chew Bahir records cover the last two precessional cycles, which are generally understood as the main controlling factor for long-term moisture variability in Africa (e.g., Gasse, 2000; Gasse and Van Campo, 2001; Trauth et al., 2001, 2003; Barker et al., 2004) (Fig. 7). Hence, the AHP (~15–5 kaBP) represents a textbook example for orbital driven moisture increase during a precessional minimum. This orbital configuration that is associated with higher insolation values in June–August (JJA) for the Northern Hemisphere (e.g., Laskar et al., 2004) has caused (a) the displacement of the ITCZ during JJA further north, bringing more moisture to regions that are usually not under the influence of the ITCZ, such as in the Sahara or Oman (e.g., Burns et al., 1998; Hoelzmann et al., 2000; Neff et al., 2001; Lancaster et al., 2002), (b) a stronger West African Summer Monsoon (WAM) associated with a weakening of the African easterly jet that is responsible for the export of moisture out of Africa (e.g., Patricola and Cook, 2007) and (c) a shift of the CAB eastwards, over the highly-elevated plateaus of East Africa, therewith contributing to the synchronous character observed in the rise of lake levels in the basins of the EARS up to their overflow levels (Tierney et al., 2011; Costa et al., 2014; Junginger et al., 2014). Though, as recently shown by Junginger and Trauth (2013), the influence of the CAB during the last dry-wet cycle, has been restricted to phases with the highest Indian Summer monsoon activity (~14.8–7.8 kaBP) due to constraints imposed by an atmospheric pressure gradient between Asia and East Africa.

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The timing and foremost the character of both transitions, in and out of the AHP, are highly debated (e.g. deMenocal et al., 2000; Kröpelin et al., 2008). In the Chew Bahir basin, the onset of the AHP occurred abruptly (less than 500 yr) as indicated by the K record (Fig. 7), and also by the proxies Cl, Ti, Fe, Ca and fossil occurrences (Figs. 3 and 6). These findings from Chew Bahir are synchronous with other sites in East Africa, despite the gradual increase in boreal summer (JJA) insolation (Gasse, 2000; Junginger et al., 2014) that would suggest a likewise gradual transition in moisture availability. Nor did the termination of the AHP in Chew Bahir follow the linear decline of NH summer (JJA) insolation. Instead, taking all three coring sites (CB01, CB03, CB05) in Chew Bahir into account, the record suggests the onset of the Holocene aridification trend at ~6.5 kaBP, gradually reaching full arid conditions earliest at ~5 kaBP (Figs. 6 and 7). This indicates a slightly lagged and disproportionally gradual decline of moisture availability in Chew Bahir as compared to the decrease in insolation.

The non-linear character and temporal offset of the AHP transitions in the EARS have been related to a complex interplay of several factors: changes in precession, changes in the general source of moisture and boundary conditions imposed by the extent of NH ice sheets.

In detail, it has been hypothesized that moisture availability towards the end of the AHP in tropical Africa was prolonged despite the decline in the NH summer insolation maximum due to a change in precession leading to a higher insolation in October–November (ON) at the equator (Marzin and Braconnot, 2009; Junginger et al., 2014). This latitudinal change in higher insolation values from maximum values during summer months for the NH to increased insolation values for ON at the equator (Fig. 7) was tied to a fundamental change in moisture sources. The CAB was prevented then from reaching the EARS – including Chew Bahir – by the reorganisation of atmospheric pressure, but instead more moisture became available during the short rainy season in ON carried via the ITCZ (Junginger and Trauth, 2014). As explained in these studies, despite the comparatively enhanced moisture availability during the short rainy season, the provided moisture was not sufficient anymore to sustain the high lake levels in

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the EARS as observed during NH summer insolation maxima. This change caused a delayed but gradual decline of the lakes at the termination of the AHP following ON insolation (Fig. 7). Moreover, the role of the dense vegetation cover that could develop during the long humid phase would have saved moisture within the system and thus might have also contributed to the delay of the precession driven aridification process.

For the equatorial insolation maximum during the long rainy season from March to May (MAM), that has occurred at $\sim 22\text{--}16$ kaBP we would theoretically expect a similar scenario as described above. The Chew Bahir climate records of CB01 and CB03 show a clear though moderate tendency towards more humid conditions slightly differing in their character but distinctly following the March–May equatorial insolation maximum (Fig. 7). But in contrast to the termination of the AHP, the equatorial MAM insolation – although preceding maximum JJA NH insolation – is not leading to a gradual transition into the full humid conditions marking the onset of the AHP. This could be attributed to the fact that these equatorial insolation maxima in spring (MAM) coincide with the Last Glacial Maximum (LGM, 23–18 ka) that is known to have caused a reduction in moisture fluxes in the atmosphere on a global scale due to colder temperatures (Gasse, 2000). This generally low moisture availability is also reflected in numerous low-latitude sites showing a phase of pronounced aridity (e.g., Gasse, 2000; Barker et al., 2004), although the orbital parameters would predict increased rainfall. This implies that for the LGM interval, precipitation in Chew Bahir could have been controlled by high-latitude ice sheet coverage more than by the equatorial insolation alternations.

Moreover, the extent of NH ice sheets most likely largely contributed to the delayed, but then abrupt response of East African lake levels to the increase of NH summer insolation (Gasse, 2000). This approach states a threshold, assuming that a particular stage of ice cover retreat is necessary, to allow for the development of distinct atmospheric pressure gradients which in turn are steep enough for the CAB to be shifted eastwards and thus decidedly modulating the rapid establishment of deep lakes (Junginger and Trauth, 2013; Costa et al., 2014) in East Africa.

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in the WAM (Overpeck et al., 1996) and also changes in the ocean productivity due to changes in the ISM strength in the Arabian Sea (Altabet et al., 2002). Although there is no consensus yet concerning possible triggering mechanisms for both, D-O cycles and H-events, or whether there is even a common ultimate driver for all events, some studies suggest that these abrupt shifts have been associated with changes in the solar irradiation on millennial to centennial time-scales causing rapid reorganisations in the atmospheric systems (e.g., Braun et al., 2005). Hemming et al. (2004) conclude that besides jökulhlaups (glacial outburst floods) and ice sheet build-ups/collapses, glaciological instability is the most likely mechanism behind the H-events. Whether the millennial cycles or the pronounced shifts in Chew Bahir lead or lag high-latitude events is not clear, that's why we refrain from pairing designated D-O cycles to the millennial dry-wet cycles that are distinct in the CB record. Therefore we cannot contribute to debate on whether possibly low-latitude forcing could be the triggering factor of these events.

The most recent and rather sharply defined wet interval on a millennial time scale interrupts the mid-late Holocene dry phase between 2.2 and 1.3 kaBP, in character and magnitude resembling the D-O events as observed during the glacial. This excursion to humid conditions could possibly also be related to pronounced short-term changes in the solar activities (Solanki et al., 2004), despite occurring during an interglacial.

There are two similar climate events that occurred during the AHP (thus full humid conditions), but featuring with a distinctive shift towards major drought conditions a seemingly reversed character: a major arid event around 14 kaBP in Chew Bahir resembles a synchronous decline in Lake Victoria (Stager et al., 2002) that was interpreted as the tropical African expressions of the cold European Older Dryas (OD) event otherwise sparsely documented for low-latitudes. The most prominent and sharply defined dry phase in the CB record that occurs between 12.8 and 11.6 kaBP and therewith closely coincides with the H0-event (Bond and Lotti, 2005) or Younger Dryas chronozone that is well documented to have caused extreme arid conditions in a series of sites in Africa north of 10° S (e.g. Barker et al., 2004). Also the internal

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variability within the YD (e.g. Liu et al., 2013), with at least two wet excursions, is distinct in our CB record (Fig. 7). The return to full humid conditions occurred abruptly within ± 200 yr, as indicated by the humidity sensitive K record, a freshwater diatom flora and the sensitive Ca marker peaks and is also consistent with other records in East Africa north of 10° S (Gasse, 2000; Barker et al., 2004; Junginger et al., 2014).

Aside from the underlying driving mechanisms, the question remains whether the moisture that was provided by these millennial-scale wet periods was sufficient to allow the establishment of open fresh water lakes, crucial for the survival of mammals including the AMH or whether the enhanced moisture did in fact not exceed evaporation, merely leaving small and alkaline lakes behind. Barker et al. (2004) has shown that central Kenyan lakes have remained alkaline although exceeding present day levels during moisture periods within the last glacial.

6.3 Variability on centennial–decadal time scales

The K record also shows clearly decadal to centennial scale short-term events punctuating the AHP by several dry intervals, such as at ~ 10.5 , ~ 9.5 , 8.15 – 7.8 , and ~ 7 kaBP (Fig. 7). These drought events correlate within a small error range with records implying the weakening of the ISM (Neff et al., 2001; Gupta et al., 2005; Wang et al., 2005), increased iceberg discharges in the NH (Bond et al., 2001), reduced precipitation in West and Central Africa (Stager et al., 2002; Weldeab et al., 2007) as well as considerable regressions of several lakes in the EARS with falling lake levels of up to -120 m (e.g., Gasse, 2000; Stager et al., 2002; Brown and Fuller, 2008; Junginger et al., 2014). These events that have been observed on a global scale have been associated with short-term changes in solar activity (Solanki et al., 2004), although the exact physical process still remains poorly understood (Gray et al., 2010).

The event between ~ 8.15 – 7.8 kaBP however, differs from the other high-frequency drought events in the record due to its gradual drying character of over ~ 350 yr. The gradual drying trend is also observed in other low latitude records (e.g., Fleitmann et al., 2003; Dykoski et al., 2005; Gupta et al., 2005; Weldeab et al., 2007) and is widely

assumed to predate the abrupt cooling event at 8.2 kaBP in the NH (e.g., Alley et al., 1997). Lake level reconstructions in the EARS revealed pronounced lake regressions up to almost full desiccations (Gillespie et al., 1983; Gasse, 2000; Garcin et al., 2012; Junginger et al., 2014).

5 The gradual (1500-yr long) aridification trend at the end of the AHP starting at ~6.5 ka ago in southern Ethiopia is also punctuated by several minor 20–80 yr long dry events as observed by Trauth et al. (in review JHE) indicating that even gradual transitions can be influenced by short-term droughts affecting several generations of the AMH. The possible explanation for these fluctuations remains unclear.

10 7 Conclusions and outlook

The Chew Bahir transect covers the environmental history of the last 46 000 yr, reflecting dry-wet cycles on timescales from ten to ten thousand years. The transitions of these dry-wet alternations are diverse and on all timescales we observe climatic shifts that occur within just a few years as well as extremely gradual transitions.

15 Each coring site within the basin shows individual characteristics, governed by alternating fluvial and lacustrine dynamics, but show clear conformity in geochemical, physical and biological proxies. The interpretation of our multi-proxy data and the final comparison between the coring sites provides us with a basic concept of how Chew Bahir has responded to moisture variability. This conceptual understanding represents

20 a fundamental basis for the interpretation of the to-be cored 400 m long sequence from Chew Bahir within the framework of the HSPDP (Cohen et al., 2009). Based on this study, this 400 m record is conservatively estimated to cover at least the last 500 ka and therewith the crucial climatic and environmental changes through the critical intervals of human evolution.

25 The timing, magnitude and character of the wet-dry-wet transitions would have had important consequences for humans. A longer transition phase would allow a longer period to adapt to a changing environment, given that the impact and frequency of internal variations do not cross a threshold that marks inhabitable environmental

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conditions. On the other hand, the abrupt onset of wet conditions as reconstructed for the transition into the AHP for example could have been accompanied by flooding. The extremely variable environment as reconstructed for the D-O cycles during the last glacial would have meant a dramatic environmental change from possibly full humid conditions enabling lush flora and lakes back to an extremely dry climate with a desiccated lake and clearly limited food resources. So the climatic impact factor in the human-environmental interaction seems to be determined mainly by the magnitude and duration of a cycle as well as the timespan to swing from one condition to the other extreme. However, this idea does not take the human decision-making, social and cultural concepts into account. To test what impact climatic change on decadal to millennial time scales might have had on settlement activities and cultural shifts towards environment adapted innovations, a parallel work compares the climate history of the last 20 kaBP from Chew Bahir with the archaeological record of adjacent hypothesised refugia (Foerster et al., 2014).

However, to get beyond this still mostly hypothetical interpretation of our data and possible mechanisms involved at this point of research, it is necessary to firstly, further improve and validate our understanding about the provenance, weathering and transport mechanisms from source to the actual deposits in the cores. Secondly, studies using isotopes could clarify beyond the well-established K record the actual extend and provenance of freshwater in paleo-lake Chew Bahir and help to reconstruct the now hypothesised different moisture sources reaching the study area.

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Table 2. I Ages from CB-01, CB-03, CB-05, and CB-06 for Chew Bahir transect chronology.

Sample ID	Core	Depth [cm]	Material	$\delta^{13}\text{C}$ [‰]	^{14}C age ^b [yr BP]	Cal. Age Range BP ^c [yr cal BP]	Area ^d [%]	WM ^f [yr cal BP]
COL 1093 ^a	CB-01	153	mollusc	-1.4	1236 ± 54	1075/1192 1196/1262	59.3 40.7	1174
COL 1094 ^a	CB-01	299	mollusc	1.7	3077 ± 54	3238/3365 3219/3230	97.3 2.7	3300
Beta 347311	CB-01	384–386	organic sediment	-16.0	6580 ± 60	7429/7514 7539/7561	88.6 11.4	7480
Beta 347312	CB-01	418–420	organic sediment	-15.6	6700 ± 60	7507/7616	100.0	7564
Beta 347313	CB-01	456–458	organic sediment	-16.6	7270 ± 80	8007/8173	100.0	8089
Beta 271307 ^a	CB-01	734–735	organic sediment	-22.6	11 790 ± 120	13 446/13 795	100.0	13 629
COL 1095 ^a	CB-01	976	mollusc	0.8	31 085 ± 370	35 049/36 295	100.0	35 674
COL 1096 ^a	CB-01	1278	mollusc	10.1	35 508 ± 1162	39 131/41 675	100.0	40 575
COL 1097 ^a	CB-01	1746	mollusc	-0.9	40 293 ± 1090	43 233/45 048	100.0	44 209
COL 1227	CB-03	126.5	mollusc fragments	-7.5	2641 ± 46	2741/2781	100.0	2758
Beta 329853	CB-03	203–204	fossilised charcoal ^e	NA	modern	NA NA	NA	0
Beta 329854	CB-03	203–204	mollusc fragments ^e	-3.1	450 ± 60	490/530	100.0	504
Beta 347314	CB-03	210–212	organic sediment	-17.8	6930 ± 80	7675/7847	100.0	7759
Beta 347315	CB-03	256–258	organic sediment	-18.0	7770 ± 60	8509/8599 8455/8501	84.1 15.9	8545
Beta 347316	CB-03	344–346	organic sediment	-17.2	9360 ± 100	10 481/10 713 10 426/10 466	94.9 5.1	10 582
Beta 347317	CB-03	412–414	organic sediment	-16.9	10 310 ± 80	11 978/12 225 12 257/12 381	81.6 18.4	12 135
Beta 347318	CB-03	476–478	organic sediment	-19.5	10 060 ± 80	11 391/11 811 11 355/11 374	98.8 1.2	11 588
COL 1228	CB-03	509.5	mollusc	-7.2	10 079 ± 60	11 591/11 812 11 404/11 583	72.0 28.0	11 638
COL 1231	CB-03	521.3	mollusc ^e	-12.0	10 268 ± 82	11 821/12 154 12 189/12 214 12 299/12 302 12 357/12 370	97.9 1.4 0.1 0.6	12 028

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Table 2. Continued.

Sample ID	Core	Depth [cm]	Material	$\delta^{13}\text{C}$ [‰]	^{14}C age ^b [yr BP]	Cal. Age Range BP ^c [yr cal BP]	Area ^d [%]	WM ^f [yr cal BP]
COL 1630	CB-03	521–522	organic sediment ^e	-24.5	11 002 ± 108	12 693/13 081	100.0	12 876
COL 1831	CB-03	712–713	mollusc fragments	-7.2	11 588 ± 148	13 276/13 645	100.0	13 443
Beta 329855	CB-03	762–764	fossilised charcoal	-25.8	1280 ± 60	1171/1288	99.0	1222
						1150/1160	1.0	
COL1234	CB-03	936–937	organic sediment	-2.9	15 647 ± 118	18 634/18 942	100.0	18 793
COL 1233	CB-03	1086–1087	organic sediment ^e	-1.7	22 155 ± 226	26 123/27 070	97.9	26 606
						27 304/27 466	2.1	
COL 1631	CB-03	1090–1091.5	organic sediment ^e	-14.4	21 939 ± 360	25 811/26 942	100.0	26 383
COL 1235	CB-05	321	mollusc fragments ^e	-10.0	10 016 ± 78	11 312/11 712	100.0	11 505
COL 1632	CB-05	321–322	organic sediment ^e	-5.7	7935 ± 90	8633/8983	100.0	8797
COL 1829	CB-05	340	mollusc	-7.8	10 138 ± 134	11 592/12 041	87.7	11 769
	CB-05					11 462/11 568	8.5	
	CB-05					11 404/11 459	3.9	
COL 1830	CB-05	405	mollusc fragments	-2.7	7015 ± 106	7722/7952	100.0	7847
COL 1633	CB-05	990–991	organic sediment	-21.8	23 921 ± 426	28 202/29 353	100.0	28 782
COL 1828	CB-06	40.5	mollusc	-0.4	213 ± 78	137/224	49.5	185
						255/316	32.4	
						0/32	15.6	
COL 1832	CB-06	233	mollusc fragments	-6.1	9994 ± 134	11 252/11 752	100.0	11 493

^a Published in Foerster et al. (2012).^b Radiocarbon age with 2-sigma standard deviation.^c 2-sigma range of calibrated radiocarbon ages. Conventional radiocarbon ages were converted to calendar years using the IntCal09 data set (Reimer et al., 2009).^d The relative area under the 2-sigma probability distribution.^e Parallel dating of biogenic carbonate and organic material and fossilized charcoal, to determine possible reservoir effect.^f Weighted mean of the probability density function of the calibrated age (1-sigma) as the best age estimate; using OxCal to get the probability density functions of the calibrated ages (<https://c14.arch.ox.ac.uk/oxcal/>). Ages in this column correspond to data shown in the age-depth model in Fig. 2.

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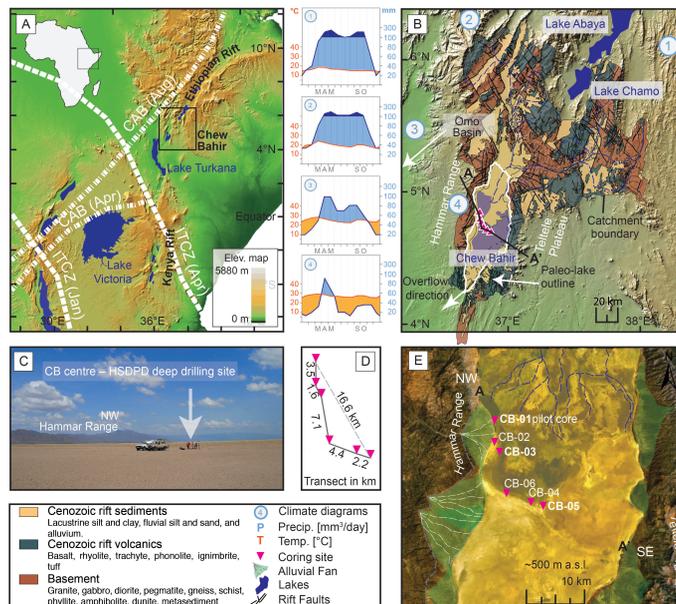


Fig. 1. Setting of the Chew Bahir site. **(A)** Chew Bahir basin within the East African Rift System with major climatic influences. Dotted lines indicate the position of the ITCZ (Intertropical Convergence Zone) and CAB (Congo Air Boundary) during different times of the year (after Tierney et al., 2011). **(B)** Map of the Chew Bahir catchment with generalized geology and rift faults within the catchment (after Davidson, 1983; Key, 1988; Hassen et al., 1991; Awoko and Hailu, 2004), major rivers, paleo-lake outline, overflow direction and sites mentioned in the text. Numbers refer to available precipitation and temperature data summarized in the climate diagrams (data: IRI, accessed October 2013). **(C)** Centre of CB basin, site of CB-05 and planned deep drilling location within the HSDPD project (2013–2014). **(D)** NW–SE transect through the Chew Bahir basin with distances between coring sites in km. **(E)** Setting of drilling location of the records discussed in this study, showing major input systems relevant for this study and position of sites within the basin.

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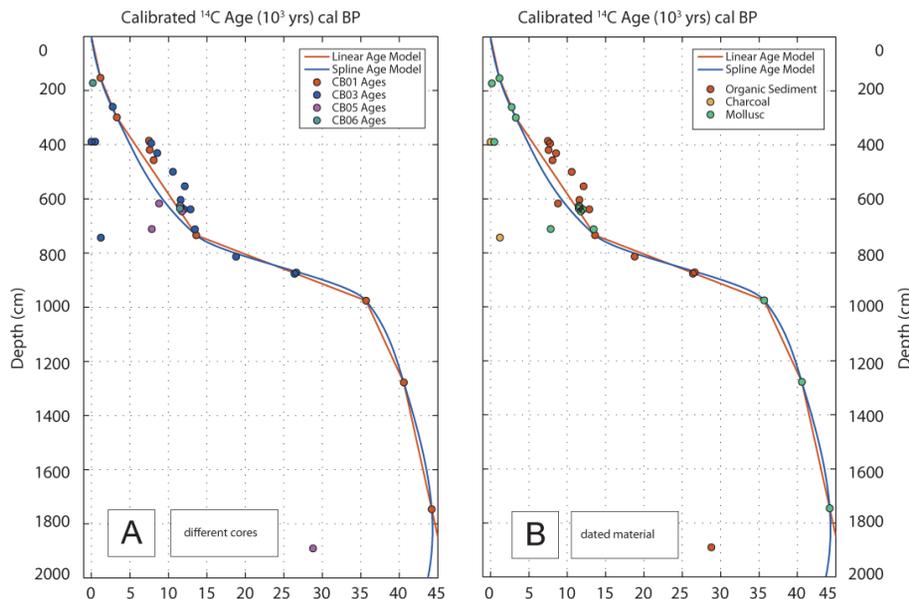


Fig. 2. Age model of tuned composite profiles of Chew Bahir cores CB01, 03–06. The depth in cm shows the composite depth of the model. Ages are the weighted mean of the probability density function and correspond to the last column in Table 2. **(A)** Radiocarbon ages per sediment core. **(B)** Materials used for radiocarbon dating.

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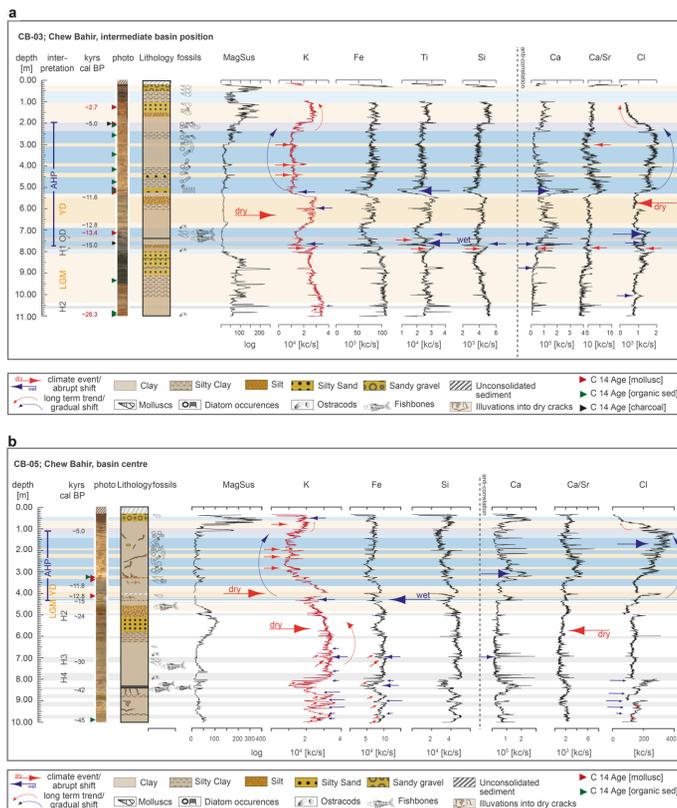


Fig. 3. Sediment composition of **(A)** CB-03 and **(B)** CB-05 with selected results of geochemical and physical analysis. Ages are given in calibrated kilo years before present. Ages in black refer to linearly interpolated values of the composite age-depth model. Red ages in **(A)** refer to radiocarbon ages from CB-03 (Table 2). Red and blue arrows point out prominent moisture shifts towards arid/humid conditions. Straight arrows indicate abrupt events, crooked show gradual trends. Orange (dry) and blue (wet) bars mark interpreted climate phases, referred to in the text; grey bars show arid phases that roughly coincide with high-latitude Heinrich events (H1–H4). MagSus – Magnetic Susceptibility; LGM – Last Glacial Maximum; YD – Younger Dryas; OD – Older Dryas; AHP – African Human Period.

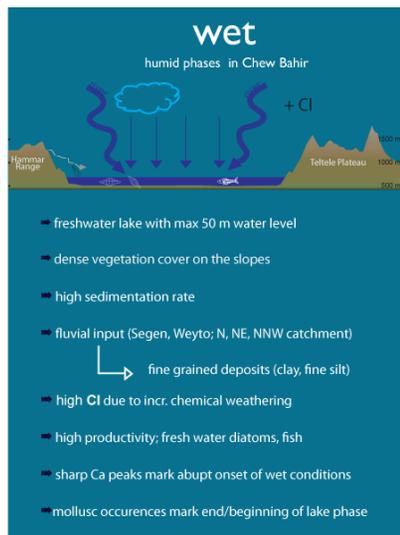
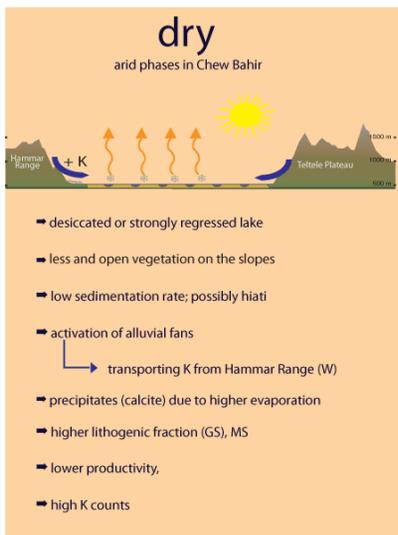


Fig. 4. Proxy evaluation for dry vs. wet conditions in Chew Bahir. These findings are highly site specific and a generalisation, an extrapolation to other sites should be handled with care and take setting of the site into consideration; exceptions can easily be found. The lake sediment proxies are controlled by three factors bound in a complex interplay: (1) distance to and restriction of the source, (2) prevailing transport mechanism (fluvial, alluvial fan activity, aeolian), that is also heavily influenced by density and type of vegetation and (3) weathering (chemical vs. mechanical).

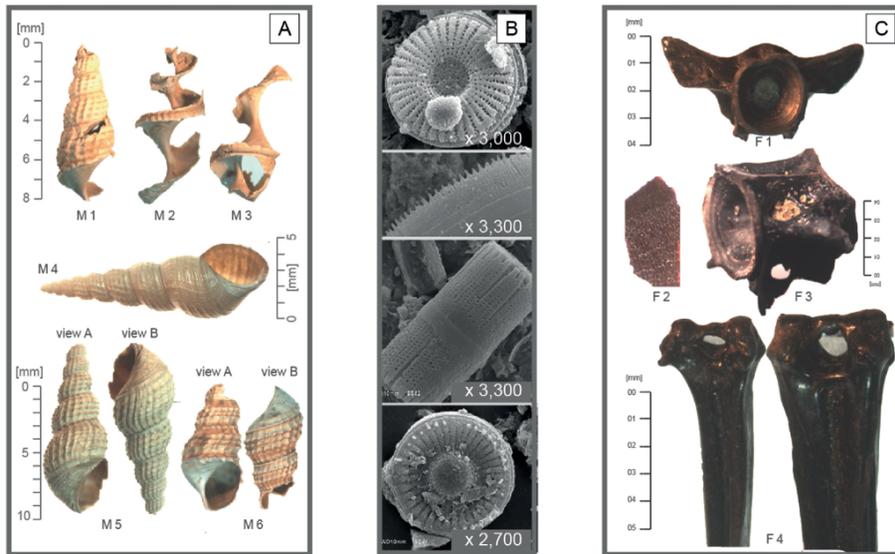


Fig. 5. Micro and macro fossils found in the Chew Bahir records CB-03 and CB-05, magnified under a binocular and a scanning electron microscope respectively. **(A)** The abundant gastropod *Melanooides tuberculata* from distinct horizon (M1–M5) in 520 cm in CB-03 and 321 cm in CB-05, both corresponding to the interval around 11–12 ka cal BP and 713 cm in CB-03, 13.5 ka cal BP (M6). **(B)** Most abundant diatoms in the assemblage from Chew Bahir (from the top): *Cyclotella*, *Aulacoseira* (detail shot of frustule end that forms long chains), *Cyclostephanos*; occurrences coincide with AHP lake phase **(C)** Fishbones, found in a distinct correlating layer in both records; 14.2 kaBP (F2), 15.2 kaBP (F1 + F4) and 32.7 kaBP (F3).

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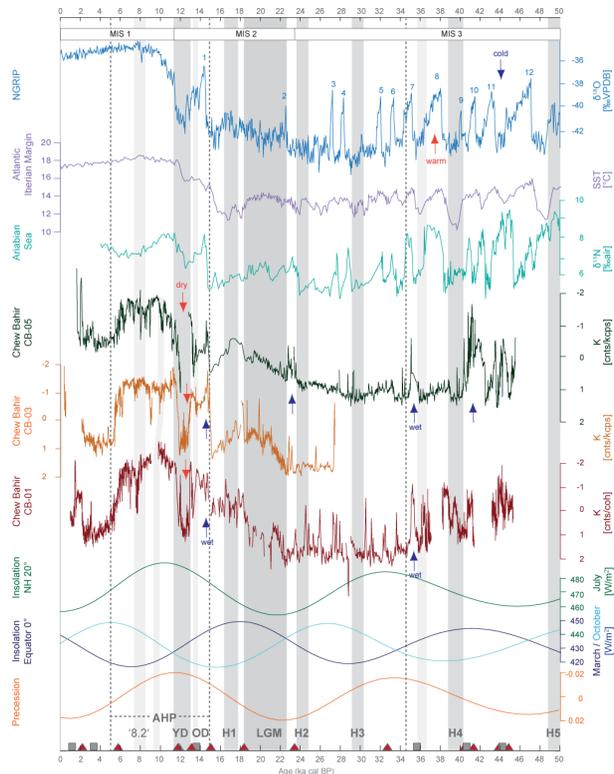


Fig. 7. Comparison of the Chew Bahir potassium (K) record with other paleo-climate records and insolation variation controlled by orbital configuration. Records plotted from top to bottom are as follows: $\delta^{18}\text{O}$ data from NGRIP (North Greenland Ice Core Project members, 2004) with numbers referring to DO-events; Alkenone derived SST reconstructions from core MD01-244 on the Iberian Margin (Martrat et al., 2007); $\delta^{15}\text{N}$ data as proxy for denitrification and productivity in the Arabian Sea in the Gulf of Oman 18°N (Altabet et al., 2002); Chew Bahir potassium (K) record from cores CB-01 (paleo-lake shore), CB-03 (intermediate), CB-05 (paleo-lake center), (note reverse scale); Insolation variations for spring and fall from the equator (Laskar, 2004); Precession cycles (Berger and Loutre, 1991). Dotted lines indicate dry–wet–dry cycle AHP. Grey bars refer to Heinrich events, Younger Dryas and H1–H5. Age control along the Chew Bahir record is shown by grey squares (radiocarbon ages) and red triangles (CB correlation tie points).