



# Major perturbations in the global carbon cycle and photosymbiont-bearing planktic foraminifera during the early Eocene

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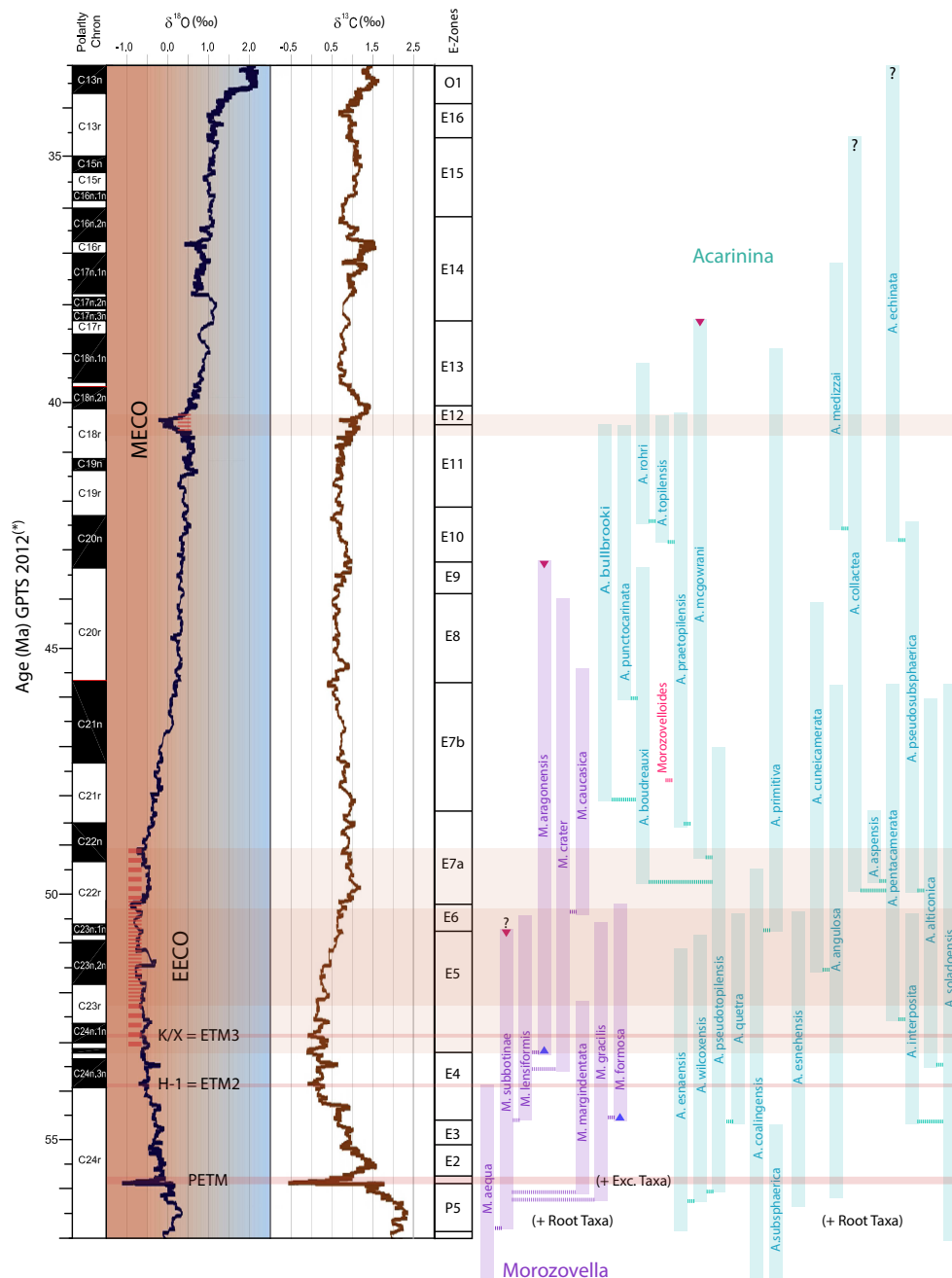
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**Abstract.** A marked switch in the abundance of the planktic foraminiferal genera *Morozovella* and *Acarinina* occurred at low-latitude sites near the start of the Early Eocene Climatic Optimum (EECO), a multi-million-year interval when Earth surface temperatures reached their Cenozoic maximum. Stable carbon and oxygen isotope data of bulk sediment are presented from across the EECO at two locations: Possagno in northeast Italy and Deep Sea Drilling Project (DSDP) Site 577 in the northwest Pacific. Relative abundances of planktic foraminifera are presented from these two locations, as well as from Ocean Drilling Program (ODP) Site 1051 in the northwest Atlantic. All three sections have good stratigraphic markers, and the  $\delta^{13}\text{C}$  records at each section can be correlated amongst each other and to  $\delta^{13}\text{C}$  records at other locations across the globe. These records show that a series of negative carbon isotope excursions (CIEs) occurred before, during and across the EECO, which is defined here as the interval between the J event and the base of *Discoaster subloboensis*. Significant though ephemeral modifications in planktic foraminiferal assemblages coincide with some of the short-term CIEs, which were marked by increases in the relative abundance of *Acarinina*, similar to what happened across established hyperthermal events in Tethyan settings prior to the EECO. Most crucially, a temporal link exists between the onset of the EECO, carbon cycle changes during this time and the decline in *Morozovella*. Possible causes are mani-

fold and may include temperature effects on photosymbiont-bearing planktic foraminifera and changes in ocean chemistry.

## 1 Introduction

Cenozoic Earth surface temperatures attained their warmest long-term state during the Early Eocene Climatic Optimum (EECO). This was a 2–4 Myr time interval (discussed below) centered at ca. 51 Ma (Fig. 1), when average high-latitude temperatures exceeded those in the present day by at least 10 °C (Zachos et al., 2008; Bijl et al., 2009; Huber and Caballero, 2011; Hollis et al., 2012; Pross et al., 2012; Inglis et al., 2015). Several short-term (< 200 kyr) global warming events (Fig. 1) occurred before the EECO. The Paleocene–Eocene Thermal Maximum (PETM) provides the archetypical example: about 55.9 Ma (Vandenbergh et al., 2012; Hilgen et al., 2015) temperatures soared an additional 5–6 °C relative to background conditions (Sluijs et al., 2006, 2007; Dunkley Jones et al., 2013). Evidence exists for at least two other significant Eocene warming events (Cramer et al., 2003; Lourens et al., 2005; Röhl et al., 2005; Thomas et al., 2006; Nicolo et al., 2007; Agnini et al., 2009; Coccioni et al., 2012; Lauretano et al., 2015; Westerhold et al., 2015): one ca. 54.1 Ma and named H-1 or Eocene Thermal Maximum 2 (ETM-2, also referred to as the Elmo event) and one



**Figure 1.** Evolution of climate, carbon cycling and planktic foraminifera across the middle Paleogene on the Geomagnetic Polarity Time Scale (GPTS) 2012 timescale. The left side shows polarity chrons and smoothed oxygen and carbon isotope records of benthic foraminifera, slightly modified from Vandenberghe et al. (2012). Oxygen and carbon isotope values come from compilations by Zachos et al. (2008) and Cramer et al. (2009). The middle of the figure indicates planktic foraminiferal biozones by Wade et al. (2011) with three modifications. The lower boundary for Zone E7a is now based on the first occurrence of *Astrorotalia palmerae* due to diachroneity in the first appearance of the previously selected marker *Acarinina cuneicamerata* (Luciani and Giusberti, 2014). The base of Zone E5, identified by the first appearance of *Morozovella aragonensis*, occurs in the middle of C24n instead of lower C23r (see text). A question marks the top of *Morozovella subbotinae* because there is diachroneity for this occurrence (see text). Right side shows a partial view of *Morozovella* and *Acarinina* evolution as envisioned by Pearson et al. (2006) and Aze et al. (2011). It does not include several “root taxa” that disappear in the earliest Eocene (e.g., *M. velascoensis*) or “excursion taxa” that appear during the Paleocene–Eocene Thermal Maximum (PETM) (e.g., *M. allisonensis*). Superimposed on these records are key intervals of climate change, including the Early Eocene Climatic Optimum (EECO), the Middle Eocene Climatic Optimum (MECO) and the three well-documented early Eocene hyperthermal events. The extent of the EECO is not precise because of stratigraphic issues (see text). Red and blue triangles: top and base of the *Morozovella* and *Acarinina* zonal markers.

at 52.8 Ma and variously named K, X or ETM-3 (hereafter called K/X). However, additional brief warming events may have spanned the early Eocene (Cramer et al., 2003; Lourens et al., 2005; Röhl et al., 2005; Thomas et al., 2006; Nicolo et al., 2007; Agnini et al., 2009; Coccioni et al., 2012; Lauretano et al., 2015; Westerhold et al., 2015; Kirtland-Turner et al., 2014), and the EECO may comprise a series of successive events (Slotnick et al., 2012). Both long-term and short-term intervals of warming corresponded to major changes in global carbon cycling, although the precise timing between these parameters remains insufficiently resolved.

In benthic foraminiferal stable-isotope records for the early Paleogene (Fig. 1),  $\delta^{18}\text{O}$  serves as a proxy for deep-water temperature, while  $\delta^{13}\text{C}$  relates to the composition of deep-water dissolved inorganic carbon (DIC). The highest  $\delta^{13}\text{C}$  values of the Cenozoic occurred at ca. 58 Ma. From this Paleocene Carbon Isotope Maximum (PCIM), benthic foraminiferal  $\delta^{13}\text{C}$  values plunge by approximately 2.5‰ to reach a near-Cenozoic minimum at or near the start of the EECO and subsequently rise by approximately 1.5‰ across this interval (Shackleton and Hall, 1984; Shackleton, 1986; Zachos et al., 2001, 2008; Cramer et al., 2009). Benthic foraminiferal  $\delta^{13}\text{C}$  records also exhibit prominent negative carbon isotope excursions (CIEs) across the three hyperthermals mentioned above (Kennett and Stott, 1991; Litalier et al., 2014; Lauretano et al., 2015). Crucially, at least from the late Paleocene to the start of the EECO, similar  $\delta^{13}\text{C}$  records occur in other carbon-bearing phases, such as bulk marine carbonate, planktic foraminifera, and various marine and terrestrial organic carbon compounds (Shackleton, 1986; Schmitz et al., 1996; Lourens et al., 2005; Nicolo et al., 2007; Agnini et al., 2009, 2016; Leon-Rodriguez and Dickens, 2010; Abels et al., 2012; Coccioni et al., 2012; Sluijs and Dickens, 2012; Slotnick et al., 2012, 2015a; Clyde et al., 2013). This strongly suggests that observed changes in  $\delta^{13}\text{C}$ , both long-term trends as well as short-term perturbations, represent variations in the input and output of  $^{13}\text{C}$ -depleted carbon to the exogenic carbon cycle (Shackleton, 1986; Dickens et al., 1995; Dickens, 2000; Kurtz et al., 2003; Komar et al., 2013).

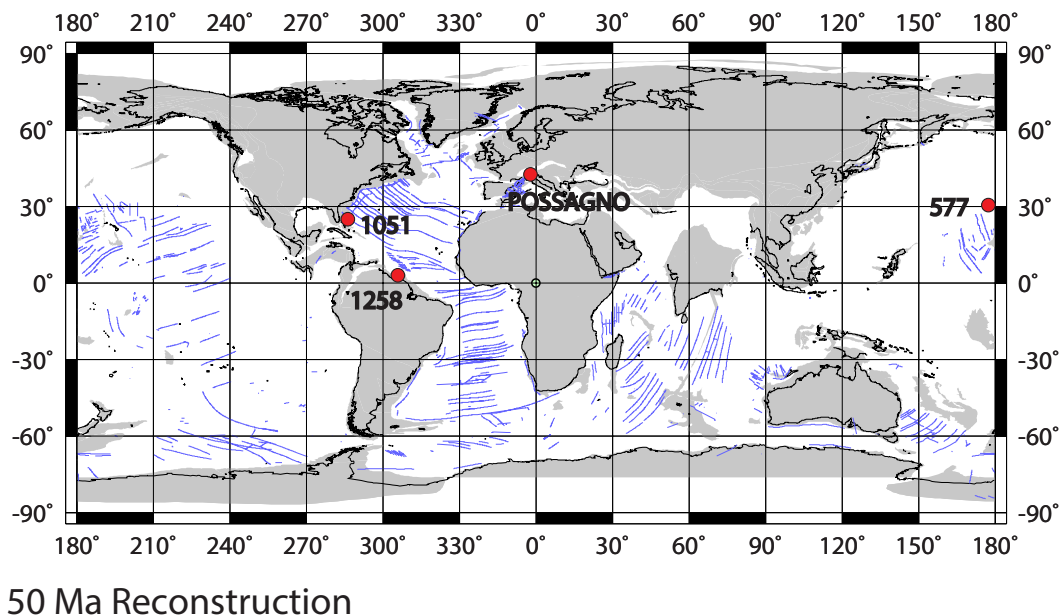
Significant biotic changes occur in terrestrial and marine environments during times when the early Paleogene  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  records show major variations. This has been recognized for the PETM, where land sections exhibit a prominent mammal turnover (Gingerich 2001, 2003; McInerney and Wing, 2011; Clyde et al., 2013) and where marine sections reveal a profound benthic foraminiferal extinction (Thomas, 1998), turnovers in calcareous nannoplankton, ostracods, corals and larger benthic foraminifera (Raffi and De Bernardi, 2008; Scheibner and Speijer, 2008; Yamaguchi and Norris, 2012; Agnini et al., 2014), and the appearance of excursion taxa in calcareous nannoplankton, dinoflagellates and planktic foraminifera (Kelly et al., 1996, 1998; Crouch et al., 2001; Sluijs et al., 2006; Self-Trail et al., 2012). Major plant and mammal turnovers also occurred on land during the

longer EECO (Wing et al., 1991; Zonneveld et al., 2000; Wilf et al., 2003; Falkowski et al., 2005; Woodburne et al., 2009; Figueirido et al., 2012). In the marine realm, evolutionary trends across the EECO have been noted, in particular the inception of modern calcareous nannofossil community structure (Agnini et al., 2006, 2014; Schneider et al., 2011; Sharnrock et al., 2012) and possibly of diatoms (Sims et al., 2006; Oreshkina, 2012). These observations, both from continents and the oceans, support an overarching hypothesis that climate change drives biotic evolution, at least in part (Ezard et al., 2011).

Planktic foraminiferal assemblages are abundant in carbonate-bearing marine sediments and display distinct evolutionary trends that can often be correlated to climate variability (Schmidt et al., 2004; Ezard et al., 2011; Fraass et al., 2015). This is especially true in the early Paleogene, even though the relationship between climate variability and planktic foraminiferal evolution remains insufficiently known. At the beginning of the Eocene, planktic foraminifera had evolved over ca. 10 Myr following the Cretaceous–Paleogene mass extinction event. Several early Paleogene phylogenetic lines evolved, occupying different ecological niches in the upper water column. Subsequently, a major diversification occurred during the early Eocene, which resulted in a peak of planktic foraminiferal diversity during the middle Eocene (Norris, 1991; Schmidt et al., 2004; Pearson et al., 2006; Aze et al., 2011; Ezard et al., 2011; Fraass et al., 2015).

In this study, we focus on the evolution of two planktic foraminiferal genera: *Morozovella* and *Acarinina* (Fig. 1). These two genera belong to the “muricate group”, a term derived from the muricae that form layered pustules on the test wall. These two genera are of particular interest because of their dominance among tropical and subtropical assemblages of the early Paleogene oceans and because these genera show a major turnover in taxonomic diversity close to the beginning of the EECO, one that comprises species reduction among *Morozovella* and species diversification among *Acarinina* (Lu and Keller, 1995; Lu et al., 1998; Pearson et al., 2006; Aze et al., 2011).

Numerous lower Eocene sedimentary sections from lower latitudes contain well-recognizable (albeit often recrystallized) planktic foraminiferal tests. Changes in foraminiferal assemblages presumably reflect relationships between climate and carbon cycling across the EECO. The present problem is that no section examined to date provides counts of foraminiferal assemblages, detailed stable-isotope records and robust planktic foraminiferal biostratigraphies across the entire EECO. Indeed, at present, only a few sites have detailed and interpretable stable-isotope records across much of the EECO (Slotnick et al., 2012, 2015a; Kirtland-Turner et al., 2014). Furthermore, the EECO lacks formal definition. As a consequence, any relationship between climatic perturbations during the EECO and the evolution of planktic foraminifera remains speculative. Here, we add new data



**Figure 2.** Approximate locations of the three sites discussed in this work during the early Eocene. Also shown is Site 1258, which has a bulk carbonate  $\delta^{13}\text{C}$  record spanning the EECO. Base map is from <http://www.odsn.de/services/paleomap.html> with paleolatitudes modified for Sites 577, 1051 and 1258 according to [www.paleolatitude.org](http://www.paleolatitude.org) model version 1.2 (Van Hinsbergen et al., 2015). Possagno paleolatitude is based on the [http://www.odsn.de/odsn/services/paleomap/adv\\_map.html](http://www.odsn.de/odsn/services/paleomap/adv_map.html) model since it is not yet available at <http://www.odsn.de/services/paleomap.html>.

from three locations: the Possagno section from the western Tethys, Deep Sea Drilling Project (DSDP) Site 577 from the tropical Pacific Ocean and Ocean Drilling Program (ODP) Site 1051 from the subtropical Atlantic Ocean (Fig. 2). These sections represent a wide longitudinal span of low-latitude locations during the early Paleogene. By comparing stable-isotope and planktic foraminiferal records at these three locations, we provide a new foundation for understanding why the abundances of *Acarinina* and *Morozovella* changed during the EECO.

## 2 The Early Eocene Climatic Optimum

Evidence for extreme Earth surface warmth during a multi-million-year time interval of the early Eocene is overwhelming and comes from many studies, utilizing both marine and terrestrial sequences and both fossil and geochemical proxies (Huber and Caballero, 2011; Hollis et al., 2012; Pross et al., 2012). However, a definition for the EECO, including the usage of “optimum”, endures as a perplexing problem. This is for several reasons, including the basic facts that (i) proxies for temperature should not be used to define a time increment, (ii) clearly correlative records across the middle of the early Eocene with a temporal resolution of less than 50 kyr remain scarce and (iii) absolute ages across the early Eocene have changed significantly (Berggren et al., 1995; Vandenberghe et al., 2012). As a consequence, various pa-

pers discussing the EECO give different ages and durations 2 to 4 Myr long sometime between circa 49 and 54 Ma (e.g., Yapp, 2004; Lowenstein and Demicco, 2006; Zachos et al., 2008; Woodburne et al., 2009; Bijl et al., 2009; Smith et al., 2010; Hollis et al., 2012; Slotnick et al., 2012; Pujalte et al., 2015).

The EECO, at least as presented in many papers, refers to the time of minimum  $\delta^{18}\text{O}$  values in “stacked” benthic foraminifera stable-isotope curves (Fig. 1). These curves were constructed by splicing together multiple  $\delta^{18}\text{O}$  records generated at individual locations onto a common age model (originally Berggren et al., 1995). However, the stacked curves (Zachos et al., 2001, 2008; Cramer et al., 2009), while they can be adjusted to different timescales, show significant variance in  $\delta^{18}\text{O}$  across the middle to late early Eocene. Some of this variance is due to imprecisely calibrated records at individual sites, where cores do not align properly in the depth domain (Dickens and Backman, 2013). Some of this variance probably reflects a dynamic early Eocene climate regime, where average temperatures and atmospheric  $p\text{CO}_2$  across Earth changed significantly, perhaps on orbital timescales (Smith et al., 2010; Slotnick et al., 2012, 2015a; Kirtland-Turner et al., 2014).

There is also the root problem as to where EECO starts and ends. At a basic level, the interval characterized by the lowest Cenozoic benthic foraminiferal  $\delta^{18}\text{O}$  values begins at a time that closely corresponds with a long-term minimum in  $\delta^{13}\text{C}$  values (Fig. 1). This is important for stratigraphic



reasons because the two stable-isotope curves were generated using the same benthic foraminiferal samples, but  $\delta^{13}\text{C}$  records at different locations should necessarily correlate in the time domain (unlike  $\delta^{18}\text{O}$  and temperature). The rationale for such a carbon isotope stratigraphy lies in the rapid cycling of carbon across Earth's surface (Shackleton, 1986; Dickens, 2000).

The Eocene minimum in  $\delta^{13}\text{C}$  corresponds to the K/X event (Fig. 1), which happened in polarity Chron C24n.1n and approximately 3 Myr after the PETM (Agnini et al., 2009; Leon-Rodriguez and Dickens, 2010; Slotnick et al., 2012; Dallanave et al., 2015; Lauretano et al., 2015; Westerhold et al., 2015). However, in several detailed studies spanning the early Eocene, changes in long-term trends appear to have occurred about 400 kyr before the K/X event and at an event called “J” (after Cramer et al., 2003), which happened near the boundary of polarity chrons C24n.2r and C24n.3n (Slotnick et al., 2015a; Lauretano et al., 2015). Notably, the long-term late-Paleocene–early Eocene decrease in detailed benthic foraminiferal  $\delta^{18}\text{O}$  records at Site 1262 on Walvis Ridge ceases at the J event (Lauretano et al., 2015).

The end of the EECO has received limited attention from a stratigraphic perspective. Indeed, the termination of the EECO may not be a recognizable global “event” because it may relate to ocean circulation and gateways expressed mostly in the Southern Ocean and deep-ocean records (Pearson et al., 2007; Bijl et al., 2013). In Paleogene continental slope sections now uplifted and exposed in the Clarence River valley, New Zealand, a major lithologic change from limestone to marl coincides with the J event (Slotnick et al., 2012, 2015a; Dallanave et al., 2015). The marl-rich unit, referred to as “Lower Marl”, has been interpreted to reflect enhanced terrigenous supply to a continental margin because of greater temperature and enhanced seasonal precipitation. It has been suggested further that Lower Marl indicates the EECO (Slotnick et al., 2012; Dallanave et al., 2015). The top of Lower Marl, and a return to limestone deposition, lies within the upper part of polarity Chron C22n (Dallanave et al., 2015). This is interesting because it approximates the time when general long-term Cenozoic cooling is initiated at several locations that have records of polarity chrons and proxies for temperature (Bijl et al., 2009; Hollis et al., 2012; Pross et al., 2012). It is also useful from a stratigraphic perspective because the end of the EECO thus lies close to a well-documented and widespread calcareous nannofossil biohorizon, the base of *Discoaster subloboensis*. This marks the base of CP10, NP12 or CNE4, depending on the chosen calcareous nannofossil zonal scheme (Okada and Bukry, 1980; Martini, 1971; Agnini et al., 2014).

Without an accepted definition in the literature, we tentatively present the EECO as the duration of time between the J event and the base of *D. subloboensis*. This interval thus begins at about 53 Ma and ends at about 49 Ma on the 2012 Geologic Time Scale (GTS; Vandenberghe et al., 2012). However, while the EECO was characterized by generally

warm conditions, numerous fluctuations in average temperature likely occurred during the 4 Myr interval.

### 3 Sites and stratigraphy

#### 3.1 Possagno, Venetian Prealps, Tethys

An Upper Cretaceous through Miocene succession crops out at the bottom of the Monte Grappa massif in the Possagno area, about 60 km northwest of Venice. The lower to middle Eocene, of primary focus in this study, is represented by the Scaglia beds. These sedimentary rocks represent pelagic and hemipelagic sediment that accumulated at middle to lower bathyal depths (Cita, 1975; Thomas, 1998) in the western part of the Belluno Basin, a Mesozoic–Cenozoic paleogeographic unit of the European Southern Alps (Bosellini, 1989). The basin very likely was an embayment connected to the western Tethys, with a paleolatitude of ca. 42° during the early Eocene (Fig. 2).

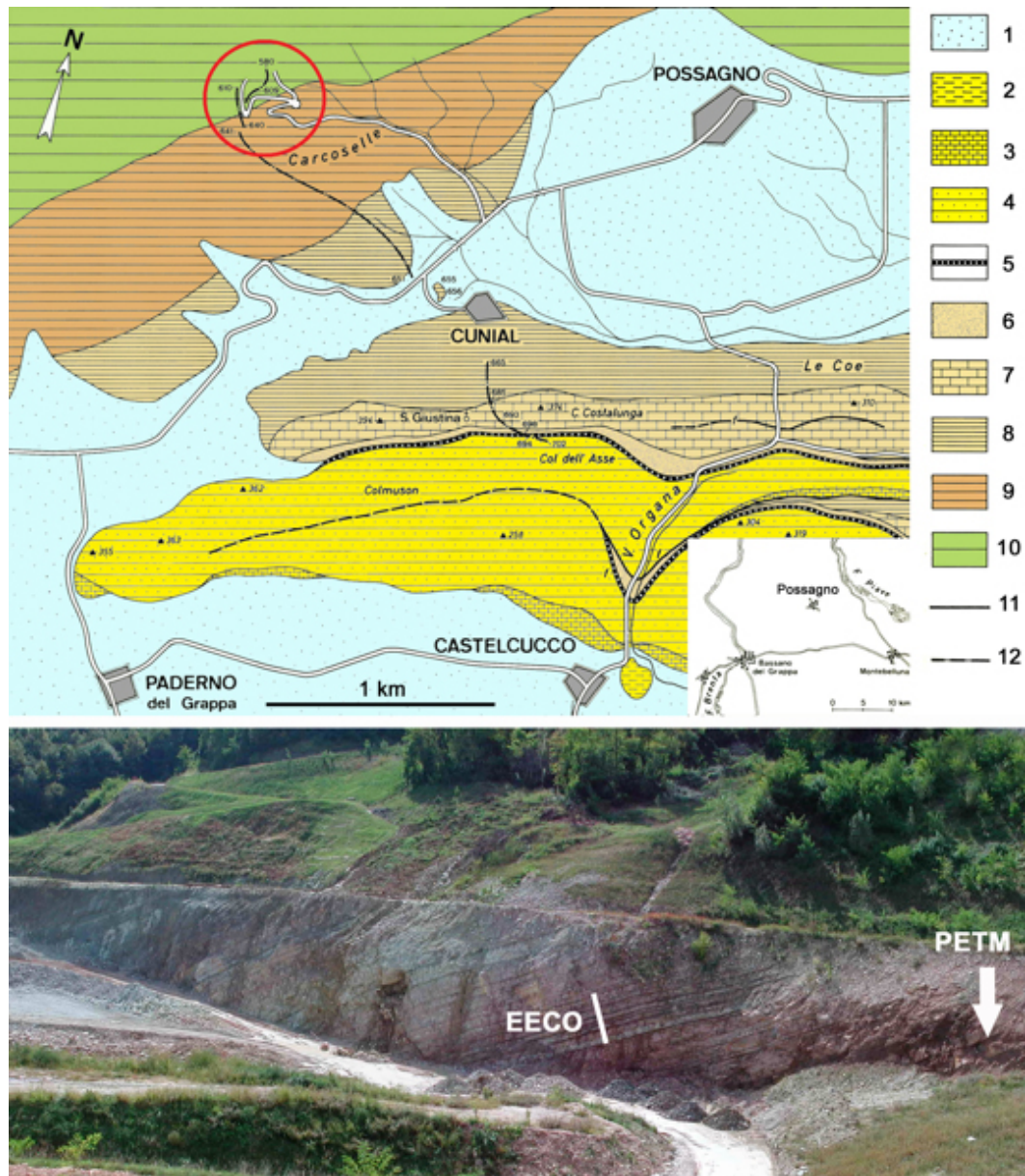
A quarry at 45°51.0' N and 11°51.6' E exposed a 66 m thick section of the Scaglia beds in 2002–2003 (Fig. 3), although it is at present largely covered and inaccessible. This section was examined for its stratigraphy (Agnini et al., 2006; Luciani and Giusberti, 2014) and shown to extend from just below the PETM to within lower Chron C20r in the lower middle Eocene. Like other lower Paleogene sections of the Venetian Prealps (Giusberti et al., 2007; Agnini et al., 2016), a clay marl unit (CMU) with a prominent negative CIE marks the PETM.

The Possagno section appears to be continuous, but with an important decrease in sedimentation rate (to below 1.4 m Myr<sup>−1</sup>) between 14.66 and 15.51 m (Agnini et al., 2006). This interval lies within Chron C23r and near the start of the EECO and predates the onset of a major increase in *Discoaster* abundance (Agnini et al., 2006).

#### 3.2 Site 577, Shatsky Rise, western Pacific

DSDP Leg 86 drilled Site 577 at 32°26.5' N, 157°43.4' E and 2680 m water depth on Shatsky Rise, a large igneous plateau in the NW Pacific with a relatively thin veneer of sediment (Shipboard Scientific Party, 1985). During the early Eocene, this site was located closer to 15° N (Fig. 2), and probably at a slightly shallower water depth (Ito and Clift, 1998).

Two primary holes were drilled at Site 577. Both Hole 577\* and Hole 577A recovered portions of a nominally 65 m thick section of Upper Cretaceous through lower Eocene nannofossil ooze. Similar to the Possagno section, the lower Paleogene interval has biomagnetostratigraphic information (Bleil, 1985; Monechi et al., 1985; Backman, 1986; Lu and Keller, 1995; Dickens and Backman, 2013). Stable-isotope records of bulk carbonate have been generated for sediment from several cores at low sample resolution (Shackleton, 1986) and for much of cores 577\*-9H and 577\*-10H at a fairly high sample resolution (Cramer et al., 2003).



**Figure 3.** The Possagno section. Upper panel: geological map (modified from Braga, 1970). 1: Quaternary deposits; 2, 3: Calcarenite di Castelluccio (Miocene); 4: glauconitic arenites (Miocene); 5: siltstones and conglomerates (upper Oligocene–lower Miocene); 6: Upper Marna di Possagno (upper Eocene); 7: Formazione di Pradelgiglio (upper Eocene); 8: Marna di Possagno (upper Eocene); 9: Scaglia Cinerea (middle–upper Eocene); 10: Scaglia Rossa (Upper Cretaceous–lower Eocene); 11: faults; 12: traces of stratigraphic sections originally studied by Bolli (1975); red circle: the Carcoselle quarry. Lower panel: the exposed quarry face during Summer 2002 (Photo by Luca Giusberti).

The composition and relative abundances of planktic foraminifera were nicely documented at Site 577 (Lu, 1995; Lu and Keller, 1995) and show a marked turnover between *Morozovella* and *Acarinina* during the early Eocene. These data, however, relied on an outdated view of the stratigraphy at this location, where cores were not originally aligned to account for gaps and overlaps (Dickens and Backman, 2013). As will become obvious later, the main phase of the EECO

spans cores 577\*-8H and 577A-8H, where detailed stable-isotope records have not been generated previously.

### 3.3 Site 1051, Blake Nose, western Atlantic

The Blake Nose is a gentle ramp extending from 1000 to 2700 m water depth east of Florida (Norris et al., 1998). The feature is known for a relatively thick sequence of middle Cretaceous through middle Eocene sediment with minimal

overburden. ODP Leg 171B drilled and cored this sequence at several locations, including Site 1051 at 30°03.2' N, 76°21.5' W and 1994 m water depth (Shipboard Scientific Party, 1998). The site was located slightly to the south during the early Eocene (Fig. 2). Benthic foraminiferal assemblages indicate a lower bathyal depth (1000–2000 m) during the late Paleocene and middle Eocene (Norris et al., 1998), although Bohaty et al. (2009) estimated a paleodepth of about 2200 m for sedimentation at ca. 50 Ma.

Sediments from 452.24 to 353.10 m below the sea floor (m.b.s.f. at Site 1051 consist of lower to middle Eocene carbonate ooze and chalk (Shipboard Scientific Party, 1998). The site comprises two holes (1051A and 1051B), with core gaps and core overlaps existing at both (Shipboard Scientific Party, 1998). However, the impact of these depth offsets upon age is less than at Site 577 because of higher overall sedimentation rates.

The Eocene section at Site 1051 has good sediment recovery, except in an interval between 382 and 390 m b.s.f., which contains significant chert. Stratigraphic markers across the Eocene interval include polarity chrons (Ogg and Bardot, 2001), calcareous nannofossil biohorizons (Mita, 2001) and planktic foraminiferal biohorizons (Norris et al., 1998; Luciani and Giusberti, 2014). As first noted by Cramer et al. (2003), though, there is a basic stratigraphic problem with the labeling of the polarity chrons. The intervals of normal polarity between approximately 388 and 395 m b.s.f. and between approximately 412 and 420 m b.s.f. were tentatively assigned to C22n and C23n, respectively (Ogg and Bardot, 2001). This age assignment was assumed to be correct by Luciani and Giusberti (2014), who therefore considered the last occurrence of *Morozovella subbotinae* as happening near the top of C23n, an assumption that was also made for the revision of Eocene foraminiferal biozones (Wade et al., 2011).

These age assignments, however, cannot be correct because calcareous nannofossil biohorizons that lie below or within C22n (top of *T. orthostylus*, top of *Toweius*, base of *D. subbloensis*) occur above 388 m b.s.f. (Mita, 2001). Instead, there must be a significant hiatus or condensed interval at the chert horizon, and the intervals of normal polarity noted above are C23n and C24n.1n.

## 4 Methods

### 4.1 Samples for isotopes and foraminifera

The three sites provide a good stratigraphic background and the key existing data for understanding the temporal link between the EECO, carbon isotope perturbations and planktic foraminiferal evolution. Our analytical aim was to obtain comparable data sets across the sites. More specifically, a need existed to generate stable-isotope and planktic foraminiferal assemblage records at the Possagno section, to generate stable-isotope records at DSDP Site 577, and to gen-

erate planktic foraminiferal assemblage records at ODP Site 1051.

In total, 298 samples were collected from the originally exposed Possagno section in 2002–2003 for isotope analyses. The sampling interval was 2 to 5 cm for the basal 0.7 m and varied between 20 and 50 cm for the interval between 0.7 and 66 m. Bulk sediment samples were previously examined for their calcareous nannofossil assemblages (Agnini et al., 2006). One hundred and ten of these samples were selected for the foraminiferal study.

Aliquots of the 110 samples were weighed and then washed to obtain foraminifera using two standard procedures, depending on lithology. For the indurated marly limestones and limestones, the cold-acetolysis technique was used (Lirer, 2000; Luciani and Giusberti, 2014). This method disaggregates strongly lithified samples, in which foraminifera can otherwise only be analyzed in thin sections (Fornaciari et al., 2007; Luciani et al., 2007). For the marls, samples were disaggregated using 30 % hydrogen peroxide and subsequently washed and sieved at 63 µm. In most cases, gentle ultrasonic treatment (e.g., low-frequency at 40 kHz for 30–60 s) improved the cleaning of the tests.

Relative abundance data of planktic foraminiferal samples were generated for 65 samples at Site 577 (Lu, 1995; Lu and Keller, 1995). We collected new samples for stable-isotope measurements that span their previous effort.

Fifty samples of Eocene sediment were obtained from Hole 1051A between 452 to 353 m b.s.f. Sample spacing varied from 2.0 to 0.5 m. As the samples are ooze and chalk, they were prepared using disaggregation using distilled water and washing over 38 and 63 µm sieves. Washed residues were dried at < 50 °C.

### 4.2 Stable Isotopes

Carbon and oxygen stable-isotope data of bulk sediment samples from the Possagno section and Site 577 were analyzed using a Finnigan MAT 252 mass spectrometer equipped with a Kiel device at Stockholm University. Precision is within  $\pm 0.06\text{‰}$  for carbon isotopes and within  $\pm 0.07\text{‰}$  for oxygen isotopes. Stable-isotope values were calibrated to the Vienna Pee Dee Belemnite standard (VPDB) and converted to conventional delta notation ( $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$ ).

### 4.3 Foraminiferal analyses

The mass percent of the > 63 µm size fraction relative to the mass of the bulk sample, typically 100 g sample<sup>-1</sup>, was calculated for the 110 Possagno samples. This is referred to as the weight percent coarse fraction (CF), following many previous works. Due to the consistent occurrence of radiolarians at Site 1051, the CF cannot give information on foraminiferal productivity.



Relative abundances for both Possagno and Site 1051 have been determined from about 300 complete specimens extracted from each of the 110 samples investigated in the > 63 µm size fraction from random splits.

The degree of dissolution, expressed as the fragmentation index (*F*-index) was evaluated according to Petrizzo et al. (2008) on ca. 300 elements by counting planktic foraminiferal fragments or partially dissolved tests versus complete tests. These data are expressed in percentages. Fragmented foraminifera include specimens showing missing chambers and substantial breakage. The taxonomic criteria for identifying planktic foraminifera follow the work by Pearson et al. (2006).

## 5 Results

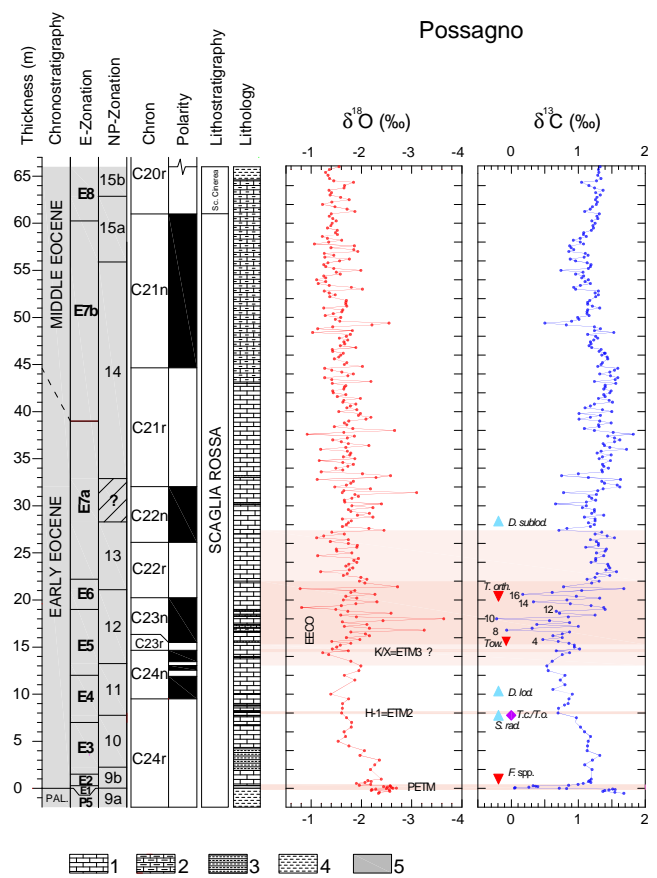
### 5.1 Carbon isotopes

#### 5.1.1 Possagno

Carbon isotopes of bulk carbonate at Possagno vary between +1.8 and −0.3 ‰ (Fig. 4, Supplement Table S1). Overall,  $\delta^{13}\text{C}$  decreases from 1.8 ‰ at the base of the section to about 0.6 ‰ at 14 m. Generally, values then increase to 1.5 ‰ at 24 m and remain between 1.5 and 0.8 ‰ for the remainder of the studied interval.

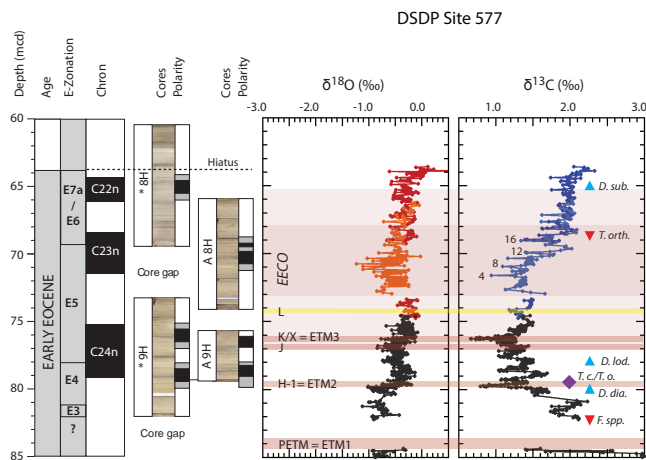
Superimposed on these trends are a series of negative CIEs. The most prominent of these (~1.5 ‰) occurs at the 0 m level, and marks the PETM (Agnini et al., 2009). However, other negative CIEs lie above this marker and within the lowermost 21.4 m, although some are only defined by one data point (Fig. 4, Table S1). The lower two, at ~8 and ~12.5 m, probably represent the H-1/ETM-2 and J event, respectively, as they lie at the appropriate stratigraphic horizons in relation to polarity chrons. The K/X event may lie at 14.8 m, although this height marks the start of the condensed interval.

The complex interval between 15.5 and 24 m broadly corresponds to all of Chron C23n and the bottom half of Chron C22r. A series of CIEs occur in that interval on the order of 1.4 ‰, superimposed on a background trend of increasing  $\delta^{13}\text{C}$  values (about 0.7 ‰). We tentatively label these CIEs with even numbers for internal stratigraphic purposes (Fig. 4), as will become obvious below; their magnitudes range between 0.9 and 0.3 ‰ (Table S1). However, the sample spacing through this interval varies from 20 to 50 cm. The precise magnitudes and positions certainly could change with higher sample resolution, given the estimated compacted sedimentation rate of ~0.5 cm kyr<sup>−1</sup> for this part of the section (Agnini et al., 2006).



**Figure 4.** Lithology, stratigraphy and bulk sediment stable-isotope composition of the Possagno section aligned according to depth. Lithologic key: 1 – limestone; 2 – marly limestone and calcareous marl; 3 – cyclical marl–limestone alternations; 4 – marl; 5 – clay marl unit (CMU). Planktic foraminiferal biozones follow those of Wade et al. (2011), as modified by Luciani and Giusberti (2014). Magnetostratigraphy and key calcareous nannofossil events come from Agnini et al. (2006); NP-zonation is from Martini (1971). Nannofossil events are shown as red triangles (tops), blue triangles (bases) and purple diamonds (evolutionary crossovers); *S. rad.*: *Sphenolithus radians*; *T.c./T.o.*: *Tribrachiatulus contortus/Tribrachiatulus orthostylus*; *D. lod.*: *Discoaster lodoensis*; *Tow.*: *Toweius*; *T. orth.*: *Tribrachiatulus orthostylus*; *D. sublod.*: *Discoaster sublodoensis*. Stable-isotope records determined in this study. Established early Eocene “events” are superimposed in light red; suggested carbon isotope excursions (CIEs) within the EECO are shown with numbers.

Above Chron C22r, the Possagno  $\delta^{13}\text{C}$  record contains additional minor CIEs (Fig. 4). The most prominent of these CIEs, at least relative to baseline values (~1.2 ‰), occurs within Chron C21n. More important to understanding the EECO, a ~0.6 ‰ CIE nearly coincides with the base of *D. sublodoensis* within the lower part of Chron C22n.



**Figure 5.** Cores, stratigraphy and bulk sediment stable-isotope composition for the early Eocene interval at Deep Sea Drilling Project (DSDP) Site 577 aligned according to composite depth (Dickens and Backman, 2013). Note the increased length for the gap between Core 577\*-8H and Core 577\*-9H (see text). The Wade et al. (2011) E-zonation, partly modified by Luciani and Giusberti (2014), has been applied to Site 577, given assemblages presented by Lu (1995) and Lu and Keller (1995). Note that (i) the base of Zone E3 (top of *Morozovella velascoensis*) lies within a core gap; (ii) the E4–E5 zonal boundary (base of *M. aragonensis*) occurs within C24n, in agreement with Luciani and Giusberti (2014); (iii) the E5–E6 zonal boundary is problematic because the top of *M. subbotinae* occurs in the middle of C24n, much earlier than the presumed disappearance in the upper part of C23n (Wade et al., 2011), and we have therefore positioned the E5–E6 boundary at the lowest occurrence of *Acarinina aspensis*, according to the original definition of Zone E5 (Berggren and Pearson, 2005); and (iv) we cannot differentiate between Zone E6 and Zone E7a due to the absence of *Astrorotalia palmerae* and to the diachronous appearance of *A. cuneicamerata* (Luciani and Giusberti, 2014). Magnetostratigraphy and key calcareous nannofossil events are those summarized by Dickens and Backman (2013). These events noted in Fig. 4 are abbreviated as follows here and in the following figures: *F. spp.* – *Fasciculithus spp.*; *D. dia.* – *Discoaster diastypus*. Stable-isotope records: black – Cramer et al. (2003); red and blue – this study. Early Eocene events are the same as those in Fig. 4.

### 5.1.2 DSDP Site 577

The  $\delta^{13}\text{C}$  record of bulk carbonate at DSDP Site 577 from just below the PETM through Chron C22n ranges between 2.3 and 0.6 ‰ (Fig. 5; Table S2). Overall,  $\delta^{13}\text{C}$  decreases from 1.4 ‰ at 84.5 meters composite depth (mcd) to about 0.6 ‰ at ~76 mcd. Values then generally increase to 2.1 ‰ at ~68 mcd and remain between 2.3 and 1.6 ‰ for the rest of the studied interval. Thus, the ranges and general trends in  $\delta^{13}\text{C}$  for the two sections are similar but skewed at DSDP Site 577 relative to Possagno by about +0.6 ‰.

Like at Possagno, the early Eocene  $\delta^{13}\text{C}$  record at DSDP Site 577 exhibits a series of CIEs (Fig. 5). The portion of this record from the PETM through the K/X event has been docu-

mented and discussed elsewhere (Cramer et al., 2003; Dickens and Backman, 2013). The new portion of this record, from above the K/X event through Chron C22n, spans the remainder of the EECO. Within this interval, where background  $\delta^{13}\text{C}$  values rise by ~1.5 ‰, there again occurs a series of minor CIEs with magnitudes between 0.3 and 0.5 ‰ (Table S2). Here, however, multiple data points define most of the CIEs. We again give these an internal numerical labeling scheme. A ~0.4 ‰ CIE also nearly coincides with the base of *D. subloensis* within the lower part of C22n.

## 5.2 Oxygen isotopes

### 5.2.1 Possagno

Oxygen isotopes of bulk carbonate at Possagno range between −3.3 and 0.8 ‰, with a mean value of −1.7 ‰ (Fig. 4, Table S1). In general, considerable scatter exists across the data set with respect to depth, as adjacent samples often display a difference in  $\delta^{18}\text{O}$  that exceeds 0.5 ‰. Nonetheless, some of the more prominent lows in  $\delta^{18}\text{O}$  show a clear correspondence with negative  $\delta^{13}\text{C}$  values (CIEs) and vice versa. This correspondence occurs across the PETM and other known hyperthermals, as well as within and after the EECO. Indeed, the main phase of the EECO corresponds to an interval with the lowest  $\delta^{18}\text{O}$  values.

### 5.2.2 DSDP Site 577

The  $\delta^{18}\text{O}$  record at Site 577 noticeably deviates from that at Possagno (Fig. 5, Table S2). This is because values range between −1.1 ‰ and 0.2, with an average value of −0.4 ‰. Thus, relative to Possagno, the record at Site 577 has less scatter and an overall shift of about −1.3 ‰. There is again a modest correlation between decreases in  $\delta^{18}\text{O}$  and negative  $\delta^{13}\text{C}$  values, as well as a general low in  $\delta^{18}\text{O}$  across the main phase of the EECO.

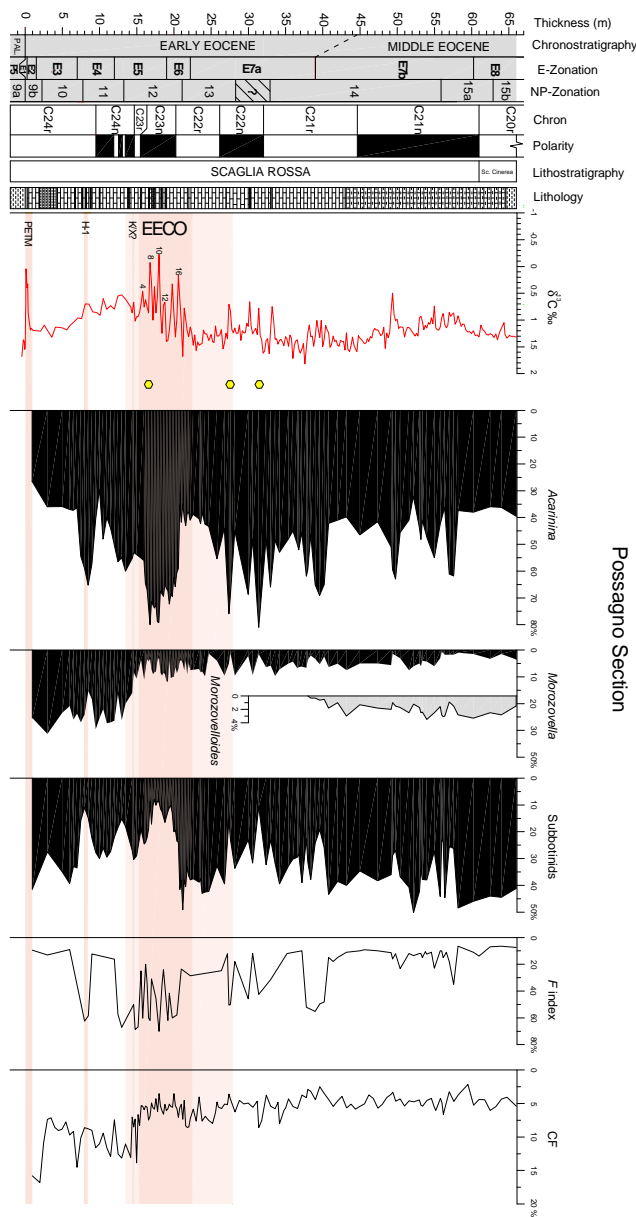
## 5.3 Coarse fraction

The coarse fraction of samples from Possagno shows two distinct trends (Fig. 6, Table S3). Before the EECO, values are  $10.4\% \pm 2.67\%$ . However, from the base of the EECO and up through the section, values decrease to  $5.3\% \pm 1.3\%$ .

## 5.4 Foraminiferal preservation and fragmentation

Planktic foraminifera are consistently present and diverse throughout the studied intervals at Possagno and at ODP Site 1051. Preservation of the tests at Possagno varies from moderate to fairly good (Luciani and Giusberti, 2014). However, planktic foraminiferal tests at Possagno are recrystallized and essentially totally filled with calcite. Planktic foraminifera from samples at Site 1051 are readily recognizable throughout the studied interval. Planktic foraminifera from Site 577, at least as illustrated by published plates (Lu and Keller,





**Figure 6.** The Possagno section and its  $\delta^{13}\text{C}$  record (Fig. 4) with measured relative abundances of primary planktic foraminiferal genera, fragmentation index ( $F$ -index) and coarse fraction (CF). The subbotinid abundance includes both *Subbotina* and *Parasubbotina* genera. Note that a significant increase in *Acarinina* abundance marks the EECO and several carbon isotope excursions (CIEs). Note also the major decline in abundance of *Morozovella* at the start of the EECO. Filled yellow hexagons show occurrences of abundant radiolarians. Lithological symbols and early Eocene events are the same as those in Fig. 4.

1995), show a very good state of preservation (albeit possibly recrystallized).

The  $F$ -index record at Possagno (Fig. 6, Table S3) displays large-amplitude variations throughout the investigated inter-

val. The highest values, up to 70 %, were observed between 16 and 22 m. In general, highs in  $F$ -index values correspond to lows in the  $\delta^{13}\text{C}$  record.

The  $F$ -index record at Site 1051 (Fig. 8, Table S4) shows less variability compared to that at Possagno, although some of this may reflect the difference in the number of samples examined at the two locations. A maximum value of 60 % is found in Zone E5, just below an interval of uncertain magnetostratigraphy (Norris et al., 1998) but corresponding to the J event (Cramer et al., 2003). Relatively high  $F$ -index values, around 50 %, also occur in several samples below this horizon. The interval across the EECO generally displays low  $F$ -index values (< 20 %).

## 5.5 Planktic foraminiferal quantitative analysis

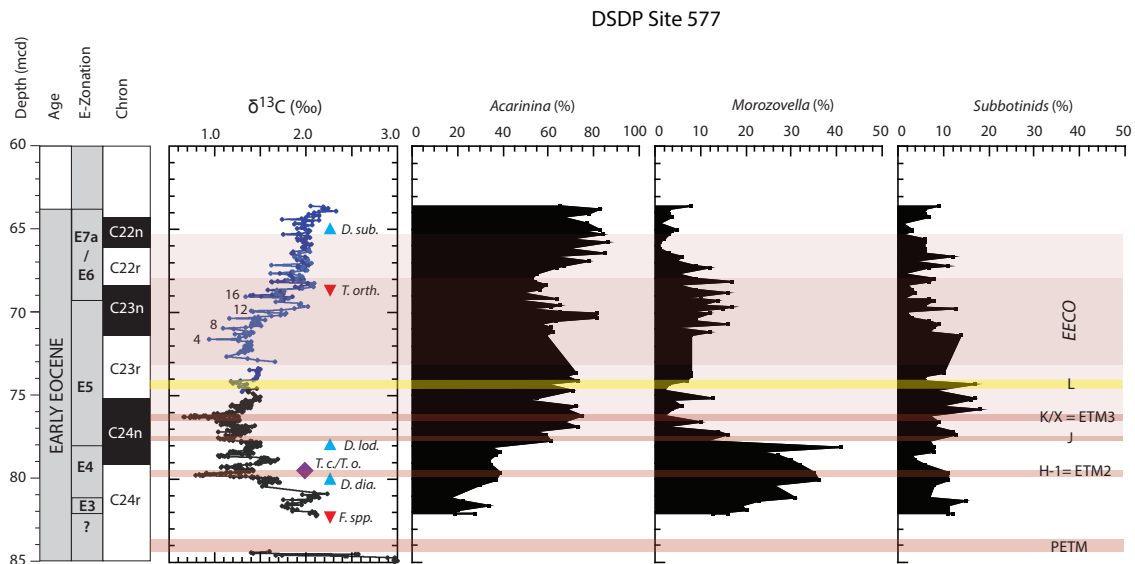
### 5.5.1 Possagno

Planktic foraminiferal assemblages at Possagno show significant changes across the early to early middle Eocene (Fig. 6, Table S3). Throughout the entire section, the mean relative abundance of *Acarinina* is about 46 % of the total assemblage. However, members of this genus exhibit peak abundances of 60–80 % of the total assemblage across several intervals, often corresponding to CIEs. Particularly prominent is the broad abundance peak of *Acarinina* coincident with the main phase of the EECO.

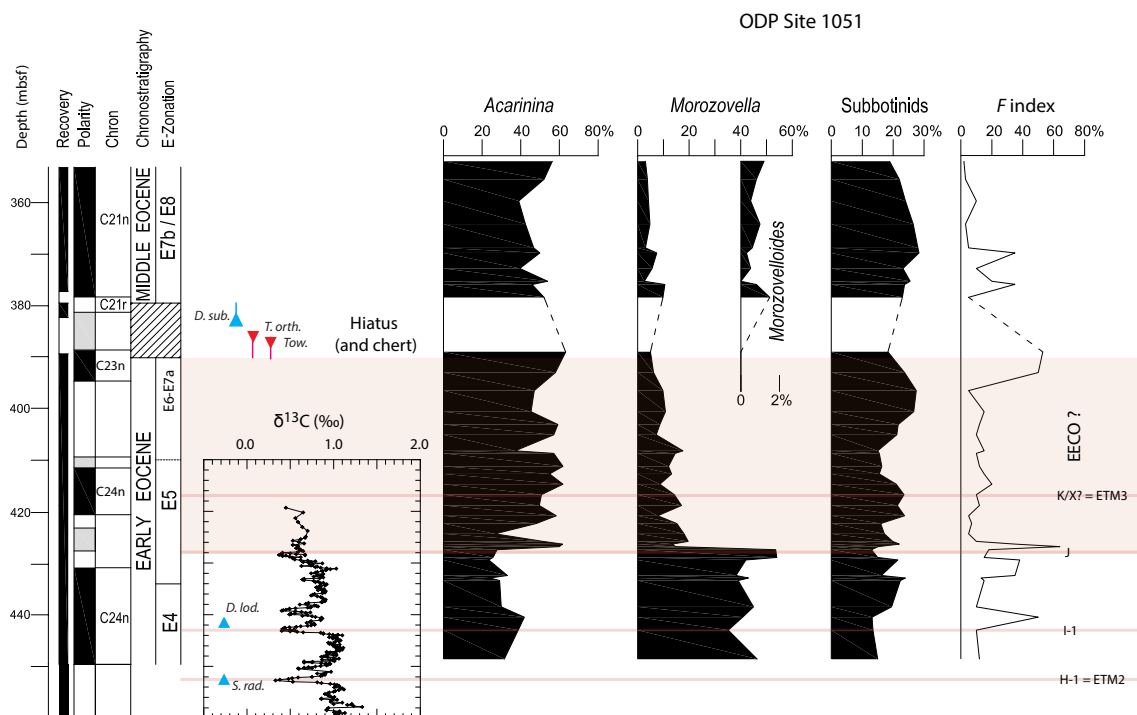
The increases in *Acarinina* relative abundance typically are counterbalanced by transient decreases in subbotinids (which include both *Subbotina* and *Parasubbotina* genera; Fig. 6). This group also shows a general increase throughout the section. Below the EECO the relative abundances of subbotinids average  $\sim 24$  %. Above the EECO, this average rises to  $\sim 36$  %.

The trends in *Acarinina* and subbotinids contrast with that in *Morozovella* (Fig. 6), which exhibits a major and permanent decline within Zone E5. This group collapses from mean abundances  $\sim 24$  % in the 0–15 m interval to < 6 % above 15 m. Qualitative examination of species shows that, in the lower part of Zone E5, where relatively high *Morozovella* abundances are recorded, there is no dominance of any species. *M. marginodentata*, *M. subbotinae* and *M. lensiformis* are each relatively common, and *M. aequa*, *M. aragonensis*, *M. formosa* and *M. crater* are each less common. By contrast, in the upper part of Zone E5, where low abundances of *Morozovella* occur, *M. aragonensis*, *M. formosa*, *M. crater* and *M. caucasica* are the most common species. The general decrease in *Morozovella* abundances appears unrelated to the disappearance of a single, dominant species.

At Possagno, *Morozovella* never recover to their pre-EECO abundances. This is true even if one includes the morphologically and ecologically comparable genus *Morozovelloides* (Pearson et al., 2006), which first appears in samples above 36 m.



**Figure 7.** The early Eocene succession at DSDP Site 577 and its  $\delta^{13}\text{C}$  record (Fig. 5) with relative abundances of primary planktic foraminiferal genera (Lu, 1995; Lu and Keller, 1995). Note that the major switch in *Morozovella* and *Acarinina* abundances approximately coincides with the J event, the top of polarity Chron C24n and the start of the EECO. Calcareous nannofossil horizons are the same as in previous figures. Early Eocene events are the same as those in Fig. 4.



**Figure 8.** Stratigraphy, bulk sediment  $\delta^{13}\text{C}$  composition, relative abundances of primary planktic foraminiferal genera and fragmentation index (*F*-index) for the early Eocene interval at ODP Site 1051. Planktic foraminiferal biozones follow those of Wade et al. (2011), as modified by Luciani and Giusberti (2014; see Fig. 1 caption). Magnetostratigraphy and positions of key calcareous nannofossil events come from Ogg and Bardot (2001) and Mita (2001), but with an important modification to polarity chron labeling (see text and Cramer et al., 2003). Calcareous nannofossil horizons are the same as in previous figures. Foraminiferal information comes from this study; subbotinids include both *Subbotina* and *Parasubbotina*. Early Eocene events are the same as those in Fig. 4.

Other planktic foraminiferal genera are always less than 15 % of the total assemblages throughout the studied interval at Possagno (Supplement Fig. S1, Table S3).

### 5.5.2 ODP Site 577

Samples from Site 577 were disaggregated in water and washed through a > 63 sieve (Lu, 1995; Lu and Keller, 1995). Lu and Keller determined relative abundances of planktic foraminifera from random splits of about 300 specimens (Lu, 1995; Lu and Keller, 1995). The resulting data are shown in Fig. 7, placed onto the composite depth scale of Dickens and Backman (2013). Major changes in planktic foraminiferal assemblages are comparable to those recorded at Possagno. Indeed, such changes include a distinct decrease in *Morozovella* within Zone E5. The decrease at Site 577 is from mean values of 26.6 to 6.7 % (Table S4). This marked drop occurs at ca. 78 mcd, close to the J event and at the start of the EECO. Like at Possagno, *Morozovella* never recover to their pre-EECO abundances.

The *Morozovella* decrease is counterbalanced by the trend in *Acarinina* abundances that increase from mean values of 30.4 to 64.8 %, corresponding to the level of the *Morozovella* collapse. Subbotinids fluctuate in abundance throughout the interval investigated from 1 to 18 %, with a mean value of ca. 8 %.

### 5.5.3 ODP Site 1051

Planktic foraminifera show distinct changes in abundance at Site 1051 (Fig. 8, Table S5). The changes in the main taxa are similar to the variations observed at Possagno. The genus *Acarinina* displays an increase in mean relative abundance from 35 % (base to ca. 450 m b.s.f.) to around 50 % (ca. 430 m b.s.f.), with maximum values of about 60 %. The relatively low resolution used here does not permit comparison to the early Eocene CIEs at Site 1051 (Cramer et al., 2003) or determining how the relative abundance of planktic foraminiferal genera varies with respect to CIEs.

The abundance of subbotinids shows small variations around mean values of 20 % at Site 1051. Like at Possagno, samples from Site 1051 also record a slight increase in abundance toward the end of the EECO and above.

The major change in planktic foraminiferal assemblages at Site 1051 includes a distinct decrease in *Morozovella*, from mean values around 40 to 10 % in the middle part of Zone E5 (Fig. 7). Similar to Possagno, the lower part of Zone E5 with the higher percentages of *Morozovella* does not record the dominance of selected species, but at Site 1051 *M. aragonensis* and *M. formosa* as well as *M. subbotinae* are relatively common, whereas *M. marginodentata* is less frequent. Within the interval of low *Morozovella* abundances, *M. aragonensis* and *M. formosa* are the most common taxa. The general decline in *Morozovella* does not therefore ap-

pear to be related, neither at Possagno nor at Site 1051, to the extinction or local disappearance of a dominant species.

## 6 Discussion

### 6.1 Dissolution, recrystallization and bulk carbonate stable isotopes

The bulk carbonate stable-isotope records within the lower Paleogene sections at Possagno and at Site 577 need some reflection, considering how such records are produced and modified in much younger strata dominated by pelagic carbonate. In open-ocean environments, carbonate preserved on the seafloor principally consists of calcareous tests of nannoplankton (coccolithophores) and planktic foraminifera (Bramlette and Riedel, 1954; Berger, 1967; Vincent and Berger, 1981). However, the total amount of carbonate and its microfossil composition can vary considerably across locations because of differences in deep-water chemistry and in test properties (e.g., ratio of surface area to volume, mineralogical composition). For regions at mid- to low latitudes, a reasonable representation of carbonate components produced in the surface water accumulates on the seafloor at modest (< 2000 m) water depth. By contrast, microfossil assemblages become heavily modified in deeper water because of increasingly significant carbonate dissolution (Berger, 1967). Such dissolution preferentially affects certain tests, such as thin-walled, highly porous planktic foraminifera (Berger, 1970; Bé et al., 1975; Thunell and Honjo, 1981).

The stable-isotope composition of modern bulk carbonate ooze reflects the mixture of its carbonate components, which mostly record water temperature and the composition of dissolved inorganic carbon (DIC) within the mixed layer (< 100 m water depth). The stable-isotope records are imperfect, though, because of varying proportions of carbonate constituents and “vital effects”, which impact stable-isotope fractionation for each component (Anderson and Cole, 1975; Reghellin et al., 2015). Nonetheless, the stable-isotope composition of bulk carbonate ooze on the seafloor can be related to overlying temperature and chemistry of surface water (Anderson and Cole, 1975; Reghellin et al., 2015).

Major modification of carbonate ooze occurs during sediment burial. This is because, with compaction and increasing pressure, carbonate tests begin to dissolve and recrystallize (Schlanger and Douglas, 1974; Borre and Fabricus, 1998). Typically within several hundred meters of the seafloor, carbonate ooze becomes chalk and, with further burial, limestone (Schlanger and Douglas, 1974; Kroenke et al., 1991; Borre and Fabricus, 1998). Carbonate recrystallization appears to be a local and nearly closed-system process, such that mass transfer occurs over short distances (i.e., less than a few meters) (Schlanger and Douglas, 1974; Matter et al., 1975; Arthur et al., 1984; Kroenke et al., 1991; Borre and Fabricus, 1998; Frank et al., 1999).

In pelagic sequences with appreciable carbonate content and low organic carbon content, bulk carbonate  $\delta^{13}\text{C}$  records typically give information of paleoceanographic significance (Scholle and Arthur, 1980; Frank et al., 1999). Even when transformed to indurated limestone, the  $\delta^{13}\text{C}$  value for a given sample should be similar to that originally deposited on the seafloor. This is because, for such sediments, almost all carbon in small volumes exists as carbonate. Bulk carbonate  $\delta^{18}\text{O}$  records are a different matter, especially in indurated marly limestones and limestones (Marshall, 1992; Schrag et al., 1995; Frank et al., 1999). This is because pore water dominates the total amount of oxygen within an initial parcel of sediment, and oxygen isotope fractionation depends strongly on temperature. Thus, during dissolution and recrystallization of carbonate, a significant exchange of oxygen isotopes occurs. At first, carbonate begins to preferentially acquire  $^{18}\text{O}$  because shallowly buried sediment generally has lower temperatures than surface water. However, with increasing burial depth along a geothermal gradient, carbonate begins to preferentially acquire  $^{16}\text{O}$  (Schrag et al., 1995; Frank et al., 1999).

## 6.2 Carbon isotope stratigraphy through the EECO

Stratigraphic issues complicate direct comparison of various records from Possagno and Site 577. The two sections have somewhat similar multi-million-year sedimentation rates across the early Eocene. However, the section at Possagno contains the condensed interval, where much of C23r spans a very short distance (Agnini et al., 2006), and the section at Site 577 has a series of core gaps and core overlaps (Dickens and Backman, 2013).

An immediate issue to amend is the alignment of cores 8H and 9H in Hole 577\* and Core 8H in Hole 577A (Fig. 5). On the basis of Gamma Ray Porosity Evaluator (GRAPE) density records for these cores, Dickens and Backman (2013) initially suggested a 2.6 m core gap between cores 8H\* and 9H\*. However, a 3.5 m core gap also conforms to all available stratigraphic information. The newly generated  $\delta^{13}\text{C}$  (and  $\delta^{18}\text{O}$ ) records across these three cores show the latter to be correct.

Once sedimentation rate differences at Possagno are recognized and coring problems at Site 577 are rectified, early Eocene  $\delta^{13}\text{C}$  records at both locations display similar trends and deviations in relation to polarity chrons and key microfossil events (Figs. 4, 5). Moreover, the  $\delta^{13}\text{C}$  variations seemingly can be correlated in time to those found in bulk carbonate  $\delta^{13}\text{C}$  records at other locations, including Site 1051 (Fig. 8) and Site 1258 (Fig. 9). As noted previously, such correlation occurs because the bulk carbonate  $\delta^{13}\text{C}$  signals reflect past global changes in the composition of surface water DIC, even after carbonate recrystallization.

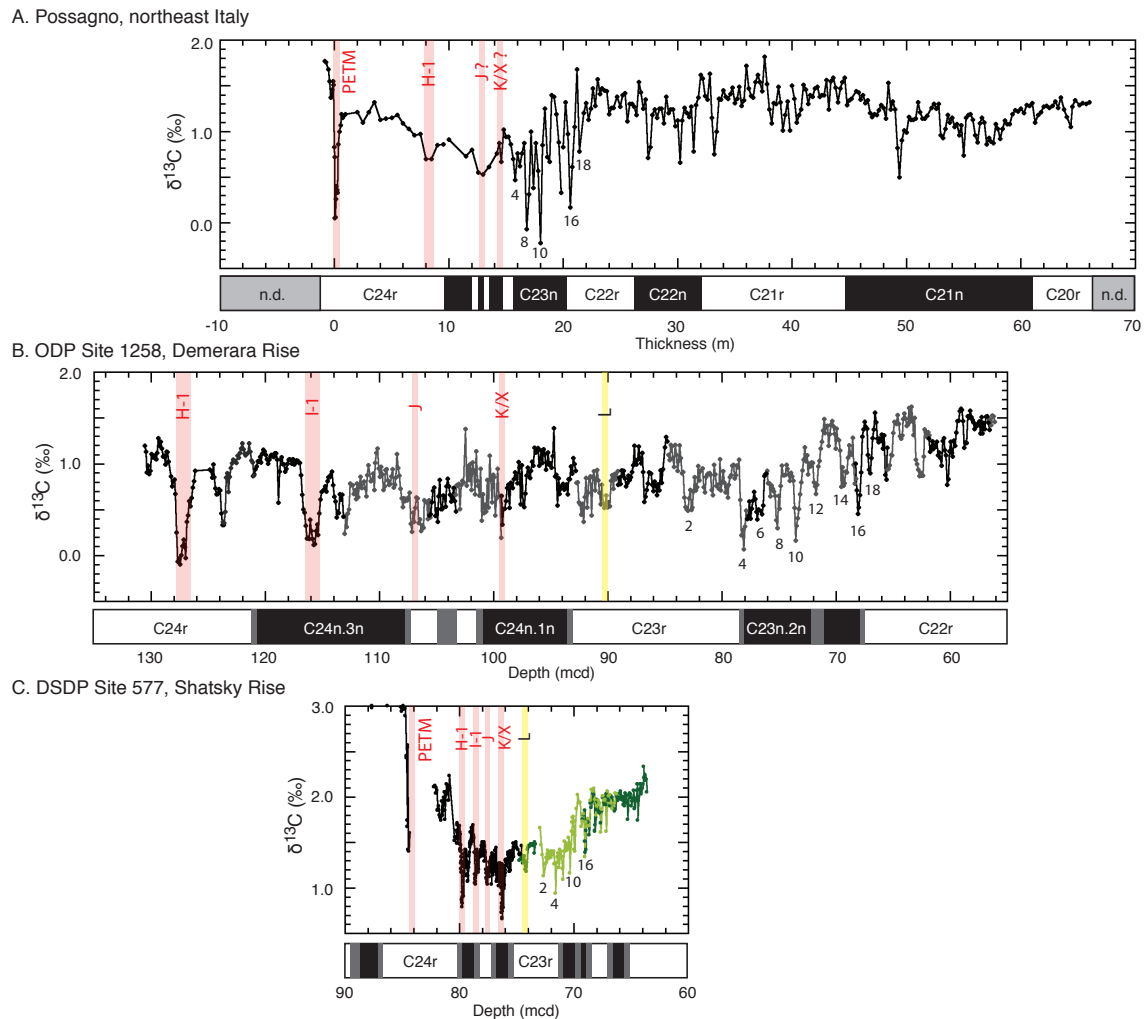
For the latest Paleocene and earliest Eocene, nominally the time spanning the period from the base of C24r through the middle of C24n, detailed stable carbon isotope records have

been generated at more than a dozen locations across the globe (Cramer et al., 2003; Agnini et al., 2009, 2016; Galeotti et al., 2010; Zachos et al., 2010; Slotnick et al., 2012; Littler et al., 2014). These records can be described consistently as a long-term drop in  $\delta^{13}\text{C}$  superimposed with a specific sequence of prominent CIEs that include those corresponding to the PETM, H-1 and J events. In continuous sections with good magnetostratigraphy and biostratigraphy, there is no ambiguity in the assignment of CIEs (Zachos et al., 2010; Littler et al., 2014; Slotnick et al., 2012, 2015a; Lauretano et al., 2015). This “ $\delta^{13}\text{C}$  template” can be found at the Possagno section and at Site 577 (Fig. 9); it is found at Site 1051 for the depth interval where carbon isotopes have been determined (Fig. 8).

For the period after the J event and across the EECO, very few detailed  $\delta^{13}\text{C}$  records have been published (Slotnick et al., 2012, 2015a; Kirtland-Turner et al., 2014). Moreover, the available records are not entirely consistent. For example, the K/X event in Clarence River valley sections manifests as a prominent CIE within a series of smaller  $\delta^{13}\text{C}$  excursions (Slotnick et al., 2012, 2015a), whereas the event has limited expression in the  $\delta^{13}\text{C}$  record at Site 1258 (Kirtland-Turner et al., 2014; Fig. 9).

The new records from Possagno and Site 577 emphasize an important finding regarding bulk carbonate  $\delta^{13}\text{C}$  records across the EECO. Between the middle of C24n and the upper part of C23r, there appears to be a sequence of low-amplitude, low-frequency CIEs. (Note that this portion of the record is missing at Possagno because of the condensed interval; Fig. 9.) However, near the C23r–C23n boundary, a long-term rise in  $\delta^{13}\text{C}$  begins but with a series of relatively high-amplitude, high-frequency CIEs (Kirtland-Turner et al., 2014; Slotnick et al., 2014). The number, relative magnitude and precise timing of CIEs within this interval remain uncertain. For example, the CIE labeled “4” appears to occur near the top of C23r at Site 577 but near the bottom of C23n.2n at Site 1258 and at Possagno. Additional  $\delta^{13}\text{C}$  records across this interval are needed to resolve the correct sequence of CIEs and to derive an internally consistent labeling scheme for these perturbations. It is also not clear which of these CIEs during the main phase of the EECO specifically relate to significant increases in temperature, as is clear for the “hyperthermals” in the earliest Eocene. Nonetheless, numerous CIEs, as well as an apparent change in the mode of these events, characterize the EECO (Kirtland-Turner et al., 2014; Slotnick et al., 2014).

The causes of  $\delta^{13}\text{C}$  changes during the early Paleogene lie at the heart of considerable research and debate (Dickens et al., 1995, 1997; Zeebe et al., 2009; Dickens, 2011; Lunt et al., 2011; Sexton et al., 2011; DeConto et al., 2012; Lee et al., 2013; Kirtland-Turner et al., 2014). Much of the discussion has revolved around three questions. (1) What are the sources of  $^{13}\text{C}$ -depleted carbon that led to prominent CIEs, especially during the PETM? (2) Does the relative importance of different carbon sources vary through-



**Figure 9.** Carbon isotope and paleomagnetic records across the early Eocene for the Possagno section, DSDP Site 577 and ODP Site 1258 (Kirtland-Turner et al., 2014). This highlights the overall framework of carbon cycling in the early Eocene but also stratigraphic problems across the EECO at each of the three sites. At Possagno, the coarse resolution of  $\delta^{13}\text{C}$  records and the condensed interval make correlations difficult. At ODP Site 1258 the prominent K/X event seems missing. At DSDP Site 577, the entire record is compressed in the depth domain. Nonetheless, a major shift in frequency and amplitude of carbon isotope excursions (CIEs) appears to have happened during the EECO. CIEs that may correlate within the EECO are shown with numbers.

out this time interval? (3) Are the geologically brief CIEs related to the longer secular changes in  $\delta^{13}\text{C}$ ? One may see in several papers, a convergence of thought on how carbon cycled across Earth's surface during the early Paleogene, at least between the late Paleocene and the K/X event (Cramer et al., 2003; Lourens et al., 2005; Galeotti et al., 2010; Hyland et al., 2013; Zachos et al., 2010; Lunt et al., 2011; Littler et al., 2014; Lauretano et al., 2015; Westerhold et al., 2015). Changes in, tectonism, volcanism and weathering drove long-term changes in atmospheric  $p\text{CO}_2$  (Vogt, 1979; Raymo and Ruddiman, 1992; Sinton and Duncan, 1998; Demicco, 2004; Zachos et al., 2008), which was generally high throughout the early Paleogene but further increased toward the EECO (Pearson and Palmer, 2000; Fletcher et al.,

2008; Lowenstein and Demicco, 2006; Smith et al., 2010; Hyland and Sheldon, 2013). However, as evident from the large range in  $\delta^{13}\text{C}$  across early Paleogene stable-isotope records, major changes in the storage and release of organic carbon must have additionally contributed to variability in atmospheric  $p\text{CO}_2$  and ocean DIC concentrations (Shackleton, 1986; Kurtz et al., 2003; Komar et al., 2013). When long-term increases in  $p\text{CO}_2$ , perhaps in conjunction with orbital forcing, pushed temperatures across some threshold, such as the limit of sea-ice formation (Lunt et al., 2011), rapid inputs of  $^{13}\text{C}$ -depleted organic carbon from the shallow geosphere served as a positive feedback to abrupt warming (Dickens et al., 1995; Bowen et al., 2006; DeConto et al., 2012).



Our new  $\delta^{13}\text{C}$  records do not directly address the above questions and narrative concerning early Paleogene carbon cycling. However, they do highlight two general and related problems when such a discussion includes the EECO. First, surface temperatures appear to stay high across an extended time interval when the  $\delta^{13}\text{C}$  of benthic foraminifer (Fig. 1) and bulk carbonate (Fig. 9) increase. Second, numerous brief CIEs mark this global long-term rise in  $\delta^{13}\text{C}$ . Whether the aforementioned views need modification or reconsideration (Kirtland-Turner et al., 2014) is an issue as yet unresolved and one that depends on how long-term and short-term  $\delta^{13}\text{C}$  changes relate across the entire early Paleogene.

The overall offset between bulk carbonate  $\delta^{13}\text{C}$  values at Possagno and Site 577 may hint at an important constraint to any model of early Paleogene carbon cycling. Throughout the early Eocene,  $\delta^{13}\text{C}$  values at Site 577 exceed those at Possagno by nominally 0.8 ‰ (Fig. 9). This probably does reflect recrystallization or lithification because similar offsets appear across numerous records independent of post-depositional history but dependent on location (Schmitz et al., 1996; Cramer et al., 2003; Slotnick et al., 2012, 2015a; Agnini et al., 2016). In general, absolute values of bulk carbonate  $\delta^{13}\text{C}$  records increase from the North Atlantic and western Tethys (low), through the South Atlantic and eastern Tethys and the Indian Ocean, to the Pacific (high), although, significantly, with a latitudinal component to this signature.

### 6.3 Stable oxygen isotope stratigraphy across the EECO

Bulk carbonate  $\delta^{18}\text{O}$  values for Holocene sediment across the eastern equatorial Pacific relate to average temperatures in the mixed layer (Shackleton and Hall, 1995; Reghellin et al., 2015). Indeed, values are close to those predicted from water chemistry ( $\delta^{18}\text{O}_w$ ) and equilibrium calculations for calcite precipitation (e.g., Bemis et al., 1998) if vital effects in the dominant nannoplankton increase  $\delta^{18}\text{O}$  by nominally 1 ‰ (Reghellin et al., 2015).

Site 577 was located at about 15° N latitude in the eastern Pacific during the early Paleogene. Given that sediment of this age remains “nannofossil ooze” (Shipboard Scientific Party, 1985), one might predict past mixed layer temperatures from the  $\delta^{18}\text{O}$  values with three assumptions: early Paleogene  $\delta^{18}\text{O}_w$  was 1.2 ‰ less than that in the present day to account for an ice-free world; local  $\delta^{18}\text{O}_w$  was equal to average seawater, similar to modern chemistry at this off-Equator location (LeGrande and Schmidt, 2006); and, Paleogene nannoplankton also fractionated  $\delta^{18}\text{O}$  by 1.0 ‰. With commonly used equations that relate the  $\delta^{18}\text{O}$  of calcite to temperature (Bemis et al., 1998), these numbers render temperatures of between 16 and 21 °C for the data at Site 577. Such temperatures seem too cold by at least 10 °C, given other proxy data and modeling studies (e.g., Pearson et al., 2007; Huber and Caballero, 2011; Hollis et al., 2012; Pross et al., 2012; Inglis et al., 2015). At low latitudes, bottom wa-

ters are always much colder than surface waters. Even during the EECO, deep waters probably did not exceed 12 °C (Zachos et al., 2008). The calculated temperatures likely indicate partial recrystallization of bulk carbonate near the seafloor. Examinations of calcareous nannofossils in Paleogene sediment at Site 577 show extensive calcite overgrowths (Shipboard Scientific Party, 1985; Backman, 1986). Relatively low  $\delta^{18}\text{O}$  values mark the H-1 and K/X events, as well as the main phase of the EECO (Fig. 5). Both observations support the idea that the bulk carbonate  $\delta^{18}\text{O}$  at Site 577 represents the combination of a primary surface water  $\delta^{18}\text{O}$  signal and a secondary shallow pore water  $\delta^{18}\text{O}$  signal.

Lithification should further impact bulk carbonate  $\delta^{18}\text{O}$  records (Marshall, 1992; Schrag et al., 1995; Frank et al., 1999). Because this process occurs well below the seafloor, where temperatures approach or exceed those of surface water, the  $\delta^{18}\text{O}$  values of pelagic marls and limestones should be significantly depleted in  $^{18}\text{O}$  relative to partially recrystallized nannofossil ooze. This explains the nominal 2 ‰ offset in average  $\delta^{18}\text{O}$  between correlative strata at Possagno and at Site 577. While temperature calculations using the  $\delta^{18}\text{O}$  record at Possagno render reasonable surface water values for a midlatitude location in the early Paleogene (26–31 °C, using the aforementioned approach), any interpretation of these terms more than likely reflects happenstance. The fact that planktic foraminifera are completely recrystallized and totally filled with calcite at Possagno supports this inference.

One might suggest, at least for the Possagno section, that meteoric water might also have impacted the  $\delta^{18}\text{O}$  record. This is because rainwater generally has a  $\delta^{18}\text{O}$  composition less than that of seawater. However, samples were collected from fresh quarry cuts at Possagno in 2002–2003.

As observed at Site 577, however, horizons of lower  $\delta^{18}\text{O}$  at Possagno may represent times of relative warmth in surface water. This includes the broad interval between 16 and 22.5 m, which marks the main phase of the EECO, as well as many of the brief CIEs, at least one that clearly represents the PETM (Fig. 4). That is, despite obvious overprinting of the original  $\delta^{18}\text{O}$  signal, early to early middle Eocene climate variations appear manifest in the data.

### 6.4 The EECO and planktic foraminiferal abundances

Bulk carbonate  $\delta^{13}\text{C}$  records, especially in conjunction with other stratigraphic markers, provide a powerful means to correlate early Paleogene sequences from widely separated locations (Fig. 9). They also allow for the placement of planktic foraminiferal assemblage changes into a broader context.

The most striking change in planktic foraminiferal assemblages occurred near the start of the EECO. Over a fairly short time interval and at multiple widespread locations, the relative abundance of *Acarinina* increased significantly, whereas the relative abundance of *Morozovella* decreased significantly. This switch, best defined by the decline in *Morozovella*, happened just before the condensed interval at

Possagno (Fig. 6), just above the J event at Site 577 (Fig. 7, Table S4) and during the J event at Site 1051 (Fig. 8). At the Farra section, cropping out in the same geological setting of Possagno at 50 km NE of the Carcoselle quarry, it also appears to have occurred close to the J event (Fig. 10). Indeed, the maximum turnover in relative abundances may have been coincident with the J event at all locations. Importantly, the relative abundance of subbotinids only changed marginally during this time.

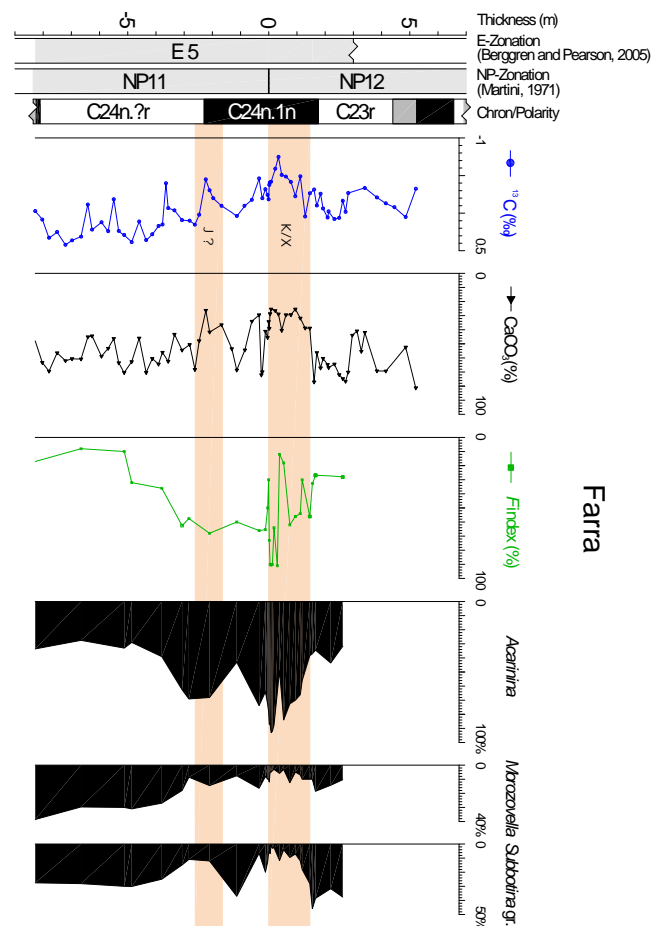
The *Morozovella* decline across the start of the EECO did not rebound afterward. At Possagno, at Site 1051 and at Site 577, it was coupled with the gradual disappearances of several species, including *M. aequa*, *M. gracilis*, *M. lensiformis*, *M. marginodentata* and *M. subbotinae*. Furthermore, the loss of *Morozovella* was not counterbalanced by the appearance of the *Morozovelloides* genus, which shared the same ecological preferences with *Morozovella*. This latter genus appeared in C21r, near the Ypresian–Lutetian boundary, and well after the EECO (Pearson et al., 2006; Aze et al., 2011), including at Possagno (Luciani and Giusberti, 2014; Fig. 6). Though *Morozovelloides* were morphologically similar to *Morozovella*, they probably evolved from *Acarinina* (Pearson et al., 2006; Aze et al., 2011; Fig. 1).

At Possagno, higher abundances of *Acarinina* also correlate with pronounced negative  $\delta^{13}\text{C}$  perturbations before and after the EECO (Fig. 6). This includes the H-1 event, as well as several unlabeled CIEs during C22n, C21r and C21n. Such increases in the relative abundances of *Acarinina* have been described for the PETM interval at the nearby Forada section (Luciani et al., 2007) and for the K/X event at the proximal Farra section (Agnini et al., 2009). Unlike for the main switch near the J event, however, these changes are transient, so that relative abundances in planktic foraminiferal genera are similar before and after the short-term CIEs.

### 6.5 The impact of dissolution

Carbonate dissolution at or near the seafloor presents a potential explanation for observed changes in foraminiferal assemblages. Some studies of latest Paleocene to initial Eocene age sediments, including laboratory experiments, suggest a general ordering of dissolution according to genus, with *Acarinina* more resistant than *Morozovella* and the latter more resistant than subbotinids (Petrizzo et al., 2008; Nguyen et al., 2009, 2011).

Carbonate solubility horizons that impact calcite preservation and dissolution on the seafloor (i.e., the carbonate compensation depth (CCD) and lysocline) also shoaled considerably during various intervals of the early Eocene. The three most prominent hyperthermals that occurred before the main phase of the EECO (PETM, H-1, K/X) were clearly marked by pronounced carbonate dissolution at multiple locations (Zachos et al., 2005; Agnini et al., 2009; Stap et al., 2009; Leon-Rodriguez and Dickens, 2010). A multi-million-year interval characterized by a relatively shallow CCD also



**Figure 10.** Records of magnetostratigraphy, bulk sediment  $\delta^{13}\text{C}$ ,  $\text{CaCO}_3$  content,  $F$ -index and abundance patterns for primary planktic foraminiferal taxa at the Farra section, which crops out 50 km NE of Possagno. All data are from Agnini et al. (2009). Note that the switch in abundance between *Morozovella* and *Acarinina* occurs close to the J event.

follows the K/X event (Leon-Rodriguez and Dickens, 2010; Pälike et al., 2012; Slotnick et al., 2015b).

Should changes in carbonate preservation primarily drive the observed planktic foraminiferal assemblages, it follows that the dominance of *Acarinina* during the EECO and multiple CIEs could represent a taphonomic artifact. Limited support for this idea comes from our records of fragmentation ( $F$ -index). In general, intervals with relatively high abundances of *Acarinina* (and low  $\delta^{13}\text{C}$ ) correspond to intervals of fairly high fragmentation at Possagno and at Site 1051 (Figs. 6, 8). This may suggest carbonate dissolution because this process breaks planktic foraminifera into fragments (Berger, 1967; Hancock and Dickens, 2005).

Carbonate dissolution can cause the coarse fraction of bulk sediment to decrease (Berger et al., 1982; Broecker et al., 1999; Hancock and Dickens, 2005). This happens because whole planktic foraminiferal tests typically exceed

63  $\mu\text{m}$ , whereas the resulting fragments often do not exceed 63  $\mu\text{m}$ . The decrease in CF values at the start of the EECO at Possagno (Fig. 6) may therefore further indicate loss of foraminiferal tests. However, relatively low CF values continue to the top of the section, independent of changes in the  $F$ -index. The CF record parallels the trend in *Morozovella* abundance and thus might also suggest a loss of larger *Morozovella* rather than carbonate dissolution.

The cause of the long-term rise in carbonate dissolution horizons remains perplexing but may relate to increased inputs of  $^{13}\text{C}$ -depleted carbon into the ocean and atmosphere (Leon-Rodriguez and Dickens, 2010; Komar et al., 2013). Should the *Morozovella* decline and amplified  $F$ -index at the Possagno section mostly represent dissolution, it would imply considerable shoaling of these horizons in the western Tethys, given the inferred deposition in middle to lower bathyal setting. As with open-ocean sites (Slotnick et al., 2015b), further studies on the Eocene lysocline and CCD are needed from Tethyan locations. One idea is that remineralization of organic matter intensified within the water column, driven by augmented microbial metabolic rates at elevated temperatures during the EECO; this may have decreased pH at intermediate water column depths (Brown et al., 2004; Olivarez Lyle and Lyle, 2006; O'Connor et al., 2009; John et al., 2013, 2014).

Despite evidence of carbonate dissolution, this process probably only amplified primary changes in planktic foraminiferal assemblages. The most critical observation is the similarity of the abundance records for major planktic foraminiferal genera throughout the early Eocene at multiple locations (Figs. 6–8). This includes the section at Site 1051, where carbonate appears only marginally modified by dissolution according to the  $F$ -index values (Fig. 7). Subbotinid abundance also remains fairly high throughout the early Eocene. One explanation is that, in contrast to the results from laboratory experiments (Nguyen et al., 2009, 2011), subbotinids are more resistant to dissolution than *Morozovella* (Boersma and Premoli Silva, 1983; Berggren and Norris, 1997), at least once the EECO has transpired. In the proximal middle–upper Eocene section at Alano, Luciani et al. (2010) documented a dominance of subbotinids within intervals of high fragmentation ( $F$ -index) and enhanced carbonate dissolution. The degree of dissolution across planktic foraminiferal assemblages may have varied through the early Paleogene, as distinct species within each genus may respond differently (Nguyen et al., 2011). So far, data on dissolution susceptibility for different species and genera are limited for early and early middle Eocene times (Petrizzo et al., 2008).

There is also recent work from the Terche section (ca. 28 km NE of Possagno) to consider. This section is located in the same geological setting as Possagno, but across the H-1, H-2 and I1 events, there are very low  $F$ -index values and marked increases in *Acarinina* coupled with significant decreases in subbotinids (D'Onofrio et al., 2014). Therefore, although the Possagno record may be partially altered by dis-

solution, an increase in warm water *Acarinina* concomitant with a decrease in subbotinids seems to be a robust finding during early Paleogene warming events in Tethyan settings.

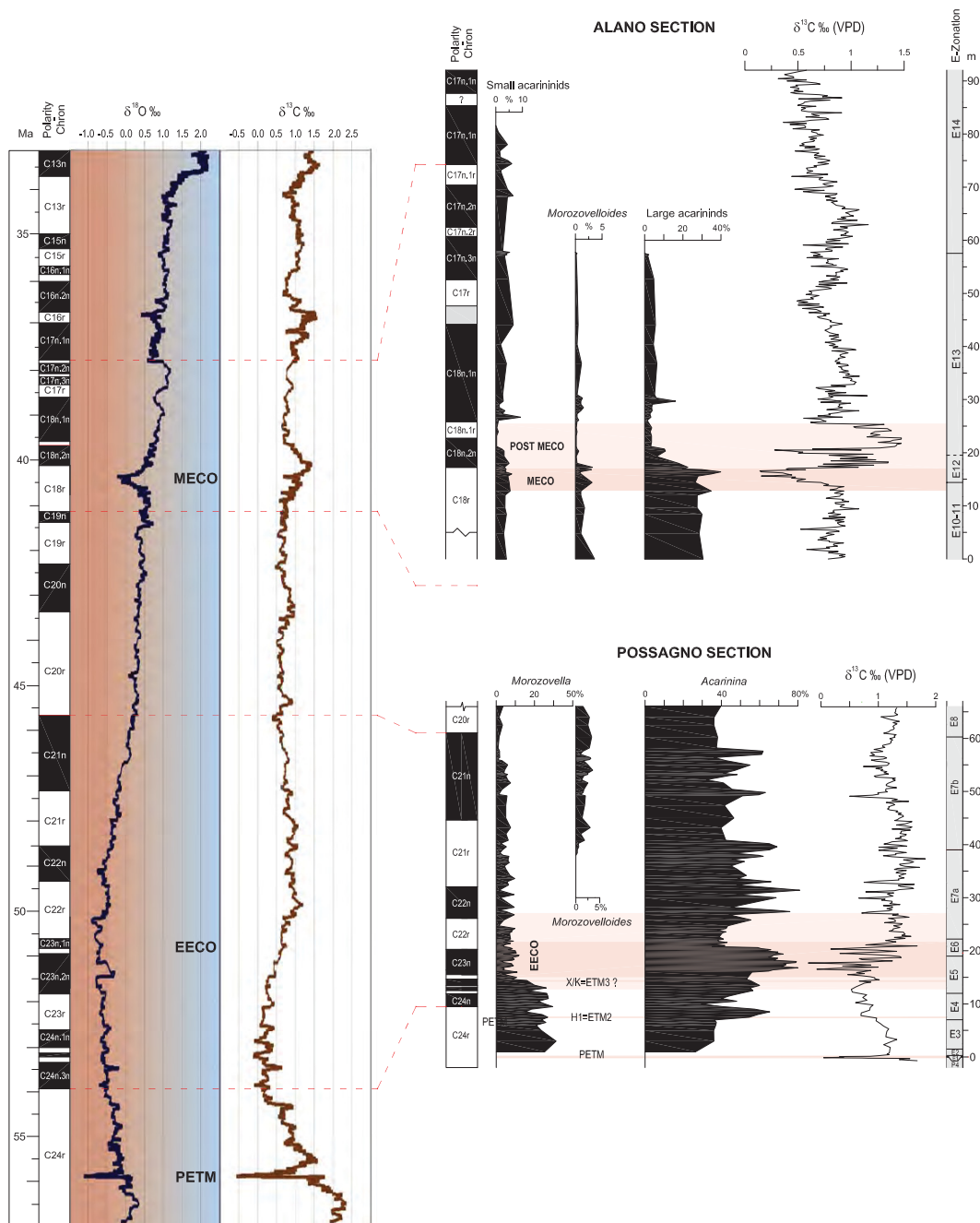
## 6.6 A record of mixed water change

The switch in abundance between *Morozovella* and *Acarinina* at the start of the EECO supports a hypothesis whereby environmental change resulted in a geographically widespread overturn of planktic foraminiferal genera. During the PETM and K/X events, *Acarinina* became dominant over *Morozovella* in a number of Tethyan successions. This has been interpreted as signifying enhanced eutrophication of surface waters near continental margins (Arenillas et al., 1999; Molina et al., 1999; Ernst et al., 2006; Guasti and Speijer, 2007; Luciani et al., 2007; Agnini et al., 2009), an idea consistent with evidence for elevated (albeit more seasonal) riverine discharge during these hyperthermals (Schmitz and Pujalte, 2007; Giusberti et al., 2007; Schulte et al., 2011; Slotnick et al., 2012; Pujalte et al., 2015). Increased nutrient availability may also have occurred at Possagno during the early part of the EECO, given the relatively high concentration of radiolarians, which may reflect eutrophication (Hallock, 1987).

However, the fact that the major switch at the start of the EECO can be found at Sites 1051 (western Atlantic) and Site 577 (central Pacific) suggests that local variations in oceanographic conditions, such as riverine discharge, were not the primary causal mechanism. Rather, the switch must be a consequence of globally significant modifications related to the EECO, most likely sustained high temperatures, elevated  $p\text{CO}_2$  or both. Given model predictions for our Earth in the coming millennia (IPCC, 2014), indirect effects could also have contributed, especially including increased ocean stratification and decreased pH.

An explanation for the shift may lie in habitat differences across planktic foraminiferal genera. Although both *Morozovella* and *Acarinina* likely had photosymbionts, *Morozovella* may have occupied a shallower surface habitat than the latter genus as indicated by minor variations in their stable-isotope compositions (Boersma et al., 1987; Pearson et al., 1993, 2001).

One important consideration for any interpretation is the evolution of new species that progressively appear during the post-EECO interval. In good agreement with studies of lower Paleogene sediment from other low-latitude locations (Pearson et al., 2006), thermocline dwellers such as subbotinids and parasubbotinids seem to proliferate at Possagno (Luciani and Giusberti, 2014). These include *Subbotina corpulenta*, *S. eocaena*, *S. hagni*, *S. senni*, *S. yeguanensis*, *Parasubbotina griffinae* and *P. pseudowilsoni*. The appearance of the radially chambered *Parasubbotina eoelava*, considered to be the precursor of the truly clavate chambered *Clavigerinella* (Coxall et al., 2003; Pearson and Coxall, 2014), also occurs at 19.8 m and in the core of the EECO (Luciani and



**Figure 11.** Records of *Morozovella* and large *Acarinina* (> 200 micron) in the western Tethyan setting from the Possagno section (this paper) and the Alano section (Luciani et al., 2010), plotted with generalized  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  curves for benthic foraminiferal on the GTS2012 (as summarized by Vandenberghe et al. (2012); slightly modified). These records suggest that the long-lasting EECO and MECO intervals of anomalous warmth mark two main steps in the decline in *Morozovella*, *Morozovelloides* and *Acarinina*. The planktic foraminiferal biozones follow those presented by Wade et al. (2011), as partly modified by Luciani and Giusberti (2014).

Giusberti, 2014). *Clavigerinella* is the ancestor of the genus *Hantkenina*, which successfully inhabited the subsurface and surface waters during the middle through late Eocene (Coxall et al., 2000).

A second consideration is the change in planktic foraminiferal assemblages during the Middle Eocene Climate Optimum (MECO), another interval of anomalous and prolonged warmth at ca. 40 Ma (Bohaty et al., 2009). At Alano (Fig. 11) and other locations (Luciani et al., 2010;



Edgar et al., 2012), the MECO involved the reduction in the abundance and test size of large *Acarinina* and *Morozovelloides*. This has been attributed to “bleaching”, i.e., the loss of photosymbionts, resulting from global warming (Edgar et al., 2012), although related factors, such as a decrease in pH, a decrease in nutrient availability or changes in salinity, may have been involved (Douglas, 2003; Wade et al., 2008). The symbiotic relationship with algae is considered an important strategy adopted by muricate planktic foraminifera during the early Paleogene (Norris, 1996; Quillévéré et al., 2001). Considering the importance of this relationship in extant species (Bé, 1982; Bé et al., 1982; Hemleben et al., 1989), the loss of photosymbionts may represent a crucial mechanism to explain the relatively rapid decline in foraminifera utilizing this strategy, including *Morozovella* at the start of the EECO.

Available data suggest that the protracted conditions of extreme warmth and high  $p\text{CO}_2$  during the EECO were the key elements inducing a permanent impact on planktic foraminiferal evolution and the decline in *Morozovella*. Even the PETM, the most pronounced hyperthermal, did not adversely affect the genus *Morozovella* permanently. While excursion taxa appeared, *Morozovella* seem to have increased in abundance in open-ocean settings (Kelly et al., 1996, 1998, 2002; Lu and Keller, 1995; Petrizzo, 2007); only in some continental margin settings did a transient decrease in abundance occur (Luciani et al., 2007).

### 6.7 Post-EECO changes at Possagno

Several small CIEs appear in the  $\delta^{13}\text{C}$  record at Possagno during polarity chrons C22n, C21r and C21n. Some of these post-EECO excursions coincide with planktic foraminiferal assemblage changes similar to those recorded in lower strata. Specifically, there are marked increases in *Acarinina* (Fig. 6). These “post-EECO” CIEs are concomitant with  $\delta^{18}\text{O}$  excursions and coupled to distinct modifications in the planktic foraminiferal assemblages comparable to those recorded across known hyperthermals in Tethyan settings (Luciani et al., 2007; Agnini et al., 2009; D’Onofrio et al., 2014). Additional hyperthermals, although of less intensity and magnitude, may extend through the entirety of the early and middle Eocene, as suggested previously (Sexton et al., 2006, 2011; Kirtland-Turner et al., 2014). Whether these imply different forcing and feedback mechanisms compared to the PETM remains an open question.

## 7 Summary and conclusions

The symbiont-bearing planktic foraminiferal genera *Morozovella* and *Acarinina* were among the most important calcifiers of the early Paleogene tropical and subtropical oceans. However, a remarkable and permanent switch in the relative abundance of these genera happened in the early Eocene, an evolutionary change accompanied by species reduction

in *Morozovella* and species diversification of *Acarinina*. We show here that this switch probably coincided with a carbon isotope excursion presently coined J. Although the Early Eocene Climatic Optimum (EECO), a multi-million-year interval of extreme Earth surface warmth, lacks an accepted definition, we propose that the EECO is best defined as the duration of time between the J event and the base of *D. subdoensis* (about 53 to 49 Ma on the 2012 GTS).

Our conclusion that the planktic foraminiferal switch coincides with the start of the EECO derives from the generation of new records and collation of old records concerning bulk sediment stable isotopes and planktic foraminiferal abundances at three sections. These sections span a wide longitude range of the low-latitude Paleogene world: the Possagno section from the western Tethys, DSDP Site 577 from the central Pacific Ocean and ODP Site 1051 from the western Atlantic Ocean. Importantly, these locations have robust calcareous nannofossils and polarity chron age markers, although the stratigraphy required amendment at Sites 577 and 1051.

An overarching problem is that global carbon cycling was probably very dynamic during the EECO. The interval appears to have been characterized not only by numerous CIEs but also by a major switch in the timing and magnitude of these perturbations. Furthermore, there was a rapid shoaling of carbonate dissolution horizons in the middle of the EECO. A key finding of our study is that the major switch in planktic foraminiferal assemblages happened at the start of the EECO. Significant, though ephemeral, modifications in planktic foraminiferal assemblages coincide with numerous short-term CIEs, before, during and after the EECO. Often, there are marked increases in the relative abundance of *Acarinina*, similar to what happened permanently across the start of the EECO.

Although we show for the first time that the critical turnover in planktic foraminifera clearly coincided with the start of the EECO, the exact cause for the switch (a.k.a. the decline in *Morozovella*) remains elusive. Possible causes are manifold and may include temperature effects on photosymbiont-bearing planktic foraminifera, changes in ocean chemistry or even interaction with other microplankton groups such as radiolarians, diatoms or dinoflagellates that represented possible competitors in the use of symbionts or as symbiont providers. For some reason, a critical threshold was surpassed at the start the EECO, and this induced an unfavorable habitat for continued *Morozovella* diversification and proliferation but a favorable habitat for the genus *Acarinina*.



### Appendix A: Taxonomic list of planktic foraminiferal species cited in text and figures

*Globanomalina australiformis* (Jenkins, 1965)  
*Morozovella aequa* (Cushman and Renz, 1942)  
*Morozovella gracilis* (Bolli, 1957)  
*Morozovella lensiformis* (Subbotina, 1953),  
*Morozovella marginodentata* (Subbotina, 1953)  
*Morozovella subbotinae* (Morozova, 1939)  
*Parasubbotina eoclava* Coxall, Huber and Pearson, 2003  
*Parasubbotina griffinae* (Blow, 1979)  
*Parasubbotina pseudowilsoni* Olsson and Pearson, 2006  
*Subbotina corpulenta* (Subbotina, 1953)  
*Subbotina eocaena* (Gümbel, 1868)  
*Subbotina hagni* (Gohrbandt, 1967)  
*Subbotina senni* (Beckmann, 1953)  
*Subbotina yeguanesis* (Weinzierl and Applin, 1929)  
*Planoglobanomalina pseudoalgeriana* Olsson and Hemleben, 2006

### Appendix B: Taxonomic list of calcareous nannofossil taxa cited in text and figures

*Discoaster diastypus* Bramlette and Sullivan, 1961  
*Discoaster lodoensis* Bramlette and Sullivan, 1961  
*Discoaster sublodoensis* Bramlette and Sullivan, 1961  
*Fasciculithus* Bramlette and Sullivan, 1961  
*Fasciculithus tympaniformis* Hay and Mohler in Hay et al., 1967  
*Sphenolithus radians* Deflandre in Grassé, 1952  
*Toweius* Hay and Mohler, 1967  
*Tribrachiatus contortus* (Stradner, 1958); Bukry, 1972  
*Tribrachiatus orthostylus* (Bramlette and Riedel, 1954); Shamrai, 1963

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