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# Links between CO<sub>2</sub>, glaciation and water flow: reconciling the Cenozoic history of the Antarctic Circumpolar Current

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# Abstract

The timing of the onset of the Antarctic Circumpolar Current (ACC) is a crucial event of the Cenozoic because of its cooling and isolating effect over Antarctica. It is intimately related to the glaciations occurring throughout the Cenozoic from the Eocene–

- <sup>5</sup> Oligocene (EO) transition (≈34 Ma) to the middle Miocene glaciations (≈13.9 Ma). However, the exact timing of the onset remains debated with evidence for a late Eocene set up contradicting others data pointing to an occurrence closer to the Oligocene– Miocene (OM) boundary. In this study, we show the potential impact of the Antarctic ice sheet on the initiation of a proto-ACC at the EO boundary. Our results reveal that
- the regional cooling effect of the ice sheet increases the sea ice formation, which disrupts the meridional density gradient in the Southern Ocean and leads to the onset of a circumpolar current and its progressive strengthening. We also suggest that subsequent variations in atmospheric CO<sub>2</sub>, ice sheet volumes and tectonic reorganizations may have affected the ACC intensity after the Eocene–Oligocene transition, which in
- turn may provide an explanation for the second initiation of the ACC at the Oligocene– Miocene boundary and may reconcile evidence supporting both early Oligocene and early Miocene onset of the ACC.

#### 1 Introduction

Largest of all currents, the ACC has been studied for decades because of its nonnegligible role in major climatic changes of the Cenozoic, notably at the Eocene–
Oligocene transition (Kennett, 1977). If the opening of the Tasman Passage between Australia and Antarctica is relatively well constrained with progressive deepening between 35 and 30 Ma (Stickley et al., 2004), there are still numerous uncertainties in the timing of the opening of Drake Passage (Barker and Burrell, 1977; Lawver and Gahagan, 2003; Scher and Martin, 2006; Livermore et al., 2007). Consequently, the time evolution of the ACC between the Eocene and the Miocene remains unclear. Some



studies have proposed an onset at the earliest Oligocene (e.g. Florindo and Roberts, 2005) while others tend to point the initiation toward late Oligocene or early Miocene (Pfuhl and McCave, 2005; Lyle et al., 2007; Scher and Martin, 2008). On a modelling side, Toggweiler and Bjornsson (2000) found that the opening of Drake Passage had

- <sup>5</sup> a cooling effect over southern high latitudes but DeConto and Pollard (2003b) showed that possible changes in ocean heat transport due to gateways opening only had a limited impact on the Eocene–Oligocene Antarctic glaciation. This opinion was subsequently corroborated by Huber and Nof (2006). More recently, Hill et al. (2013) have argued that paleogeographic constraints prevented ACC initiation until late Oligocene
- while Lefebvre et al. (2012) investigated the effect of a decrease in atmospheric  $CO_2$  concentration on the onset of the ACC and found that this current was not triggered by  $CO_2$  typical of late Eocene, hence delaying its initiation to late Oligocene under colder climatic conditions.

Here we study the impact of the Antarctic ice sheet (AIS) growth at the Eocene–
 Oligocene boundary on the potential initiation of the ACC. The AIS has the potential to provide regionally colder conditions which could substitute the decrease in atmospheric CO<sub>2</sub> (Lefebvre et al., 2012) as trigger of the ACC.

## 2 Models and experiments

In this study, we use the mixed-resolution GCM FOAM (Fast Ocean Atmosphere Model)

- to realize coupled runs investigating the ice sheet growth effect on the oceanic circulation around Antarctica at the Eocene–Oligocene boundary. The atmospheric component runs on a 4.5° by 7.5° grid with 18 vertical levels. It is a modified version of the parallelized NCAR's CCM2 model so that the atmospheric physics is equivalent to CCM3. The highly efficient ocean component is dynamically similar to the Modular Ocean Model of GFDL (Jacob, 1997). It runs on a 1.4° by 2.8° grid with 12 of the 24 vertical
- levels in the upper 1000 m. The sea ice model uses the thermodynamics of NCAR's CSIM 2.2.6. FOAM has been used to successfully simulate present-day climate (e.g.,



Zhang et al., 2005) as well as paleoclimates (Poulsen et al., 2003; Chaboureau et al., 2012; Dera and Donnadieu, 2012).

In this paper, we aim at deciphering the impact of a growing ice sheet on the Southern ocean circulation and particularly if it allows the development of the ACC. The initiation threshold for the Eocene–Oligocene Antarctic ice sheet has been shown to be close to 800 ppm (Gasson et al., 2014; DeConto and Pollard, 2003a). We therefore set up GCM runs with atmospheric CO<sub>2</sub> concentration of 560 ppm (2x Preindustrial Atmospheric Levels) and 840 ppm (3x PAL) but also 1140 ppm (4x PAL), which corresponds to pre-transition levels (Pagani et al., 2011). Different ice sheet sizes have been prescribed over Antarctica (Table 1), ranging from a small size in the 1120 ppm case to a full ice sheet in the 560 ppm case. The maximum ice sheet size at 1120 ppm is small compared to the large maximum ice sheet size prescribed at 840 ppm or the

full ice sheet prescribed at 560 ppm. Indeed, small ephemeral glaciation may have existed during the Eocene (Tripati et al., 2005) but did not reach the Antarctic coastline
 (Miller et al., 2005). This justifies the ice sheet geometry we use here (Fig. 1). The corresponding approximate ice volume prescribed for each ice sheet simulation can be found in Table 1.

The model is initialized with the Early Oligocene paleogeography used by Lefebvre et al. (2012) with already opened southern gateways. Mean depth of both gateways is 1600 m. The only change lies in the topography of Antarctica, which is obtained by isostatically removing present-day ice sheet (Fig. 1). The solar constant is reduced to  $1361 \text{ W m}^{-2}$  and the Earth orbit has the following parameters (DeConto et al., 2007): eccentricity = 0.05, obliquity = 24.5°, perihelion in January. Other boundary conditions are kept at modern values.

#### 25 3 Results

A control simulation regarding the ACC features with FOAM has been detailed in a previous study (Lefebvre et al., 2012). This preindustrial run yields realistic results when



compared to observations and IPCC-AR4 coupled simulations (see details in Lefebvre et al., 2012) transport in the control run is 113 Sv at Drake Passage and 136 Sv at Tasman Passage for the entire water column. Consistently with the work of Lefebvre et al. (2012), the intensity of the ice-free Antarctic water flow at Drake and Tasman

- <sup>5</sup> passages reaches very low values for the high atmospheric CO<sub>2</sub> concentrations typical of the EO boundary. Interestingly, for both 2 and 3x PAL, the ice sheet effect on the flow intensity is clear whereas the presence of ice at 4x PAL only has a very weak effect. Indeed, at 1120 ppm of CO<sub>2</sub>, the water transport remains close to 10 Sv both at Drake and Tasman passages, whatever amount of ice is present over the continent (Table 1).
- At 3x PAL, there is a gradual water transport increase as the ice sheet grows. This growth results in an increase in the zonal flow intensity with almost a doubling at each increase except for the last ice sheet size (Table 1). At 2x PAL, the increase is even stronger for the two prescribed ice sheets. From an initial 8 Sv (also at Drake Passage), the transport reaches 42 Sv with a large ice sheet and 54 Sv for the full ice sheet (Ta-
- <sup>15</sup> ble 1). In all simulations, the trend in the evolution of the flow intensity calculated across Tasman Passage is similar to the one described at Drake Passage, likely reflecting the onset of a continuous circumpolar current.

Profound changes in ocean circulation occur between the initial experiments and the following runs (Fig. 2). We will focus on the 560 ppm simulation, the average  $CO_2$  threshold required to grow a full Antarctic ice sheet (Gasson et al., 2014). In the initial

- <sup>20</sup> threshold required to grow a full Antarctic ice sheet (Gasson et al., 2014). In the initial ice-free run, the ocean circulation around Antarctica displays no ACC or proto-ACC whatsoever. Some eastward transport of water of low intensity occurs at the Drake and Tasman gateways (Table 1). After crossing Tasman Passage, surface waters are deflected northward to join the south Pacific gyre, as previously noted by Hill et al. (2013).
- Only a small part of this proto-Humbolt current effectively crosses Drake Passage. The progressive build-up of the Antarctic ice sheet sets in place a proto-Ross gyre which drags waters away from the Tasman Passage and prevents them from being deflected northward. Consequently, an eastward current in the Southern Pacific Ocean is created which brings much more water through Drake Passage as well. After Drake



Passage, waters join the eastward flowing current south of Africa until it reaches Australia, which completes the circulation. A proto-Weddell gyre forms in the Atlantic sector of the Southern Ocean once a fully-grown ice sheet is established. The eastern and north-eastern parts of the gyre strengthen the flow across Drake Passage as well the wind-driven eastward current flowing in the Atlantic and Indian sectors. The proto-Ross gyre is also reinforced.

The vertical structure of water transport provides support to the current reorganization into a past analogue of the modern ACC following the ice sheet build-up. Zonal fluxes of water at cross-sectional areas located at Drake and Tasman gateways (Fig. 3)

- reveals that the progressive ice sheet growth and subsequent feedbacks enable a similar although weaker than modern circulation of waters around Antarctica. When no ice is present on the continent, there are only very weak eastward fluxes across the gateways. When an ice sheet of large size has grown, water transport through Drake and Tasman passages increases dramatically and the water starts to be significantly
- advected eastward on the whole water column. With the full ice sheet, transport inten-15 sity increases all around Antarctica to reach up to half modern day intensity at Drake and Tasman passages.

The main driving mechanism of the ACC has been subject to intense debate these past decades. Among the firsts, Munk and Palmén (1951) have proposed that bottom topography could balance the eastward wind stress while Stommel (1957) invoked wind 20 stress curl through Sverdrup transport. Studies have shown the large impact of winds (e.g. Gnanadesikan and Hallberg, 2000) and others pointed out buoyancy forcing (e.g. Gent et al., 2001). Therefore, it is likely that the ACC is driven by a complex balance between wind forcing, thermohaline-driven circulation and bottom topography (Cai and Baines, 1996).

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The initiation of the Antarctic ice sheet has been shown to greatly enhance regional sea ice formation (DeConto et al., 2007). Here, we attribute the development of this proto-ACC to the increase in sea ice caused by the Antarctic glaciation. On Fig. 4 is represented the winter sea ice extension for the 560 ppm ice-free and full ice sheet



simulations. Sea ice increases all around the continent (mean winter sea ice cover is extended by more than 75%, see Fig. 4), notably in the modern location of the Ross and Ronne-Filchner ice shelves and in the Atlantic and Indian sector of the Southern Ocean. As demonstrated by Lefebvre et al. (2012) to explain the increasing ACC transport under decreasing atmospheric  $pCO_2$ , sea ice formation, through brine rejection process, creates cold and salty hence denser waters, which increases the meridional density gradient and consequently the ACC (Fig. 5).

### 4 Discussion

Our results highlight the effects of the Antarctic glaciation on a proto-ACC through extended sea ice formation. Transport through Drake and Tasman passages in our 2x full ice sheet control simulation is similar to the 2x Rupelian (i.e., late Eocene/early Oligocene paleogeography with a glaciated Antarctica) control simulation of Hill et al. (2013). Interestingly, they do not attribute this transport to the development of a proto-ACC. This is easily conceivable as the flow through Drake and Tasman passages in their simulations is already similar prior to the glaciation (see Hill et al., 2013, Rupelian control vs. Rupelian noAIS simulation). Indeed, contrary to DeConto et al. (2007) and the present study, they do not find significant sea ice increase induced by the glaciation, which we think is likely to be the main driver of the onset and further strengthening of a proto-ACC. Many studies have now shown that the exis-

tence of the ACC was not required to trigger Antarctic glaciation and that the prominent driver was likely to be the abrupt decrease in atmospheric CO<sub>2</sub> (Huber et al., 2004; De-Conto and Pollard, 2003b; Sijp et al., 2011). In our simulation, the glaciation triggers the development of quite a strong circumpolar current, which supports the initiation of a proto-ACC as soon as the late Eocene/early Oligocene as a feedback to the build-up of the Antarctic ice sheet induced by CO<sub>2</sub> fall.

Our results are in agreement with numerous existing records. Scher and Martin (2006) have proposed that the increase in  $\varepsilon_{\rm Nd}$  values at ODP sites 1090 and 689



(in the Atlantic sector of the Southern Ocean) around 41 Ma reflects an influx of Pacific shallow waters into the Atlantic (also noted by Diester-Haass and Zahn, 1996) requiring a shallow to intermediate Drake Passage (Eagles et al., 2006; Lagabrielle et al., 2009). Though the flux through Drake Passage is low (around 10 Sv), our ice-free simulations are consistent with a Pacific toward Atlantic water path as soon as Drake Passage

opened, regardless of the CO<sub>2</sub> concentration.

Major Southern Hemisphere tectonic reorganizations occur close to the Eocene– Oligocene boundary with the opening and progressive deepening of the Tasman gateway (Stickley et al., 2004; Lawver and Gahagan, 2003) and the deepening of Drake

- Passage (Lawver and Gahagan, 2003; Livermore et al., 2007). In addition, Antarctic glaciation cooled the continent and the surrounding ocean leading to an increase in sea ice formation (DeConto et al., 2007; Houben et al., 2013). Our results support the development of a proto-ACC of moderate intensity following the build-up of the ice sheet, roughly coeval with the EO transition onset of the ACC suggested by, e.g., Latimer and Filippelli (2002), Diekmann et al. (2004) and more recently Borelli et al. (2014).
- Filippelli (2002), Diekmann et al. (2004) and more recently Borelli et al. (2014). Some studies propose a later onset of the ACC. For example, Pfuhl and McCave (2005) analysed sediment grain size from South Tasman Rise (ODP Leg 189) and, based on the size increase around 23.9 Ma, concluded to an intensification of deep water currents related to the deepening of Drake Passage and the initiation of the ACC.
- Lyle et al. (2007) used sediments from an Upper Oligocene to Holocene piston core to place the onset of the ACC between 25 and 23 Ma. These findings are not necessarily at odds with the results of this study, which places the initiation of a proto-ACC earlier. Indeed, this proto-ACC does only reach up to half modern day intensity and therefore, estimates of the onset of the ACC at the Oligocene–Miocene (OM) boundary might in
- fact represent another increase in the proto-ACC intensity (as well as possible modifications of the pathway) under tectonic reorganizations such as further deepening of the Drake Passage and progressive widening of the Tasman gateway.

Moreover, Lefebvre et al. (2012) reported a strong sensitivity of the ACC to atmospheric carbon dioxide concentration. To test the possibility that subsequent variations



in Oligocene–Miocene pCO<sub>2</sub> (Zhang et al., 2013) have affected the intensity of the proto-ACC, we carried out another simulation with the same full ice sheet as in the 560 ppm simulation but with a CO<sub>2</sub> concentration of 1120 ppm. Interestingly, compared to the full ice sheet 560 ppm experiment, the proto-ACC transport decreases markedly
 <sup>5</sup> by roughly a factor of three at both Drake (from 54 to 17 Sv) and Tasman (from 59 to 22 Sv) passages because the rise in atmospheric CO<sub>2</sub> counterbalances the impact of the ice sheet, by providing warmer conditions that limit the extension of sea ice formation.

We can then infer that the coupled effect of ice sheet and CO<sub>2</sub> could have favoured times of a somewhat strong proto-ACC (e.g. at the EO transition) but the successive variations in atmospheric *p*CO<sub>2</sub> and possible subsequent melting of some parts of the Antarctic ice sheet might have provoked a return to a much weaker circumpolar current. Additionally, the complex tectonic evolution of Drake Passage has surely played a role in possible variations of the proto-ACC intensity. Lagabrielle et al. (2009) notably showed that the temporary constriction of Drake Passage between approximately 29 and 22 Ma could have led to a decrease in the proto-ACC intensity, which would be in agreement with a second onset close to the Oligocene–Miocene boundary (Pfuhl

and McCave, 2005; Lyle et al., 2007). However, to our knowledge, no study has yet analysed material spanning both the EO and the OM transitions in search for ACC initi-

ation. Future studies should hence work on resolving this issue to provide a continuous record of potential ACC imprints.

#### 5 Conclusions

Since Kennett's hypothesis of an ACC control on the major Antarctic glaciation at the EO transition, the timing of its initiation has been matter of debate. Due to the complex tectonic evolution of the Drake and Tasman passages, reconstructing the full history of the Southern Ocean is still quite complicated. In this study, we show that the progressive growth of the ice sheet at the EO boundary leads to the initiation of a proto-ACC



of up to half modern day transport. The main mechanism invoked to explain this rise involves increased sea ice formation around Antarctica consecutive to the glaciation (DeConto et al., 2007), which modifies the meridional density gradient and leads to a stronger circumpolar current (Lefebvre et al., 2012). These findings are in favour 5 of an ACC onset close to the EO boundary (or at least a non-negligible circumpolar current reorganization) but do not preclude studies invoking a later onset of the ACC. Indeed, we have shown that a rise in atmospheric CO<sub>2</sub> after the EO transition (Pearson et al., 2009) reduces the intensity of this proto-ACC. Additionally, possible waxing and waning of the ice sheet during the Oligocene seen in  $\delta^{18}$ O (Wade and Pälike, 2004) and sea level records (Miller et al., 2005) as well as paleogeographic changes (Hill 10 et al., 2013) and Glacial Isostatic Adjustment-induced ocean changes due to the ice sheet build-up (Rugenstein et al., 2014) are also likely to have had a great influence on the intensity of this circumpolar flow. Possible shutdown or slowdown of the ACC between approximately 29 and 22 Ma has also been suggested due to temporary constriction of Drake Passage (Lagabrielle et al., 2009) and can be invoked to reconcile

- <sup>15</sup> striction of Drake Passage (Lagabrielle et al., 2009) and can be invoked to reconcile this apparent discrepancy in the timing of the ACC: the first onset of the circumpolar current would then have occurred close to the EOT (Latimer and Filippelli, 2002; Katz et al., 2011; Borrelli et al., 2014) following Antarctic glaciation before a period of possible variations in its intensity under changes in  $pCO_2$  and ice sheet size ending by
- <sup>20</sup> a period of progressive slowdown (Lagabrielle et al., 2009). The Oligocene–Miocene boundary would then mark the second onset of the ACC (Pfuhl and McCave, 2005; Lyle et al., 2007) predating the evolution towards its modern features (Heinrich et al., 2011; Dalziel et al., 2013).

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Table 1. Water transport through Drake and	Tasman gateways for each simulation.
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CO <sub>2</sub> (ppm)	Ice sheet size (Approximate ice volume in 10 <sup>6</sup> km <sup>3</sup> )	Flow intensity at Drake Passage (Sv)	Flow intensity at Tasman Passage (Sv)
1120	Ice free	6	10
	Very small (3.5)	9	14
	Small (6)	10	15
840	Ice free	8	11
	Small (6)	14	18
	Medium (11)	29	38
	Large (16)	35	46
560	Ice free	8	13
	Large (15)	42	49
	Full (25)	54	59











Figure 2. Surface (upper 75 m) ocean currents reorganization and temperature associated with the growth of the Antarctic ice sheet at 560 ppm. Ice free Antarctica (upper left), with a large ice sheet (lower left) or a full ice sheet (lower right). Proto-Ross and Weddell gyres are indicated in red and blue respectively. Note the strengthening of the proto-Ross gyre in the full ice sheet case, indicated by redder arrows.





**Figure 3.** Zonal water transport through cross sectional areas located at Drake (red) and Tasman passages (black) for the 560 ppm simulations for **(a)** Ice free Antarctica; **(b)** with a large ice sheet; **(c)** with a full ice sheet; and **(d)** for the modern Antarctica of the control simulation (Lefebvre et al., 2012).





**Figure 4.** Sea ice extent and mean winter sea ice cover for the 560 ppm ice free (left) and full ice sheet (right) simulations.



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