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**A new approach for modeling the Cenozoic oceanic  
lithium isotope paleo-variations : the key role of climate**

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32

33 **Abstract**

34

35 The marine record of the ocean lithium isotope composition may provide important  
36 information constraining the factors that control continental weathering and how they have  
37 varied in the past. However, the equations establishing the links between the continental flux  
38 of Li to the ocean, its Li isotope composition and the ocean Li isotope composition are under-  
39 constrained, and their resolution are related to significant uncertainties. In order to partially  
40 reduce this uncertainty, we propose a new approach that couples the C and Li cycles, such  
41 that our proposed reconstruction of the Cenozoic Li cycle is compatible with the required  
42 stability of the exospheric carbon cycle on geological timescales. The results of this exercise  
43 show, contrary to expectations, that the Cenozoic evolution of the Li isotope composition of  
44 rivers does not have necessarily mimicked the oceanic  $\delta^7\text{Li}$  rise. In contrast, variations in the  
45 continental flux of Li to the ocean are demonstrated to play a major role in setting the ocean  
46  $\delta^7\text{Li}$ . We also provide evidence that Li storage in secondary phases is an important element of  
47 the global Li cycle that cannot be neglected, in particular during the early Cenozoic. Our  
48 modeling of the published foraminifera record highlight a close link between soil formation  
49 rate and indexes recording the climate evolution during the Cenozoic, such as foraminifera  
50  $\delta^{18}\text{O}$  and  $p\text{CO}_2$  reconstructions. This leads us to conclude that Li isotope record does not  
51 provide a persuasive, unique evidence for an erosional forcing of Cenozoic change, because it  
52 could alternatively be consistent with a climate control on soil production rates.

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54

## 54 1. Introduction

55

56 Weathering (chemical erosion) of continental Ca-Mg rich silicates serves as a major sink of  
57 atmospheric CO<sub>2</sub>. However, determining how such weathering has evolved in the past, as a  
58 function of climate or tectonic activity, remains a challenge. Filling this gap in our knowledge  
59 is essential if we are to understand how global temperature is regulated on geological  
60 timescales. The great potential of lithium isotopes to trace alteration processes has recently  
61 been highlighted (see e.g. review in Burton & Vigier, 2011). Nevertheless, analytical  
62 difficulties have limited their use as a marine paleoproxy. Misra & Froelich (2012, 2014)  
63 determined the evolution of the lithium isotopic composition of bulk carbonates and  
64 planktonic foraminifera over the past 68 Ma. These authors argue that this record reflects  
65 ocean-wide variations, and that the 9‰ increase of the marine  $\delta^7\text{Li}$  from the Paleocene to the  
66 present (see figure 1), can be explained by an increase of river  $\delta^7\text{Li}$  from 3‰ 60 Ma ago, to  
67 23‰ at present. To account for such a rise in riverine  $\delta^7\text{Li}$  Misra and Froelich (2012) invoke a  
68 change of the alteration regime (from a congruent to a weathering- limited regime) and an  
69 increase of clay formation (which fractionates Li isotopes) in mountainous - rapidly eroding -  
70 areas. This assertion links the secular increase in the marine  $\delta^7\text{Li}$  record to increasing tectonic  
71 uplift and mountain building over the course of the Cenozoic. Under this interpretive  
72 framework, continental weathering during the early Paleogene ( $\approx$  60 Myrs ago) was  
73 characterized principally by high dissolution rates of continental rocks and relatively low rates  
74 of clay formation and transport. Such a weathering regime offers a mechanism for producing  
75 low  $\delta^7\text{Li}$  values in rivers, close to that of the continental crust, because dissolution is not  
76 accompanied by significant Li isotope fractionation. Later in the Cenozoic, as tectonic activity  
77 intensifies, incongruent weathering and clay formation is supposed to become more  
78 significant, leading to a shift to larger riverine  $\delta^7\text{Li}$ .

79 However, several lines of evidence call this interpretation of the seawater record into  
80 question, and in particular the notion that low  $\delta^7\text{Li}$  values in rivers of the Cretaceous could be  
81 sustained by predominately congruent weathering (Wanner et al., 2014). Indeed, a  
82 congruency of the weathering process, that would correspond to small rates of clay formation  
83 or soil production, at 60 Ma is not supported by the occurrence of thick weathering profiles  
84 found at this period of time (e.g. Beauvais & Chardon, 2013; Tavlan et al., 2011; Meshram &  
85 Randiv, 2011). In particular, the compilation of laterite formation by Beauvais and Chardon  
86 (2013) shows that a major episode of laterite formation is centered on 55 Ma in West Africa,

87 at the time of the climatic optimum (Zachos et al., 2008) and when West Africa was located  
88 in the warm and humid convergence zone. Laterite profiles have also been identified at high  
89 latitudes during the same time interval. At least four spikes of lateritic formation are recorded  
90 between 55 and 48 Ma the cause of it being identified as global warming (e.g. Retallack,  
91 2010; 2014). A compilation of about 80 ODP or DSDP core sites indicate that the deep  
92 seawater during the Paleocene exhibited low  $\delta^{18}\text{O}$  values, with benthic foraminifera  $\delta^{18}\text{O}$   
93 values between 3 and 4 ‰ lower than at present (Zachos et al., 2001). This feature is  
94 interpreted as much warmer climatic conditions, in agreement with recent reconstructions of  
95 atmospheric  $p\text{CO}_2$  at 60 Ma, ranging between 400 and 1000 ppmv (Beerling & Royer, 2011).  
96 These conditions have favored the formation of thick weathering profiles, in particular of  
97 lateritic regolith mantles rich in kaolinite and/or bauxite. These resistant phases are depleted  
98 in major cations playing a key role in the carbon cycle (such as Ca and Mg), but they contain  
99 significant amounts of Li. Our compilation of Li levels in kaolinite-rich samples (Table 1)  
100 shows that they are - on average - similar to the Li content estimated for the continental crust  
101 granites ( $22\text{ppm}\pm 4\text{ppm}$ , Teng et al., 2009). They may therefore have played a key role in the  
102 continental Li cycle. Li-containing regoliths provide empirical evidence against the idea that  
103 congruent weathering prevails during warm intervals of Earth history driving riverine  $\delta^7\text{Li}$  to  
104 values similar to average upper crust.

105 In this study, we propose a new modeling approach of the seawater record that consists in  
106 coupling a simple mathematical description of the carbon and the lithium exospheric budget,  
107 throughout the Cenozoic. The objective is not to produce an exhaustive study of the impact of  
108 each parameter implied in the Li and the C cycle, but rather to show that for a given set of  
109 parameters consistent with published estimations, there is an alternative solution that can  
110 explain the Cenozoic  $\delta^7\text{Li}$  oceanic variations.

111 Our model takes into account the changes in Li flux coming from the continents in response  
112 to a balance between 1/ dissolution rates of continental rocks releasing Li in waters and 2/  
113 temporary storage of Li into secondary phases formed in weathering profiles. Since lithium  
114 isotopes fractionate during clay mineral accumulation (e.g. Huh et al., 2001; Kisakurek et al.,  
115 2004; Rudnick et al., 2004), soil formation rate is expected to drive the Li isotope  
116 composition of rivers. One illustration is that, at present, the mean  $\delta^7\text{Li}$  value of the  
117 continental runoff ( $+23\text{‰}$ ; Huh et al., 1998) is much higher than the average  $\delta^7\text{Li}$  value  
118 estimated for the continental crust granites ( $+2\pm 4\text{‰}$ , Teng et al, 2009). Since Li isotopes do  
119 not fractionate during dissolution, this difference is best explained by isotope fractionation

120 during the formation of secondary phases (Vigier et al., 2009; von Strandmann et al., 2010;  
 121 Bouchez et al., 2013). Consequently, at present, at the world-wide scale, a significant part of  
 122 the Li released by continental dissolution is stored in <sup>6</sup>Li-rich soils, resulting in heavy  
 123 signatures (<sup>7</sup>Li-rich) in rivers. Experimental investigations, as well as soil studies support  
 124 these findings (e.g. Wimpenny et al., 2010; Vigier et al., 2008; Lemarchand et al, 2010).  
 125 Thus, we explore how Li storage in soils at the global scale has affected the ocean  $\delta^7\text{Li}$  value,  
 126 as well as the potential of ocean  $\delta^7\text{Li}$  to quantify the balance between physical denudation and  
 127 chemical alteration and its variation throughout the Cenozoic.

128

## 129 **2. Model equations and basics**

130

### 131 *2.1. Seawater isotopic balance*

132

133 The two main sources of dissolved lithium to the ocean (oc) are river waters (riv) and high  
 134 temperature hydrothermal fluids (hyd) (see Huh et al., 1998 and a detailed review in  
 135 Tomascak, 2004 and in the supplementary material of Misra and Froelich, 2012). The main  
 136 sink of oceanic lithium is its incorporation into authigenic phases, in particular marine clays  
 137 which are the marine phases the most enriched in Li (Chan et al. , 2006). The seawater  
 138 isotopic mass balance can thus be written as :

139

$$140 \quad M_{oc}^{Li} \cdot d\delta_{oc}/dt = F_{riv}(\delta_{riv}-\delta_{oc})+F_{hyd}(\delta_{hyd}-\delta_{oc})-F_{clay}(\delta_{oc}-\Delta_{oc}-\delta_{oc}) \quad (1)$$

141

142 where F is for the Li flux, and  $\delta_{riv}$   $\delta_{oc}$  and  $\delta_{hyd}$  are for the  $\delta^7\text{Li}$  values of rivers, ocean and  
 143 hydrothermal fluids respectively.  $\Delta_{oc}$  represents the absolute value of the fractionation factor  
 144 of the Li isotopes during marine secondary phase formation. In the literature, this factor is  
 145 negative (preferential enrichment of the light <sup>6</sup>Li isotope) and ranges between -10 and -25‰  
 146 depending on the temperature at which authigenic phases are being formed (Chan et al, 1992;  
 147 1993; Vigier et al., 2008).

148

149 The residence time of Li in the ocean is equal to 1 million years. Given that we are exploring  
 150 the time evolution of its isotopic cycle over the whole Cenozoic ( $10^7$  year timescale), we can  
 151 assume steady-state for both the elemental (i.e. all the Li carried by rivers and released by  
 152 hydrothermal activity into the ocean is removed through authigenic clay formation:  $F_{riv} + F_{hyd}$

153 =  $F_{\text{clay}}$ ) and isotopic Li cycles. The steady-state hypothesis is only valid for a timescale of  
154 several million years (at least three times the Li residence time in the ocean).

155

156 Equation (1) becomes:

$$157 \quad F_{\text{riv}}(\delta_{\text{riv}} - \delta_{\text{oc}}) + F_{\text{hyd}}(\delta_{\text{hyd}} - \delta_{\text{oc}}) + F_{\text{riv}} \cdot \Delta_{\text{oc}} + F_{\text{hyd}} \cdot \Delta_{\text{oc}} = 0 \quad (2)$$

158

159 Consequently, we can solve the above equations for  $\delta_{\text{oc}}$ :

$$160 \quad \delta_{\text{oc}} = (F_{\text{riv}}\delta_{\text{riv}} + F_{\text{hyd}}\delta_{\text{hyd}} + \Delta_{\text{oc}} \cdot (F_{\text{riv}} + F_{\text{hyd}})) / (F_{\text{riv}} + F_{\text{hyd}}) \quad (3)$$

161

162 where present-day published values for  $F_{\text{riv}}$ ,  $F_{\text{hyd}}$  and  $\Delta_{\text{oc}}$  are reported in Table 2. We consider  
163 that the hydrothermal flux during the Cenozoic decreased slightly as a function of time,  
164 following the curve described in Engebretson et al. (1992), based on variations of subduction  
165 rates and mid-ocean ridge volume. This trend is currently used in numerical modeling of the  
166 global carbon cycle and appears to be consistent with the Cenozoic climatic evolution  
167 (Berner, 2004; Lefebvre et al., 2013).

168

169 Basically, equation 3 has two unknowns:  $F_{\text{riv}}^{\text{Li}}$  and  $\delta_{\text{riv}}$ . In previous studies (Hathorne and  
170 James, 2006; Misra & Froelich, 2012), river  $\delta^7\text{Li}$  has been interpreted as co-varying in a  
171 straightforward way with the ocean  $\delta^7\text{Li}$ . However, one equation is not enough for two  
172 independent unknowns. In contrast to the a priori expectation, the variation of the ocean  $\delta^7\text{Li}$   
173 composition during the Cenozoic may not reflect riverine  $\delta^7\text{Li}$  variations in a straightforward  
174 way. The reason for this is that it strongly depends on the continental Li flux too, which is  
175 likely to have been strongly affected by variation in continental weathering rates during this  
176 period of time. One purely theoretical example of the influence of the Li continental flux is  
177 illustrated in Figure 2. This simulation shows that the 0-65Ma foraminifera  $\delta^7\text{Li}$  record  
178 (shown in figure 1) can still be fitted by imposing a constant river  $\delta^7\text{Li}$  throughout the  
179 Cenozoic, and using parameters values which are consistent with published data (Table 2).  
180 We fixed the  $\delta_{\text{riv}}$  ( $\delta^7\text{Li}$  in rivers) to its present-day value (23‰). This is an extreme and  
181 unlikely scenario because it does not account for change in the isotope fractionation due to  
182 continental weathering. Indeed, the riverine  $\delta^7\text{Li}$  is expected to vary as a function of the  
183 relative importance of dissolution rate and clay formation rate (e.g. Bouchez et al., 2013).  
184 However, this simulation shows that, by taking into account the Li ocean budget only, the  
185 system of equations is under-constrained and it is not possible to calculate the temporal

186 variations of riverine  $\delta^7\text{Li}$  without making assumptions about the link between  $F_{\text{riv}}^{\text{Li}}$  and  $\delta_{\text{riv}}$ .  
187 It also shows that low seawater  $\delta^7\text{Li}$ , as highlighted by early Eocene foraminifera can be  
188 compatible with a high  $\delta^7\text{Li}$  value of the riverine flux. Our result shows therefore that low  
189  $\delta^7\text{Li}$  in the ocean does not systematically imply low river  $\delta^7\text{Li}$ . The temporal variations of the  
190 riverine Li flux also need to be established. In the following, we add constraints on this aspect  
191 and the Li cycle, by coupling it to the carbon cycle.

192

## 193 2.2. Walker paleothermostat

194

195 The Walker paleothermostat (Walker et al., 1981) implies that, at the million year scale, the  
196 consumption of carbon by silicate weathering ( $F_{\text{riv}}^{\text{CO}_2}$ ) closely balances the release by  
197 volcanic degassing (assumed to be proportional at first order to the seafloor spreading rate,  
198 and hence to the hydrothermal activity) ( $F_{\text{hyd}}^{\text{CO}_2}$ ), a condition absolutely needed to avoid  
199 unrealistic atmospheric  $\text{CO}_2$  fluctuations (Godderis & François, 1995; Kump & Arthur,  
200 1997):

$$201 \quad F_{\text{hyd}}^{\text{CO}_2} = F_{\text{riv}}^{\text{CO}_2} \quad (4)$$

202

203 During high temperature water-rock interactions, Li is known to be highly mobile, as  
204 reflected by the large Li concentrations found in hydrothermal fluids located in mid-ocean  
205 ridges (ppm level, Chan et al., 1994; Foustoukos et al., 2004; Mottl et al., 2011), and which  
206 are  $\sim 3$  orders of magnitudes greater than in river waters or seawater. Consequently, we  
207 consider that the amounts of Li released by hydrothermal process is proportional to the carbon  
208 flux released into the ocean:

209

$$210 \quad F_{\text{hyd}}^{\text{CO}_2} = k_2 F_{\text{hyd}}^{\text{Li}} \quad (5)$$

211

212 with  $k_2 = (C/\text{Li})$  of hydrothermal fluids (Table 2)

213 In contrast with hydrothermal conditions, Li is much less "mobile" on the continents,  
214 as reflected by low Li contents in river waters (ppb level) while granites (the main source of  
215 river Li) are enriched in Li compared to oceanic crust. Indeed, first, thermodynamic laws  
216 indicate that dissolution rate is lower at lower temperature. Additionally, it is observed that  
217 most of the Li carried by rivers to the ocean is mainly located in the particulate load ( $>70\%$ ,  
218 e.g. Millot et al., 2010), while the dissolved Li represents only a minor proportion. This is

219 consistent with the fact that Li can be significantly incorporated into the structure of  
 220 secondary minerals, mainly clays. As a consequence, the flux of dissolved Li carried by rivers  
 221 may not be proportional to the flux of CO<sub>2</sub> consumed during the leaching or dissolution of  
 222 continental mineral phases. The relationship linking the flux of lithium carried by rivers and  
 223 the flux of atmospheric CO<sub>2</sub> consumed by mineral dissolution becomes:

$$224$$

$$225 \quad F_{\text{riv}}^{\text{CO}_2} = 1/k_1 \cdot F_{\text{diss}}^{\text{Li}} = (F_{\text{riv}}^{\text{Li}} + F_{\text{sp}}^{\text{Li}}) / k_1 \quad (6)$$

226  $F_{\text{riv}}^{\text{Li}}$  and  $F_{\text{sp}}^{\text{Li}}$  being the flux of lithium in river waters and in secondary phases respectively,  
 227 and  $F_{\text{diss}}^{\text{Li}}$  the flux of Li released into continental waters during the dissolution of continental  
 228 rocks ( $F_{\text{riv}}^{\text{Li}} = F_{\text{diss}}^{\text{Li}} - F_{\text{sp}}^{\text{Li}}$ ).  $k_1$  is calculated assuming that dissolution of continental rocks  
 229 release Li, Mg and Ca congruently. Also, we consider that 1 mol of atmospheric CO<sub>2</sub> is  
 230 consumed by the dissolution of 1 mol of Mg+Ca present in continental rocks (accounting for  
 231 the subsequent carbonate precipitation in the ocean) (Berner, 2004). Consequently,  $k_1 = \text{Li}_{\text{UCC}}$   
 232 /  $(\text{Ca} + \text{Mg})_{\text{UCC}}$  (UCC being the Upper Continental Crust, Table 2).

233 If present-day conditions might reflect a recent disequilibrium due to the last glaciation  
 234 (Vance et al., 2009), at the Cenozoic timescale, formation of thick weathering profiles with  
 235 significant residence time (>0.5Ma) are likely to have impacted the Li cycle. We assume that  
 236 most of secondary phases present in these profiles are largely depleted in cations, in particular  
 237 in Ca and Mg, and therefore do not affect significantly the carbon budget. This is a first order  
 238 approximation. Indeed, laterite in which the largely dominant clay phase is Mg-Ca free  
 239 kaolinite, covers only 30% of the continental surfaces. However, owing to their thickness,  
 240 they constitute about 85% of the global continental pedogenic cover (Nahon, 2003),  
 241 supporting the above assumption.

242

243 Combining equation (4) (5) and (6) we obtain the following relationship:

244

$$245 \quad F_{\text{riv}}^{\text{Li}} = k_1 \cdot k_2 \cdot F_{\text{hyd}}^{\text{Li}} - F_{\text{sp}}^{\text{Li}} \quad (7)$$

246

247 where the flux of riverine Li is a function of both the hydrothermal flux and of the secondary  
 248 phase formation rate on the continents.

249

### 250 2.3. Riverine $\delta^7\text{Li}$

251



252 All published studies indicate the existence of a strong isotope fractionation during the  
 253 formation of secondary phases, such as clays or Fe oxides, always in favor of the light isotope  
 254 (<sup>6</sup>Li). At periods when the soil production increased in the past due to increase rate of  
 255 secondary phase formation, we therefore expect that the δ<sup>7</sup>Li of river waters increase, since  
 256 more <sup>6</sup>Li is incorporated and stored into soils. In fact, the riverine δ<sup>7</sup>Li is the result of the  
 257 competition (e.g. Bouchez et al., 2013, Vigier et al., 2009) between the isotopically congruent  
 258 dissolution of fresh bedrock, and the precipitation of secondary phases with an isotope  
 259 fractionation Δ<sub>land</sub> (Table 2), such that:

$$260 \quad F_{riv}^{Li} \delta_{riv} = \delta_{UCC} F_{diss}^{Li} - F_{sp}^{Li} (\delta_{riv} - \Delta_{land}) \quad (8)$$

262 with δ<sub>UCC</sub> being the average δ<sup>7</sup>Li value estimated for the upper continental crust (Table 2).  
 263 Given that  $F_{diss}^{Li} = F_{riv}^{Li} + F_{sp}^{Li}$ , equation (8) becomes:

$$264 \quad \delta_{riv} = \delta_{UCC} + (F_{sp}^{Li} \Delta_{land}) / (F_{riv}^{Li} + F_{sp}^{Li}) \quad (9)$$

265  
 266 This equation states that , if  $F_{sp}^{Li} = 0$ , then δ<sub>riv</sub> equals δ<sub>UCC</sub>. Otherwise, δ<sub>riv</sub> is higher than δ<sub>UCC</sub>.  
 267 To date the published values of δ<sup>7</sup>Li of most rivers (e.g. Huh et al., 1998, Millot et al., 2010;  
 268 Kisakurek et al., 2004) are significantly greater than the δ<sup>7</sup>Li estimated for UCC (2‰ , Teng  
 269 et al. 2009), and thus are consistent with equation (9).  
 270  
 271  
 272

#### 273 *2.4. Method for solving the model*

274  
 275 We assume that the foraminifera δ<sup>7</sup>Li reflect the ocean δ<sup>7</sup>Li, as assumed in Misra & Froelich  
 276 (2012) and in Hathorne & James (2006). We consider that potential vital effects, responsible  
 277 for changes of Li isotope fractionation during foraminifera growth may explain some  
 278 observed rapid (<0.5Ma) changes of foraminifera Li isotope compositions, but we do not take  
 279 into account these effects since the model aims at working at the multi million scale only. A  
 280 moving average of the oceanic lithium isotopic data is calculated, with a window width of 5  
 281 millions of years, since the isotopic steady-state is valid for a timescale of at least three times  
 282 the Li residence time in the ocean (see figure 1). This data smoothing therefore ensures the  
 283 validity of the steady-state hypothesis and removes all short term fluctuations potentially  
 284 related to vital effects.

285

286 The equations describing the seawater isotopic budget (eq. 3), the paleothermostat (eq.  
287 7), and the riverine isotopic budget (eq. 9) define a system of equations where the unknowns  
288 are the riverine Li flux as a function of time ( $F_{riv}^{Li}$ ), the storage flux of Li in soils ( $F_{sp}^{Li}$ ), and  
289 the riverine  $\delta^7Li$  ( $\delta_{riv}$ ). It can be reduced to the following quadratic equation :

290

$$291 \quad A_1 (F_{riv}^{Li})^2 + (\delta_{oc} - \Delta_{oc} - \delta_{UCC} - \Delta_{land}) F_{riv}^{Li} - A_2 = 0. \quad (10)$$

292

293 Where  $A_1$  and  $A_2$  are equal to :

$$294 \quad A_1 = \Delta_{land} / (k_1 k_2 F_{Hyd}^{Li}) \quad (11)$$

$$295 \quad A_2 = F_{Hyd}^{Li} (\delta_{hyd} - \delta_{oc} + \Delta_{oc}) \quad (12)$$

296

297 The values for the various parameters used in the model are described in Table 2. As long as  
298 the discriminant of eq. 10 is strictly positive, eq. 10 has two solutions for  $F_{riv}^{Li}$ . This means  
299 that two radically different histories of  $F_{riv}^{Li}$  can both explain the rise of the Li isotopic  
300 composition of seawater.

301

### 302 *2.5. Comparison with other modeling methods*

303

304 Recently, two modelings of the Cenozoic  $\delta^7Li$  variations, different from Misra and Froelich  
305 (2012, 2014) (section 1) have been proposed. Wanner et al. (2014) focused on a reactive  
306 transport model in order to simulate the Li isotopic composition and content of continental  
307 waters. Weathering reactions by sub-surface waters are simulated, considering a prescribed  
308 thick regolith which already contains altered material (kaolinite and goethite), above a fresh  
309 granite. Kinetic reactions based on transition state theory are used for calculating both the  
310 dissolution and precipitation of mineral phases. River water chemistry is then considered to  
311 be a simple dilution of these sub-surface waters having reacted with previously formed  
312 profiles. Overall, the Wanner et al. (2014) model is designed to simulate finely the time  
313 evolution of an already existing regolith profile and its impact on the riverine Li content and  
314 isotopic composition. As acknowledged by the authors, the fit of the Cenozoic oceanic  $\delta^7Li$   
315 curve cannot be computed as it would require the accurate knowledge of the Cenozoic climate  
316 and runoff variations, to calculate the Li flux to the ocean as well as its isotopic composition.

317 The Wanner et al. (2014) model is a process-based model, but at this stage, it cannot account  
318 for global budget.

319

320 Li and West (2014) proposed 12 different simulations for fitting the Cenozoic ocean  $\delta^7\text{Li}$ ,  
321 focusing their effort on potential variations of the oceanic Li sink and how this could have  
322 affected the ocean  $\delta^7\text{Li}$ . They consider that the two major sinks of ocean Li are marine  
323 authigenic aluminosilicate clays (during reverse weathering, at low temperature), and  
324 removal into oceanic crust during its alteration by circulating fluids of moderate to high  
325 temperatures. Both sinks are considered to be associated with a constant isotope fractionation  
326 factor throughout the Cenozoic, but a varying proportion of both is considered to influence  
327 the Li and  $\delta^7\text{Li}$  removal flux. Then, a steady-state equation is applied to the ocean, identical to  
328 the one used here, and different scenarios are tested to explore the impact of the mathematical  
329 formulation of the oceanic Li sinks. Changes of river Li flux are assumed to be dependent on  
330 the chemical weathering fluxes calculated by another model (Li and Elderfield, 2013), or  
331 following the isotope balance method developed by Bouchez et al. (2013). Hydrothermal Li is  
332 estimated from the reconstruction of spreading rate (Muller et al., 2008; Rowley, 2002). No  
333 direct coupling with the carbon cycle is made.

334

335 At this stage, it is important to underline that, by coupling Li and C budgets, the solving of  
336 our model equations does not require additional or independent assumptions for the  
337 continental fluxes (dissolved and particulate) during the Cenozoic. Furthermore, our model is  
338 based on budget equations only (for Li and C), and does not include any assumption on the  
339 dependence of the fluxes on environmental conditions. The solid Earth degassing is extracted  
340 from Egenbretson (1992). Although more recent reconstructions have been published, it has  
341 been shown recently that the Egenbretson's curve is in good agreement with the Cenozoic  
342 climate history (itself reconstructed using a coupled 3D climate-carbon model, see Lefebvre  
343 et al., 2013). The precise Cenozoic history of the solid Earth degassing weakly influences our  
344 results.

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### 351 **3. Results and discussion**

352

#### 353 **3.1 Paleo-variations of continental weathering**

354

355 Two solutions have thus been found for the Cenozoic (represented in figure 3A and 3B). The  
356 first solution (figure 3A) implies an increase of the riverine  $\delta^7\text{Li}$  over the Cenozoic,  
357 associated to a decrease of riverine Li flux with time. This first solution is close to the  
358 scenario described in details by Misra & Froelich (2012), arguing for an increasing  
359 contribution of orogenesis on silicate dissolution, clay formation and  $\text{CO}_2$  consumption  
360 towards recent time. In this scenario, sequestration of lithium in clays increased from the past  
361 towards the present day.

362 Our model results demonstrate that a second scenario can also explain the Cenozoic Li  
363 isotope record and we will focus on this one in the following because the first one was already  
364 investigated in details by Misra & Froelich (2012) and by Li & West (2014). Figure 3B shows  
365 that the  $\delta^7\text{Li}$  paleorecord can be due to an increase of the riverine Li flux through the  
366 Cenozoic. As illustrated in figure 4, this increase is not due to an increase in the dissolution  
367 rate of the silicate lithologies, but is mostly due to the decrease of Li storage in secondary  
368 phases. Most Li-rich secondary phase are considered to be formed within soil and lateritic  
369 profiles, and even if some have the time to be formed during the river transport, this fraction  
370 is likely minor compared to the formation of thick soils and kaolinite-rich laterite. Therefore,  
371 we consider that most of the Li storage during silicate alteration occur in soils.

372 In order to test the robustness of our result, we performed two different simulations, using 1/  
373 the whole set of equations (for both C and Li, see section 2), and 2/ an imposed variation of  
374  $\delta_{\text{riv}}$  that is arbitrarily forced to increase linearly from 15‰ at 65 Ma to 23‰, its present day  
375 value (in that case, only the Li budget is solved, not C). Both simulations lead to similar  
376 trends, where  $\text{Li}_{\text{soil}}$  decrease as a function of time (see Figure 4). This strongly suggests the  
377 robustness of the observed decrease, and also confirms that the Li isotope composition of  
378 rivers plays only a minor role in the ocean isotopic variation. Overall, these results show that  
379 soil Li storage was high from 65 to 50 Myr, and then decreased continuously until its  
380 stabilization at about 20 Myrs ago (Figure 4).

381 In order to be more quantitative, check the consistency of these results and compare them to  
382 other proxies, we estimated the corresponding soil formation rates, assuming a Li  
383 concentration of 25ppm, which corresponds to an average soil Li concentration, including  
384 data shown in Table 1. This is a first approximation because secondary phase formation rate

385 (calculated from Li data) may not strictly correspond to soil formation rate. Also, the  
386 estimated average soil Li content may be associated with a large error, as there are currently  
387 only few data. It may also have varied as a function of time, although this is not supported by  
388 the relative narrow range of Li concentration of the most abundant clays. Nevertheless, this  
389 assumption allows us to assess if the order of magnitude for the fluxes extracted from our  
390 model makes sense. Also, a compilation of Li contents for the most abundant low-T  
391 continental clays show that the average Li value is not so different from one type of clay to  
392 another (Tardy et al., 1972; Table 1). During the Cenozoic, we thus estimate that soil  
393 formation rate ranged from  $2.2 \cdot 10^{19}$  kg/Ma to a present-day value of  $1.3 \cdot 10^{19}$  kg/Ma, i.e.  
394  $2.2 \cdot 10^{10}$  t/yr to  $1.3 \cdot 10^{10}$  t/yr. For comparison, Syvitski et al. (2003) estimated a present-day  
395 global physical denudation rate of  $2 \cdot 10^{10}$  t/yr. The Syvitski denudation rate includes  
396 secondary phases and fresh minerals but the most important here is that both orders of  
397 magnitude are similar, and not totally at odd. Reconstitution of paleo-denudation rate during  
398 the Cenozoic are controversial (e.g. Willenbring & von Blanckenburg, 2010), but given the  
399 uncertainties typical of global scale estimations, it is worth noting that the calculated soil  
400 formation falls quite close (less than an order of magnitude difference) to the independent  
401 global denudation estimate, indicating that our calculations - based on C and Li cycles and  
402 published values for corresponding parameters - make sense. Considering the uncertainties on  
403 both estimations, a strict comparison between both numbers (physical and chemical erosion  
404 rates) in order to determine if the erosion regime has globally remained close to steady-state  
405 (where denudation rate and soil production rates are equal) during the Cenozoic does not  
406 appear to be relevant yet.

407

### 408 **3.2 Assessing the role of climate**

409

410 Except for the last few Ma, the paleo-reconstruction of soil formation rate during the  
411 Cenozoic is remarkably parallel to the  $\delta^{18}\text{O}$  values measured in benthic foraminifera (Zachos  
412 et al., 2001, see figure 5B). This strongly suggests a major role of climate on soil  
413 development at the global scale. When the climate gets cooler, soil formation rates decrease.  
414 A potential increase of weathering rates due to orogenesis and mountain building during the  
415 Cenozoic is therefore not able to compensate the role of temperature. In the open debate  
416 concerning the controls of continental chemical erosion rates at global scale over the  
417 Cenozoic, Li isotopes yield good evidence of the predominance of climate over mechanical  
418 erosion. Specifically the fact that soil formation rates predicted by the model parallel the

419 global benthic oxygen isotope record shows that the impact of orogenesis is not strong  
420 enough to counter-balance the impact of temperature decrease.

421 More closely inspecting the comparison between soil formation rate,  $\delta^{18}\text{O}$  and  $p\text{CO}_2$  paleo-  
422 variations reveals four remarkable features:

423 1/ High soil formation rates during the Paleocene and Early Eocene, coincides with  
424 high  $p\text{CO}_2$  estimations (Beerling and Royer, 2011) as well as low foraminifera  $\delta^{18}\text{O}$  values.  
425 This strongly suggests that weathering rates were high because of climatic conditions  
426 favoring both dissolution of silicate rocks and formation of secondary minerals and laterites.  
427 In order to explain the high riverine  $\delta^7\text{Li}$  values associated to low Li flux at this period of time  
428 (see Figure 3B), our budget equations require a massive transformation of fresh rocks into  
429 regolith. An important soil production also requires important weathering rates, consistent  
430 with high estimated atmospheric  $p\text{CO}_2$  levels. This intense weathering leads to worldwide  
431 production of thick lateritic profiles, which is evidenced in many parts of the world (e.g.  
432 Beauvais and Chardon, 2013; Retallack, 2010; Tabor and Yapp, 2005; Robert and Kennett,  
433 1992).

434 2/A sharp decrease of soil formation rate coeval with a sharp increase in foraminifera  
435  $\delta^{18}\text{O}$  during the Eocene until the beginning of the Oligocene. This co-variation suggests a  
436 predominant role of climate cooling on continental soil production. However, during this  
437 period of time, we cannot exclude a global thinning of soils by mountain building and  
438 orogenesis. Steeper slopes, higher relief, and increasing impact of landslide contribute  
439 significantly to reduce the world average soil thickness.

440 3/A stabilization of the weathering rates between 30 and 10Ma, which matches the  
441 plateaus exhibited by  $p\text{CO}_2$  (not shown here, but see Beerling and Royer, 2011) and  $\delta^{18}\text{O}$   
442 proxies.

443 4/ A decoupling between soil formation rate, benthic foraminifera  $\delta^{18}\text{O}$  and physical  
444 denudation rate during the Quaternary period. Indeed, both soil formation rates and  $p\text{CO}_2$   
445 estimates remain globally stable during this period. However, foraminifera  $\delta^{18}\text{O}$  and  
446 denudation rates (e.g. Hay et al., 1988) show significant variations, consistent with the  
447 development of a cool climate and glaciations. Reconstructions of  $^{10}\text{Be}/^9\text{Be}$  in the ocean also  
448 suggest a constancy of the continental weathering rates for the last 5-10 Ma and have  
449 questioned the relationship between physical and chemical erosion rates (Willenbring and von  
450 Blanckenburg, 2010). Our results suggest that the recent climatic variations were not strong  
451 enough to affect the Li cycle, as evidenced by constant foraminifera  $\delta^7\text{Li}$  value during the last

452 5Ma. The other possibility is that the present-day residence time of Li in the ocean is  
453 underestimated and the chemical - and potentially physical - disturbances related to  
454 Quaternary glaciations did not have time yet to significant affect its oceanic budget.

455

### 456 **3.3 Open questions**

457

458 Our result for the Paleocene/Eocene boundary differs from previous modelings in two  
459 ways: first, the low ocean  $\delta^7\text{Li}$  values at the P/E boundary may not necessarily require low  
460 riverine  $\delta^7\text{Li}$  values, as previously considered in Wanner et al., (2014), in Misra and Froelich  
461 (2012) and in Li and West (2014). Secondly, at a period of time where weathering profiles are  
462 abundant and thick, Wanner et al. (2014) reactive transport model shows that low riverine  
463  $\delta^7\text{Li}$  such as observed at the Paleocene-Eocene boundary can be explained by predominant  
464 dissolution of previously formed secondary phases occurring in pre-formed thick regoliths  
465 (rich in kaolinite and goethite) (see section 2.5). The inverse relationship between regolith  
466 thickness and riverine  $\delta^7\text{Li}$  arises from a longer residence time of water in contact with  
467 depleted secondary phases during periods characterized by weak tectonic activity and low  
468 physical erosion rates. In contrast, our model, which is based on budget equations only,  
469 implies that the formation of secondary phases from fresh bedrock produce an increase of  
470 river  $\delta^7\text{Li}$ , because  $^6\text{Li}$  is preferentially stored in regolith in formation.

471 Future studies should merge both methods such that transformation of the fresh  
472 bedrock into regolith and the building of thick weathering profiles can be accounted for, as  
473 well as the reactivity of the regolith itself.

474

475 The amount of published Li concentrations in various types of clay is still too limited  
476 to estimate precisely the Li mobility at the continental scale. At present, river particles carry  
477 more than 80% of the river total Li flux (calculation based on discharge and fluxes published  
478 by Gaillardet et al., 1999 and published average Li concentration for river water and  
479 suspended particles, Huh et al., 1998; 2001; Kisakurek et al., 2005; Millot et al., 2010;  
480 Dellinger et al., 2014). At 55Ma, the Li storage in soils is pretty close to 100% (following  
481 solution B). This corresponds precisely to the longest and one of the most intense weathering  
482 events of the Cenozoic in western Africa (Beauvais and Chardon, 2013), and probably  
483 elsewhere in the world (Rettalack, 2010). Conversely, case A predicts that only 20% of Li is  
484 retained during this event. Constraining more precisely the role of Li-rich kaolinite formation

485 in soils and laterites would certainly add precious information to the debate. A recent study of  
486 Hawaiian basaltic soil chronosequence (Ryu et al., 2014) shows that Li is retained at 100% in  
487 soil layers rich in kaolinite, which further supports their critical role, but more investigation at  
488 larger scale is now required.

489

490 In our modeling , the hydrothermal carbon flux is assumed to be strictly compensated  
491 by continental silicate weathering. The potential role of other sources/sinks of carbon has  
492 been neglected at this stage, in particular the influence of metamorphism and of organic  
493 matter burial. Indeed, disequilibria in the organic carbon subcycle may alter the  
494 proportionality between the total CO<sub>2</sub> consumption by continental silicate weathering and the  
495 CO<sub>2</sub> released hydrothermal activity. In the case of the strontium cycle for instance, it is well  
496 known that such additional processes may produce non negligible fluctuations of the oceanic  
497 isotopic composition (Godd ris and Fran ois, 1995). In the case of the Li cycle, these  
498 processes are not expected to influence directly the Li fluxes and their isotope signatures.  
499 However, change of carbon fluxes can potentially produce alteration of the Li isotopic  
500 composition of the ocean. This is an important field for future investigations. The objective  
501 here was to decipher the first order control factors on the time evolution of the Li cycle. The  
502 calculated scenarii must be seen as a background history, neglecting at this stage processes  
503 that could modulate the model output around the proposed long-term averaged evolution.

504

505 Although our model depends on the Li content of the continental silicate rock being  
506 altered, there is no constraint on how these contents may fluctuate globally during the  
507 Cenozoic. Determining how each rock type (basalt, granite, shales) contributes to the global  
508 weathering flux, according to change in climate, vegetation and tectonic settings is beyond the  
509 capability of our simple model. This aspect is currently explored with coupled 3D-  
510 climate/biogeochemical models (Taylor et al., 2012; Lefebvre et al., 2013), showing for  
511 example that the position of India relative to the tropical belt strongly controls the alteration  
512 of the Deccan Traps lava flows. Exploring the impact of this on the lithium cycle is a task for  
513 the future.

514

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518



519 **4. Conclusion**

520

521 We provide a new approach for modeling the seawater  $\delta^7\text{Li}$  record, preserved in marine  
522 foraminifera and carbonate records (Misra and Froelich, 2012). The Li cycle includes several  
523 fluxes of importance for the carbon cycle (and hence for the climatic evolution), including  
524 continental weathering and hydrothermal water-rock interactions. For this reason, we have  
525 combined the C and the Li cycles, so that our proposed reconstruction of the Cenozoic Li  
526 cycle is compatible with the required stability of the exospheric carbon cycle at the geological  
527 timescale (Walker et al., 1981). Results are consistent with the current knowledge of the  
528 behavior of Li isotopes during continental weathering: 1/ in terms of isotope fractionation  
529 during dissolution and clay formation 2/in term of present-day river flux and river  $\delta^7\text{Li}$ .

530 We show that the paleovariation of the ocean  $\delta^7\text{Li}$  through out the Cenozoic, can be explained  
531 by significant Li stored on the continents during the Paleocene and Eocene, likely in  
532 secondary phases which are Li-rich, such as phyllosilicates and oxides. Then this storage flux  
533 globally decreases towards the present day, while the export to the ocean by weathering  
534 increases. This storage follows indexes recording the climate evolution during the Cenozoic,  
535 such as foraminifera  $\delta^{18}\text{O}$  and  $p\text{CO}_2$  reconstructions. More effort is now needed in order to  
536 reduce the uncertainties associated with the Li cycle, and better deconvolve the role of erosion  
537 from the one of climate during specific periods of the Cenozoic.

538

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684 **Table 1:** Li concentrations measured in kaolinite (Tardy et al., 1972). These clays contain  
685 0.2% MgO (Tardy et al., 1972). On average, the Li level for kaolinite is estimated to be 23  
686 ppm. For comparison, average Li content for smectite is found to be 27 ppm (Tardy et al.,  
687 1972) and 22 ppm for granites (Teng et al., 2009).

<i>location</i>	<i>Li (ppm)</i>	<i>Reference</i>
Ivory Coast	30	Tardy et al. (1972)
	23	Tardy et al. (1972)
	53	Tardy et al. (1972)
	70	Tardy et al. (1972)
	22	Tardy et al. (1972)
	26	Tardy et al. (1972)
	4	Tardy et al. (1972)
	7	Tardy et al. (1972)
	7	Tardy et al. (1972)
	8	Tardy et al. (1972)
	32	Tardy et al. (1972)
	37	Tardy et al. (1972)
	5	Tardy et al. (1972)
	35	Tardy et al. (1972)
USA	20	Rudnick et al. 2004
Seine basin (France)	42	t.s.
Brazilian Amazon Basin	6.3	t.s
	5.3	t.s
	11.5	t.s
	11.8	t.s
Average Kaolinite	23	

688

688 **Table 2:** Parameters used the model.  $\Delta_{oc}$  and  $\Delta_{land}$  (Li isotope fractionation during secondary  
689 phase formation in the ocean and on land respectively) are chosen from within the published  
690 range, such that 1/ the seawater paleo-variation exactly match the 5Myr fit of the Misra and  
691 Froelich (2012) foraminifera data through the Cenozoic (0-65Ma) (shown in figure 1) and 2/  
692 at time t=0 (present day), both  $F_{riv}(Li)$  and  $\delta^7Li_{riv}$  values must be within the published range  
693 (see text for references).

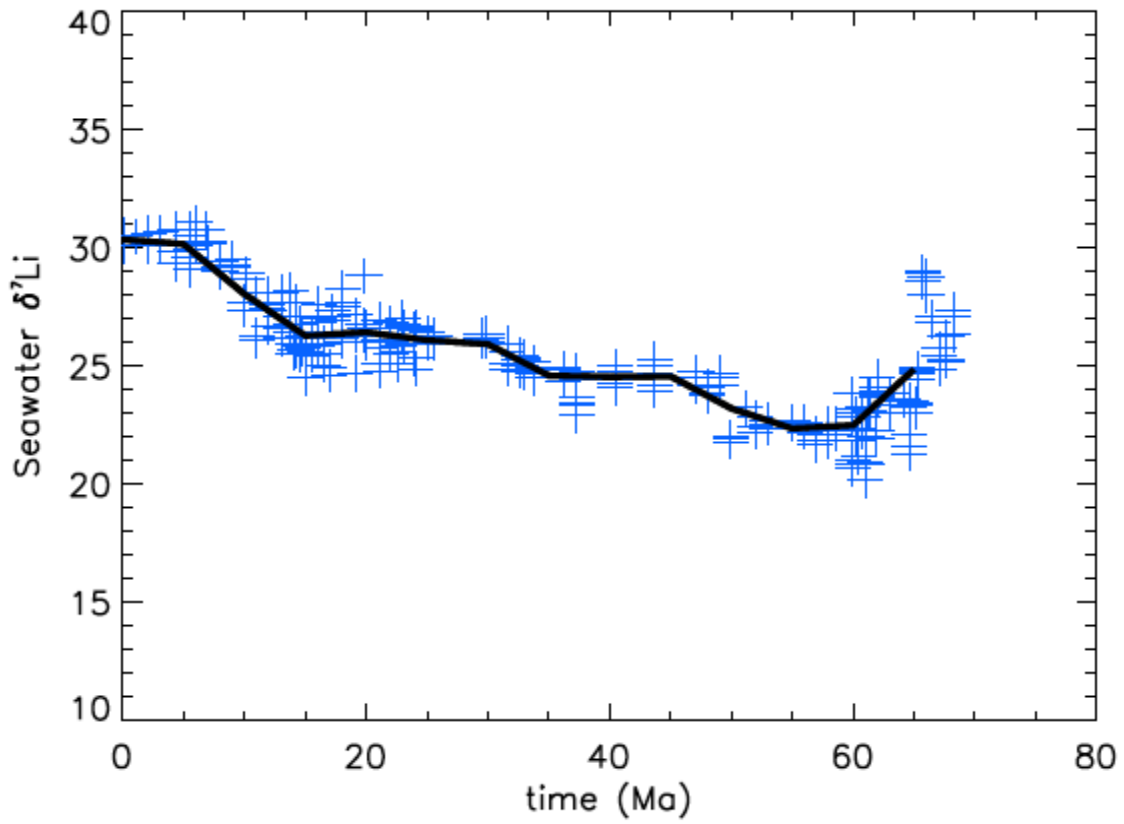
	<b>Published values</b>	<b>Model values</b>
<b><math>F_{riv}(Li)</math></b>	4-12.10 <sup>9</sup> mol/yr	Free (see figures)
<b><math>F_{hyd}(Li)</math></b>	2-145.10 <sup>9</sup> mol/yr	5.10 <sup>9</sup> mol/yr
<b><math>\delta^7Li_{hyd}</math></b>	8.5±1‰	8
<b><math>\delta^7Li_{UCC}</math></b>	1.7±2‰	1.7
<b><math>\delta^7Li_{riv}</math></b>	23±2‰	Fixed at 23‰ / linear / free (see figures)
<b><math>\Delta_{oc}</math></b>	10-25‰	14‰
<b><math>\Delta_{land}</math></b>	10-25‰	23‰
<b><math>Li/C_{hyd} = 1/k_2</math></b>		6.67.10 <sup>-4</sup>
<b><math>(Li/(Ca+Mg))_{UCC} = k_1</math></b>		7.5.10 <sup>-3</sup>

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**Figure 1**

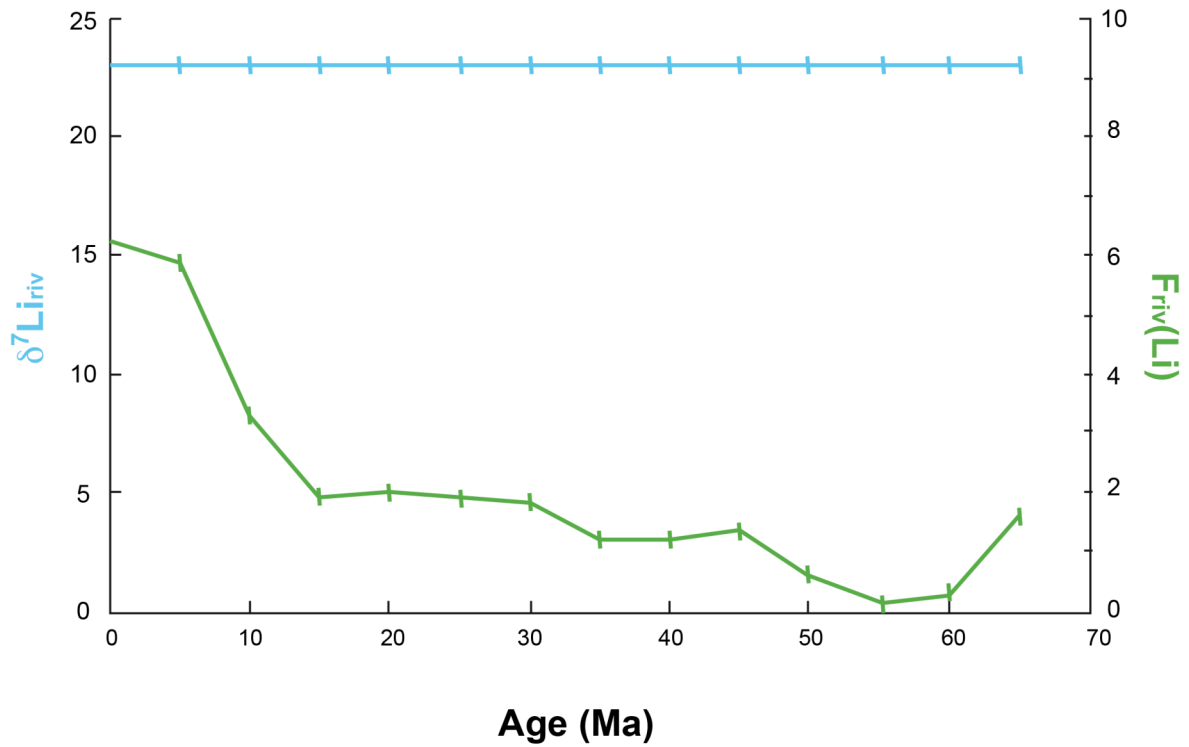


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**Figure 1:** Seawater  $\delta^7\text{Li}$  (in ‰) as a function of time (blue symbols), modified from Misra & Froelich (2012), assuming that marine foraminifera and carbonates reflect seawater composition. The black line shows a 5Myr moving average of the data. All model simulations performed in this study are forced to exactly fit this line.

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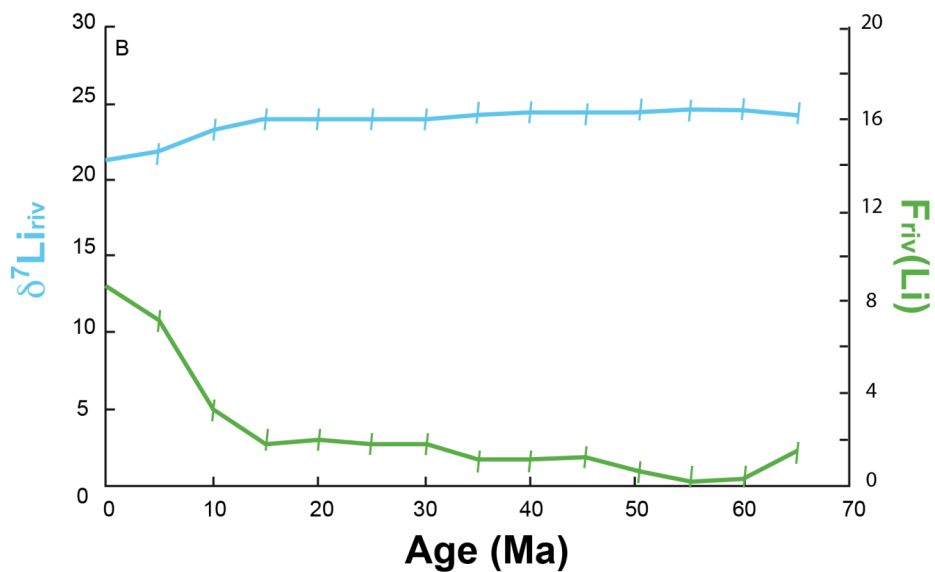
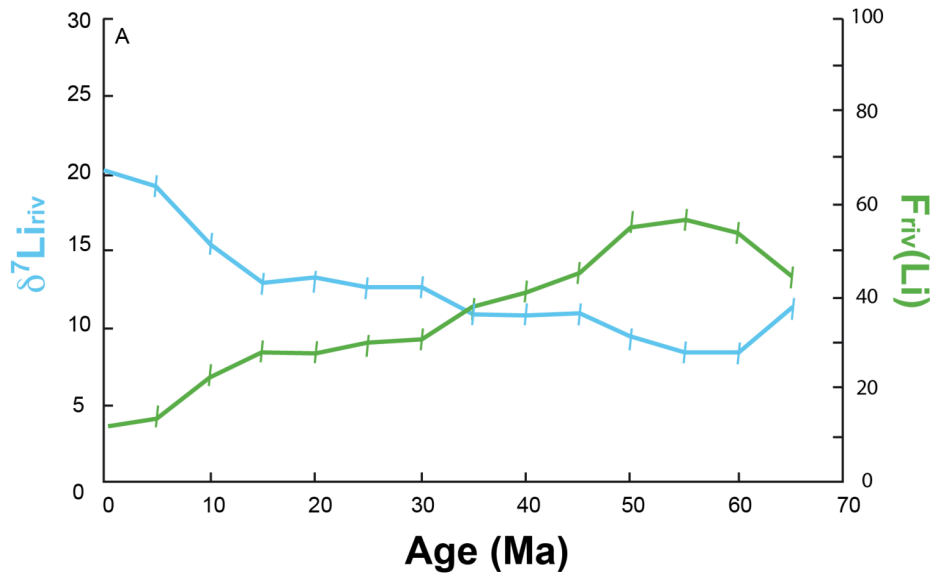
**Figure 2**



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**Figure 2:** Simulation assuming constant  $\delta^7\text{Li}_{\text{riv}}$  (in blue) as a function of time. As shown here, the seawater  $\delta^7\text{Li}$  record presented in figure 1 can still be fitted if the flux of river Li ( $F_{\text{riv}}$  in  $10^9$  mol/yr, in green) increased significantly during the same period of time. This example demonstrates the lack of constraints on the steady-state model if only the equation for Li is considered. In addition this example shows that river  $\delta^7\text{Li}$  can display temporal variations that are significantly different from the ocean  $\delta^7\text{Li}$  record.

Figure 3



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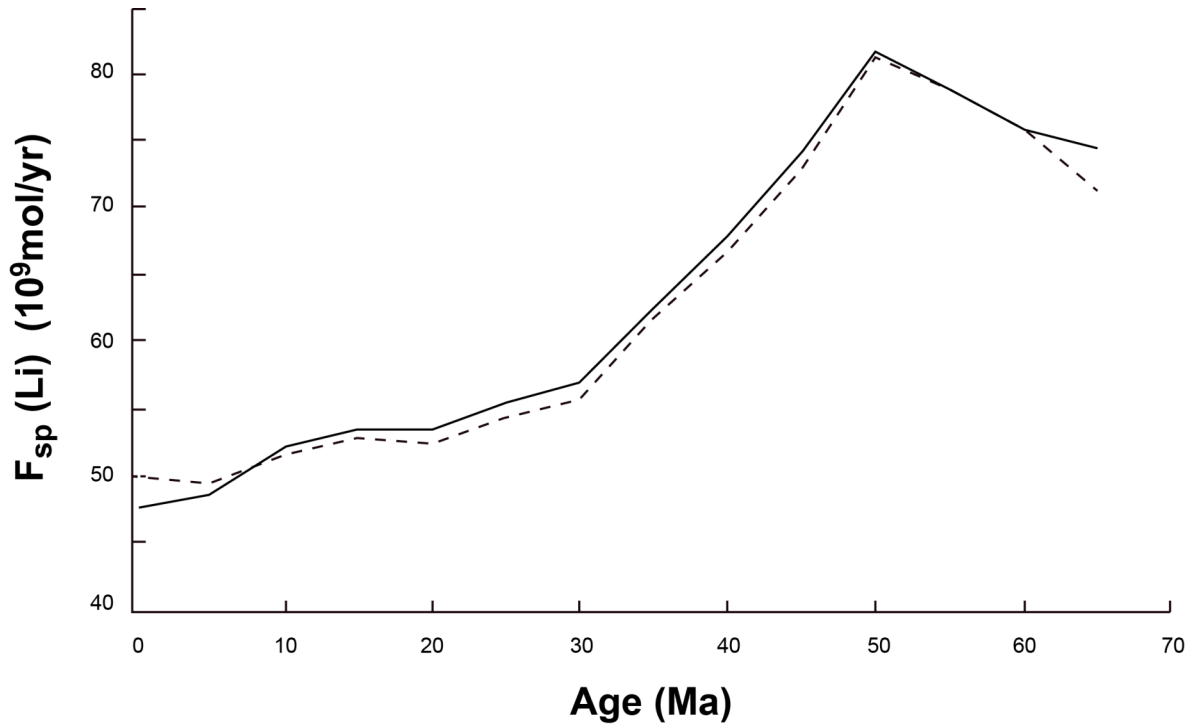
723 **Figure 3A&B:** The two solutions of the model described in the text that can both explain the  
 724 seawater record (see equations 3-12, and Table 2)  $F_{riv}$  is in  $10^9$  mol/yr (in green). **A/** this  
 725 solution is consistent with calculations performed by Misra & Froelich (2012) since low  $\delta^7Li$   
 726 values are found for 60Ma rivers and then increased as a function of time (in blue) **B/** a  
 727 second solution is also possible, using exactly the same set of parameters. In this case, river  
 728  $\delta^7Li$  has decreased as a function of time while the Li river flux has increased.

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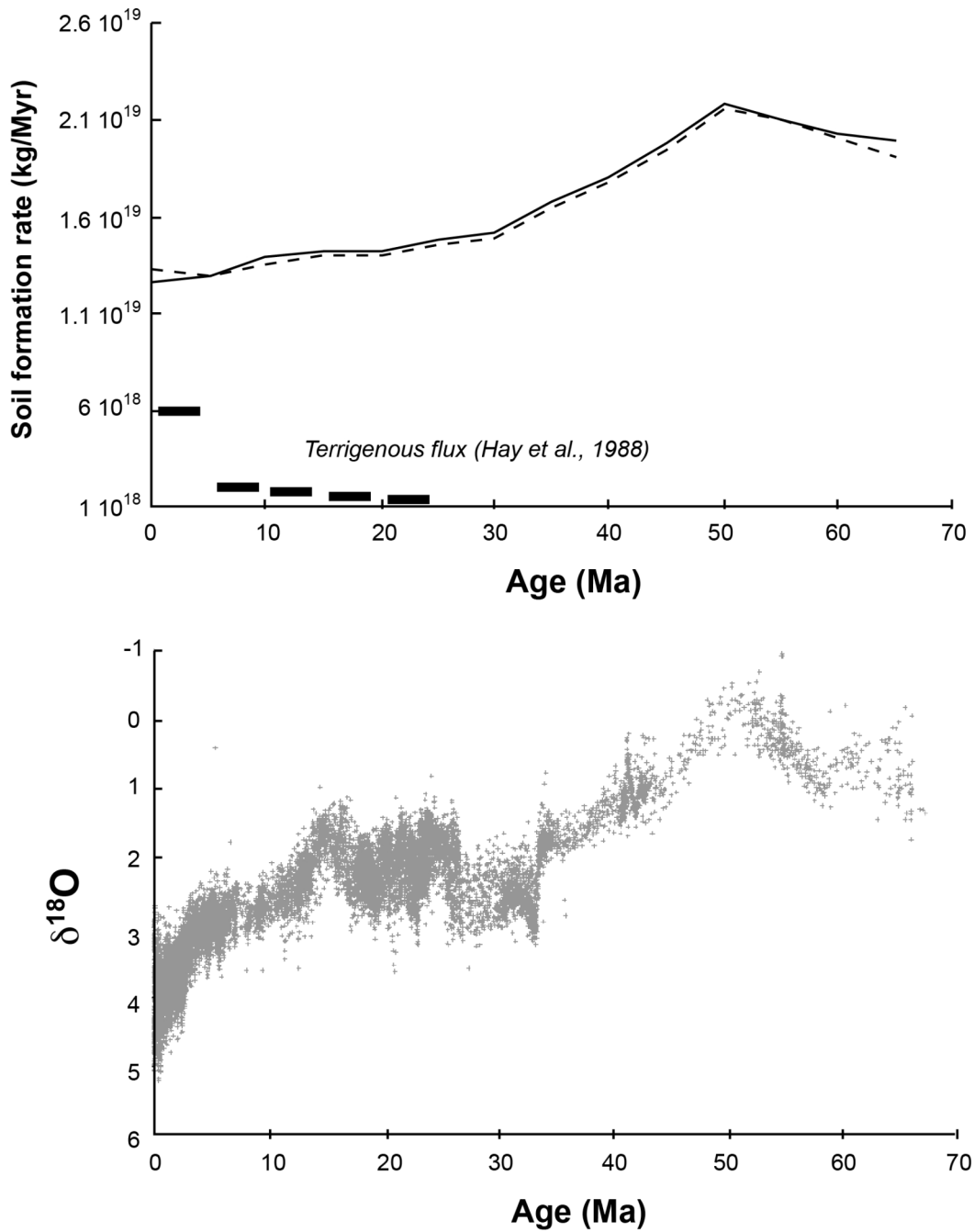
Figure 4



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**Figure 4:** Flux of lithium incorporated into continental secondary phases as a function of time ( $F_{sp}$ , see equation 6), following solution #2 of the modeling (shown in Figure 3B). Comparison is made using a linear evolution for river  $\delta^7\text{Li}$  as a function of time, from 15‰ (at 65Ma) to 23‰ (present-day) (dashed line).

Figure 5



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744 **Figure 5:** A/ Evolution of soil formation rate as a function of time deduced from the  
 745 modeling of Li data and assuming that most secondary phases are formed in soils (see text for  
 746 more details). A published estimation of evolution of terrigenous flux is shown for  
 747 comparison (same unit) B/ Variation of  $\delta^{18}\text{O}$  of benthic foraminifera as a function of time  
 748 (compilation from Zachos et al., 2001).

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