1	Nutrient Utilisation and Weathering Inputs in the Peruvian
2	Upwelling Region Since the Little Ice Age
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15	Keywords:
16 17	stable silicon isotopes, stable nitrogen isotopes, primary productivity, river input, eolian input, material transport, Little Ice Age, nutrient utilisation
18	
19	Abstract
20	For this study two sediment cores from the Peruvian shelf covering the time period between
21	the Little Ice Age (LIA) and present were examined for changes in productivity (biogenic
22	opal concentrations (bSi)), nutrient utilisation (stable isotope compositions of silicon

24 (authigenic and detrital radiogenic neodymium (ε_{Nd}) and strontium (${}^{87}Sr/{}^{86}Sr$) isotopes).

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25 For the LIA the proxies recorded weak primary productivity and nutrient utilisation reflected

 $(\delta^{30}Si_{opal})$ and nitrogen $(\delta^{15}N_{sed}))$, as well as in ocean circulation and material transport

- 26 by low average bSi concentrations of ~10%, $\delta^{15}N_{sed}$ values of ~5‰ and intermediate $\delta^{30}Si_{opal}$
- values of ~0.9‰. At the same time the radiogenic isotope composition of the detrital sediment

28 fraction indicates dominant local riverine input of lithogenic material due to higher rainfall in 29 the Andean hinterland. These patterns were most likely caused by permanent El Niño-like 30 conditions characterized by a deeper nutricline, weak upwelling and low nutrient supply. At the end of the LIA, δ^{30} Si_{onal} dropped to low values of +0.6‰ and opal productivity reached its 31 32 minimum of the past 650 years. During the following transitional period of time the intensity 33 of upwelling, nutrient supply and productivity increased abruptly as marked by the highest bSi contents of up to 38%, by $\delta^{15}N_{sed}$ of up to ~7%, and by the highest degree of silicate 34 utilisation with δ^{30} Si_{opal} reaching values of +1.1‰. At the same time detrital ϵ_{Nd} and 87 Sr/ 86 Sr 35 signatures documented increased wind strength and supply of dust to the shelf due to drier 36 37 conditions. Since about 1870, productivity has been high but nutrient utilisation has remained 38 at levels similar to the LIA indicating significantly increased nutrient availability.

39 Comparison between the $\delta^{30}Si_{opal}$ and $\delta^{15}N_{sed}$ signatures suggests that during the past 650 40 years the $\delta^{15}N_{sed}$ signature in the Peruvian Upwelling area has to a large extent been 41 controlled by surface water utilisation and not, as previously assumed, by subsurface nitrogen 42 loss processes in the water column, which only had a significant influence during modern 43 times (i.e. since ~1870 AD).

44

45 **1 Introduction**

Global climate of the late Holocene was disrupted by major anomalies, the most recent of 46 47 which being the Little Ice Age (LIA) between ca. 1400 and 1850 AD (Lamb, 1965; Grove, 2001). During that time a weakening of the Walker circulation (Conroy et al., 2008), reduced 48 49 influence of the South Pacific subtropical high (SPSH) along the Peruvian margin (Sifeddine 50 et al., 2008; Gutiérrez et al., 2009; Salvatteci et al., 2014a), and a southward shift of the mean 51 position of the Intertropical Convergence Zone (ITCZ) and the associated precipitation belt 52 compared to today (Sachs et al., 2009) caused pronounced changes in rainfall patterns in the 53 tropics. El Niño-like warmer conditions in the Eastern South Pacific were accompanied by an 54 intensified South American summer monsoon (Bird et al., 2011) resulting in ~10% higher precipitation in northeast Peru (~5°S; Rabatel et al., 2008) and up to 20-30% higher 55 56 precipitation in the Bolivian Andes (~16°S; Reuter et al., 2009). On the one hand this caused 57 growth and extension of the Andean glaciers (Vuille et al., 2008) and on the other it enabled human settlements in the presently hyperarid southern Peruvian Andes (Unkel et al., 2007). In 58

the upwelling areas off Peru and the western South American shelf regions the main
consequence of these climatic conditions during the LIA was a deepening of the nutricline
and a strongly diminished biological productivity (Vargas et al., 2007; Sifeddine et al., 2008;
Valdés et al., 2008; Gutiérrez et al., 2009).

Sediment cores from the Peruvian shelf covering the period of time from the LIA until present indicate that the marine realm was characterised by an abrupt biogeochemical regime shift towards modern conditions at the end of the LIA due to the northward movement of the ITCZ and an expansion of the SPSH. While low productivity and a more oxygenated water column prevailed during the LIA, markedly increased biological productivity and pronounced oxygen depletion over wide areas of the shelf have characterised the system since the end of the LIA (Vargas et al., 2007; Sifeddine et al., 2008; Gutiérrez et al., 2009, Salvatteci et al., 2014a).

In this study the stable silicon isotope composition of sedimentary diatoms (δ^{30} Si_{onal}) covering 70 71 the period of time from the LIA to the present is analysed. The main goal is the reconstruction 72 of the factors controlling the dynamics of nutrient cycling together with oxygen in the Peruvian upwelling, in particular a comparison between the δ^{30} Si_{opal} and the stable nitrogen 73 isotope composition ($\delta^{15}N_{sed}$) of sedimentary organic matter. Both $\delta^{30}Si_{opal}$ and $\delta^{15}N_{sed}$ 74 provide information about utilisation of silicic acid (Si(OH)₄) and nitrate (NO₃⁻) during 75 76 primary productivity, e.g. during the formation of diatom frustules and associated organic 77 matter, respectively (Altabet and Francois, 1994; De La Rocha et al., 1997). Diatoms 78 preferentially incorporate the lighter isotopes from the dissolved Si(OH)₄ and NO₃⁻ pools 79 leaving the residual dissolved nutrients enriched in the heavier isotopes (Wada and Hattori, 80 1978; Altabet et al., 1991; De La Rocha et al., 1997). Si isotope fractionation is mainly 81 controlled by the utilisation of Si(OH)₄ in surface waters by biota (diatoms) (e.g., De La Rocha et al., 1998; Brzezinski et al., 2002; Egan et al., 2012). The δ^{15} N of NO₃⁻ is partly 82 83 controlled by NO₃⁻ utilisation of marine organisms but is also affected by N-loss processes in the water column (denitrification, anammox) (Codispoti et al., 2001; Dalsgaard et al., 2003) 84 resulting in a marked enrichment of the upwelling source waters in the heavier ${}^{15}NO_3$ (Liu 85 86 and Kaplan, 1989; Lam et al., 2009; given that it is currently not possible to distinguish between different N-loss processes from the sediments we will use the term denitrification for 87 simplicity). Consequently, sedimentary $\delta^{15}N_{sed}$ records from areas dominated by oxygen-88 depleted waters such as the shelf region off Peru are usually interpreted to directly reflect 89 90 changes in the intensity of subsurface NO₃⁻ loss and the extent and strength of oxygen

- 91 depletion (e.g., De Pol-Holz et al., 2007, 2009; Agnihotri et al., 2008; Gutiérrez et al., 2009) 92 whereas the effect of NO₃⁻ utilisation on the preserved $\delta^{15}N_{sed}$ is often neglected. Comparison 93 of both isotope systems can therefore provide information about the degree of utilisation of 94 NO₃⁻ and Si(OH)₄ versus the influence of NO₃⁻ loss processes. Increasing nutrient utilisation 95 should result in a consistent increase in both $\delta^{30}Si_{opal}$ and $\delta^{15}N_{sed}$. In contrast, a change in 96 NO₃⁻ reduction due to varying oxygen depletion in the water column would affect only the 97 $\delta^{15}N_{sed}$ leaving the $\delta^{30}Si_{opal}$ unaffected.
- 98 The main forces driving surface productivity and subsurface oxygenation off Peru at 99 centennial time scales during the past two millennia have been changes in the strength of the 100 Walker circulation and in the expansion/contraction of the SPSH (Gutierrez et al., 2009; Salvatteci et al., 2014a). Therefore, the radiogenic isotope compositions of neodymium (ε_{Nd}) 101 102 and strontium (⁸⁷Sr/⁸⁶Sr) of the authigenic ferromanganese (Fe-Mn) oxyhydroxide coatings of the sedimentary particles, which are expected to record the radiogenic isotope compositions 103 104 of past bottom waters, as well as of the detrital fraction of the sediment were examined. These 105 proxy data provide information about changes of (surface ocean) circulation and of transport 106 processes, provenance of the sediments, and input mechanisms of terrigenous material as a 107 function of changes in precipitation on land during the transition from wetter LIA-conditions 108 to drier modern conditions. Weathering of continental source rocks delivers lithogenic 109 particles of different origin and age to the shelf, which have distinct radiogenic isotope signatures ($\epsilon_{Nd detritus}$, ${}^{87}Sr/{}^{86}Sr_{detritus}$) that can be used to trace their source areas (Goldstein et 110 111 al., 1984). Central Peruvian Andean rocks have more radiogenic ε_{Nd} signatures whereas 112 southern Peruvian rocks are characterised by less radiogenic ε_{Nd} signatures (Sarbas and Nohl, 113 2009), which is also reflected in the sediments along the shelf (Ehlert et al., 2013). Changes in 114 detrital material input and transport pathways are generally closely related to climatic changes 115 causing variations in the supply from the respective source areas (e.g. Grousset et al., 1988). It 116 should therefore be possible to detect the transition from wetter LIA-conditions with higher 117 local input from central Peru via rivers due to higher precipitation rates towards the drier 118 presently prevailing conditions with an increased influence of eolian material transport from 119 further south in the Atacama desert (Molina-Cruz, 1977) and deposition along the shelf after 120 the LIA.
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122 **2 Material and Methods**

123 **2.1 Core Locations and Age Models**

124 For the reconstruction of surface water Si(OH)₄ utilisation and terrestrial material input and 125 transport for the period of time between the LIA and present two sediment cores with high 126 sedimentation rates were analysed. Box core B0405-6 was recovered from the upper 127 continental slope off Pisco at 14°07.9' S, 76°30.1' W in a water depth of 299 m with the 128 Peruvian R/V José Olava Balandra in 2004 (Fig. 1) (Gutiérrez et al., 2006). The age model 129 was previously published by Gutiérrez et al. (2009) and is based on downcore profiling of the activities of ²⁴¹Am, excess ²¹⁰Pb, and on radiocarbon ages obtained from bulk sedimentary 130 131 organic carbon, which document that the core covers the past ~650 years. The second core, 132 multicorer M771-470, was taken at 11° S, 77°56.6' W in 145 m water depth during cruise 133 M77/1 with the German R/V Meteor in 2008 (Fig. 1). The age model was obtained by measuring excess ²¹⁰Pb activities and modeling of the resulting profiles as described by 134 Meysman et al. (2005) (for details see Supplement). Ages prior to ~1850 AD were inferred 135 using sedimentation rates from nearby core B0405-13 (Gutiérrez et al., 2009; Salvatteci et al., 136 137 2014b).

138

139 2.2 Methods

140 **2.2.1 Biogenic Opal and Silicon Isotope Analyses**

The biogenic opal (bSi) contents in both cores were measured following the sequential leaching techniques described by DeMaster (1981) and Müller and Schneider (1993). Si isotope analyses were performed on the 11-32µm diatom-fraction that was extracted from the sediment applying the procedures described by Morley et al. (2004).

Approximately 300 mg of sediment were treated with 30% H₂O₂ and 35% HCl to remove organic matter and carbonate. Afterwards the sediment was wet-sieved to separate the 11-32 µm fraction. In a third step a heavy-liquid solution (sodium-polytungstate, 2.1-2.2 g/mL) was applied in several steps to separate diatoms from the detrital lithogenic silicate material. All samples were screened under the microscope to verify their purity with respect to the detrital (clay) fraction.

151 The diatom samples were then transferred into Teflon vials and dissolved in 1 mL 0.1 M

152 NaOH and diluted with MQ water according to Reynolds et al. (2008). More details are 153 provided in Ehlert et al. (2012). Si concentrations of the dissolved diatom samples were 154 measured colorimetrically using a photospectrometer (Hansen and Koroleff, 1999). 155 Chromatographic separation and purification of the Si was achieved with 1mL pre-cleaned 156 AG50W-X8 cation exchange resin (mesh 200-400) (Georg et al., 2006; as modified by de 157 Souza et al., 2012). Si isotope ratios were measured on a NuPlasma HR MC-ICPMS (Nu 158 Instruments) at GEOMAR equipped with an adjustable source-defining slit, which can be set to medium resolution to ensure separation of the ³⁰Si peak from molecular interferences. The 159 measurements were carried out applying standard-sample bracketing (Albarède et al., 2004). 160 161 All solutions were measured at a Si concentration of 14-21 µmol/kg of samples and standards 162 depending on the performance of the instrument on the respective measurement day and were 163 introduced into the plasma via a Cetac Aridus II desolvating nebulizer system equipped with a 164 PFA nebulizer operated at a 60 to 80 µL/min uptake rate. Si isotope compositions are reported in the δ^{30} Si notation as deviations of the measured 30 Si/ 28 Si from the NIST standard NBS28 in 165 166 parts per thousand (%). Repeated measurements of the reference materials IRMM018 and Big Batch gave average δ^{30} Si values of $-1.52 \pm 0.18\%$ ($2\sigma_{(sd)}$) and $-10.84 \pm 0.18\%$ ($2\sigma_{(sd)}$), 167 168 respectively, which are in good agreement with values obtained by other laboratories 169 (Reynolds et al., 2007). Samples were measured three to five times within a one-day session 170 and measurements were repeated on at least two separate days. The resulting uncertainties 171 ranged between 0.04 and 0.23‰ ($2\sigma_{(sd)}$) (Tables 1, 2). Replicate measurements of an in-house 172 diatom matrix standard over longer periods of time gave an external reproducibility of 0.11‰ 173 $(2\sigma_{(sd)})$. Error bars provided in the figures correspond to that external reproducibility unless 174 the uncertainties of the repeated sample measurements were higher.

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176 2.2.2 Neodymium and Strontium Isotope Analyses

To obtain the radiogenic isotope composition of past bottom seawater at the sites of the sediment cores from the early diagenetic Fe-Mn coatings of the sediment particles, previously published methods were applied (Gutjahr et al., 2007; see supplement for details). The residual detrital material was leached repeatedly to remove remaining coatings and was then treated with a mixture of concentrated HF-HNO₃-HCl for total dissolution. The separation and purification of Nd and Sr in the leachates and in the completely dissolved detrital

183 sediment fraction followed previously published procedures for Nd (Cohen et al., 1988) and 184 Sr (Horwitz et al., 1992) applying ion exchange chromatography for separation of Rb/Sr from 185 the rare earth elements (REEs) (0.8 mL AG50W-X12 resin, mesh 200-400) followed by 186 separation of Sr from Rb (50 µL Sr-Spec resin, mesh 50-100), and separation of Nd from the 187 other REEs (2 mL Eichrom Ln-Spec resin, mesh 50-100). All radiogenic isotope 188 measurements were performed on the NuPlasma HR MC-ICPMS (Nu Instruments) at 189 GEOMAR. Measured Nd isotope compositions were corrected for instrumental mass bias using a ¹⁴⁶Nd/¹⁴⁴Nd of 0.7219 and were normalised to the accepted ¹⁴³Nd/¹⁴⁴Nd literature 190 value of 0.512115 of the JNdi-1 standard (Tanaka et al., 2000). All values are given as ε_{Nd} , 191 which corresponds to the measured ¹⁴³Nd/¹⁴⁴Nd, normalised to the Chondritic Uniform 192 Reservoir CHUR (0.512638), multiplied by 10,000. The external reproducibility was 193 194 estimated by repeated measurements of the JNdi-1 standard and was always better than 20 ppm ($2\sigma_{(sd)}$ Tables 1, 2). Measured ⁸⁷Sr/⁸⁶Sr ratios were corrected for instrumental mass bias 195 using ${}^{88}\text{Sr}/{}^{86}\text{Sr} = 8.3752$ and were normalised to the accepted value for NIST SRM987 of 196 197 0.710245. The $2\sigma_{(sd)}$ external reproducibility of repeated standard measurements was always 198 better than 36 ppm ($2\sigma_{(sd)}$, Tables 1, 2). Procedural Nd and Sr blanks for leachates and total 199 dissolutions of the detrital material were ≤ 83 pg and 2.1 ng, respectively, and thus negligible 200 compared to the concentrations of the samples.

201

202 **3 Results**

203 3.1 Core M771-470 (Callao)

Sediment core M771-470 located at 11°S in 145 m water depth, is characterised by bSi concentrations between 10.1% and 26.9% and total N contents between 0.5% and 1.1% (Fig. 2a, Table 1), whereby the lowest values occurred just prior to the end of the LIA. The maximum bSi concentrations were found during the transition period. In contrast, the highest nitrogen (N) content occurred later in the youngest part of the record. The $\delta^{30}Si_{opal}$ varied between +0.6‰ and +1.1‰ (Fig. 2b) and followed bSi concentrations with the maximum and minimum isotope values corresponding to the same respective depths for both parameters.

- 211 The $\varepsilon_{Nd \ detritus}$ is characterised by values between -3.6 and -5.2 with a mean value of -4.5 ± 1.0
- 212 ($2\sigma_{(sd)}$) (Fig. 2c, Table 1). The 87 Sr/ 86 Sr_{detritus} signatures of the same samples range between
- 213 0.70647 and 0.70936 (Fig. 2d, Table 1). The variability of $\varepsilon_{Nd \ detritus}$ and ${}^{87}Sr/{}^{86}Sr_{detritus}$ is very

similar. Samples from the LIA show a trend towards more radiogenic $\varepsilon_{Nd \ detritus}$ and less radiogenic ${}^{87}Sr/{}^{86}Sr_{detritus}$. At the beginning of the transition period both records indicate a marked change to less radiogenic $\varepsilon_{Nd \ detritus}$ and more radiogenic ${}^{87}Sr/{}^{86}Sr_{detritus}$ values, which was more pronounced in the Sr than in the Nd isotope data, resulting in the youngest samples having the least radiogenic $\varepsilon_{Nd \ detritus}$ and the most radiogenic ${}^{87}Sr/{}^{86}Sr_{detritus}$ signatures.

219 In theory, the radiogenic isotope composition of authigenic Fe-Mn oxyhydroxide coatings is a 220 useful tracer to detect changes on the prevailing bottom water masses at a distinct location. 221 The PCUC, which dominates the bottom waters at the core locations today, is characterised 222 by radiogenic ε_{Nd} signatures of -1.8 (Lacan and Jeandel, 2001; Grasse et al., 2012). A 223 deepening of the nutricline and a vertical expansion of surface water masses during the LIA 224 could change that value towards less radiogenic signatures typical for water masses 225 originating from the South Pacific (Piepgras and Wasserburg, 1982; Grasse et al., 2012). 226 However, as shown before (Ehlert et al., 2013) the authigenic coating fraction from sediments 227 along the Peruvian shelf does not necessarily represent changes in water mass advection and 228 is therefore not a reliable proxy (see also Supplement for details).

229

230 3.2 Core B0405-6 (Pisco)

231 In core B0405-6 located near 14°S off Pisco in 299 m water depth the range of bSi 232 concentrations and its maximum value are higher than in core M771-470 and varied between 233 12.6% and 37.7% (Fig. 2e, Table 2). The trends are very similar to those of core M771-470 234 and bSi content correlates closely with the diatom accumulation rate (Fig. 2e). The lowest 235 values of both parameters occurred at the end of the LIA and highest values were found right 236 after the end of the LIA at the beginning of the transition period. The N content ranges from 237 0.5% around 1860 AD to 1.8% in the youngest sample of the record (Fig. 2e) (Gutiérrez et al., 238 2009) with maximum N content in core B0405-6 also being higher than in core M771-470. The δ^{30} Si_{opal} record shows the same range from +0.6% to +1.1% as core M77/1-470 and a 239 very similar trend with the lowest values near the end of the LIA and the highest values 240 immediately thereafter during the transition period (Fig. 2f, Table 3). The $\delta^{15}N_{sed}$ ranges 241 242 between 3.6‰ and 7.6‰ and shows a trend from lower mean values around 4‰ to 5‰ 243 during the LIA to higher values between 6‰ and 7‰ in the modern sediments (Fig. 2f).

- 244 The $\varepsilon_{Nd \ detritus}$ signatures are characterised by overall somewhat more radiogenic values than of 245 core M771-470 ranging from -4.1 to -2.5 (mean value -3.2 \pm 0.9, $2\sigma_{(sd)}$ excluding the value of -0.2 ε_{Nd} at 1761 AD, which is considered an outlier), with slightly less radiogenic values in 246 247 the older part of the record and more radiogenic values in the younger part (Fig. 2g, Table 2). The ⁸⁷Sr/⁸⁶Sr_{detritus} values range between 0.70711 and 0.70796 (Fig. 2h, Table 2). Similar to 248 core M771-470 although less pronounced, the main feature in the detrital Sr isotope record 249 observed is a trend from less radiogenic ⁸⁷Sr/⁸⁶Sr_{detritus} values in the older part of the record 250 towards more radiogenic values in the youngest part with a shift at the end of the LIA and 251 252 during the early transition period.
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254 4 Discussion

255 After the end of the LIA around 1820 AD the mean position of the ITCZ shifted northward 256 (Sachs et al., 2009) causing an intensification of alongshore winds and enhanced coastal 257 upwelling off the Peruvian coast (Sifeddine et al., 2008; Gutiérrez et al., 2009), diminished 258 coastal sea surface temperatures (Vargas et al., 2007), and a decrease in precipitation on land 259 (Rabatel et al., 2008; Bird et al., 2011). Records of productivity and redox conditions based on $\delta^{15}N_{sed}$ and the Mo and Cd content of the sediments indicate a rapid change of the 260 biogeochemical composition of the source waters to higher nutrient concentrations causing 261 262 higher biological productivity and lower subsurface oxygen, which have persisted until the 263 present day (Sifeddine et al., 2008; Gutiérrez et al., 2009; Salvatteci et al., 2014a). The shift 264 after the end of the LIA constitutes a major anomaly of late Holocene climate in the Eastern 265 Pacific, which was of the same order of magnitude as the changes in conditions off Chile 266 during the Younger Dryas (De Pol-Holz et al., 2006). This study focuses on the reconstruction 267 of the regime shift from the LIA and a transitional period towards modern conditions and its 268 controlling factors including the evolution of nutrient utilisation and changes in the advection 269 of water masses and material transport.

270

4.1 Changes in Biological Productivity and Nutrient Consumption

4.1.1 Evolution of Surface Water Productivity and Nutrient Utilisation

273 The pronounced change in the biogeochemical regime from low productivity during the LIA 274 to higher productivity during the transitional and modern period thereafter is documented by 275 several sedimentary records from the EEP region and has been dated at ~1820 AD (Sifeddine 276 et al., 2008; Gutierrez et al., 2009; Díaz-Ochoa et al., 2009, 2011; Salvatteci et al., 2014a). 277 Similarly, both cores M771-470 from 11°S and B0405-6 from 14°S off Pisco show the characteristic coeval pronounced increase in bSi and total N content (Figs. 2a, e) and Core 278 279 concentration (not shown here) after the end of the LIA and during the transition period. 280 Therefore, three time periods that show distinct differences in productivity and nutrient 281 utilisation have been identified from our records and will be discussed in the following: the 282 LIA, the transition period from the LIA to modern conditions between ~1820 and ~1870AD, 283 and modern conditions after ~1870 AD.

284 Both cores recorded a two- to threefold increase in bSi content from 10-12% prior to the end 285 of the LIA to values of up to 27% in M771-470 and up to 38% in B0405-6 during the 286 transition period (Figs. 2a, e). Afterwards the bSi contents decreased again but have remained 287 at a level of ~20% and thus significantly higher than prior to the end of the LIA. The increase 288 in bSi content is also reflected by a marked increase in diatom accumulation rate in core 289 B0405-6 (Fig. 2e) (Gutiérrez et al., 2009). Analyses of the downcore diatom assemblages 290 have shown that the high diatom accumulation rates and bSi content in core B0405-6 during 291 the transition period were associated with diatom layers dominated by Skeletonema costatum 292 (Gutiérrez et al., 2009), a species that is today more abundant when upwelling is more intense 293 during Austral winter/spring.

Both cores are characterised by a very high correlation between total N and C_{org} content 294 $(r^2=0.95 \text{ for core M771-470 and } 0.8 \text{ for core B0405-6, respectively})$ (Gutiérrez et al., 2009; 295 296 this study). In contrast, bSi and total N contents do not co-vary throughout the records (Fig. 297 3a). Surface sediments from the Peruvian shelf region between the Equator and ~18°S show a 298 relatively weak but positive correlation between bSi and N contents ($r^2 = 0.5$, Fig. 3a) (Ehlert 299 et al., 2012; Mollier-Vogel et al., 2012). Similar to the surface sediments, bSi and total N 300 concentrations in core M771-470 are positively correlated, whereas they essentially do not 301 correlate in core B0405-6. This is because the bSi maximum at the end of the transition period 302 was more pronounced in core B0405-6 and higher than surface sediment bSi contents 303 anywhere along the shelf region off Peru. At the same time only a rather gradual increase in 304 total N content with some excursions to low values during the transition period occured (Figs. 305 2a, e). The total N concentration also did not always co-vary with $\delta^{15}N_{sed}$ (Fig. 3c). In 306 particular, the samples from the late transition period show very low total N concentrations 307 but high $\delta^{15}N_{sed}$, high $\delta^{30}Si_{opal}$ and bSi content.

- Sedimentary $\delta^{15}N_{sed}$ data, which are only available for core B0405-6, show a shift from lower 308 309 values around +4% to +5% during the LIA to higher values around +7% after the end of the 310 LIA and have remained at that level since then (Fig. 2f) (Gutiérrez et al., 2009). The values in the younger part of the record are in good agreement with surface sediment $\delta^{15}N_{sed}$ data 311 measured in the main Peruvian upwelling region ranging from +6% to +9% (Mollier-Vogel 312 et al., 2012). Bulk $\delta^{15}N_{sed}$ signatures measured in core B0405-13 from 12°S (184 m water 313 314 depth) close to the location of core M771-470 can be used for comparison and show very similar values, amplitude, and variability as core B0405-6 (Fig. 2i) (Gutiérrez et al., 2009). In 315 contrast to $\delta^{15}N_{sed}$, the $\delta^{30}Si_{onal}$ signatures, which mainly reflect changes in surface water 316 nutrient utilisation, are not only characterised by a simple increase at the end of the LIA. 317 Instead, both $\delta^{30}Si_{opal}$ records closely follow the evolution of the bSi concentrations and show 318 intermediate δ^{30} Si_{opal} signatures between +0.8‰ and +0.9‰ during the LIA, a pronounced 319 320 short-term decrease to +0.6‰ at the end of the LIA, which was followed by a marked 321 increase to values around +1.1‰ during the transition period, and finally a return to 322 intermediate values between +0.8% and +1.0% in the modern part of the records (Figs. 2b, f). The correspondence between bSi content and δ^{30} Si_{onal} is more pronounced in core B0405-6 323 324 (Fig. 3b), which shows a higher variability and amplitude of bSi content. The difference in the $\delta^{30}Si_{opal},\,\delta^{15}N_{sed}$, bSi and total N content records during the transition from LIA to modern 325 326 conditions reflects the different environmental factors controlling the proxies, which will be 327 discussed in the following sections.
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329 4.1.2 Present Day Surface Water Utilisation versus Subsurface Nitrate Loss

Diatoms are the dominant phytoplankton group of the Peruvian upwelling region (Estrada and Blasco, 1985; Bruland et al., 2005). The $\delta^{30}Si_{opal}$ of these diatoms is primarily controlled by surface water diatom productivity and Si(OH)₄ utilisation (De La Rocha et al., 1998; Brzezinski et al., 2002; Egan et al., 2012). Off Peru the $\delta^{30}Si_{opal}$ has also been shown to be dependent on the isotopic signature of the advected surface and subsurface water masses (Ehlert et al., 2012; Grasse et al., 2013). Similarly, the $\delta^{15}N_{sed}$ of the organic matter is

- 336 controlled by N isotope fractionation during NO₃⁻ uptake by phytoplankton, mostly diatoms. Off Peru, however, the NO₃ supplied to the surface waters has previously been enriched in 337 $^{15}NO_3^{-1}$ due to upwelling of oxygen-depleted subsurface waters, which had undergone 338 339 significant NO₃-loss processes (mostly denitrification, but also anammox processes, 340 associated with a high fractionation of up to 20-30‰) (Lam et al., 2009; Altabet et al., 2012). Bulk sediment $\delta^{15}N_{sed}$ in areas with oxygen-depleted waters is therefore usually interpreted to 341 342 reflect changes in the intensity of subsurface NO_3^- reduction and the extent and strength of the oxygen minimum zone (Altabet et al., 1999; De Pol-Holz et al., 2007; Agnihotri et al., 2008; 343 Gutiérrez et al., 2009). The direct comparison of δ^{30} Si_{onal}, reflecting mostly utilisation, and 344 $\delta^{15}N_{sed}$, reflecting both utilisation and NO₃⁻ reduction, from core B0405-6 off Pisco will 345 therefore provide insights into the strength of NO_3^- reduction in the past. 346
- Subsurface water column $\delta^{15}N_{NO3}$ data from the present-day Peruvian shelf are isotopically 347 very heavy, in particular along the southern shelf region between 10°S and 17°S, where 348 349 values of up to +25‰ are reached due to the increasing oxygen deficit and intensification of 350 NO₃⁻loss processes (Mollier-Vogel et al., 2012; Altabet et al., 2012). These isotopically 351 enriched waters are upwelled along the shelf and represent the source for organic matter 352 production in the surface waters. Therefore, it is expected, that the deposited sedimentary 353 organic matter reflects these enriched subsurface water signatures. However, the latitudinal increase in surface sediment $\delta^{15}N_{sed}$ from the same shelf region to maximum mean values 354 355 around +9% is much lower than that measured in the water column (Mollier-Vogel et al., 2012). The reason for this observation is that the $\delta^{15}N_{sed}$ signal in the southern shelf region 356 (10-17°S) did not fully record the the ${}^{15}NO_3^-$ enrichment in the water column but is a 357 combination of the isotopic effects associated with subsurface NO3-loss and incomplete 358 surface water NO₃⁻ utilisation and water mass mixing. Direct comparison of δ^{30} Si_{opal} and 359 $\delta^{15}N_{sed}$ allows to investigate and to distinguish the relative importance of these processes (Fig. 360 4a). Diatoms off Peru preferentially take up Si(OH)₄ and NO₃⁻ at a ratio of \sim 1:1 or below 361 (Brzezinski, 1985; Takeda, 1998; Hutchins et al., 2002). If utilisation were the only driving 362 factor, the sedimentary $\delta^{30}Si_{opal}$ and $\delta^{15}N_{sed}$ should all plot close to a line that reflects the 363 enrichment during increasing utilisation, i.e. 1.1% for δ^{30} Si_{opal} (De La Rocha et al., 1997) and 364 ~5% for δ^{15} N (DeNiro and Epstein, 1981; Minagawa and Wada, 1984). Under the influence 365 366 of denitrification with an enrichment of ~20‰ (Lam et al., 2009), however, the relationship between $\delta^{30}Si_{opal}$ and $\delta^{15}N_{sed}$ would be very different (Fig. 4a). 367

Most modern shelf samples plot either on or above the theoretical curve for utilisation implying, if at all, Si(OH)₄ limiting conditions (Fig. 4a). Very few samples are shifted towards the theoretical curve for denitrification, indicating a weak influence of NO_3^- -loss processes on the preserved isotope signatures. Especially along the central shelf region (green curves in Fig. 4a), where the cores are located, surface sediment signatures closely reflect the utilisation in surface waters with only little influence of NO_3^- -loss in the water column and sediments.

375

4.1.3 Past Surface Water Utilisation versus Subsurface Nitrate Loss

Assuming that source water isotope composition (+1.5% δ^{30} Si_{Si(OH)4}, +9% δ^{15} N_{NO3}) and 377 isotope enrichment during utilisation and denitrification (-1.1% δ^{30} Si_{opal}, ~-5% and ~-20% 378 $\delta^{15}N_{NO3}$ for utilisation and denitrification, respectively) in the past were similar to the 379 380 conditions of the present-day shelf region (Ehlert et al., 2012; Mollier-Vogel et al. 2012), the 381 samples of core B0405-6 indicate variable utilisation/NO₃ -loss conditions (Fig. 4b). Samples 382 from the LIA and the transition period generally plot on or above the utilisation curve 383 indicating stronger Si(OH)₄ than NO₃⁻ utilisation. This implies that in the Peruvian upwelling 384 system has rather been a Si(OH)₄-limited system during that time, similar to today (Fig. 4a). 385 During the transition period, when strong upwelling conditions caused intense blooming of 386 Skeletonema costatum, utilisation of $Si(OH)_4$ and NO_3^- was very close to a 1:1 ratio. In 387 contrast, the samples from the end of the LIA and especially the recent samples are shifted 388 slightly towards the denitrification curve indicating a higher influence of NO₃⁻-loss processes. 389 This is particularly the case for the samples from the end of the LIA, which have the lowest δ^{30} Si_{opal} but at the same time already show a strong increase in δ^{15} N_{sed} to values of near +6%. 390 The most likely explanation is that upwelling was strongly increased during those brief 391 392 periods resulting in high nutrient supply, high productivity, and either more complete NO₃⁻ 393 utilisation (Gutiérrez et al., 2009) or increased NO₃-loss caused by enhanced subsurface 394 oxygen depletion. Overall, however, the utilisation signal appears to have dominated both the 395 Si and N isotope records.

396 If, however, the $\delta^{15}N_{sed}$ is dominated by utilisation it is interesting that in the cores (both 397 B0405-6 and -13) $\delta^{15}N_{sed}$ and proxies for sediment redox conditions (e.g. molybdenum 398 concentrations) are strongly coupled throughout the record (Sifeddine et al., 2008; Gutiérrez

399 et al., 2009). One direct interpretation could be that the diatom blooms, and subsequently the 400 degradation of the organic matter, strongly control the oxygen availability in the sediments 401 after sedimentation and burial. Therefore, increased diatom productivity and higher Si(OH)₄ 402 and NO₃⁻ utilisation would result in an increase in $\delta^{15}N_{sed}$. At the same time more oxygen is consumed during degradation of the organic matter in the sediments causing more reducing 403 404 conditions in the sediments. Consequently, a change in the subsurface water column structure, e.g. enhanced re-supply of oxygen via ocean currents, may not be reflected in the $\delta^{15}N_{sed}$ 405 406 record.

407

408 **4.1.4 Modelling the Surface Water Utilisation**

409 Following the above considerations we will try to quantify past utilisation based on our data. 410 The theoretical relationship between the degree of surface water nutrient utilisation and the 411 stable isotope composition of Si and N can be described assuming either Rayleigh-type (single input followed by no additional nutrients newly supplied to a particular parcel of water 412 413 followed by fractional loss as a function of production and export) or steady state (continuous supply and partial consumption of nutrients causing a dynamic equilibrium of the dissolved 414 415 nutrient concentration and the product) fractionation behaviour (Fig. 5) (Mariotti et al., 1981). 416 The lighter isotopes are preferentially incorporated into the diatom frustules and the organic matter, respectively, leaving the dissolved fraction enriched in the heavier isotopes (Wada and 417 Hattori, 1978; Altabet et al., 1991; De La Rocha et al., 1997). The fractionation between δ^{30} Si 418 in seawater and δ^{30} Si in the produced diatom opal has generally been assumed to be -1.1% 419 (De La Rocha et al., 1997) whereas between $\delta^{15}N_{NO3}$ of seawater and $\delta^{15}N$ of the newly 420 421 formed organic matter it is usually between -3‰ to -6‰ (DeNiro and Epstein, 1981; Minagawa and Wada, 1984). Here we adopted -5‰, which corresponds to present-day 422 423 conditions along the central Peruvian shelf (Mollier-Vogel et al., 2012).

Along the Peruvian shelf region biological productivity in the euphotic zone is mainly driven by upwelling of nutrients from subsurface waters. For the calculation of the utilisation of these nutrients, an initial δ^{30} Si_{Si(OH)4} of +1.5‰ (Ehlert et al., 2012) and an initial δ^{15} N_{NO3} of +9‰ (Mollier-Vogel et al., 2012) for the upwelled water masses at 14°S is assumed. The lower mean δ^{15} N_{sed} of about +5‰ and δ^{30} Si_{opal} of +0.7‰ signatures during the LIA in the southerly core B0405-6 correspond to a dissolved δ^{15} N_{NO3} and δ^{30} Si_{Si(OH)4} isotope signature

- 430 of the surface waters of +10‰ and +1.8‰ and a calculated NO₃₋ and Si(OH)₄ utilisation of only 20-30% for steady state-type fractionation (Fig. 5b) and 35-50% for Rayleigh-type 431 fractionation (Fig. 5a) behaviour, respectively. The highest mean values of +1.1% for δ^{30} Si_{onal} 432 and +6.8‰ for $\delta^{15}N_{sed}$ for the transition period correspond to a much higher utilisation of 433 ~60% for steady state-type fractionation and ~80% assuming Rayleigh-type fractionation. 434 435 Consequently, the calculated utilisation of available $Si(OH)_4$ and NO_3^- more than doubled, 436 whereby bSi concentrations and diatom accumulation rates increased by about a factor of 437 three (Fig. 2e).
- 438 The changes in Si(OH)₄ and NO₃⁻ utilisation were of the same order of magnitude and reflect 439 low nutrient utilisation during the LIA and much higher degree of utilisation thereafter. The large increase in $\delta^{15}N_{sed}$ at the end of the LIA has been interpreted to reflect an expansion of 440 nutrient-rich, oxygen-poor subsurface waters (Gutiérrez et al., 2009). However, comparison 441 with $\delta^{30}Si_{opal}$ shows that indeed the increase in $\delta^{15}N_{sed}$ may have occurred as a consequence of 442 443 the extension of the oxygen minimum zone and increasing subsurface NO₃⁻loss but can also 444 be explained by higher surface water utilisation. As Mollier-Vogel et al. (2012) have shown, the subsurface enrichment of $\delta^{15}N_{NO3}$ caused by NO₃⁻-loss processes can only be reflected in 445 the sediments under near-complete surface water NO3⁻ utilisation, which did obviously not 446 447 occur at our studied sites.
- In the modern samples the $\delta^{30}Si_{opal}$ are characterised by a slight decrease after the transition 448 period from mean value of +1.12% to +0.82%, whereas the $\delta^{15}N_{sed}$ values remain at the same 449 level around +7% (Fig. 2). This corresponds to a $\sim 20\%$ higher NO₃⁻ than Si(OH)₄ utilisation 450 451 (Fig. 5). However, when assuming that diatoms are the dominating primary producers with a NO_3 :Si(OH)₄ uptake ration of ~1, these 20% could be interpreted to reflect the increase in 452 subsurface NO₃⁻loss that is not observable during the LIA or the transition period. I.e. during 453 454 the LIA and the transition period utilisation was the domniating process influencing the 455 $\delta^{15}N_{sed}$ signal, whereas during modern times NO₃⁻-loss enhanced the signal. By combining the δ^{30} Si_{opal} and the δ^{15} N_{sed} records this "additional" signal can be quantified here: if only 456 utilisation would play a role the expected $\delta^{15}N_{sed}$ signal would be ~+6‰ (corresponding to the 457 measured ~+0.8‰ for δ^{30} Si_{opal}, Fig. 5). The additional 1‰ δ^{15} N_{sed} must be due to NO₃⁻-loss. 458
- 459 The overall relatively low δ^{30} Si_{opal} signatures between 0.8‰ and 1.0‰ during the LIA and in 460 the modern part of the records (Figs. 2, 5) document that the utilisation of Si(OH)₄ only

461 changed slightly during the investigated period of time although the accumulation rate of 462 produced diatoms was much higher after the LIA (Fig. 2e) (Gutiérrez et al., 2009). This 463 suggests that the nutrient concentrations in the upwelled subsurface source waters must have 464 been lower during the LIA than they are today. During the LIA large-scale circulation 465 changes, i.e. a weak Walker circulation and a contraction of the SPSH (Conroy et al., 2008; 466 Lamy et al., 2001), caused permanent El Niño-like conditions along the Peruvian upwelling 467 system. During such conditions, the alongshore winds weakened and caused a deepening of 468 the thermo-, oxy- and nutricline, and therefore a reduction of vertical pumping of nutrient-rich 469 and oxygen-depleted subsurface waters off Peru. Such a reduced nutrient supply to the 470 euphotic zone from subsurface waters resulted in an increase in nutrient deficit in surface 471 waters and decreased biological productivity. Enhanced water column oxygenation and lower 472 organic matter flux led to decreased organic matter preservation in the sediments.

473

474 **4.1.5** Factors Influencing the Reconstruction of the Utilisation Signals

There are two main factors that can influence the reconstruction of nutrient utilisation in the past: 1) a change in the dominating diatom assemblages has to be considered and 2) the interpretation strongly depends on the assumptions for the environmental conditions, e.g. source water signature and isotope enrichment during utilisation.

479 Varying upwelling and nutrient supply conditions also cause changes in the dominating 480 diatom assemblages. Recent results from culturing experiments suggest species-dependent enrichment factors for diatom- δ^{30} Si (-0.5% to -2.1%; Sutton et al., 2013) and also diatom 481 frustule-bound δ^{15} N (-1.9‰ to -11.2‰; Horn et al., 2011). This raises the question whether a 482 change in diatom assemblages may have been the cause for the observed downcore δ^{30} Si_{onal} 483 and, to a lesser extent, the bulk $\delta^{15}N_{sed}$ variations. The quasi-monospecific diatom layers from 484 485 the transition period 1820-1870 AD consist mainly of Skeletonema costatum (Gutiérrez et al., 486 2009), for which an enrichment factor ε of -1.0% similar to the applied -1.1% was 487 determined (De la Rocha et al., 1997). The younger sediments also also contain abundant 488 upwelling-indicative species such as Thalassionema nitzschioides and Chaetoceros sp. 489 (Abrantes et al., 2007), whereby *Chaetoceros brevis*, a species from the Southern Ocean, has 490 been shown to have a much higher ε of -2.1‰ (Sutton et al., 2013). That means, assuming the same surface water δ^{30} Si_{Si(OH)4} signatures, a dominance of *Chaetoceros sp.* in the sediments 491

should result in a lower δ^{30} Si_{opal} whereas assemblages dominated by *Skeletonema costatum* 492 should be characterised by higher δ^{30} Si_{opal} signatures, which is exactly what core B0405-6 493 shows. Consequently, the difference in δ^{30} Si_{opal} over time could reflect the change in diatom 494 495 assemblage and not a change in nutrient utilisation. On the other hand, Chaetoceros brevis is 496 a polar species and it is not clear whether off Peru it undergoes the same high fractionation 497 factor during frustule growth. It has been shown that the offset between modern surface water δ^{30} Si_{Si(OH)4} and surface sediment δ^{30} Si_{onal} along the central Peruvian shelf is between -1.1‰ 498 and -1.3‰ (Ehlert et al., 2012), which indicates that either the enrichment factor for the 499 500 dominating Chaetoceros species off Peru does not deviate significantly from -1.1% or that 501 the mixing of different diatoms in the sediment samples overprints any isotopic excursions of 502 single species caused by higher or lower fractionation factors. Given the paucity of data on 503 the fractionation factors for the dominant diatom species off Peru, the importance of the role 504 of downcore changes in the assemblage composition is hard to determine.

The assumed source water δ^{30} Si_{Si(OH)4} and δ^{15} N_{NO3} values of +1.5‰ and +9‰ (Figs. 5, 6), 505 506 respectively, were measured in the present day subsurface waters under strong upwelling 507 conditions during which high amounts of nutrients are supplied to the euphotic zone (Ehlert et 508 al., 2012; Mollier-Vogel et al., 2012). Under strong upwelling conditions the bottom waters 509 on the shallow shelf are today dominated by the southward directed high-nutrient Peru-Chile 510 Undercurrent (PCUC) (Fig. 1) (Brink et al., 1983). Under LIA-conditions (prevailing El 511 Niño-like conditions), however, atmospheric and oceanic circulation was different; the 512 nutricline was deeper as a consequence of a weak Walker circulation and the winds driving 513 the upwelling were weaker as a consequence of the SPSH contraction (Salvatteci et al. 514 2014a). In fact, the pumped waters were likely nutrient-depleted, because the Ekman layer did 515 not reach the subsurface nutrient-rich waters. Under these conditions, the subtropical and 516 equatorial nutrient-depleted surface water masses may have occupied the entire surface layer 517 in the coastal realm because they expanded both latitudinally and vertically in the water 518 column (Montes et al., 2011). This may have changed the source water isotopic signatures 519 and would therefore also change the calculated degrees of utilisation. If, for example, the assumed source water $\delta^{15}N_{NO3}$ was +6% instead of +9% (e.g. due to weaker subsurface NO₃⁻ 520 -loss and weaker ^{15}N enrichment during the LIA) the downcore $\delta^{15}N_{sed}$ data of core B0405-6 521 522 would all plot closer to the denitrification curve (Fig. 4b). Redox proxies from the records, indeed, indicate a weaker OMZ (Gutiérrez et al., 2009), which would make a lower $\delta^{15}N_{NO3}$ -523

524 in source waters likely. However, to date there is no reliable information if and how much the 525 source water δ^{30} Si_{Si(OH)4} and δ^{15} N_{NO3-} signatures changed over time.

526 In the following we thus investigate variations in past water mass circulation, upwelling 527 conditions, as well as material input and transport to reconstruct the source water conditions 528 without considering the potential influence of changes in diatom assemblages.

529

530 **4.2 Changes in Water Mass Circulation, Detrital Material Input and Transport**

The radiogenic isotope composition of the lithogenic particles ($\epsilon_{Nd \ detritus}$ and ${}^{87}Sr/{}^{86}Sr_{detritus}$) of 531 532 the sediments provides useful information about the source region of material and therefore 533 about changes in material input and transport, either eolian or via ocean currents (e.g. 534 Grousset et al., 1988). Surface sediments along the Peruvian shelf show highly variable 535 signatures, which have overall more radiogenic $\varepsilon_{Nd \text{ detritus}}$ values in the North and much less 536 radiogenic ENd detritus values in the South off southern Peru and northern Chile (Ehlert et al., 537 2013). This north-south trend is a consequence of the southward increasing contributions of 538 material input from the adjacent Andean hinterland rocks. The Andean rocks along the northwestern South American region display a wide range in ε_{Nd} and ${}^{87}Sr/{}^{86}Sr$ signatures (Fig. 539 6) (Sarbas and Nohl, 2009) varying from highly radiogenic ε_{Nd} around 0 and unradiogenic 540 ⁸⁷Sr/⁸⁶Sr values around 0.704 in the equatorial region in northern Peru to much less radiogenic 541 ϵ_{Nd} mostly below -4 and more radiogenic $^{87}\text{Sr}/^{86}\text{Sr}$ mostly above 0.705 in southern Peru and 542 northern Chile. The sedimentary $\varepsilon_{Nd \ detritus}$ and ${}^{87}Sr/{}^{86}Sr_{detritus}$ records of the two cores off 543 Callao at 11°S and off Pisco at 14°S show broad similarities, but also some differences. Both 544 cores recorded a significant change in $\varepsilon_{Nd \ detritus}$ and ${}^{87}Sr/{}^{86}Sr_{detritus}$, and therefore a change in 545 provenance, at the end of the LIA and during the transition period. Core M771-470, although 546 547 being located further north, is overall characterised by less radiogenic $\varepsilon_{Nd \text{ detritus}}$ values than 548 core B0405-6 (Figs. 2, 6). The $\varepsilon_{Nd \text{ detritus}}$ of core M771-470 recorded a trend from less 549 radiogenic towards more radiogenic values prior to the end of the LIA, followed by a step of 550 1.5 ε_{Nd} units towards less radiogenic values, which afterwards remained at that level. In contrast, the $\varepsilon_{Nd \ detritus}$ record of core B0405-6 remained at a level around -3.6 during the LIA 551 552 and then slightly increased to maximum values of up to -2.5 in the younger part. The ⁸⁷Sr/⁸⁶Sr_{detritus} record in both cores is mainly characterised by a rapid shift towards more 553 554 radiogenic values at the end of the LIA, whereby the change was much more pronounced in

555 core M771-470 (Figs. 2d, h). The youngest samples of the cores are in good agreement with 556 measurements of surface sediments from the same area (Figs. 2, 6) (Ehlert et al., 2013). The 557 variability in core M771-470 display the same magnitude as the complete glacial-interglacial 558 variation in core SO147-106KL located at 12°S off Lima (Ehlert et al., 2013). All data of both 559 M771-470 and B0405-6 plot within the provenance fields of southern Peru and northern Chile 560 (Fig. 6).

- 561 Today, material input along the Peruvian shelf occurs mostly via riverine and minor eolian 562 input (Molina-Cruz, 1977; Scheidegger and Krissek, 1982). The LIA, however, was 563 characterised by wetter conditions (Haug et al., 2001; Gutiérrez et al., 2009). These changes 564 in precipitation were associated with the position of the ITCZ, changes in Walker ciculation, 565 and expansion/contraction of the South Pacific Subtropical High (SPSH) (Salvatteci et al., 566 2014a). Additionally, there has been a tight connection to northern hemisphere climate. 567 Speleothem records from the central Peruvian Andes for example indicate a pronounced link 568 to North Atlantic climate (Kanner et al., 2013). During cold periods like the LIA, the Peruvian 569 upwelling region exhibited an El Niño-like mean state (Salvatteci et al., 2014a) due to the 570 mean southward migration of the ITCZ and the associated precipitation belt, which also 571 caused more intense rainfall in the central Andean hinterland (Rabatel et al., 2008; Reuter et 572 al., 2009). Most terrigenous particles and weathering products such as clay minerals from the 573 LIA show indications of increased riverine transport and discharge (Sifeddine et al., 2008; 574 Salvatteci et al., 2014a). Consequently, material input during the LIA was dominated by local 575 sources due to the higher river discharge.
- 576 After the end of the LIA the region experienced a northward displacement of the ITCZ and 577 the northern rim of the SPSH to their modern position, coupled with an enhancement of the 578 atmospheric Walker circulation (Gutiérrez et al., 2009). The climate in the EEP became drier 579 and alongshore winds became stronger, riverine input diminished and eolian dust input 580 increased. The wind-blown dust has mainly originated from the Atacama Desert located in the 581 southern Peruvian and northern Chilean Andes (Molina-Cruz, 1977). This material has less radiogenic ε_{Nd} and much more radiogenic 87 Sr/ 86 Sr values (Fig. 6) (Sarbas and Nohl, 2009). 582 The record of core M771-470 is in agreement with this. The LIA-sediments indicate a local 583 584 origin, probably via riverine input, whereas the younger sediments display characteristics 585 from a more southerly origin and therefore increased eolian sources, possibly from the 586 Atacama Desert. The signatures and overall small variations in core B0405-6 are much more

587 difficult to interpret. There are fewer rivers in Southern Peru around Pisco compared to the 588 Callao region. Therefore, riverine-derived material from northern and central Peru, which is 589 transported via the PCUC, can get dispersed further south and can be deposited in the Pisco 590 region. On the other hand, the influence of eolian deposition should be much higher at the 591 southern core location. During the LIA river input increased in southern Peru as well, whereas 592 eolian deposition was low. The invariate signature observed might be the result of mixing of 593 sediment from the different sources. Also, in comparison to core M771-470 core B0405-6 is 594 located much closer to the coast, which most likely diminished the differences in material 595 input and transport between the LIA- and modern conditions.

596 In summary, our combined proxy information coherently hints to the same controlling 597 processes that we already identified on glacial-interglacial timescales (Ehlert et al., 2013) and 598 to different ENSO pattern during the LIA (enhanced El Niño-like conditions) and in modern 599 times (La-Nina-like conditions). The locally sourced radiogenic isotope signatures show that 600 during the LIA precipitation and runoff from the hinterland was higher but this could not 601 compensate for the lower nutrient supply via diminished upwelling. Eolian wind forcing was 602 low and the source waters of the upwelling carried less nutrients. Consequently, diatom 603 productivity and nutrient utilisation were low. In contrast, after the end of the LIA radiogenic 604 isotopes indicate diminished river runoff and increased dust transport, which is in agreement 605 with an overall drier climate, probably driven by an expansion of the SPSH, and a shoaling of 606 the thermocline/nutricline due to a stronger atmospheric Walker circulation. Especially in 607 more recent times, the efficient remineralisation of nutrients from subsurface waters fuelled 608 enhanced diatom productivity most liekly responsible for higher nutrient utilisation in surface 609 waters as well as enhanced oxygen demand and NO₃⁻-loss in subsurface waters.

610

611 **5 Conclusions**

612 Proxies of productivity, nutrient utilisation and material provenance (bSi and N content, 613 $\delta^{30}Si_{opal}$, $\delta^{15}N_{sed}$, $\epsilon_{Nd \ detritus}$, and ${}^{87}Sr/{}^{86}Sr_{detritus}$) from two cores from the Peruvian shelf 614 recorded significant changes in surface water Si(OH)₄ and NO₃⁻ concentration and utilisation 615 due to changes in upwelling intensity and nutrient supply. During the LIA the overall nutrient 616 content in the water column and in surface waters was low because the upwelling source 617 waters contained less nutrients. Consequently, the Peruvian upwelling regime was characterised by persistently reduced primary productivity. The reasons for this were most
likely a contraction of the South Pacific Subtropical High and a weaker Walker circulation
that resulted in a weakening of alongshore winds and a deepening of the nutricline.

621 The enhanced rainfall associated with higher moisture on land during prevailing El Niño-like 622 conditions during the LIA were recorded by the radiogenic isotope composition of the detrital 623 material along the shelf, which was mainly transported via rivers from the Andean hinterland. 624 At the end of the LIA, in accordance with a northward shift of the ITCZ and an intensification 625 of wind strength a higher dust transport of particles associated with drier conditions and 626 eolian forcing is reflected by the radiogenic isotope composition of the detrital sediments. 627 These conditions were also reflected in increasing upwelling strength, a rapid shoaling of the 628 thermocline and nutricline, as well as enhanced nutrient supply and productivity to the surface 629 waters. During a transition period a marked increase in diatom blooming events doubled the 630 $Si(OH)_4$ and NO_3^- utilisation compared to the LIA, and was also higher than present day 631 utilisation. After that transition period more persistent non-El Niño conditions favoured a high 632 productivity accompanied by moderate utilisation of nutrients. Utilisation was similar to the 633 LIA but productivity was much higher, which reflects the much higher concentrations of 634 nutrients in surface waters.

Most studies of past coastal upwelling regions have argued so far that the sedimentary $\delta^{15}N_{sed}$ 635 636 records were dominated by the large N isotope fractionation signature occurring during NO₃⁻loss processes (denitrification or anammox) in oxygen-depleted subsurface waters upwelling. 637 Comparison between $\delta^{30}Si_{opal}$ and $\delta^{15}N_{sed}$ in the same sediment samples of our study and 638 639 assuming similar source water signatures as today, however, indicate that except for the period of time since ~1870 AD, the $\delta^{15}N_{sed}$ signatures to a large extent reflect expected 640 641 utilisation signals, which has important implications for the reconstruction of variations in the 642 intensity of oxygen depletion, the N cycle of the past and its controlling factors.

643

644 Acknowledgements

645 This work is а contribution of Sonderforschungsbereich 754 "Climate 646 Biogeochemistry Interactions in the Tropical Ocean" (www.sfb754.de), which is supported by 647 the Deutsche Forschungsgemeinschaft. We acknowledge the help of Jutta Heinze in the 648 laboratory of GEOMAR for the biogenic opal concentration measurements. We thank Ulrike 649 Lomnitz and Klaus Wallmann for their help with the ²¹⁰Pb dating and the establishment of the
650 age model of core M771-470.

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888	Table 1. Downcore records of core M77/1-470 for $\delta^{30}Si_{opal}$ (‰), bSi content (wt%) and								
889	143 Nd/ 144 Nd, ϵ_{Nd} and 87 Sr/ 86 Sr of detrital material. $2\sigma_{(sd)}$ represents the external								
890	reproducibilities of repeated sample (Si) and standard (Nd, Sr) measurements.								

depth (cm)	δ ³⁰ Si _{opal} (‰)	$2\sigma_{(sd)}$	bSi (wt%)	¹⁴³ Nd/ ¹⁴⁴ Nd _{detritus}	E _{Nd} detritus	$2\sigma_{(sd)}$	⁸⁷ Sr/ ⁸⁶ Sr _{detritus}	$2\sigma_{(sd)}$
0.5	1.03	0.15	18.8	-	-	-	-	-
1.5	-	-	18.6	-	-	-	-	-
2.5	-	-	22.2	-	-	-	-	-
3.5	0.93	0.08	16.9	0.512369	-5.2	0.3	0.709315	1.5E-05
4.5	-	-	16.3	-	-	-	-	-
5.5	-	-	17.2	0.512381	-5.0	0.3	0.709356	1.5E-05
7	-	-	19.5	-	-	-	-	-
9	0.96	0.09	19.8	0.512398	-4.7	0.3	0.708822	1.5E-05
11	-	-	18.8	-	-	-	-	-
13	-	-	15.9	-	-	-	-	-
15	-	-	-	0.512383	-5.0	0.3	0.708737	1.5E-05
16	0.96	0.07	19.3	-	-	-	-	-
19	-	-	-	0.512386	-4.9	0.3	0.708552	1.5E-05
20	1.05	0.10	18.9	0.512410	-4.5	0.3	0.708412	8.0E-06
23	-	-	-	0.512393	-4.8	0.3	0.708720	1.5E-05
24	1.15	0.13	26.9	-	-	-	-	-
26	-	-	-	0.512387	-4.9	0.3	0.707482	8.0E-06
27	-	-	-	0.512397	-4.7	0.3	0.707555	1.5E-05
28	1.00	0.14	14.0	-	-	-	-	-
29	-	-	-	0.512452	-3.6	0.3	0.706549	1.5E-05
32	0.55	0.17	10.1	0.512442	-3.8	0.3	0.706763	1.5E-05
32	-	-	-	0.512445	-3.8	0.3	0.706469	8.0E-06
36	1.10	0.15	14.4	0.512419	-4.3	0.3	0.706767	8.0E-06
40	0.79	0.11	12.3	0.512408	-4.5	0.3	0.706964	8.0E-06
44	0.91	0.18	15.0	0.512421	-4.2	0.3	0.707057	8.0E-06
48	0.75	0.05	-	0.512395	-4.7	0.3	0.707816	8.0E-06

-		-	-	· · ·				
year AD	δ ³⁰ Si _{opal} (‰)	$2\sigma_{(sd)}$	bSi (wt%)	¹⁴³ Nd/ ¹⁴⁴ Nd _{detritus}	E _{Nd detritus}	$2\sigma_{(sd)}$	⁸⁷ Sr/ ⁸⁶ Sr _{detritus}	$2\sigma_{(sd)}$
1950	0.91	0.15	21.7	0.512507	-2.6	0.1	0.708372	8.0E-06
1925	0.83	0.15	21.0	0.512460	-3.5	0.3	0.707923	8.0E-06
1903	0.62	0.10	18.9	0.512487	-2.9	0.3	0.707715	8.0E-06
1857	1.02	0.16	34.4	0.512471	-3.3	0.3	0.707829	8.0E-06
1857	1.22	0.14	37.7	0.512481	-3.1	0.1	0.707736	8.0E-06
1818	0.56	0.15	12.6	0.512468	-3.3	0.3	0.707702	8.0E-06
1793	0.82	0.14	15.8	0.512446	-3.7	0.3	0.707265	8.0E-06
1761	0.71	0.16	13.5	0.512627	-0.2	0.3	0.707296	8.0E-06
1698	0.73	0.09	17.3	0.512462	-3.4	0.3	0.707278	8.0E-06
1564	0.81	0.12	20.8	0.512467	-3.3	0.3	0.707281	8.0E-06
1475	0.77	0.04	17.1	0.512427	-4.1	0.3	0.707959	8.0E-06
1370	0.80	0.23	34.2	0.512509	-2.5	0.3	0.707111	8.0E-06

893 Table 2. Downcore records of core B0405-6 for $\delta^{30}Si_{opal}$ (‰), $bSiO_2$ content (wt%) and 894 $^{143}Nd/^{144}Nd$, ϵ_{Nd} and $^{87}Sr/^{86}Sr$ of detrital material. $2\sigma_{(sd)}$ represents the external 895 reproducibilities of repeated sample (Si) and standard (Nd, Sr) measurements.

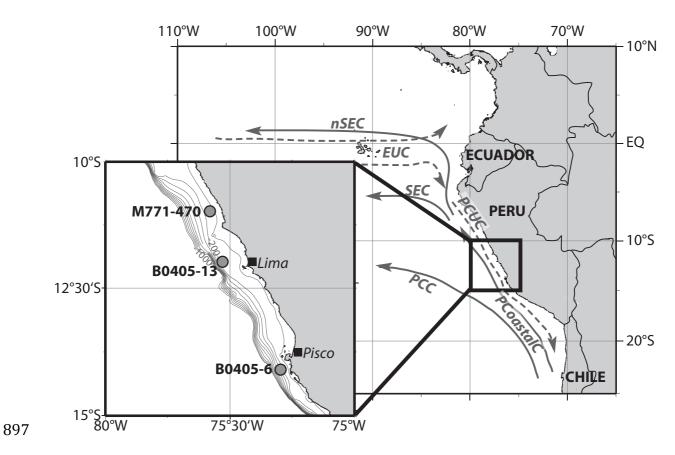
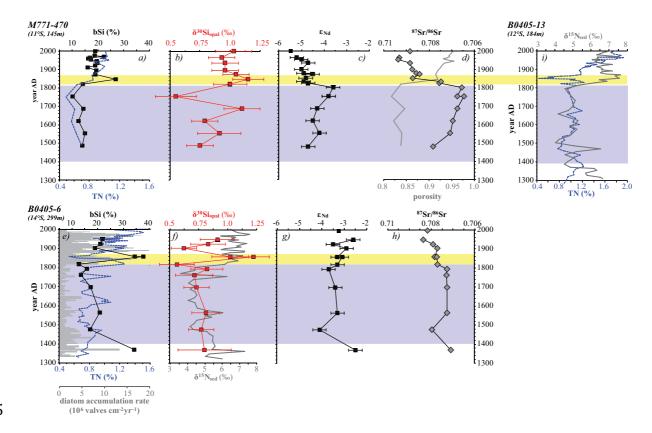


Figure 1. Schematic circulation patterns in the Eastern Equatorial Pacific. Surface currents
(solid lines): (n)SEC: (northern) South Equatorial Current, PCC: Peru-Chile Current,
PCoastalC: Peru Coastal Current; subsurface currents (dashed lines): EUC Equatorial
Undercurrent, PCUC Peru-Chile Undercurrent (after Brink, 1983; Kessler, 2006), the inset
shows the detailed location of cores M771-470, B0405-6 and B0405-13 (grey dots). The
bathymetry is given for 0 to 1000 m water depth in 100 m increments.





906 Figure 2. Downcore records for core M771-470 (upper panel) and core B0405-6 (lower 907 panel). The blue and yellow shadings indicate the age range of the LIA and the transitional 908 period, respectively. a/e) bSi concentration (black squares), total N concentration (dashed blue curve), e) diatom accumulation rate (grey bars) (Gutiérrez et al., 2009), b/f) $\delta^{30}Si_{opal}$ (red 909 squares), f) bulk $\delta^{15}N_{sed}$ (grey curve) (Gutiérrez et al., 2009), c/g) $\epsilon_{Nd \ detritus}$ (black squares), 910 d/h) ⁸⁷Sr/⁸⁶Sr_{detritus} (grey diamonds, x-axis is inverted), d) sediment porosity (grey curve). 911 912 Error bars represent $2\sigma_{(sd)}$ external reproducibilities of repeated standard or sample measurements. Panel i) for comparison shows the total N content and $\delta^{15}N_{sed}$ of core B0405-913 914 13 (Gutiérrez et al., 2009).

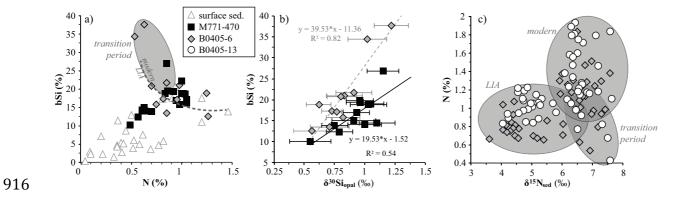


Figure 3. Surface sediment (white triangles) and downcore data (core M771-470: black squares, B0405-6: grey diamonds, B0405-13: white circles) for a) total N versus bSi concentrations (the dashed line marke the end of the LIA), b) $\delta^{30}Si_{opal}$ versus bSi concentration and c) $\delta^{15}N_{sed}$ versus total N concentrations. Error bars represent $2\sigma_{(sd)}$ external reproducibilities.

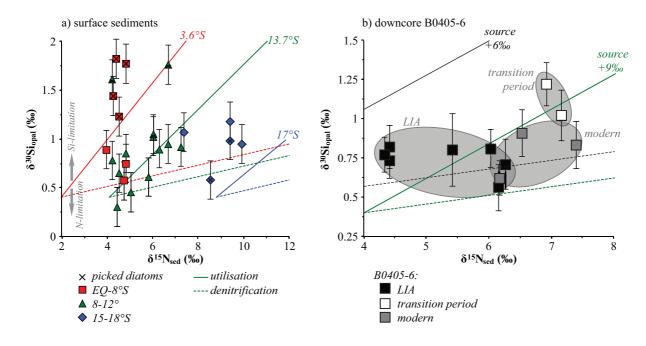




Figure 4. Relationship between $\delta^{15}N_{sed}$ versus $\delta^{30}Si_{onal}$ for a) surface sediments and b) 924 downcore data from core B0405-6. The crosses in a) indicate δ^{30} Si data obtained from hand-925 picked diatoms, which reflect a different growth season than bulk $\delta^{30}Si_{onal}$ and which are 926 influenced by stronger Si(OH)₄ limitation (higher δ^{30} Si) (Ehlert et al., 2012). The solid lines 927 928 reflect theoretical utilisation (assuming 1:1 utilisation of $Si(OH)_4$ and NO_3^- by the diatoms) 929 and the dashed lines mark the theoretically expected line for denitrification, which represent the expected signal preserved in the sediments, based on present-day measurements: δ^{30} Si 930 931 source signature and enrichment factor $\varepsilon_{diatom-Si(OH)4}$ are always +1.5‰ (Ehlert et al., 2012) and -1.1‰ (De La Rocha et al., 1997), respectively. $\delta^{15}N_{sed}$ source signature and $\epsilon_{organic-NO3-}$ 932 933 vary with latitude (Mollier-Vogel et al., 2012), in the north at 3.6°S source signature and ε are 934 +5.7‰ and -3.7‰ (red curves), along the central shelf at 13.7°S source signature and ε are +8.9‰ and -4.8‰ (green curves), and in the south at 17°S source signature and ε were 935 936 measured to be +14.5‰ and -5.7‰ (blue curves), respectively. The samples are colour-coded according to their location on the shelf and relative to the NO₃⁻ utilisation/NO₃⁻ loss that they 937 938 experienced. Data points that plot above the utilisation curves reflect predominant Si(OH)₄ 939 limitation whereas data points below record stronger NO₃⁻ limitation. The isotopic enrichment 940 during denitrification was always set to be +20‰. For the downcore data (b) two different assumed source signatures are displayed: +9% (green lines, corresponding to the modern 941 942 conditions along the central shelf region in a) and +6% (grev lines). Data points are colour-

- 943 coded according to the respective time periods (black: LIA, white: transition period, grey:
- 944 modern). Error bars represent $2\sigma_{(sd)}$ external reproducibilities.

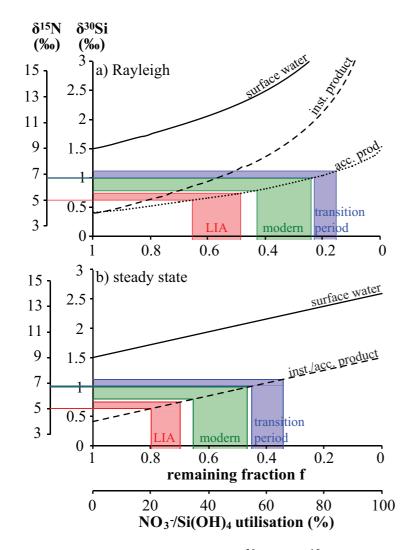


Figure 5. Theoretical changes in δ^{30} Si and δ^{15} N values of seawater and the instantaneous and 947 accumulated product as a function of f (remaining nutrients from the available pool = 948 [nutrient_{observed}]/[nutrient_{initial}]) with an initial $\delta^{30}Si_{Si(OH)4}$ value of +1.5‰ and $\delta^{15}N_{NO3-}$ of 949 +9%. The formation of the product, e.g. diatom opal, follows either a) Rayleigh-type 950 fractionation or b) steady state-type fractionation behaviour, with enrichment factors ε of -951 1.1‰ (δ^{30} Si) and -5‰ (δ^{15} N) (corresponding to conditions along the modern central Peruvian 952 shelf, see Fig. 4). The colour shadings mark the range of measured mean δ^{30} Si_{onal} (both cores) 953 and $\delta^{15}N_{sed}$ (B0405-6 only) in the cores for the LIA (red), the transition period (blue) and 954 955 modern sediments (green), from which the respective nutrient utilisation (%) can be deduced. 956

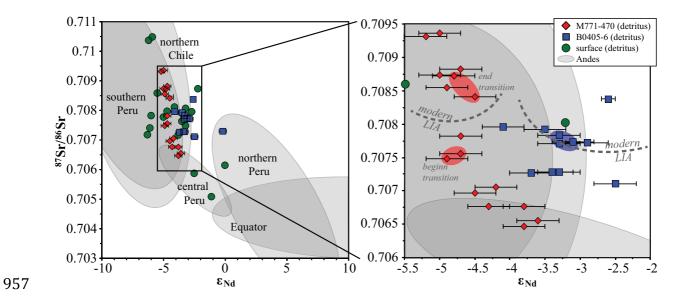


Figure 6. $\varepsilon_{Nd \ detritus}$ versus ${}^{87}Sr/{}^{86}Sr_{detritus}$ for core M771-470 (red diamonds) and B0405-6 (blue squares). Error bars represent $2\sigma_{(sd)}$ external reproducibilities. The green dots are data obtained from surface sediment samples at different sites on the Peruivian shelf. The grey shadings indicate potential sources and provenance endmembers of the detrital material.