



# Mid-Pliocene East Asian monsoon climate simulated in the PlioMIP

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Abstract. Based on simulations with 15 climate models in the Pliocene Model Intercomparison Project (PlioMIP), the regional climate of East Asia (focusing on China) during the mid-Pliocene is investigated in this study. Compared to the pre-industrial, the multi-model ensemble mean (MMM) of all models shows the East Asian summer winds (EASWs) largely strengthen in monsoon China, and the East Asian winter winds (EAWWs) strengthen in south monsoon China but slightly weaken in north monsoon China in the mid-Pliocene. The MMM of all models also illustrates a warmer and wetter mid-Pliocene climate in China. The simulated weakened mid-Pliocene EAWWs in north monsoon China and intensified EASWs in monsoon China agree well with geological reconstructions. However, there is a large model-model discrepancy in simulating mid-Pliocene EAWW, which should be further addressed in the future work of PlioMIP.

## 1 Introduction

The mid-Pliocene warm period (mPWP, 3.264 to 3.025 Ma) is a recent period in earth's history when global temperatures were warmer than modern, but the paleogeography was similar to today (Dowsett et al., 2010). This warm period is thought to have many similarities to the projected warm climate of the late 21st century, as the global mean temperature is estimated to be 1.84–3.60 °C warmer than the preindustrial (Haywood et al., 2013). Thus, studying the mPWP is potentially important for understanding warm climate in the near future.

The mPWP has long been a focus for data syntheses (e.g., Dowsett et al., 1994, 1999, 2010; Salzmann et al., 2008) and climate modeling (e.g., Chandler et al., 1994; Jiang et al., 2005; Yan et al., 2011). The US Geological Survey's Pliocene Research Interpretation and Synoptic

Model	Туре	Boundary condition	Atmosphere resolution	Years for analyzing	References			
CAM3.1	AGCM	alternate	T42, L26	30	Yan et al. (2012b)			
HadAM3	AGCM	preferred	$2.5^{\circ} \times 3.75^{\circ}, L19$	30	Bragg et al. (2012)			
LMDZ5A	AGCM	alternate	1.9° × 3.75°, L39	30	Contoux et al. (2012)			
MIROC4m-AGCM	AGCM	preferred	T42, L20	30	Chan et al. (2011)			
MRI-CGCM2.3-AGCM	AGCM	alternate	T42, L30	50	Kamae and Ueda (2012)			
CAM4	AGCM	alternate	T31, L26	20	Zhang and Yan (2012)			
ECHAM5	AGCM	preferred	T31, L19	30	Stepanek and Lohmann (2012)			
ModelE2-R	AOGCM	preferred	$2^{\circ} \times 2.5^{\circ}, L40$	30	Chandler et al. (2013)			
CCSM4	AOGCM	alternate	$0.9^{\circ} \times 1.25^{\circ}, L26$	30	Rosenbloom et al. (2013)			
HadCM3	AOGCM	alternate	$2.5^{\circ} \times 3.75^{\circ}$ , L19	50	Bragg et al. (2012)			
IPSLCM5A	AOGCM	alternate	1.9° × 3.75°, L39	30	Contoux et al. (2012)			
MIROC4m	AOGCM	preferred	T42, L20	30	Chan et al. (2011)			
MRI-CGCM2.3	AOGCM	alternate	T42, L30	50	Kamae and Ueda (2012)			
NorESM-L	AOGCM	alternate	T31, L26	100	Zhang et al. (2012, 2013)			
COSMOS	AOGCM	preferred	T31, L19	30	Stepanek and Lohmann (2012)			

**Table 1.** Basic information of general circulation models used in this paper.

Mapping (PRISM) project (Dowsett et al., 1994, 1999, 2009, 2010) has studied this period for about 20 yr, and the latest PRISM3 dataset has been released recently (Dowsett et al., 2010). Moreover, together with the advancements in mid-Pliocene reconstructions, the mid-Pliocene climate has also been widely simulated with climate models (Chandler et al., 1994; Sloan et al., 1996; Haywood et al., 2000; Haywood and Valdes, 2004; Jiang et al., 2005; Lunt et al., 2010; Yan et al., 2011). Most of these simulations were carried out with atmospheric general circulation models (AGCMs) (Chandler et al., 1994; Sloan et al., 1996; Haywood et al., 2000; Jiang et al., 2005), and only a few were carried out with coupled atmosphere-ocean general circulation models (AOGCMs) (Haywood and Valdes, 2004; Yan et al., 2011). In order to understand further the mPWP climate, the Pliocene Model Intercomparison Project (PlioMIP) was initiated and included in the Paleoclimate Modelling Intercomparison Project (PMIP) phase III. In PlioMIP, two types of experiments were designed (Haywood et al., 2010, 2011). One was performed with AGCMs and the other was performed with AOGCMs. Preliminary results from each model have been published in a special issue in the journal Geoscientific Model Development (see Table 1 for references).

Haywood et al. (2013) presented the first large-scale model-model intercomparison of PlioMIP simulations. They demonstrated that climate models differently interpret the amount of forcing derived from Pliocene boundary conditions, but are able to reproduce many regional changes in temperature reconstructed from geological proxies. Haywood et al. (2013) focused on the model-model intercomparison on a global scale, but paid less attention to regional climate, such as monsoons.

Monsoons are often classified into two groups: tropical monsoons and subtropical monsoons. The tropical monsoons

(e.g., Indian monsoon) are mainly driven by the seasonal movement of the intertropical convergence zone (Hoskins and Rodwell, 1995; Chao and Chen, 2001), while the subtropical monsoons (e.g., East Asian monsoon) are further influenced by the land–sea thermal contrast (Ramage, 1971; Webster et al., 1998). Due to the different thermal capacity of land and sea, the cold high-pressure system, which occupies the inland Eurasian continent, drives northwesterlies and northeasterlies over East Asia (East Asian winter winds, EAWWs) during boreal winter (December, January and February, DJF), while the warm low-pressure system drives southwesterlies and southeasterlies (East Asian summer winds, EASWs) and brings precipitation into East Asia during boreal summer (June, July and August, JJA).

In this study, we focus our analysis on the East Asian monsoon climate simulated in China in the PlioMIP models. The investigation of tropical monsoons (e.g., Indian monsoon and African monsoon) will be left for further studies. This paper is organized as follows: in Sect. 2, we present reconstructions of the mid-Pliocene climate in China. In Sect. 3, we briefly describe the models used in the PlioMIP and the experimental design. Then, we evaluate the simulations of preindustrial climate with these models with a focus on China in Sect. 4. In Sect. 5, we analyze the mid-Pliocene climate changes, including 850 hPa wind fields, surface air temperature (SAT) and precipitation, relative to the pre-industrial. Section 6 contains the discussion and summary.

## 2 Geological evidence for mid-Pliocene East Asian monsoon

In China, most mid-Pliocene geological evidence reveals that the EASWs were stronger, and the EAWWs were weaker during the mid-Pliocene, when compared to the late Quaternary. Yan et al. (2012a) have summarized these mid-Pliocene proxy records that are well-dated by magnetostratigraphic and/or biostratigraphic chronology. For example, the smaller mean/median grain size and proportion of coarse component (Xiong et al., 2001; Wan et al., 2007; Sun et al., 2008), and the lower content of the 10-70 µm fraction (Jiang and Ding, 2010) in paleosol-loess sediments reveal a weaker aeolian transport by the EAWW, which could indicate either weakened EAWW or wetter climate in the inland China. Since the wetter climate in the inland China is closely related with the weakened EAWW, the weaker aeolian transport indicates the EAWW was weaker during the mid-Pliocene, relative to the late Quaternary. The smaller abundance of planktonic foraminifer Neogloboquadrina dutertrei in South China Sea ocean sediments (Jian et al., 2003; Li et al., 2004a) also supports the weaker EAWW during the mid-Pliocene. In contrast, geochemical indices, including a larger ratio of free iron to total iron concentrations (Ding et al., 2001), a smaller ratio between illite plus chlorite and smectite (Wan et al., 2007), and a larger proportion of finest end-member (fluvial mud) (Wan et al., 2007), indicate increased chemical weathering in China during the mid-Pliocene. The increased chemical weathering reflects a warmer and wetter climate. Since precipitation is brought by the EASW in China, the increased chemical weathering indicates that EASWs are intensified during the warm mid-Pliocene (Ding et al., 2001; Wan et al., 2007).

In addition to the above geological evidence, we collect more proxy data (Table 2) to show temperature and humidity changes between mid-Pliocene and late Quaternary. These proxy data are classified into two groups according to the reliability in chronology and climate interpretation. In the first group, proxy data have a good age control with a small age range between 3.0 and 3.3 Ma, and also a relatively reliable climate indication of temperature and/or humidity for the mid-Pliocene (Ma et al., 2005; Wu et al., 2007, 2011; Jiang and Ding, 2008; Cai et al., 2012). In contrast, in the second group, the uncertainties in age control and/or climate interpretation are relatively large. The more reliable reconstructions in the first group, together with the proxy data summarized by Yan et al. (2012a), show that it was warmer and wetter in China during the mid-Pliocene, relative to the late Quaternary (Fig. S1).

In summary, these proxy data demonstrate that it was warmer and wetter in most of China during the mid-Pliocene. The warmer and wetter climate indicates the stronger EASW and the weaker EAWW during the warm mid-Pliocene.

#### **3** Models and experimental design

We use the model results from nine climate modeling groups that participated in the PlioMIP. Seven of them provide atmosphere-only simulations for PlioMIP experiment 1 and eight groups provide coupled atmosphere–ocean simulations for PlioMIP experiment 2 (Table 1). All simulations include a pre-industrial experiment and a mid-Pliocene experiment. Compared to the pre-industrial experiment, the changes of boundary conditions in the mid-Pliocene experiment include the modification in topography (Sohl et al., 2009), land cover (Salzmann et al., 2008) and the increase of atmospheric CO<sub>2</sub> concentration to 405 ppmv. The mPWP topography increases in most areas of monsoon China, the Tarim Basin and the southern margin of the Tibetan Plateau, but decreases in most areas of the Tibetan Plateau, compared to the pre-industrial topography (Fig. 1). The mPWP vegetation indicates a generally warmer and wetter climate than today, and warmtemperate forests become dominant in East Asia in the mid-Pliocene (Salzmann et al., 2008). Models generally use the same mPWP vegetation dataset, but the vegetation data used in pre-industrial experiments are independent. Atmosphereonly simulations are forced with the fixed mid-Pliocene sea surface temperature (SST) (Dowsett et al., 2009; Robinson et al., 2011). Due to the complexity in modification of land-sea mask in mid-Pliocene experiment, nine simulations choose the alternate boundary condition with the land-sea mask being identical to the pre-industrial (Table 1). Further details of boundary conditions and experimental design for the PlioMIP can be found in Haywood et al. (2010, 2011).

## 4 Evaluation of the models

In order to know the model abilities in simulating the East Asian monsoon climate, we evaluate the simulated winds, SAT and precipitation in the pre-industrial experiments, with comparisons to the NCEP-DOE reanalysis data during the period 1979–2008 (Kanamitsu et al., 2002), the ERA40 monthly reanalysis data during the period 1972–2001 (Uppala et al., 2005) and the CMAP precipitation data during the period 1979–2008 (Xie and Arkin, 1996), respectively. Although a bias exists in the evaluation due to the warmer modern climate than the pre-industrial, evaluation of preindustrial simulations is often based on modern observations, since the observed pre-industrial SAT and precipitation data are not available.

We regrid all model results and observations to the resolution of  $2.5^{\circ} \times 2.5^{\circ}$  (Fig. S2), and then calculate spatial correlation coefficients (SCCs) and root-mean-square errors (RM-SEs) excluding systematic model error. The SCCs quantify similarities between simulated and observed spatial patterns. Larger correlations indicate higher similarities. The RMSEs assess internal model errors. Larger root-mean-square values indicate larger internal model errors. Additionally, the systematic model errors are also analyzed for the meridional wind at 850 hPa (Table S1).

The SCC and RMSE of meridional wind at 850 hPa in the East Asian region (between  $20-45^{\circ}$  N and  $105-135^{\circ}$  E) show that the models used in the PlioMIP have a wide range of skills in simulating East Asian monsoon climate. The

No.	Site	Proxy data	Dating	Location	Age (Ma)	Geological records (compared to the late Quaternary)	Reconstructed climate	References		
First gr	roup									
1	Sikouzi, Gansu	Pollen	Paleomagnetism, biostratigraphy	36°16′ N, 105°59′ E	3.3–3.0	More tree and shrubs, fewer herbs	Wetter	Jiang and Ding (2008)		
2	Chaona, Gansu	Pollen	Paleomagnetism	35°7′ N, 107°12′ E	3.0–2.6	A domination of typical Cupressaceae forest vegetation	Warmer and wetter	Wu et al. (2007)		
	Chaona, Gansu	Pollen	Paleomagnetism	35°7′ N, 107° 21′ E	3.3–3.0	The predominance of Cupressaceae and <i>Juniperus</i> with lower <i>Ulmus</i>	Warmer and wetter	Ma et al. (2005)		
3	Qaidam Basin	Pollen	Paleomagnetism	38°22′ N, 91°44′ E	3.1–3.0	Higher abundance of broad-leaved trees and lower abundance of xerophytic taxa	Warmer and wetter	Cai et al. (2012)		
4	Qaidam Basin	Pollen	Paleomagnetism biostratigraphy	$\sim$ 37.8° N, $\sim$ 94.8° E	3.3–3.0	Low fraction of xerophytic plants and the existence of thermophilous subtrop- ical trees	Warmer and wetter	Wu et al. (2011)		
Second	group									
5	Yushe, Shanxi	Pollen	Paleomagnetism	~ 37° N, ~ 113° E	3.2–3.0	Contains various thermophiles (e.g., <i>Carya, Juglans</i> , etc.). These thermophilic taxa are now present about 1 or 2 latitude degrees south of the Yushe Basin	Warmer	Liu et al. (2002)		
6	Yushe, Shanxi	Fruits, seeds	Paleomagnetism	36°58′ N, 112°50′ E	3.5–2.3	The existence of lake and Ruppia	Wetter	Zhao et al. (2004)		
7	Taigu and Yushe, Shanxi	Pollen	Paleomagnetism	~ 37.5° N, ~ 114° E	3.6–2.5	The high percentages of <i>Picea</i> and <i>Abies</i> , and the absence of thermophiles in pollen assemblages	Colder and wetter	Li et al. (2004b)		
8	Shijiawan, Shaanxi	Pollen	Paleomagnetism	$\sim$ 34.4° N, $\sim$ 109.7° E	3.0–2.7	Typical steppe type; with elephant fos- sil fauna	Warmer and drier	Han et al. (1997)		
9	Xifeng, Gansu	Molluscan	Paleomagnetism	35°53′ N, 107°58′ E	3.4–2.4	Increase of cold-aridiphilous taxa, de- crease of meso-xerophilous and the rare occurrence of thermo-humidiphilous mollusks	Colder and drier	Wu et al. (2006)		
10	Lingtai, Gansu	Pollen	Paleomagnetism, biostratigraphy	35°04′ N, 107°43′ E	5.8-3.4	The existence of some subtropical trees	Warmer and wetter	Wu (2001)		
11	Eastern China	Fossil mammals	Stratigraphy	32° N, 105° E*	3.4–2.0	High hypsodonty	Drier	Eronen et al. (2010)		
12	Yuanmou, Yunnan	Fossil wood, leaves, pollen	Paleomagnetism, biostratigraphy, lithostratigraphy	$\sim$ 25.7° N, $\sim$ 101.9° E	3.4–2.5	Based on coexistence approach	Colder and wetter	Yao et al. (2012)		
13	Tuantian, Yunnan	Fossil leaves	Stratigraphy	24°41′ N, 98°37′ E	3.3–2.3	Based on leaf margin analysis and the Climate Leaf Analysis Multivariate Program	Warmer and drier	Xie et al. (2012)		
14	Tengchong Yunnan	gFossil plants	Biostratigraphy, isotopic dating	$\sim$ 24.7° N, $\sim$ 98.4° E	Late Pliocene	Based on coexistence approach	Warmer	Sun et al. (2011)		
15	Eryuan, Yunnan	Pollen	Biostratigraphy, lithostratigra- phy	26°00' N, 99°49' E	Late Pliocene	Based on coexistence approach	Warmer	Kou et al. (2006)		
16	Yangyi, Yunnan	Pollen	Stratigraphy	24°57′ N, 99°15′ E	Late Pliocene	Based on coexistence approach	Warmer and wetter	Kou et al. (2006)		
17	Longling, Yunnan	Pollen	Stratigraphy	24°41′ N, 98°50′ E	Late Pliocene	Based on coexistence approach	Warmer and drier	Kou et al. (2006)		
18	Zhangqiu, Shan- dong	Pollen	Biostratigraphy	36°43′ N, 117°27′ E	Pliocene	The distribution of a few subtropical and warm temperate broadleaf trees	Warmer and wetter	Wang et al. (2002)		
19	Lop Nur, Xin- jiang	Pollen	Paleomagnetism	39°47′ N, 88°23′ E	Pliocene	Based on coexistence approach	Warmer and wetter	Hao et al. (2012)		

Table 2. Data used to reconstruct the mid-Pliocene climate in China.

\* Only taken at one point (32° N, 105° E).



**Fig. 1.** Topography differences (units: m) between mid-Pliocene and pre-industrial experiments provided by PRISM3. Regions (1), (2) and (3) represent western China, north monsoon China and south monsoon China, respectively. Regions (2) and (3) together represent monsoon China.

correlations of EAWW are generally larger than the correlations of EASW, indicating that models have better skills in simulating the winter winds than the summer winds. For the EASW (Table S1), there are four models that show negative correlations or confidence levels of correlation lower than 95%. Moreover, most models simulate smaller systematic model errors than the RMSE (Table S1).

The above model ability in simulating the East Asian monsoon climate is also supported by Taylor diagrams (Taylor, 2001) for meridional wind at 850 hPa (Fig. 2). The points of simulated winter winds show less scatter around the reference point than the points of simulated summer winds, indicating that the simulations of winter winds are better than those of summer winds. For the EASW (EAWW), the best model is ModelE2-R (HadAM3). The point on the Taylor diagram (Fig. 2) representing the multi-model ensemble mean (MMM) shows a better skill than most individual models in simulating the summer and winter winds.

The PlioMIP models have different skills (Table S2) in simulating the pre-industrial annual and seasonal SAT and precipitation over China. Compared to the observations, correlations for annual and seasonal SAT exceed 0.85 in these pre-industrial simulations, indicating these models can reasonably simulate SAT over China. The best model is HadAM3 for annual SAT. In contrast, the range of model abilities is large in simulating the annual or seasonal precipitation over China. The best one remains HadAM3 for annual precipitation.

In the Taylor diagrams (Fig. 3), the points of simulated SAT gather closely to the reference point. In contrast, the points of simulated precipitation scatter and are far away from the reference point, indicating a larger model–model

discrepancy in simulating precipitation over China. Moreover, the MMM point is close to the reference point, not only for SAT but also for precipitation, indicating the MMM is better than most individual models in simulating SAT and precipitation over China.

#### 5 Model-model intercomparison

#### 5.1 Mid-Pliocene East Asian monsoon

Here, we use a classic index, regionally averaged meridional wind speeds at 850 hPa (Wu and Ni, 1997; Wang et al., 2001; Jiang and Lang, 2010) within the region of 20–45° N and 105–135° E to indicate the intensity of East Asian summer and winter winds. A positive index represents southerly wind anomalies, thus indicating intensified EASW or weakened EAWW, while a negative index represents northerly wind anomalies, thus indicating weakened EASW or intensified EASW.

Within the PlioMIP ensemble, the MMM index of all models shows that the simulated mid-Pliocene EASWs become stronger, and EAWWs become slightly stronger, relative to the pre-industrial. In boreal summer, the mid-Pliocene MMM index is  $0.65 \text{ m s}^{-1}$  higher, and southwesterly anomalies (enhanced EASW) appear in monsoon China (Fig. 4a, Table 3). In boreal winter, the mid-Pliocene MMM index is  $0.06 \text{ m s}^{-1}$  lower than the pre-industrial. Northeasterly anomalies (enhanced EAWW) appear in south monsoon China, while weak southeasterly anomalies (weakened EAWW) occur in north monsoon China (Fig. 4b, Table 3).

The multi-model ensemble mean of coupled models (AOGCMs-MMM) shows less strengthening in the mid-Pliocene EASW (Fig. 5c vs. Fig. 5a), and further weakening in the mid-Pliocene EAWW in north monsoon China (Fig. 5d vs. Fig. 5b), relative to the multi-model ensemble mean of atmosphere-only models (AGCMs-MMM). For individual models, 14 simulations show increased indices for the EASW, ranging from  $0.12 \text{ m s}^{-1}$  (CCSM4) to  $1.32 \text{ m s}^{-1}$ (HadAM3), while only COSMOS simulates a decreased index of  $0.30 \,\mathrm{m \, s^{-1}}$  (Table 3, Fig. S3). In contrast, the modelmodel discrepancy is much larger in simulating the mid-Pliocene winter winds (Table 3, Fig. S4). The indices of EAWW decrease (indicating strengthened EAWW) in 9 simulations, but increase (indicating weakened EAWW) in the other 6 simulations (namely CAM4, MIROC4m-AGCM, NorESM-L, MIROC4m, HadCM3 and ModelE2-R).

As suggested by the reconstructions of the mid-Pliocene East Asian monsoon (see Sect. 2), if only the six models that simulate the weakened winter winds are considered, the obvious southeasterly anomalies occur in monsoon China in boreal winter (Fig. 4d). Moreover, these six models also show that the mid-Pliocene summer winds are further strengthened (Fig. 4c vs. Fig. 4a), when compared to the MMM of all models.



**Fig. 2.** Taylor diagrams of (**a**) summer and (**b**) winter meridional wind at 850 hPa in the East Asian regions of  $20-45^{\circ}$  N and  $105-135^{\circ}$  E for different models. The point REF indicates the NCEP-DOE reanalysis data averaged within 1979–2008 (Kanamitsu et al., 2002). The model results (CAM3.1, MRI-CGCM2.3-AGCM and ECHAM5) with negative SCC in (**a**) are not shown. In the Taylor diagram, the standard deviation of the modeled field is the radial distance from the origin. The RMSE is the distance to the point REF. The azimuthal position gives the SCC. The RMSE and the modeled standard deviation are normalized by the observed standard deviation.



**Fig. 3.** Taylor diagrams of (a) summer, (b) winter and (c) annual mean SAT and precipitation in China for different models. The point REF indicates the ERA40 reanalysis data averaged within 1972–2001 (Uppala et al., 2005) for SAT, and the CMAP data averaged within 1979–2008 (Xie and Arkin, 1996) for precipitation.



**Fig. 4.** The MMM for EASW (left column, units:  $m s^{-1}$ ) and EAWW differences (right column, units:  $m s^{-1}$ ) between mid-Pliocene and pre-industrial experiments. (a) and (b) are the MMM of all models; (c) and (d) are the MMM of the six models that simulate the weakened EAWW listed in Table 3. Regions with an elevation above 1500 m are left blank.



**Fig. 5.** The MMM for EASW (left column, units:  $m s^{-1}$ ) and EAWW differences (right column, units:  $m s^{-1}$ ) between mid-Pliocene and pre-industrial experiments. (**a**) and (**b**) are the AGCMs-MMM; (**c**) and (**d**) are the AOGCMs-MMM. Regions with an elevation above 1500 m are left blank.

**Table 3.** The regionally averaged changes of EAWW, EASW, SAT and precipitation between mid-Pliocene and pre-industrial experiments. The EAWW and EASW indices (units:  $m s^{-1}$ ) are calculated by regionally averaged meridional wind speed at 850 hPa within the region of 20–45° N and 105–135° E. The SAT (units: °C) and precipitation (units:  $mm day^{-1}$ ) changes are calculated by regionally averaged values within China.

Model	EASW		EAWW		SAT changes			Precipitation changes		
	Pre-industrial	Changes	Pre-industrial	Changes	ANN	JJA	DJF	ANN	JJA	DJF
CAM3.1	2.60	0.58	-2.24	-0.20	1.50	1.48	1.54	0.17	-0.09	0.02
HadAM3	3.01	1.32	-1.98	-0.30	1.84	1.35	2.16	0.45	0.50	0.12
LMDZ5A	1.99	0.82	-2.51	-0.37	2.05	2.12	1.79	0.18	0.37	-0.01
MIROC4m-AGCM	3.57	0.99	-1.42	0.02	2.97	1.57	4.10	0.52	0.42	0.13
MRI-CGCM2.3-AGCM	1.10	1.27	0.29	-0.22	2.04	0.91	3.33	0.27	0.36	-0.12
CAM4	2.88	0.77	-3.71	0.67	2.70	1.68	3.66	0.27	0.07	0.04
ECHAM5	2.97	0.56	-1.46	-0.45	2.05	1.41	3.11	-0.10	-0.73	-0.08
ModelE2-R	0.77	0.43	-1.55	0.28	1.46	2.86	0.37	0.17	0.71	-0.15
CCSM4	2.99	0.12	-2.44	-0.09	2.24	1.92	2.60	0.20	0.05	0.25
HadCM3	2.48	1.20	-2.21	0.84	4.49	4.17	5.45	0.75	0.83	0.37
IPSLCM5A	1.59	0.22	-2.38	-0.36	2.28	2.22	2.18	0.24	0.47	0.07
MIROC4m	3.57	1.07	-2.65	0.94	4.08	3.37	4.66	0.63	0.47	0.31
MRI-CGCM2.3	2.39	0.36	-0.09	-0.33	2.06	1.33	2.99	0.20	0.24	-0.02
NorESM-L	3.71	0.31	-3.38	0.17	3.43	2.32	4.50	0.33	0.24	0.12
COSMOS	2.75	-0.30	-0.93	-1.53	4.34	4.08	4.91	-0.51	-0.77	-0.33
MMM		0.65		-0.06	2.64	2.18	3.16	0.25	0.21	0.05
AGCMs-MMM		0.90		-0.12	2.17	1.50	2.81	0.25	0.13	0.01
AOGCMs-MMM		0.43		0.00	3.05	2.78	3.46	0.25	0.28	0.08

# 5.2 SAT

The MMM annual SAT averaged over China increases by  $2.64 \,^{\circ}$ C in the mid-Pliocene experiments compared to the pre-industrial (Table 3). Stronger warming appears in western China. Regionally averaged SAT increases by  $3.08 \,^{\circ}$ C in western China and by  $2.19 \,^{\circ}$ C in monsoon China. In addition to the warming, small cooling areas appear in the Tarim Basin and the southern margin of Tibetan Plateau (Fig. 6a). These changes in SAT are closely related to the regional topography changes (Fig. 1). The increased regional topography is in favor of decreased SAT, and vice versa.

The changes in AGCMs-MMM or AOGCMs-MMM annual SAT are similar to the MMM of all models over China, although the warming amplitude simulated with coupled models is slightly larger than atmosphere-only models (Fig. 7c vs. Fig. 7a). The mid-Pliocene AOGCMs-MMM annual SAT regionally averaged over China is 3.05 °C higher than the pre-industrial. It is 0.88 °C greater than the SAT increase in the AGCMs-MMM.

For individual models, all models simulate warmer climates over China in the mid-Pliocene experiments, relative to the pre-industrial (Table 3, Fig. S5). The increases of annual SAT regionally averaged over China range from 1.46 °C (ModelE2-R) to 4.49 °C (HadCM3). Smaller scale changes in annual SAT in China are also captured by simulations, in particular the simulations with higher resolution models (Fig. S5). The six models that produce the weakened EAWW generally simulate stronger mid-Pliocene warming over China than other models (Fig. 6c vs. Fig. 6a), particularly in boreal winter (Fig. 8c vs. Fig. 8a). As a result, a further decreased land–sea thermal contrast in boreal winter, which causes the weakened EAWW, appears in the mid-Pliocene experiments simulated with these six models, when compared to other models (Fig. 9b).

### 5.3 Precipitation

The mid-Pliocene MMM annual precipitation averaged over China increases by  $0.25 \text{ mm day}^{-1}$ , relative to the preindustrial. The annual MMM precipitation increases in most areas of China. However, decreased precipitation also can be observed in some small areas, for example in south monsoon China and the southern margin of the Tibetan Plateau (Fig. 6b). The simulated changes in precipitation show similar patterns between coupled and atmosphere-only models over China (Fig. 7d vs. Fig. 7b). For individual models, the changes in annual precipitation averaged over China range from  $-0.51 \text{ mm day}^{-1}$  to  $0.75 \text{ mm day}^{-1}$ , and only ECHAM5 and COSMOS simulate decreased annual precipitation. Although the changes in annual precipitation simulated with most models generally agree with the changes in MMM, individual simulations still show considerable differences in spatial distribution (Fig. S7).

These six models that simulate the weakened EAWW show a larger increase of annual precipitation in monsoon



**Fig. 6.** Same as Fig. 4, but for annual mean SAT (left column, units: °C) and precipitation differences (right column, units: mm day<sup>-1</sup>). The areas with confidence levels larger than 95 % using Student's *t* test are dotted. The standard deviation of the simulated annual SAT and precipitation changes can be seen in Fig. S6.



**Fig. 7.** Same as Fig. 5, but for annual mean SAT (left column, units:  $^{\circ}$ C) and precipitation differences (right column, units: mm day<sup>-1</sup>). The areas with confidence levels larger than 95% using Student's *t* test are dotted.

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Fig. 8. Same as Fig. 6, but for winter SAT (left column, units: °C) and precipitation differences (right column, units: mm day<sup>-1</sup>).

China than the MMM of all models (Fig. 6d vs. Fig.6b). The larger increases in annual precipitation are consistent with the further intensified EASW and weakened EAWW simulated by these six models (Fig. 4c and d).

#### 6 Discussion and summary

The above model-model intercomparisons show that, in the MMM of all models, the simulated mid-Pliocene EASWs largely strengthen in monsoon China, and EAWWs strengthen in south monsoon China, but slightly weaken in north monsoon China (Fig. 4a and b), relative to the preindustrial. Moreover, the MMM shows a warmer and wetter mid-Pliocene climate in most areas of China, which agrees with most of reconstructed data (Fig. S1).

Coupled models generally show less strengthening in the summer winds than atmosphere-only models, as well as enhanced weakening in winter winds in north monsoon China. In the mid-Pliocene simulations with atmosphere-only models, warming in ocean surface is fixed to the PRISM3 reconstructions. However, with coupled models, the simulated warming at the Pacific surface in boreal summer is stronger in the experiments than the PRISM3 reconstructions (Fig. 9a). The simulated stronger ocean surface warming reduces the land–sea thermal contrast and thus limits the intensification of EASW in coupled models, when compared to atmosphere-only simulations. In boreal winter, the stronger surface warming in coupled models, in particular over China, reduces the land-sea thermal contrast, and thus leads to the further weakened EAWW in north monsoon China, when compared to the atmosphere-only simulations (Fig. 9b).

Although most models simulate the intensified EASW, the inter-model discrepancy in simulating the mid-Pliocene EAWW can not be neglected. Nine models produce intensified winter wind indices, yet six models produce weakened winter wind indices (Table 3). These six models show larger decreases in sea level pressure (SLP) gradient and stronger weakened land-sea thermal contrast (averaged within the region of 10-40° N and 90-180° E, Fig. 10) in boreal winter, which causes the weakened EAWW in the mid-Pliocene experiments. The further weakened land-sea thermal contrast is clearly a result of stronger winter warming over China. As shown in Fig. 9b, in boreal winter, the warming over China in these six models is much larger than the MMM of all models, while the ocean warming is similar. Compared to the mid-Pliocene monsoon reconstructions, the simulated weakened mid-Pliocene winter winds in north monsoon China and intensified summer winds in monsoon China agree with geological evidence (Ge et al., 2013). The weakened winter winds reduce the dry airflow from inland Asia. Due to the weakened winter winds together with wetter climate in the mid-Pliocene, the dust from arid inland Asia should have been reduced in the mid-Pliocene. As a result, dust accumulation rate was low on the Loess Plateau in the mid-Pliocene relative to the present (Guo et al., 2002).



**Fig. 9.** The seasonal SAT (top, units:  $^{\circ}$ C) and precipitation differences (bottom, units: mm day<sup>-1</sup>) between mid-Pliocene and pre-industrial experiments. The SAT changes are meridionally averaged within 10–40° N. The precipitation changes are zonally averaged within 105–120° E.



**Fig. 10.** Changes in zonal SLP and SAT slope averaged within the region of  $10-40^{\circ}$  N and  $90-180^{\circ}$  E versus changes in wind indices (units:  $m s^{-1}$ ). The six models that simulate the weakened EAWW are shown in red.

It is interesting that models in the PlioMIP have skill in simulating the EAWW in the pre-industrial experiments, but show substantial variation in simulating the EAWW in the warm mid-Pliocene. In contrast, these models have relatively low skills in simulating the EASW in the pre-industrial control experiments, but show almost consistent intensification in the mid-Pliocene EASW.

The reason behind this model behavior in simulating East Asian monsoon remains complicated. These models show different responses in the land-sea thermal contrast and SLP gradient to the increased surface radiative forcing, particularly in boreal winter. The different responses are likely related to independent changes in boundary conditions and/or physical processes and parameterizations in models. The changes in boundary conditions include modifications in atmospheric CO2 level, SSTs, topography and landcover/vegetation. The changes of atmospheric CO<sub>2</sub> level can not be the cause, since all models use the identical atmospheric CO<sub>2</sub> level (405 ppmv) in the mid-Pliocene experiments and similar atmospheric CO<sub>2</sub> levels ( $\sim 280 \text{ ppmv}$ ) in the pre-industrial experiments. The SSTs can not be the cause either, as two of the six models that simulate weakened winter winds are atmosphere-only models, which use the same anomaly method to create the mid-Pliocene SSTs as other atmosphere-only models. Likewise, the topography is less likely to be the cause, as HadAM3 and HadCM3 have identical topography, but show different responses. Even so, due to different model resolutions, interpolating topography anomalies with a further smoothed method, which is used in creating mid-Pliocene topography conditions, may bring some uncertainties. The left possibility is the changes in landcover/vegetation conditions. Since each model group uses independent methods to create land-cover/vegetation conditions, the different land-cover/vegetation conditions could cause the large spread in the winter warming over China and the responses of EAWW simulated in the mid-Pliocene experiments. On the other hand, individual model sensitivities caused by physical processes and parameterizations in climate models are also a possible reason for the above discrepancy.

Nevertheless, some modifications in boundary conditions still can potentially reduce the discrepancy to some extent in the future PlioMIP simulations. The mid-Pliocene orbital parameters are identical to those used for the pre-industrial in the current PlioMIP. Yet, the PRISM reconstruction of mid-Pliocene surface temperature is an average of multiple warm climates rather than a contemporaneous snapshot (Dowsett et al., 2010; Haywood et al., 2013). Such a warm climate signal might be better represented in climate models via prescription of a "warm" orbit. Therefore, in the simulations analyzed in this study, the heating over China during the mid-Pliocene is likely underestimated in boreal winter. The higher topography condition used in the current mid-Pliocene experiments, which causes cooling in China, is still in conflict with the geological evidence that indicates uplifts on the margin of Tibetan Plateau since the mid-Pliocene (e.g., Li and Fang, 1999; Zheng et al., 2000; Fang et al., 2005). The independent method in creating land-cover/vegetation conditions not only may cause the inconsistence between model groups, but also leads to the inconsistence within a group. For example, HadAM3 and HadCM3, which show spread in simulated EAWW, employ the same land-cover/vegetation conditions in their mid-Pliocene experiments, but different conditions in the pre-industrial control experiments. When an identical land-cover/vegetation condition is used in the pre-industrial experiments (Bragg et al., 2012), the discrepancy in EAWW simulated with HadCM3 and HadAM3 disappears. Seen in this way, a better topography reconstruction, orbital parameters that provide more heating in winter in the Northern Hemisphere, and more consistent land-cover/vegetation boundary conditions likely reduce the model-model differences in simulating East Asian monsoon climate during the mid-Pliocene.

In summary, based on the PlioMIP multi-model intercomparison, the mid-Pliocene climate of East Asia (focusing on China) is investigated from simulations with seven atmosphere-only models and eight coupled atmosphereocean models. The MMM of these models shows that summer winds largely strengthen in monsoon China, and winter winds strengthen in south monsoon China, but slightly weaken in north monsoon China. The simulated weakened winter winds and intensified summer winds agree well with geological reconstructions. The changes of winds in boreal summer and boreal winter are mainly caused by reorganization of the land-sea thermal contrast and SLP gradient between the East Asian continents and oceans. In addition, the MMM of all these models also illustrates a warmer and wetter mid-Pliocene climate in China, which agrees with most reconstructions in China. The mid-Pliocene SAT averaged over China is 2.64 °C higher than the pre-industrial, with a range of levels from 1.46 °C to 4.49 °C among individual models. The mid-Pliocene precipitation averaged over China is 0.25 mm day<sup>-1</sup> larger, with a range of levels from  $-0.51 \text{ mm day}^{-1}$  to  $0.75 \text{ mm day}^{-1}$  among individual models. However, the model-model discrepancy in simulating mid-Pliocene East Asian climate, in particular the mid-Pliocene EAWW, can not be neglected. Six models simulated a weakened mid-Pliocene EAWW, whereas the other nine models did not. The reasons behind these model-model discrepancies are likely related to physical processes and parameterizations and/or the independent changes of boundary condition used in models.

## Supplementary material related to this article is available online at http://www.clim-past.net/9/2085/2013/ cp-9-2085-2013-supplement.pdf.

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