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# Quantitative reconstruction of summer precipitation using a mid-Holocene $\delta^{13}$ C common millet record from Guanzhong Basin, northern China

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Abstract. To quantitatively reconstruct Holocene precipitation for particular geographical areas, suitable proxies and faithful dating controls are required. The fossilized seeds of common millet (Panicum miliaceum) are found throughout the sedimentary strata of northern China and are suited to the production of quantitative Holocene precipitation reconstructions: their isotopic carbon composition ( $\delta^{13}$ C) gives a measure of the precipitation required during the growing season of summer (here the interval from mid-June to September) and allows these seeds to be dated. We therefore used a regression function, as part of a systematic study of the  $\delta^{13}C$ of common millet, to produce a quantitative reconstruction of mid-Holocene summer precipitation in the Guanzhong Basin (107°40′-107°49′ E, 33°39′-34°45′ N). Our results showed that mean summer precipitation at 7.7–3.4 ka BP was  $353 \text{ mm}, \sim 50 \text{ mm}$  or 17 % higher than present levels, and the variability increased, especially after 5.2 ka BP. Maximum mean summer precipitation peaked at 414 mm during the period 6.1–5.5 ka BP,  $\sim$  109 mm (or 36 %) higher than today, indicating that the East Asian summer monsoon (EASM) peaked at this time. This work can provide a new proxy for further research into continuous paleoprecipitation sequences and the variability of summer precipitation, which will promote the further research into the relation between early human activity and environmental change.

## 1 Introduction

The reconstruction of global climate changes through history is an important part of the Past Global Changes (PAGES) project. The Holocene, as the most recent geological period, has the closest relation to human survival and development. Quantitatively reconstructing climatic factors such as temperature and precipitation provides an understanding of agricultural development and the human impact upon the landscape and environment. However, instrumental records are insufficient for the documentation of the drivers of climate change, since they cover only the past century or so (De-Menocal, 2001). The quantitative reconstruction of temperature and precipitation using climatic proxy records has therefore become the principal thrust of PAGES research. To date, most continental paleoclimate studies have focused on temperature (Porter and An, 1995; Guo et al., 1996; Genty et al., 2003; Wang et al., 2008; Sun et al., 2012). However, the increase in global surface temperatures has tended to cause changes in precipitation and atmospheric moisture through changes in atmospheric circulation, a more active hydrological cycle, and an increasing water-holding capacity throughout the atmosphere (Dore, 2005). The availability of water, one of the major challenges for the future, cannot be ignored due to its significant role in the hydrological cycle (Hatté and Guiot, 2005). To this end, we chose an area key to the warm period of the Holocene to produce a quantitative precipitation reconstruction for that geological time.



**Figure 1.** Location of sampling sites (a) and description of all sampling sections (b). Red solid circles indicate sampling sites: Baijia (BJ), Huiduipo (HDP), Manan (MN), Beiniu (BN), Nansha (NS).

The Chinese Loess Plateau (CLP), located in a transition zone between a semi-arid and semi-humid climate, is highly sensitive to changes in precipitation and has thus long been a key area for precipitation reconstruction research. The precipitation of the CLP is and has been deeply impacted by the East Asian summer monsoon (EASM). The EASM, an important component of the Asian Summer Monsoon (ASM), plays an indispensable role in the hydrological cycle over southern China. Various proxies have been adopted in studies of the EASM during the Holocene. Due to their reliable chronology and relatively easy dating, oxygen isotopes  $(\delta^{18}\text{O})$  in speleothems from Chinese caves have been taken as a robust measure of summer monsoon precipitation values (Wang et al., 2005a; Cheng et al., 2009). However, the interpretation of these changes in the  $\delta^{18}$ O values of precipitation remains highly controversial; some scientists have contended that the stalagmite  $\delta^{18}$ O record from the EASM region may not record EASM variability (Le Grande and Schmidt, 2009; Maher and Thompson, 2012; Tan, 2012; Caley et al., 2014; Liu et al., 2015). Fortunately, the precipitation in the CLP can effectively reflect the intensity of the EASM variability due to its special location (Liu et al., 2015).

The quantitative precipitation reconstruction results obtained have been based exclusively on climatic proxies derived from geological and biological records in the CLP. In the western CLP, fossil charcoal records in the Tianshui Basin have demonstrated that the mean annual precipitation (MAP) was 688-778 mm at 5.2-4.3 ka BP (Sun and Li, 2012). In the CLP's hinterland, magnetic susceptibility records from the Luochuan profile have provided estimates of Holocene MAP varying between 600 and 750 mm, with a mean value of  $701 \pm 74$  mm (Lu et al., 1994). In the southern CLP, Guanzhong Basin MAP, as revealed by plant phytolith assemblies, was 700-800 mm during the Holocene, indicating a much more humid climate than today (Lu et al., 1996). Further evidence from the transfer functions of geological records and the intensity of pedogenesis has shown that Guanzhong Basin MAP was > 700 mm during the Holocene Optimum (Sun et al., 1999; Zhao, 2003), supporting the aforementioned results. However, due to their intrinsic limitations, such as discontinuity or an indefinite response mechanism between the proxies and climate change, these tentative proxies have not been extensively applied. Selecting an effective proxy which evinces a reliable dating and a clear implication is crucial for the quantitative reconstruction of paleoprecipitation. High-resolution pollen-based quantitative precipitation results indicating EASM evolution have recently been obtained from an alpine lake in northern China (Chen et al., 2015). However, because these are attributable solely to this unique environment, a regional quantitative precipitation reconstruction, and therefore a new proxy, is still required.

Common millet (Panicum miliaceum), as the most representative agricultural rain-fed crop of northern China, contains  $\delta^{13}$ C; this is sensitive to precipitation and can thus effectively record precipitation during the growing season (Yang and Li, 2015). Rain-fed agriculture originated in the CLP, giving rise to the first recognizably Chinese civilization. Many archeological relics from an unbroken historical continuum are therefore found throughout the region (An, 1988). Quantities of the fossilized seeds of common millet are wellpreserved in the cultural layers of these archeological sites (Zhao and Xu, 2004; Liu et al., 2008; Lu et al., 2009). Their stable  $\delta^{13}$ C compositions, which remain little changed because of the low temperatures associated with carbonization, contain valuable information about paleoclimate change and early agricultural activities (Yang et al., 2011a, b). Common millet remains are therefore preferable for quantitatively reconstructing Holocene precipitation in the CLP.

The Guanzhong Basin (Fig. 1), in the southern CLP, was the cradle of Neolithic culture and China's ancient civilization and fostered the Laoguantai ( $\sim$  7.8–6.9 ka BP), Yangshao ( $\sim$  6.9–5.0 ka BP) and Longshan ( $\sim$  5.0–4.0 ka BP) cul-



**Figure 2.** Instrumental precipitation data for 1951–2011 from Xi'an Station, Shaanxi, China (original data, Data Sharing Platform, China Meteorological Administration). The empty circle ( $^{\circ}$ ) indicates an abnormal value; the asterisk (\*) indicates an extremely abnormal value.

tures (Ren and Wu, 2010), the pre-Zhou culture ( $\sim 3.5$ – 3.0 ka BP; Lei, 2010), and the Zhou dynasties. Due to the intensity of early agricultural activity, huge quantities of common millet remains have been preserved in numerous continuously occupied cultural sites. Charred common millet seeds are the most abundant resource found in the samples collected in this study from these cultural layers.

In this study, common millet remains, from five sections characterized by continuous and well-developed sedimentation at typical archeological sites, including the Baijia, Huiduipo, Manan, Beiniu, and Nansha sites (Fig. 1), were sampled as part of a systematic study of  $\delta^{13}$ C records; quantitative precipitation reconstructions for the Holocene were then based upon a transfer function between the  $\delta^{13}$ C of modern common millet and precipitation, providing a scientific basis for predicting future climate change and its possible impact.

# 2 The rationale behind using common millet $\delta^{13}$ C for precipitation reconstruction

The  $\delta^{13}$ C values of common millet seeds reflect the <sup>13</sup>C of photosynthetic materials during not only their formative and mature stages but also their vegetative stage. The growing season of modern common millet in the Guanzhong Basin lasts from June to September. The seed kernel's formative and mature stages occur soon after pollination of the blossom. With an increase in kernel size, photosynthetic material as well as pre-accumulated organic material is transferred to kernels from stems, leaves, and spikes (Chai, 1999). Therefore, millet  $\delta^{13}$ C reflects the environmental conditions ex-

Sites	Location	Cultural types	Sample source	п
Baijia	34°33′7.53″ N 109°24′38.6″ E	Early Laoguantai culture	Cultural layer	12
Huiduipo	34°34′4.1″ N 109°01′41.8″ E	Banpo type, Yangshao culture	Cultural layer	9
Manan	34°28′23.7″ N 109°05′17.5″ E	Miaodigou type, Yangshao culture	Cultural layer	11
Beiniu	109°19′2.6″ E	Longshan culture	Cultural layer	15
Nansha	34°29′30.1″ N 109°42′47.9″ E	Erlitou culture, Shang dynasty	Cultural layer	20

**Table 1.** Sampling sites and the number of common millet samples.

Note: n means the number of remnant common millet samples derived from the section.

tant during the growing season from mid-June to the end of September, or 110 days in total.

Carbon isotope composition of fossilized plant remains is a useful proxy for the reconstruction of local paleoclimatic changes, especially when using  $\delta^{13}$ C values from plants which experience a single mode of photosynthesis. Common millet grains have been widely and continuously preserved throughout the Holocene in northern China. Fossilized millet seeds were generally formed by baking at low temperatures (~ 250 °C) (Yang et al., 2011a), and deposited in strata over long time periods with limited interaction with the buried environment. The observed  $\delta^{13}$ C values of charred common millet formed at ~ 250 °C were 0.2 ‰ lower than those of the source samples, and much less than the natural variation typically found in wood (Yang et al., 2011b). The  $\delta^{13}$ C signatures conserved in charred common millet are thus reflective of the true environment.

The carbon isotope composition of plants  $(\delta^{13}C_p)$  is affected by both physiological characteristics and environmental factors. The  $\delta^{13}$ C of C<sub>3</sub> plants responds to environmental factors, such as atmospheric CO<sub>2</sub> pressure, O<sub>2</sub> partial pressure, temperature, light, and precipitation, by dominating the ratio of the intercellular and ambient partial pressure of CO2  $c_i / c_a$ ) with the opening and closing of leaf stomata (Körner and Diemer, 1987; Körner and Larcher, 1988; Körner et al., 1989; Farquhar et al., 1989; Dawson et al., 2002). However, the  $\delta^{13}$ C of C<sub>4</sub> plants depends not only on  $c_i / c_a$  but also on how much  $CO_2$  and  $HCO_3^-$  in bundle sheath cells leaks into the mesophyll cells (called leakiness  $\varphi$ ), which is determined by its physiological characteristics (Hubick et al., 1990). When  $\varphi$  is larger or smaller than 0.37, respectively, there is a positive or negative correlation between  $\delta^{13}C_p$  and  $c_i / c_a$  (Ubierna et al., 2011). Under water stress, the  $\varphi$  of the common millet, belonging to the NADP-ME subgroup of C4 plants, is likely larger than 0.37 (Schulze et al., 1996; Yang and Li, 2015). This may account for the significantly positive relation between the  $\delta^{13}$ C of common millet and precipitation (Yang and Li, 2015).

Limited precipitation and soil humidity are the most important environmental factors affecting the growth of plants in arid and semi-arid areas (Hadley and Szarek, 1981; Ehleringer and Mooney, 1983; Murphy and Bowman, 2009). For C<sub>4</sub> species in the arid regions of northwestern China,  $\delta^{13}C_p$  tends to decrease with decreasing soil water availability (Wang et al., 2005b). For common millet, although altitude, precipitation, and water availability have a significant correlation with  $\delta^{13}$ C according to correlation analysis, precipitation was the critical control of  $\delta^{13}$ C, based on functional mechanism analysis (Yang and Li, 2015). The plants' physiological characteristics and morphological adaptability showed that the stomatal, and some non-stomatal, factors of common millet are sensitive to water status, causing the  $\delta^{13}$ C of the organic material to change with precipitation. This rationale establishes an important theoretical foundation whereby the  $\delta^{13}$ C of common millet can serve as an effective indicator of paleoprecipitation.

#### 3 Methods

#### 3.1 Sampling

All the ancient common millet remains used in this study were found at five archeological sites in the Guanzhong Basin, i.e., the Baijia, Huiduipo, Manan, Beiniu, and Nansha sites (State Cultural Relics Bureau, 1998).

The Guanzhong Basin is located south of the CLP and is bordered to the south by the Qin Mountains and to the north by the Bei Shan, spanning 30–80 km; from Baoji Valley at the west end to Tongguan at the east end, spanning 360 km. The topography is flat and the landscape consists mostly of river terraces and loess tableland at an altitude of 326– 600 m. The present-day Guanzhong Basin is characterized by semi-humid and semi-arid climatic conditions strongly influenced by the monsoon. Summer monsoon rainfall accounts for most of the annual precipitation and falls primarily in June–August; the climate is therefore characterized by cold, dry winters and moist, warm summers. Mean annual temperature (MAT) in the Guanzhong area is ca. 13 °C, MAP

Sample code	Depth (cm)	Sample type ( <sup>14</sup> C yr BP)	Radiocarbon age range (cal yr BP, $2\sigma$ )	Calibrated age	Lab code
BJ-15	180–190	Common millet	$6705\pm40$	7504–7657	OZM447
BJ-2	50-60	Charcoal	$6675\pm40$	7476-7612	OZM446
HDP-13	235-250	Common millet	$5720\pm50$	6408-6639	OZM473
HDP-9	160-180	Rice seed	$5015\pm45$	5655-5896	OZM472
HDP-5	80-100	Rice seed	$5120\pm35$	5750-5831	OZM471
HDP-3	40-60	Foxtail millet	$5185\pm40$	5891-6009	OZM470
MN-8	140-160	Foxtail millet	$4550\pm35$	5053-5191	OZM452
BN-13	280-300	Foxtail millet	$5450\pm70$	6172-6400	OZM481
BN-10	220-240	Foxtail millet	$3820\pm45$	4138-4360	OZM480
BN-8	180-200	Rice seed	$3770\pm35$	4073-4244	OZM479
BN-5	120-140	Foxtail millet	$4540\pm50$	5040-5323	OZM478
BN-1	40-60	Common millet	$4110\pm40$	4521-4730	OZM477
NS-15	230-240	Wheat seed	$3300\pm30$	3454-3593	OZM460
NS-11	200-210	Wheat seed	$3280\pm35$	3445-3587	OZM459
NS-5	140–150	Wheat seed	$3300\pm30$	3454-3593	OZM458

Table 2. Accelerator mass spectrometry (AMS) dates from Baijia (BJ), Huiduipo (HDP), Manan (MN), Beiniu (BN), and Nansha (NS).

All assays were run on the STAR accelerator, ANSTO, Australia. Calibrations use Calib Rev 7.0.4 software and the INTCAL13 dataset, referring to the Radiocarbon Calibration Program (Reimer et al., 2013).

is  $\sim$  575 mm, and mean annual relative humidity (MARH) is 70%. Precipitation data from the Guanzhong Basin for the period 1951-2011 were analyzed (Fig. 2). The results showed that the precipitation for mid-June to September was between 110 and 526 mm, with a mean of 305 mm. The 95% confidence interval for this mean is between 279 and 332 mm, ruling out the extreme values of abnormal years.

The Baijia site, located on the secondary river terrace of the northern bank of Wei River, contains early Laoguantai cultural remains. The area is ca. 120000 m<sup>2</sup>, and the thickness of the cultural layer is between 0.4 and 1.2 m. The Huiduipo site includes Banpo-type remains from the Yangshao culture. The area is ca.  $60\,000\,\text{m}^2$ , the thickness of the cultural layer is ca. 2 m, and there is partial exposure of ash pits, residential areas, and graves. The Manan site, located on tableland at the intersection of the Jing and Wei rivers, exhibits Yangshao cultural remains. MN is ca. 16000 m<sup>2</sup> in area, and the thickness of the cultural layer is between 2 and 5 m, with a dense distribution of ash pits. The Beiniu site partly contains Longshan cultural remains. Its area is  $200\,000\,\text{m}^2$ , and the thickness of its cultural layer is ca. 1 m. The Nansha site is mainly characterized by Shang dynasty remains. It is ca. 300 000 m<sup>2</sup> in area and the thickness of its cultural layer is between 1.9 and 2.7 m (State Cultural Relics Bureau, 1998). All sampling sections are described in Fig. 1.

Five sections characterized by continuous and welldeveloped sedimentation were selected for sampling at the Baijia, Beiniu, Huiduipo, Manan, and Nansha sites. Slice sampling was applied to continuously sampling and the interval was 10 cm for the Baijia and Nansha sections, and 20 cm for the Beiniu, Huiduipo, and Manan sections (Fig. 1). Forty-liter sample bags were filled with sufficient quantities of sedimentary material to screen through a 50-mesh sieve to obtain samples using flotation (Tsuyuzaki, 1994). Different archeological remains were separated in the laboratory after air-drying. Agricultural seeds were identified and picked out under the stereomicroscope, then marked in order according to sampling depth. The number of remnant common millet samples derived from all five sections is listed in Table 1.

# 3.2 Stable $\delta^{13}$ C analysis

Stable  $\delta^{13}$ C composition analyses were carried out on all 67 serial and bulk common millet samples from the five sections, each composed of three to five grains, without lemma. Each sample portion was placed in a beaker and covered with a 1 % hydrochloric acid solution to remove any carbonates. The samples were then washed with deionized water to pH > 5 and oven-dried at 40 °C for 24 h. The dried samples were ground in an agate mortar and homogenized, then vacuumsealed in a quartz tube with copper oxide and silver foil and combusted for at least 4 h at 850 °C. The CO<sub>2</sub> gas from the combustion tube was extracted and cryogenically purified. The isotopic ratio of the extracted CO<sub>2</sub> gas was determined using a MAT-253 gas source mass spectrometer with a dualinlet system at the Institute of Earth Environment, Chinese Academy of Sciences.

All isotope ratios were expressed using the following  $\delta$ notation:

$$\delta^{13} C(\%) = [(R_{\text{sample}} - R_{\text{std}})/R_{\text{std}}] \times 1000.$$
(1)

The isotopic standard used was Vienna Pee Dee Belemnite (VPDB); analytical precision at the  $1\sigma$  level was reported as 0.2%.



**Figure 3.**  $\delta^{13}$ C of common millet from archeological sites, Guanzhong Basin. (a) shows raw data points including all  $\delta^{13}$ C and calibrated age range vs. depth. The group division is expressed in gray or white color. (b) shows boxplots of all  $\delta^{13}$ C of common millet, with the mean value  $10.2 \pm 0.4\%$  (n = 66, SD =  $\pm 1 \sigma$ ) and the anomaly value of -8.8% excluded.

#### 3.3 Radiocarbon dating

AMS <sup>14</sup>C dating was conducted on one charcoal fragment and one charred seed of common millet from the Baijia section, five charred seeds each from the Huiduipo and Beiniu sections, one charred seed from the Manan section, and three charred seeds from the Nansha section.

The charcoal and seed samples were pretreated by washing in 10 % NaOH and 10 % HCl and reduced to neutral pH. They were then converted to graphite, and radiocarbon ages were calculated after measurement in the STAR accelerator at the Australian Nuclear Science and Technology Organisation (ANSTO). AMS <sup>14</sup>C dates were calibrated using Calib

Rev 7.0.4 software and the INTCAL13 dataset (Reimer et al., 2013).

## 3.4 Processing data of age model

On the basis that the depth-based linear interpolation method was not fit for the dating of cultural layers because of potential disturbance, all common millet remnant samples were divided into several groups to guarantee at least one dating dataset for each group (Fig. 3a), as follows: samples from adjacent depths with close  $\delta^{13}$ C values were placed in the same group, allowing a greater difference between each

Table 3. Information for grouped common millet remains, by section.

Section	Cultural age	Depth (cm)	п	Calibrated ages (cal yr BP)	Mean $\delta^{13}$ C(%)	Corrected $\delta^{13}$ C (%)	P <sub>gS</sub> (mm)
Baijia	Laoguantai culture	50-190	12	7476–7657	$-10.4\pm0.2$	$-12.2\pm0.2$	$336\pm30$
Huiduipo	Banpo type, Yangshao culture	200-250	3	6408-6639	$-10.6\pm0.3$	$-12.4 \pm 0.3$	$311\pm37$
	Banpo type, Yangshao culture	120-200	2	5655-5896	$-9.6\pm0.1$	$-11.4 \pm 0.1$	$442\pm9$
	Banpo type, Yangshao culture	0-120	5	5750-6009	$-9.9\pm0.1$	$-11.7 \pm 0.1$	$402\pm12$
Manan	Miaodigou type, Yangshao culture	0-260	11	5053-5191	$-10.3\pm0.4$	$-12.1\pm0.4$	$346\pm47$
Beiniu	Yangshao culture	260-300	3	6172-6400	$-10.3\pm0.1$	$-12.1\pm0.1$	$349\pm8$
	Longshan culture	180-240	3	4073-4360	$-9.9\pm0.1$	$-11.7\pm0.1$	$397 \pm 13$
	Longshan culture	80-180	4	5040-5323	$-10.3\pm0.2$	$-12.1 \pm 0.2$	$352\pm27$
	Longshan culture	40-80	4	4521-4730	$-10.6\pm0.2$	$-12.4\pm0.2$	$313\pm22$
Nansha	Erlitou culture, Shang dynasty	50-300	20	3445-3593	$-10.2\pm0.4$	$-12.0 \pm 0.4$	$346\pm47$

Note: *n* means the number of samples for  $\delta^{13}$ C analysis. Corrected  $\delta^{13}$ C means  $\delta^{13}$ C value of millet being corrected for the  $\delta^{13}$ C difference of atmospheric CO<sub>2</sub> between modern and Holocene for precipitation reconstruction. *P*<sub>gs</sub> means reconstructed precipitation of millet growth seasons.



**Figure 4.** The regression model of the  $\delta^{13}$ C of modern common millet and summer precipitation (data from Yang and Li, 2015). Dark red line denotes the line of best fit established using SMA; the blue dotted line denotes the line of best fit established using ordinary least squares.

group (one-factor analysis of variance (one-way ANOVA, P < 0.05).

#### 3.5 Quantitative modeling method and data analysis

The results for  $\delta^{13}$ C values in the seeds of modern millet grown on the CLP (Yang and Li, 2015) demonstrated that the  $\delta^{13}$ C of common millet has a significant positive correlation with precipitation. In this study, standard major axis regression analysis (SMA) was applied to establish a regression model between the  $\delta^{13}$ C of modern common millet and precipitation during growing seasons. Statistical analyses were conducted using SMATR software (Version 2.0; Falster et al., 2006). Other statistical analyses used SPSS 15.0 for Windows and OriginPro 8.0 software. Unless otherwise stated, differences were considered statistically significant when P < 0.05.

#### 4 Results

The radiocarbon results (Table 2) show that the ages of the sampled cultural layers were usually correspondent with archeological periodization. Common millet remains sampled from cultural layers of Guanzhong Basin in our study have  $\delta^{13}$ C values ranging from -11.1 to -9.3% (Fig. 3a), with a mean of  $-10.2 \pm 0.4\%$  (n = 66, SD =  $\pm 1 \sigma$ ), without considering the anomaly value of -8.8% analyzed by boxplot using SPSS statistical software (Fig. 3b), which may be affected by the local environment. The  $\delta^{13}$ C composition of modern common millet from the central and western CLP measured in 2008 ranged from -13.9 to -12.5%, with a mean of  $-13.2 \pm 0.5\%$  (n = 15, SD= $\pm 1\sigma$ ; Yang and Li, 2015). It can thus be seen that the  $\delta^{13}$ C values of common millet remains are more positive than those of modern millet by  $\sim 2.9\%$ .

The <sup>13</sup>C composition of plants results from a combination of carbon isotope fractionation and source carbon isotope composition. Therefore,  $\delta^{13}$ C changes in the atmosphere, as a part of total CO<sub>2</sub>, are an important factor impacting upon the  $\delta^{13}$ C values in plants (O'Leary, 1988; Farquhar, 1989; Araus and Buxo, 1993). Considering our atmosphere is a perfect blender, we adopted the global mean  $\delta^{13}$ C value of atmospheric CO2, -8.2%, in 2011 (Cuntz, 2011), which was 3 years after sampling. The  $\delta^{13}$ C values of atmospheric CO<sub>2</sub> in the Holocene, from 11 ka BP to the pre-industrial age, show only a slight change, usually ranging between -6.1 and -6.6%, with a mean value of  $-6.4\pm0.15\%$ (Marino et al., 1992; Leuenberger et al., 1992),  $\sim 1.8\%$ higher than present-day atmospheric  $CO_2\delta^{13}C$  values of -8.2% (Farquhar et al., 1989; Keeling and Whorf, 1992). After correcting for the change in atmospheric CO<sub>2</sub>  $\delta^{13}$ C (1.8%), the millet  $\delta^{13}$ C values for Holocene millet from the



**Figure 5.** Reconstructed summer precipitation during 7.7–3.4 ka BP, Guanzhong Basin (**a**) and comparison of the reconstructed precipitation during the Holocene to precipitation for mid-June to September for a modern period in Xi'an city (original data for 1951–2011, from the China Meteorological Administration). The gray color in both panel (**a**) and (**b**) indicates the markedly humid periods during the Holocene. The gray line in panel (**a**) means the group division. The dot line in (**b**) indicates the mean precipitation of millet growth season at 7.7–3.4 ka BP; the dash line in panel (**b**) indicates the mean precipitation for mid-June to September during 1951–2011.

Guanzhong Basin are equivalent to modern caryopsis values of  $-12.0 \pm 0.4 \%$  and are therefore  $\sim 1.2 \%$  less depleted in  $\delta^{13}$ C than modern caryopsis (for the *t* test, *t* = 21.39).

The regression function between  $\delta^{13}$ C and precipitation for the common millet growing season was established using SMA as follows (Fig. 4):

$$\delta^{13}C(\%) = 0.0077P_{gs} - 14.76, \quad r^2 = 0.56, \quad P < 0.001, \quad (2)$$

where  $P_{gs}$  denotes the precipitation of millet growth seasons. The function's gradient indicated that the precipitation coefficient was 0.77 %/100 mm, implying that, within physiological adaptation parameters, there would be a  $\sim 0.77 \%$  increase in  $\delta^{13}$ C with a 100 mm increase in precipitation. The  $\delta^{13}$ C values yielded by ancient common millets are higher than those of modern common millet seeds, suggesting that these ancient plants grew in a more humid environment than today.

Common millet remains from archeological sites were divided into a total of 11 groups (Table 3). Mean  $\delta^{13}$ C values for common millet remains were calculated for each group. Results showed that the minimum value was  $-10.6 \pm 0.2\%_o$ , and the maximum value  $-9.6 \pm 0.1\%_o$ , for common millet



**Figure 6.** The modern Asian summer monsoon limit is shown by a dashed line in the map (**a**). The red dots signed in the map are the locations of Qinghai Lake, Gonghai Lake and Guanzhong Basin, whose precipitation was carried out for comparison. Comparison of reconstructed summer precipitation for 7.7–3.4 ka BP, Guanzhong Basin, with the pollen records of lakes sediments from around the CLP. (**bi**) Tree pollen percentages from Qinghai Lake (Shen et al., 2005). (**bii**) Broadleaf tree pollen percentages from Gonghai Lake (Chen et al., 2015). (**biii**) Reconstructed annual precipitation from the pollen records of Gonghai Lake (Chen et al., 2015). (**biv**) Reconstructed summer precipitation from the  $\delta^{13}$ C values of common millet, Guanzhong Basin.

growing between 7.7 and 3.4 ka BP. After correcting for the change in the atmospheric CO<sub>2</sub>  $\delta^{13}$ C (1.8%), the range of mean  $\delta^{13}$ C values for ancient millet vis-à-vis modern plants

was between  $-12.4 \pm 0.2$  and  $-11.4 \pm 0.1$  ‰. By applying the regression model based on the  $\delta^{13}$ C and precipitation values for modern common millet during its growing season, we were able to extract paleoprecipitation values for the growing seasons of ancient crops for certain time periods.

These paleoprecipitation values were reconstructed by applying the corrected  $\delta^{13}$ C values for the ancient millet to the regression equation (Eq. 2) which expresses the relation between the  $\delta^{13}$ C of common millet and precipitation. The results showed that the precipitation for the growing seasons of ancient millet during the period 7.7–3.4 ka BP varied from 240 to 477 mm, with a mean of 354 mm (Table 3).

### 5 Discussion

Ancient equivalent-seed  $\delta^{13}$ C values, ranging from  $-12.4 \pm 0.2$  to  $-11.4 \pm 0.1 \%_0$ , are  $\sim 1-2\%_0$  higher than those for modern millet in the area. Paleoprecipitation reconstructed from the regression function shows that precipitation of millet growth seasons at 7.7–3.4 ka BP was between 242 and 475 mm, with a mean of 353 mm. Summer paleoprecipitation values show that the climate was much more humid than it is today, which was 305 mm on average during 1951–2011, with mean precipitation of 442 mm was reached at  $\sim 5.7$  ka BP; even the lowest value of 311 mm at  $\sim 6.5$  ka BP was higher than today's mean value. Summer precipitation during the mid-Holocene (7.7–3.4 ka BP) in the Guanzhong Basin exhibited a systemic increase.

The reconstructed summer precipitation also fluctuates significantly and becomes more and more variable, especially after 5.2 ka BP. However, there were three markedly humid periods, i.e., 6.1-5.5,  $\sim 4.2$ , and  $\sim 3.6$  ka BP (Fig. 5). The period 6.1-5.5 ka BP had the most abundant summer precipitation, which was 414 mm, i.e., 109 mm, or 36 % higher than today. At  $\sim 4.1$  ka BP, the precipitation was  $397 \pm 11$  mm, 92 mm, or 30 % higher than at present; at  $\sim 3.6$  ka BP, the precipitation was  $414 \pm 45$  mm, 36 % higher than at present.

The period 6.1–5.5 ka BP, being the most markedly humid period, probably marks the Holocene Climate Optimum in the Guanzhong Basin; this was also when the Yangshao culture flourished, with archeological finds indicating that there were as many villages in the area as there are today. It is worth noting that the anomalous high value at  $\sim 4.1$  and  $\sim 3.6$  ka BP may indicate rapidly developing climatic events, in accordance with other global records (Cullen and De-Menocal, 2000; Mayewski et al., 2004; Wu and Liu, 2004).

The instrumental data for the last 61 years (1951–2011) indicate that precipitation in the Guanzhong Basin occurs mainly in the summer (Fig. 2). The current inland flow of warm, humid air dominated by the EASM during the summer (June through September) delivers  $\sim 58\%$  of the total annual precipitation. The area is a typical monsoon precipi-

tation area, and summer precipitation here is therefore sensitive to variations in the EASM.

Previous studies of various climatic proxies including stalagmite  $\delta^{18}$ O, lacustrine sediments, and loess–paleosols all indicate that the CLP had plenty of rain in the Holocene and was much more humid during the mid-Holocene (Shen et al., 2005; Wang et al., 2005a, 2008, 2014; Chen et al., 2015). The frequency of paleosol development increased during ~ 8.6– 3.2 ka in the CLP (Wang et al., 2014). The eolian-sand activities in the sand lands located to the north of the CLP decreased from ~ 8.6–3.2 ka BP (Wang et al., 2014; Yang et al., 2012), whilst the vegetation coverage of the desert– loess transitional zone increased in this interval (Yang et al., 2015). These various proxy records infer that the EASM was stronger during the mid-Holocene, but the amplitude of any variations in the EASM remains difficult to assess.

Our quantitative reconstructions of summer precipitation based on millet  $\delta^{13}$ C indicate that EASM intensity peaked during 6.1-5.5 kaBP. The strongest summer monsoon brought the wettest millet growth seasons, with 36%higher precipitation than today. More evidence supporting our contention comes from the tree pollen records from lake sediments around the CLP, which respond more directly to changes in the EASM than the other records because trees on the margins of monsoonal regions are sensitive to variations in monsoonal precipitation. Pollen records from Qinghai Lake, located to the west of the Guanzhong Basin and on the modern monsoon margins, indicate a wet interval during 7.4-4.5 ka BP, culminating in a peak at 6.5 ka BP (Fig. 6bi; Shen et al., 2005). Although the increase in precipitation cannot be assessed, the general trend is comparable with our  $\delta^{13}$ C-based precipitation reconstruction results. The percentage of broadleaf trees from pollen record in the Gonghai Lake (on the northeastern margins of the CLP; Fig. 6bii) indicates that the peak monsoonal period occurred during  $\sim 7.8$ -5.3 ka BP, with an average annual precipitation of 574 mm (Fig. 6biii),  $\sim 30$  % higher than the modern value (Chen et al., 2015). The increase in precipitation is highly consistent with our reconstruction results. More evidence from PMIP2 (the second phase of the Paleoclimate Modeling Intercomparison Project) coupled with mid-Holocene simulations showed that the summer precipitation associated with the EASM increased throughout most of China  $\sim$  6 ka BP, and the greatest increases in precipitation are seen in the southern margins of the Tibetan Plateau and southeastern coastal area of China, which experienced precipitation increases of >1.5 and  $0.7 \text{ mm day}^{-1}$  (or 547.5 and 255.5 mm yr<sup>-1</sup>), respectively (Zhang and Liu, 2009). According to the result, it can be inferred the increase in precipitation in the Guanzhong Basin was lower than  $255.5 \text{ mm yr}^{-1}$  at that time. These multiple lines of evidence corroborate our reconstructions, not only vis-à-vis changes in precipitation during the Holocene but also their quantitative accuracy.

#### 6 Conclusions

 $\delta^{13}$ C of common millet from archaeological layer can effectively record precipitation during millet growing season. Summer precipitation at 7.7–3.4 ka BP reconstructed using the  $\delta^{13}$ C values of common millet was 353 mm, ~ 50 mm, or 17 % higher than at present. Maximum mean summer precipitation peaked at 414 mm during the period 6.1–5.5 ka BP, ~ 109 mm (or 36 %) higher than today, indicating that the EASM peaked at this time. Furthermore, the increasing variability of summer precipitation was visible, especially after 5.2 ka BP. The work provides a new proxy for establishing the paleoprecipitation record.

Charred common millet remains have continuously existed in the archaeological layers for around 10 ka in northern China. Not only the common millet can provide a reliable dating framework, but also the continuous  $\delta^{13}$ C-based paleoprecipitation sequences could be quantitatively reconstructed. This, in turn, can allow regional comparisons, providing a scientific foundation for promoting further research and helping to understand the processes and mechanisms of the EASM, as well as the relation between early human activity and environmental change.

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