

**Mediterranean  
response to gateway  
restriction**

R. P. M. Topper and  
P. Th. Meijer

# Changes in Mediterranean circulation and water characteristics due to restriction of the Atlantic connection: a high-resolution parallel ocean model

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## Abstract

A high-resolution parallel ocean model is set up to examine how the sill depth of the Atlantic connection affects circulation and water characteristics in the Mediterranean Basin. An analysis of the model performance, comparing model results with observations on the present-day Mediterranean, demonstrates its ability to reproduce observed water characteristics and circulation (including deep water formation). A series of experiments with different sill depths in the Atlantic–Mediterranean connection is used to assess the sensitivity of Mediterranean circulation and water characteristics to sill depth. Basin-averaged water salinity and, to a lesser degree, temperature rise when the sill depth is less and exchange with the Atlantic is lower. Lateral and interbasinal differences in the Mediterranean are, however, largely unchanged. The strength of the upper overturning cell in the western basin is proportional to the magnitude of the exchange with the Atlantic, and hence to sill depth. Overturning in the eastern basin and deep water formation in both basins, on the contrary, are little affected by the sill depth.

The model results are used to interpret the sedimentary record of the Late Miocene preceding and during the Messinian Salinity Crisis. In the western basin a correlation exists between sill depth and rate of refreshment of deep water. On the other hand, because sill depth has little effect on the overturning and deep water formation in the eastern basin, the model results do not support the notion that restriction of the Atlantic–Mediterranean connection may cause lower oxygenation of deep water in the eastern basin. However, this discrepancy may be due to simplifications in the surface forcing and the use of a bathymetry different from that in the Late Miocene. We also tentatively conclude that blocked outflow, as found in experiments with a sill depth  $\leq 10$  m, is a plausible scenario for the second stage of the Messinian Salinity Crisis during which halite was rapidly accumulated in the Mediterranean.

With the model setup and experiments, a basis has been established for future work on the sensitivity of Mediterranean circulation to changes in (palaeo-)bathymetry and external forcings.

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with particularly high eccentricity (100 and 400 kyr cycle). However, at the end of the Miocene (7.16–5.332 Ma), sapropels were formed in nearly every precession cycle (Seidenkrantz et al., 2000), indicative of a heightened sensitivity of Mediterranean circulation to its fresh water budget. Within an interval of increasing bottom water salinity starting at  $\approx 8$  Ma, a sharp change in the foraminiferal assemblage and a shift in  $\delta^{13}\text{C}$  at 7.16 Ma indicate reduced bottom water ventilation in several relatively deep marginal basins of the Mediterranean (Seidenkrantz et al., 2000; Kouwenhoven et al., 2003; Kouwenhoven and van der Zwaan, 2006). Low oxygen and high salinity conditions expand from deep marginal basins to marginal basins at shallower depths towards the onset of the Messinian Salinity Crisis (Gennari et al., 2013). The concurrent increase in sensitivity to changes in the fresh water budget, the increase in salinity, and the drop in bottom water ventilation, have been interpreted as indicators of reduced exchange with the Atlantic due to restriction of the Atlantic–Mediterranean connection (Kouwenhoven and van der Zwaan, 2006).

The ultimate expression of a strong restriction in Atlantic–Mediterranean exchange is the Messinian Salinity Crisis (MSC), an event which is represented in the sedimentary record by widespread and voluminous evaporites in the Mediterranean. Circum-Mediterranean climate changed little during this event (Fauquette et al., 2006; Bertini, 2006), corroborating the results of model studies that demonstrate that a restriction of the Atlantic–Mediterranean connection can be the sole driver of the MSC (Topper et al., 2011; Topper and Meijer, 2013). A vast halite layer (1–2 km in the deep basins) formed during the short second phase of the Messinian Salinity Crisis (5.61–5.55 Ma, Roveri and Manzi, 2006). A scenario with inflow from the Atlantic but no outflow, the so-called block-outflow scenario, has been proposed to explain the fast accumulation of salt in the Mediterranean (Krijgsman et al., 1999a; Meijer, 2006; Krijgsman and Meijer, 2008). According to hydraulic control theory, blocked outflow can be attained in the Atlantic–Mediterranean gateway when the water depth is just a few meters (Meijer, 2012).

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In the Late Miocene, Atlantic–Mediterranean exchange occurred through two shallow marine gateways in northern Morocco and southern Spain, respectively the Rifian and Betic corridors (Benson et al., 1991; Betzler et al., 2006). Due to their geometry, i.e. shallow, wide and long, and position at the interface of ongoing Africa–Eurasia convergence, these gateways were vulnerable to changes in width and depth by tectonics (Duggen et al., 2003; Weijermars, 1988; Govers, 2009). Opening and closure of both gateways is recorded in the sedimentary record of the subbasins that make up the corridors. Closure of the Rifian corridor took place somewhere in the interval 6.8–6.0 Ma (Krijgsman et al., 1999b; Ivanovic et al., 2013; van Assen et al., 2006). The Betic corridor has long thought to be closed by 7.8 Ma (Soria et al., 1999; Betzler et al., 2006; Martín et al., 2009). Recent work has disputed this, stating that the Betic corridor might have been open after 7.8 Ma (Hüsing et al., 2010; Pérez-Asensio et al., 2012). Wherever the connection may have been, the volume of evaporites formed during the MSC can only be explained by a continued inflow of saline water from the Atlantic (Sonnenfeld and Finetti, 1985; Krijgsman and Meijer, 2008; Topper and Meijer, 2013).

The purpose of this study is to examine with a high-resolution ocean circulation model how sill depth of the Atlantic connection affects circulation and water characteristics in the Mediterranean Basin. The physics-based insight thus gained is used to evaluate the Late Miocene sedimentary record: that of the Messinian Salinity Crisis and, in particular, that of the period just preceding the actual crisis. Notwithstanding our focus on the Late Miocene, the basin bathymetry is – except near the Atlantic connection – that of the present Mediterranean Sea. The reasons for this are that (1) we isolate the changes in circulation and water characteristics that are caused by a different depth of the Atlantic connection, and (2) a validation of model performance is only possible in a present-day model setup because quantitative data on water properties and circulation does not exist for other time periods. Such an assessment of model performance is necessary because the model has not been applied to the Mediterranean before. Thus taking the present-day bathymetry as our reference, the depth of the Strait of Gibraltar is modified to gain insight into the role of depth of the Atlantic connection.



geography has been examined by Meijer et al. (2004). More recently, Alhammoud et al. (2010) have examined how the sill depth of the Strait of Gibraltar affects the thermohaline circulation in a highly idealized coarse resolution ocean circulation model.

The next section will provide a description of the model and boundary conditions used. In Sect. 3, the results of a reference experiment with the present-day sill depth will be compared to water characteristics and circulation as observed or modelled for the present-day Mediterranean to assess model performance. Keeping all other boundary conditions constant, the sill depth of the Atlantic gateway will be both increased, up to 500 m, and reduced, down to 5 m, in a series of experiments. Model results will be discussed in the context of the Late Miocene restriction of the Atlantic–Mediterranean connection and the blocked-outflow scenario for the Messinian Salinity Crisis.

## 2 Model description

For a good representation of water exchange through a shallow gateway, a model with a high number of vertical layers in shallow water is required. A model with vertical sigma coordinates meets this requirement better than a model with  $z$  coordinates. With sigma coordinates, regardless of water depth, the number of vertical gridpoints in the water column is equal. The distance between vertical gridpoints is a constant percentage of the total waterdepth at each point, i.e. layers are further apart in deep water than in shallow water. In this study, we use sbPOM (Jordi and Wang, 2012), a recently developed parallel, free-surface, sigma-coordinate, primitive equations ocean modelling code based on the Princeton Ocean Model (Blumberg and Mellor, 1987). Differences between sbPOM and the 2008 version of POM only concern the parallelisation: the code is rearranged in several files and a message-passing interface using two-dimensional data decomposition of the horizontal domain has been implemented. POM has been applied extensively to the Mediterranean and the Strait of Gibraltar (e.g. Zavatarelli and Mellor, 1995; Ahumada and Cruzado, 2007; Jungclaus and Mellor, 2000; Drakopoulos and Lascaratos, 1999; Alhammoud et al., 2010; Sannino et al.,

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Temperature, salinity and kinetic energy are averaged in the Mediterranean part of the model domain, i.e. east of the Strait of Gibraltar at  $-5.5^{\circ}$  E. The average basal velocity squared is used as a measure of the kinetic energy which, in turn, gives an indication of the intensity of flow in the basin. In the first 300 years of the reference experiment, salinity and temperature move towards their respective steady state values of 39.02 psu and  $17.12^{\circ}$ C. At the same time, inflow through the Strait of Gibraltar also stabilizes at 0.83 Sv ( $1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$ ). The difference between inflow and outflow, the net flow, is equal to the fresh water deficit in the Mediterranean, 0.04 Sv, from the start of the experiment. Salinity, temperature and strait transport all reach steady state values at the same time. This is the expected behaviour since salinity and temperature determine the density difference with the Atlantic, which, in turn, drives the exchange at Gibraltar. The kinetic energy measure reaches a value close to its steady state value within the first 100 years and slowly increases further towards a steady state, which is also reached at 300 yr.

At steady state a balance exists between the transport of heat and salt at the Strait of Gibraltar and the surface forcings in the Mediterranean, which is reflected by quasi-constant properties of the exchange. Velocity, temperature and salinity profiles from the Strait of Gibraltar are shown in Fig. 3. Relatively warm Atlantic water with a close to normal marine salinity flows eastwards through the Strait of Gibraltar in the upper layer, while more saline water flows westward at depth. The anti-estuarine circulation pattern observed in the present-day Strait of Gibraltar is thus reproduced. Moreover, the velocity profile is similar to observed velocity profiles at Camarinal Sill (e.g. Bryden et al., 1994; Tsimplis, 2000; Hopkins, 1999; Candela, 2001), velocity and salinity profiles of other model studies (Sannino et al., 2002; Xu et al., 2007), and theoretical velocity profiles (Hopkins, 1999). Small differences exist in the height of the interface and absolute velocities of inflow and outflow. These are mainly due to the idealized shape of the cross sectional area of the gateway in our model. The curves in Fig. 3 exhibit four features that are noteworthy: (1) the depth of the interface between the inflow and outflow is not exactly halfway but slightly deeper (165 m), (2) velocity changes gradu-

ally with depth, i.e. there is no sharp transition across the interface between inflow and outflow, (3) the maximum velocity in the outflow is higher than in the inflow because velocities are strongly reduced near the bottom, and (4) temperature and salinity gradually change with depth, indicating that mixing takes place between inflow and outflow in the strait. All four features are important when exchange in the experiments with different sill depths is compared to the exchange predicted by hydraulic control theory (Sect. 4.1).

Figure 4 illustrates the horizontal and vertical patterns of salinity and temperature in two horizontal slices at 10 m and 300 m and a vertical section crossing the whole domain from west to east. The inflowing Atlantic water starts to change its temperature and salinity in response to the surface forcing as soon as it enters the Mediterranean. At the surface, low saline Atlantic water can be traced along the southern coast into the eastern Mediterranean. The constant evaporation at the surface drives an increase in salinity towards the eastern basin, from 36.5 to 39 psu. Highest salinities are accordingly reached in the easternmost Mediterranean. Due to the strong surface temperature relaxation, surface temperatures are similar to the latitudinally decreasing air temperature in the larger part of the Mediterranean. Only the northern part of the western basin and the area directly east of the Sicily Strait deviate from this pattern. In both areas, advection of heat is faster than the surface forcing.

A difference in water characteristics does not only exist between the Atlantic and Mediterranean, a clear difference is also visible between western and eastern Mediterranean. The Sicily Strait restricts exchange between the basins. In combination with the fresh water deficit of the eastern Mediterranean, this drives an eastward surface flow and westward deep flow, i.e. an anti-estuarine circulation with respect to the western basin. The western basin has an average salinity of 38.3 psu, compared to 39.5 psu in the eastern basin. In spite of the significantly higher surface temperatures in the eastern basin, differences in basin-averaged temperature are relatively small (16.1 vs. 17.5 °C).

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deep basin, Fig. 6 also shows the trajectories of dense water flowing from the eastern to the western basin and from the Mediterranean to the Atlantic. The northward deflection of both westward directed flows is due to the Coriolis effect.

### 3.1.1 Model results compared to present-day observations

Initial conditions in the model are based on recent observations of salinity and temperature (Levitus fields). Therefore, the difference between initial and steady state salinity and temperature is equal to the difference between modelled and observed values. Due to the simple constant surface forcings, we cannot expect to capture annual variability in circulation and deep water formation. A difference exists between the annual mean air temperature, used as surface forcing, and the observed annual mean sea surface temperature. This already indicates that water characteristics do not respond linearly to the surface forcing. Basin averaged temperatures are 3.4 °C higher than observed (17.12 vs. 13.71 °C). The bulk of this difference can be ascribed to significantly higher deep water temperatures. Temperature differences near the surface are comparatively small and, because only 10 % of the basinal volume is contained in the surface layer, do not significantly affect basin averages.

In the present-day Mediterranean, deep water formation is strongest during the winter months (e.g. Lascaratos et al., 1999). Hence, most deep water is formed when sea surface temperatures are below the annual average. The use of mean annual air temperatures in our model gives rise to deep water formation throughout the year and an associated overestimation of deep water temperatures. Basin averaged salinity, on the other hand, is close to the value from observations (39.0 vs. 38.6 psu). Annual variability of evaporation in the Mediterranean is small. The use of a constant evaporation rate is therefore a simplification of the surface forcing that does not significantly impact the basinal salinity. Furthermore, a model setup with a constant surface forcing provided a convenient starting point in unravelling a possible correlation between sill depth and the overturning.

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The transport through the Strait of Gibraltar has been the subject of innumerable studies. Estimates have been based on observations, numerical modelling and hydraulic control theory. Given the uncertainty in the fresh water budget of the Mediterranean and the wide range of approaches, the volume transport at the Strait of Gibraltar has been estimated at 0.8–1.8 Sv, with most recent estimates at the lower end of this range (e.g. Astraldi et al., 1999; Tsimplis and Bryden, 2000). The inflow of 0.83 Sv in the reference experiment is thus within this range. In a model with annual variation in the surface forcing, the low deep water temperatures observed in the Mediterranean are reproduced (unpublished results). Compared to the results of the reference experiment, lower deep water temperatures will lead to a small increase of exchange due to the resultant larger density contrast with the Atlantic. However, for the objective of examining the role of strait depth, water exchange with the Atlantic is sufficiently reproduced.

Often, basin-scale circulation models with realistic and idealized atmospheric forcing have had difficulties reproducing deep water formation and, in particular, deep overturning in the western basin (e.g. Meijer et al., 2004; Meijer and Dijkstra, 2009). The reference experiment, set up with idealized forcing, is able to produce both. It should, however, be noted that the location of deep water formation in the model differs, especially near the Gulf of Lions, from locations inferred from observations.

In a series of sensitivity experiments, deep water formation has been compared in models with different latitudinal gradients in the air temperature. With a reduced latitudinal air temperature gradient, the mixed layer depth is still largest in the northern part of the western basin, the Adriatic and the Aegean Sea. However, rates of deep water formation and the strength of the deep overturning cell are lower while the formation of intermediate water in the eastern basin and the strength of the upper overturning cell in both basins are higher. These results are in agreement with Alhammoud et al. (2010) and Somot et al. (2006) who found that deep water formation is mainly controlled by the surface temperature forcing. These authors also found that intermediate











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250 m and associated with a small change in overturning circulation. The upper and lower cell in the eastern basin are minimally stronger in SD100 compared to SD500. In the reference experiment, deep water was formed where sea surface temperatures were low, and intermediate water where maximum salinities were reached near Cyprus.

5 At higher salinities, the change in density caused by cooling of surface water is comparatively smaller. As a consequence, lateral and vertical density differences at the surface are smaller and mixed layer depth increases significantly throughout the eastern basin. This drives a stronger intermediate water formation and slightly enhances the strength of the upper overturning cell. Noteworthy is the instatement of a new branch of interme-  
10 mediate water formation in the northern Ionian Basin in lower sill depth experiments. This area receives less relatively low saline water from the western basin at lower Atlantic sill depths due to a lower density difference between the basins. Consequently, water becomes dense enough to sink in this area. Compared to the dense water formation sites in the Adriatic and Aegean, surface water is warmer in the Ionian Basin. Due  
15 to the reduced vertical density gradient at lower sill depths, dense water formed in the Adriatic flows downslope to greater depths, thereby enhancing the strength of the deep overturning cell. In summary, lower density gradients at lower sill depth enhance upper and deep overturning circulation in the eastern basin which leads to the small increase in intermediate and deep water temperatures observed in Fig. 7b.

### 20 3.2.1 Strait transport

The magnitude of modelled strait transport as a function of sill depth is illustrated in Fig. 9 (red plusses). Down to a sill depth of 20 m, inflow decreases steadily towards the value of net flow (blue plusses) which is essentially constant in all runs. Inflow is almost linearly proportional to sill depth; only towards higher sill depths does the increase in  
25 inflow flatten slightly. Outflow is not shown because it shows the same trend as the inflow (outflow being inflow minus net flow).

Even though the Atlantic–Mediterranean exchange appears to be a simple two-way flow from Fig. 9, velocity, salinity, temperature and density profiles in the gateway show



tion of state – which relates temperature, salinity and pressure to density – is only valid up to 42 psu. At higher salinities, a linear extrapolation is used to calculate the density increase due to salinity. This will give an increasingly larger error in the density determination towards higher salinities. Also, the viscosity of water will change significantly at such high salinities, a process not included in the model.

In summary, exchange between the Mediterranean and Atlantic is proportional to the sill depth until at 10 m sill depth outflow is blocked and only inflow remains. The interface between inflow and outflow is consistently deeper than half the sill depth. The highest velocities are reached in the saline, warm Mediterranean outflow which is overlain by a less saline, colder Atlantic inflow.

## 4 Discussion

### 4.1 Hydraulic control

A comparison of exchange in the model and that predicted by hydraulic control theory is called for since hydraulic control theory has been extensively used to describe the Atlantic–Mediterranean exchange. Hydraulic control theory can be used to calculate the flow through a narrow strait if the geometry and density difference along the strait are known. A recent overview of the basic principles underlying hydraulic control theory and an application to the Mediterranean can be found in Meijer (2012). Differences between exchange calculated with hydraulic control theory and that from the model are to be expected due to the more complex physics in the model. For comparison with the modelled exchange, an expression for hydraulic control for a strait with a rectangular cross section and zero net flow is used (Farmer and Armi, 1986; Bryden and Kinder, 1991):

$$Q_A = Q_M = 0.208 \cdot \sqrt{g'H}HW \quad (1)$$

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where  $Q_A$ , is the inflow from the Atlantic which is equal to the outflow from the Mediterranean,  $Q_M$ ;  $H$  is the sill depth,  $W$  the strait width, and the reduced gravity,  $g'$ , is defined by

$$g' = g(\rho_M - \rho_A) / \rho_M \quad (2)$$

where  $g$  is the gravitational acceleration,  $\rho_M$ , Mediterranean outflow water density and  $\rho_A$  Atlantic water density.

Besides the modelled strait transport, Fig. 9 also shows the inflow calculated with Eq. (1) for a strait with the same dimensions (width and depth) as in the model; Atlantic and Mediterranean basin-averaged densities are used as  $\rho_A$  and  $\rho_M$  respectively. Compared to the strait transport predicted by hydraulic control, the modelled transports are consistently lower. The absolute difference as well as the ratio between inflow predicted by hydraulic control and inflow from the model decrease towards lower sill depths, i.e. modelled transport is closer to that predicted by hydraulic control in shallower gateways.

In hydraulic control theory, the velocity and density profiles at the gateway are envisaged to be a step function with a constant positive velocity and low density in the upper layer and a constant negative velocity and high density in the bottom layer. In contrast, profiles derived from the model (Fig. 10) show a gradual change in water properties near the interface between inflow and outflow. It must be noted, however, that density and salinity profiles at lower sill depths are closer to a step function than those at larger sill depths; a larger change in salinity/density occurs in a smaller depth interval. This may partly explain why hydraulic control theory better matches modelled transports at lower sill depths.

When transport is calculated by inserting the average density of inflow and outflow in Eq. (1), instead of averages of Atlantic and Mediterranean basins, it is closer to modelled values at low sill depths and further from modelled values at large sill depths. The density difference between inflow and outflow is larger than between the basin averages at large sill depths, while it is smaller for lower sill depths. At sill depths  $> 100$  m,

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mixing between the inflow and outflow reduces the temperature of the outflow, which is already more saline than the inflow, and lowers the density. Hence, the density contrast between inflow and outflow is increased compared to the difference between the basin averages. Consequently, the inflow predicted by hydraulic control with the densities in the gateway is higher than that with basin average densities. At sill depths < 100 m, the density difference between the inflow and outflow is smaller than the difference between basin averages because the largest difference in temperature between the basins occurs at greater depths than those involved in the exchange. Accordingly, the inflow predicted by hydraulic control with densities in the gateway is lower than that from basin averaged densities and closer to modelled transports.

Regardless of the densities used, exchange calculated with hydraulic control theory is always larger than modelled transport. The aforementioned vertical mixing between inflow and outflow is one obvious cause of the difference. Another important factor is friction; at the bottom it slows down the outflow and friction between the inflow and outflow slows down both. Coriolis force does not play a role here due to the narrow width of the gateway.

In summary, transport at lower sill depths is closer to that predicted by hydraulic exchange theory than at large sill depths because mixing between inflow and outflow is not as effective in reducing the difference between them. Furthermore, using basin averaged density differences for calculation of the exchange with hydraulic control gives an overestimation of the exchange because water characteristics at the depths involved in the exchange are not representative of the whole basin.

### 4.2 The role of sill depth

In experiments with sill depths in the range of 500–5 m, basin-averaged salinities and temperatures are consistently higher at lower sill depths. Spatial differences, e.g. between the western and eastern basin, are largely independent of sill depth. The upper overturning cell in the western basin is controlled by the exchange with the Atlantic and is weaker at lower sill depths. The upper overturning cell in the eastern basin and



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the deep overturning cells in both basins, however, are practically constant in depth and strength at all sill depths as soon as a steady state has been reached. Dense water formation is more salinity-driven when basin averaged salinities are higher; at high salinities surface cooling causes a smaller percentual increase of density than at low salinities. However, overall, the locations and rate of dense water formation change little.

The influence of sill depth on circulation and water characteristics found in this study is, mainly due to differences in model setup, different from that found by Alhammoud et al. (2010) (AMD10). In AMD10, a highly idealized representation of the Mediterranean was used, which consists of a single large basin with a depth of 1500 m gradually shallowing towards the margins. In accordance with our findings for the western basin they found a steadily increasing inflow and stronger upper zonal overturning cell in experiments with increasingly larger sill depths. Their deep overturning cell, however, almost disappears at large sill depths whereas it is here found to be constant in strength regardless of sill depth. The shift of the interface between upper and deep overturning cells in their experiments does resemble the shift found here. Because their basin extends to only 1500 m, the deep overturning cell is suppressed by stronger surface cells when these extend to greater depths, while it persists below 1500 m in our experiments. As in our experiments, deep water circulation, although strongly reduced, never entirely stopped in the experiments of AMD10.

The low resolution used in AMD10 resulted in a 222 km wide Strait of Gibraltar. Due to this width, exchange with the Atlantic was found to be consistent with rotational control on the flow instead of hydraulic control. The width of the Strait of Gibraltar in our model (13 km) is well below the Rossby radius and, hence, rules out rotational control. The larger strait transports in AMD10 kept Mediterranean salinities closer to Atlantic values, but the overall trend towards higher salinities at lower sill depths is consistent with our findings.

### 4.3 The interpretation of the Late Miocene sedimentary record

As argued in the introduction, we expect that the change in circulation and water properties due to variation in sill depth that we calculated for a basin with the shape of the present Mediterranean forms a starting point for understanding the past as well. In this section we relate our findings to the Late Miocene sedimentary record.

Stable isotopes and faunal changes in the pre-MSW interval of the Late Miocene, suggest that in an interval with increasing salinity, the average deep water oxygenation decreased steadily (Kouwenhoven and van der Zwaan, 2006). As already noted by Kouwenhoven and van der Zwaan (2006) and Krijgsman et al. (2000), the occurrence of sapropels in this interval indicates precessional variation of the oxygenation of Mediterranean deep water on top of the long term trend. The estimated depth of the marginal basins in which the Monte del Casino, Metochia, Faneromeni and Gibriscemi sections accumulated is 300–1200 m. In these basins the decreasing oxygenation and sapropelitic sedimentation are observed. All are located in the eastern Mediterranean basin in which, in our model, the upper overturning cell is less than 500 m deep. If rates of deep water formation and the strength of deep overturning are lower at lower sill depths, oxygen conditions in the deep water layer would decrease concomitant with a decreasing gateway depth. Model results, however, do not show a significant change in either deep water formation or deep overturning in the eastern basin.

In the western basin, depths up to 1200 m are in the upper overturning cell in SD500–SD100. Oxygenation of the marginal basins in this setting would not be by deep water formation, but by intermediate water formation in the upper overturning cell. The simultaneous decrease of exchange with the Atlantic and strength of the upper overturning cell in the western basin towards lower sill depths leads to a longer residence time of the water in the upper cell of the western basin. Consequently, water in the marginal basins will be replenished slower with oxygenated water from the surface. Therefore, model results from the western basin could explain the suggested correlation between sill depth and oxygenation. Because AMD10 represents the Mediterranean with only

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one basin, their correlation between observations and model results is similar to what is here suggested for the western basin.

Model results thus seem to contradict the notion that restriction of the Atlantic–Mediterranean gateway induces lower oxygenation of deep water in the eastern basin.

A lower oxygenation may, however, be inferred for the upper overturning cell in the western basin. Due to the relatively shallow connection between the western and eastern basin, only the western overturning is affected by sill depth in a way that seems consistent with the data. A possible cause of the discrepancy between model results and observations is the present-day bathymetry used in the model. If the connection between both basins was deeper in the Late Miocene, the upper overturning cell could have extended further and deeper into the eastern basin. Without the Sicily Strait, model results are expected to be similar to those in AMD10 who indeed had a single surface overturning cell in the whole Mediterranean.

At present day, deep water formation is mainly driven by cooling of surface water during the winter. In the model, deep water formation is likewise driven by surface cooling at northern latitudes. Despite the fact that deep water formation is continuous, occurring at the same rate throughout the year, the forcing that drives deep water formation is the same. We, therefore, argue that deep water formation is sufficiently represented in our setup to reproduce possible changes in deep water oxygenation that may occur in a less idealized case.

Another simplification in the surface forcing, on the other hand, may influence the correlation of model results and observations: the inclusion of river discharge in a uniform surface flux equal to E-P-R. Increased river discharge during precession minima is generally accepted to be an important factor in the establishment of low-oxygen conditions during sapropel formation. River discharge is thought to form a fresh-water-lid at the surface, hindering deep water formation by reducing surface layer densities. Due to mixing with more saline water and evaporative concentration, water originating from rivers will lose its fresh water signature when it moves away from the outlet. If the receiving basin is at higher salinity, the density difference between river water and the

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basin is larger and stratification will be stronger. Although depending on the volume and location of river input, basin circulation, evaporation – precipitation, and the rate of mixing with surrounding water, we can assume that stratification due to river discharge is stronger when the basin-averaged salinity is higher. Hence, deep water formation may be more effectively reduced at lower sill depth. If a river drains into a marginal basin with restricted exchange with the deep basin, stratification is presumably more severe and deep water oxygenation even stronger reduced.

A spatially heterogeneous distribution of precipitation may have the same effect as river discharge. Precipitation, however, does not give a continuous fresh water input at the same location like river input. Hence, its influence with respect to river discharge is expected to be lower.

### 4.4 Blocked outflow

Notwithstanding the fact that SD10 and SD5 are not yet in steady state, it is the first time that a blocked outflow has been observed in an ocean circulation model in this context. From hydraulic control theory, one layer flow is predicted to occur only when the sill depth is a few meters for a gateway with a width of 13 km (Meijer, 2012). If bottom friction is taken into account, this depth is expected to be somewhat higher. Because the density difference between the Atlantic and Mediterranean is still rising at the time model results are shown for, it may be that outflow will commence if the experiment is ran to steady state.

Our model results suggest that if a sill depth of  $\approx 10$  m existed during the MSC, either due to a global sea level drop or local uplift due to flexure or tectonics, the salinity rise in the Mediterranean would be maximal. Consequently, the salt gain of the Mediterranean is highest in this scenario, allowing for the fast accumulation of evaporites. During blocked outflow  $15 \text{ km}^3$  halite is transported to the Mediterranean every year. At this rate it would take 33–133 kyr to form the  $0.5\text{--}2 \text{ million km}^3$  of halite observed on seismics (Ryan, 2008). Halite formation in the deep Mediterranean basins took place during an 60 kyr interval which encompasses two glacials: 5.61–5.55 Ma



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with glacials TG12 and TG14. The growth of icecaps on the poles during this interval would reduce global sea level, lowering the relative sill depth. Furthermore, glacials are characterized by a relatively high fresh water deficit in the Mediterranean due to reduced river discharge and precipitation. In this situation, salinity rise and salt gain will be even higher than found in our model. During blocked outflow inflow can thus bring in the observed volume of salt in the 60 kyr MSC interval. The blocked-outflow scenario is therefore plausible. To examine whether blocked-outflow endures at higher density contrasts a model should be set up to represent only the Strait of Gibraltar, or another gateway thought to be open during the Messinian. This, however, is impossible with the current model because the equation of state implemented in POM is not valid at salinities larger than 42 psu and anticipated viscosity changes at high salinities cannot be dealt with.

## 5 Conclusions

In this study, a parallel version of POM (sbPOM) has been used to examine changes in Mediterranean circulation and water characteristics due to restriction of the Atlantic connection. Model results have implications for the interpretation of the Late Miocene sedimentary record in the Mediterranean. Compared to earlier models of the (Miocene) Mediterranean, the use of a curvilinear grid and parallel code allows for the use of a higher resolution and more realistic bathymetry even in long model runs (800 yr). A comparison of the results from our model with observations of the present-day shows that, despite an idealized and constant surface forcing, Mediterranean circulation and water properties are generally well reproduced.

The model setup presented in this study would seem to provide a valuable basis and reference for examination of additional aspects of Mediterranean palaeoconfigurations. This may relate to other aspects of the Miocene evolution, for example, but our setup is also applicable to the Last Glacial Maximum when lower sea level was responsible for a reduction in sill depth.



The main results and implications for the Late Miocene Mediterranean are the following:

- Basin-averaged salinity, temperature and density increase when the sill is shallower. However, spatial distribution and inter-basinal differences in water properties in the Mediterranean are largely unaffected by sill depth.
- The strength of the upper overturning cell in the western Mediterranean is proportional to the magnitude of water exchange with the Atlantic. Overturning in the eastern basin is not significantly affected by the depth of the sill.
- Temperature-driven dense water formation operates regardless of the basin-averaged salinity. At lower sill depths, the higher salinity in the Mediterranean results in a stronger salinity-driven dense water formation in the eastern basin.
- Modelled strait transport is always smaller than that predicted by hydraulic control theory. This difference is due to friction, vertical mixing and a difference between basin-averaged density and the density of the water involved in the exchange with the Atlantic.
- Outflow is blocked in (at least the first 800 yr of) experiments with sill depths  $\leq 10$  m. Future work is needed to establish whether blocked-outflow is a viable scenario for the interval with halite deposition in the Messinian Salinity Crisis.
- With the present-day bathymetry, restriction of the Atlantic–Mediterranean connection does not significantly alter Mediterranean deep water circulation and refreshing. Hence, model results do not affirm the hypothesis that deep water ventilation decreases at lower sill depths.

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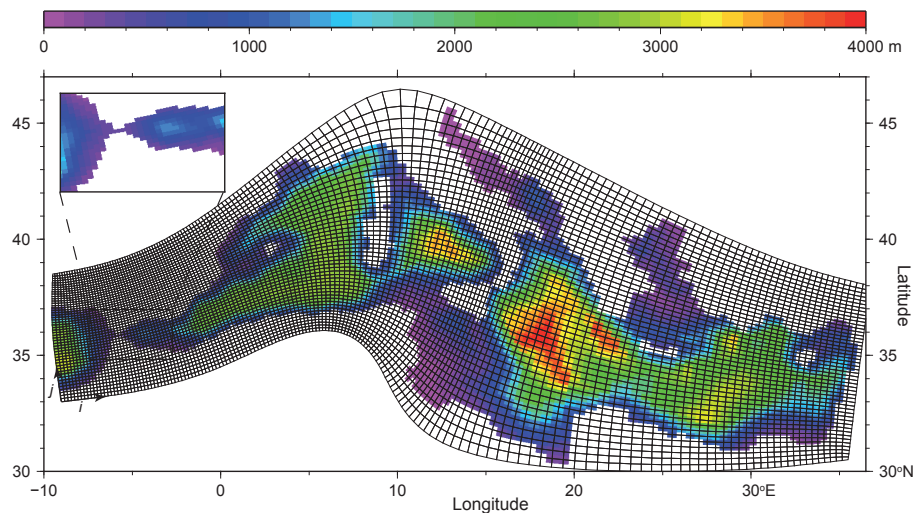


**Table 1.** Overview of parameter values used to set up the model. SUIIS = Smolarkiewicz iterative upstream scheme.

Parameter	Detail	Value
tpnri	Inverse Prandtl number	0.2
horcon	Smagorinsky diffusivity coefficient	0.2
nitera	Number of iterations of the SIUS	2
sw	Smoothing parameter of the SIUS	0.8
$t_E$	External (2-D) time step	30 s
$t_I$	Internal (3-D) time step	1800 s
$t_{RELAX}$	Relaxation time scale of surface temperature forcing	1 day

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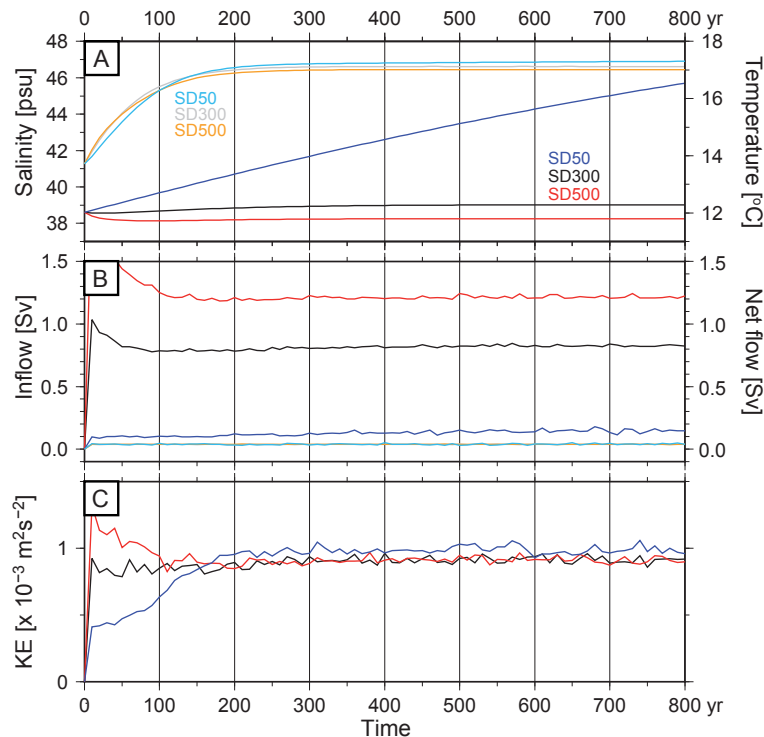
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**Figure 1.** Model grid and bathymetry. Curvilinear grid coordinates  $i$  and  $j$  increase along curved lines towards, roughly, the east and north. The small inset shows the geometry of the Strait of Gibraltar in more detail.

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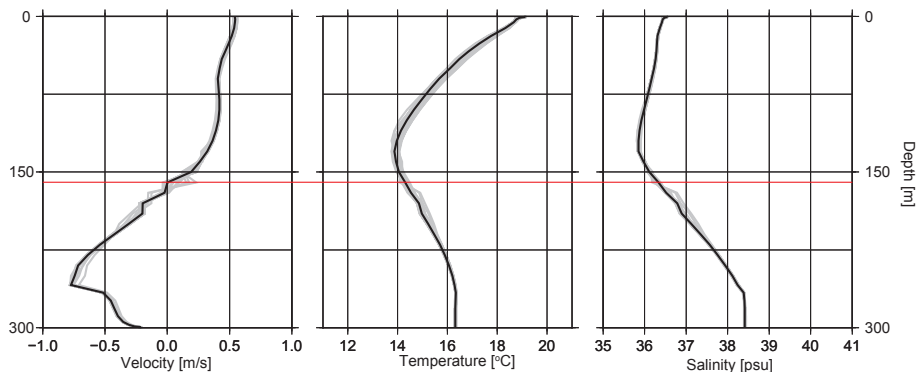


**Figure 2.** Temporal evolution of diagnostic variables for the reference experiments (SD300, black/grey lines), SD500 (red/orange) and SD50 (blue/lightblue). **(a)** Basin-averaged salinity (dark colours, left vertical axis) and basin-averaged temperature (light colours, right vertical axis). **(b)** Inflow (dark colours) and net flow (light colours) through the Strait of Gibraltar. **(c)** Kinetic energy measure.



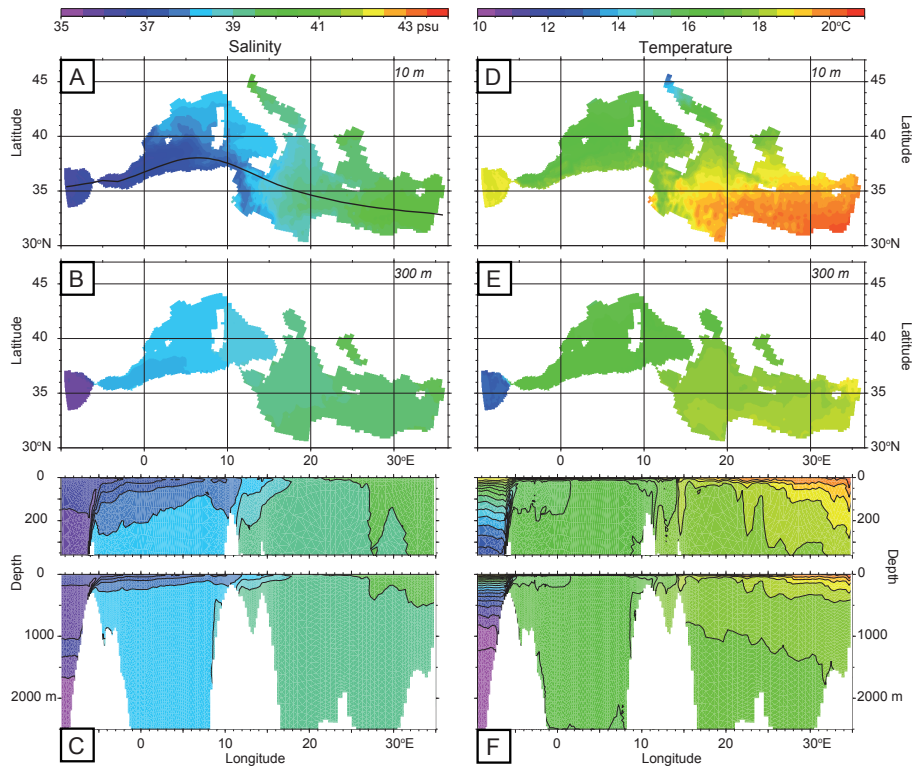
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**Figure 3.** Vertical profiles of zonal velocity (left), temperature (middle), and salinity (right) in the middle of the Strait of Gibraltar in the reference experiment. Grey shading in each frame indicates the range of variation of the variable in the last 100 yr of integration, the solid black line is the average over the same 100 yr. The red line indicates the depth of the interface between inflow and outflow.

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**Figure 4.** Two horizontal and a vertical cross section through the three-dimensional salinity (**a**, **c**, **e**) and temperature (**b**, **d**, **f**) fields averaged over the last 10 years of the reference experiment. Horizontal slices are shown in the surface layer (10 m) and at the sill depth of the Strait of Gibraltar (300 m). The vertical cross section is along the curved path indicated in (**a**) that crosses both the Gibraltar and Sicily straits.

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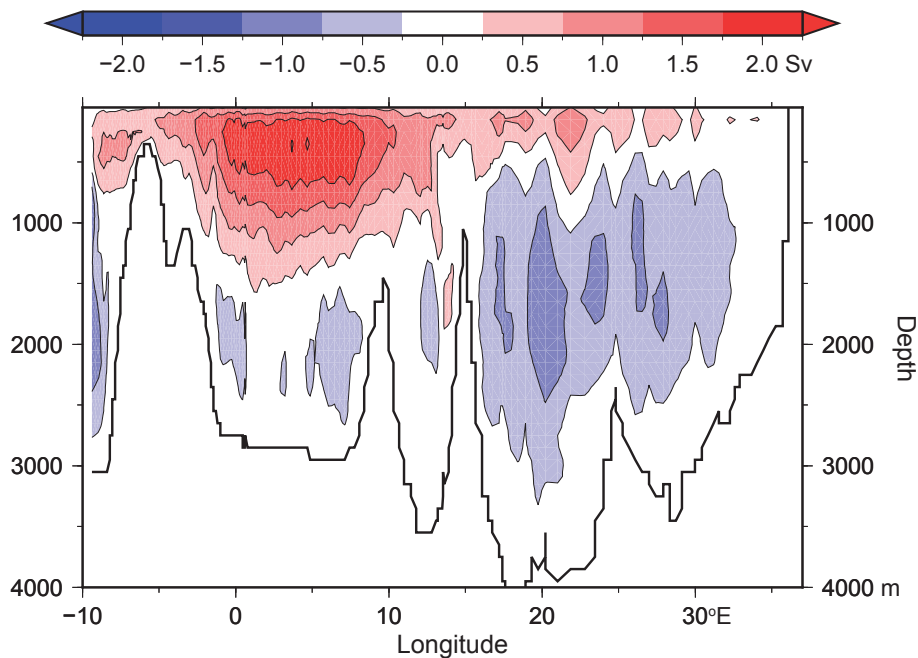
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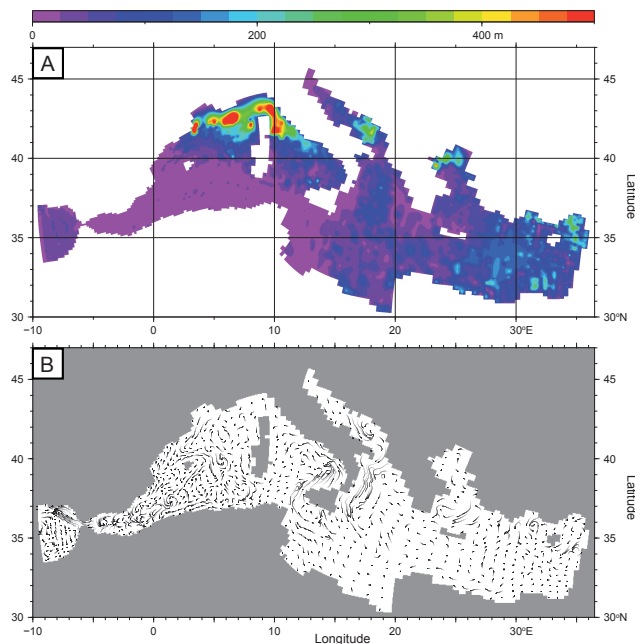
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**Figure 5.** “Zonal” overturning stream function of the reference experiment. The contour interval is 0.5 Sv. Positive values (red colours) indicate clockwise circulation in this view, negative values (blue) anti-clockwise circulation.

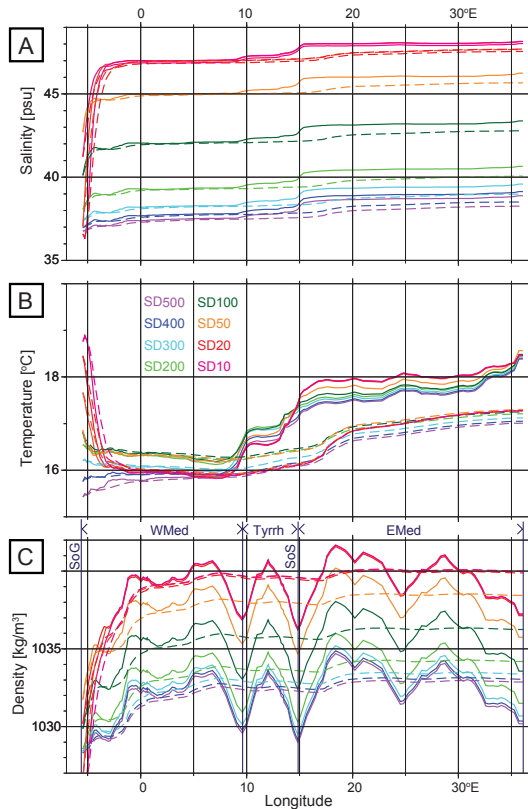
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**Figure 6.** Mixed layer depth **(a)** and bottom currents **(b)** in the reference experiment. The mixed layer depth is defined as the depth, measured from the surface, of the minimum vertical mixing parameter. Bottom currents are visualized by tracking water particles for 30 days in the average velocity field of the last 10 years of integration. Trajectories start at light grey and proceed to black at the 30th day.

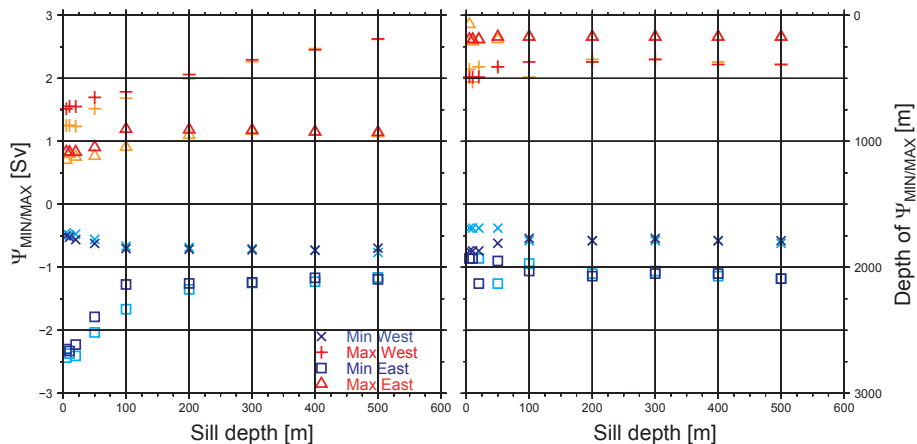
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**Figure 7.** Latitude-depth-averaged profiles of salinity (a), temperature (b) and density (c) for SD500–SD10. Dashed lines indicate the volume-averaged salinity/temperature/density of the volume between the Strait of Gibraltar and the indicated longitude. Therefore, the values at 36° E are the averages of the whole basin. Indicated in (c) are the longitudinal ranges corresponding to the western basin (WMed), the Tyrrhenian Sea (Tyrrh), and the eastern basin (EMed) and location of the Strait of Gibraltar (SoG) and Strait of Sicily (SoS).

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**Figure 8.** Strength (left) and depth of overturning extrema (right) of the upper (red) and deep (blue) zonal overturning cells in the western and eastern basin. To illustrate temporal changes, light colours indicate the value after 400 yr, dark colours the value after 800 yr.

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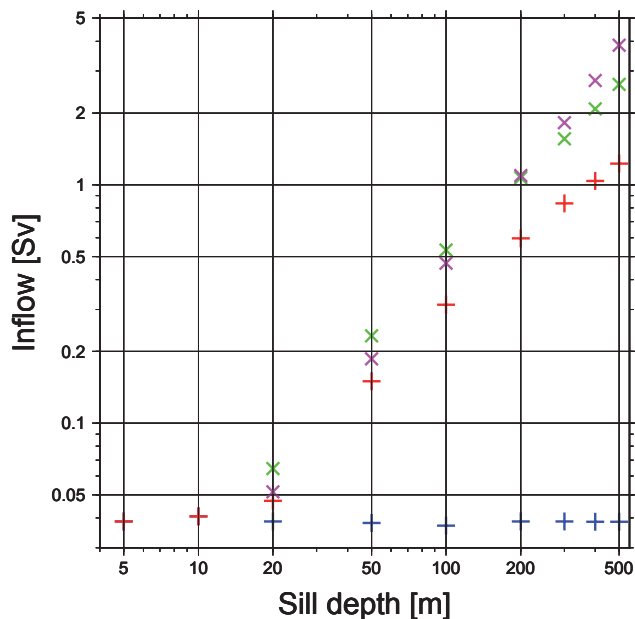
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**Figure 9.** Modelled inflow (red pluses) and net flow (blue pluses) in SD500–SD5. Green crosses show the inflow calculated with hydraulic control theory from the basin-averaged densities of the Atlantic and Mediterranean. Purple crosses indicate the inflow calculated with hydraulic control theory using the average density of inflow and outflow in the gateway instead of basin averages.

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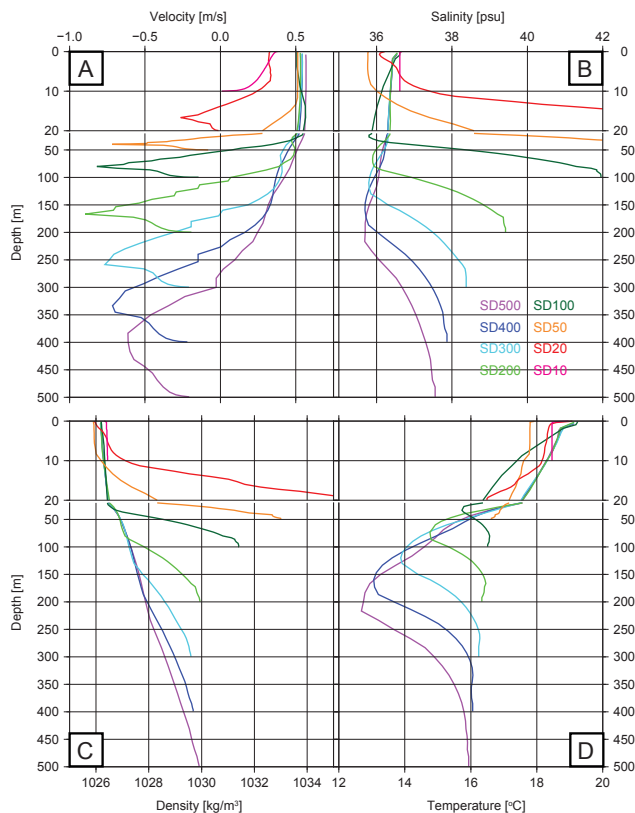
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**Figure 10.** Vertical profiles of zonal velocity (a), salinity (b), density (c) and temperature (d) at the sill in the Strait of Gibraltar in experiments SD500–SD10. Note the change in vertical scale at 20 m. Temperature and salinity profiles of SD50 stand out with incongruent values near the surface. This is caused by a numerical overshoot due to steep slopes near the gateway in this experiment.

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